

# $K^-/K^+$ multiplicity ratio for kaons produced in DIS with a large fraction of the virtual photon energy

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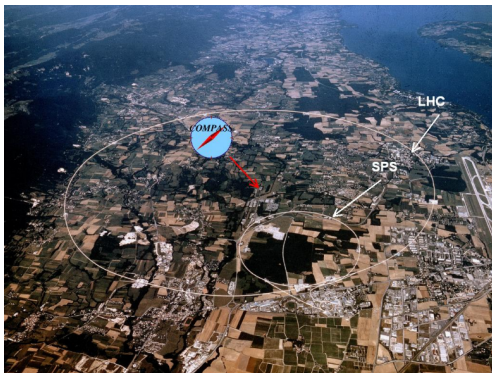
CERN/FIS-PAR/007/2017

FUNDAÇÃO  
ORIENTE

- 1 COMPASS at CERN
- 2 Motivation
- 3 Multiplicity extraction
- 4 Results and discussion
- 5 Summary

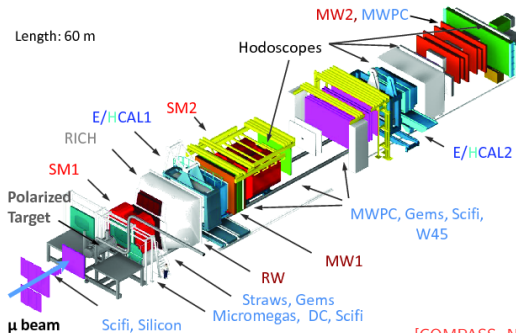
# COMPASS @ CERN

[COmmon MUon PRoton Apparatus for Structure and Spectroscopy]



- Ground level **fixed target experiment** at the SPS using a tertiary **muon beam** ( $\mu^\pm$ ) or a secondary **hadron beam** ( $\pi^\pm$ ,  $K^\pm$ ,  $p$ )
- Collaboration of around 220 members from 13 countries and 24 institutions
- Data-taking started in 2002  $\Rightarrow$  See also Bakur Parsamyan and Michela Chiosso's talks
- Future plans  $\Rightarrow$  See Barbara Badelek's talk

# COMPASS spectrometer (2006)



[COMPASS, NIMA 577 (2007) 455]

- 160 GeV  $\mu^+$  naturally polarised beam
- $^6\text{LiD}$ , 1.2 m long, 3 cells, longitudinally polarised target

- Large acceptance, two staged spectrometer
- Tracking detectors in the 2 stages
- Particle identification: muon walls, **RICH**, calorimetry
- Hodoscope-based trigger (on scattered muon)

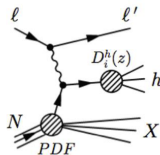
# Hadron multiplicities in muon-nucleon scattering

## Motivation

- **Quark fragmentation functions (FFs)**  
measure the probability of a given quark giving rise to a given hadron
- **Quark fragmentation functions:**  
fundamental non-perturbative, universal
- FFs are ingredients of global pQCD fits
- COMPASS data can give access to FFs  $D_q^h(z, Q^2)$  via **hadron multiplicities**  $M^h$  in **SIDIS**:

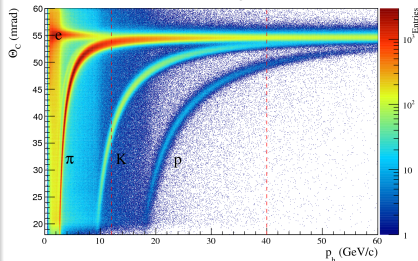
$$\frac{dM^h(x, Q^2, z)}{dz} \equiv \frac{d^3\sigma_{\text{DIS}}^h(x, Q^2, z)/dx dQ^2 dz}{d^2\sigma^{\text{DIS}}(x, Q^2)/dx dQ^2}$$

$$\frac{dM^h(x, z, Q^2)}{dz} \stackrel{\text{LO}}{=} \frac{\sum_q e_q^2 f_q(x, Q^2) D_q^h(z, Q^2)}{\sum_q e_q^2 f_q(x, Q^2)}$$



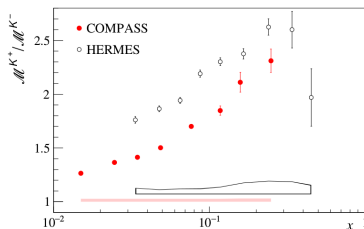
$$\begin{aligned} k_\mu &= (E_\mu, \mathbf{k}_\mu) \\ k'_\mu &= (E'_\mu, \mathbf{k}'_\mu) \\ P &= (M, 0) \\ q &= k_\mu - k'_\mu = (\nu, \mathbf{q}) \\ Q^2 &= -q^2 \\ \nu &= P \cdot q / M = E_\mu - E'_\mu \\ W^2 &= M^2 + 2M\nu - Q^2 \\ x &= Q^2 / (2M\nu) \\ y &= \nu / E_\mu \\ z &= E_h / (E_\mu - E_{\mu'}) \end{aligned}$$

COMPASS RICH:  $\Theta_C$  vs momentum



# COMPASS previous results on multiplicities

- “Multiplicities of **charged pions** and **unidentified charged hadrons** from deep-inelastic scattering of muons off an isoscalar target” [COMPASS, PLB 764 (2017) 001]
  - Well described by (N)LO pQCD
- “Multiplicities of **charged kaons** from deep-inelastic muon scattering off an isoscalar target” [COMPASS, PLB 767 (2017) 133]
  - Not well described by (N)LO pQCD, particularly at high  $z$
  - Discrepancy between COMPASS and HERMES:



- “**Transverse-momentum-dependent** multiplicities of **charged hadrons** in muon-deuteron deep inelastic scattering” [COMPASS, PRD 97 (2018) 032006]

# Expected values for $R_K = M^{K^-} / M^{K^+}$

- In LO:

$$\frac{dM^h(x, Q^2, z)}{dz} = \frac{\sum_q e_q^2 f_q(x, Q^2) D_q^h(z, Q^2)}{\sum_q e_q^2 f_q(x, Q^2)}$$

PDFs  $f_q$ :  $u, \bar{u}, d, \bar{d}, s, \bar{s}$

- Assuming isospin and charge symmetry, equality of all unfavoured FFs and equality of favoured  $s$  and  $\bar{s}$  FFs:

- $D_{\text{fav}} = D_u^{K^+} = D_{\bar{u}}^{K^-}$
- $D_{\text{unf}} = D_{\bar{u}}^{K^+} = D_d^{K^+} = D_{\bar{d}}^{K^+} = D_s^{K^+}$  and their charge conjugates
- $D_{\text{str}} = D_{\bar{s}}^{K^+} = D_s^{K^-}$

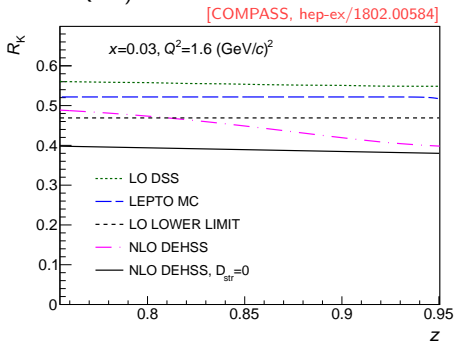
- For an isoscalar target, in LO:

$$R_K(x, Q^2, z) = \frac{dM^{K^-}(x, Q^2, z)/dz}{dM^{K^+}(x, Q^2, z)/dz} > \frac{\bar{u} + \bar{d}}{u + d}$$

(Neglecting  $D_{\text{unf}}$  and the terms with  $s$  and  $\bar{s}$ ; and noting that  $D_{\text{str}} > D_{\text{fav}} > 0$  and  $\bar{u}/u < 1, d/\bar{d} < 1$ .)

# Expected values for $R_K = M^{K^-}/M^{K^+}$ (ctnd.)

(assuming usual assumptions of QCD)



- Curves: expected LO lower limit for  $R_K$  using LO MSTW08 PDFs, result from LEPTO and using Refs. 1-3 for  $x = 0.03$  and  $Q^2 = 1.6 \text{ (GeV/c)}^2$

- [D. de Florian, M. Stratmann and W. Vogelsang, Phys. Rev. D 57 (1998) 5811]
- [D. de Florian, R. Sassot and M. Stratmann, Phys. Rev. D 75 (2007) 114010]
- [G. Ingelman, A. Edin and J. Rathsman, Comput. Phys. Commun. 101 (1997) 108]



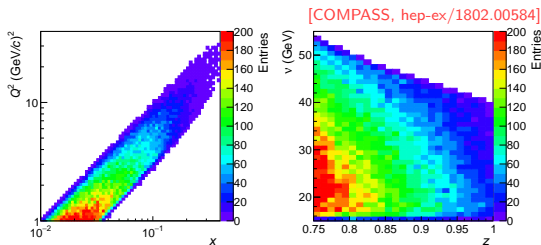
# Multiplicity extraction

- The multiplicity extraction requires:

- 1 Data selection
- 2 Spectrometer **acceptance** and reconstruction **efficiency** correction
- 3 **RICH efficiency and purity correction**
- 4 Correction for decays of diffractively produced vector mesons
- 5 Correction for decays of charm quark
- 6 Correction for radiative effects

# Data selection

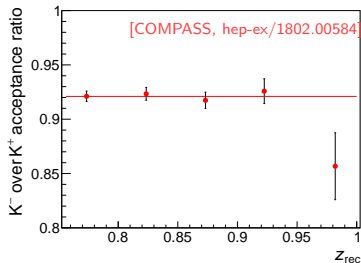
- Data taken in 2006 with 160 GeV  $\mu^+$  beam and  $^6\text{LiD}$  isoscalar target
- Reconstructed  $\mu$  and  $\mu'$ ,  $Q^2 > 1 \text{ (GeV/c)}^2$ ,  $W > 5 \text{ GeV/c}^2$ ,  $y > 0.1$
- $z_{\text{rec}} > 0.75$
- $12 < p_h / (\text{GeV/c}) < 40$  (RICH PID range)
- Particle identified as a kaon (conservatively, to end up with a very pure sample)
- Kinematic coverage of the final sample with kaon events:



- $N_{\text{kaons}} \sim 64000$
- Two  $x$  bins:  $x < 0.05$ ,  $x > 0.05$  (with  $\langle Q^2 \rangle = 1.6 \text{ (GeV/c)}^2$  and  $\langle Q^2 \rangle = 4.8 \text{ (GeV/c)}^2$ , respectively)
- $z$  bin limits:  $0.75, 0.80, 0.85, 0.90, 0.95, 1.05$

# Acceptance and other corrections

- Ratio of acceptances of  $K^-$  and  $K^+$  is constant over  $z$

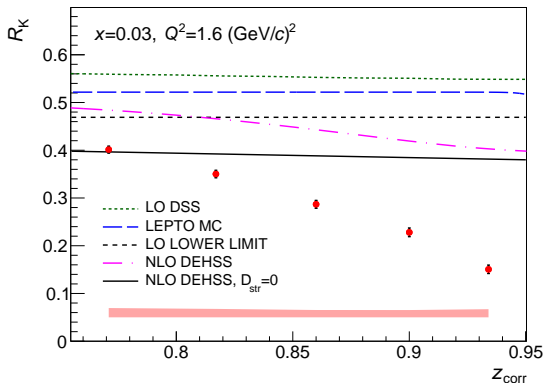


- Great purity of the sample  $\Rightarrow$  simplified unfolding
- Vector mesons correction: simulated with HEPGEN;  $\phi$  decay is not important in high- $z$  region
- Charm quark decay correction is very small
- Correction for radiative effects done with TERAD, and cancel

# Results vs expectation for $R_K = M^{K^-}/M^{K^+}$

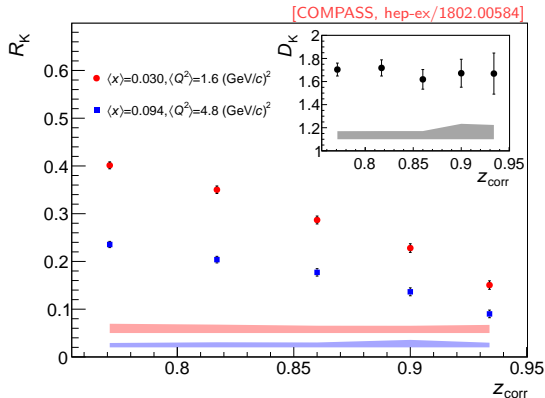
(assuming usual assumptions of QCD)

[COMPASS, hep-ex/1802.00584]



- $z_{\text{corr}} = z_{\text{rec}}^{\text{data}} - (z_{\text{rec}}^{\text{MC}} - z_{\text{gen}}^{\text{MC}})$
- Data of bin  $x < 0.05$
- $R_K = M^{K^-}/M^{K^+}$  is **below its predicted values**
- Further corrections of vector meson production or of pion misidentification as kaon further decrease  $R_K$  and increase the tension

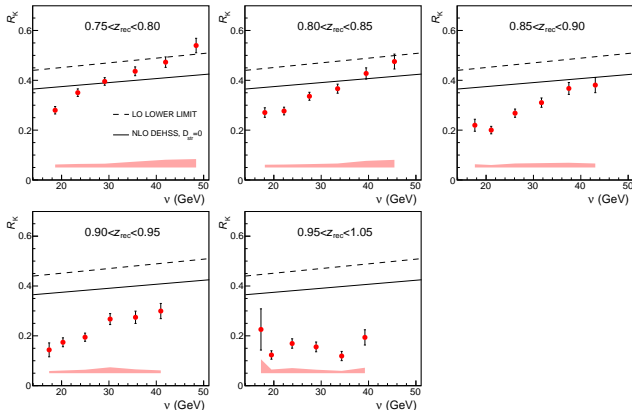
# Results for $R_K = M^{K^-} / M^{K^+}$ in two $x$ bins



- The results of both  $x$  bin can be well described by the same functional form, e.g.  $\propto (1 - z)^\beta$
- The ratio of the results in the two  $x$  bins is constant over  $z$

# $R_K(\nu)$ in 5 bins of $z$

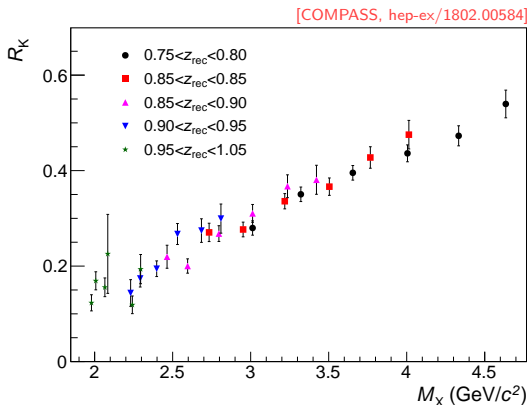
[COMPASS, hep-ex/1802.00584]



- $R_K = M^{K^-}/M^{K^+}$  is **below predictions for low  $\nu$**
- Agreement with LSS group observation (“the largest discrepancy between pQCD expectations and experimental results is observed in the region of **large  $z$  and small  $y$ , i.e. small  $\nu$** ”)

# Ratio $R_K$ as a function of the missing mass

- High  $z$  kaon  $\Rightarrow$  reduced phase space for other particles
- Missing mass,  $M_X \approx \sqrt{M_p^2 + 2M_p\nu(1-z) - Q^2(1-z^2)}$ : natural variable to describe effect



- Smooth trend of  $R_K$  vs  $M_X$
- **Correction within the pQCD formalism may be required** to take into account the phase space available for the hadronisation of the target remnants

# Summary

- Data obtained with a 160 GeV muon beam and isoscalar  ${}^6\text{LiD}$  target
- $K^-/K^+$  **multiplicity ratio** measured in **DIS** for the first time for **high  $z$**
- **Results contradict expectations for  $z > 0.8$  using the formalism of (N)LO pQCD**
- The contradiction is larger at **large  $z$  and small  $\nu$**
- Possible implications: **cross-section factorisation or/and universality of (kaon) fragmentation functions do not hold**
- **Within this formalism, an additional correction may be required** to describe the data, taking into account the phase space available for hadronisation



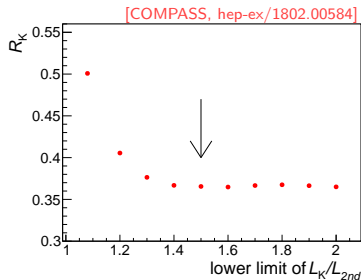
# Summary

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Thank you!

BACKUP

# Kaon selection



- $R_K = M^{K^-}/M^{K^+}$  becomes stable at about  $L_K/L_{2nd} = 1.4 \Rightarrow$  imposed cut is  $L_K/L_{2nd} > 1.5$

# Systematic uncertainties

- Results **agree with previously published results** in the overlap region ( $0.75 < z < 0.85$ )
- Results obtained from data originated by **different triggers** are consistent within 2%
- $R_K$  **constant with respect to  $z_{\text{vtx}}$**  after acceptance correction applied
- **Acceptance systematic uncertainty:** 2%, dominated by trigger-by-trigger variations
- Uncertainty due to **variations in  $\phi$  (in the spectrometer coordinate system):** 3%-11% (correlated for different  $z$  bins)
- Uncertainty in the **RICH unfolding:** 3%
- Beam **spin dependent contributions**  $\propto \sin \phi_h \Rightarrow$  can be neglected in  $\phi$ -integrated multiplicities
- **Total relative uncertainty:** 5%-12% depending upon  $z$ -bin (highly correlated in different  $z$  bins)