Pion and Kaon Structure Functions at EIC

Collaboration with Ian Cloet, Thia Keppel, Wally Melnitchouk, Kijun Park, Paul Reimer, Craig Roberts, Nobuo Sato, Richard Trotta, Andres Vargas, Rik Yoshida

Thanks to: Roy Holt, Yulia Furletova, Elke Aschenauer and Steve Wood

Rolf Ent (JLab), Tanja Horn (CUA)
• The Emergence of Mass
• J/Ψ and Upsilon Threshold Production at an EIC
• Pion and Kaon PDFs – History
• Detection Capabilities at an EIC
• Off-Shellness
• First Check of Impact of EIC on Pion PDFs
• Disentangling the Flavor Dependence
Cold Matter is Unique

Interactions and Structure are entangled because of gluon self-interaction.

Observed properties such as mass and spin emerge from this complex system.

EIC needed to explore the gluon dominated region

JLAB 12 to explore the valence quark region
The Incomplete Nucleon: Mass Puzzle

“… The vast majority of the nucleon’s mass is due to quantum fluctuations of quark-antiquark pairs, the gluons, and the energy associated with quarks moving around at close to the speed of light. …”

Proton mass:

\[ M = E_q + E_g + \chi m_q + T_g \]

- Relativistic motion
- Quantum fluctuation
- Quark Energy
- Gluon Energy
- Quark Mass
- Trace Anomaly
"… The vast majority of the nucleon’s mass is due to quantum fluctuations of quark-antiquark pairs, the gluons, and the energy associated with quarks moving around at close to the speed of light. …"

- **Proton mass:**
  - Relativistic motion
  - Quantum fluctuation

  \[ M = E_q + E_g + \chi_{m_q} + T_g \]

- **Preliminary Lattice QCD results:**

- **EIC projected measurements:**
  - **trace anomaly:**
    - Upsilon production near the threshold
  - Quark-gluon energy: SIDIS
Elastic J/Ψ production near threshold at an EIC

At an EIC a study of the Q^2 dependence in the threshold region is possible

S. Joosten, Z-E. Meziani

Total electroproduction cross section

5 GeV on 100 GeV

- Graph showing the total electroproduction cross section with different data points and legends indicating various experiments and theoretical predictions.

Angular distribution of decay

- Graph showing the angular distribution of decay with different data points for different Q^2 values.
Elastic $Y$ production near threshold at an EIC

At an EIC a study of the $Q^2$ dependence in the threshold region is possible

S. Joosten, Z-E. Meziani

Low $W \rightarrow$ trace anomaly
Large $W \rightarrow$ Gluon GPDs

(see arXiv:1802.02616)
The Incomplete Nucleon: Mass Puzzle

“… The vast majority of the nucleon’s mass is due to quantum fluctuations of quark-antiquark pairs, the gluons, and the energy associated with quarks moving around at close to the speed of light. …”

“Mass without mass!”
Bhagwat & Tandy/Roberts et al

Proton: Mass ~ 940 MeV
preliminary LQCD results on mass budget,
or view as mass acquisition by DχSB
Kaon: Mass ~ 490 MeV
at a given scale, less gluons than in pion
Pion: Mass ~ 140 MeV
mass enigma – gluons vs Goldstone boson

EIC’s expected contribution in:
✧ Quark-gluon energy:
   \( \propto \text{quark-gluon momentum fractions} \)
In nucleon with DIS and SIDIS
In pions and kaons with Sullivan process
Origin of mass of QCD’s pseudoscalar Goldstone modes

- Exact statements from QCD in terms of current quark masses due to PCAC
  
  \[
  \begin{align*}
  f_\pi m_\pi^2 &= (m_u^\xi + m_d^\xi) \rho_\pi^\xi \\
  f_K m_K^2 &= (m_u^\xi + m_s^\xi) \rho_K^\xi
  \end{align*}
  \]

- Pseudoscalar masses are generated dynamically – If \( \rho_\pi \neq 0 \), \( m_\pi^2 \sim \sqrt{m_q} \)
  
  - The mass of bound states increases as \( \sqrt{m} \) with the mass of the constituents
  - In contrast, in quantum mechanical models, e.g., constituent quark models, the mass of bound states rises linearly with the mass of the constituents
  - E.g., with constituent quarks Q: in the nucleon \( m_Q \sim \frac{1}{3} m_N \sim 310 \) MeV, in the pion \( m_Q \sim \frac{1}{2} m_\pi \sim 70 \) MeV, in the kaon (with one s quark) \( m_Q \sim 200 \) MeV – **This is not real.**
  - In both DSE and LQCD, the mass function of quarks is the same, regardless what hadron the quarks reside in – **This is real.** It is the Dynamical Chiral Symmetry Breaking (D\( \chi \)SB) that makes the pion and kaon masses light.

- Assume D\( \chi \)SB similar for light particles: \( f_\pi = f_K \approx 0.1 \) & \( \rho_\pi = \rho_K \approx (0.5 \text{ GeV})^2 \) @ scale \( \zeta = 2 \) GeV
  
  - \( m_\pi^2 = 2.5 \times (m_u^\xi + m_d^\xi) \); \( m_K^2 = 2.5 \times (m_u^\xi + m_s^\xi) \)
  - Experimental evidence: mass splitting between the current s and d quark masses

  \[
  m_K^2 - m_\pi^2 = (m_s^\xi - m_d^\xi) \frac{\rho_\pi^\xi}{f} = 0.225 \text{ GeV}^2 = (0.474 \text{ GeV})^2 \\
  m_s^\xi = 0.095 \text{ GeV}, m_d^\xi = 0.005 \text{ GeV}
  \]

  In good agreement with experimental values
The role of gluons in pions

Pion mass is enigma – cannibalistic gluons vs massless Goldstone bosons

\[ f_\pi E_\pi(p^2) = B(p^2) \]

Adapted from Craig Roberts:

- The most fundamental expression of Goldstone’s Theorem and DCSB in the SM
- Pion exists if, and only if, mass is dynamically generated
- This is why \( m_\pi = 0 \) in the absence of a Higgs mechanism

Gluon mass-squared function

\[ m_g^2(k^2) = \frac{\mu_g^4}{\mu_g^2 + k^2} \]

Power-law suppressed in ultraviolet, so invisible in perturbation theory

What is the impact of this for gluon parton distributions in pions vs nucleons? One would anticipate a different mass budget for the pion and the proton
Why should you be interested in pions and kaons?

1) The pion, or a meson cloud, explains light-quark asymmetry in the nucleon sea

2) Pions are the Yukawa particles of the nuclear force – but no evidence for excess of nuclear pions or anti-quarks

3) Kaon exchange is similarly related to the $\Lambda N$ interaction – correlated with the Equation of State and astrophysical observations

4) Mass is enigma – cannibalistic gluons vs massless Goldstone bosons
Why should you be interested in pions and kaons?

Protons, neutrons, pions and kaons are the main building blocks of nuclear matter

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At some level an old story...

A model for nucleon, pion and kaon structure functions
F. Martin, CERN-TH 2845 (1980)

\[ u_V(x, Q_0^2) \]

Predictions based on non-relativistic model with valence quarks only →
1) pion/kaon differs from proton: 2q vs. 3q system
2) kaon differs from pion as it owns one heavy quark
World Data on pion structure function $F_2^\pi$

Pion Drell-Yan

DIS (Sullivan Process)

Data much more limited…

FNAL E615

CERN NA3

HERA data [ZEUS, NPB637 3 (2002)]
Pion Drell-Yan Data: CERN NA3 ($\pi^+/-$) NA10 ($\pi^-$)

**NA3 200 GeV $\pi^-$ data** (also have 150 and 180 GeV $\pi^-$ and 200 GeV $\pi^+$ data). *Can determine pion sea!*

\[
Q_{\pi}^{\text{sea}} \equiv \int_0^1 x q_{\pi}^{\text{sea}}(x) \, dx = 0.01
\]

**NA10 194 GeV $\pi^-$ data**

*quark sea in pion is small – few %*
First Monte Carlo global analysis of pion pdfs

arXiv:1804.01965v1

Barry, Sato, Melnitchouk and Ji

From combined Leading-Neutron and Drell-Yan analysis
Kaon structure functions – gluon pdfs

Based on Lattice QCD calculations and DSE calculations:

- Valence quarks carry some 52% of the pion’s momentum at the light front, at the scale used for Lattice QCD calculations, or ~65% at the perturbative hadronic scale.

- At the same scale, valence-quarks carry ⅔ of the kaon’s light-front momentum, or roughly 95% at the perturbative hadronic scale.

Thus, at a given scale, there is far less glue in the kaon than in the pion:

- Heavier quarks radiate less readily than lighter quarks.
- Heavier quarks radiate softer gluons than do lighter quarks.
- Landau-Pomeranchuk effect: softer gluons have longer wavelength and multiple scatterings are suppressed by interference.
- Momentum conservation communicates these effects to the kaon's u-quark.
quark and gluon pdfs in pions and kaons

• At low x to moderate x, both the quark sea and the gluons are very interesting.
  ❖ Are the sea in pions and kaons the same in magnitude and shape?
  ❖ Is the origin of mass encoded in differences of gluons in pions, kaons and protons, or do they in the end all become universal?

• At moderate x, compare pionic Drell-Yan to DIS from the pion cloud,
  ❖ test of the assumptions used in the extraction of the structure function (and similar assumptions in the pion and kaon form factors).

• At high x, the shapes of valence u quark distributions in pion, kaon and proton are different, and so are their asymptotic $x \to 1$ limits.
  ❖ Some of these effects are due to the comparison of a two- versus three-quark system, and a meson with a heavier s quark embedded versus a lighter quark.
  ❖ However, also effects of gluons come in. To measure this would be fantastic.
  ❖ At high x, a long-standing issue has been the shape of the pion structure function as given by Drell-Yan data versus QCD expectations. However, this may be a solved case based on gluon resummation, and this may be confirmed with 12-GeV Jefferson Lab data. Nonetheless, soft gluon resummation is a sizable effect for Drell Yan, but expected to be a small effect for DIS, so additional data are welcome.
The issue at large-\(x\): solved by resummation?

- Large \(x_{\text{Bj}}\) structure of the pion is interesting and relevant
  - Pion cloud & antiquark flavor asymmetry
  - Nuclear Binding
  - Simple QCD state & Goldstone Boson

- Even with NLO fit and modern parton distributions, pion did not agree with pQCD and Dyson-Schwinger

- **Soft Gluon Resummation saves the day!**
  - JLab 12 GeV experiment can check at high-\(x\)
  - Resummation effects less prominent at DIS \(\rightarrow\) EIC’s role here may be more consistency checks of assumptions made in extraction

- Additional Bethe-Salpeter predictions to check in \(\pi/K\) Drell-Yan ratio
Landscape for $p, \pi, K$ structure function after EIC

Proton: much existing from HERA
EIC will add:
- Better constraints at large-$x$
- Precise $F_2^n$ neutron SF data

Pion and kaon: only limited data from:
- Pion and kaon Drell-Yan experiments
- Some pion SF data from HERA
EIC will add large ($x,Q^2$) landscape for both pion and kaon!
World Data on pion structure function $F_2^\pi$

### HERA

$\downarrow \sim x_{\text{min}}$ for EIC

- $Q^2 = 7.0 \text{ GeV}^2$
- $Q^2 = 15 \text{ GeV}^2$
- $Q^2 = 30 \text{ GeV}^2$
- $Q^2 = 60 \text{ GeV}^2$
- $Q^2 = 120 \text{ GeV}^2$
- $Q^2 = 240 \text{ GeV}^2$
- $Q^2 = 480 \text{ GeV}^2$
- $Q^2 = 1000 \text{ GeV}^2$

- **ZEUS 95–97**
- **$F_2^\pi$ SMRS**
- **$F_2^\pi$ GRV**

### EIC

Here example for $5 \text{ GeV} e^-$ and $50 \text{ GeV} p$

- $Q^2 = 3.75$
- $Q^2 = 15$
- $Q^2 = 60$
- $Q^2 = 240$

- $0 \leq x_{\pi} \leq 1$

- **EIC kinematic reach down to a few $x = 10^{-3}$**
- **Lowest $x$ constrained by HERA**

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- U.S. Department of Energy
- Office of Science
- Jefferson Lab
EIC – Versatility is Key

- Obtain $F_2^n$ by tagging spectator proton from e-d, and extrapolate to on-shell neutron to correct for binding and motion effects.
- Obtain $F_2^\pi$ and $F_2^K$ by Sullivan process and extrapolate the measured t-dependence as compared to DSE-based models.

→ Need excellent detection capabilities, and good resolution in $-t$
Full Acceptance for Forward Physics!

Example: acceptance for $p'$ in $e + p \rightarrow e' + p' + X$

Huge gain in acceptance for diffractive physics and forward tagging to measure $F_2^n$!!!
Towards Kaon Structure Functions

To determine projected kaon structure function data from pion structure function projections, we scaled the pion to the kaon case with the *coupling constants*

\[
g_{\pi NN} = 13.1 \quad g_{Kp\Lambda} = -13.3 \quad g_{Kp\Sigma^0} = -3.5
\]

(These values can vary depending on what model one uses, so sometimes a range is used, e.g., 13.1-13.5 for \(g_{\pi NN}\))

Good geometric detection efficiencies for n, \(\Lambda\), \(\Sigma\) detection at low -t

<table>
<thead>
<tr>
<th>Process</th>
<th>Forward Particle</th>
<th>Geometric Detection Efficiency (at small -t)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(^1\text{H}(e,e'\pi^+)n)</td>
<td>N</td>
<td>&gt; 20%</td>
</tr>
<tr>
<td>(^1\text{H}(e,e'K^+)\Lambda)</td>
<td>(\Lambda)</td>
<td>50%</td>
</tr>
<tr>
<td>(^1\text{H}(e,e'K^+)\Sigma)</td>
<td>(\Sigma)</td>
<td>17%</td>
</tr>
</tbody>
</table>

Folding this together: kaon projected structure function data will be *roughly of similar quality* as the projected pion structure function data for the small-t geometric forward particle detection acceptances at JLEIC.
Plug event into GEMC: 5x100 GeV2, e/p beams
Detection of $^1\text{H}(e,e'K^+)\Lambda$, $\Lambda$ decay to $p + \pi^-$

Proton can be detected before 3rd Dipole
Pion can not make 2nd Dipole

Proton can be detected before 3rd Dipole
Pion can be detected before 3rd Dipole
Sullivan process off-shellness corrections

- Like nuclear binding corrections (neutron in deuterium)
- Bin in $t$ to determine the off-shellness correction
- Compare with Pionic/kaonic D-Y

EIC kinematic reach down to $x=0.01$ or a bit below

Figure from K. Park

These are from initial trials and preliminary – we are redoing this now
Off-shellness considerations


- Recent calculations estimate the effect in the BSE/DSE framework – as long as $\lambda(\nu)$ is linear in $\nu$ the meson pole dominates
  - Within the linearity domain, alterations of the meson internal structure can be analyzed through the amplitude ratio
  - Off-shell meson = On-shell meson for $t<0.6$ GeV$^2$ ($\nu=31$) for pions and $t<0.9$ GeV$^2$ ($\nu_s\sim3$) for kaons

This means that pion and kaon structure functions can be accessed through the Sullivan process
From combined Leading-Neutron and Drell-Yan analysis

Web-based self-server performs a combined Leading-Neutron, Drell-Yan and new data analysis

Github: https://github.com/JeffersonLab/jamfitter

Jupyter notebook: https://jupyter.jlab.org/
Work ongoing:
- Why did the curves shift?
- The pion D-Y data, even if not many, already do constrain the curves surprisingly well – due to the various sum rules?
- Curves to improve with the EIC projections, especially for kaon as will have similar-quality data.

Precision gluon constraints of pion and kaon pdfs are possible.

T. Horn
Disentangling the Flavor-Dependence

1) Using the Neutral-Current Parity-violating asymmetry $A_{PV}$

\[
A_{PV} = \frac{\sigma_R - \sigma_L}{\sigma_R + \sigma_L}
\]

\[
a_{2\pi}(x) = \frac{2 \sum_q e_q g_V^q (q + \bar{q})}{\sum_q e_q^2 (q + \bar{q})} \approx \frac{6 u_\pi^+ + 3 d_\pi^+}{4 u_\pi^- + d_\pi^-} - 4 \sin^2 \theta_W
\]

\[
a_{2K}(x) = \frac{2 \sum_q e_q g_V^q (q + \bar{q})}{\sum_q e_q^2 (q + \bar{q})} \approx \frac{6 u_K^+ + 3 s_K^+}{4 u_K^- + s_K^-} - 4 \sin^2 \theta_W
\]

DSE-based parton distributions in pion and kaon

Figures from I. Cloet

Calculation by C.D. Roberts et al.

Colors denote different scales

$a_2$ picks up different behavior of $u$ and $s$. Flavor decomposition in kaon possible?
PIEIC2018

Workshop on Pion and Kaon Structure at an Electron - Ion Collider
May 24-25, 2018
The Catholic University of America
Washington, D.C.

Circular
This workshop will explore opportunities provided by the Electron - Ion Collider to study the quark and gluon structure of the pion and kaon. It follows and will stake stock of the progress since the earlier June 1-2, 2017 workshop at Argonne National Lab: http://www.phy.anl.gov/theory/pieic2017

Organizing Committee
Ian Cloet - ANL
Tanja Horn – CUA
Cynthia Keppel – JLab
Craig Roberts - ANL
• Nucleons and the lightest mesons - pions and kaons, are the basic building blocks of nuclear matter. We should know their structure functions.
• The distributions of quarks and gluons in pions, kaons, and nucleons will be different.
• Is the origin of mass encoded in differences of gluons in pions, kaons and nucleons (at non-asymptotic $Q^2$)?
• Some effects may be trivial – the heavier-mass quark in the kaon “robs” more of the momentum, and the structure functions of pions, kaons and protons at large-$x$ should be different, but confirming these would provide textbook material.
• Utilizing electroweak processes, be it through parity-violating processes or neutral vs charged-current interactions, some flavor dependence appears achievable.

Active research ongoing - more at the upcoming meeting at CUA: PIEIC2018 [https://www.jlab.org/conferences/pieic18/index.html]
Pions and kaons are, along with protons and neutrons, the main building blocks of nuclear matter. The distribution of the fundamental constituents, the quarks and gluons, is expected to be different in pions, kaons, and nucleons. However, experimental data are sparse. As a result, there has been persistent doubt about the behavior of the pion's valence quark structure function at large Bjorken-x and virtually nothing is known about the contribution of gluons. The Electron-Ion Collider with an acceptance optimized for forward physics could provide access to structure functions over a larger kinematic region. This would allow for measurements testing if the origin of mass is encoded in the differences of gluons in pions, kaons, and nucleons, and measurements that could serve as a test of assumptions used in the extraction of structure functions. Measurements at an EIC would also allow to explore the effect of gluons at high x. In this talk we will discuss the prospects of such measurements.
Note: need to update plots for COMPASS data

An EIC makes it possible!

Similar for $F_2^n$

Similar for $g_2^p$, $g_2^n$ (and $b_1^d$)

$F_{UT} \sin(\phi_h + \phi_s)(x, Q^2) + C(x) \propto h_1$

momentum

spin

transverse spin ~ angular momentum
Calculable limits for ratios of PDFs at $x = 1$, same as predictive power of $x \to 1$ limits for spin-averaged and spin-dependent proton structure functions (asymmetries)

$$\left. \frac{u_K^V(x)}{u_{\pi}^V(x)} \right|_{x \to 1} = 0.37 , \quad \left. \frac{u_\pi^V(x)}{s_K^V(x)} \right|_{x \to 1} = 0.29$$

On the other hand, inexorable growth in both pions’ and kaons’ gluon and sea-quark content at asymptotic $Q^2$ should only be driven by pQCD splitting mechanisms. Hence, also calculable limits for ratios of PDFs at $x = 0$, e.g.,

$$\lim_{x \to 0} \frac{u_K^V(x; \zeta)}{u_\pi^V(x; \zeta)} \xrightarrow{\Lambda_{QCD}/\zeta \simeq 0} 1$$

The inexorable growth in both pions’ and kaons’ gluon content at asymptotic $Q^2$ brings connection to gluon saturation.
Electroweak Pion and Kaon Structure Functions

- The Sullivan Process will be sensitive to $u$ and $d\bar{u}$ for the pion, and likewise $u$ and $s\bar{u}$ for the kaon.
- Logarithmic scaling violations may give insight on the role of gluon pdfs
- Could we make further progress towards a flavor decomposition?

1) Using the Neutral-Current Parity-violating asymmetry $A_{PV}$

2) Determine $xF_3$ through neutral/charged-current interactions

\[ F_2^\gamma = \sum_q e_q^2 x(q + \bar{q}) \]
\[ F_2^{\gamma Z} = 2 \sum_q e_q g_{1V}^q x(q + \bar{q}) \]
\[ xF_3^{\gamma Z} = 2 \sum_a e_q g_A^q x(q - \bar{q}) \]

In the parton model:

\[ F_2^{W+} = 2x(\bar{u} + d + s + c) \quad F_3^{W+} = 2(-\bar{u} + d + s - c) \quad F_2^{W-} = 2x(u + d + \bar{s} + c) \quad F_3^{W-} = 2(u - \bar{d} - \bar{s} + c) \]

3) Or charged-current through comparison of electron versus positron interactions

\[ A = \frac{\sigma_R^{CC,e^+} \pm \sigma_L^{CC,e^-}}{\sigma_R^{NC} + \sigma_L^{NC}} \]
\[ A = \frac{G_F^2 Q^4}{32 \pi^2 \alpha_e^2} \left[ \frac{F_2^{W+} \pm F_2^{W-}}{F_2^\gamma} - \frac{1 - (1-y)^2}{1 + (1-y)^2} \frac{xF_3^{W+} \mp xF_3^{W-}}{F_2^\gamma} \right] \]
Present Projections

$Q^2 = 10.0$

$X_\pi f(X_\pi)$

- Green: $\bar{u}_v$
- Blue: $u$
- Red: $g$

$X_\pi$
Pion Form Factor and Structure Function

Implications if so: two longstanding puzzles could be solved
1. Magnitude of pion form factor in hard scaling regime
2. Power of pion parton behavior at large x

Also implications for nucleon and N* form factor interpretation (not shown here).

Pion FF – first quantitative access to hard scattering scaling regime?

Pion SF – (1-x)^-1 or (1-x)^-2 dependence at large x?
“Mass without mass!”

… The vast majority of the nucleon’s mass is due to quantum fluctuations of quark-antiquark pairs, the gluons, and the energy associated with quarks moving around at close to the speed of light. …

Proton mass:

\[ M = E_q + E_g + \chi m_q + T_g \]

- Relativistic motion
- Quantum fluctuation

Quark Energy
Gluon Energy
Quark Mass
Trace Anomaly