



Special Relativity, Quantum Mechanics & Quantum Field Theory

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Outline

1. Introduction
2. Special relativity
3. Some quantum mechanics
4. Relativistic quantum mechanics
5. Gauge symmetries
6. Scattering theory
7. Summary

Goals

◆ Some of the goals of this school

- ✦ An overview of what is fundamental physics, including some of its applications
 - ★ Requires some prerequisites

◆ Aims of this lecture

- ✦ Covering the basics
 - ★ Special relativity
 - ★ Quantum mechanics
 - ★ Field theory
 - ★ Gauge theories
 - ★ Scattering theory

- ✦ Ask questions anytime!

Setting up the stage

◆ In a nutshell

Elementary particle physics

Quantum mechanics

\hbar/S is not neglected
[S being a typical action]

Special relativity

v/c is not neglected
[v being a typical velocity]

Field theory

Particle
representations

◆ The elementary particles and their interactions are linked to symmetries

- ❖ **Poincaré invariance** \equiv particle types (scalars, fermions, vectors, ...)
- ❖ **Gauge symmetries** \equiv electromagnetism, weak and strong interactions, ...

◆ Other tools

- ❖ **Symmetry breaking** \equiv mass generation
- ❖ **Scattering theory** \equiv observables, testing a theory against data

More on symmetries

◆ Definition

- ❖ A symmetry operation leaves the laws of physics invariant

➤ Newton's law is the same in any inertial frame $\mathbf{F} = m \mathbf{a}$

◆ Important classes of symmetries for particle physics

- ❖ External (spacetime) symmetries: rotations, translations, boosts

- ❖ Internal symmetries: like in quantum mechanics $|\Psi\rangle \rightarrow e^{i\alpha} |\Psi\rangle$

◆ Noether theorem

- ❖ A conserved charge can be associated with each symmetry

- ❖ Examples of conservation laws: electric charge, energy, angular momentum, etc.

◆ Wigner: a symmetry operator G is (anti-)unitary

- ❖ For unitary operators, we introduce a set of Hermitian matrices g_i (generators)

★ $G = \exp[a^i g_i]$ (e.g., a rotation $R(\boldsymbol{\alpha}) = \exp[i \boldsymbol{\alpha} \cdot \mathbf{J}]$)

★ The $\{g_i\}$ forms an algebra $[g_i, g_j] = f_{ij}^k g_k$ (e.g., $[J_i, J_j] = i J_k$ for the rotations)

★ The $\{G_i\}$ forms a group

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Kinematics

Fundamentals

◆ Einstein postulates

- ♣ The laws of physics are identical in all inertial frames
 - The outcome of any experiment is independent of the frame
- ♣ The speed of light in the vacuum c is constant

◆ The consequences of these postulates have been verified experimentally

◆ An infinitesimal space time interval is invariant in all inertial frames

$$ds^2 = c^2 dt^2 - dr^2 \equiv c^2 d\tau^2$$

- ♣ This measure can be used to classify events $E_i \equiv (ct_i, \mathbf{r}_i)$
- ♣ The proper time τ allows for relativistic generalization of velocities and momenta

◆ Time is not absolute

- ♣ Unlike with Newtonian dynamics
- ♣ Introduction of four-vectors

Handy notations: four vectors (with $c=1$)

- ◆ Space and time are unified into a single object: the position four-vector

$$x^\mu \equiv (t, \mathbf{r}) \quad \text{with} \quad c = 1$$

- ♣ Generalization of the tridimensional vector
- ♣ The infinitesimal spacetime interval hence becomes

$$ds^2 = d\tau^2 = \eta_{\mu\nu} dx^\mu dx^\nu \quad \text{with} \quad \eta_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

Einstein
summation
conventions

- ◆ The metric $\eta_{\mu\nu}$ allows to lower indices (and raise indices with $\eta^{\mu\nu}$)

$$x_\mu = \eta_{\mu\nu} x^\nu, \quad x^\mu = \eta^{\mu\nu} x_\nu \quad \text{with} \quad x_\mu \equiv (t, -\mathbf{r}) \quad \text{and} \quad \eta^{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

- ◆ An invariant scalar product can be defined

$$x \cdot y = x^\mu y_\mu = \eta_{\mu\nu} x^\mu y^\nu$$

Derivatives, velocity and momenta

- ◆ Derivatives with respect to x can be defined

$$\partial_\mu = \frac{\partial}{\partial x^\mu} \equiv \left(\frac{\partial}{\partial t}, \nabla \right) \quad \text{and} \quad \partial^\mu = \frac{\partial}{\partial x_\mu} \equiv \left(\frac{\partial}{\partial t}, -\nabla \right)$$

- ◆ The velocity and momentum can be defined from generalizing the 3D case

$$u^\mu = \frac{dx^\mu}{d\tau}, \quad p^\mu = m u^\mu = (E, \mathbf{p})$$

- ◆ The norm of the four-momentum relates energy, momentum and mass

$$E^2 = m^2 + p^2 \quad \text{aka} \quad E^2 = m^2 c^4 + p^2 c^2$$

' $c=1$ ' is a practical choice

Poincaré and Lorentz transformations

Lorentz transformations

◆ Lorentz transformations are

- ♣ The ensemble of transformations preserving the space time interval $ds = ds'$
- ♣ The ensemble of transformations preserving the scalar product $x \cdot y = x' \cdot y'$

◆ A four-vector x is transformed as

$$x^\mu \rightarrow x'^\mu = \Lambda^\mu{}_\nu x^\nu$$

Λ is a 4x4 matrix

- ♣ $\det \Lambda = \pm 1$

- ♣ The Λ matrix can be inverted (there exists an inverse transform)

- ♣ The Λ matrix must obey $\Lambda^t \eta \Lambda = \eta$

- ★ 3 rotations (mixing of two spatial coordinates)

- ★ 3 boosts (mixing of the time and one spatial coordinates)

6 basis elements

★ Rotation of angle α around the z axis

Wigner

$$R(\alpha) = \exp[i\alpha J^{12}] = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \alpha & \sin \alpha & 0 \\ 0 & -\sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

★ Boost of velocity $-\tanh \varphi$ in the x direction

$$B(\varphi) = \exp[i\varphi J^{01}] = \begin{pmatrix} \cosh \varphi & \sinh \varphi & 0 & 0 \\ \sinh \varphi & \cosh \varphi & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Lorentz and Poincaré algebras

◆ By perturbatively developing Λ around the identity, one gets

$$\left[J^{\mu\nu}, J^{\rho\sigma} \right] = -i \left(\eta^{\nu\sigma} J^{\rho\mu} - \eta^{\mu\sigma} J^{\rho\nu} + \eta^{\nu\rho} J^{\mu\sigma} - \eta^{\mu\rho} J^{\nu\sigma} \right)$$

♣ The 6 (independent) J matrices are the generators of the Lorentz algebra

★ Rotations: $J^{ij} = J^k$ with (i,j,k) a cyclic permutation of $(1,2,3)$

★ Boosts: $J^{0j} = K^j$ with $j=1,2,3$

♣ They form a basis for the antisymmetric matrices

♣ $J^{\mu\nu} = -J^{\nu\mu}$

◆ The Poincaré algebra is obtained by adding translations

$$\left[J^{\mu\nu}, J^{\rho\sigma} \right] = -i \left(\eta^{\nu\sigma} J^{\rho\mu} - \eta^{\mu\sigma} J^{\rho\nu} + \eta^{\nu\rho} J^{\mu\sigma} - \eta^{\mu\rho} J^{\nu\sigma} \right)$$

$$\left[J^{\mu\nu}, P^\rho \right] = -i \left(\eta^{\nu\rho} P^\mu - \eta^{\mu\rho} P^\nu \right)$$

$$\left[P^\mu, P^\nu \right] = 0$$

Particle masses and spins

◆ The quadratic Casimir operators of the Poincaré algebra

- ❖ A Casimir operator is an operator commuting with all generators of the algebra
- ❖ **The P^2 operator** (norm of the four-momentum operator)
 - ★ $P^2 \equiv m^2$ commutes with all J, K, P generators
 - ★ The masses are the eigenvalues of this operator
 - ★ **A particle will be characterized by its mass**
- ❖ The W^2 operator (where $W_\mu = \frac{1}{2} \varepsilon_{\mu\nu\rho\sigma} P^\nu J^{\rho\sigma}$ is the **Pauli-Lubanski operator**)
 - ★ Determines the particle **spin** in the massive case
 - ★ Determines the particle **helicity** in the massless case

Reminders: the rotation algebra

◆ The rotation algebra is given by

$$[J^i, J^j] = i\epsilon^{ij}_k J^k = \begin{cases} iJ_k & \text{with } (i, j, k) \text{ a cyclic permutation of } (1, 2, 3). \\ -iJ_k & \text{with } (i, j, k) \text{ an anticyclic permutation of } (1, 2, 3). \end{cases}$$

◆ The representations are characterized by two quantum numbers

- ♣ A half-integer or integer number j ($\mathbf{J}^2 = (J^1)^2 + (J^2)^2 + (J^3)^2$ is a Casimir operator)
- ♣ $m \in [-j, j]$ (the eigenvalue of J^3)
- ♣ The J matrices are $(2j+1) \times (2j+1)$ matrices
 - ♣ $j=1/2$: the Pauli matrices (over 2)
 - ♣ $j=1$: the usual rotation matrices in Euclidean space

◆ A state is given by $|j, m\rangle$. With $\hbar=1$:

$$J^3|j, m\rangle = m|j, m\rangle \quad \text{and} \quad \mathbf{J}^2|j, m\rangle = j(j+1)|j, m\rangle$$

Important for the spin

Spin definition

◆ Back to the Lorentz algebra

$$\left[J^{\mu\nu}, J^{\rho\sigma} \right] = -i \left(\eta^{\nu\sigma} J^{\rho\mu} - \eta^{\mu\sigma} J^{\rho\nu} + \eta^{\nu\rho} J^{\mu\sigma} - \eta^{\mu\rho} J^{\nu\sigma} \right)$$

★ Rotations: $J^{ij} = J^k$

★ Boosts: $J^{0j} = K_j$

◆ Let us define two new operators

$$N^i = \frac{1}{2}(J^i + iK^i) \quad \text{and} \quad \bar{N}^i = \frac{1}{2}(J^i - iK^i)$$

♣ The Lorentz algebra can be rewritten as twice the Lorentz algebra

$$\left[N^i, N^j \right] = -iN^k, \quad \left[\bar{N}^i, \bar{N}^j \right] = -i\bar{N}^k \quad \text{and} \quad \left[N^i, \bar{N}^j \right] = 0$$

◆ Towards a definition of the spin

$$\{N_i\} \oplus \{\bar{N}_i\} = \mathfrak{sl}(2) \oplus \overline{\mathfrak{sl}(2)} \sim \mathfrak{so}(3) \oplus \mathfrak{so}(3)$$

➤ **so(3) consist in rotations**

➤ the representations are known

$$\begin{cases} \{N^i\} \rightarrow S \\ \{\bar{N}^i\} \rightarrow \bar{S} \end{cases} \Rightarrow J^i = N^i + \bar{N}^i \rightarrow \text{spin} = S + \bar{S}$$

$(0,0) \equiv$ scalar

$(1/2,0) \equiv$ left-handed spinor

$(0,1/2) \equiv$ right-handed spinor

$(1/2,1/2) \equiv$ vector

Fields and Lagrangians

Fields and Lagrangians

◆ For each spin state, we consider a specific field $\varphi(x^\mu)$

❖ Depend on the **spacetime coordinates**

❖ Has a dynamics driven by a **Lagrangian density \mathcal{L}**

★ The Lagrangian density depends on the field φ and its derivative $\partial_\mu\varphi$

◆ **Generalization of the classical physics concepts**

◆ In classical physics, the fundamental object is the action S

$$S = \int dt L(x(t), \dot{x}(t), t)$$

★ The Lagrangian L depends on the **position**,
on the **velocity** and on time

considered independent

❖ System evolution: the trajectory $x(t)$ for which the action is extremal

◆ **Continuous systems (introduction of fields) and Poincaré invariance**

❖ We make use of spacetime coordinates

❖ We introduce a Lagrange density (4D integral)

❖ Positions and velocities and are replaced by **fields** and **their derivatives**

$$S = \int d^4x \mathcal{L}(\varphi(x), \partial_\mu\varphi(x))$$

considered independent

Symmetric Lagrangians

◆ Lagrangians are required to satisfy some invariance (or be symmetric)

- ♣ We consider an operator G associated with a symmetry acting on a field φ

$$\varphi(x) \rightarrow G\varphi(x)$$

- ♣ The Lagrangian is symmetric if it satisfies

$$\mathcal{L} \rightarrow \mathcal{L} + \partial_\mu(\dots)$$

- ♣ This leaves the action invariant (the total derivative vanishes after integration)

$$S \rightarrow S = \int d^4x \mathcal{L}(\varphi(x), \partial_\mu\varphi(x))$$

Euler-Lagrange equations

◆ Properties of the action

$$S = \int d^4x \mathcal{L}(\varphi(x), \partial_\mu \varphi(x))$$

- ❖ The action is invariant under Poincaré transformations
 - The Lagrangian is invariant under Poincaré transformations
- ❖ The least action principle
 - Derivation of the Euler-Lagrange equations

$$\frac{\partial \mathcal{L}}{\partial \varphi} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \varphi)} = 0$$

- ★ Obtained from the minimization of the action
- ★ The Euler-Lagrange equations describe the dynamics of the system
- ★ They include the laws of nature

Euler-Lagrange equations for electromagnetism

- ◆ The (four-vector) electromagnetic potential and current are

$$A^\mu(x) = \left(V(t, \mathbf{x}), \mathbf{A}(t, \mathbf{x}) \right)$$

$$j^\mu(x) = \left(\rho(t, \mathbf{x}), \mathbf{j}(t, \mathbf{x}) \right)$$

- ◆ The corresponding Lagrangian density reads

$$\mathcal{L} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} - A_\mu j^\mu \quad \text{with} \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu = \begin{pmatrix} 0 & -E^1 & -E^2 & -E^3 \\ E^1 & 0 & -B^3 & B^2 \\ E^2 & B^3 & 0 & -B^1 \\ E^3 & -B^2 & B^1 & 0 \end{pmatrix}$$

- ◆ The Euler-Lagrange equations induce two of the Maxwell's equations

$$\frac{\partial \mathcal{L}}{\partial A_\mu} - \partial_\nu \frac{\partial \mathcal{L}}{\partial (\partial_\nu A_\mu)} = 0 \rightsquigarrow \partial_\mu F^{\mu\nu} = j^\nu \rightsquigarrow \begin{cases} \nabla \cdot \mathbf{E} & = \rho \\ \nabla \times \mathbf{B} & = \mathbf{j} + \frac{\partial \mathbf{E}}{\partial t} \end{cases}$$

- ◆ Remark: the Jacobi identities imply the two other Maxwell's equations

$$\partial_\mu F_{\nu\rho} + \partial_\nu F_{\rho\mu} + \partial_\rho F_{\mu\nu} = 0 \rightsquigarrow \begin{cases} \nabla \cdot \mathbf{B} & = 0 \\ \nabla \times \mathbf{E} & = -\frac{\partial \mathbf{B}}{\partial t} \end{cases}$$

Applications

◆ We will construct Lagrangians for the different considered spin states

♣ Scalars: Higgs bosons, supersymmetric sfermions, *etc.*

♣ Vectors: force carriers

♣ Fermions: matter particles

◆ We will determine the what are the fields and their properties

◆ Some reminders from quantum mechanics are necessary

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Goals of quantum mechanics

- ◆ Explain or predict the evolution of a system over time
- ◆ Three necessary ingredients
 - ♣ A definition of the **state** of a physical system
 - ♣ How undertaken **measurements** should be interpreted
 - ♣ How the system **evolves** with time

Theoretical physics in a nutshell

- ◆ In classical mechanics:
 - ♣ Provide the position and velocity of all constituent of the system
 - ♣ The precision of any measurement is bounded by the experimental apparatus
 - ♣ The evolution of the system stems from Newton's law

**Classical physics is deterministic.
This is different in the quantum world**

The postulates of quantum mechanics

◆ Definition of the state of a system containing a single particle

- ❖ A system is characterized by a **wave function** $\psi(\mathbf{r}, t)$
 - ★ $\psi(\mathbf{r}, t)$ is a complex function of norm 1
 - ★ $\rho(\mathbf{r}, t) = |\psi(\mathbf{r}, t)|^2 \succ$ probability density that the particle is at \mathbf{r} at a time t

◆ Measurements

- ❖ A measurable physical quantity is described by an **observable** \mathcal{A}
- ❖ The results of a measurement has to be one of the **eigenvalues** a of \mathcal{A}
- ❖ The probability to get a as a result is given by

$$\mathcal{P}(a, t) = |\langle u_a | \Psi(t) \rangle|^2 = \left| \int u_a^*(\mathbf{r}) \Psi(\mathbf{r}, t) d\mathbf{r} \right|^2$$

where u_a is the eigenvector associated with a

- ❖ After the measurement, the wave function is **reduced** to u_a

◆ Evolution

- ❖ The evolution of the system is driven by the **Schrödinger equation**

$$i\hbar \frac{\partial \Psi(\mathbf{r}, t)}{\partial t} = H \Psi(\mathbf{r}, t)$$

where H is the observable associated with the system energy

Building Hamiltonians

◆ The complicated task consists in constructing realistic Hamiltonians H

♣ Partial derivation from classical mechanics

- ★ Classical mechanics is a limit of quantum mechanics
- ★ Quantum effects without any classical counterparts cannot be obtained (e.g. spin)

◆ The correspondence principle

♣ Physical quantities are replaced by operators

$$\mathbf{p} \rightarrow -i\hbar\nabla, \quad E \rightarrow i\hbar\frac{\partial}{\partial t} \quad \text{and} \quad \mathbf{r} \rightarrow \mathbf{r}$$

♣ Operators do not necessarily commute

- ★ Classical expressions must be symmetrized

$$[x_j, p_k] = i\hbar\delta_{jk} \quad \Rightarrow \quad \mathbf{r} \cdot \mathbf{p} \rightarrow \frac{1}{2}[\mathbf{r} \cdot \mathbf{p} + \mathbf{p} \cdot \mathbf{r}] \rightarrow -\frac{1}{2}i\hbar(\mathbf{r} \cdot \nabla + \nabla \cdot \mathbf{r})$$

◆ Example: particle of mass m in a potential $V(\mathbf{r}, t)$

$$i\hbar\frac{\partial\Psi(\mathbf{r}, t)}{\partial t} = \left(-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}, t)\right)\Psi(\mathbf{r}, t) \quad \text{as} \quad H = \frac{p^2}{2m} + V(\mathbf{r}, t)$$

Time-independent potentials

◆ Schrödinger equation can (often) be solved with the Fourier method

$$i\hbar \frac{\partial \Psi(\mathbf{r}, t)}{\partial t} = \left(-\frac{\hbar^2}{2m} \Delta + V(\mathbf{r}) \right) \Psi(\mathbf{r}, t) \quad \text{with} \quad \Psi(\mathbf{r}, t) = \chi(t) \psi(\mathbf{r})$$

❖ One gets two equations for χ and ψ

$$i\hbar \frac{1}{\chi(t)} \frac{d\chi(t)}{dt} = \frac{1}{\psi(\mathbf{r})} \left(-\frac{\hbar^2}{2m} \Delta + V(\mathbf{r}) \right) \psi(\mathbf{r}) = E = \text{cst}$$

❖ The temporal equation is easy to solve

$$\Psi(\mathbf{r}, t) = \psi(\mathbf{r}) e^{-iEt/\hbar}$$

◆ The superposition principle

❖ Any linear combination of solutions is a solution

$$\Psi(\mathbf{r}, t) = \sum_n E_n \psi_n(\mathbf{r}) e^{-iE_n t/\hbar}$$

Probability density and current

◆ The Schrödinger equation leads to the quantization of the energy levels

- ♣ The energy E can only take well-defined values E_n

◆ The superposition principle leads to interesting phenomena

- ♣ The probability density includes interferences

$$\rho(\mathbf{r}, t) = |\Psi(\mathbf{r}, t)|^2 \neq \sum_n \rho_n(\mathbf{r}, t) = \sum_n |\Psi_n(\mathbf{r}, t)|^2$$

- ♣ Verified in many experiments

★ The wave function is not material and corresponds to a probability density

◆ As in fluid mechanics: if a density varies with time, then currents appear

- ♣ Example in the free particle case:

$$\begin{aligned} \frac{\partial}{\partial t} \rho(\mathbf{r}, t) &= -\frac{1}{i\hbar} [H\Psi(\mathbf{r}, t)]^* \Psi(\mathbf{r}, t) + \frac{1}{i\hbar} \Psi^*(\mathbf{r}, t) [H\Psi(\mathbf{r}, t)] \\ &= -\frac{\hbar}{2im} \nabla \cdot \left(\Psi^*(\mathbf{r}, t) \nabla \Psi(\mathbf{r}, t) - \nabla \Psi^*(\mathbf{r}, t) \Psi(\mathbf{r}, t) \right) \end{aligned}$$

$$\frac{\partial}{\partial t} \rho(\mathbf{r}, t) + \nabla \cdot \mathbf{J}(\mathbf{r}, t) = 0$$

Free states

◆ Stationary solutions of the Schrödinger equation

- ❖ Some solutions cannot be normalized (e.g. free states) (the wave function does not vanish at infinity)
- ❖ They can however be used to construct physical wave packets

◆ 1D example: a non-confining potential $V(x)$ (independent of the time)

$$V(x) \xrightarrow{x \rightarrow \pm\infty} V_{\pm} < \infty$$

◆ There is a continuous part to the energy spectrum

- ❖ The corresponding stationary solutions of the Schrödinger equation satisfy

$$H\psi_k(x) = \frac{\hbar^2 k^2}{2m} \psi_k(x) = \hbar\omega(k) \psi_k(x)$$

- ❖ Their asymptotic behavior reads

$$\psi_k(x) \xrightarrow{x \rightarrow -\infty} \frac{1}{\sqrt{2\pi}} e^{ikx}$$

A superposition of such states could yield physical states

One-dimensional wave packets

◆ Superposition Ψ of free states

$$\Psi(x, t) = \int_{-\infty}^{+\infty} g(k) \psi_k(x) e^{-i\omega(k)t} dk \quad \text{where} \quad H\psi_k(x) = \frac{\hbar^2 k^2}{2m} \psi_k(x)$$

the energy $E_k = \hbar\omega$

- ♣ The physical context in which the system is prepared (at $t=0$) determines $g(k)$

$$g(k) = \int_{-\infty}^{+\infty} \psi_k^*(x) \Psi(x, 0) dx$$

- ♣ One can show that Ψ satisfies the Schrödinger equation
- ♣ If $g(k)$ is square-integrable, Ψ is square-integrable and thus physical

◆ Free wave packets

- ♣ The solutions are plane waves

$$\Psi(x, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} g(k) e^{-i[\omega(k)t - kx]} dk \quad \text{as} \quad -i\hbar \frac{d}{dx} \psi_k(x) = \hbar k \psi_k(x)$$

the momentum p

Three-dimensional wave packets

◆ The 3D generalization is straightforward

$$\Psi(\mathbf{r}, t) = \frac{1}{\sqrt{8\pi^3}} \int d\mathbf{k} g(\mathbf{k}) e^{-i[\omega(\mathbf{k})t - \mathbf{k} \cdot \mathbf{r}]} \quad \text{where} \quad E(\mathbf{k}) = \frac{\hbar^2 k^2}{2m} \stackrel{=\hbar\omega}{=} \quad \text{and} \quad \mathbf{p} = \hbar\mathbf{k}$$

- ♣ The physical context in which the system is prepared (at $t=0$) determines $g(\mathbf{k})$
- ♣ The function $g(\mathbf{k}) \equiv$ coordinates in a continuous basis of exponentials

◆ Second quantization: the fields will get quantized

- ♣ Inclusion of harmonic and fermionic oscillators

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Scalar fields (spin 0)

Free scalar fields

◆ Definition

- ❖ (0,0) representation of the Lorentz algebra (spin $S=0$)
- ❖ Invariant under a Lorentz transformation

$$\varphi(x) \rightarrow \varphi'(x') = \varphi(x)$$

Examples: Higgses, squarks, etc.

◆ Wave equation: the Klein-Gordon equation

- ❖ The relativistic version of the correspondance principle

$$\mathbf{p} \rightarrow -i\hbar\nabla \quad \text{and} \quad E \rightarrow i\hbar\frac{\partial}{\partial t} \quad \Rightarrow \quad p_\mu \rightarrow i\partial_\mu$$

- ❖ Application of the correspondance principle to the definition of the energy

$$E^2 = \mathbf{p}^2 + m^2 \Leftrightarrow p_\mu p^\mu - m^2 = 0 \Leftrightarrow (\square + m^2)\varphi(x) = 0$$

The Hamiltonian H

$$H^2\varphi(x) = E^2\varphi(x)$$

- ❖ Euler-Lagrange: the Klein-Gordon Lagrangian

$$\mathcal{L}_{\text{KG}} = (\partial^\mu\varphi)^\dagger(\partial_\mu\varphi) - m^2\varphi^\dagger\varphi$$

Solution of the Klein-Gordon equation

◆ Solutions are trivial: plane waves

$$\left(\square + m^2\right)\varphi(x) = 0 \quad \Leftrightarrow \quad \left(\frac{\partial^2}{\partial t^2} - \Delta + m^2\right)\varphi(x) = 0$$

$$\Rightarrow \varphi(x) = N e^{-i\mathbf{p}\cdot\mathbf{x}} = N e^{-i(Et - \mathbf{p}\cdot\mathbf{r})}$$

- ♣ One degree of freedom: scalar field of mass m , momentum \mathbf{p} and energy E

◆ Problems

- ♣ Inserting the solution in the KG equation: $E = \pm\sqrt{\mathbf{p}^2 + m^2}$
- ♣ The energy can be **negative**
- ♣ We can calculate the probability density ρ : **not positively-defined**

$$\frac{\partial}{\partial t} \left[\underbrace{i \left(\varphi^\dagger \frac{\partial \varphi}{\partial t} - \varphi \frac{\partial \varphi^\dagger}{\partial t} \right)}_{\rho} \right] + \nabla \cdot \left[\underbrace{-i \left(\varphi^\dagger \nabla \varphi - \varphi \nabla \varphi^\dagger \right)}_{\mathbf{j}} \right] = 0$$

- ♣ We lose the notion of a probability density

Particles and antiparticles

◆ Solutions: antiparticles (particles going backward in time)

♣ Second quantization

$$\varphi(x) = \int \frac{d\mathbf{p}}{(2\pi)^3} \frac{1}{\sqrt{2\omega}} \left[\underbrace{a_{\mathbf{p}} e^{-ip \cdot x}}_{\substack{\text{Particle} \\ \text{annihilation}}} + \underbrace{b_{\mathbf{p}}^\dagger e^{ip \cdot x}}_{\substack{\text{Antiparticle} \\ \text{creation}}} \right] \quad \text{with} \quad \omega = \sqrt{m^2 + \mathbf{p}^2}$$

◆ The Stückelberg and Feynman interpretation

♣ $E t = (-E) (-t)$

- ★ A particle with negative energy going backwards in time
- ★ An antiparticle with positive energy going forward in time

◆ The probability density can be seen as a charge density

$$\rho(x) = iq \left(\varphi^\dagger \frac{\partial \varphi}{\partial t} - \varphi \frac{\partial \varphi^\dagger}{\partial t} \right)$$

$$\mathbf{j}(x) = -iq \left(\varphi^\dagger \nabla \varphi - \varphi \nabla \varphi^\dagger \right)$$

No need for being
positive definite

Propagators

◆ Definition

- ❖ A propagator consists in an amplitude
 - ★ Associated with the propagation of a particle (or antiparticle) from x to y
 - ★ Corresponds to the destruction of the (anti)particle in x and creation in y

◆ Feynman propagator

- ❖ A particle cannot be recreated before it has been destroyed

$$G(x - y) = \theta(x^0 - y^0) \langle 0 | \varphi(x) \varphi^\dagger(y) | 0 \rangle + \theta(y^0 - x^0) \langle 0 | \varphi^\dagger(y) \varphi(x) | 0 \rangle$$

Particle destroyed in x and created in y
Antiparticle destroyed in y and created in x

- ❖ From the field definition and the properties of the annihilation/creation operators

$$\begin{aligned}
 G(x - y) &= \int \frac{d\mathbf{p}}{(2\pi)^3} \frac{1}{\sqrt{2\omega}} \left[\theta(x^0 - y^0) e^{-ip \cdot (x-y)} + \theta(y^0 - x^0) e^{ip \cdot (x-y)} \right] \\
 &= \int \frac{d^4 p}{(2\pi)^4} \frac{1}{p^2 - m^2 + i\epsilon} e^{-ip \cdot (x-y)}
 \end{aligned}$$

Feynman rules for scalar fields

◆ Calculations involve complicated integrals

- ❖ We can work directly in momentum space
- ❖ Using **Feynman rules** to simplify the derivation of any scattering amplitude
- ❖ Integration performed as the very last step

◆ External scalars

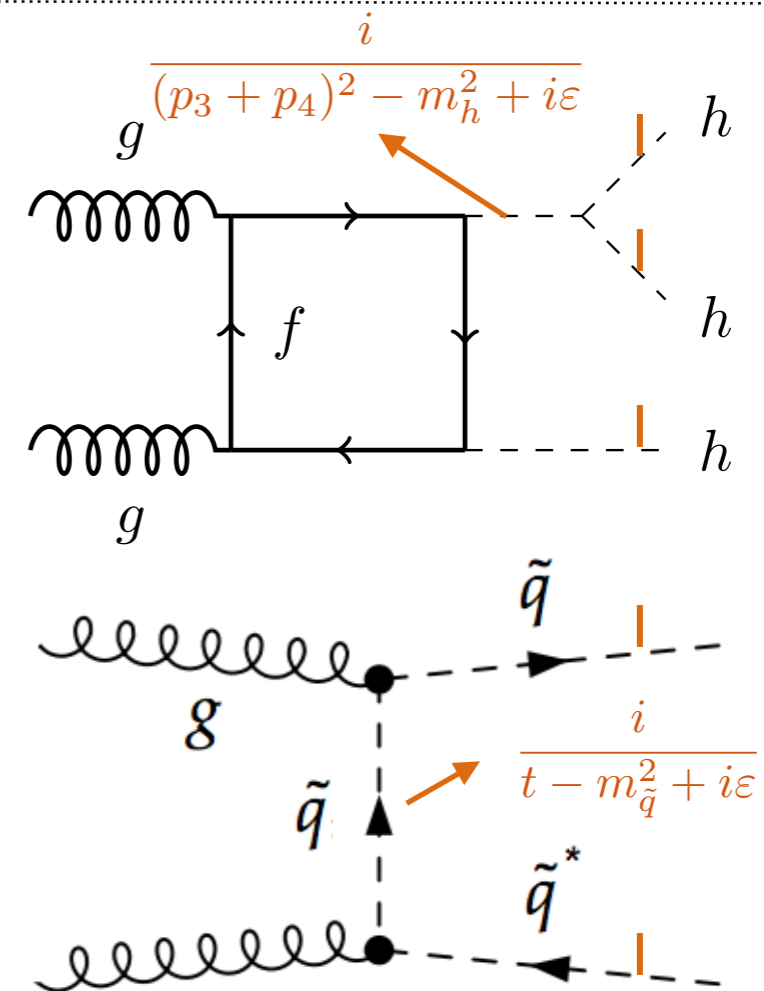
- ❖ Feynman rules derived from the field expression

$$\varphi(x) = \int \frac{d\mathbf{p}}{(2\pi)^3} \frac{1}{\sqrt{2\omega}} \left[\underbrace{a_{\mathbf{p}} e^{-ip \cdot x}}_{\text{Coefficient} = 1} + \underbrace{b_{\mathbf{p}}^\dagger e^{ip \cdot x}}_{\text{Coefficient} = 1} \right]$$

◆ Propagators

- ❖ The Fourier transform gives the Feynman rule

$$G(x - y) = \int \frac{d^4 p}{(2\pi)^4} \underbrace{\frac{1}{p^2 - m^2 + i\varepsilon}}_{\frac{i}{p^2 - m^2 + i\varepsilon}} e^{-ip \cdot (x - y)}$$



Fermions (spin 1/2)

Towards the Dirac equation

◆ The Klein-Gordon introduced several 'problems'

- ❖ Solutions with a negative energy
- ❖ Not positively-defined probability density

◆ Dirac tried to solve these issues

- ❖ Removal of the second-order time derivative

$$\left(i \frac{\partial}{\partial t} - H_D \right) \Psi(x) = 0$$

- ❖ Imposing Lorentz invariance and that the energy satisfies the definition of Einstein

$$\left(i \frac{\partial}{\partial t} + i \alpha \cdot \nabla - \beta m \right) \Psi(x) = 0 \quad \text{with} \quad \{ \alpha_i, \alpha_j \} = 2 \delta_{ij} \quad , \quad \{ \alpha_i, \beta \} = 0 \quad , \quad \beta^2 = 1$$

- ❖ H_D is Hermitian \Rightarrow the α and β matrices are traceless and with ± 1 eigenvalues

◆ Lowest dimensional solution: the α and β matrices are 4x4 matrices

- ❖ The Dirac matrices

The Dirac equation

◆ The Dirac equation reads

$$\left(i\gamma^\mu \partial_\mu - m\right)\Psi(x) = 0$$

◆ The Dirac matrices are defined (in the chiral representation) by

$$\gamma^\mu = \begin{pmatrix} 0 & \sigma^\mu \\ \bar{\sigma}^\mu & 0 \end{pmatrix} \quad \text{with} \quad \begin{aligned} \sigma^0 &= \bar{\sigma}^0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & \sigma^1 &= -\bar{\sigma}^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ \sigma^2 &= -\bar{\sigma}^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} & \sigma^3 &= -\bar{\sigma}^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \end{aligned}$$

♣ They satisfy the algebra

$$\{\gamma^\mu, \gamma^\nu\} = \gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2 \eta^{\mu\nu}$$

The function Ψ is a four-dimensional object. But what does it represent?

The vector representation of the Lorentz algebra

◆ Back to the Lorentz algebra

$$\left[J^{\mu\nu}, J^{\rho\sigma} \right] = -i \left(\eta^{\nu\sigma} J^{\rho\mu} - \eta^{\mu\sigma} J^{\rho\nu} + \eta^{\nu\rho} J^{\mu\sigma} - \eta^{\mu\rho} J^{\nu\sigma} \right)$$

★ Rotations: $J^{ij} = J^k$

★ Boosts: $J^{0j} = K_j$

♣ Act on four vectors

♣ The generators form a set of 6 independent 4x4 matrices given by

$$\left(J^{\mu\nu} \right)^\rho{}_\sigma = -i \left(\eta^{\rho\mu} \delta^\nu{}_\sigma - \eta^{\rho\nu} \delta^\mu{}_\sigma \right) \quad \text{Basis for the antisymmetric matrices}$$

◆ Finite Lorentz transformations G (reminder: $G = \exp[a^i g_i]$)

$$\Lambda_{\left(\frac{1}{2}, \frac{1}{2}\right)} = \exp \left[\frac{i}{2} \omega_{\mu\nu} J^{\mu\nu} \right] \quad \omega \text{ are real parameters}$$

♣ Rotation of angle α around the z axis

$$\alpha = \omega_{12} = -\omega_{21}$$

$$R(\alpha) = \exp \left[i\alpha J^{12} \right] = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \alpha & \sin \alpha & 0 \\ 0 & -\sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

♣ Boost of velocity $v = -\tanh \varphi$

$$\varphi = \omega_{01} = -\omega_{10}$$

$$B(\varphi) = \exp \left[i\varphi J^{01} \right] = \begin{pmatrix} \cosh \varphi & \sinh \varphi & 0 & 0 \\ \sinh \varphi & \cosh \varphi & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

The spinor representations of the Lorentz algebra

◆ We can construct two sets of 6 independent 2x2 matrices

$$(\sigma^{\mu\nu})_{\alpha}{}^{\beta} = -\frac{i}{4} \left(\sigma^{\mu} \bar{\sigma}^{\nu} - \sigma^{\nu} \bar{\sigma}^{\mu} \right)_{\alpha}{}^{\beta} \quad (\bar{\sigma}^{\mu\nu})^{\dot{\alpha}}{}_{\dot{\beta}} = -\frac{i}{4} \left(\bar{\sigma}^{\mu} \sigma^{\nu} - \bar{\sigma}^{\nu} \sigma^{\mu} \right)^{\dot{\alpha}}{}_{\dot{\beta}}$$

- ♣ Act on two-component objects: left-handed and right-handed spinors
- ♣ Those sets of matrix satisfy the Lorentz algebra

$$\begin{aligned} \left[\sigma^{\mu\nu}, \sigma^{\rho\sigma} \right] &= -i \left(\eta^{\nu\sigma} \sigma^{\rho\mu} - \eta^{\mu\sigma} \sigma^{\rho\nu} + \eta^{\nu\rho} \sigma^{\mu\sigma} - \eta^{\mu\rho} \sigma^{\nu\sigma} \right) \\ \left[\bar{\sigma}^{\mu\nu}, \bar{\sigma}^{\rho\sigma} \right] &= -i \left(\eta^{\nu\sigma} \bar{\sigma}^{\rho\mu} - \eta^{\mu\sigma} \bar{\sigma}^{\rho\nu} + \eta^{\nu\rho} \bar{\sigma}^{\mu\sigma} - \eta^{\mu\rho} \bar{\sigma}^{\nu\sigma} \right) \end{aligned}$$

◆ Finite Lorentz transformations

$$\Lambda_{(\frac{1}{2}, 0)} = \exp \left[\frac{i}{2} \omega_{\mu\nu} \sigma^{\mu\nu} \right] \quad \text{and} \quad \Lambda_{(0, \frac{1}{2})} = \exp \left[\frac{i}{2} \omega_{\mu\nu} \bar{\sigma}^{\mu\nu} \right] \quad \omega \text{ are real parameters}$$

◆ Complex conjugation maps left-handed and right-handed spinors

Dirac and Majorana spinors

- ◆ Four-component Dirac spinors are constructed from two-component ones

$$\psi_D = \begin{pmatrix} \psi_\alpha \\ \bar{\chi}^{\dot{\alpha}} \end{pmatrix}$$

Left-handed component
Right-handed component

Majorana spinor: $\psi = \chi$
(the left and right-handed components are conjugate)

- ◆ We can construct 6 independent 4x4 matrices acting on spin space

$$\gamma^{\mu\nu} = -\frac{i}{4} [\gamma^\mu, \gamma^\nu] = \begin{pmatrix} \sigma^{\mu\nu} & 0 \\ 0 & \bar{\sigma}^{\mu\nu} \end{pmatrix}$$

- ♣ The non-zero upper element act on the left-handed spinor ψ_α
- ♣ The non-zero lower element act on the right-handed spinor $\bar{\chi}^{\dot{\alpha}}$

- ◆ Finite Lorentz transformations

$$\Lambda_{(\frac{1}{2},0) \oplus (0,\frac{1}{2})} = \exp \left[\frac{i}{2} \omega_{\mu\nu} \gamma^{\mu\nu} \right] = \begin{pmatrix} \Lambda_{(\frac{1}{2},0)} & 0 \\ 0 & \Lambda_{(0,\frac{1}{2})} \end{pmatrix}$$

ω are real parameters

Back to the Dirac equation

◆ The Dirac equation reads

$$\left(i\gamma^\mu \partial_\mu - m\right)\Psi(x) = 0$$

◆ The Dirac equation has to be invariant under Lorentz transformations

♣ The equations of motion are Lorentz-invariant

♣ This implies that Ψ is a four-component spinor:

$$\Psi(x) \rightarrow \Lambda_{\left(\frac{1}{2},0\right)\oplus\left(0,\frac{1}{2}\right)}\Psi(x) \Rightarrow \left(i\gamma^\mu \partial'_\mu - m\right)\Psi'(x') = 0$$

◆ Euler-Lagrange equations: the Dirac Lagrangian

$$\mathcal{L}_D = \bar{\Psi}(i\gamma^\mu \partial_\mu - m)\Psi \quad \text{where} \quad \bar{\Psi} = \Psi^\dagger \gamma^0$$

◆ Conserved current

$$j^\mu = \bar{\Psi}\gamma^\mu\Psi \Rightarrow \partial_\mu j^\mu = 0$$

The notion of probability density is recovered $\rho = j^0 = |\Psi|^2 > 0$

Solution of the Dirac equation

- ◆ Each component of a Dirac spinor obeys to the Klein-Gordon equation

$$\left(i\gamma^\mu \partial_\mu - m\right)\Psi(x) = 0 \quad \Leftrightarrow \quad \Psi(x) = u(p)e^{-ip \cdot x}$$

- ♣ We must have a linear combination of plane waves

- ◆ Simplification: $p^\mu = (m, 0, 0, 0)$ with a positive energy

- ♣ The $u(p)$ spinor can be easily derived: **two linearly-independent solutions**

$$u(p) = \sqrt{m} \begin{pmatrix} \xi \\ \xi \end{pmatrix} \quad \text{with} \quad \xi = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

- ♣ **Two degrees of freedom**

- ◆ General solution obtained with a Lorentz transformation

$$u(p) = \begin{pmatrix} \sqrt{p \cdot \sigma} \xi \\ \sqrt{p \cdot \bar{\sigma}} \xi \end{pmatrix} \quad \text{with} \quad \xi = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

- ◆ Problems: there are also 2 other solutions, for a negative energy

$$\Psi(x) = v(p)e^{ip \cdot x} \quad \text{where} \quad v(p) = \begin{pmatrix} \sqrt{p \cdot \sigma} \eta \\ -\sqrt{p \cdot \bar{\sigma}} \eta \end{pmatrix} \quad \text{with} \quad \eta = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Dirac equation: interpretation

◆ The Dirac equation solves one of the issues of the Klein-Gordon equation

- ♣ The probability density interpretation is again viable
- ♣ However, antiparticles are still there (the goal of Dirac was to get rid of them)

◆ There exists one observable commuting with H_D

- ♣ The helicity operator:

$$h = \frac{1}{2} \mathbf{1}_p \cdot \begin{pmatrix} \sigma & 0 \\ 0 & \sigma \end{pmatrix}$$

★ The 2 independent particle/antiparticle solutions have $h = \pm 1/2$

The function Ψ represents a fermion
(two independent spin states)

◆ Lorentz transformations can change the helicity of massive particles

- ♣ Chirality is preferred

$$\begin{aligned} \Psi_L = P_L \Psi &= \frac{1 - \gamma_5}{2} \begin{pmatrix} \psi \\ \bar{\chi} \end{pmatrix} = \begin{pmatrix} \psi \\ 0 \end{pmatrix} \\ \Psi_R = P_R \Psi &= \frac{1 + \gamma_5}{2} \begin{pmatrix} \psi \\ \bar{\chi} \end{pmatrix} = \begin{pmatrix} 0 \\ \bar{\chi} \end{pmatrix} \end{aligned} \quad \text{with } \gamma_5 = i\gamma^0\gamma^1\gamma^2\gamma^3 \quad \left(\{ \gamma^5, \gamma^\mu \} = 0 \right)$$

Feynman rules for fermionic fields

External scalars (rules derived from the coefficients in the field expression)

$$\Psi(x) = \sum_{s=1,2} \int \frac{d\mathbf{p}}{(2\pi)^3} \frac{1}{\sqrt{2\omega}} \left[u(p, s) a_{\mathbf{p}}^s e^{-ip \cdot x} + v(p, s) b_{\mathbf{p}}^{s\dagger} e^{ip \cdot x} \right]$$

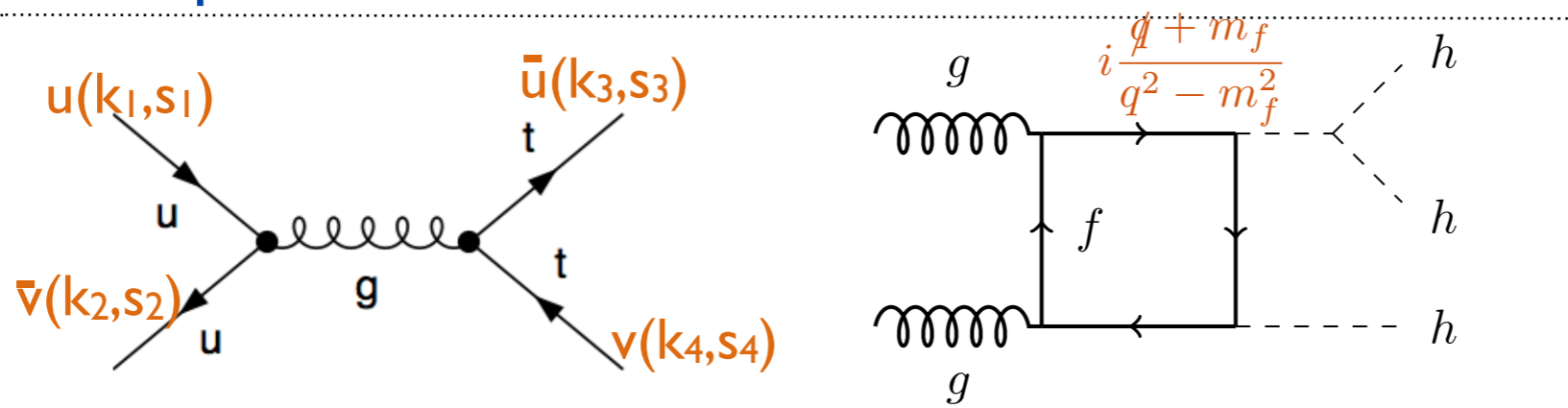
$$\bar{\Psi}(x) = \sum_{s=1,2} \int \frac{d\mathbf{p}}{(2\pi)^3} \frac{1}{\sqrt{2\omega}} \left[\bar{u}(p, s) a_{\mathbf{p}}^{s\dagger} e^{ip \cdot x} + \bar{v}(p, s) b_{\mathbf{p}}^s e^{-ip \cdot x} \right]$$

Propagators (Fourier transform of the Green function for Dirac equation)

$$(i\gamma^\mu \partial_\mu - m) G(x - y) = i\delta^{(4)}(x - y)$$

$$G(x - y) = \int \frac{d^4 p}{(2\pi)^4} i \frac{\not{p} + m}{p^2 - m^2 + i\varepsilon} e^{-ip \cdot (x - y)}$$

Examples



Vector fields (spin 1)

(Abelian) vector fields

◆ Definition

- ❖ $(1/2, 1/2)$ representation of the Lorentz algebra (spin $S=1$)
- ❖ Lorentz transformation of a vector field A

$$A^\mu(x) \rightarrow A'^\mu(x') = \left(\Lambda_{\left(\frac{1}{2}, \frac{1}{2}\right)}\right)^\mu{}_\nu A^\nu(x)$$

Examples: gauge bosons

- ★ Where Λ denotes a transformation matrix in the vector representation

◆ Maxwell's equations

- ❖ The relativistic version of Maxwell's equations is given by

$$\partial_\mu F^{\mu\nu} = j^\nu \quad \text{where} \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

- ❖ From Euler-Lagrange equations, one can derive the Maxwell Lagrangian

$$\mathcal{L}_M = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - A^\mu j_\mu$$

Could be generalized to other Abelian interactions

Solutions of (free) Maxwell's equations

◆ We can rewrite Maxwell's equations as

$$\partial_\nu \partial^\nu A^\mu - \partial^\mu \partial_\nu A^\nu = 0$$

♣ The solution can be written as a linear combination of plane waves

$$A^\mu(x) = \varepsilon^\mu e^{-ip \cdot x} \quad \text{with} \quad p^2 = 0$$

♣ Gauge choice: **Lorentz gauge**

$$\partial_\mu A^\mu(x) = 0 \quad \Leftrightarrow \quad p \cdot \varepsilon = 0$$

★ The ε quantity is left with three degrees of freedom

♣ Residual gauge freedom:

$$A^\mu \rightarrow A^\mu + \partial^\mu \chi \quad \text{with} \quad \square \chi = 0$$

★ Preserves the Lorentz gauge

★ Can be used to get rid of a degree of freedom $\chi = ia e^{-ip \cdot x}$

★ We chose a is such that $\varepsilon^0 + ap^0 = 0$

♣ The ε quantity has **two** degrees of freedom and the photon is **transverse**

Photon polarization

◆ The photon has two degrees of freedom and is transversely polarized

♣ Different possible bases for the polarization vectors

$$\varepsilon^\mu(x) = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \quad \varepsilon^\mu(y) = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

or

$$\varepsilon^\mu(\pm 1) = \frac{1}{\sqrt{2}} \left(\varepsilon(x) \pm i\varepsilon(y) \right)$$

♣ More general and complicated sets exist

Feynman rules for Abelian vector fields

◆ Second quantization: Feynman rules derived from the field expression)

$$\Psi(x) = \sum_{\lambda} \int \frac{d\mathbf{p}}{(2\pi)^3} \frac{1}{\sqrt{2\omega}} \left[\varepsilon(p, \lambda) a_{\mathbf{p}}^{\lambda} e^{-ip \cdot x} + \varepsilon^*(p, \lambda) b_{\mathbf{p}}^{s\dagger} e^{ip \cdot x} \right]$$

◆ Propagators: Fourier transform of the Green function for Maxwell's equations

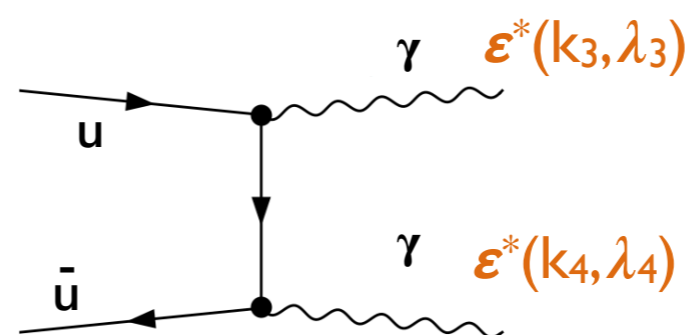
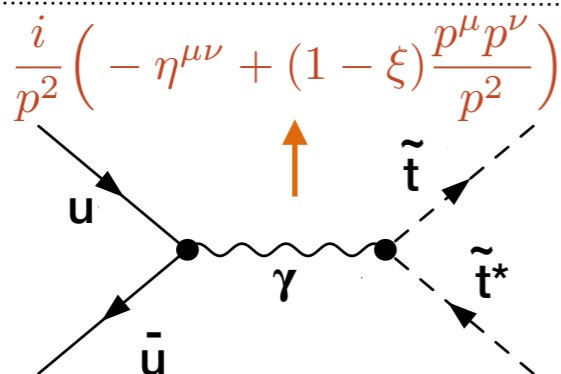
$$\left(\square \eta_{\mu\nu} - \partial_{\mu} \partial_{\nu} \right) G^{\nu\rho}(x-y) = i\delta^{(4)}(x-y) \delta_{\mu}^{\rho}$$

❖ Not calculable: need to add a gauge fixing term to the Lagrangian

$$\mathcal{L} \rightarrow \mathcal{L} - \frac{1}{2\xi} \left(\partial_{\mu} A^{\mu} \right)^2 \quad \left(= \mathcal{L} \right)$$

$$G^{\mu\nu}(x-y) = \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2} \left(-\eta^{\mu\nu} + (1-\xi) \frac{p^{\mu} p^{\nu}}{p^2} \right) e^{-ip \cdot (x-y)}$$

◆ Examples



Non-Abelian interactions: the $SU(N)$ example

◆ Maxwell's equations describe interactions based on an Abelian gauge group

◆ Many common gauge theories are based on the $SU(N)$ group

♣ The algebra is generated by N^2-1 matrices T_a with $a=1, \dots, N^2-1$.

$$[T_a, T_b] = i f_{ab}^c T_c \quad \text{where the } f_{ab}^c \text{ are the structure constants of the group}$$

◆ Commonly used representations

♣ Fundamental (and anti fundamental): $N \times N$ matrices such that

$$\text{Tr}(T_a) = 0 \quad \text{and} \quad T_a^\dagger = T_a$$

♣ Adjoint representation: $(N^2-1) \times (N^2-1)$ matrices such that

$$(T_a)_b^c = -i f_{ab}^c$$

♣ For a given representation

$$\text{Tr}(T_a T_b) = \tau_{\mathcal{R}} \delta_{ab} \quad \text{where } \tau_{\mathcal{R}} \text{ is the Dynkin index of the representation}$$

Building non-Abelian interactions

◆ Recipe (more on gauge theories below)

1. We select a gauge group $SU(N)$
2. We define a coupling constant g
3. We assign representations to the matter fields
4. The interactions are mediated by N^2-1 gauge bosons $A^\mu = A^{\mu a} T_a$

Relativistic wave equations for the gauge bosons?

◆ Generalization of the field strength (now includes a non-Abelian part)

$$\begin{aligned}
 F_{\mu\nu} &= \partial_\mu A_\nu - \partial_\nu A_\mu - ig [A_\mu, A_\nu] \\
 &= \left[\partial_\mu A_\nu^c - \partial_\nu A_\mu^c + g f_{ab}^c A_\mu^a A_\nu^b \right] T_c
 \end{aligned}$$

❖ The Lagrangian is the Yang-Mills Lagrangian (➤ Yang-Mills equations)

$$\mathcal{L}_{\text{YM}} = -\frac{1}{4\mathcal{R}} \text{Tr}(F_{\mu\nu} F^{\mu\nu})$$

◆ Similar Feynman rules as for the Abelian case (but with extra gauge indices)

Massive (Abelian) vector fields

◆ The generalization to the massive case is immediate

$$\left(\square + m^2\right) A^\mu - \partial^\mu \partial_\nu A^\nu = 0$$

❖ We cannot use the Lorentz gauge choice to reduce the number of freedoms

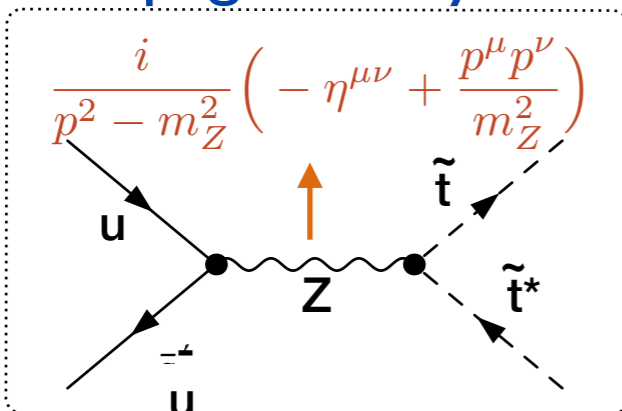
$$\partial_\mu A^\mu(x) = 0 \quad \star \text{Automatically realized}$$

❖ The ε quantity has **three** degrees of freedom (**transverse** and **longitudinal**)

◆ Polarization vectors

$$\varepsilon^\mu(\pm 1) = \frac{1}{\sqrt{2}} \left(\varepsilon(x) \pm i\varepsilon(y) \right) \quad \varepsilon^\mu(z) = \frac{1}{m} (|\mathbf{p}|, 0, 0, E)$$

◆ Propagator Feynman rule



Outline

1. Introduction
2. Special relativity
3. Some quantum mechanics
4. Relativistic quantum mechanics
- 5. Gauge symmetries**
6. Scattering theory
7. Summary

Gauge symmetries

Global symmetries of the Dirac Lagrangian

◆ Toy model

♣ Gauge group: $SU(N)$

♣ We assign the fundamental representation to a fermionic field Ψ

$$\Psi = \begin{pmatrix} \psi_1 \\ \vdots \\ \psi_N \end{pmatrix}, \quad \bar{\Psi} = (\bar{\psi}_1 \quad \cdots \quad \bar{\psi}_N)$$

Fundamental representation of $SU(N)$:
 N^2-1 ($N \times N$) matrices T_a

♣ Dirac Lagrangian

$$\mathcal{L}_D = \bar{\Psi}(i\gamma^\mu \partial_\mu - m)\Psi$$

◆ Global $SU(N)$ invariance

♣ We define a global $SU(N)$ transformation of parameters ω^a ($a=1, \dots, N^2-1$)

$$\Psi(x) \rightarrow \Psi'(x) = \exp \left[+ ig\omega^a T_a \right] \Psi(x) \equiv U \Psi(x)$$

$$\bar{\Psi}(x) \rightarrow \bar{\Psi}'(x) = \bar{\Psi}(x) \exp \left[- ig\omega^a T_a \right] \equiv \bar{\Psi}(x) U^\dagger$$

♣ **The Lagrangian is invariant** ($UU^\dagger = U^\dagger U = 1$)

Gauge symmetries of the Dirac Lagrangian

◆ Promotion of the global symmetry to a local one

- ♣ The transformation parameters are not constant anymore: $\omega^a \rightarrow \omega^a(\mathbf{x})$

$$\Psi(x) \rightarrow \Psi'(x) = U(x) \Psi, \quad \bar{\Psi}(x) \rightarrow \bar{\Psi}'(x) = \bar{\Psi} U^\dagger(x)$$

◆ The Dirac Lagrangian is not invariant anymore

$$\partial_\mu \Psi(x) \not\rightarrow U(x) \partial_\mu \Psi(x)$$

$$\mathcal{L} = \bar{\Psi} \left(i\gamma^\mu \partial_\mu - m \right) \Psi \not\rightarrow \mathcal{L}$$

- ♣ The invariance is lost because of
 - ★ The presence of a derivative
 - ★ The dependence on x of the transformation matrix U

◆ Idea: modification of the derivative

- ♣ Introduction of a new field with *ad hoc* transformation rules
- ♣ Recovery of the invariance

Noether procedure

Transformation of the Lagrangian under a local transformation

$$\Psi(x) \rightarrow \Psi'(x) = U(x) \Psi, \quad \bar{\Psi}(x) \rightarrow \bar{\Psi}'(x) = \bar{\Psi} U^\dagger(x)$$

$$\mathcal{L} = \bar{\Psi} \left(i\gamma^\mu \partial_\mu - m \right) \Psi \rightarrow \mathcal{L} + i\bar{\Psi} \gamma^\mu \left(ig\partial_\mu \omega^a T_a \right) \Psi \quad \text{Vector}$$

Noether procedure

- ❖ We add a vectorial field whose transformation laws compensate the extra term
- ❖ Covariantization of the derivative

$$\partial_\mu \Psi(x) \rightarrow D_\mu \Psi(x) = \left(\partial_\mu - igT_a A_\mu^a \right) \Psi(x)$$

- ❖ The gauge transformation laws are

$$\begin{aligned} \Psi(x) &\rightarrow U(x) \Psi(x) \\ \bar{\Psi}(x) &\rightarrow \bar{\Psi}(x) U^\dagger(x) \\ A_\mu(x) &\rightarrow U(x) \left(A_\mu(x) + \frac{i}{g} \partial_\mu \right) U^\dagger(x) \end{aligned}$$

 \Rightarrow

$$\begin{aligned} F^{\mu\nu}(x) &\rightarrow U(x) F^{\mu\nu}(x) U^\dagger(x) \\ D_\mu \Psi(x) &\rightarrow U(x) D_\mu \Psi(x) \end{aligned}$$

Gauge invariance of the Dirac equation

◆ Gauge transformation of the fields

$$\Psi(x) \rightarrow \Psi'(x) = U(x) \Psi, \quad \bar{\Psi}(x) \rightarrow \bar{\Psi}'(x) = \bar{\Psi} U^\dagger(x)$$

$$F^{\mu\nu}(x) \rightarrow U(x) F^{\mu\nu}(x) U^\dagger(x) \quad D_\mu \Psi(x) \rightarrow U(x) D_\mu \Psi(x)$$

◆ Gauge transformation of the Lagrangian

$$\mathcal{L} = \underbrace{-\frac{1}{4\tau_R} \text{Tr}[F_{\mu\nu} F^{\mu\nu}]}_{\text{Gauge-invariant vector boson kinetic term}} + \underbrace{\bar{\Psi} (i\gamma^\mu D_\mu - m) \Psi}_{\text{Gauge-invariant fermion kinetic term}} \rightarrow \mathcal{L}$$

◆ Remarks

- ♣ Imposing gauge invariance yields the fundamental interactions
- ♣ The vector field A_μ is the potential associated with the considered interaction

Feynman rules for the interactions

◆ The Lagrangian includes the gauge interactions of the model

$$\mathcal{L} = -\frac{1}{4\tau_R} \text{Tr} [F_{\mu\nu} F^{\mu\nu}] + \bar{\Psi} (i\gamma^\mu D_\mu - m) \Psi$$


$$\frac{1}{2} g \partial^\nu A_\mu^a f_{cb}^a [A_\mu^b A_\nu^c - A_\mu^c A_\nu^b] \quad \bar{\Psi} [ig\gamma^\mu T_a] \Psi A_\mu^a$$

❖ The derivatives act on the exponentials of the field

$$\Psi(x) = \int d^4p \left[(\dots) e^{-ip \cdot x} + (\dots) e^{+ip \cdot x} \right]$$

❖ Symmetrization if identical particles

◆ Feynman rules



$$gf_{cb}^a \left[(k_1 - k_2)^\rho \eta^{\mu\nu} + (k_2 - k_3)^\mu \eta^{\nu\rho} + (k_3 - k_1)^\nu \eta^{\rho\mu} \right]$$

$$ig T_a \gamma^\mu$$

Symmetry breaking

Necessity for breaking the gauge symmetries

◆ Particles are in general massive

- ✦ Lagrangian mass terms are in general forbidden by the gauge symmetries
- ✦ The gauge symmetry concept works well with respect to data

◆ Spontaneous symmetry breaking

- ✦ Allows for mass term generation
- ✦ Relies on the gauge symmetry concept

A global $U(1)$ toy model with a scalar field

◆ Toy model

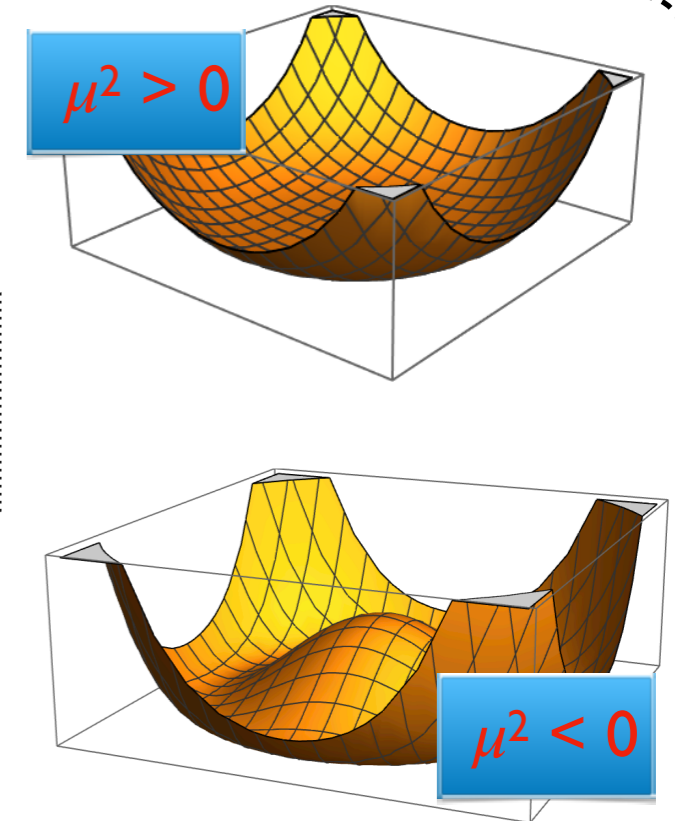
- ♣ We consider a complex scalar field φ
- ♣ The most general (renormalizable) Lagrangian is given

$$\mathcal{L} = \underbrace{\partial_\mu \varphi^\dagger \partial^\mu \varphi}_{\text{Kinetic terms}} - V(\varphi) \quad \text{with} \quad \underbrace{V(\varphi) = \mu^2 \varphi^\dagger \varphi + \lambda (\varphi^\dagger \varphi)^2}_{\text{Scalar potential}}$$

- ♣ This Lagrangian is invariant under a $U(1)$ global symmetry

$$\varphi \rightarrow e^{i\alpha} \varphi$$

- ◆ Like in classical physics, the ground state lies at the minimum of the potential
- ♣ Let us minimize the potential



Minimization of the scalar potential

◆ Potential

$$V(\varphi) = \mu^2 \varphi^\dagger \varphi + \lambda (\varphi^\dagger \varphi)^2 \quad \text{with a complex scalar field} \quad \varphi = \frac{1}{\sqrt{2}} (\varphi_1 + i\varphi_2)$$

♣ We search for the minima of the potential $\varphi = \varphi_0$

◆ The potential has to be bounded from below: $\lambda > 0$

◆ 2 cases for the bilinear term

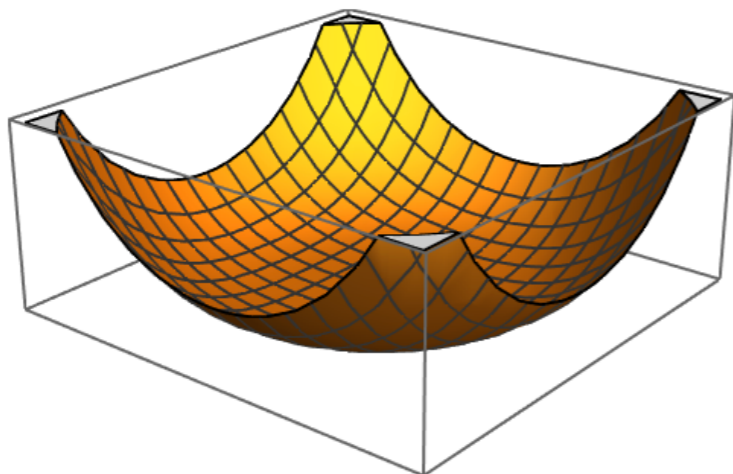
♣ $\mu^2 > 0$

★ Then $V(\varphi) \geq 0$

★ The minimum lies in $|\varphi| = 0$

★ The solution $\varphi_0 = 0$ is U(1)-invariant

$$\varphi_0 \rightarrow e^{i\alpha} \varphi_0 = 0$$



♣ $\mu^2 < 0$

★ A 'Mexican hat' potential

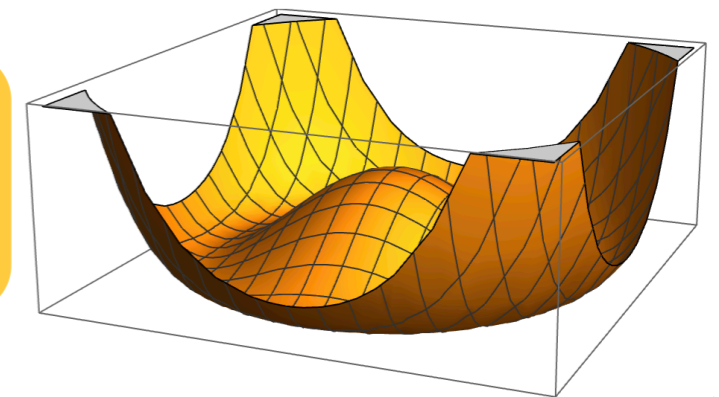
★ The minimum lies in $|\varphi| = -\mu^2/(2\lambda)$

★ One specific solution is not U(1)-invariant

$$\varphi_0 \rightarrow e^{i\alpha} \varphi_0 \neq \varphi_0$$

$$\frac{1}{\sqrt{2}} (\varphi_1, \varphi_2) \rightarrow \frac{1}{\sqrt{2}} (c_\alpha \varphi_1 + s_\alpha \varphi_2, -s_\alpha \varphi_1 + c_\alpha \varphi_2)$$

The symmetry is spontaneously broken



Interpretation (for $\mu^2 < 0$)

◆ Nature chooses a fundamental state, and we study it perturbatively

$$\varphi_0 = \langle \varphi \rangle = \frac{1}{\sqrt{2}}(v, 0) \quad \text{and} \quad \varphi = \varphi_0 + \frac{1}{\sqrt{2}}(\eta, \xi)$$

- ♣ v is the vacuum expectation value (or vev) of the scalar field
- ♣ η and ξ are real scalar fields

◆ Lagrangian

$$\mathcal{L} = \underbrace{\frac{1}{2}\partial_\mu\eta\partial^\mu\eta}_{\text{One real massless scalar}} + \underbrace{\frac{1}{2}\partial_\mu\xi\partial^\mu\xi}_{\text{One real massive scalar}} - \lambda v^2\eta^2 - \lambda\left(\frac{\eta^4}{4} + \frac{\xi^4}{4} + \frac{1}{2}\eta^2\xi^2 + v\eta^3 + v\eta\xi^2\right)$$

- ♣ Two interacting scalar fields
- ♣ The massless field is a Goldstone boson (associated with the spontaneous breaking of a continuous symmetry)

Spontaneous breaking of a gauge symmetry

◆ We consider a $U(1)_X$ gauge symmetry

- ♣ Massless gauge boson X and gauge coupling g
- ♣ We charge a set fermionic field Ψ_j under the gauge group
- ♣ We add a scalar field φ , charged under $U(1)_X$, and its associated scalar potential

◆ Kinetic terms of the Lagrangian

$$\mathcal{L} = -\frac{1}{4}X^{\mu\nu}X_{\mu\nu} + \bar{\Psi}_j i\gamma^\mu D_\mu \Psi^j + (D_\mu\varphi)^\dagger(D^\mu\varphi) + \mu^2\varphi^\dagger\varphi - \lambda(\varphi^\dagger\varphi)^2 - [\varphi\bar{\Psi}\mathbf{y}\Psi + \text{h.c.}]$$

- ♣ Line 1: kinetic and gauge interaction terms
- ♣ Mass terms are forbidden (except for vector-like fermions)
- ♣ Line 2: scalar potential and Yukawa interactions (in flavor space)

◆ We minimize the potential and shift the scalar field by its vev

Gauge boson and scalar mass terms

◆ The scalar field is shifted by its vacuum expectation value

$$\varphi_0 = \langle \varphi \rangle = \frac{1}{\sqrt{2}}(v, 0) \quad \text{and} \quad \varphi = \varphi_0 + \frac{1}{\sqrt{2}}(\eta, \xi)$$

◆ The scalar potential

$$\mathcal{L}_V = -\lambda v^2 \eta^2 - \lambda \left(\frac{\eta^4}{4} + \frac{\xi^4}{4} + \frac{1}{2} \eta^2 \xi^2 + v \eta^3 + v \eta \xi^2 \right)$$

- ♣ The η field gets massive: a Higgs bosons
- ♣ The ξ field is massless: a Goldstone mode
- ♣ We get multiscalar interactions

◆ The scalar kinetic term

$$\begin{aligned} D^\mu \varphi^\dagger D_\mu \varphi &= \left[(\partial_\mu + i g_X q_\varphi X_\mu) \varphi^\dagger \right] \left[(\partial^\mu - i g_X q_\varphi X^\mu) \varphi \right] \\ &= \frac{1}{2} \partial_\mu \eta \partial^\mu \eta + \frac{1}{2} \partial_\mu \xi \partial^\mu \xi - g_X v \partial_\mu \xi X^\mu + \frac{1}{2} g_X^2 v^2 X_\mu X^\mu + \dots \end{aligned}$$

- ♣ Mass term for the gauge boson together with its longitudinal polarization
- ♣ The Goldstone mode is absorbed

Fermionic mass terms

◆ The scalar field is shifted by its vacuum expectation value

$$\varphi_0 = \langle \varphi \rangle = \frac{1}{\sqrt{2}} (v, 0) \quad \text{and} \quad \varphi = \varphi_0 + \frac{1}{\sqrt{2}} (\eta, \xi)$$

◆ The Yukawa Lagrangian generates fermion mass terms

$$\mathcal{L}_{\text{Yuk}} = -\varphi \bar{\Psi} \mathbf{y} \Psi \rightarrow \frac{1}{\sqrt{2}} v \bar{\Psi} \mathbf{y} \Psi + \frac{1}{\sqrt{2}} (\eta + i \xi) \bar{\Psi} \mathbf{y} \Psi$$

♣ The fermions are now massive

Outline

1. Introduction
2. Special relativity
3. Some quantum mechanics
4. Relativistic quantum mechanics
5. Gauge symmetries
- 6. Scattering theory**
7. Summary

From Lagrangian to practical computations

◆ Some reminder about scattering theory

- ♣ We consider an initial state $i(t)$ at a give time t
- ♣ We are interested by the evolution a a time t' into a final state $f(t')$
- ♣ The transition is given by the corresponding matrix element of the S matrix

$$S_{fi} = \langle f(t') | i(t') \rangle = \langle f(t') | S | i(t) \rangle$$

◆ S_{fi} can be calculated perturbatively

- ♣ Connection to the path integral

$$\int d(\text{fields}) e^{i \int d^4x \mathcal{L}(x)}$$

- ♣ Perturbative expansion

$$S_{fi} = \underbrace{\delta_{fi}}_{\substack{\text{No interaction} \\ i=f}} + i \underbrace{\left[\int d^4x \mathcal{L}(x) \right]}_{\text{One interaction}}_{fi} - \frac{1}{2} \underbrace{\left[\int d^4x d^4x' T \{ \mathcal{L}(x) \mathcal{L}(x') \} \right]}_{\text{Two interactions}}_{fi} + \dots$$

$\equiv iT_{fi}$

Example: weak boson production

◆ Let us focus on the process $e^+ e^- \rightarrow Z$

♣ The relevant part of the Lagrangian is schematically given by

$$\mathcal{L}_{eeZ} = \bar{\Psi}_e i \gamma^\mu (g_L P_L + g_R P_R) Z_\mu \Psi_e$$

♣ The **initial state** reads $i = e^+ e^-$ and the **final state** $f = Z$

◆ The final state can be obtained through a single interaction (and also more)

$$S_{fi} = \delta_{fi} + i \left[\int d^4x \mathcal{L}(x) \right]_{fi} - \frac{1}{2} \left[\int d^4x d^4x' T \left\{ \mathcal{L}(x) \mathcal{L}(x') \right\} \right]_{fi} + \dots$$

♣ The leading contribution to S_{fi} is thus given by

$$i \left[\int d^4x \mathcal{L}(x) \right]_{fi} = i \int d^4x \left[\bar{\Psi}_e \gamma^\mu (g_L P_L + g_R P_R) Z_\mu \Psi^e \right]_{fi}$$

♣ Easy generalization to cases with more interactions

★ For instance: $e^+ e^- \rightarrow Z^{(*)} \rightarrow \mu^+ \mu^-$

★ Chronology matters (which interaction comes first)

★ Intermediate virtual particles are allowed

How to calculate the integrals?

Momentum conservation

◆ We consider a generic collision process ($2 \rightarrow n$)

$$i_1(p_a) + i_2(p_b) \rightarrow f_1(p_1) + \dots + f_n(p_n)$$

Initial state

n -body final state

❖ The p_k indicate the four-momenta of the different particles

◆ Reminder: relativistic wave equations yield plane waves

$$\Psi(x) = \int d^4p \left[(\dots) e^{-ip \cdot x} + (\dots) e^{+ip \cdot x} \right]$$

❖ The dots stand for annihilation and creation operators related to (anti)particles

❖ The solutions are inserted back into the S matrix $S_{fi} = \delta_{fi} + i \left[\int d^4x \mathcal{L}(x) \right]_{fi} + \dots$

❖ The integral over spacetime factorizes
(the only x -dependence lies at the level of the exponentials)

$$\int d^4x \left[e^{-ip_a \cdot x} e^{-ip_b \cdot x} \prod_j e^{-ip_j \cdot x} \right] = (2\pi)^4 \delta^{(4)} \left(p_a + p_b - \sum_j p_j \right)$$

We get energy-momentum conservation
We now need to work out momentum integrals

Matrix element, total & differential cross sections

- ◆ The matrix element is defined from the interacting part of the S matrix

$$iT_{fi} = (2\pi)^4 \delta^{(4)}\left(p_a + p_b - \sum_j p_j\right) iM_{fi}$$

- ❖ Momentum conservation is factorized

- ◆ The total rate is given after integrating over the four-momenta

$$\sigma = \frac{1}{F} \int d\text{PS}^{(n)} \overline{|M_{fi}|^2}$$

- ❖ We integrate over all final-state configurations (the phase space integral)
- ❖ We average over all initial-state configurations (the flux factor)

- ◆ Differential cross sections are trivially derived

$$\frac{d\sigma}{d\omega} = \frac{1}{F} \int d\text{PS}^{(n)} \overline{|M_{fi}|^2} \delta\left(\omega - \omega(p_a, p_b, p_1, \dots, p_n)\right)$$

- ❖ Numerical methods are usually used for the integration (multidimensional)

The phase space integral and the flux factor

◆ The phase space integration reads

$$\int d\text{PS}^{(n)} = \int (2\pi)^4 \delta^{(4)}\left(p_a + p_b - \sum_j p_j\right) \prod_j \left[\frac{d^4 p_j}{(2\pi)^4} (2\pi) \delta(p_j^2 - m_j^2) \theta(p_j^0) \right]$$

- ❖ Include momentum conservation, mass-shell conditions, positivity of the energy
- ❖ Integrals over all final-state momentum configurations

◆ The flux factor reads

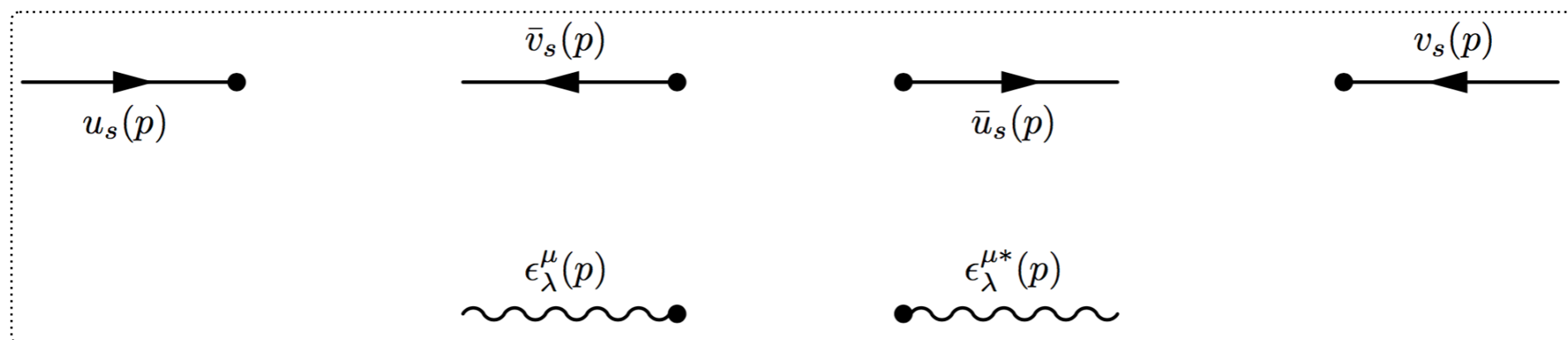
$$\frac{1}{F} = \frac{1}{4\sqrt{(p_a \cdot p_b)^2 - m_a^2 m_b^2}}$$

- ❖ Normalizes the cross section to the initial state density per surface unit

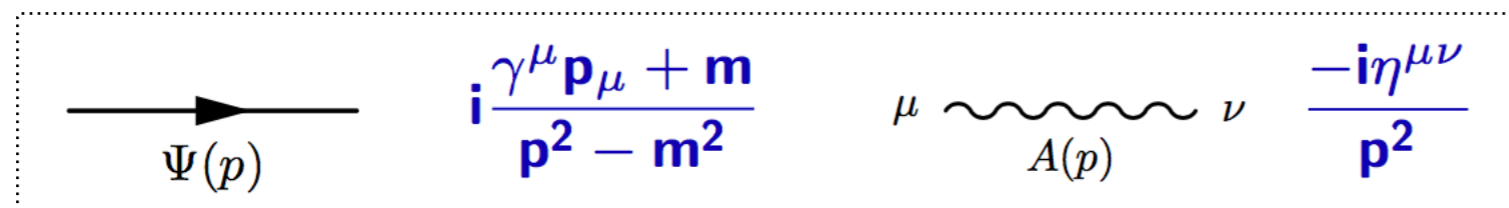
The matrix element

◆ The matrix element can be calculated from Feynman rules

- ✦ External particles: spinors, polarization vectors, etc.



- ✦ Internal particles: propagators (gauge dependent for the vectors)

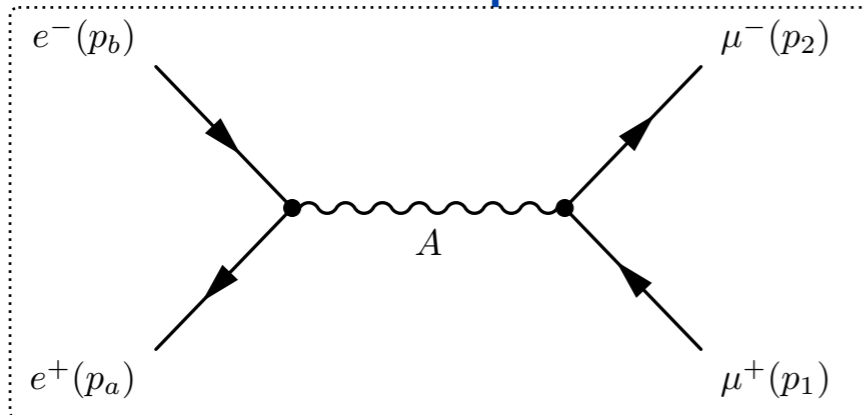


- ✦ Vertices: Feynman rules derived from the Lagrangian

◆ Once the process is fixed, one draw all possible diagrams

Example: $e^+e^- \rightarrow \mu^+\mu^-$ (I)

◆ Muon-antimuon production in electron-positron collisions



❖ One single diagram in QED (no Z-boson)

◆ The amplitude reads (after following reversely all fermion lines)

$$iM = \left[\bar{v}_{s_a}(p_a) (-ie\gamma^\mu) u_{s_b}(p_b) \right] \left[\bar{u}_{s_2}(p_2) (-ie\gamma^\nu) v_{s_1}(p_1) \right] \frac{-i\eta_{\mu\nu}}{(p_a + p_b)^2}$$

◆ The conjugate amplitude is given by

$$-iM^\dagger = \left[\bar{u}_{s_b}(p_b) (ie\gamma^\mu) v_{s_a}(p_a) \right] \left[\bar{v}_{s_1}(p_1) (ie\gamma^\nu) u_{s_2}(p_2) \right] \frac{i\eta_{\mu\nu}}{(p_a + p_b)^2}$$

◆ The (averaged) squared matrix element is then

$$|\overline{M}|^2 = \frac{1}{2} \frac{1}{2} (iM) (-iM^\dagger) \quad \star \text{ Two } 1/2 \text{ factors for the electron and positron spins}$$

Example: $e^+e^- \rightarrow \mu^+\mu^-$ (2)

◆ The (averaged) squared matrix element is then

$$\begin{aligned} \overline{|M|^2} &= \frac{1}{2} \frac{1}{2} (iM) (-iM^\dagger) \\ &= \frac{e^4}{4(p_a + p_b)^4} \text{Tr} \left[\gamma^\mu (\not{p}_b + m_e) \gamma^\rho (\not{p}_a - m_e) \right] \text{Tr} \left[\gamma_\mu (\not{p}_1 - m_\mu) \gamma_\rho (\not{p}_2 + m_\mu) \right] \end{aligned}$$

- ♣ We have performed a sum over all particle spins
- ♣ Dirac and Maxwell equations allow to derive (simplifications in the calculations)

$$\begin{aligned} \sum_s u_s(p) \bar{u}_s(p) &= \not{p} + m \quad \text{and} \quad \sum_s v_s(p) \bar{v}_s(p) = \not{p} - m \\ \sum_\lambda \varepsilon_\lambda^\mu(p) \varepsilon_\lambda^{\nu*}(p) &= -\eta^{\mu\nu} \end{aligned}$$

◆ Properties of the Dirac matrices and Mandelstam variables

$$\overline{|M|^2} = \frac{8e^4}{(p_a + p_b)^4} \left[(p_b \cdot p_1)(p_a \cdot p_2) + (p_b \cdot p_2)(p_a \cdot p_1) \right] = \frac{2e^4}{s^2} [t^2 + u^2]$$

with $s = (p_a + p_b)^2 = (p_1 + p_2)^2$, $t = (p_a - p_1)^2 = (p_b - p_2)^2$
 $u = (p_a - p_2)^2 = (p_b - p_1)^2$

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Summary

◆ The stage itself

Elementary particle physics

Quantum mechanics

\hbar/S is not neglected
[S being a typical action]

Special relativity

v/c is not neglected
[v being a typical velocity]

Field theory

Particle
representations

◆ The elementary particles and their interactions are linked to symmetries

- ❖ **Poincaré invariance** \equiv particle types (scalars, fermions, vectors, ...)
- ❖ **Gauge symmetries** \equiv electromagnetism, weak and strong interactions, ...

◆ Other tools

- ❖ **Symmetry breaking** \equiv mass generation
- ❖ **Scattering theory** \equiv observables, testing a theory against data