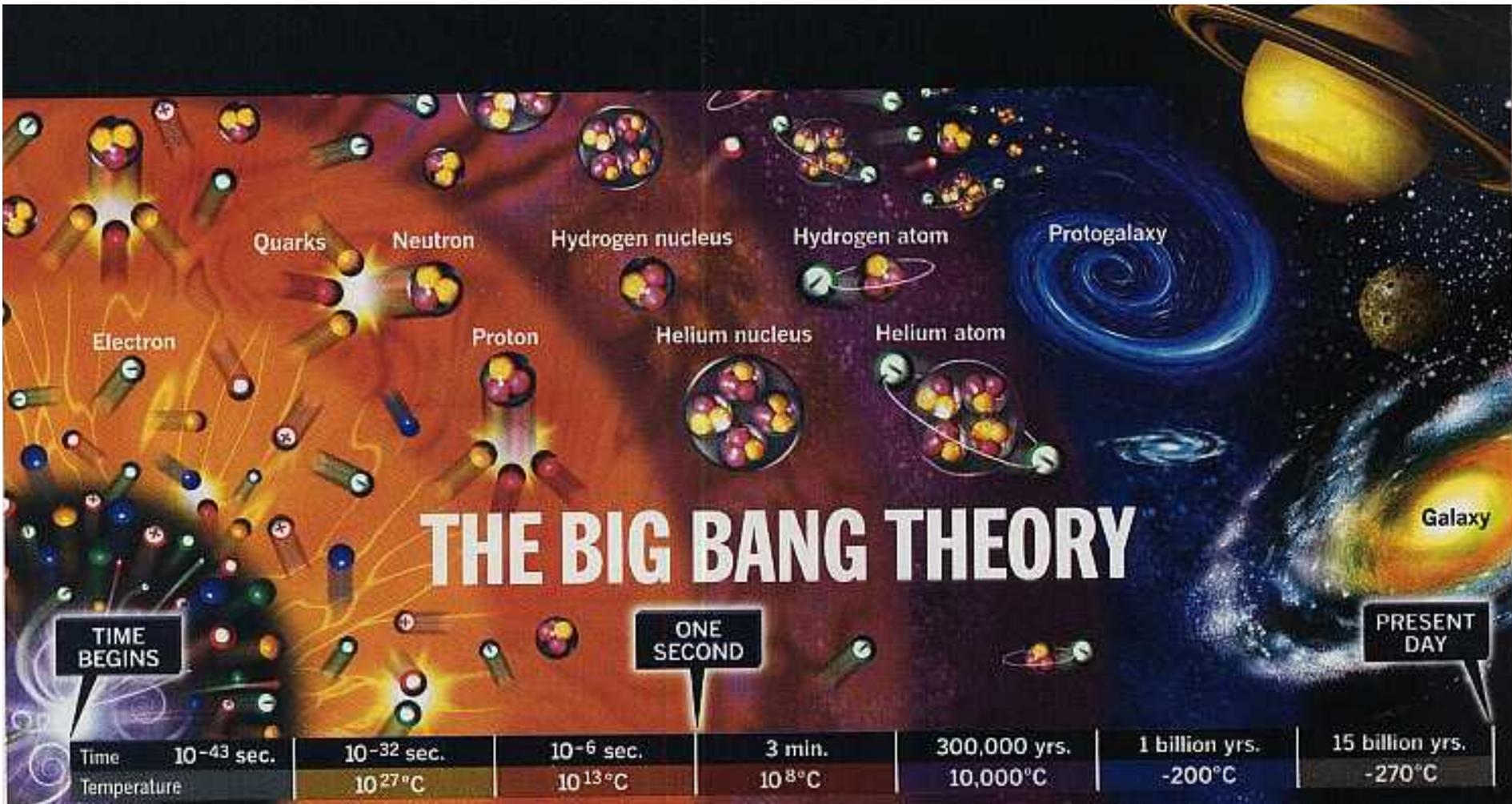


International Workshop on Next
Generation Nucleon Decay
and Neutrino Detectors (NNN17)

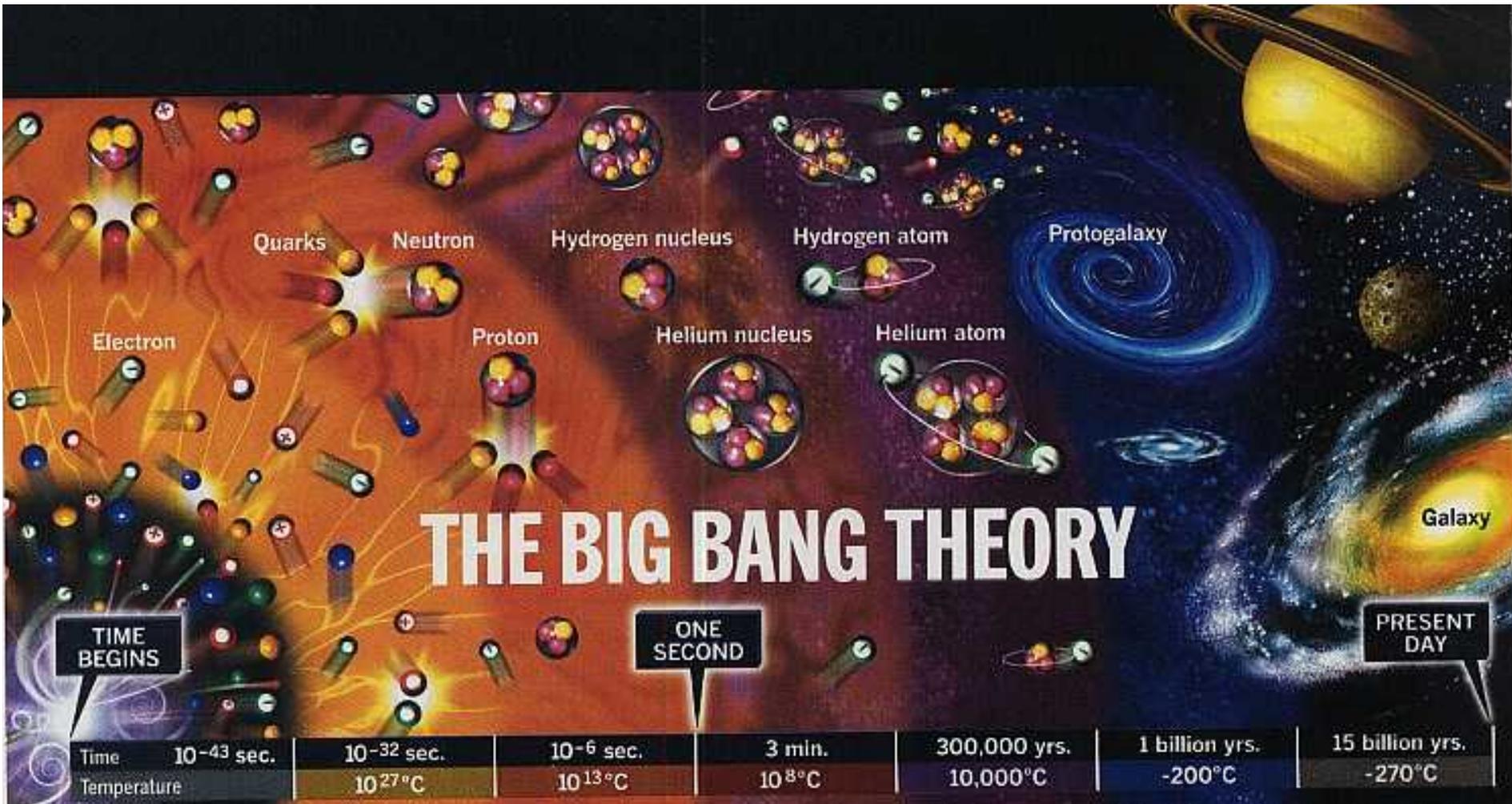
Warwick Univ., October 26th, 2017

**The PTOLEMY experiment
Towards Cosmological Relic Neutrino detection**

Alfredo G. Cocco
Istituto Nazionale di Fisica Nucleare
(Italy)



Neutral atoms
(CMB)



Neutrinos decouple
(CvB)



Neutral atoms
(CMB)

Cosmological Relic Neutrino Background (CνB)

In the Big-Bang scenario neutrinos decoupled when $T \sim \text{MeV}$

This happened about 1 s after the Universe was born
 $\Rightarrow \nu$ are the oldest “detectable” relics !!

“Thermal” spectrum $\mathbf{f}_\nu(\mathbf{p}, \mathbf{T}) = \frac{1}{e^{p/T_\nu} + 1} \quad \mathbf{p}_\nu \approx 10^{-4} \text{ eV}$

Number density today

$$\mathbf{n}_\nu = \int \frac{d^3\mathbf{p}}{(2\pi)^3} \mathbf{f}_\nu(\mathbf{p}, \mathbf{T}_\nu) = \frac{3}{11} \mathbf{n}_\gamma = \frac{6\zeta(3)}{11\pi^2} \mathbf{T}_{\text{CMB}}^3 \cong (56 \text{ cm}^{-3}) \times 6$$

Energy density today

$$\Omega_\nu h^2 = \frac{\sum_i m_i}{94.1 \text{ eV}}$$

Since the energy of relic neutrino is so small collective interactions (“coherent”, order G_F or G_F^2) are a natural choice (however, the effect is not measurable !)

but.....

is direct detection possible ?

Since the energy of relic neutrino is so small collective interactions (“coherent”, order G_F or G_F^2) are a natural choice (however, the effect is not measurable !)

but.....

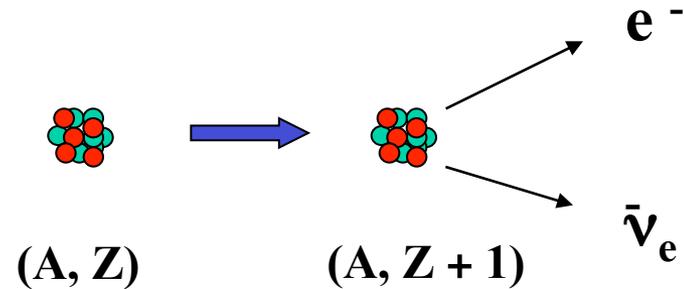
is direct detection possible ?

A.G.Cocco, M.Messina and G.Mangano JCAP 06(2007)015

Neutrino capture on β^\pm decaying nuclei

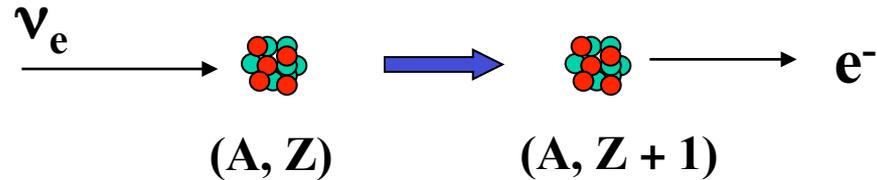
Known

Nuclear Beta decay



Possible

Neutrino Capture on a
Beta Decaying Nucleus
(NCB)



This process has no energy threshold !
Cross section is non vanishing !

NCB Cross Section Evaluation

The case of Tritium

Using the expression
$$\sigma_{\text{NCB}} v_{\nu} = \frac{G_{\beta}^2}{\pi} p_e E_e F(Z, E_e) C(E_e, p_{\nu})_{\nu}$$

we obtain
$$\sigma_{\text{NCB}}(^3\text{H}) \frac{v_{\nu}}{c} = (7.7 \pm 0.2) \times 10^{-45} \text{ cm}^2$$

where the error is due to Fermi and Gamow-Teller matrix element uncertainties

Using shape factors ratio
$$\sigma_{\text{NCB}} v_{\nu} = 2\pi^2 \ln 2 \frac{p_e E_e F(Z, E_e)}{ft_{1/2}}$$

$$\sigma_{\text{NCB}}(^3\text{H}) \frac{v_{\nu}}{c} = (7.84 \pm 0.03) \times 10^{-45} \text{ cm}^2$$

where the error is due only to uncertainties on Q_{β} and $t_{1/2}$

Relic Neutrino Detection

using β^\pm decaying nuclei

In the case of Tritium we estimate that 7.5 neutrino capture events per year are obtained using a total mass of 100 g

In case of C ν B gravitational clustering we expect a significant signal enhancement

m_ν (eV)	FD (events yr $^{-1}$)	NFW (events yr $^{-1}$)	MW (events yr $^{-1}$)
0.6	7.5	90	150
0.3	7.5	23	33
0.15	7.5	10	12

FD = Fermi-Dirac NFW= Navarro,Frenk and White
MW=Milky Way (Ringwald, Wong)

Relic Neutrino Detection

signal to background ratio

The ratio between capture (λ_ν) and beta decay rate (λ_β) is obtained using the same nuclear shape factor parametrization

$$\frac{\lambda_\nu}{\lambda_\beta} = \frac{2\pi^2 n_\nu}{\mathcal{A}}$$

In the case of Tritium (and using $n_\nu=50$) we found that

$$\lambda_\nu(^3\text{H}) = 0.66 \cdot 10^{-23} \lambda_\beta(^3\text{H})$$

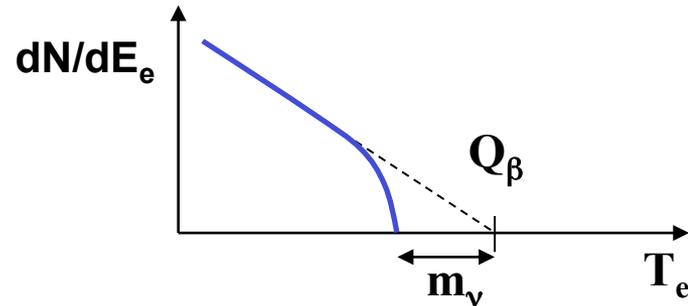
The effect of $m_\nu \neq 0$

Neutrino masses of the order of 1 eV are compatible with the present picture of our Universe

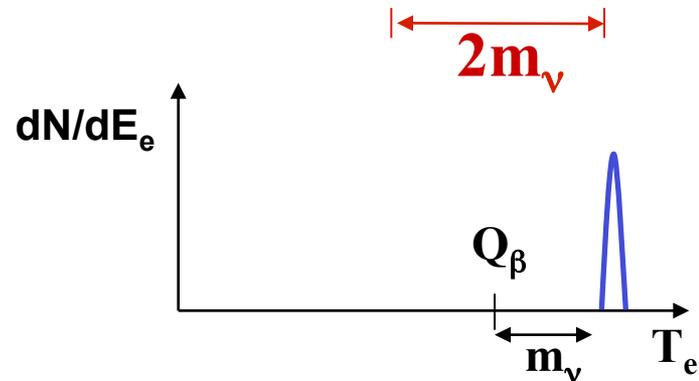
exploiting $m_\nu \neq 0$

Neutrino capture on β^\pm decaying nuclei

Nuclear Beta decay



Neutrino Capture on a Beta Decaying Nucleus

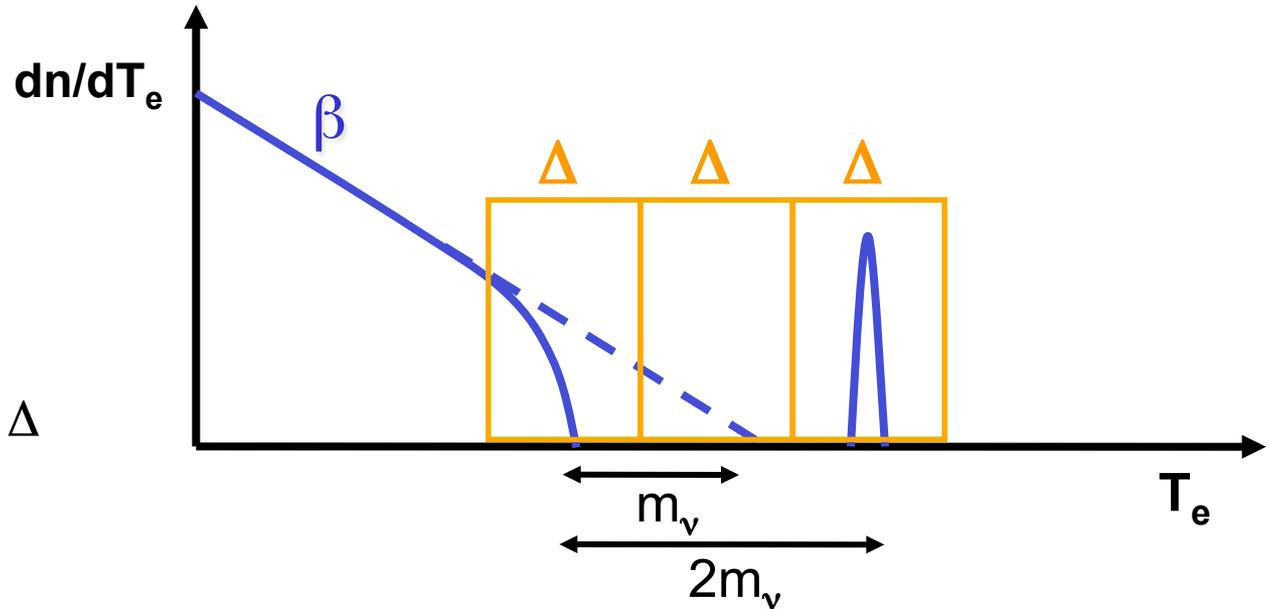


The events induced by Neutrino Capture have a unique signature: there is a gap of $2m_\nu$ (centered at Q_β) between “signal” and “background”

Relic Neutrino Detection

signal to background ratio

Observing the last energy bins of width Δ



$$\frac{S}{B} = \frac{9}{2} \zeta(3) \left(\frac{T_\nu}{\Delta} \right)^3 \frac{1}{(1 + 2m_\nu/\Delta)^{3/2}} \left[\frac{1}{\sqrt{2\pi}} \int_{\frac{2m_\nu}{\Delta} - \frac{1}{2}}^{\frac{2m_\nu}{\Delta} + \frac{1}{2}} e^{-x^2/2} dx \right]^{-1}$$

where the last term is the probability for a beta decay electron at the endpoint to be measured beyond the $2m_\nu$ gap

It works for $\Delta < m_\nu$

C ν B detection using Tritium

Signal to background ratio depends crucially on the energy resolution (Δ) at the beta decay endpoint (It works only if $\Delta < m_\nu$)

As an example, given a **neutrino mass of 0.7 eV** and an energy resolution at the beta decay endpoint of **$\Delta = 0.2$ eV** a signal to background ratio of 3 is obtained. In the case of 100 g mass target of Tritium it would take **one and a half year to observe a 5σ effect**

More details in: AGC, M.Messina and G.Mangano JCAP 06(2007)015

PTOLEMY

arXiv:1307.4738v2



P rinceton
T ritium
O bservatory for
L ight,
E arly-universe,
M assive-neutrino
Y ield

Development of a Relic Neutrino Detection Experiment at PTOLEMY:
Princeton Tritium Observatory for Light, Early-Universe, Massive-Neutrino Yield

S. Betts¹, W. R. Blanchard¹, R. H. Carnevale¹, C. Chang², C. Chen³, S. Chidzik³, L. Ciebiera¹, P. Cloessner⁴, A. Cocco⁵, A. Cohen¹, J. Dong¹, R. Klemmer³, M. Komor³, C. Gentile¹, B. Harrop³, A. Hopkins¹, N. Jarosik³, G. Mangano⁵, M. Messina⁶, B. Osherson³, Y. Raitses¹, W. Sands³, M. Schaefer¹, J. Taylor¹, C. G. Tully³, R. Woolley¹, and A. Zwicker¹

¹Princeton Plasma Physics Laboratory

²Argonne National Laboratory and University of Chicago

³Department of Physics, Princeton University

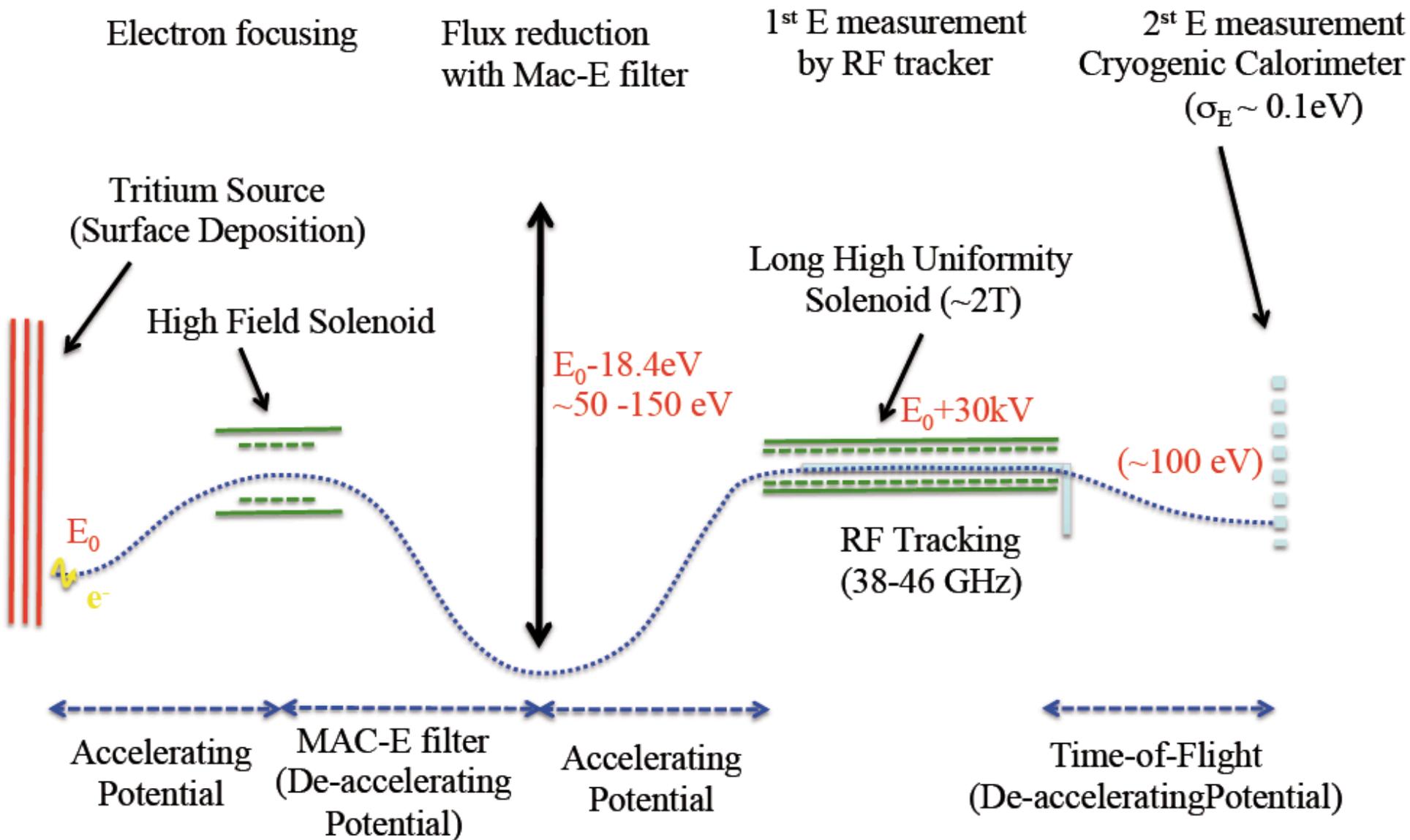
⁴Savannah River National Laboratory

⁵Istituto Nazionale di Fisica Nucleare – Sezione di Napoli

⁶Department of Physics, Columbia University

100 g T source + MAC-E filter + RF tagging + sub-eV resolution μ -cal

100 g T source + MAC-E filter + RF tagging + sub-eV resolution μ -cal



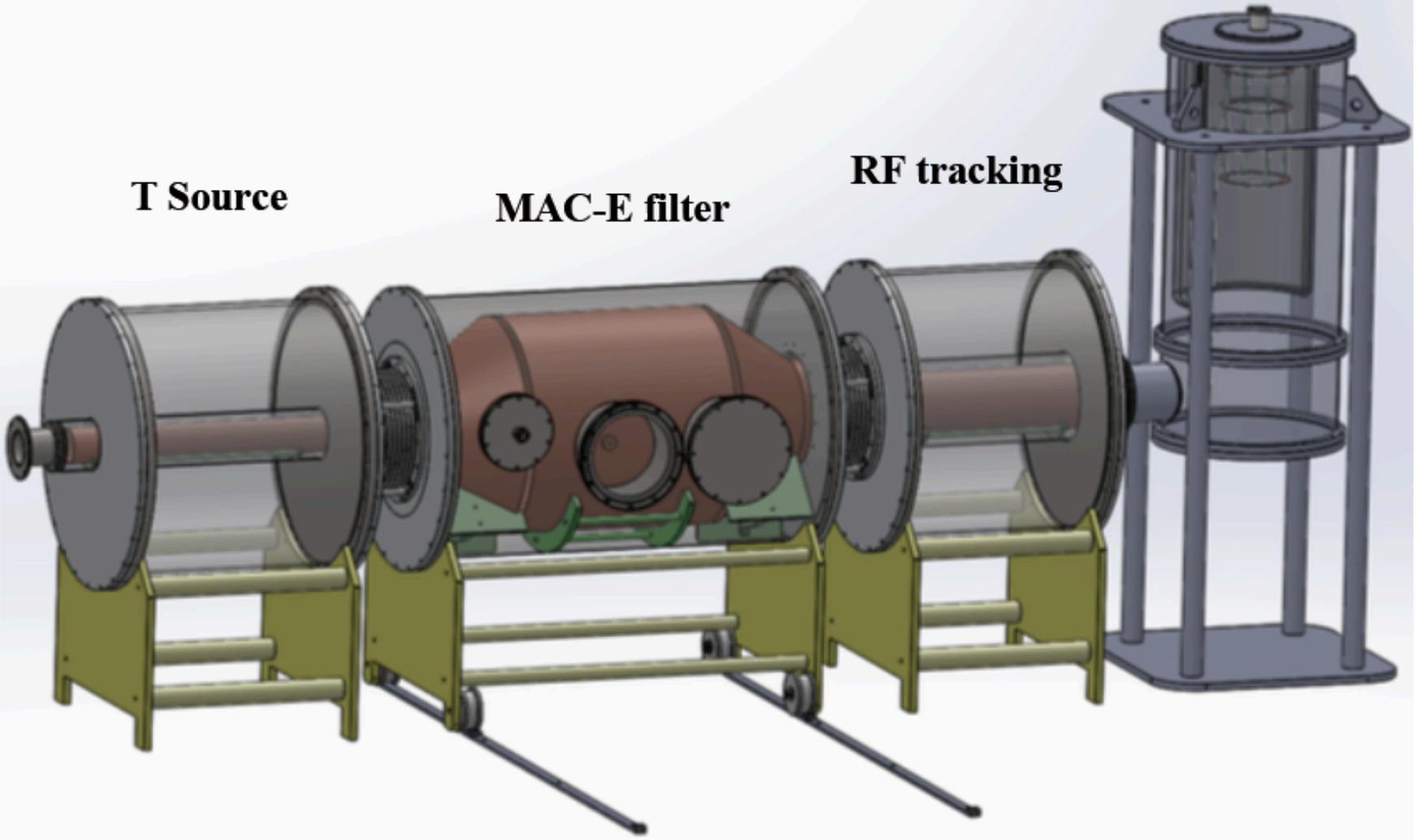
The PTOLEMY prototype @ Princeton

Cryogenic micro-calorimeter

T Source

MAC-E filter

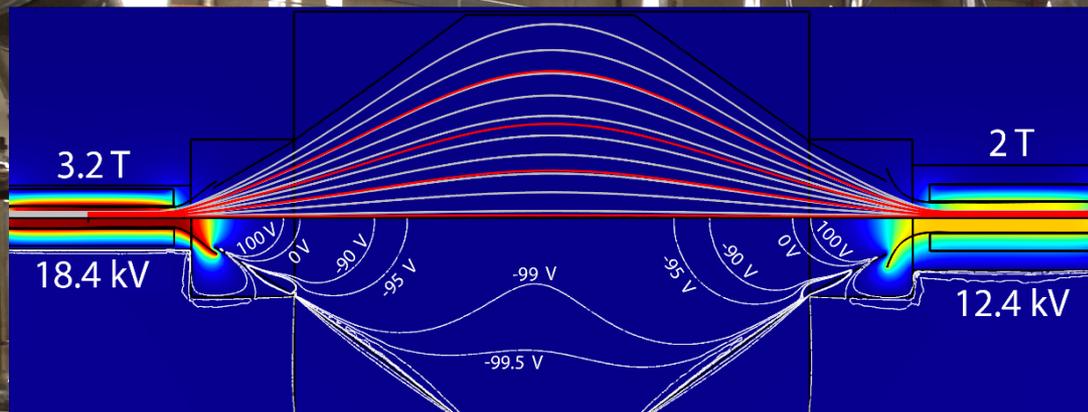
RF tracking





R&D Prototype @ PPPL
(August 2, 2016)

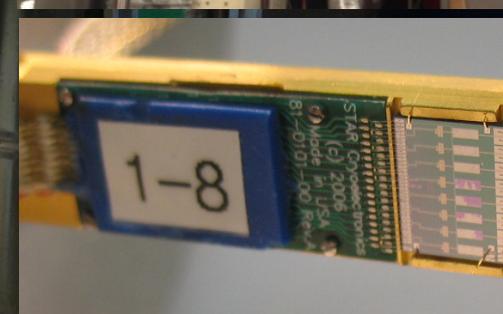
Supported by:
The Simons Foundation
The John Templeton Foundation



Dilution Refrigerator
Kelvinox MX400

R&D Prototype @ PPPL
(August 2, 2016)

Robot arm for
Tritiated graphene
samples



Supported by:
The Simons Foundation

StarCry

The PTOLEMY prototype



**R&D Prototype @ PU
(June 7, 2017)**

Supported by:
The Simons Foundation
The John Templeton Foundation

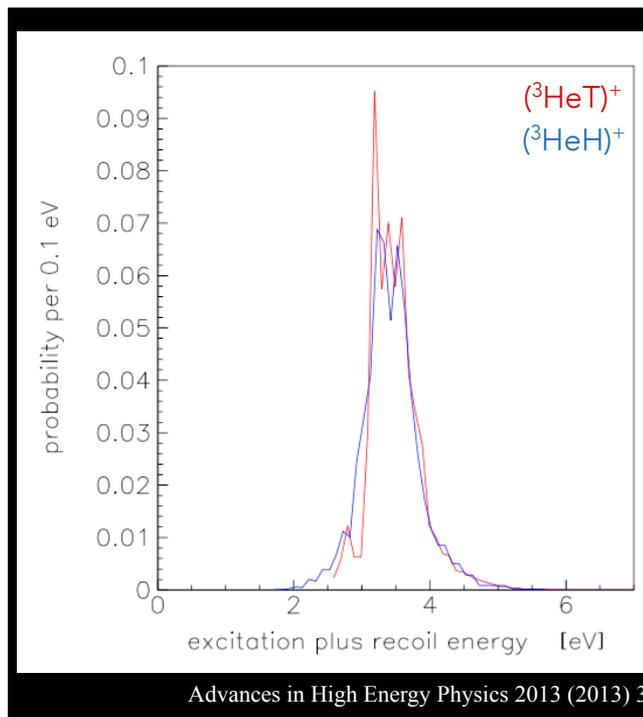
Three major challenges towards the full scale PTOLEMY detector

- Reduce target induced E_e smearing (molecular effects)
- Compress a 70m spectrometer length (KATRIN) down to cm scale and replicate it 10^4 - 10^6 times (lower precision since final measurement made by the microcalorimeter)
- Measure the energy spectrum directly with $\sigma_E \sim O(0.1 \text{ eV})$ or better

Tritium target

Characteristics:

- High density and packing factor
- Weakly bound to substrate
- Low interaction probability
- Electron focusing to the (E,B) filter



Molecular excitations
in daughter molecule

- blur tritium endpoint

→ fundamental limit
to measurement
of ν -mass

Need atomic tritium for
ultimate experiment!

Tritiated graphene

Single atomic layer weakly bound in sp³ configuration

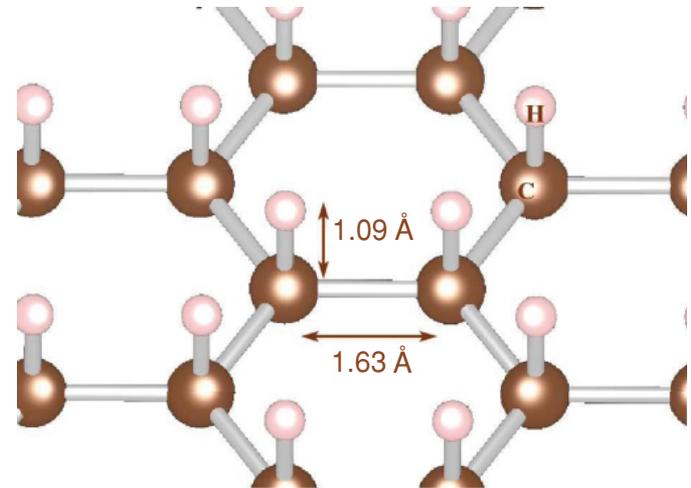
Single-sided (loaded on substrate) and planar (uniform bond length)

Binding Energy < 3 eV

Source strength with surface densities of ~1 Ci/cm² (100 μg/cm²)

Semiconductor (Voltage Reference)

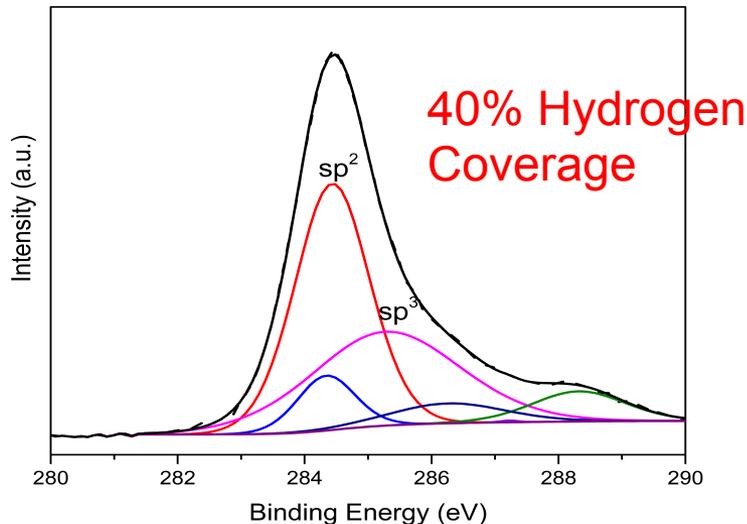
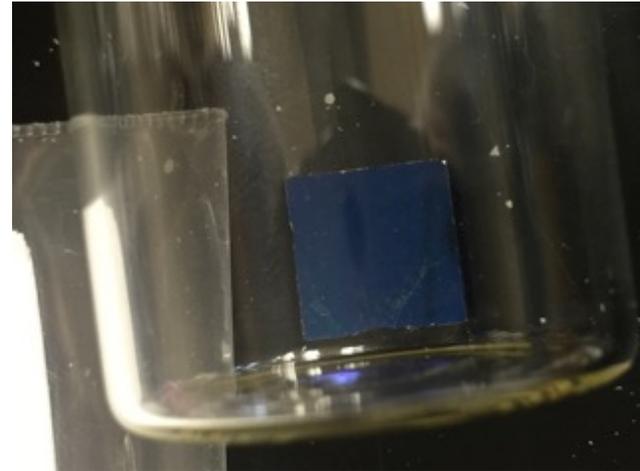
Polarized tritium (directionality?)



Tritiated graphene target

Samples produced at
Savannah River National Laboratory

Ready to be tested...



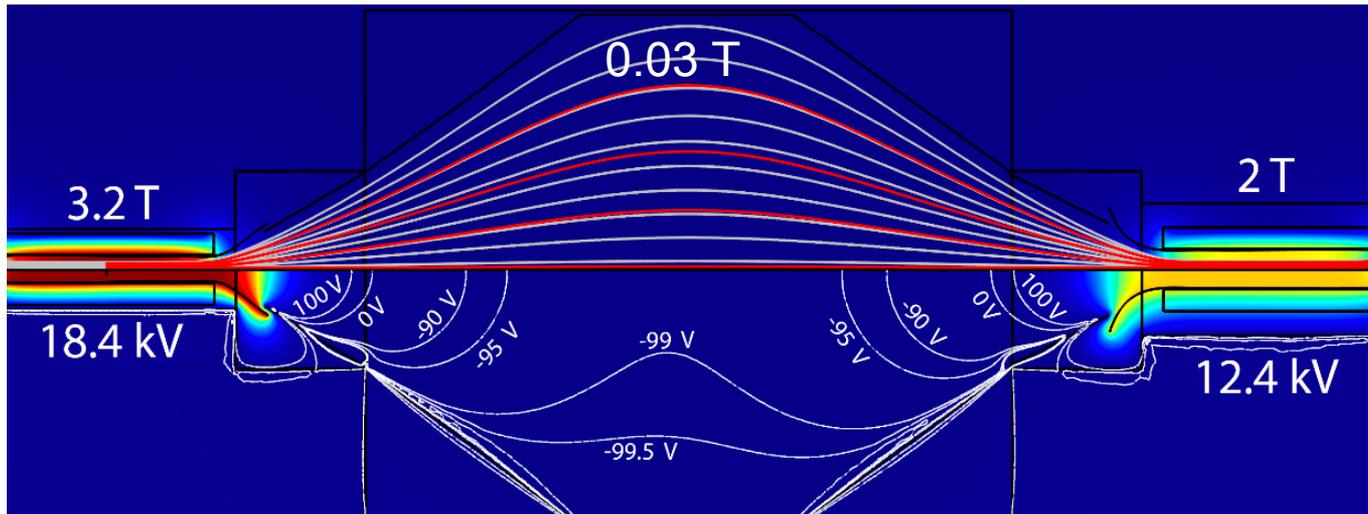
Cold Plasma Loading (PPPL)
XPS (X-Ray Photoelectron Spectroscopy)
Analysis: sp² is from unhydrogenated C atoms.
sp³ is hydrogenated C atoms. The area ratio of
sp² and sp³ is used to calculate H coverage

MAC-E filter

Low magnetic gradient adiabatically transforms cyclotron trajectories into longitudinal motion

$$\mu = \frac{E_{\perp}}{B} \quad \frac{\Delta E}{E} = \frac{B_{\min}}{B_{\max}}$$

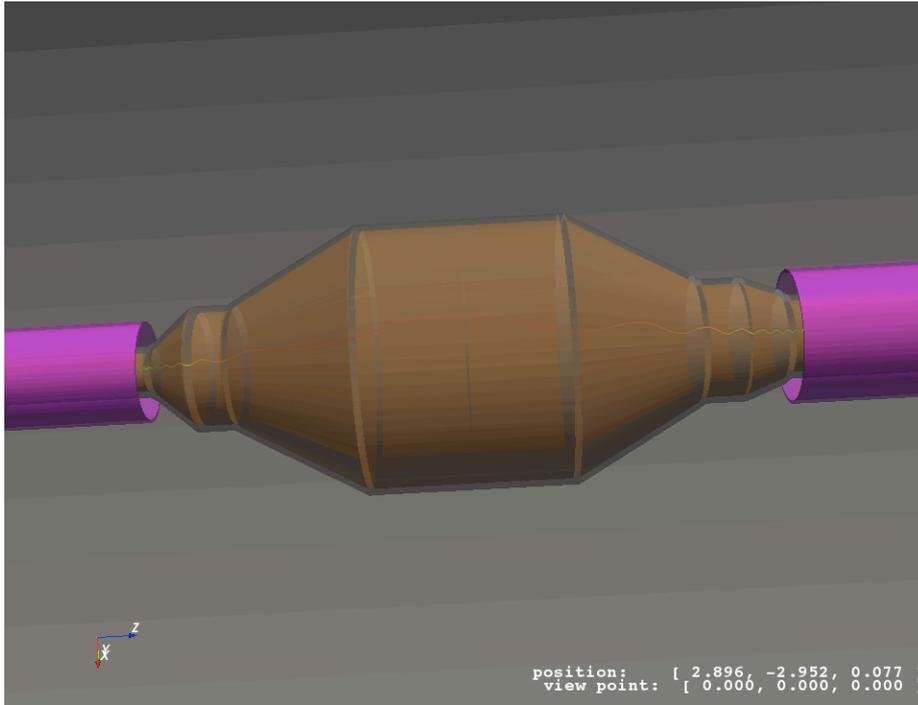
Electric field sets the energy cutoff



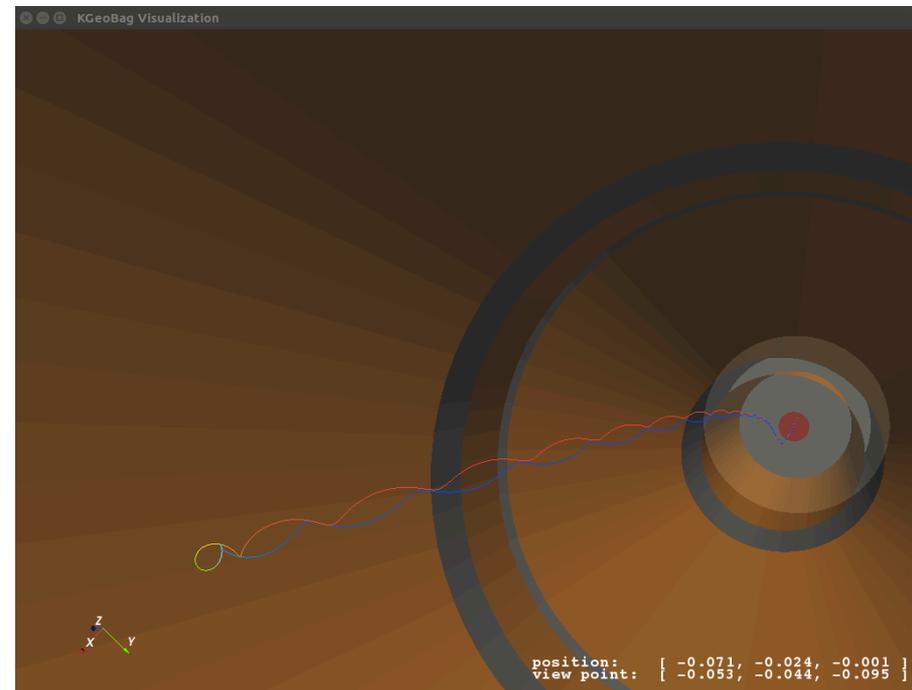
If the threshold is set at $\sim 1\text{eV}$ the event rate reduction is $\sim (\Delta E/Q)^3 = 1.55 \cdot 10^{-13}$
(for comparison, the activity of 1 g of T is of $3.6 \cdot 10^{14}$ Hz)

PTOLEMY prototype

MAC-E filter performances analysis



Kassiopeia package
(KATRIN Collaboration)



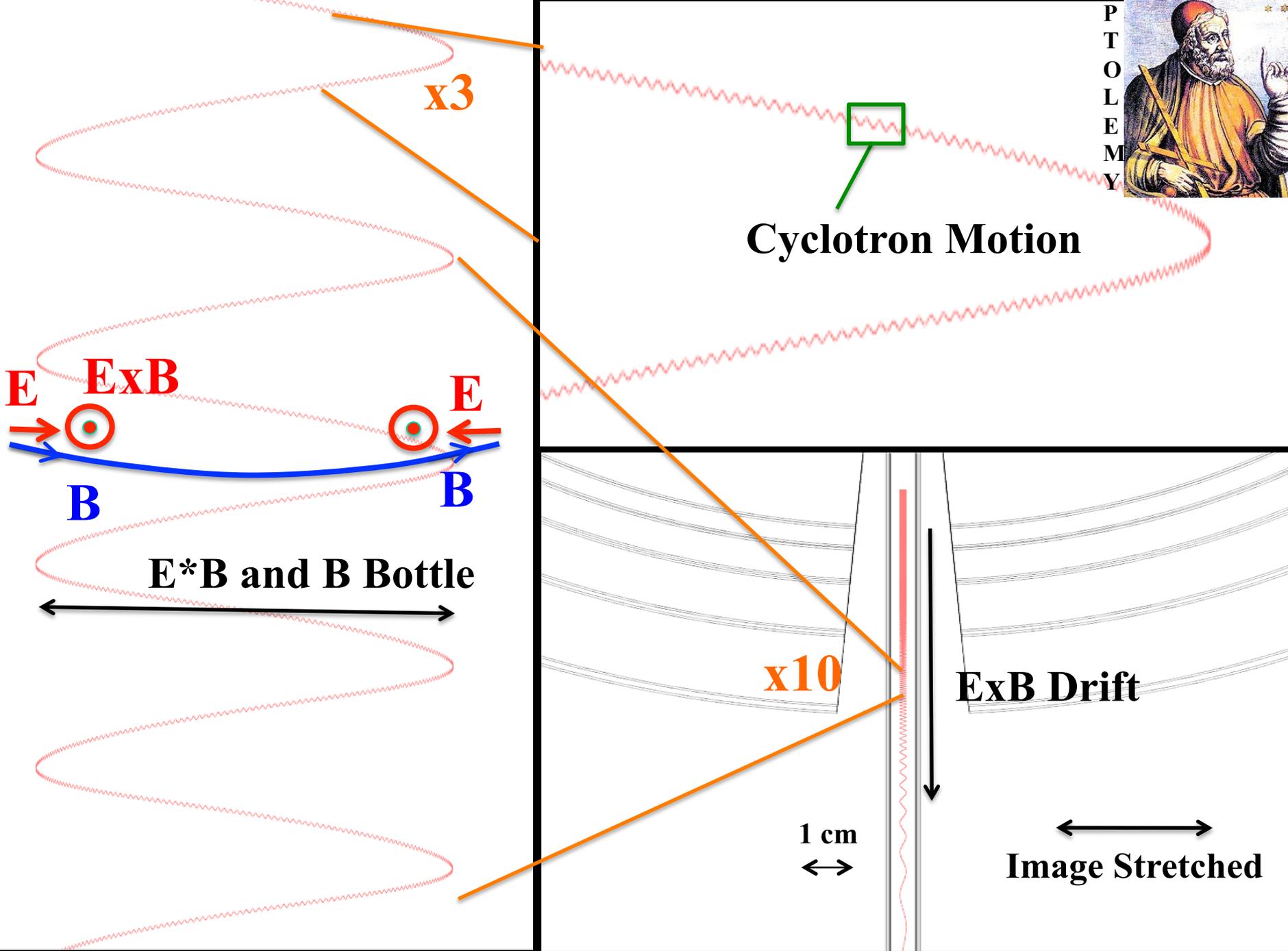
New ExB filtering design

In traditional MAC-E filters, as B drops along the longitudinal kinetic energy (KE) of the electron, transverse KE \rightarrow longitudinal and $E \cdot B$ term trades total KE with potential energy – electrons below filter cut-off bounce (reverse longitudinal momentum) due to $E \cdot B$

PTOLEMY: electrons enter at a fixed reference voltage into one end of an $E \cdot B$ bottle, as they bounce back and forth, they trade KE for potential energy as they slowly ExB drift vertically in the voltage potential and also drift into lower B field from transverse ExB drift where they exchange transverse KE \rightarrow longitudinal

Simulation studies shows promising results

P
T
O
L
E
M
Y



RF tracking and time-of-flight

Thread electron trajectories (magnetic field lines) through an array of Project-8 type antennas with wide bandwidth (few $\times 10^{-5}$) to identify cyclotron RF signal in transit times of order 0.2 msec.

Readout Orthogonal to
Electron Trajectory

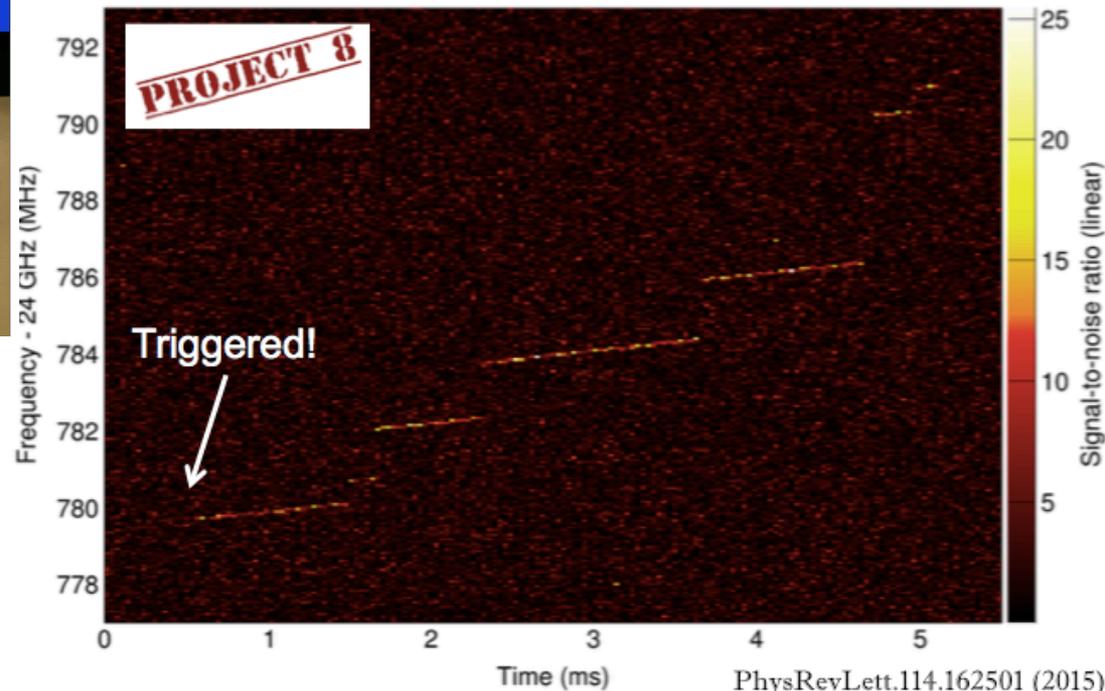
Q-Band (38-46 GHz)
Magic Tee Waveguide
Junction



Q-Band (38-46 GHz)
WMAP Amplifier

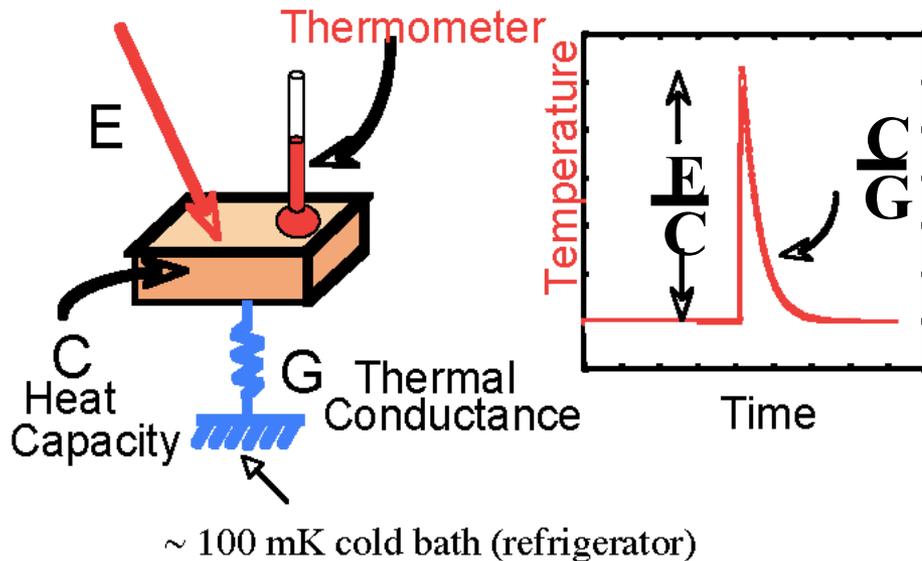
First detection of single electron
cyclotron radiation

Phys.Rev.Lett. 114(2015)162501



Electron calorimeter with an energy resolution good enough to resolve the neutrino mass

Cryogenic Transition Edge Sensors (TES)

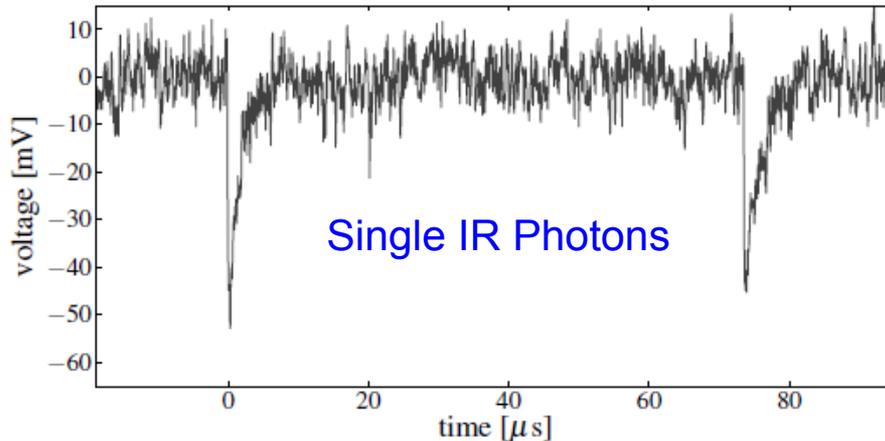


10÷100eV electron can be stopped with very small C (10^{-4} smaller than for X-ray)

Fast time response
Bandwidths of ~1 MHz to record
~10 kHz of electrons hitting the individual sensors

Microcal Energy Resolution

- TES microcalorimeters resolution of $0.15\text{eV}@100\text{eV}$ ($\sim 100\text{mK}$) are no longer the focus
- Most TES work is headed toward optical with extremely low heat capacitance (small absorber thickness)



Example:

IR TES cameras
 $\sim 0.3\text{ eV}$ resolution achieved
at 0.8 eV for single IR photons

- Recent developments shows resolutions of $0.05\text{ eV}@300\text{ mK}$!!!
(Monticone and co-workers – INRIM)

This was “unrealistic” 10 years ago !!

Three major challenges

- Reduce target induced E_e smearing (molecular effects)
New source (Tritiated-Graphene or Cryogenic Au(111))
- Compress a 70m spectrometer length (KATRIN) down to cm scale and replicate it 10^4 - 10^6 times (lower precision since final measurement made by the microcalorimeter)
 - New ExB filter concept
 - RF tag/triggering (Project 8 development)
 - Graphene-FET (G-FET) as a potential trigger system
Phys. Lett. B 772(2017)239
- Measure the energy spectrum directly with $\sigma_E \sim O(0.1 \text{ eV})$ or better
High-resolution electron microcalorimeter

PTOLEMY prototype programme

Re-commission Kelvinox MX400 dilution refrigerator

Installation of StarCryo calorimeter

Re-commission magnets

Install central vacuum tank and HV system for MAC-E filter

Install graphene target test sample

Data-taking tests with full setup scheduled by the end of the year

The prototype will be moved to Laboratori Nazionali del GranSasso (ITALY) in 2018 to perform tests in a background free underground environment

PTOLEMY programme

A lot of R&D to be done and a lot smart ideas still needed but...

...what was “impossible” a few years ago is now merely “challenging”

The PTOLEMY “kick-off” meeting will take place in LNGS (Italy) on 11-12 December in order to start focusing on the full scale project

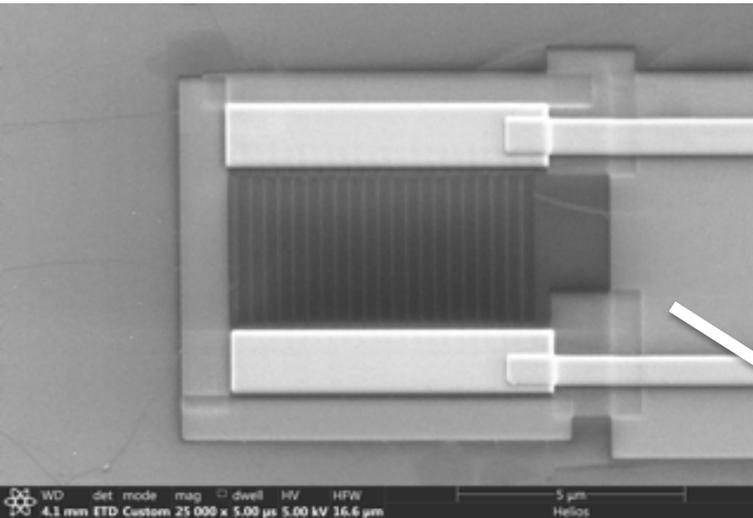
(enthusiastic collaborators are welcome !)

Thank you

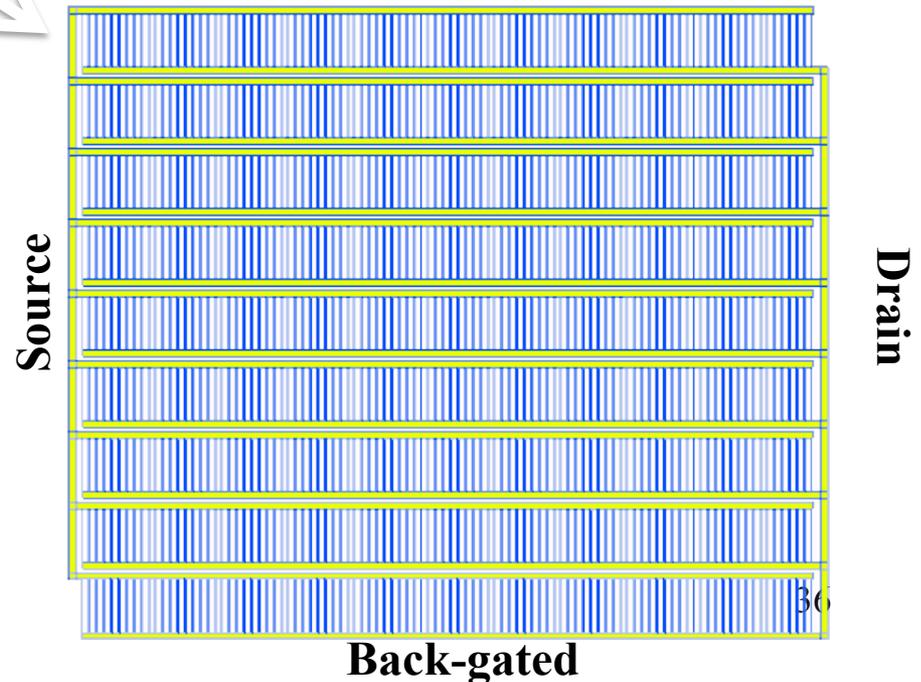
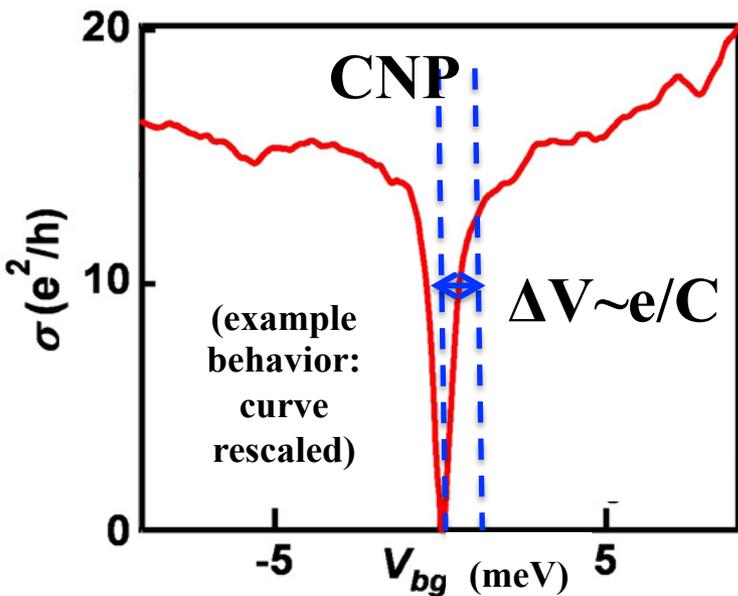
G-FET

Principles of Operation:

- Tunable meV band gap set by nanoribbon width
($E_{\text{gap}} \sim 0.8\text{eV}/\text{width}[\text{nm}]$)
- Large jump in conductivity ($\sim 10^{10}$ charge carriers) relative to charge neutrality point under the field-effect from a single electron scatter



Scalability to Interdigitated Capacitor



PTOLEMY-G³

- Directional Detection of Dark Matter with 2D target
 - Hochberg, et. al, 2017 Phys.Lett.B 772(2017)239
<http://arxiv.org/abs/1606.08849>
- Graphene field-effect transistors (G-FETs) arranged into a fiducialized volume of stacked planar arrays – Graphene cube (G³)
 - Unprecedented sensitivity to electron recoil, at the level of single charge detection
- G-FETs provide tunable meV band gaps and provide high-granularity particle tracking when configured into arrays
 - A narrow, vacuum-separated front-gate of the G-FET imposes a kinematic discrimination on the maximum electron recoil energy, and the FET-to-FET hopping trajectory of an ejected electron indicates the scattering direction, shown to be correlated to the dark matter wind
- In this experiment we look for MeV dark matter scattering events that liberate an electron from the graphene target, in the absence of any other activity in the G³

Detection: G_F

Stodolsky effect: energy split of electron spin states
in the \mathbf{v} background

requires \mathbf{v} chemical potential (Dirac) or net helicity (Majorana)

requires breaking of isotropy (Earth velocity)

results depend on Dirac/Majorana, relativistic/non relativistic,
clustered/unclustered

Duda et al '01

$$\Delta E \approx G_F g_A \vec{s} \cdot \vec{\beta}_{\oplus} (n_v - \bar{n}_v)$$

Torque on frozen magnetized macroscopic piece of
material of dimension R

$$\mathbf{a} \approx 10^{-27} \left(\frac{100}{\text{A}} \right) \left(\frac{\text{cm}}{\text{R}} \right) \left(\frac{\beta_{\oplus}}{10^{-3}} \right) \left(\frac{n_v - \bar{n}_v}{100 \text{ cm}^{-3}} \right) \text{cm s}^{-2}$$

Presently Cavendish torsion balances: $\mathbf{a} \approx 10^{-12} \text{ cm s}^{-2}$

Detection: G_F^2

ν -Nucleus collision: net momentum transfer due to Earth peculiar motion

$$\sigma_{\nu N} = G_F^2 E_\nu^2 \quad a = n_\nu v_\nu \frac{N_A}{A} \sigma_{\nu N} \Delta p$$

$$\Delta p = \beta_\oplus E_\nu$$

$$\Delta p = \beta_\oplus m_\nu$$

$$\Delta p = \beta_\oplus T_\nu$$



$$a \approx (10^{-46} - 10^{-54}) \frac{\text{A}}{100} \text{cm s}^{-2}$$

Coherence enhancement

$$\lambda_\nu \approx 1/T_\nu - 1/m_\nu \approx mm \quad N_c = \frac{N_A}{A} \rho \lambda_\nu^3$$

Zeldovich and Khlopov '81

Smith and Lewin '83

NCB Cross Section

a new parametrization

Beta decay rate $\lambda_\beta = \frac{G_\beta^2}{2\pi^3} \int_{m_e}^{W_0} p_e E_e F(Z, E_e) C(E_e, p_\nu)_\beta E_\nu p_\nu dE_e$

NCB $\sigma_{\text{NCB}} v_\nu = \frac{G_\beta^2}{\pi} p_e E_e F(Z, E_e) C(E_e, p_\nu)_\nu$

The nuclear shape factors C_β and C_ν both depend on the same nuclear matrix elements

It is convenient to define $\mathcal{A} = \int_{m_e}^{W_0} \frac{C(E'_e, p'_\nu)_\beta p'_e E'_e F(E'_e, Z)}{C(E_e, p_\nu)_\nu p_e E_e F(E_e, Z)} E'_\nu p'_\nu dE'_e$

$$\sigma_{\text{NCB}} v_\nu = \frac{2\pi^2 \ln 2}{\mathcal{A} t_{1/2}}$$

NCB Cross Section

a new parametrization

$$\sigma_{\text{NCB}} v_{\nu} = \frac{2\pi^2 \ln 2}{A t_{1/2}} \quad \text{This is valid for both } \beta^{\pm} \text{ and EC decaying nuclei}$$

$$A = \int_{m_e}^{W_0} \frac{C(E'_e, p'_{\nu})_{\beta} p'_e E'_e F(E'_e, Z)}{C(E_e, p_{\nu})_{\nu} p_e E_e F(E_e, Z)} E'_{\nu} p'_{\nu} dE'_e \quad \bar{\nu} \text{ capture on } \beta^{\pm} \text{ nuclei}$$

$$A = \frac{\sum_x n_x C_x(q_{\nu}) f_x(q_{\nu})}{p_e E_e F(Z, E_e) C(p_e, p_{\nu})_{\nu}} \quad \bar{\nu} \text{ capture on EC nuclei}$$

$$A' = \frac{\sum_x n_x C_x(q_{\nu}) f_x(q_{\nu})}{\sum_x n_x C_x(E_{\nu}) g_x \rho_x(E_{\nu})} \quad \bar{\nu} + e^{-} \text{ capture on EC nuclei}$$

In a large number of cases A can be evaluated in an exact way and NCB cross section depends only on Q_{β} and $t_{1/2}$ (measurable)

Example: NCB Cross Section

on β^\pm nuclei for different types of decay transitions

- Superallowed transitions $\sigma_{\text{NCB}} \nu_\nu = 2\pi^2 \ln 2 \frac{p_e E_e F(Z, E_e)}{ft_{1/2}}$

- This is a very good approximation also for allowed transitions since

$$\frac{C(E_e, p_\nu)_\beta}{C(E_e, p_\nu)_\nu} \simeq 1$$

- *i*-th unique forbidden

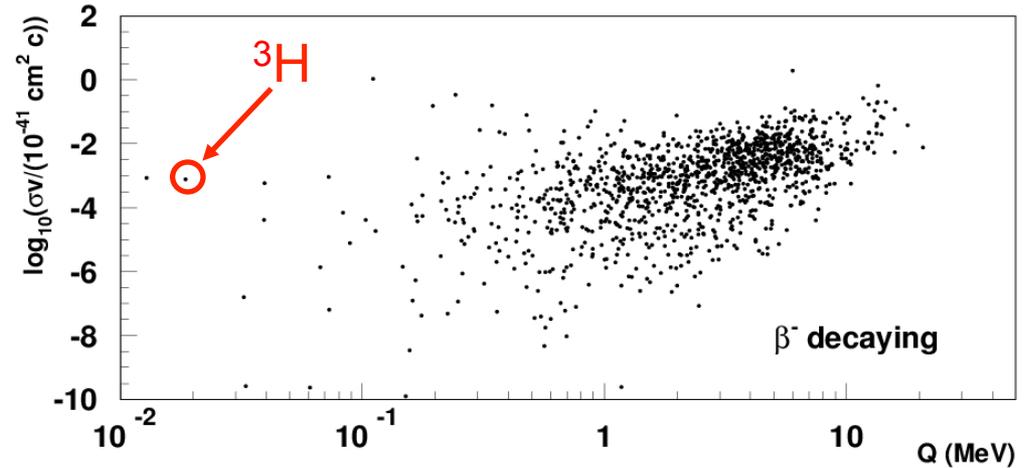
$$C(E_e, p_\nu)_\beta^i = \left[\frac{R^i}{(2i+1)!!} \right]^2 \left| {}^A F_{(i+1) i 1}^{(0)} \right|^2 u_i(p_e, p_\nu)$$

$$\mathcal{A}_i = \int_{m_e}^{W_0} \frac{u_i(p'_e, p'_\nu) p'_e E'_e F(Z, E'_e)}{u_i(p_e, p_\nu) p_e E_e F(Z, E_e)} E'_e p'_\nu dE'_e$$

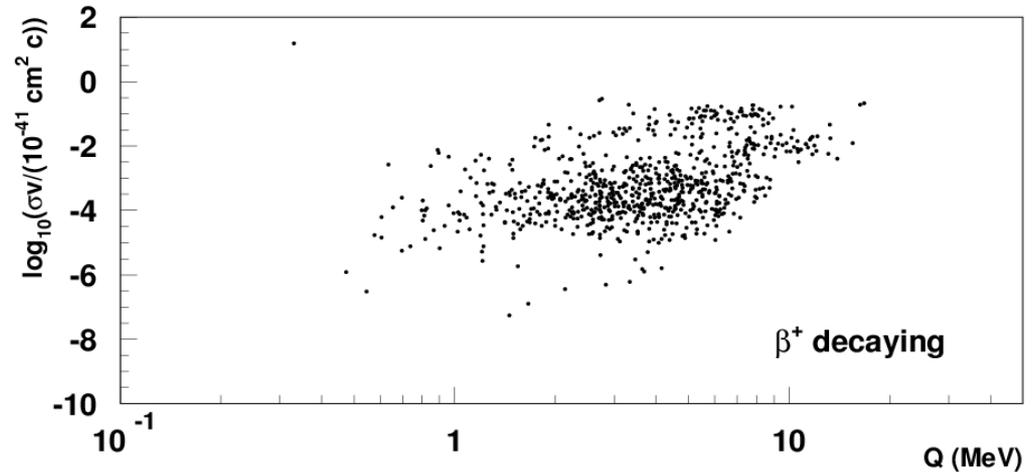
NCB Cross Section Evaluation

using measured values of Q_β and $t_{1/2}$

1272 β^- decays



799 β^+ decays



Beta decaying nuclei having $\text{BR}(\beta^\pm) > 5\%$
selected from 14543 decays listed in the ENSDF database