

Open heavy-flavour production with ALICE at the LHC

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on behalf of the ALICE Collaboration

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pp collisions:

-  Test pQCD calculations
-  Study hadronization mechanism
-  Set a reference for p-Pb and Pb-Pb

p-Pb collisions




-  Study cold nuclear matter (CNM) effects (nPDF, shadowing, gluon saturation, k_T -broadening, energy loss in CNM in the initial and final state)
-  Address possible collective effects and effects related to the (possible) formation of a QGP in p-Pb collisions.

Pb-Pb collisions



-  Heavy-quarks effective probe for the properties of the hot and dense QCD matter produced in heavy-ion collisions
 -  Heavy-quark energy loss
 -  Quarkonium dissociation/regeneration






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 -  **Quarkonium dissociation/regeneration**

See talk of Enrico Scomparin

Heavy-quarks in AA: General Picture



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Heavy quarks produced at the early stage of the collision ($\Delta t \sim 1/2m \sim 0.01-0.13$ fm)

Traverse the hot and dense medium:

✓ Thermal production in the medium expected to do not play a major role (depend from initial temperature)

Phys.Rev. C51 (1995) 3326–3335

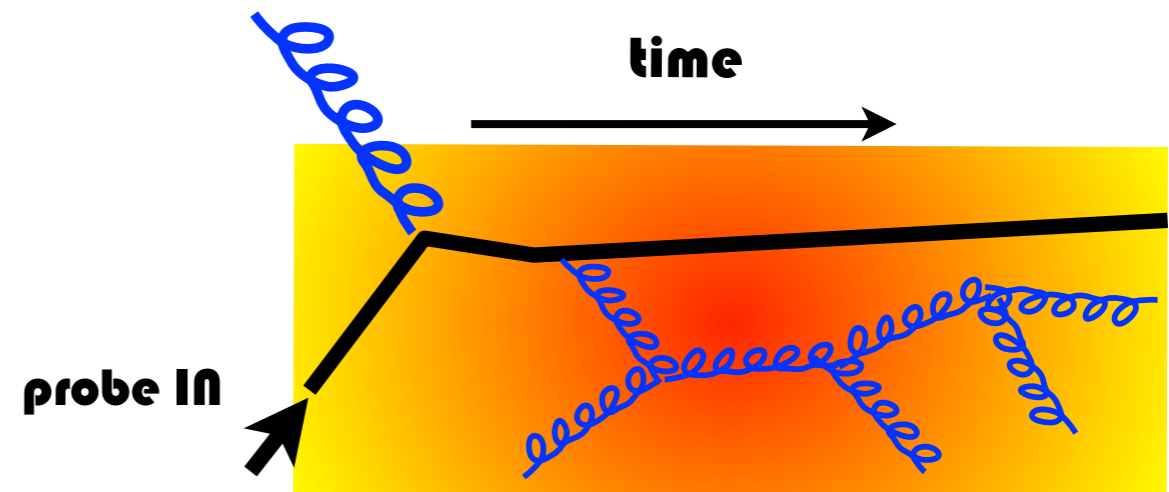
✓ Thermal production from hadronic matter (i.e. $\pi N \rightarrow \Lambda_c D$) expected to play a minor effect

Phys. Rev. C56, 2707 (1997)

Expected to lose less energy than light quarks and gluons due to **color-charge** and **dead cone effect** → higher penetrating power into QCD medium.

Yu. Dokshitzer and D.E. Kharzeev, Phys.Lett. B 519 199-206 (2001). Armesto, Carlos A. Salgado and Urs A. Wiedemann. PRD 69 (2004) 114003

M. Djordjevic, M. Gyulassy, Nucl. Phys. A733 (2004) 265.



$$E_{\text{loss}}(\text{light}) > E_{\text{loss}}(c) > E_{\text{loss}}(b)$$

What about charm strange hadrons (D_s)? If quark coalescence dominant mechanism of charm hadron formation at low p_T → strange charm hadrons strongly enhanced.

I. Kuznetsova and J. Rafelski, Eur.Phys.J. C51 (2007) 113-133.

M. He, R. J. Fries and R. Rapp, arXiv:1204.4442 [nucl-th].

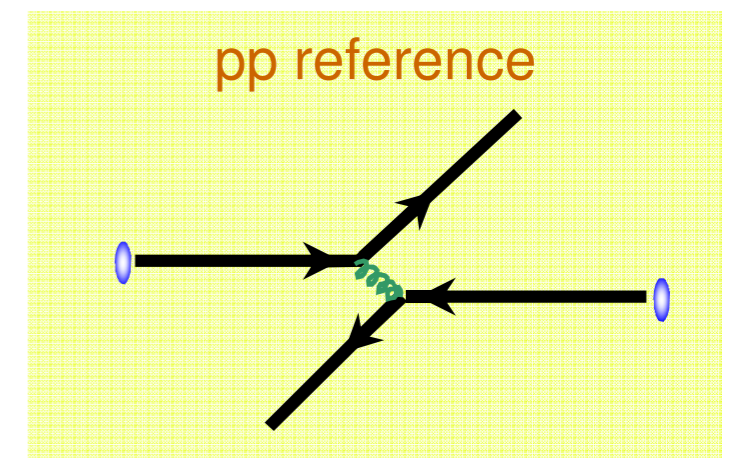
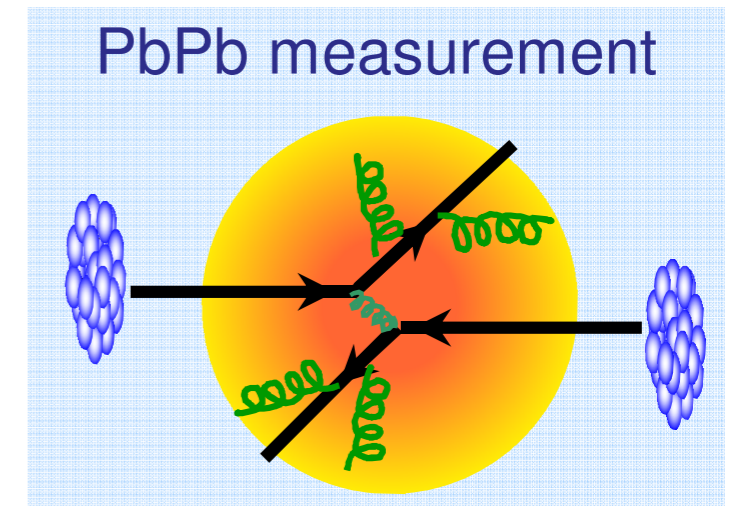
One observable: *Nuclear Modification Factor*



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- ☑ Observable: **Nuclear Modification Factor**

$$R_{AA}(p_T) = \frac{1}{\langle T_{AA} \rangle} \cdot \frac{dN_{AA}/dp_T}{d\sigma_{pp}/dp_T} = \frac{\text{QCD Medium}}{\text{QCD vacuum}}$$



- ☑ What are the possibilities?

- If no nuclear effects present: $R_{AA} = 1$
- Effects of the hot and dense medium produced in the collision break binary scaling: $R_{AA} \neq 1$

$$R_{AA}(\text{light}) < R_{AA}(\text{D}) < R_{AA}(\text{B})$$

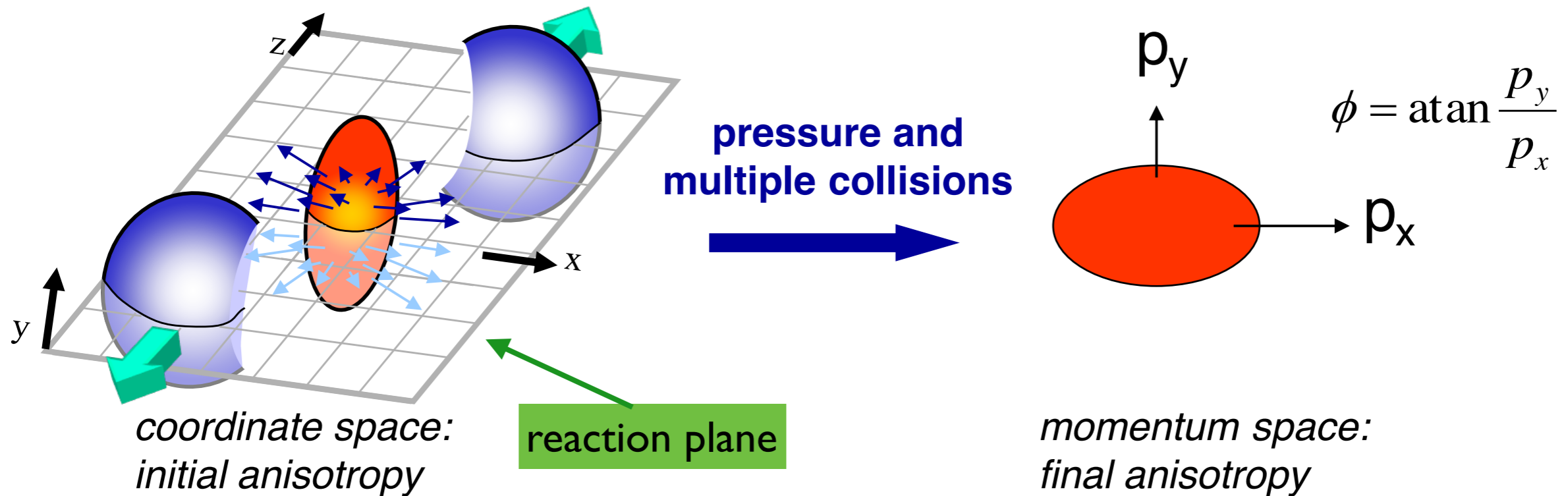
several caveats to take into account!!

- ☑ But also cold nuclear matter effects may lead to $R_{AA} \neq 1$ (needs solid pA reference)

.. a more differential one: *elliptic flow*



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- Quantified via the 2nd order Fourier coefficient **v_2 (elliptic flow)**

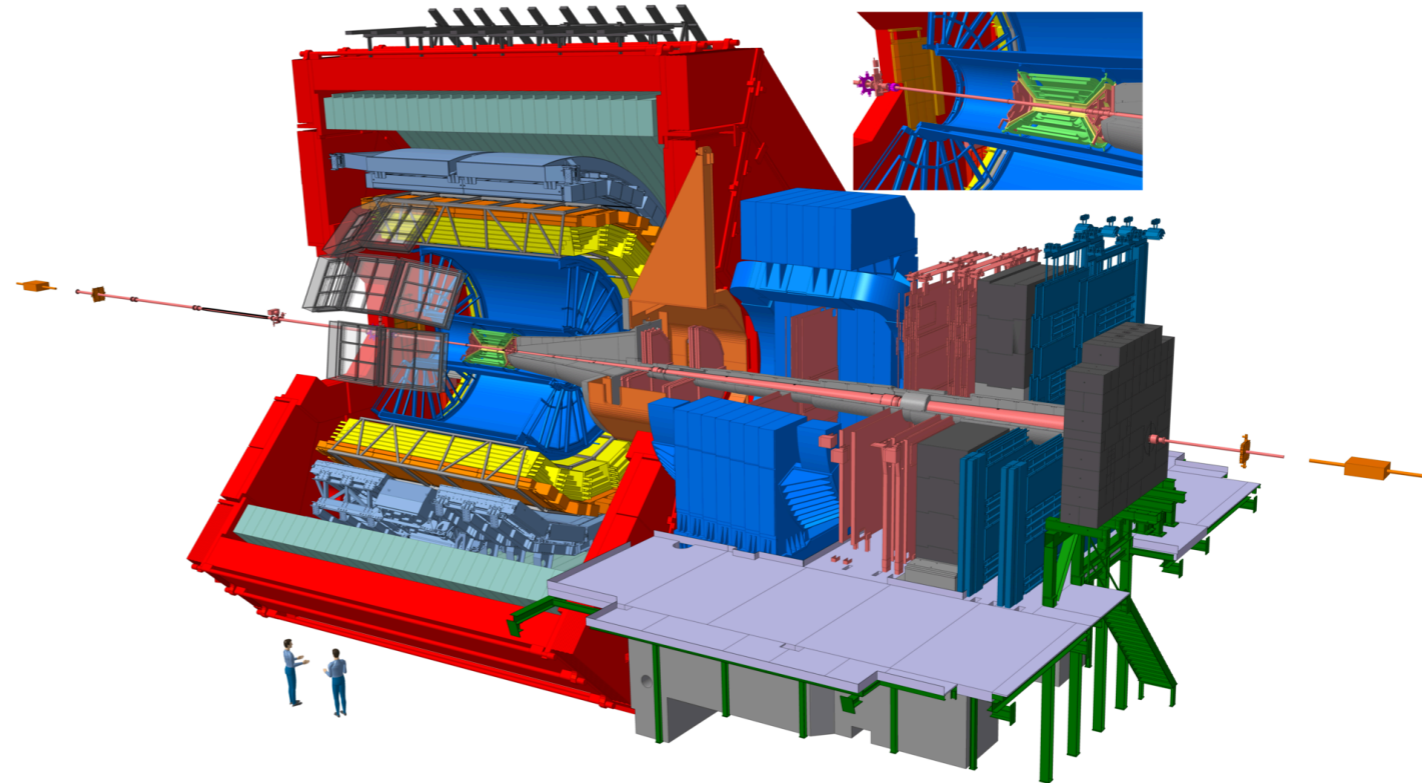
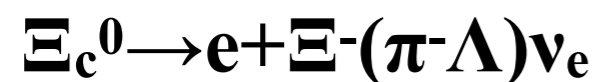
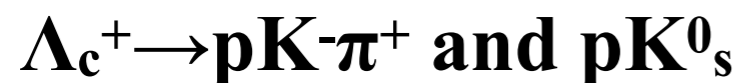
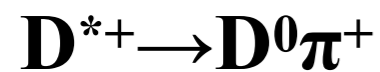
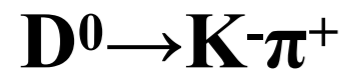
$$\frac{dN}{d\varphi} = \frac{N_0}{2\pi} (1 + 2v_1 \cos(\varphi - \Psi_{RP}) + 2v_2 \cos 2(\varphi - \Psi_{RP}) + \dots)$$

- Carries information on medium transport properties:

- Low p_T : do b and c take part to the collective motion?**
- High p_T : path-length dependence of parton energy loss**



📌 Charmed hadrons in $|y| < 0.5$:



📌 HF-hadron decay electrons (c,b hadrons $\rightarrow eX$) in $|y| < 0.9$

📌 HF-hadron decay muons (c,b hadrons $\rightarrow \mu X$) in $2.5 < y < 4$

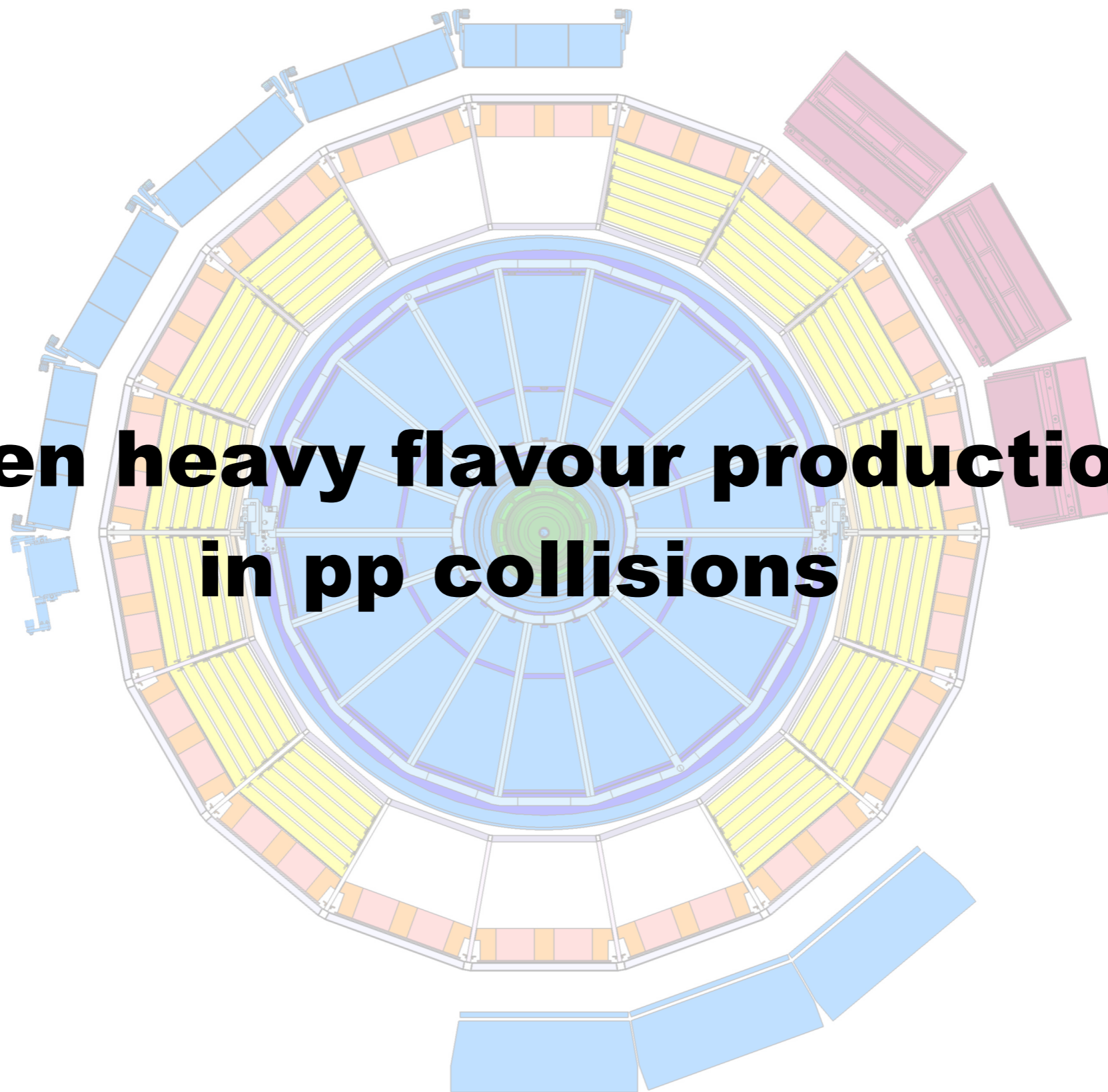
📌 B mesons via non-prompt J/Ψ (b hadron $\rightarrow J/\Psi X$ with J/Ψ in e^+e^-) in $|y| < 0.9$

📌 HF-jets production, correlations with charged particles, ...



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**Open heavy flavour production
in pp collisions**

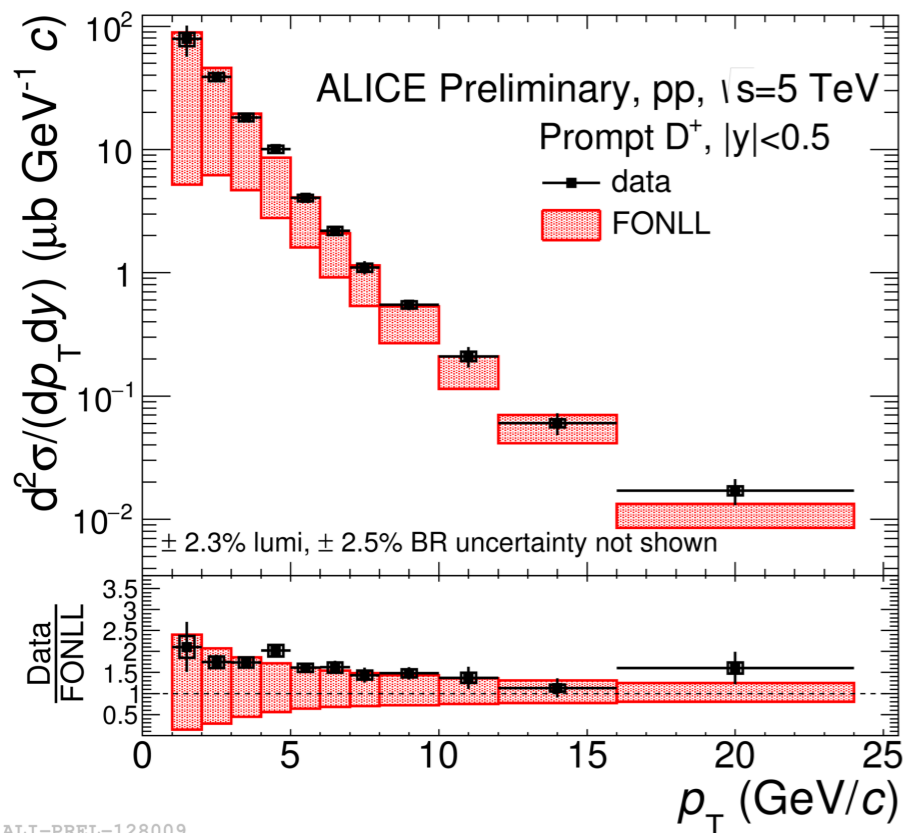


D-meson production cross section at 5 and 7 TeV



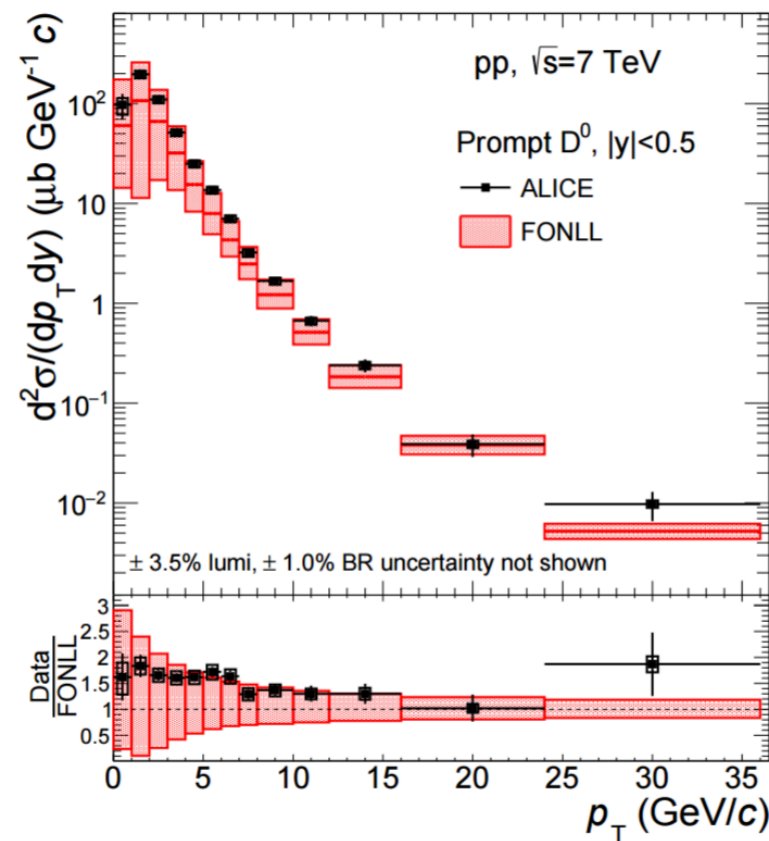
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5 TeV



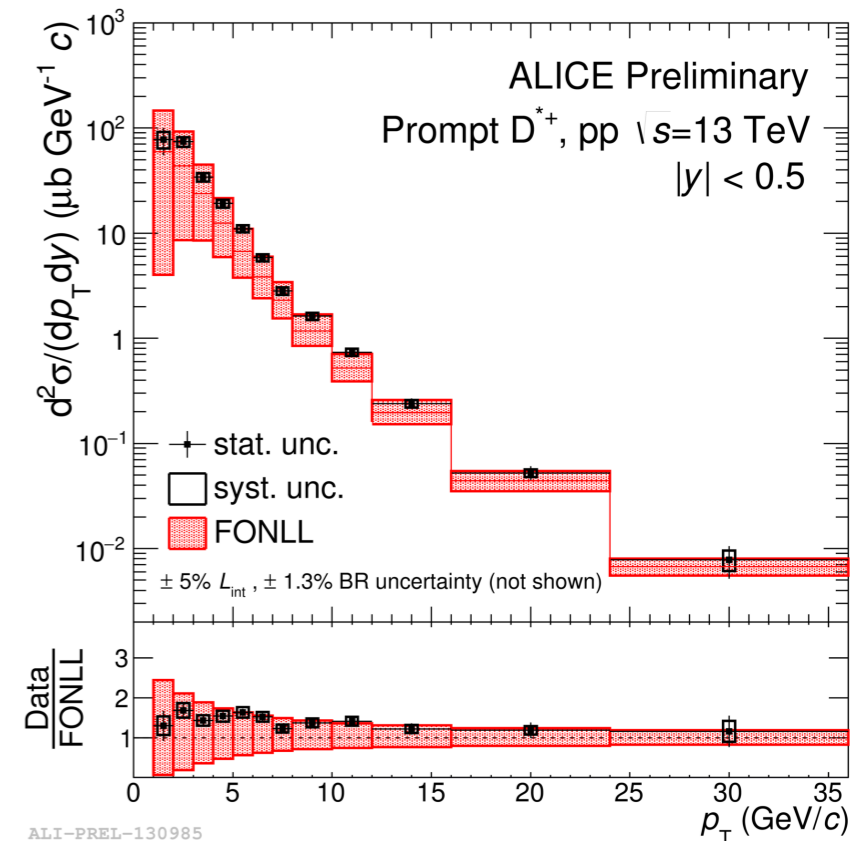
ALI-PREL-128009

7 TeV



[arXiv:1702.00766](https://arxiv.org/abs/1702.00766)

13 TeV



ALI-PREL-130985

✓ D-meson (D^0 , D^+ , D^{*+} and D_s^+) production cross section measured at several collision energies



Down to $p_T=0$ for D^0 at 7 TeV

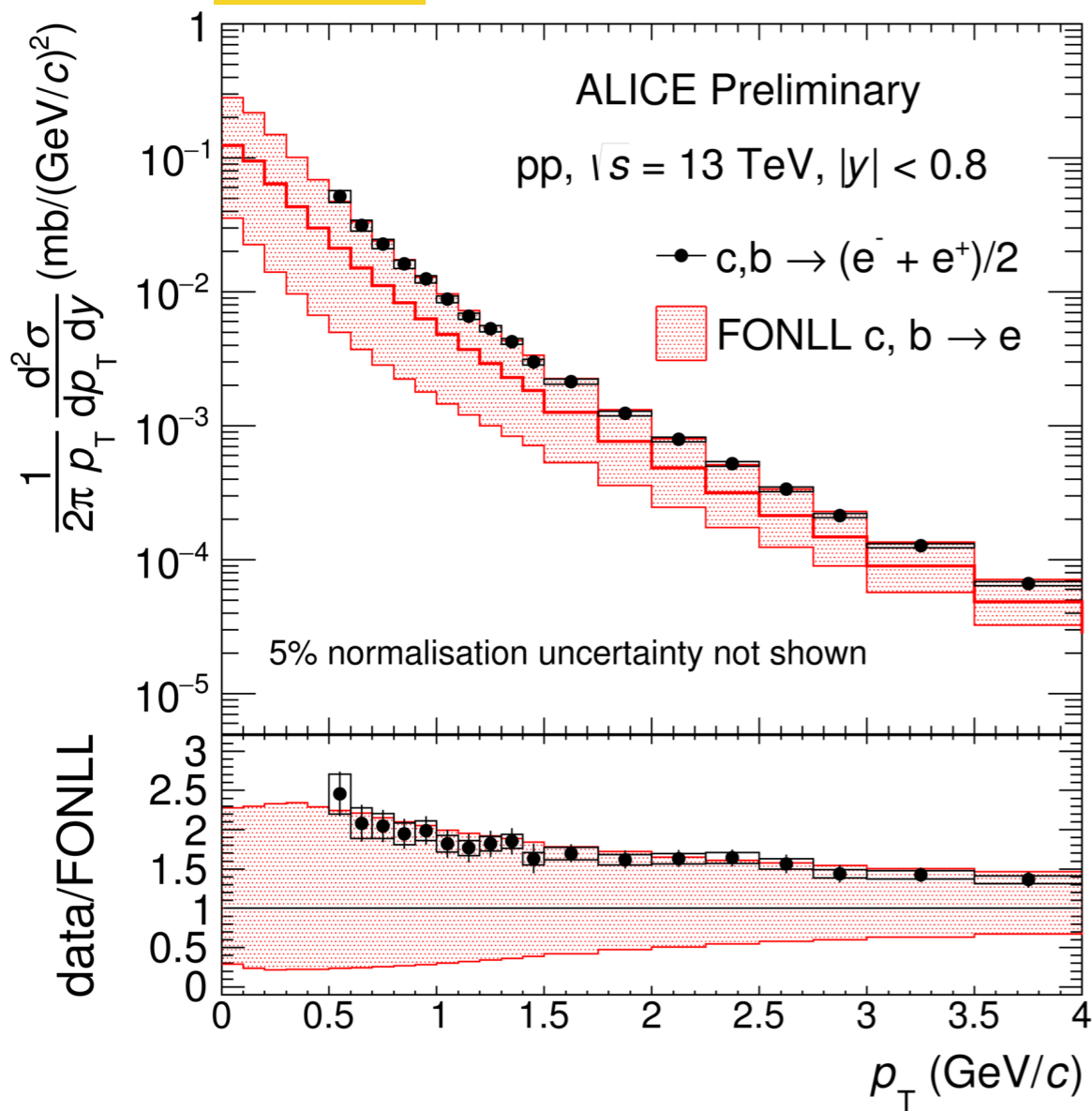
p QCD calculations describe the data within uncertainties, data uncertainties much lower than theoretical ones

Electrons from heavy-flavour hadron decays



ALICE

13 TeV

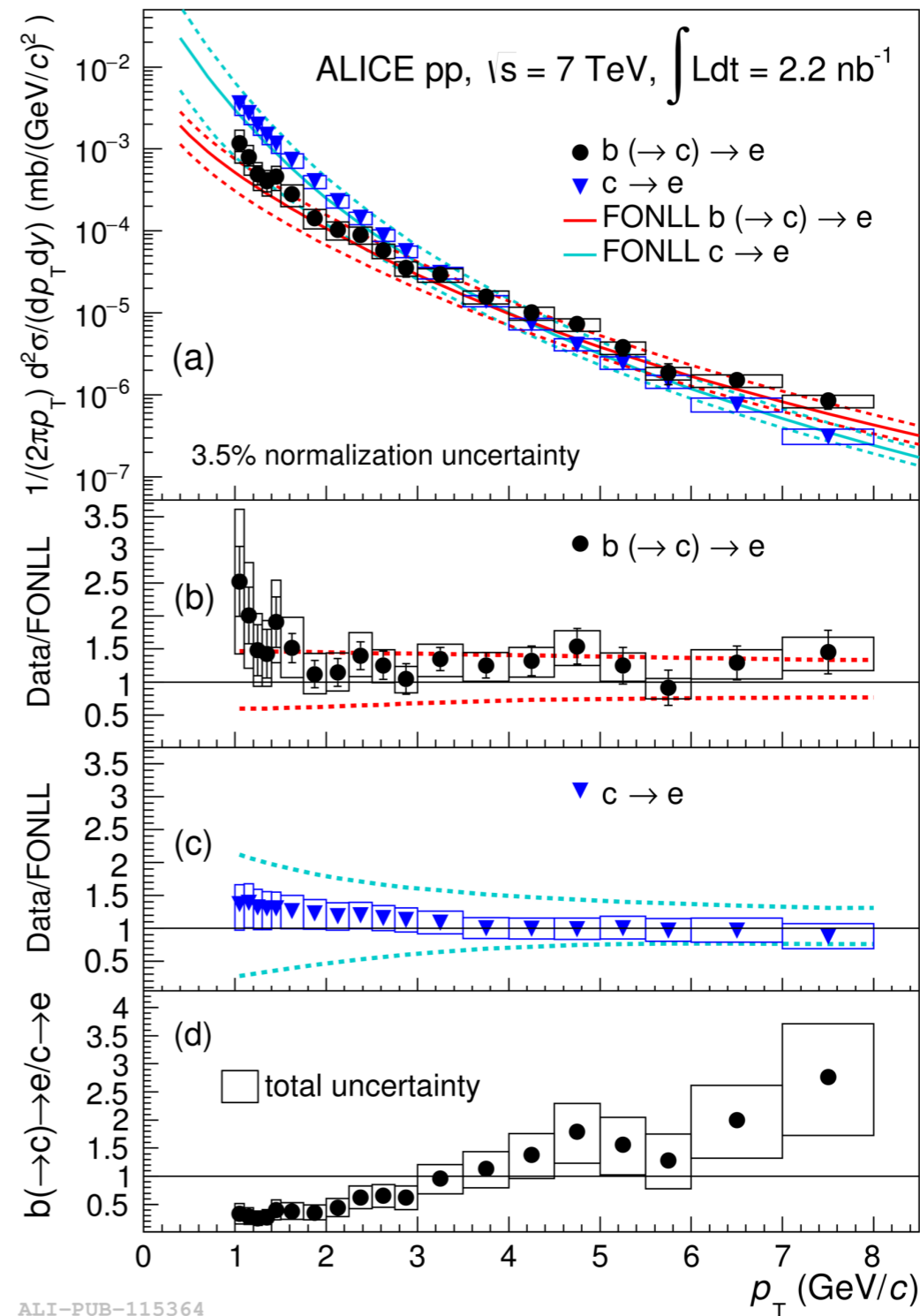


ALI-PREL-133178

Very precise data to constrain charm and beauty production → *down to 500 MeV/c!*

7 TeV

PLB, 721 (2013), 13-23



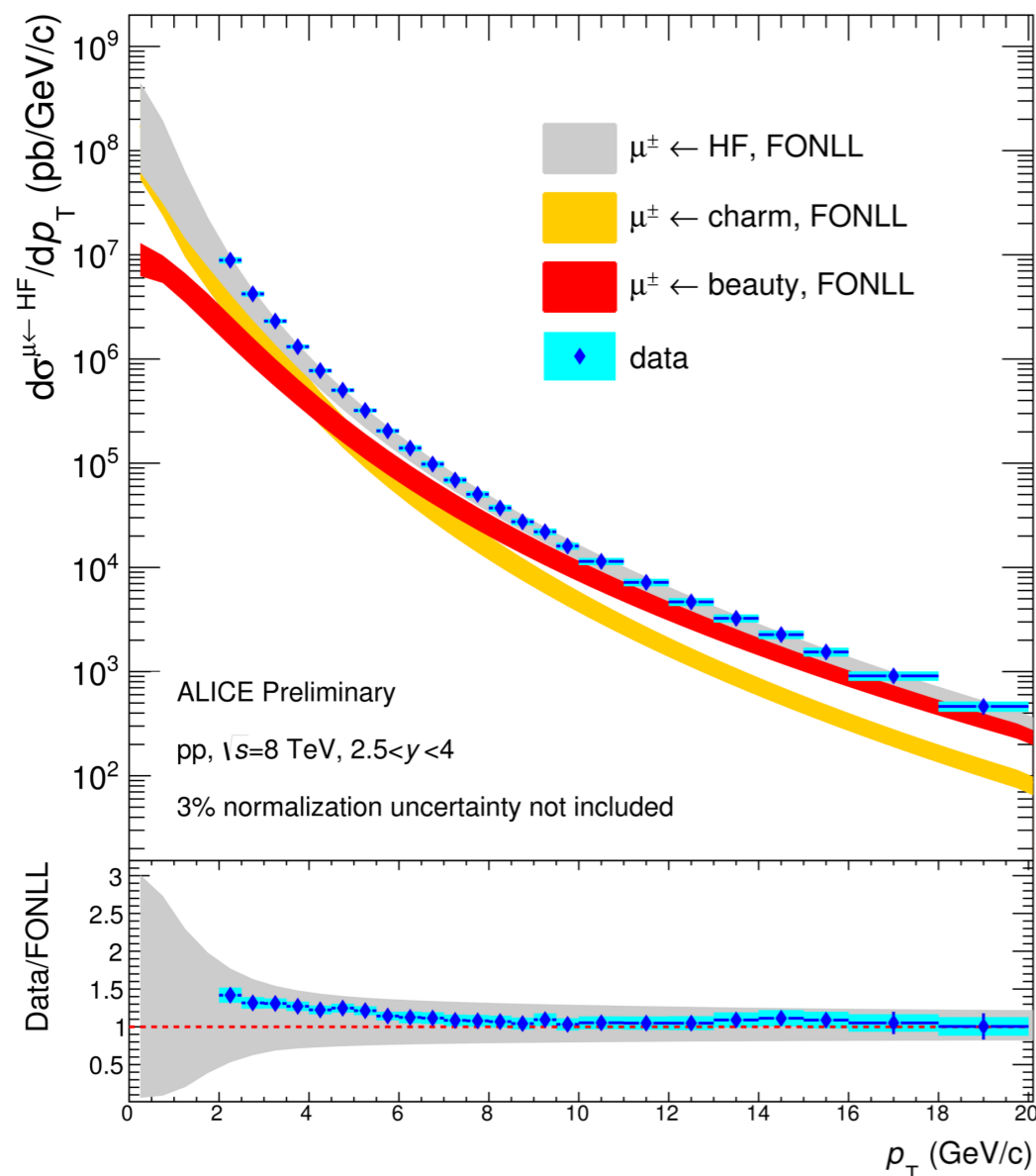
ALI-PUB-115364

Muons from heavy-flavour hadron decays

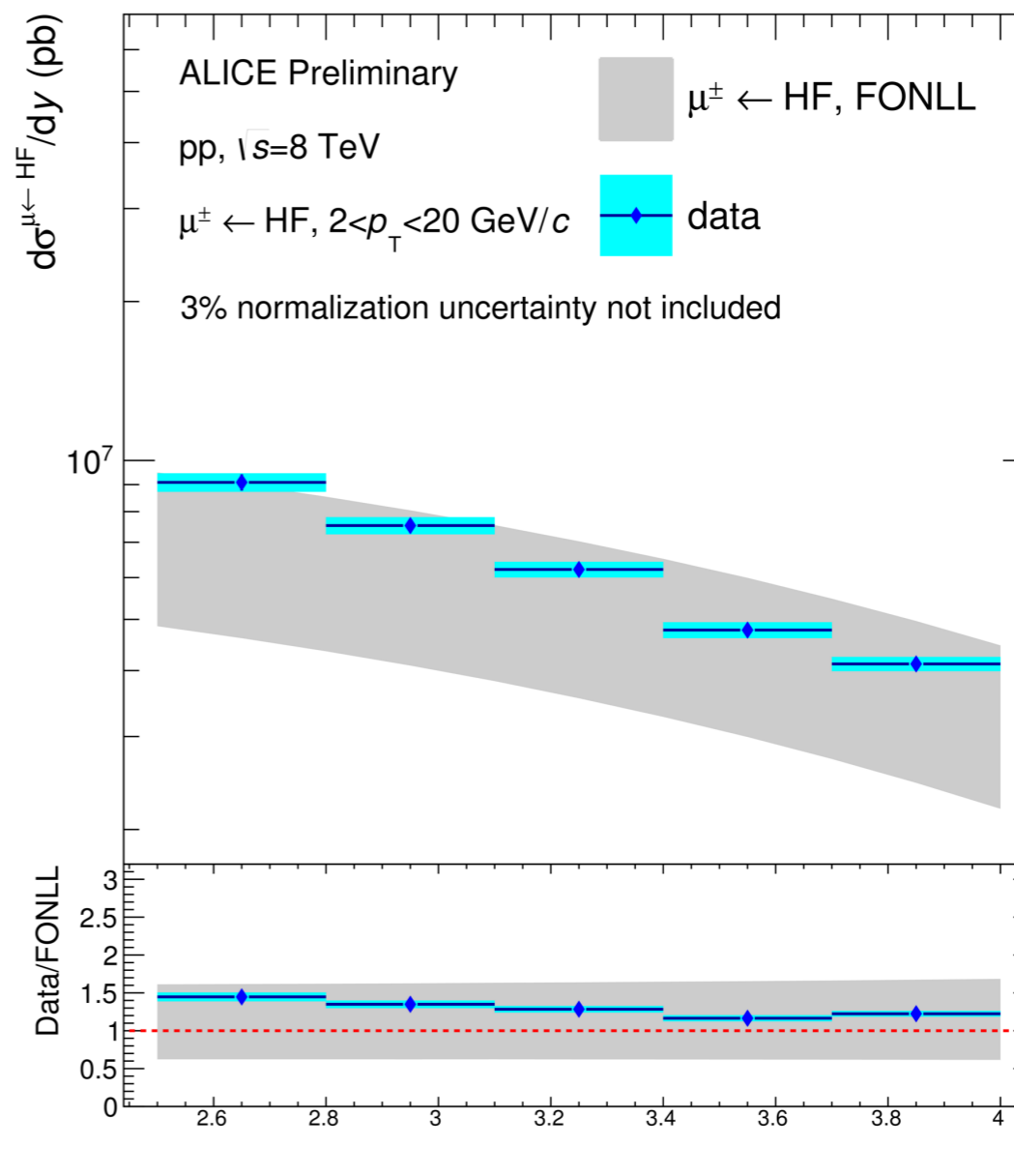


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8 TeV



ALI-PREL-135644

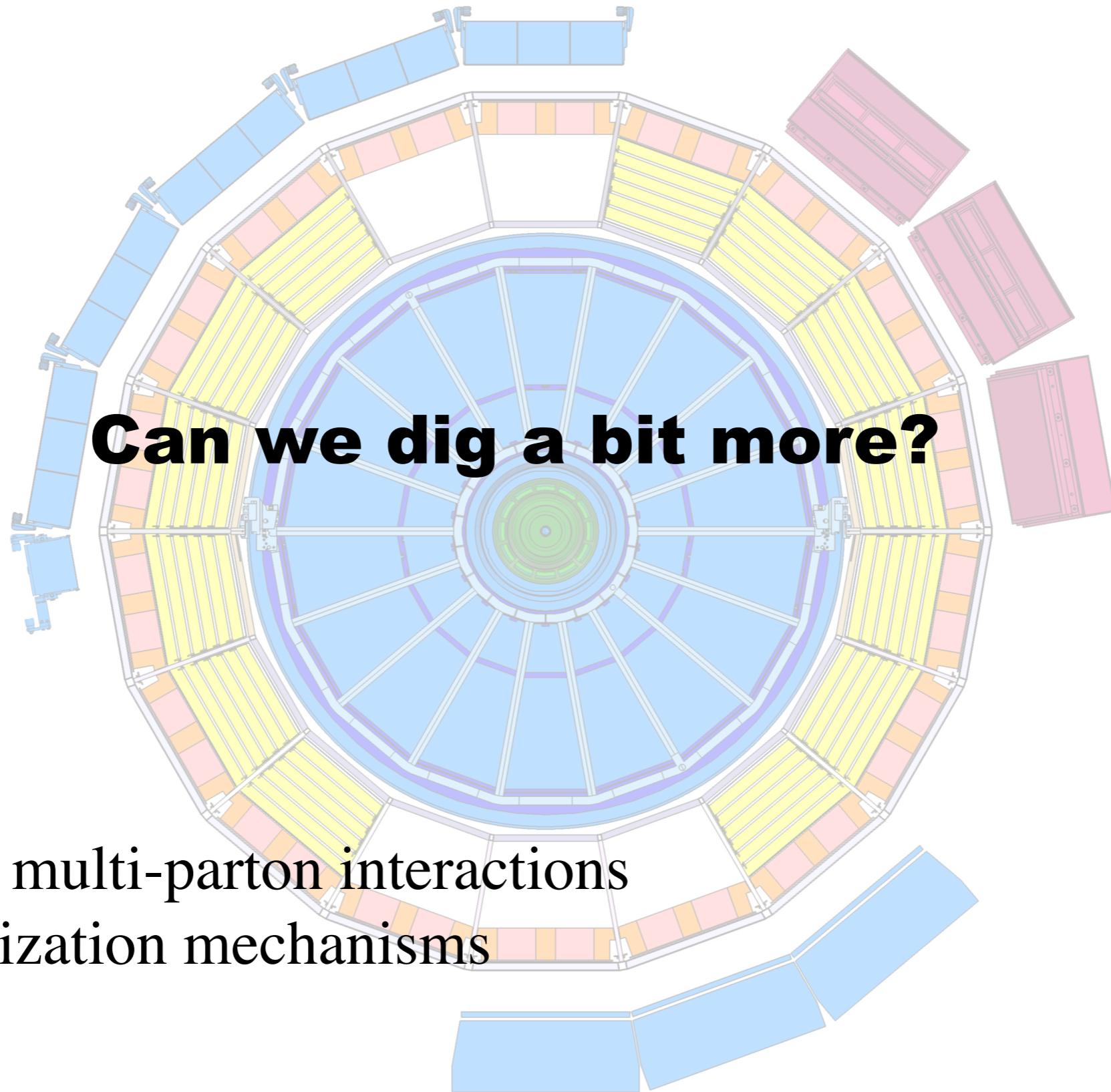


ALI-PREL-135656

- ☑ Cross section at 8 TeV in $2.5 < y < 4$ rapidity integrated (left) and vs rapidity (right). Similar agreement with pQCD calculations (FONLL) as the one found at central rapidity



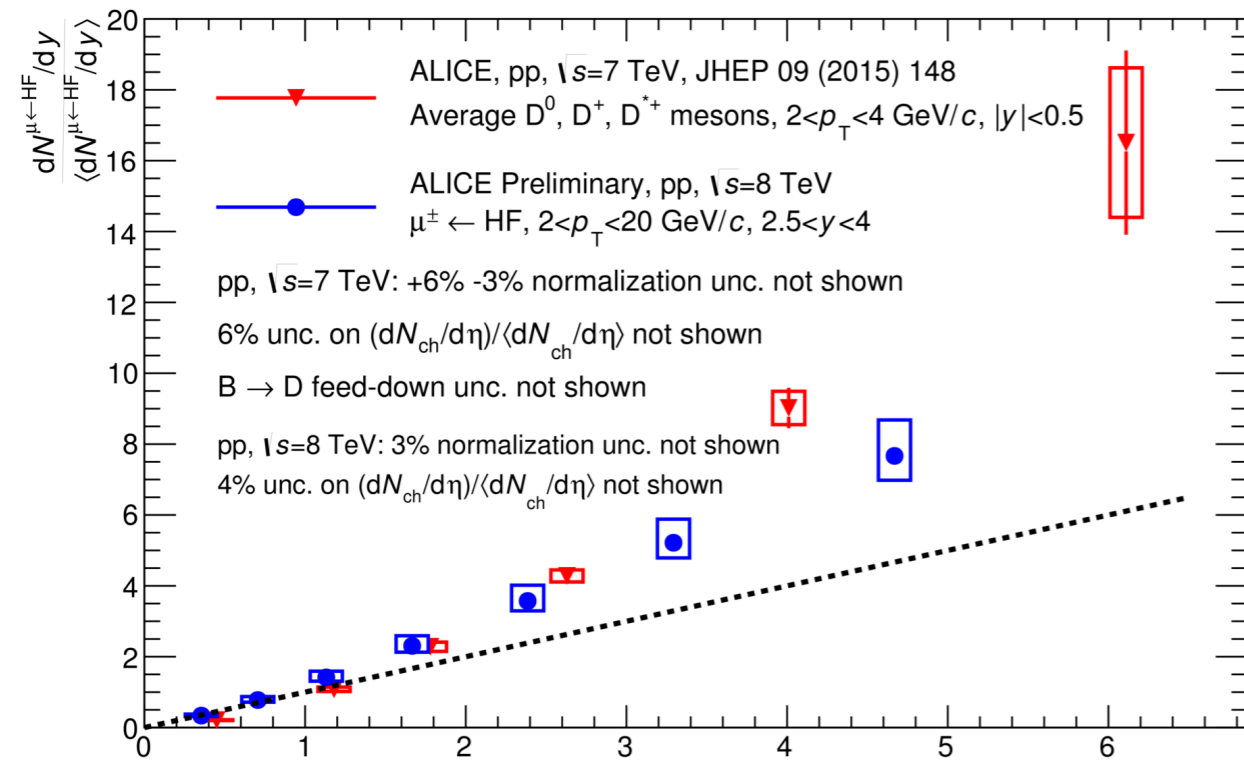
ALICE



Can we dig a bit more?

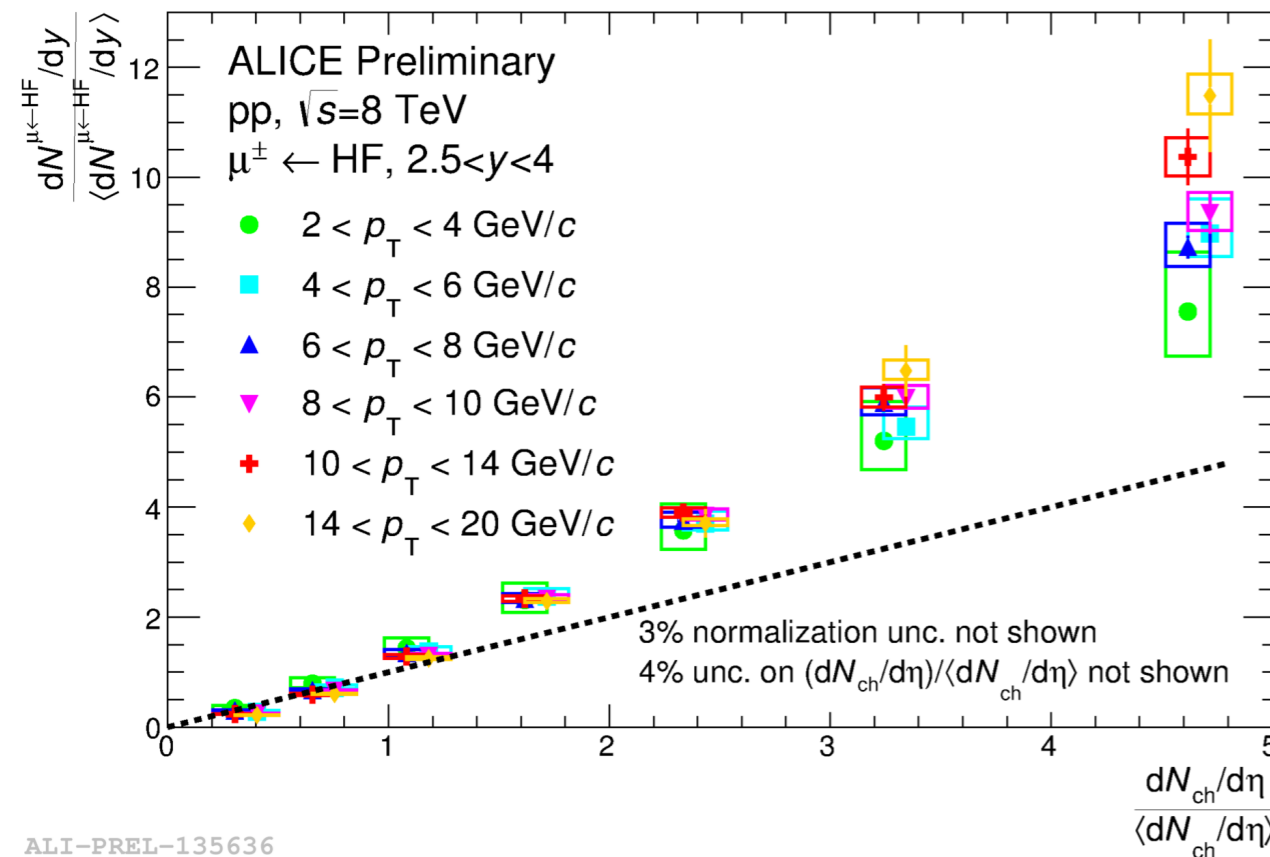
- Role of multi-parton interactions
- Hadronization mechanisms
-

Production vs charged particle multiplicity



Yield of forward HF-decay muons increases with charged particle multiplicity at central rapidity

- Faster than linear increase at high mult.
- Similar trend for HF-decay muons ($2.5 < y < 4$) and D mesons ($|y| < 0.5$)
- Model calculations need to include multiple parton interactions to qualitative describe the trend (see *D mesons in backup*)
- p_T dependence?



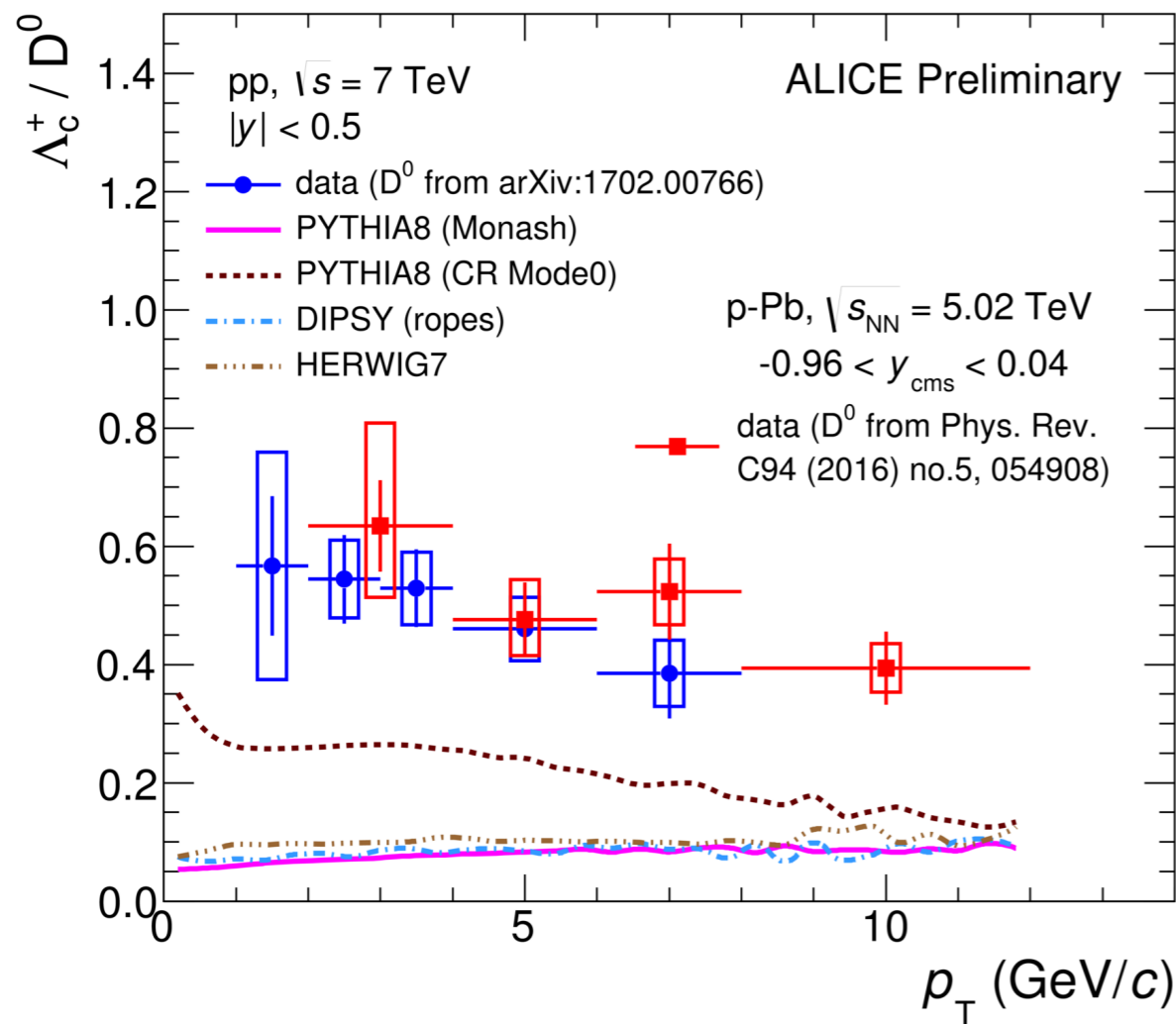
Baryon to meson ratio in pp (and p-Pb): Λ_c



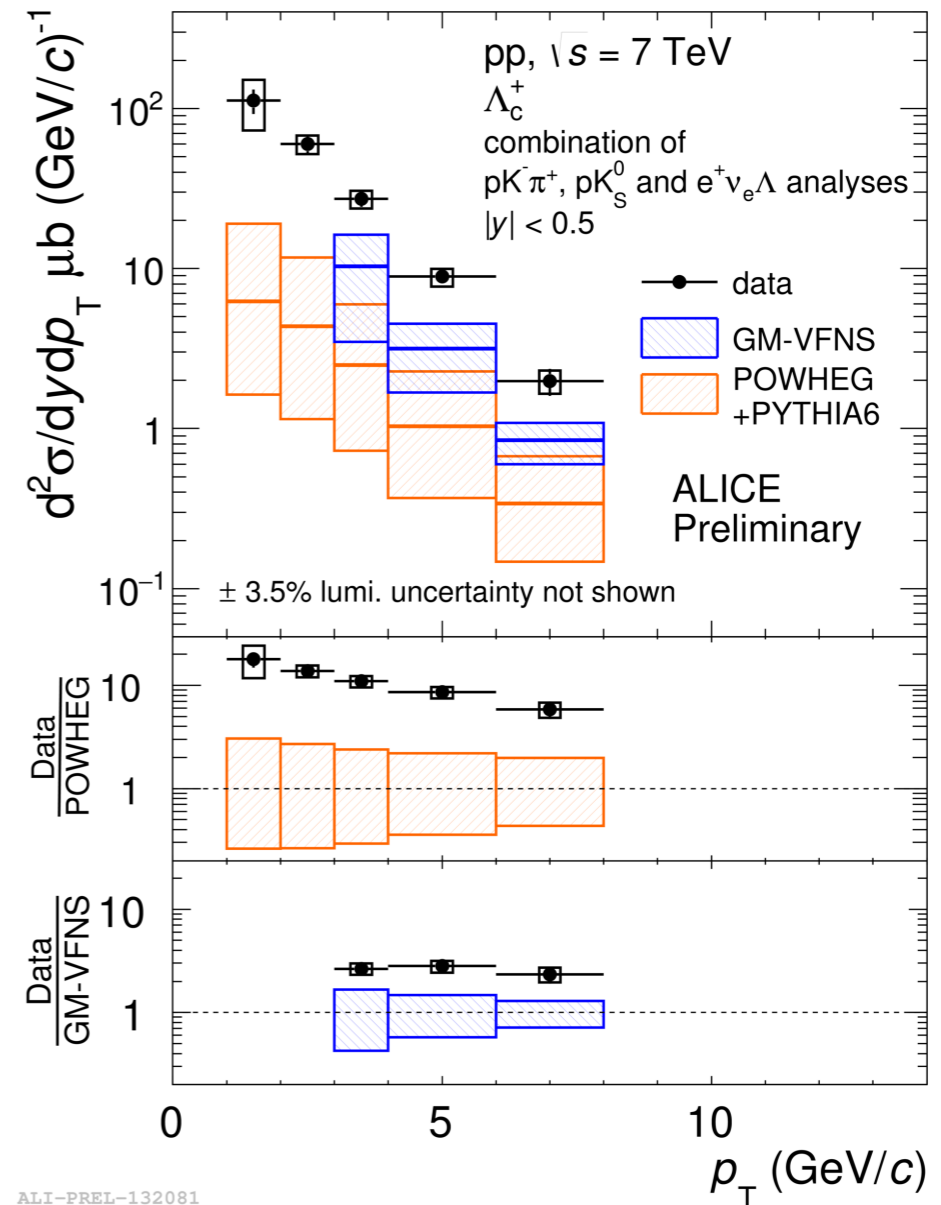
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✓ Further understanding of charm hadronization models:

📌 Do we know charm fragmentation in baryons?



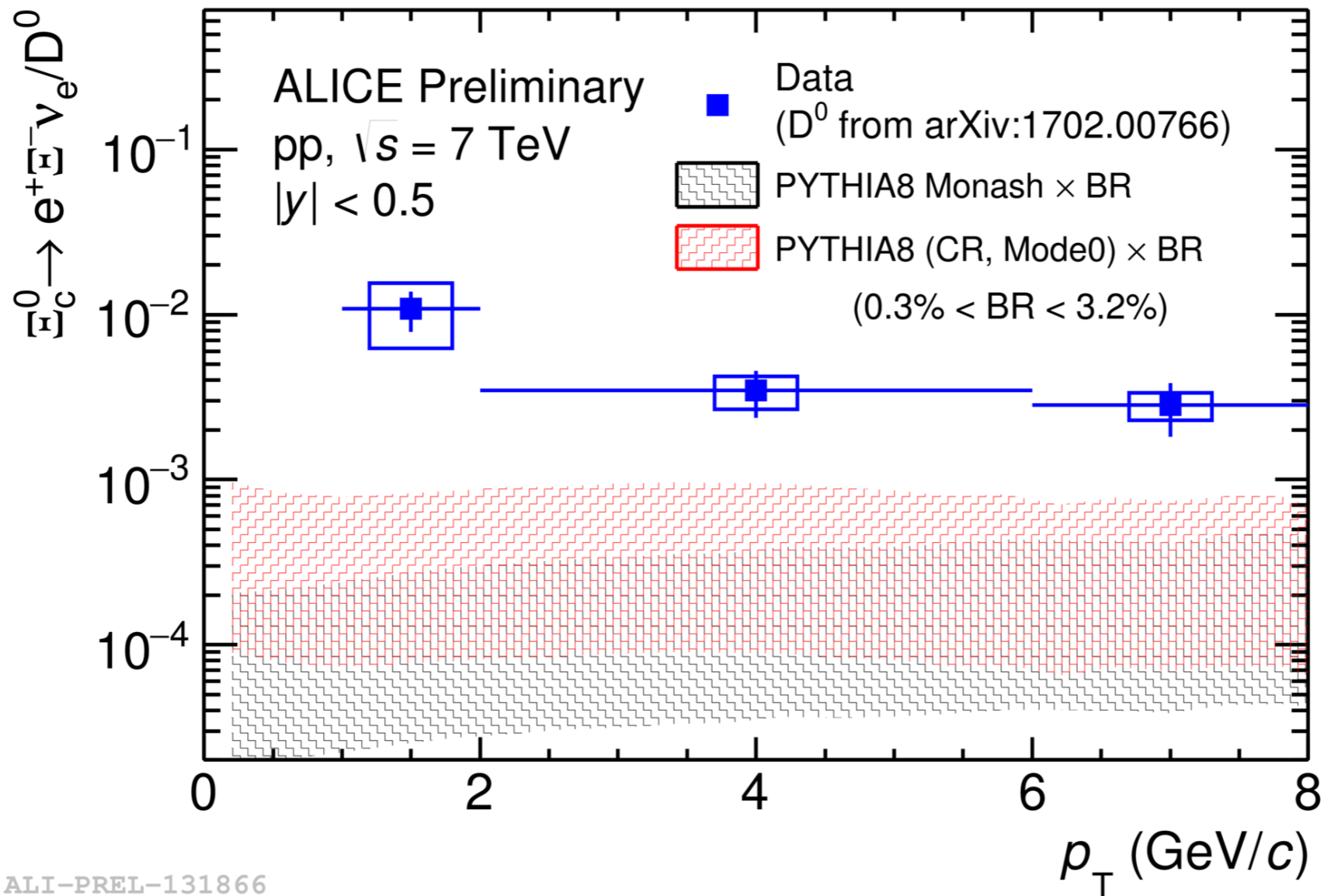
ALI-PREL-132125



ALI-PREL-132081

PYTHIA8: Comput. Phys. Commun. 178 (2008) 852–867, CR, ropes: Phys. Rev. D92 no. 9, (2015) 094010,
DIPSY: JHEP 08 (2011) 103, HERWIG: Eur. Phys. J. C58 (2008) 639–707

Baryon to meson ratio in pp: Ξ_c^0



ALI-PREL-131866

- ☑ Ratio not reproduced by Pythia tunes. Note: bands on Pythia due to unknown BR.



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Open heavy flavour production in p-Pb collisions

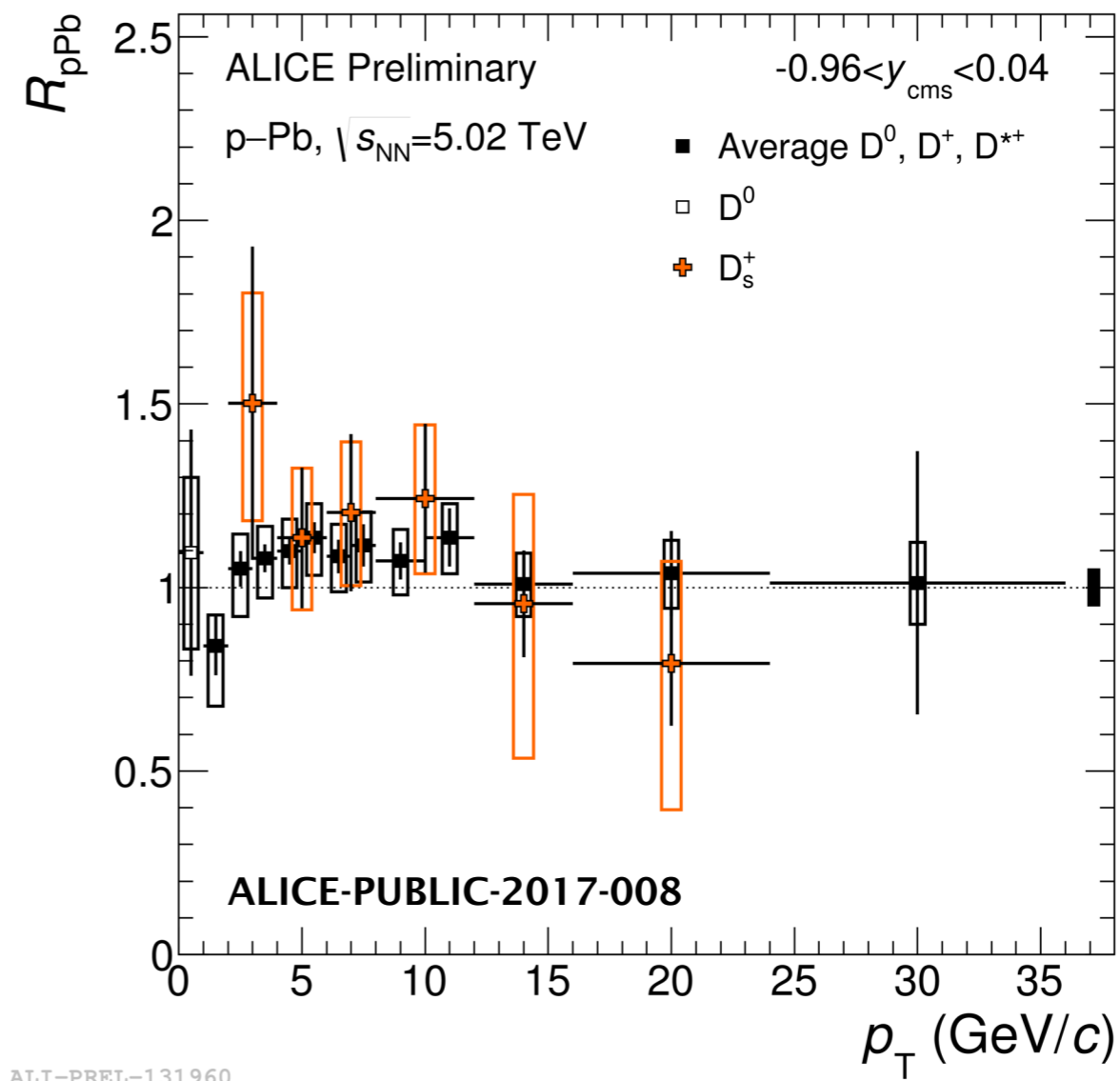
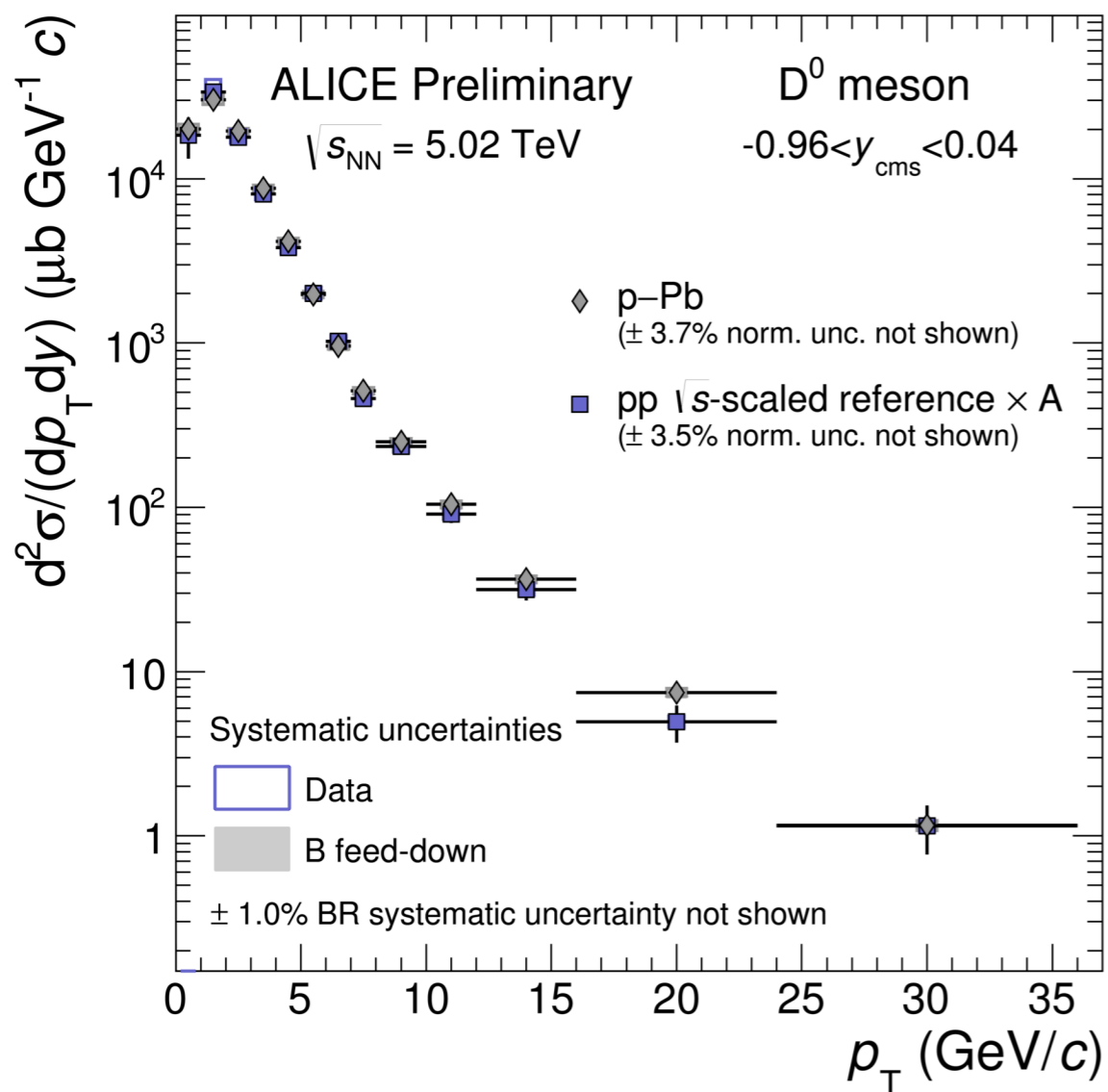
- Reference for cold nuclear matter (CNM) effects.
- Initial/final-state effects (nPDF, saturation, k_T broadening, energy loss)
- Role of collision geometry/multiplicity density
- Collective effects in small systems?

D-meson production



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$$R_{pPb} = \frac{(d\sigma/dp_T)_{pPb}}{A \times (d\sigma/dp_T)_{pp}}$$

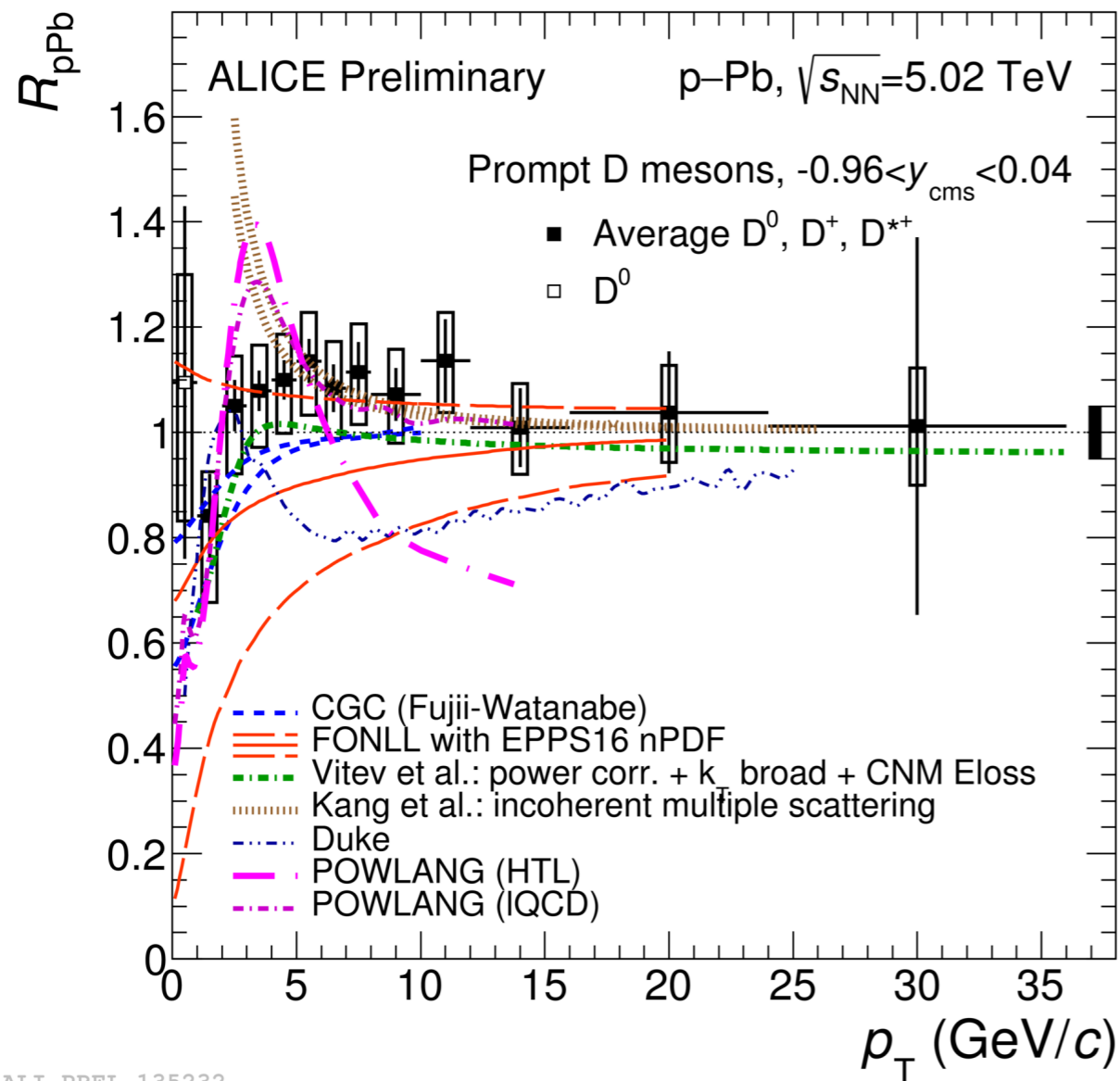


ALI-PREL-131649

ALI-PREL-131960

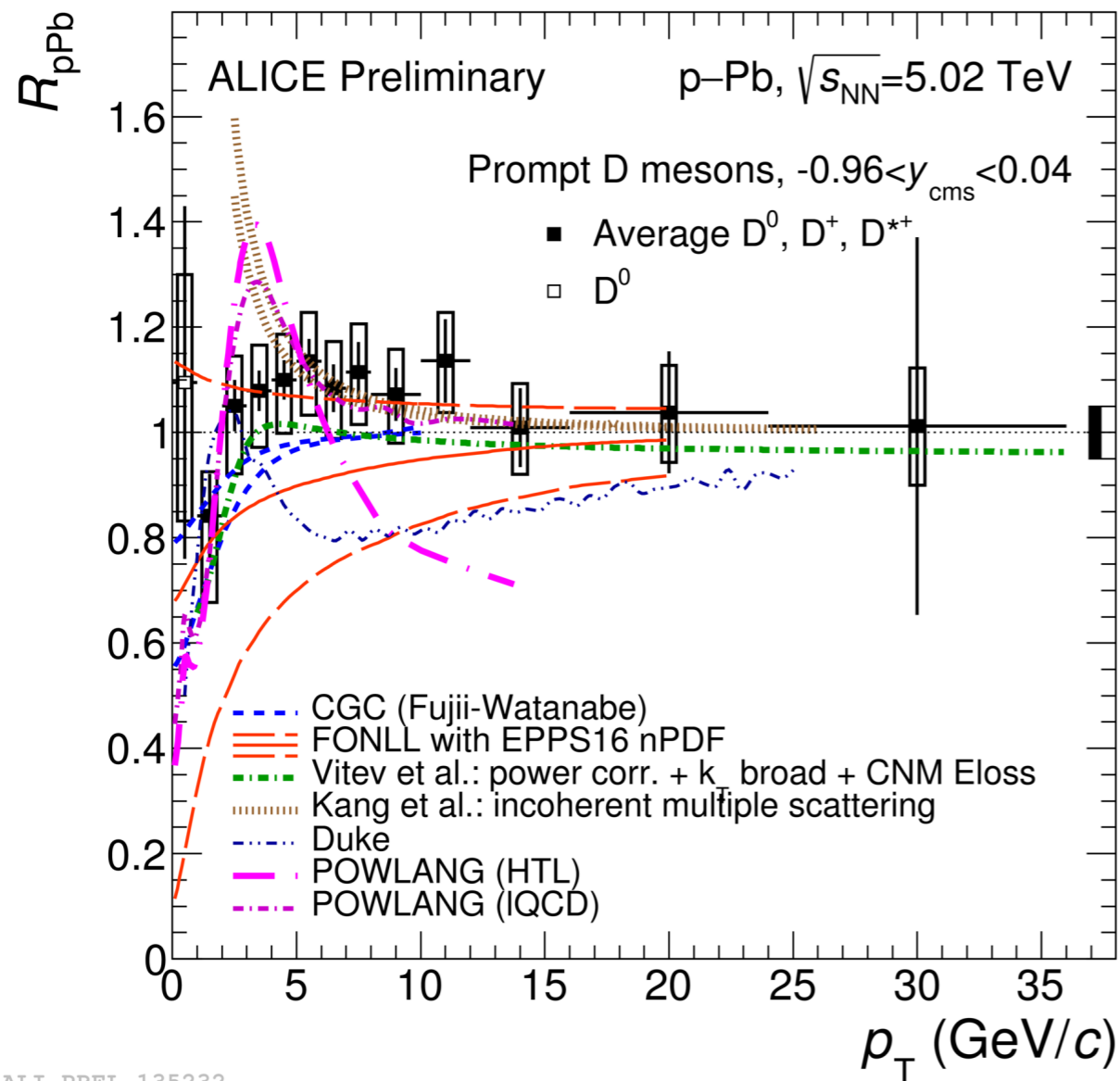
✓ R_{AA} of strange and non-strange D mesons compatible with unity. Consistent with small initial state effects at LHC.

D-meson production



- ☑ Described by models including cold nuclear-matter effects
- ☑ Described my models including the formation of QGP in p-Pb:
 - ➔ data disfavour suppression $> \sim 15\%$ at high- p_T
 - ➔ need to improve the precision of the measurement for a more conclusive statement

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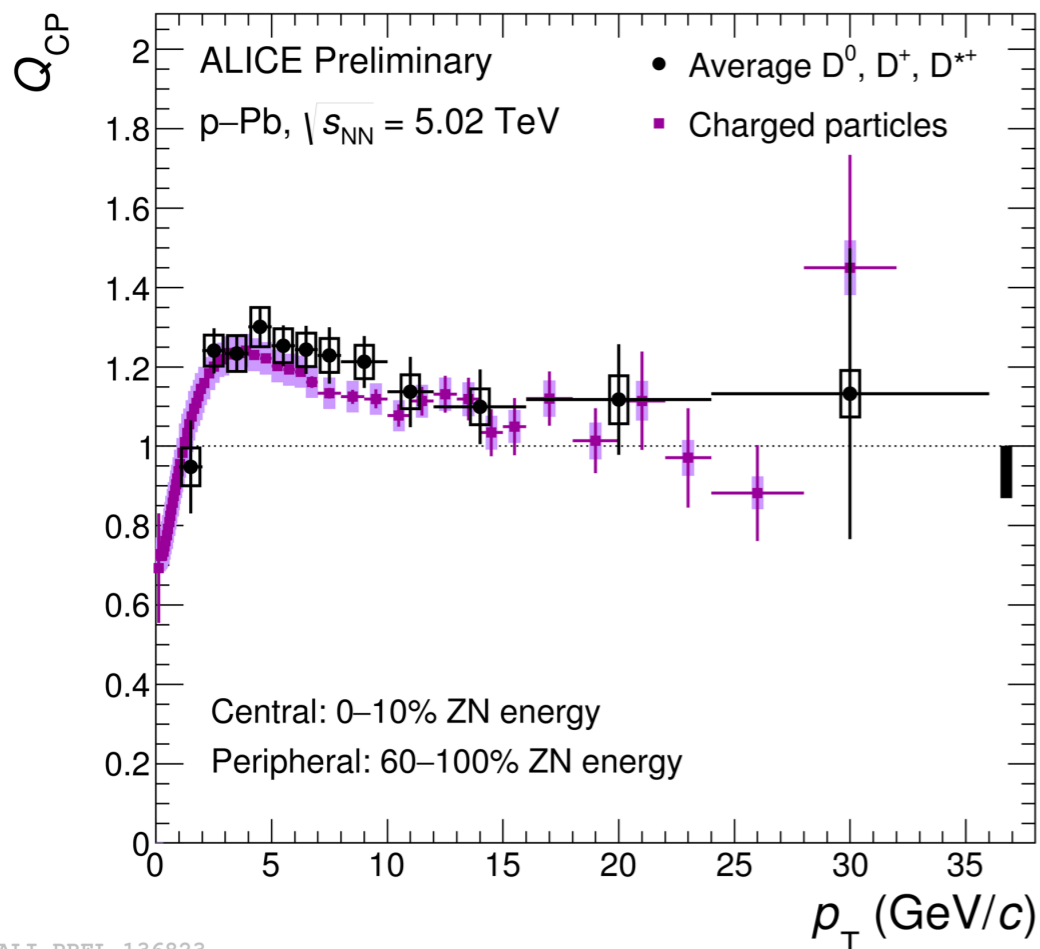
New pp@ 5 TeV reference will improve significantly the measurement

D-meson production vs centrality

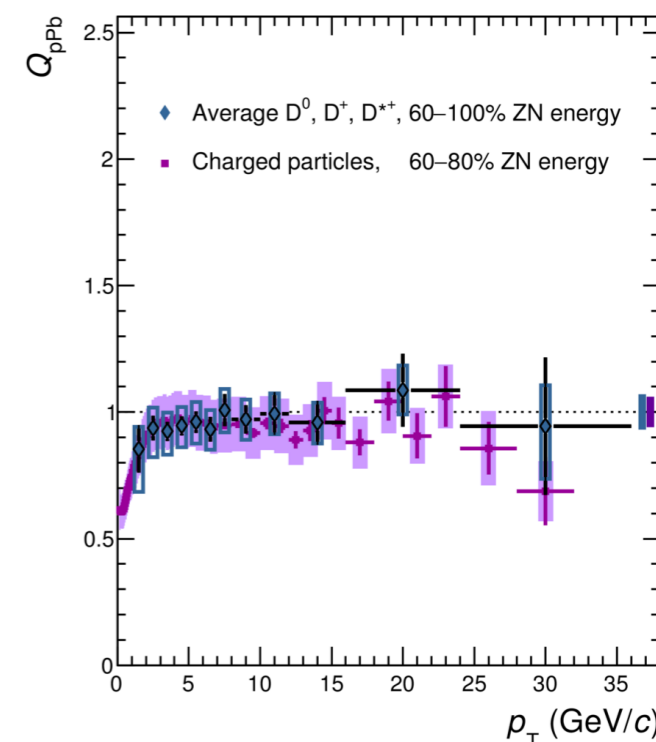
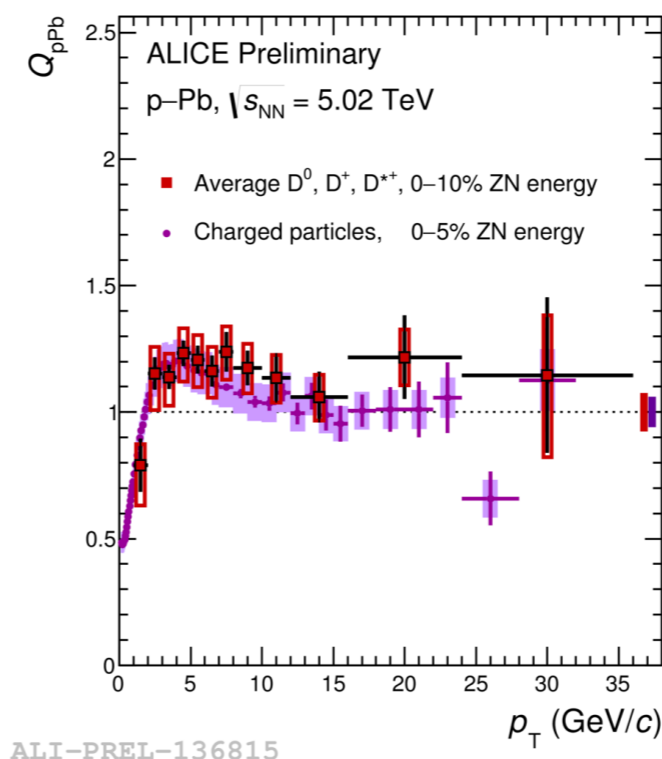


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ALICE-PUBLIC-2017-008



$$Q_{pPb}^{0-10\%}(p_T) = \frac{dN_{pPb}^{0-10\%} / dp_T}{\langle T_{AA} \rangle_{0-10\%} \times d\sigma_{pp} / dp_T}$$



Hint for D-meson “Central-to-peripheral” ratio (Q_{CP}) larger than unity

✓ 1.5σ in $2 < p_T < 8$ GeV/c

Very similar to charged pion Q_{CP}

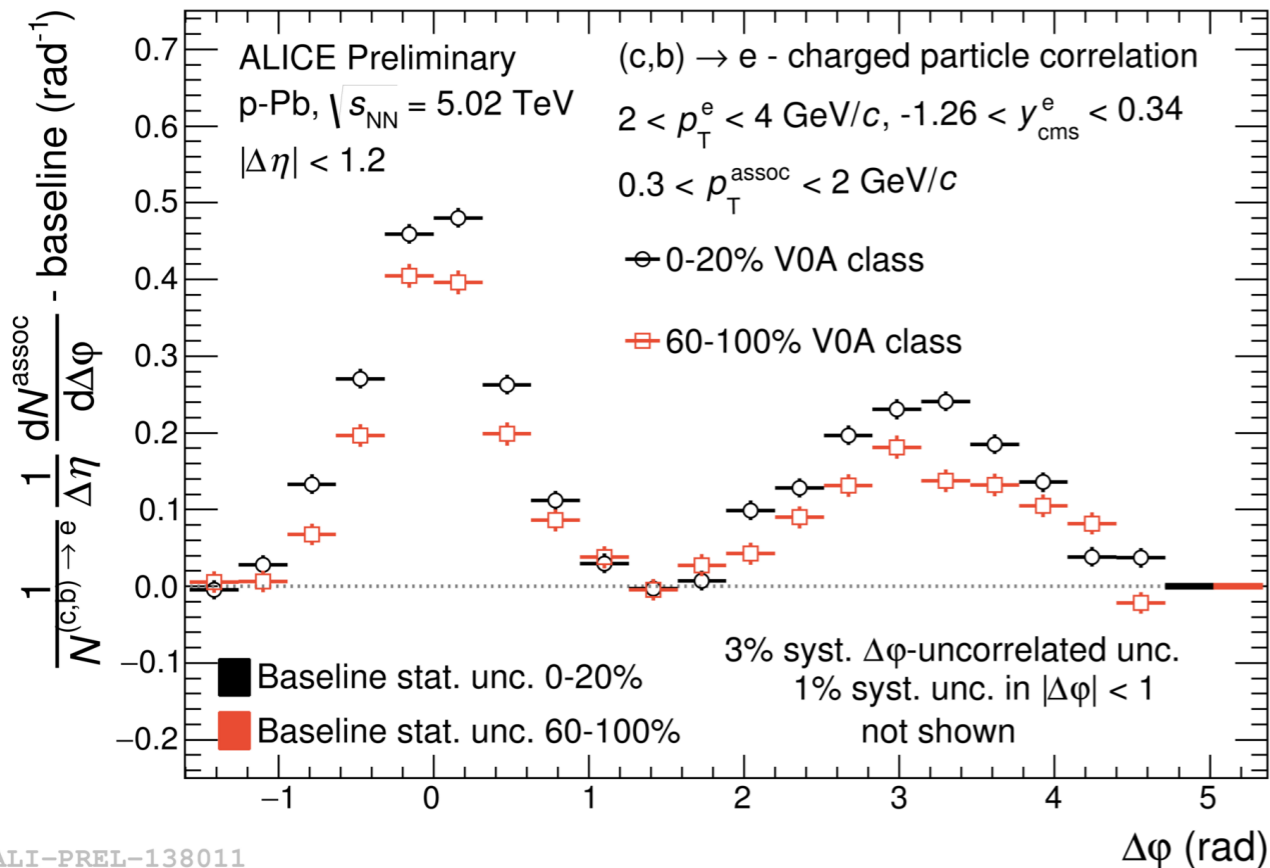
Initial-state effect? Mass effect? Radial flow?

... need comparison with theoretical calculations

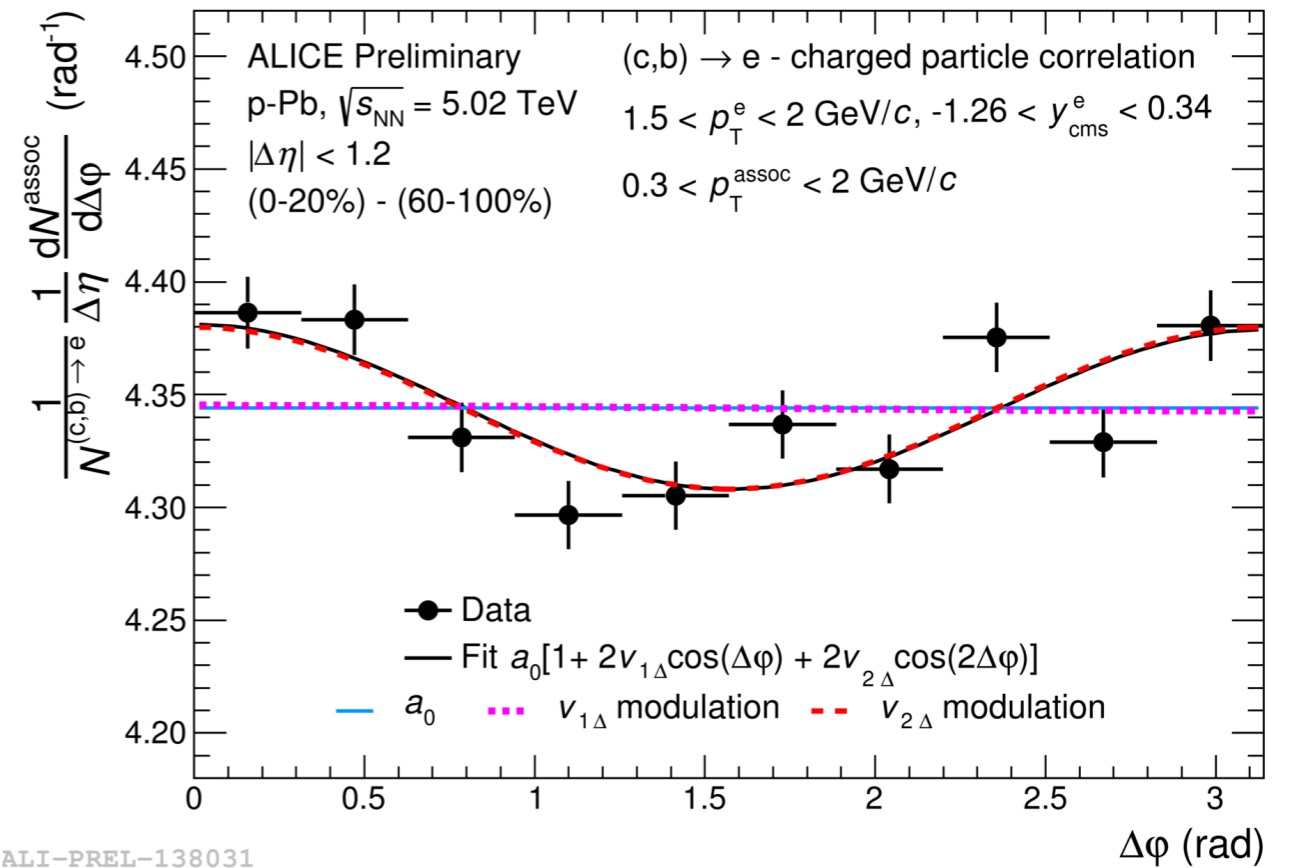
Heavy-flavour decay electron v_2



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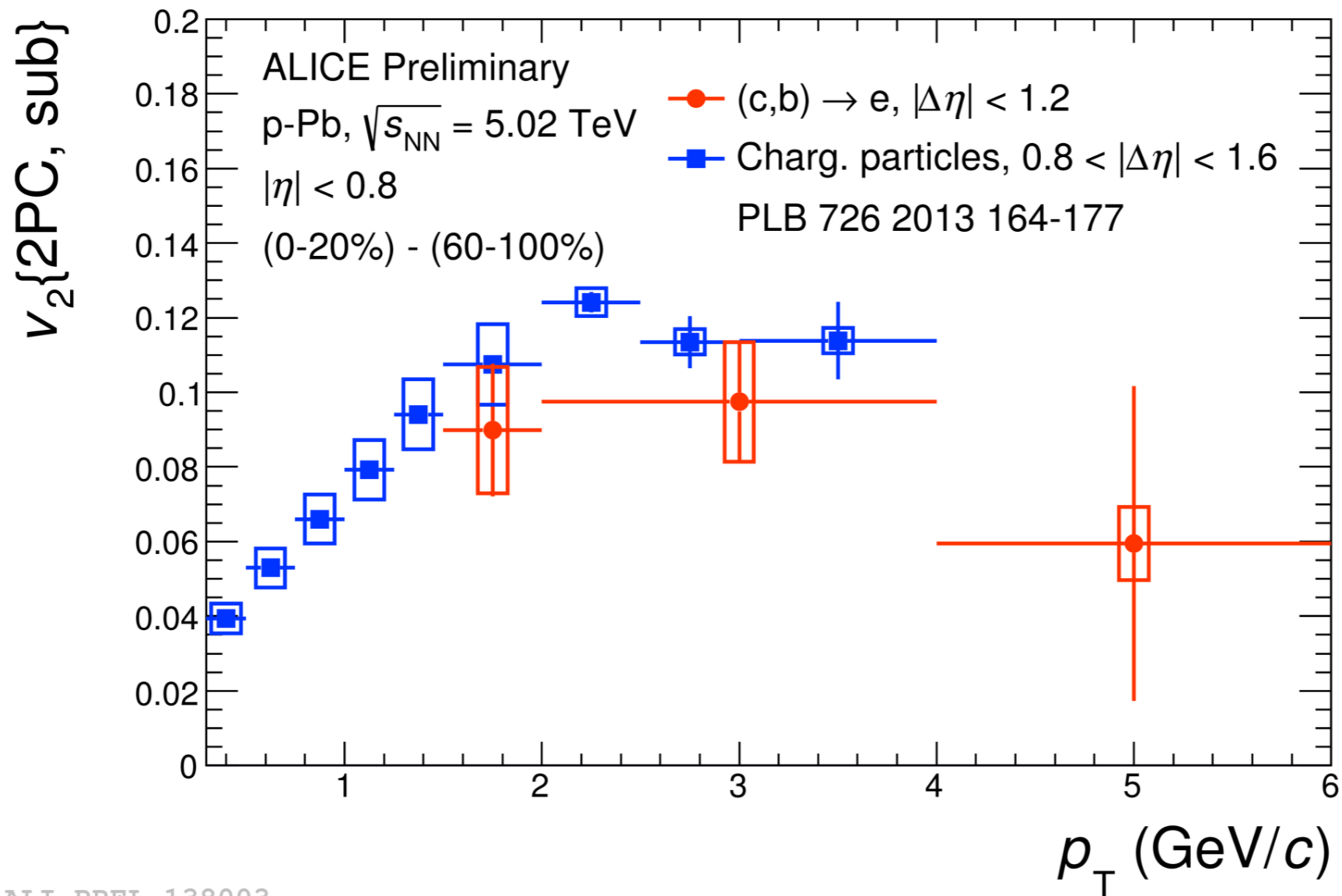
ALI-PREL-138011



ALI-PREL-138031

- ✓ Analysis of electron-hadron azimuthal correlations in 20% collisions with higher multiplicity
- ✓ 60-100% multiplicity class used to subtract the jet contribution

Heavy-flavour decay electron v_2



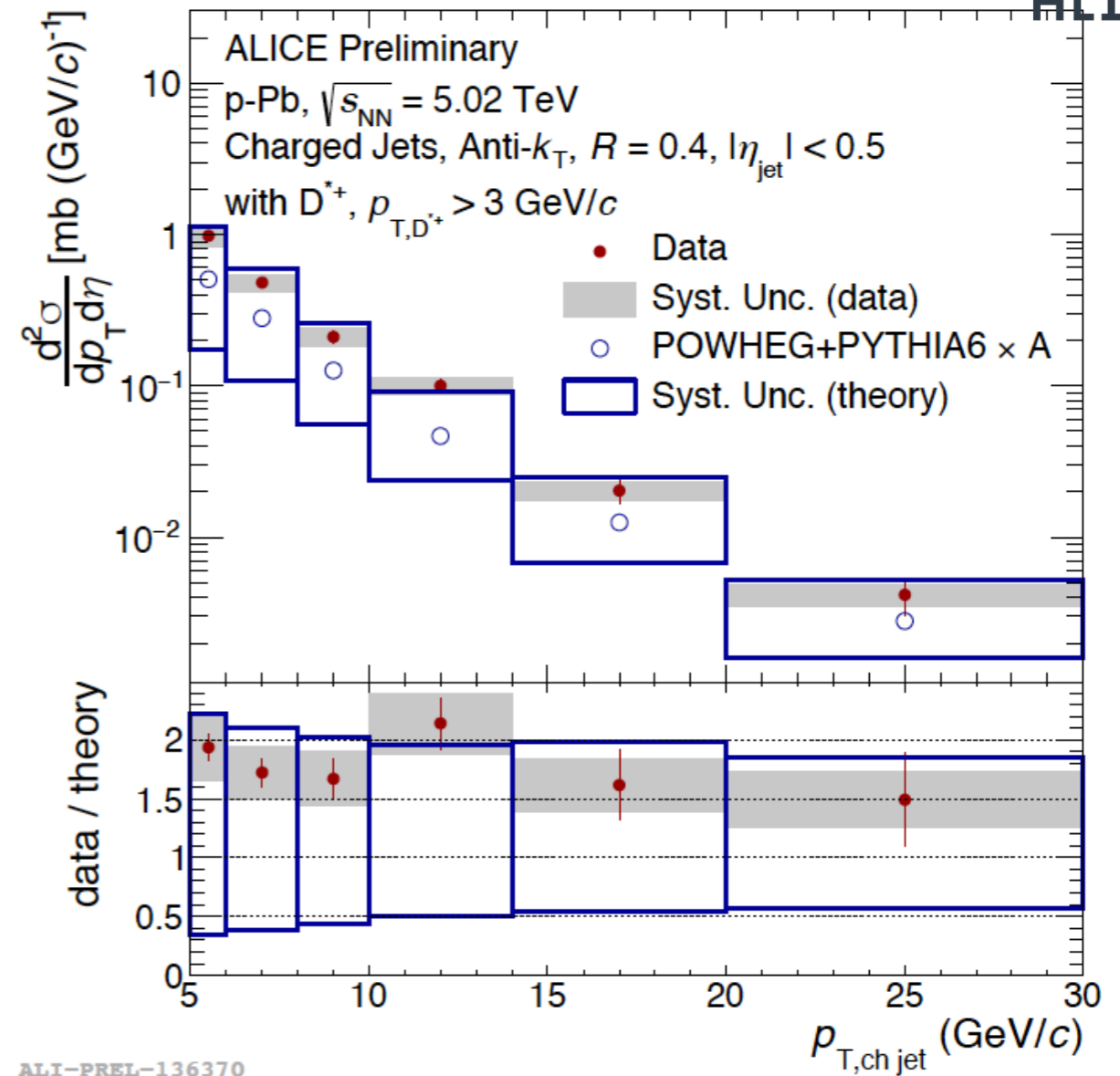
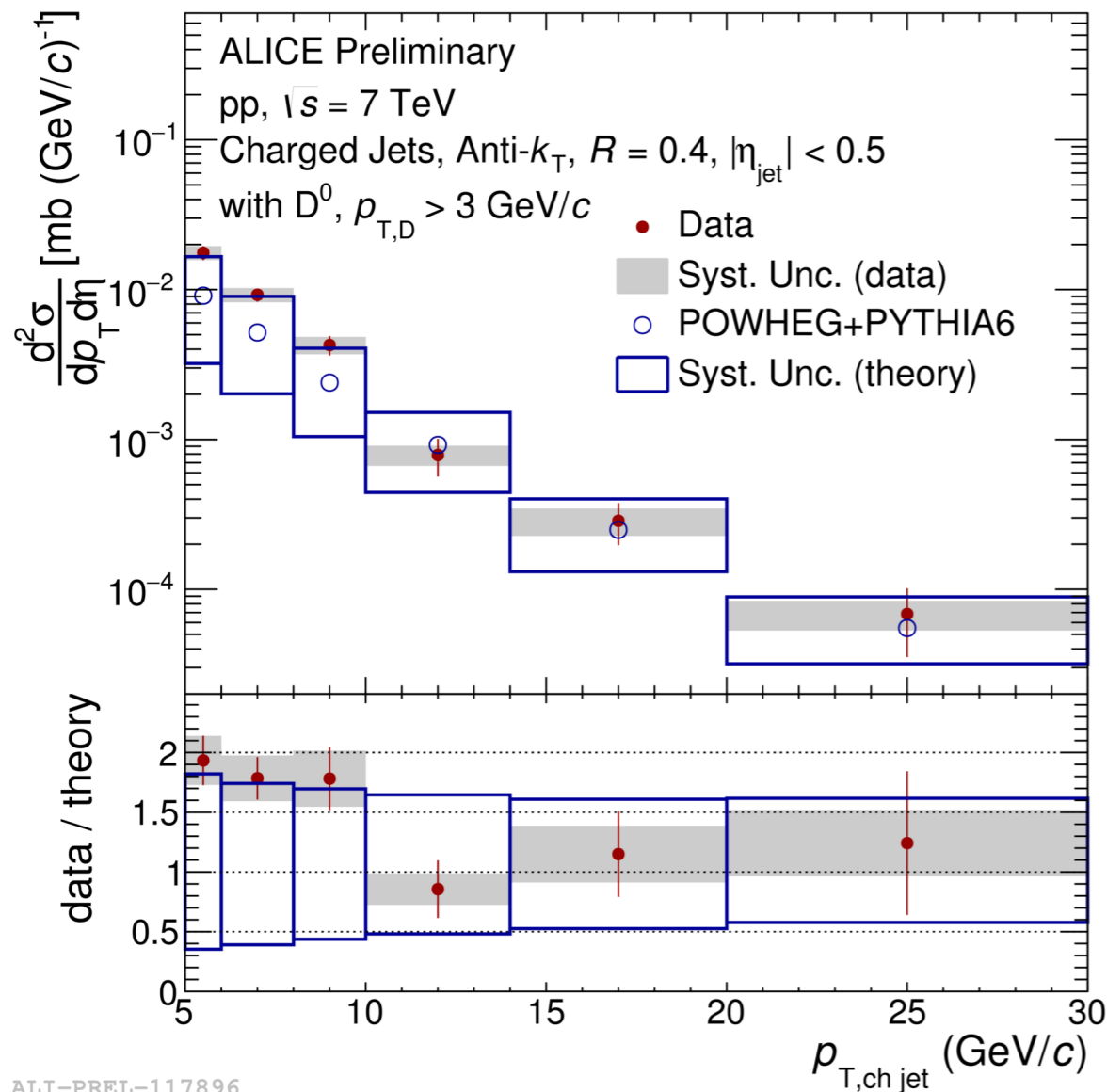
ALI-PREL-138003

- 📌 Positive v_2 measured for heavy-flavour decay electrons at $\sim 4.4\sigma$:
 - ✅ Initial-state effects, collective effects?
 - ✅ Data suggest a v_2 comparable with the one of charged particles

Study of HF jet production in p-Pb (and pp)



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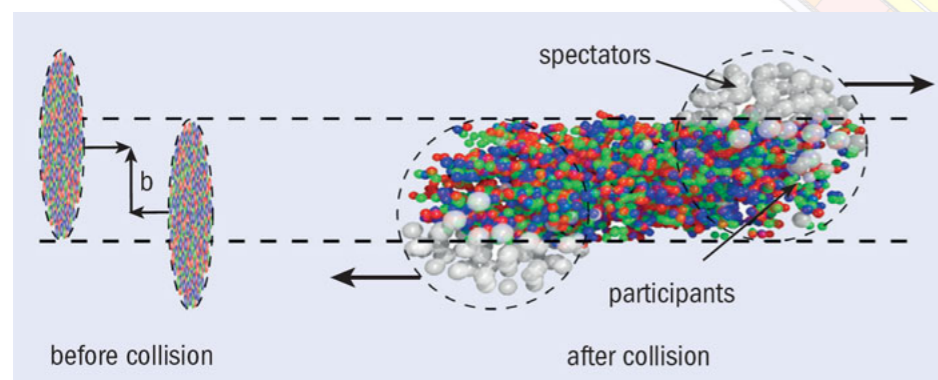


- ☑ D-jet spectrum measured down to $p_T = 5$ GeV/c \rightarrow *strong constraint for theory*
- ☑ Described by POWHEG+PYTHIA6 (Perugia 2011 tune) simulation within uncertainty
- ☑ Unique opportunity to study charm jet properties and structure (... in Pb-Pb)



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Open heavy flavour production in Pb-Pb collisions



Note: lead ions are extended objects therefore collisions can be classified based on “**centrality**”. In central collisions, i.e 0-10%, we have larger overlap than in peripheral.

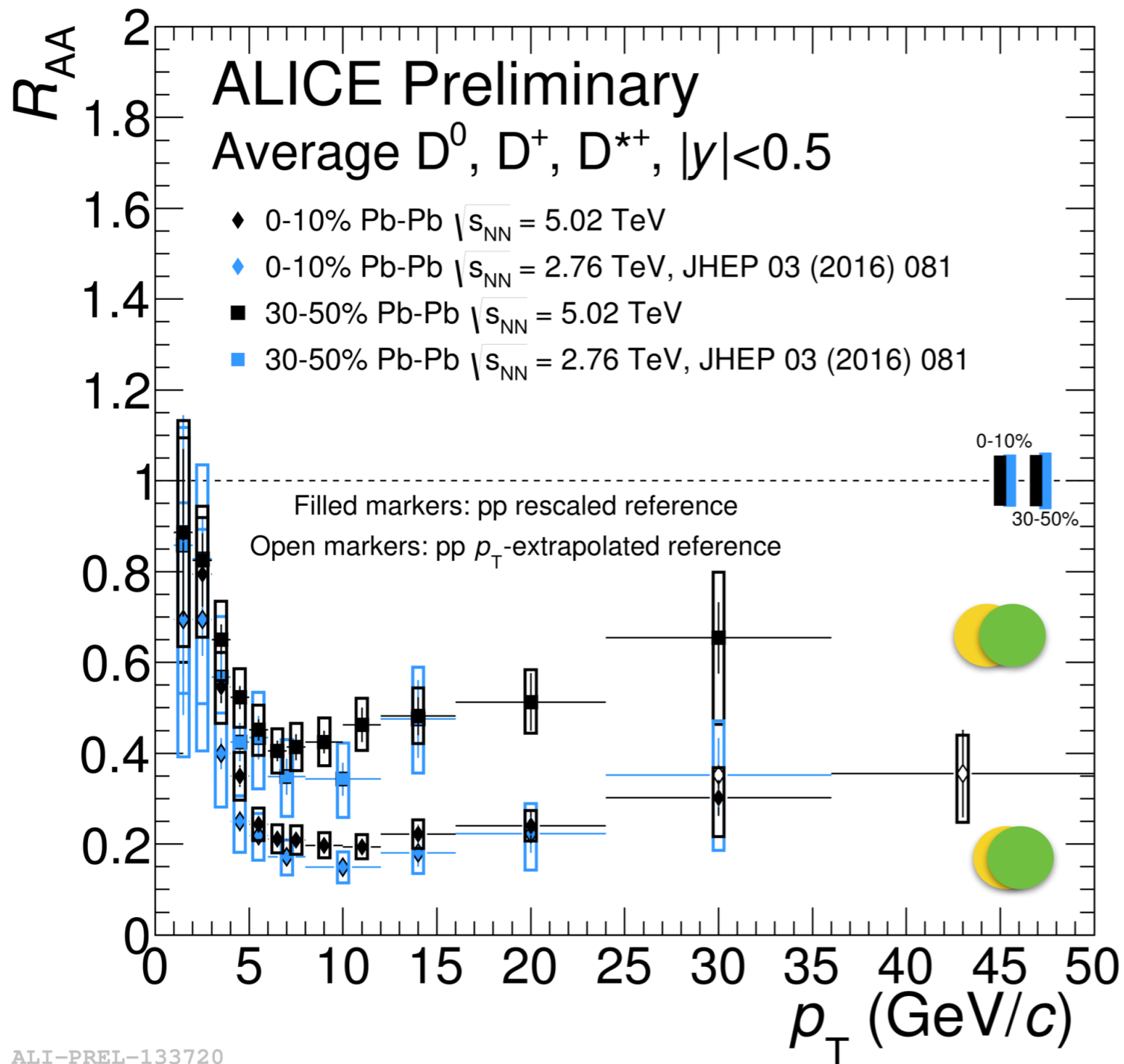
D-mesons R_{AA}



ALICE

JHEP 03 (2016) 081

ALICE-PUBLIC-2017-003



- ✓ ALICE measured non-strange D mesons R_{AA} in LHC run I and II in several centrality regions
- ✓ Run II data: extended p_T reach and increased statistical precision
- ✓ Similar suppression at 2.76 and 5.02 TeV .. that cannot be explained with initial state effects

ALI-PREL-133720

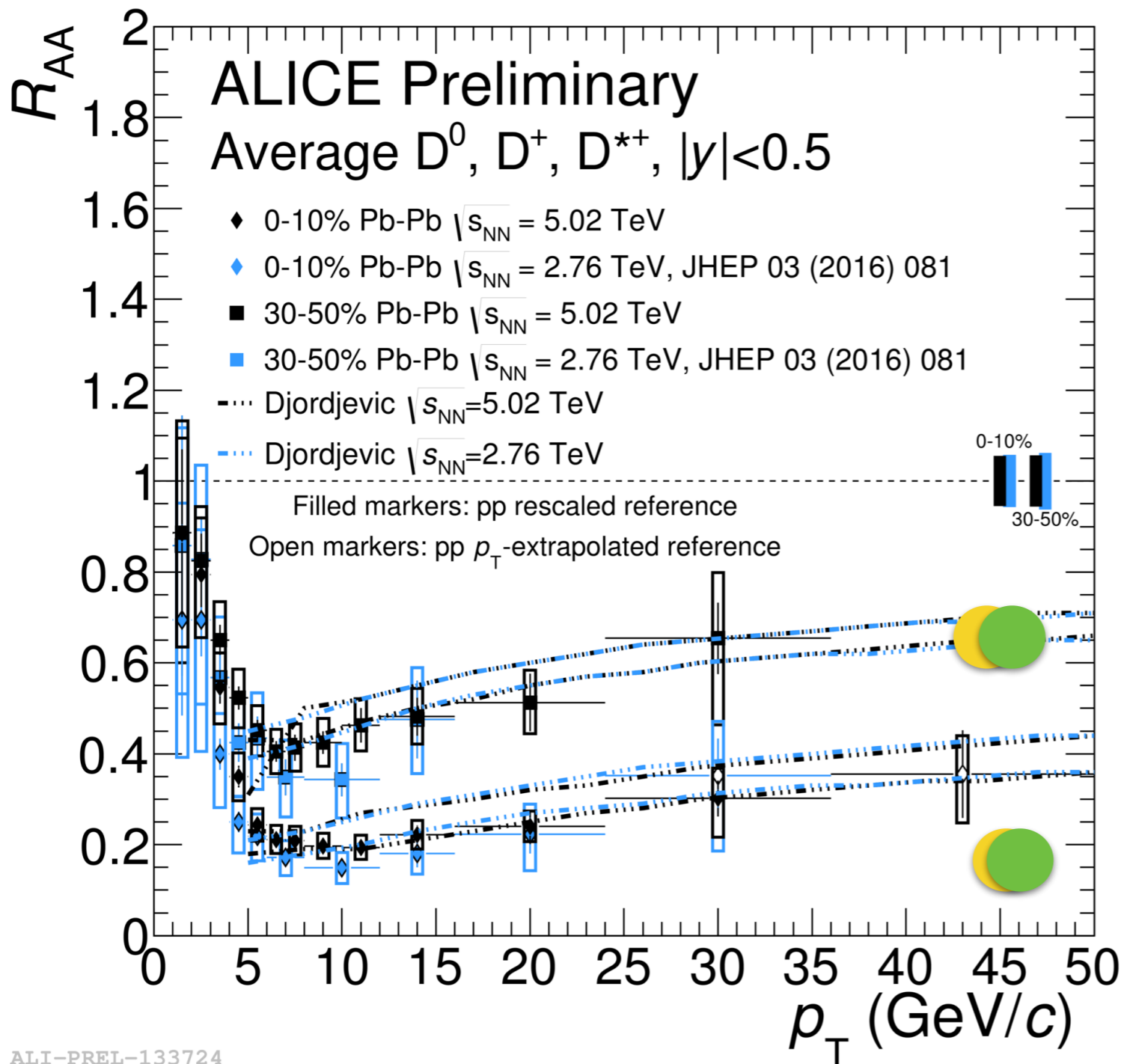
D-mesons R_{AA}



ALICE

JHEP 03 (2016) 081

ALICE-PUBLIC-2017-003



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Expected from theory calculations as a consequence between the balance between harder spectrum and denser medium

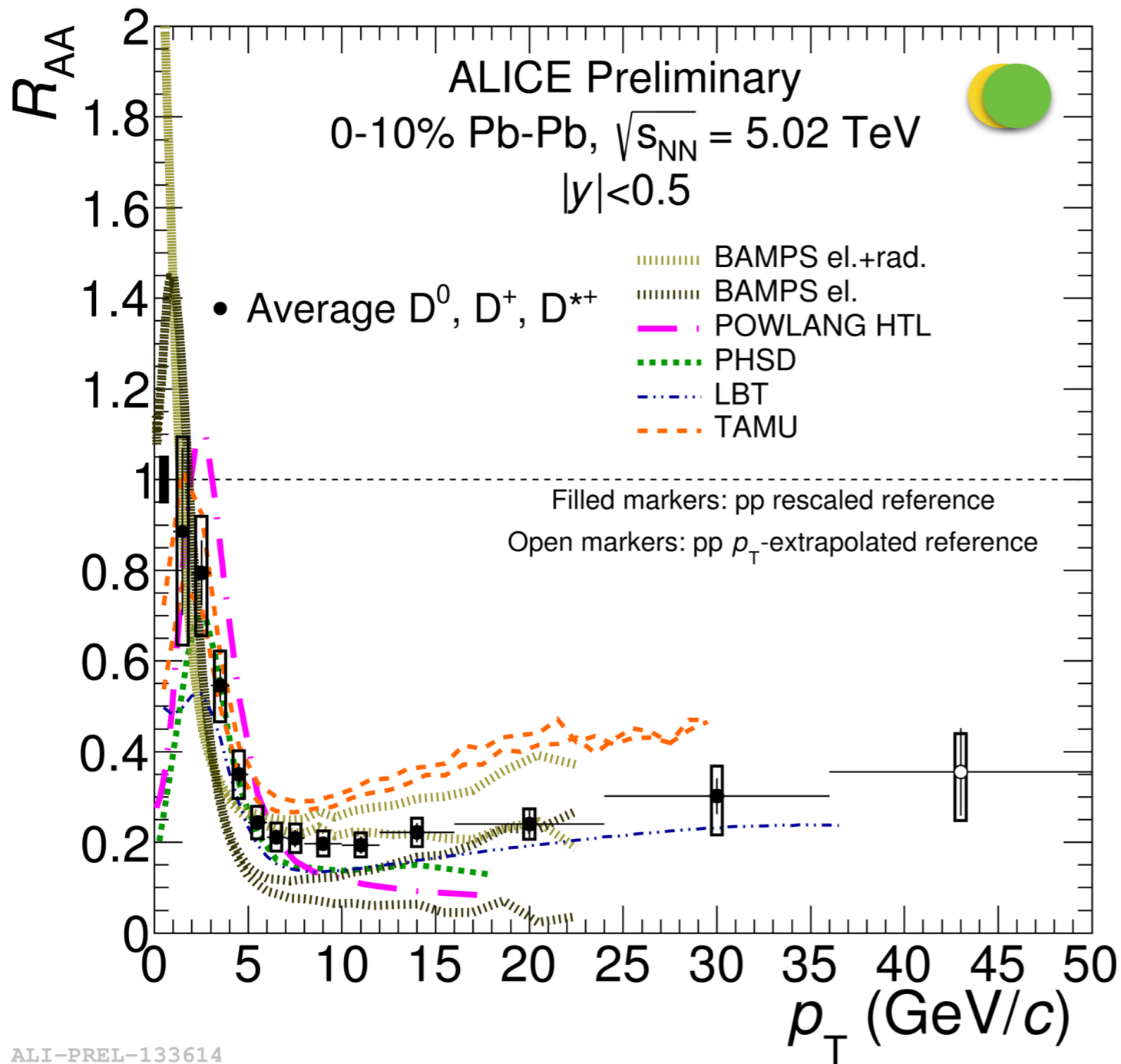
Djordjevic, Phys. Rev. C92 (2015) 024918

ALI-PREL-133724

D-mesons R_{AA} : comparison with models



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Transport models

PHSD PRC 93 (2016) 034906 (collisional + radiative energy loss, recombination, hydro and nPDF)

LBT arXiv:1703.00822 (collisional + radiative energy loss, recombination, hydro and nPDF)

BAMPS J. Phys. G42 (2015) 115106 (collisional + radiative energy loss, hydro)

POWLANG EPJC 75 (2015) 121 (collisional energy loss, recombination, hydro + nPDF)

TAMU Phys. Lett. B735 (2014) 445 (collisional energy loss, recombination, hydro + nPDF)

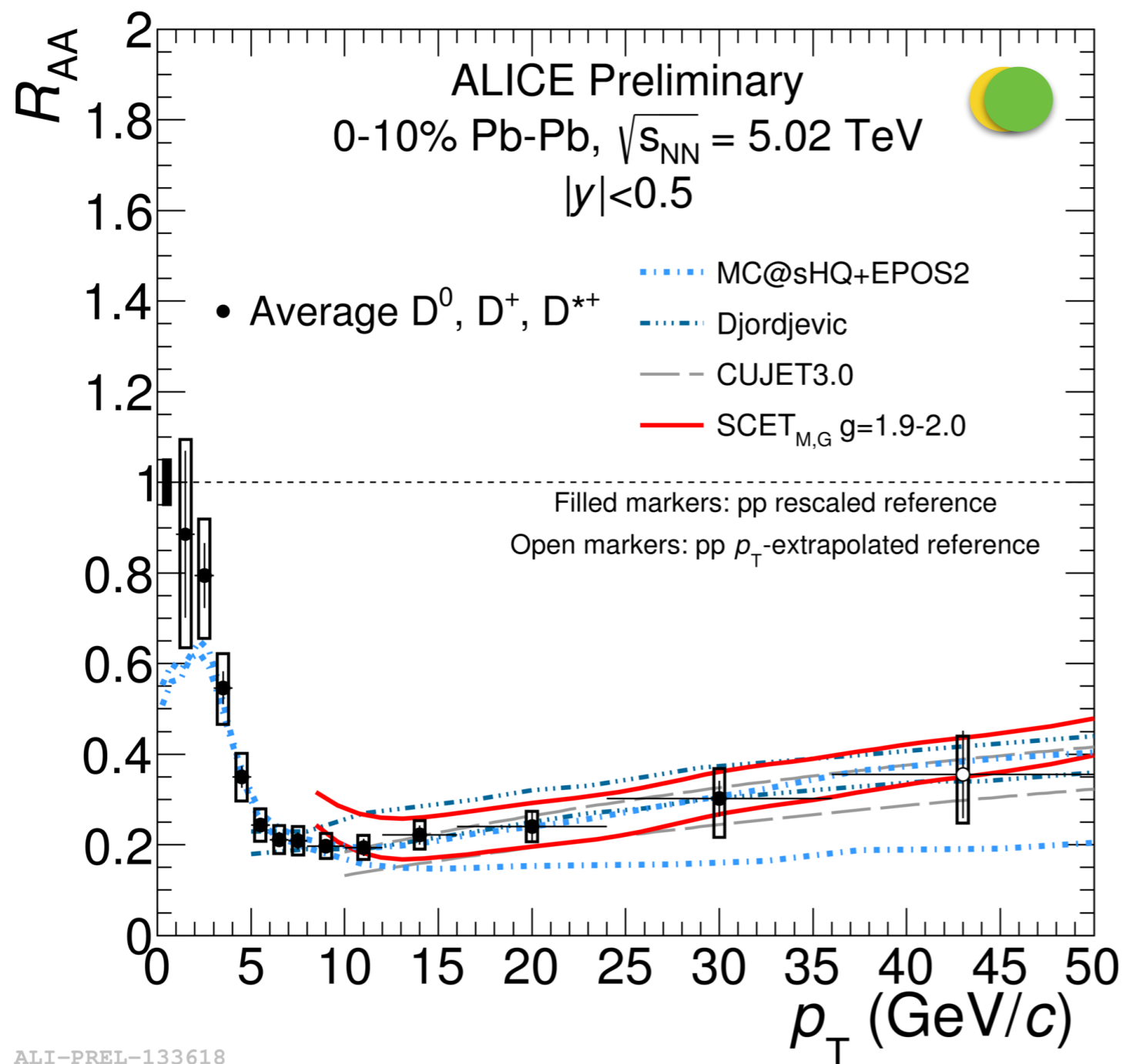
ALI-PREL-133614

- ☑ Radiative energy loss needed to describe the data at high p_T while at low p_T collisional + shadowing improve the data description

D-mesons R_{AA} : comparison with models



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ALI-PREL-133618

QCD based E_{loss} models

CUJET3.0 JHEP 02 (2016) 169 (collisional + radiative energy loss)

Djordjevic PRC 92 (2015) 024918 (collisional + radiative energy loss, nPDF)

MC@sHQ+EPOS PRC 89 (2014) 014905 (collisional + radiative energy loss, recombination, hydro and nPDF)

SCET JHEP 03 (2017) 146 (collisional + radiative energy loss, nPDF)

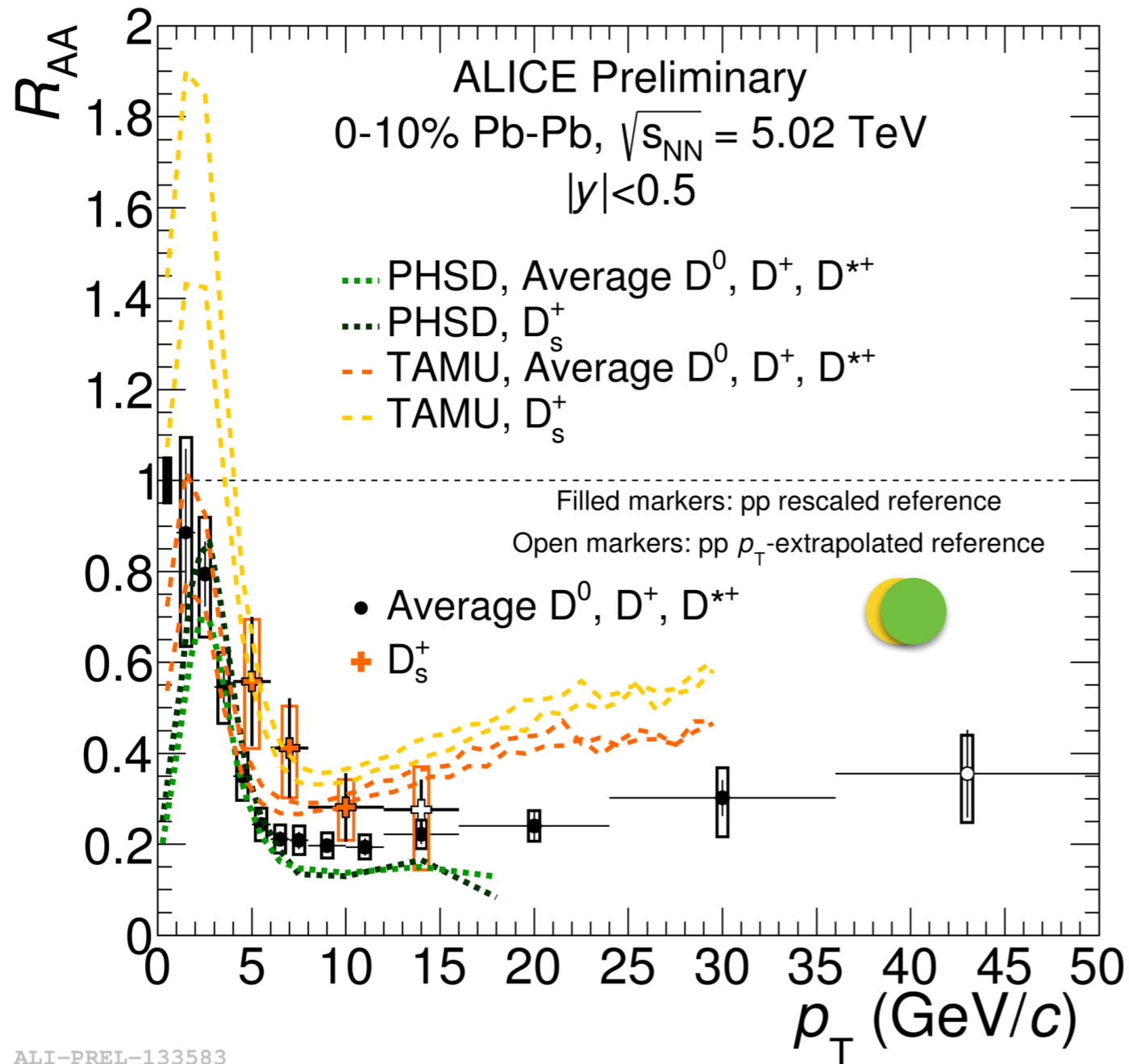
- ✓ Radiative energy loss needed to describe the data at high p_T while at low p_T collisional + shadowing improve the data description

D_s meson R_{AA}



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ALICE-PUBLIC-2017-003



ALI-PREL-133583

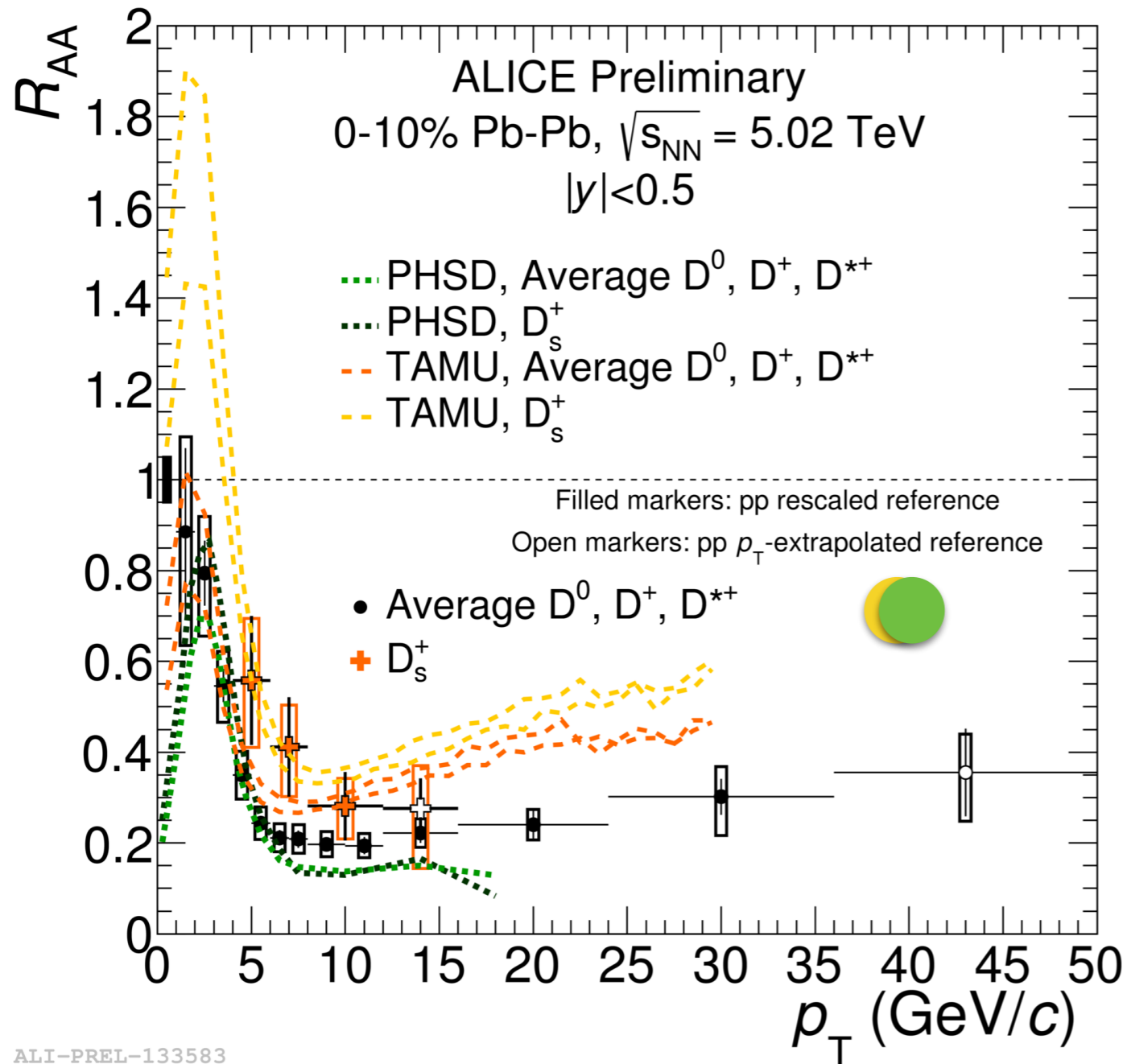
- ☑ If **coalescence processes** play a major role in charm hadronization, the R_{AA} of D_s is expected to be largely enhanced with respect to the other D R_{AA}
- ☑ D_s in ALICE data show an hint of enhancement wrt the non-strange D mesons.

D_s meson R_{AA}



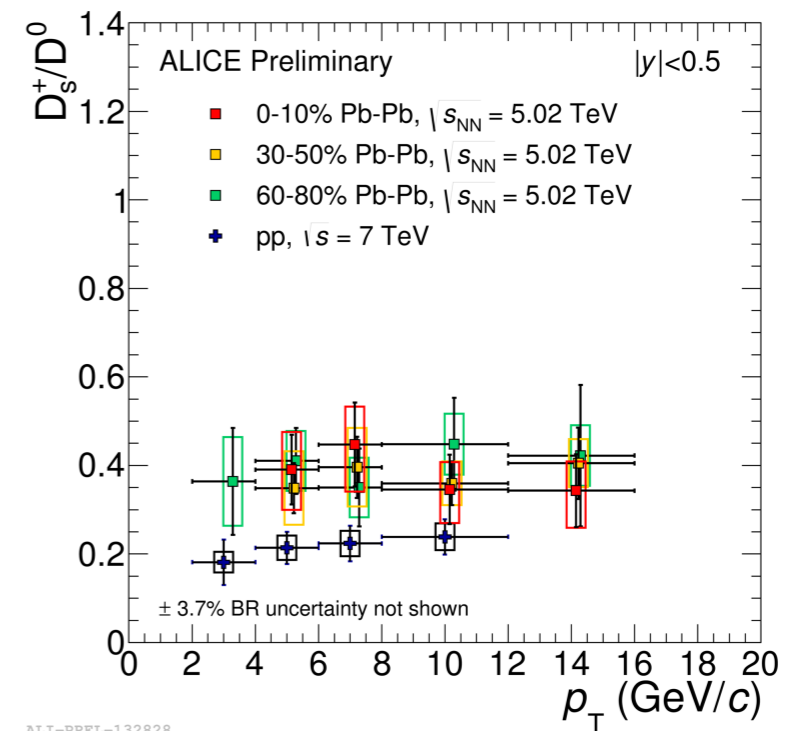
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ALICE-PUBLIC-2017-003



ALI-PREL-133583

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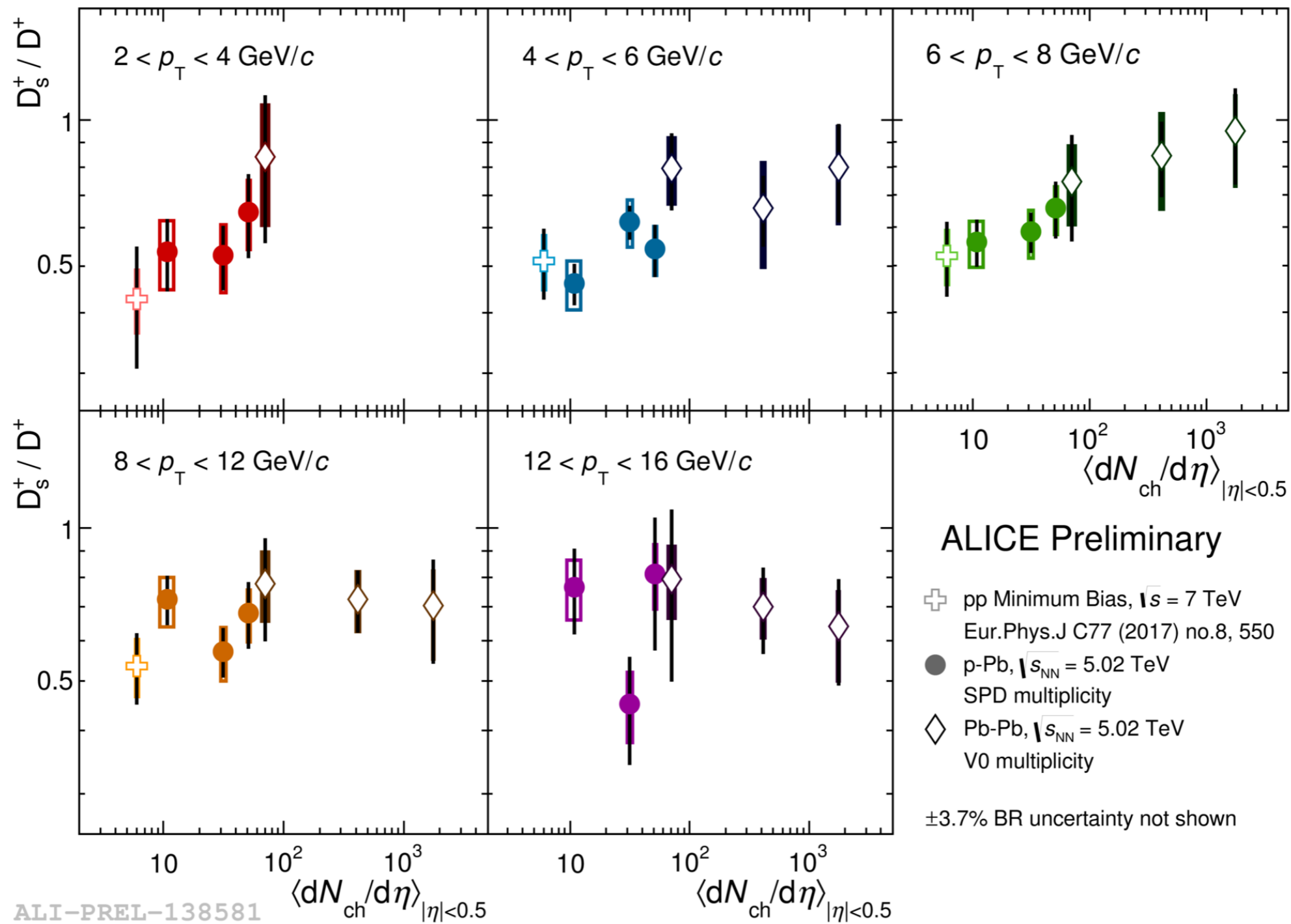
ALI-PREL-132828

➔ Not conclusive, additional data needed

D_s/D^0 ratios in pp, p-Pb and Pb-Pb



ALICE

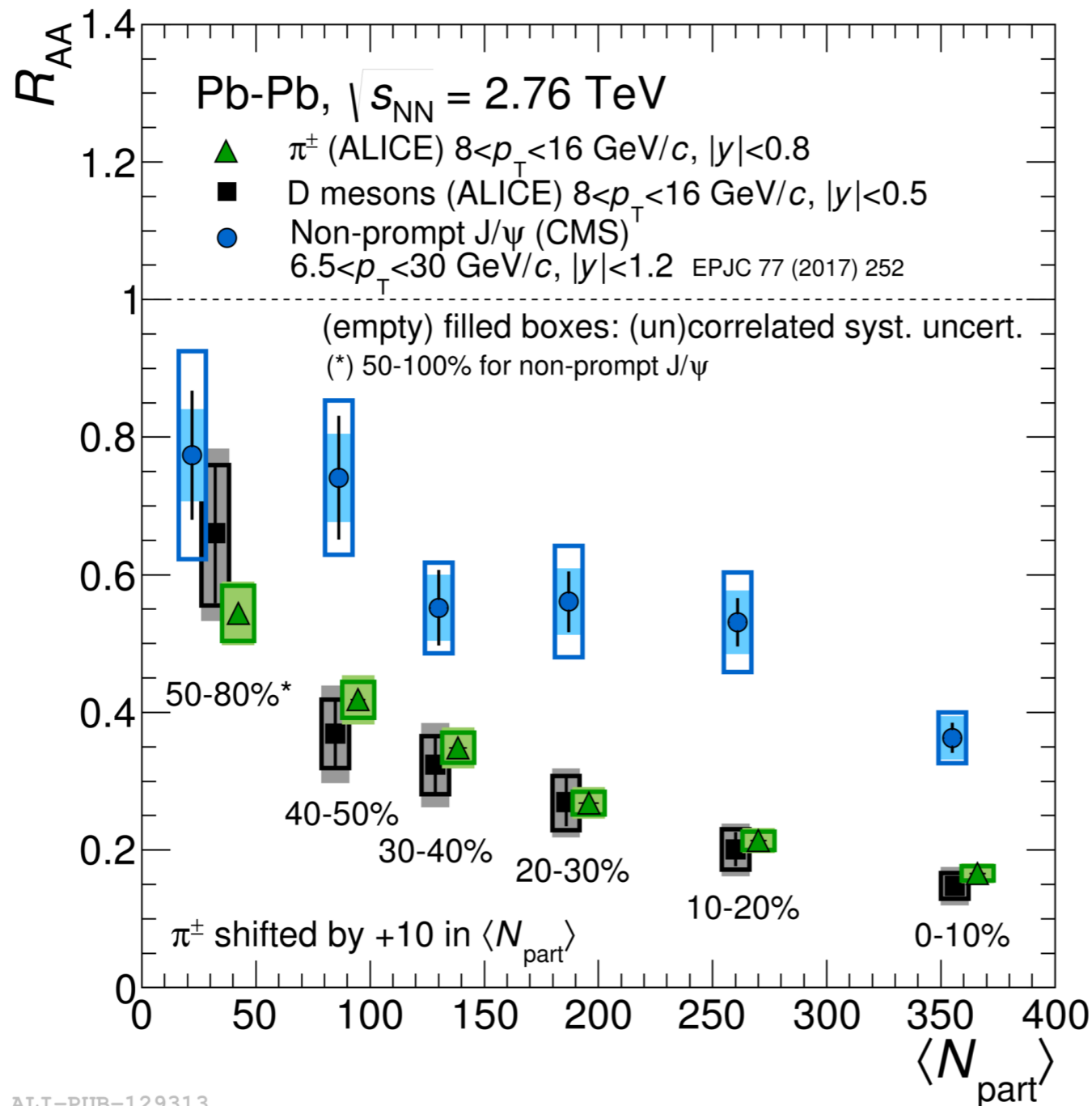


Mass dependence: D vs B



ALICE

☑ D meson from ALICE and non-prompt J/ψ from CMS



JHEP 1511 (2015) 205, JHEP 1706 (2017) 032

EPJ C 77 (2017) 252

JHEP 1706 (2017) 032

ALI-PUB-129313

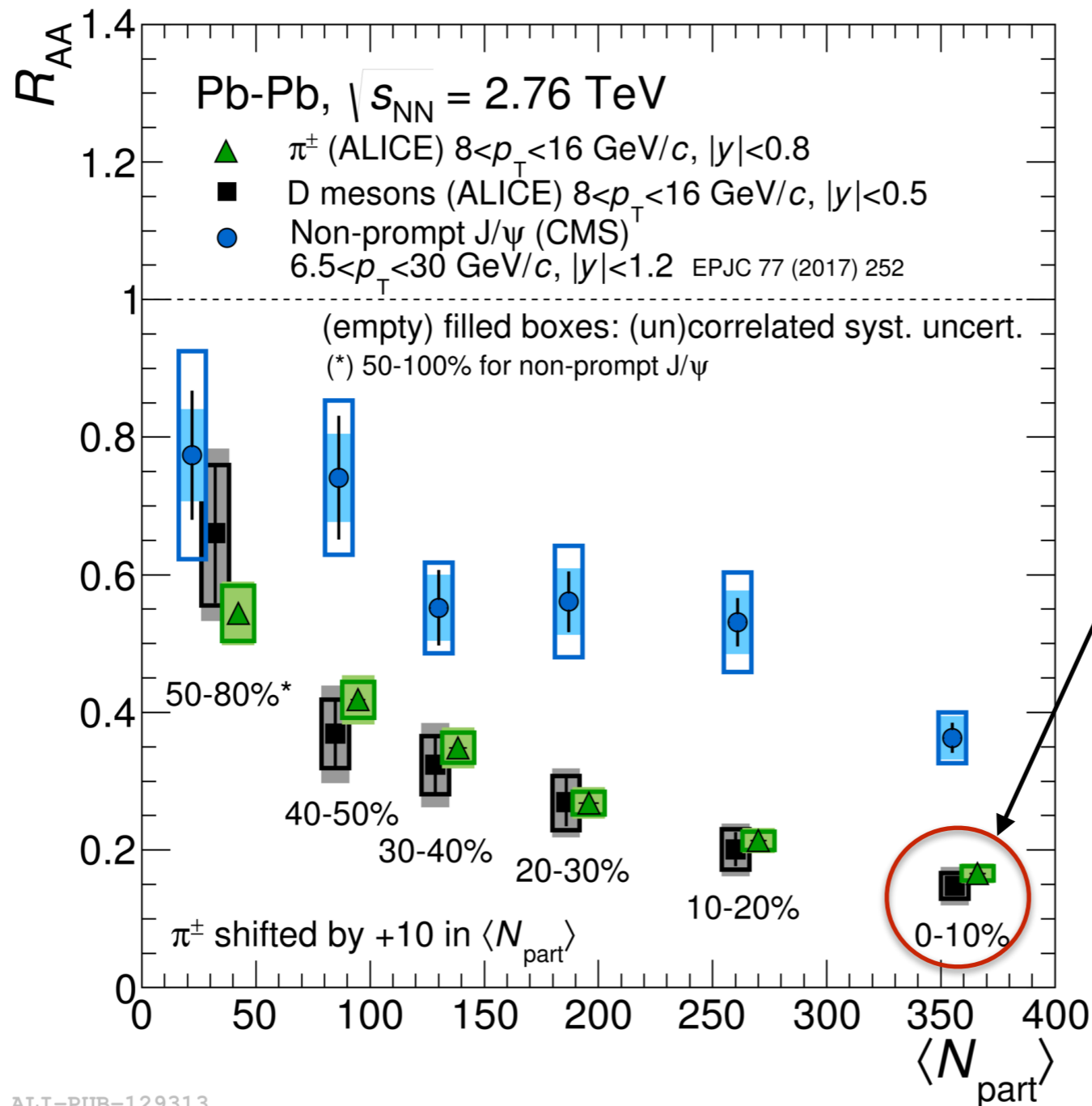


Mass dependence: D vs B



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☑ D meson from ALICE and non-prompt J/ψ from CMS



JHEP 1511 (2015) 205, JHEP 1706 (2017) 032

EPJ C 77 (2017) 252

Similar D meson and pion R_{AA} Expected from charm-quark mass effects + different charm and gluon/light-quark spectrum slope and fragmentation

M. Djordjevic, PRL112 (2014) 042302

JHEP 1706 (2017) 032

ALI-PUB-129313

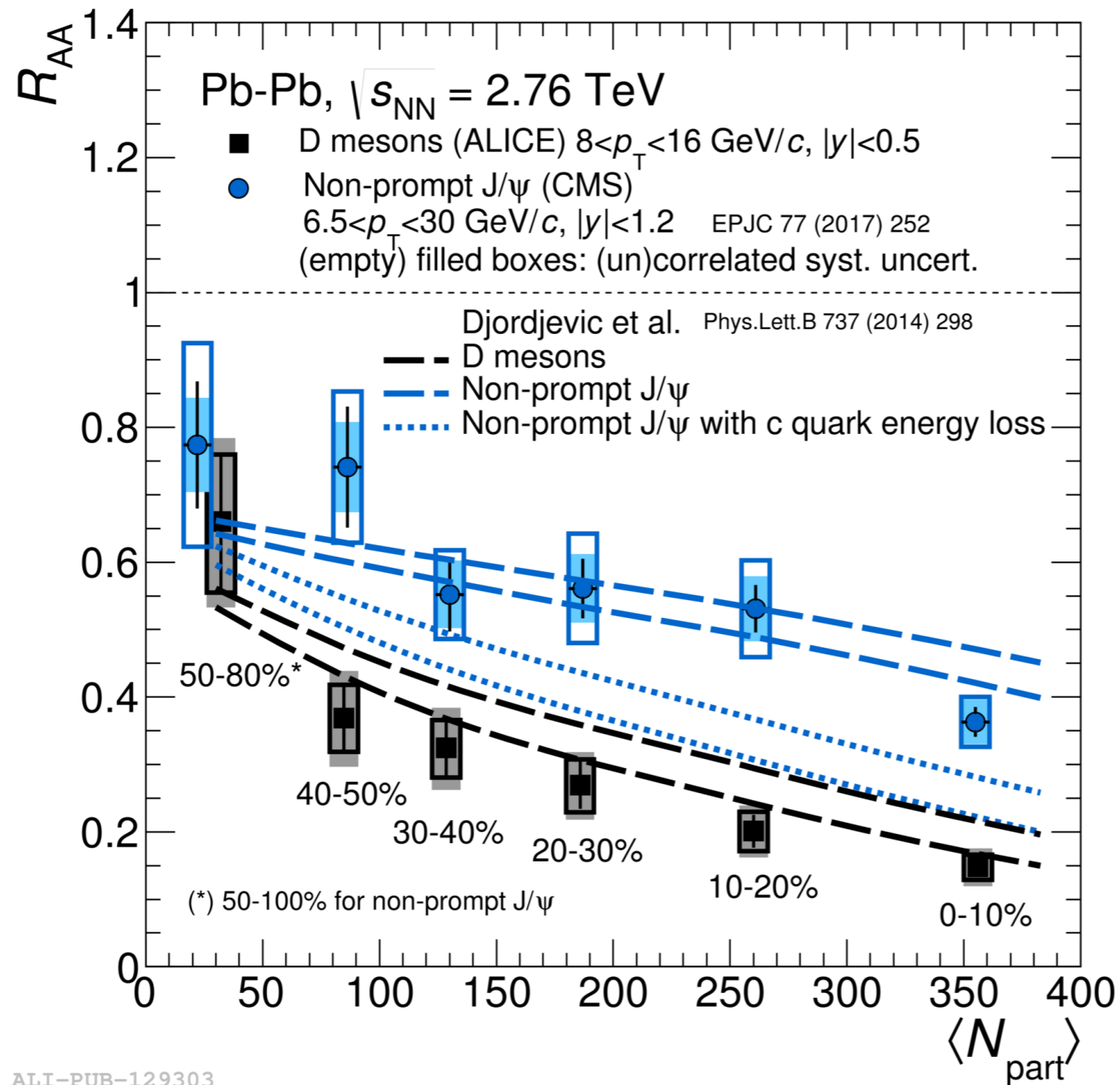


Mass dependence: D vs B



ALICE

☑ D meson from ALICE and non-prompt J/ψ from CMS



JHEP 1511 (2015) 205, JHEP 1706 (2017) 032

EPJ C 77 (2017) 252

} consequence of mass difference in pQCD based model calculation

JHEP 1706 (2017) 032

Djordjevic: Phys.Lett. B734 (2014)

ALI-PUB-129303

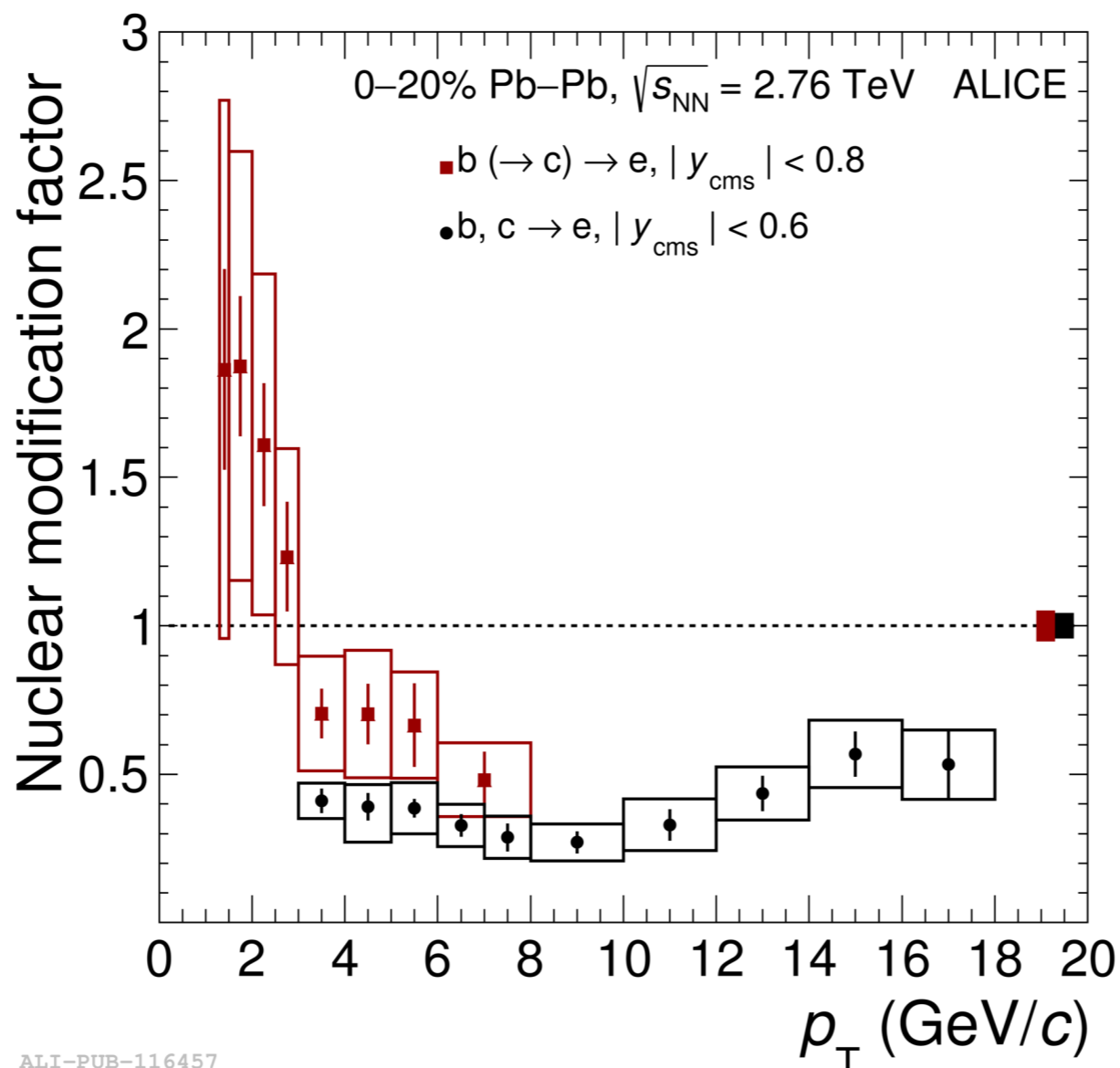


Heavy-flavour decay leptons



ALICE

PLB 771 (2017) 467-481



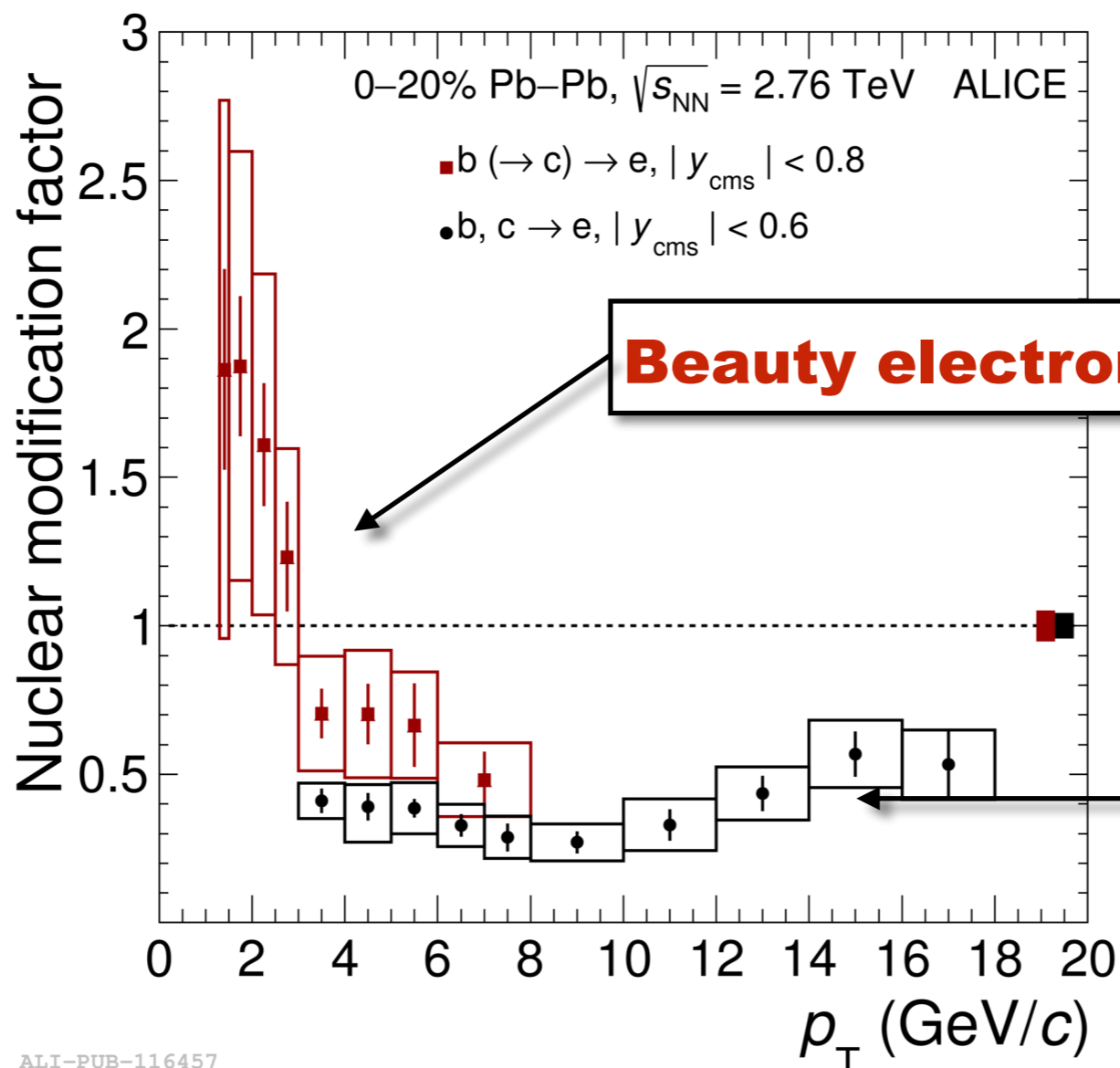
- ☑ Beauty main component from $p_T > 5$ GeV/c → indication of beauty suppression at high p_T
- ☑ Beauty-electron R_{AA} measured directly with impact parameter fit → indication of suppression for $p_T > 3$ GeV/c

Heavy-flavour decay leptons



ALICE

PLB 771 (2017) 467-481

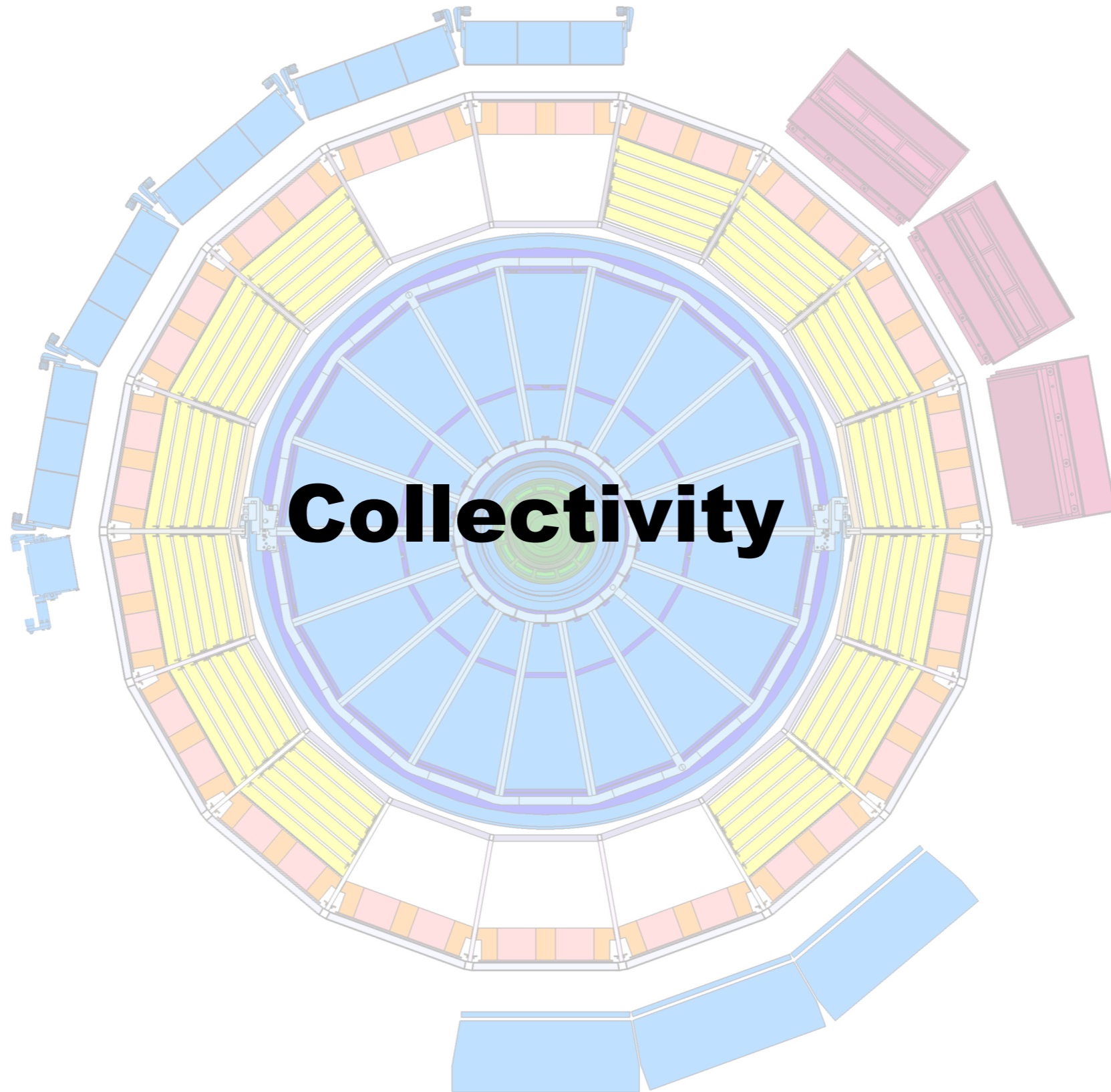


ALI-PUB-116457

- ☑ Beauty main component from $p_T > 5$ GeV/c → indication of beauty suppression at high p_T
- ☑ Beauty-electron R_{AA} measured directly with impact parameter fit → indication of suppression for $p_T > 3$ GeV/c



ALICE

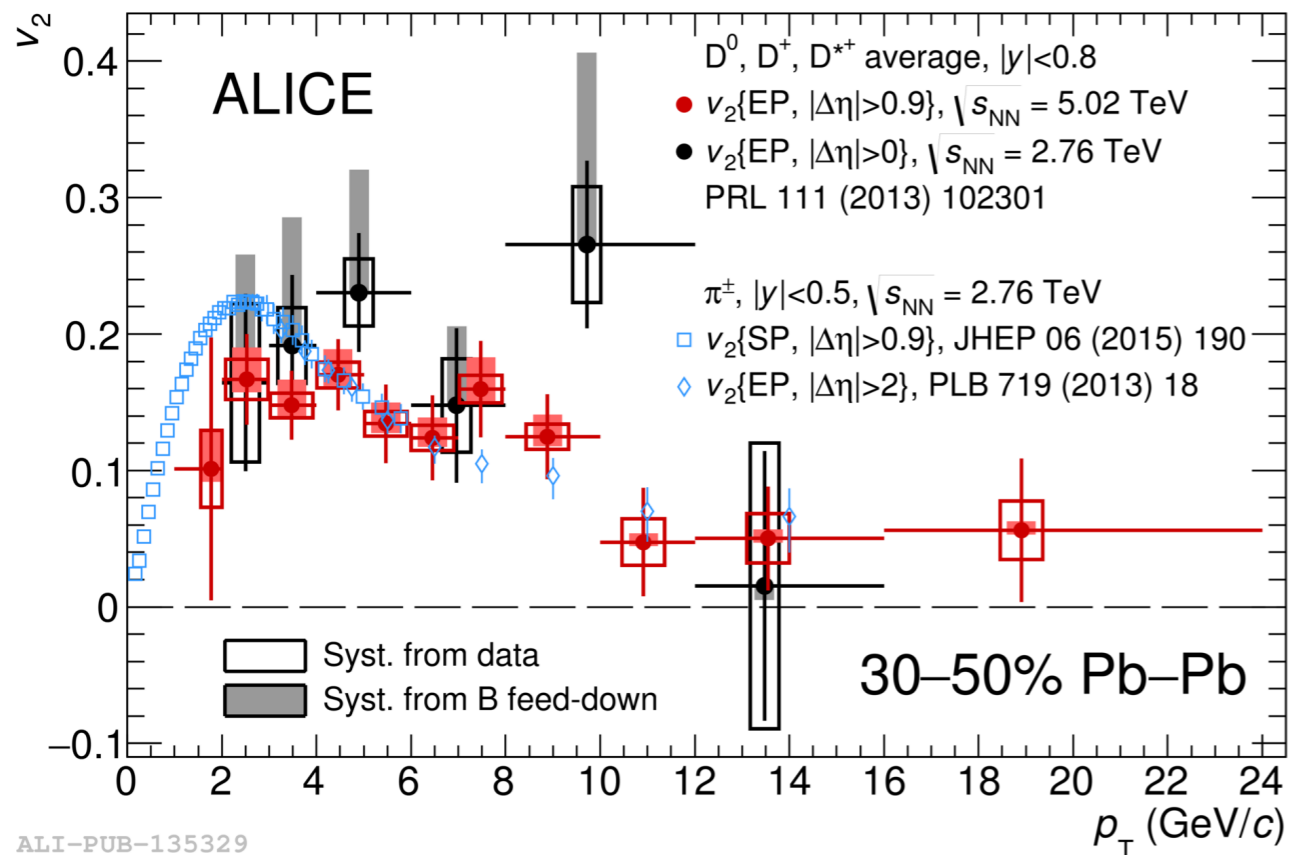


Elliptic flow



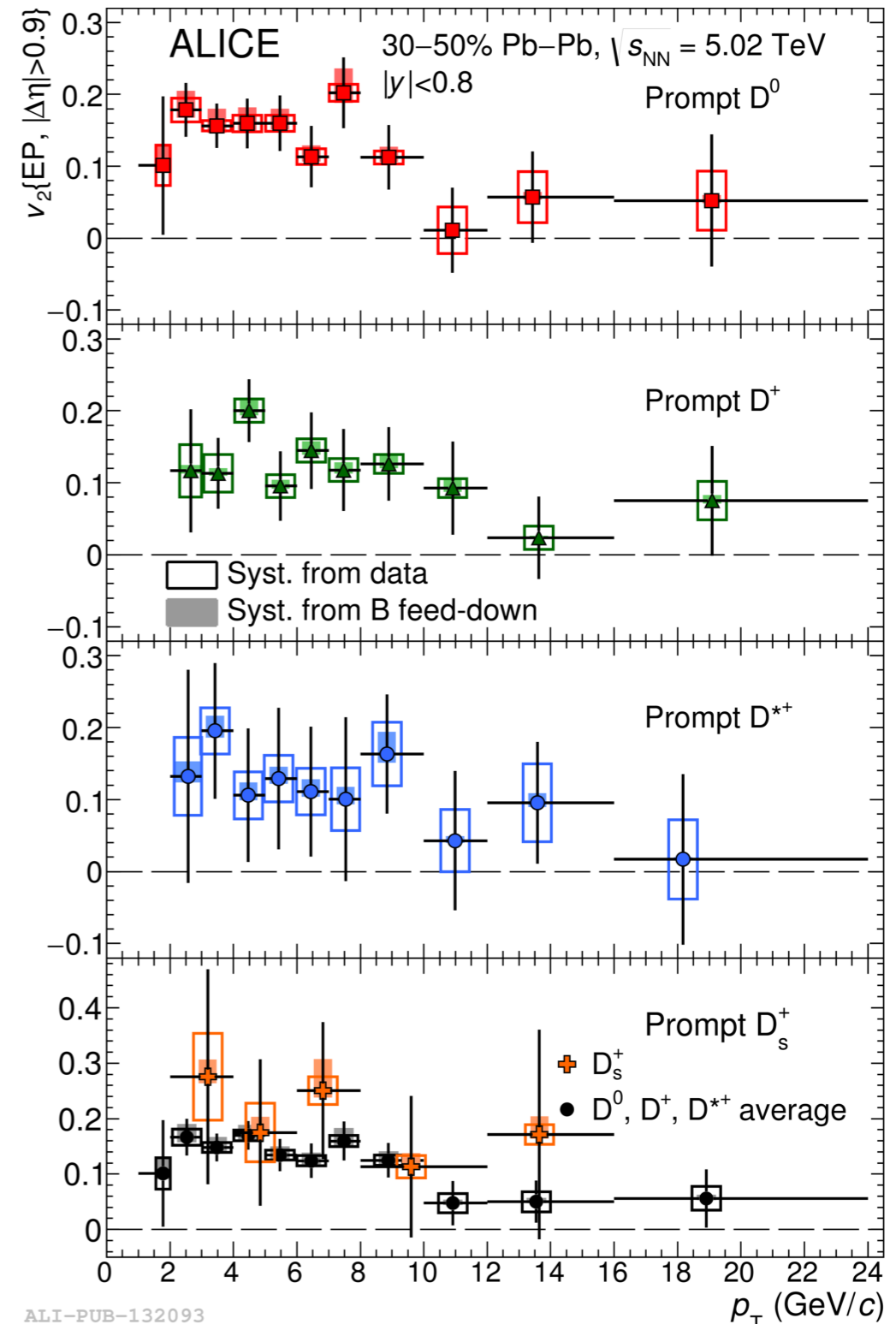
ALICE

arXiv:1707.01005



ALI-PUB-135329

- Compatible v_2 of D^+, D^{*+} and D^0
- Average D $v_2 > 0$. Charm flows
- Hint of a difference between charm and pions below 4 GeV/c
- First measurement of D_s v_2 !



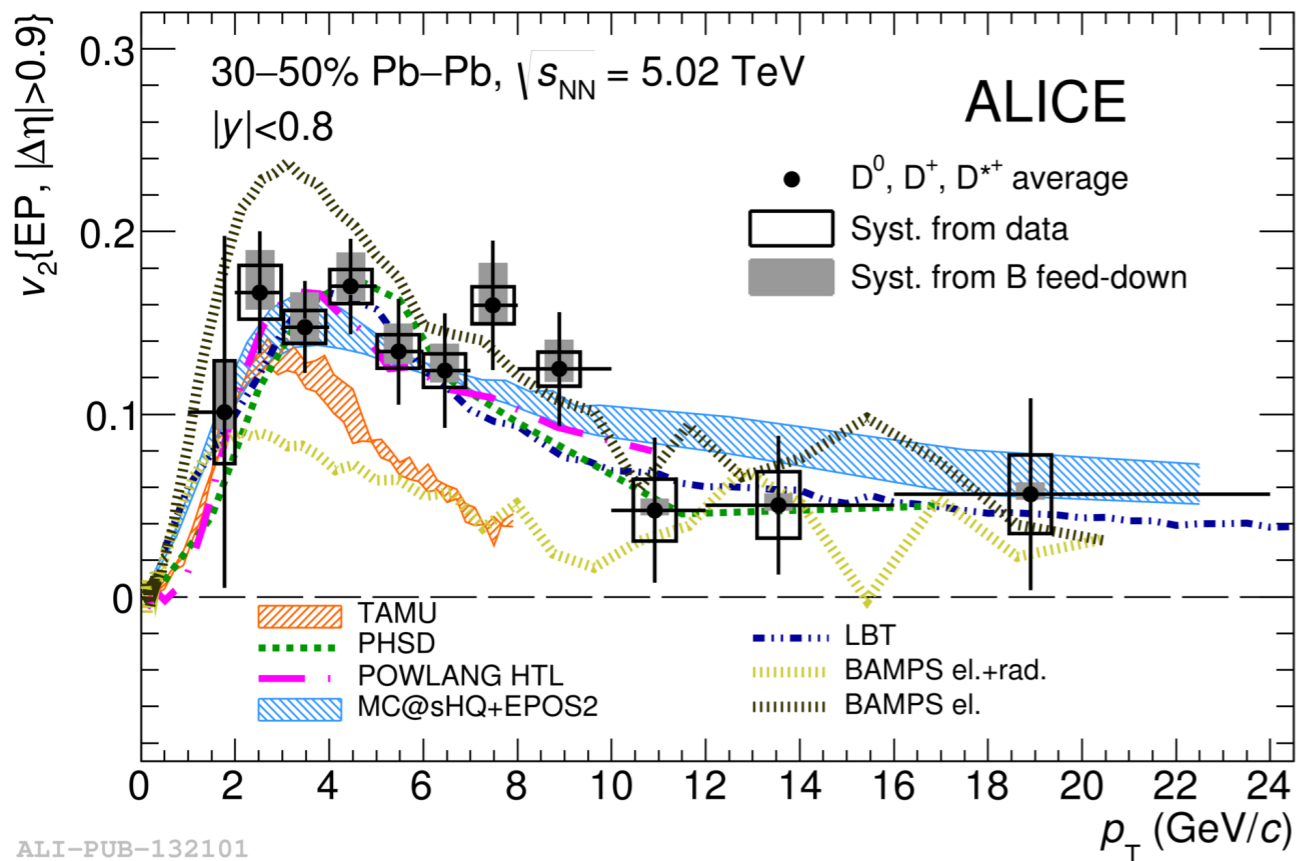
ALI-PUB-132093

Elliptic flow



ALICE

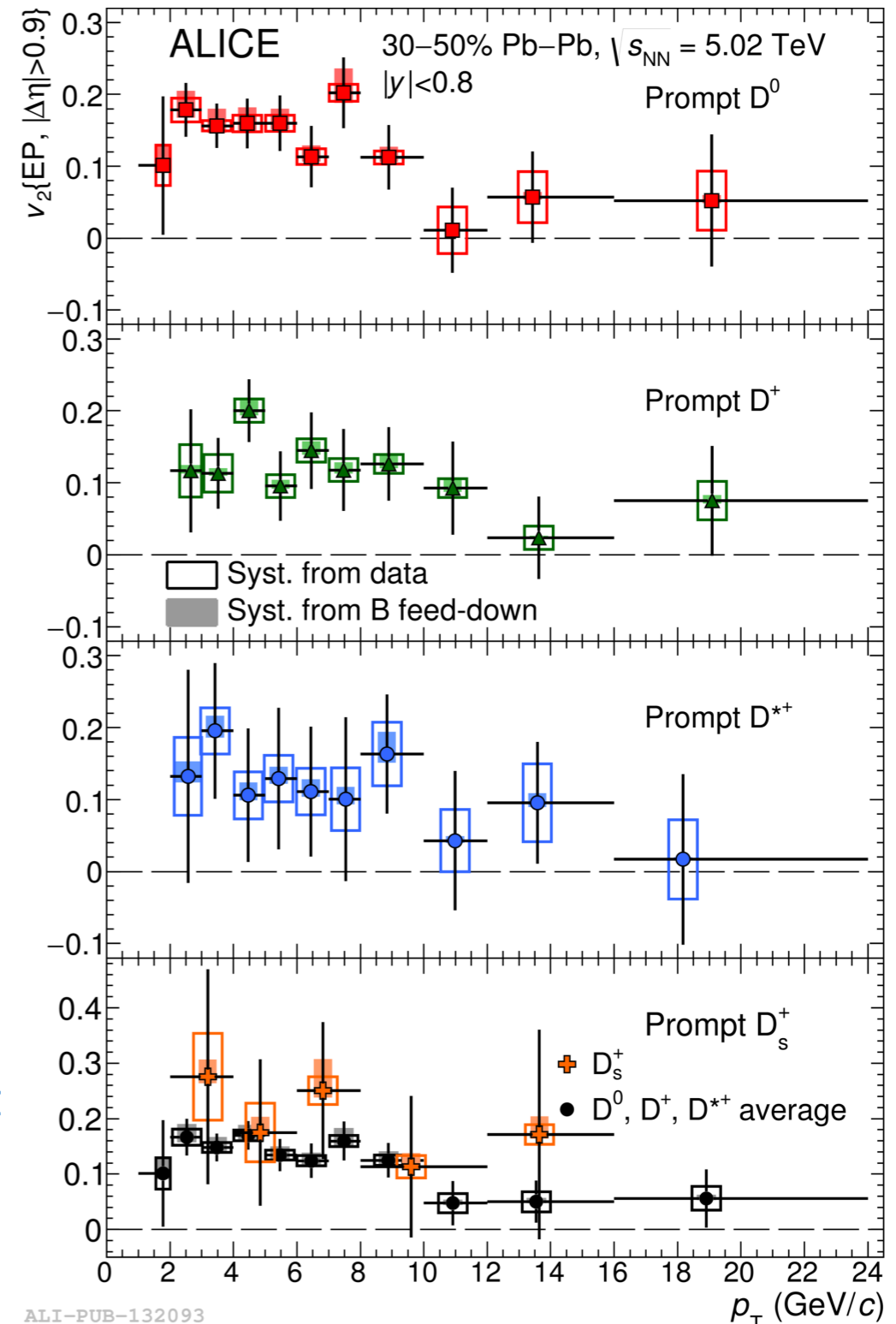
[arXiv:1707.01005](https://arxiv.org/abs/1707.01005)



ALI-PUB-132101

Compatible v_2 of D^+, D^{*+} and D^0
 Average D $v_2 > 0$. Charm flows
 Hint of a difference between charm and pions
 below 4 GeV/c

First measurement of D_s v_2 !
 Models reproduce v_2 favour diffusion coefficient
 $2\pi TD_s(T)$ in the range 1.5-7 at T_c with a
 corresponding thermalisation time $\tau_{charm}=3-14$
 fm/c.



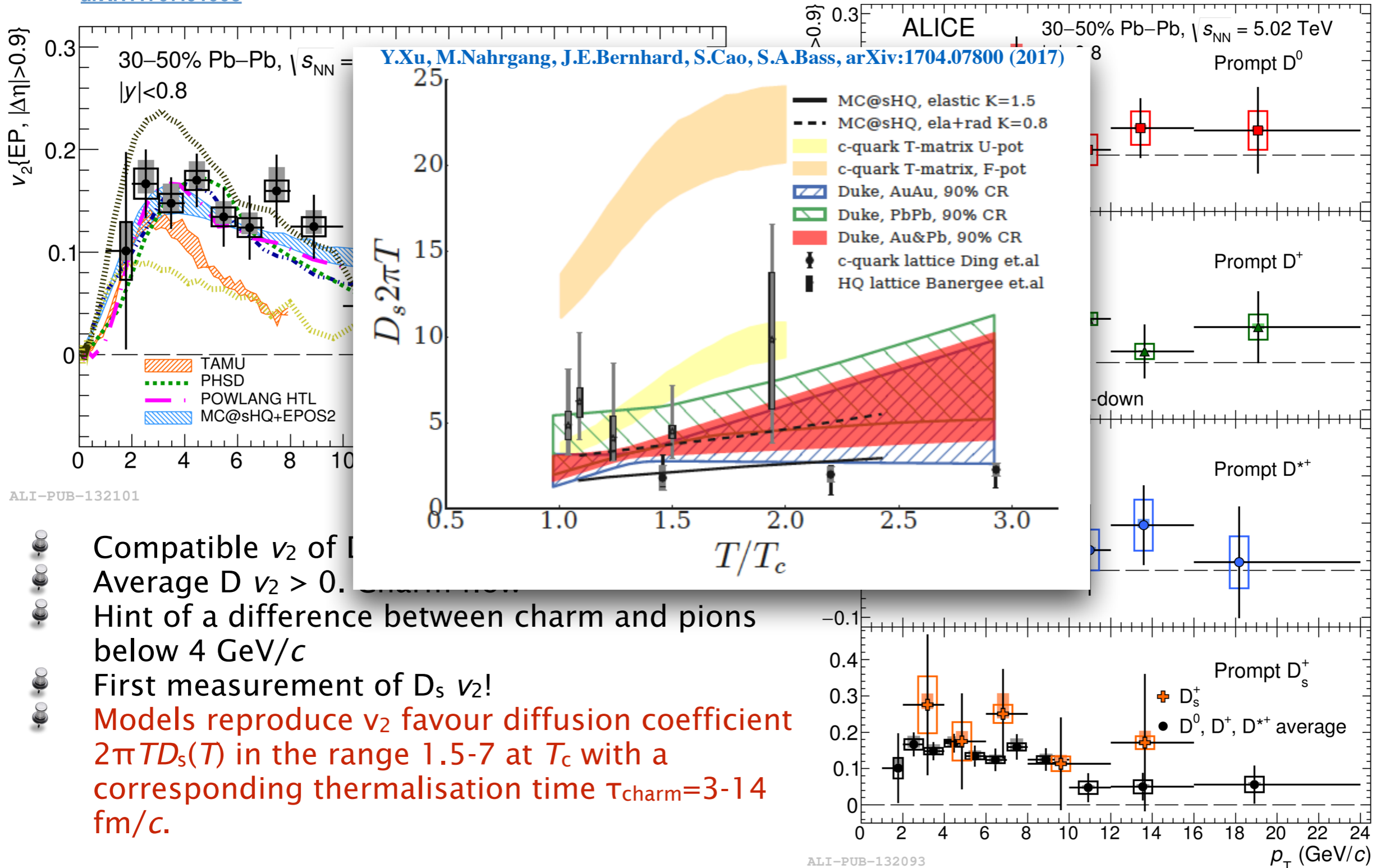
ALI-PUB-132093

Elliptic flow



ALICE

arXiv:1707.01005



ALI-PUB-132101

Compatible v_2 of D_s and pions
 Average $D_s v_2 > 0$.
 Hint of a difference between charm and pions below 4 GeV/c
 First measurement of $D_s v_2$!
 Models reproduce v_2 favour diffusion coefficient $2\pi T D_s(T)$ in the range 1.5-7 at T_c with a corresponding thermalisation time $\tau_{charm}=3-14$ fm/c.

ALI-PUB-132093

Elliptic flow with Event-Shape Engineering

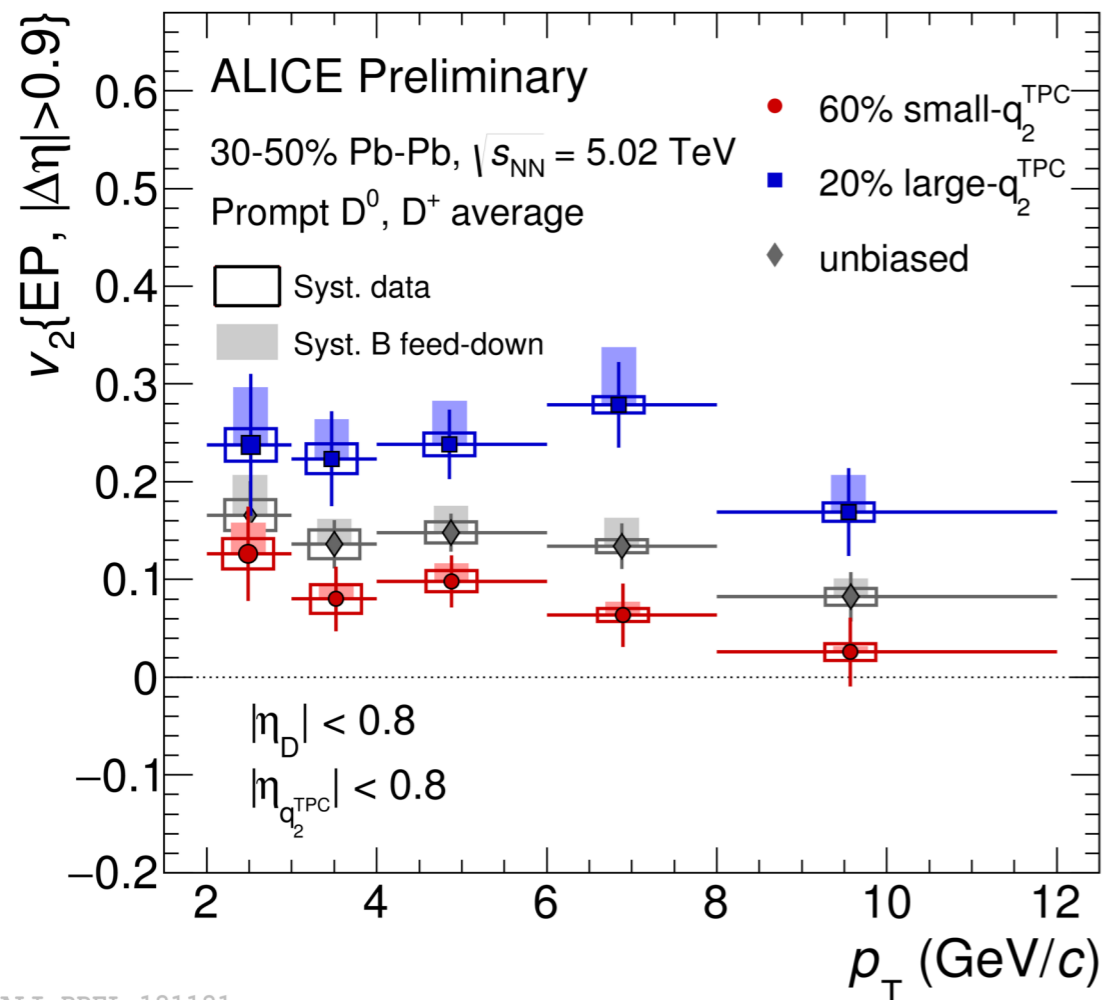


ALICE

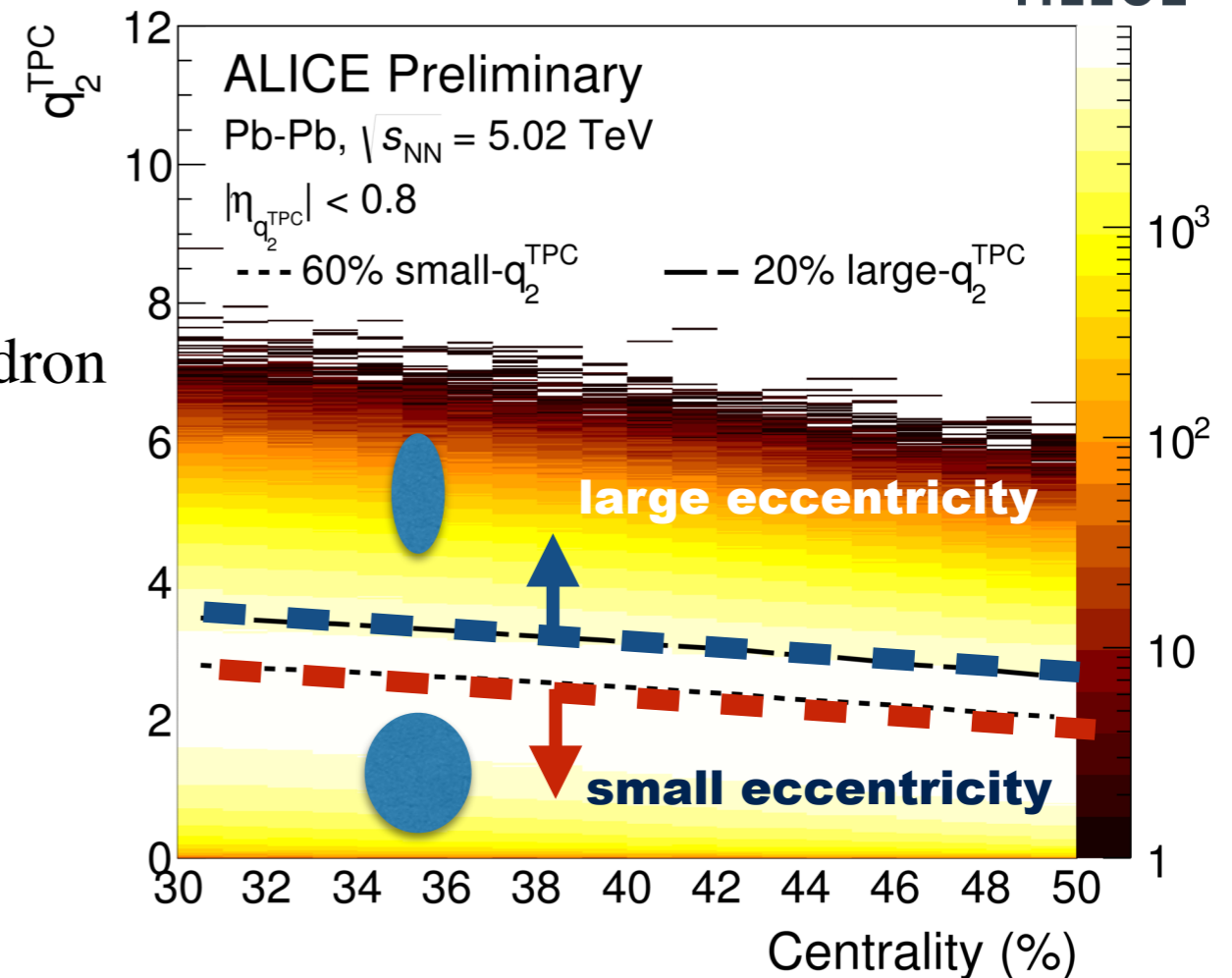
- Event eccentricity quantified by q_2 :

$$\langle q_2^2 \rangle \approx 1 + \langle M - 1 \rangle \langle v_2^2 - \delta_2 \rangle$$

Study the charm-quark coupling to the light-hadron bulk by measuring v_2 at different q_2 values



ALI-PREL-121121



ALI-PREL-121008

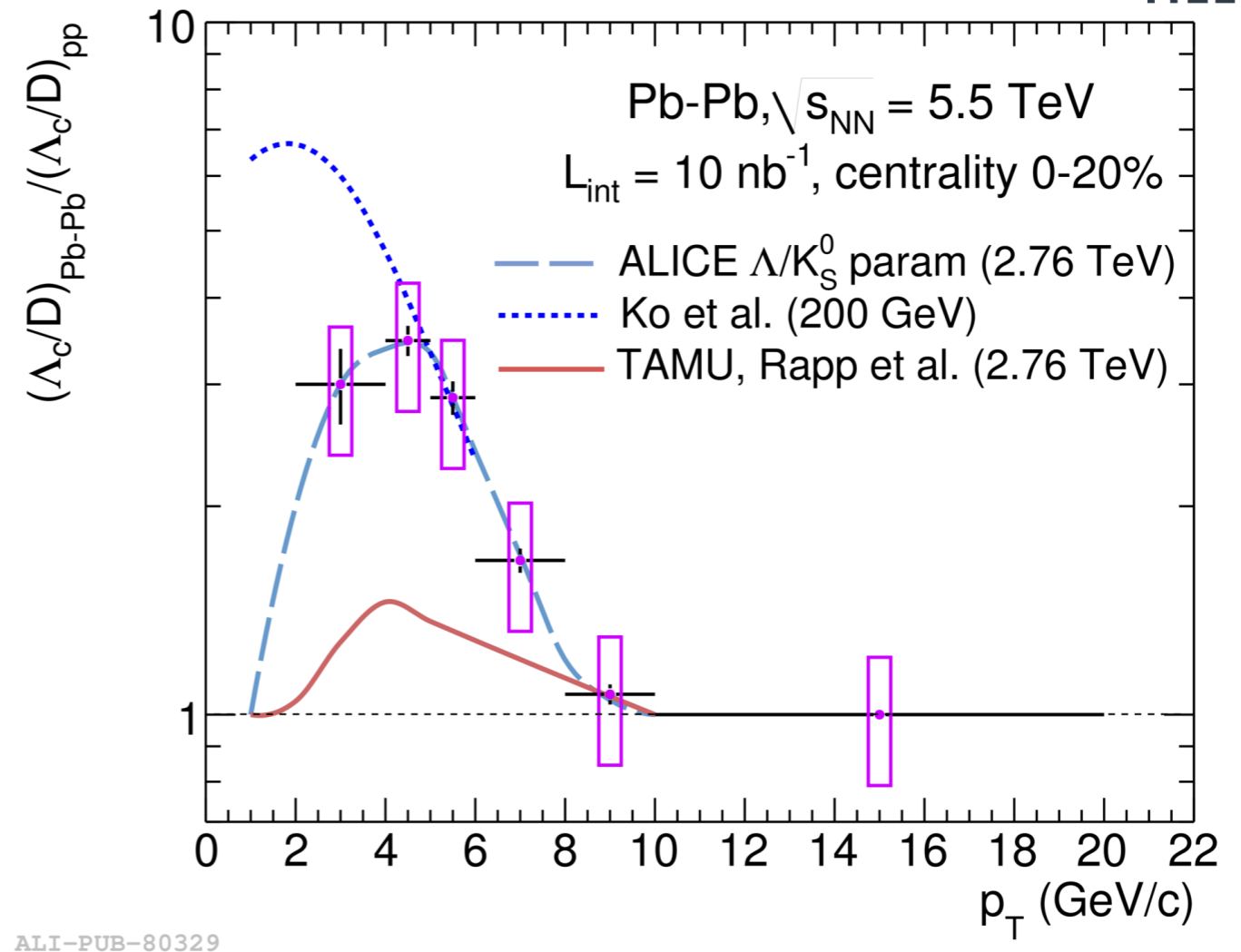
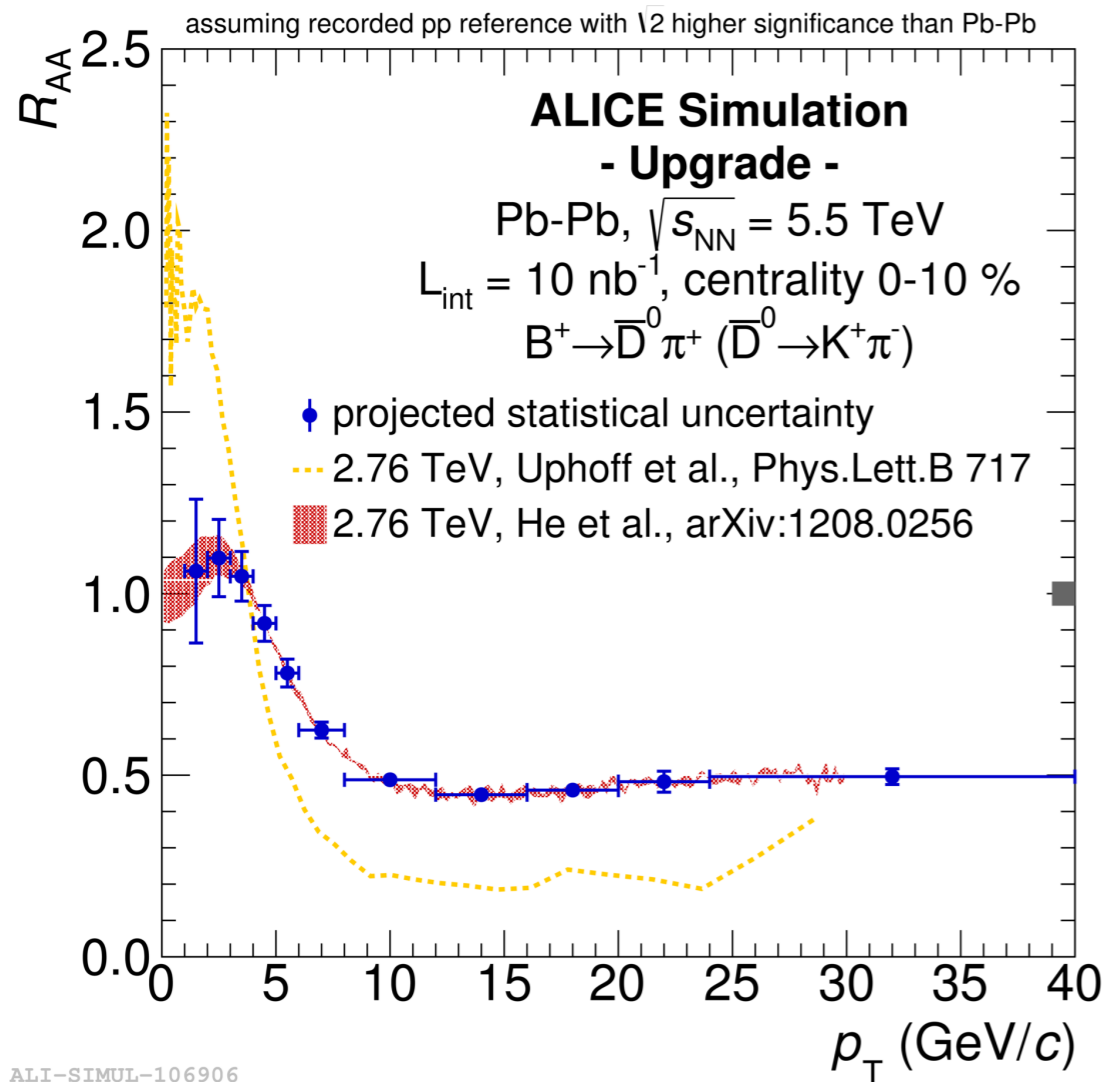
Significant separation of D-meson v_2 in events with large and small q_2 :

➔ Charm quarks sensitive to the light hadron bulk collectivity and event-by-event initial condition fluctuations

ALICE upgrade: toward LHC run III



ALICE



☑ The upgrade of the ALICE detector in long shutdown II will improve its heavy-flavour measurement capabilities

- Precise D and B measurements will be possible down to low- p_T (0 for D mesons)
- Precise charmed baryon/meson ratios in Pb-Pb down to low- p_T
- Heavy-flavour leptons, correlations

J. Phys. G 41 (2014) 087002



- Precision cross-section measurements in pp. Differential production (vs charged particle multiplicity) investigated
- pPb measurements to investigate initial state effects
- Energy loss via D and B measurements
- Test of charm hadronization in QGP via coalescence
- Charm takes part to the collective expansion of the medium

Some open question:

- What is the role of collisional energy loss?
- Have we observed charm coalescence?
- Do we see collectivity also in pPb?**
- Is charm thermalised?
- Does beauty takes part in the collective expansion of the medium?

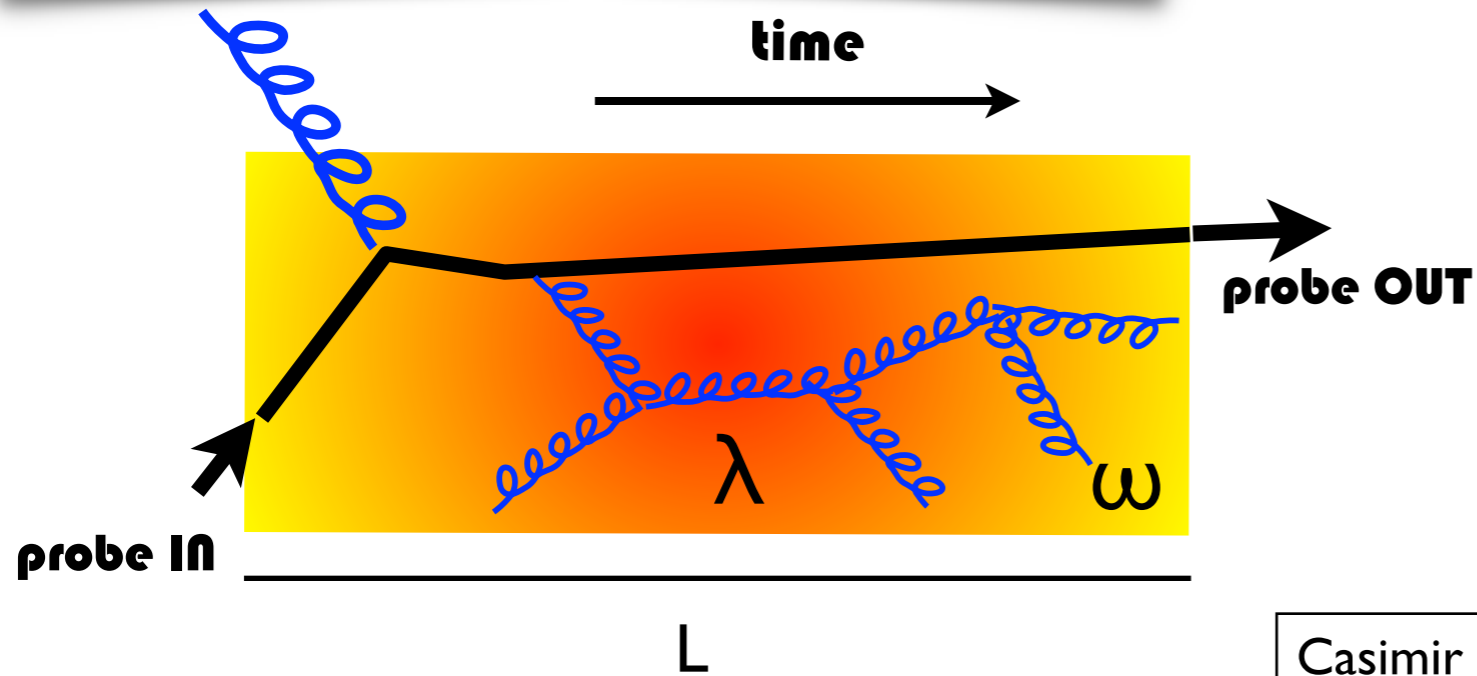
Extra Slides

a.grelli@uu.nl



.. a bit more in detail

Color charge dependence of radiative E_{loss}



$$\hat{q} = \frac{\langle k_T^2 \rangle}{\lambda}$$

transport coeff.

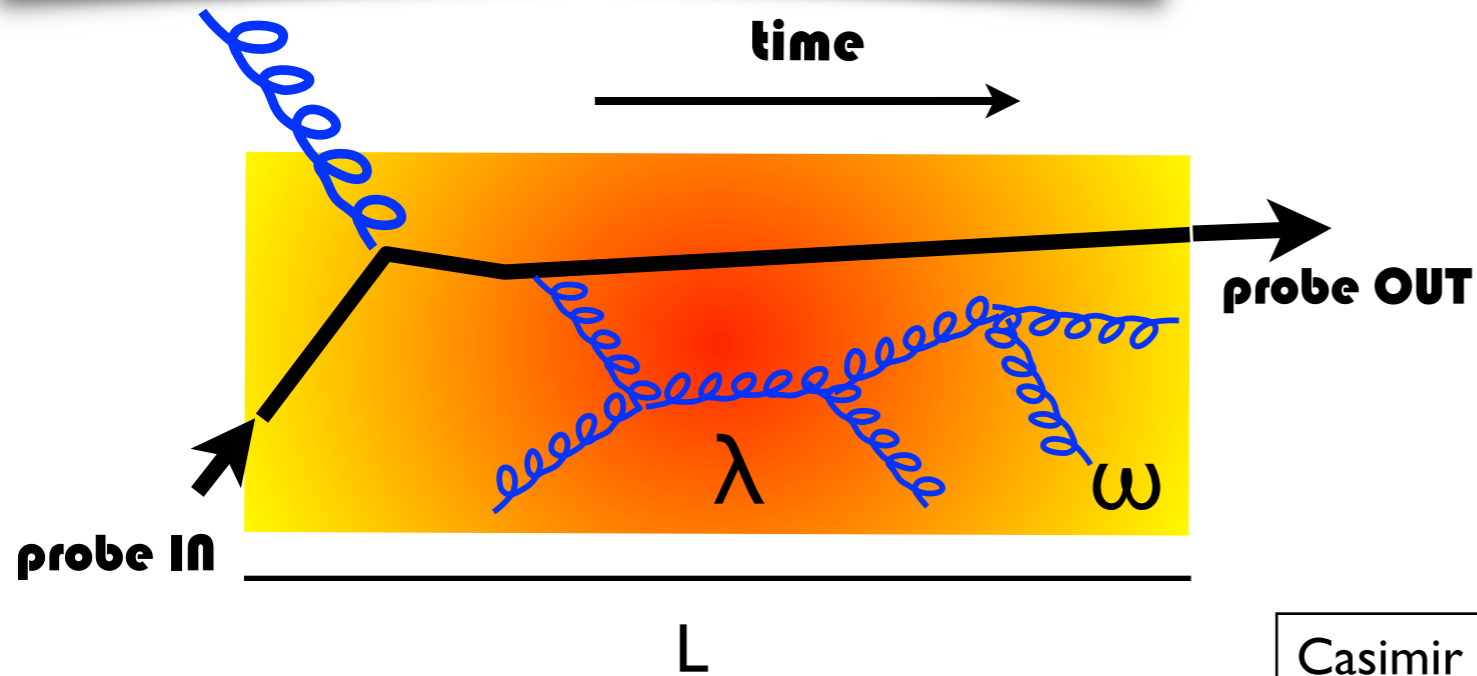
$$\omega \frac{dI}{d\omega} \propto \alpha_s C_R \sqrt{\frac{\hat{q} L^2}{\omega}}$$

Casimir coupling factor: $4/3$ for quarks and 3 for gluons

Phys.Rev.D71:054027, 2005 Nucl.Phys. A690 (2001) 731-751

.. a bit more in detail

Color charge dependence of radiative E_{loss}



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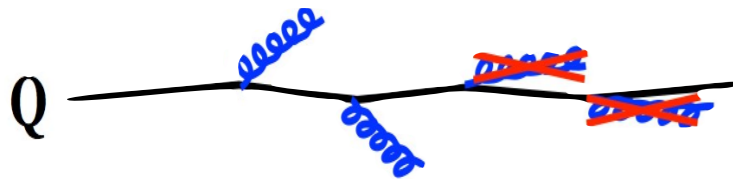
$$\omega \frac{dI}{d\omega} \propto \alpha_s C_R \sqrt{\frac{\hat{q} L^2}{\omega}}$$

Casimir coupling factor: $4/3$ for quarks and 3 for gluons

Phys.Rev.D71:054027, 2005 Nucl.Phys. A690 (2001) 731-751

Mass dependence:

Radiative: Dead cone effect



Gluonsstrahlung probability

$$\propto \frac{1}{[\theta^2 + (m_Q / E_Q)^2]^2}$$

Collisional (assuming Langevin formalism):

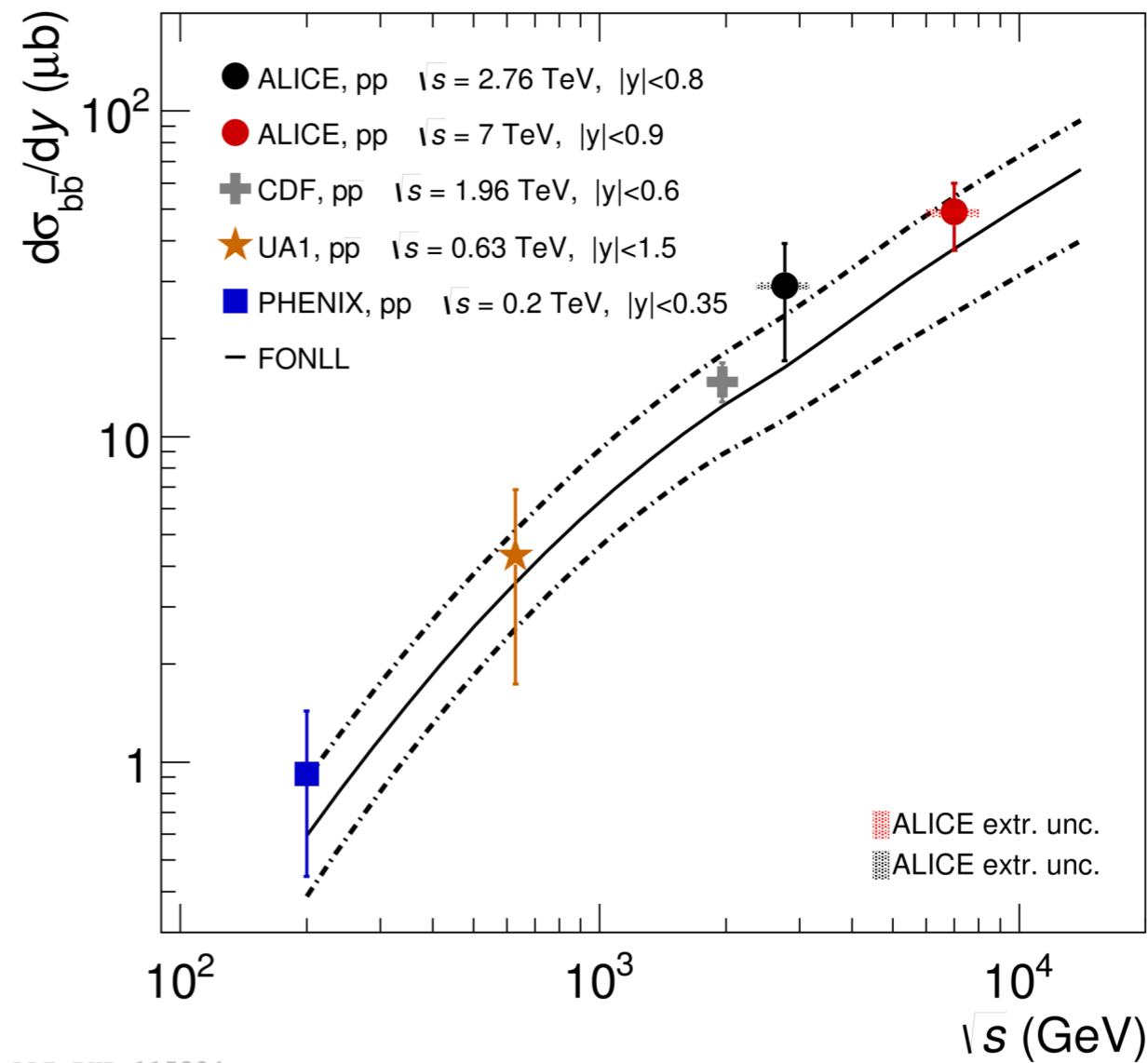
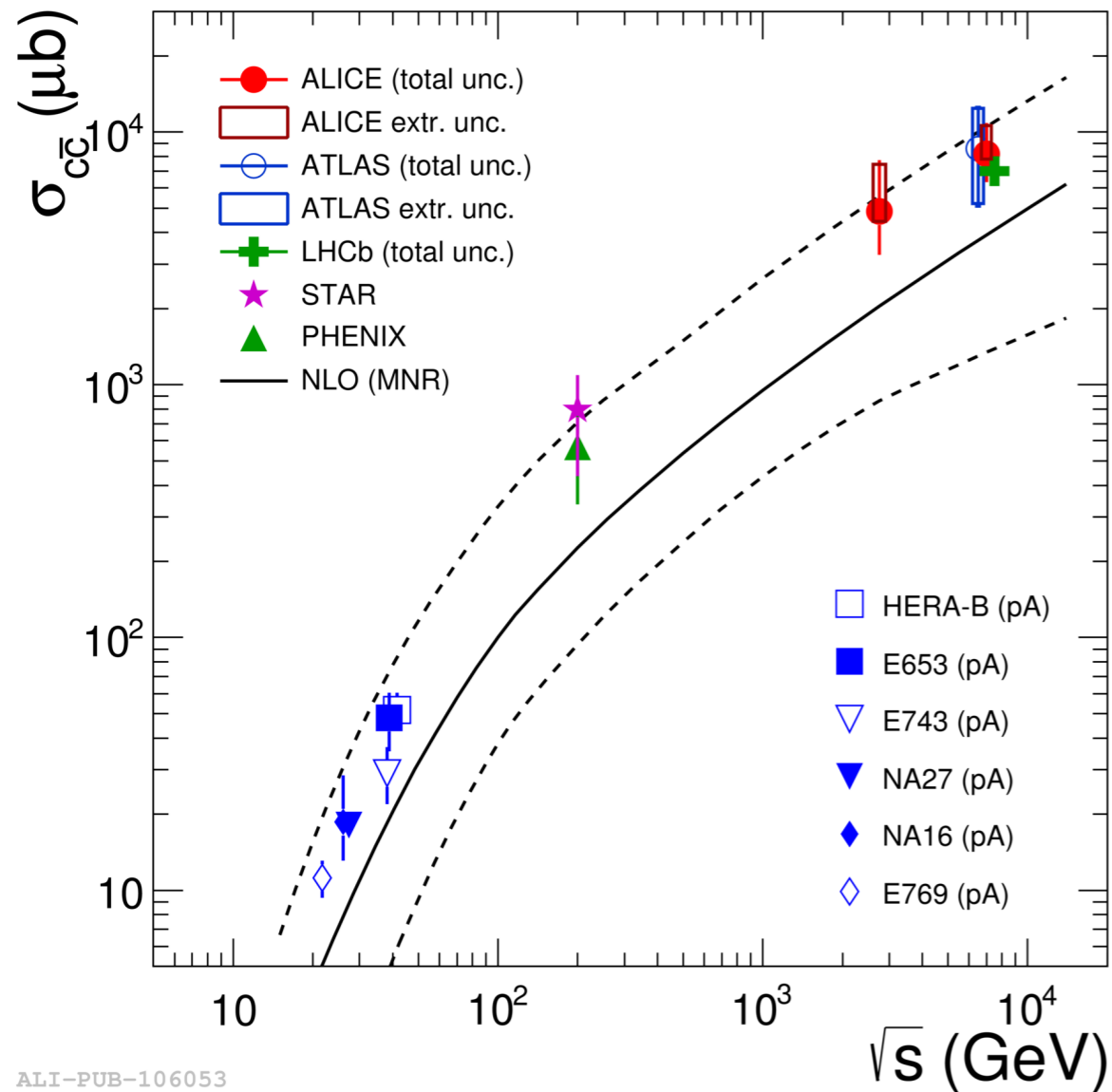
$$dx = \frac{p}{E} dt,$$

$$dp = -\Gamma(p) p dt + \sqrt{2D(p)} dp$$

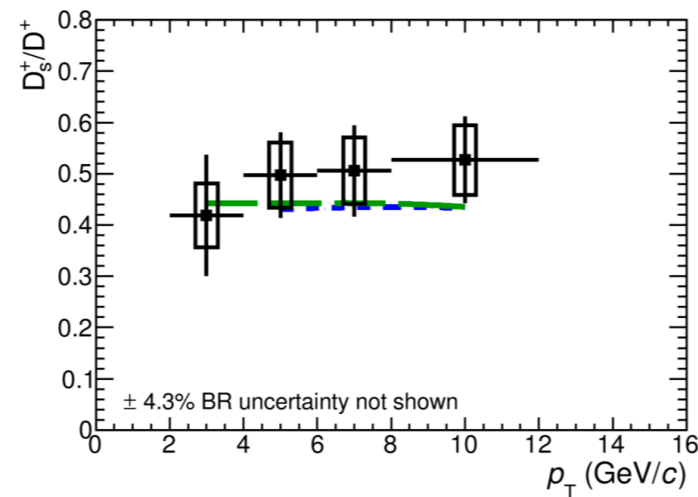
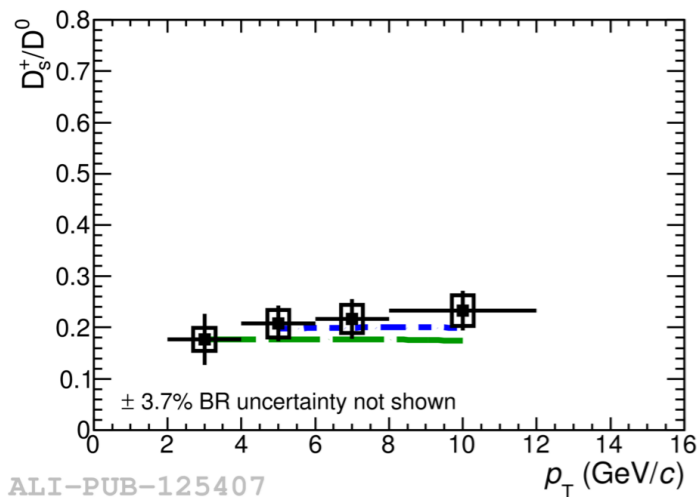
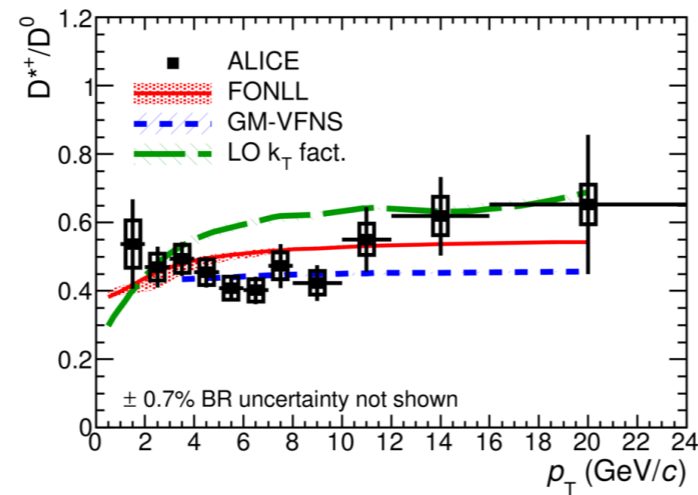
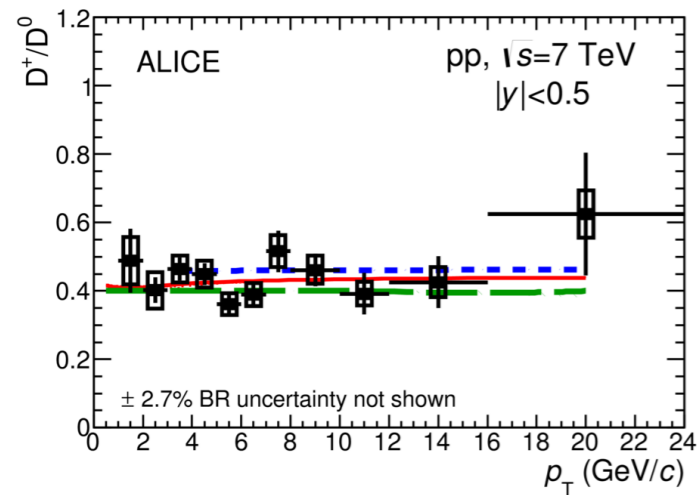
Both the terms: $\Gamma(p)$ e D are proportional to $1/m_Q$

$$E_{\text{loss}}(\text{light}) > E_{\text{loss}}(\text{charm}) > E_{\text{loss}}(\text{beauty})$$

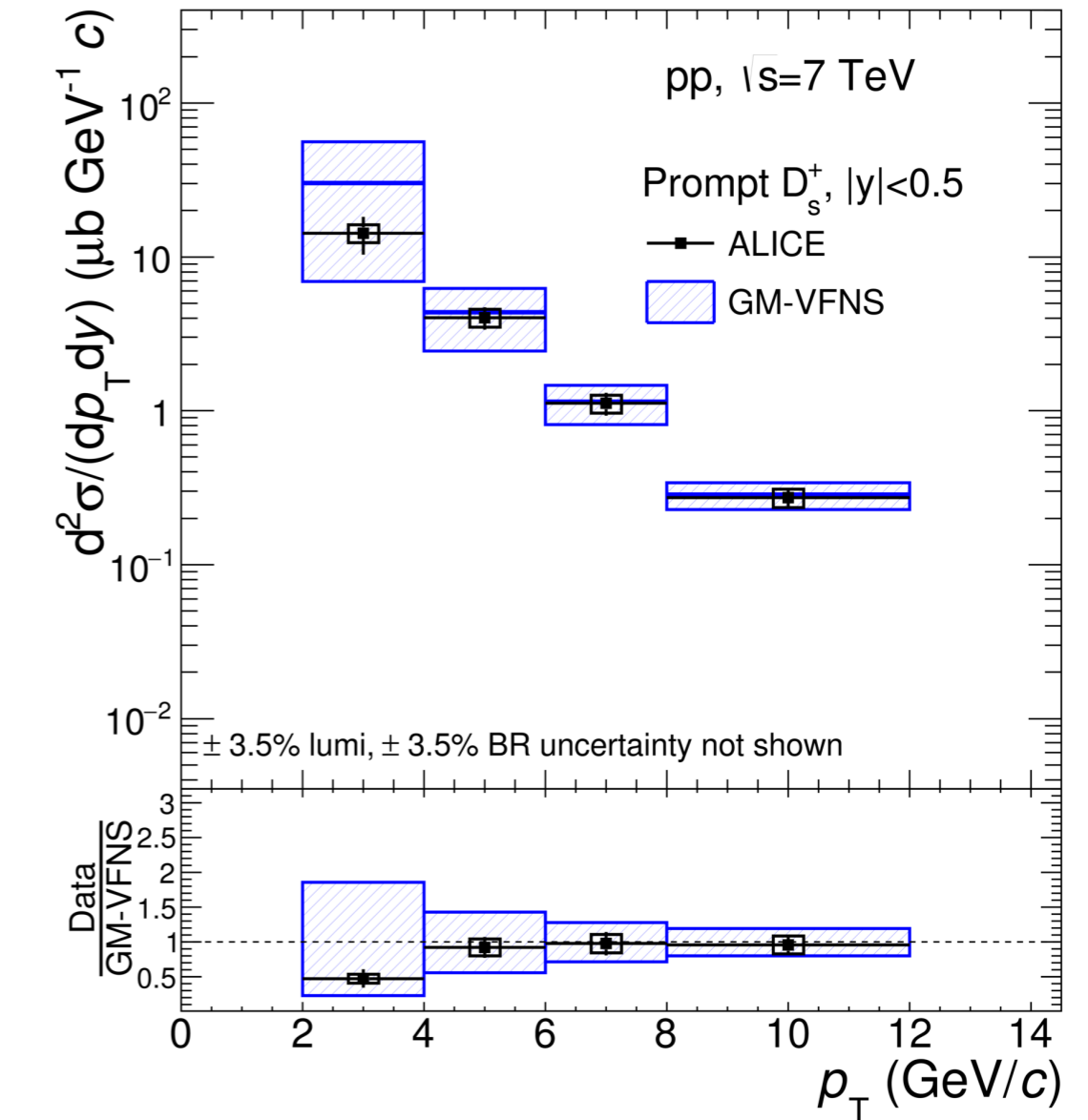
Total cross-section



D ratios in pp and D_s cross-section

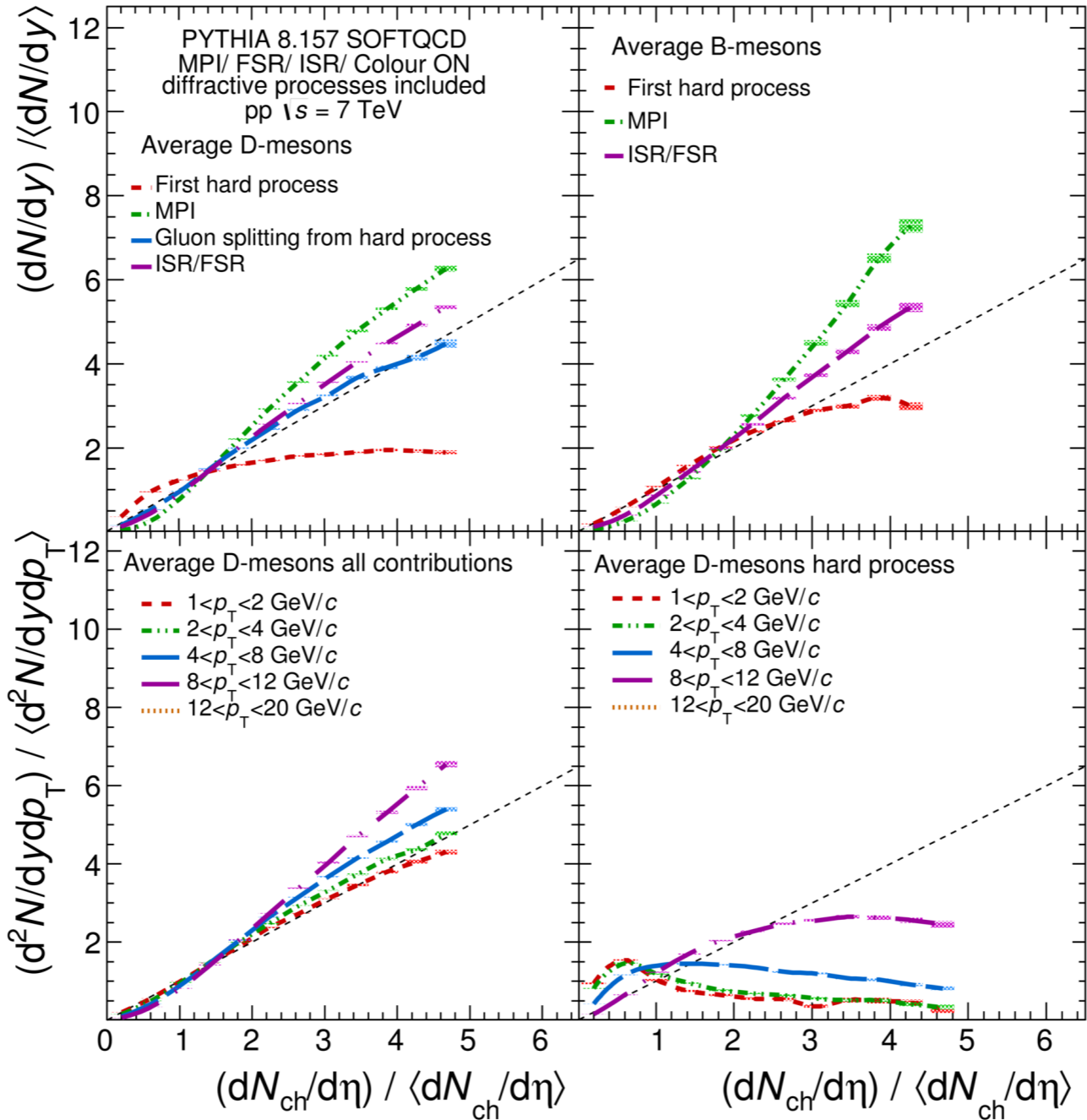


ALI-PUB-125407



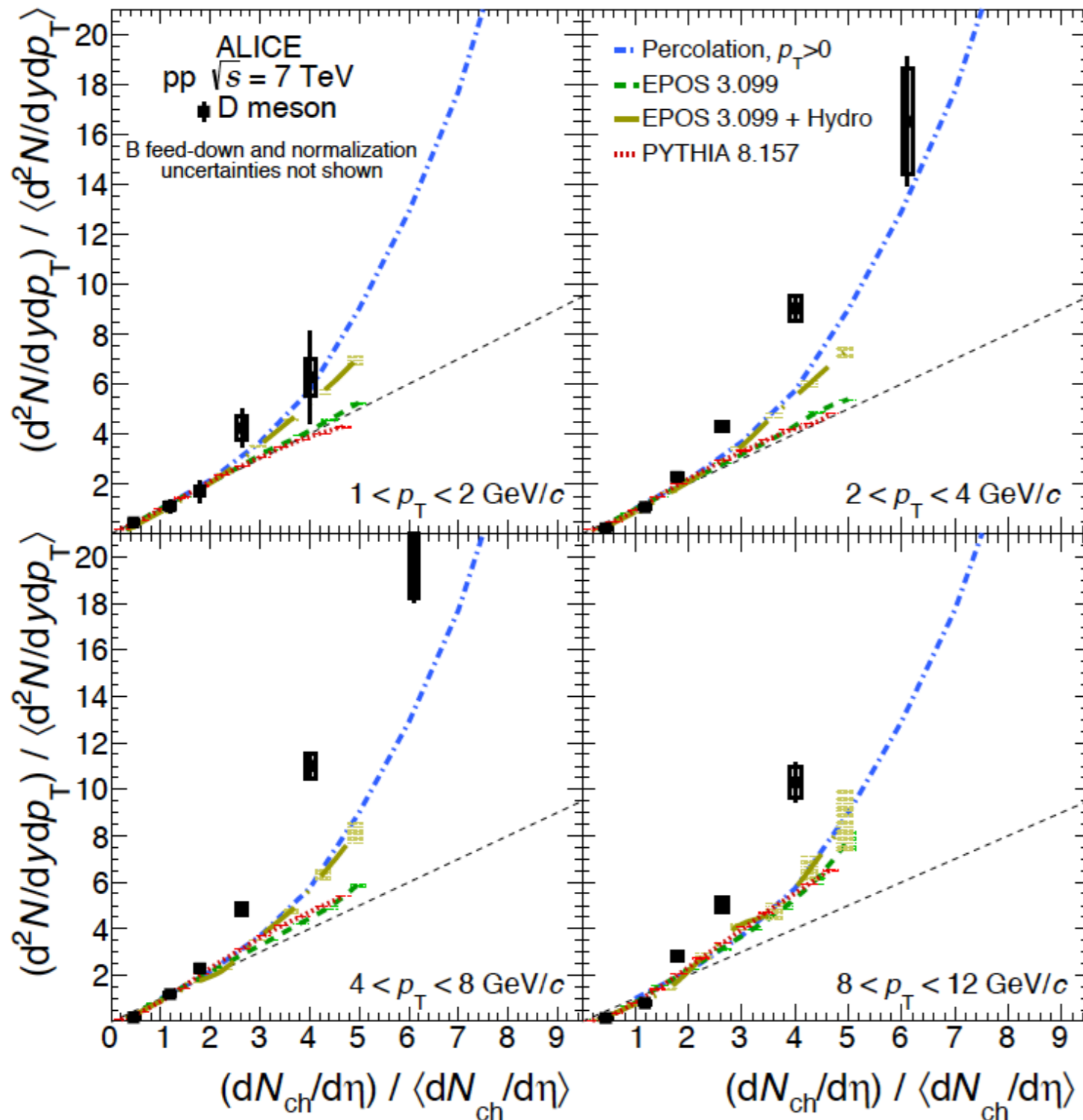
ALI-PUB-125423

Pythia8.157 - D, B vs multiplicity



ALI-PUB-92978

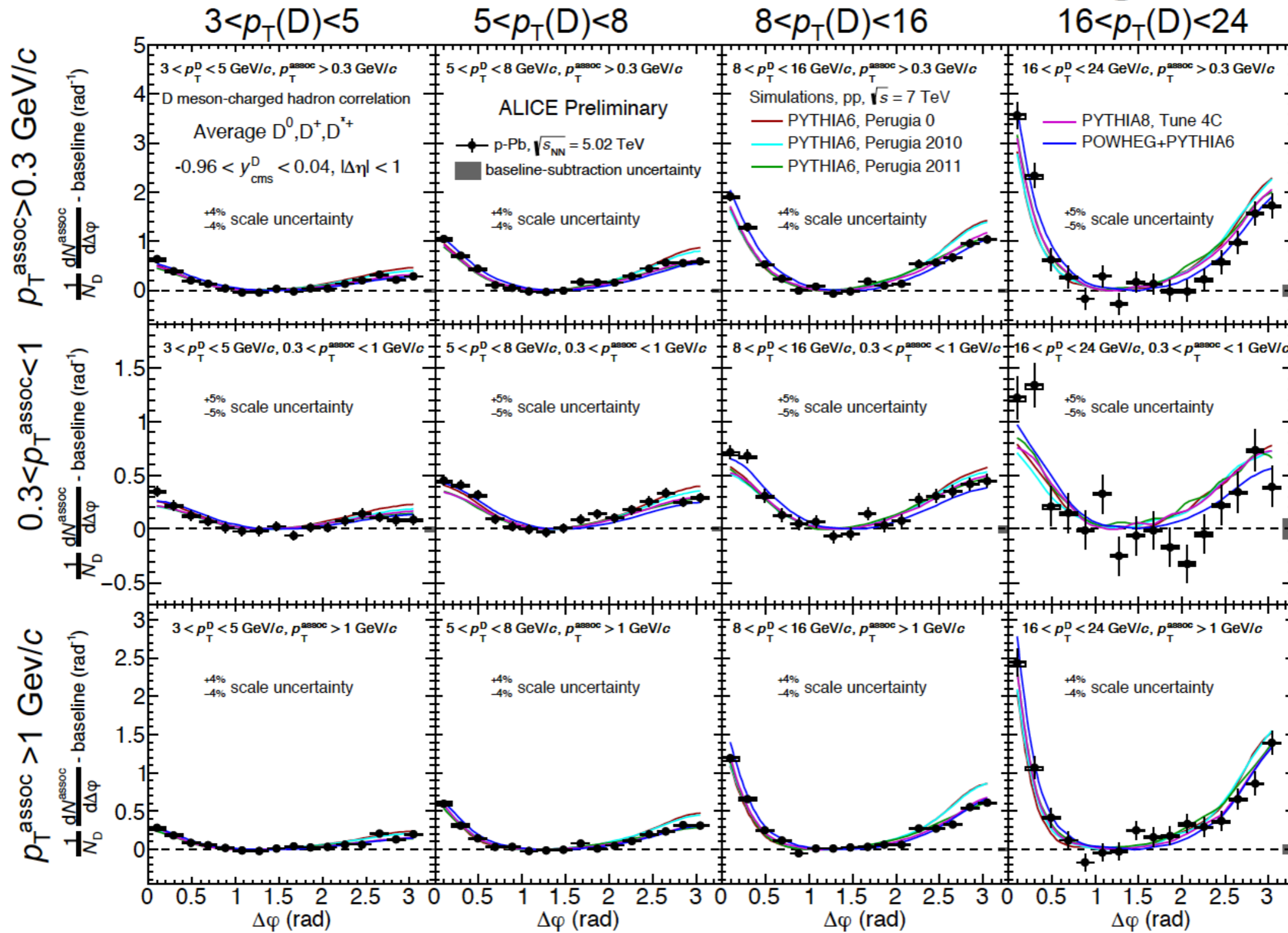
D vs mult.: comparison with models



- ☑ Charm production at charged particle densities above 2-3 times the average not reproduced by models (especially at high p_T)
- ☑ Interplay between MPI and additional hadronic interactions?
- ☑ Something else?

JHEP 1509 (2015) 148

D-h correlations in pPb



HF-decay e-h correlations in Pb-Pb

