

# Heavy-flavour results from CMS and prospects for future measurements

**Gian Michele Innocenti**  
Massachusetts Institute of Technology (MIT)

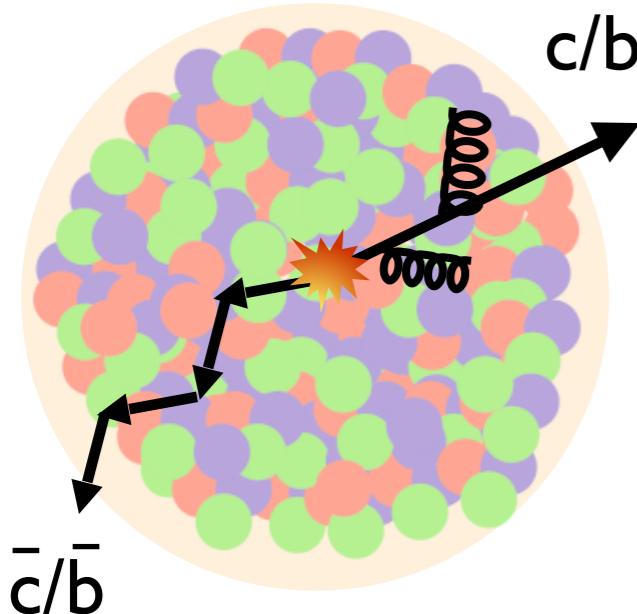
*Heavy Flavour Workshop in High Energy Collisions  
30 October - 1 November 2017  
Lawrence Berkeley National Laboratory*

\* focus on PbPb observable! CMS pp and pPb HF results in the backup!

# Heavy-flavours in CMS

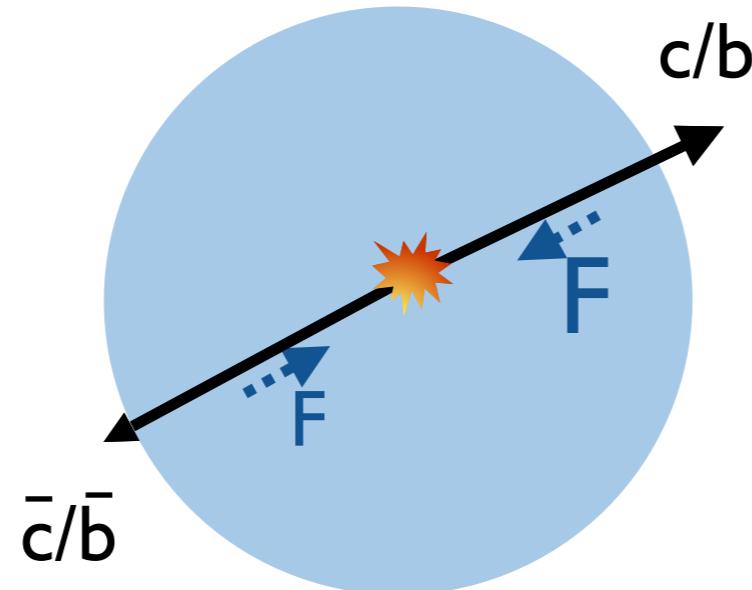
## Energy loss and collectivity using both charm and beauty probes

### Jet quenching and flavour dependence



pQCD system?

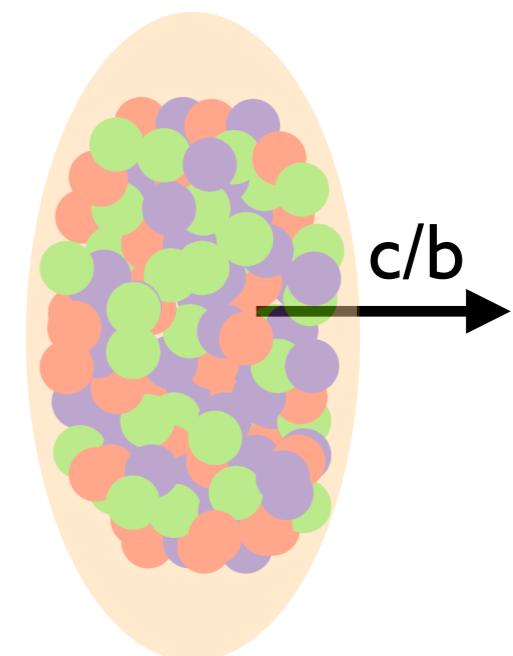
- collisional and radiative with quasi particles



strongly-coupled  
medium?

- “drag” force in medium w/o quasi-particles

Degree of collectivity  
of heavy-particles



- thermalisation?

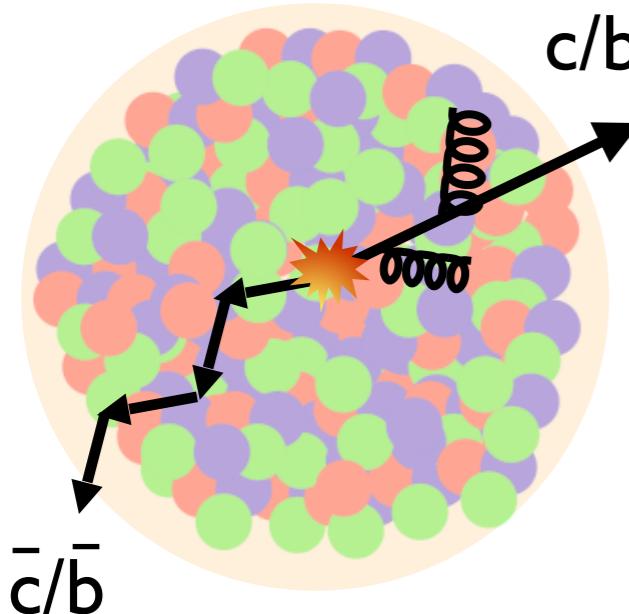
- collisional vs radiative?

- charm transport coefficient  $D_s$

# Heavy-flavours in CMS

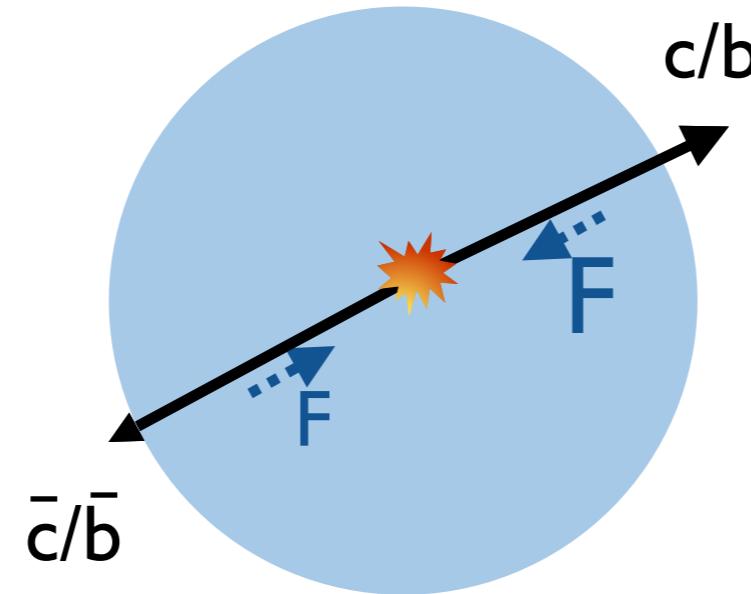
## Energy loss and collectivity using both charm and beauty probes

### Jet quenching and flavour dependence



pQCD system?

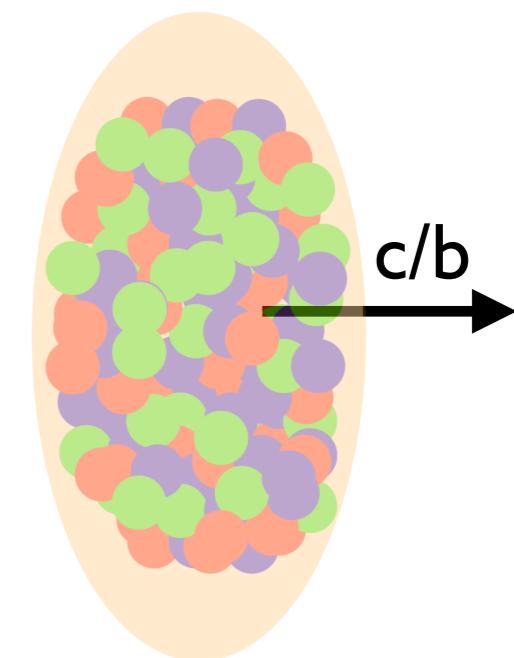
- collisional and radiative with quasi particles



strongly-coupled  
medium?

- “drag” force in medium w/o quasi-particles

Degree of collectivity  
of heavy-particles



- thermalisation?

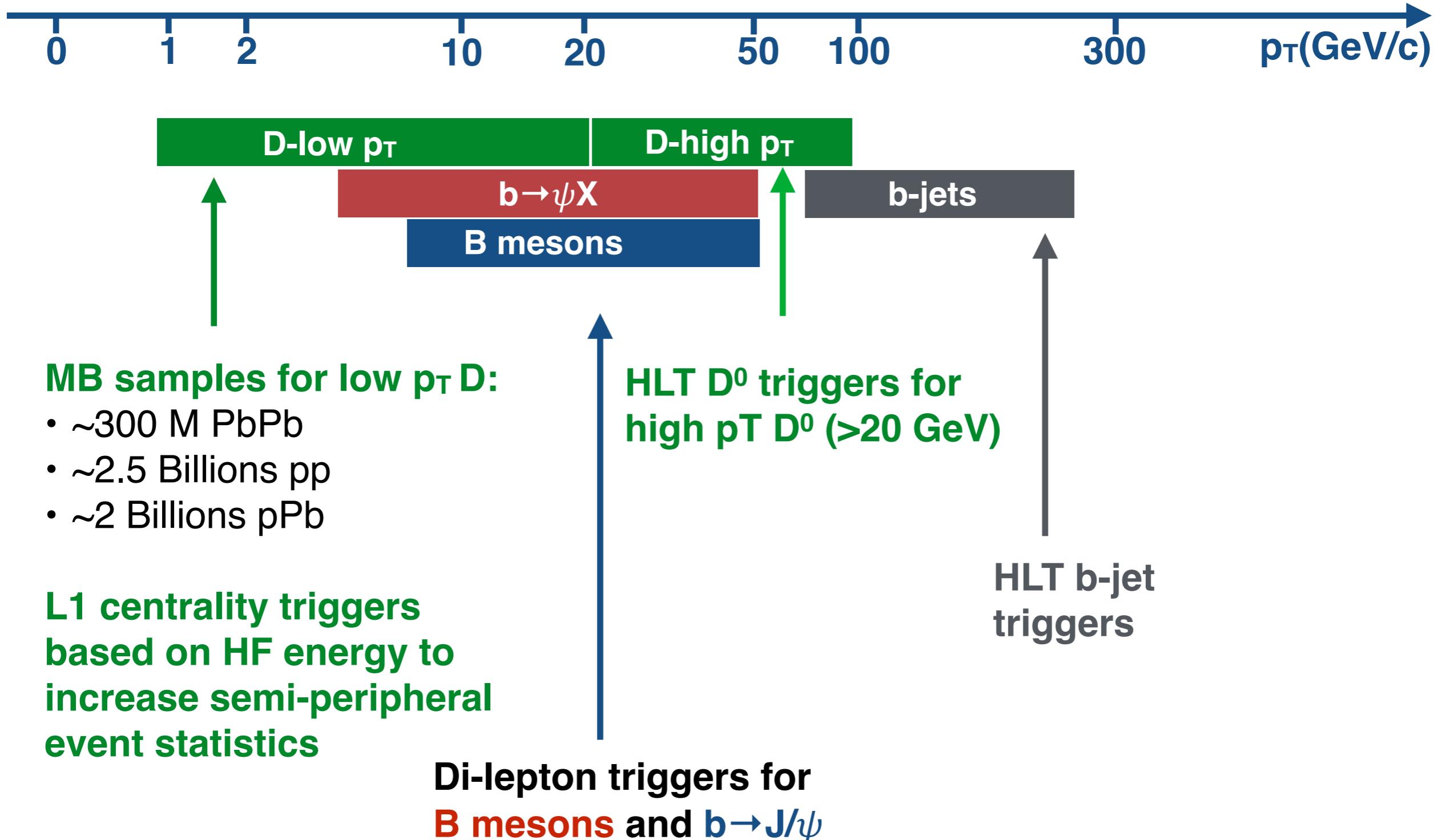
- collisional vs radiative?

- charm transport coefficient  $D_s$

**BUT energy loss and transport coefficient are not our goal!**

We need to connect this to more fundamental properties (e.g  $\eta/s$ )!

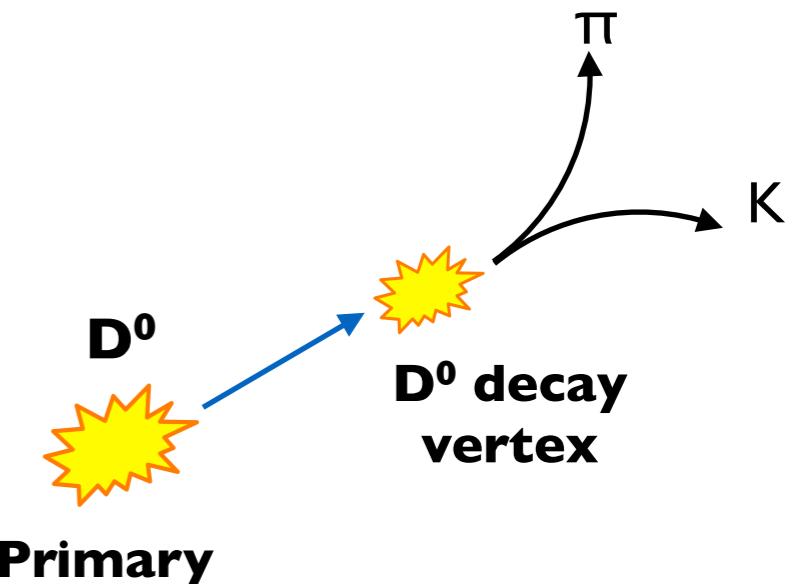
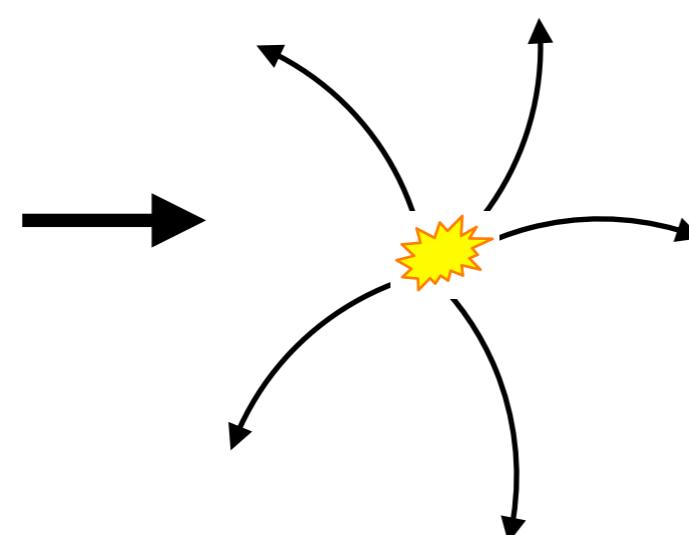
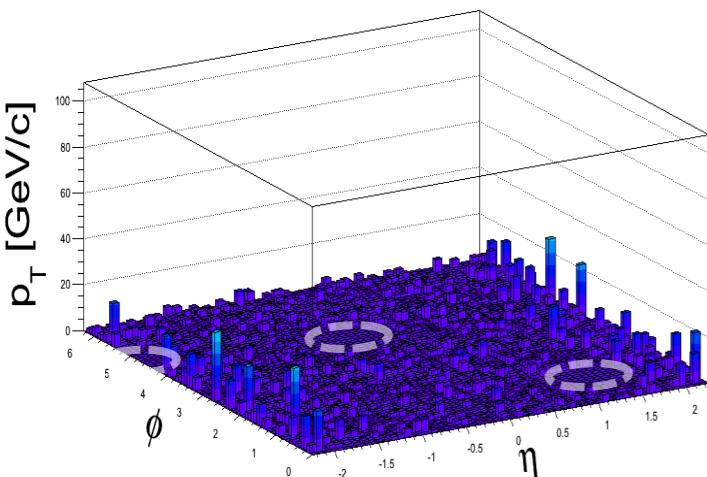
# Triggering as the key feature



**Level-1 trigger**= first level of trigger of CMS, hardware-based (FPGA)  
**High-Level-Trigger**= second trigger layer , software-based

# Focus on $D^0$ High-Level triggers

→ Collect full luminosity of high- $p_T$   $D^0$  mesons, not doable with MB trigger



**Hardware jet triggers  
(Level-1)**



**Problem of fake jets!**  
L1 background subtraction

**Track reconstruction in  
software trigger system**



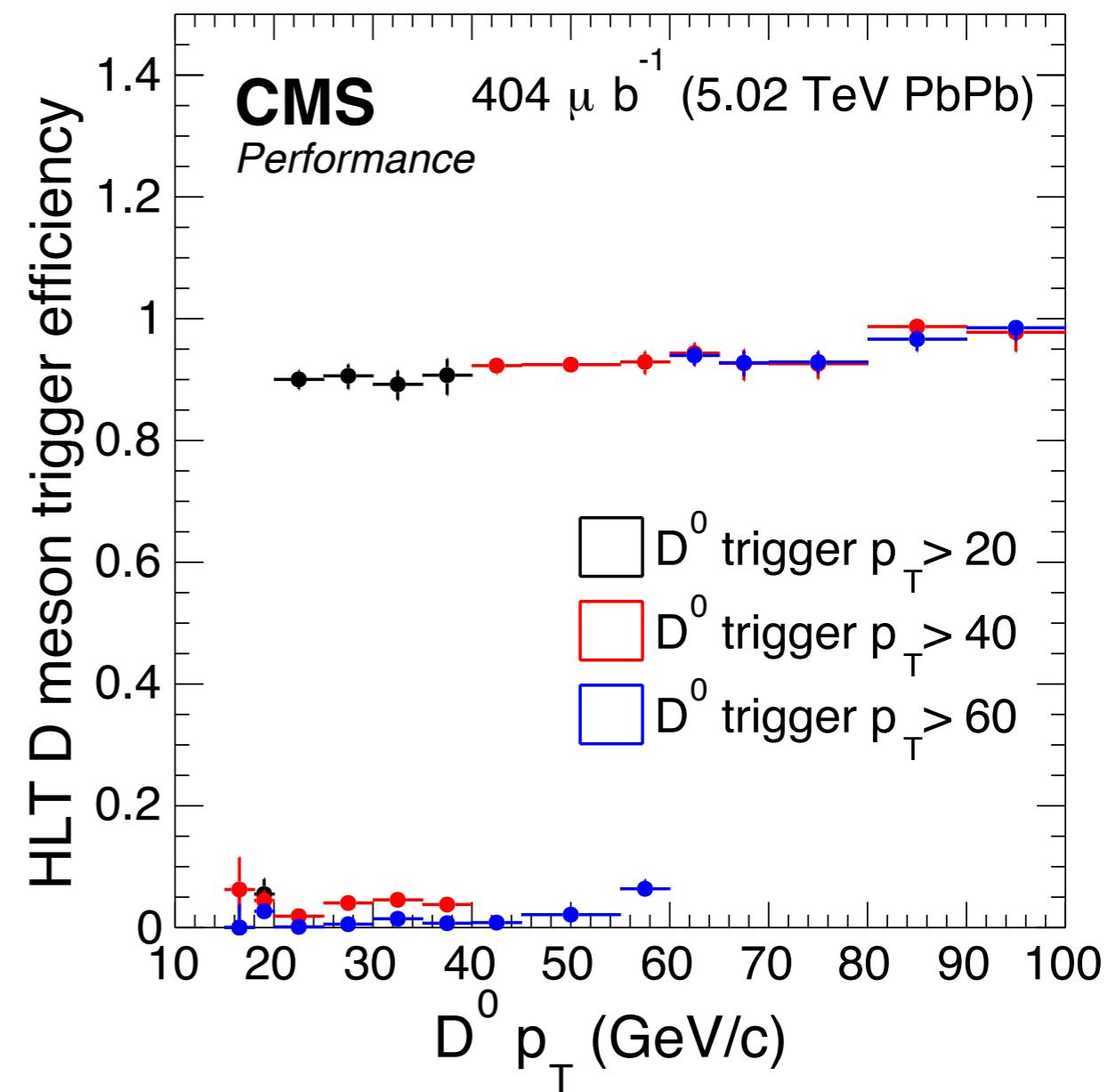
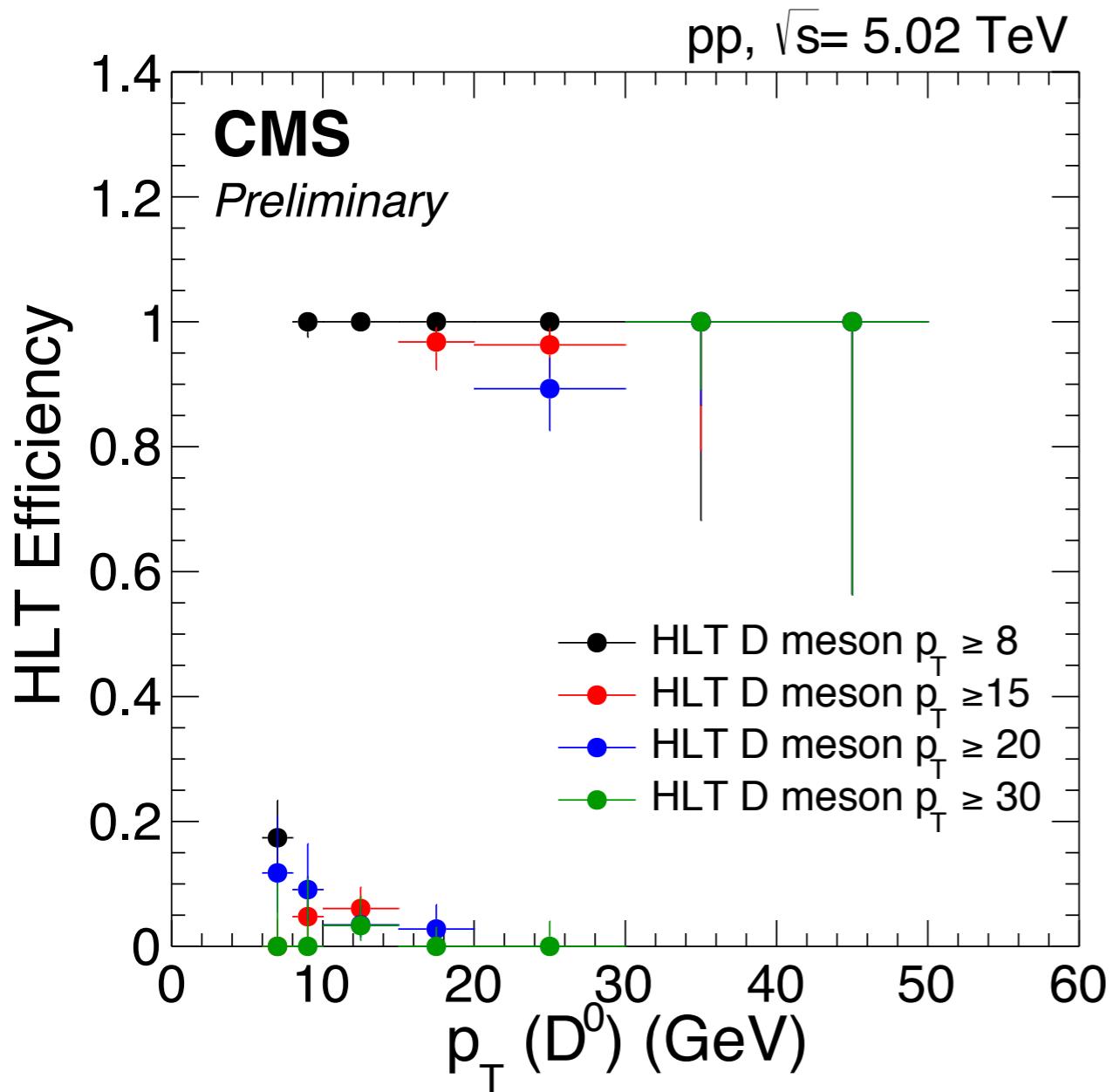
**Timing!**

**$D^0$  meson reconstruction  
and selection**



**HLT output rate!**

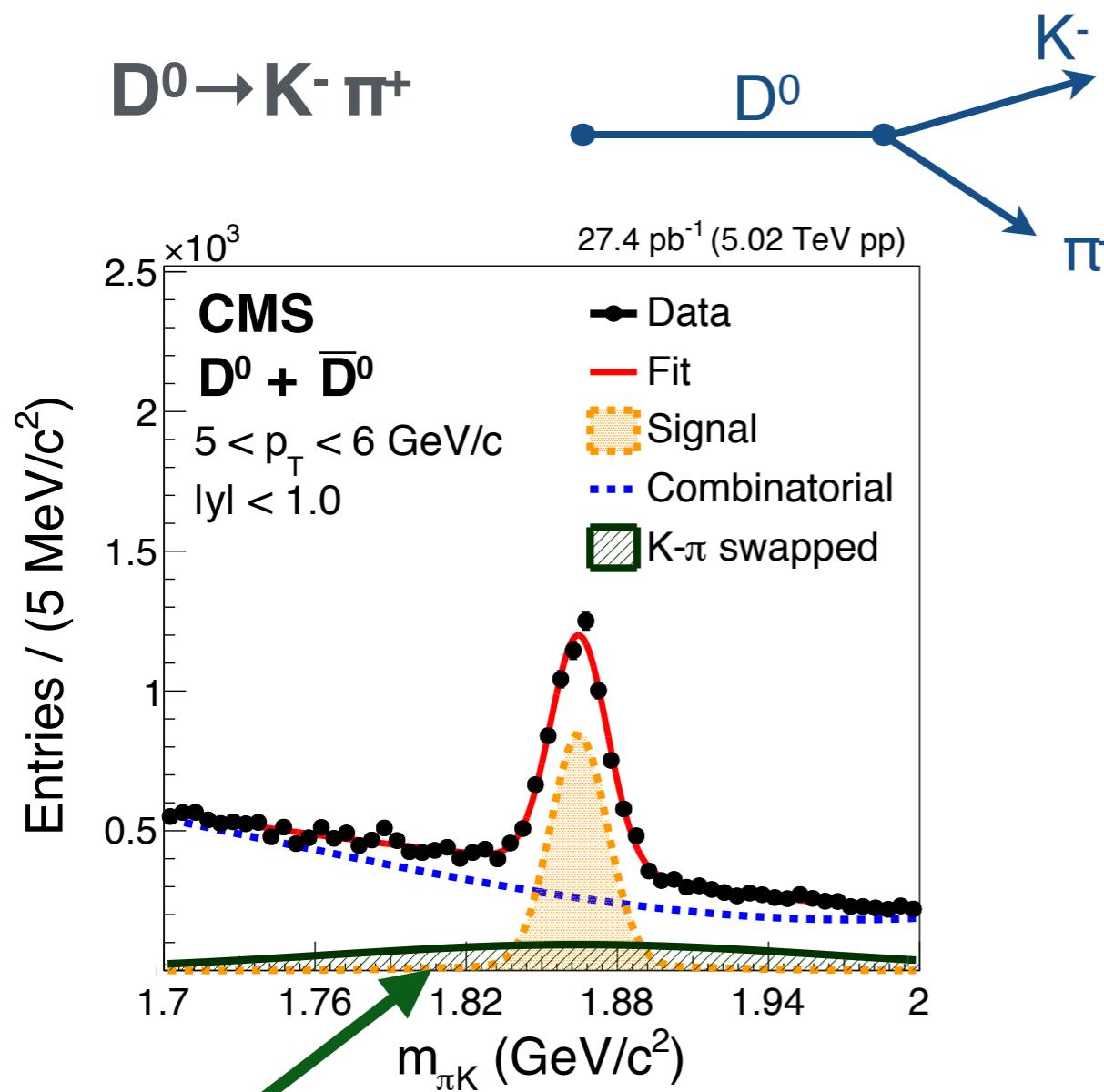
# Focus on D<sup>0</sup> High-Level triggers



- factor  $\times 800$  (**30**) increased lumi in **pp** (**PbPb**) for  $p_T > 60 \text{ GeV}$  compared to MB
- entire  $D^0 \rightarrow K^- \pi^+$  statistics collected for  $p_T > 60 \text{ GeV}$**

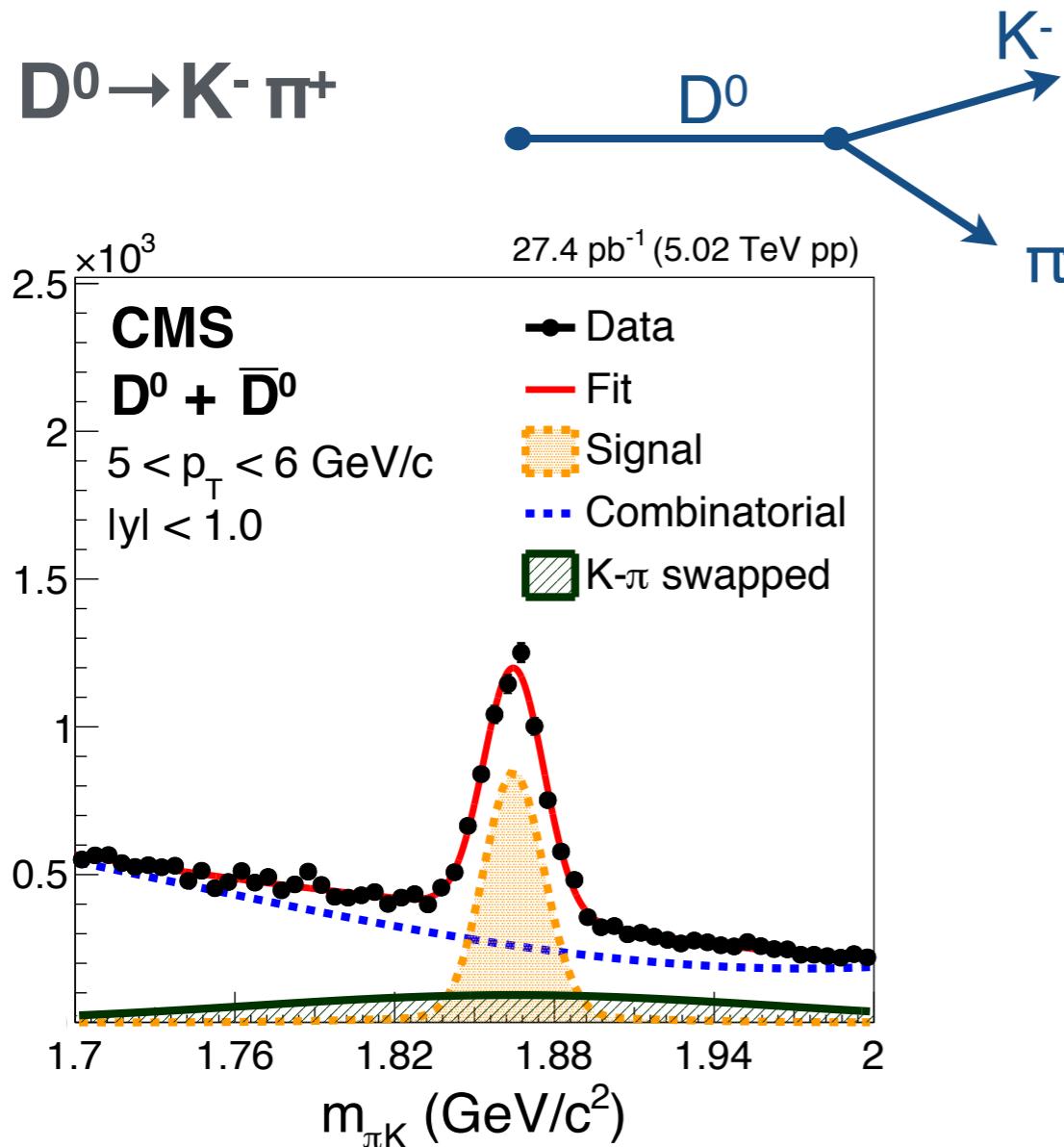
# Prompt D<sup>0</sup>-meson R<sub>AA</sub> at 5.02 TeV

## D mesons

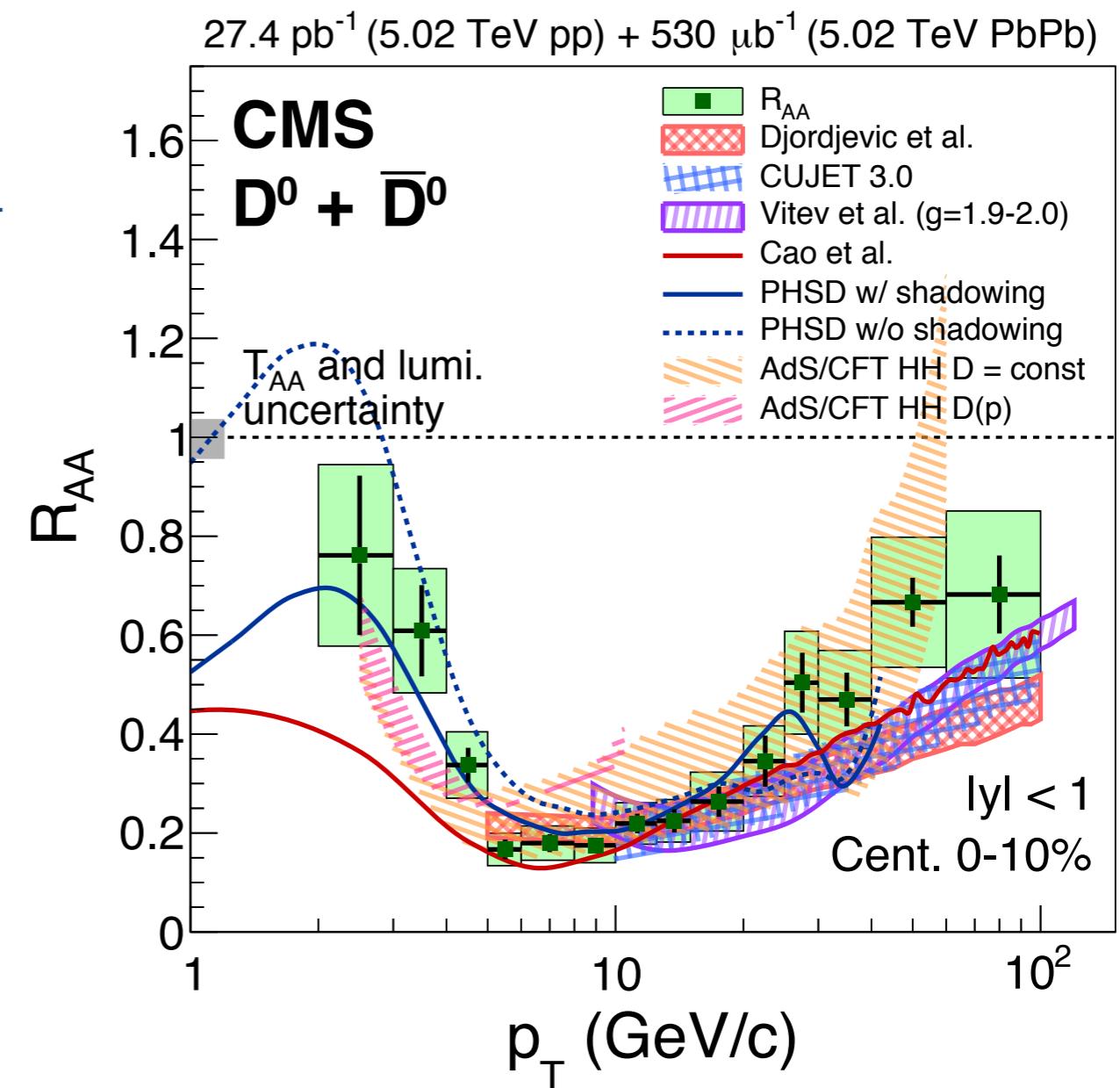


**No PID** → wide gaussian  
for candidates with  
swapped mass hypothesis

# Prompt D<sup>0</sup>-meson R<sub>AA</sub> at 5.02 TeV



**No PID** → wide gaussian  
 for candidates with  
 swapped mass hypothesis



[1] arXiv:1703.00822

[2] Phys. Rev. C 92 (2015) 024918

[3] JHEP 02 (2016) 169

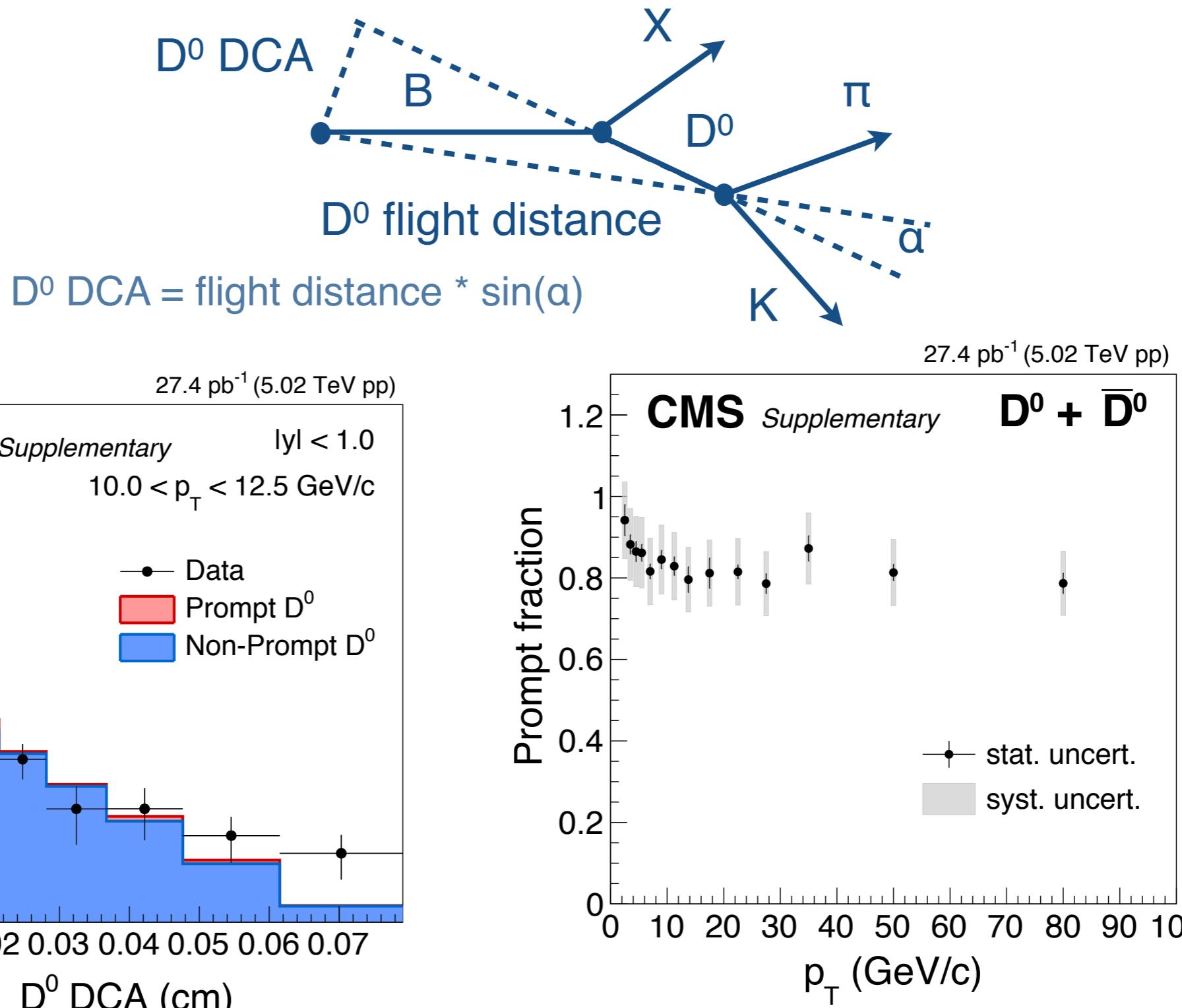
[4] Phys. Rev. D 91 (2015) 085019

[5] Phys. Rev. D 93 (2016) 074030

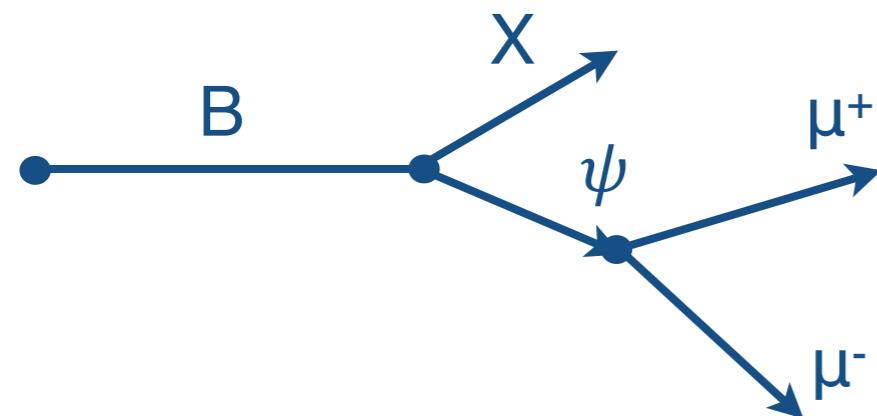
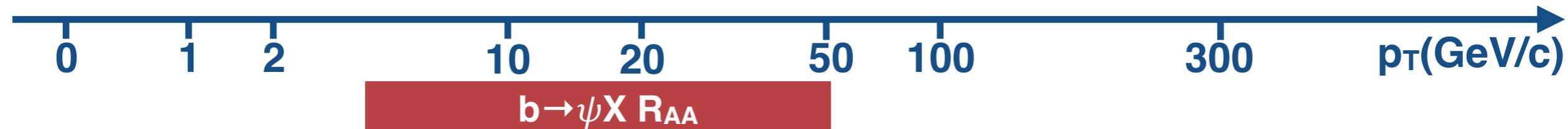
[6] Phys. Rev. C 93 (2016) 034906

# Prompt D<sup>0</sup>-meson R<sub>AA</sub> at 5.02 TeV

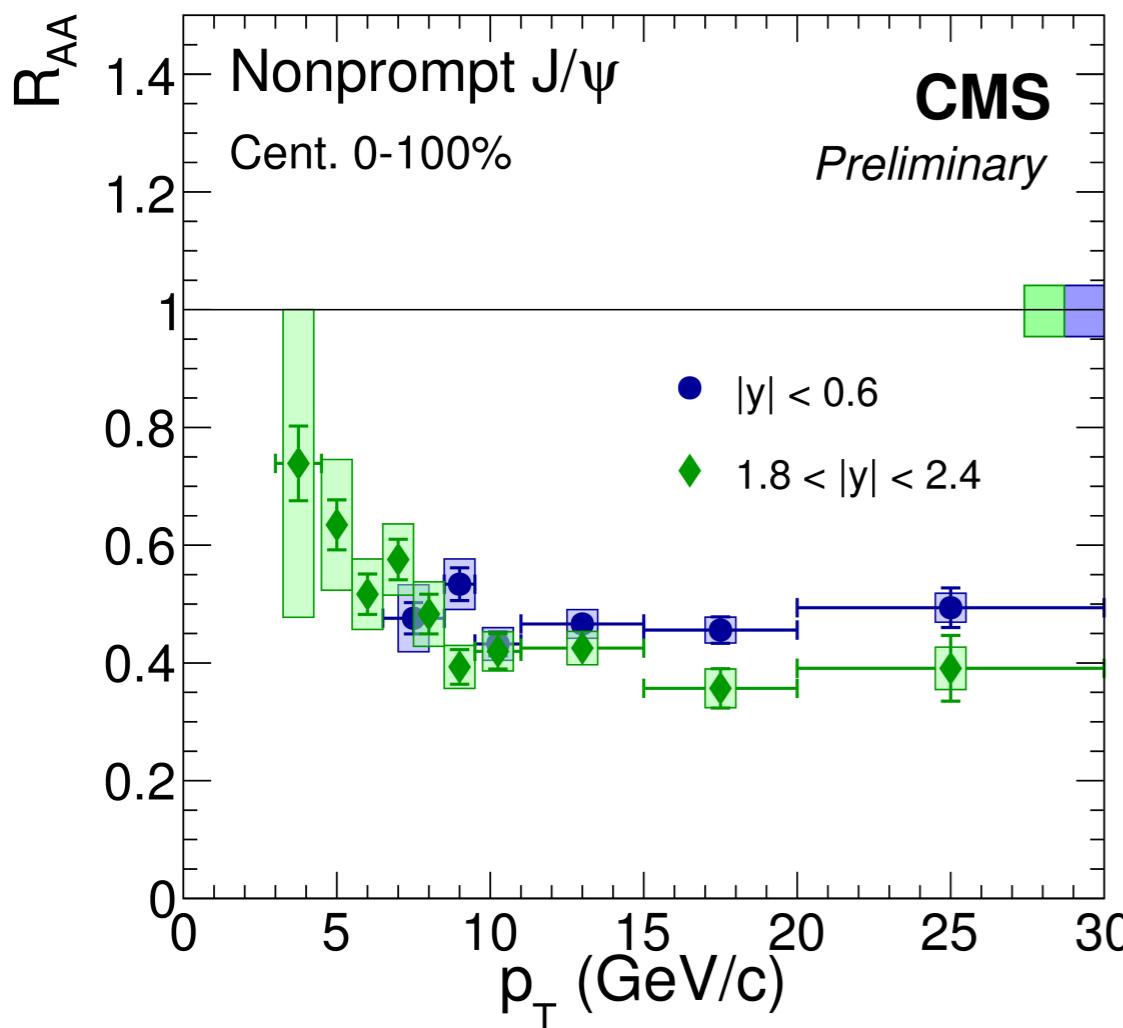
- Significant contribution of non-prompt D<sup>0</sup> from b hadron decays at LHC ( $O(10\%)$ )
- CMS separates prompt and non-prompt D<sup>0</sup> from DATA using D<sup>0</sup> DCA



# $b \rightarrow \psi X$ R<sub>AA</sub> at 5.02 TeV

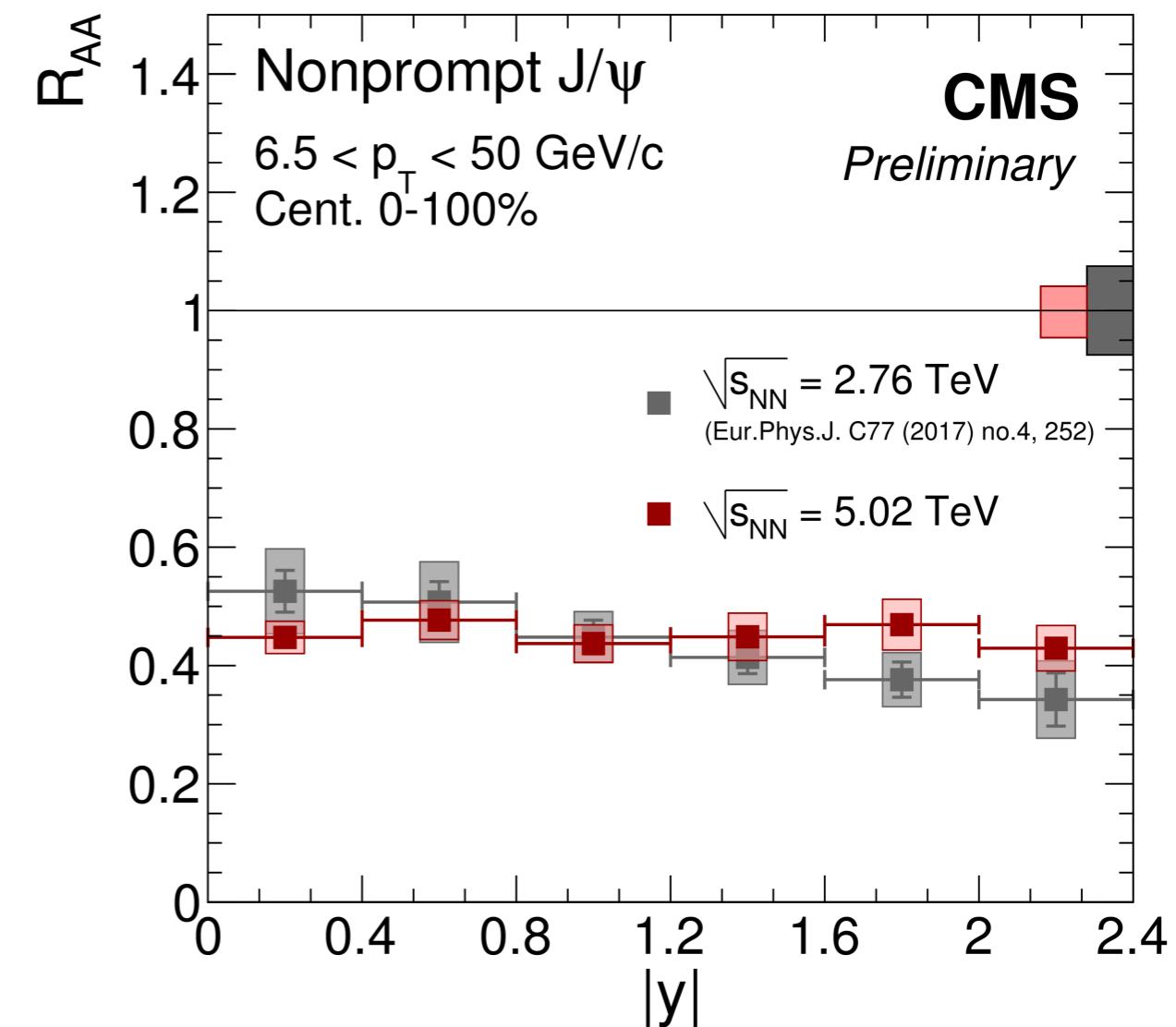


PbPb 368  $\mu\text{b}^{-1}$ , pp 28.0  $\text{pb}^{-1}$  (5.02 TeV)

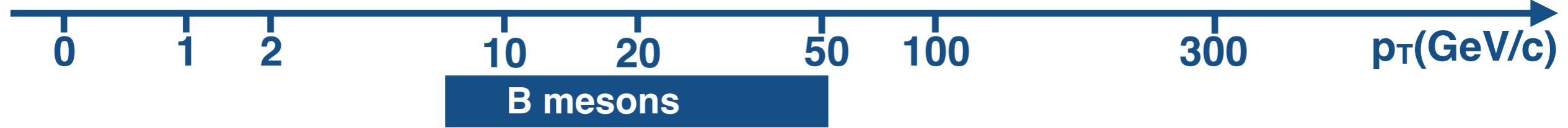


measurement of the beauty suppression as function of rapidity!

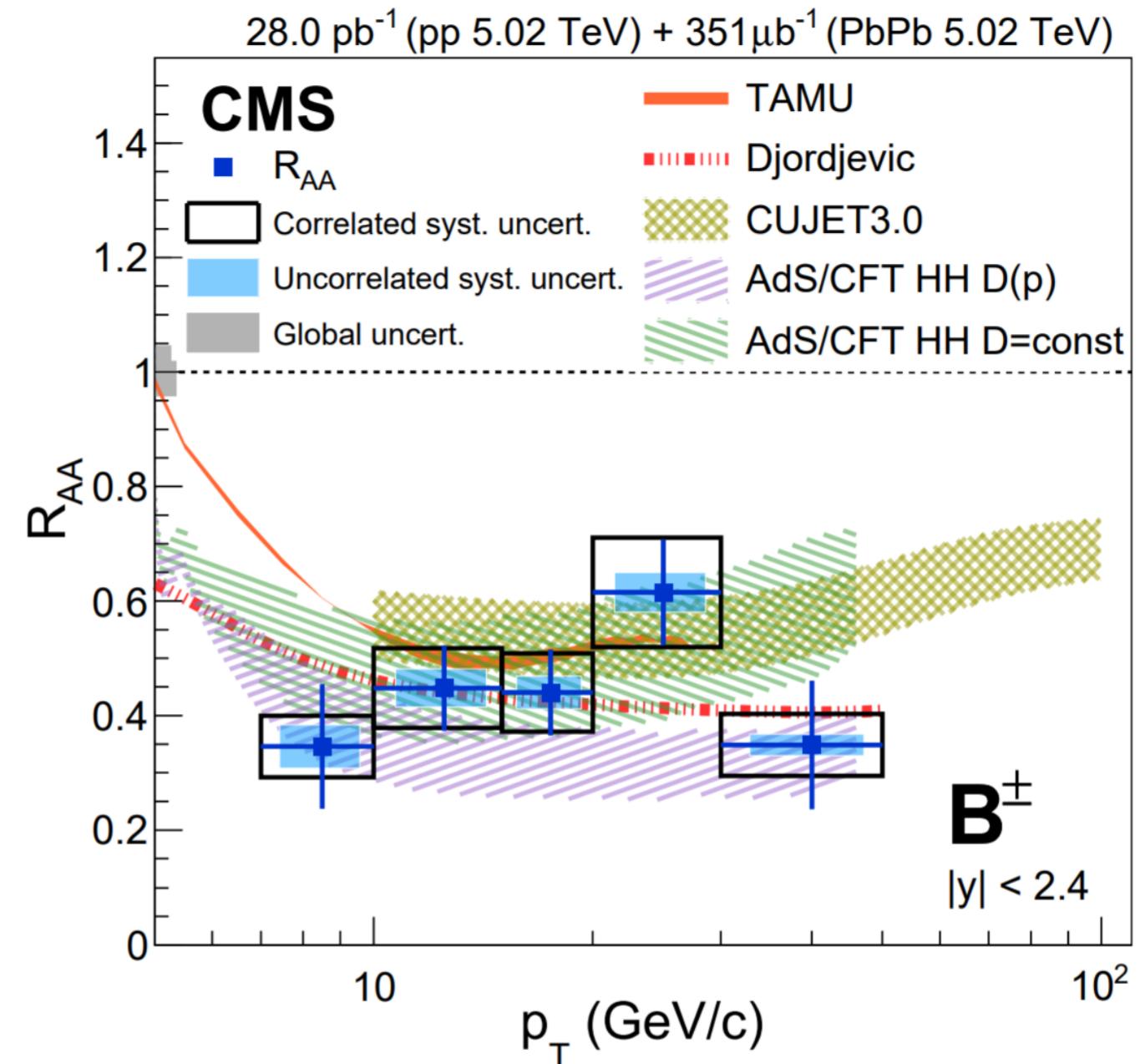
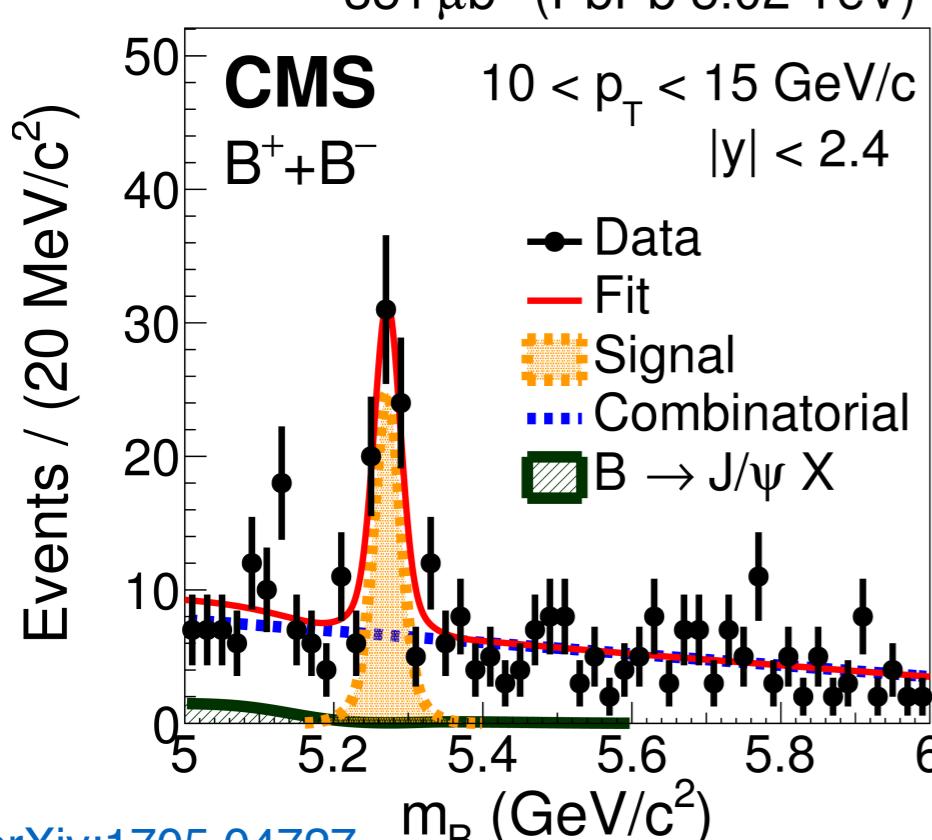
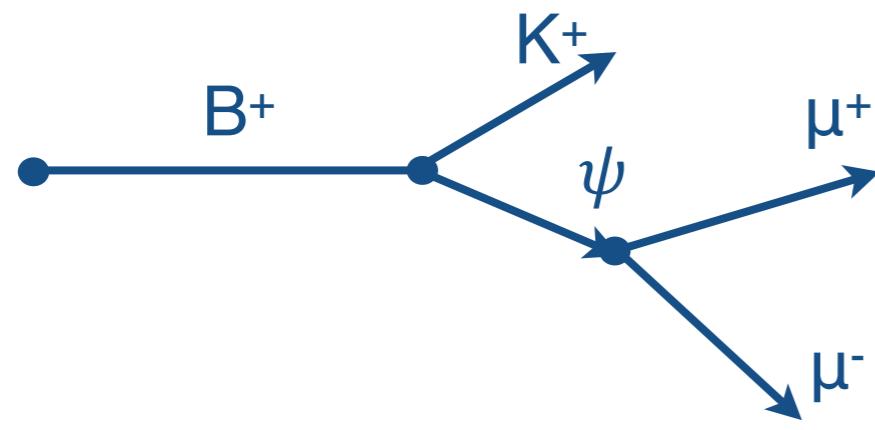
PbPb 368  $\mu\text{b}^{-1}$ , pp 28.0  $\text{pb}^{-1}$  (5.02 TeV)



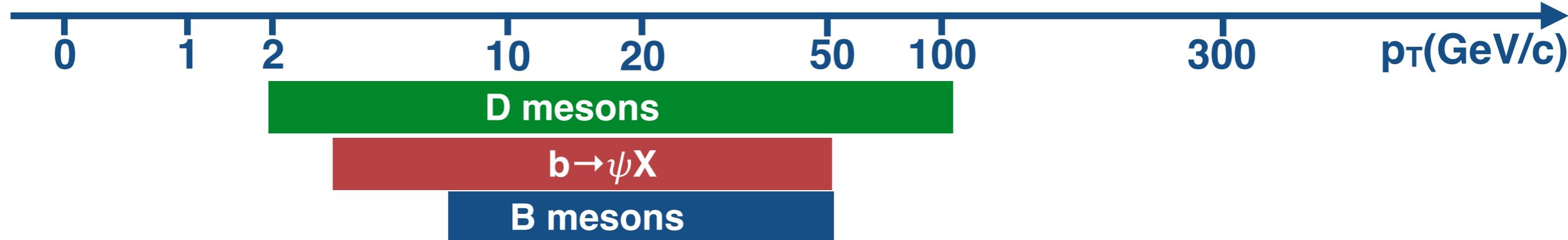
# B<sup>+</sup> meson R<sub>AA</sub> at 5.02 TeV



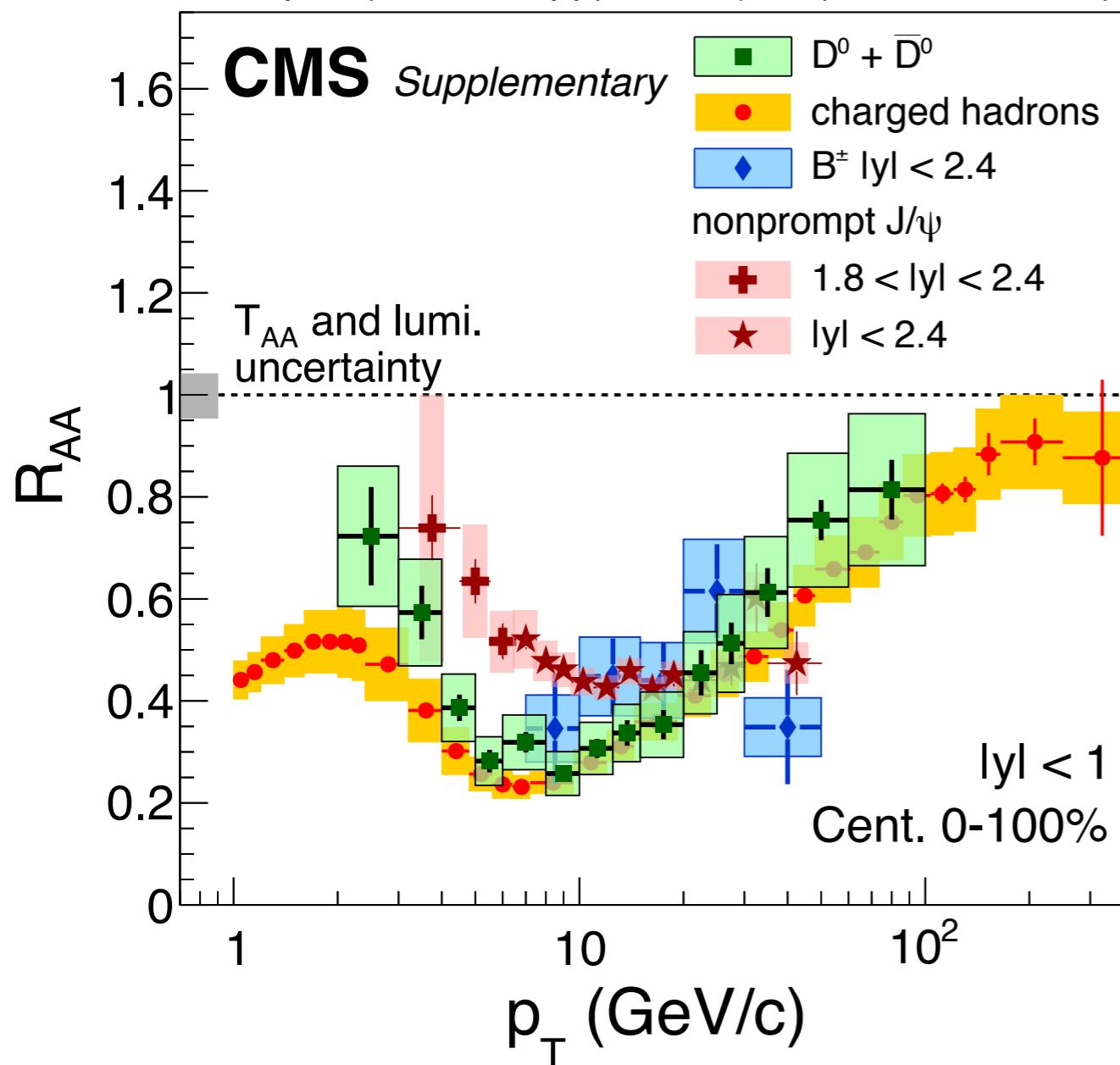
- Fully reconstructed B<sup>+</sup>, B<sub>s</sub>,  $\Lambda_b$  in can isolate the possible effect of beauty recombination



# Flavour dependence of $E_{\text{loss}}$ at 5.02 TeV



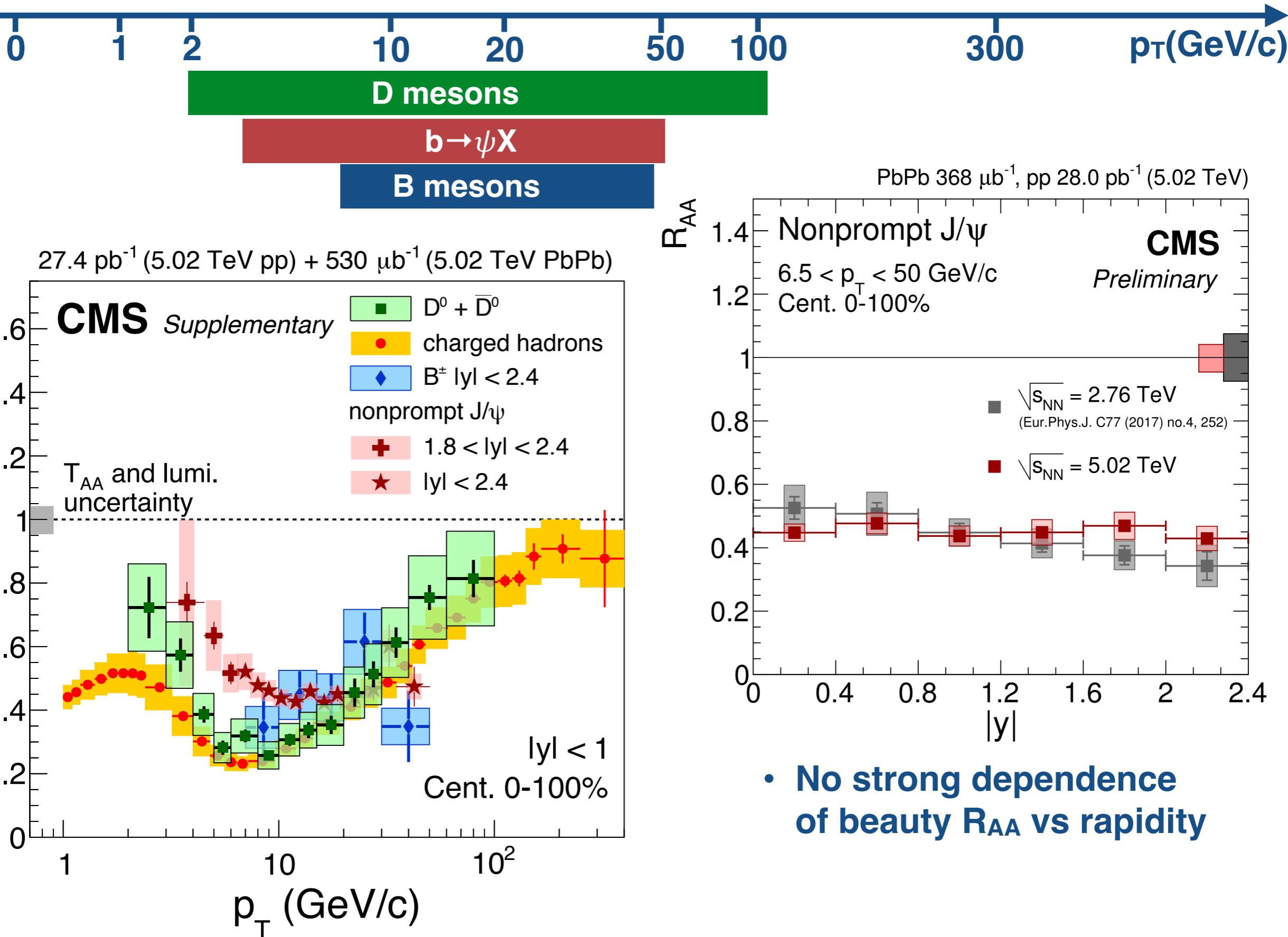
27.4  $\text{pb}^{-1}$  (5.02 TeV pp) + 530  $\mu\text{b}^{-1}$  (5.02 TeV PbPb)



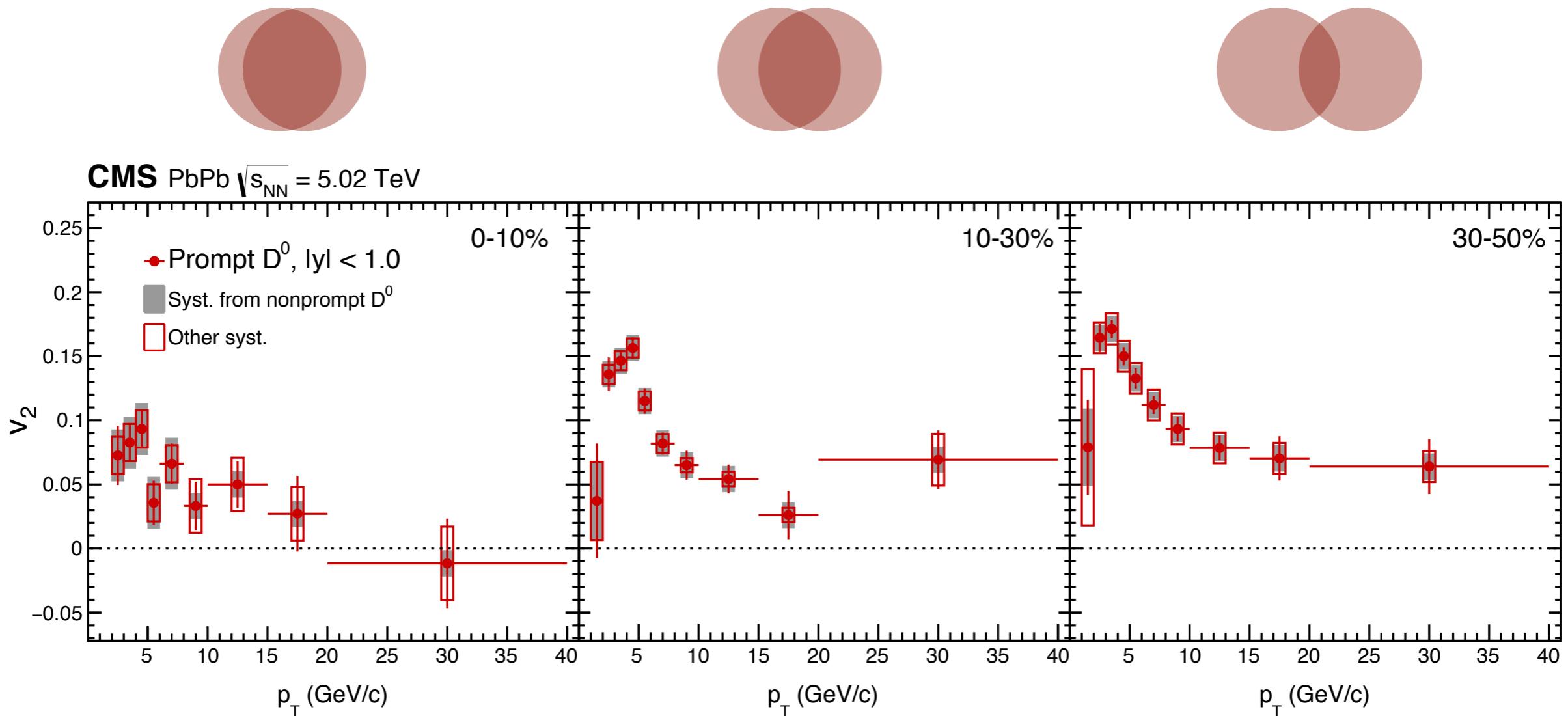
**B<sup>+</sup> meson**  
**D<sup>0</sup> meson**  
**charged particle**  
**non prompt J/ $\psi$**

JHEP 04 (2017) 039  
arXiv:1705.04727  
CMS-PAS-HIN-16-025  
arXiv: 1708.04962

# Flavour dependence of $E_{\text{loss}}$ at 5.02 TeV



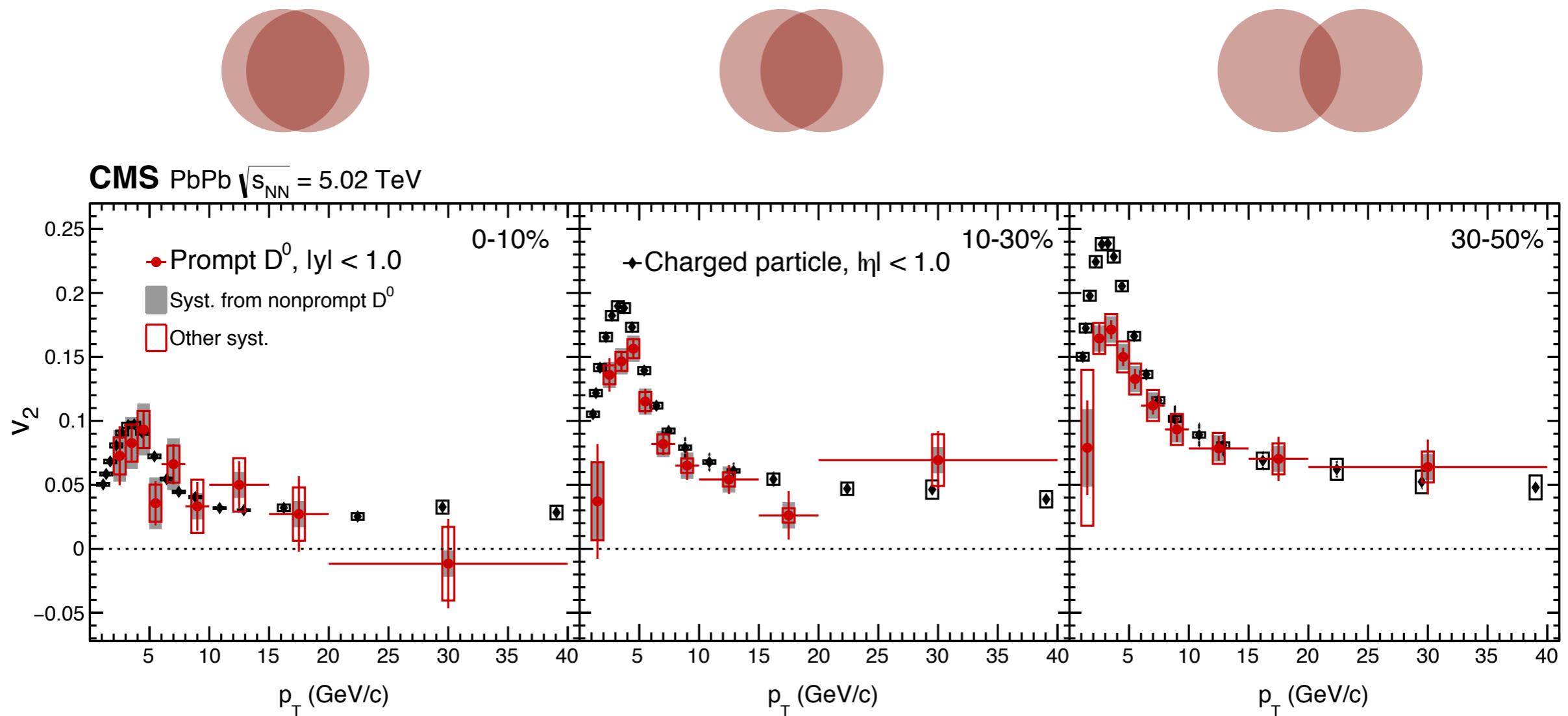
# Prompt D<sup>0</sup> v<sub>2</sub> in PbPb at 5.02 TeV



Positive prompt  $D^0$   $v_2$  that increases with centrality at both low and high  $p_T$

- Low  $p_T$ : charm quarks take part in the collective motion (**collisional**)
- High  $p_T$ : indicates path length dependence of energy loss (**radiative**)

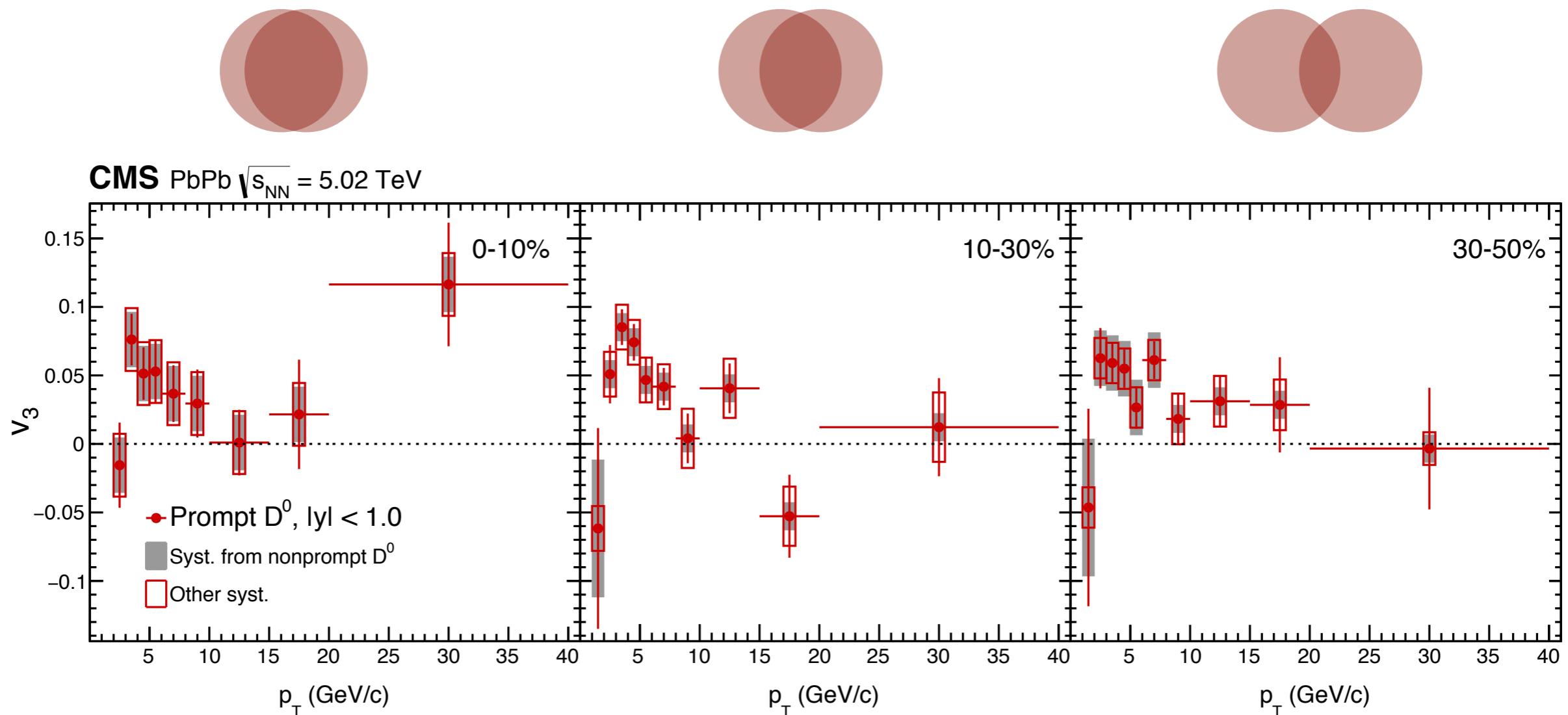
# Prompt $D^0$ $v_2$ in PbPb at 5.02 TeV



Low  $p_T$ :  $v_2$  (prompt  $D^0$ )  $\approx$   $v_2$  (charged particles) in central events  
 $v_2$  (prompt  $D^0$ )  $<$   $v_2$  (charged particles) in peripheral events

High  $p_T$ :  $v_2$  (prompt  $D^0$ )  $\approx$   $v_2$  (charged particles)

# Prompt $D^0$ $v_3$ in PbPb at 5.02 TeV

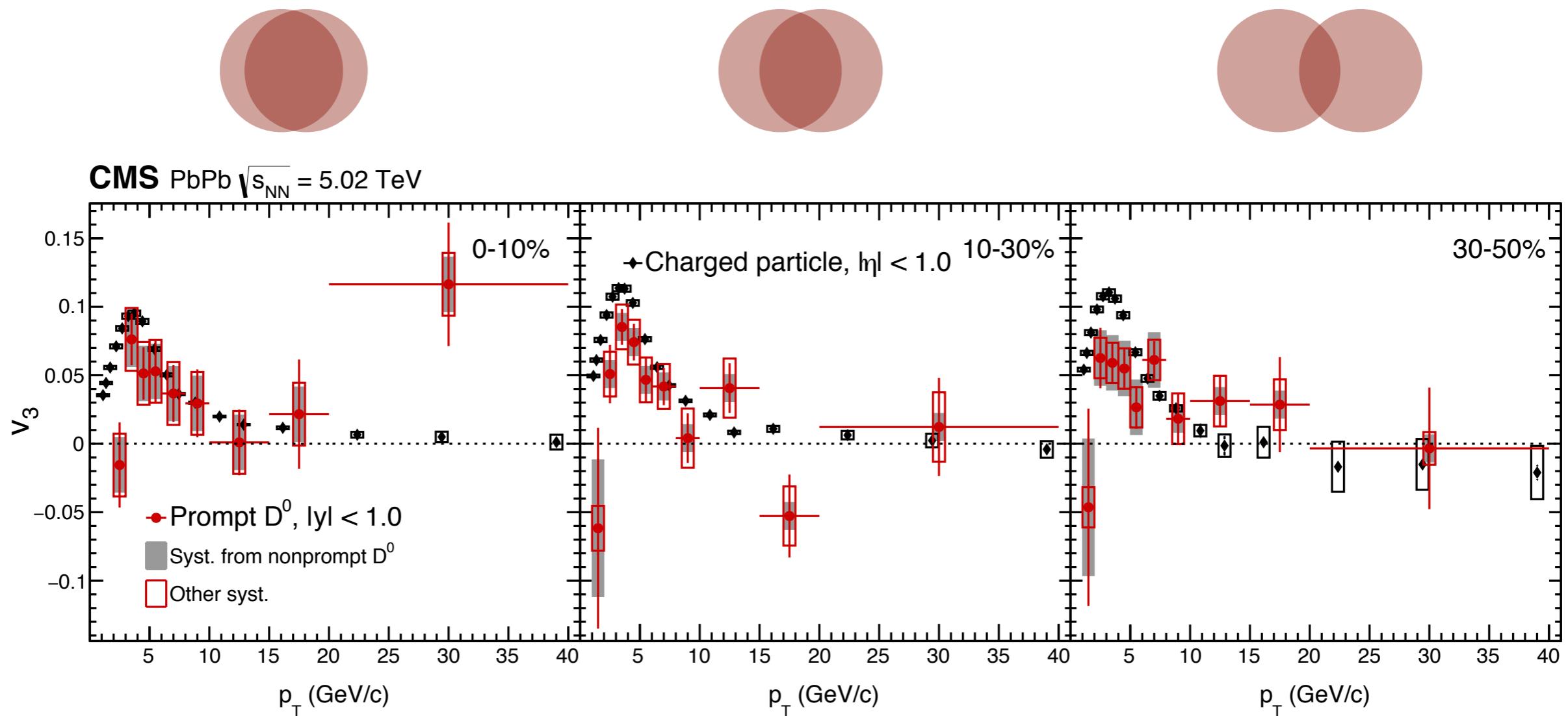


Low  $p_T$ :  $v_3$  (prompt  $D^0$ )  $> 0$ ;

High  $p_T$ :  $v_3$  (prompt  $D^0$ )  $\approx 0$

Little centrality dependence

# Prompt $D^0$ $v_3$ in PbPb at 5.02 TeV

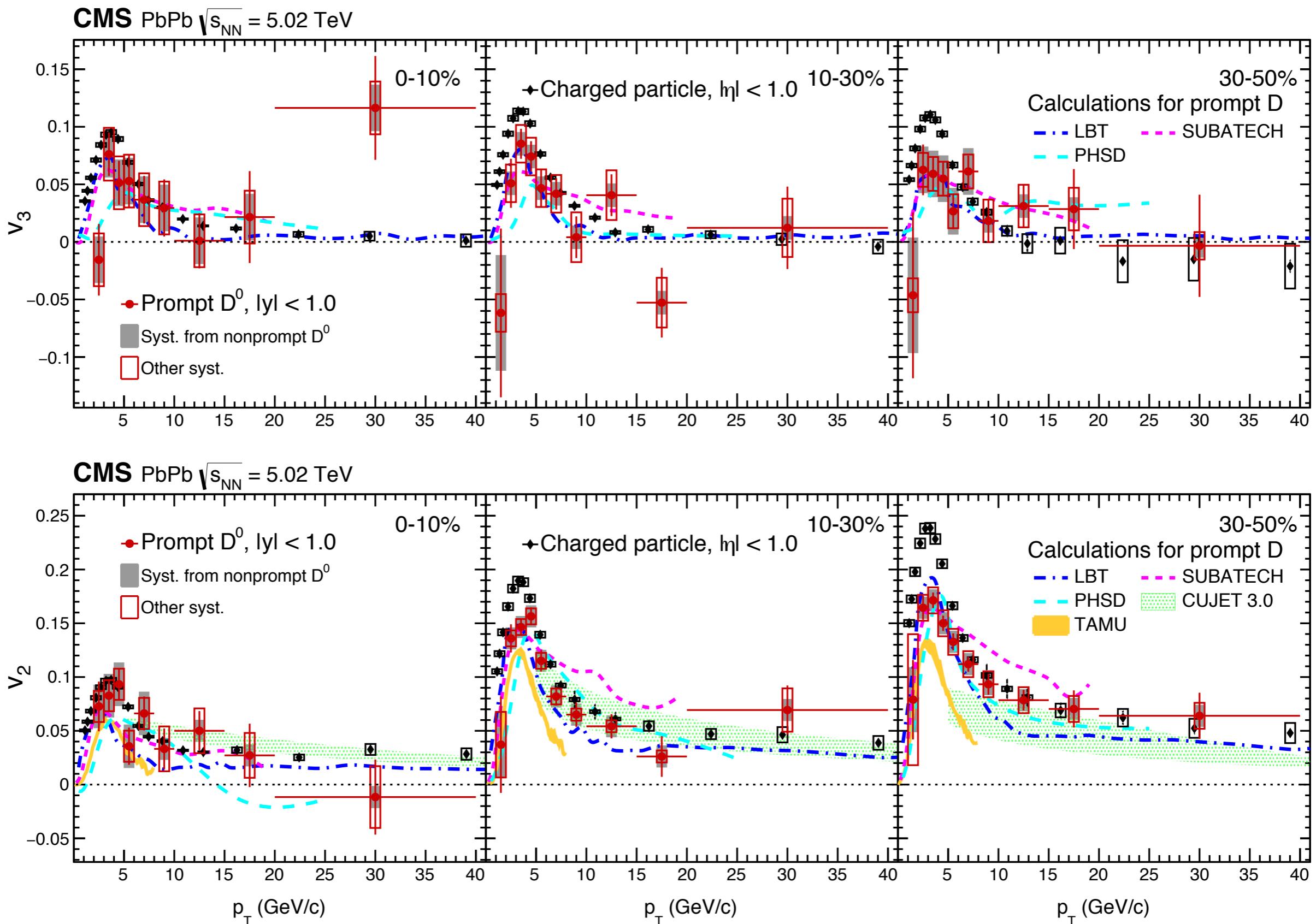


Low  $p_T$ :  $v_3$  (prompt  $D^0$ ) <  $v_3$  (charged particles)

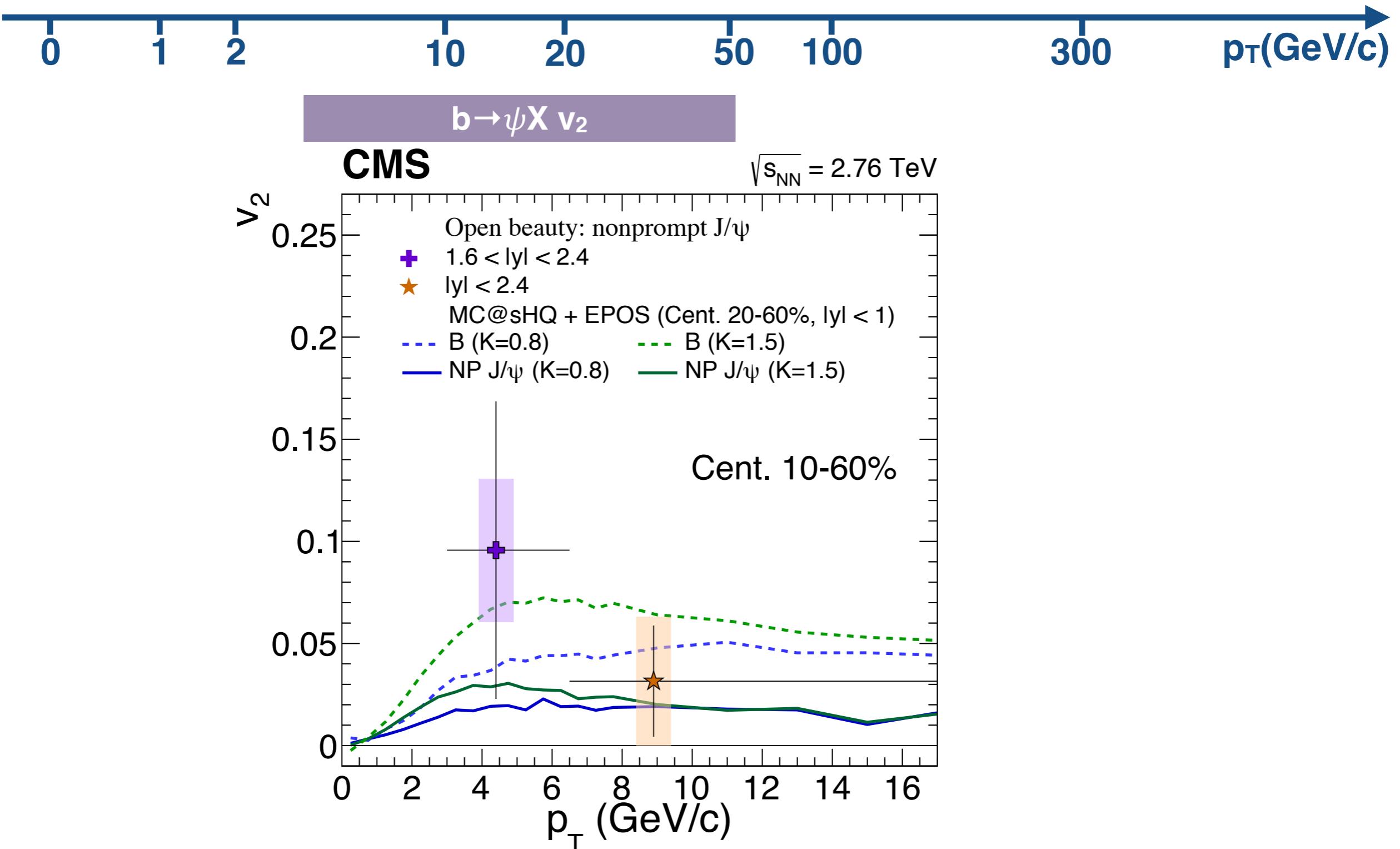
High  $p_T$ :  $v_3$  (prompt  $D^0$ )  $\approx$   $v_3$  (charged particles)

Both have little centrality dependence

# Comparison to theoretical calculations

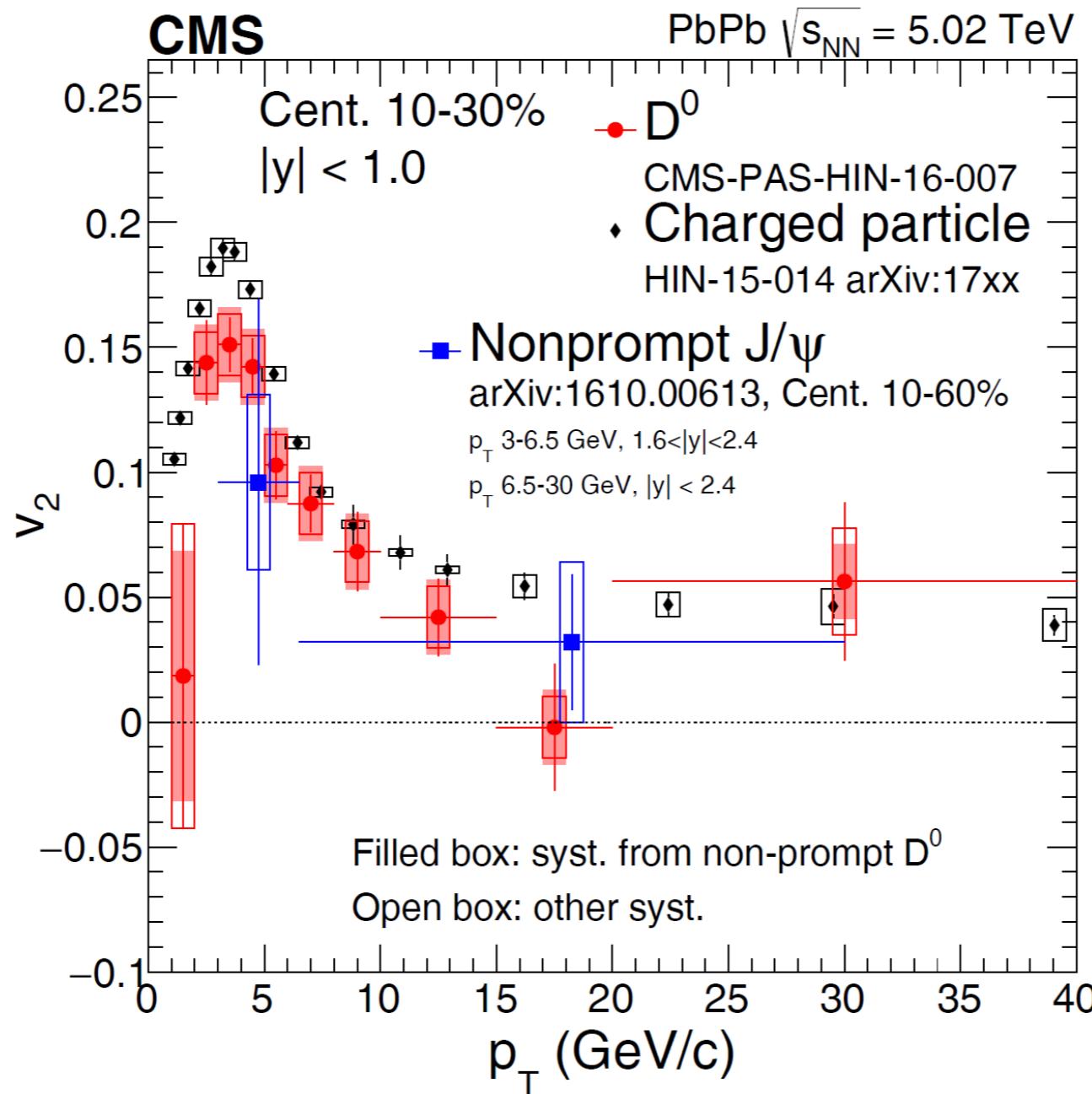


# First look at $b \rightarrow \psi X v_2$ at 5.02 TeV



Current measurement  $L_{\text{int}}=150/\mu\text{b}$ , with  $\sim 70\%$  statistical uncertainty at low  $p_T$ .  
**~20% uncertainties expected in 2018** ( $L_{\text{int}}=1.5/\text{nb}$ )  
**~8% uncertainties expected with Run3!** ( $L_{\text{int}}=10/\text{nb}$ )

# First look at $b \rightarrow \psi X$ $v_2$ at 5.02 TeV



**Similar flow for charm and beauty? Need more data!**

# Open questions

---

## Energy loss

- pQCD vs strongly-coupled?
- collisional vs radiative?
- *flavour dependence*: first indications at low  $p_T$

## proton-proton:

- LO vs NLO mechanism: gluon splitting

## Hadronisation

- still missing a quantitative estimation of recombination
- recombination in pp/pPb?

## Collectivity:

- is charm hydro/thermalised?
- does beauty flow?

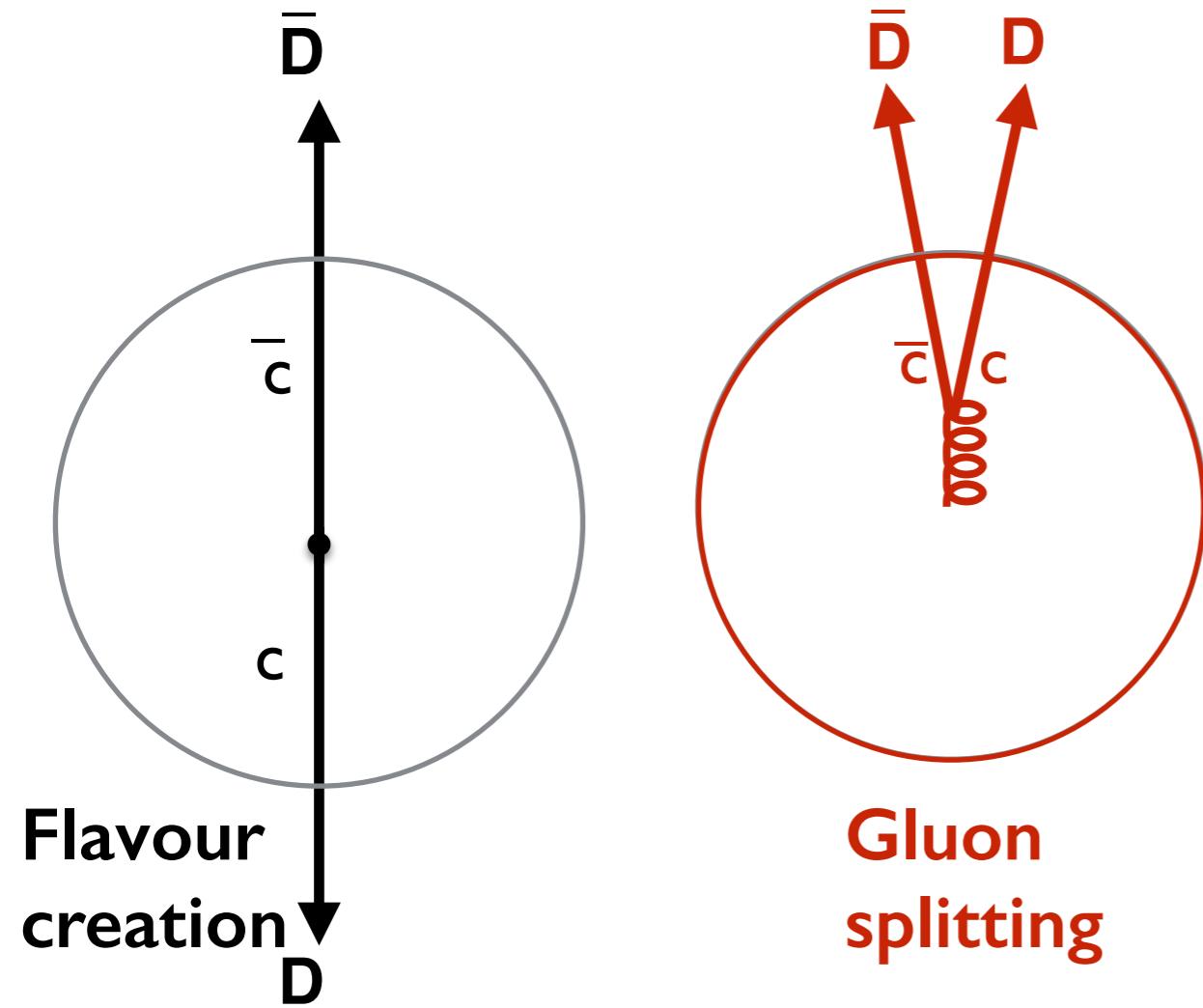
## Small systems:

- flow in high-milt pp or pPb?
- any indication of QGP from heavy-flavour observables?

# Some ideas for future CMS HF analysis

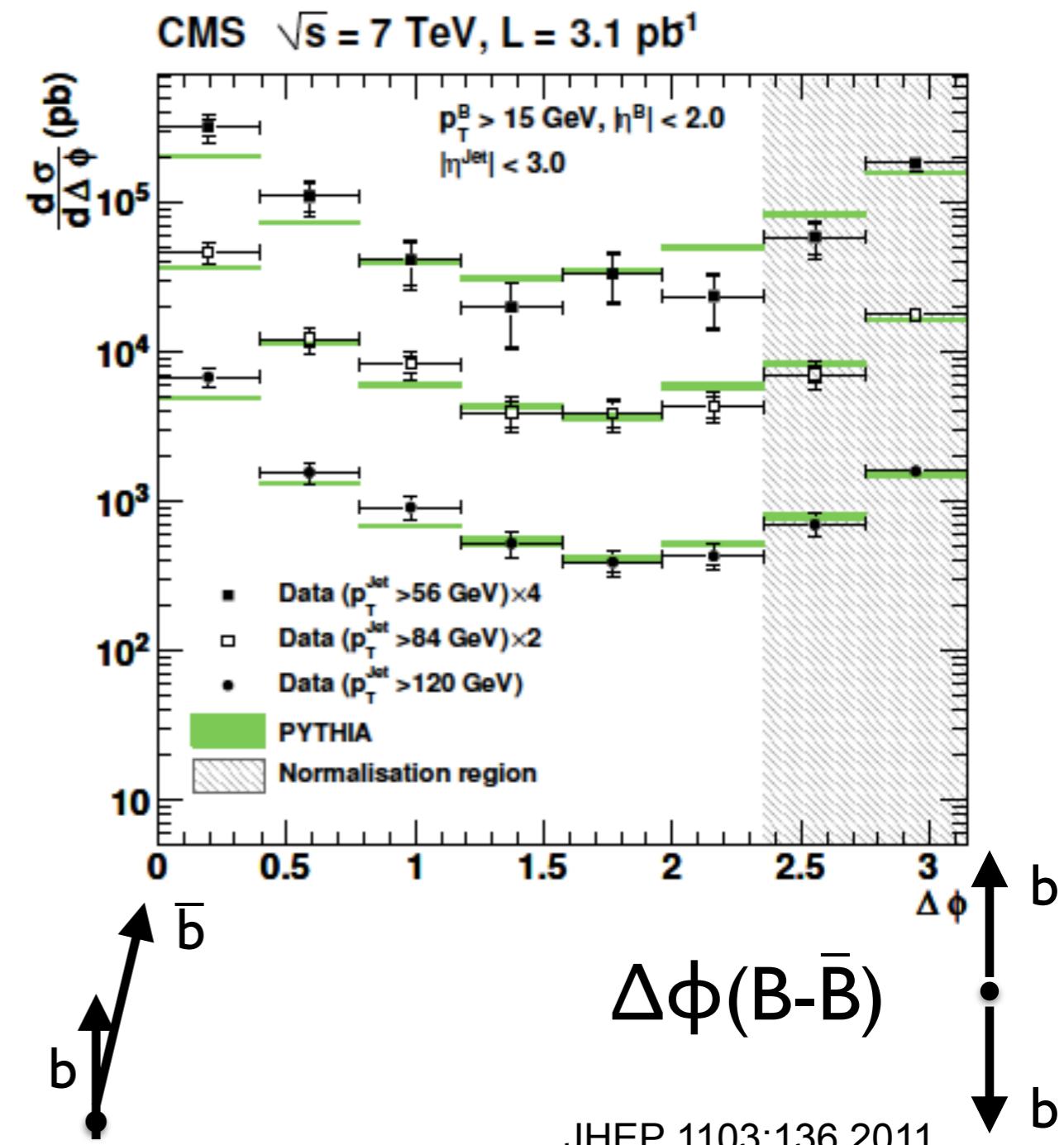
# HF correlations in pp

## D-hadrons and D- $\bar{D}$ correlations for studying pp production mechanisms



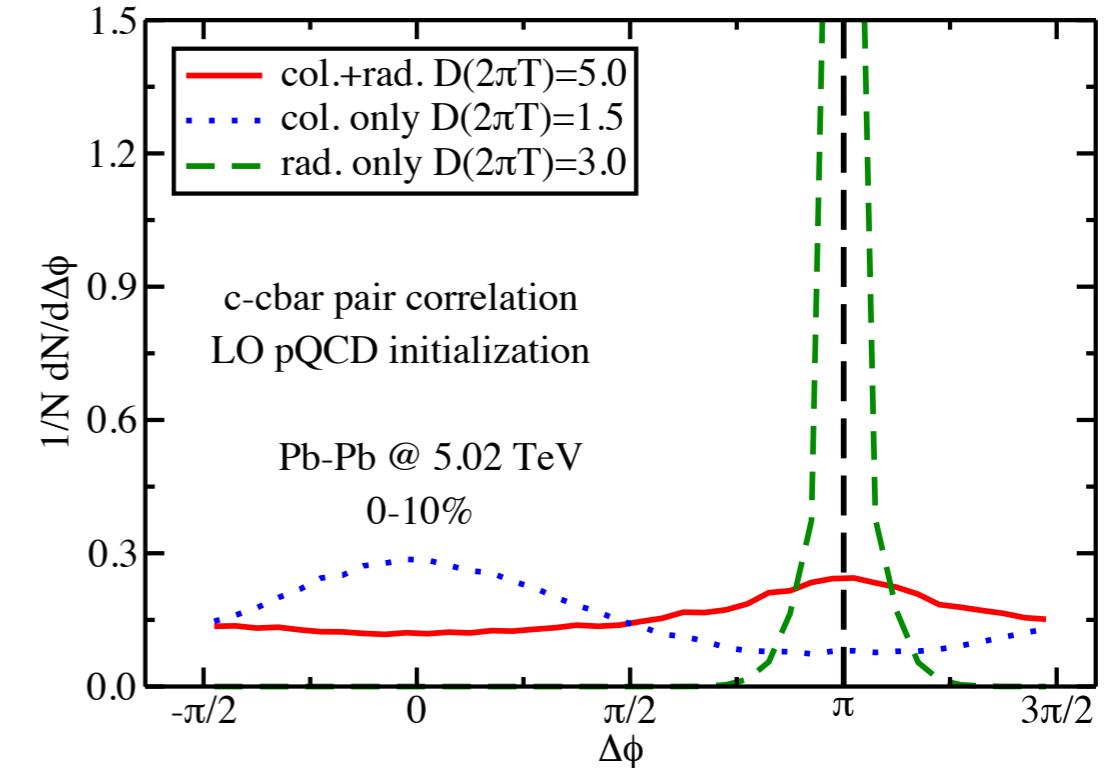
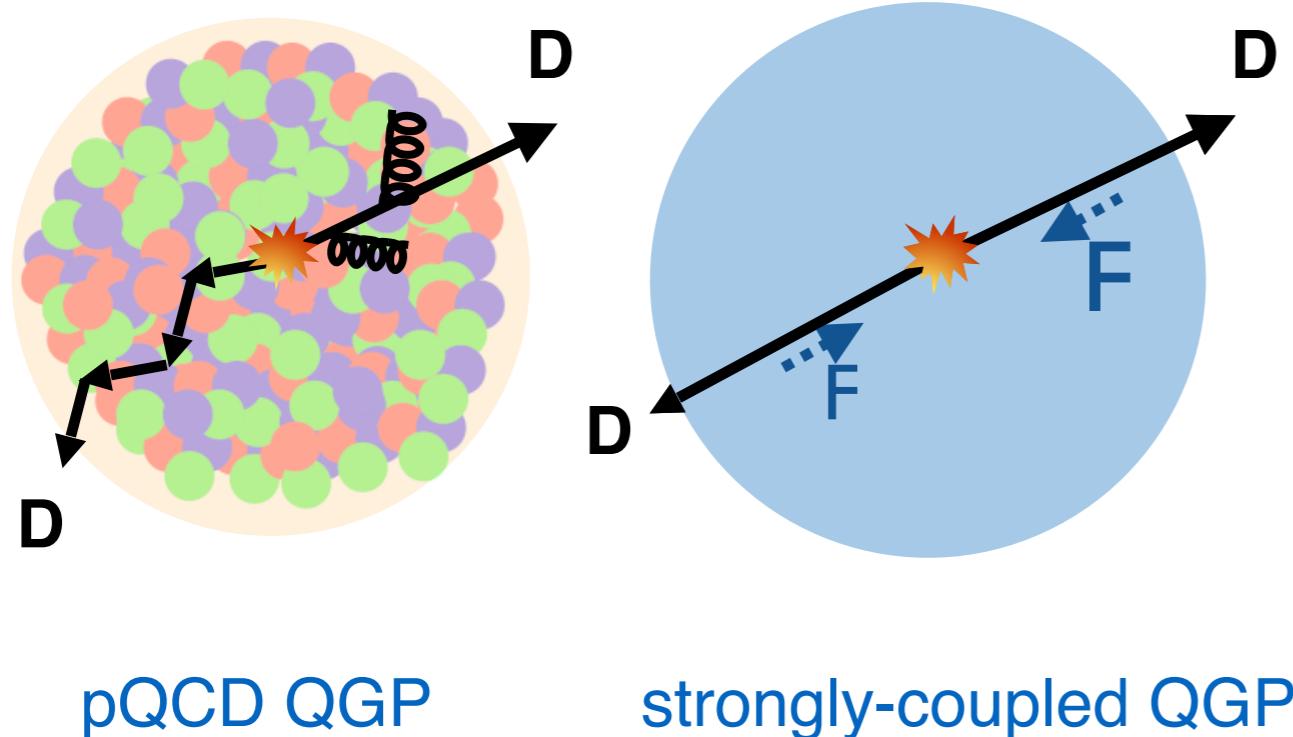
Gluon splitting (GS) contribution not well modelled by most of the pp calculations

→ Probably doable with upcoming high-luminosity 2017 pp run!



# HF correlations in PbPb

In PbPb, to investigate the mechanisms of charm-interaction with the medium



Simplified example! quark level of a LO process! take with care!

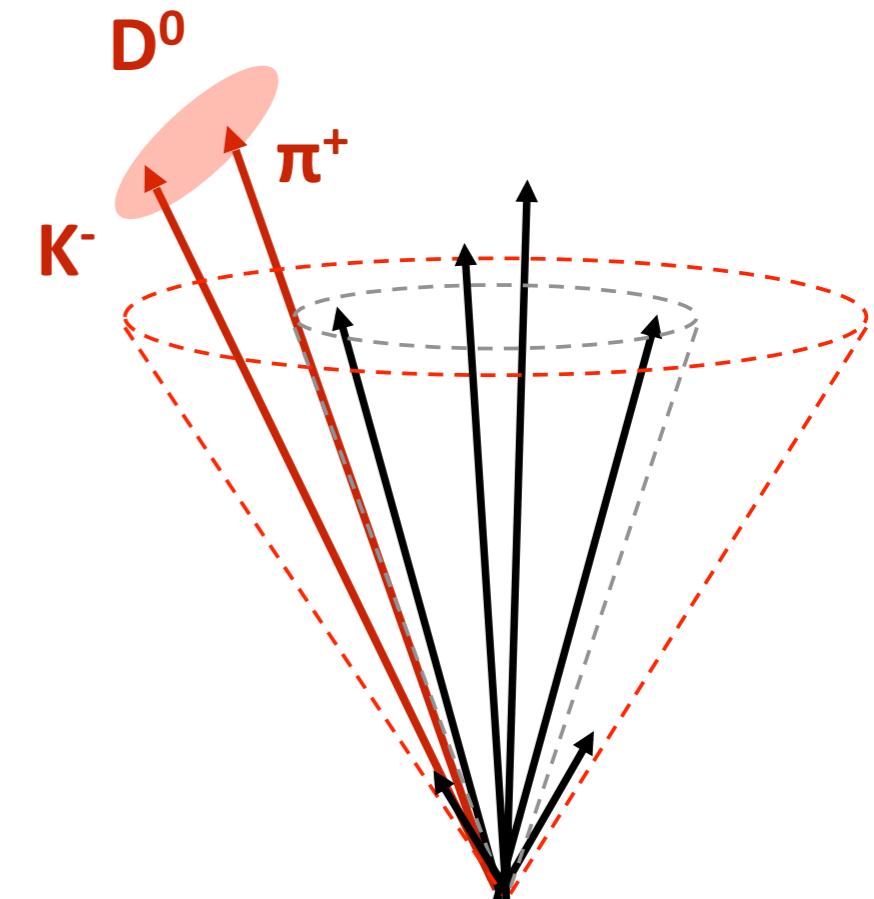
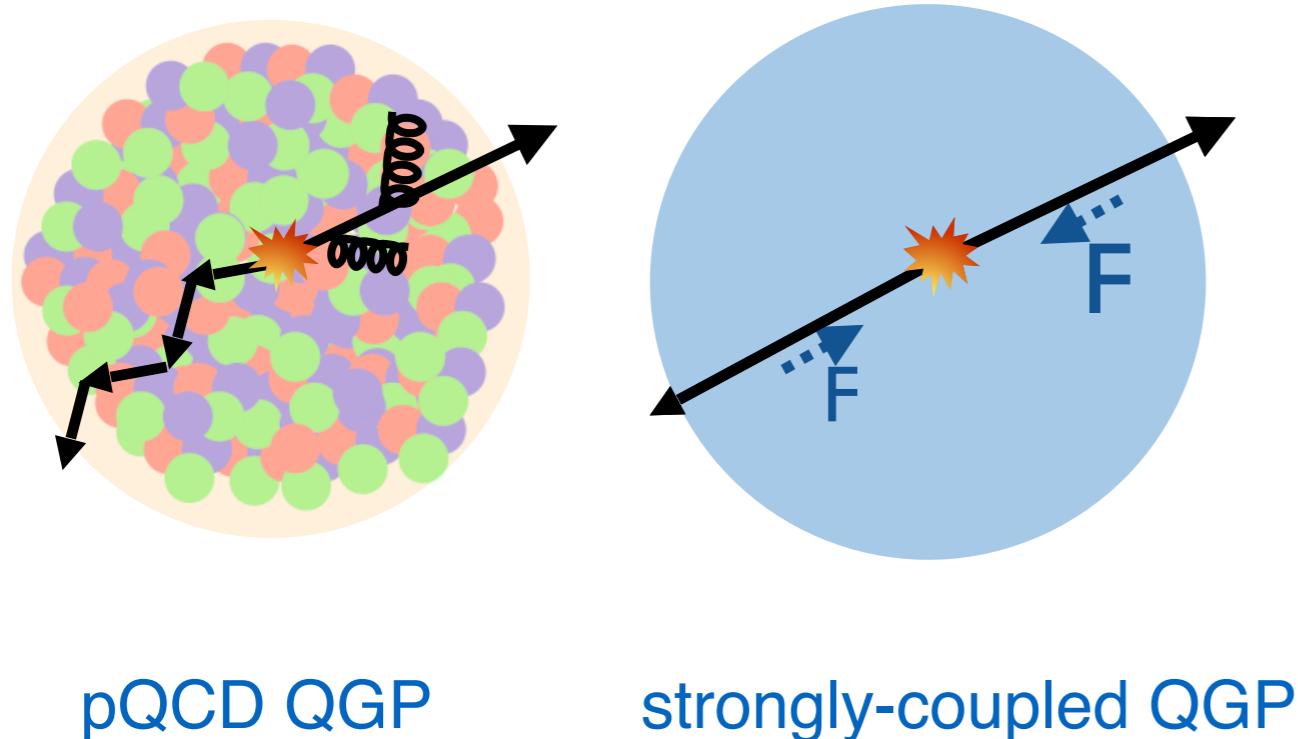
## D-Dbar $p_T$ asymmetry and $\Delta\phi$ :

- pQCD vs strongly coupled QGP?
- path-dependence of energy loss
- collisional vs radiative?

→2018 or Run3 luminosity is needed!

# HF correlations in PbPb

In PbPb, to investigate the mechanisms of charm-interaction with the medium



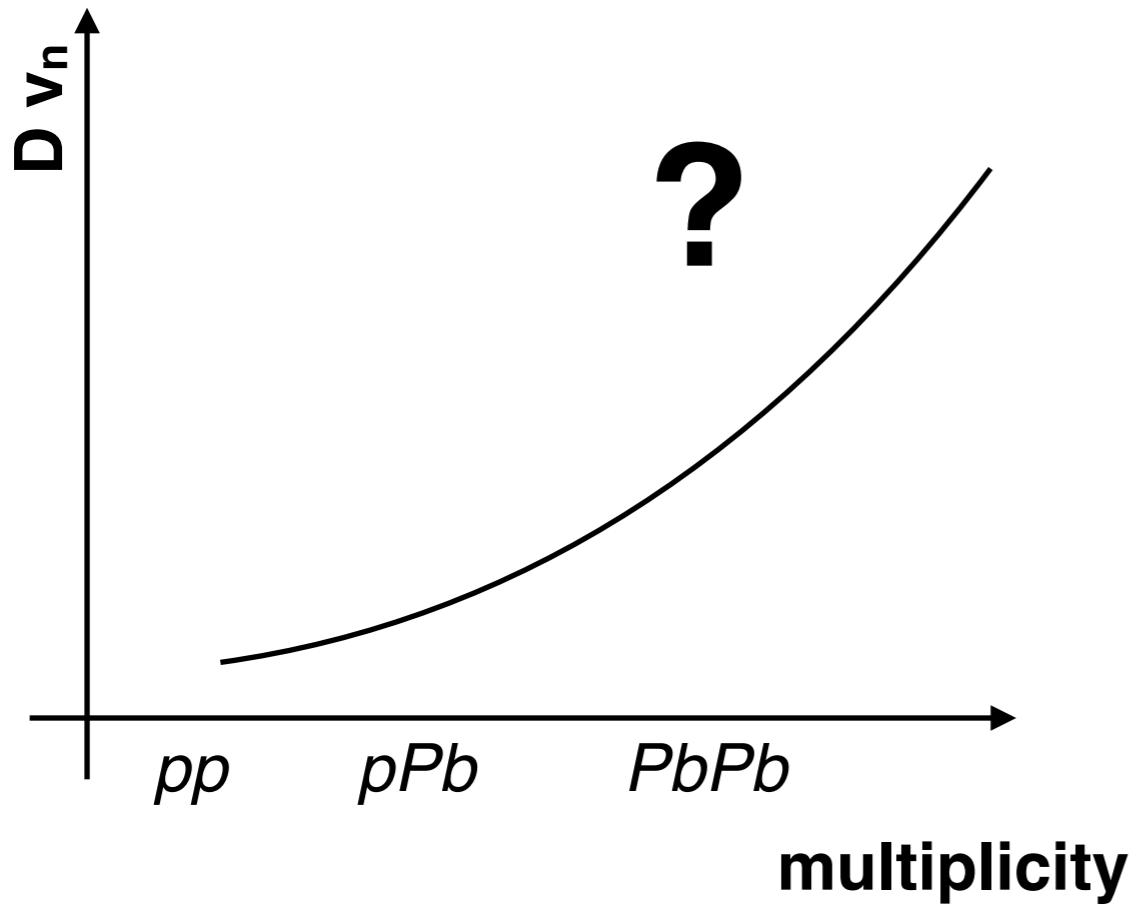
## D-jet correlations

- charm fragmentation in the medium
- Energy loss for charm from LO and NLO processes

→ Less statistics needed since it does not require the presence of two fully reconstructed D mesons

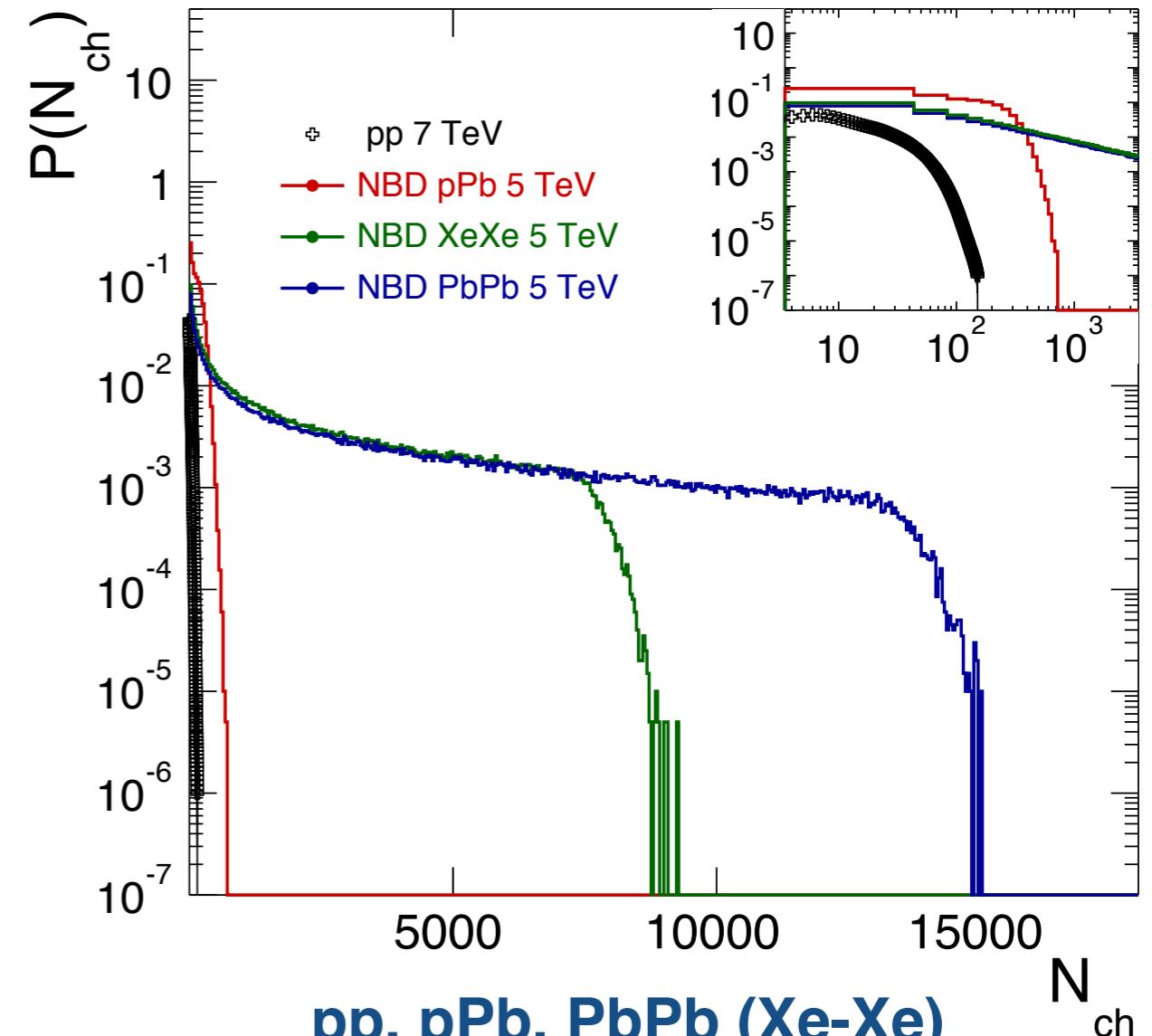
# Small to large systems: flow

Heavy-flavour studies can provide strong insights into the possible formation of a deconfined state in smaller systems



D  $v_n$  as a function of multiplicity:

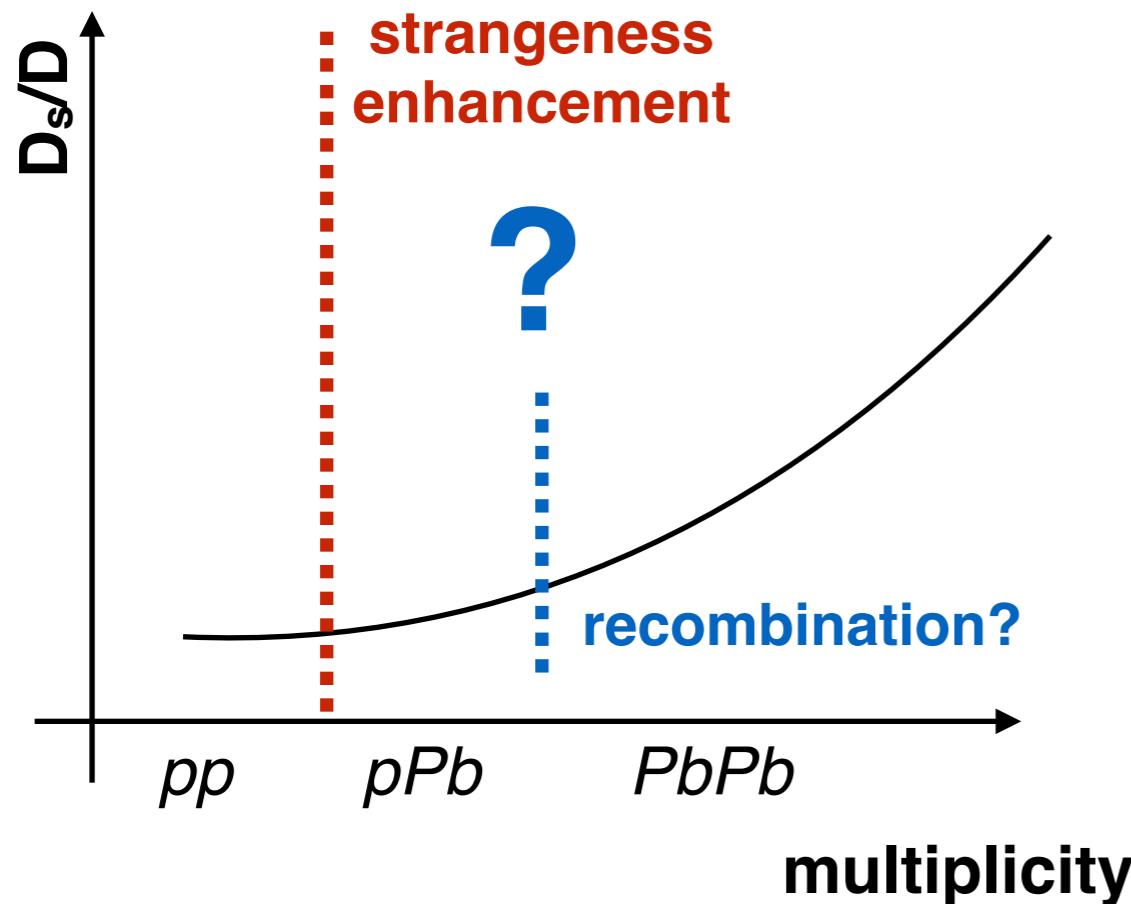
- test of collectivity with heavier particles that acquire flow by interaction with expanding medium
- QGP in small system?



pp, pPb, PbPb (Xe-Xe)  
show large overlap in  
track multiplicity

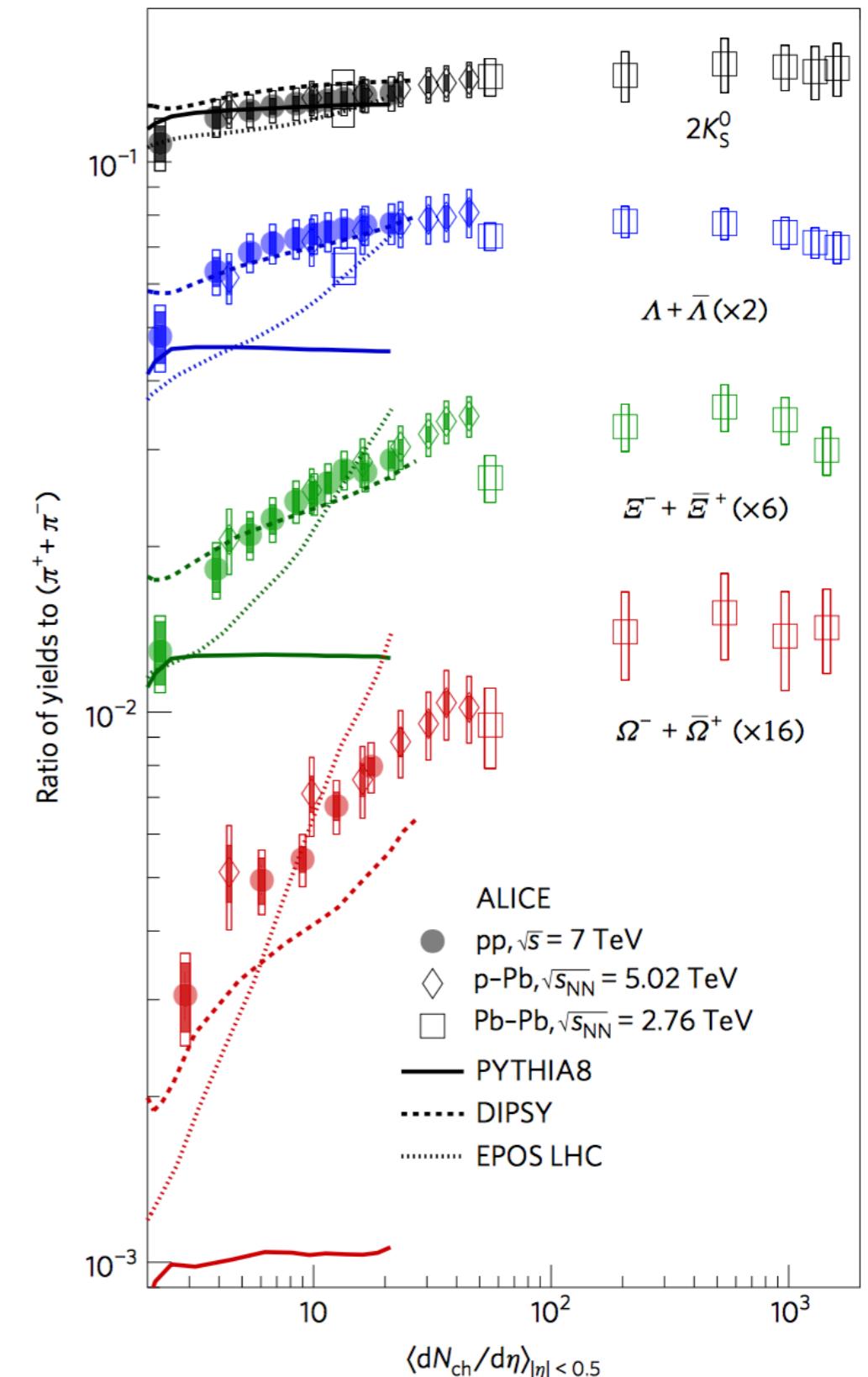
Very large samples of high-multiplicity events collected with L1-HLT high multiplicity triggers in 2017 pp data at 13 TeV

# Small to large systems: recombination



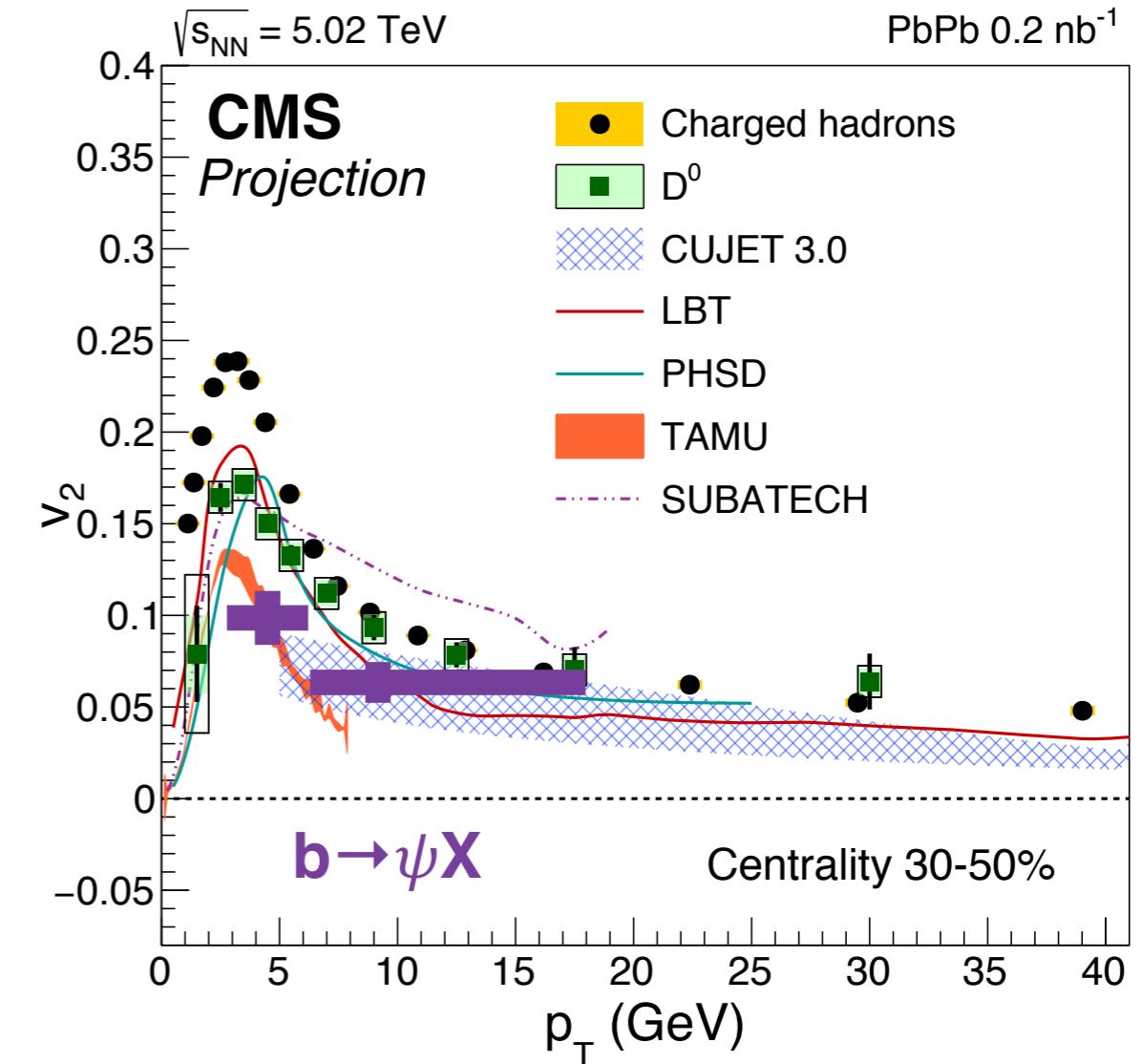
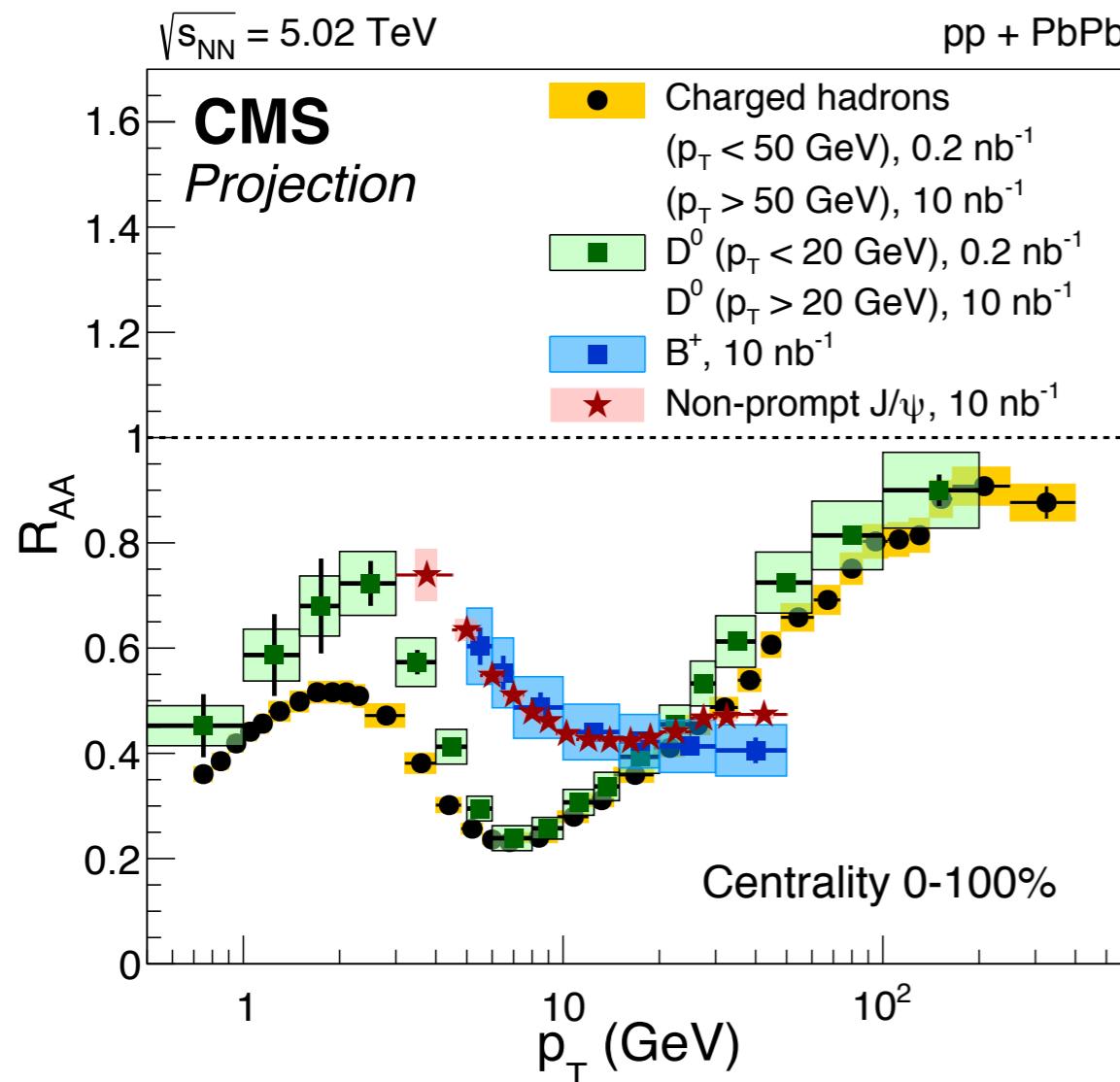
**D<sub>s</sub>/D as a function of multiplicity to test charm recombination**

- strangeness enhancement observed by ALICE in high-multiplicity pp events.



# Projections for Run3 measurements

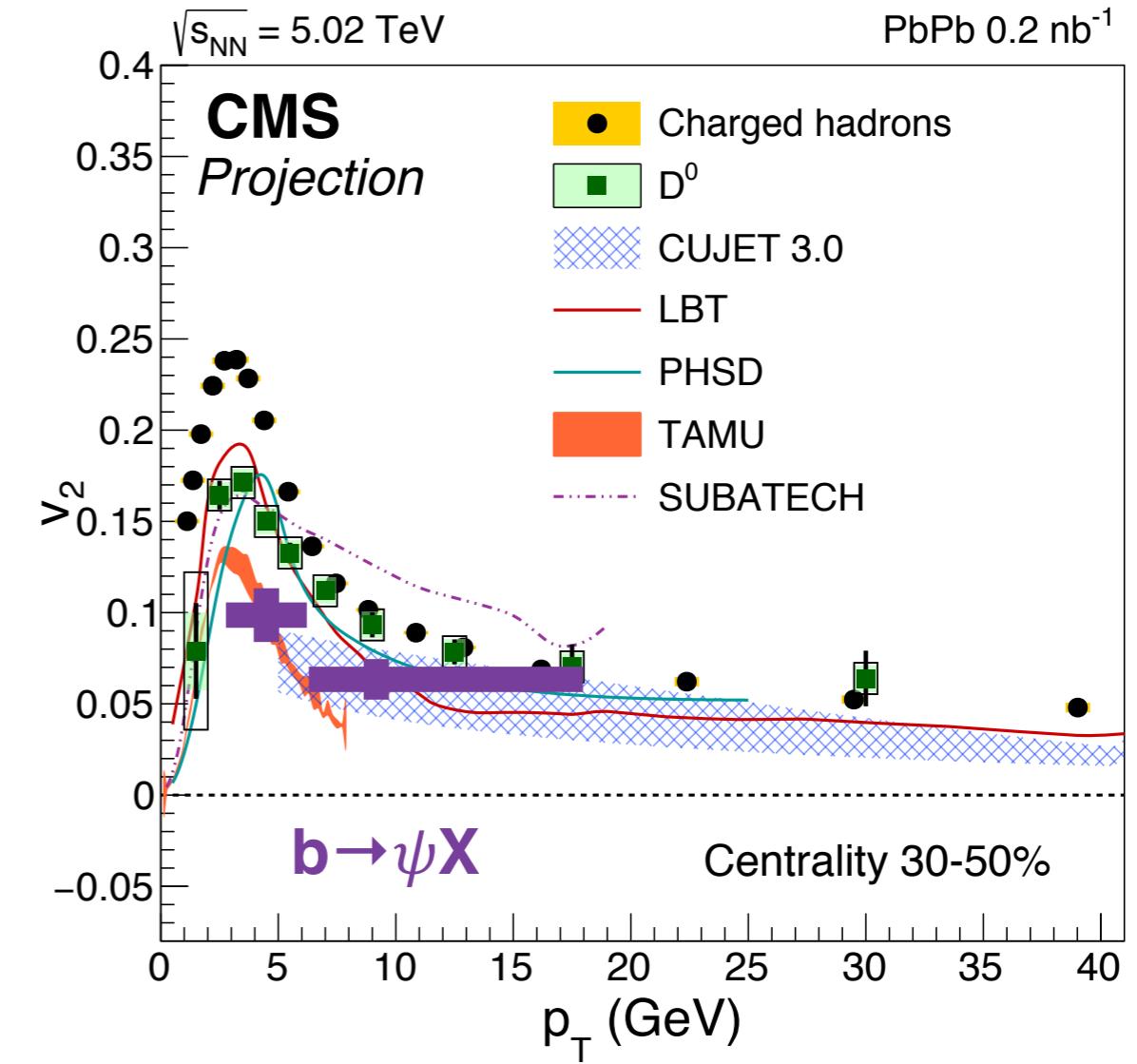
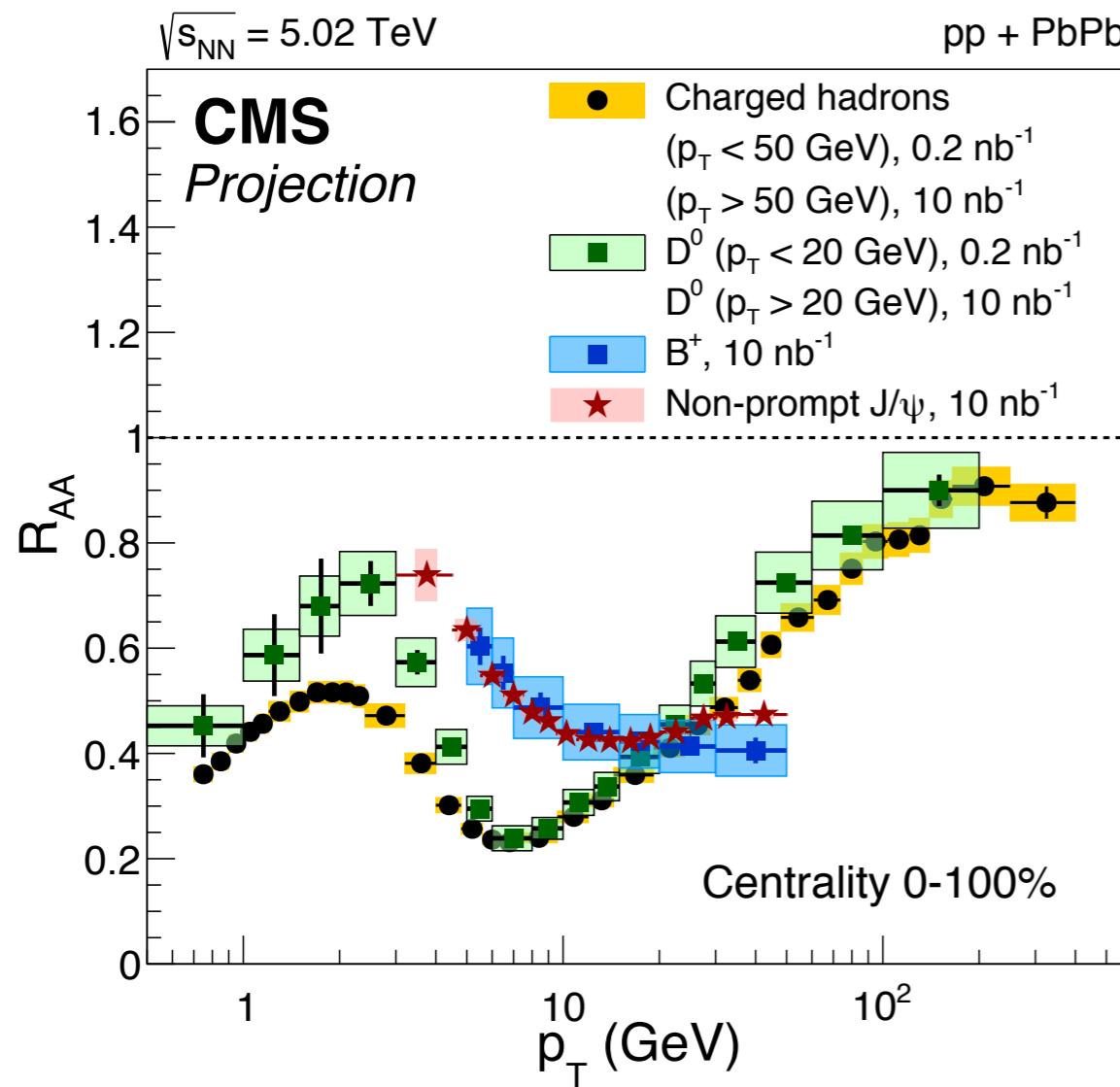
High-Luminosity LHC!



- With  $10/\text{nb}$ , very high precision measurements of charged particle,  $D$ ,  $B$   $R_{AA}$  and  $v_n$ !
- $D_s$  /  $B_s$   $R_{AA}$  and  $v_n$  measurements to study recombination and the role of the hadronic phase for charm and beauty!**

# Projections for Run3 measurements

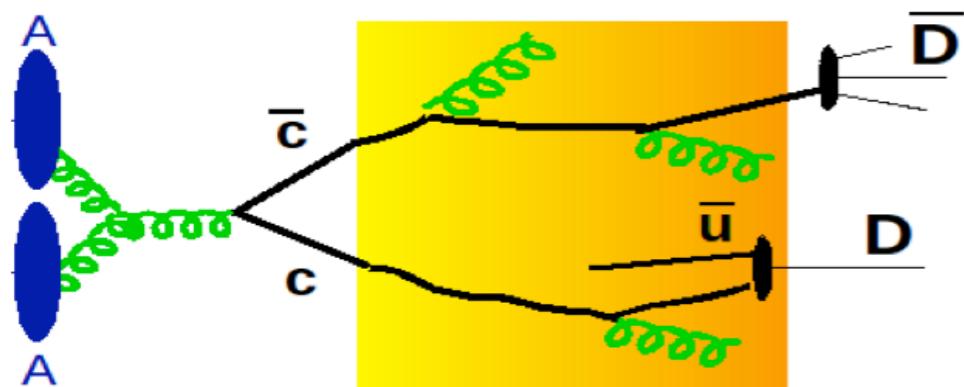
High-Luminosity LHC!



Thank you for your attention!

# BACKUP

# In-medium energy loss

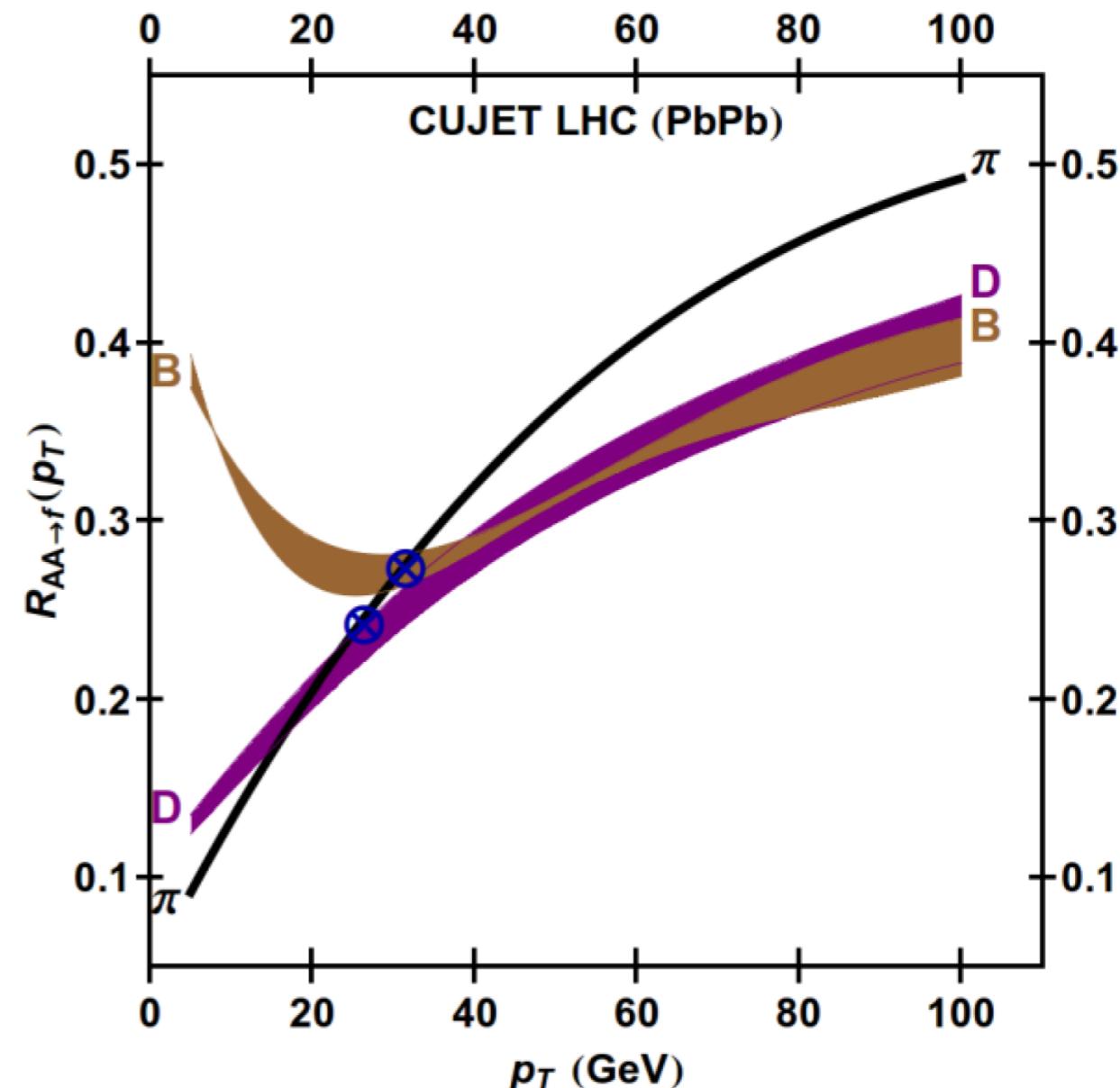


produced early in the collision, they strongly interact with the deconfined medium

→ In-medium energy loss as a consequence of **radiative and collisional processes**.

## Flavour-dependence of radiative energy loss:

- Larger for gluons than for quarks  
E.g. in BDMPS model [1]  $\langle \Delta E \rangle \propto \alpha_s C_R q L^2$
- **Dead cone effect**: gluon radiation suppressed at small angles for massive quarks

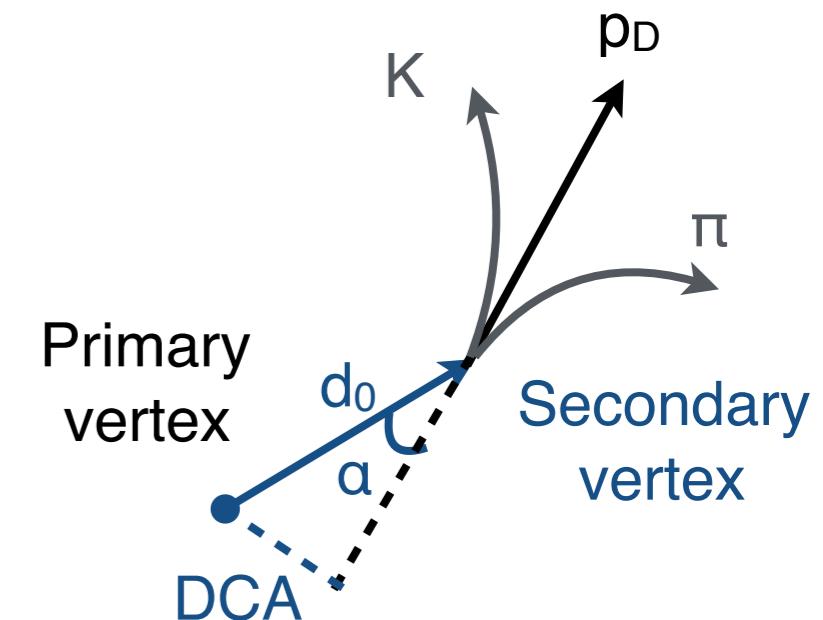
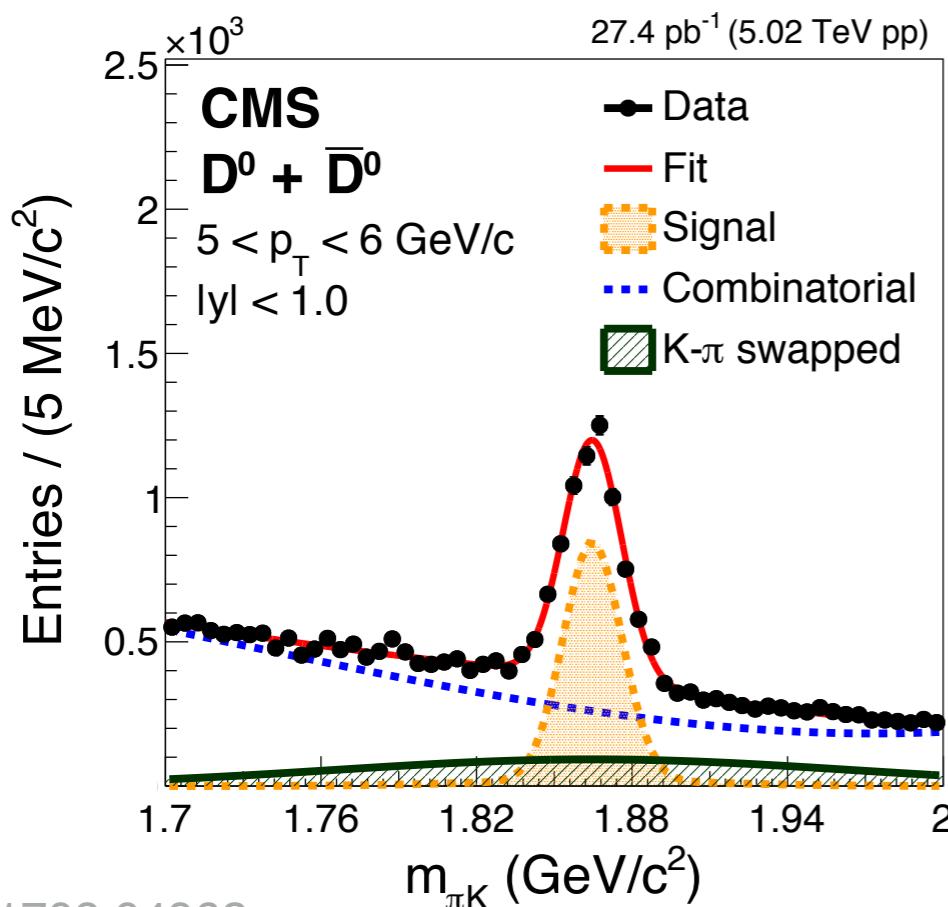


$$\Delta E_g > \Delta E_{u,d,s} > \Delta E_c > \Delta E_b$$

$$\rightarrow R_{AA}^B > R_{AA}^D > R_{AA}^{\text{light}} (\text{??})$$

# $D^0$ reconstruction and selection

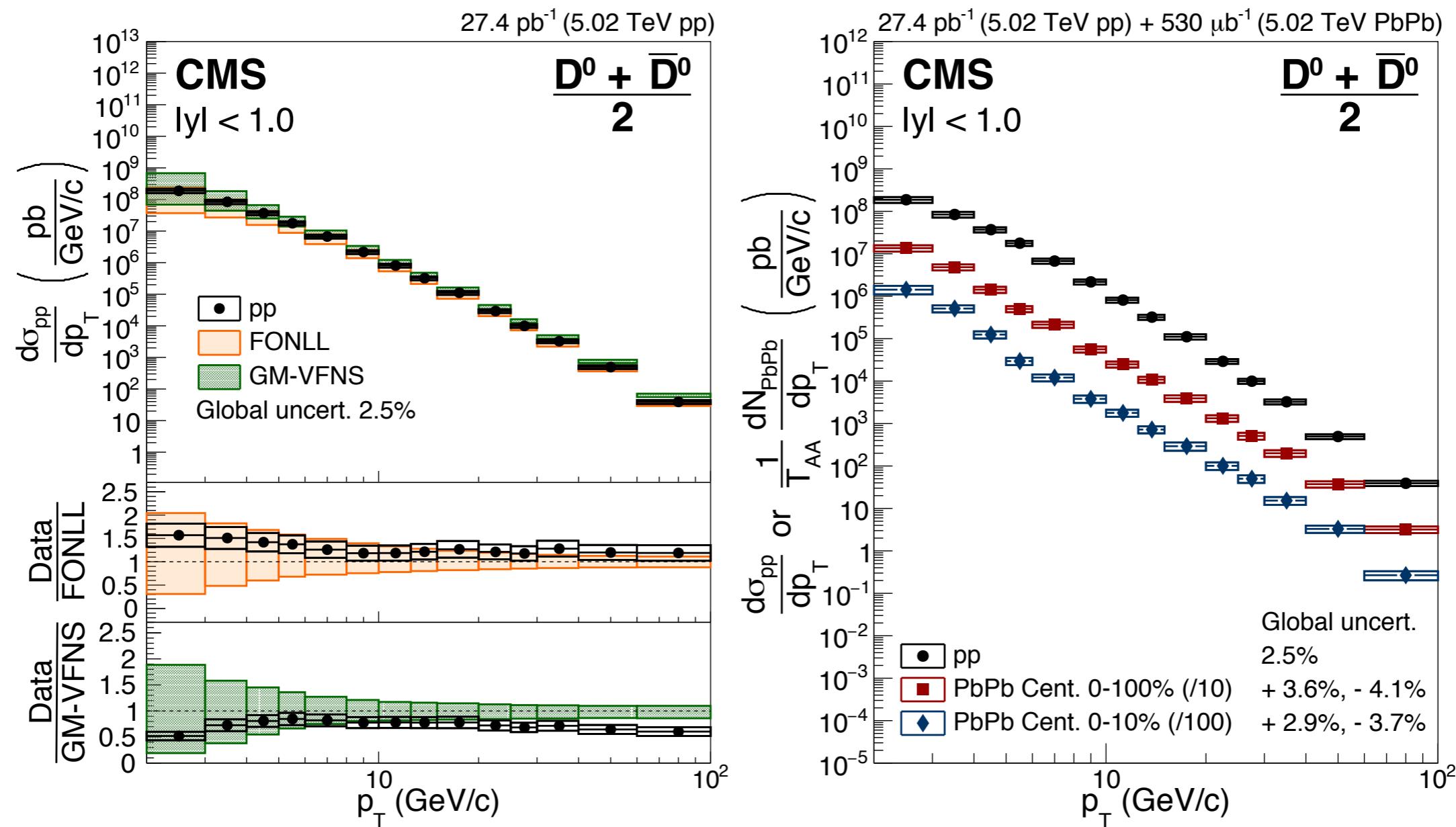
- Primary vertex reconstruction *several tracks*
- $D^0$  candidates (vertex) reconstruction *pairing two tracks + kinematic fitter*
- $D^0$  candidates selection (TMVA) *decay topology*
  - Pointing angle ( $a$ )  $< \sim 0.12$
  - 3D decay length ( $d_0$ ) normalized by its error  $> \sim 4$
  - Secondary vertex probability  $> \sim 0.1$
  - Distance of Closest Approach (DCA)  $< \sim 0.008 \text{ cm}$
- Raw yields extraction *Invariant mass*



- Mass distributions fitted by
- Double gaussian (**Signal**)
  - 3rd order polynomial (**Combinatorial**)
  - Single gaussian (**K- $\pi$  swapped**)
    - No PID: Candidates with wrong mass assignment

# D<sup>0</sup> pp cross-section at 5.02 TeV

- p<sub>T</sub> range covers from **2 to 100 GeV/c** (wide p<sub>T</sub> range!)
- Compared with the **FONLL [1]** and **GM-VFNS [2]** predictions



[1] JHEP 05 (1998) 007

[2] Eur. Phys. J. C 72 (2012) 2082

# Focus on Level-1 centrality triggers

**Goal: increase the rate of 30-50 and 50-100% PbPb events for HF  $v_n$  measurement**

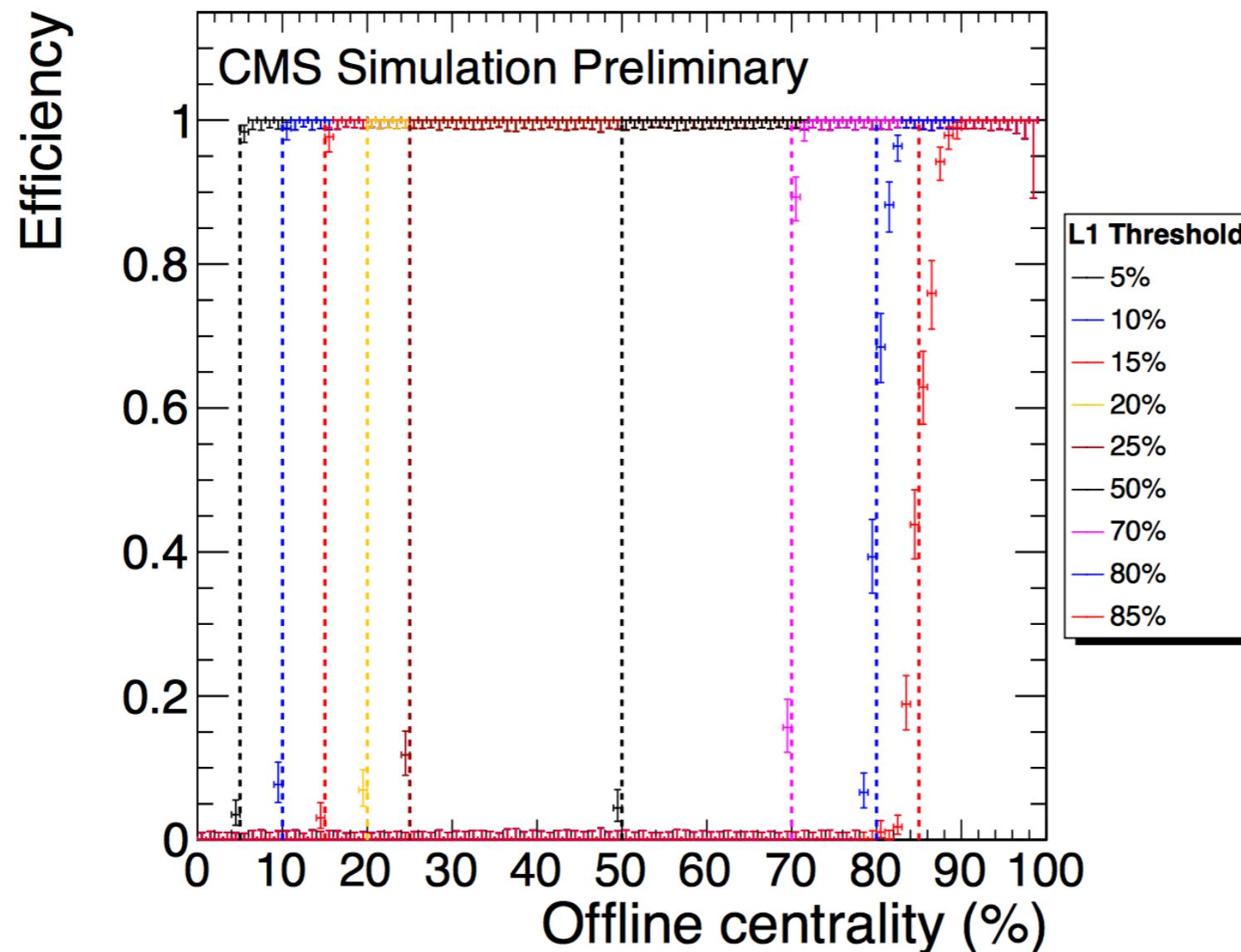
Hadronic forward  
calorimeter (HF)  
energies at L1  
 $\propto$  **centrality**



Offline calibrations  
centrality vs L1 HF  
energy

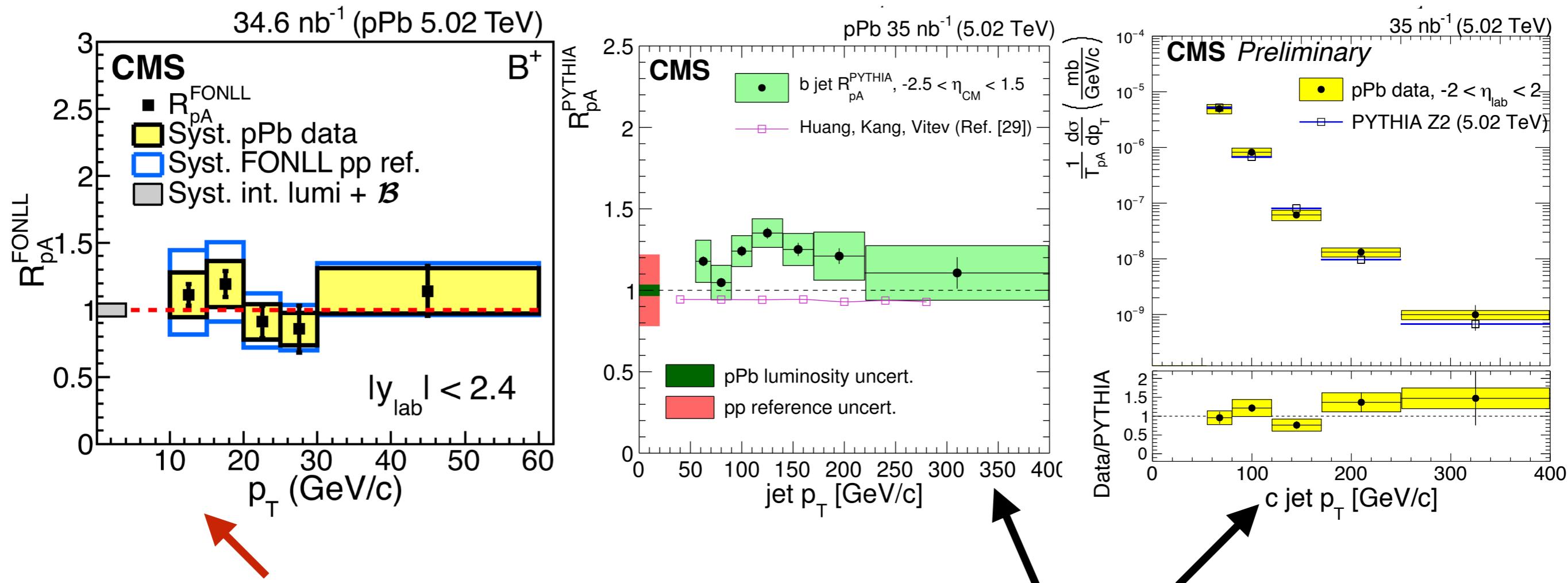


Level-1  
centrality selection



**~80 M PbPb events in the centrality 30-50% during the 2015 PbPb run**

# Heavy-flavours in pPb collisions



**B production in pPb**

→ compatible with predictions from FONLL scaled by  $A=208$

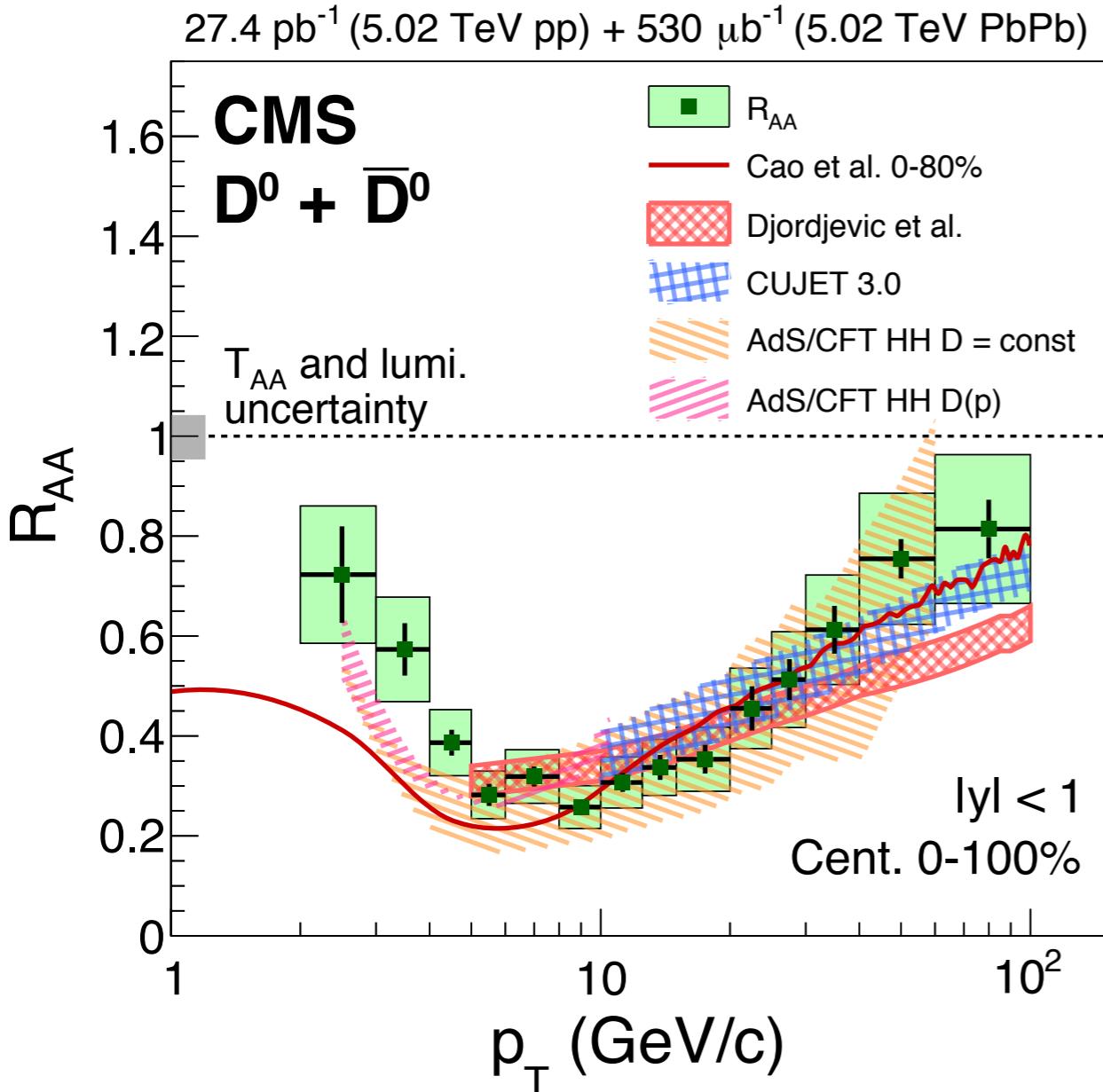
**tagged  $c$  and  $b$ -jet production**

→ compatible with predictions from PYTHIA scaled by  $A=208$

**HF pPb production not significantly modified by cold nuclear matter effects (e.g. PDF modification in nuclei)**

# Prompt $D^0$ R<sub>AA</sub> in PbPb at 5.02 TeV

$|y| < 1$ , Centrality 0-100%



- Comparison with theoretical predictions
  - S. Cao et al. [1] (*Improved Langevin eq, Linearized Boltzmann*)
  - M. Djordjevic [2] (*pQCD calculations in a finite size optically thin dynamical QCD medium*)
  - CUJET3.0 [3] (*jet quenching model based on DGLV opacity expansion theory*)
  - AdS/CFT [4] (*a model based on the anti-de Sitter/conformal field theory*)

[1] arXiv:1703.00822

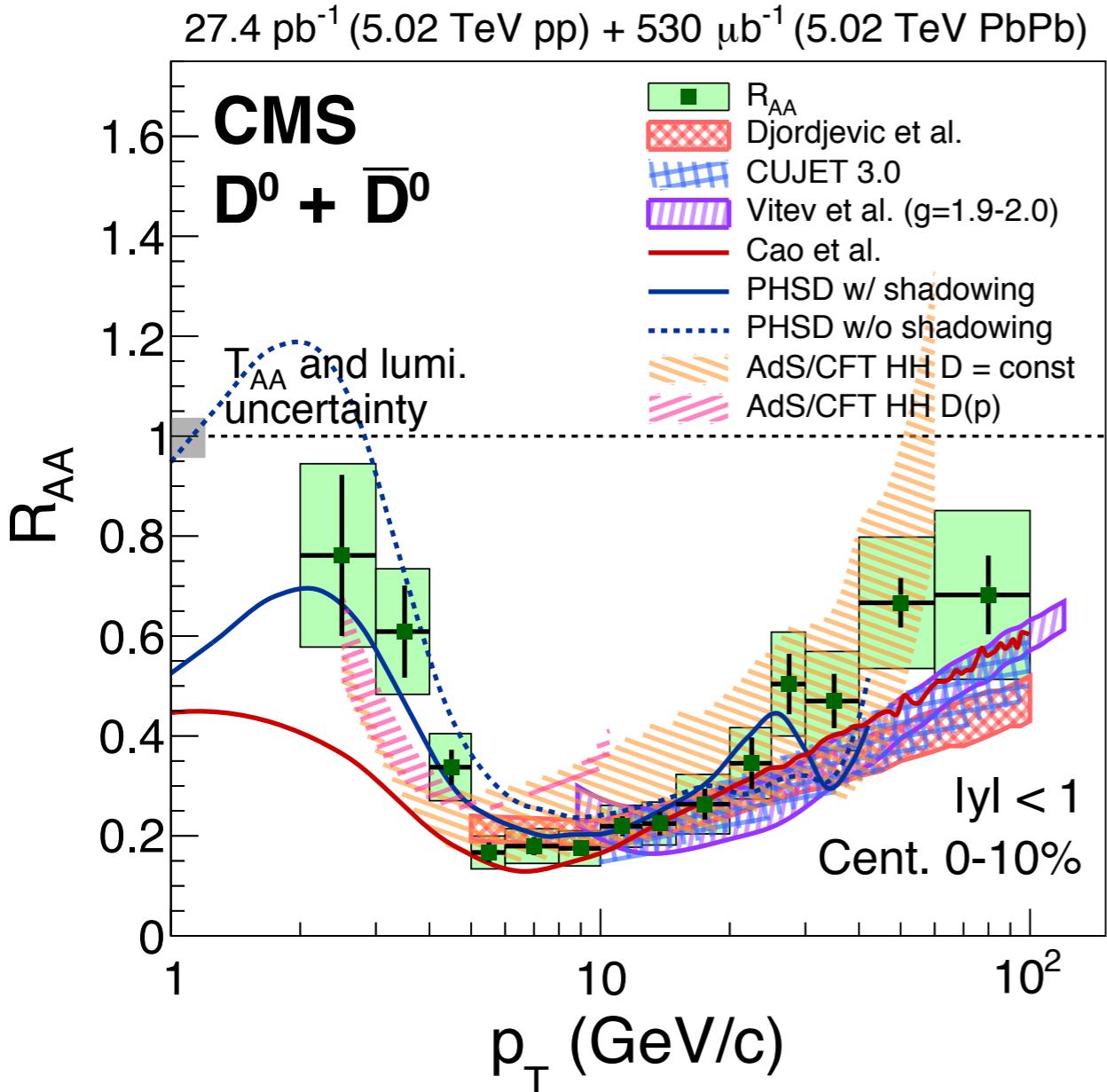
[2] Phys. Rev. C 92 (2015) 024918

[3] JHEP 02 (2016) 169

[4] Phys. Rev. D 91 (2015) 085019

# Prompt $D^0$ R<sub>AA</sub> in PbPb at 5.02 TeV

$|y| < 1$ , Centrality 0-10%



- Comparison with theoretical predictions
  - **S. Cao et al.** [1] (*Improved Langevin eq, Linearized Boltzmann*)
  - **M. Djordjevic** [2] (*pQCD calculations in a finite size optically thin dynamical QCD medium*)
  - **CUJET3.0** [3] (*jet quenching model based on DGLV opacity expansion theory*)
  - **AdS/CFT** [4] (*a model based on the anti-de Sitter/conformal field theory*)
  - **Vitev et al.** [5] (*jet propagation in matter, soft-collinear effective theory with Glauber gluons (SCETG)*)
  - **PHSD** [6] (*Parton-Hadron-String Dynamics transport approach*)

[1] arXiv:1703.00822

[2] Phys. Rev. C 92 (2015) 024918

[3] JHEP 02 (2016) 169

[4] Phys. Rev. D 91 (2015) 085019

[5] Phys. Rev. D 93 (2016) 074030

[6] Phys. Rev. C 93 (2016) 034906

# Azimuthal anisotropy

---

- ♦ The azimuthal anisotropy can be characterized by the Fourier coefficients  $v_n$  in the azimuthal angle ( $\phi$ ) distribution of the hadron yield

$$E \frac{d^3N}{d^3p} = \frac{1}{2\pi} \frac{d^2N}{p_t dp_t dy} \left( 1 + \sum_{n=1}^{\infty} 2v_n \cos[n(\phi - \Psi_r)] \right),$$

- Elliptic flow:  $v_2$
- Triangular flow:  $v_3$
- ♦ Azimuthal anisotropy originates from
  - low  $p_T$ 
    - collective motion in the thermalized medium
    - fluctuation ( $v_3$ )
  - high  $p_T$ 
    - path length dependence of the energy loss

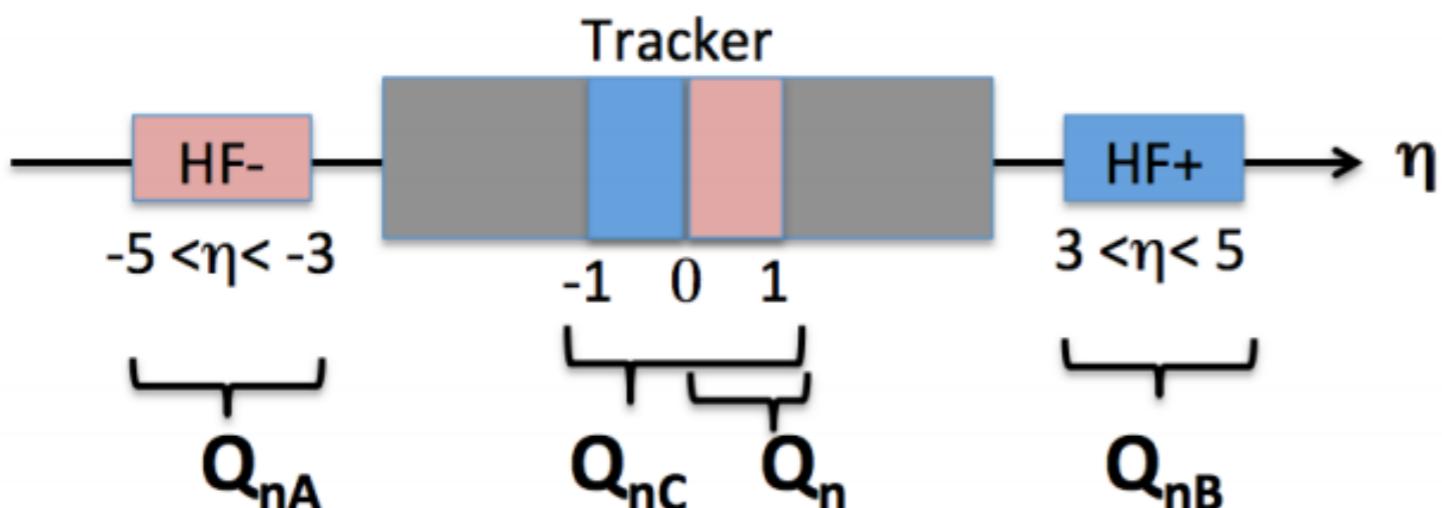
# Scalar product method

- $v_n$  coefficient can be expressed in terms of Q-vectors as

$$v_n \{SP\} = \frac{\langle Q_{n,D^0} Q_{nA}^* \rangle}{\sqrt{\frac{\langle Q_{nA} Q_{nB}^* \rangle \langle Q_{nA} Q_{nC}^* \rangle}{\langle Q_{nB} Q_{nC}^* \rangle}}},$$

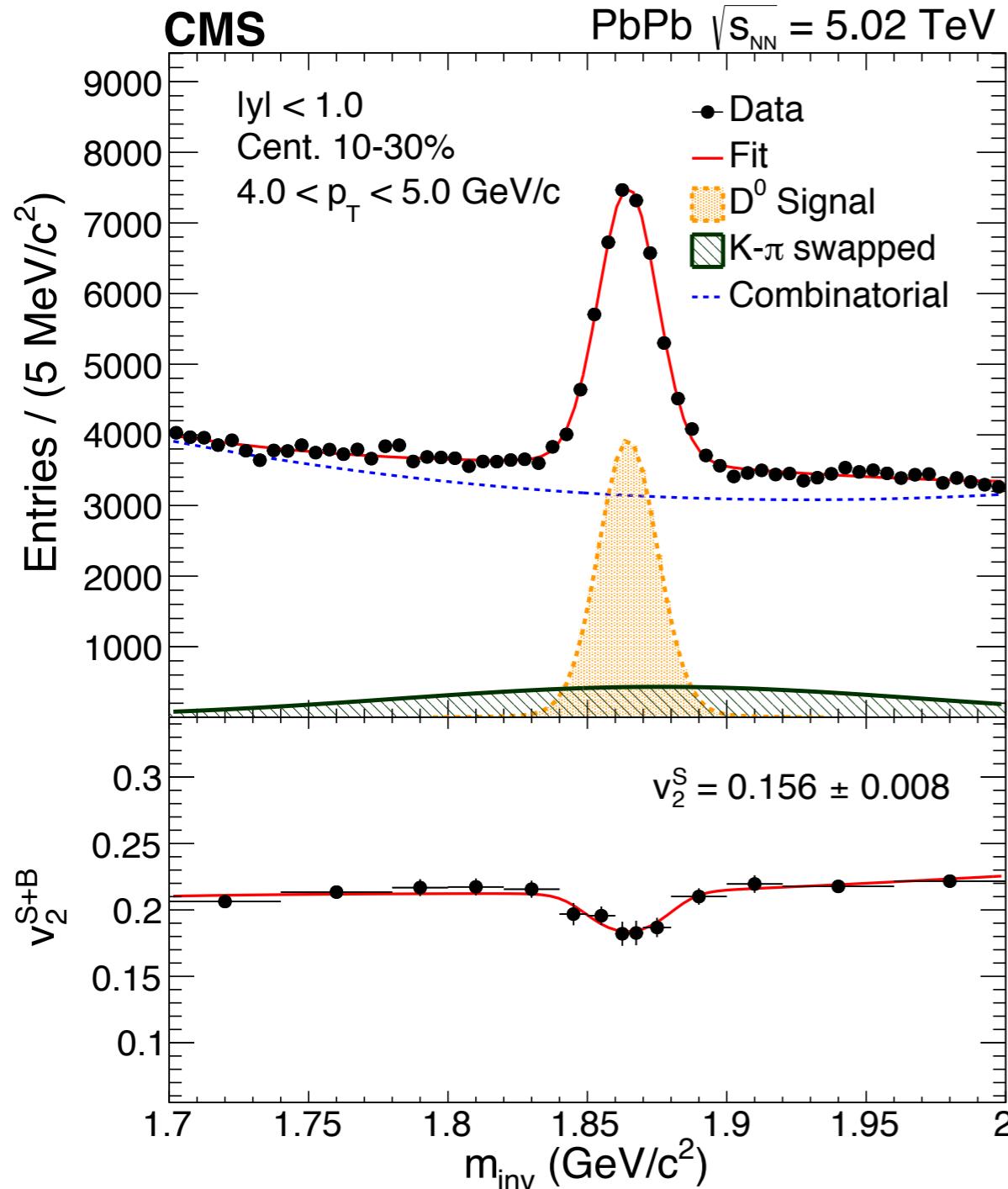
$$Q_n = \sum_{k=1}^M \bar{\omega}_k e^{in\phi_k}$$

Scaling factor from 3 sub events



	A	B	C
sub evts	HF-	HF+	Tracker
M	towers	towers	tracks
$\omega_k$	$E_T$	$E_T$	$p_T$

# Yield extraction



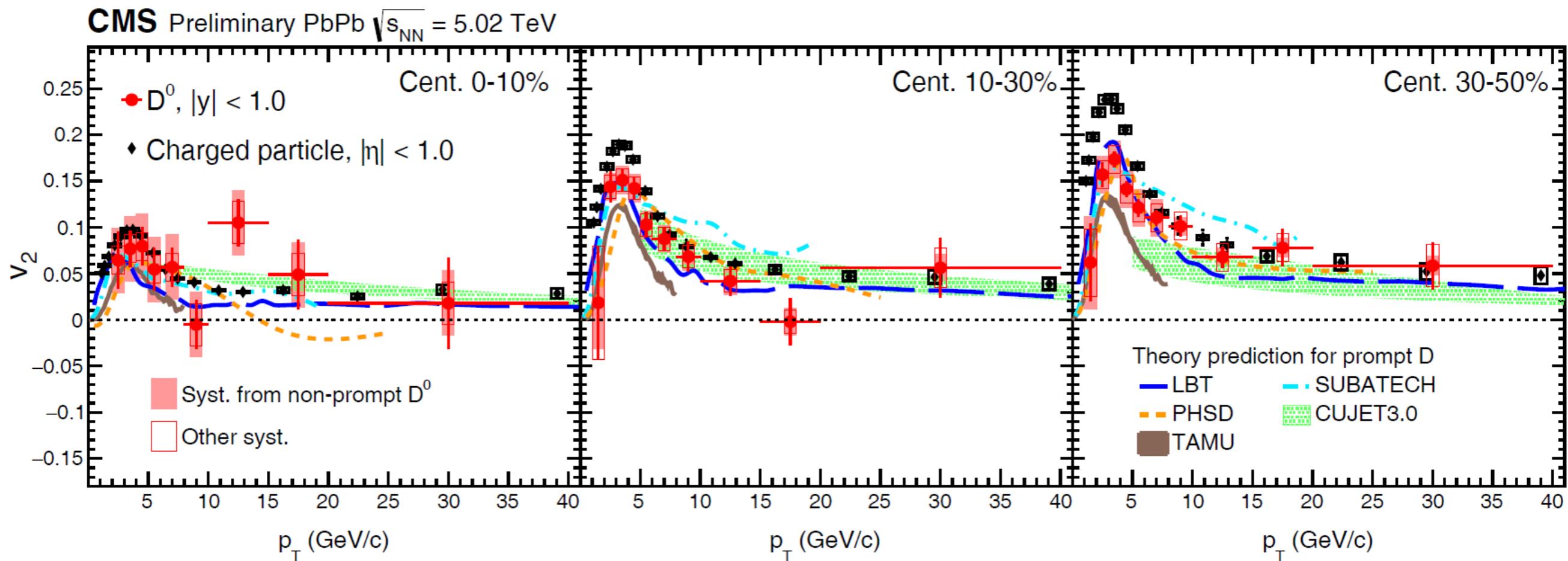
- Simultaneous fit on invariant mass distribution and  $v_n$  vs mass

$$v_n^{S+B}(m_{\text{inv}}) = \alpha(m_{\text{inv}}) v_n^S + [1 - \alpha(m_{\text{inv}})] v_n^B(m_{\text{inv}}),$$

- $v_n^S$ :  $v_n$  of signal D $^0$   
→ fit parameter
- other terms:
  - $v_n^{S+B}(m_{\text{inv}})$ :  $v_n$  of all D $^0$  candidates
  - $v_n^B(m_{\text{inv}})$ :  $v_n$  of combinatorial background, modeled by a linear function
  - $\alpha(m_{\text{inv}})$ : signal fraction from invariant mass spectra fit

# D elliptic flow

New CMS measurement of  $v_2$  and  $v_3$  in PbPb collisions at 5.02 TeV in different collision centralities

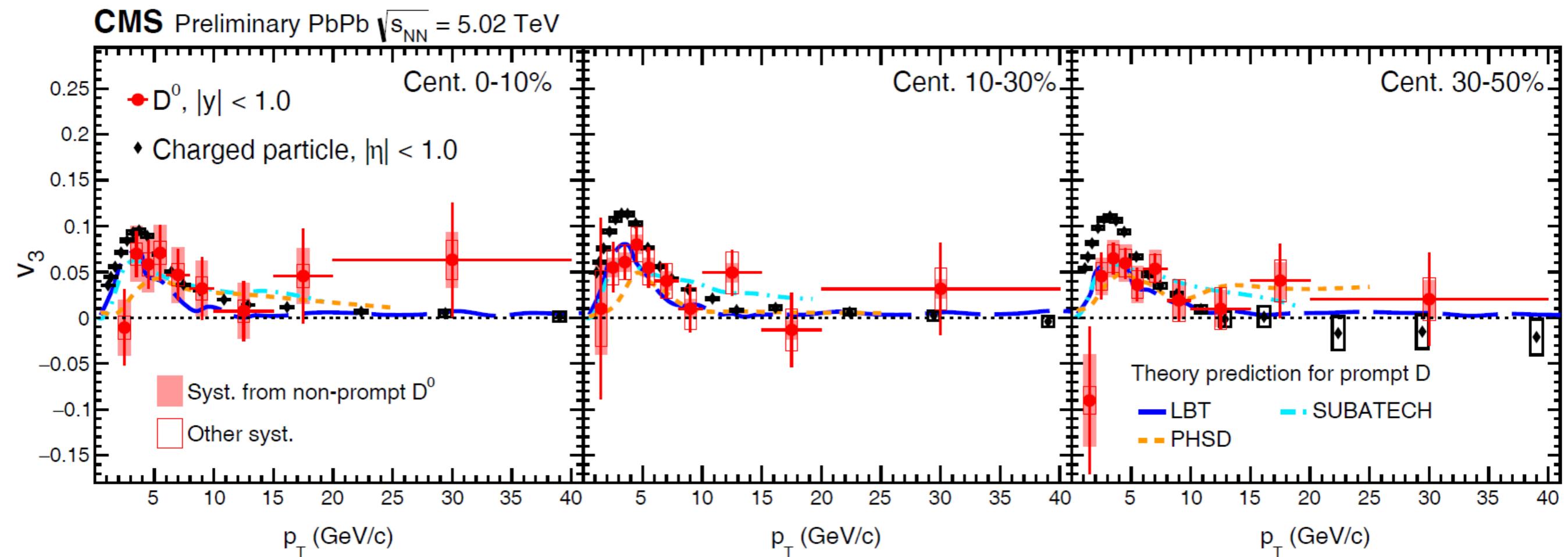


Significant confirmation of  $v_2 > 0$  for  $D^0$  at 5.02 TeV:

$v_2$  of  $D$  mesons larger than  $v_2$  of charged particles

$v_2(0-10\%) < v_2(10-30\%) \sim v_2(30-50\%)$

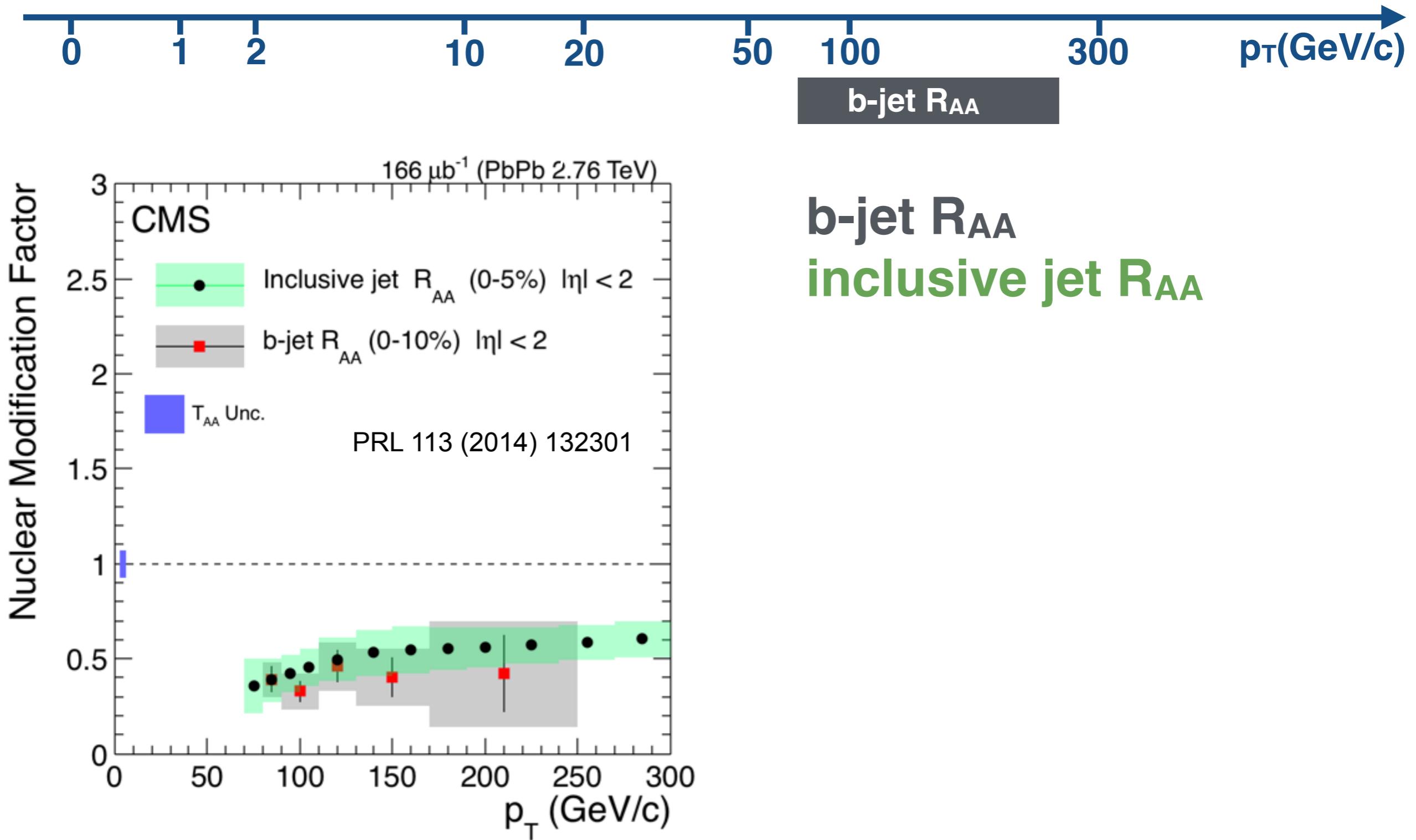
# D triangular flow



First observation of  $v_3 > 0$  for charm!

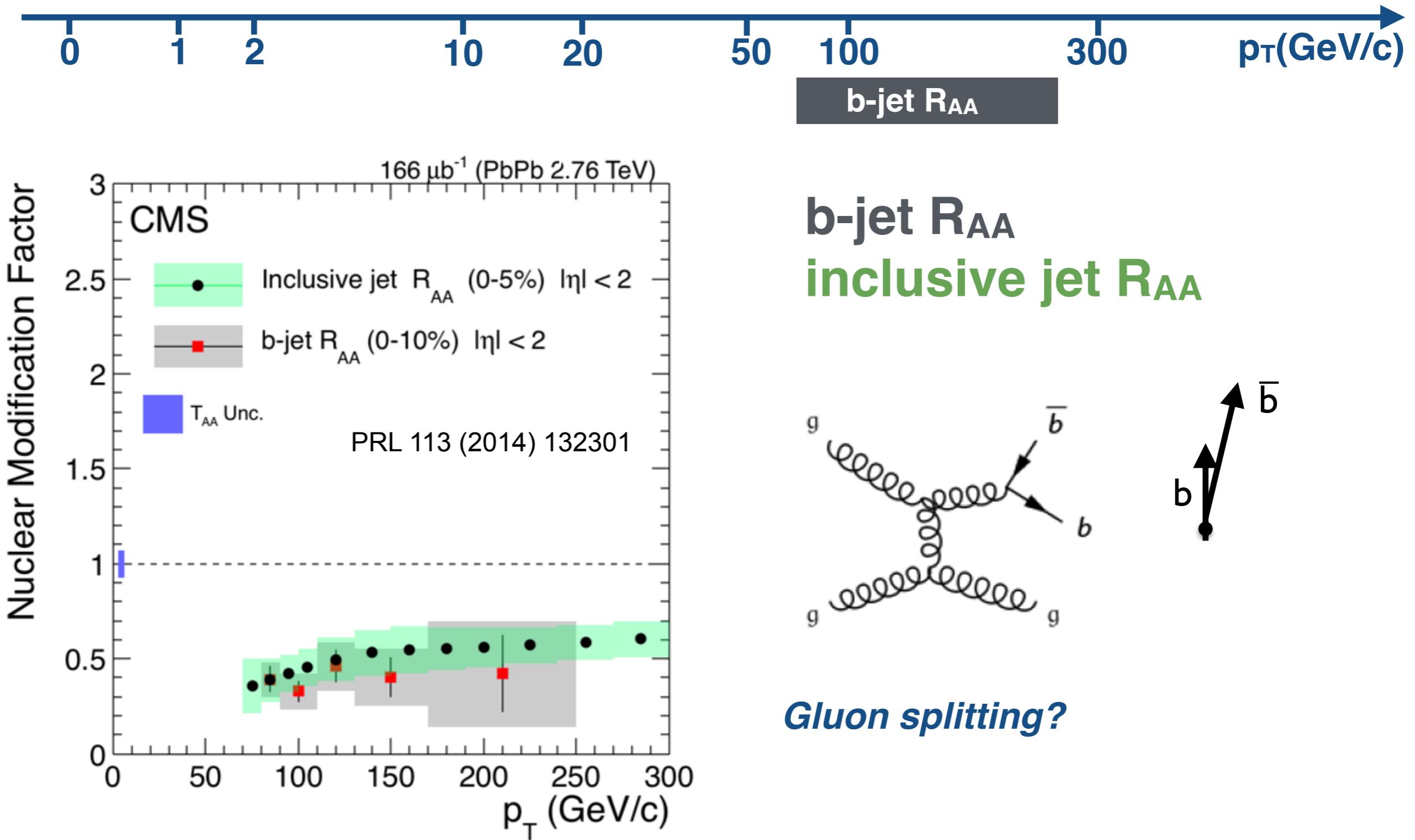
$v_3$  for charged particle larger than  $D^0$   $v_3$  although not fully significative  
given current uncertainties

# b-jet R<sub>AA</sub> at 2.76 TeV



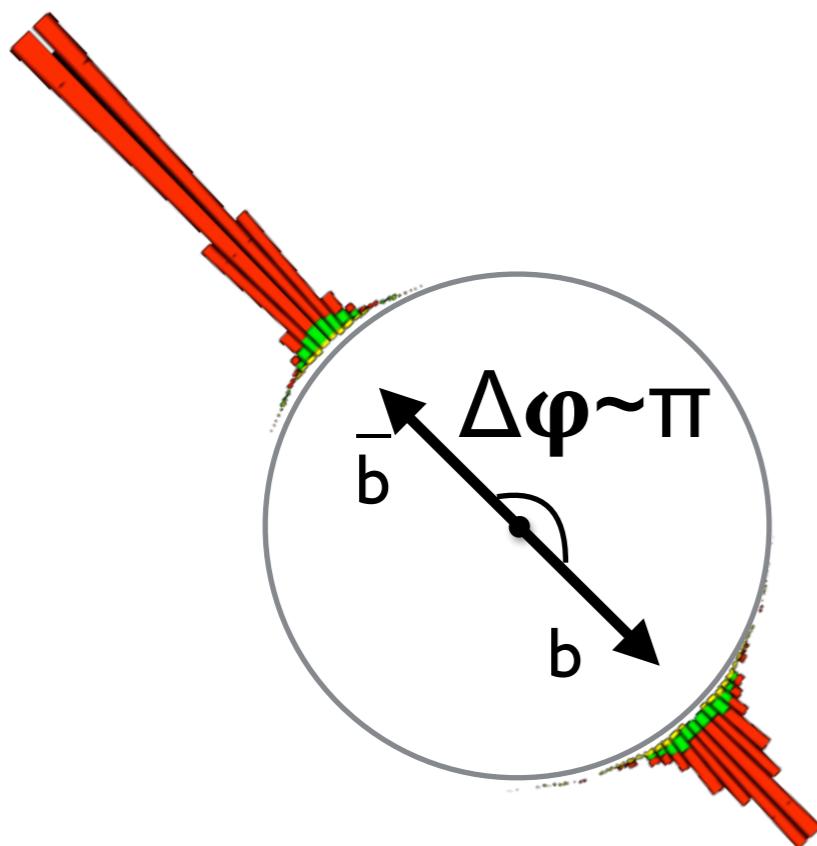
Same suppression for b-jets and inclusive jets!

# b-jet R<sub>AA</sub> at 2.76 TeV



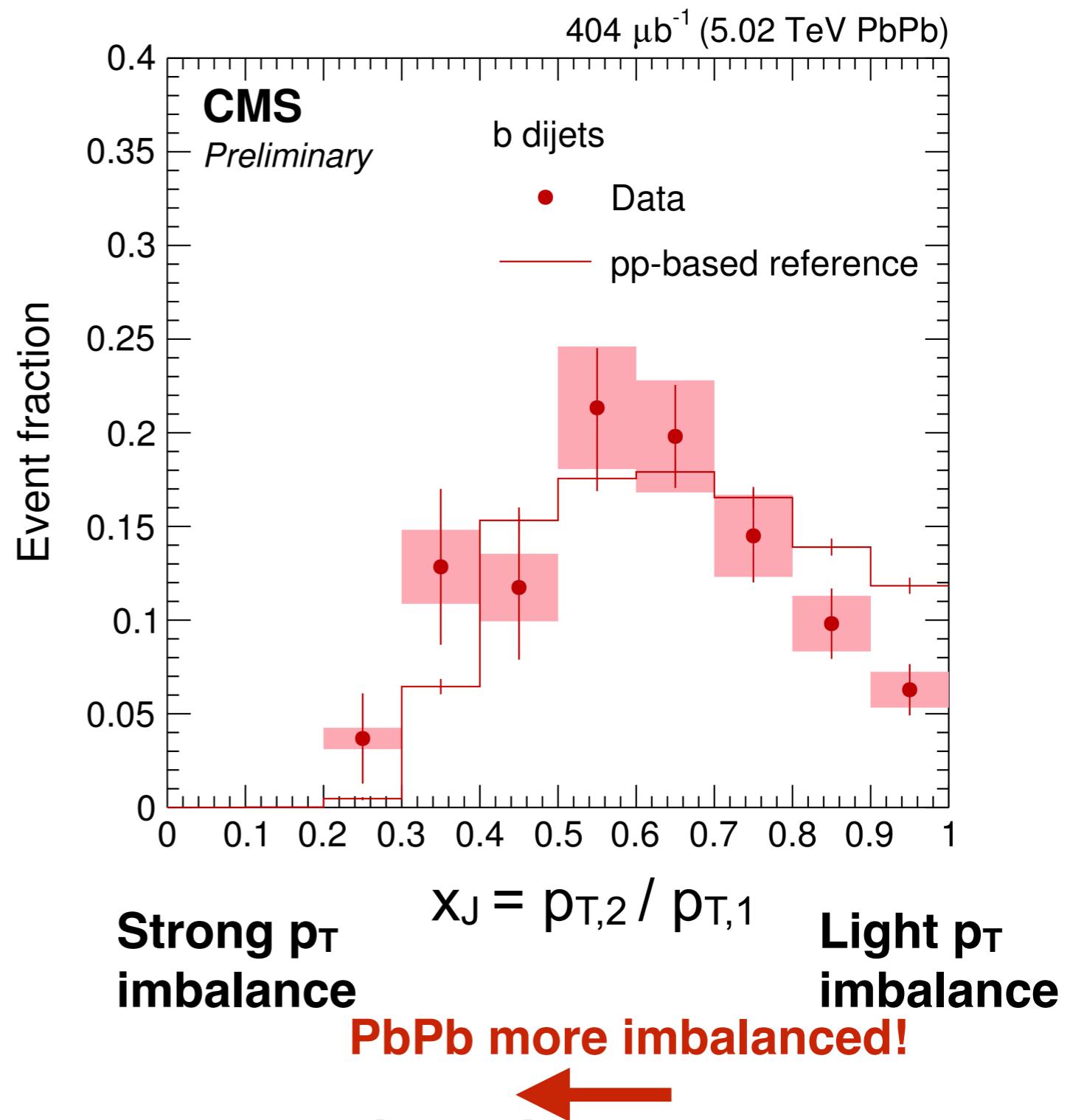
Same suppression for b-jets and inclusive jets at high p<sub>T</sub>

# di-b-jet asymmetry at 5.02 TeV



## p<sub>T</sub> asymmetry of back-to-back b-jets:

- dominated by LO production



“Shift” at low  $x_J$  of b-jets was found to be compatible with the one of inclusive (light) jet

Table 11: Comparative overview of the models for heavy-quark energy loss or transport in the medium described in the previous sections.

<i>Model</i>	<i>Heavy-quark production</i>	<i>Medium modelling</i>	<i>Quark–medium interactions</i>	<i>Heavy-quark hadronisation</i>	<i>Tuning of medium-coupling (or density) parameter(s)</i>
<b>Djordjevic <i>et al.</i> [511–515]</b>	FONLL no PDF shadowing	Glauber model nuclear overlap no fl. dyn. evolution	rad. + coll. energy loss finite magnetic mass	fragmentation	Medium temperature fixed separately at RHIC and LHC
<b>WHDG [459, 519]</b>	FONLL no PDF shadowing	Glauber model nuclear overlap no fl. dyn. evolution	rad. + coll. energy loss	fragmentation	RHIC (then scaled with $dN_{ch}/d\eta$ )
<b>Vitev <i>et al.</i> [422, 460]</b>	non-zero-mass VFNS no PDF shadowing	Glauber model nuclear overlap ideal fl. dyn. 1+1d Bjorken expansion	radiative energy loss in-medium meson dissociation	fragmentation	RHIC (then scaled with $dN_{ch}/d\eta$ )
<b>AdS/CFT (HG) [624, 625]</b>	FONLL no PDF shadowing	Glauber model nuclear overlap no fl. dyn. evolution	AdS/CFT drag	fragmentation	RHIC (then scaled with $dN_{ch}/d\eta$ )
<b>POWLANG [507–509, 585, 586]</b>	POWHEG (NLO) EPS09 (NLO) PDF shadowing	2+1d expansion with viscous fl. dyn. evolution	transport with Langevin eq. collisional energy loss	fragmentation recombination	assume pQCD (or 1-QCD $U$ potential)
<b>MC@,HQ+EPOS2 [528–530]</b>	FONLL EPS09 (LO) PDF shadowing	3+1d expansion (EPOS model)	transport with Boltzmann eq. rad. + coll. energy loss	fragmentation recombination	QGP transport coefficient fixed at LHC, slightly adapted for RHIC
<b>BAMPS [537–540]</b>	MC@NLO no PDF shadowing	3+1d expansion parton cascade	transport with Boltzmann eq. rad. + coll. energy loss	fragmentation	RHIC (then scaled with $dN_{ch}/d\eta$ )
<b>TAMU [491, 565, 606]</b>	FONLL EPS09 (NLO) PDF shadowing	2+1d expansion ideal fl. dyn.	transport with Langevin eq. collisional energy loss diffusion in hadronic phase	fragmentation recombination	assume 1-QCD $U$ potential
<b>UrQMD [608–610]</b>	PYTHIA no PDF shadowing	3+1d expansion ideal fl. dyn.	transport with Langevin eq. collisional energy loss	fragmentation recombination	assume 1-QCD $U$ potential
<b>Duke [587, 628]</b>	PYTHIA EPS09 (LO) PDF shadowing	2+1d expansion viscous fl. dyn.	transport with Langevin eq. rad. + coll. energy loss	fragmentation recombination	QGP transport coefficient fixed at RHIC and LHC (same value)