



# Irradiation studies of CNM double sided 3D detectors

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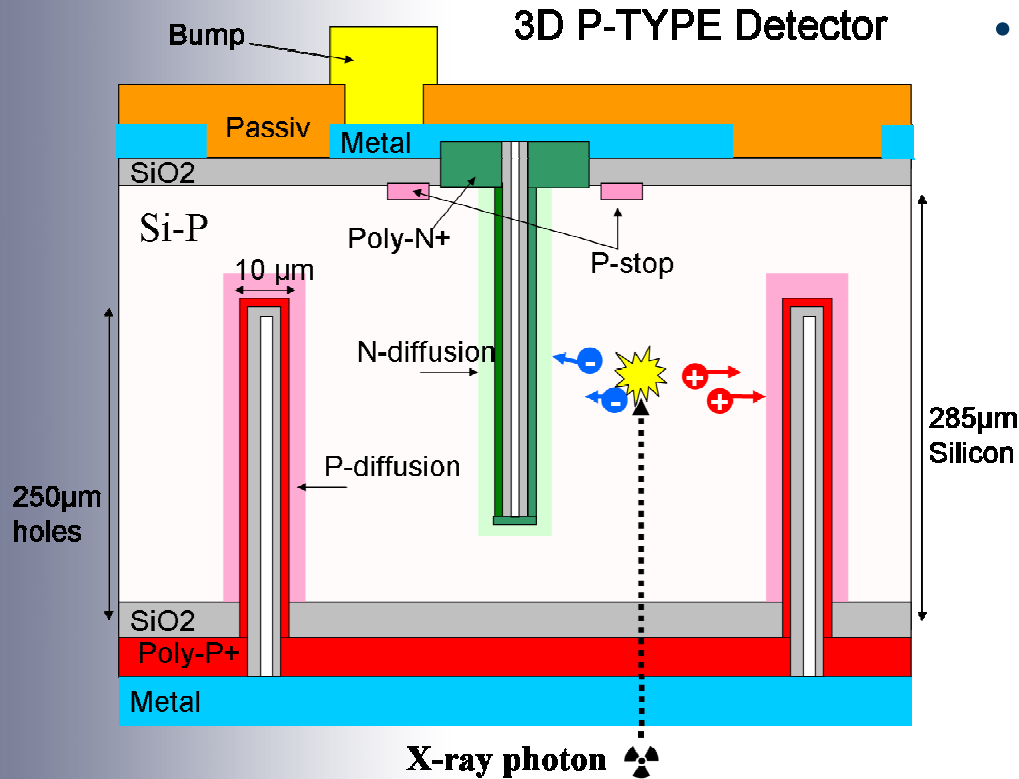
The corresponding author acknowledges the Science and Technology Facilities Council, Swindon, UK for funding

- Introduction
  - Motivation
  - CNM Double-sided 3D detectors
- Alibava system
  - Set-up
  - Calibration
- Charge collection
  - Response to Sr-90 electrons
  - High bias operation
- Summary

- ATLAS Forward Physics programme (2012)
  - Fluence  $\sim 1 \times 10^{15} \text{ 1MeV } n_{\text{eq}}/\text{cm}^2$
- Inner pixel layer (IBL) replacement for ATLAS (2014)
  - Fluence of  $4.4 \times 10^{15} \text{ 1MeV } n_{\text{eq}}/\text{cm}^2$
- Super-LHC (2017)
  - 10 \* luminosity upgrade on present LHC
  - Fluence up to  $\sim 1 \times 10^{16} \text{ 1MeV } n_{\text{eq}}/\text{cm}^2$
- LHCb VELO upgrade
  - Integrated fluence  $\sim 1 \times 10^{16} \text{ 1MeV } n_{\text{eq}}/\text{cm}^2$
- **Design fluences for ATLAS sensors** (includes 2x safety factor) :
 

Innermost Pixel Layer $\sim 5\text{cm}$ radius	:	$1.6 \times 10^{16} \text{ 1MeV } n_{\text{eq}}/\text{cm}^2$	= 500 Mrad
Outer Pixel Layers $\sim 30\text{cm}$ radius	:	$3 \times 10^{15} \text{ 1MeV } n_{\text{eq}}/\text{cm}^2$	= 150 Mrad

## Double sided 3D



- Substrate 285µm thick
- Holes 250µm deep
- p<sup>-</sup> type bulk
- n<sup>+</sup> readout columns
- p stop rings around n<sup>+</sup> columns

**Hole aspect ratio 25:1**  
**10µm diameter, 250µm deep**  
**Substrate 285µm thick**

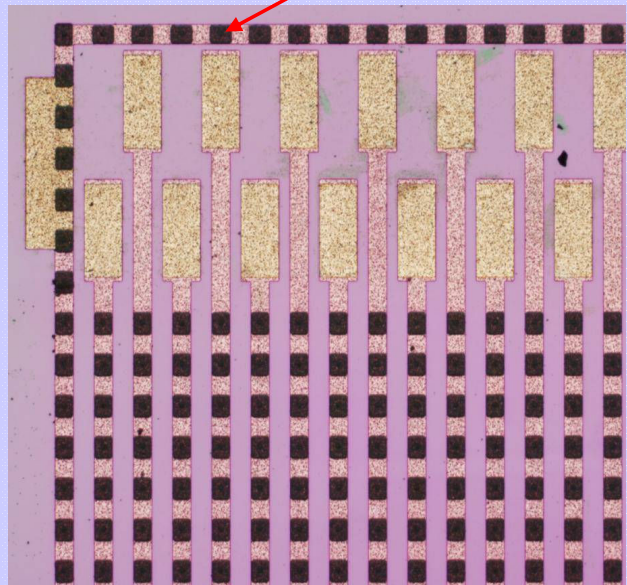
Devices designed at Glasgow & CNM  
 Fabricated at CNM

3D guard ring

50 strips  
 DC coupled  
 50 electrodes/strip  
 4mm long strips

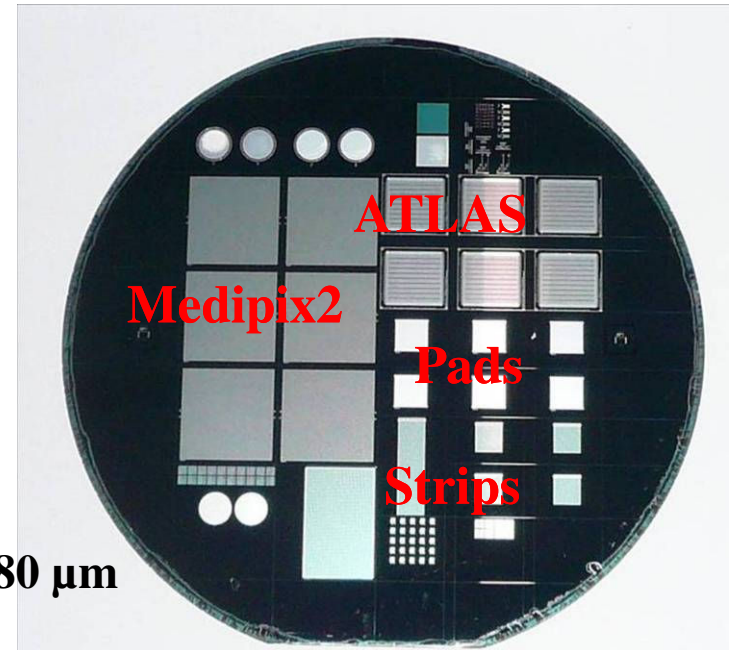
Devices

- » n<sup>+</sup> strips
- » p<sup>-</sup> bulk
- » p<sup>+</sup> back contact



80 µm  
 80 µm

CNM 3D-wafer

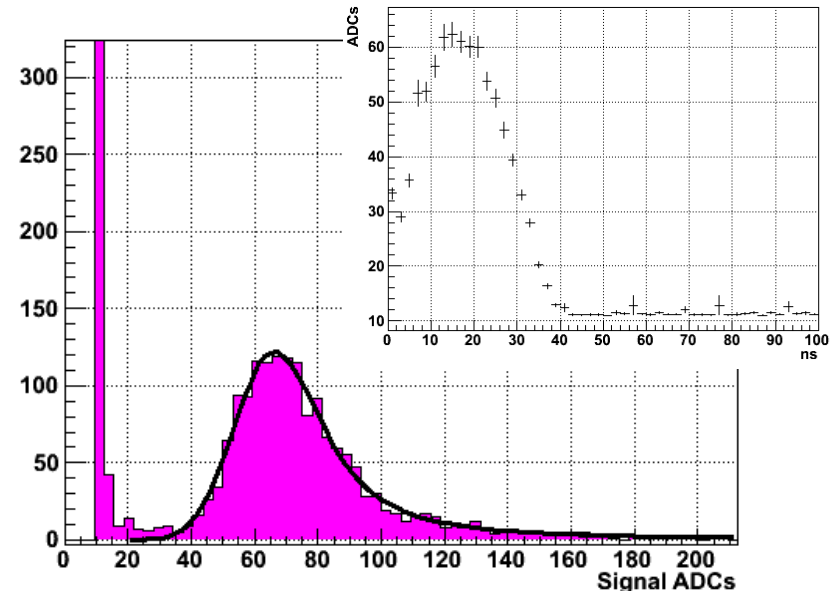
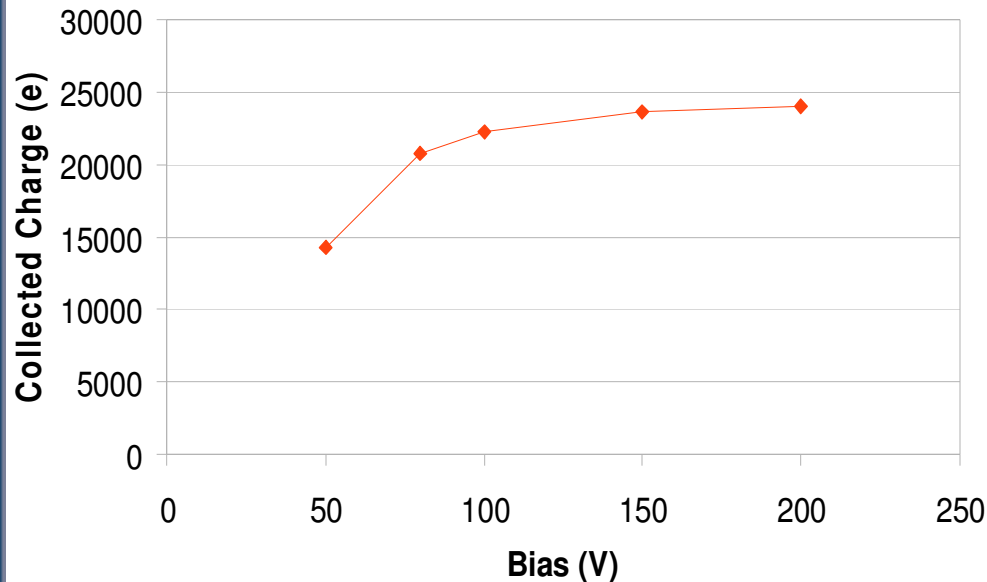


4 inch wafer

ICP is a reliable and repeatable process (many test runs always successful).

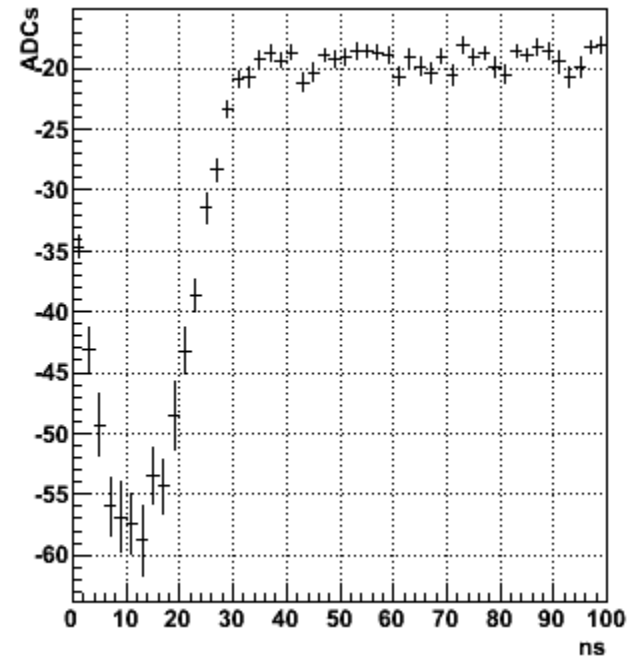
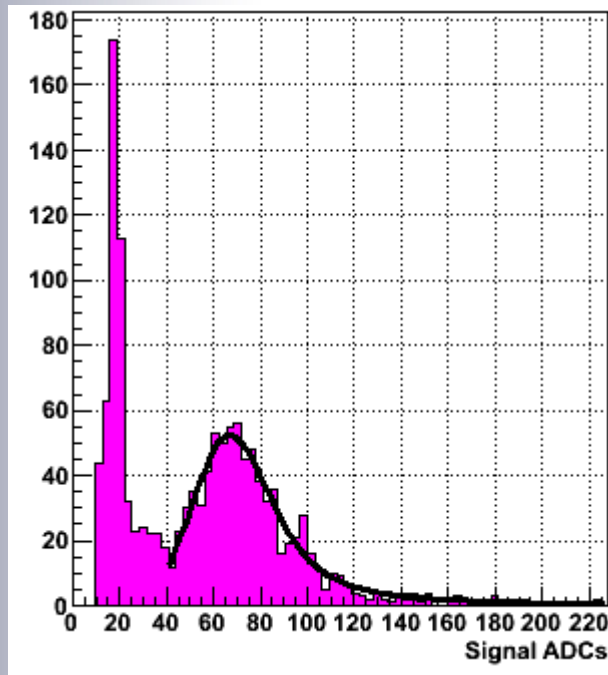
**Yield: Strip detectors = 88%. ATLAS pixels = 50%**

- Planar strip detector
  - SCT Barrel miniature
    - $p^+$  readout strips,  $n^-$  bulk
    - 300  $\mu\text{m}$  thick
    - 80  $\mu\text{m}$  pitch, 1 cm long AC coupled strips
  - Hole collection
  - Plateau value taken as full charge collection in planar device



- Short strip detectors
    - Electron collecting, n<sup>+</sup> readout columns
  - Irradiated at Karlsruhe with 26 MeV protons.
  - No intentional annealing
    - max 5 days RT
  - Detectors glued to Ceramic base boards
    - RC pitch adaptors from VTT/Helsinki Institute of Physics
- Fluence (1 MeV neq/cm<sup>2</sup>)
- 5E14**  
**1E15**  
**2E15**  
**5E15**  
**1E16**  
**2E16**
- 26 MeV protons scaled to  
1 MeV neutron equivalent fluence  
with a hardness factor of 1.85

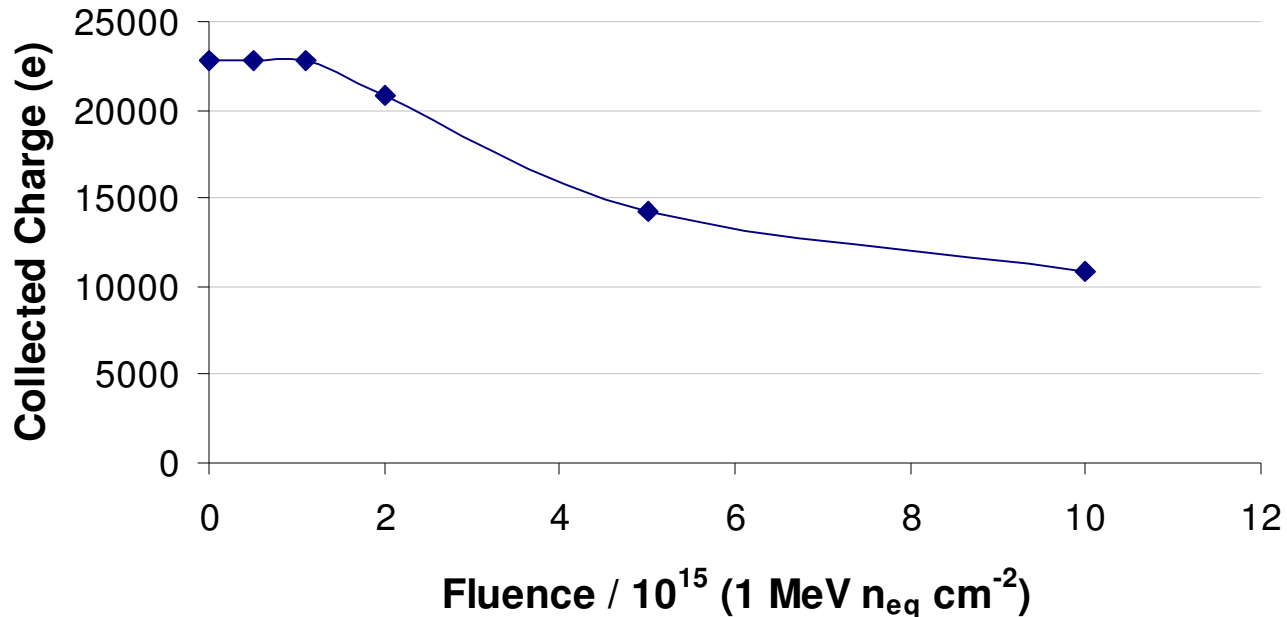
- 100% Charge Collection from all non-irradiated devices
  - Scaled to 285  $\mu\text{m}$  full device thickness
- Capacitance is higher  $\sim 3\text{pF}$  for 3D c.f  $2\text{pF}$  for planar
  - Noise is higher  $\sim$  twice as much
  - Gain should fall a little
  - Still need to fully understand this!



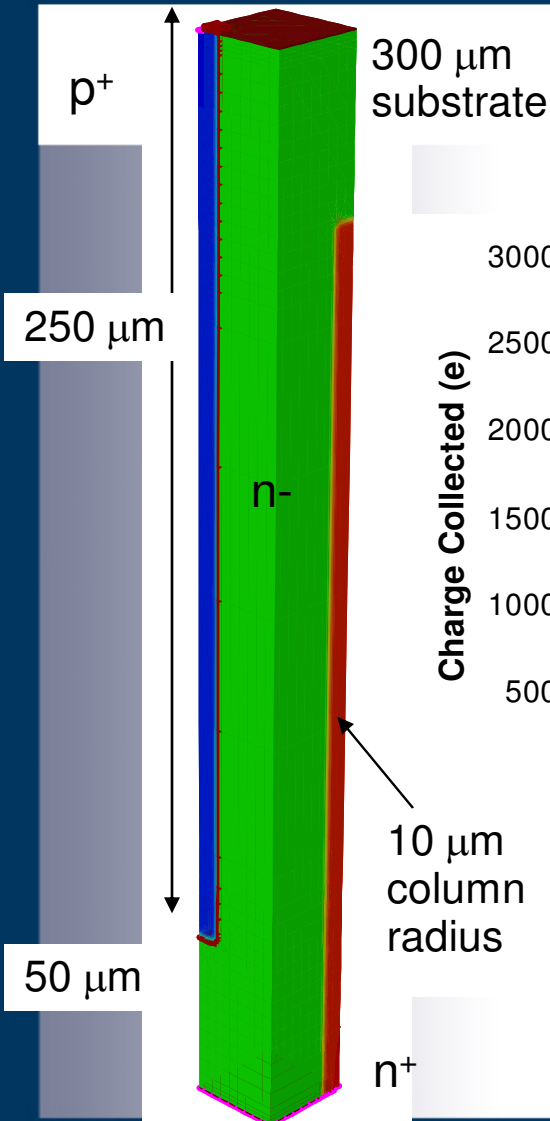
Note: This is a 4mm long strip detector  
The noise is large due to the capacitance.  
Pixels detectors are only 50 - 500 $\mu\text{m}$  long



## Electron collecting strip detectors

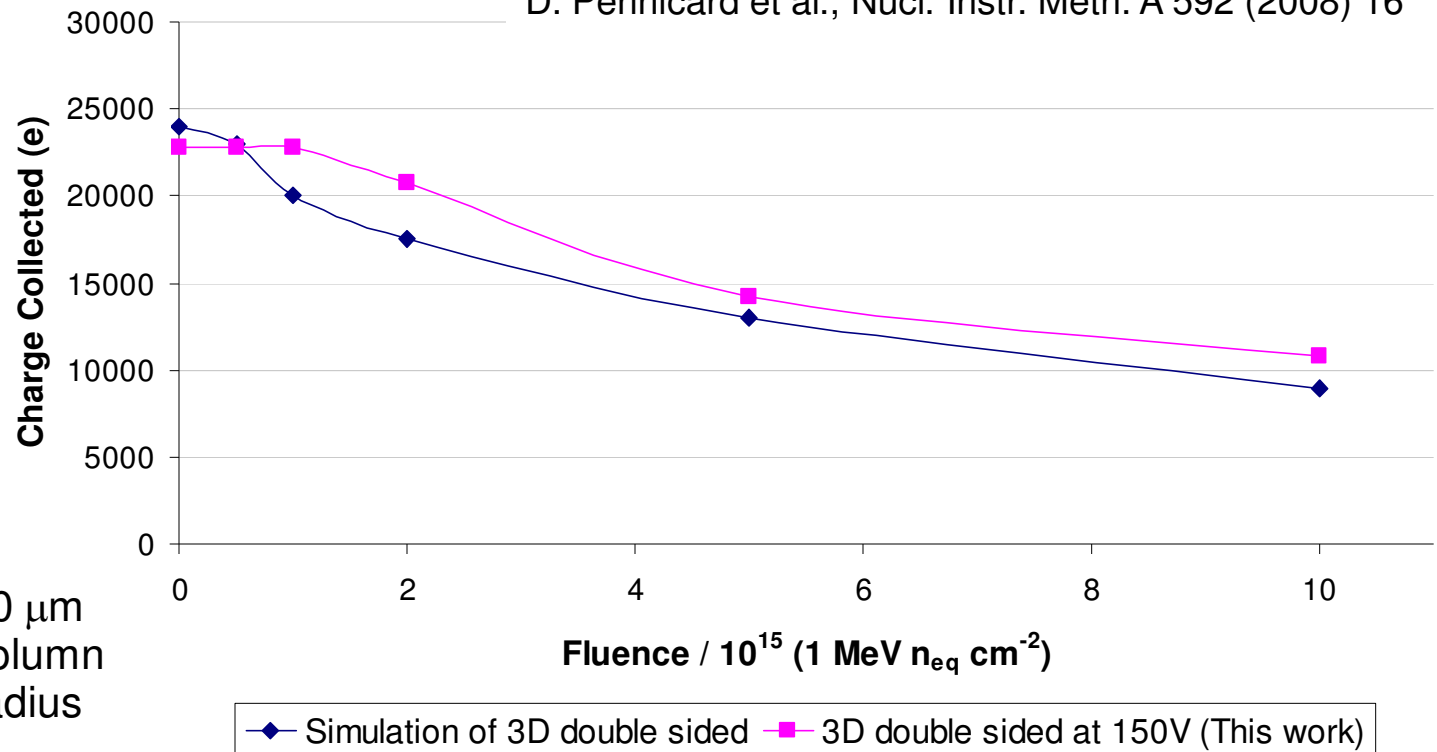


- Bias Voltage fixed at 150V for all irradiated samples
- Non-irradiated sample biased at 18V
- Detector's ceramic based board temperature between -10°C to -15°C



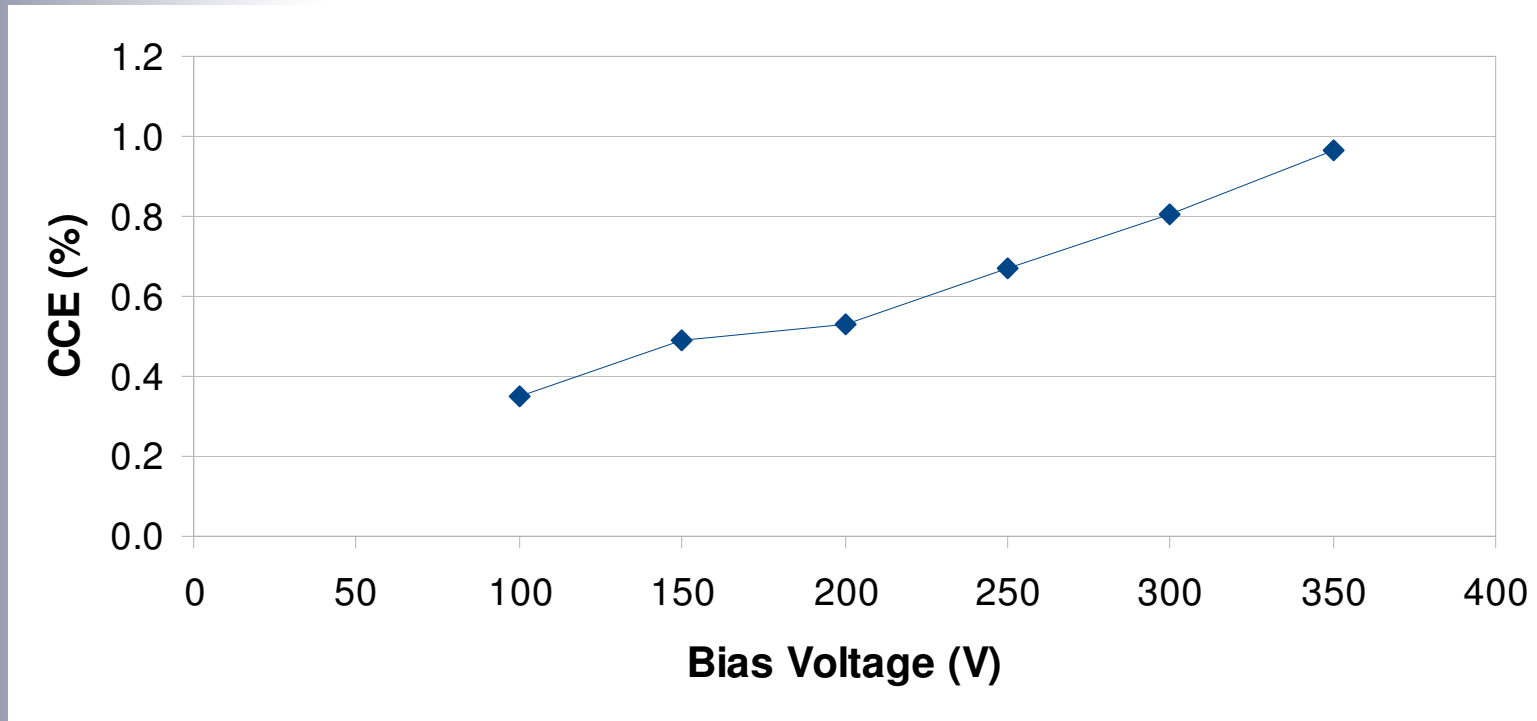
## Charge collection simulation

D. Pennicard et al., IEEE Trans. Nucl. Sci. 54, 4 (2007)  
 D. Pennicard et al., Nucl. Instr. Meth. A 592 (2008) 16

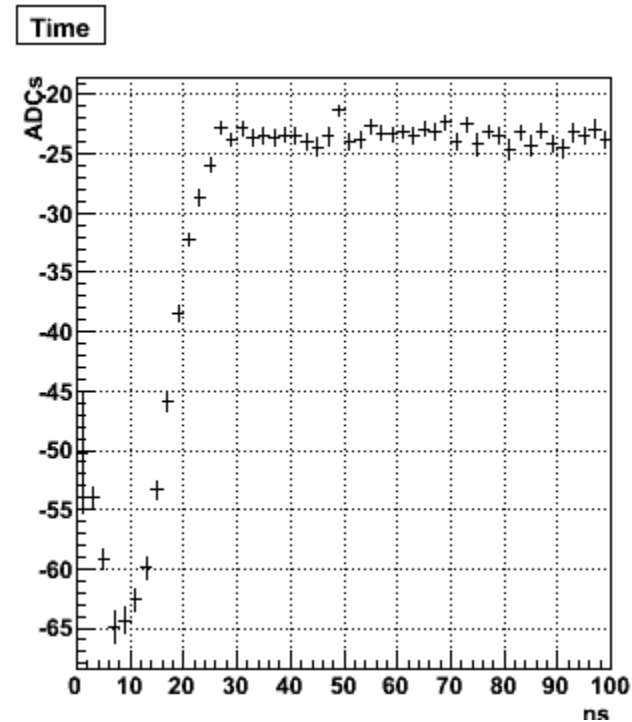
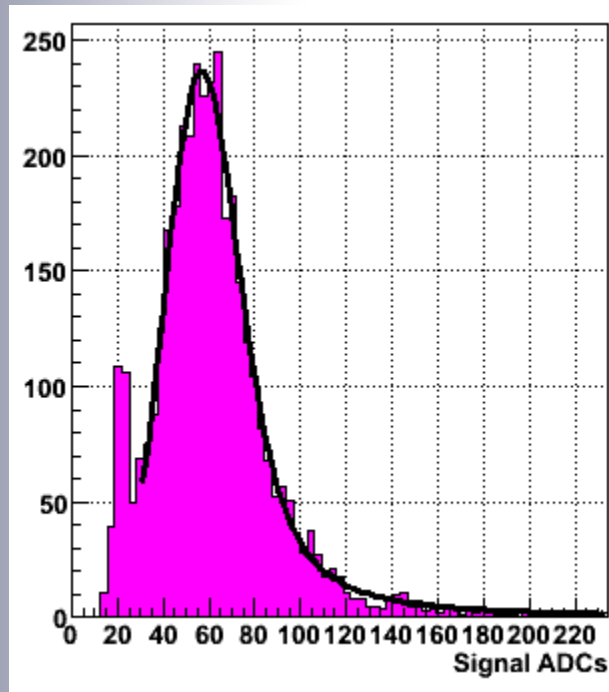


*NB – simulation assumes  $n^+$  readout for detectors, and  $55\mu\text{m}$  pixels, experimental results have  $n^+$  readout and  $80\mu\text{m}$  pixels*

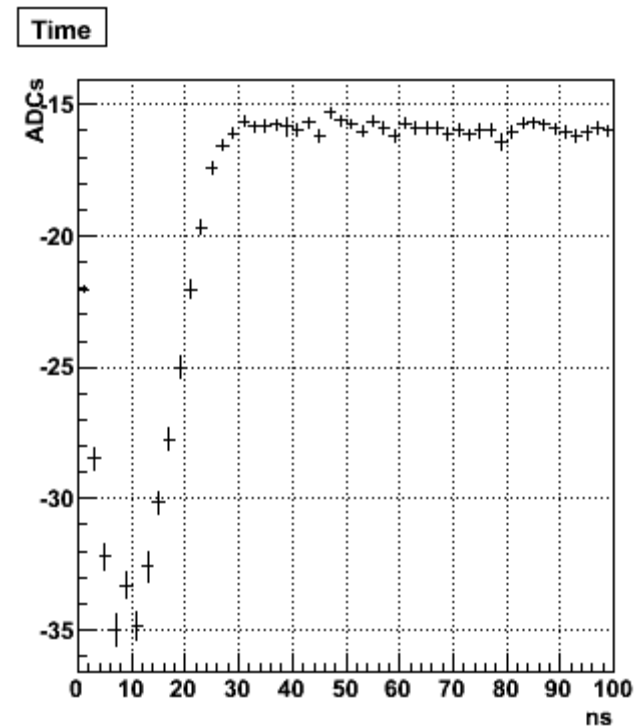
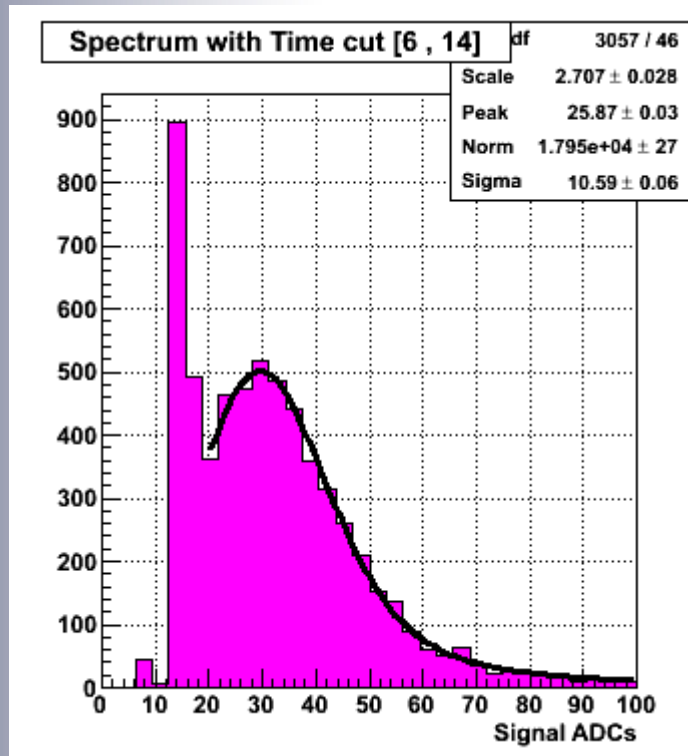
- Detector response after a fluence of  $1 \times 10^{16} \text{ 1 MeV } n_{\text{eq}} \text{ cm}^{-2}$



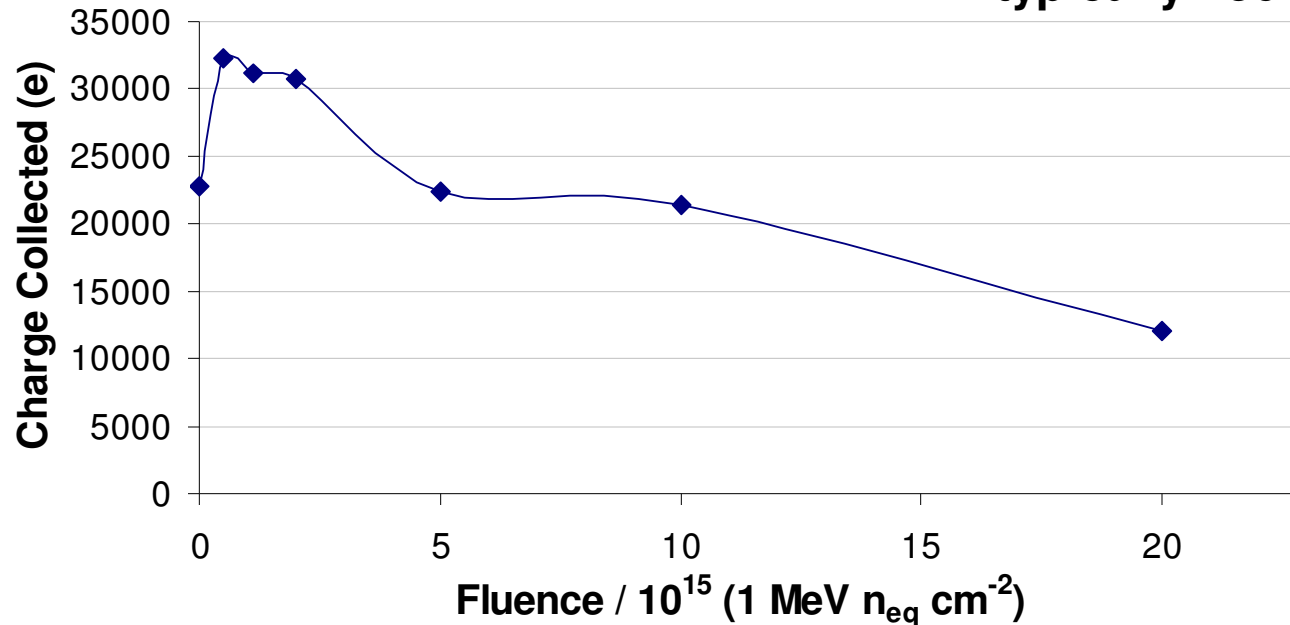
**Bias Voltage is 300V**



**Bias Voltage is 250V**

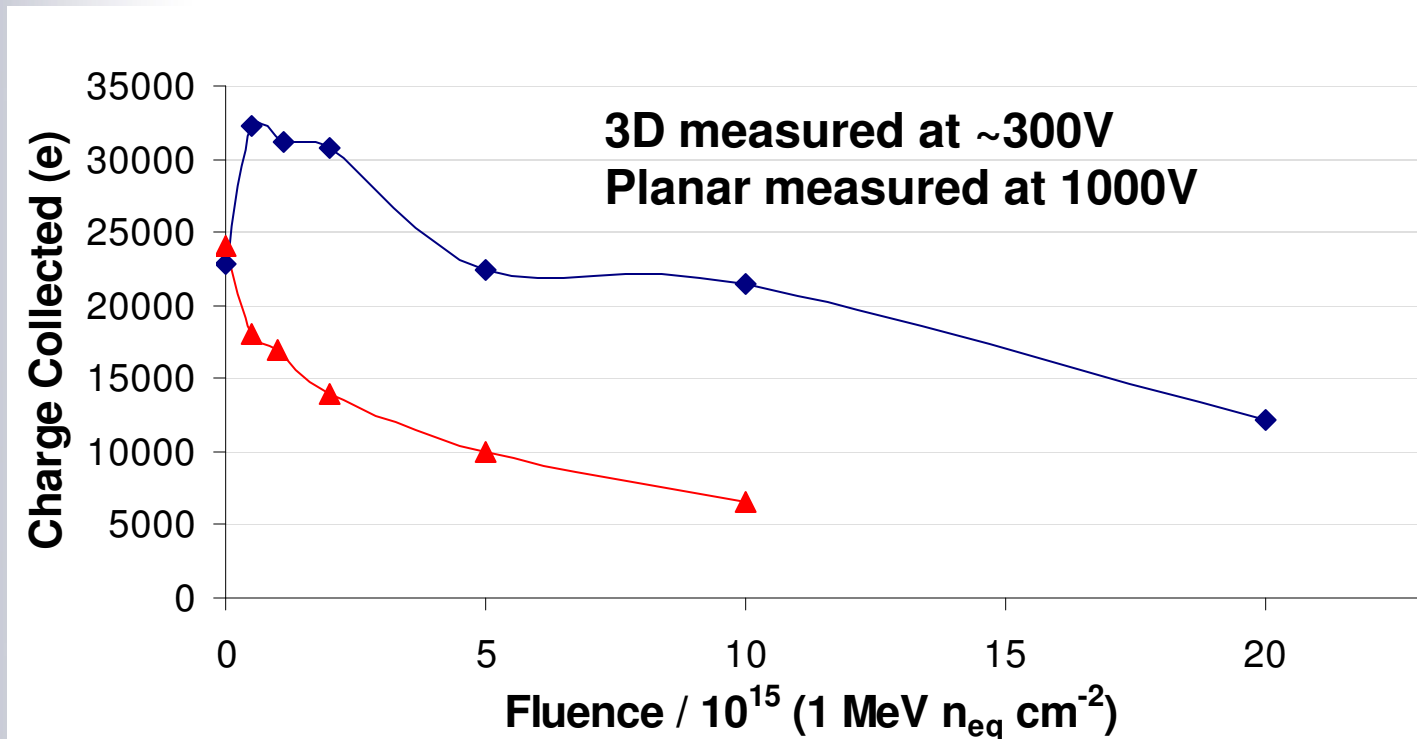


**Bias voltage applied maximum possible before excess current or noise typically 250 to 350V**



- Increased CCE for high fluences  $> 5 \times 10^{15}$   
**Close to 100% CCE for  $10^{16}$  1 MeV  $n_{eq}$   $cm^{-2}$**
- More than 100% CCE for fluences 0.5 to  $2 \times 10^{15}$  1 MeV  $n_{eq}$   $cm^{-2}$
- Possible charge multiplication observed  
 Observed in heavily irradiated planar devices with kV bias

- 3D results compared to Planar results compiled from published work



- Non-irradiated 3D double sided devices show 100% CCE
- Post irradiation CCE, measured at 150V, falls
  - CCE of 62% : 14000 e<sup>-</sup> after 5x10<sup>15</sup>
  - CCE of 47% : 11000 e<sup>-</sup> after 1x10<sup>16</sup>
- Charge collection agrees well with simulation prediction
- Enhanced charge collection with high bias
  - Possible charge multiplication in high electric fields
    - 30000 e<sup>-</sup> for 200V bias up to a fluence of 2x10<sup>15</sup>
    - CCE of 98% : 22500e<sup>-</sup> after 5x10<sup>15</sup> measured at 250V
    - CCE of 94% : 21500e<sup>-</sup> after 1x10<sup>16</sup> measured at 350V
    - CCE of 53% : 12000e<sup>-</sup> after 2x10<sup>16</sup> measured at 300V
- Sufficient charge for ATLAS front-end chip
- Operation at -10°C to -15°C fine





## • Mother Board

- Control Beetle chips
- Trigger on PMT signal
- Process analogue data from readout chips
- Communicate with a PC via USB.

## • Daughter board

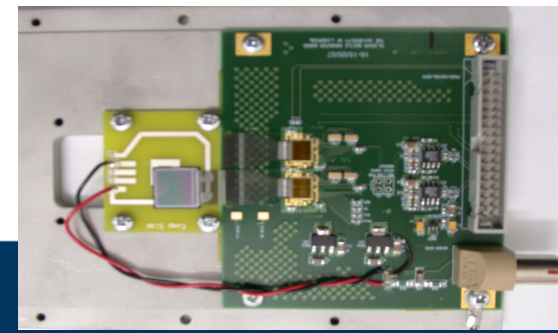
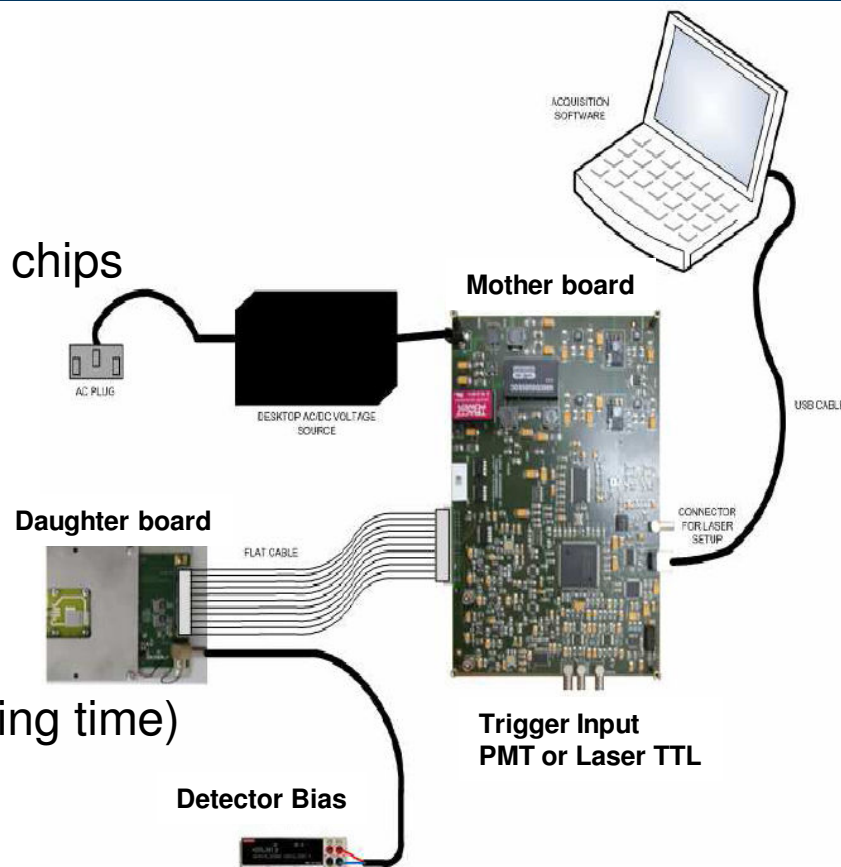
- Two Beetle readout chips
- Re-bondable fan-ins
- H.V. filter operational to 1kV

## • Beetle front end (from LHCb)

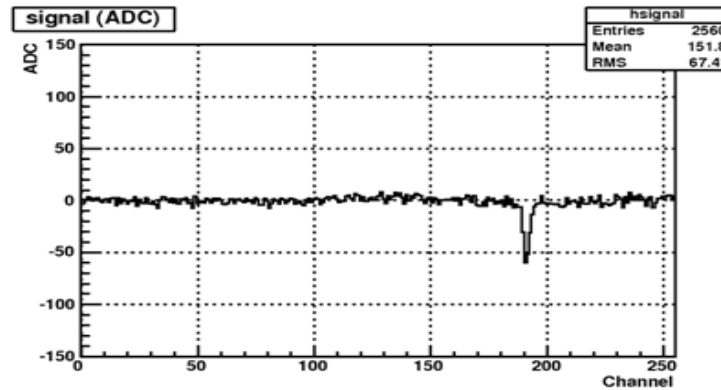
- LHC speed bi-polar amplifier (25ns peaking time)
- Full analogue readout

## • Rest of hardware

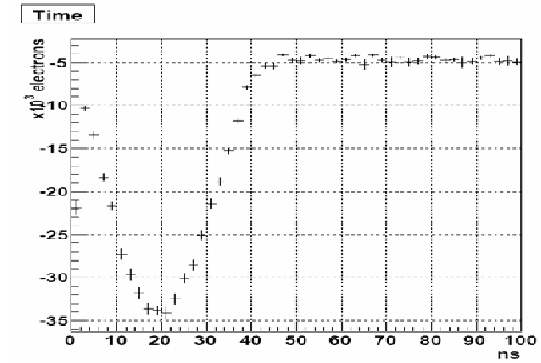
- Collimated Sr-90 source above the detector
- Scintillator with PMT trigger below the detector
- Everything in a freezer with dry air and monitoring



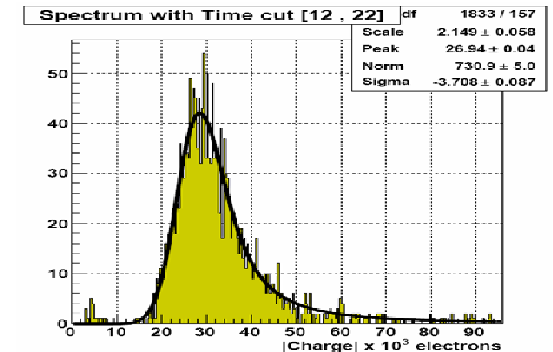
- RAW data
- Pedestal corrected
- Common mode noise corrected
- S/N cuts applied
- Pulse shape
- Signal spectrum



Signal corresponding to an event (trigger): ADC counts vs channel number

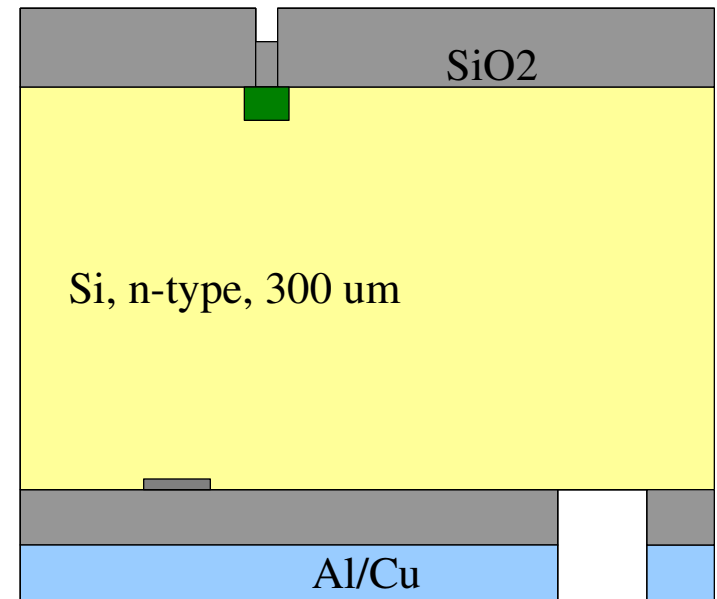


Pulse shape:  
collected charge vs. delay



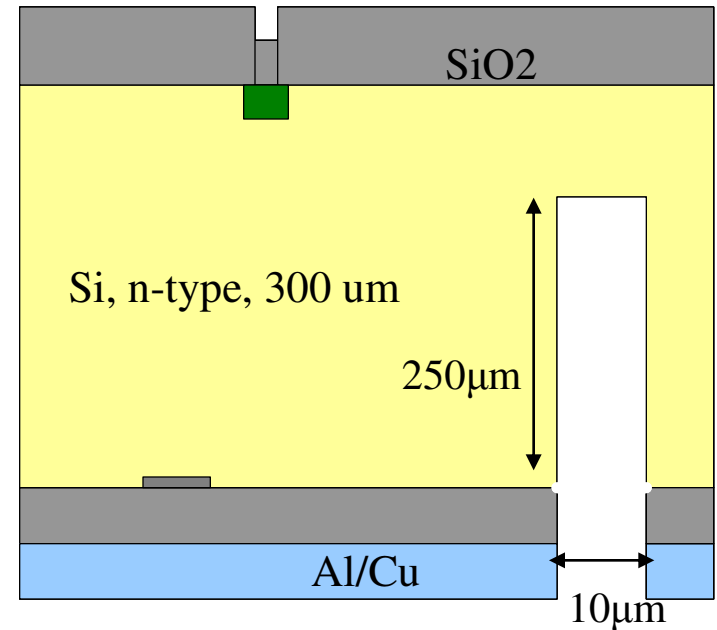
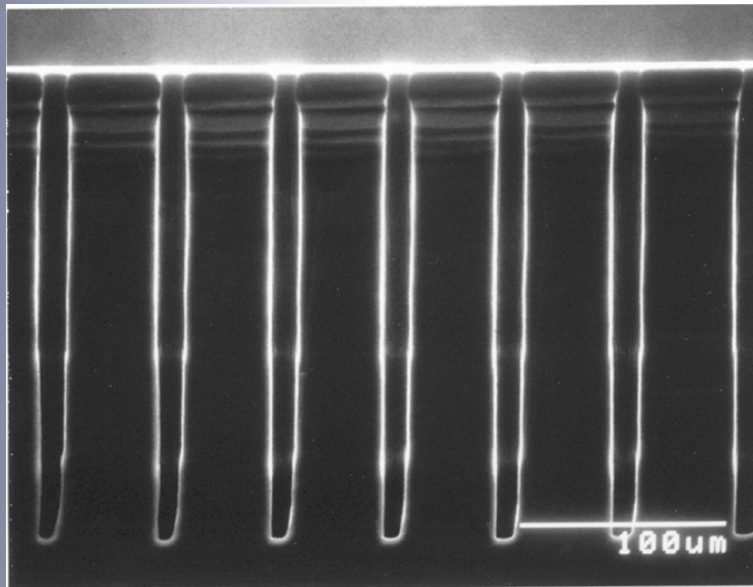
Signal spectrum with in time cut:  
number of events vs. collected charge

- Column fabrication introduces extra steps
- Begin with columns on back side



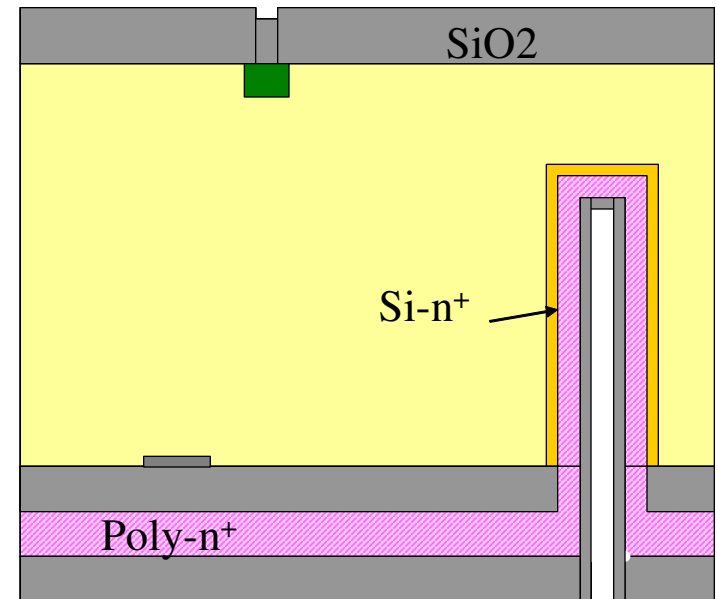
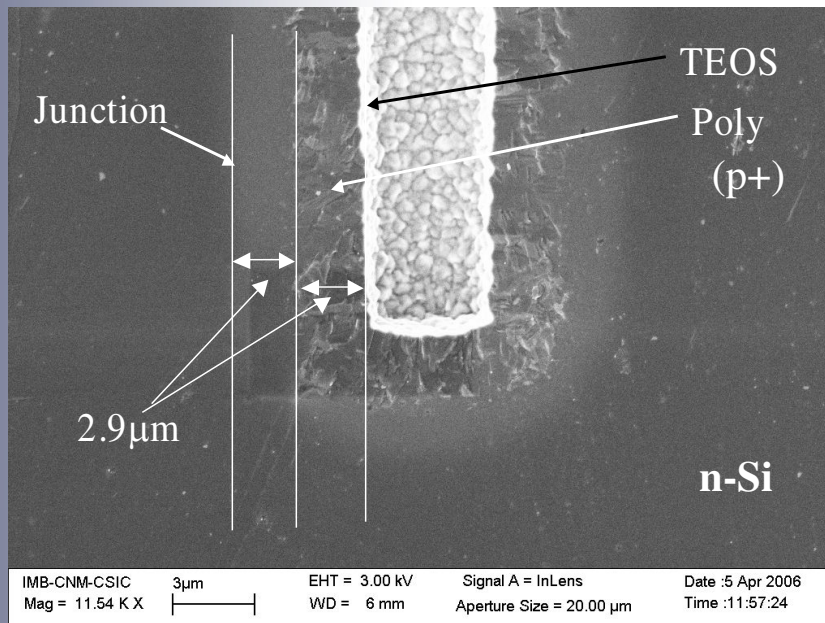
## Hole etching

- Deep Reactive Ion Etching
  - F plasma etches away base of hole
  - $\text{CF}_2$  coating protects sidewall
  - Limit on depth : diameter ratio
  - 250 $\mu\text{m}$  depth, 10 $\mu\text{m}$  diameter



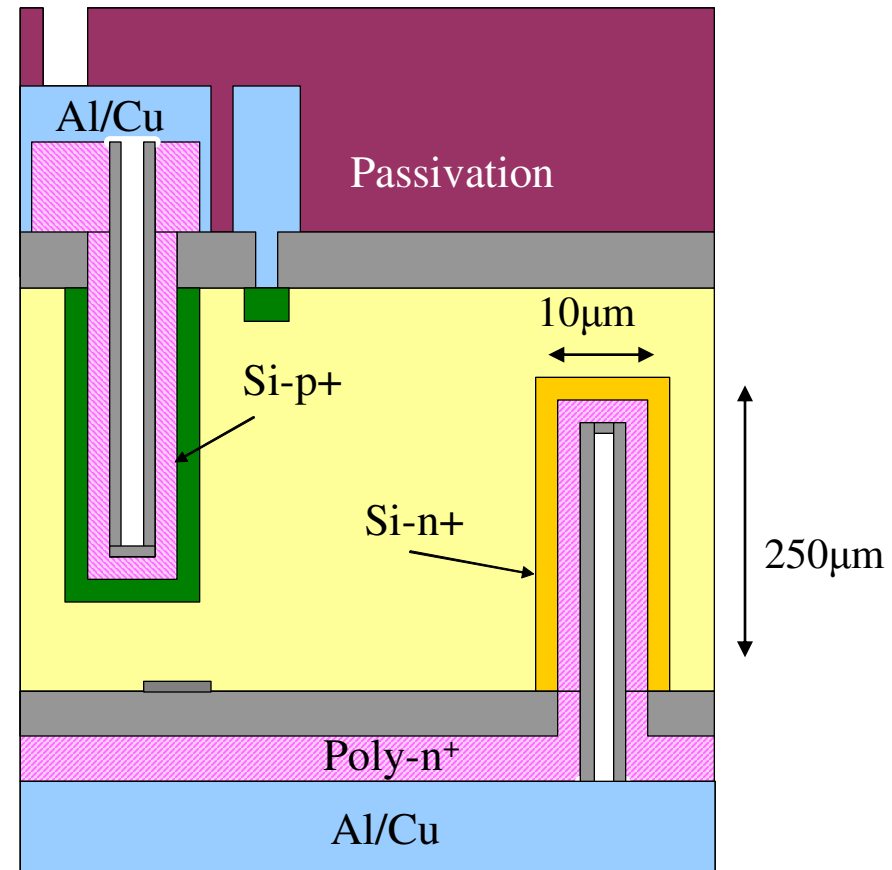
## Column filling and doping

- Deposit 3 $\mu\text{m}$  poly-silicon
- Phosphorus doping through poly
- Passivate inside of column with  $\text{SiO}_2$



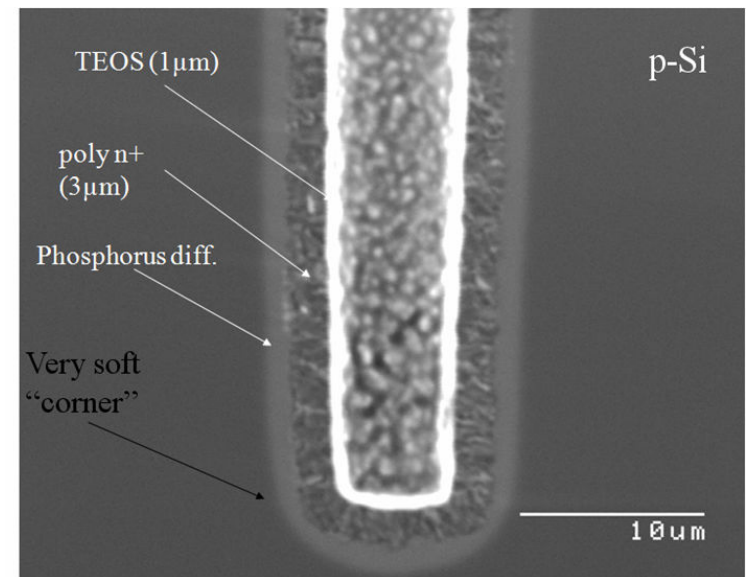
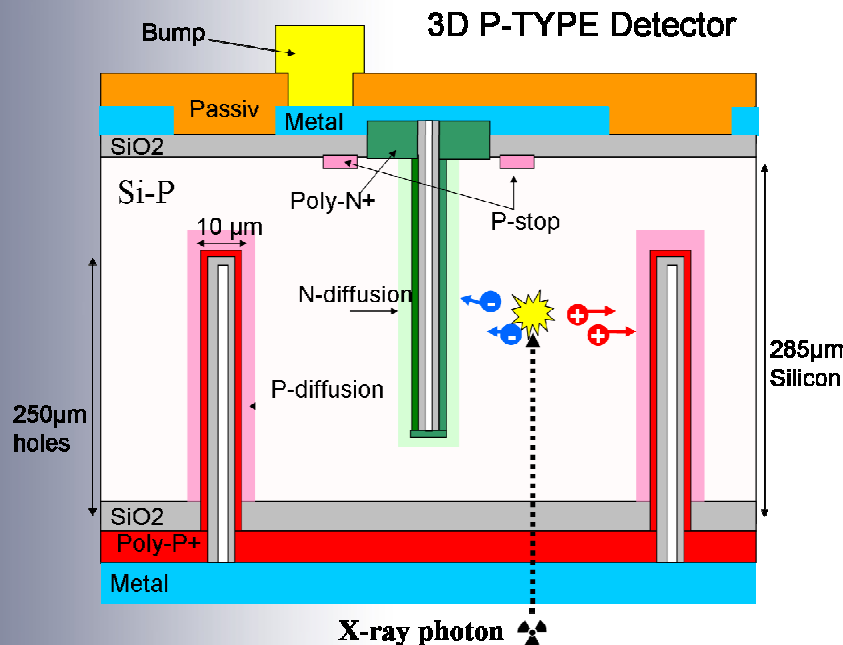
## Finished detector

- P+ columns fabricated on front side
- Contacts on front
- Backside coated with metal for biasing

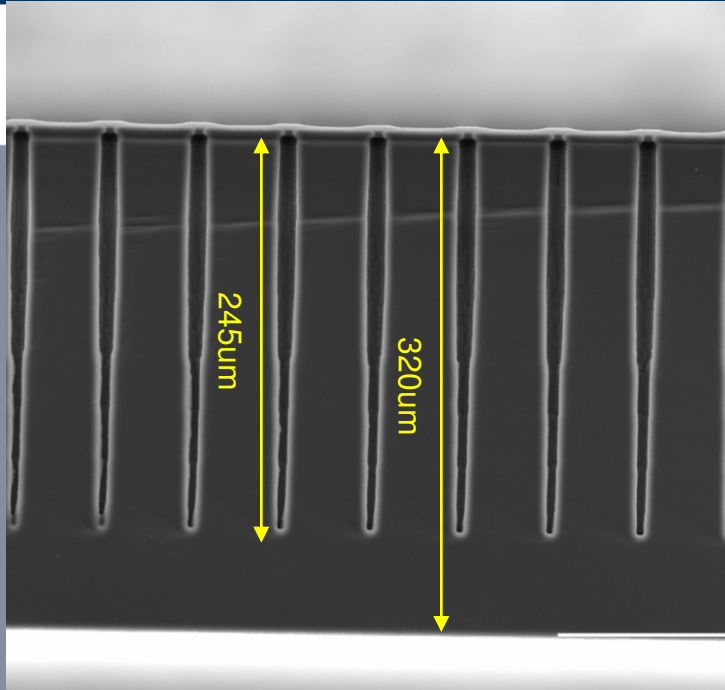


- Devices designed at Glasgow & CNM
- Fabricated at CNM
- Columns are etched from opposite sides of substrate
- Columns don't pass through full substrate thickness

- Column fabrication:
  - ICP etching : BOSCH process
  - Partial filling with 3 $\mu$ m LPCVD polysilicon
  - Doping with P or B
  - Passivation with 1 $\mu$ m TEOS Si<sub>2</sub>O

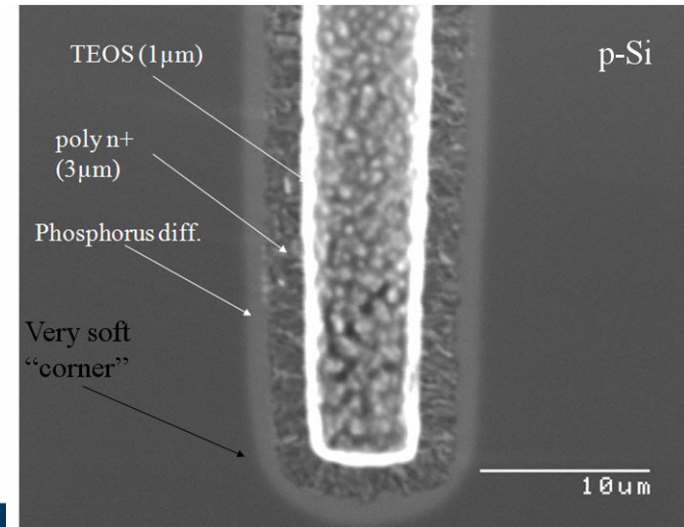
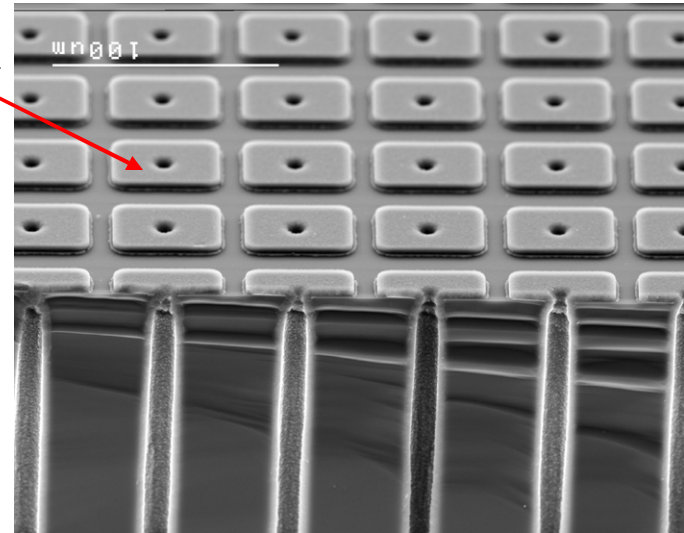




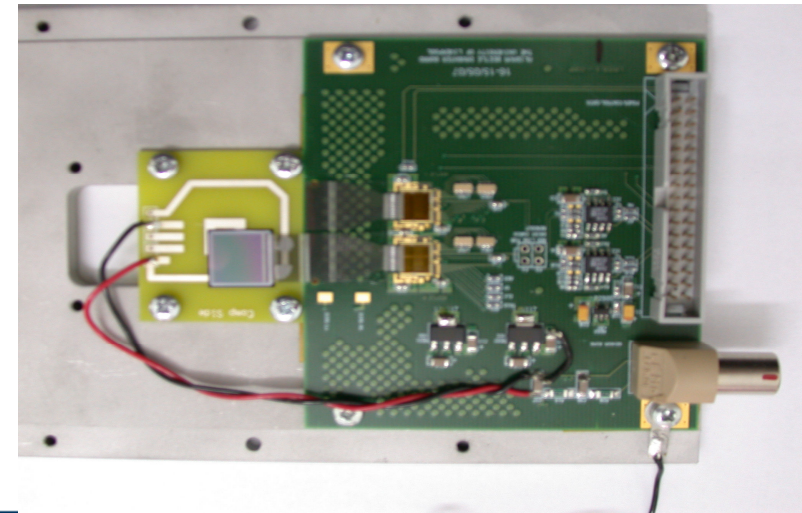
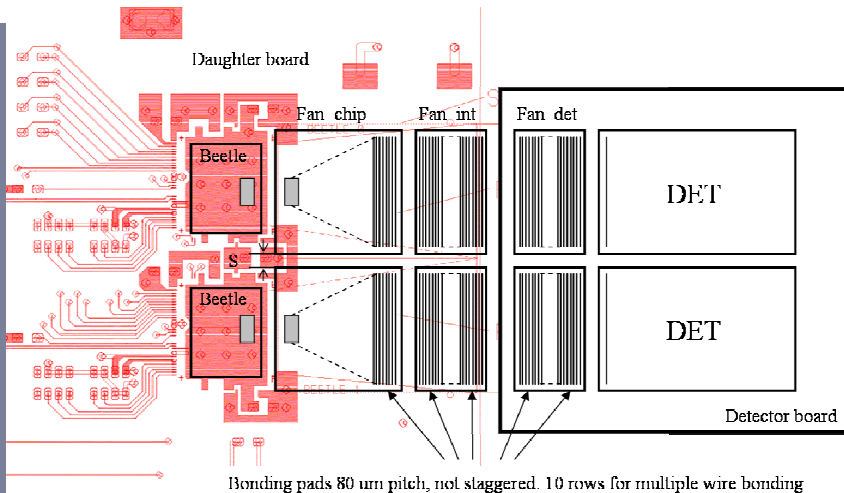
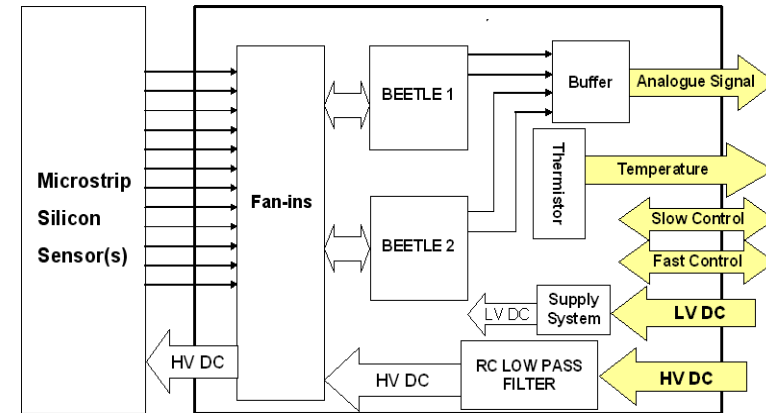


Hole aspect ratio up to 25:1  
(columns are 10 μm diameter,  
250 μm deep)

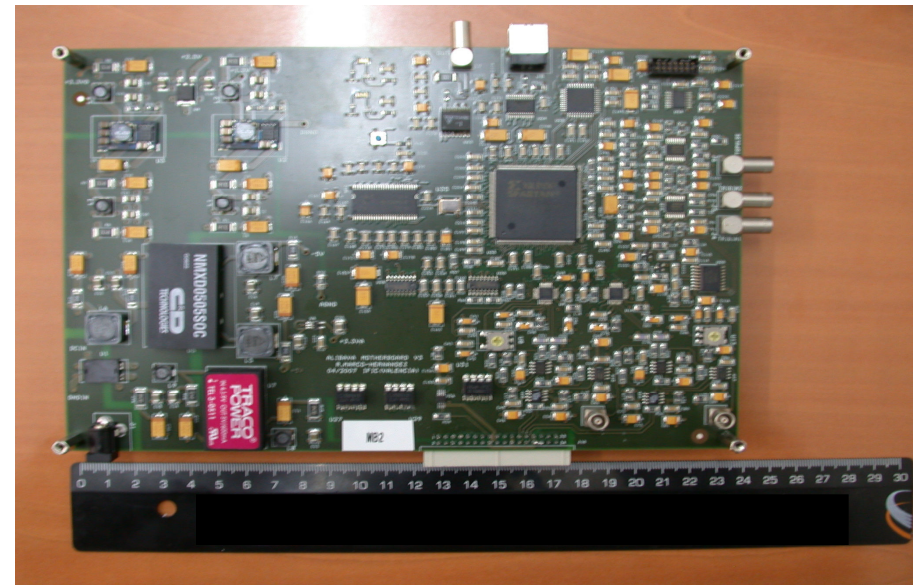
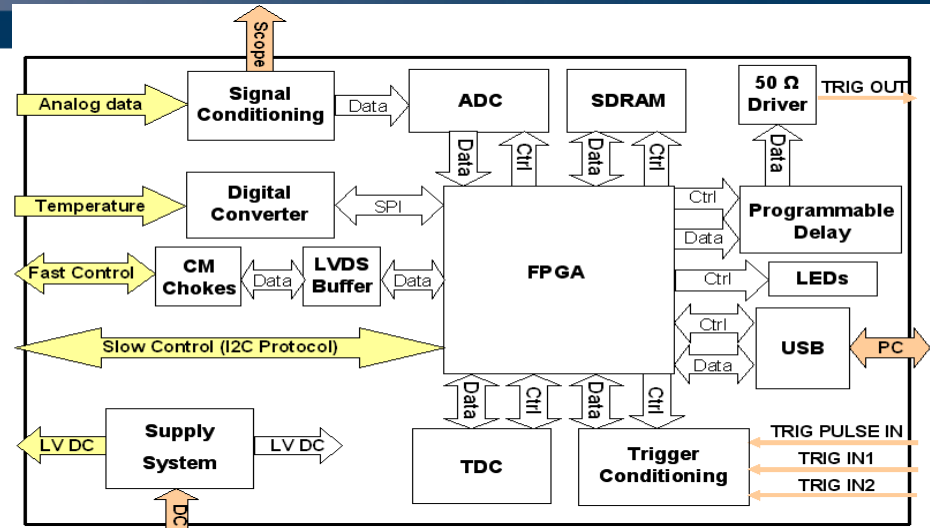
Poly



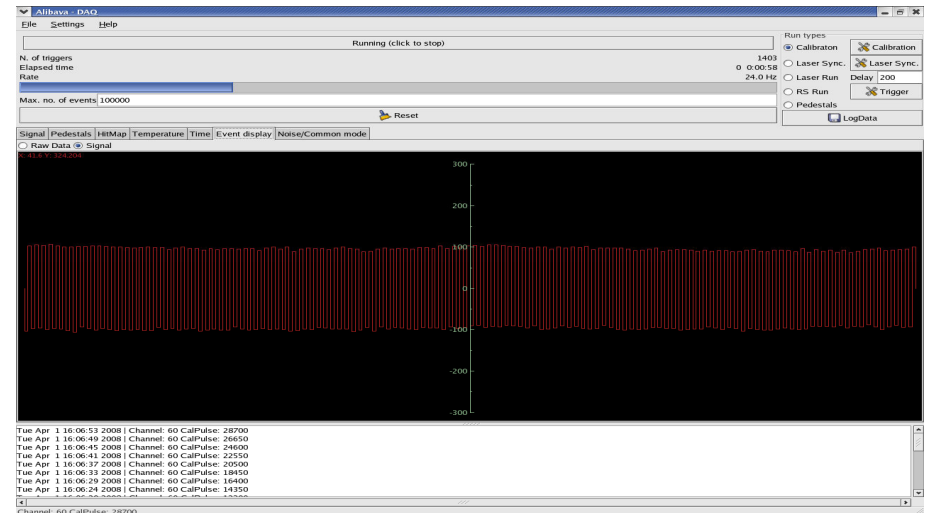
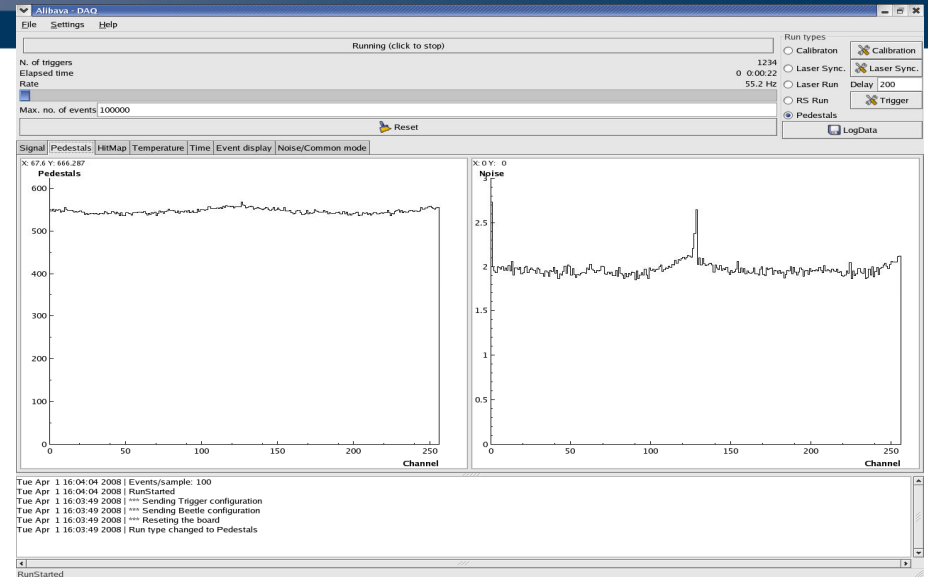
- Two Beetle readout chips in parallel mode.
  - 256 input channels.
  - Analogue front-end with 25 ns of peaking time.
  - Analogue multiplexed readout of each chip.
  - Output dynamic range  $\sim \pm 110000$  electrons.
- Buffer stage for sending the analogue output signals to the mother board.
- Control signals provided by the mother board and shared by both Beetle chips.
- A thermistor (NTC) for sensing the temperature close the Beetle chips.
- Low voltage DC level (5 V) for Beetle chips (2.5 V) and buffer stage power supply (3 V): provided by the motherboard.
- High voltage DC level for silicon detector(s) bias: external power supply.
- Fan-ins and detector board: multiple wire bonding and two different sensor sizes.

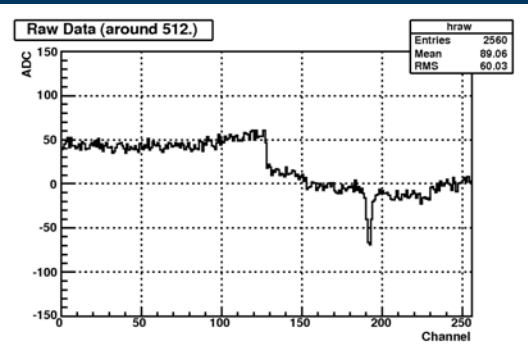


- Analogue signal conditioning:
  - Amplification and filtering: minimization of noise.
  - Buffering: two copies of the Beetle multiplexed analogue outputs for spying with a scope
- ADC: digitalization at 40 MSps of the Beetle analogue multiplexed signals.
- Digital converter: temperature analogue signal digitalization.
- Generation of control signals for Beetle chips by FPGA: DAQ sequences and configuration.
- Trigger conditioning and TDC for obtaining a time stamp of each trigger with radioactive source setup.
- Generation of a trigger output with programmable delay for the laser source.
- USB controller.
- SDRAM (512 Mb) for temporal storage of acquired data.
- FPGA (40 MHz): custom logic and embedded  $\mu$ P.
  - Control of the hardware.
  - Synchronization of DAQ sequences.
  - Generation of Beetle control signals.
  - Communication with the software.
- Supply system: from AC/DC desktop power supply (5V).
  - Generation of MB and DB supply levels.

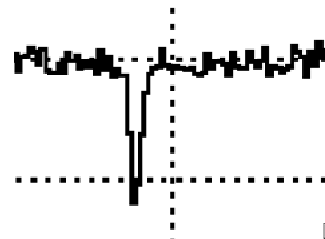


- **Functions:**
  - Control the whole system (configuration, calibration and acquisition).
  - Processing and monitoring of acquired data.
  - User interface with the system (GUI).
  - Generation of information (output files).
- **Two software levels:**
  - **Low level:**
    - Software/mother board communication by USB: VCP (virtual com port) driver (2.4 Mb/s) used.
    - Processing of acquired data.
  - **High level:**
    - GUI: control of the system and data monitoring.
    - Output file generation for further processing and analysis.
- Programmed in C++.
- Operating system compatibility:
  - Linux version fully operational.
  - Maybe Windows in the future.
- There are also macros for ROOT in order to process the data acquired with the software.

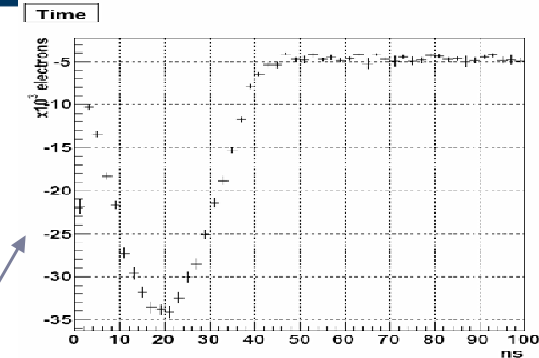




Signal is computed as the sum of strips in a cluster.  
 → Feed S/N > 6.  
 → Neighbours S/N > 2.5.



We can obtain different representations of acquired data from a given number of triggers. We use the calibration data for ADC counts to electrons conversion

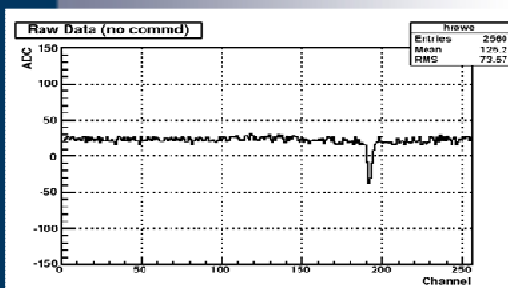
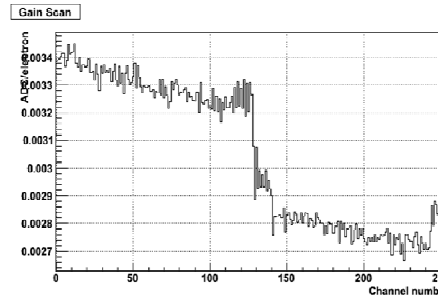


Pulse shape reconstruction: collected charge (electrons) vs. delay (ns)

Raw data (ADC counts vs channel number): digitalization of the Beetle analogue multiplexed output signal

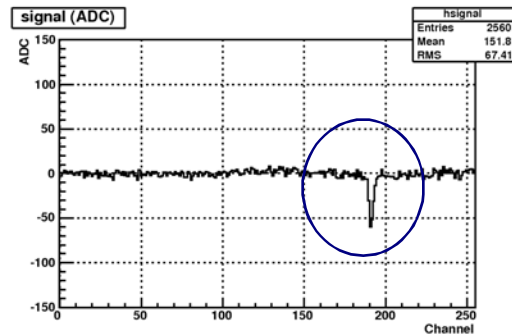
Pedestals correction

Signal computation of acquired data from each trigger

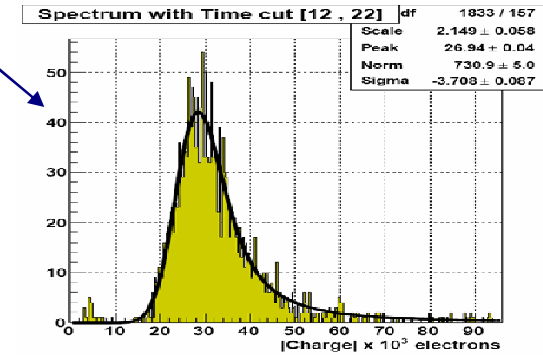


Raw data with pedestal value for each channel corrected (ADC counts vs channel number)

Common mode correction



Signal corresponding to an event (trigger): ADC counts vs channel number



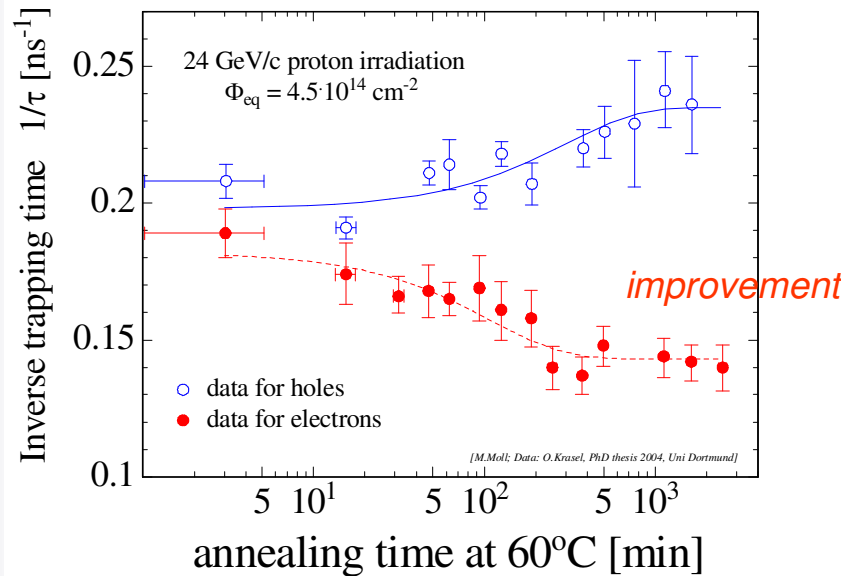
Signal spectrum with a time cut: number of events vs. collected charge (electrons)

$$Q_{e,h}(t) = Q_{0,e,h} \exp\left(-\frac{1}{\tau_{eff\ e,h}} \cdot t\right) \quad \text{where} \quad \frac{1}{\tau_{eff\ e,h}} \propto N_{defects} = \beta_{e,h} \Phi$$

$$\beta_e = 4.2 \times 10^{-16} \text{ cm}^{-2} / \text{ns}, \quad \beta_h = 6.1 \times 10^{-16} \text{ cm}^{-2} / \text{ns}$$

From G. Kramberger et al., NIMA 476(2002), 645-651.

## 1/τ changes with annealing



$$\tau_{eff}(10^{14}) = 20 \text{ ns}$$

$$\lambda = v_{sat} \tau_{eff} = (10^7 \text{ cm/s}) \times 2 \times 10^{-8} \text{ s} = 2000 \mu\text{m}$$

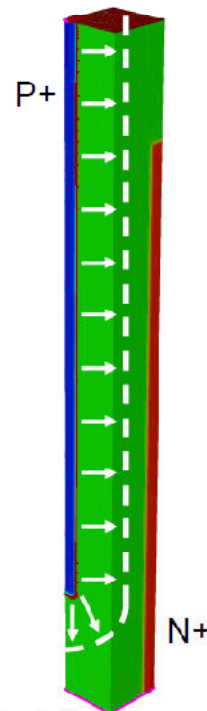
$$\tau_{eff}(10^{16}) = 0.2 \text{ ns}$$

$$\lambda = v_{sat} \tau_{eff} = (10^7 \text{ cm/s}) \times 2 \times 10^{-10} \text{ s} = 20 \mu\text{m}$$

Decrease in CCE.

## Depletion of Medipix2 3D

Lateral depletion around column

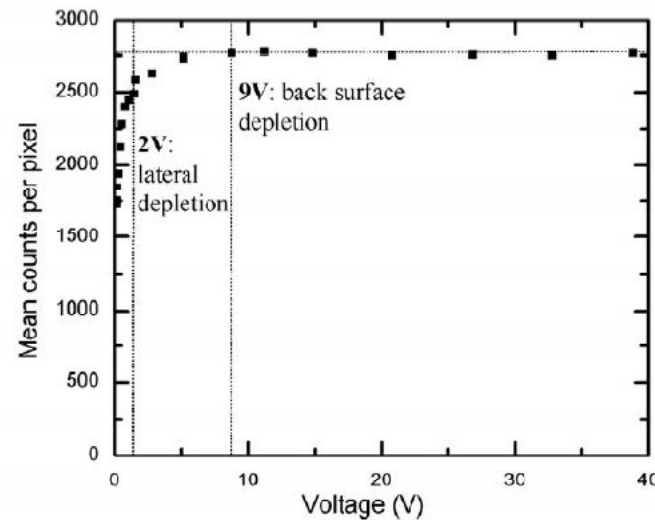


Depletion from column tip to back

Trento Workshop p-type and 3D detectors  
C. Fleta, University of Glasgow

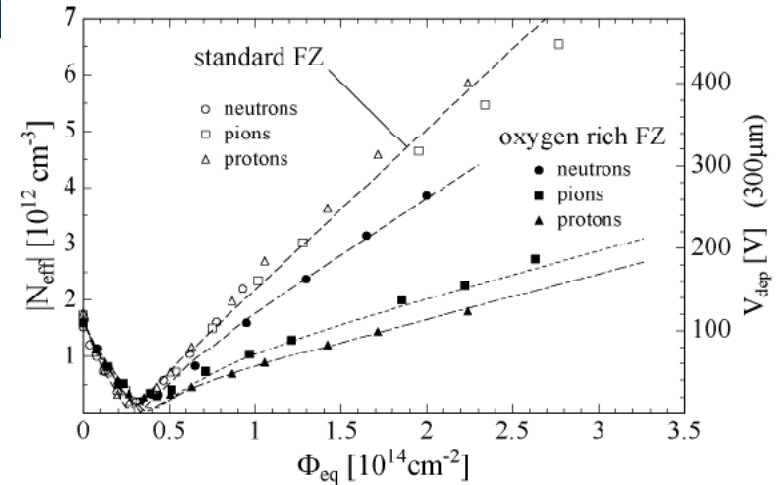
- Tested count rate vs bias with 60kV tungsten X-ray tube
  - Rapid increase in count rate up to 2V – lateral depletion
  - Count saturates around 9V – full depletion
  - CVs on test structures follow same pattern

X-ray tube test



## Increase in effective p-type doping with damage

- Increased depletion voltage
- 300µm planar detectors cannot be fully depleted far beyond  $10^{15} n_{eq}/cm^2$
- 3D detectors have short depletion distance, reducing  $V_{dep}$

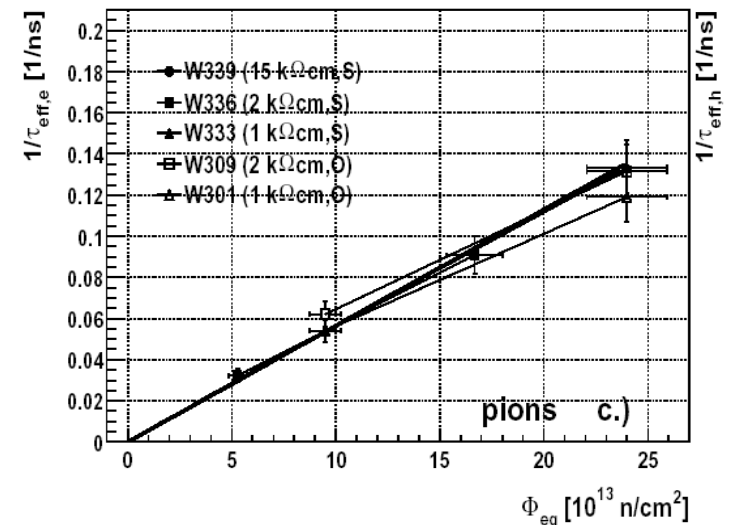


See M. Moll thesis, Hamburg 1999

## Charge trapping

$$\frac{dn}{dt} = \frac{n}{\tau_{eff}}$$

- Free electrons and holes trapped by defects, reducing CCE
- Dominant effect at very high fluences
- 3D structure reduces collection time – less trapping



G. Kramberger, Aug. 23-24, 2006, Hamburg, Germany

## Increased leakage current

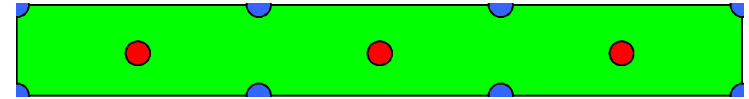
- Need to cool detectors



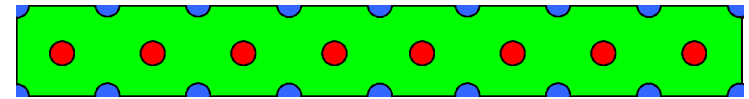
ATLAS pixel is  $400\mu\text{m} \times 50\mu\text{m}$

- Different layouts available
- Trade-offs between  $V_{\text{dep}}$ , CCE, capacitance, column area...

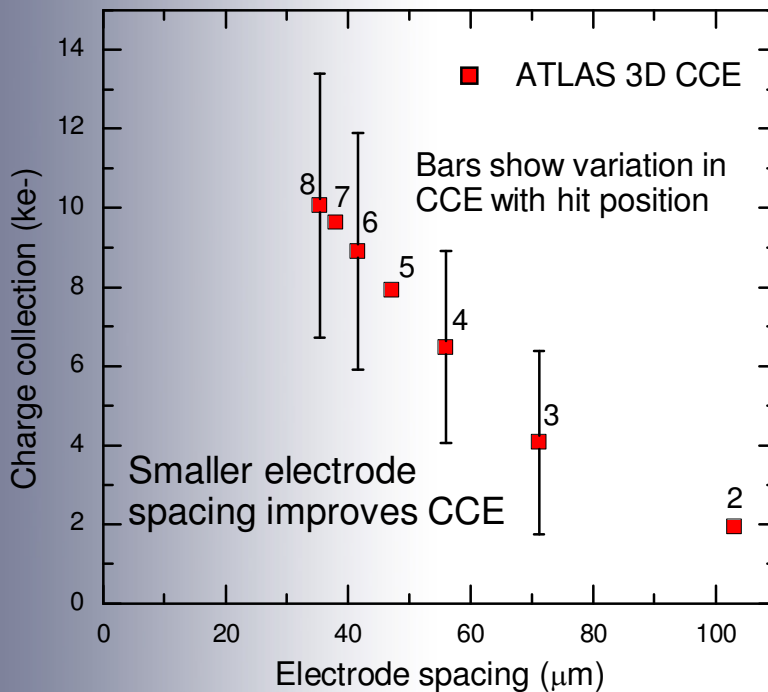
3 column



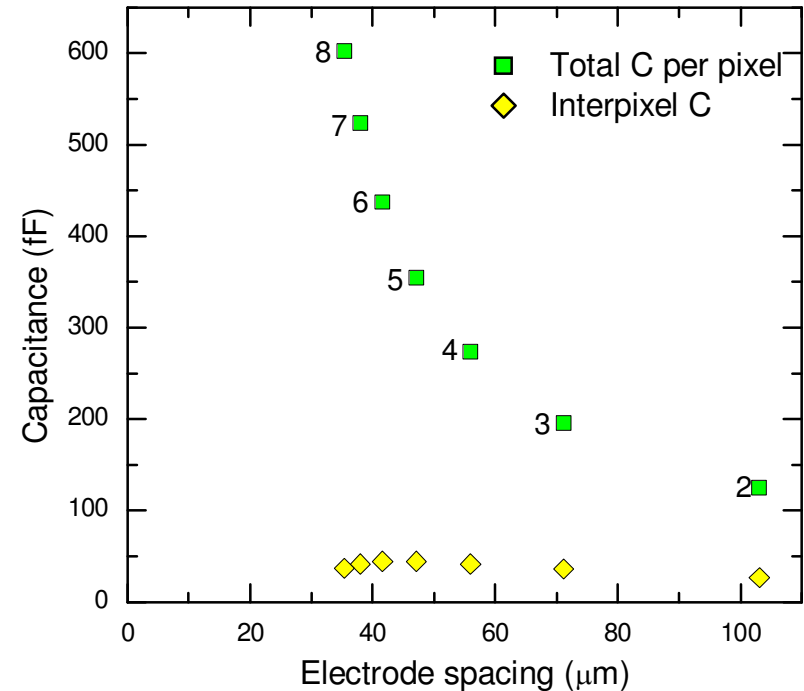
8 column



Charge collection with  $10^{16} n_{\text{eq}}/\text{cm}^2$  radiation damage

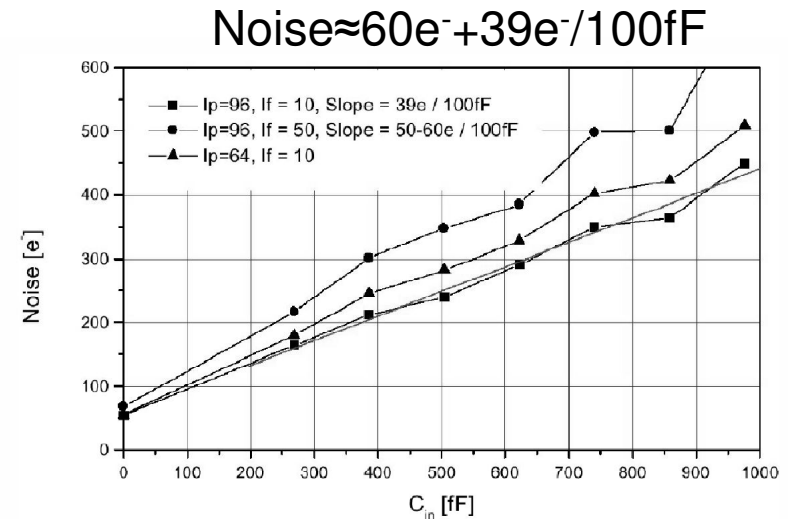
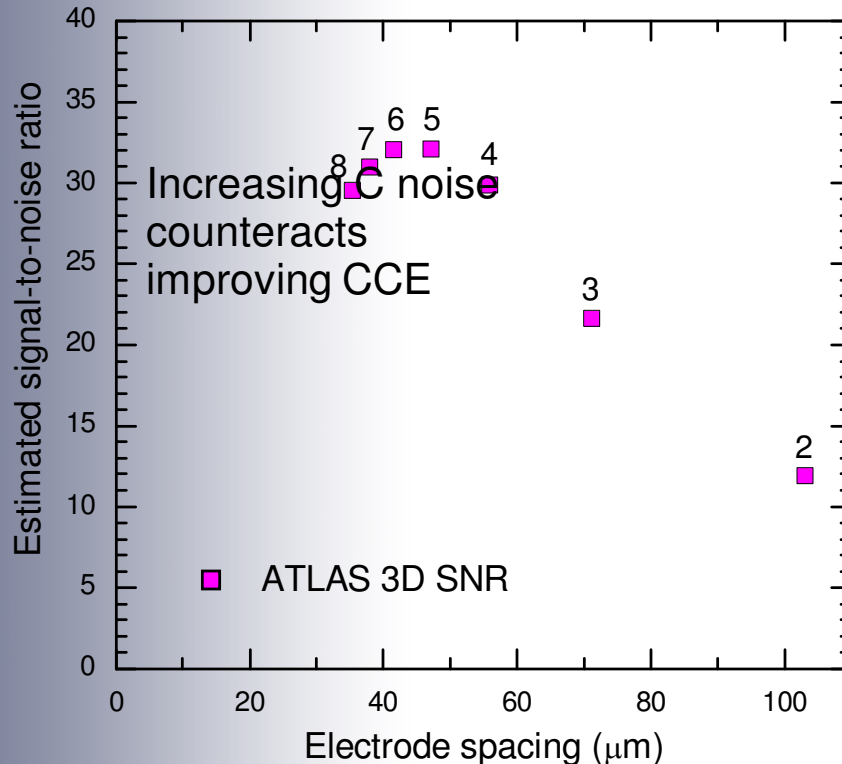


Capacitance at each pixel



Uses noise vs. capacitance data from un-irradiated ATLAS sensors  
(won't include high leakage current or damage to readout chip)

- Assume 100fF from preamplifier input and bump bond
- Also 70e- threshold dispersion



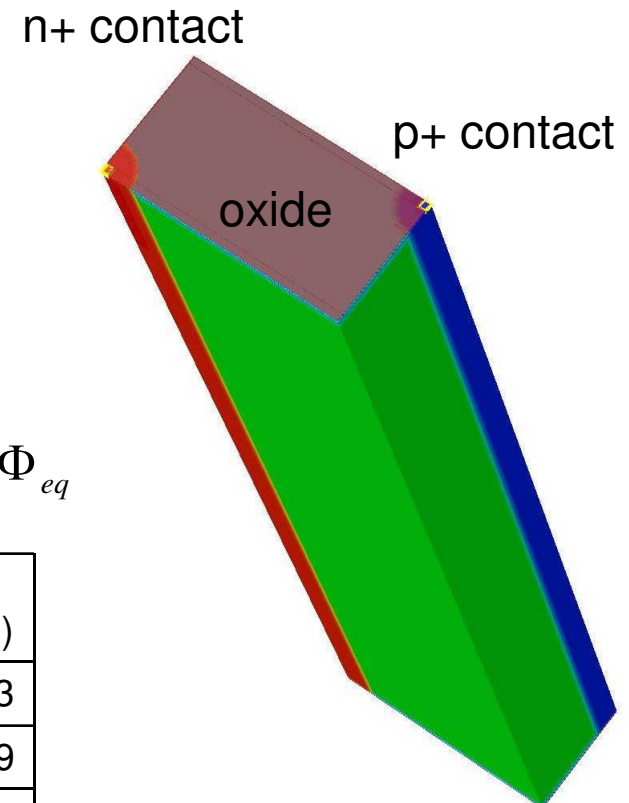
“Progresses on the ATLAS pixel detector”,  
A. Andreazza, *NIMA* vol. 461, pp. 168-171, 2001

- **See presentation from 10<sup>th</sup> RD50 meeting**
- Synopsis TCAD finite element simulation
- **Damage model**
  - Trap dynamics modelled directly
  - P-type FZ material
  - Based on work at Uni. Perugia – see M. Petasecca et al., *IEEE Trans. Nucl. Sci.*, vol. 53, pp. 2971–2976, 2006
  - Modified to match experimental trap times (V. Cindro et al., IEEE NSS, Nov 2006)

$$\beta_e = 4.0 \cdot 10^{-7} \text{cm}^2 \text{s}^{-1}, \beta_h = 4.4 \cdot 10^{-7} \text{cm}^2 \text{s}^{-1}, \quad \frac{1}{\tau_e} = \beta_e \Phi_{eq}$$

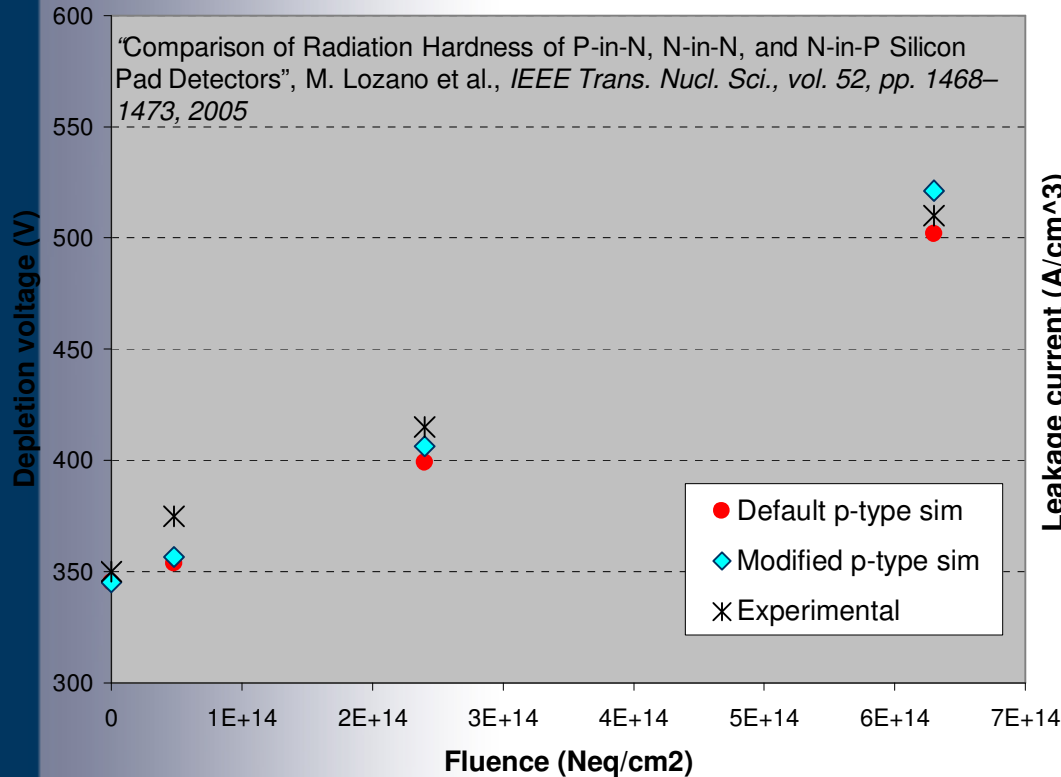
Type	Energy (eV)	Trap	$\sigma_e$ (cm <sup>2</sup> )	$\sigma_h$ (cm <sup>2</sup> )	$\eta$ (cm <sup>-1</sup> )
Acceptor	Ec-0.42	VV	$9.5 \cdot 10^{-15}$	$9.5 \cdot 10^{-14}$	1.613
Acceptor	Ec-0.46	VVV	$5.0 \cdot 10^{-15}$	$5.0 \cdot 10^{-14}$	0.9
Donor	Ev+0.36	CiOi	$3.23 \cdot 10^{-13}$	$3.23 \cdot 10^{-14}$	0.9

Example of a simulated 3D structure

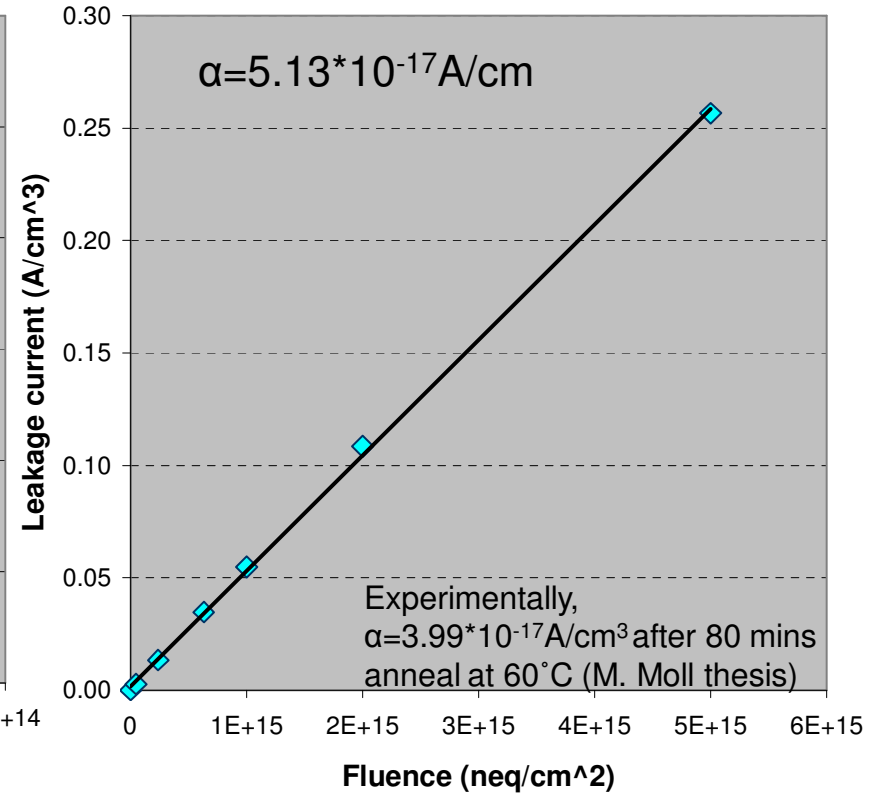


- Compared with experimental results with proton irradiation
- Depletion voltage matches experiment
- Leakage current is higher than experiment, but not excessive

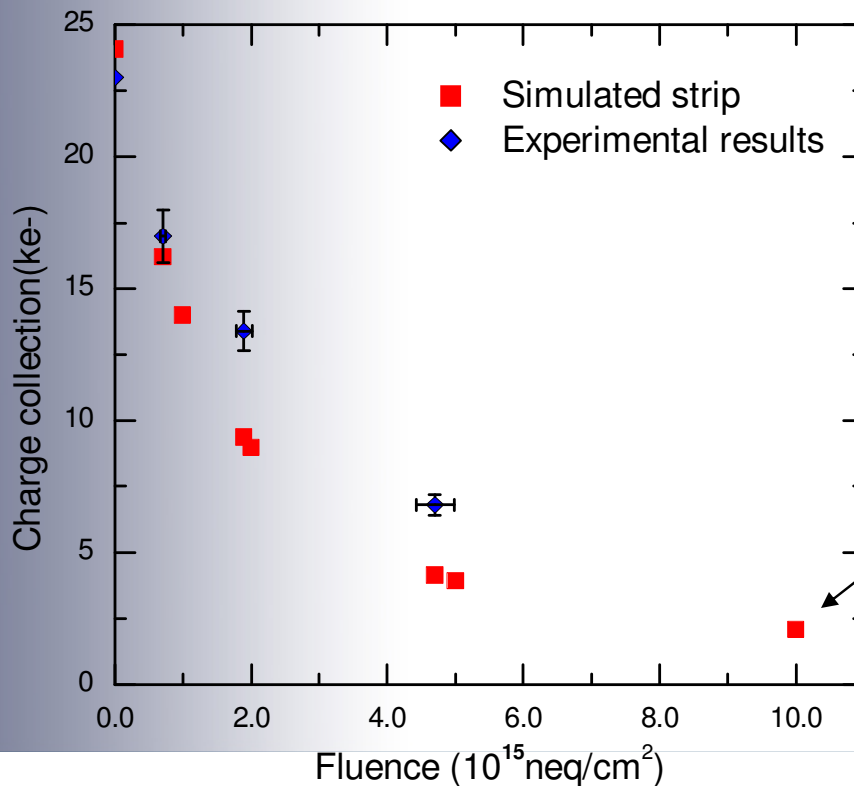
**P-type trap models: Depletion voltages**



**P-type trap model: Leakage Current**



- At high fluence, simulated CCE is lower than experimental value
  - Trapping rates were extrapolated from measurements below  $10^{15}n_{eq}/cm^2$
  - In reality, trapping rate at high fluence probably lower than predicted

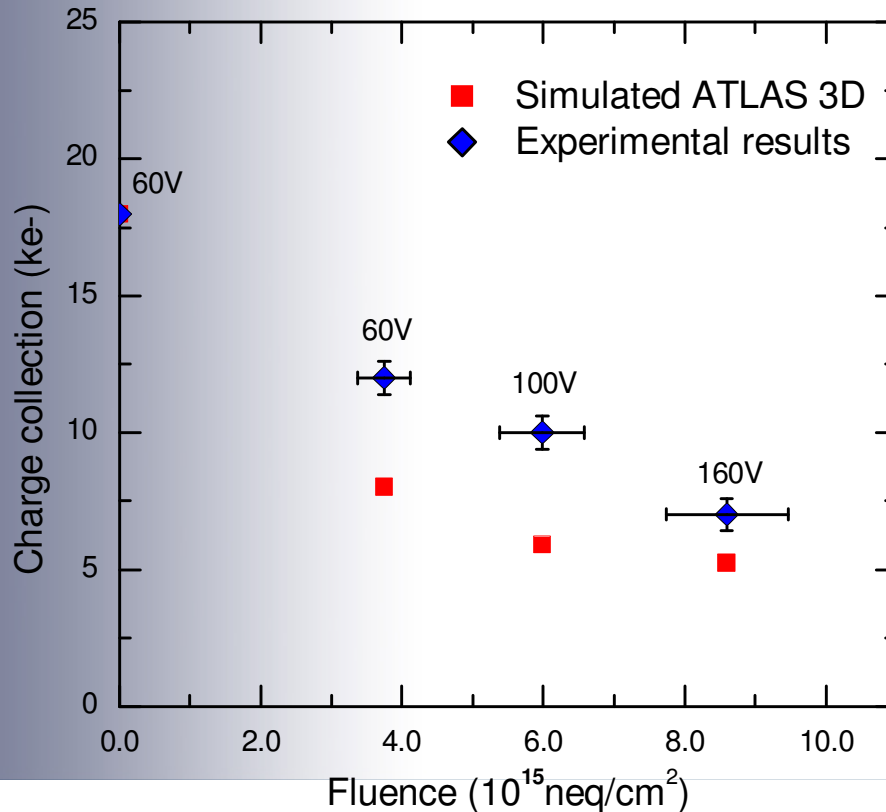


PP Allport et al., IEEE Trans. Nucl. Sci., vol 52, Oct 2005

900V bias,  
280 $\mu$ m thick

From  $\beta$  values used,  
expect 25 $\mu$ m drift  
distance, 2ke- signal

- Experiment used n+ readout, with 3 n+ columns per ATLAS pixel
- Experiment used defocused IR laser pulse to flood the pixel with charge; the simulation mimics this
- Both experiment and simulation show improved CCE at high fluence



C. da Via et al.,  
Liverpool ATLAS 3D  
meeting, Nov. 06

Detectors produced at  
Stanford

At high fluences,  
simulated CCE  $\sim 2/3$   
of experimental  
value (like with  
planar detector)

Simulations performed using Synopsys TCAD

Predict higher collection efficiency for 3D than for planar sensors

- Model uses pessimistic values for trapping rates

