

# Discriminating quark/gluon jets with deep learning

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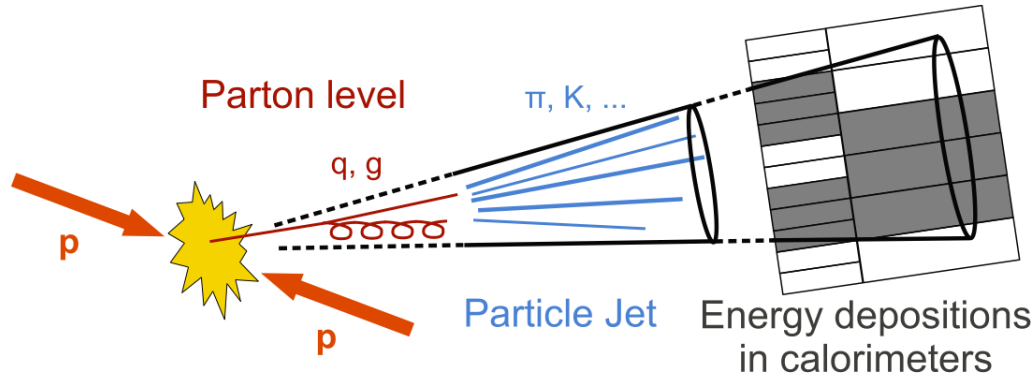
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1. Dataset

# 1. Introduction to jet discrimination

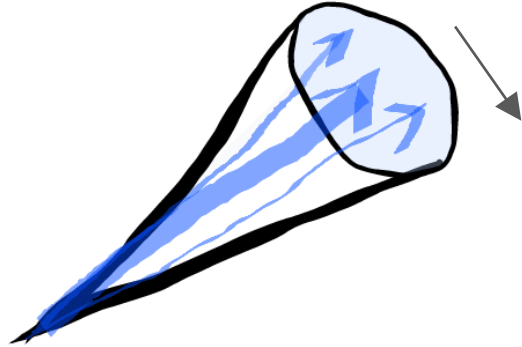
# 1.1 Jets in particle physics

- Narrow cone of hadrons and other particles produced by the hadronization of a quark or gluon in a particle physics or heavy ion experiment.  
(from <https://twiki.cern.ch/twiki/bin/view/CMSPublic/WorkBookGlossary>)
- In many searches for new physics signals at the LHC, jets are initiated by light-flavour quarks, while the jets in Standard Model background processes are initiated by gluons.



# 1.2 Difference between quark and gluon jets

Light Quark jet



Different color factor

$$C_F = \frac{4}{3} < C_A = 3$$



Gluon Jet



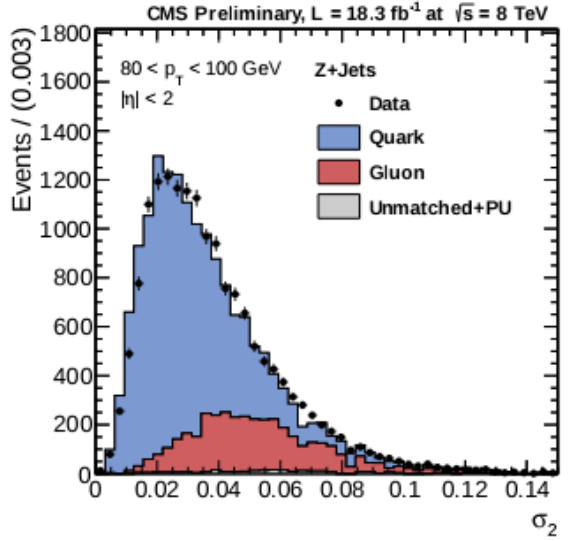
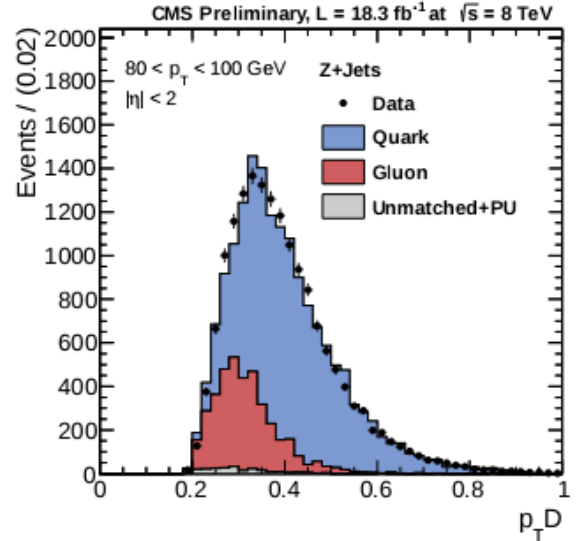
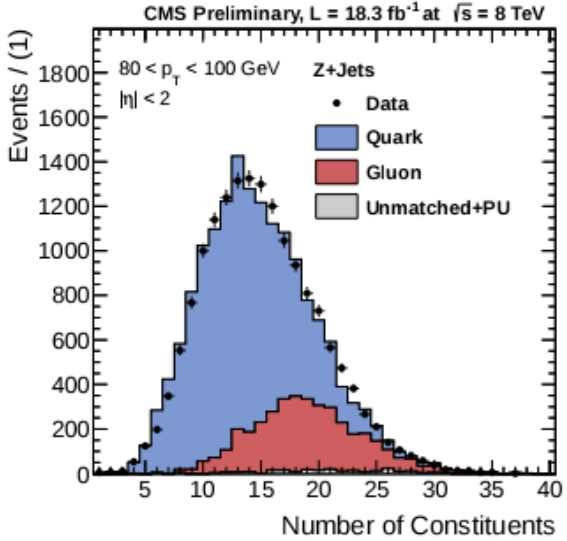
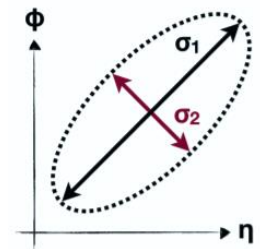
- **Gluon jets** produces **more constituents** with **more uniform energy** fragmentation. Therefore those are **wider**.
- **Quark jets** produces **fewer constituents** and hard constituents carry a **significant fraction of the energy** fragmentation. So those are **narrow**.

# 1.3 Discriminating variables

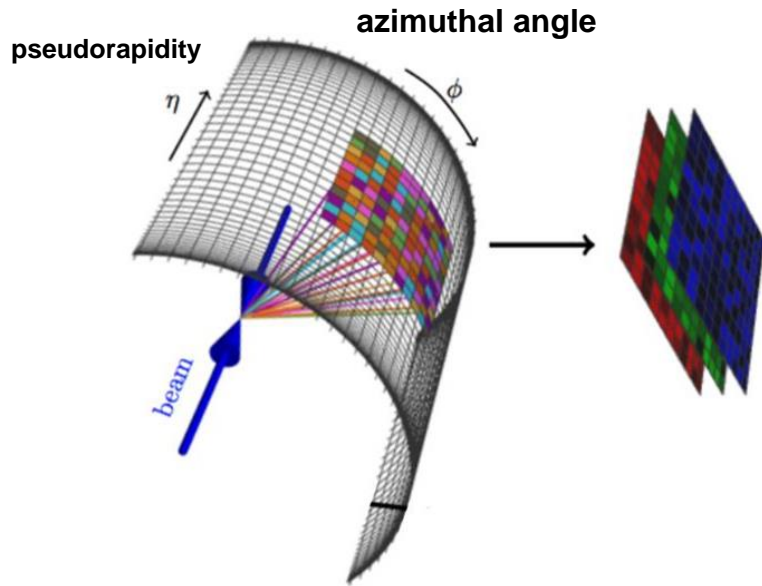
- **Multiplicity**
- The number of particles constituting the jet

- **Jet energy sharing variable**

$$p_T D = \frac{\sqrt{\sum_i p_{T,i}^2}}{\sum_i p_{T,i}}$$

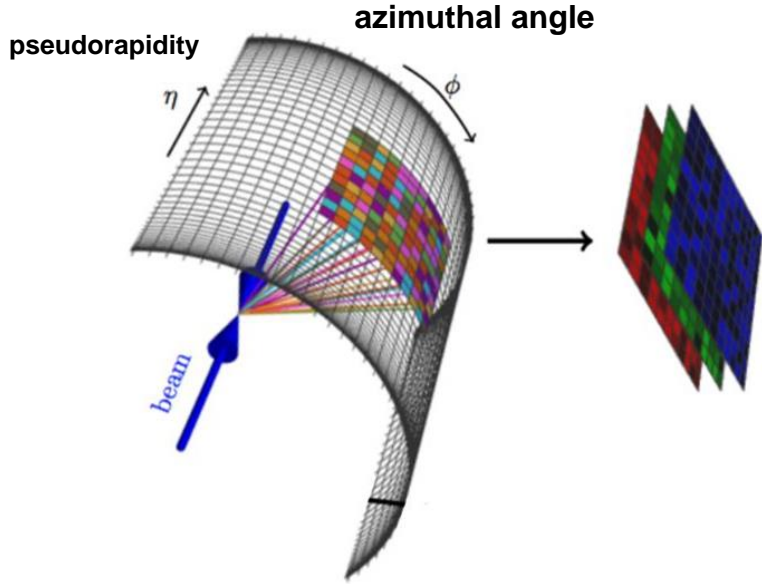


# 1.3 Jet images



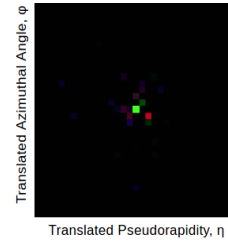
- The idea behind jet images is to treat the energy deposits in a calorimeter as intensities in a 2D image. (ref. Patrick T. Komiske, Eric M. Metodiev, and Matthew D. Schwartz, *Deep learning in color: towards automated quark/gluon jet discrimination*, [arXiv:1612.01551](https://arxiv.org/abs/1612.01551) [hep-ph])
- We introduce a novel approach to jet tagging and classification through the use of techniques **inspired by computer vision**. (ref. Josh Cogan, Michael Kagan, Emanuel Strauss, Ariel Schwartzman, *Jet-Images: Computer Vision Inspired Techniques for Jet Tagging* [arXiv:1407.5675](https://arxiv.org/abs/1407.5675) [hep-ph])

# 1.3 Jet images

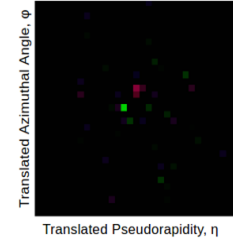


## ➤ Jet images

Down quark jet

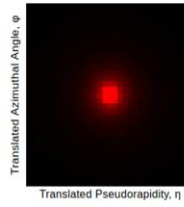


Gluon jet

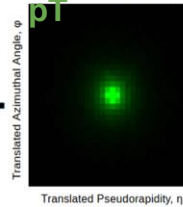


## ➤ Summed jet images (220K) - Quark jets

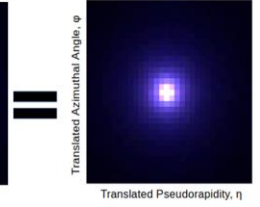
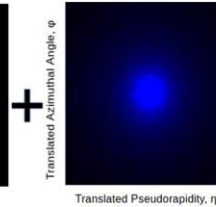
**Charged pT**



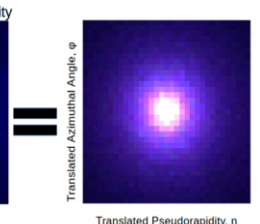
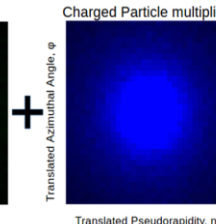
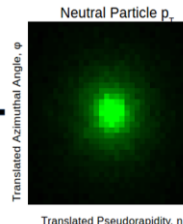
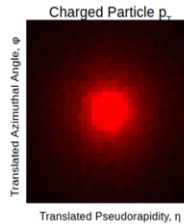
**Neutral pT**



**Charged multiplicity**



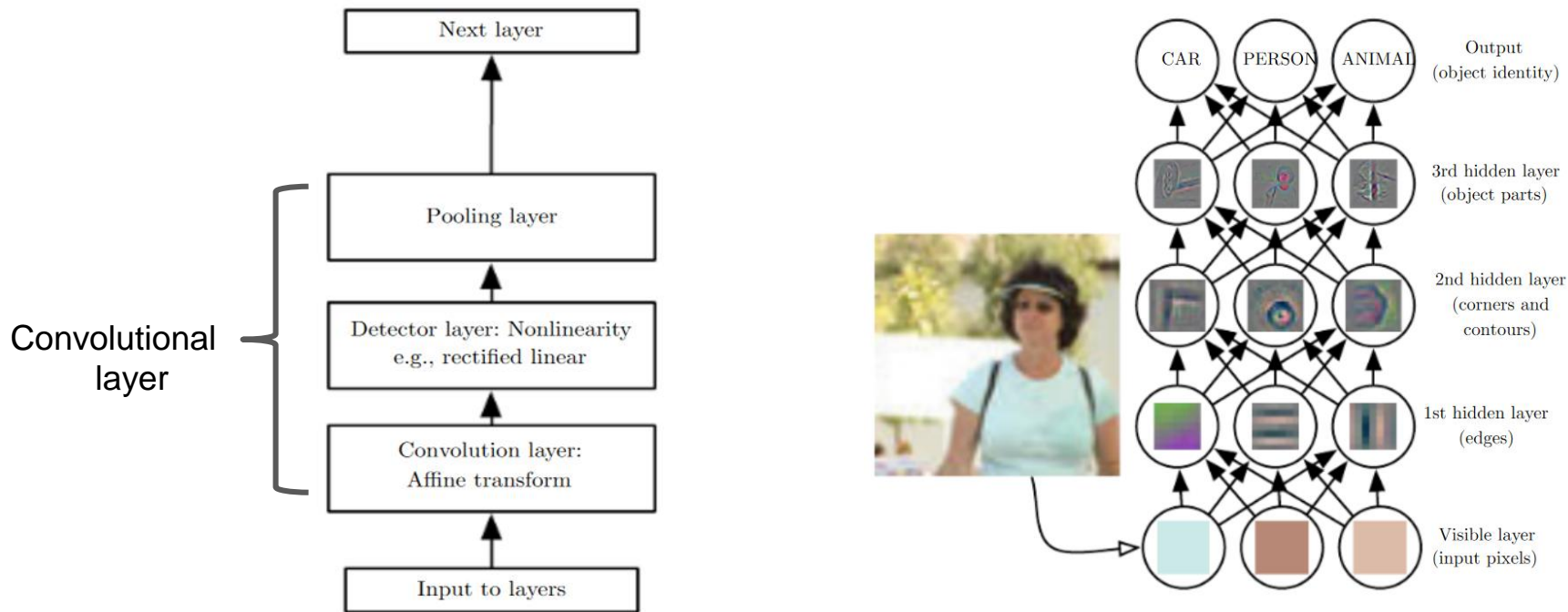
## - Gluon jets





## 2. Image Classification with Deep learning

# 2.1 Convolutional Neural Networks



## 2.2 Maxout unit

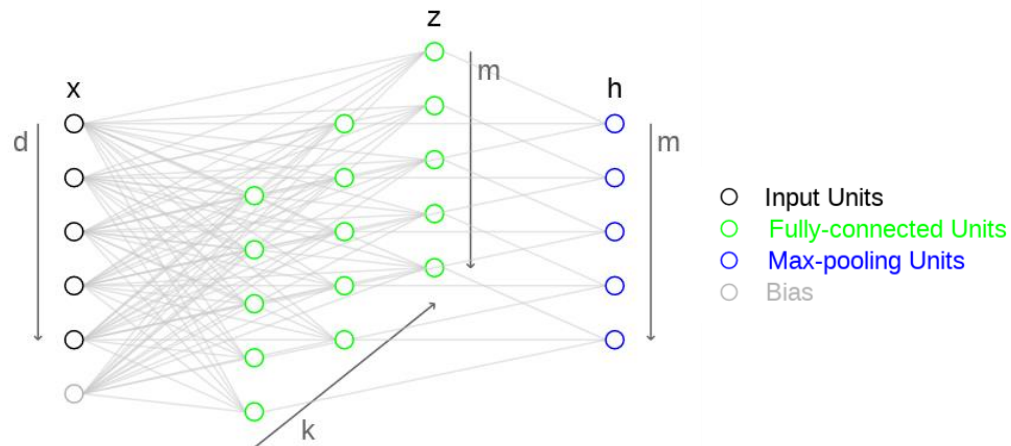
$$\triangleright z_{ij} = x^T W_{\dots ij} + b_{ij}$$

$$h_i(x) = \max_{j \in [1, k]} z_{ij}$$

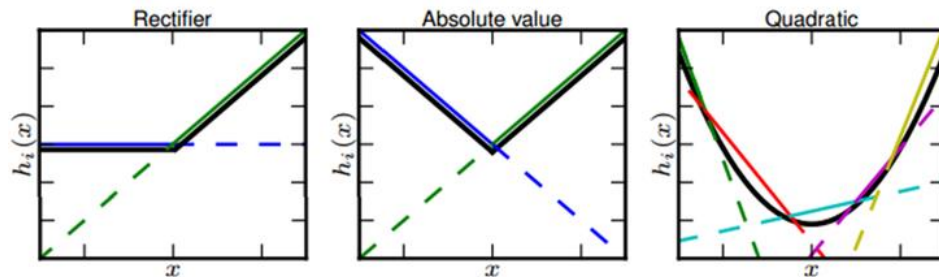
➤ Generalization of rectified linear units.

➤ **Learning the activation function**

➤ With large enough  $k$ , a maxout unit can learn to approximate any convex function with arbitrary fidelity.



(ref. <http://www.simon-hohberg.de/2015/07/19/maxout.html>)

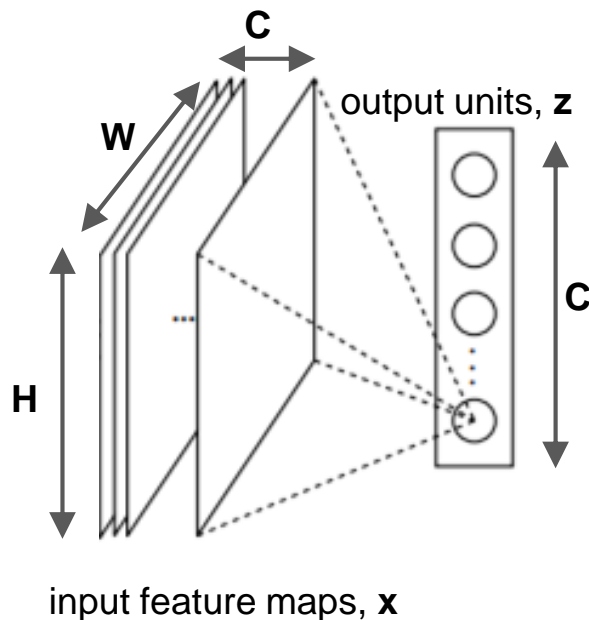


ref. Ian Goodfellow and Yoshua Bengio and Aaron Courvill, *Deep learning*

## 2.3 Global Average Pooling

$$\triangleright z_i = \sum_{j=1}^H \sum_{k=1}^W x_{ijk}$$

- Global average pooling operation reports the average sum within all parameters in a feature map.
- Introduced to replace the traditional fully connected layers in CNN
- **Global average pooling is itself a structural regularizer.**



# 3. Experimental Setup

# 3.1 Dataset

## ➤ Samples

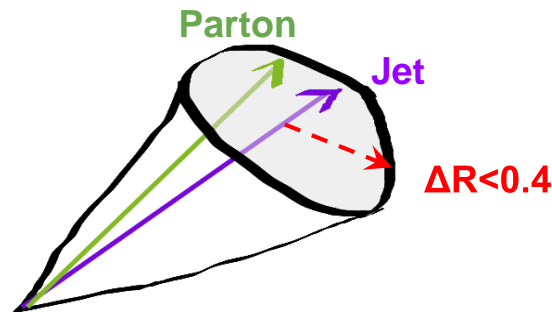
- QCD event generated by Pythia8 at LO using the CMSSW for the detector simulation.
- jet eta cut:  $|\eta| < 2.4$ , jet  $p_T < 20$  GeV

## ➤ Jet image

- 3 channels: **charged particle  $p_T$** , **neutral particle  $p_T$**  and **charged particle multiplicity**
- $\eta, \varphi \in (-0.4, 0.4)$ , where  $(\eta, \varphi) = (0, 0)$  is the jet center
- 33 x 33 pixels.
- NCHW format (cuDNN default)

## ➤ Jet matching

- $dR(\text{jet}, \text{hard parton}) < 0.4$



## 3.2 Architecture of the model

Layer	Output size	#weight
<b>Conv3-64 x2</b> Max Pool	[64, 17, 17]	1152 (=3x3x64x2)
<b>Conv3-128 x2</b> Max Pool	[128, 9, 9]	2304
<b>Conv3-256 x2</b> Max Pool	[256, 5, 5]	4608
<b>Conv3-512 x2</b>	[512, 3, 3]	9216
Global Average Pool	[512]	-
<b>Maxout (k=10)</b> Dropout (p=0.5)	[128]	656,640 (=513x128*10)
<b>MaxOut (k=10)</b> Dropout (p=0.5)	[2]	2580

### ➤ How to read

- Conv<kernel size>-<# output channels>
- Output size: [CHW] or [D]

### ➤ The number of weight

- 676K weight in 10 weight layer
- Most weights are in 1st maxout unit... (97%)

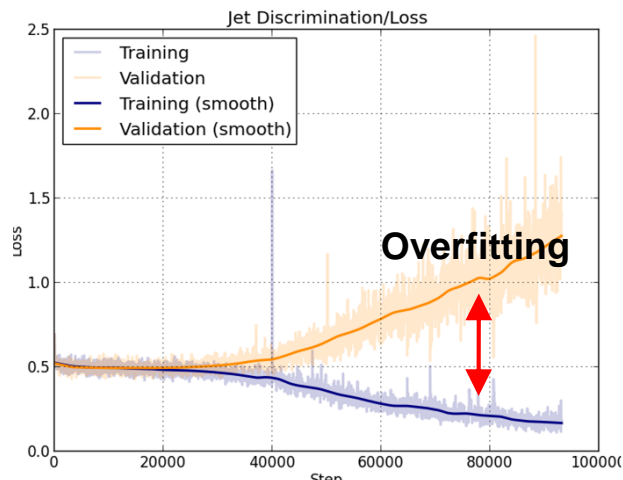
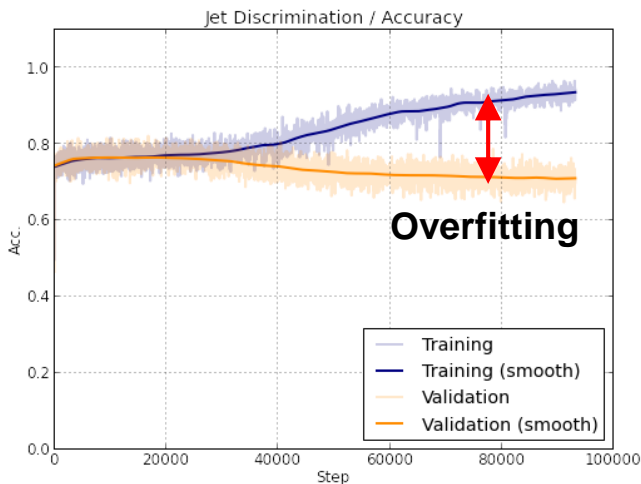
## 3.3 Setup details

Dataset	<b>Training data 775K + Validation data 258K + Test data 258K</b>
Loss	<b>(binary) cross entropy</b>
Optimization algorithm	<b>Adam</b> learning rate = 0.0015 1st / 2rd momentum decay = 0.9 / 0.999
Weight Initialization	<b>Xavier initialization</b>
Batch size	<b>500 example</b>
#Epochs	<b>100 (1 epoch ~ 1550 training step)</b>
Framework	<b>TensorFlow (API r1.3)</b>

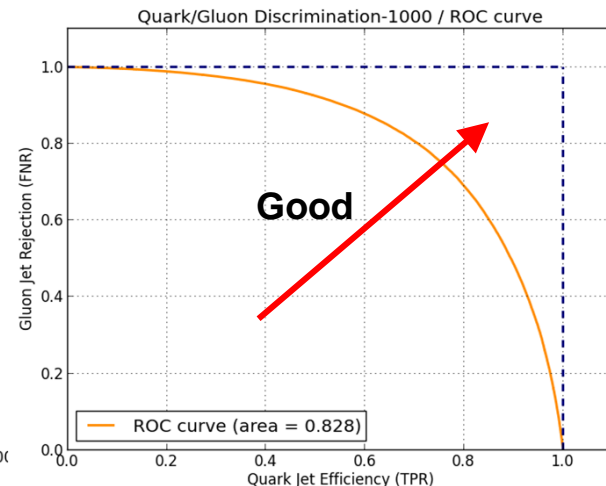


## 4. Results

# 4.1 Metrics: acc., loss and ROC curve

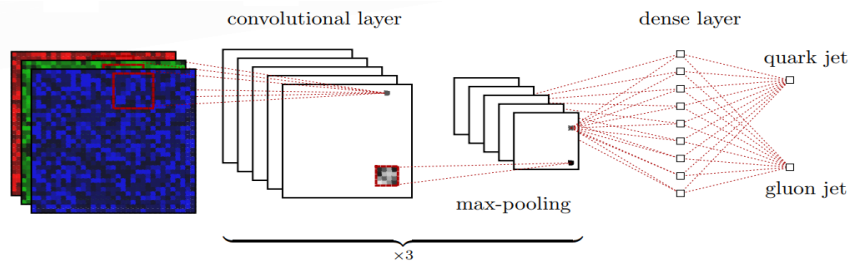


smoothing by local linear regression

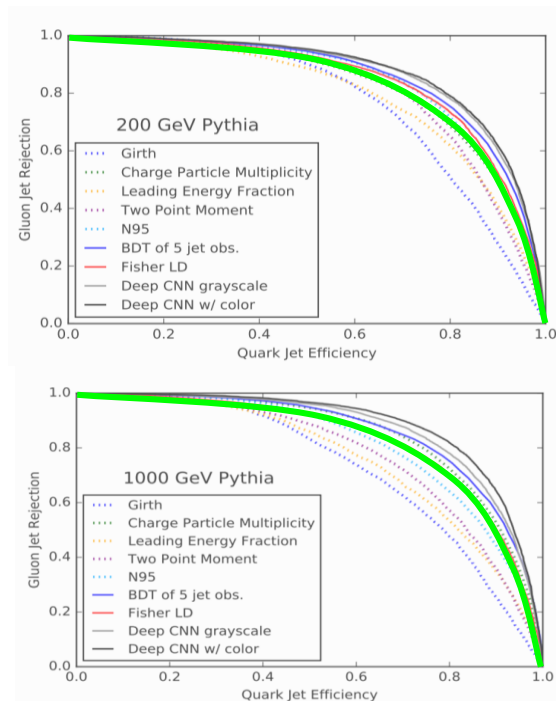


- Training took about 4.5 hours for 100 epochs on a single GPU
- Overfitting occurred after about 20000 steps.
- The best auc is 0.838 on average.

## 4.2 Comparison with previous research



- Dataset in previous research
  - clustering final state hadron (no detector simulation).
  - jet eta cut:  $|\eta| < 2.5$ , jet pT cut:  $p_T > 100$  GeV
  - Preprocessing: normalization + standardization
  - pT binning: 200-220GeV, 1000-1100GeV
- Model
  - 3 Conv. layer + 1 Dense layer

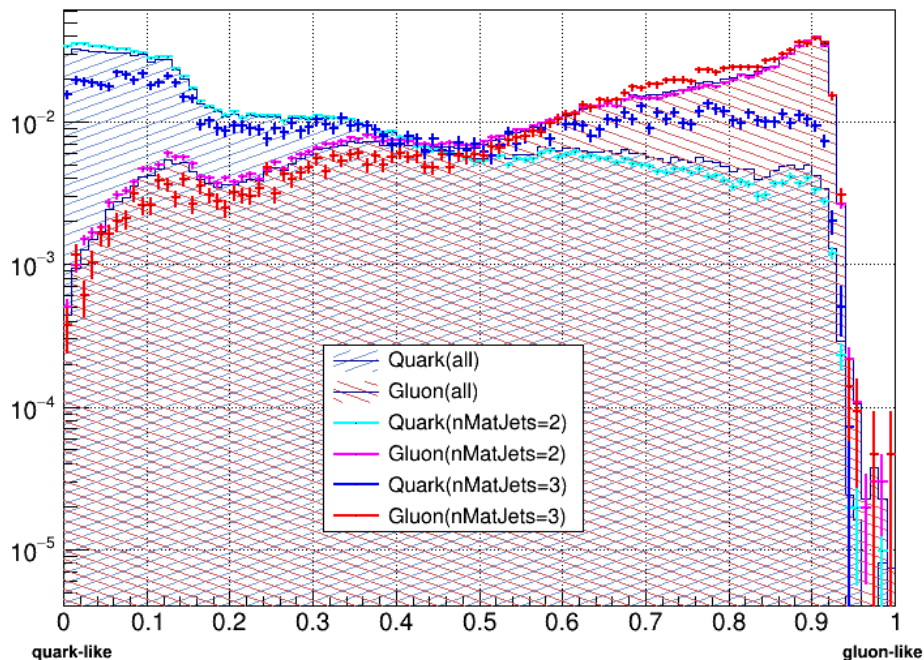


Green one is our ROC curve.

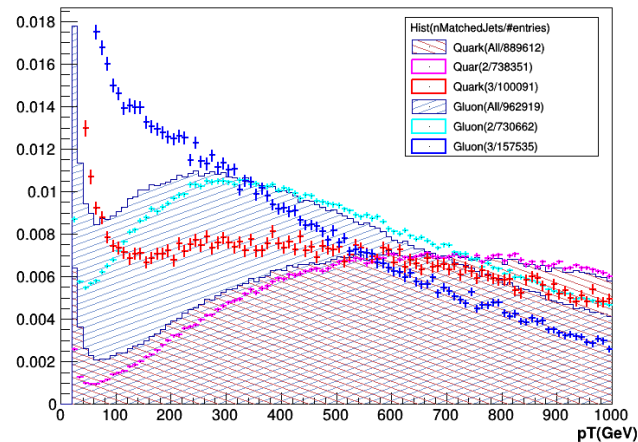
ref. Patrick T. Komiske, Eric M. Metodiev, and Matthew D. Schwartz, *Deep learning in color: towards automated quark/gluon jet discrimination*, [arXiv:1612.01551](https://arxiv.org/abs/1612.01551) [hep-ph]

# 4.3 Histogram of NN output with # matched jets

## Quark/Gluon Jet Discrimination - 15000 step



## CMS pt distribution (normalized)



➤ Due to the ambiguity of jet matching, it is expected that gluon jets are mislabeled with quark jets.

# 5. Conclusion

- We trained deep neural networks to discriminate quark / gluon jets.
- We need to investigate the quark / gluon jet definition and event level cleaning.
- We expect an improved model thorough
  - many normalization methods,
  - preprocessing method with minimization information loss