

GUT & (TD + BAU \oplus DM)

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***SM : Standard Model**

Well Established Theory of Particle Physics

***GUT : Grand Unified Theory**

Unification of Fundamental Forces

(Strong, Weak, Electromagnetic) apart from Gravity

***SUSY : Supersymmetry**

Symmetry among Fermions and Bosons

***SUGRA : Supergravity**

Local Supersymmetry

Beyond SM : GUT

- ✿ The new Physics may contain a larger symmetry as SM is expected to be embedded in that.
- ✿ Many unanswered questions in the SM can be explained within this framework.
- ✿ It is believed to have unified theory at some high scale and all the low energy Physics will evolve from that.
- ✿ The new framework is expected to be constrained and contains lesser number of free parameters –

Attractive and Natural.

What is GUT?

Unified framework —

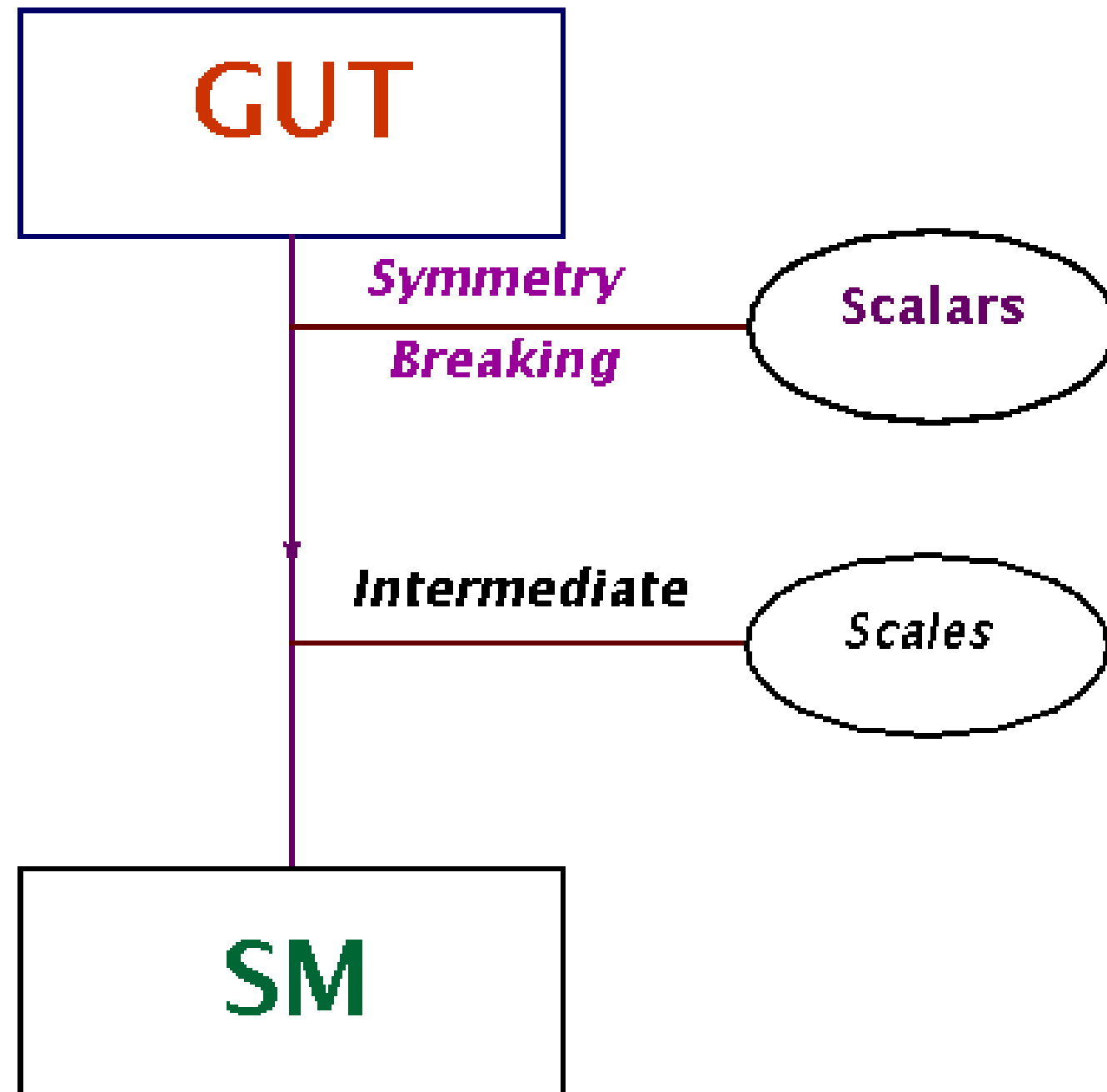
Gauge couplings are unified

Leptons and Quarks belong to same representations

Predicts Proton decay \sim GUT Scale !

Other BSM requirements are expected to be fulfilled !

Schematic view



Some aspects of GUT

D-parity

Extended Survival Hypothesis (ESH)

RGEs and Abelian Mixing of gauge couplings

Matching conditions

Effective gauge kinetic term — Planck scale effect

Vacuum manifold and Topological Defects

D-parity and ESH

D-Parity : connecting the “L” and “R” sectors

$$SU(N)_L \otimes SU(N)_R \otimes \mathcal{G}$$
$$(R_N, 1, R_G) \leftrightarrow (1, \overline{R_N}, \overline{R_G})$$

ESH :

only those scalars are light which are “Relevant”

$$SO(10) \rightarrow SU(2)_L \otimes SU(2)_R \otimes SU(4)_C \rightarrow SM$$

$$10 \equiv (2, 2, 1) \oplus (1, 1, 6)$$

Renormalisation Group Evolutions..

$$\mu \frac{dg_i}{d\mu} = \frac{g_i^3}{(4\pi)^2} \left[\frac{4\kappa}{3} T(F_i) D(F_j) + \frac{1}{3} T(S_i) D(S_j) - \frac{11}{3} C_2(G_i) \right] + \frac{1}{(4\pi)^4} g_i^5$$

$$\times \left[\left(\frac{10}{3} C_2(G_i) + 2C_2(F_i) \right) T(F_i) D(F_j) + \left(\frac{2}{3} C_2(G_i) + 4C_2(S_i) \right) T(S_i) D(S_j) \right.$$

$$\left. - \frac{34}{3} (C_2(G_i))^2 \right] + \frac{1}{(4\pi)^4} g_i^3 g_j^2 [2C_2(F_j) T(F_i) D(F_j) + 4C_2(S_j) T(S_i) D(S_j)]$$

.....

$$C_2(R) = T(R) d / D(R) \qquad T(R) = Tr(\lambda_i \lambda_j)$$

$D(R)$ = dimensionality of representation

Ex: $SO(10) \rightarrow SU(2)_L \otimes SU(2)_R \otimes SU(4)_C$

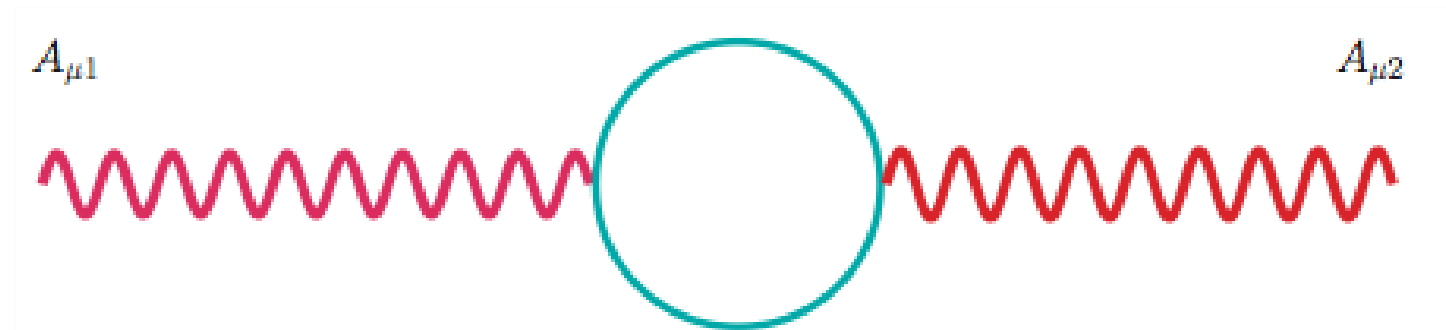
$$10 \equiv (2, 2, 1) \oplus (1, 1, 6)$$

For $SU(2)_L$: $T(2) = 1/2$ $D(R) = 2$, $d = 3$, $C_2(R) = 3/4$

For Abelian groups

$$C_2(G) = 0, T(R) = \sum_i q_i^2$$

Two U(1) factors : demand special attention



$$\mathcal{L}_{kin} = -\frac{1}{4c} \text{Tr}(F_{\mu\nu} F^{\mu\nu}) \quad \mathcal{L}_{kin} = -\frac{1}{4c} \text{Tr}(F_{\mu\nu} F'^{\mu\nu})$$

- **Incomplete light multiplets** leading to **Abelian mixing** even at the **1-loop level**.
- **Both Scalars and Fermion multiplets** contribute to this.

RGEs in presence of Abelian mixing

$$\mu \frac{dg_{kb}}{d\mu} = \beta_{ab} g_{ka} \quad \beta_{ab} = \frac{1}{(4\pi)^2} g_{sa} \Sigma_{sr} g_{rb}$$

$$\Sigma_{sr} = \sigma_{sr}^{(one-loop)} + \frac{1}{(4\pi)^2} \sigma_{sr}^{(two-loop)}$$

$$\sigma_{sr}^{(one-loop)} \equiv \tilde{b}_{sr} = \frac{2}{3} n_g \{y_s(F) y_r(F) D(F)\} + \frac{1}{3} \{y_s(S) y_r(S) D(S)\}$$

$$g = \begin{bmatrix} g_{11} & g_{12} & g_{13} & \dots & g_{1n} \\ g_{21} & g_{22} & g_{23} & \dots & g_{2n} \\ g_{31} & g_{32} & g_{33} & \dots & g_{3n} \\ \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots \\ g_{n1} & g_{n2} & g_{n3} & \dots & g_{nn} \end{bmatrix},$$

Matching condition

Unification criteria

$$\frac{1}{\alpha_i} - \frac{C_2(\mathcal{G}_i)}{12\pi} = \frac{1}{\alpha_j} - \frac{C_2(\mathcal{G}_j)}{12\pi} = \text{constant} \quad \alpha_i = g_i^2 / (4\pi)$$

$$C_2(\mathcal{G}_i) = \text{Tr}(\Lambda_i \Lambda_j) \quad \Lambda_i \text{ generators in adj. rep.}$$

Intermediate scale matching criteria

$$\frac{1}{\alpha_X} = \left[Q \cdot \frac{4\pi}{(g \cdot g^T)} \cdot Q^T + \sum_n w_n^2 \left(\frac{1}{\alpha_n} - \frac{C_2(\mathcal{G}_n)}{12\pi} \right) \right]$$

$$Q \cdot Q^T + \sum_n w_n^2 = 1$$

$$1/\alpha_X = Q \cdot [4\pi / (g \cdot g^T)] \cdot Q^T \quad \text{with } Q \cdot Q^T = 1$$

Vacuum Manifold

$$\mathcal{G} \rightarrow \mathcal{H}$$

$$T_i \in \mathcal{G}, \tilde{T}_j \in \mathcal{H}, \text{ where } i > j.$$

$\tilde{T}_j \Rightarrow$ unbroken generators

$T_i - \tilde{T}_j \Rightarrow$ broken generators

Vacuum manifold, \mathcal{G}/\mathcal{H} , is spanned by broken generators

This determines the characteristics of symmetry breaking

Homotopy and Topological Defects

$\Pi_0(\mathcal{G}) \neq \mathcal{I} \Rightarrow \text{Domain Wall}$

$\Pi_1(\mathcal{G}) \neq \mathcal{I} \Rightarrow \text{Cosmic String}$

$\Pi_2(\mathcal{G}) \neq \mathcal{I} \Rightarrow \text{Monopole}$

$\Pi_3(\mathcal{G}) \neq \mathcal{I} \Rightarrow \text{Texture}$

There could be “hybrid” structure - Walls bounded by Strings

Texture decays, thus they are not stable.

*Domain Walls and Monopoles are “Bad guys” if they are **stable***

Need to worry about only Stable TDs!

SSB and Topological Defects

$$\Pi_{[2,1]}(\mathcal{G}) = \Pi_{[1,0]}(\mathcal{G}_i) = \Pi_{[1,0]}(\mathcal{G}_j) = \mathcal{I}$$

case-I $\mathcal{G} \rightarrow \mathcal{G}_i \otimes \mathcal{G}_j \otimes U(1) \rightarrow \mathcal{G}_i \otimes \mathcal{G}_j$

$$\Pi_1(\mathcal{G}/(\mathcal{G}_i \otimes \mathcal{G}_j \otimes U(1))) = \Pi_0(\mathcal{G}_i \otimes \mathcal{G}_j \otimes U(1)) = \mathcal{I}, \text{ (No domain walls and cosmic strings)}$$

$$\Pi_2(\mathcal{G}/(\mathcal{G}_i \otimes \mathcal{G}_j \otimes U(1))) = \Pi_1(\mathcal{G}_i \otimes \mathcal{G}_j \otimes U(1)) = \mathbb{Z}, \text{ (monopoles will be there).}$$

$$\Pi_1(\mathcal{G}_i \otimes \mathcal{G}_j \otimes U(1)/(\mathcal{G}_i \otimes \mathcal{G}_j)) = \mathbb{Z}, \text{ (presence of cosmic strings)}$$

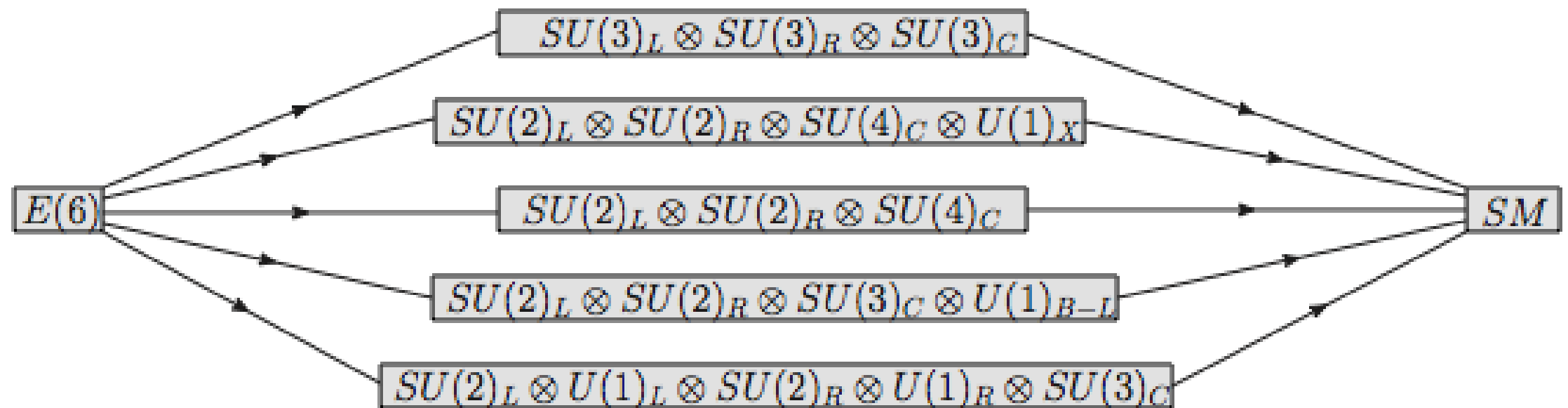
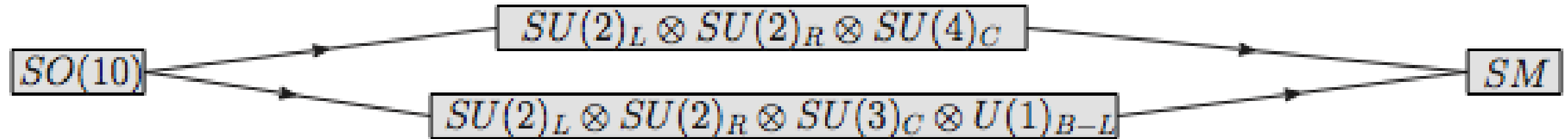
$$\Pi_2(\mathcal{G}_i \otimes \mathcal{G}_j \otimes U(1)/(\mathcal{G}_i \otimes \mathcal{G}_j)) = \Pi_2(U(1)) = \mathcal{I}, \text{ (No monopole)}$$

case-II $\mathcal{G} \rightarrow \mathcal{G}_i \otimes \mathcal{G}_j \otimes \mathbb{Z}_2 \rightarrow \mathcal{G}_i \otimes \mathcal{G}_j$

$$\Pi_1(\mathcal{G}/(\mathcal{G}_i \otimes \mathcal{G}_j \otimes \mathbb{Z}_2)) = \Pi_0(\mathcal{G}_i \otimes \mathcal{G}_j \otimes \mathbb{Z}_2) = \mathbb{Z}_2, \text{ (cosmic strings)}$$

$$\Pi_0(\mathcal{G}_i \otimes \mathcal{G}_j \otimes \mathbb{Z}_2/(\mathcal{G}_i \otimes \mathcal{G}_j)) = \mathbb{Z}_2, \text{ (presence of domain walls)}$$

$$\mathcal{G}_{GUT} \rightarrow SU(N)_L \otimes SU(N)_R \otimes \mathcal{G} \rightarrow SM$$



SSB and Topological Defects

Intermediate Symmetry		Topological defects
\mathcal{G}_{224}	D-broken	monopoles
	D-conserved	domain wall + monopoles + Z_2 -strings
\mathcal{G}_{2231}	D-broken	monopoles + embedded strings
	D-conserved	domain wall + monopoles + embedded strings
\mathcal{G}_{2241}	D-broken	monopoles + embedded strings
	D-conserved	domain walls + monopoles + embedded strings
\mathcal{G}_{333}	D-broken	textures
	D-conserved	domain walls + textures

*These conclusions don't depend on whether scenarios are
Non-SUSY or SUSY*

*To avoid the catastrophe due to the stable TDs,
we expect the intermediate scale to be very large....*

$$M_R \geq 10^{12} \text{ GeV}$$

Comment on Intermediate Scale

To evade the stable Topological Defects

***Decay of leptoquark bosons leading to BAU
with reasonable Yukawa coupling***

***UHE proton and neutrino spectra from
collapse or annihilation of TDs***

$$M_R \geq 10^{12} \text{ GeV}$$

$$SO(10) \rightarrow SU(2)_L \otimes SU(2)_R \otimes SU(4)_C$$

$$\frac{1}{\alpha_{3C}(M_R)} = \frac{1}{\alpha_{4C}(M_R)} - \frac{1}{12\pi},$$

$$\frac{1}{\alpha_{1Y}(M_R)} = \frac{3}{5} \left(\frac{1}{\alpha_{2R}(M_R)} - \frac{1}{6\pi} \right) + \frac{2}{5} \left(\frac{1}{\alpha_{4C}(M_R)} - \frac{1}{3\pi} \right)$$

D-parity not conserved

$$\text{Non - SUSY : } b_{2L} = -3, b_{4C} = -\frac{23}{3}, b_{2R} = \frac{11}{3}; \quad b_{ij} = \begin{pmatrix} 8 & \frac{45}{2} & 3 \\ 9 & \frac{643}{2} & \frac{153}{2} \\ 3 & \frac{765}{2} & \frac{584}{3} \end{pmatrix}$$

$$\text{SUSY : } b_{2L} = 1, b_{2R} = 21, b_{4C} = 3; \quad b_{ij} = \begin{pmatrix} 25 & 3 & 45 \\ 3 & 265 & 405 \\ 9 & 81 & 231 \end{pmatrix}.$$

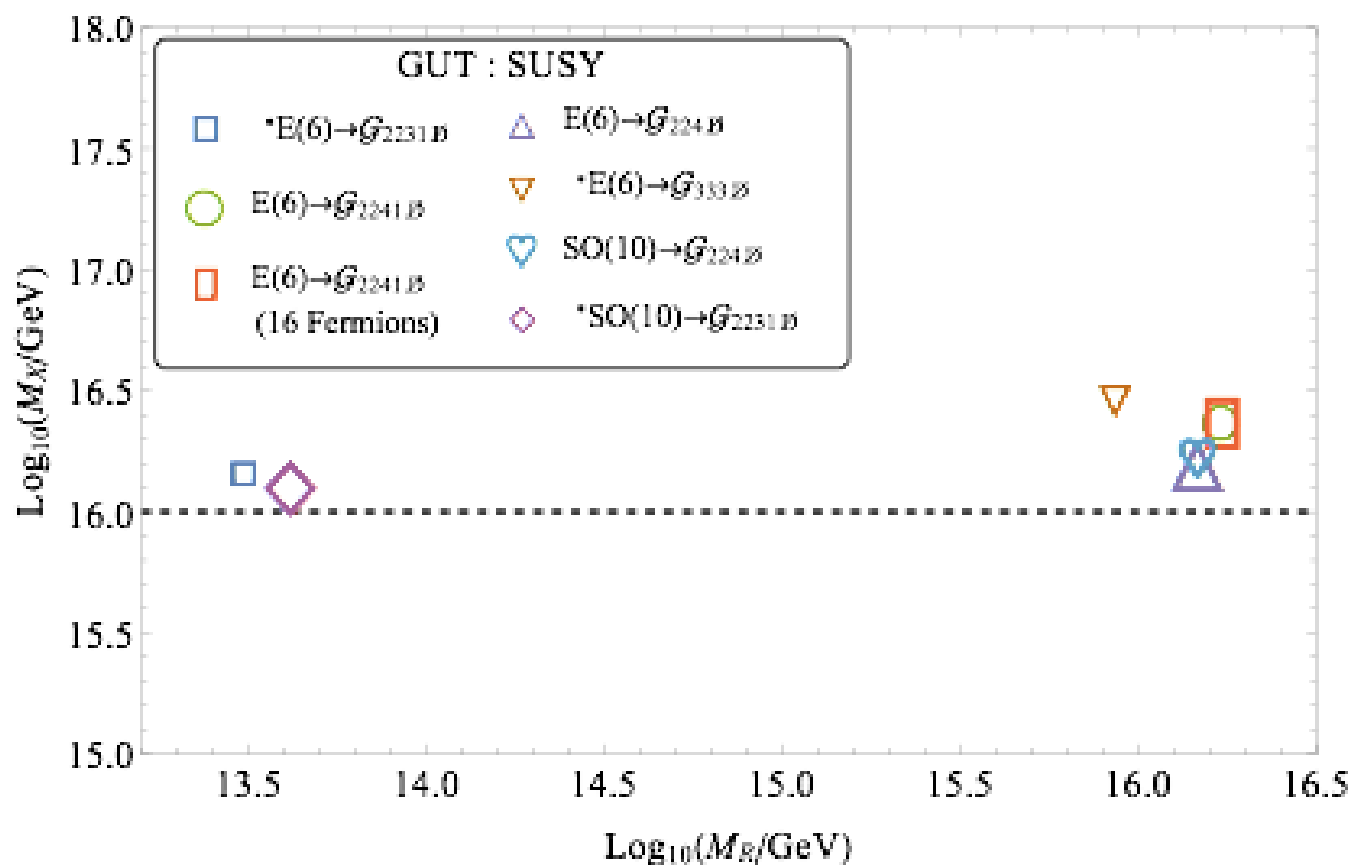
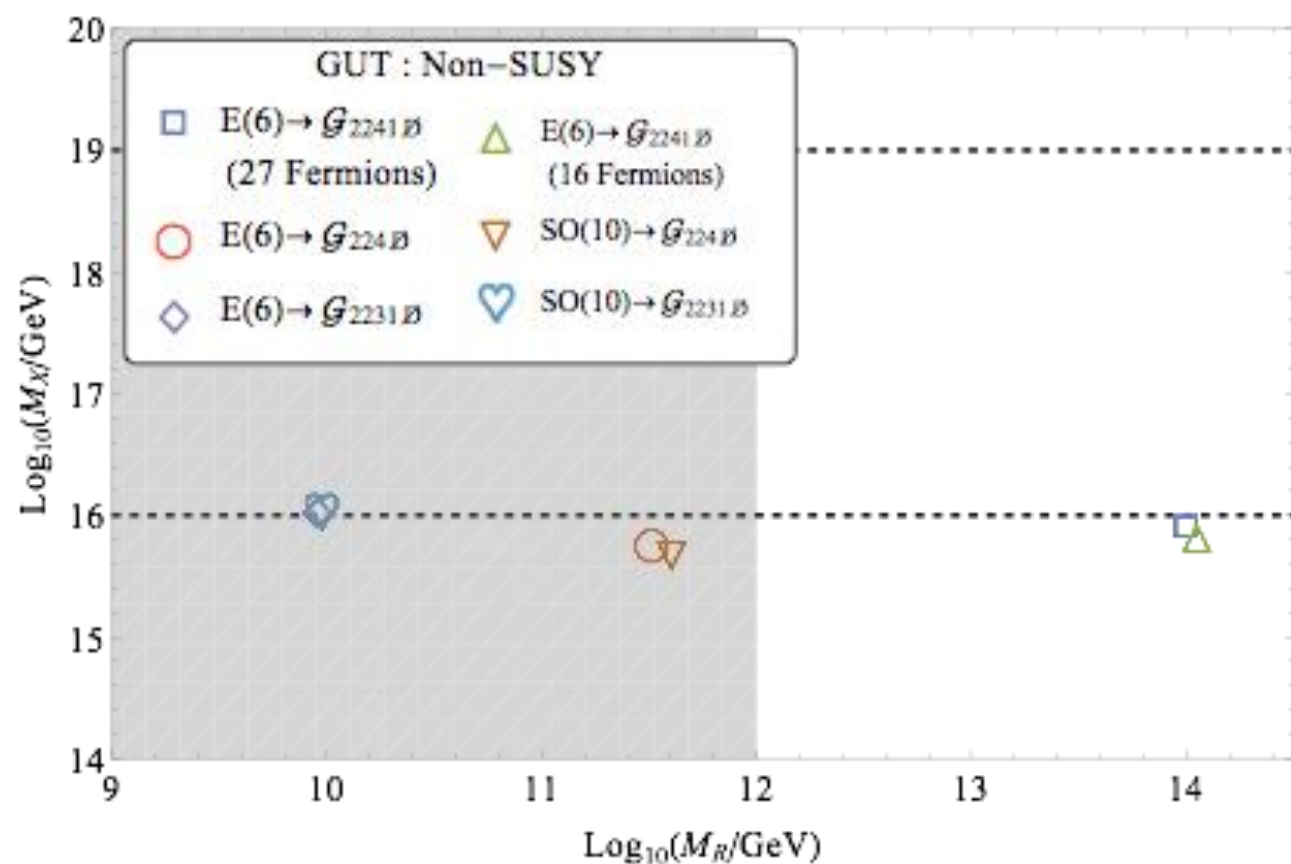
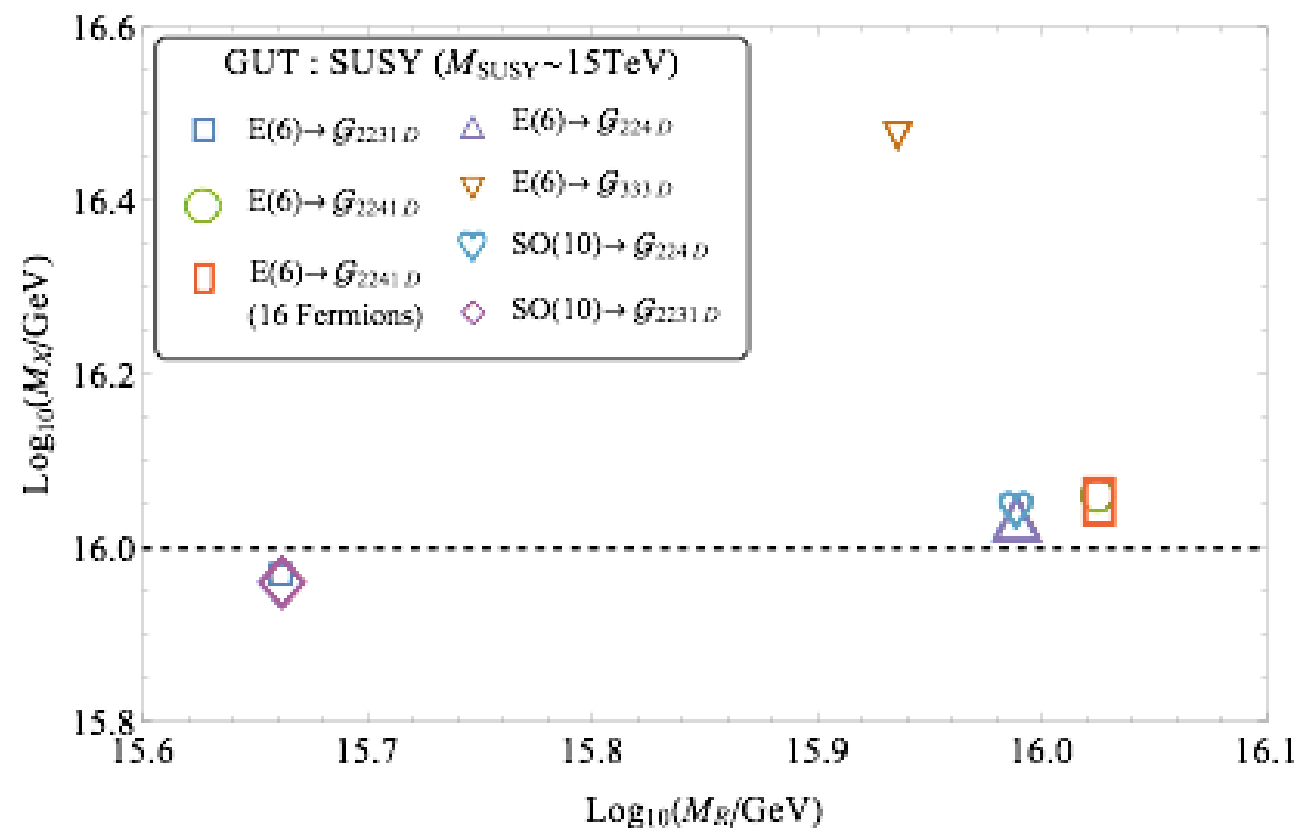
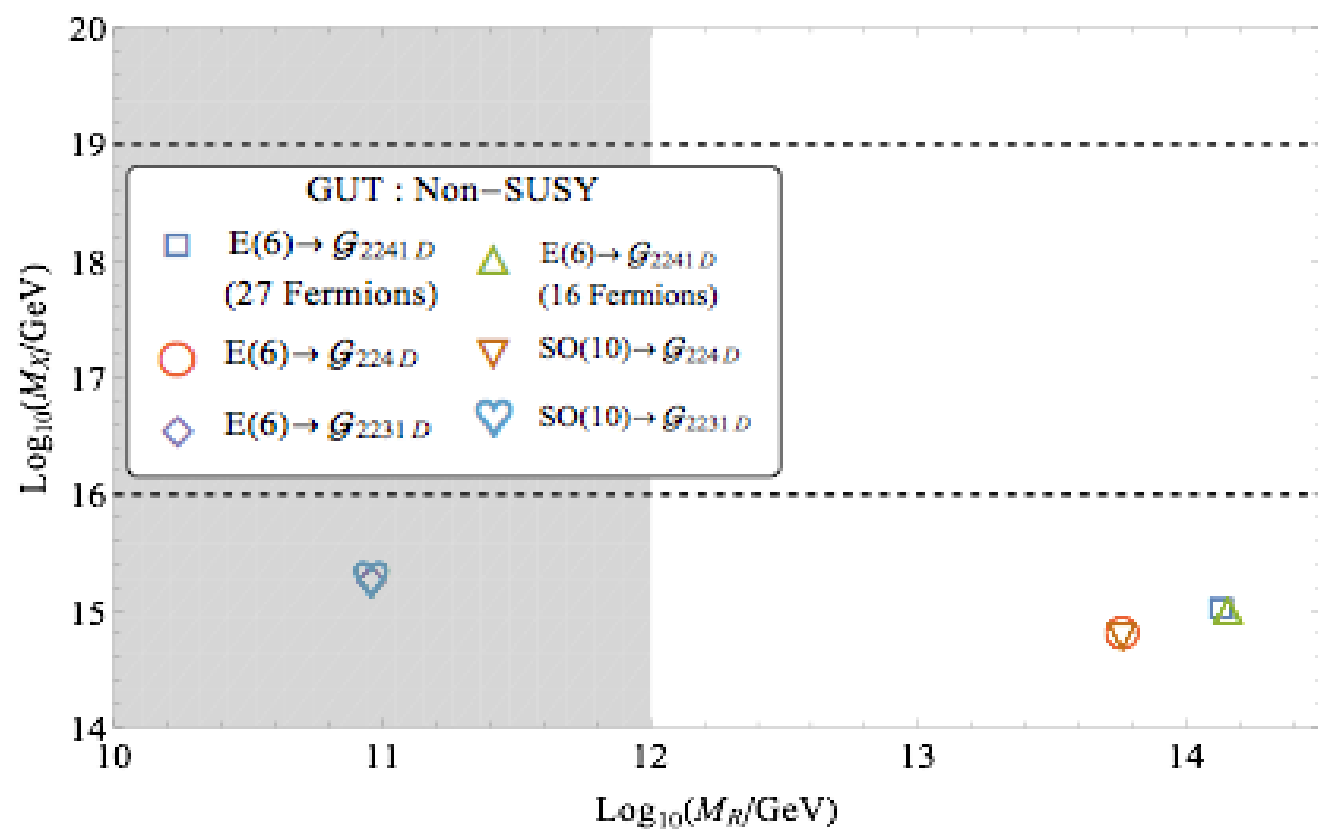
D-parity conserved

$$\text{Non - SUSY : } b_{2L} = \frac{11}{3}, b_{4C} = -\frac{14}{3}, b_{2R} = \frac{11}{3}; \quad b_{ij} = \begin{pmatrix} \frac{584}{3} & \frac{765}{2} & 3 \\ \frac{153}{2} & \frac{1759}{6} & \frac{153}{2} \\ 3 & \frac{765}{2} & \frac{584}{3} \end{pmatrix}$$

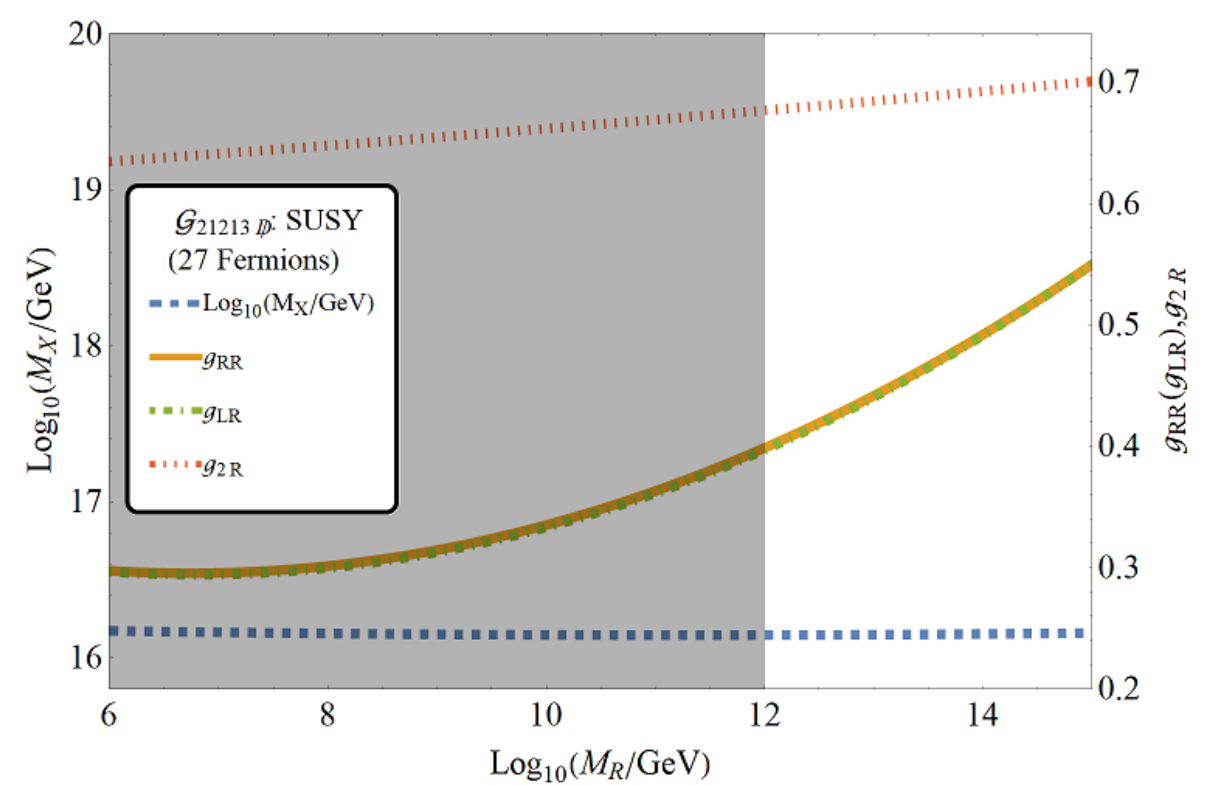
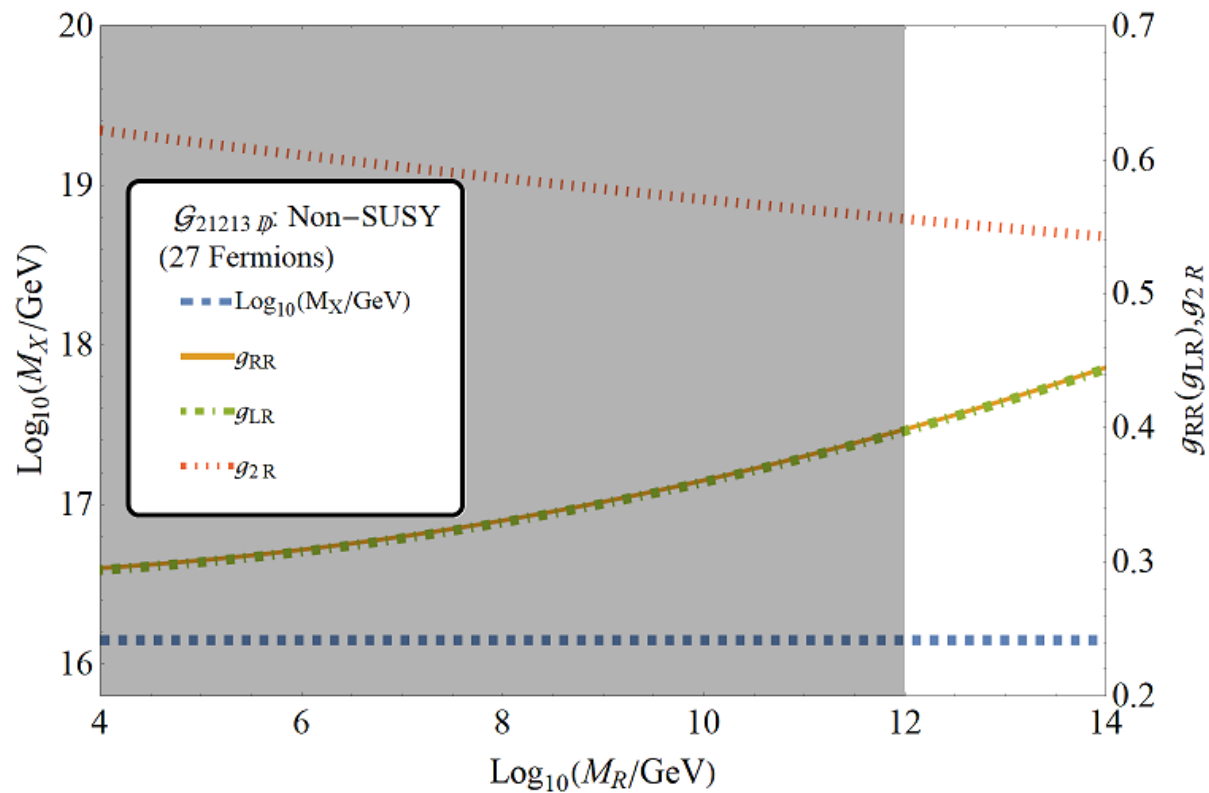
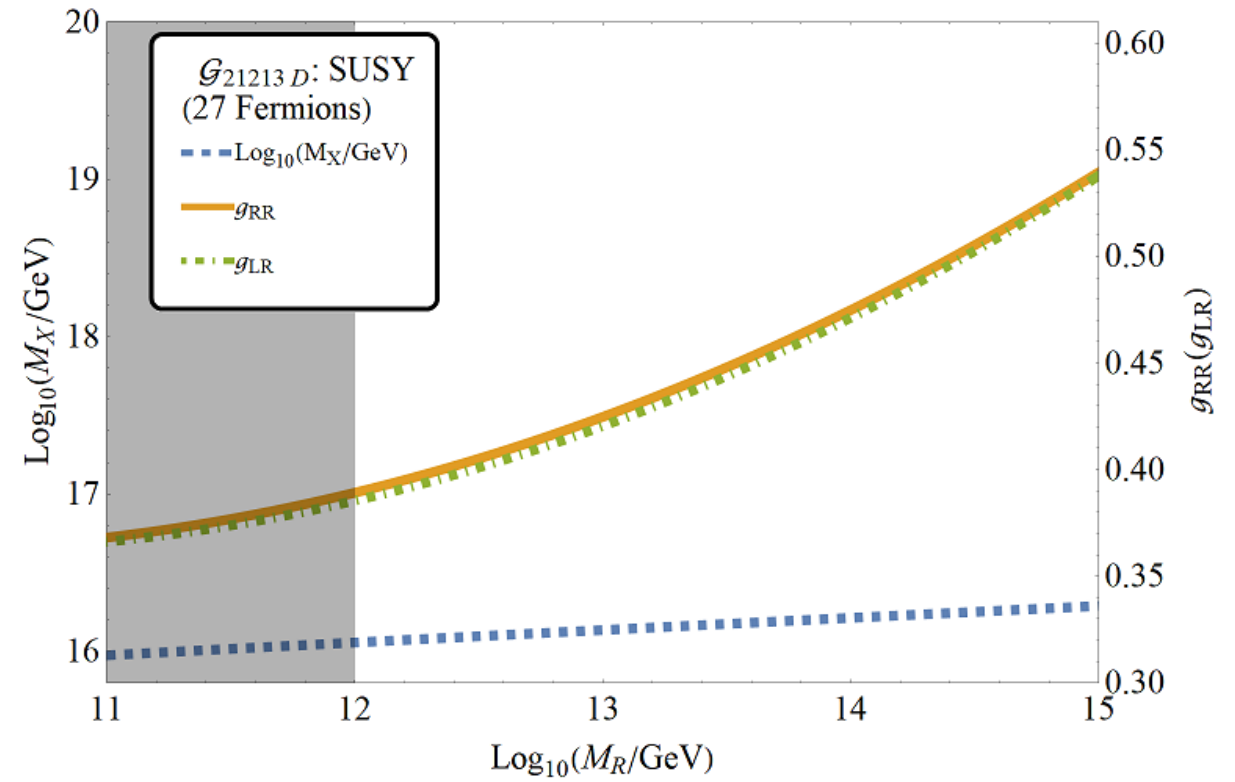
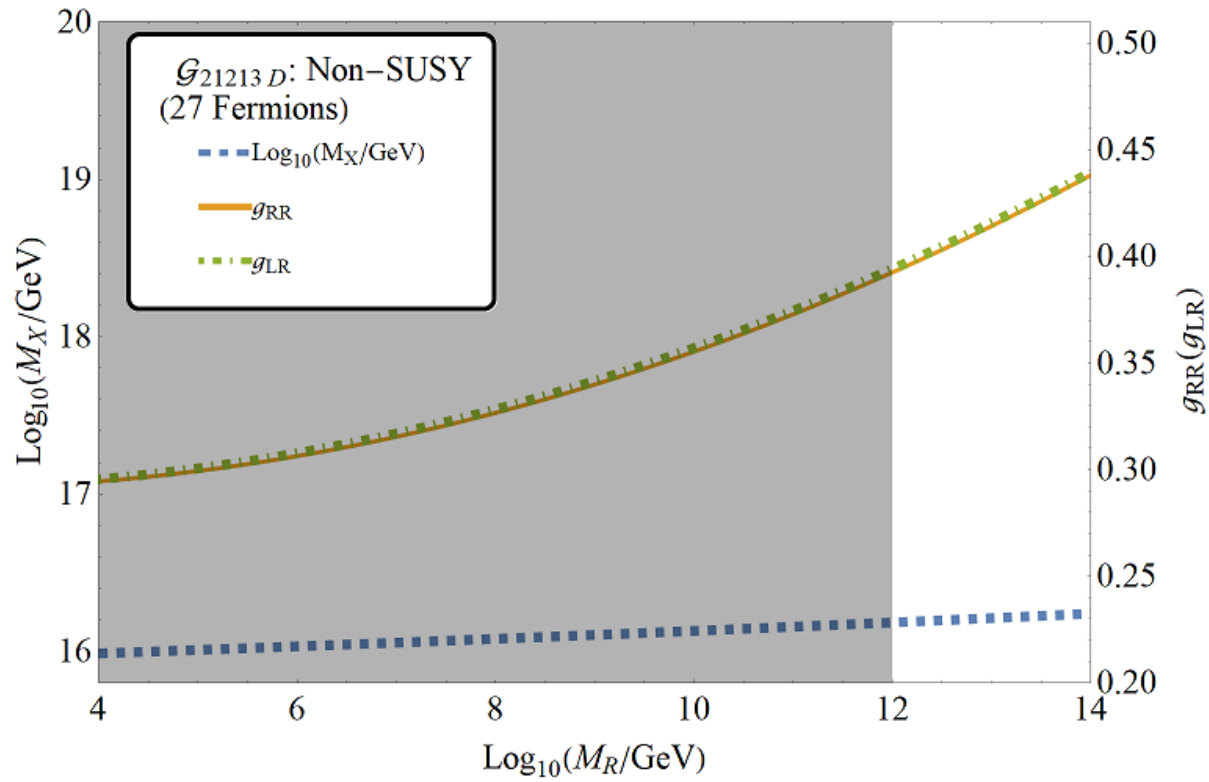
$$\text{SUSY : } b_{2L} = 21, b_{2R} = 21, b_{4C} = 12; \quad b_{ij} = \begin{pmatrix} 265 & 3 & 405 \\ 3 & 265 & 405 \\ 81 & 81 & 465 \end{pmatrix}.$$

	$SO(10)$	\mathcal{G}_{224}	\mathcal{G}_{213}
Scalars	10	$(2, 2, 1)$	$(2, \pm\frac{1}{2}, 1)$
	126	$(1, 3, 10)$	-
		$(3, 1, \overline{10})_D$	-
	$(54, 770)_D$	-	-
	$(210)_\emptyset$	-	-
Fermions	16	$(2, 1, 4)$	$(2, \frac{1}{6}, 3)$
			$(2, -\frac{1}{2}, 1)$
		$(1, 2, \bar{4})$	$(1, \frac{1}{3}, \bar{3})$
			$(1, -\frac{2}{3}, \bar{3})$
			$(1, 1, 1)$
			$(1, 0, 1)$

Status of Unification



Status of Unification (with Abelian mixing)



- ★ **Gauge Kinetic term:** $\mathcal{L}_{kin} = -\frac{1}{4c} \text{Tr}(F_{\mu\nu} F^{\mu\nu})$

$F_{\mu\nu} = \sum \lambda_i \cdot F_i^{\mu\nu}$: matrix form of gauge field strength tensor

λ_i are the **generators**,

Normalised as: $\text{Tr}(\lambda_i \lambda_j) = c \delta_{ij}$

$c = \frac{1}{2}$ when λ_i are in fundamental representation

- ★ **Dimension-5 Operator:** $\mathcal{L}_{dim-5} = -\frac{\eta}{M_{Pl}} \left[\frac{1}{4c} \text{Tr}(F_{\mu\nu} \Phi_D F^{\mu\nu}) \right]$

- ★ Φ_D is the **D-dimensional Scalar**, belongs to the symmetric product of adjoint representations.

η parametrises the **strength** of this interaction.

Unification Condition

- **In absence of dim-5 operators the coupling unification condition :**

$$\frac{1}{\alpha_i(M_X)} - \frac{C_i}{12\pi} = \text{constant}$$

where C_i is the quadratic Casimir for the i-th subgroup.

- **Modified gauge coupling unification condition:**

$$\frac{1}{\alpha_i(M_X)(1 + \epsilon\delta_i)} - \frac{C_i}{12\pi} = \text{constant}$$

- δ_i are the corrections arise from dim-5 operators, and

$$\epsilon = \eta \langle \Phi_D \rangle / 2M_{Pl} \sim \mathcal{O}(M_X/M_{Pl})$$

Comments on TD+GUT : [arXiv:1711.11391](https://arxiv.org/abs/1711.11391)

Intermediate Symmetry (Non-SUSY)		Topological defects $M_R \gtrsim 10^{12}$ GeV		Proton life time $M_X \gtrsim 10^{16}$ GeV	
		No dim-5	dim-5	No dim-5	dim-5
\mathcal{G}_{224}	D-conserved	✓	✓	×	✓
	D-broken	×	×	×	✓
\mathcal{G}_{2231}	D-conserved	×	×	×	✓
	D-broken	×	×	✓	✓
\mathcal{G}_{2241}	D-conserved	✓	✓	×	✓
	D-broken	✓	✓	✓	✓
\mathcal{G}_{333}	D-conserved	NS	✓	NS	✓
	D-broken	NS	✓	NS	✓

Intermediate Symmetry (SUSY)		Topological defects $M_R \gtrsim 10^{12}$ GeV		Proton life time $M_X \gtrsim 10^{16}$ GeV	
		No dim-5	dim-5	No dim-5	dim-5
\mathcal{G}_{224}	D-conserved	✓	✓	✓	✓
	D-broken	✓	✓	✓	✓
\mathcal{G}_{2231}	D-conserved	✓	✓	✓	✓
	D-broken	✓	✓	✓	✓
\mathcal{G}_{2241}	D-conserved	✓	✓	✓	✓
	D-broken	✓	✓	✓	✓
\mathcal{G}_{333}	D-conserved	✓	✓	✓	✓
	D-broken	✓	✓	✓	✓

Possible direction..

*Classify all possible breaking patterns,
starting from $SO(10)$, $E(6)$*

*Analyse the Vacuum Manifold for individual breaking chain
at every stages of symmetry breaking*

*Study the Homotopy of those vacuum manifolds
and identify the Topological Defects (Stable)*

*Analyse their consequences and constraints on
the Intermediate Symmetry breaking scale*

Dark Matter within Unified framework

SUSY : Lightest SUSY Particle(LSP)

Non-SUSY : Stable (lightest) BSM particles

e.g. Non-abelian vector dark boson

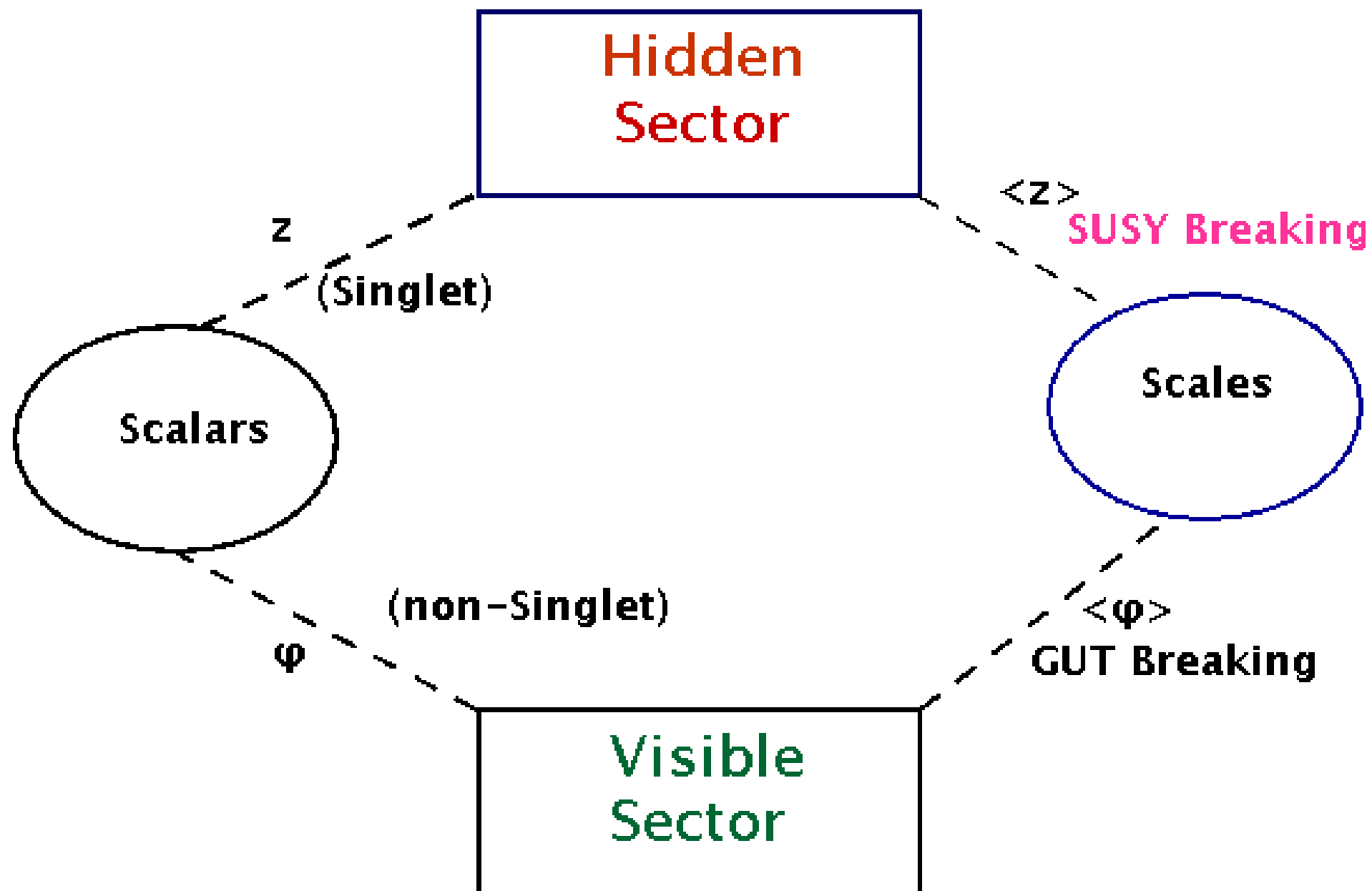
N=1 Unified SUGRA framework

Gaugino-LSP:

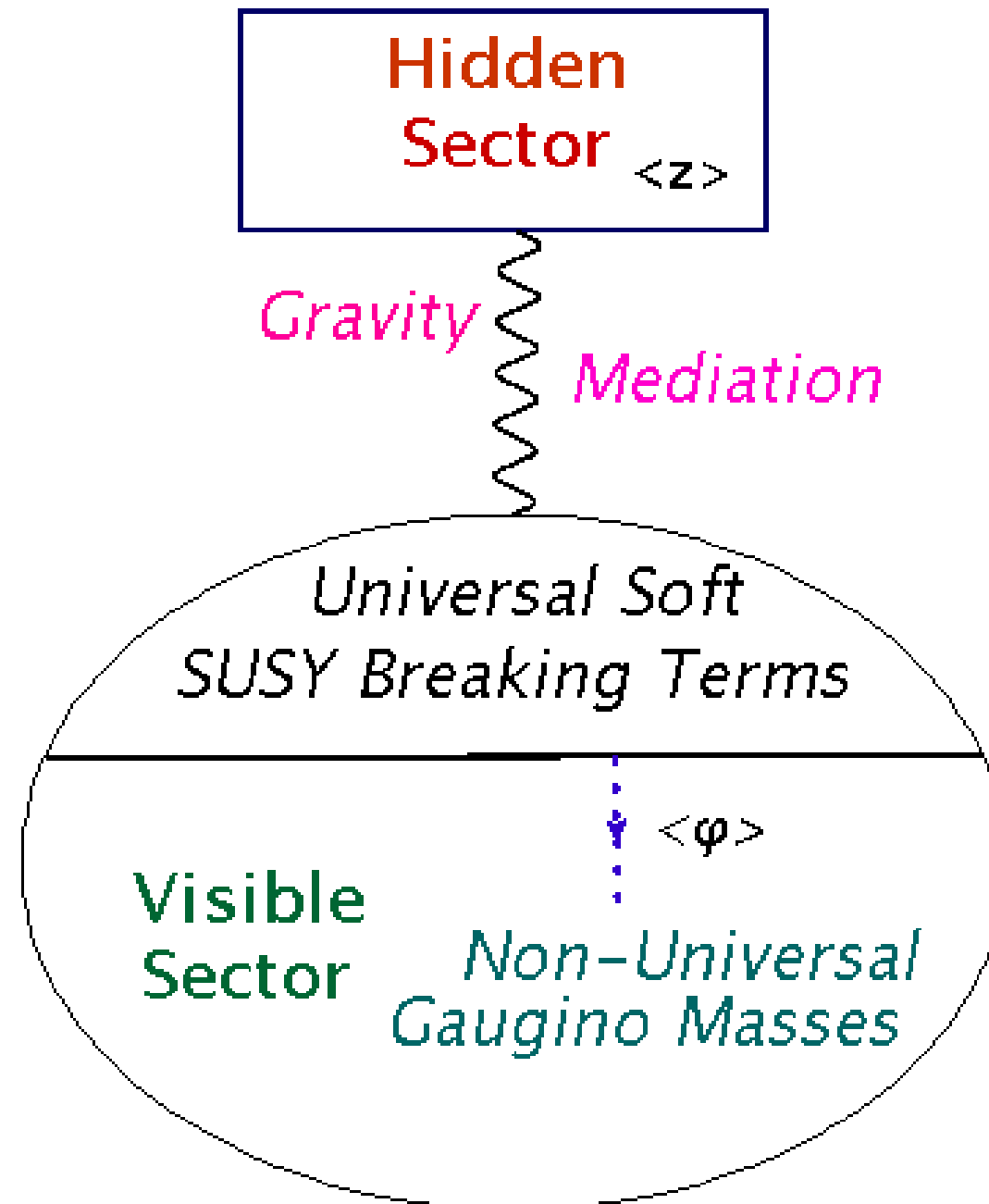
Supersymmetric partner of Gauge bosons

Gaugino Mass Operator is related to :
dimension-5 “Gauge Kinetic Operator”

N=1 Unified SUGRA framework



SUGRA Breaking and Generation of Soft Terms



Non-Universal Gaugino Masses

$$\mathcal{L}_{dim-5} = -\frac{\eta}{M_{Pl}} \left[\frac{1}{4c} \text{Tr}(F_{\mu\nu} \Phi_D F^{\mu\nu}) \right]$$

$$\mathcal{F}_{\alpha\beta} = \mathcal{F}_1 \delta_{\alpha\beta} + \mathcal{F}_2 d_{\alpha\beta\gamma} \Phi_D^\gamma$$

$$M_1 : M_2 : M_3 = (A + \delta_1 B) : (A + \delta_2 B) : (A + \delta_3 B)$$

SU(5) Representations	δ_1	δ_2	δ_3
24	$1/\sqrt{15}$	$3/\sqrt{15}$	$-2/\sqrt{15}$
75	$4/\sqrt{3}$	$-12/5\sqrt{3}$	$-4/5\sqrt{3}$
200	$1/\sqrt{21}$	$1/5\sqrt{21}$	$1/10\sqrt{21}$

Impact of non-universal gaugino masses

- **High Scale Boundary Conditions:**

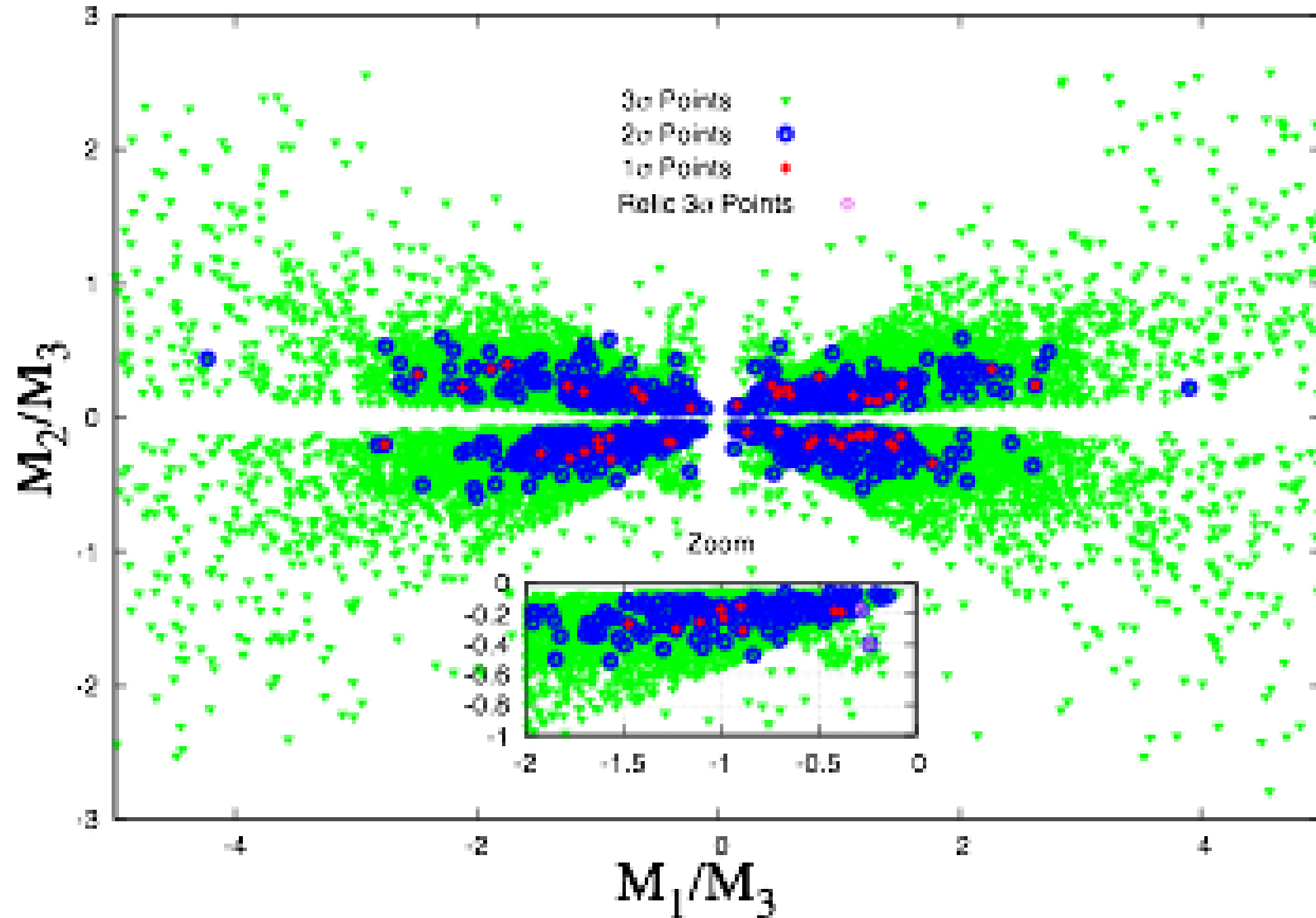
$$M_0, M_3, A_0, \tan \beta, \text{sgn}(\mu)$$

- **Different Non-universality in Gaugino Masses:**

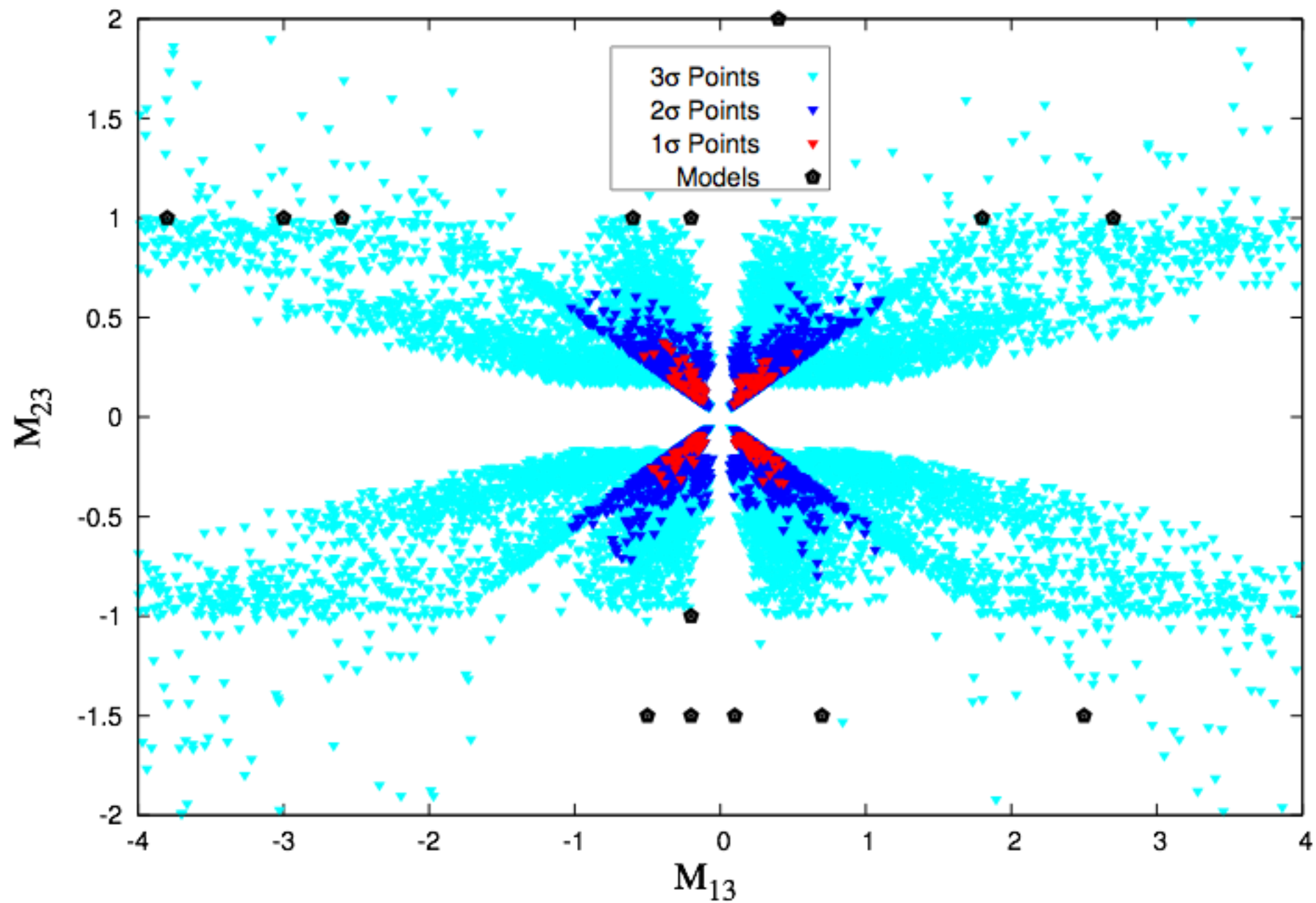
$$M_1 : M_2 : M_3$$

- **Low Scale Spectrum : Composition of LSP
(possible DM) gets changed**

$M_1 : M_2 : M_3$ and Muon (g-2), Relic Density



Where are the “Models”?



Directions to be explored..

- Consider more generic structure of gaugino masses. Estimate Singlet-nonsinglet effects.
- Include the impact of possible intermediate scales as they possess different RGEs.
- Gaugino mass ratios are directly affected by these RGEs.
- Check out other SUSY breaking scenarios, e.g. AMSB where gaugino mass ratios are proportional to their β -coefficients.

What about the X-gauginos

- ✦ **GUT symmetry is broken to the MSSM gauge group at the GUT scale itself — not necessary!**
- ✦ **Thus there are some broken generators.**
- ✦ **X-Gauginos : related to those broken generators.**
- ✦ **They also acquire masses in form: $(A + \delta_X B)$**

Radiative Correction to Vacuum Energy

- **Vacuum Energy receives radiative correction from massive X-gauginos.**

[Ellis et. al. Phys. Lett. B 155, Nucl. Phys. B 247]

- **This contribution is very large:** $\mathcal{O}(m_{3/2}^2 M_{Pl}^2)$
- **To avoid such catastrophe, this contribution must vanish.**
- **This is possible if the X-gauginos are not affected by SUSY breaking, i.e.,**

$$A/B = -\delta_X$$

Unique Gaugino Mass Ratio

$$\mathcal{M}_i \equiv M' [-\delta_X + \delta_j]$$

$\phi \in SU(5)$	δ_1	δ_2	δ_3	δ_X	$M_1 : M_2 : M_3$
24	1	3	-2	1/2	1/2 : 5/2 : -5/2
75	5	-3	-1	-2	7 : -1 : 1
200	10	2	1	3/2	17/2 : 1/2 : -1/2

Status of *flipped*-models

$$SO(10) \rightarrow SU(5) \otimes U(1)$$

$\phi \in SO(10)$	δ_5	δ_1	δ_X	$M_5 : M_1$
210	-1	4	-1	0 : 5
770	-1	-16	-1	0 : -15

$$E(6) \rightarrow SO(10) \otimes U(1)$$

$\phi \in E(6)$	δ_{10}	δ_1	δ_X	$M_{10} : M_1$
650	-6	30	-6	0 : 36
2430	4	108	4	0 : 104

What else....

Analyse all possible breaking patterns and check whether the scenarios are theoretically consistent or not

**Non-Abelian vector
Dark gauge Boson**

Example Scenario

$$\begin{aligned} E(6) &\rightarrow [SU(3)]^3 \rightarrow SU(3)_C \otimes SU(2)_L \otimes U(1)_L \otimes U(1)_R \otimes SU(2)_N \\ &\rightarrow SM \otimes SU(2)_N \rightarrow SM \end{aligned}$$

Additional global $U(1)_P$

Redefining lepton number $L = P + T_{3N}$

$$R = (-1)^{3B+L+2J}$$

Exotic fermions and SM Higgs play crucial roles in annihilation and coannihilation processes.

