

# Highlight talk from Super-Kamiokande

2018 July 12<sup>th</sup> (Thu)

7<sup>th</sup> International Conference on New Frontiers in Physics  
ICNFP 2018 @Kolymbari, Crete, Greece

**Yuuki Nakano** for the Super-Kamiokande collaboration  
(Kamioka Observatory, ICRR, The University of Tokyo)



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- Tau neutrino appearance

## ■ Solar neutrino

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- Energy spectrum and oscillation analysis

## ■ Future prospect and summary

# Super-Kamiokande collaboration



Photo in 2015

Kamioka Observatory, ICRR, Univ. of Tokyo, Japan  
 RCCN, ICRR, Univ. of Tokyo, Japan  
 University Autonoma Madrid, Spain  
 University of British Columbia, Canada  
 Boston University, USA  
 University of California, Irvine, USA  
 California State University, USA  
 Chonnam National University, Korea  
 Duke University, USA  
 Fukuoka Institute of Technology, Japan  
 Gifu University, Japan  
 GIST, Korea  
 University of Hawaii, USA  
 Imperial College London, UK  
 INFN Bari, Italy  
 INFN Napoli, Italy  
 INFN Padova, Italy  
 INFN Roma, Italy  
 Kavli IPMU, The Univ. of Tokyo, Japan  
 KEK, Japan  
 Kobe University, Japan  
 Kyoto University, Japan  
 University of Liverpool, UK  
 LLR, Ecole polytechnique, France  
 Miyagi University of Education, Japan  
 ISEE, Nagoya University, Japan  
 NCBJ, Poland  
 Okayama University, Japan  
 Osaka University, Japan  
 University of Oxford, UK  
 Queen Mary University of London, UK  
 Seoul National University, Korea

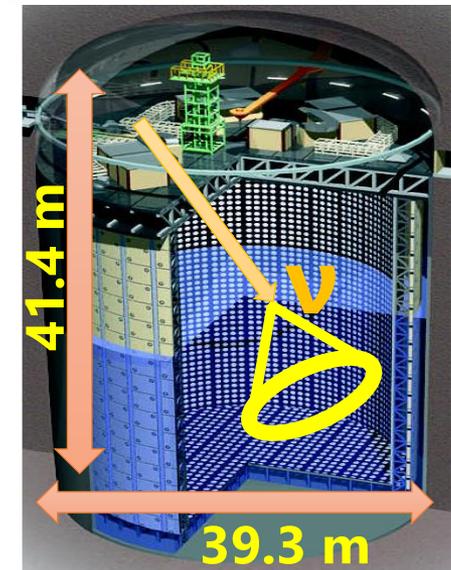
**~165 people**  
**45 institutes, 9 countries**

University of Sheffield, UK  
 Shizuoka University of Welfare, Japan  
 Sungkyunkwan University, Korea  
 Stony Brook University, USA  
 Tokai University, Japan  
 The University of Tokyo, Japan  
 Tokyo Institute of Technology, Japan  
 Tokyo University of Science, Japan  
 University of Toronto, Canada  
 TRIUMF, Canada  
 Tsinghua University, Korea  
 The University of Winnipeg, Canada  
 Yokohama National University, Japan

# Super-Kamiokande (SK)

## ■ Detector

- Located at Kamioka Japan.
- **50 kton** of ultra pure water tank.
  - **20-inch PMTs**, **11,129** for ID (since SK-III).
  - **22.5 kton** for analysis fiducial volume.
- Water **Cherenkov light** technique.



## ■ History of SK

- Long term operation since 1996 (**~22 years**).
- Total live time is more than 5,500 days.
- Refurbishment works **toward SK-Gd** have started since May 31<sup>st</sup>, 2018.

96	97	98	99	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20			
SK-I					SK-II					SK-III			SK-IV							SK-Gd							
PMT 11,146 (40%*)					5,182 (19%*)					11,129 (40%*)															Nobel prize		
4.5 MeV**					6.5 MeV**					4.0 MeV**			3.5 MeV**														

\* Photo coverage [%], \*\* Recoil electron kinetic energy [MeV].

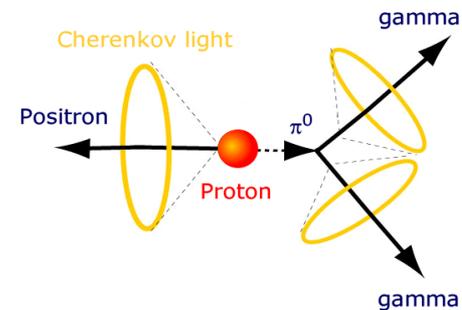
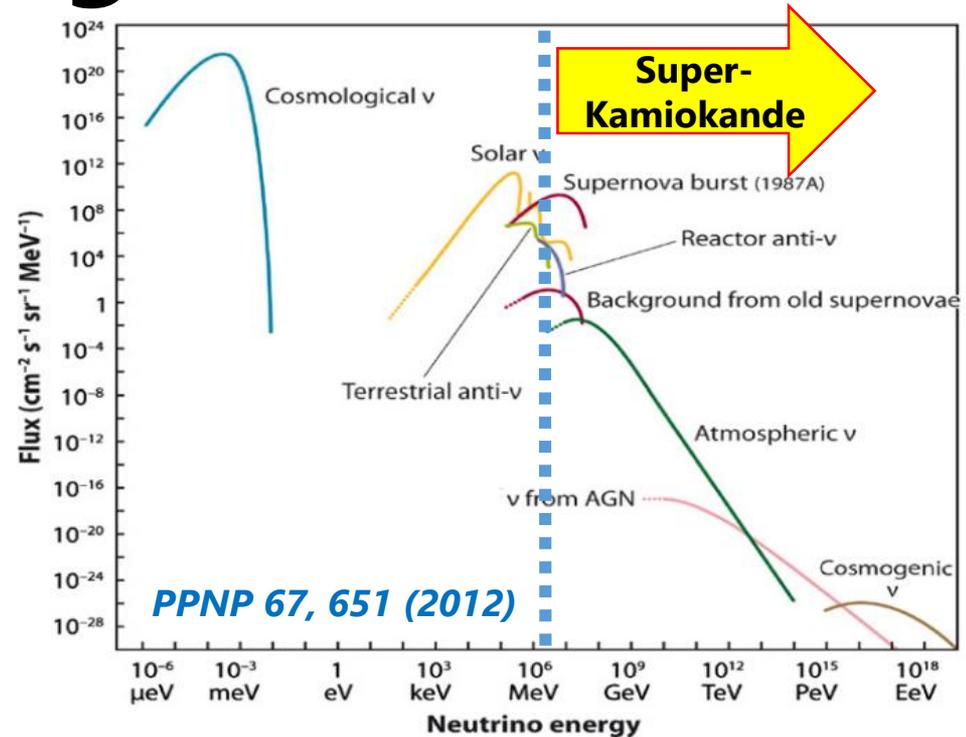
# Physics targets in SK

## ■ Neutrinos

- Astrophysical neutrinos
  - Solar neutrino
  - Supernova (relic) neutrino
- Atmospheric neutrino
- Accelerator (Long baseline)

## ■ Other physics

- Proton decays
  - From galactic center, Sun, Earth
- Dark matter search
- Other exotic models



Solar  $\nu$   
< ~20 MeV

Supernova  $\nu$   
~20~100 MeV

Atmospheric  $\nu$  and proton decay  
~100 MeV GeV TeV PeV

# Recent publications from SK

## ■ Atmospheric neutrino

- 3-flavor oscillation analysis: *Phys. Rev. D* 97, 072001 (2018). ●
- Tau neutrino cross section: *arXiv:1711.0943 [hep-ex]*. ●
- Atmospheric neutrino flux: *Phys. Rev. D* 94, 052001 (2016).

## ■ Solar neutrino

- Energy spectrum measurement: *Phys. Rev. D* 94, 052010 (2016). ●
- Day/night flux asymmetry: *Phys. Rev. Lett.* 112, 091805 (2014).

## ■ Proton decay (nucleon decay)

- Anti-lepton plus meson: *Phys. Rev. D* 96, 012003 (2017).
- $p \rightarrow e^+ \pi^0$  and  $p \rightarrow \mu^+ \pi^0$ : *Phys. Rev. D* 95, 012004 (2017).
- Invisible particle & charged lepton: *Phys. Rev. Lett.* 115, 121803 (2015).
- Dinucleon decay into  $\pi$ : *Phys. Rev. D* 91, 072009 (2015).

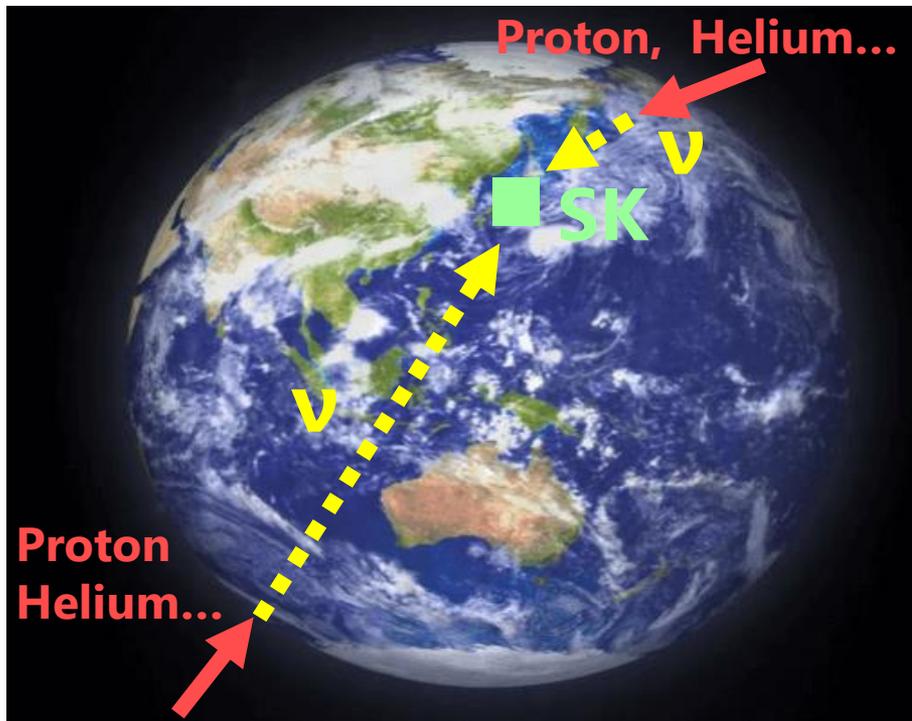
## ■ Others (Dark matter search, Sterile $\nu$ and Lorentz invariance...)

More detail: <http://www-sk.icrr.u-tokyo.ac.jp/sk/publications/index.html>

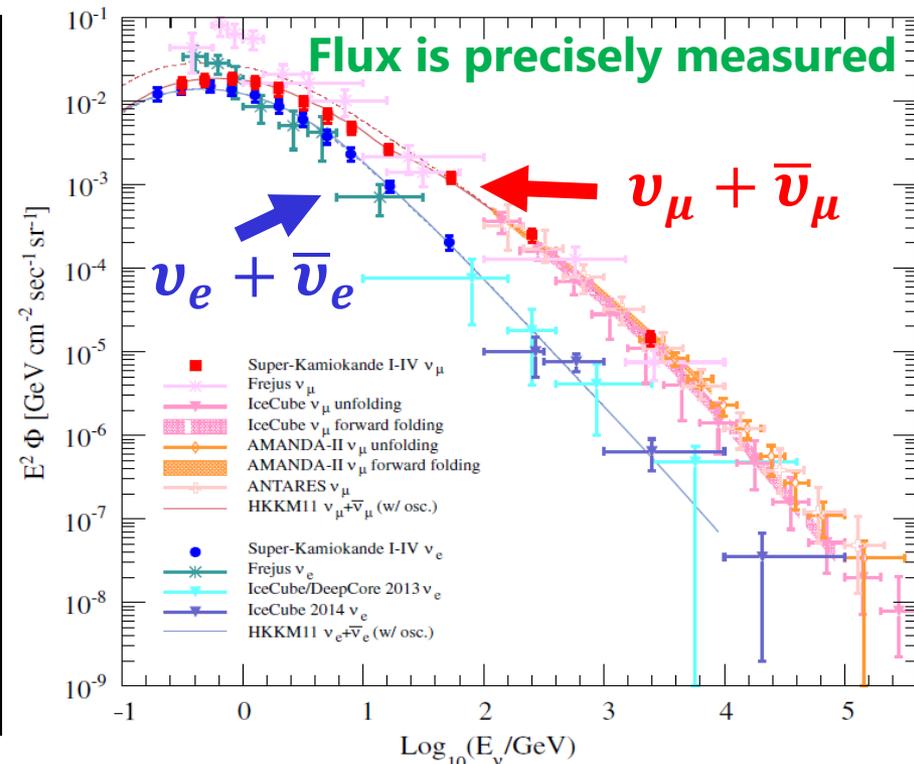
# Atmospheric neutrino

## Feature of atmospheric neutrino

- Primary cosmic-ray interacts with nuclei in atmosphere.
  - $\pi$ ,  $K$  are produced and then  $\mu$ ,  $e$  are produced **with neutrinos**.
- Travel length:  **$O(\sim 10)$  km - 13,000 km** (zenith angle dependence).
- Wide energy range : **Sub-GeV to over TeV**.

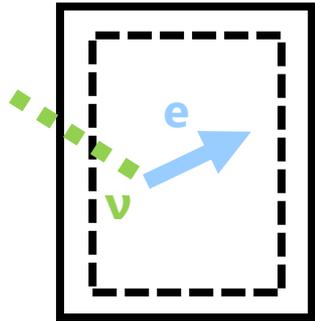


Isotropic flux of cosmic ray



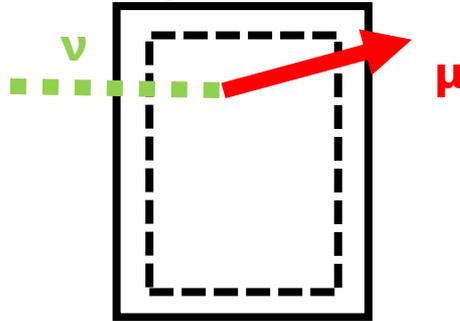
# Category of neutrino events

## Fully contained (FC)

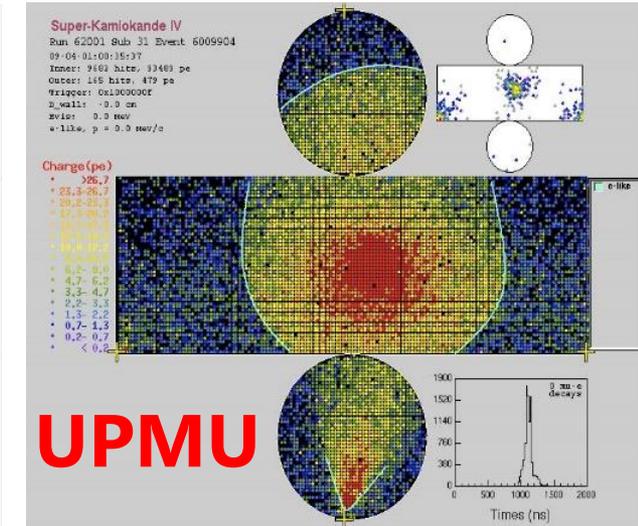
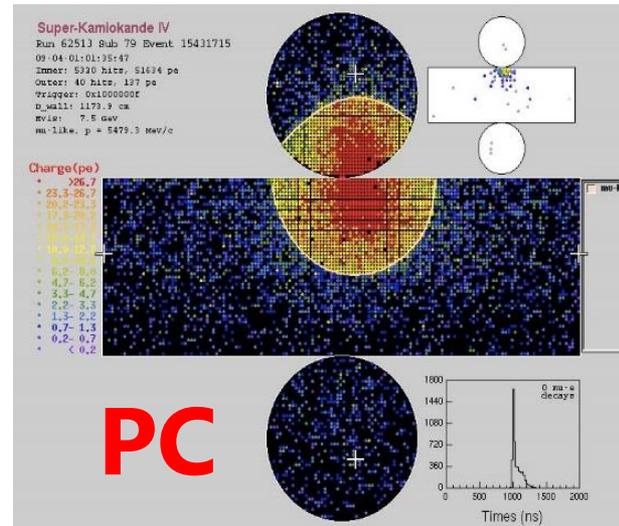
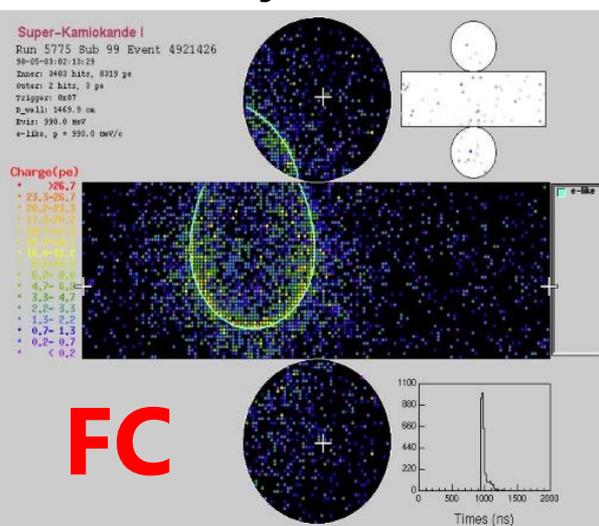
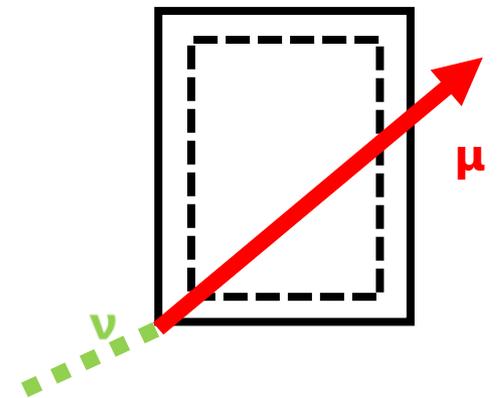


Also  $\mu$ , multi-ring  
(decay electron)

## Partially contained (PC)



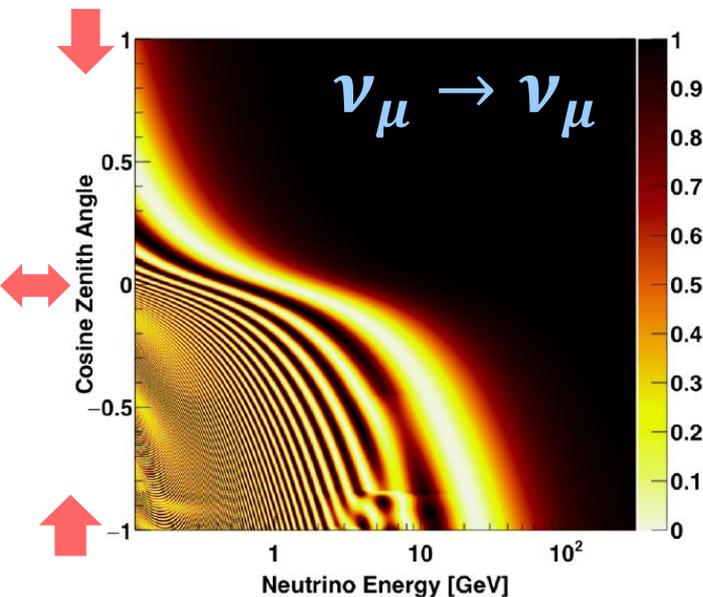
## Up-going $\mu$ (UPMU)



# Atmospheric neutrino in SK

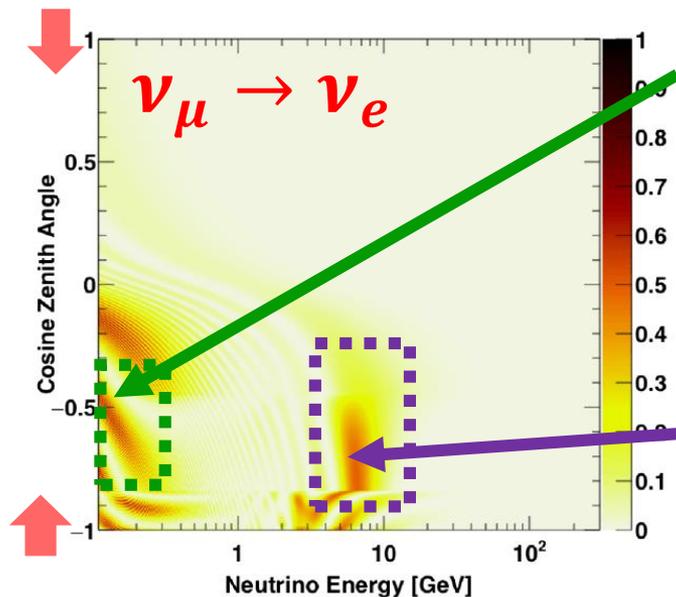
## ■ Oscillation probability and sub-leading effects

- SK has sensitivity to all PMNS parameters.
  - Atmospheric  $\nu$  oscillation is **dominated by  $\nu_\mu \rightarrow \nu_\tau$**  ( $\Delta m_{23}^2, \theta_{23}$ ).
- Sub-leading effects are **expected in  $\nu_e$**  sample.
  - Resonant oscillation due to matter effect in the Earth.
  - Sensitive to mass hierarchy,  $\theta_{23}$  octant and CP phase.



Sub-GeV

Multi-GeV



Sub-GeV

Multi-GeV

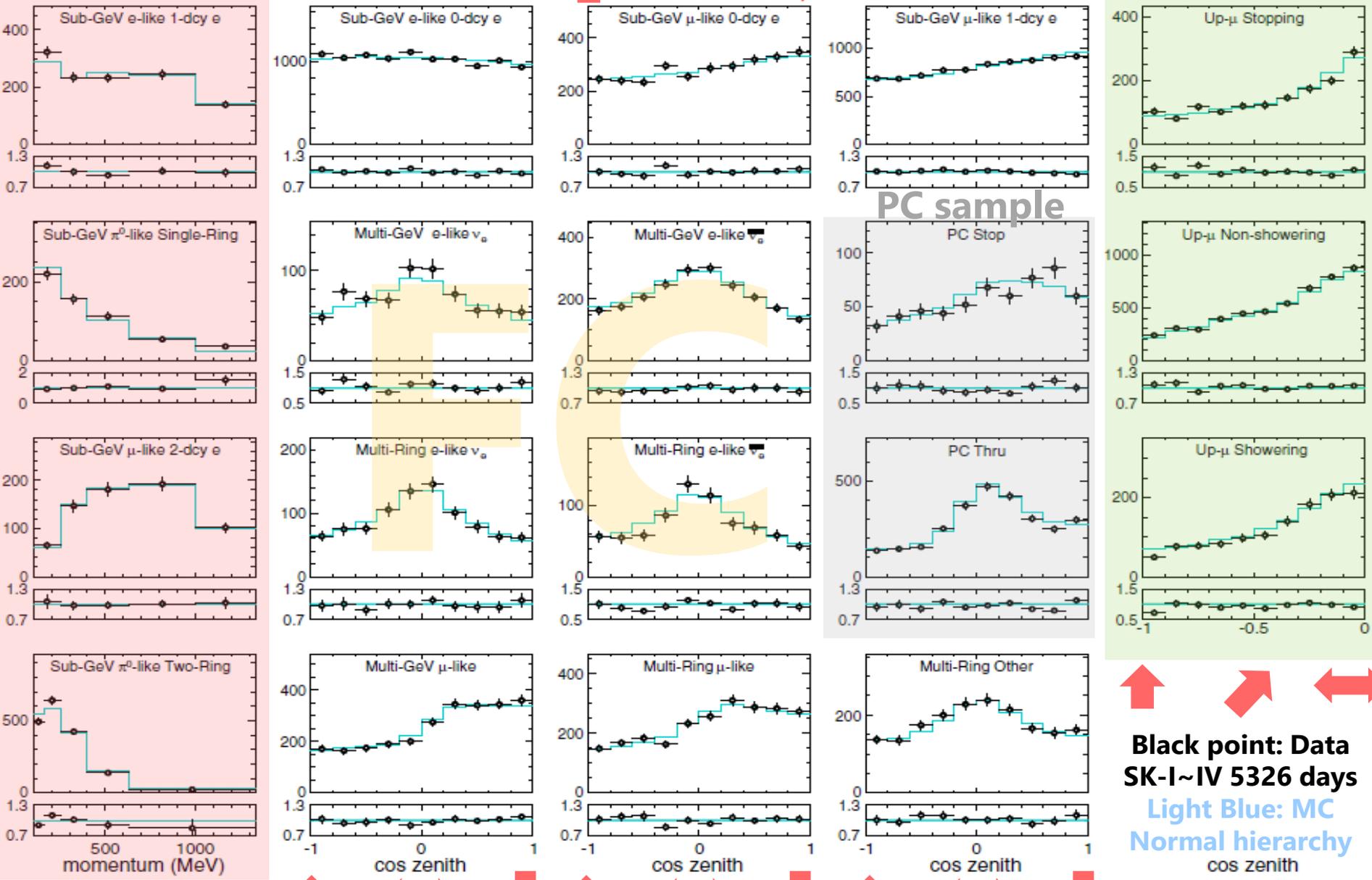
Due to solar term.  
Flux normalization  
changes by CP phase.

Resonant oscillation  
due to finite  $\theta_{13}$ .

Enhancement of  $\nu_e$   
when normal hierarchy.  
( $\bar{\nu}_e$  when inverted)

# Momentum

# Up-going $\mu$



**Black point: Data**  
**SK-I~IV 5326 days**

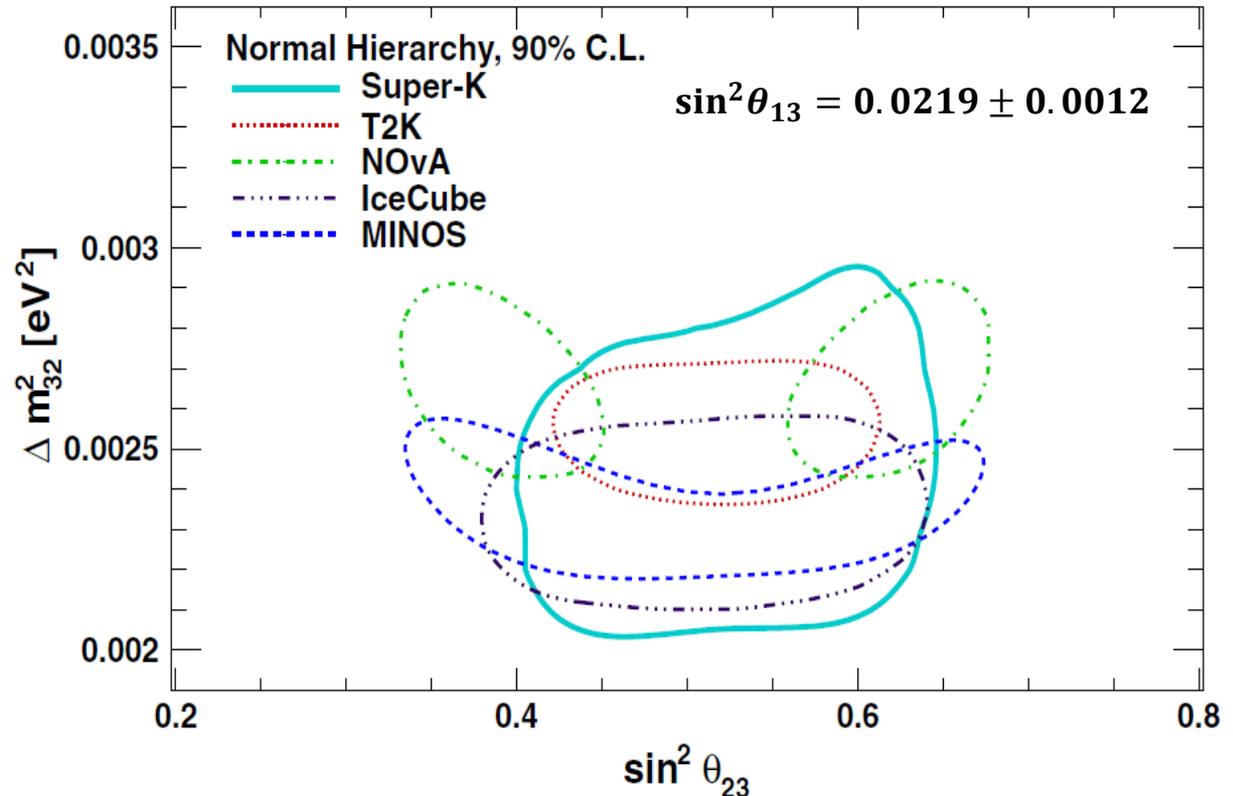
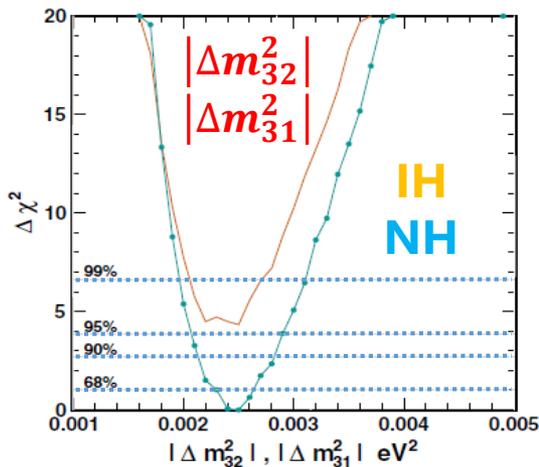
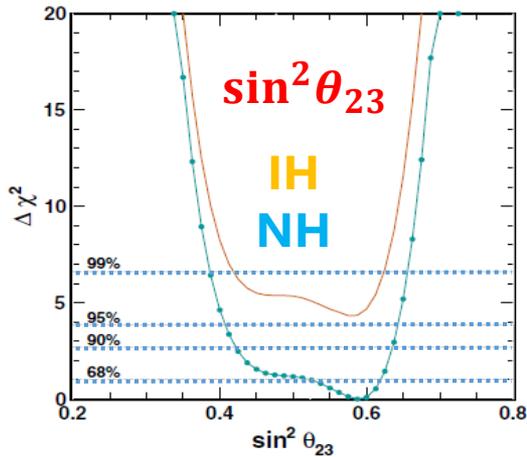
**Light Blue: MC**  
**Normal hierarchy**  
cos zenith



# Neutrino oscillation analysis

## ■ Oscillation analysis (Only SK data)

- Data set: SK-IV 2519 days → SK-I~IV: 5326 days (328 kton · year).
- Scan  $\chi^2$  for  $\sin^2 \theta_{23}$ ,  $\Delta m^2$  →  $\Delta\chi^2 = \chi_{NH}^2 - \chi_{IH}^2 = -4.33$  (SK only).

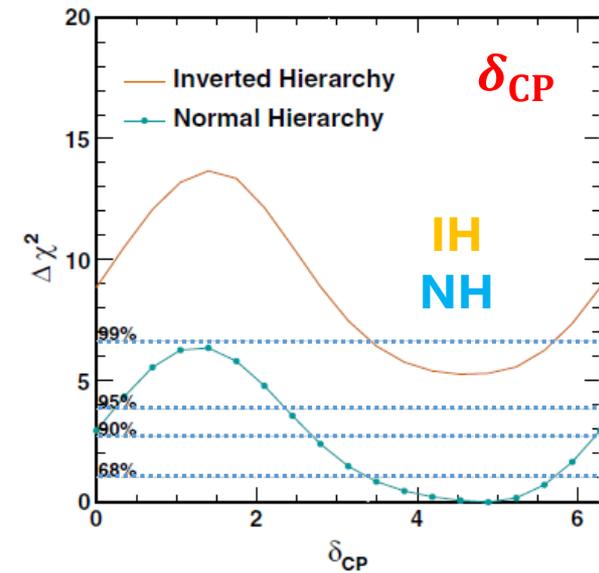
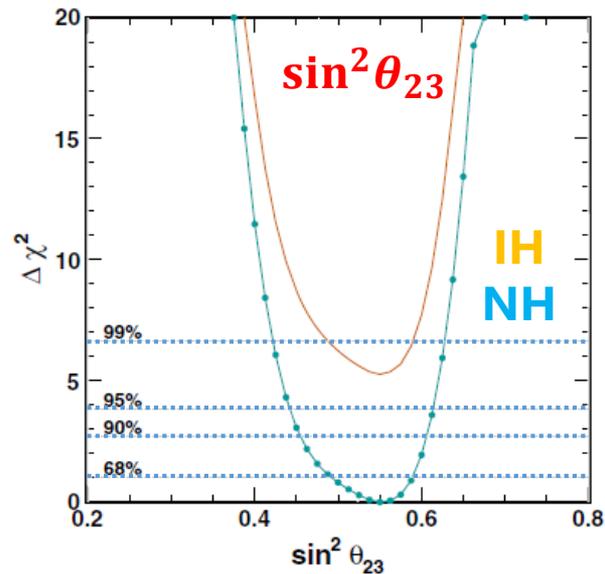
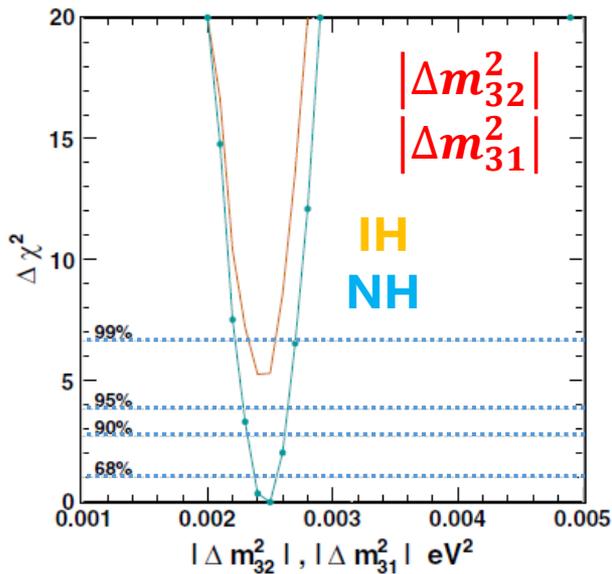


\*Other experiments results → before Neutrino2018\*

# Neutrino oscillation analysis

## ■ Oscillation analysis with external constraint

- Introduce constraint from T2K public data and reactor results.
- **Normal hierarchy is slightly preferred,  $\Delta\chi^2 = \chi_{NH}^2 - \chi_{IH}^2 = -5.2$ .**



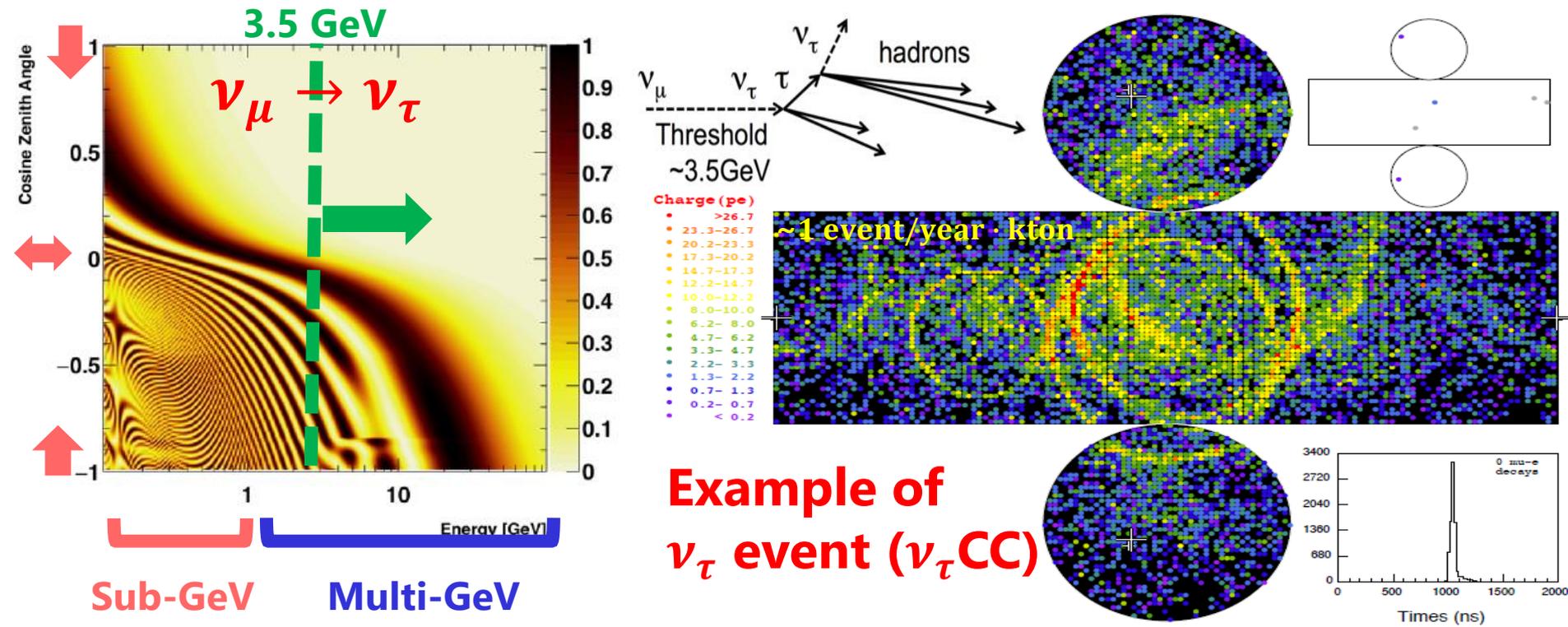
$$\sin^2 \theta_{13} = 0.0219 \pm 0.0012 \text{ (fix)}$$

Mass hierarchy	$\chi^2$	$ \Delta m_{32,31}^2 $ [ $\times 10^{-3}$ eV <sup>2</sup> ]	$\sin^2 \theta_{23}$	$\delta_{CP}$
Normal	639.43	$2.50^{+0.05}_{-0.12}$	$0.550^{+0.039}_{-0.057}$	$4.88^{+0.81}_{-1.48}$
Inverted	644.70	$2.40^{+0.13}_{-0.06}$	$0.550^{+0.035}_{-0.051}$	$4.54^{+1.05}_{-0.97}$

# Tau neutrino appearance

## ■ Tau neutrino in atmospheric sample

- Detection of  $\nu_\tau$  is critical for verifying 3-flavor mixing scheme.  
→ Search for hadronic decay of  $\tau$  lepton.
- More than 3.5 GeV, Up-going sample has a chance.
- Hard to identify event by event but can be statistically seen.



# Signal and cross section

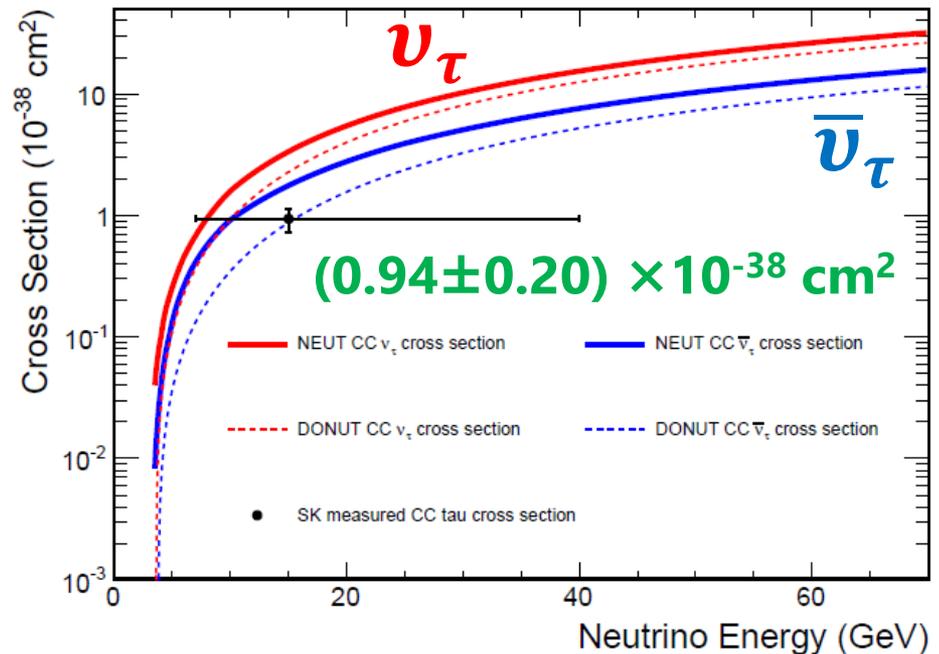
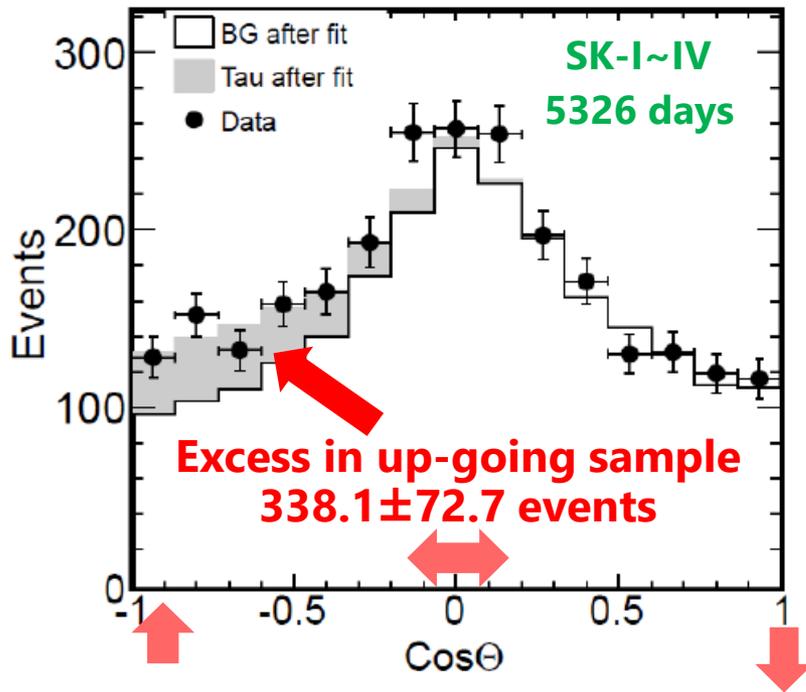
## ■ Analysis and its results

- Event selection is performed using Neural Network.
  - Discriminate tau signal from background: Efficiency 76%.
- 2D fit with signal scale parameter is evaluated.

$$Data = PDF_{BG} + \alpha \times PDF_{\tau\text{-like}} + \sum \varepsilon_i PDF_i$$

$\alpha = 0$ : no  $\tau$  contribution  
 $\alpha = 1$ : MC expected

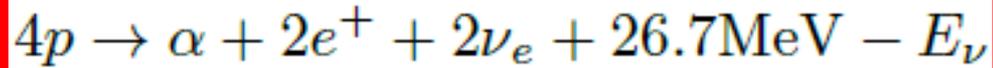
- $\alpha = 1.47 \pm 0.32$  (stat.+syst.) → **4.6 $\sigma$  from 0** (NH assumed).



# Solar neutrino

## Production of solar neutrino

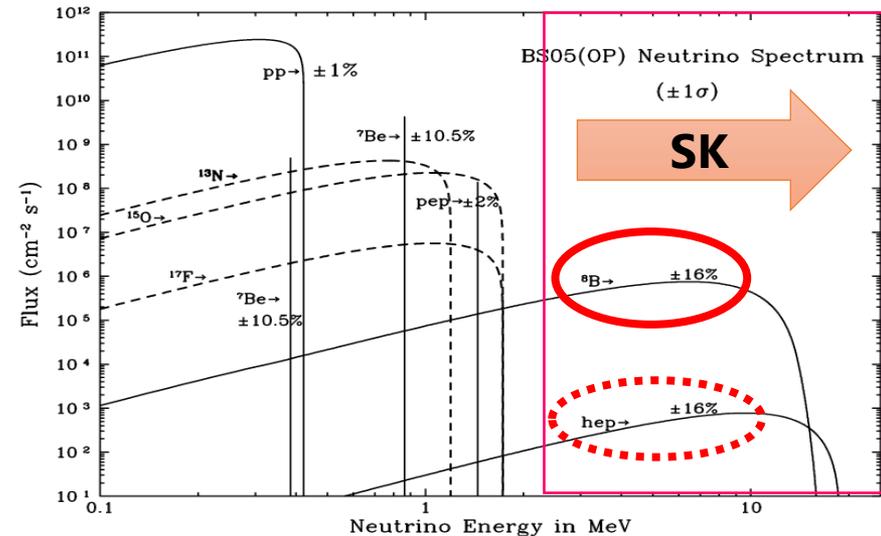
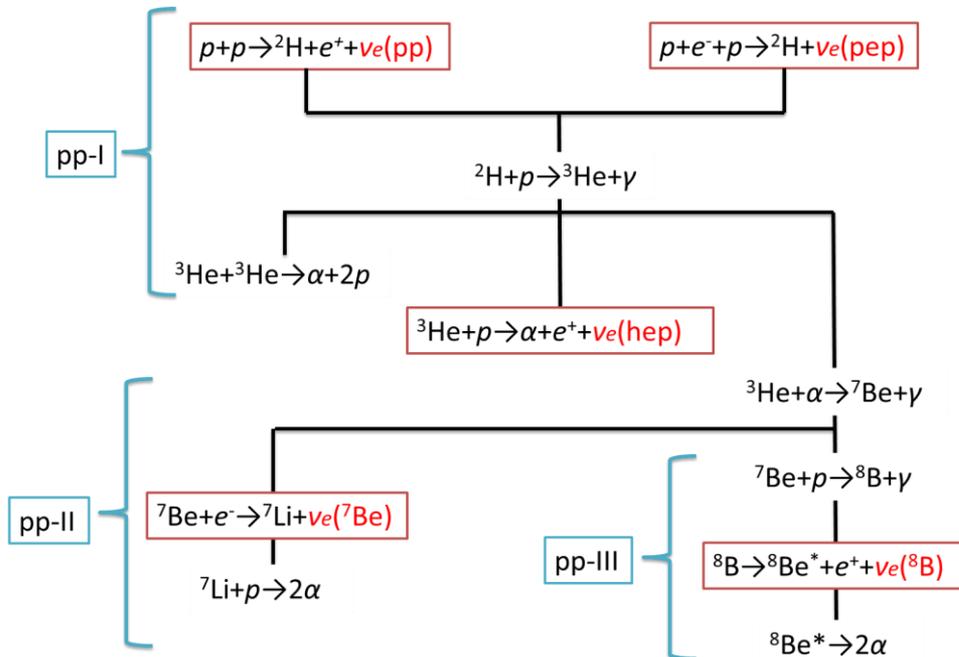
- Solar neutrinos are produced via nuclear fusions in the core.



- Several processes makes electron-neutrino.

→ *pp*, *pep*,  ${}^7\text{Be}$ ,  ${}^8\text{B}$ , *hep* and *CNO*

- Standard solar model predicts their fluxes (SK can detect  ${}^8\text{B}$ /*hep*).

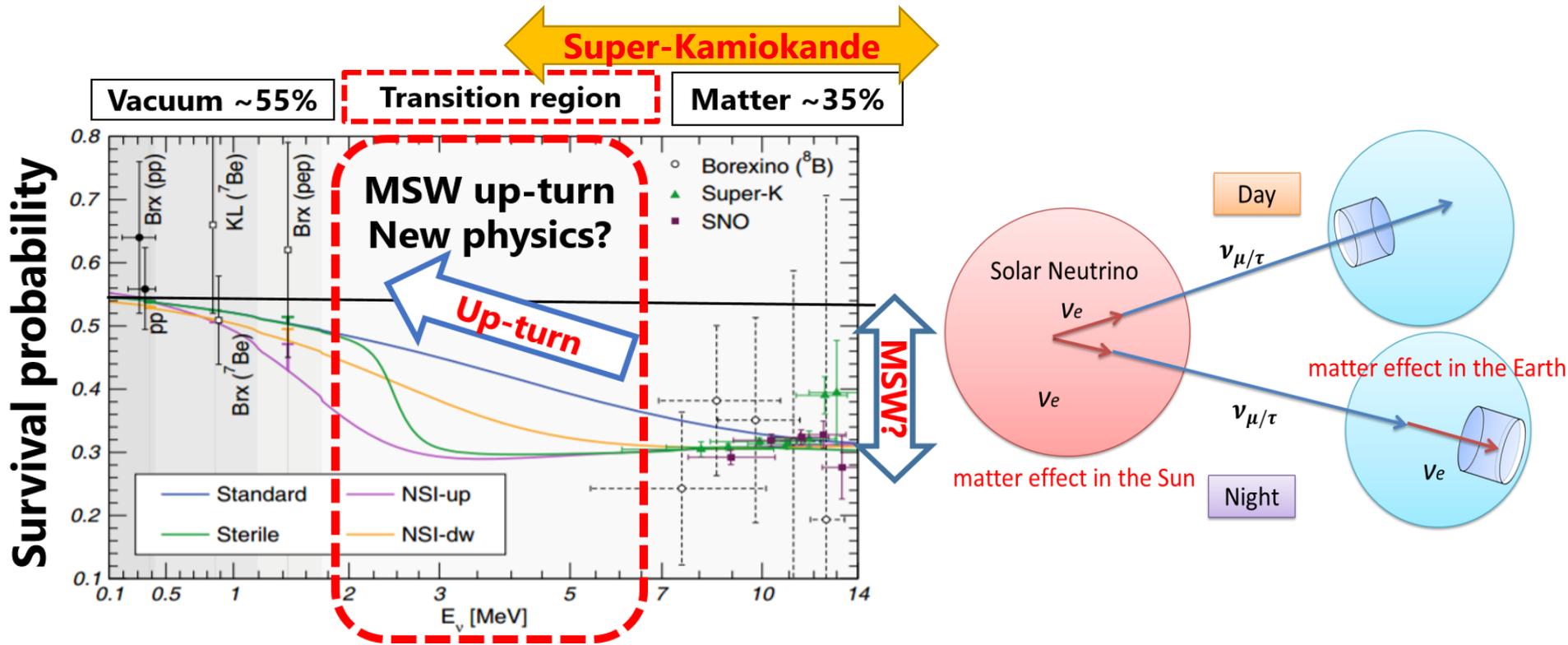


Astrophys. J. 621 85 (2005).

# Motivations of solar neutrino

## ■ Goal of solar neutrino measurement in SK

- (1) Test the transition of solar  $\nu$  oscillation btw vacuum and matter.
  - Lowering threshold & reducing BG to test **MSW up-turn**.
- (2) Day-night flux asymmetry
  - **Regeneration** of  $\nu_e$  due to the Earth's matter effect is expected. ( $\sim 2.5\sigma$  indication, update of this analysis is in progress).



# $^8\text{B}$ solar neutrino measurement

## ■ $^8\text{B}$ solar neutrino signals

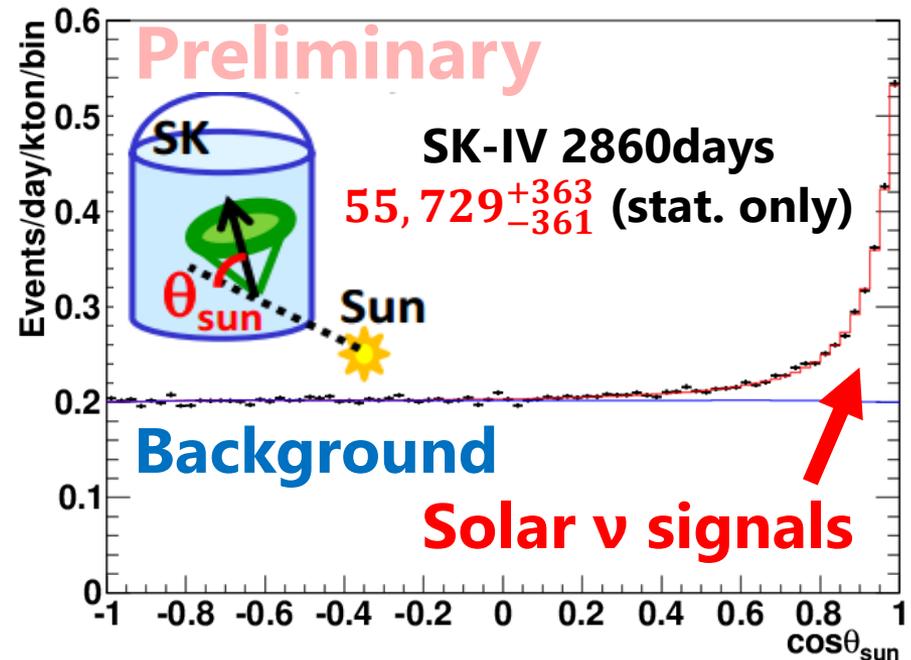
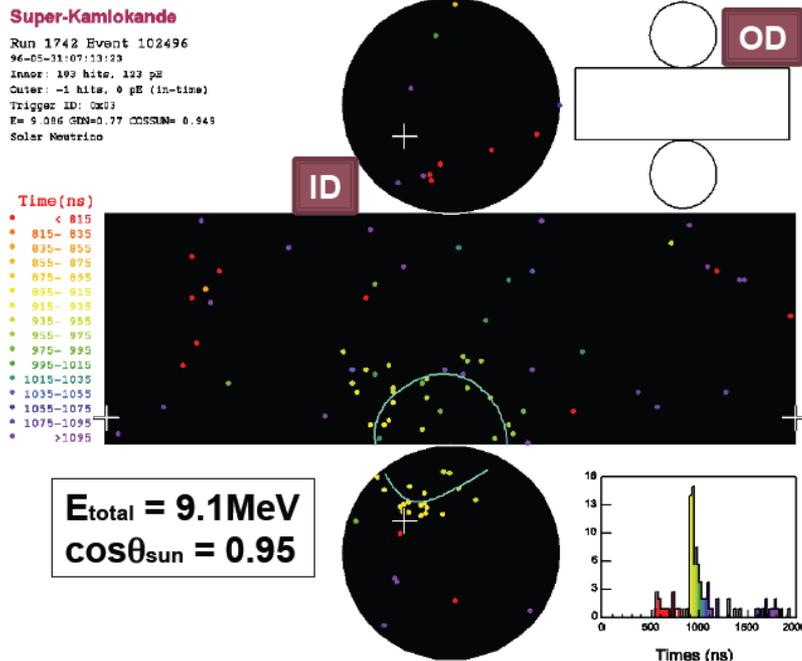
- **Elastic scattering** ( $\nu_X + e^- \rightarrow \nu_X + e^-$ ).

(1) Timing  $\rightarrow$  **Vertex position & real-time** measurement

(2) Ring pattern  $\rightarrow$  **Direction** of the incoming neutrino

(3) # of hit PMTs  $\rightarrow$  **Energy** ( $\sim 6$  p.e./MeV)

-  $\sim 20$  events/day in SK-IV (SK-I $\sim$ IV 5695 days:  $\sim 93\text{k}$  events).



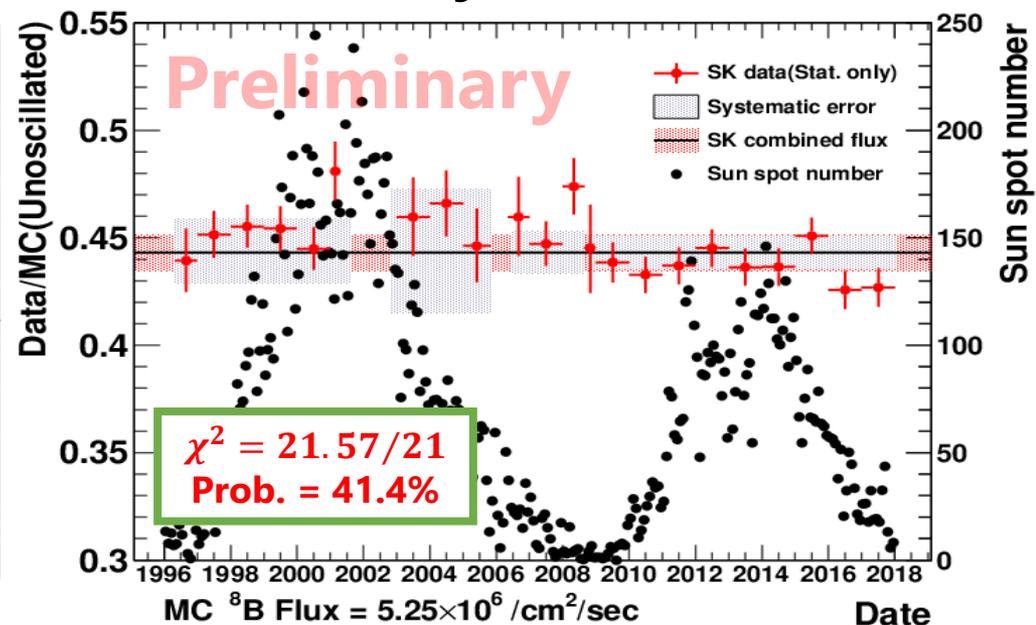
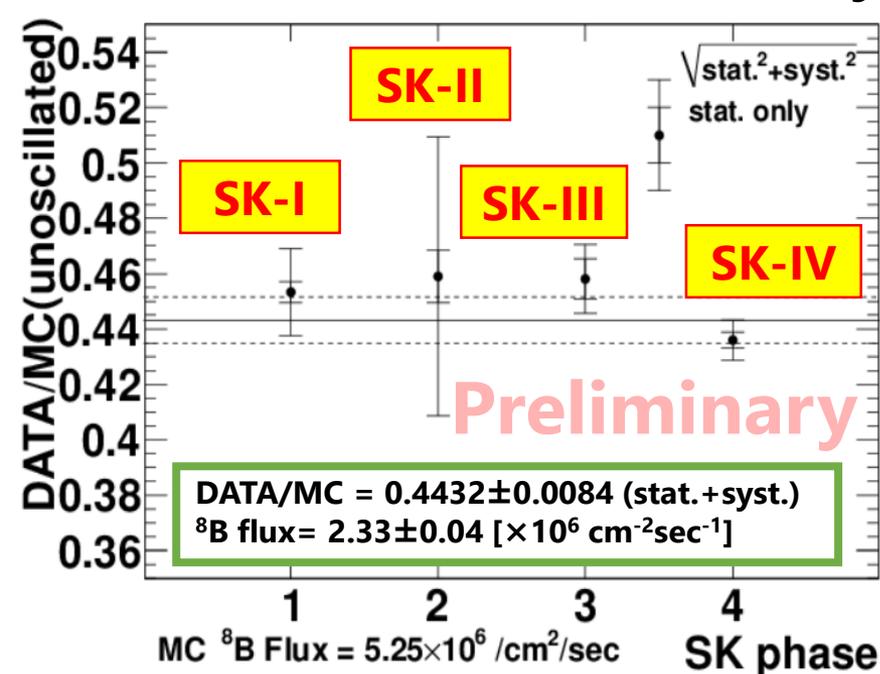
# $^8\text{B}$ solar neutrino flux

## ■ Flux measurements

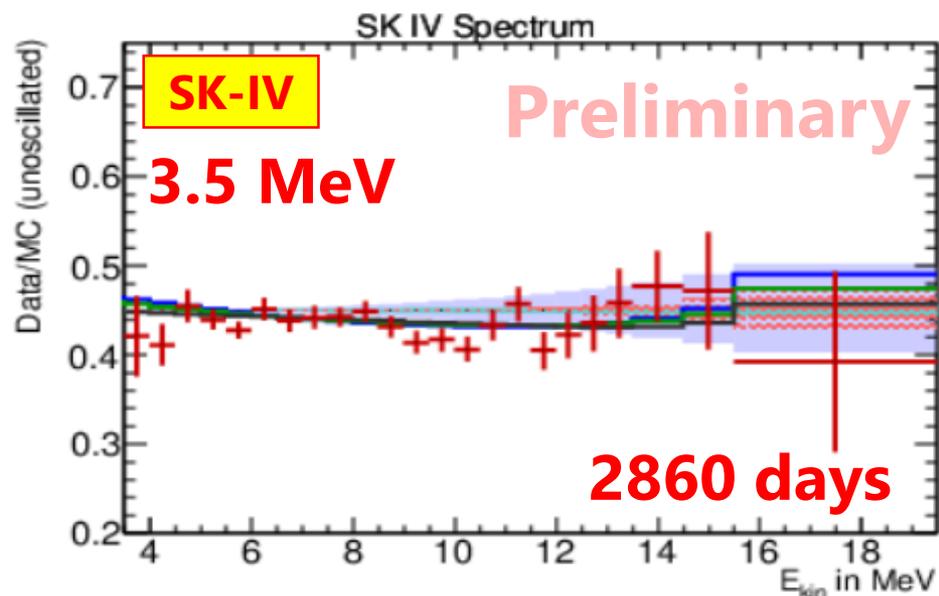
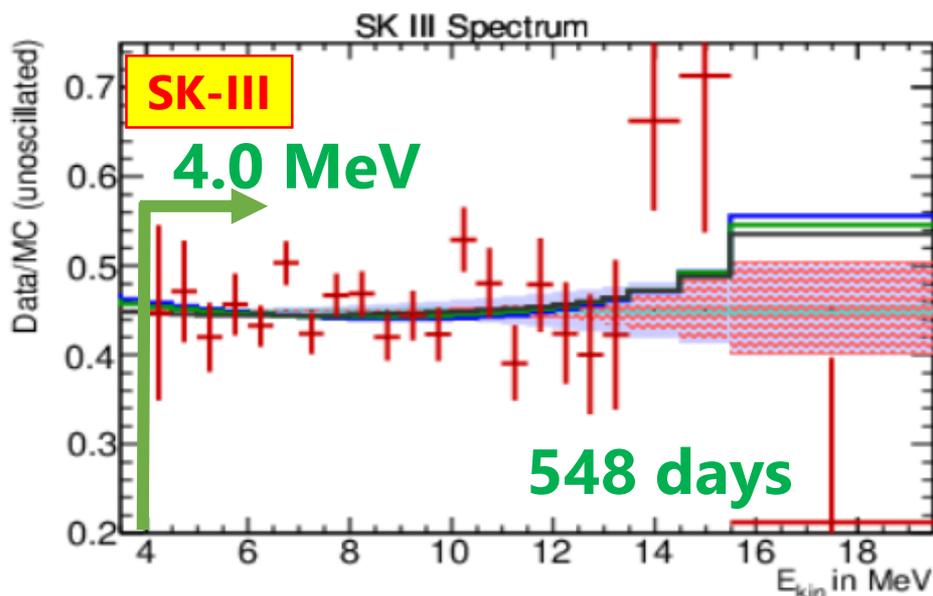
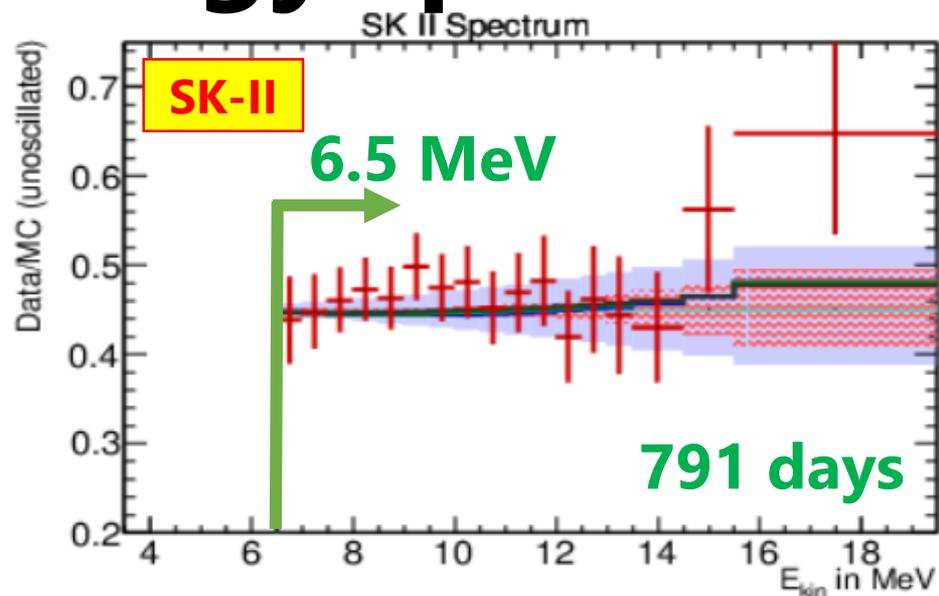
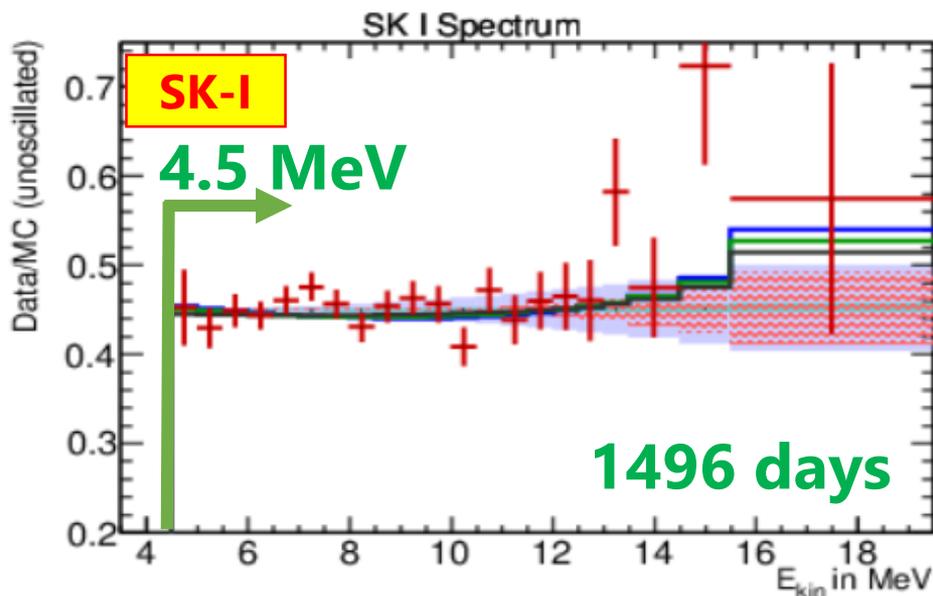
- SK has measured the  $^8\text{B}$  solar neutrino flux for 22 years.
    - Fluxes are consistent within uncertainties among all SK phases.
- SK flux/SNO NC flux =  $0.4432 \pm 0.0084$  (stat.+syst.).**

## ■ Correlation of the flux with the solar activity

- Solar activity is strongly correlated with sunspot numbers.
- **No correlation** with the 11-years solar activity is observed.



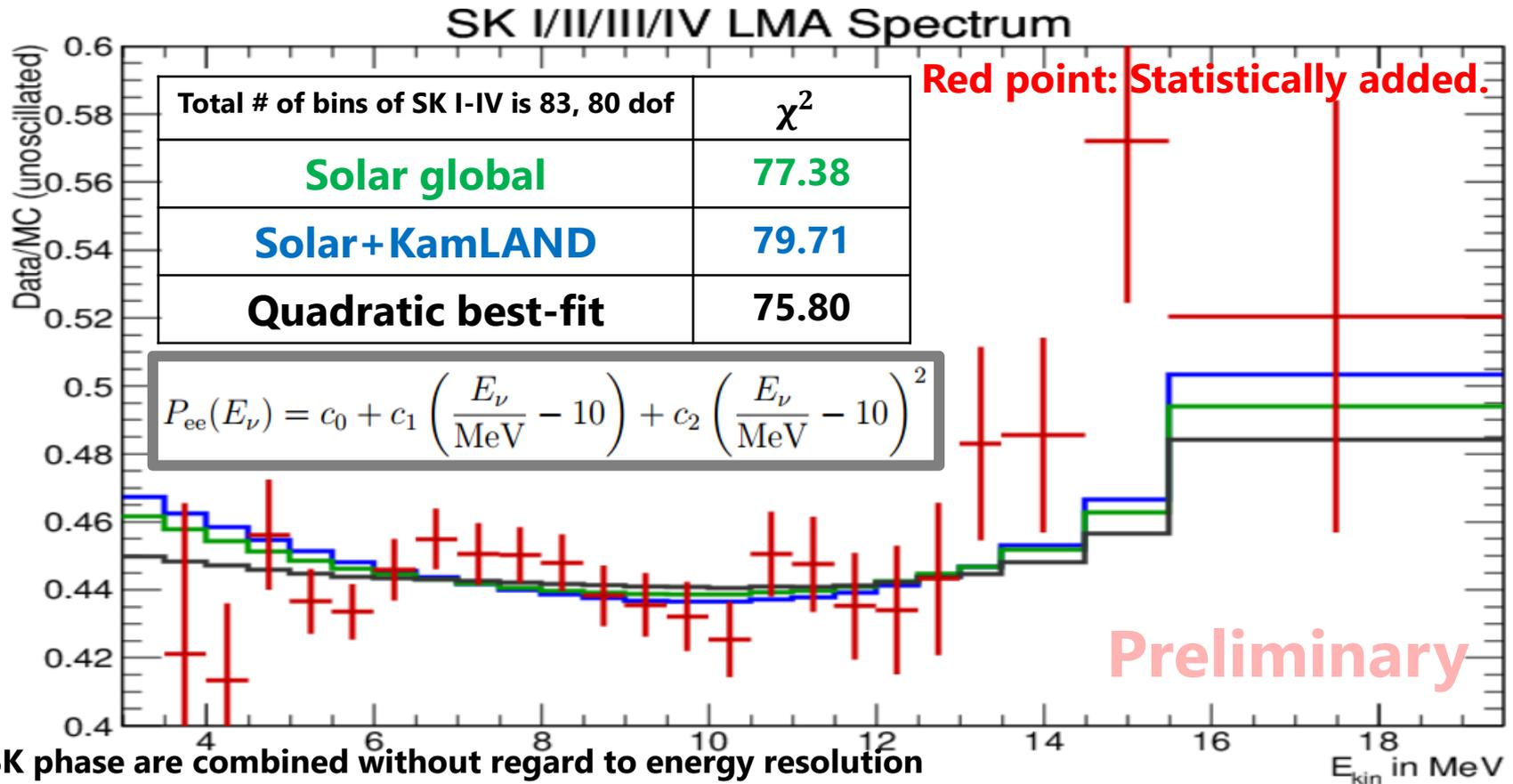
# Recoil electron energy spectrum



# Combined spectrum

## Energy spectrum vs. MSW predictions

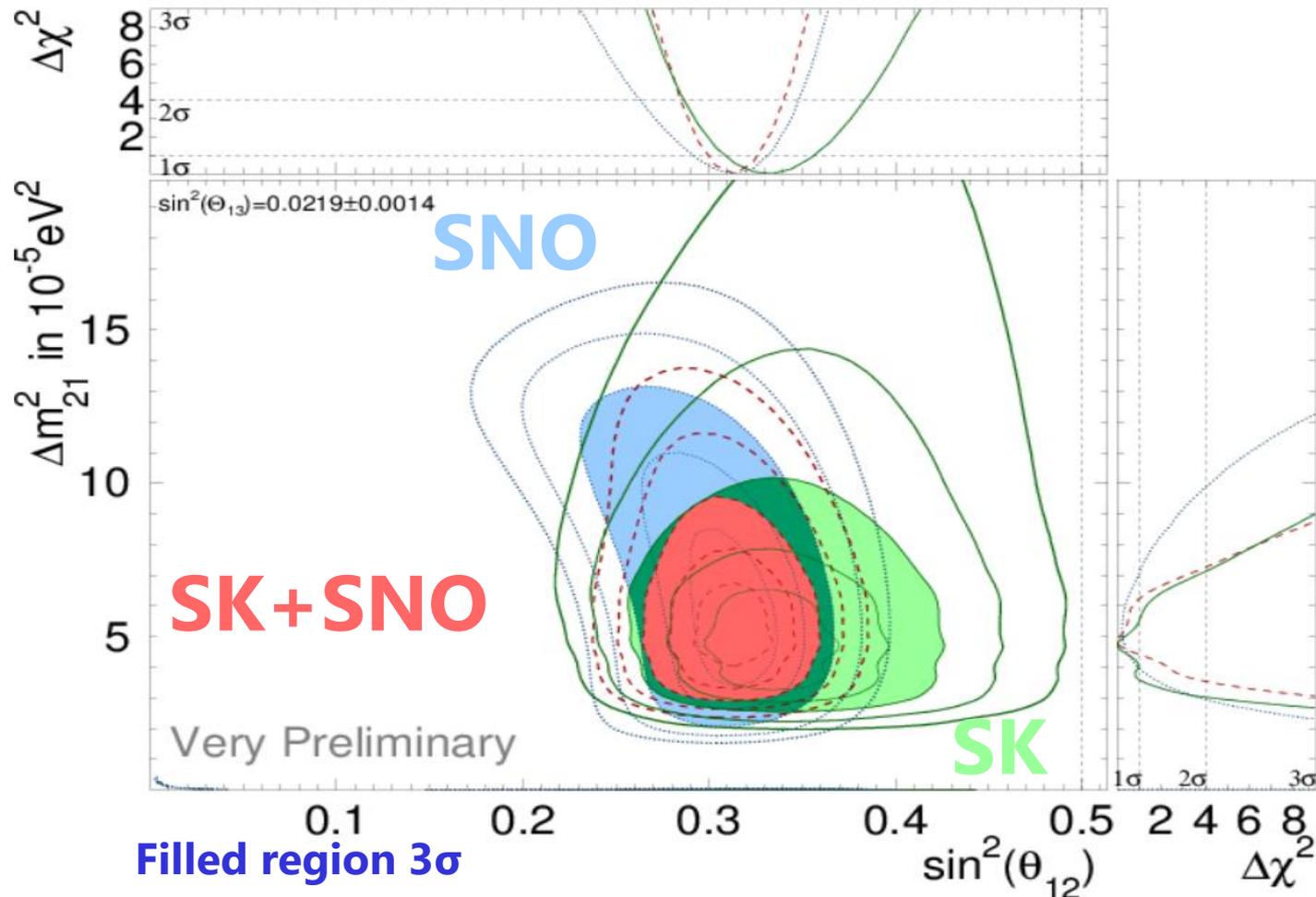
- Introduce quadratic function to test the MSW prediction.
- Quadratic fit **is consistent with solar  $\Delta m_{21}^2$  within  $1.2\sigma$** , while it **disfavors KamLAND  $\Delta m_{21}^2$  by  $2.0\sigma$** .



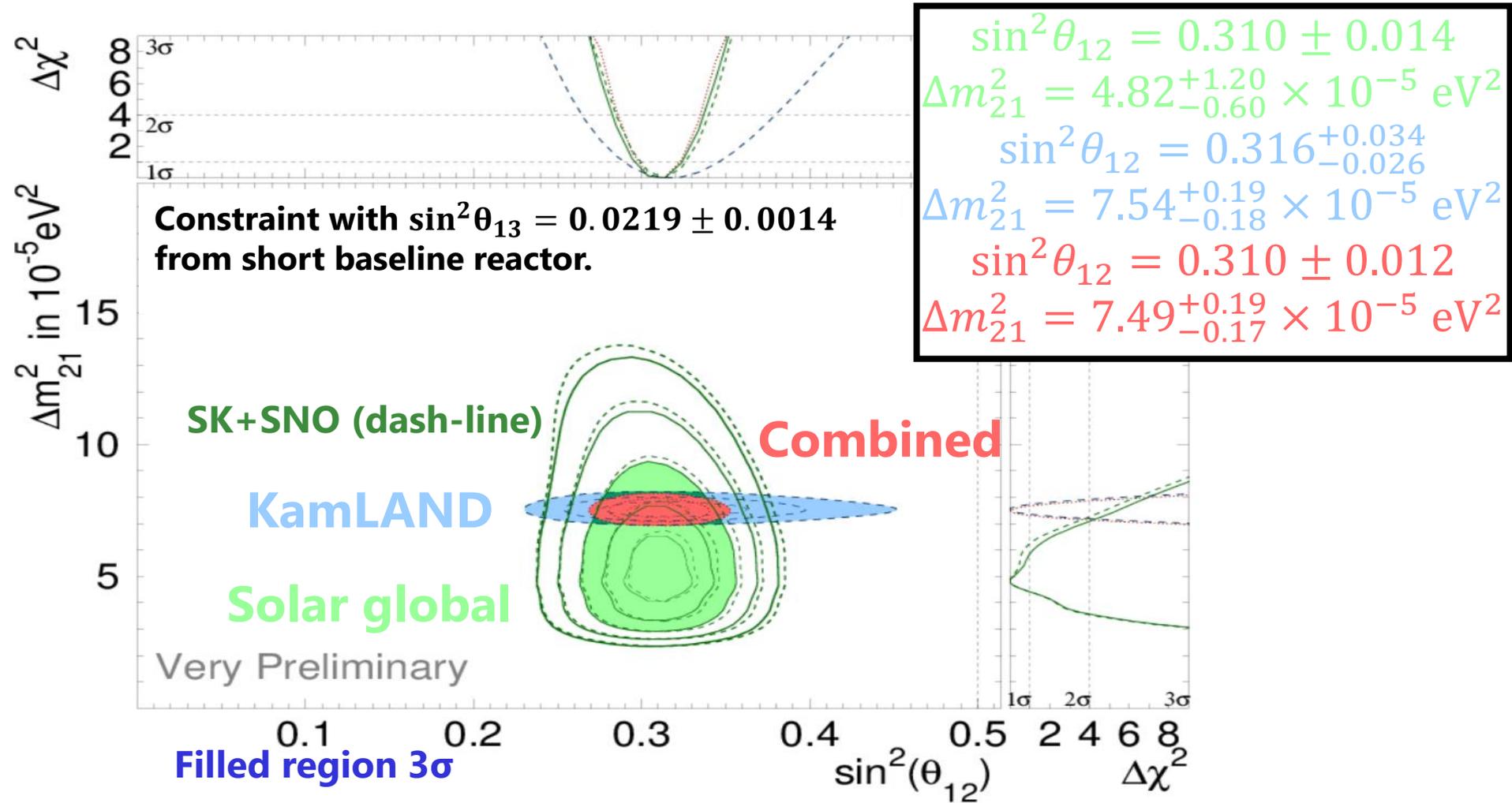
# Constraint on $\sin^2 \theta_{12}$ , $\Delta m_{21}^2$ (SK vs. SNO)

## ■ Oscillation parameters from SK and SNO

- SK result **uniquely selects the LMA-MSW** region by more than **3 $\sigma$** .
- **SK (SNO)** gives the best constrain on  $\Delta m_{21}^2$  ( $\sin^2 \theta$ ).



# Constraint on $\sin^2\theta_{12}, \Delta m_{21}^2$ (solar vs. KamLAND)

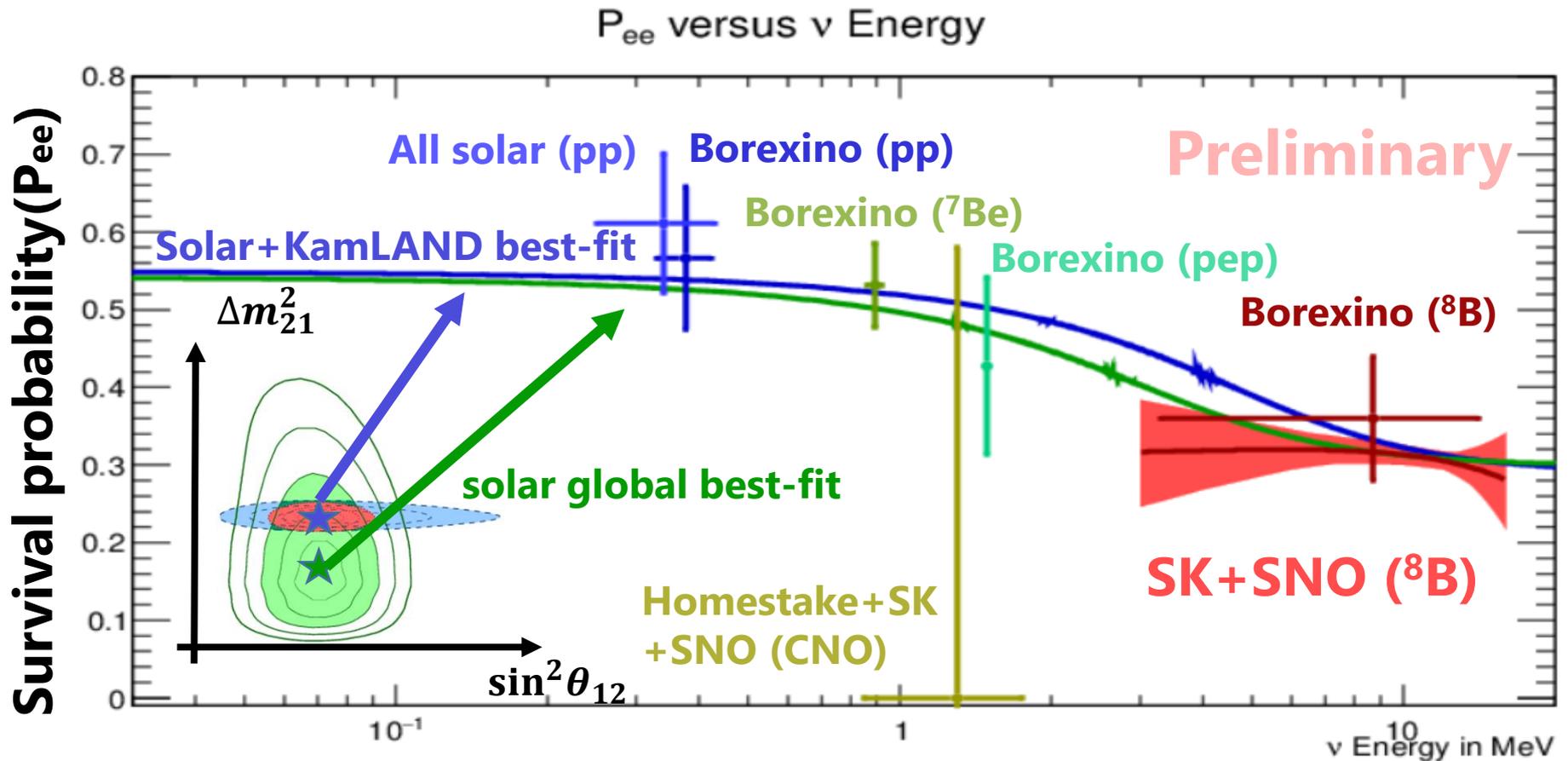


**$2\sigma$  tension in  $\Delta m_{21}^2$  between the solar global and KamLAND.**  
**Further precise measurement is required in future.**

# Allowed survival probability

## ■ Comparison among solar neutrino experiments

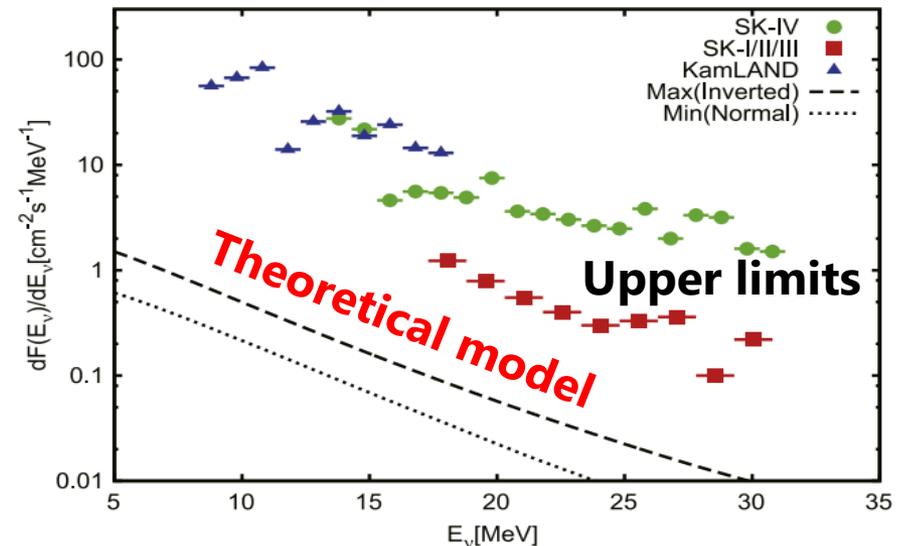
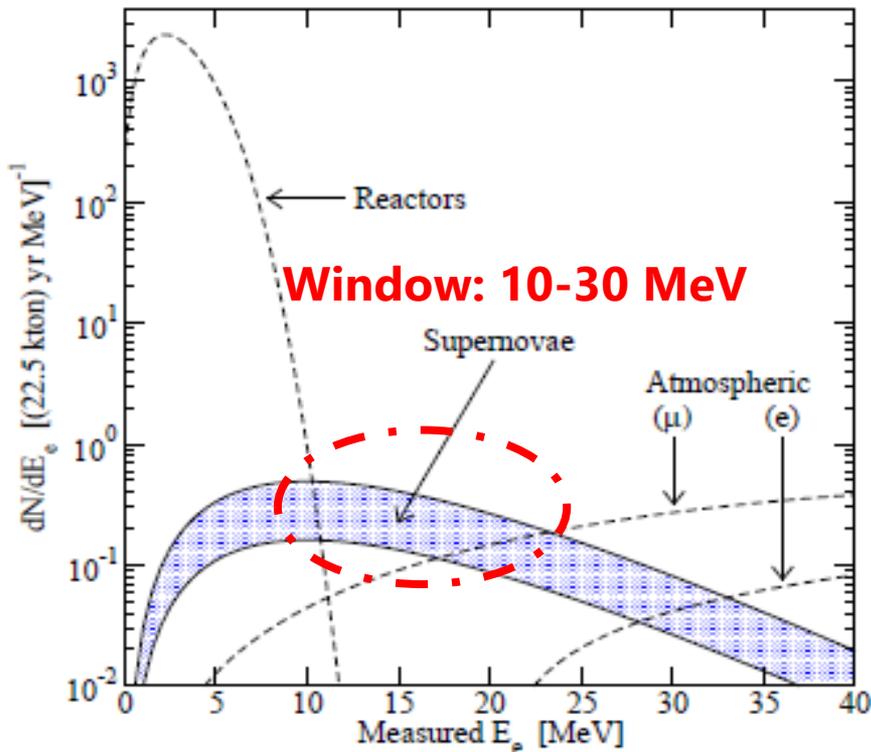
- Neutrino energy spectrum is de-convoluted from the recoil electron energy spectrum → Extract survival probability ( $P_{ee}$ ).
- This analysis gives **the strongest constraint** on  $P_{ee}$  shape.



# Future prospects (SK-Gd)

## ■ Supernova relic neutrino (SRN)

- SRN is generated from past supernova bursts.
- Further background reduction is required to search for SRN.
- Search for  $\bar{\nu}_e + p \rightarrow e^+ + n$  using delayed coincidence technique.
- **Tagging neutron** by adding **Gadolinium** into Super-Kamiokande.

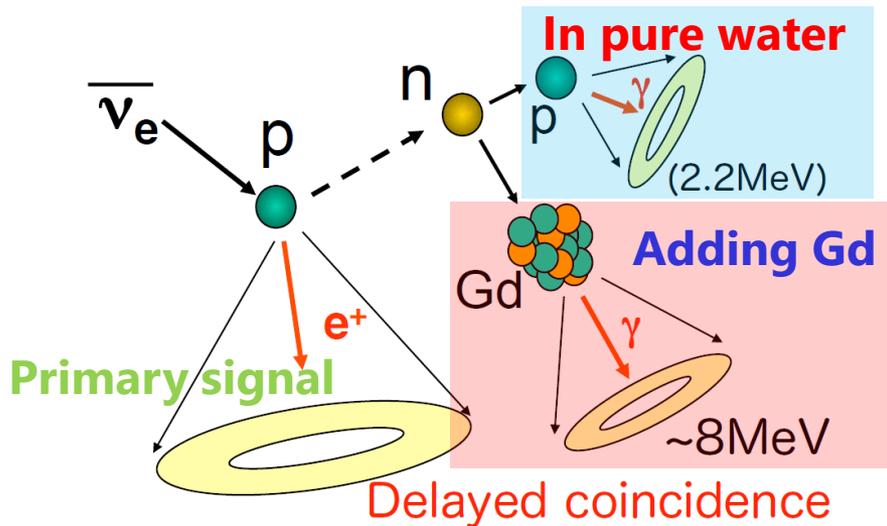


**Figure 1.** 90% C.L. differential upper limits on  $\bar{\nu}_e$  flux of SRNs. The squares, circles and triangles are results for Super-Kamiokande (SK-I/II/III, Bays et al. 2012), Super-Kamiokande with a neutron-tagging (SK-IV, Zhang et al. 2015) and KamLAND (Gando et al. 2012). Dashed and dotted lines correspond to our theoretical models with maximum and minimum values of SRN event rate, respectively (see also Table 3).

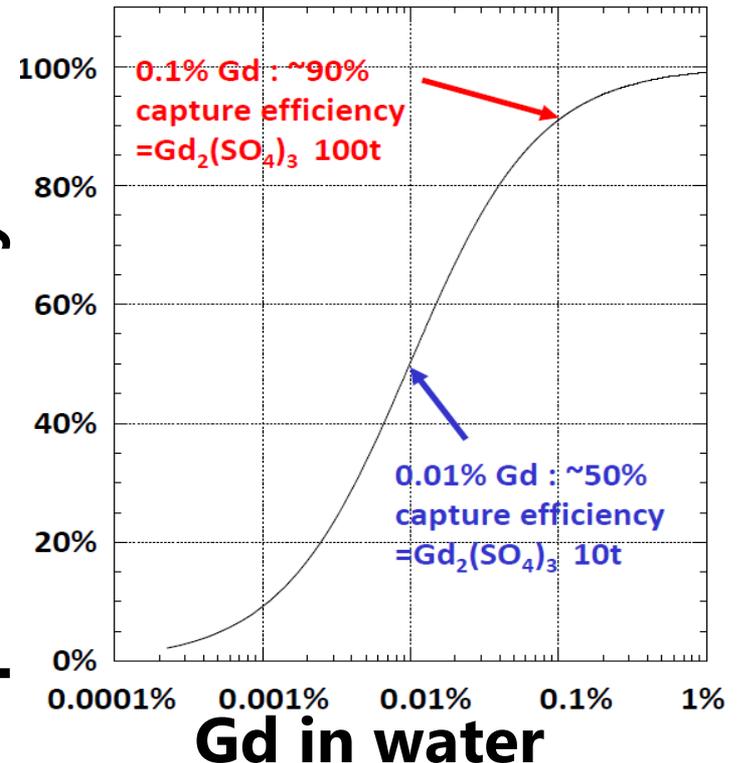
# Why Gadolinium (Gd)

## Neutron tagging

- Neutron tagging with hydrogen (free proton) is **only ~18% in SK**.
  - Because of small energy of  $\gamma$ -ray (2.2 MeV).
- Gd has a large thermal-neutron cross section.
  - Possible to **identify  $\bar{\nu}_e$  interaction** with delayed coincidence.
  - Large background reduction is expected for  $\bar{\nu}_e + p \rightarrow e^+ + n$ .



Capture efficiency on Gd

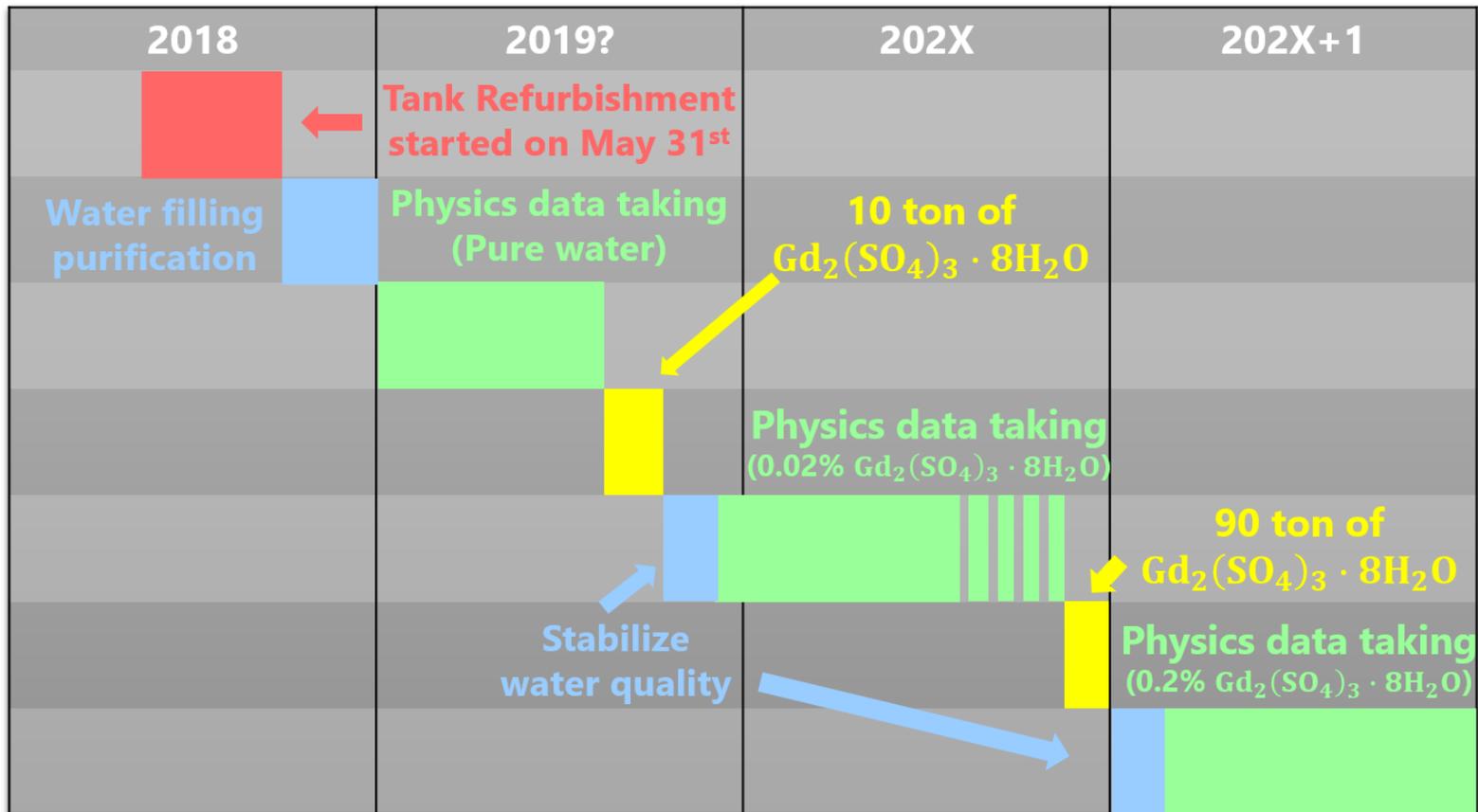


Time difference: ~30  $\mu\text{sec}$ , Vertex: ~50 cm.

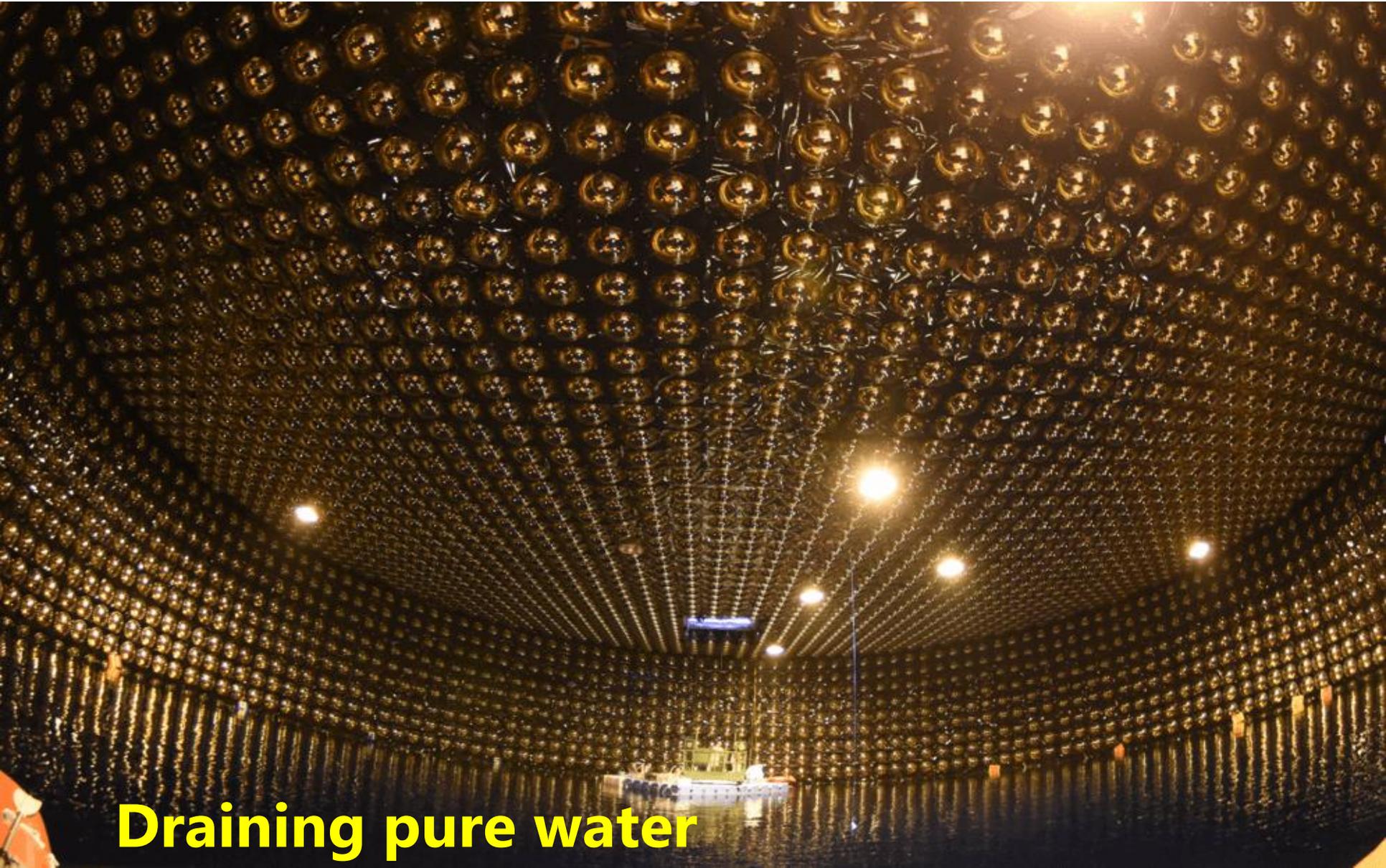
# Time table for SK-Gd project

## ■ Tank refurbish work and future plan

- Refurbish work has started since May 31<sup>st</sup>.
  - For water leakage fixing & replacement of broken PMTs
- Dissolving Gd into SK is expected in late 2019 (earliest case).



# Current status of refurbish work



**Draining pure water**

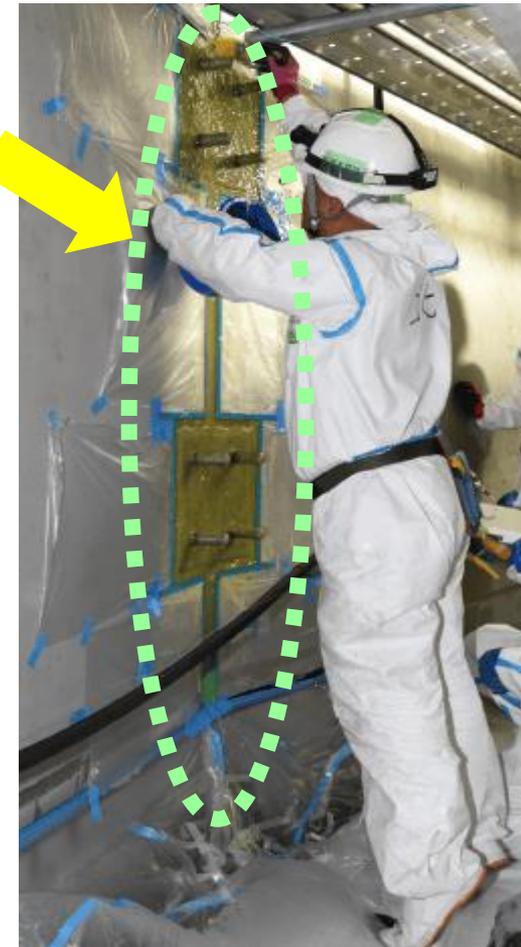
# Current status of refurbish work

## ■ PMT replacement



## ■ Water leakage fixing

Sealant materials are used to fix water leakage from welding point.



# Summary

- **Super-Kamiokande is the multi-purpose detector.**
  - **Many physics targets, such as neutrino, proton decay and so on.**
- **Atmospheric neutrino**
  - **Mass hierarchy: Preference to Normal hierarchy**  
 $\Delta\chi^2 = \chi_{NH}^2 - \chi_{IH}^2 = -5.2$  (SK+T2K).
  - **Tau neutrino appearance: Significance of signal  $4.6\sigma$ .**
- **Solar neutrino**
  - **No significant correlation** with the solar activity.
  - **$2\sigma$  tension in  $\Delta m_{21}^2$**  between the solar global and KamLAND.
- **Future prospect**
  - **Refurbish work toward SK-Gd is on-going.**
  - **Resume data taking in early 2019.**

**Back up slides**

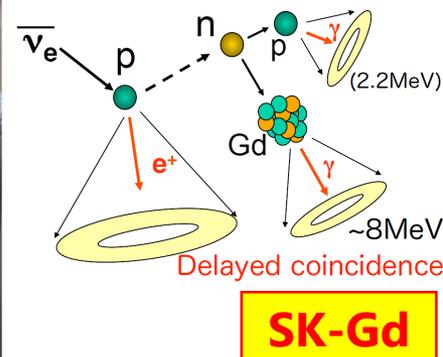
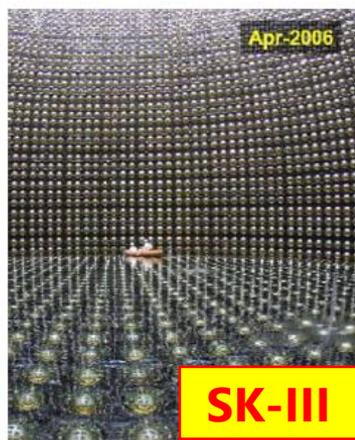
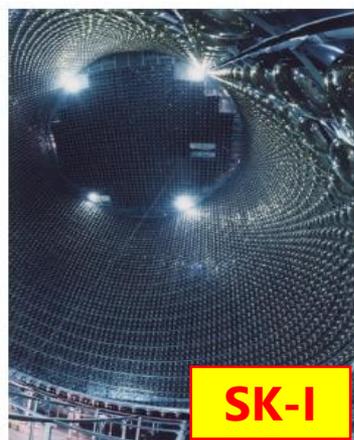
# History of Super-Kamiokande

## ■ Brief history and current status

- SK-I started on 1996 April and SK-IV ended on 2018 May.
- Total live time is more than **5,500 days**.
- Refurbishment works **toward SK-Gd** have started since May 31<sup>st</sup>.

96	97	98	99	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20
SK-I						SK-II				SK-III		SK-IV											SK-Gd	
PMT 11,146 (40%*)						5,182 (19%*)				11,129 (40%*)														
4.5 MeV**						6.5 MeV**				4.0 MeV**		3.5 MeV**												

\* Photo coverage [%], \*\* Recoil electron kinetic energy [MeV].



# 3-flavor neutrino oscillation

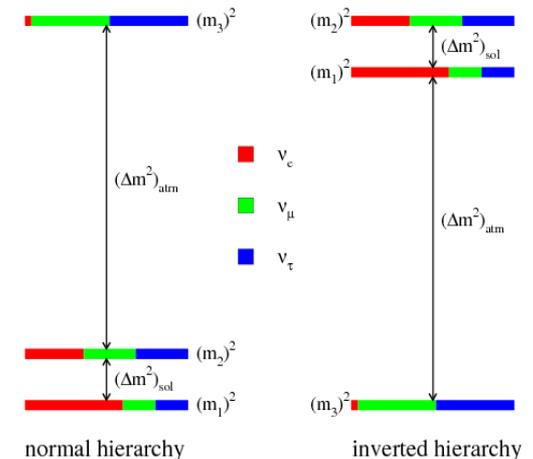
## ■ Neutrino oscillation

- Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix.
- Mixing parameters (angles, mass splitting) has been measured by many neutrino experiments.

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}}_{\text{Atmospheric, Accelerator}} \underbrace{\begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix}}_{\text{Reactor, Accelerator}} \underbrace{\begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{Solar, Reactor (KamLAND)}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

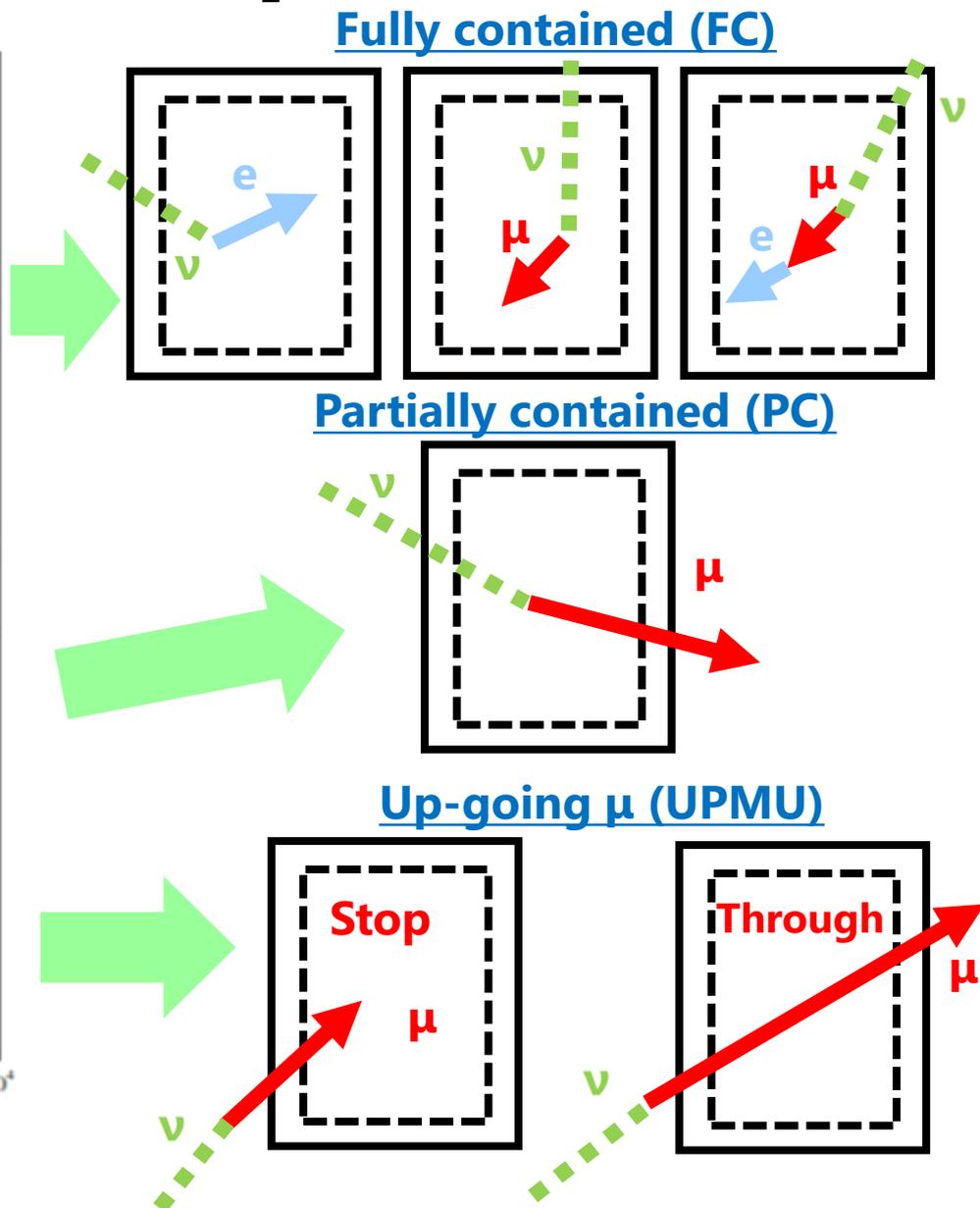
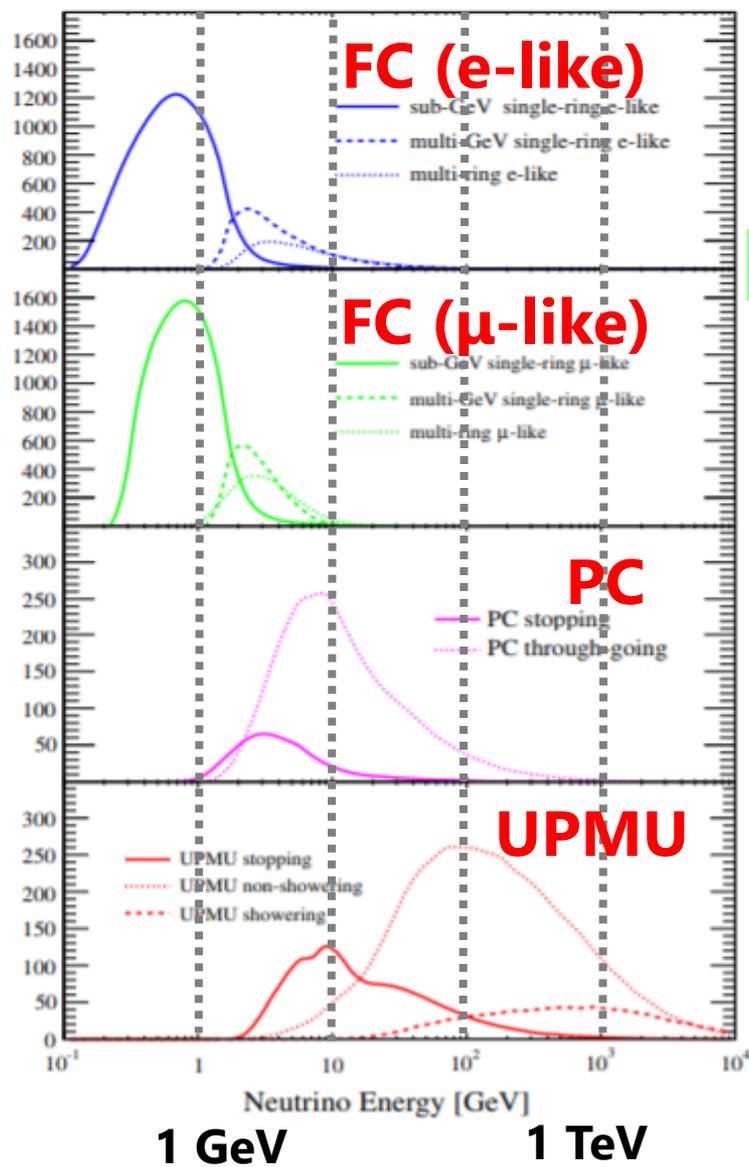
## ■ Unknown things

- **CP violation phase ( $\delta$ )** in the lepton sector.
- **Mass hierarchy (Normal/Inverted).**
- $\theta_{23}$  octant ( $\theta_{23} \lesseqgtr \pi/4$ ).

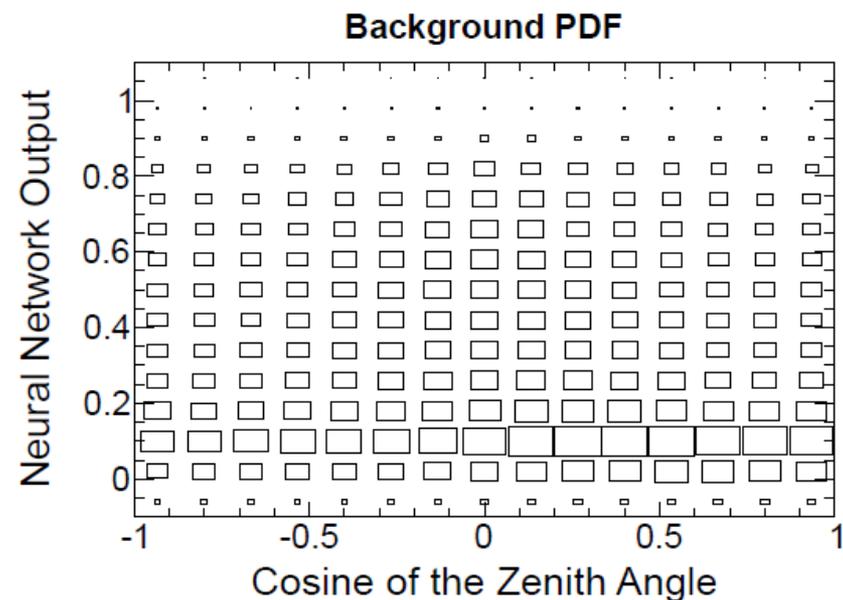
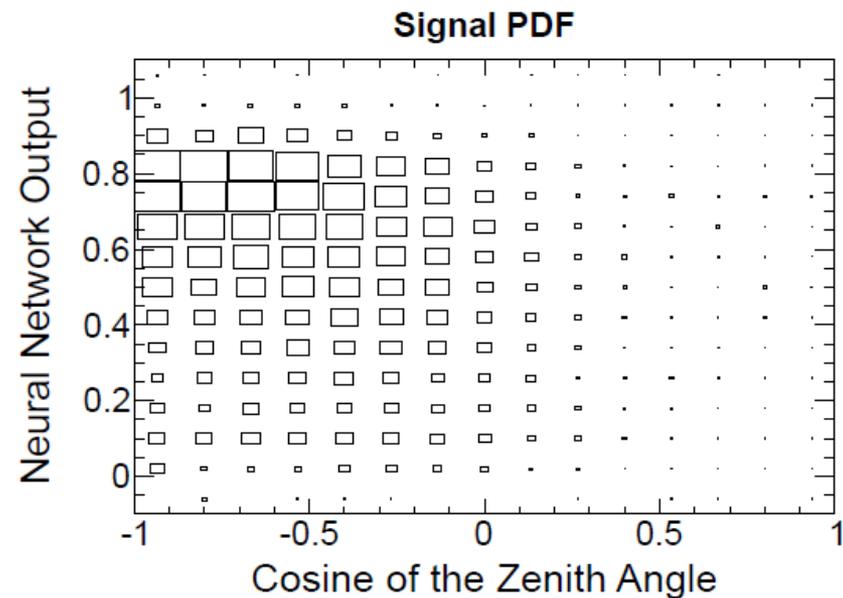
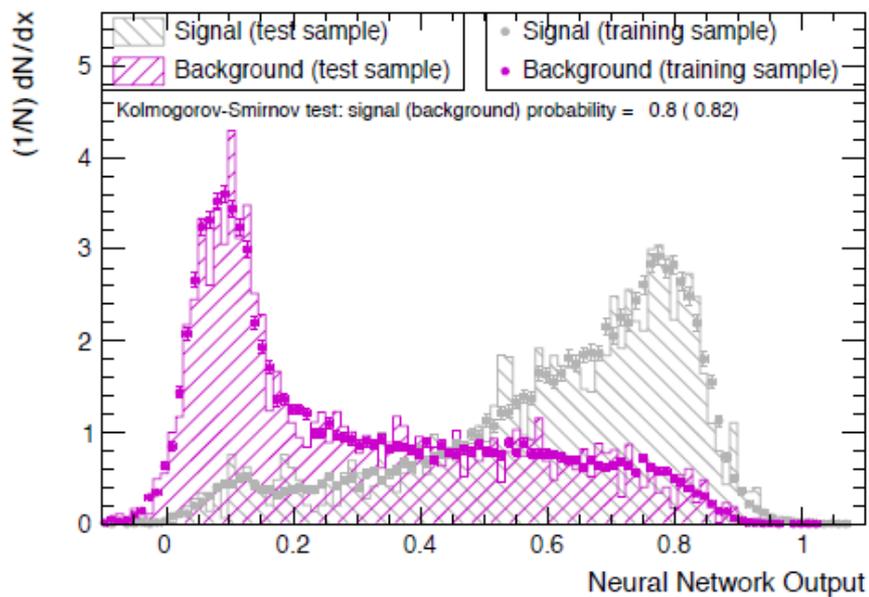


# Topologies of atmospheric $\nu$ events

Event/ $0.1 \text{ Log}_{10}(\text{E}\nu)/500\text{years (MC)}$

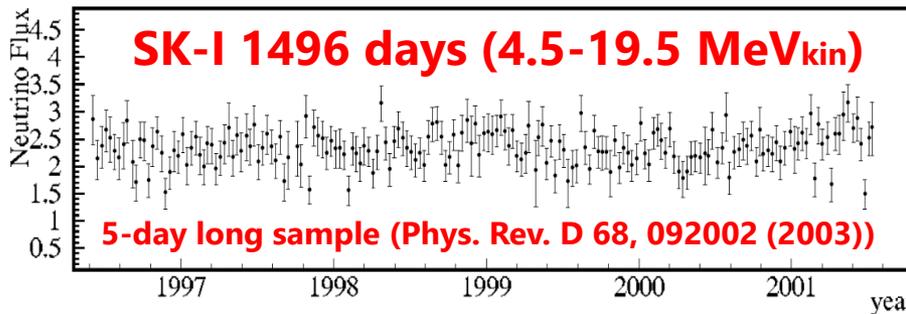


# Tau signal discrimination



# Periodic modulation analysis

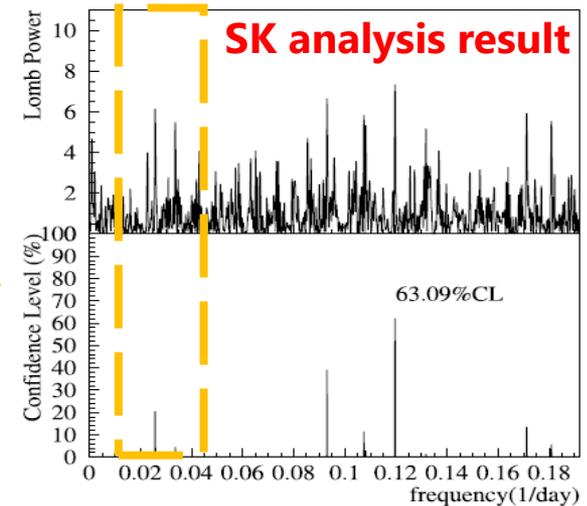
- SK collaboration reported the time variation of 5-day long sample of the observed  ${}^8\text{B}$   $\nu$  flux (Phys. Rev. D 68, 092002 (2003)).
- SK performed a periodic analysis using Lomb-Scargle (LS) method.



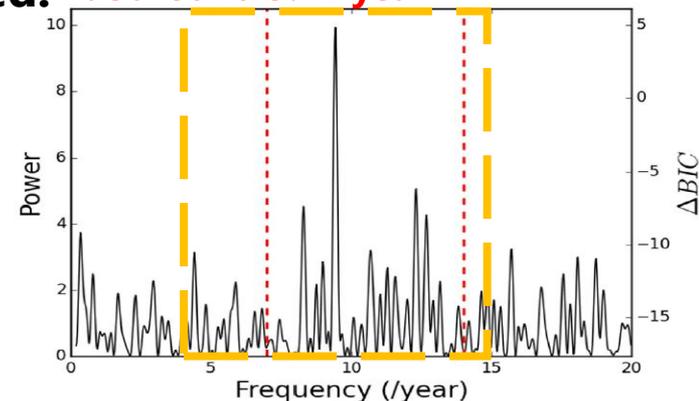
LS method



No clear periodic signal 5-15 year<sup>-1</sup>.



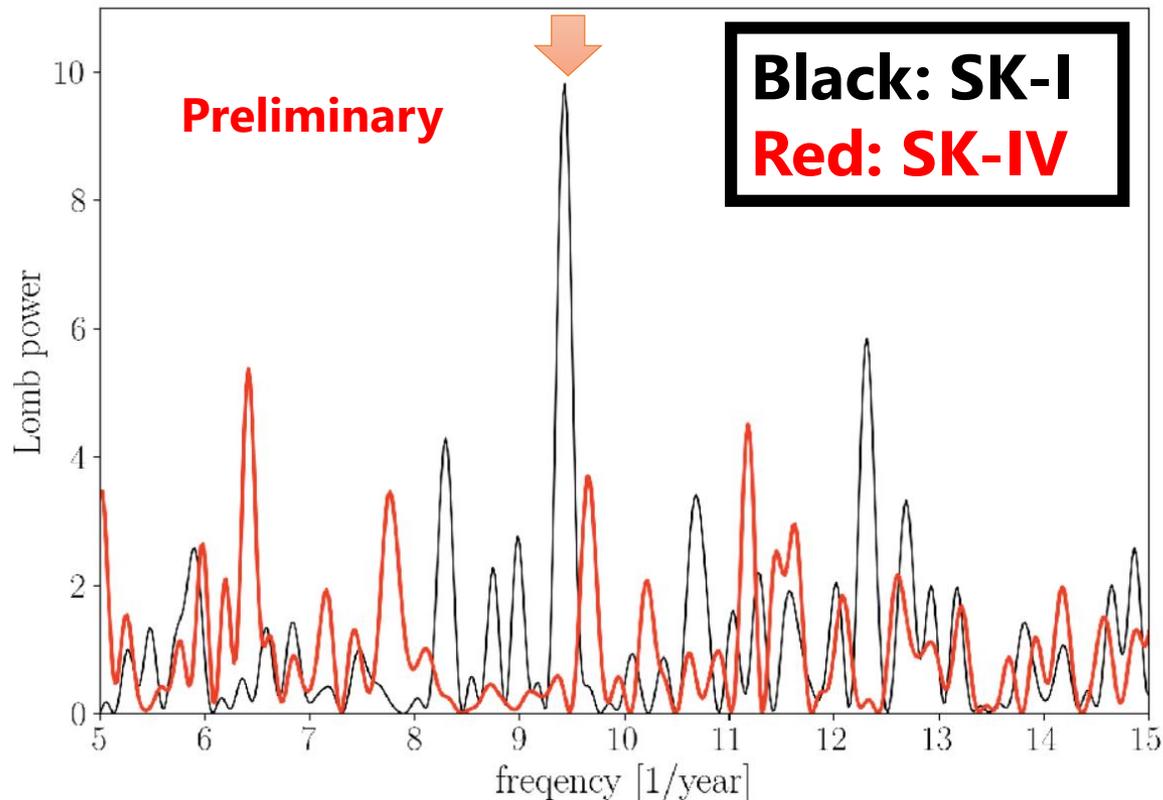
Several researchers found a peak at around 9.42 year<sup>-1</sup>



- Several papers reported that a maximum peak is observed at around 9.42 year<sup>-1</sup>.
  - Cf.) Astropart. Phys. 82, 86-92 (2016).
  - Generalized Lomb-Scargle (GLS) method is used.
- SK has reanalyzed SK-I data with GLS method provided by astroML.
- SK-IV data is also analyzed with GLS.

# Periodic modulation results

- Using the Generalized LS method, both SK-I and SK-IV are analyzed.
- 5-day long sample is made from SK-I data and SK-IV data.
  - SK-I: 1496 days data (4.5-19.5 MeV<sub>kin</sub>), Phys. Rev. D 68, 092002 (2003).
  - SK-IV: 1664 days data (4.5-19.5 MeV<sub>kin</sub>), Phys. Rev. D 94, 052010 (2016).
- Search region 5-15 year<sup>-1</sup>.
- **Maximum peak at around 9.42 year<sup>-1</sup> is not found in SK-IV.**



# Survival probability & oscillation parameters

## ■ Shape of energy spectrum

- Energy spectrum shape is sensitive to the oscillation parameters.
- SK uniquely selects MSW-LMA region by more than  $3\sigma$ .  
(While SNO can select LOW solution within  $3\sigma$ )

