

# Open Heavy Flavour production

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# Trailer



Goal of the talk is to present:

- the main physics motivations to measure open heavy flavour production in heavy-ion collisions
- a selection of the main results and open points
  - also for non-experts
  - as a trailer for next days, no spoiler!

I will not show results that were made public (as preliminary or submitted to arxiv) in 2018

# Soft and hard probes

N.b. a simplistic and incomplete classification!

## “Soft” probes

(e.g. light-flavour particle spectra and flow at low  $p_T$ )

### Probe system as a whole

Test hydrodynamic description to extract global properties of the medium and of its evolution (e.g. temperature, density, viscosity, expansion velocity)

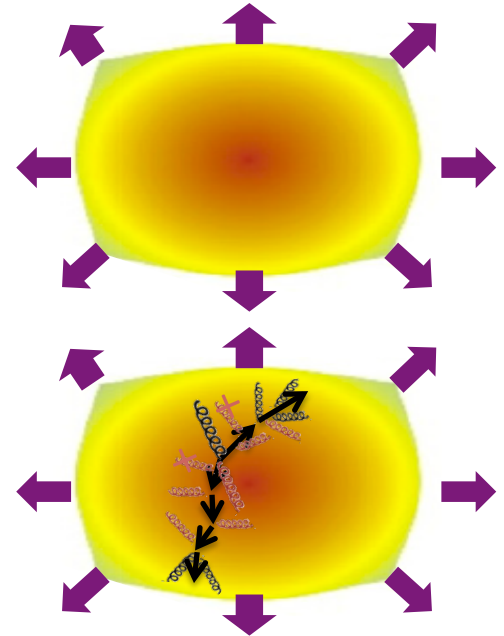
## “Hard” probes

(e.g. high  $p_T$  particles, heavy flavours, quarkonia, jets)

Access **microscopic processes in the medium**

Resolve medium constituents (quarks and gluons)

Address transport coefficients, mean free path,...



**Connection of global medium properties with “local” interactions**  
**Microscopic description of the medium**

# QGP tomography with high-energy partons

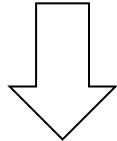
- Early production in hard-scattering processes with high  $Q^2$
- Production cross sections calculable with pQCD
- Strongly interacting with the medium

⇒ **“Calibrated probes” of the medium**

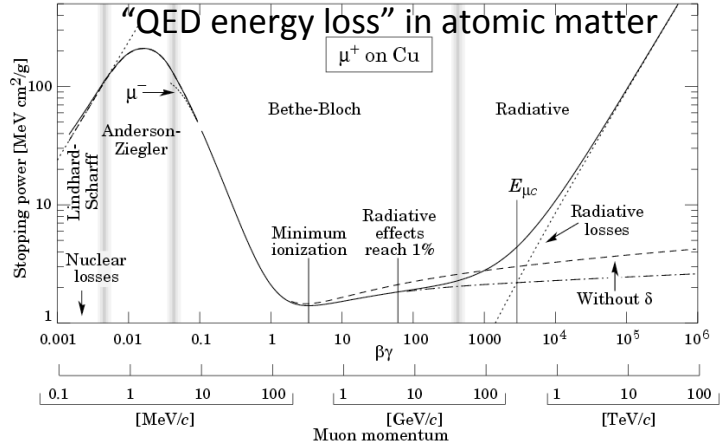
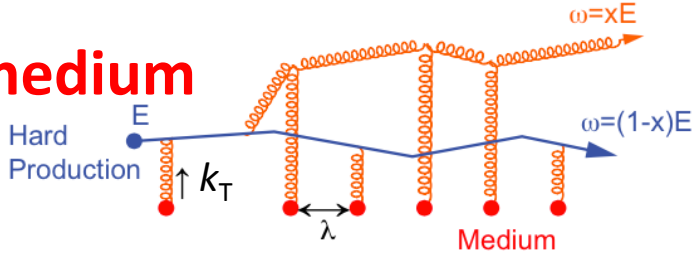
Study parton interaction with the medium

- **energy loss via radiative (“gluon Bremsstrahlung”)**  
**collisional (elastic) processes**

~ Study QCD “Bethe-Block” curve for partons in the QGP



**Connection of “local” interactions with global medium properties**





# QGP tomography with high-energy partons

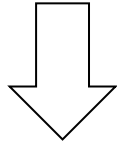
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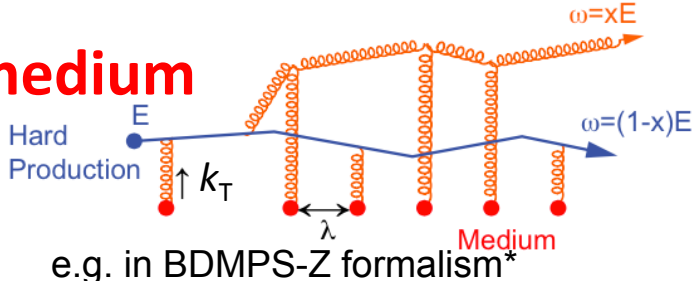
Study parton interaction with the medium

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**collisional (elastic) processes**

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**Connection of “local” interactions with global medium properties**



$$\langle \Delta E \rangle^{\text{rad}} \propto \alpha_s C_R \hat{q} L^2$$

More by K. Zapp

$$\hat{q} = \frac{\langle k_T^2 \rangle}{\lambda} = \langle k_T^2 \rangle \rho \sigma$$

*Transport coefficient(s)*

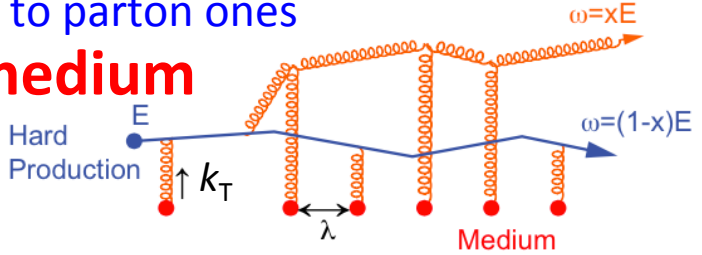
\*Baier, Dokshitzer, Mueller, Peigné, Schiff, NPB 483 (1997) 291. Zakharov, JTEPL 63 (1996) 952.

# QGP tomography with heavy quarks

- Early production in hard-scattering processes with high  $Q^2$
- Production cross sections calculable with pQCD
- Strongly interacting with the medium
- Hard fragmentation  $\rightarrow$  measured meson properties closer to parton ones

at all  $p_T$  for charm and beauty  
(large masses  $\gg \Lambda_{\text{QCD}}$ )

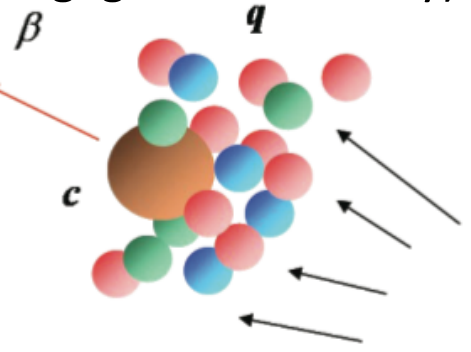
$\Rightarrow$  **“Calibrated probes” of the medium**



Thermal production and annihilation rate expected small at LHC (negligible for beauty)

Unique feature: **identified partons**  $\rightarrow$  **“markers” of the medium**

- quarks
- massive ( $m_c \sim 1.2-1.5 \text{ GeV}/c^2$ ,  $m_b \sim 4.5 \text{ GeV}/c^2$ )



# The nuclear modification factor

**Nuclear modification factor ( $R_{AA}$ ):** compare particle production in nucleus-nucleus (A-A) collisions with that in pp scaled by a “geometrical” factor (from Glauber model)

$$R_{AA}(p_T) = \frac{dN_{AA} / dp_T}{\langle T_{AA} \rangle \times d\sigma_{pp} / dp_T}$$

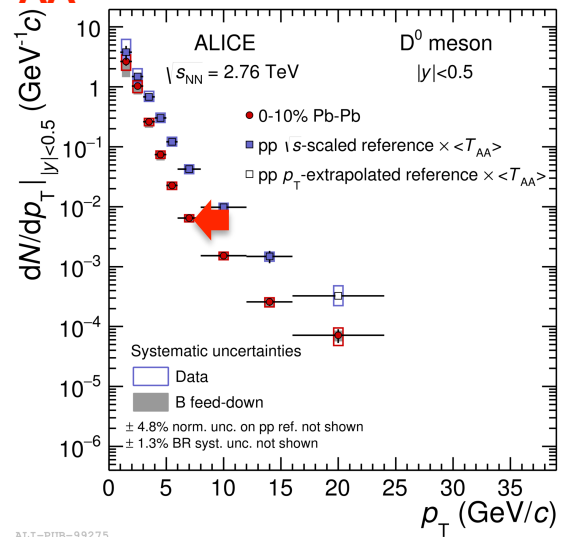
A-A

pp

Nuclear overlap function, encodes collision geometry

Energy loss → “redshift” of the initial  $p_T$ -spectrum, which is steeply falling with  $p_T$  →  $R_{AA} < 1$  at moderate/high  $p_T$

If  $R_{AA} = 1$  → no nuclear effects  
 If  $R_{AA} \neq 1$  → nuclear effects



# The nuclear modification factor

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**Never forget:**

$$\frac{dN_{AA}}{dp_T} = \begin{array}{ccccccc} \text{"vacuum"} & \otimes & \text{initial-state} & \otimes & \text{parton interaction} & \otimes & \text{(modified?)} & \otimes & \text{hadronic} & \left( \otimes & \text{decay kinematics} \right) \\ \text{parton spectra} & & \text{effects} & & \text{with the medium} & & \text{hadronization} & & \text{phase} & & \text{e.g. for leptons} \end{array}$$

**Measured spectra in AA collisions result from a convolution of many pieces**

→ must measure observables with different sensitivity to the various ingredients

→ Physics program requires precise measurements in pp, p-A, A-A collisions

→ interpretation of the results requires comparison with models

# The nuclear modification factor

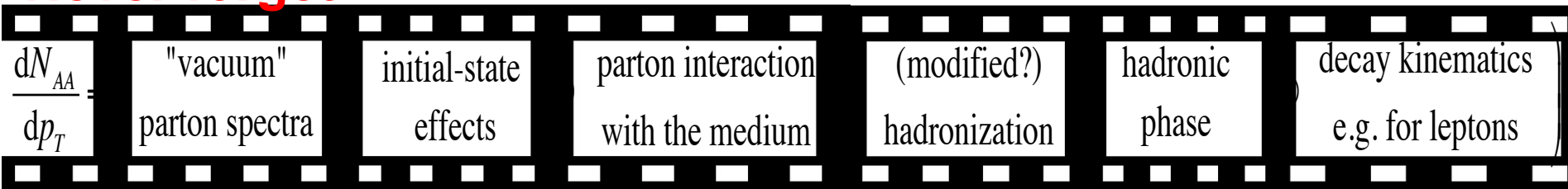
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Prologue:  
what do we actual measure?

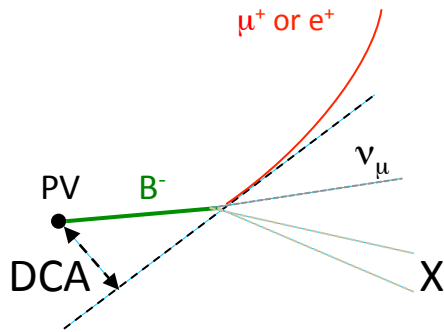
# HF signals in experiments

Leptons:

$c, b \rightarrow c$ -hadrons  $\rightarrow eX$

$c, b \rightarrow c$  hadrons  $\rightarrow \mu X$

BR~10%



## Analyses with muons

- absorbers  $\rightarrow$  identification
- exploited also for triggering

Typical problem: rejection/subtraction of non-HF muons from decay of  $\pi, K$  (at low  $p_T$ , depends on detector configuration), charmonia/bottomonia, and  $W, Z$  (at high  $p_T$ )

## Analyses with electrons

- PID detectors: TPC, TOF, TRD, EMCAL ( $E/p$ )

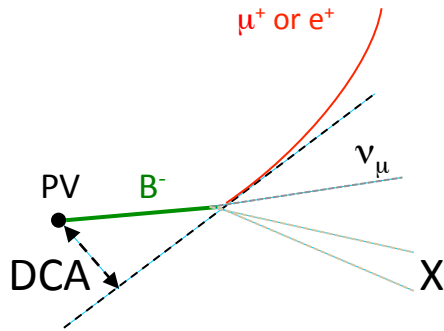
Typical problem: rejection/subtraction of non-HF electrons from  $\gamma$ -conversions, light pseudo-scalar meson Dalitz decay (e.g.  $\pi^0 \rightarrow \gamma e^+ e^-$ ), charmonia/bottomonia, and  $W, Z$  (at high  $p_T$ )

Main **advantage**: relatively abundant signals, muons are a rather “clean” signal

Main **limitation**:  $p_T$ -dependence of physics effect “**smeared**” by decay kinematics over a wide  $p_T$  interval + cannot disentangle effects dependent on **parent particle species** (strangeness content, baryon vs. mesons, mass)

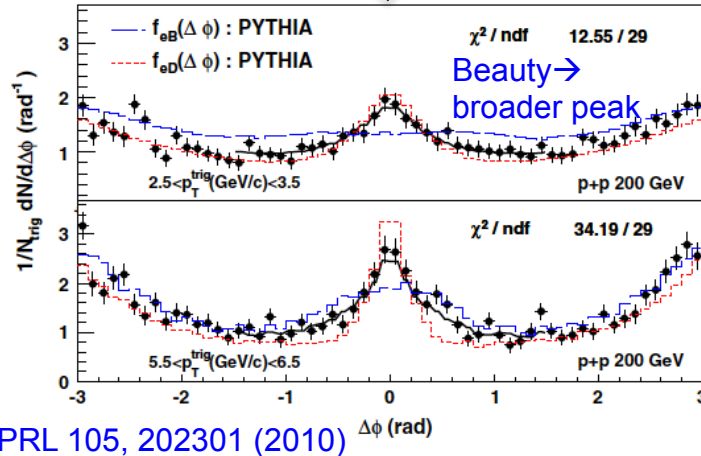
# HF signals in experiments

Beauty Leptons:  
 $b \rightarrow c$ -hadrons  $\rightarrow eX$   
 $b \rightarrow c$ -hadrons  $\rightarrow \mu X$



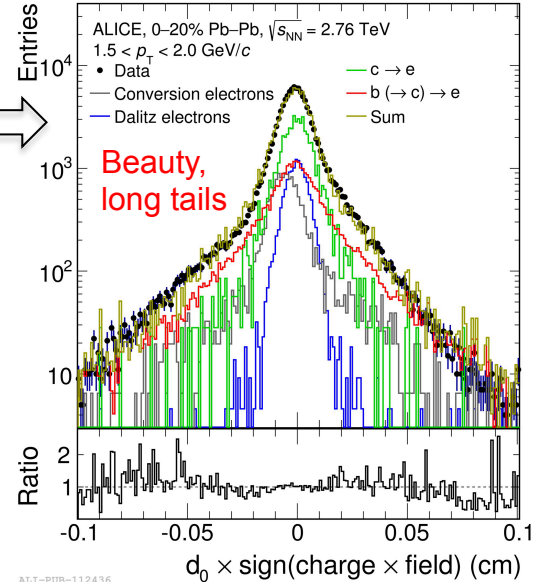
Exploit “Distance of Closest Approach” (DCA) to separate charm and beauty components

Or azimuthal correlations of leptons with charged particles



STAR, PRL 105, 202301 (2010)

JHEP 07 052 (2017):



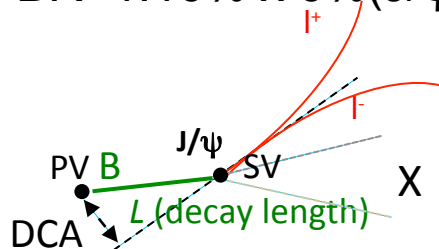
b-hadron  $c\tau \sim 450 \mu\text{m}$   
c-hadron  $c\tau \sim 30-312 \mu\text{m}$



# HF signals in experiments

Displaced  $J/\psi$  from b-hadron decay

BR  $\sim 1.16\% \times 6\% (J/\psi \rightarrow l^+l^-) \sim 0.07\%$

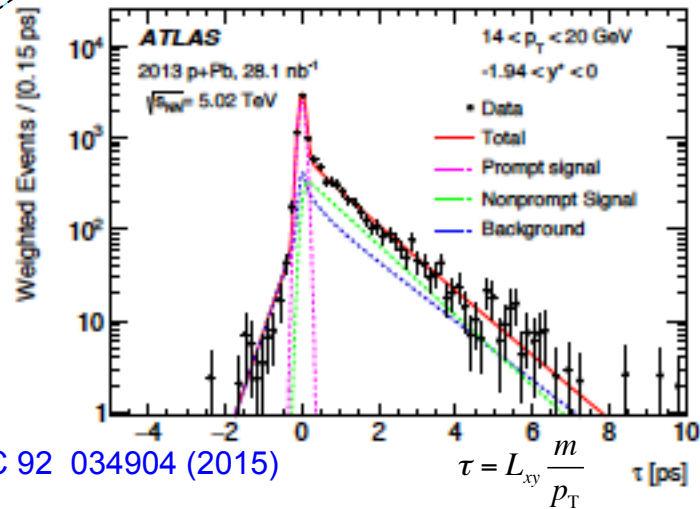


Signature: displaced secondary vertex  
 Usually 2D (decay-length, inv. mass) fit to separate prompt and non-prompt fractions  
 $\rightarrow$  needs detectors that grant precision on track position at the Primary Vertex

$\rightarrow$  **silicon tracker, pixels**

Rare signal! But can trigger on  $J/\psi$  (di-muon pair)

$J/\psi$  kinematics closer to B meson kinematics than  $b \rightarrow c \rightarrow l$  lepton ones  $\rightarrow$  **more direct connection with  $p_T$ -trends of physical effects**



# HF signals in experiments

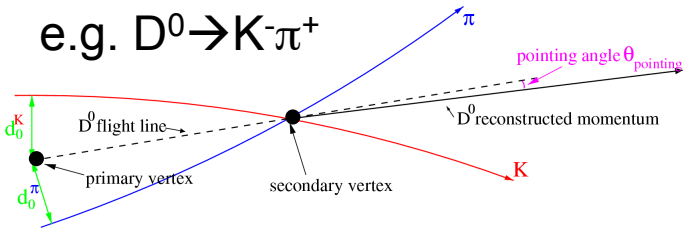
Full reconstruction of hadronic decay channels

BR: typically 2-10% for charm hadrons

For beauty (e.g.  $B^+ \rightarrow J/\psi K^+$ ):

$\sim 0.1\% \times 6\% (J/\psi \rightarrow l^+l^-) \sim 0.006\%!!$

e.g.  $D^0 \rightarrow K^- \pi^+$

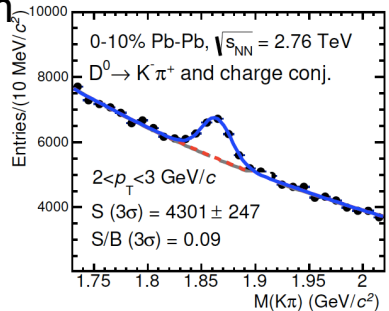


impact parameters  $\sim 100 \mu\text{m}$

$D \ c\tau \sim 123\text{-}312 \mu\text{m}$

$\Lambda_c \ c\tau \sim 60 \mu\text{m}$

$B, \Lambda_b \ c\tau \sim 450 \mu\text{m}$



**Ideal observables!**

No decay kinematics, differentiation of particle-species-dependent effects

**Very challenging:**

- rare signals (very rare for beauty)
- combinatorial background increases with the power of  $n_{prongs} \rightarrow$  very low S/B w/o cuts

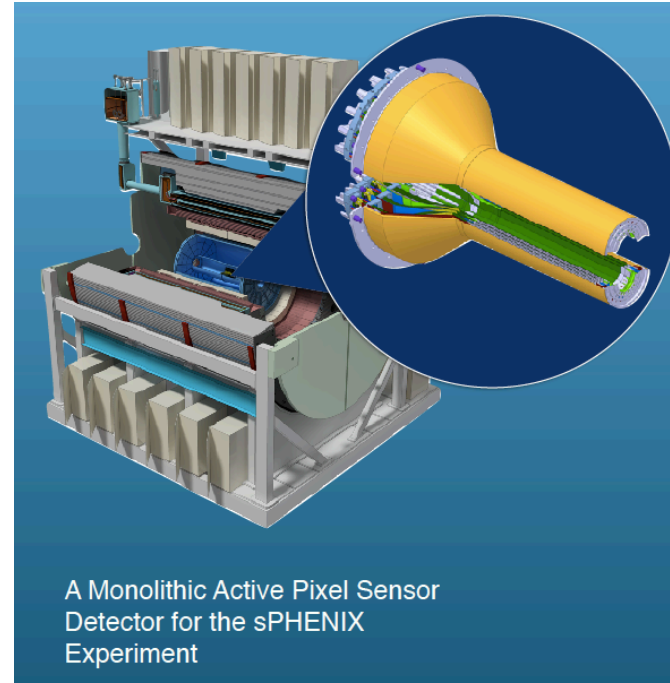
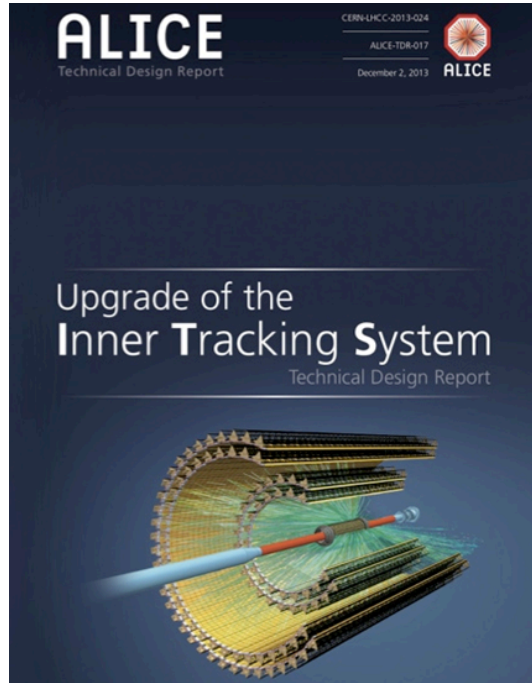
Signature: displaced secondary vertex topology + PID of daughter tracks (K,p ID for charm, muons for B for channels with  $J/\psi$ )

$\rightarrow$  **ten(s)-micron resolution at PV needed for charm!**

$\rightarrow$  Require **silicon tracker, pixel detectors**

Invariant mass analysis to extract the raw yield

# Vertex detectors: key role now and in the future



→ Detector upgrade session!

# The nuclear modification factor

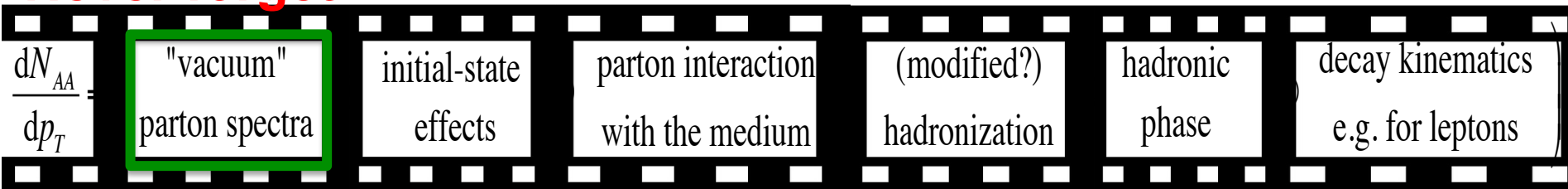
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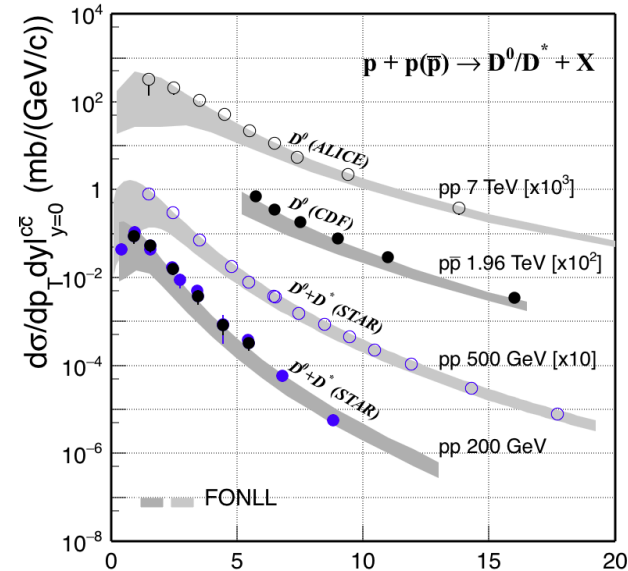
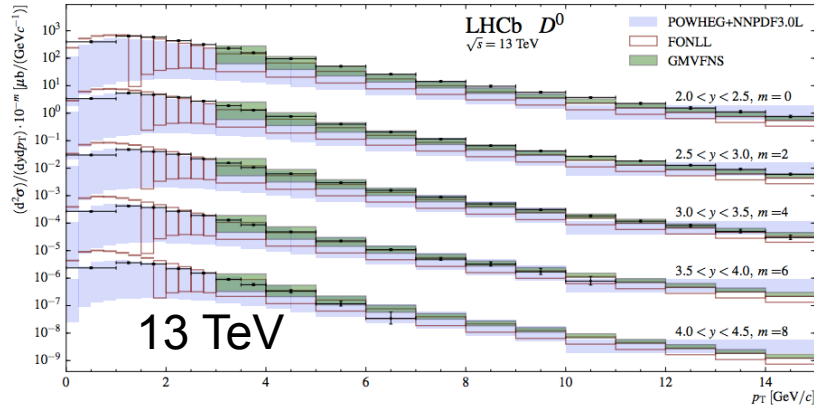
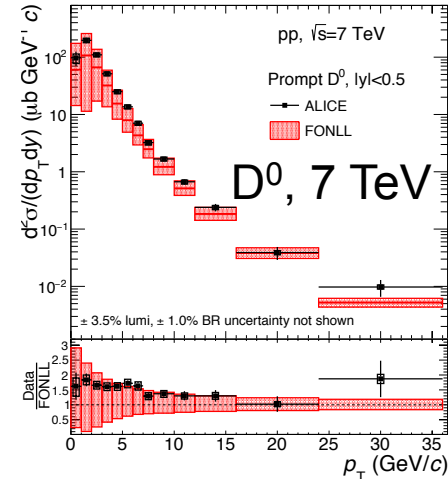
→ interpretation of the results requires comparison with models

# Heavy-flavour production in pp collisions

# Charm production in pp collisions

EPJ C77 550 (2017)

JHEP 03 (2016) 159, 09 (2016) 013



D-meson  $p_T$ -differential cross section described by pQCD calculations based on collinear factorisation

$$\frac{d\sigma^D}{dp_T^D}(p_T; \mu_F, \mu_R) = PDF(x_1, \mu_F) PDF(x_2, \mu_F) \otimes \frac{d\sigma^c}{dp_T^c}(x_1, x_2, \mu_R, \mu_F) \otimes D_{c \rightarrow D}(z = p_D/p_c, \mu_F)$$

Parton Distribution Function

Partonic cross section

Fragmentation Function  
extracted from  $e^+e^-$  and  $e-p$  data

Transverse Momentum  $p_T$  (GeV/c)

STAR, PRD 86 (2012) 072013, QM14

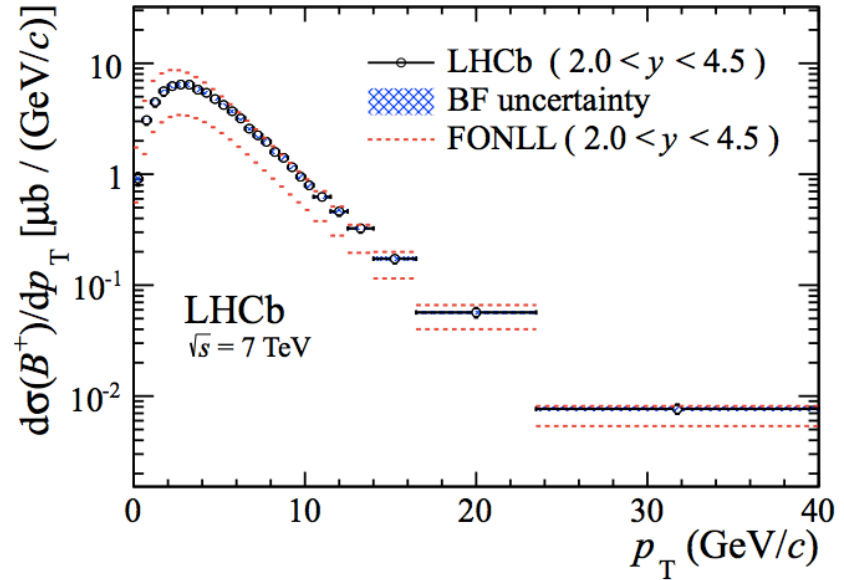
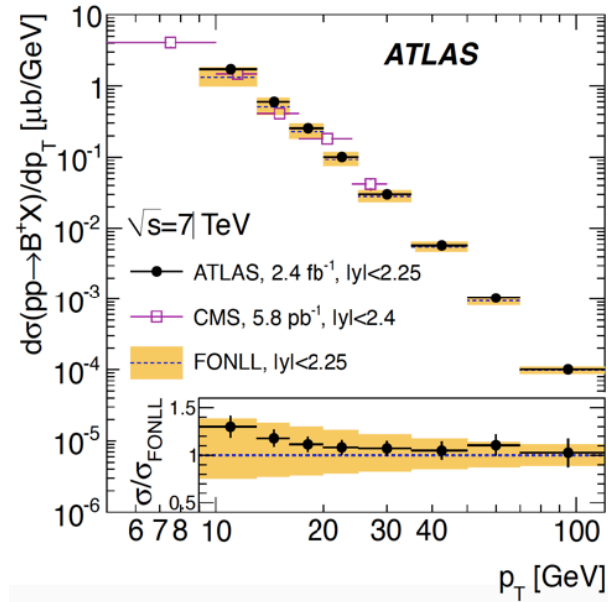
CDF, PRL 91 (2003) 241804

ALICE, JHEP 01(2012) 128, arXiv: 1702.00766

LHCb, JHEP 03 (2016) 159, 09 (2016) 013

Very precise data, on upper edge of FONLL uncertainty band (which is large at low  $p_T$ )

# Beauty production in pp collisions

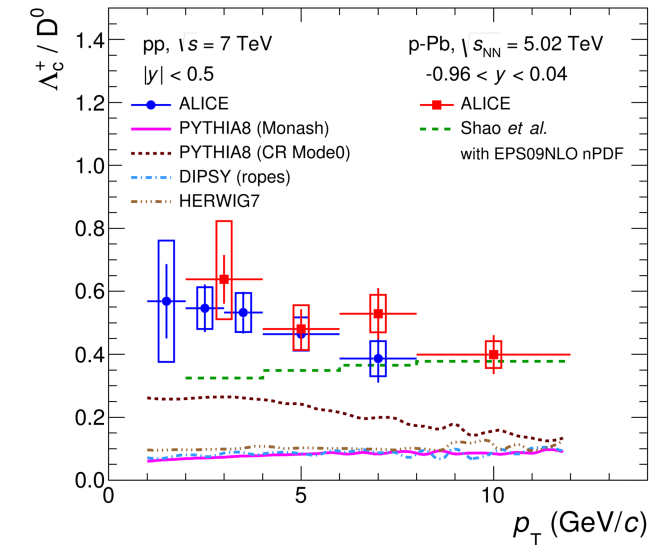
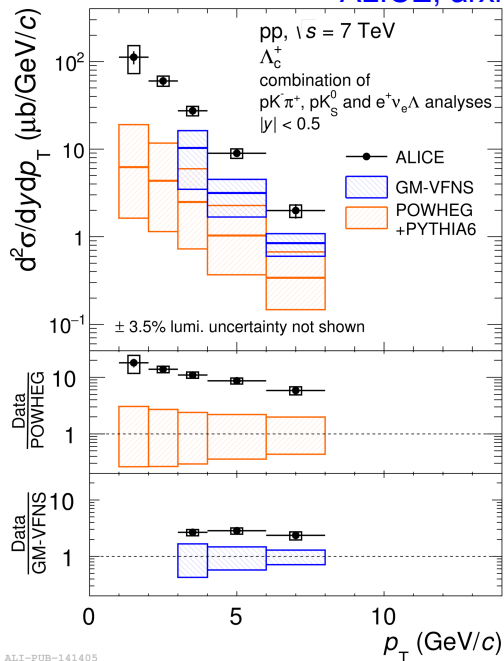


B-meson production also described by pQCD

... so far so good, HF production theoretically under control in pp collisions

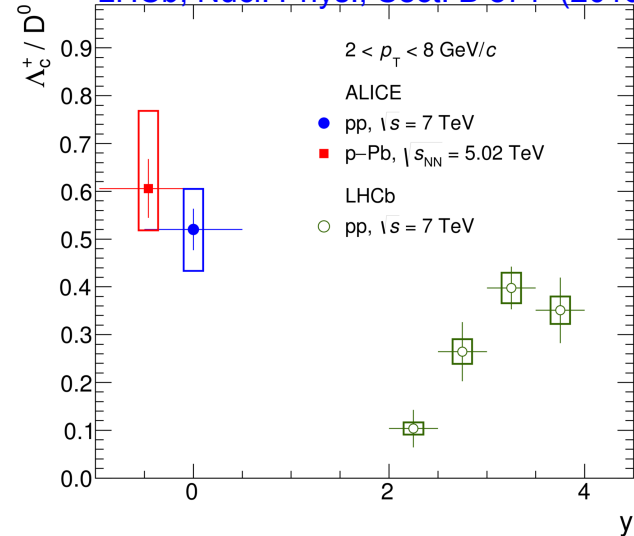
# Charm baryons

ALICE, arxiv 1712.09851



ALI-PUB-141421

LHCb, Nucl. Phys., Sect. B 871 (2013)



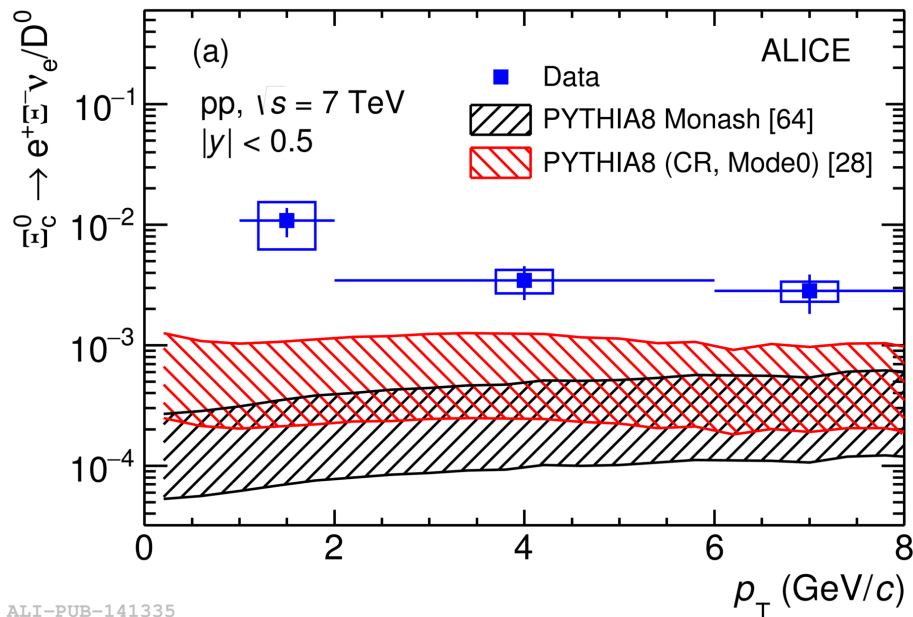
ALI-PUB-141417

- $\Lambda_c$  production cross section higher than theoretical expectations
- $\Lambda_c/D^0$  higher than theoretical expectations (large uncertainties)
  - Rapidity trend?



# Charm baryons

PLB 781 8-19 (2018)



ALI-PUB-141335

Also  $(\Xi_b \rightarrow) \Xi_c^0 / D^0 \times \text{BR}$  higher than theoretical expectations (large uncertainties)

→ **Is charm hadronisation understood?**

→ Need to reduce experimental uncertainties to provide more precise input to models

# The nuclear modification factor

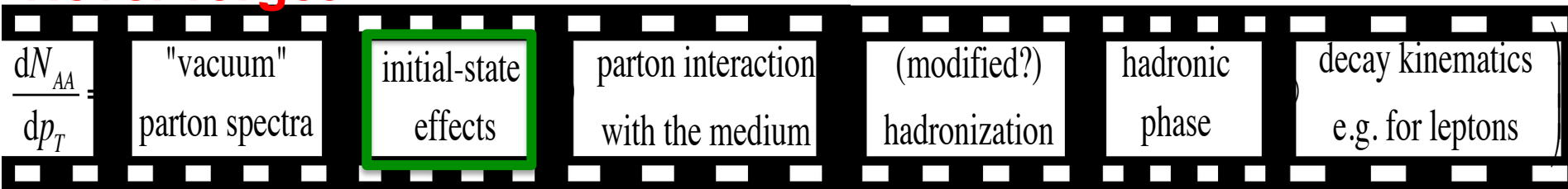
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If  $R_{AA}=1$   $\rightarrow$  no nuclear effects

If  $R_{AA} \neq 1$   $\rightarrow$  nuclear effects

**Never forget:**



- How can we prove that  $N_{coll}$ -scaling works?
  - $R_{AA}$  can deviate from unity in nuclear collisions also w/o the formation of a QGP

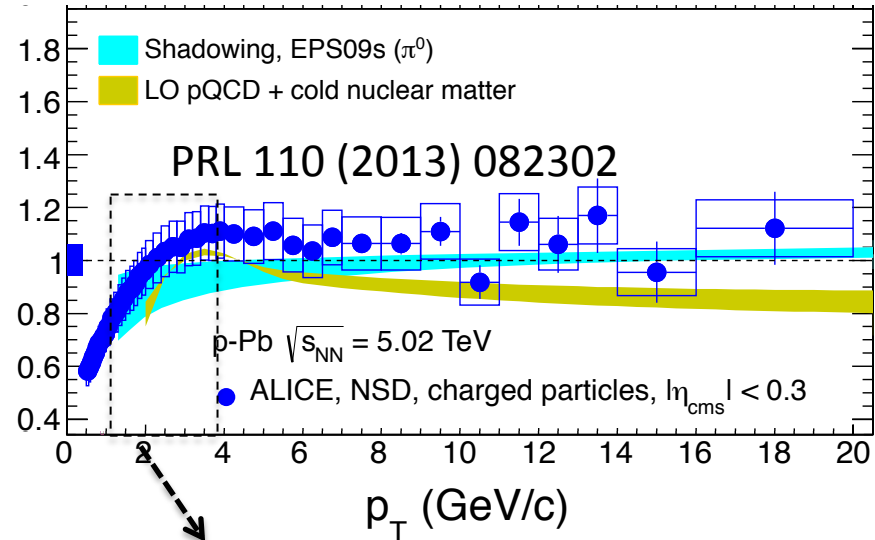
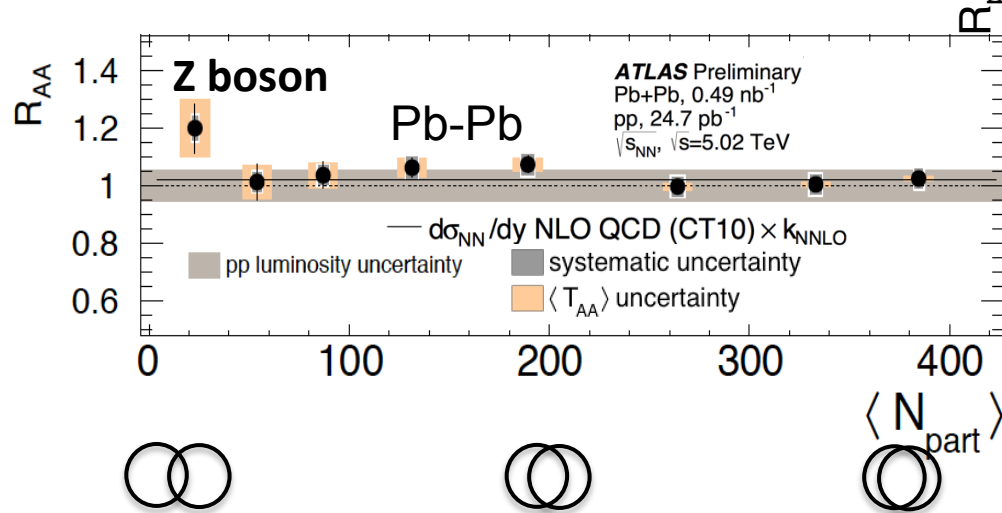
Proton (d) - nucleus collisions to  
address Cold Nuclear Matter effects

# The original goal for d-Au, p-Pb collisions

How can we be sure that we have the collision geometry under control?

Smaller/simpler collision systems (QGP not formed / not big impact on hard-probe production)

Probes not sensitive to medium formation  
 → electroweak signals ( $\gamma, W, Z$  bosons)



Caveats: initial/final-state cold-nuclear matter effects, mainly at low  $p_T$

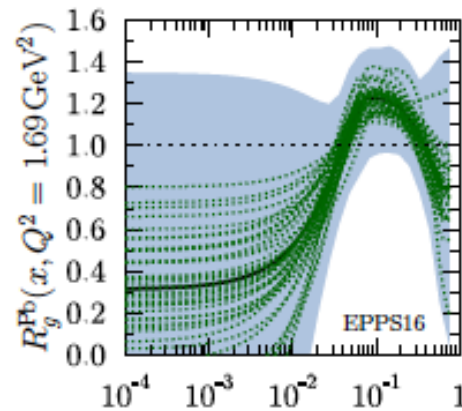
# Cold nuclear matter effects

Effects, not ascribed to QGP, that can modify open-HF production in nuclear collisions:

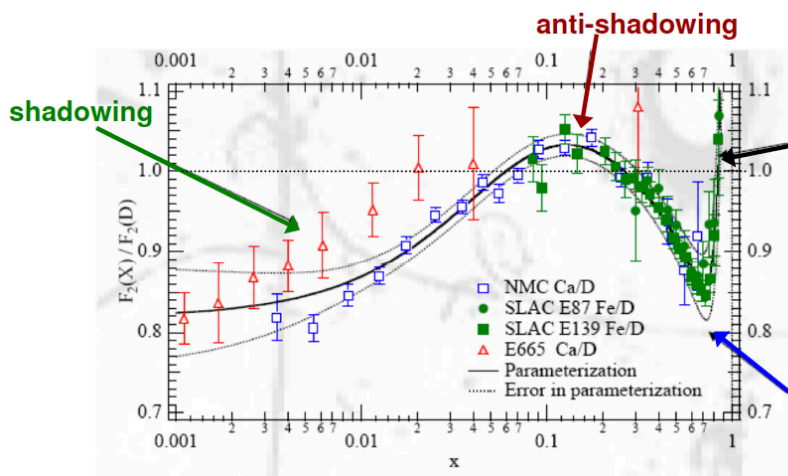
- **Nuclear modification of the PDFs**

- shadowing at low Bjorken- $x$  dominant effect for open HF at LHC energies, anti-shadowing also relevant at RHIC

Gluon PDF at low  $x$  not well constrained by data



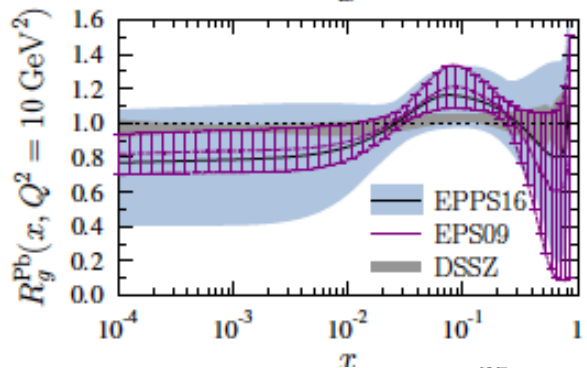
K. Eskola et al., EPJ C 77 (2017)



“bound” proton PDF in Pb nucleus

$$R_g^{Pb}(x, Q^2) = \frac{f_g^{p/A}(x, Q^2)}{f_g^p(x, Q^2)}$$

“free” proton PDF



# Cold nuclear matter effects

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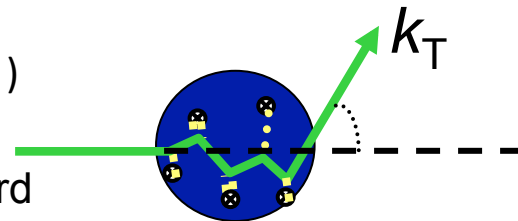
- **Nuclear modification of the PDFs**

- shadowing at low Bjorken- $x$  dominant effect for open HF at LHC energies, anti-shadowing also relevant at RHIC
- “**saturation**” at low  $x \rightarrow$  non-linear PDF evolution  $\rightarrow$  need different calculation schemes (e.g. Colour-Glass Condensate, e.g. H.Fujii and K.Watanabe, Nucl.Phys.A915(2013) 1 )

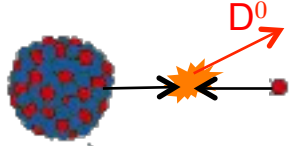
- **$k_T$ -broadening**

Due to multiple elastic collisions of the parton before the hard scattering  $\rightarrow$  can cause  $R_{pA} > 1$  around 2-3 GeV/c

- **energy loss in the initial or final state in cold nuclear matter**

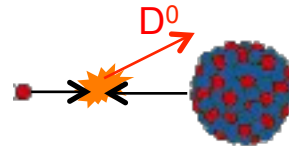
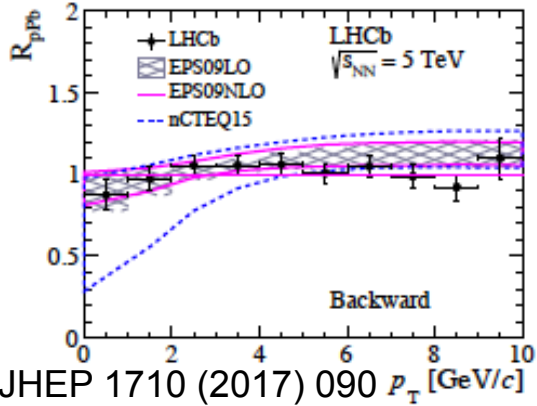


# D<sup>0</sup>-meson $R_{pPb}$ at LHC: forward, backward $y$



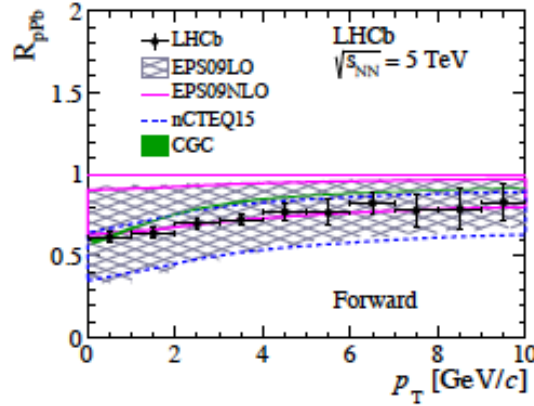
Pb-p, "Backward"

Probing large- $x$  in Pb nucleus

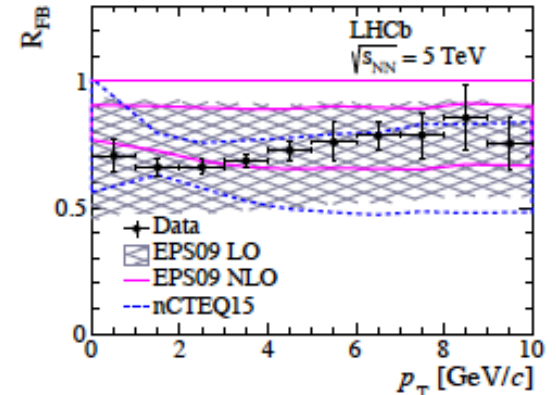


p-Pb, "Forward"

Probing low- $x$  in Pb nucleus



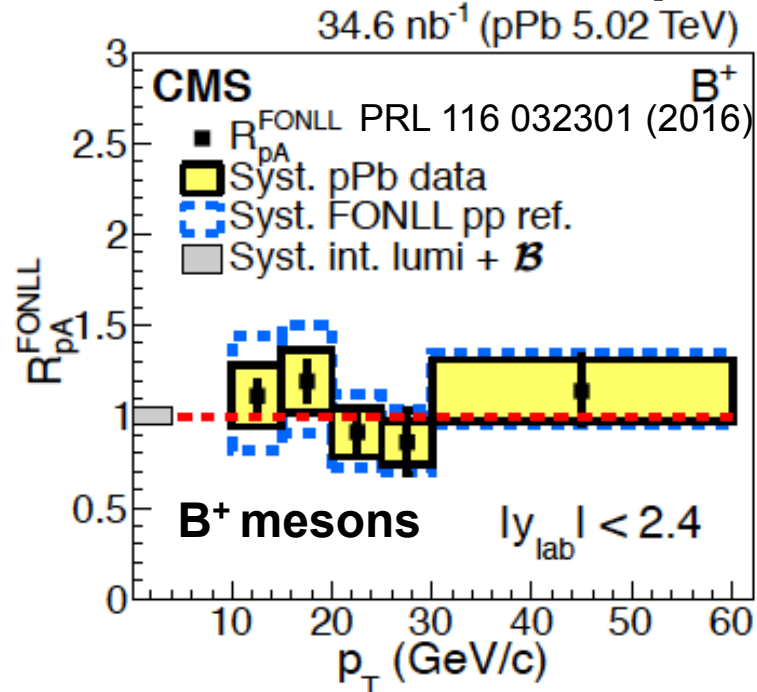
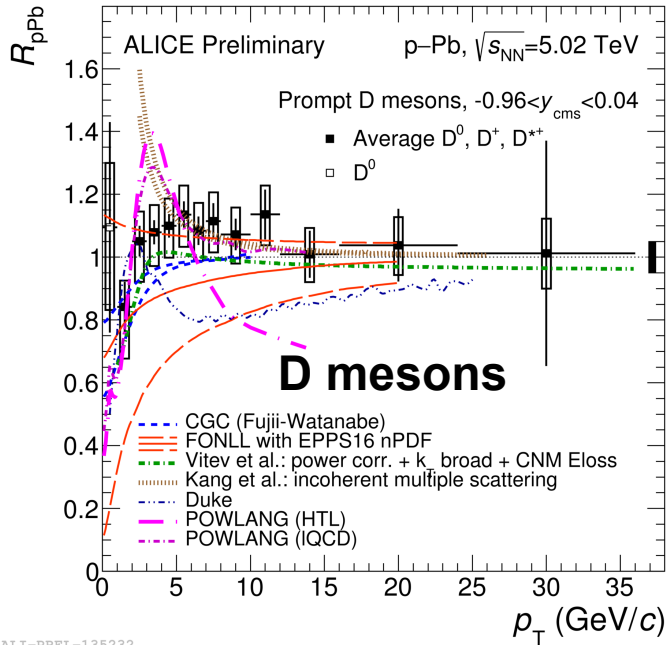
Asymmetric collision system,  
nucleon-nucleon cms moves with  
 $y_{cms}=0.465$  (towards p beam)



Forward-to-backward ratio

- Significant deviations of  $R_{pPb}$  and forward-to-backward ratio from unity
- Data described by nPDF and CGC

# D,B-meson $R_{pPb}$ at LHC: mid-rapidity



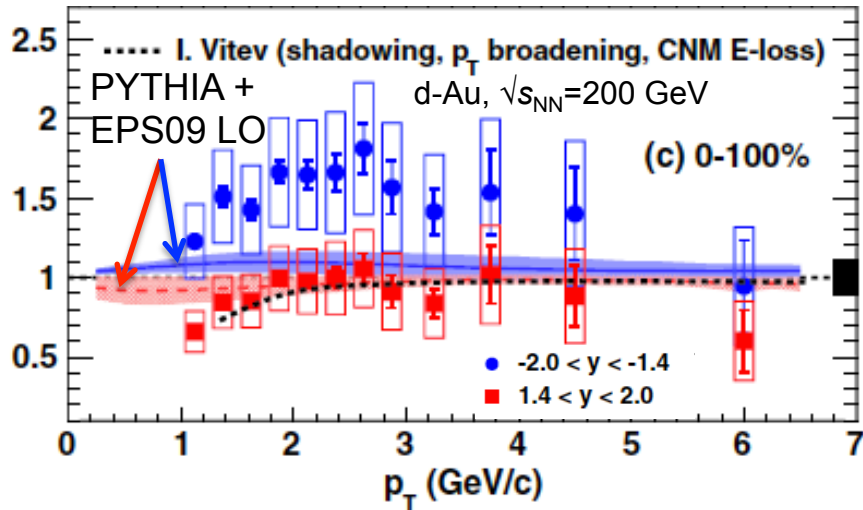
- $R_{pPb}$  consistent with unity at mid-rapidity  $\rightarrow$  **small CNM effects**
- Described by CNM models as well as by models assuming QGP formation in p-Pb, within uncertainties
  - 20% D-meson suppression at high  $p_T$  disfavoured by data



# Open-HF nuclear modification factor in d-Au at RHIC

HF muons at forward/backward rapidity

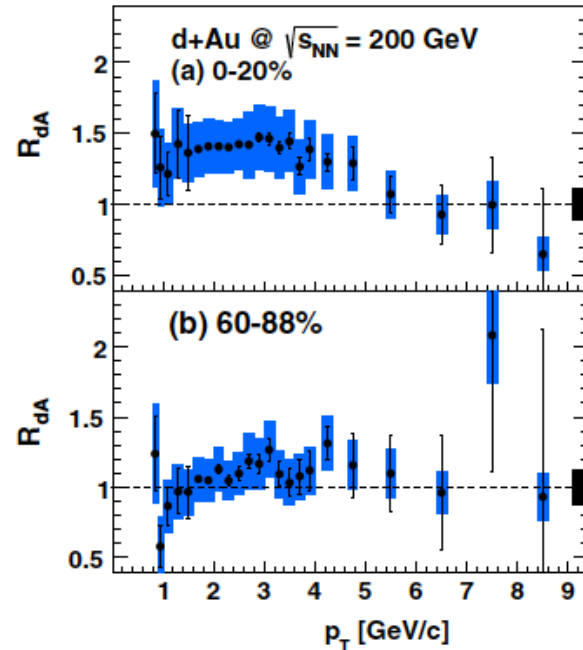
PRL 112 252301 (2014)



- Indications of for  $R_{dAu} > 1$  at backward rapidity and in “central” collisions at midrapidity
- $k_T$ -broadening, gluon anti-shadowing?

HF electrons at midrapidity

PRL 109 242301 (2012)



# The nuclear modification factor

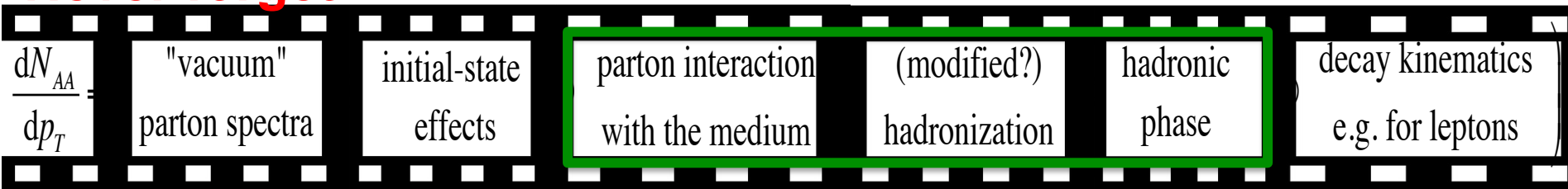
**Nuclear modification factor ( $R_{AA}$ ):** compare particle production in nucleus-nucleus (A-A) collisions with that in pp scaled by a “geometrical” factor (from Glauber model)

$$R_{AA}(p_T) = \frac{dN_{AA} / dp_T}{\langle T_{AA} \rangle \times d\sigma_{pp} / dp_T}$$

If  $R_{AA}=1$  → no nuclear effects

If  $R_{AA} \neq 1$  → nuclear effects

**Never forget:**



**Measured spectra in AA collisions result from a convolution of many pieces**

→ must measure observables with different sensitivity to the various ingredients

→ Physics program requires precise measurements in pp, p-A, A-A collisions

→ interpretation of the results requires comparison with models

# QGP tomography with heavy quarks

- Early production in hard-scattering processes with high  $Q^2$
- Production cross sections calculable with pQCD
- Strongly interacting with the medium
- Hard fragmentation  $\rightarrow$  measured meson properties closer to parton ones

at all  $p_T$  for charm and beauty  
(large masses  $\gg \Lambda_{QCD}$ )

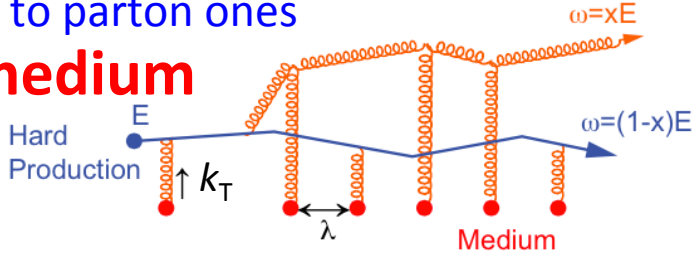
$\Rightarrow$  **“Calibrated probes” of the medium**

Study parton interaction with the medium

- **energy loss via radiative (“gluon Bremsstrahlung”)**

**collisional (elastic) processes**

- › path length and medium density
- › **color charge** (Casimir factor)
- › **quark mass** (e.g. from dead-cone effect)



HQ



Gluonsstrahlung probability

$$\propto \frac{1}{[\theta^2 + (m_Q / E_Q)^2]^2}$$

“Dead-cone” implies

- Harder fragmentation (also in vacuum)
- Smaller in-medium radiative energy loss

Dokshitzer, Khoze, Troyan, JPG 17 (1991) 1602.  
Dokshitzer and Kharzeev, PLB 519 (2001) 199.

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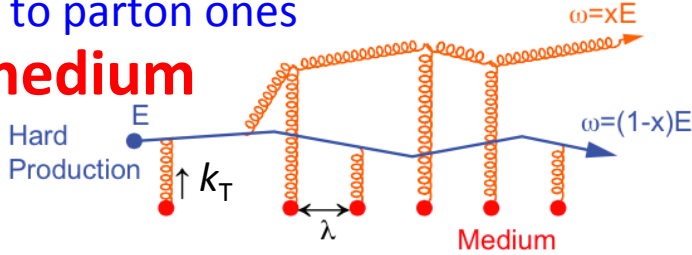
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$$\left. \begin{array}{l} \text{radiative} \\ \text{collisional} \end{array} \right\} \Delta E_g > \Delta E_{u,d,s} > \Delta E_c > \Delta E_b$$



Gluonsstrahlung probability

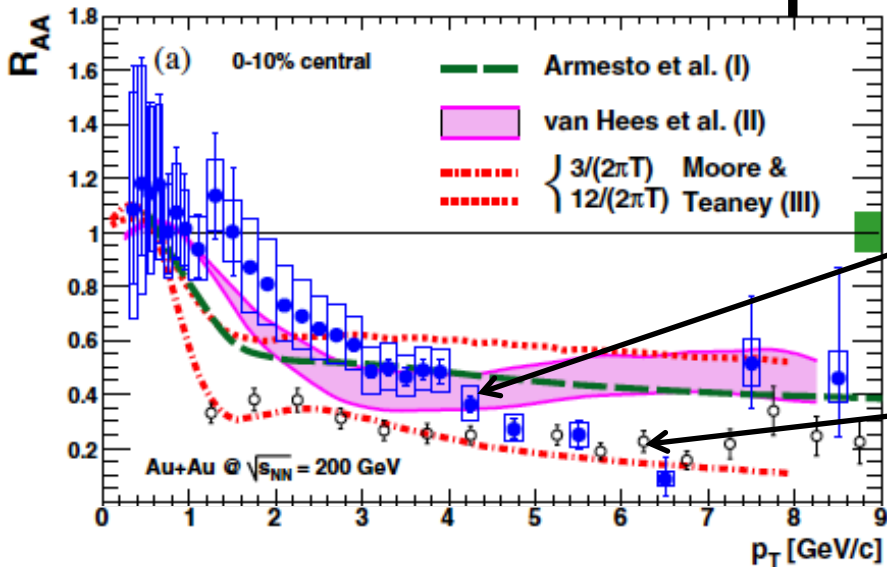
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# The RHIC surprise (>10 years ago)



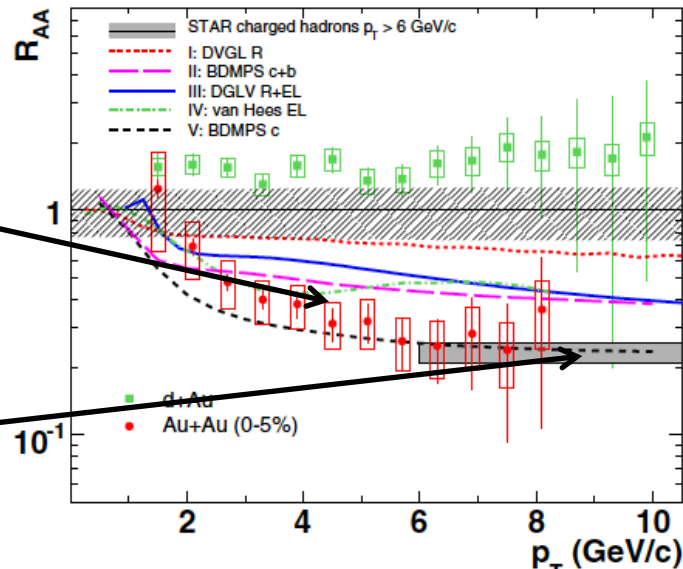
PRL 98 172391 (2007)

(Look at data)

Similar  $R_{AA}$  of heavy-flavour hadron decay electrons and  $\pi^0$ /charged hadrons at moderate/high  $p_T$

Heavy-flavour  
hadron decay  
electrons

$\pi^0$ , charged  
hadrons



PRL 98 192301 (2007)

# QGP tomography with heavy quarks

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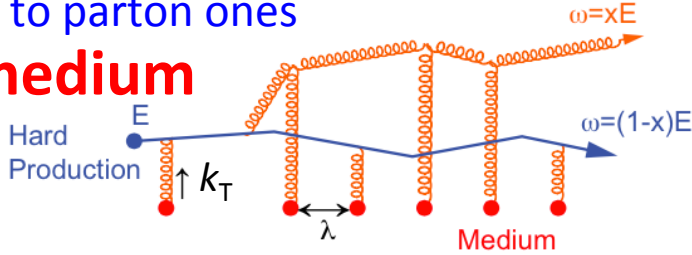
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Dokshitzer, Khoze, Troyan, JPG 17 (1991) 1602.  
 Dokshitzer and Kharzeev, PLB 519 (2001) 199.

... let's not rush too much...

# The nuclear modification factor

**Nuclear modification factor ( $R_{AA}$ ):** compare particle production in nucleus-nucleus (A-A) collisions with that in pp scaled by a “geometrical” factor (from Glauber model)

$$R_{AA}(p_T) = \frac{dN_{AA} / dp_T}{\langle T_{AA} \rangle \times d\sigma_{pp} / dp_T}$$

If  $R_{AA}=1$  → no nuclear effects

If  $R_{AA} \neq 1$  → nuclear effects

**Never forget:**

$$\frac{dN_{AA}}{dp_T} = \begin{array}{ccccccc} \text{"vacuum"} & & \text{initial-state} & & \text{parton interaction} & & \text{(modified?)} & & \text{hadronic} & & \text{decay kinematics} \\ \text{parton spectra} & \otimes & \text{effects} & \otimes & \text{with the medium} & \otimes & \text{hadronization} & \otimes & \text{phase} & \otimes & \left( \begin{array}{c} \text{e.g. for leptons} \end{array} \right) \end{array}$$

**Measured spectra in AA collisions result from a convolution of many pieces**

- must measure observables with different sensitivity to the various ingredients
- Physics program requires precise measurements in pp, p-A, A-A collisions
- interpretation of the results requires comparison with models



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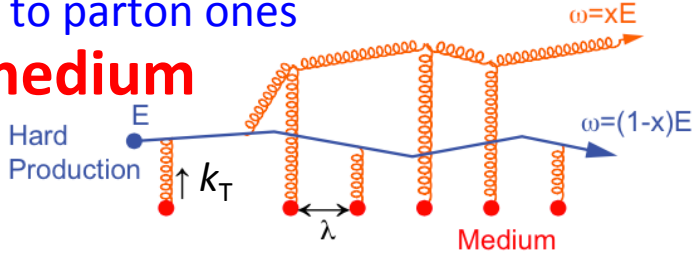
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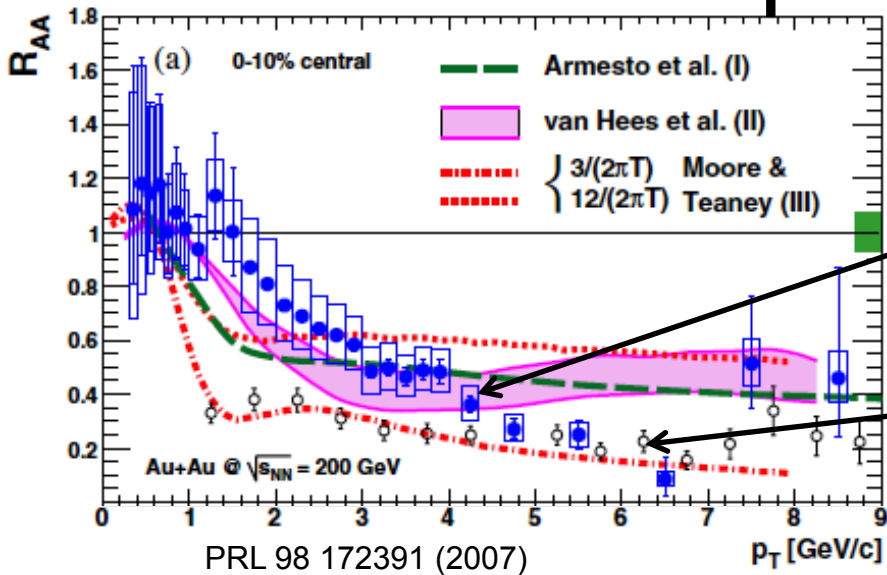
$$\Delta E_g > \Delta E_{u,d,s} > \Delta E_c > \Delta E_b$$

$\downarrow$  ?

$$R_{AA}(B) > R_{AA}(D) > R_{AA}(\pi)$$

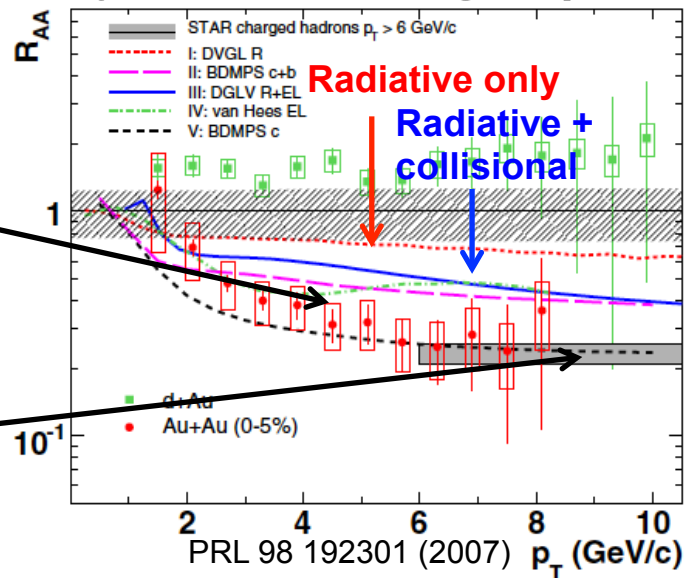
Not straightforward!  
Initial-spectrum shape, fragmentation functions + plethora of effects

# The RHIC surprise (>10 years ago)



Heavy-flavour  
hadron decay  
electrons

$\pi^0$ , charged  
hadrons



(Look at models: they do incorporate the proper initial spectrum and decay kinematics!)

**Very difficult to describe data within a “radiative-only” scenario!**

**(further constraints from elliptic flow, discussed later)**

**Collisional energy loss can play an important role for heavy quarks!**

# QGP tomography with heavy quarks

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Study parton interaction with the medium

- energy loss via radiative (“gluon Bremsstrahlung”)

**collisional processes**

- path length and medium density
- **color charge** (Casimir factor)
- **quark mass** (e.g. from dead-cone effect)

- **“Collisional energy loss”** expected to be the dominant energy-loss process at low  $p_T$

•  $\Delta E_{coll}(charm) > \Delta E_{coll}(beauty)$

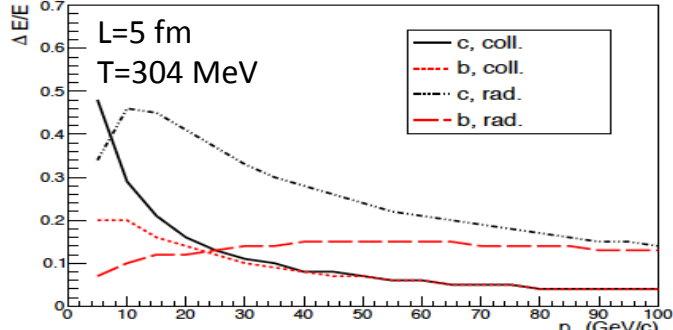
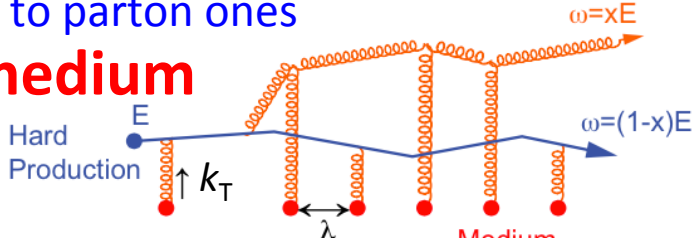
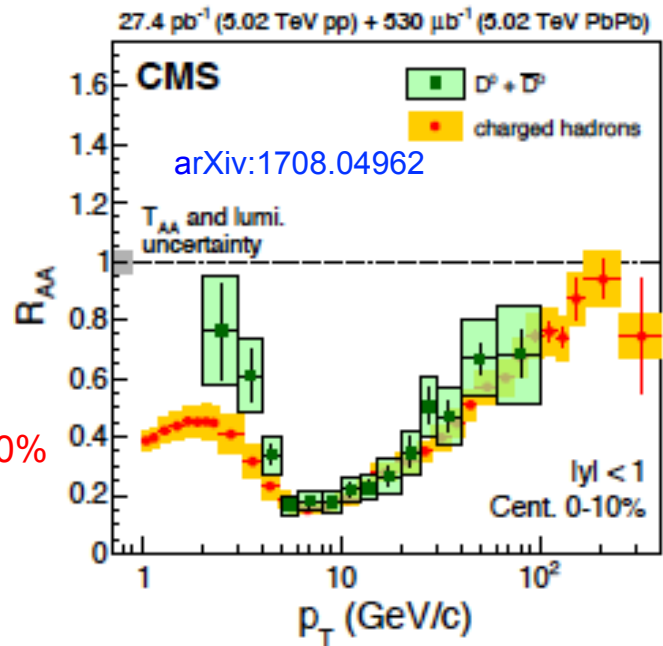
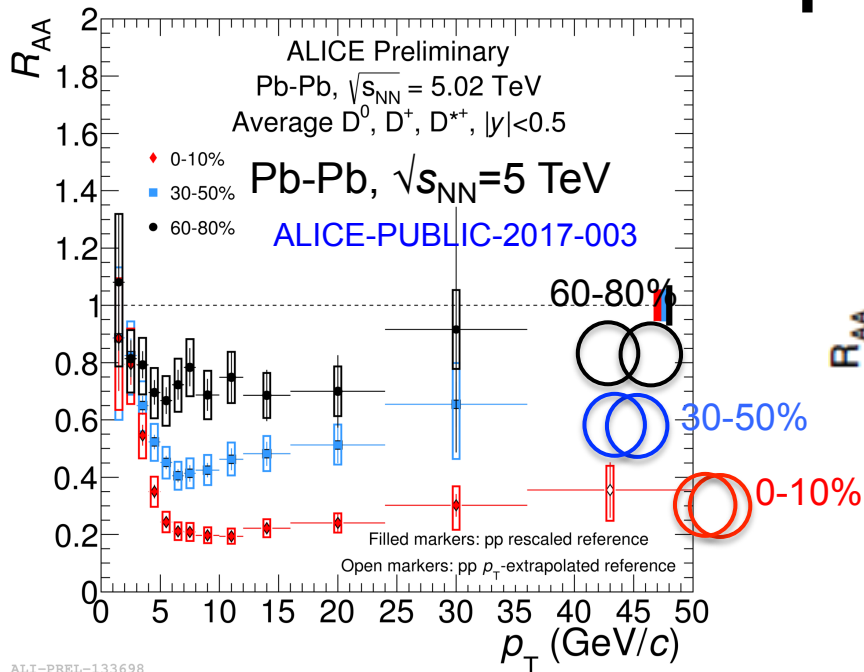


Figure from A. Andronic *et al.*, EPJC C76 (2016)  
 M. Djordjevic, Phys. Rev. C80 064909 (2009), Phys. Rev. C74 064907 (2006).

# D-meson suppression at LHC



ALI-PREL-133698

- Strong suppression of high- $p_T$  D-meson production in central Pb-Pb collisions.
- Suppression increasing with centrality.
- Very similar D-meson and charged-particle  $R_{AA}$  from  $p_T \sim 5$  to 100 GeV/c
  - Similar rise above 10 GeV/c

# D meson vs. pion $R_{AA}$ , high $p_T$

M. Djordjevic, PRL112 (2014) 042302

Colour-charge dependence of energy loss and small charm-mass effects lead to:

$$\Delta E_g > \Delta E_{uds} \geq \Delta E_c$$

$$R_{AA}(g) < R_{AA}(uds) \sim R_{AA}(c)$$

(effect of different partonic spectra included)

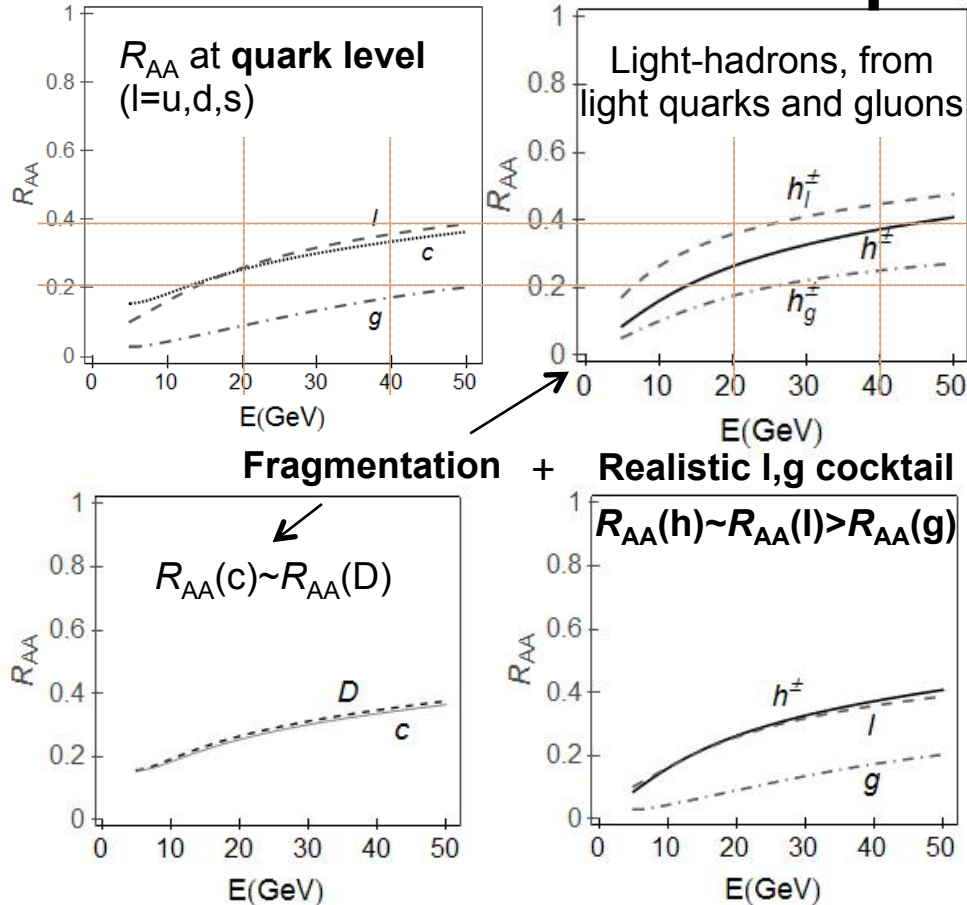
$R_{AA}$  of hadrons from light quarks, gluon strongly influenced by (soft) fragmentation

$$R_{AA}(h_l) > R_{AA}(l)$$

$$R_{AA}(h_g) > R_{AA}(g)$$

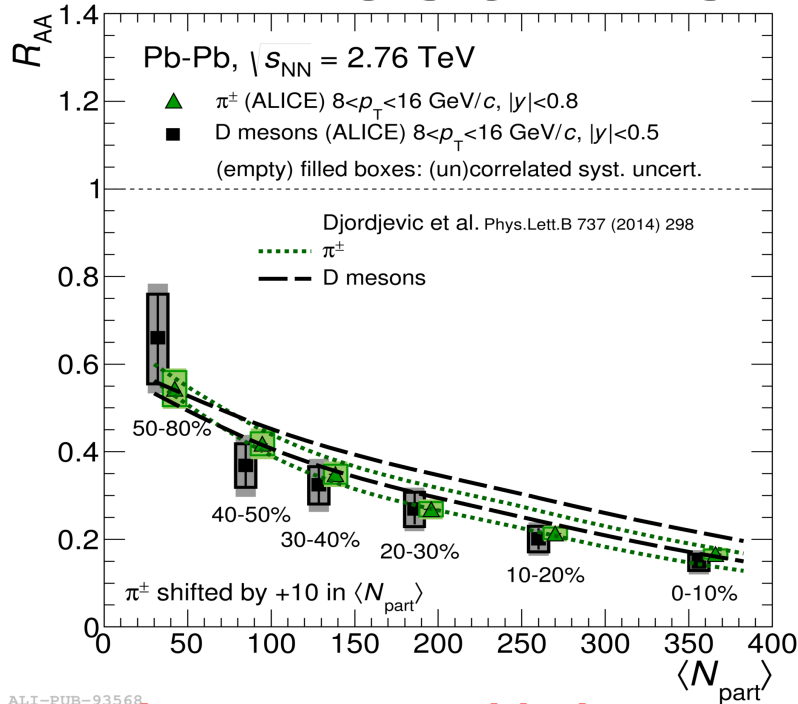
Expected hierarchy  
(at high  $p_T$ ):

$$R_{AA}(g) < R_{AA}(uds) \sim R_{AA}(h) \sim R_{AA}(D) \sim R_{AA}(c)$$



# D meson vs. pion $R_{AA}$ , high $p_T$

M. Djordjevic, PRL112 (2014) 042302



ALI-PUB-93568

**In agreement with data  
...but can this work at all  $p_T$  and  
collision energies?**

Colour-charge dependence of energy loss  
and small charm-mass effects lead to:

$$\Delta E_g > \Delta E_{uds} \geq \Delta E_c$$

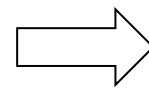
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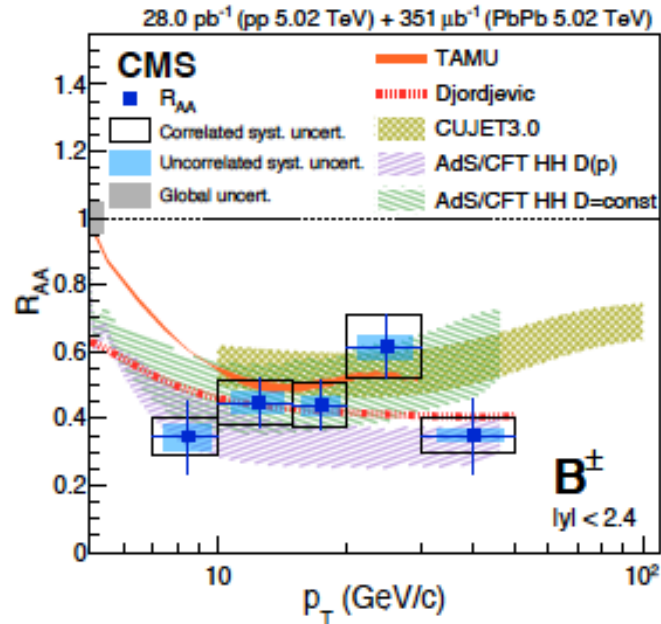
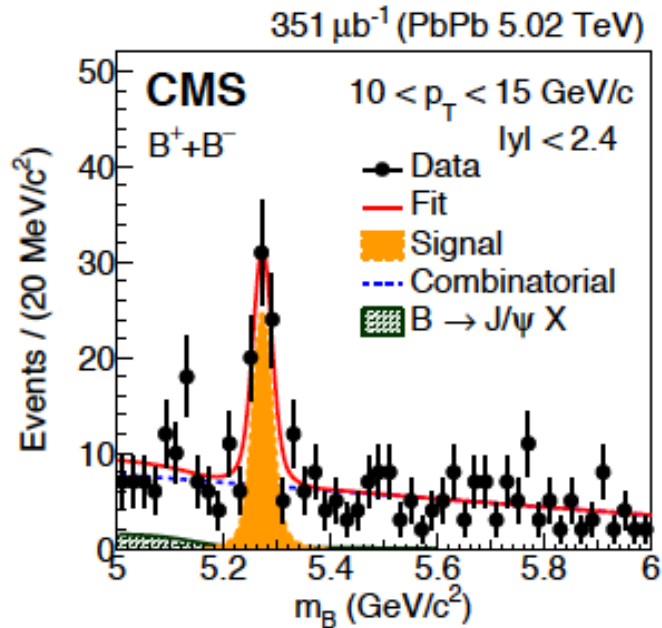


Expected hierarchy  
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# Beauty suppression at high $p_T$

PRL 119 no.15, 152301 (2017)



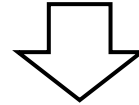
- B-meson  $R_{AA} \sim 0.4$  from 7 to 50  $\text{GeV}/c$
  - Described by models including radiative and/or collisional energy loss: data precision does not set stringent constraints yet
- key measurement in the future (news at QM?)

# Did we observe a mass effect?

ALICE, JHEP 1511 (2015) 205, JHEP 1706 (2017) 032  
 CMS, EPJ C 77 (2017) 252

At high  $p_T$ :

$R_{AA}(J/\psi \text{ from B}) > R_{AA}(D)$  in central collisions

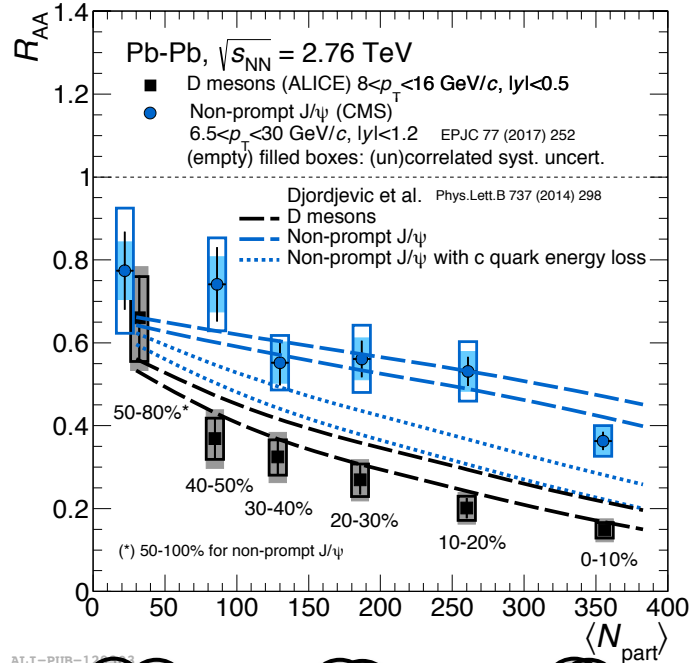


**Indication of  $R_{AA}(B) > R_{AA}(D)$  (\*)**

The different suppression and the centrality dependence as expected from models with quark-mass dependent energy loss ( $\Delta E_g > \Delta E_{lq} \geq \Delta E_c > \Delta E_b$ )

**Major goal for the future:  
 from indication to real quantification!**

(\*) The median  $p_T$  for  $J/\psi$  in  $6.5 < p_T < 30$  GeV/c is  $\sim 10$  GeV/c  
 $\rightarrow$  consistent comparison with D mesons in  $8 < p_T < 16$  GeV/c





# The nuclear modification factor

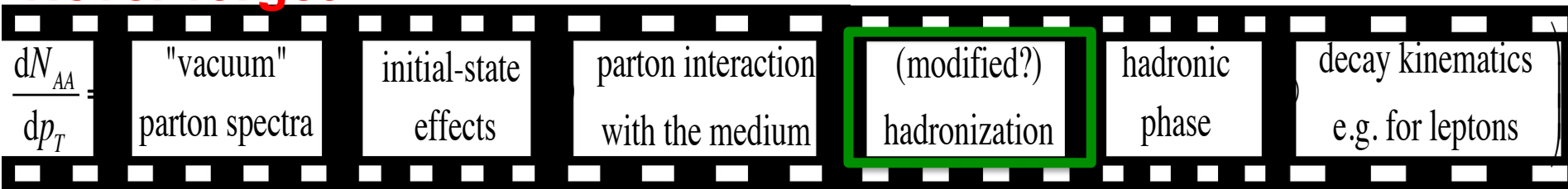
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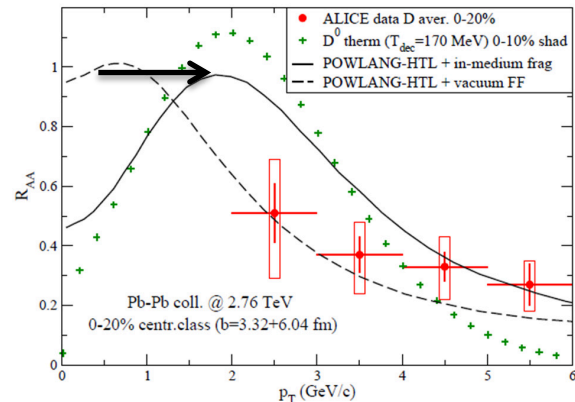
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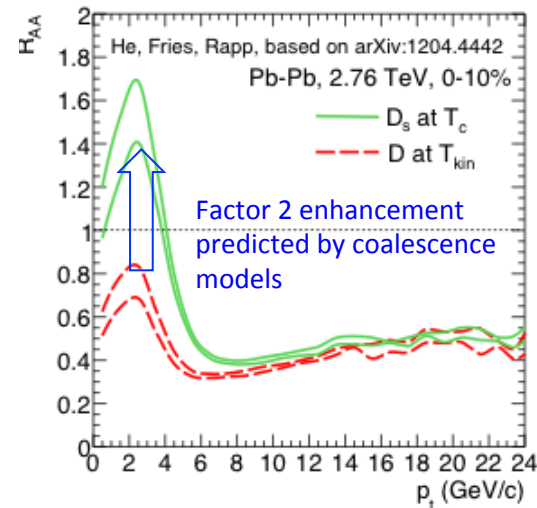
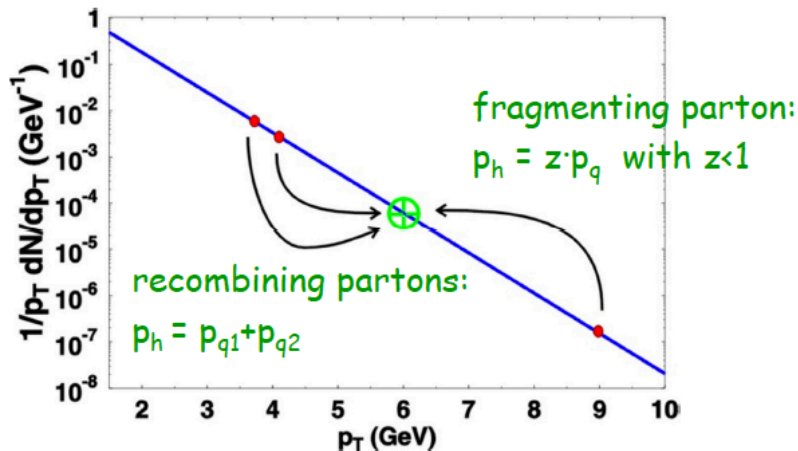
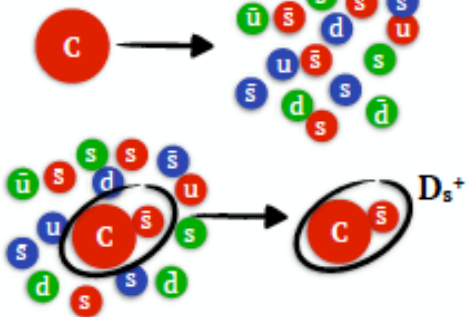
# Hadronisation via coalescence

Effects:

- Modify momentum distribution of HF-hadron
  - Radial flow “bump”
- Enhance HF-hadron  $v_2$  (light-parton contribution)
- Modify “hadrochemistry”:  $D_s$ ,  $\Lambda_c$  enhancement

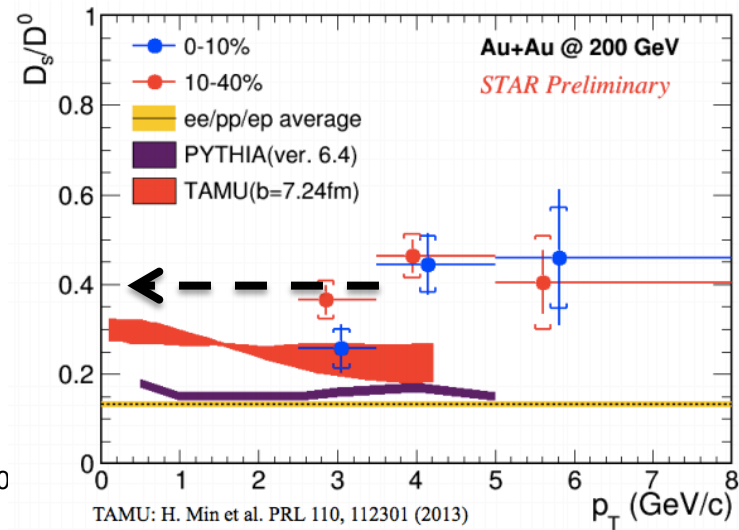
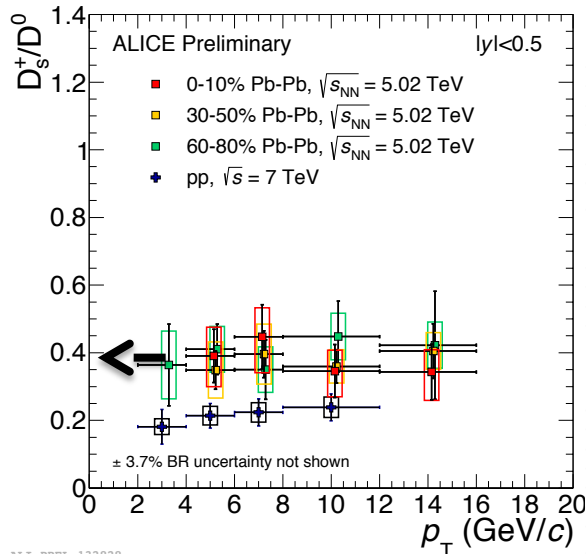
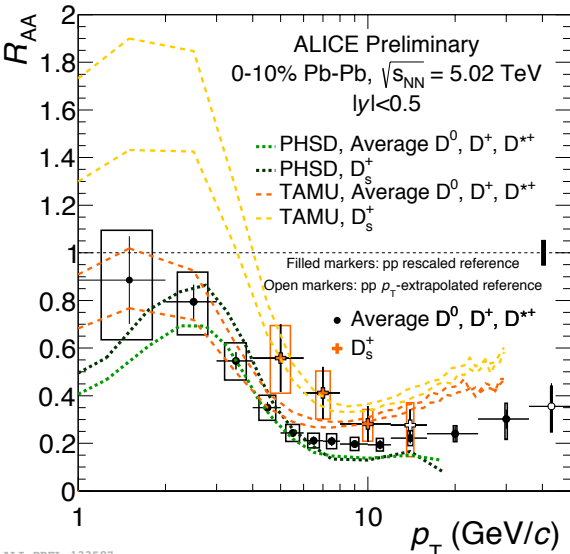


Charm-quark coalescence



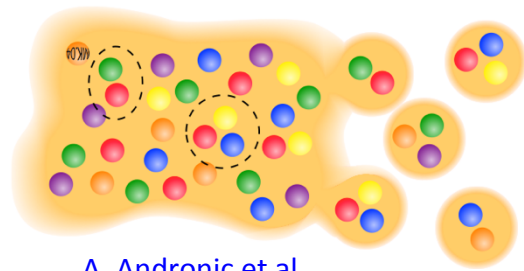
Sketch by F. Grosa

# Hadronisation: $D_s^+$ enhancement

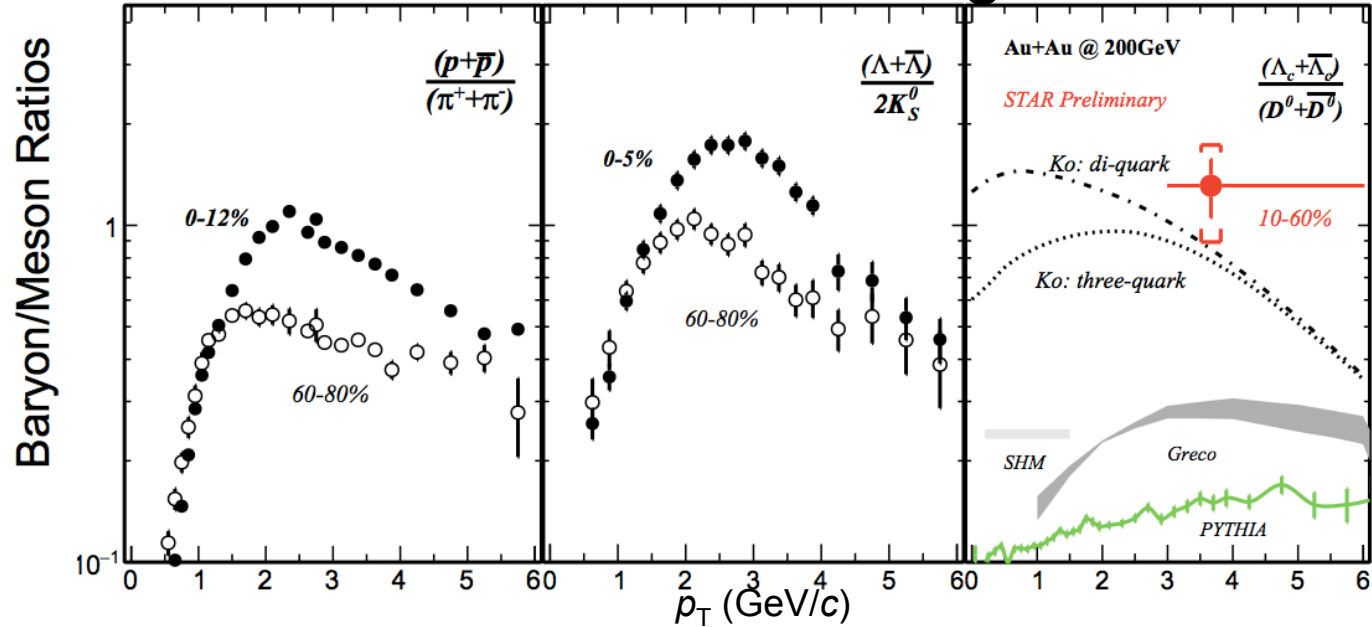


- Hint for  $R_{AA}(D_s^+) > R_{AA}$  (non-strange D) at low  $p_T$ .
  - Hint for higher  $D_s^+$ /non-strange-D-meson ratio in Pb-Pb and Au-Au than in pp collisions, w/o evident centrality dependence
- **Hadronisation via coalescence in a strangeness-rich environment?**

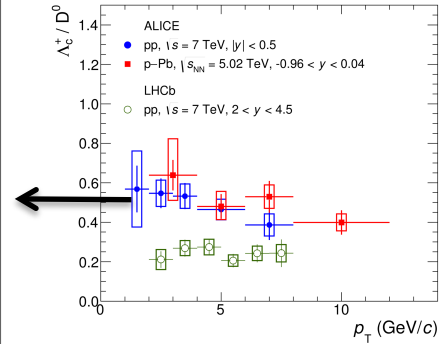
$D_s^+/D$  close to Statistical Hadronisation Model prediction (0.35-0.4)



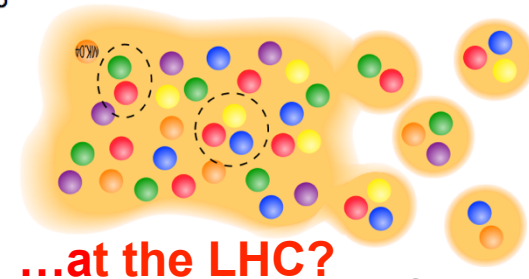
# Hadronisation: $\Lambda_c^+$ enhancement



Ko model :  
 Y. Oh, et.al. PRC 79 (2009) 044905;  
 Greco:  
 S.Ghosh, et. al. PRD 90 (2014) 054018



ALI-PUB-141413

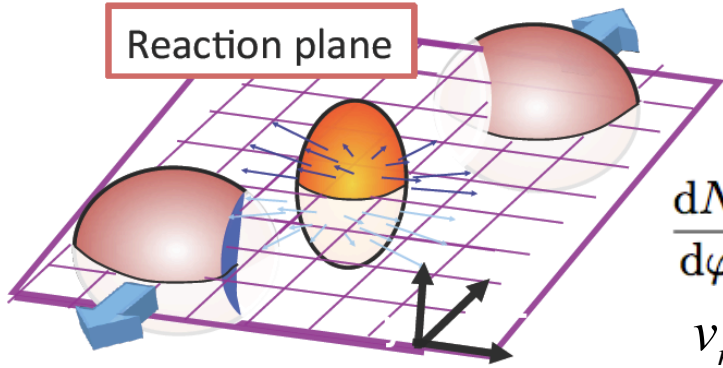


...at the LHC?

- Significant enhancement of  $\Lambda_c/D$  baryon-to-meson ratio compared to PYTHIA/vacuum-fragmentation baseline, as well as to ALICE/LHCb measurements in pp and p-Pb collisions
- Similar values than light flavor baryon-to-meson ratios
- Consistent with coalescence + thermalized charm quarks

# Azimuthal anisotropy

# Azimuthal anisotropy: elliptic flow



Initial spatial anisotropy

momentum anisotropy

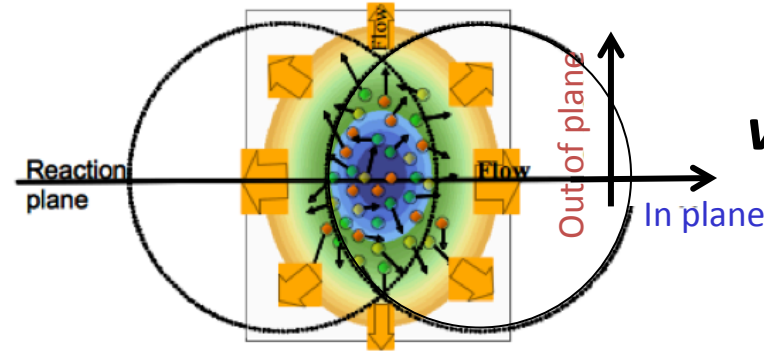
$$\frac{dN}{d\varphi} = \frac{N_0}{2\pi} (1 + 2v_1 \cos(\varphi - \Psi_1) + 2v_2 \cos[2(\varphi - \Psi_2)] + \dots)$$

$$v_n = \langle \cos(n[\varphi - \psi_n]) \rangle$$

**Thermalization/collective motion**  
(at low  $p_T$ )

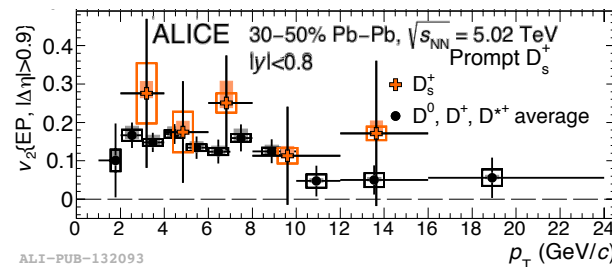
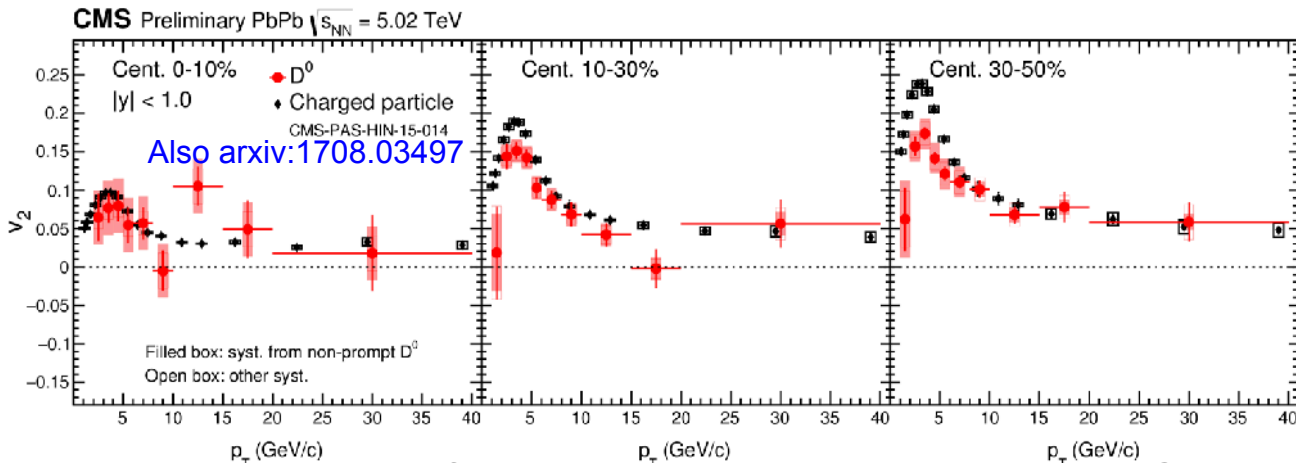
**Path length dependence of energy loss**  
(at high  $p_T$ )

$$v_2 > 0$$



$v_2 + R_{AA}$ : complementary information  $\rightarrow$  improve sensitivity to relative contribution of collisional and radiative energy losses and to coalescence

# D-meson $v_2$ at LHC



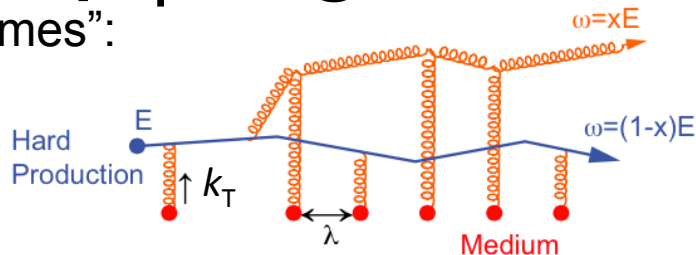
- D-meson  $v_2$  significantly larger than 0 up to 20-40 GeV/c
  - (low  $p_T$ ) charm quarks sensitive to medium collective motion
  - **Strong coupling to the system**
  - (high  $p_T$ ) set constraints to path-length dependence of energy loss
- $v_2$  (0-10%)  $<$   $v_2$  (10-30%)  $\sim$   $v_2$  (30-50%)
- $D_s$ -meson  $v_2$ : compatible with non-strange D-meson  $v_2$  within uncertainties.
- Indication of D-meson  $v_2 <$  charged-particle  $v_2$  at low  $p_T$ 
  - Similar at high  $p_T$

# Models: low and high $p_T$ regimes

In a oversimplified scheme we can identify two “regimes”:

## High $p_T$ :

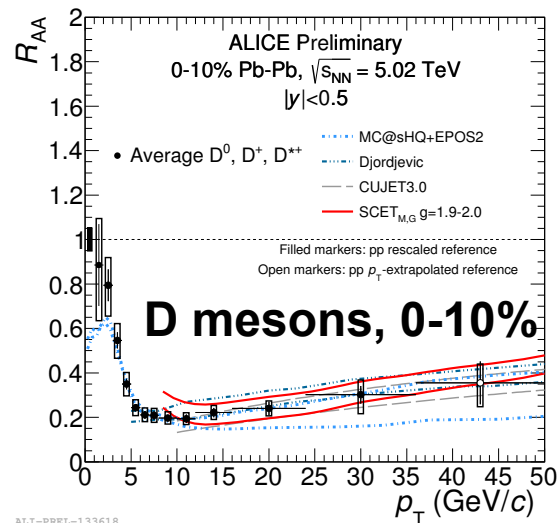
- region **dominated by radiative energy loss**
- Quantum interferences in multiple scattering important (LPM effect)
- Relevant parameter:  $\hat{q} = \frac{\langle k_T^2 \rangle}{\lambda}$



**pQCD-based models** provide fair description

pQCD e-loss MODELS	Collisional energy loss	Radiative energy loss	Coalescence	Hydro	nPDF
<b>CUJET3.0</b> JHEP 02 (2016) 169	✓	✓	✗	✗	✗
<b>Djordjevic</b> PRC 92 (2015) 024918	✓	✓	✗	✗	✓
<b>MC@sHQ+EPOS</b> PRC 89 (2014) 014905	✓	✓	✓	✓	✓
<b>SCET</b> JHEP 03 (2017) 146	✓	✓	✗	✗	✓

Table: courtesy of E. Bruna



ALI-PREL-133618

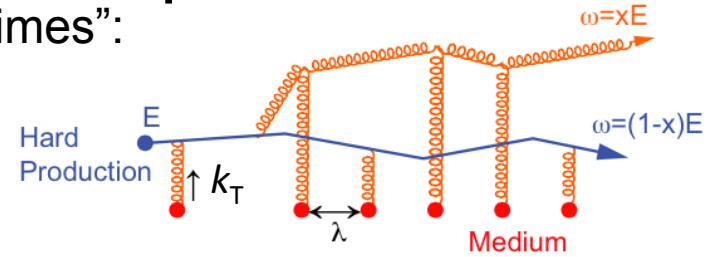


# Models: low and high $p_T$ regimes

In a oversimplified scheme we can identify two “regimes”:

## High $p_T$ :

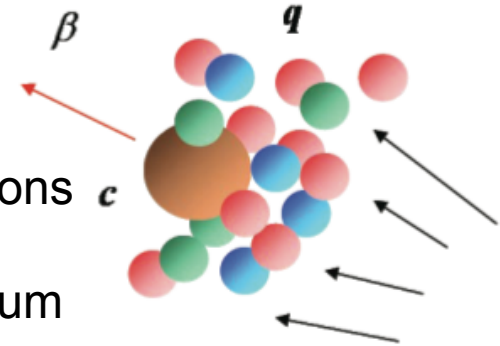
- region **dominated by radiative energy loss**
- Quantum interferences in multiple scattering important (LPM effect)
- Relevant parameter:  $\hat{q} = \frac{\langle k_T^2 \rangle}{\lambda}$



**pQCD-based models** provide fair description

## Low $p_T$ :

- Region **dominated by “collisional” energy loss**
- Heavy quarks undergo many soft and incoherent elastic collisions **~Brownian motion**
- Goal: study how and if HQ reach the equilibrium with the medium
- Relevant parameter: **spatial diffusion coefficient,  $D_s$**



Injecting a particle at  $x=0$  and  $t=0$ , the mean squared position at time  $t$  is:

$$\langle x^2(t) \rangle = 6D_s t$$

Strong coupling with the system  $\rightarrow$  small  $D_s$

# Models: low and high $p_T$ regimes

In a oversimplified scheme we can identify two “regimes”:

## High $p_T$ :

- region **dominated by radiative energy loss**
- Quantum interferences in multiple scattering important (LPM effect)
- Relevant parameter:  $\hat{q} = \frac{\langle k_T^2 \rangle}{\lambda}$

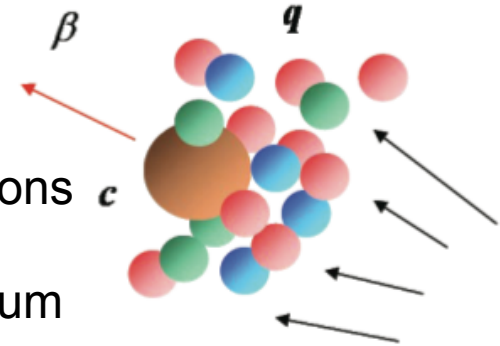
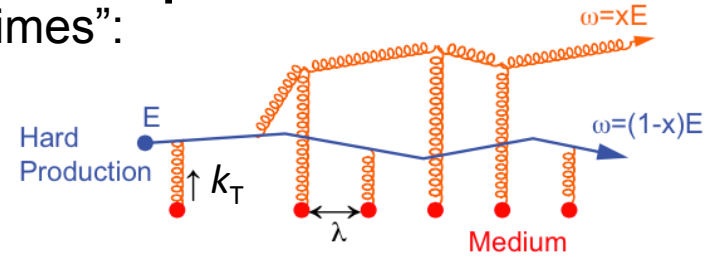
pQCD-based models provide fair description

## Low $p_T$ :

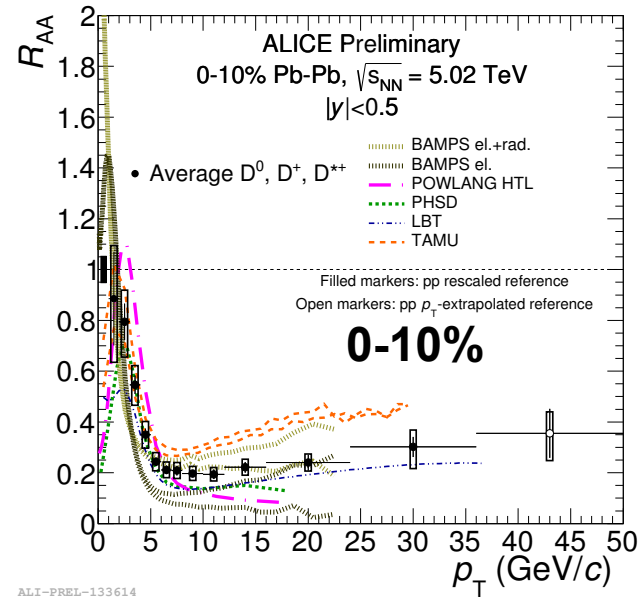
- Region **dominated by “collisional” energy loss**
- Heavy quarks undergo many soft and incoherent elastic collisions  
~**Brownian motion**
- Goal: study how and if HQ reach the equilibrium with the medium
- Relevant parameter: **spatial diffusion coefficient,  $D_s$**
- **Realistic description of medium evolution required**

→ “**Transport models**” based on Boltzmann/Fokker-Plank/Langevin equations

Nice review: F. Prino, R. Rapp, J. Phys. G43 no. 9 093002 (2016)



# Models vs. data at low $p_T$



ALI-PREL-133614

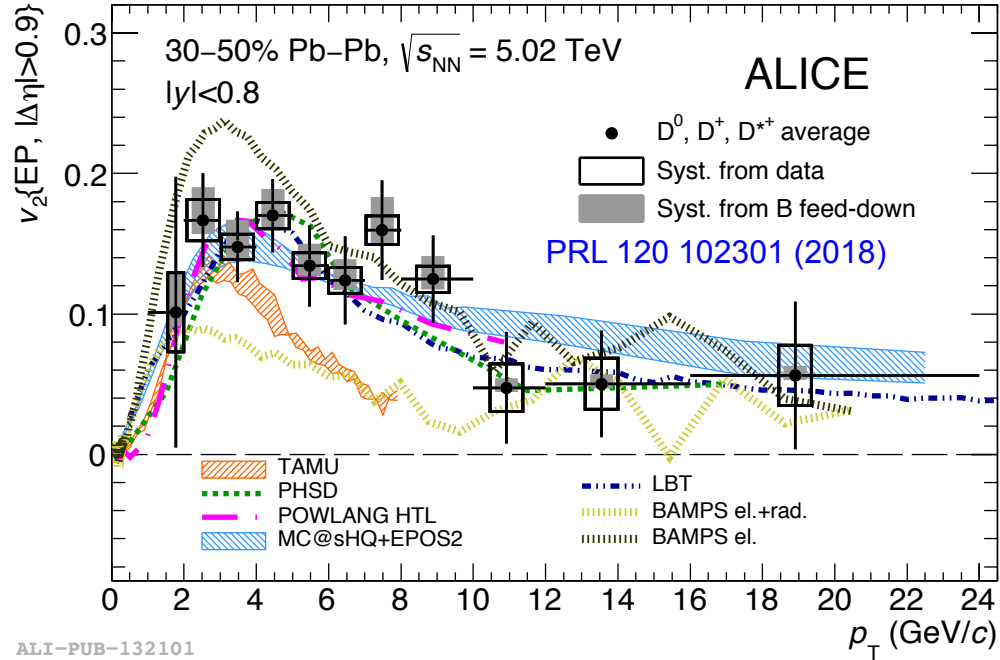
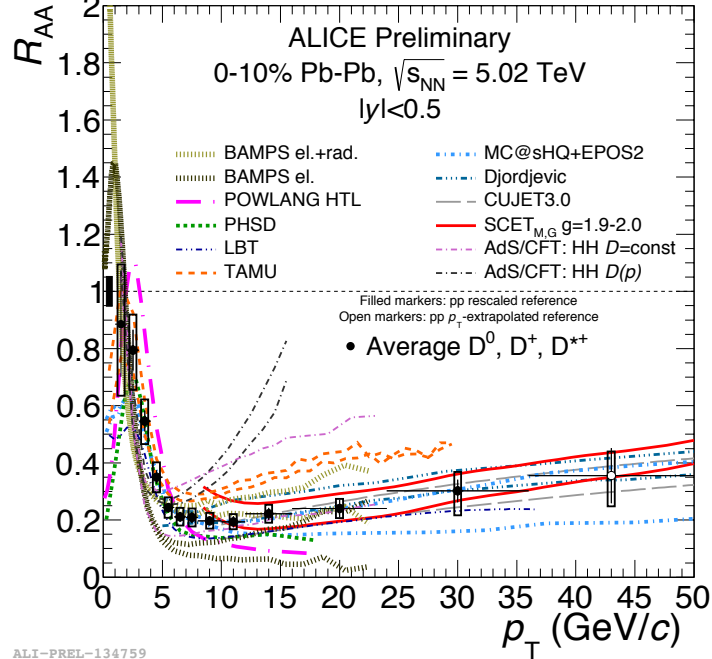
- Low  $p_T$ :** fairly good description with transport models
- important role of **elastic scatterings** and **description of medium evolution**
- Important role of coalescence

Table: courtesy of E. Bruna

TRANSPORT MODELS	Collisional energy loss	Radiative energy loss	Coalescence	Hydro/dynamics	nPDF
<b>BAMPS</b> <a href="#">J. Phys. G42 (2015) 115106</a>	✓	✓	✗	✓	✗
<b>LBT</b> <a href="#">arXiv:1703.00822</a>	✓	✓	✓	✓	✓
<b>PHSD</b> <a href="#">PRC 93 (2016) 034906</a>	✓	✗	✓	✓	✓
<b>POWLANG</b> <a href="#">EPJC 75 (2015) 121</a>	✓	✗	✓	✓	✓
<b>TAMU</b> <a href="#">Phys. Lett. B735 (2014) 445</a>	✓	✗	✓	✓	✓

# Models vs. data: $R_{AA}$ and $v_2$ together

ALICE-PUBLIC-2017-003



ALI-PUB-132101

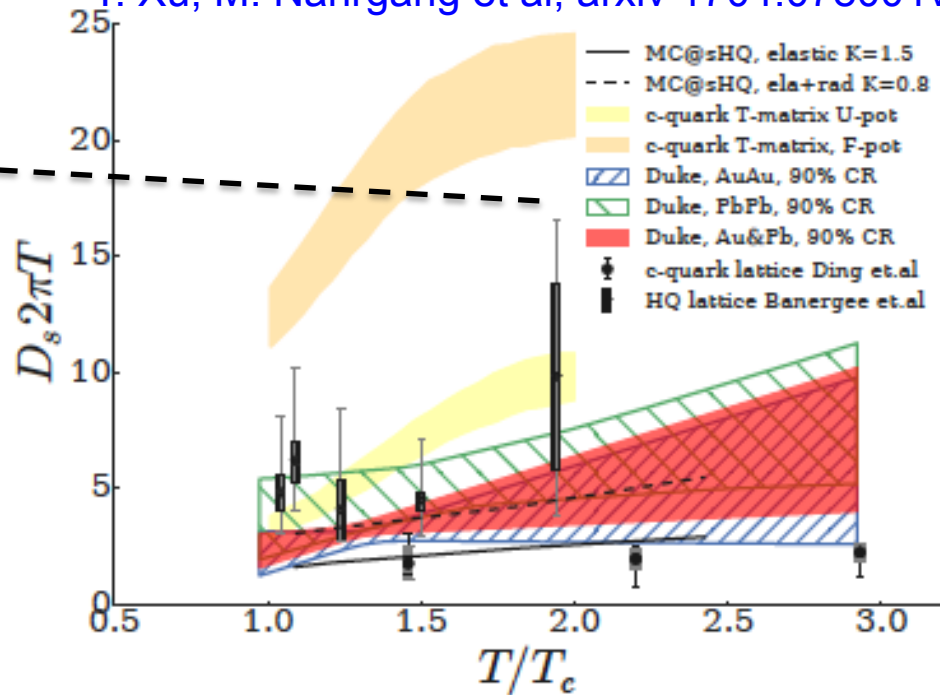
ALI-PREL-134759

- Models able to reproduce  $v_2$  favour diffusion coefficient  $2\pi TD_s(T)$  in the range 1.5-7 at  $T_c$  with a corresponding thermalisation time  $\tau_{charm} = 3-14$  fm/c.
- Powerful constraints by considering complementary observables ( $R_{AA}$  and  $v_2$  of non-strange D and  $D_s^+$ ) over wide  $p_T$  ranges and in different centrality classes.

Example from last QM

$R_{AA}$  and  $v_2$  D-meson data (RHIC and LHC run 1) used to constrain diffusion coefficient with a Bayesian model-to-data analysis

Y. Xu, M. Nahrgang et al, arxiv 1704.078001v1



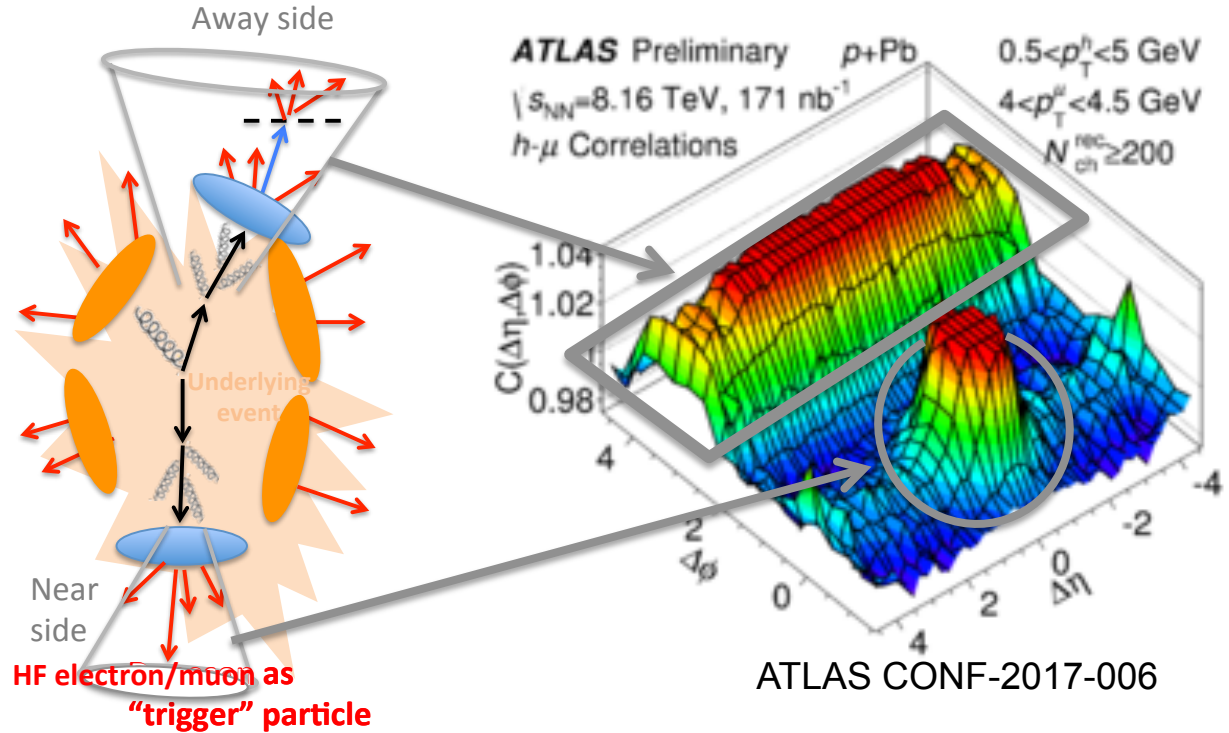
Several ongoing efforts of theorists and experimentalists to infer medium parameters!

Additional content (if time allows)

Collective-like effects in proton -  
nucleus collisions

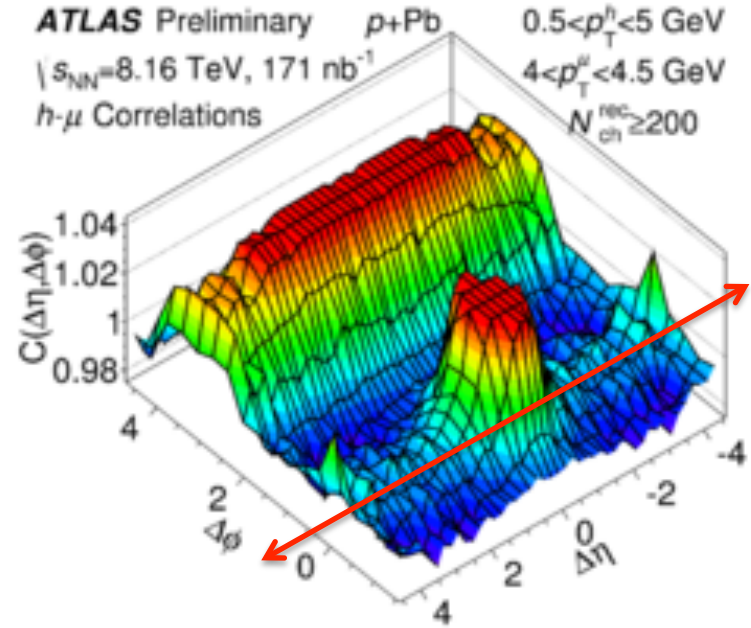
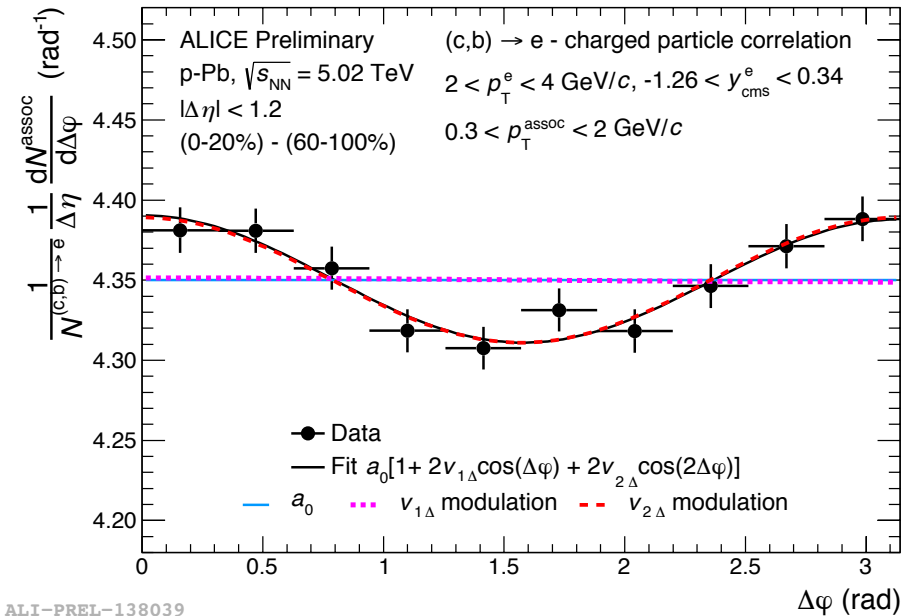
More by L. Bianchi

# Charm flows in p-Pb at LHC?



Azimuthal correlation of HF electrons (ALICE) and HF muons (ATLAS) with charged particles in p-Pb collisions: main structures from jets

# Charm flows in p-Pb at LHC

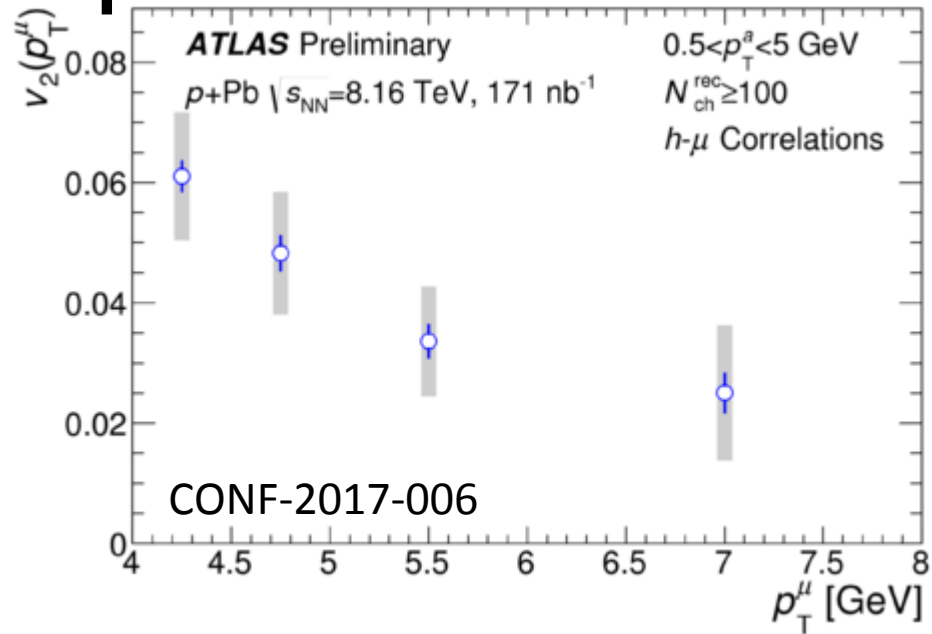
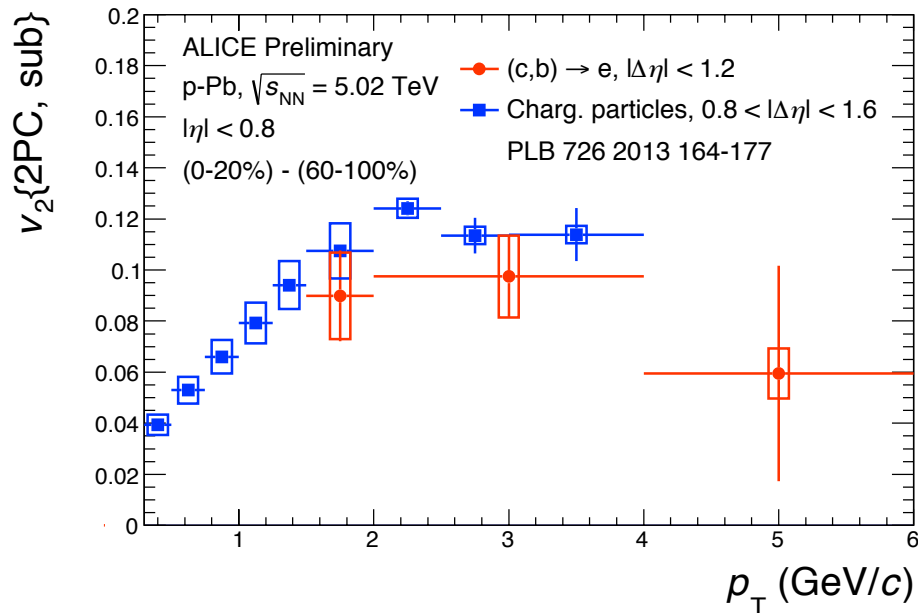


ATLAS CONF-2017-006

- After removing jet contribution:  **$v_2$ -like modulation** of azimuthal correlation of HF lepton with charged particles in **p-Pb collisions at high multiplicity**
- “**long-range**” (=extended in  $\Delta\eta$ ) in near- and away-side, a typical signature of collective behaviour (N.B. origin of effect is debated!)

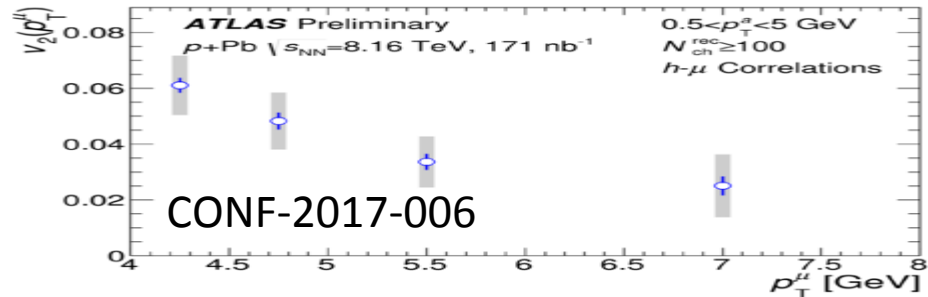
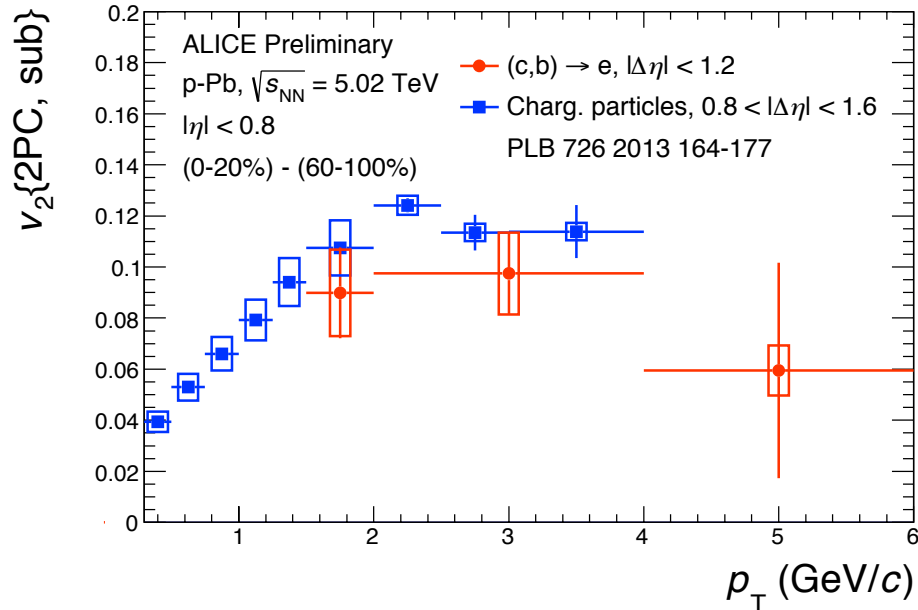


# Charm flows in p-Pb at LHC



Positive  $v_2$  observed for HF-decay leptons in  $1.5 < p_T < 7$  GeV/c

# Charm flows in p-Pb at LHC



Positive  $v_2$  observed for HF-decay leptons in  $1.5 < p_T < 7$  GeV/c

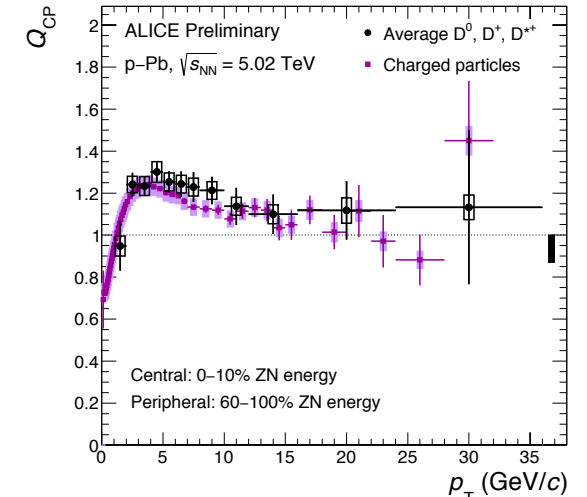
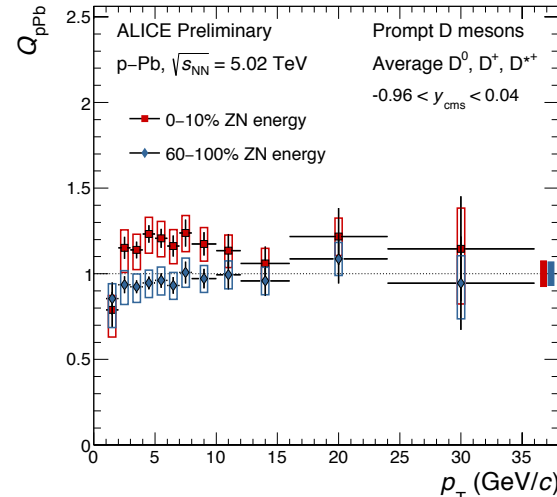
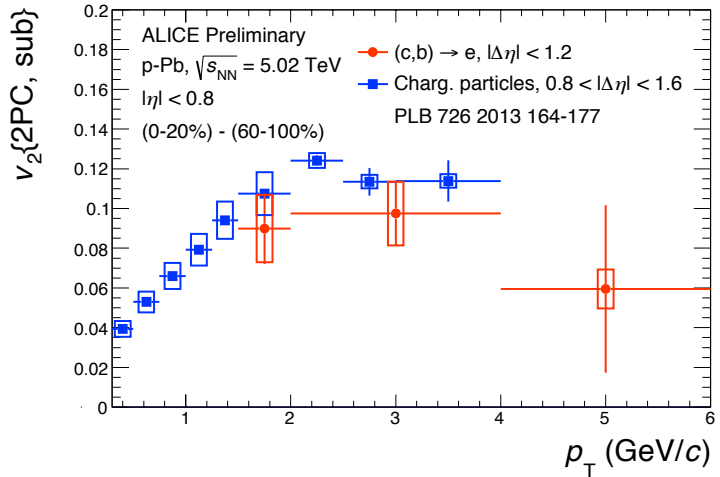
Consistent results between the 2 analyses

Maximum values close to what measured for charged particles

**New exciting results for D mesons are already on arxiv... for sure among this QM highlights!! Do not miss them!**

# One of the main open questions

How can it be that we see flow for open HF but  $R_{pPb} \sim 1$  (at mid-rapidity where nPDF effects are small)?



ALI-PREL-136799

ALI-PREL-136823

$$Q_{pPb}^{0-10\%}(p_T) = \frac{dN_{pPb}^{0-10\%} / dp_T}{\langle T_{AA} \rangle_{0-10\%} \times d\sigma_{pp} / dp_T}$$

$$Q_{CP} = \frac{(dN_{pPb}^{0-10\%} / dp_T) / \langle T_{AA} \rangle_{0-10\%}}{(dN_{pPb}^{60-100\%} / dp_T) / \langle T_{AA} \rangle_{60-100\%}}$$

Hint of a modification of the D-meson spectra in central p-Pb collisions

$\rightarrow$  is there a connection with HF  $v_2$ ? Radial flow push? ... but could just be IS

# Summary

Heavy quarks → unique opportunity to investigate microscopic interactions in the medium and extract QGP transport coefficients.

Many effects at play: energy loss dependent on mass and colour-charge from radiative and elastic processes, coalescence, flow, initial-state effects.

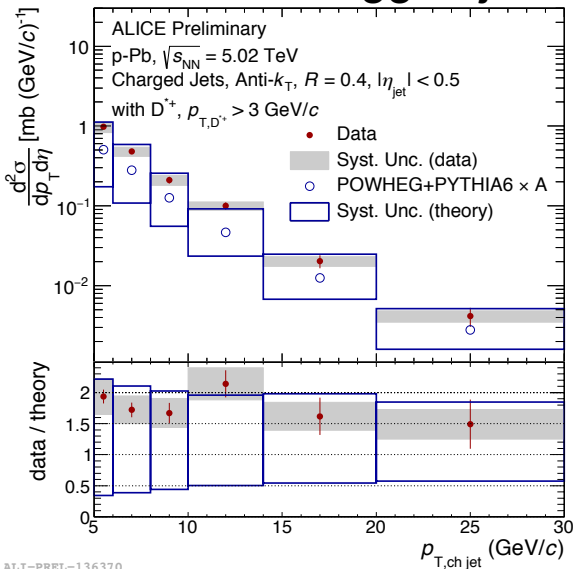
Rich phenomenology, sometimes concurrent effects → detailed physics program requiring precise measurements of different observables in pp, p(d)-A, A-A collisions.

... ongoing... but will take time (and detectors will be upgraded/built on purpose!)

Look at the abstracts of the HF session: possibly many exciting new results to come in the next days (new insights on charm baryons, charm flow, charm jets, Xe-Xe, ...)

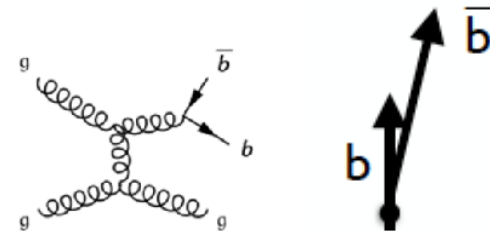
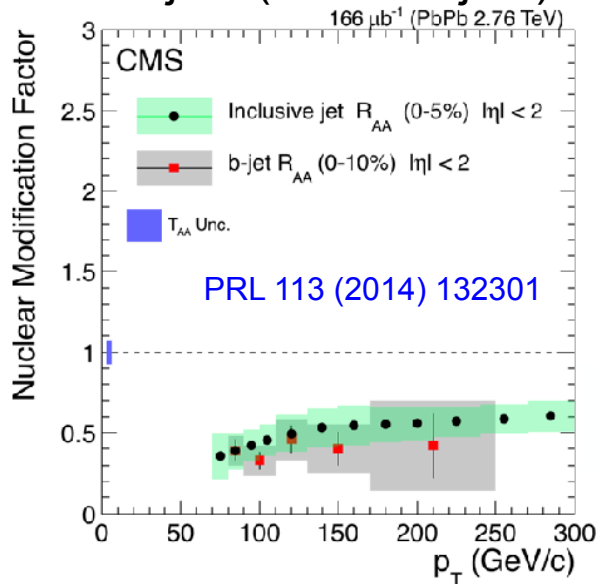
# Could not cover...

## Charm and D-tagged jets



Unique opportunity, along with correlations, to study jets with an identified (the main?) parton

## b-jets (and di-b-jets)



*NLO process: Gluon splitting*  
 → dominant at low opening angles

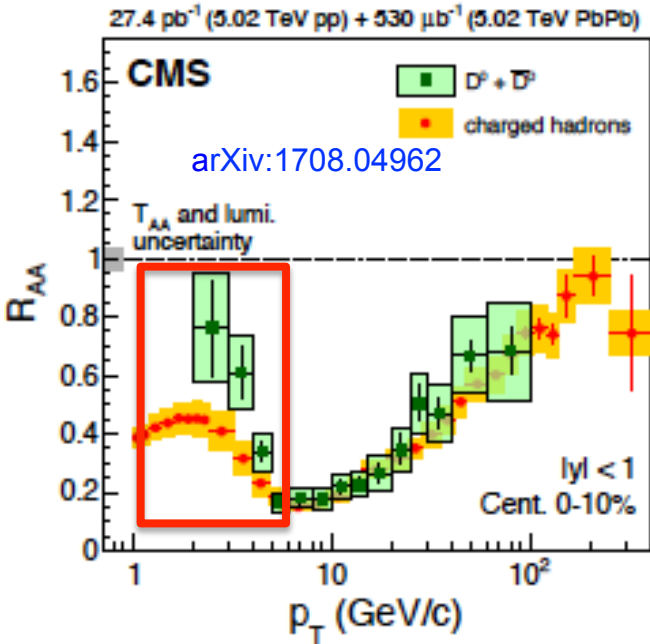
Mass difference negligible at high  $p_T$   
 Large contribution of gluon-splitting processes.  
 In GSP we may not be measuring b-quark  $E_{loss}$   
 a “fat” gluon  $E_{loss}$

Thanks...  
and enjoy the conference



Extra

# D-meson vs. pion $R_{AA}$ , low $p_T$



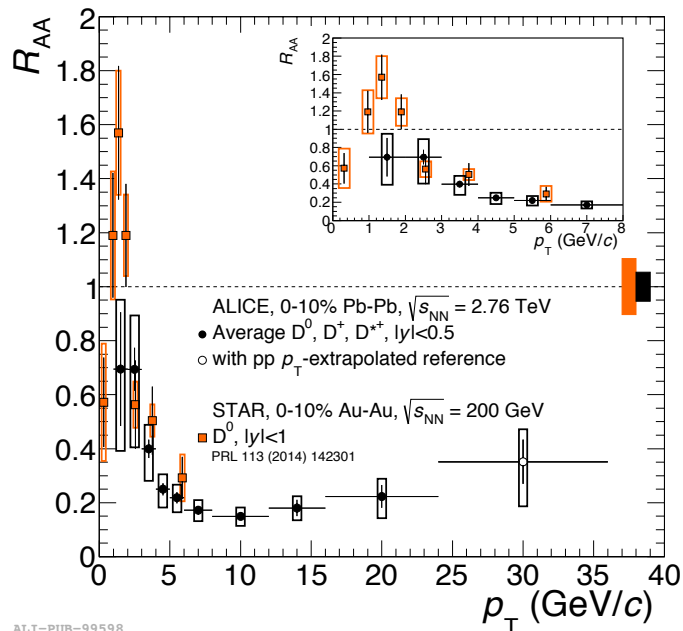
Indication of  $R_{AA}(D) > R_{AA}(\pi)$  but interpretation not straightforward:

- soft-particle production not expected to scale with  $N_{\text{coll}}$  down to  $p_T=0$  (while binary scaling + energy loss could even lead to  $R_{AA} > 1$  at low  $p_T$ , if no shadowing)
- different impact of shadowing (nPDF)
- different initial spectra and fragmentation
- different impact of collisional energy loss, radial flow, modification of particle-species abundances

Nevertheless, with improving data precision, reproducing in the mean time D-meson (all species) and pion  $R_{AA}$  is an important goals for models



# D mesons: RHIC vs. LHC data

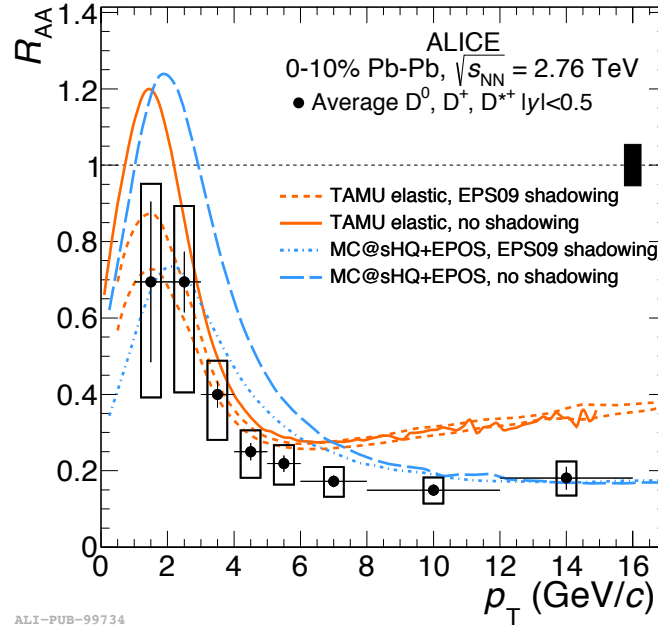
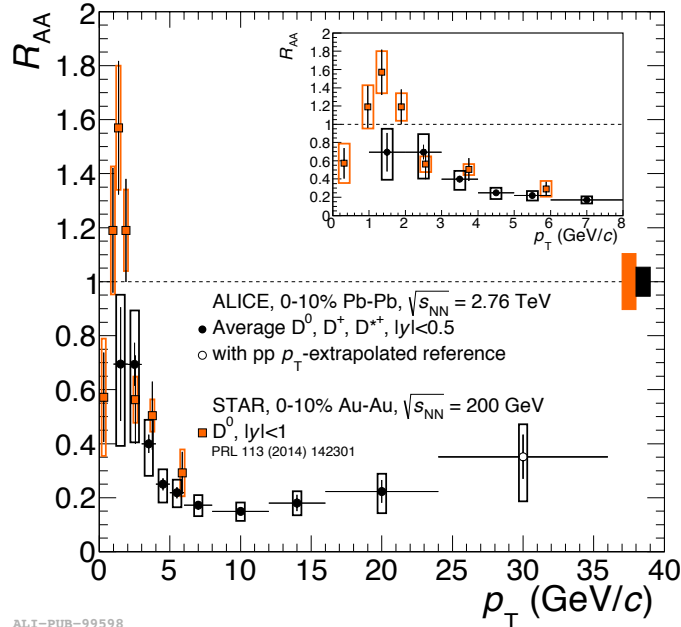


## Different trend at RHIC and LHC for $p_T < 2$ GeV/c?

Several ingredients at play: stronger shadowing, different impact of radial flow and coalescence on the  $R_{AA}$  considering the less steep pp spectrum at the LHC.

Some models (e.g. TAMU at low  $p_T$ , Phys. Lett. B 735 (2014) 445 ) can describe both measurements

# D mesons: RHIC vs. LHC data



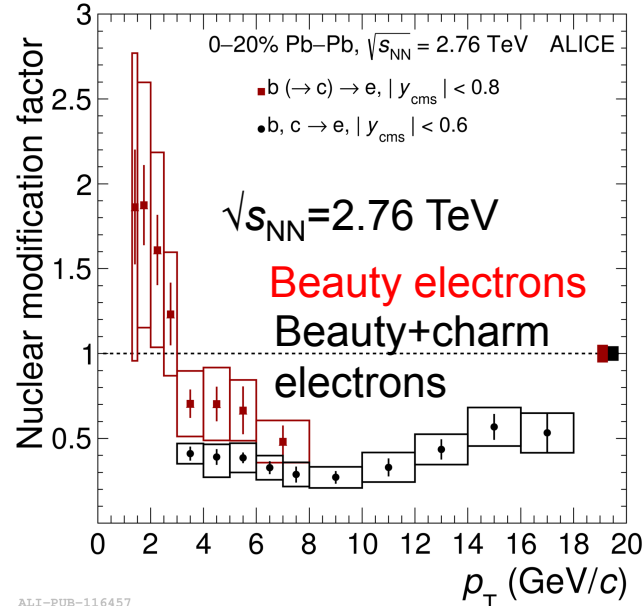
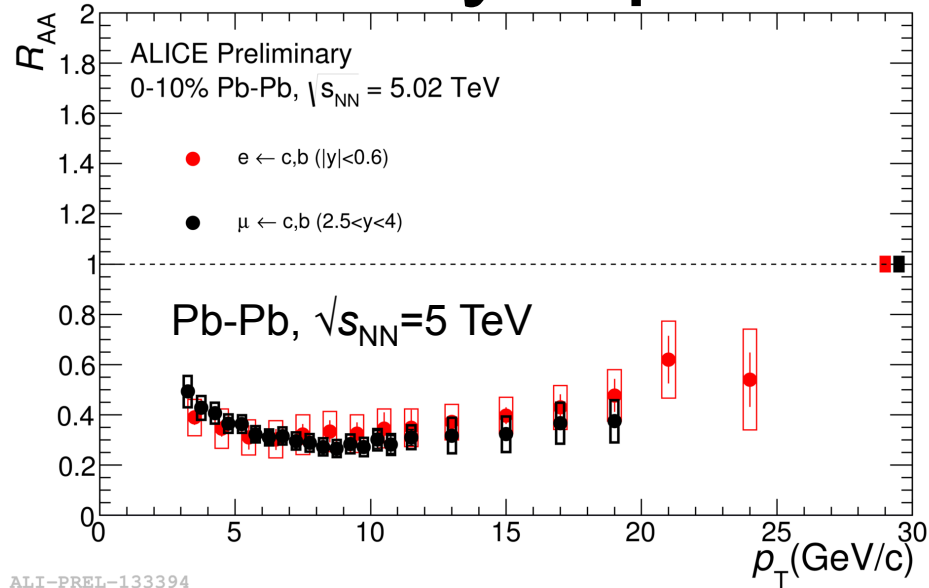
**Shadowing  
 needed by models  
 to describe D  
 mesons at low  $p_T$   
 at LHC**

**Different trend at RHIC and LHC for  $p_T < 2$  GeV/c?**

Several ingredients at play: stronger shadowing, different impact of radial flow and coalescence on the  $R_{AA}$  considering the less steep pp spectrum at the LHC.

Some models (e.g. TAMU at low  $p_T$ , Phys. Lett. B 735 (2014) 445) can describe both measurements

# HF-decay leptons in Pb-Pb at the LHC



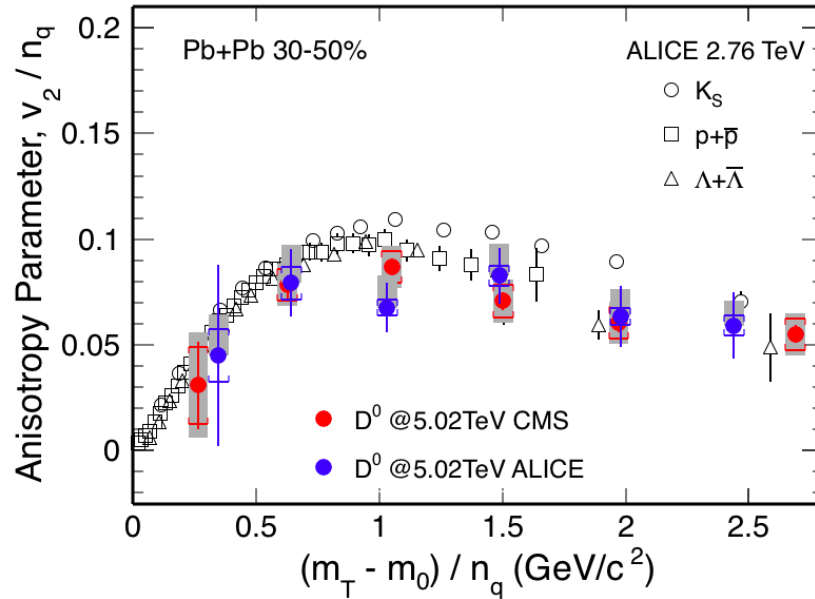
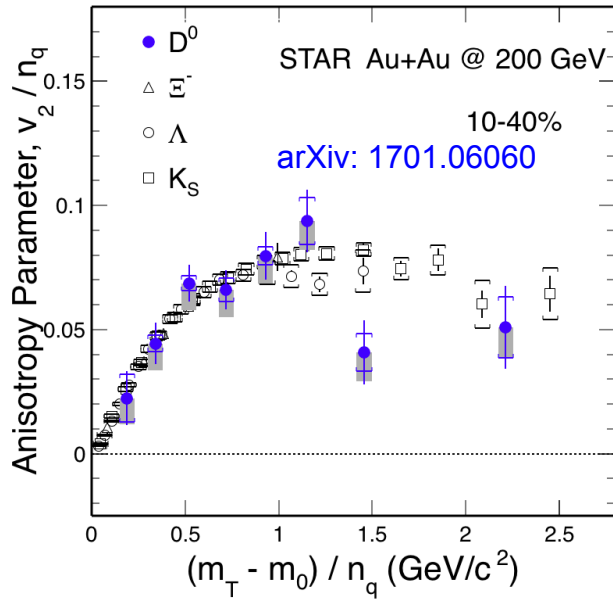
JHEP 07 (2017) 052  
PLB 771 (2017) 467-481

ALI-PREL-133394

ALI-PUB-116457

- Strong suppression of heavy-flavour decay leptons in central Pb-Pb collisions.
- Similar results at central and forward rapidity.
- Beauty main component from  $p_T > 5$  GeV/c (FONLL and pp measurements)  
→ indication of beauty suppression at high  $p_T$
- Beauty-electron  $R_{AA}$  measured directly with impact parameter fit (at 2.76 TeV):  
indication of suppression for  $p_T > 3$  GeV/c

# D-meson elliptic: $m_T, n_q$ scaling



Similar  $v_2$  of charm and light quarks?

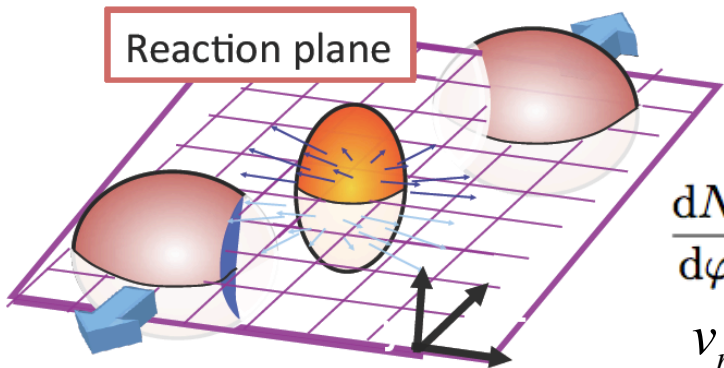
Strong coupling to the system, charm thermalises at low  $p_T$ , coalescence?

# Model overview

From F. Prino, R. Rapp,  
J. Phys. G43 no. 9 093002 (2016)

Model	Heavy-quark production	nPDFs	Medium modelling	Quark-medium interactions	Hadronization	Hadron phase
Transport models						
BAMPS [28, 38, 75]	MC@NLO	No	Boltzmann parton 3+1D	Boltzmann pQCD coll+rad	frag	no
Cao <i>et al.</i> /Duke [82, 83, 201]	MC@NLO	EPS09	Hydro 2+1D viscous	Langevin coll+pQCD rad	frag+reco	yes
MC@HQ+EPOS [46, 73, 74]	FONLL	EPS09	Hydro 3+1D (EPOS)	Boltzmann pQCD coll+rad	frag+reco	no
PHSD [40, 51]	PYTHIA	EPS09	off-shell parton transport	off-shell trans pQCD coll	frag+reco	yes
POWLANG [36, 48, 122]	POWHEG	EPS09	Hydro 2+1D viscous	Langevin pQCD coll	string-reco	no
TAMU [65, 76, 124]	FONLL	EPS09	Hydro 2+1D ideal	Langevin T-mat coll	frag+reco	yes
Energy-loss models						
AdS/CFT (HG) [295, 296]	FONLL	No	Glauber no hydro	AdS/CFT drag	frag	no
CUJET 3.0 [222, 223]	FONLL	No	Hydro 2+1D viscous	rad+coll	frag	no
Djordjevic <i>et al.</i> [297, 298]	FONLL	No	Glauber no hydro	rad+coll+magn. mass	frag	no
Vitev <i>et al.</i> [72, 299]	non-zero mass VFNS	No	Glauber+1D Bjorken exp	rad+in-med dissociation	frag	no
WHDG [84, 220]	FONLL	No	Glauber no hydro	rad+coll	frag	no

# Azimuthal anisotropy: “triangular” flow

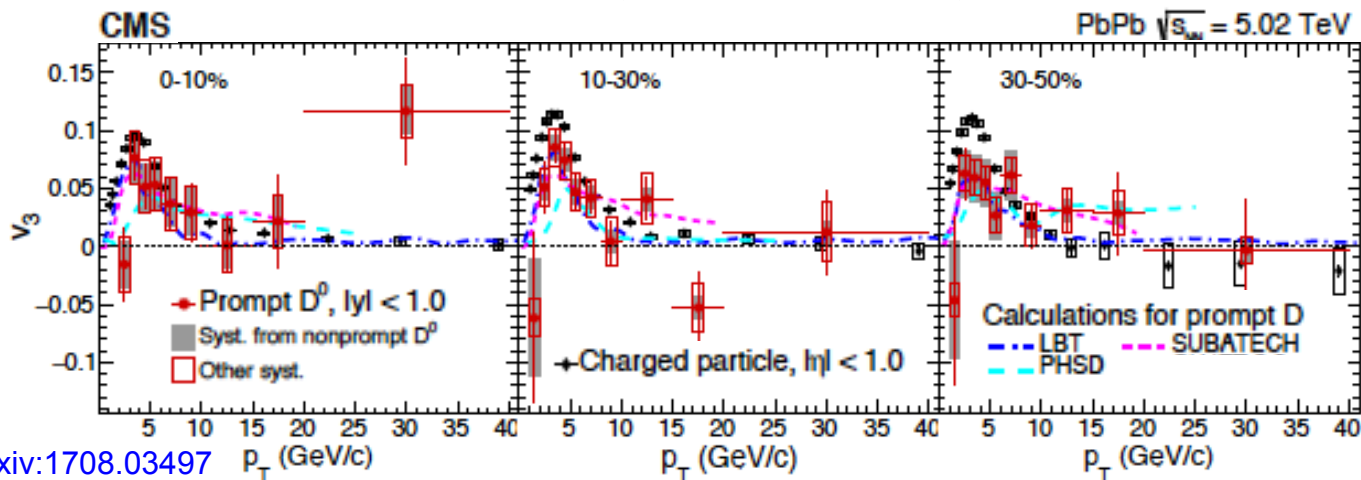


Initial spatial anisotropy

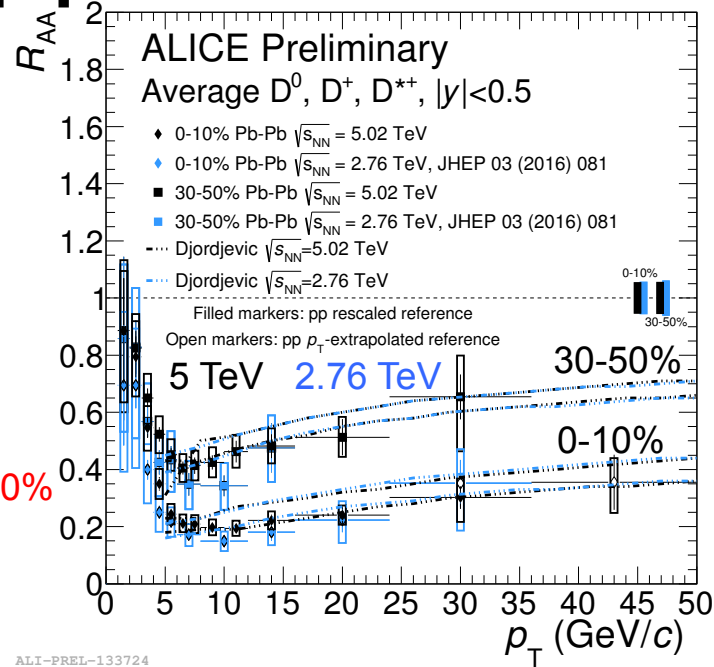
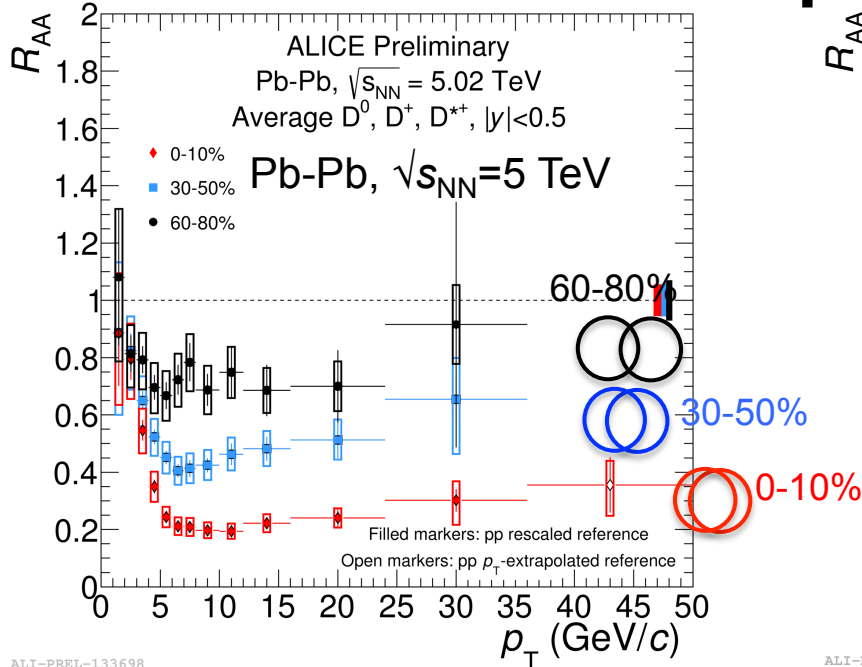
momentum anisotropy

$$\frac{dN}{d\varphi} = \frac{N_0}{2\pi} (1 + 2v_1 \cos(\varphi - \Psi_1) + 2v_2 \cos[2(\varphi - \Psi_2)] + \dots)$$

$$v_n = \langle \cos(n[\varphi - \psi_n]) \rangle$$



# D-meson suppression at LHC



ALICE-PUBLIC-2017-003  
JHEP 03 (2016) 081

- Similar  $R_{AA}$  at 2.76 TeV and 5 TeV
  - Expected in models from balancing of denser medium and harder spectrum

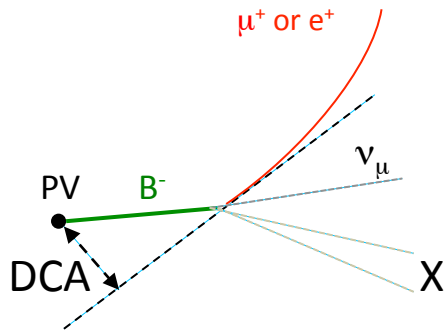
Djordjevic, Phys. Rev. C92 (2015) 024918

# HF signals in experiments

Beauty Leptons:

$b \rightarrow c$ -hadrons  $\rightarrow eX$

$b \rightarrow c$ -hadrons  $\rightarrow \mu X$



Particle	Mass (GeV/c <sup>2</sup> )	$\tau$ ( $\mu\text{m}$ )	BR (approximate)
Average charm		/	~9.6%
D <sup>+</sup>	1.86961	311.8	16%
D <sup>0</sup>	1.86483	122.9	6.5%
D <sup>*+</sup>	2.01026	strong decay	/
D <sub>s</sub> <sup>+</sup>	1.96828	149.9	6.5%
$\Lambda_c^+$	2.28646	59.9	4.5% (3.6% in $e^+\Lambda\nu$ )
$\Xi_c^+$	2.46787	132	?
$\Xi_c^0$	2.47087	33.6	?
$\Omega_c^0$	2.6952	21	?



# Open Charm signals in experiments

Charm hadron to leptons

Particle	Mass (GeV/c <sup>2</sup> )	$c\tau$ ( $\mu\text{m}$ )	BR (approximate)
Average charm		/	~9.6%
D <sup>+</sup>	1.86961	311.8	16%
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$\Xi_c^0$	2.47087	33.6	?
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Typical hadronic decay channels studied

Particle	Mass (GeV/c <sup>2</sup> )	$c\tau$ ( $\mu\text{m}$ )	Typical decay channel	BR (approximate)
D <sup>+</sup>	1.86961	311.8	K <sup>-</sup> $\pi^+\pi^+$	8.98%
D <sup>0</sup>	1.86483	122.9	K <sup>-</sup> $\pi^+$	3.89%
D <sup>*+</sup>	2.01026	strong decay	D <sup>0</sup> $\pi^+$	67.7%
D <sub>s</sub> <sup>+</sup>	1.96828	149.9	$\phi\pi^+(K^-K^+\pi^+)$	4.5%(2.27%)
$\Lambda_c^+$	2.28646	59.9	pK <sup>-</sup> $\pi^+$ pK <sub>s</sub> <sup>0</sup>	6.35% 1.58%

# Open beauty signals in experiments

## Beauty hadron to leptons

Particle	Mass (GeV/c <sup>2</sup> )	$c\tau$ ( $\mu\text{m}$ )	BR (approximate)
Average b		/	10.7%
B <sup>+</sup>	5.27932	491.1	11%
B <sup>0</sup>	5.27963	455.7	10.3%
B <sup>*+</sup>			
B <sub>s</sub> <sup>0</sup>	5.36689	451.2	9.6%
$\Lambda_b^0$	5.61958	440.7	~10.4%

## Typical hadronic decay channels studied

Particle	Mass (GeV/c <sup>2</sup> )	$c\tau$ ( $\mu\text{m}$ )	Typical decay channel	BR (approximate)
B <sup>+</sup>	5.27932	491.1	J/ $\psi$ K <sup>+</sup>	0.103%
B <sup>0</sup>	5.27963	455.7	J/ $\psi$ K*(892)	0.128%
B <sub>s</sub> <sup>0</sup>	5.36689	451.2	J/ $\psi$ $\phi$ (J/ $\psi$ K-K <sup>+</sup> )	0.108% (0.054%)

# CNM in p-A... and beyond

Effects, not ascribed to QGP, that can modify open-HF production in nuclear collisions:

- **Nuclear modification of the PDFs**

- shadowing at low Bjorken- $x$  dominant effect for open HF at LHC energies, anti-shadowing also relevant at RHIC
- “**saturation**” at low  $x \rightarrow$  non-linear PDF evolution  $\rightarrow$  need different calculation schemes (e.g. Colour-Glass condensate, e.g. H.Fujii and K.Watanabe, Nucl.Phys.A915(2013) 1 )

- **$k_T$ -broadening**

Due to multiple elastic collisions of the parton before the hard scattering  $\rightarrow$  can cause  $R_{pA} > 1$  around 2-3 GeV/c

- **energy loss in the initial or final state in cold nuclear matter**

**Other final-state effects?** (e.g. from system collectivity/hydro)

