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Parton model in QCD

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PARTON MODEL

Elastic scattering : electron — proton
————> proton (hadron) is **NOT point-like**

Deep inelastic scattering : electron — proton
————> proton (hadron) consists of **point-like particles-partons**

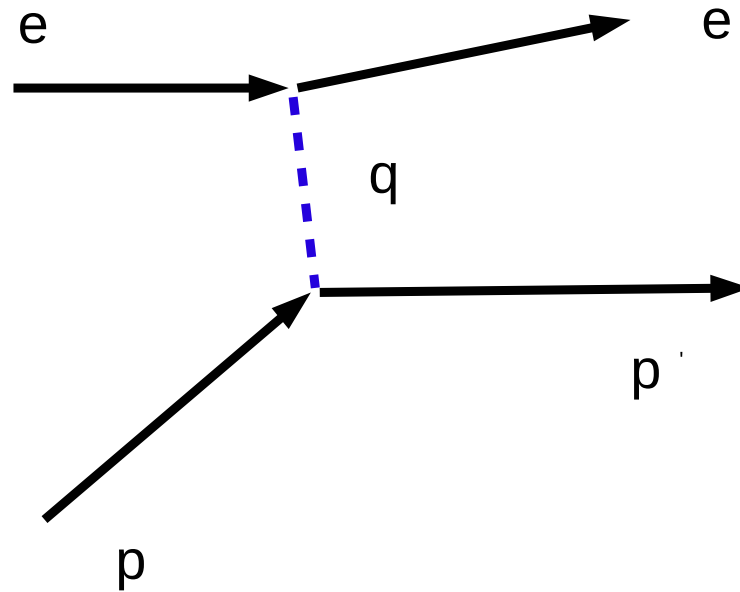
Cross section (hadron) = Σ cross section (parton) \times weights

Weights — probabilities in the system of infinite momentum

(Bjorken, Feynman)

WHY is a proton (hadron) **NOT point-like** ?

Elastic ep -scattering is described by the same Feynman diagram as $e\mu^+$ -scattering:



$$M_{fi} = \bar{u}'_e \gamma^\mu u_e \frac{e^2}{q^2} \langle p' | J_\mu(q) | p \rangle.$$

(BUT the hadron current $J_\mu(q)$ can't be presented in the “QED form”:

$$J_h^\mu = e \bar{\psi}_h(x) \gamma^\mu \psi_h(x) - \text{CONTRADICTS the experiment}).$$

Relativistic + gauge invariance — >

$\langle p' | J_\mu(q) | p \rangle$ can be parametrized over 2 form factors:

$$\begin{aligned}\langle p' | J_\mu(q) | p \rangle &= \bar{u}'_p \left\{ \gamma^\mu F_1(q^2) - \frac{F_2(q^2)}{2M} \sigma^{\mu\nu} q_\nu \right\} u_p = \\ &= \bar{u}'_p \left\{ \gamma^\mu F_m(q^2) + 2M [F_e(q^2) - F_m(q^2)] \frac{P^\mu}{P^2} \right\} u_p,\end{aligned}$$

where M is the proton mass and

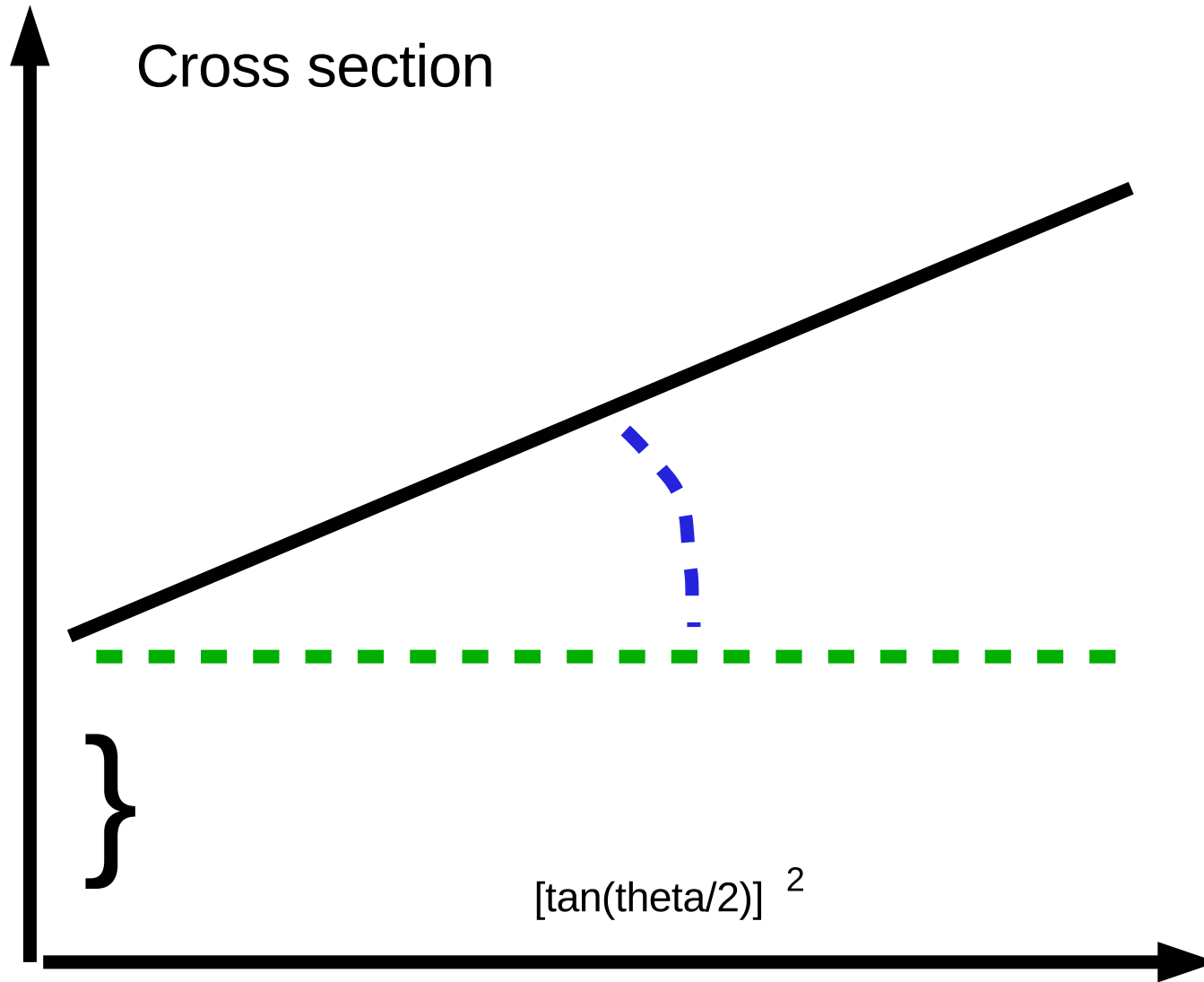
$$F_m = F_1 + F_2, \quad F_e = F_1 + F_2 \frac{q^2}{4M^2}, \quad P = p + p'.$$

Then the differential cross section in the proton rest frame

$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_{\text{Mott}} \left[\frac{F_e^2 + bF_m^2}{1+b} + 2bF_m^2 \tan^2 \frac{\theta}{2} \right],$$

where $b = \frac{-q^2}{4M^2}$, θ is the scattering angle of electron.

Cross section (normalized) as a function of $\tan^2 \frac{\theta}{2}$



Slope + intersection \rightarrow form factors

Proton: at $q^2 \rightarrow 0$

$$F_e^p(0) = 1, \quad F_m^p(0) = 2.79.$$

Neutron:

$$F_e^n(0) = 0, \quad F_m^n(0) = -1.91.$$

From SLAC experiment (1964):

$$F_e^p(q^2) = \frac{F_m^p(q^2)}{2.79} = \frac{F_m^n(q^2)}{|1.91|} = \left(\frac{1}{1 + |q^2|/m_g^2} \right)^2,$$

with $m_g^2 = 0.71 \text{ GeV}^2$ and $F_e^n(q^2) = 0$.

In the Breit frame

(where $\vec{P} = \vec{p} + \vec{p}' = 0$ ($q^0 = 0, \vec{q} = 2\vec{p}' = -2\vec{p}$)):

$$e\rho(\vec{r}) = e \frac{1}{(2\pi)^3} \int F(-\vec{q}^2) e^{i\vec{q}\vec{r}} d^3q$$

is the spatial distribution of charge density.

In the case:

$$F = \text{const} \quad \longrightarrow \quad \rho(\vec{r}) = \delta(\vec{r}) \quad \longrightarrow \quad \text{point-like}$$

Thus from SLAC experiment:

1. Proton and neutron are not point-like

(the form factors are dependent of q^2)

2. The Fourier of form factors are well approximated by:

$$\rho(r) = \rho(0) \exp(-r/a)$$

with

$$a = 0.23 \text{ fm}$$

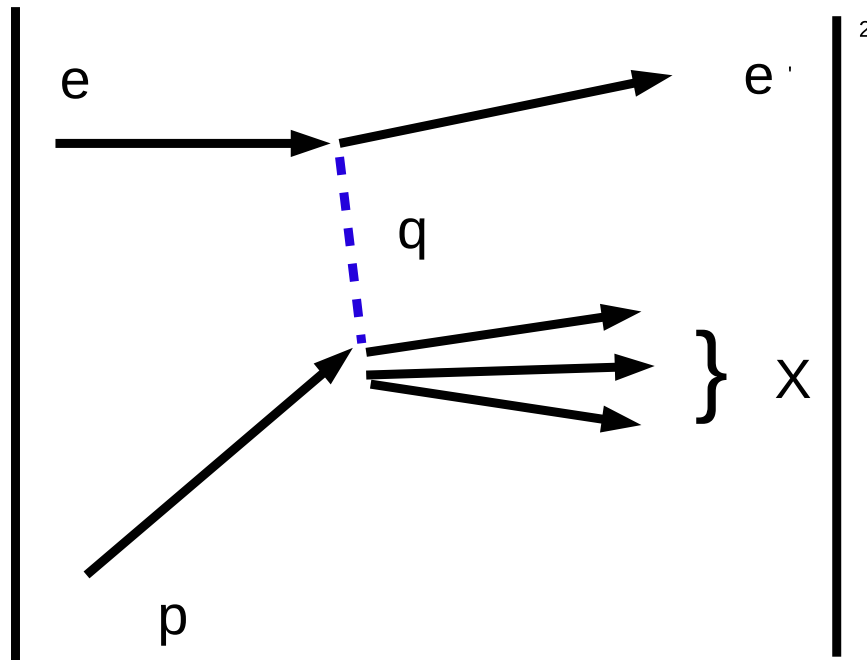
$$\langle r_E^2 \rangle_p = \langle r_M^2 \rangle_p = \langle r_M^2 \rangle_n \approx 0.7 \text{ fm}^2$$

as the proton size

Why does a proton (hadron) consist of **point-like particles-partons** ?

For **deep inelastic** ep -scattering at

$$\sqrt{-q^2} \gg M_p \simeq 1 \text{ GeV}$$



the measured probability of inclusive process is proportional to :

$$|M|^2 = (\bar{u}'_e \gamma_\nu u_e)^* (\bar{u}_e \gamma_\mu u_e) \left(\frac{e^2}{q^2} \right)^2 \sum_X \langle p | J_\nu(-q) | X \rangle \cdot \langle X | J_\mu(q) | p \rangle \\ \cdot 2\pi \delta \left((p + q)^2 - M_X^2 \right),$$

where

$$W_{\mu\nu} = \sum_X \langle p | J_\nu(-q) | X \rangle \langle X | J_\mu(q) | p \rangle 2\pi \delta \left((p + q)^2 - M_X^2 \right)$$

is the subject of study.

Again, Relativistic + gauge invariance \rightarrow

$$\frac{M}{4\pi} W_{\mu\nu} = W_2(q^2, x) \left(p_\mu - q_\mu \frac{(p \cdot q)}{q^2} \right) \left(p_\nu - q_\nu \frac{p \cdot q}{q^2} \right) \\ W_1(q^2, x) M^2 \left(g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right),$$

where $x = -\frac{q^2}{2(pq)} = \frac{|q^2|}{2M\nu}$, ν is the energy loss in the rest frame of the proton with mass M .

The inclusive cross section of this process:

$$\frac{d\sigma}{d\nu d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_{\text{point.elastic}} \left[W_2(x, q^2) + 2W_1(x, q^2) \tan^2 \frac{\theta}{2} \right].$$

These structure functions $\nu W_2(q^2, x)$ and $W_1(q^2, x)$ at $-q^2 \geq 1 \text{ GeV}^2$ can be related with the nucleon form factors F_e^2, F_m^2 and $\delta(x - 1)$ since for elastic scattering

$$p' - p = q, p' = p + q, p'^2 = p^2 + 2pq + q^2, q^2 = -2pq \implies -\frac{q^2}{2pq} = x = 1.$$

From first experiment:

$$\nu W_2(q^2, x) \text{ and } W_1(q^2, x) \text{ at } -q^2 \geq 1 \text{ GeV}^2$$

were independent of scale q^2 (**SCALING**)

—————> elastic scattering on point-like partons

Parton model = impulse approximation = constituents as free

————— > VALID at

$$\tau \sim \frac{1}{\Delta E} \sim \frac{|\vec{p}|}{k_{\perp}^2 \text{max}}$$

— the relaxation time is considerably larger than the collision time

$$\tau_{\text{collision}} \sim \frac{1}{|q|}.$$

Thus

$$|q| \gg \frac{k_{\perp}^2 \text{max}}{|\vec{p}|}$$

and in the “frame” $|\vec{p}| \rightarrow \infty$ the assumption, that $k_{\perp} \text{max}$ is restricted, is quite ENOUGH :

Toy model: proton consists of 2 massless partons with

$x\vec{P} + \vec{k}_\perp$ is the momentum of one parton

$(1-x)\vec{P} - \vec{k}_\perp$ is the momentum of other parton

Then:

$$\begin{aligned}\Delta E &= \sqrt{(x\vec{P} + \vec{k}_\perp)^2} + \sqrt{((1-x)\vec{P} - \vec{k}_\perp)^2} - P \approx \\ &\approx xP \left(1 + \frac{k_\perp^2}{2x^2P^2}\right) + (1-x)P \left[1 + \frac{k_\perp^2}{2(1-x)^2P^2}\right] - P = \\ &\quad \frac{k_\perp^2}{2p} \left[\frac{1}{x} + \frac{1}{1-x}\right] \approx \frac{k_\perp^2}{2P} \sim \frac{k_\perp^2}{P}\end{aligned}$$

Quantum mechanics teaches us: the “life time” of such configuration:

$$\tau = \frac{1}{\Delta E} \approx \frac{P}{k_\perp^2} \gg \frac{1}{|q|} = t_{\text{collision}}.$$

More realistic model (*Drell, Yan, Phys. Rev. Lett. 24, 181 (1970)*):

proton consists of infinite number of massless noninteracting partons:

$|n\rangle = a_1^+(\vec{k}_1) \dots a_n^+(\vec{k}_n) |0\rangle$ — n -parton Fock state

$\langle n|p\rangle = f_p(k_1 \dots k_n)$ is the probability amplitude to find the n -parton configuration with the given momenta in the proton.

Then the hadron matrix element squared can be written over partonic variables:

$$|M_h|^2 = \sum_{n,m,l} \langle p|n\rangle \langle n|J_\mu(-q)|m\rangle \langle m|J_\nu(q)|l\rangle \langle l|p\rangle \cdot \\ \cdot 2\pi\delta[(P_n + q)^2 - M_m^2].$$

The transition

$$\sum_X |X\rangle \langle X| \delta((p+q)^2 - M_x^2) = \sum_m |m\rangle \langle m| \delta[(p+q)^2 - M_m^2]$$

due to the completeness theorem of state.

Assumption: photon interacts elastically only with one parton of type i in n -parton configuration $\rightarrow |n\rangle = |n-1\rangle|1_i\rangle$

$$\begin{aligned}
|M_h|^2 &= \sum_{n,m,l,i} \langle p|n-1\rangle |1_i\rangle \langle n-1| \langle 1_i|J_\mu(-q)|1'_i|m-1\rangle \cdot \\
&\cdot 2\pi\delta((p_i+q)^2 - M_i^2) \cdot \langle m-1|1'_i|J_\mu(q)|1_i|l-1\rangle \langle l-1|\langle 1_i|p\rangle = \\
&= \sum_{n_i} \langle p|n-1\rangle \langle 1_i|J_\mu(-q)|1'_i\rangle \langle 1'_i|J_\mu(q)|1_i\rangle \cdot \\
&\cdot \langle n-1|\langle 1_i|p\rangle 2\pi\delta((p_i+q)^2 - M_i^2) = \\
&= \sum_{n_i} \langle p|n-1\rangle |1_i\rangle \langle n-1|\langle 1_i|p\rangle \cdot \langle 1_i|J_\mu(-q)|1'_i\rangle \cdot \langle 1'_i|J_\mu(q)|1_i\rangle \cdot \\
&\quad 2\pi\delta((p_i+q)^2 - M_i^2) = \\
&= \sum_i P(i) \cdot |M_i|^2 2\pi\delta[(p_i+q)^2 - M_i^2]
\end{aligned}$$

The matrix element squared for the i -parton with spin $1/2$ and ξ as the fraction of the longitudinal proton momentum can be calculated:

$$\begin{aligned}
|M_i|^2 &= \frac{e_i^2}{2} Sp [(\xi p) \gamma_\mu (\xi p + q) \gamma_\nu] 2\pi \delta \left((\xi p + q)^2 - M_\xi^2 \right) \approx \\
&\approx e_i^2 2 [(\xi p)_\mu (\xi p + q)_\nu + (\xi p)_\nu (\xi p + q)_\mu - g_{\mu\nu} (\xi p)_\mu (\xi p + q)_\nu] \cdot \\
&\cdot 2\pi \delta [2\xi(pq) + q^2] \approx e_i^2 2 [2\xi^2 p_\mu p_\nu - g_{\mu\nu} \xi(pq)] \frac{2\pi}{2(pq)} \delta(\xi - x) = \\
&= 4\pi e_i^2 [2\xi^2 p_\mu p_\nu - \xi(pq) g_{\mu\nu}] \cdot \frac{\delta(\xi - x)}{2(pq)}.
\end{aligned}$$

In accordance with the previous parametrization:

$$\begin{aligned}
|M_h|^2 &= \frac{4\pi}{M} W_2(p_\mu p_\nu) - 4\pi M W_1 g_{\mu\nu} = \\
&\sum_i \int \frac{f^i(\xi) d\xi}{\xi} \cdot 4\pi e_i^2 [2\xi^2 p_\mu p_\nu - g_{\mu\nu} \xi(pq)] \frac{\delta(\xi - x)}{2(pq)}.
\end{aligned}$$

Finally (after comparison):

$$\frac{pq}{M} W_2 = \nu W_2 = x \sum e_i^2 f^i(x),$$

$$2MW_1 = \sum e_i^2 f^i(x) = \frac{(pq)}{xM} W_2$$

The inclusive cross section in this parton (Bjorken-Feynman) model:

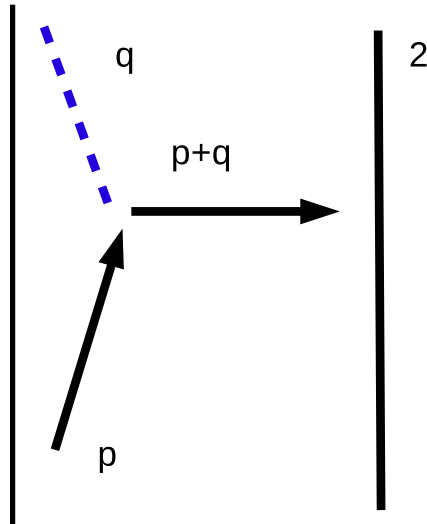
$$\frac{d^2\sigma}{dx dQ^2} = \sum_a \left(\frac{d\sigma}{dQ^2} \right)_{\text{elastic}} f_h^a(x), \quad Q^2 = -q^2.$$

(Partons with other spins give results contradicted experiment.)

PARTON MODEL IN QCD

Let us consider electron scattering on a quark with a charge e_i in perturbative QCD

1-order:



“ Hadron tensor”

$$W_{\mu\nu} = \frac{e_i^2}{2} S p [\hat{p} \gamma_\mu (p + q) \gamma_\nu] 2\pi \delta((p + q)^2).$$

At $2(pq) \gg p^2$ ($x = -\frac{q^2}{2pq}$)

$$\delta((p + q)^2) \approx \delta(1 - x) \frac{1}{2(pq)}.$$

Further calculating S_p and comparing with a general form of $W_{\mu\nu}$, we obtain

$$2MW_1 = e_i^2 \delta(1-x) = \frac{pq}{xM} W_2(x, q^2),$$

This is, in fact, included in common parton model.

LLA : leading logarithm approximation (Gribov-Lipatov approximation)

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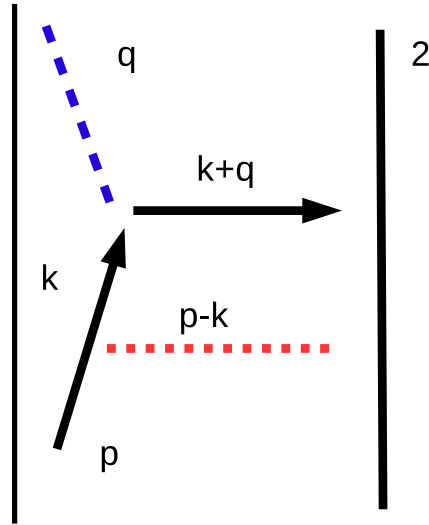
to take into account diagrams only with **enhancement**:

$$\sim (\alpha \ln |q^2|)^n .$$

----- > parton picture (+ due to *physical gauge*)

Dokshitzer, Dyakonov, Troyan, Phys. Rep. 58, N5, 269 (1980), ...

2-order: (quark radiates gluon before hard scattering)



“ Hadron tensor”

$$W_{\mu\nu} = e_i^2 g^2 \frac{N^2 - 1}{2N} \int \frac{d^4 k}{(2\pi)^4} 2\pi\delta((k+q)^2) 2\pi\delta((p-k)^2) \cdot \frac{1}{k^4} \cdot \frac{1}{2} \text{Sp} [\hat{p}\gamma_\rho \hat{k}\gamma_\mu (k+q)\gamma_\nu \hat{k}\gamma_\sigma] d_{\sigma\rho}(p-k).$$

$\frac{N^2-1}{2N} = \frac{1}{N} t_{ij}^a t_{ji}^a = C_2$ is the colour factor (averaging + summing)

Sudakov technique:

$$\mathbf{k} = \alpha \mathbf{q}' + \beta \mathbf{p}' + \mathbf{k}_\perp,$$

$$\begin{aligned} q' &= q + xp, & q'^2 &= p'^2 = 0, \\ p' &= p + \frac{\mu^2}{2(pq)} q', & p'^2 &= -\mu^2 < 0, & 2(pq) &= -\frac{q^2}{x}, \end{aligned}$$

$$(\mathbf{k}_\perp \mathbf{p}') = (\mathbf{k}_\perp \mathbf{q}') = 0$$

$$k^2 = \alpha\beta 2(p'q') - \bar{k}_\perp^2 = -\beta\mu^2 - \frac{k_\perp^2}{1-\beta}$$

$$\int d^4k \implies \int_{-\infty}^{+\infty} d\alpha \int_{-\infty}^{+\infty} d\beta \pi(pq) \int_0^\infty dk_\perp^2,$$

α - and β -integrations are taken with δ -functions, then:

$$W_{\mu\nu} = \frac{e_i^2 g^2}{16\pi} \frac{1}{(pq)} \int_{\mu^2 x}^{2(pq)} \frac{d|k^2|}{|k^2|} \frac{1}{x} C_2 \frac{1+x^2}{1-x + \frac{|k^2| \beta}{2(pq)A}} \cdot Sp [x\hat{p}\gamma_\mu(x\hat{p} + q)\gamma_\nu].$$

In the process the gluon propagator is chosen to be (*planar gauge, physical gauge*)

$$d_{\mu\nu}(k) = g_{\mu\nu} - \frac{k_\mu n_\nu + k_\nu n_\mu}{(kn)}, \quad n = Aq' + Bp'$$

With a logarithmic accuracy:

$$\int_{\mu^2 x}^{2(pq)(1-x)} \frac{d|k^2|}{|k^2|} = \ln \frac{|q^2|(1-x)}{\mu^2 x^2} + 0(1) = \ln \frac{|q^2|}{\mu^2} + 0(1),$$

(*x and (1-x) are not parametrically small, otherwise $\ln \frac{1}{x}$, $\ln \frac{1}{1-x}$ should be collected*)

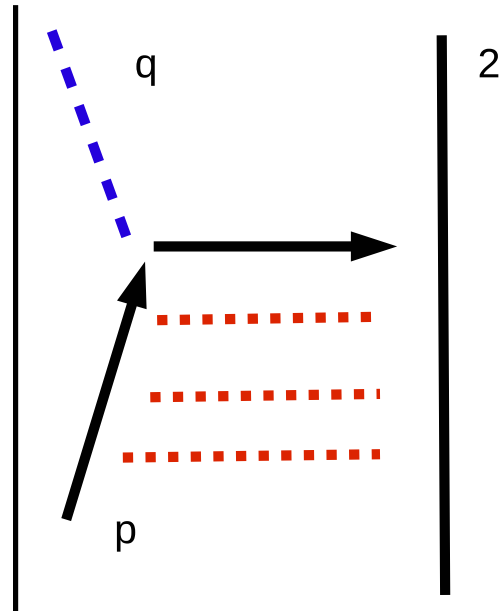
Thus, the one-rung contribution to the structure functions:

$$\frac{(pq)}{xM} W_2(x, q^2) = 2M W_1(x, q^2) = e_i^2 \frac{\alpha}{4\pi} \ln \frac{|q^2|}{\mu^2} \Phi_F^F(x),$$

$$\Phi_F^F(x) = 2C_2 \frac{1+x}{1-x} - DGLAP \text{ kernel}, \quad \alpha = g^2/4\pi.$$

Further one can show that

1) Contribution of quark ladder with n-rung



is proportional to

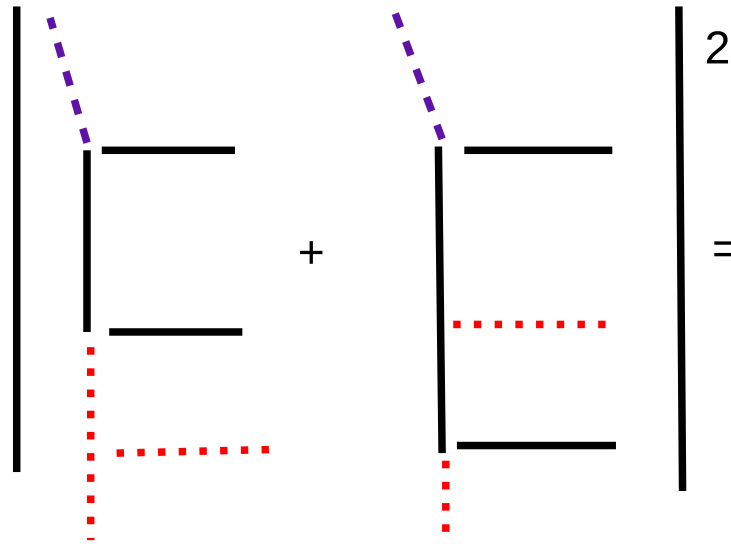
$$\sim \frac{1}{n!} \left(\frac{\alpha}{4\pi} \ln \frac{|q^2|}{\mu^2} \right)^n .$$

2) Non-ladder diagrams (without probability interpretation) are **NOT** strengthened by large $\ln \frac{|q^2|}{\mu^2}$ due to *planar gauge, physical gauge*

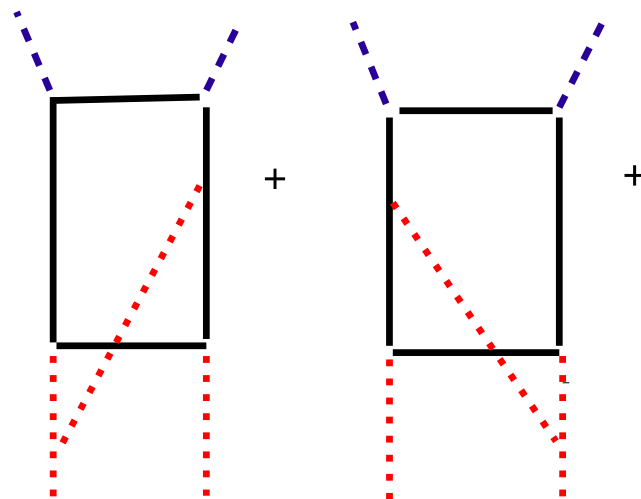
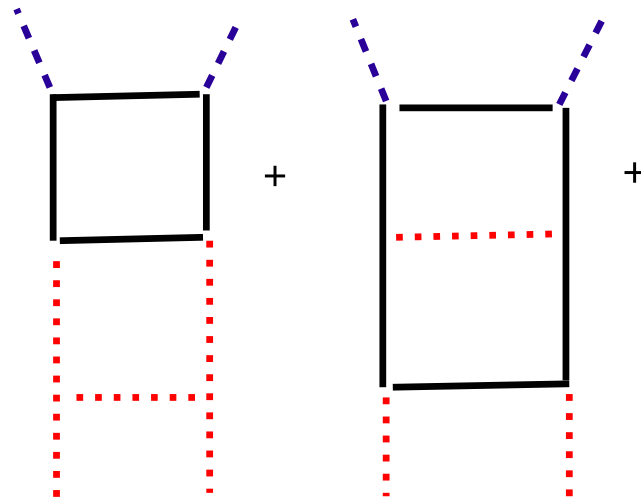
In Feynman gauge, for instance,

$$d_{\mu\nu}(k) = g_{\mu\nu}$$

the interference contributions are strengthened by large $\ln \frac{|q^2|}{\mu^2}$ ALSO.

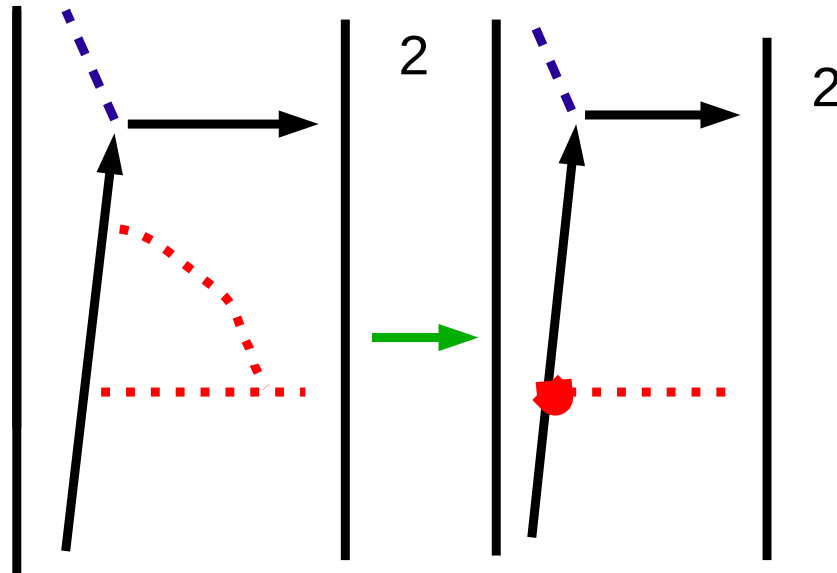


Imaginary part of



3) The corrections to the propagators and vertex functions in ladder kinematics:

Dokshitzer, Dyakonov, Troyan, Phys. Rep. 58, N5, 269 (1980), ...



$$\alpha \longrightarrow \alpha_s(k^2).$$

Thus, one can introduce quantities which incorporate common features of all partonometry processes but do not depend on their specific form. These fundamental quantities are called by *parton distributions*.

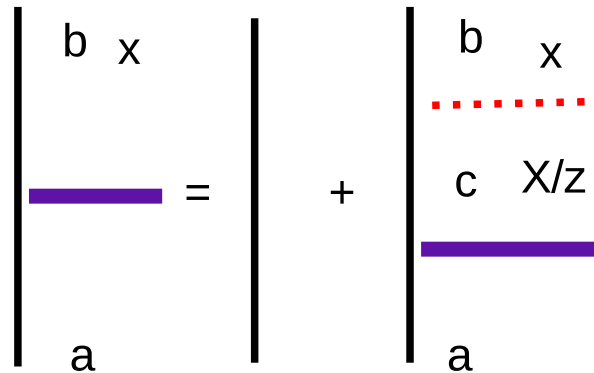
At scattering on parton of type a the structure functions are:

$$\frac{(pq)}{Mx} W_{2a}(x, q^2) = 2MW_{1a}(x, q^2) = \sum_{F=q, \bar{q}} e_F^2 D_a^F(x, \mu^2, q^2).$$

$$a = q, \bar{q}, g.$$

(in improved parton model there is contributions from g !)

For the ladder-type diagrams one can write an integral equations for parton distributions, treating 2-particle irreducible block as Bethe-Salpeter kernels



—> DGLAP equations:

$$\frac{dD_a^b(x, t)}{dt} = \sum_c \int_x^1 \frac{dz}{z} P_c^b(z) D_a^c\left(\frac{x}{z}, t\right),$$

with

$$t = \int_{\mu^2}^{|q^2|} \frac{\alpha_s(k^2) dk^2}{4\pi k^2}$$

$D_a^b(x, 0) = \delta_a^b \delta(1-x)$ (at $q^2 = \mu^2$) are initial conditions.

The kernels $P_c^b(z)$ include the δ -function virtual term at $a = b$ unlike kernels $\Phi_c^b(z)$ calculated above (2-order).

Now comes the **day of reckoning**: in fact, leptons are scattered on hadrons and we must relate the observed structure functions of hadrons to the structure functions of q and g above.

In the ladder kinematics the large logarithms $\ln \frac{|q^2|}{\mu^2}$ is made by the broad range of integration with respect to virtualnesses:

$$|q^2| \gg \dots |k_i^2| \gg \dots \mu^2.$$

Thus the time of ladder formation

$$\tau_{\text{ladder}} \sim \frac{1}{|q^2|}$$

is close to the interactions time in common parton model, i.e.

$$\tau_{\text{ladder}} \ll \tau_{\text{relax.}} \sim \frac{1}{\mu^2}$$

is the time of formation of hadrons from q and g.

Therefore the process of “ladder formation” and the process of “hadron formation” are **NOT interfered** and

$$D_h^a(x, t) = \sum_c \int_x^1 \frac{dz}{z} f_h^c(z) D_c^a\left(\frac{x}{z}, t\right),$$

where

$D_h^a(x, t)$ are the parton distribution functions in hadron

$D_c^a\left(\frac{x}{z}, t\right)$ is the distribution functions at parton level

$f_h^c(z)$ are unknown phenomenological functions = **initial conditions** for the distribution functions at hadron level:

$$D_h^a(x, t)|_{t=0} = f_h^a(x).$$

and

$$\frac{dD_h^b(x, t)}{dt} = \sum_c \int_x^1 \frac{dz}{z} P_c^b(z) D_h^c\left(\frac{x}{z}, t\right),$$

Eqs. are explicitly solved by introducing the Mellin transforms

$$M_h^a(n, t) = \int_0^1 dx x^n D_h^a(x, t), \quad P_{b \rightarrow a}(n) = \int_0^1 x^n P_{b \rightarrow a}(x) dx,$$

which lead to a system of ordinary linear-differential equations at the first order:

$$dM_h^a(n, t)/dt = \sum_b M_h^b(n, t) P_{b \rightarrow a}(n).$$

The exact solution for single distributions in the moments representation can be written symbolically in a matrix form (at parton level):

$$M_a^b(n, t) = (\exp P(n)t)_a^b.$$

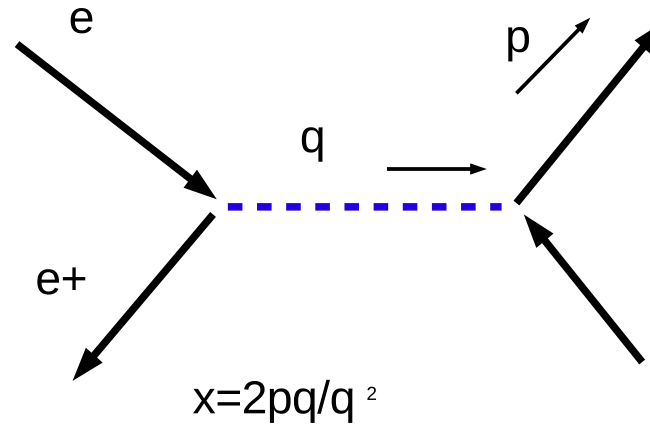
In order to obtain the distributions in x representation an inverse Mellin transformation must be performed

$$xD_h^b(x, t) = \int \frac{dn}{2\pi i} x^{-n} M_h^b(n, t),$$

where the integration runs along the imaginary axis to the right from all n singularities. This can be done in a general case only numerically.

$$a, b, c = q, \bar{q}, g.$$

e^+e^- -annihilation into q, \bar{q}, g



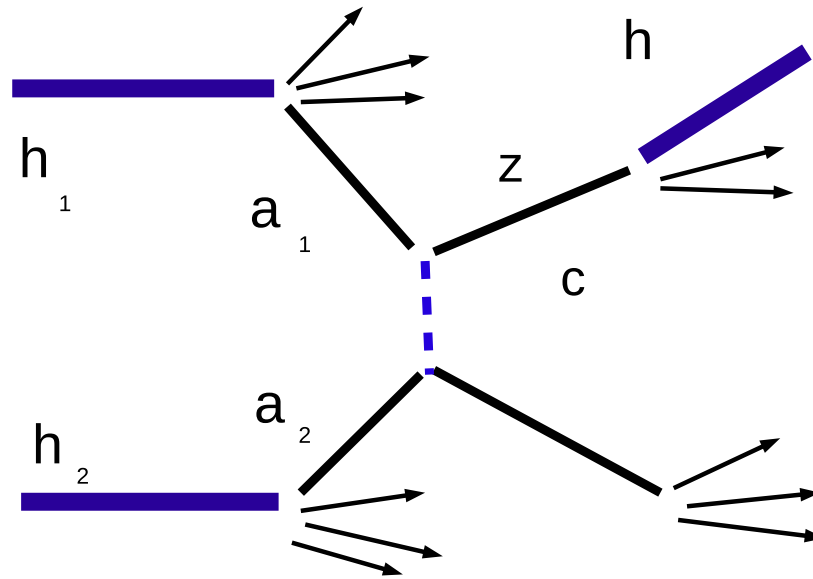
$$\frac{d\sigma(e^+e^- \rightarrow q(g) + X)}{dx} = \frac{4\pi\alpha^2}{3q^2} 3 \sum_{F=q,\bar{q}}^{2n_f} e_F^2 \overline{D}_F^{q(g)}(x, \mu^2, q^2)$$

With $\overline{D}_F^{q(g)}$ as the probability to fragment a bare parton F with the virtuality up q^2 into a dressed parton $q(g)$ having a longitudinal momentum fraction x .

Gribov-Lipatov relation at parton level:

$$D_a^b(x, \mu^2, q^2) = \overline{D}_a^b(x, \mu^2, q^2)$$

$$h_1 + h_2 \rightarrow h + X$$



$$\sigma = \sum_{a_1 a_2 c} \int dx_1 dx_2 D_{h_1}^{a_1}(x_1, q^2) D_{h_2}^{a_2}(x_2, q^2) \sigma_{a_1 a_2 \rightarrow cd} \bar{D}_c^h \left(\frac{x}{z}, q^2 \right)$$

AND