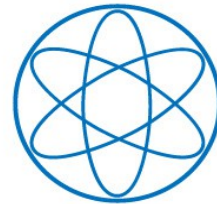


Addressing theoretical uncertainties in direct detection experiments

Alejandro Ibarra
Technische Universität München



Based on:

AI, Rappelt, arXiv:1703.09168, JCAP 1708 (2017) no.08, 039

AI, Kavanagh, Rappelt, arXiv:1806.xxxxx

Catena, AI, Wild, arXiv:1602.04074, JCAP 1605 (2016) no.05, 039

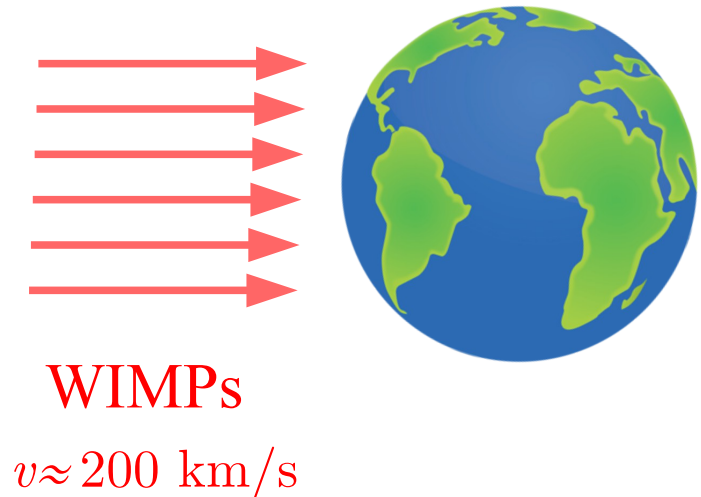
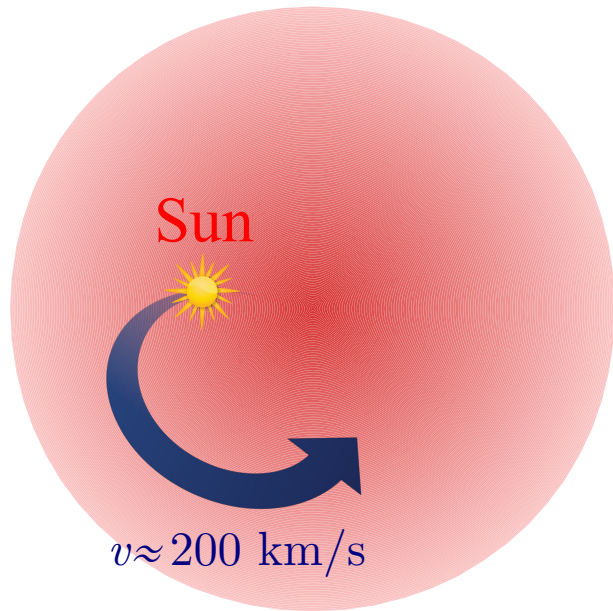
Catena, AI, Rappelt, Wild, arXiv:1801.08466

Chalmers University
of Technology
13 June, 2018

Three different methods
have been proposed
to probe the DM distribution
inside the Solar System

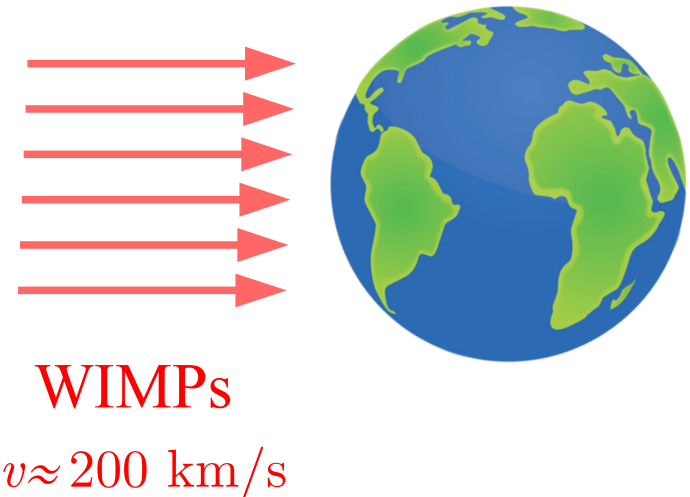
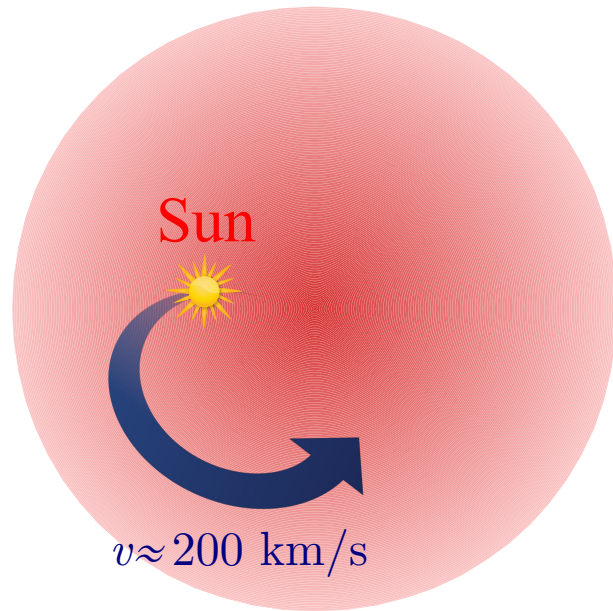
Direct dark matter searches

The Sun (and the Earth) is moving through a “gas” of dark matter particles. Or, from our point of view, there is a flux of dark matter particles going through the Earth.

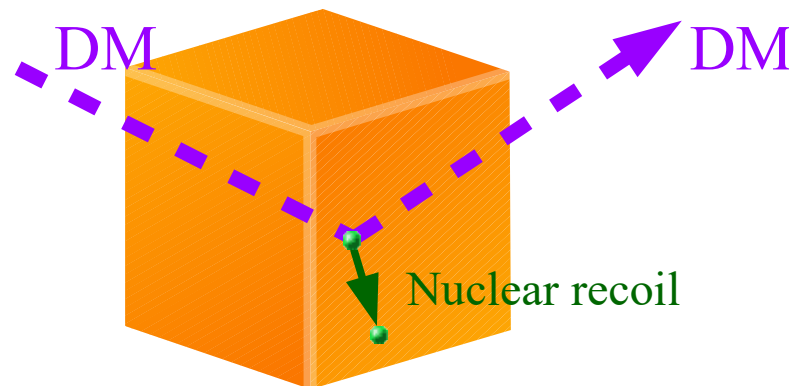


Direct dark matter searches

The Sun (and the Earth) is moving through a “gas” of dark matter particles. Or, from our point of view, there is a flux of dark matter particles going through the Earth.



Once in a while a dark matter particle will interact with a nucleus. The nucleus then recoils, producing vibrations, ionizations or scintillation light in the detector.



Direct dark matter searches

Results from a Search for Dark Matter in the Complete LUX Exposure

D. S. Akerib,^{1,2,3} S. Alsum,⁴ H. M. Araújo,⁵ X. Bai,⁶ A. J. Bailey,⁷ J. Balajthy,⁷ P. Beltrame,⁸ E. P. Bernard,^{3,10}
 A. Bernstein,¹¹ T. P. Biesiadzinski,^{1,2,3} E. M. Boulton,^{8,10} R. Bramante,^{1,2,3} P. Bris,¹² D. Byram,^{13,14} S. B. Cahn,¹⁰
 M. C. Carmona-Benitez,¹⁵ C. Chan,¹⁶ A. A. Chiller,¹⁵ C. Chiller,¹⁵ A. Currie,² J. E. Cutter,¹⁷ T. J. R. Davison,⁸ A. Dobi,¹⁸
 J. E. Y. Dobson,¹⁹ E. Druszkiewicz,²⁰ B. N. Edwards,²⁰ C. H. Faham,¹⁹ S. Fiorucci,^{16,18} R. J. Geurts,^{16,18} V. M. Gehman,¹⁸
 C. Glaser,²¹ D. L. Gitzinger,²² K. G. Goh,²³ M. L. Good,²⁴ M. I. Good,²⁵ M. S. Goussard,²⁶ K. G. Goussard,²⁶ T. A. S. Goussard,²⁶ D. J. T. Goussard,²⁶ S. L. Goussard,²⁶

We report results of a search for weakly interacting massive particles (WIMPs) with the silicon detectors of the CDMS II experiment. This blind analysis of 140.2 kg day of data taken between July 2007 and September 2008 revealed **three WIMP-candidate events** with a surface-event background estimate of $0.41^{+0.20}_{-0.08}(\text{stat})^{+0.28}_{-0.24}(\text{syst})$. Other known backgrounds from neutrons and ^{206}Pb are limited to <0.13 and <0.08 events at the 90% confidence level, respectively. The exposure of this analysis is equivalent to 23.4 kg day for a recoil energy range of 7–100 keV for a WIMP of mass 10 GeV/c^2 . The probability that the known backgrounds would produce three or more events in the signal region is 5.4%. A profile likelihood ratio test of the three events that includes the measured recoil energies gives a 0.19% probability for the known-background-only hypothesis when tested against the alternative WIMP + background hypothesis. The highest likelihood occurs for a WIMP mass of 8.6 GeV/c^2 and WIMP-nucleon cross section of $1.9 \times 10^{-41} \text{ cm}^2$.

New Results from the Search for Low-Mass Weakly Interacting Massive Particles with the CDMS Low Ionization Threshold Experiment

R. Agnese,²² A. J. Anderson,⁴ T. Aramaki,²⁰ M. Asai,¹⁰ W. Baker,¹⁵ D. Balakishiyeva,²² D. Barker,²⁴ R. Basu Thakur,^{1,2,3}
 D. A. Bauer,² J. Billard,⁸ A. Borgland,⁸ M. A. Bowles,¹⁴ P. L. Brink,¹⁰ R. Bunker,¹¹ B. Cabrera,¹² D. O. Caldwell,¹⁵
 R. Calkins,¹² D. G. Cerdeno,² H. Chagani,²⁴ Y. Chen,¹² J. Cooley,¹² B. Cornell,¹ P. Cushman,²⁴ M. Daal,¹⁹
 P. C. F. Di Stefano,³ T. Doughty,¹⁸ L. Esteban,¹⁰ S. Fallows,²⁴ E. Figueroa-Feliciano,⁹ M. Ghaith,⁹ G. L. Godfrey,¹⁰
 S. R. Golwala,¹ J. Hall,¹ H. R. Harris,¹² T. Hofer,²⁴ D. Holmgren,¹ L. Hsu,¹ M. E. Huber,²⁰ D. Jardin,¹² A. Jastram,¹⁵
 O. Kamaev,⁶ B. Kam,¹² M. H. Kelsey,¹⁹ A. Kennedy,²⁴ A. Leder,² B. Loer,² E. Lopez Asamar,¹³ P. Lukens,⁹ R. Mahapatra,¹⁵
 V. Mandic,²⁴ N. Mast,²⁴ N. Mirabolfathi,¹⁴ R. A. Moffatt,¹¹ J. D. Morales Mendoza,¹⁵ S. M. Oser,¹ K. Page,⁸ W. A. Page,¹⁷
 R. Partridge,¹⁰ M. Pepin,²⁴ A. Phipps,¹⁸ K. Prasad,¹² M. Pyle,¹⁸ H. Qiu,¹² W. Rau,⁹ P. Redl,¹⁰ A. Reisetter,¹⁷ Y. Ricci,⁹
 A. Roberts,²⁸ H. E. Rogers,²⁴ T. Saab,¹² B. Sadoulet,^{18,14} J. Sander,¹² K. Schneck,¹⁰ R. W. Schnee,¹¹ S. Scorza,¹² B. Serfass,¹⁴
 B. Shank,¹³ D. Speller,¹⁴ D. Toback,¹² R. Underwood,¹⁵ S. Upadhyayula,¹⁵ A. N. Villano,²⁴ B. Welliver,²¹ J. S. Wilson,¹⁴
 D. H. Wright,¹⁰ S. Yellin,¹³ J. J. Yen,¹³ B. A. Young,⁹ and J. Zhang²⁴

First Dark Matter Search Results from the XENON1T Experiment

E. Aprile,¹ J. Aalbers,^{2,7} F. Agostini,^{3,4} M. Alfonsi,⁵ E. D. Amaro,⁶ M. Ambrogi,¹ F. Arneodo,⁷ P. Barrow,⁸ L. Baudis,⁹
 B. Bauermeister,⁷ M. L. Benabderrahmane,⁷ T. Berger,¹⁰ P. A. Breur,² A. Brown,⁹ E. Brown,⁹ S. Brücker,¹¹
 G. Bruno,⁹ R. Budnik,¹² L. Büttiker,¹³ J. Calvén,³ J. M. R. Cardoso,⁶ M. Cervantes,¹⁴ D. Cichon,¹⁵ D. Coderre,¹⁶
 A. P. Collin,⁷ J. Conrad,⁹ J. P. Cussonneau,¹⁵ M. P. Decowski,² P. de Perio,¹ P. Di Gangi,⁴ A. Di Giovanni,⁷ S. Diglio,¹⁷
 S. Dosso,⁸ M. Galloway,⁵ F. Gao,¹ M. Garbin,¹
 C. Hasternak,¹¹ E. Hogenbirk,⁷ J. Howlett,¹
 R. F. Lang,¹⁴ D. Lellouch,¹² L. Levinson,¹²
 A. Manfredini,¹² I. Marić,⁷ T. Marrodán
 Lessina,⁷ K. Micheneau,¹⁵ A. Molinaro,⁸
 B. Pellssers,⁷ R. Persiani,¹⁵ F. Piastra,⁸
 S. Reichard,^{5,14} C. Reuter,¹⁴ B. Riedel,¹⁹
 Sartorelli,⁷ M. Scheibelhut,⁵ S. Schindler,⁷
 E. Shockey,¹⁰ M. Silva,⁸ H. Singen,¹¹
 C. Tunnell,^{19,1} M. Vargas,¹³ N. Upole,¹⁹
 Y. Zhang,¹ and T. Zhu¹

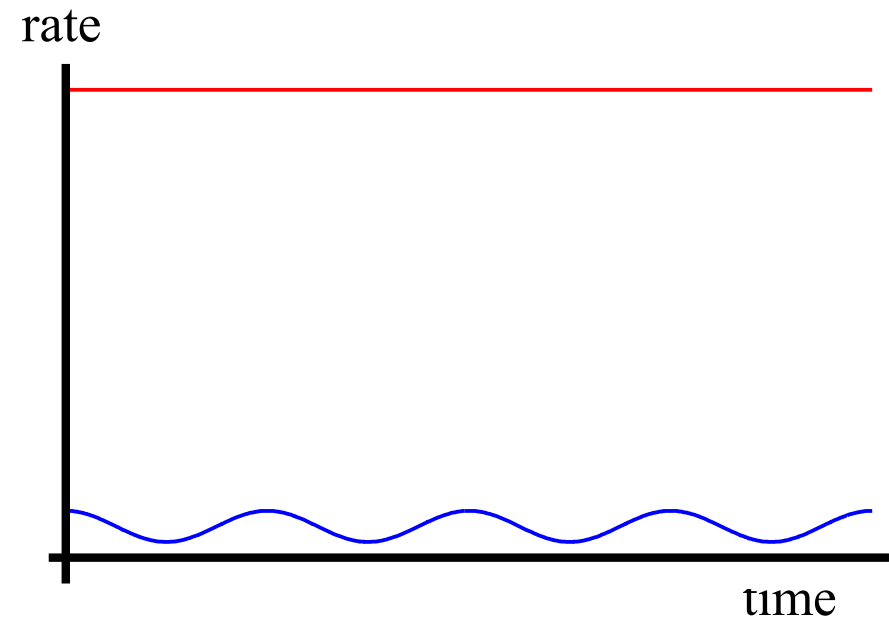
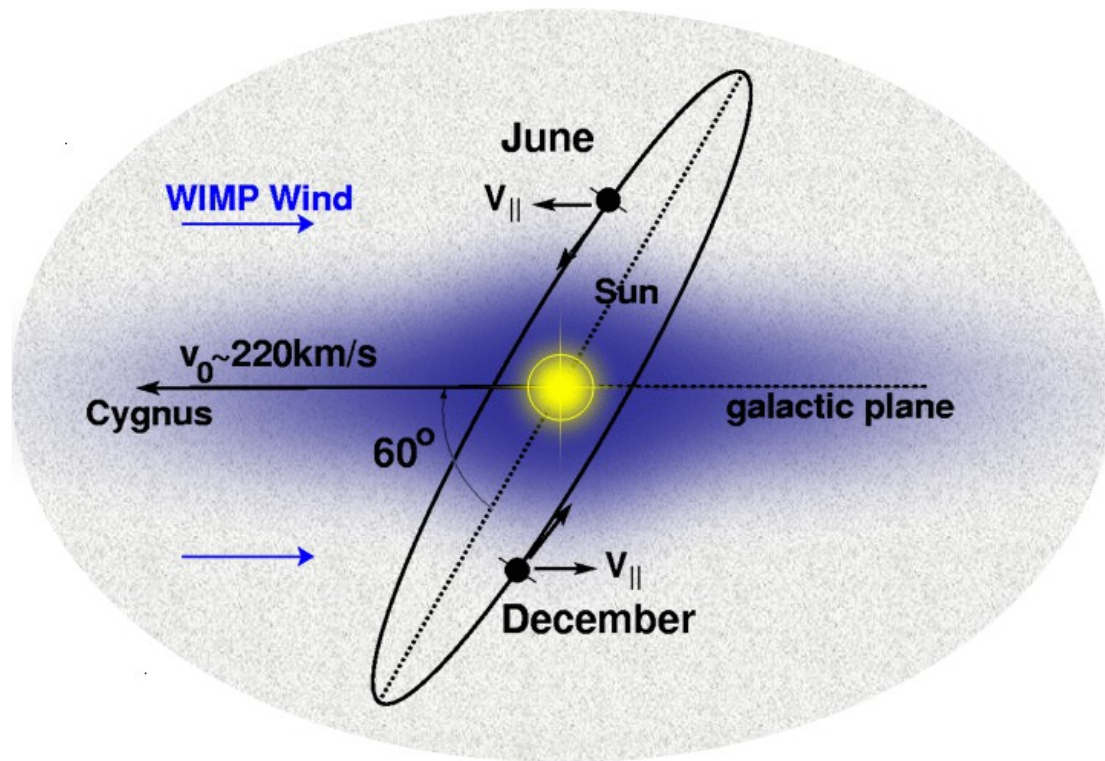
$^{13}\text{C}_3\text{F}_8$ Bubble Chamber

G. Cowder,¹ C. E. Dall,² M. Das,³ S. Fallows,⁴ J. Farne,⁵ I. Felix,⁶ R. Filgas,⁷ E. Girard,^{8,9} G. Giroux,¹⁰ J. Hall,¹¹
 J. H. Heil,¹² E. W. Hoppe,¹³ M. Jin,¹⁴ C. B. Krauss,¹⁵ M. Lühr,¹⁶ L. Lawson,^{17,18} A. LeBlanc,¹⁹ I. Levine,²⁰ W. H. Lippincott,²¹
 A. Medvedev,¹¹ D. Maurya,¹⁶ P. Mitra,¹² T. Nania,²² R. Neilson,²³ A. J. Noble,²⁴ S. Olson,²⁵ A. Ortega,¹⁴ A. Plante,¹⁴
 S. Prasad,²⁶ S. Priya,²⁷ A. E. Robinson,²⁸ A. Roseler,²⁹ R. Rucinski,³⁰ O. Scallion,³¹ S. Seif,³² A. Sonnenschein,³³
 I. Stekl,³⁴ F. Tardif,³⁵ E. Vázquez-Jauregui,³⁶ J. Wells,³⁷ U. Wichoski,³⁸ Y. Yan,³⁹ V. Zacek,⁴⁰ and J. Zhang⁴¹

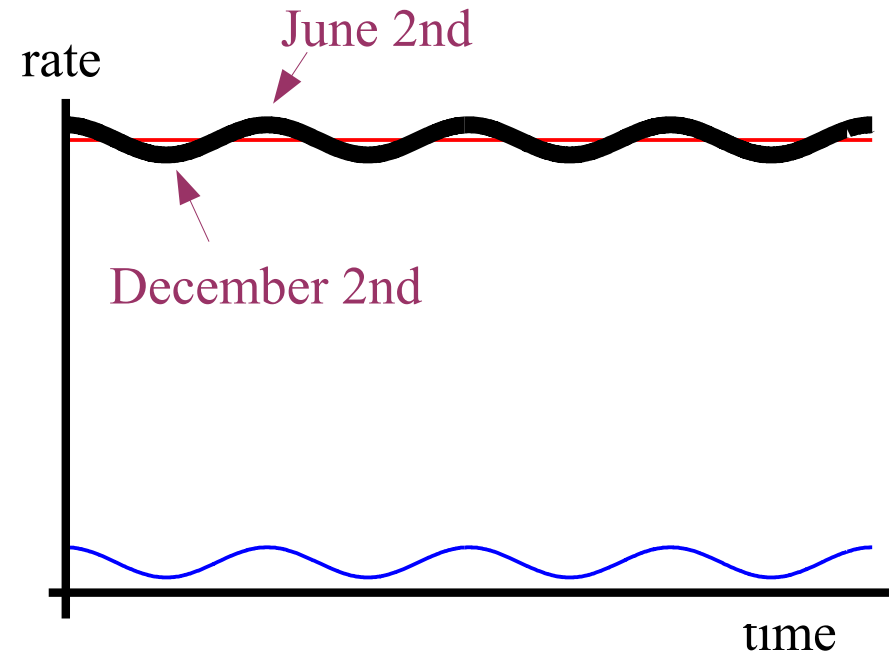
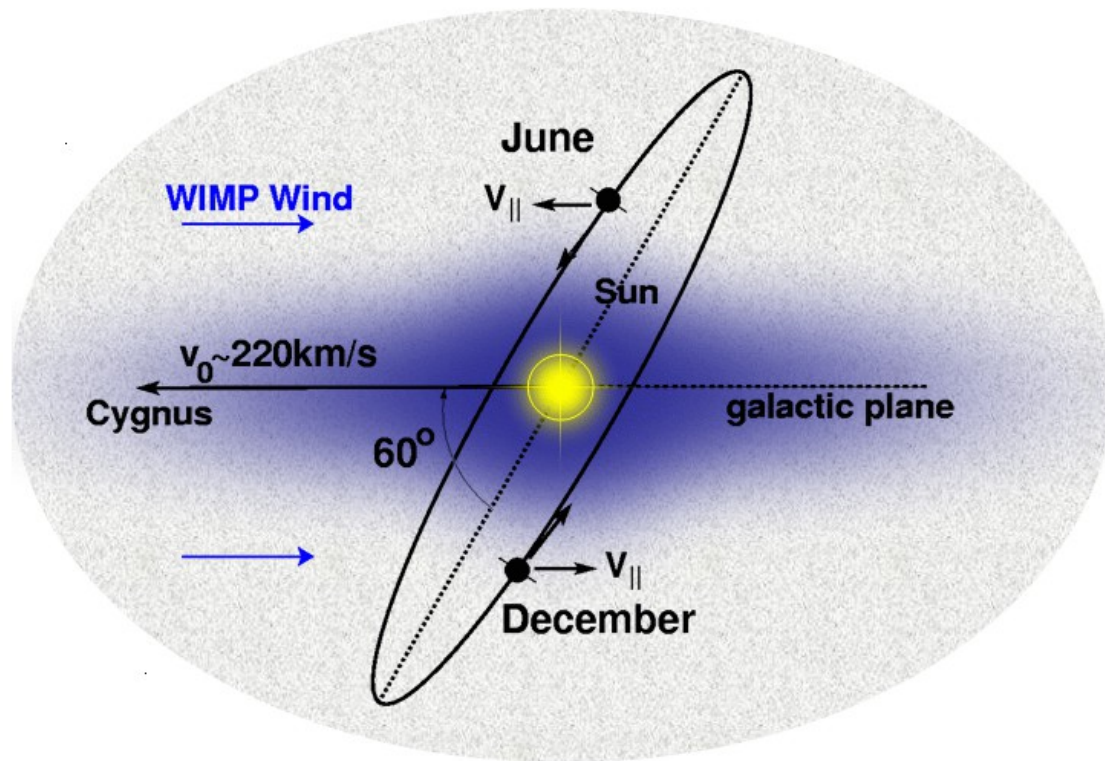
Silicon Detector Dark Matter Results from the Final Exposure of CDMS II

R. Agnese,¹⁸ Z. Ahmed,¹ A. J. Anderson,⁴ S. Arrenberg,²⁰ D. Balakishiyeva,¹⁸ R. Basu Thakur,² D. A. Bauer,²
 J. Billard,⁴ A. Borgland,⁸ D. Brandt,⁸ P. L. Brink,⁸ T. Bruch,²⁰ R. Bunker,¹¹ B. Cabrera,¹⁰ D. O. Caldwell,¹⁵
 D. G. Cerdeno,¹³ H. Chagani,¹⁹ J. Cooley,⁹ B. Cornell,¹ C. H. Crewdson,⁶ P. Cushman,¹⁹ M. Daal,¹⁴ F. Dejongh,²
 E. do Couto e Silva,⁸ T. Doughty,¹⁴ L. Esteban,¹³ S. Fallows,¹⁹ E. Figueroa-Feliciano,^{4,8} J. Filippini,¹ J. Fox,⁶
 M. Fritts,⁹ G. L. Godfrey,⁸ S. R. Golwala,¹ J. Hall,⁵ R. H. Harris,¹² S. A. Hertel,⁴ T. Hofer,¹⁹ D. Holmgren,²
 L. Hsu,² M. E. Huber,¹⁶ A. Jastram,¹² O. Kamaev,⁶ B. Kara,⁹ M. H. Kelsey,⁹ A. Kennedy,¹⁹ P. Kim,⁸ M. Kiverni,¹¹
 K. Koch,¹⁹ M. Kos,¹¹ S. W. Leman,⁴ B. Loer,² E. Lopez Asamar,¹³ R. Mahapatra,¹² V. Mandic,¹⁹ C. Martinez,⁶
 K. A. McCarthy,¹ N. Mirabolfathi,¹⁴ R. A. Moffatt,¹⁰ D. C. Moore,¹ P. Nadeau,⁶ R. H. Nelson,¹¹ K. Page,⁶
 R. Partridge,¹⁰ M. Pepin,¹⁹ A. Phipps,¹⁴ K. Prasad,¹² M. Pyle,¹⁴ H. Qiu,⁹ W. Rau,⁶ P. Redl,¹⁰ A. Reisetter,¹⁷
 Y. Ricci,⁹ T. Saab,¹⁸ B. Sadoulet,^{14,3} J. Sander,¹² K. Schneck,⁸ R. W. Schnee,¹¹ S. Scorza,⁹ B. Serfass,¹⁴
 B. Shank,¹⁰ D. Speller,¹⁴ K. M. Sundqvist,¹⁴ A. N. Villano,¹⁹ B. Welliver,¹⁸ D. H. Wright,⁸ S. Yellin,¹⁰
 J. J. Yen,¹⁹ J. Yoo,² B. A. Young,² and J. Zhang¹⁹

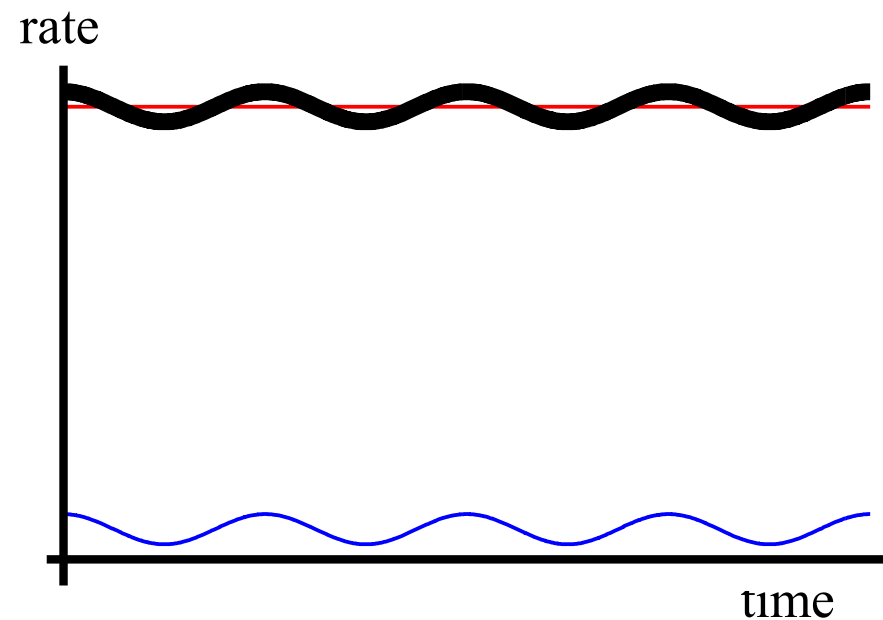
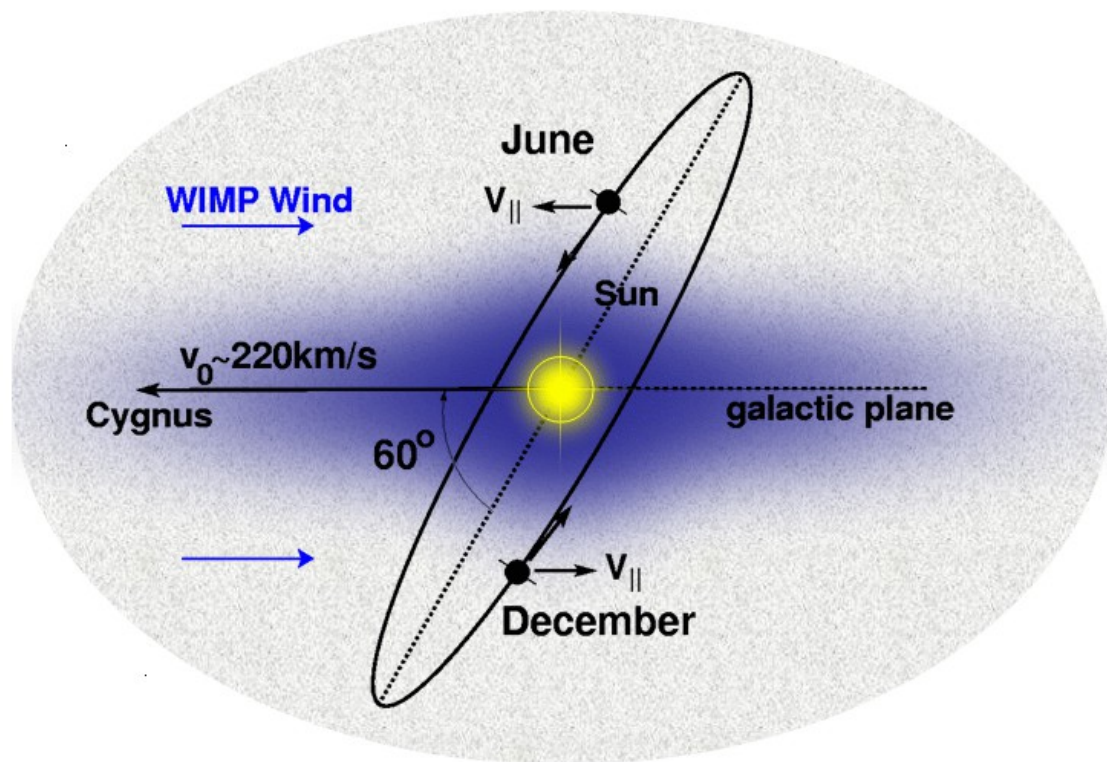
Annual modulation



Annual modulation



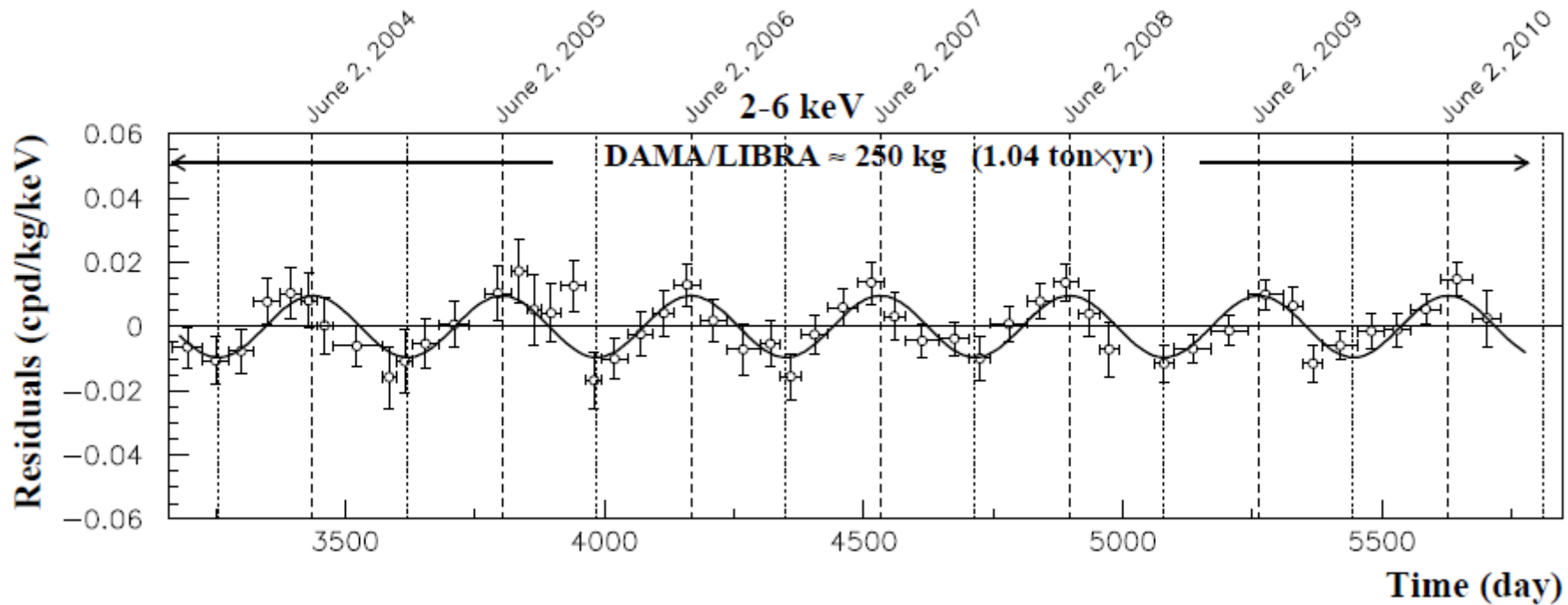
Annual modulation



Modulation signal

$$S_{[E_-, E_+]} = \frac{1}{2} \frac{1}{E_+ - E_-} \left(R_{[E_-, E_+]} \Big|_{\text{June 1st}} - R_{[E_-, E_+]} \Big|_{\text{Dec 1st}} \right)$$

Annual modulation: the DAMA/LIBRA experiment



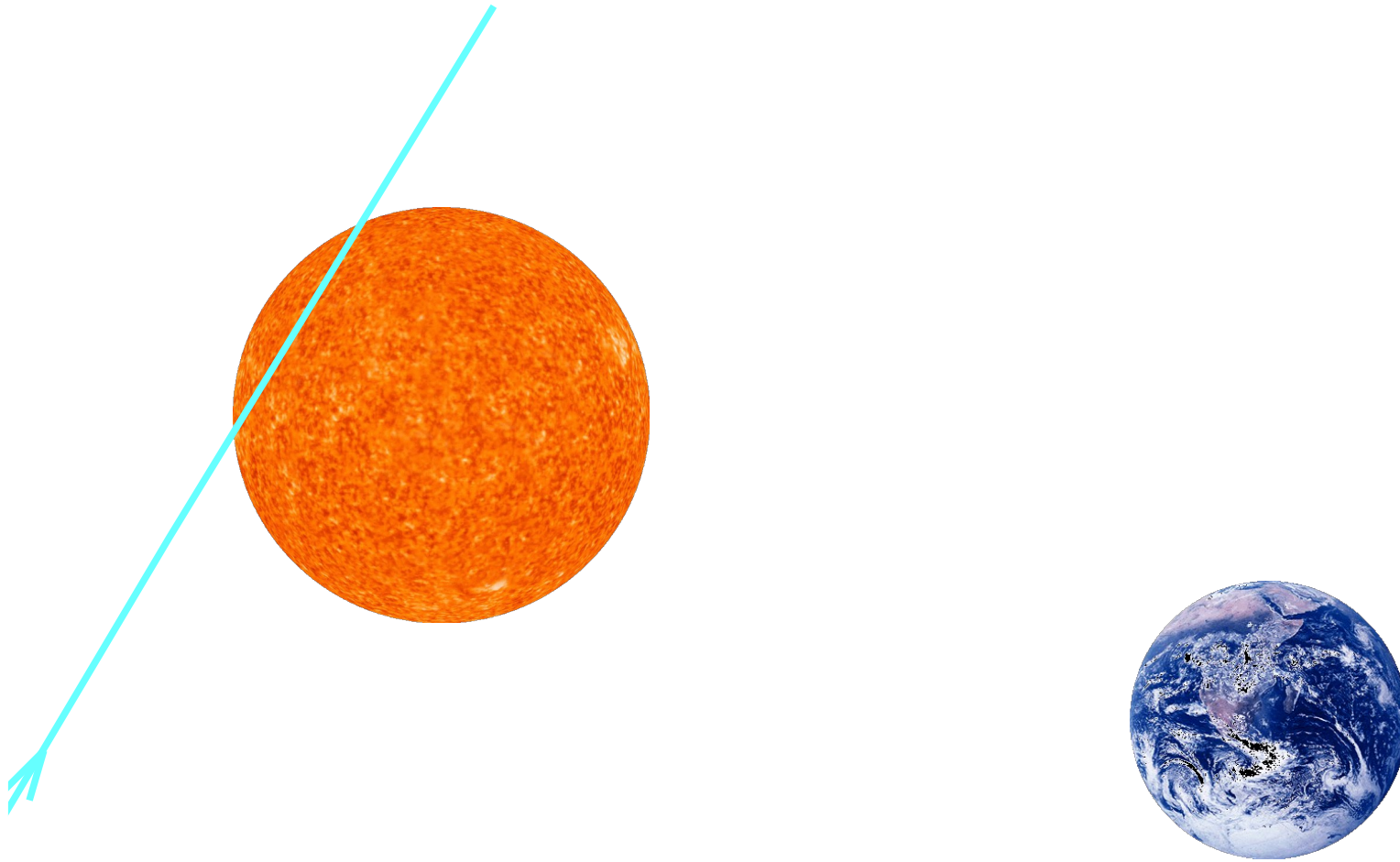
Modulation observed over 14 annual cycles, with a combined significance of 9.3σ .

$$S_{[2.0,2.5]}^{(\text{DAMA})} = (1.75 \pm 0.37) \times 10^{-2} \text{ day}^{-1} \text{ kg}^{-1} \text{ keV}^{-1}$$

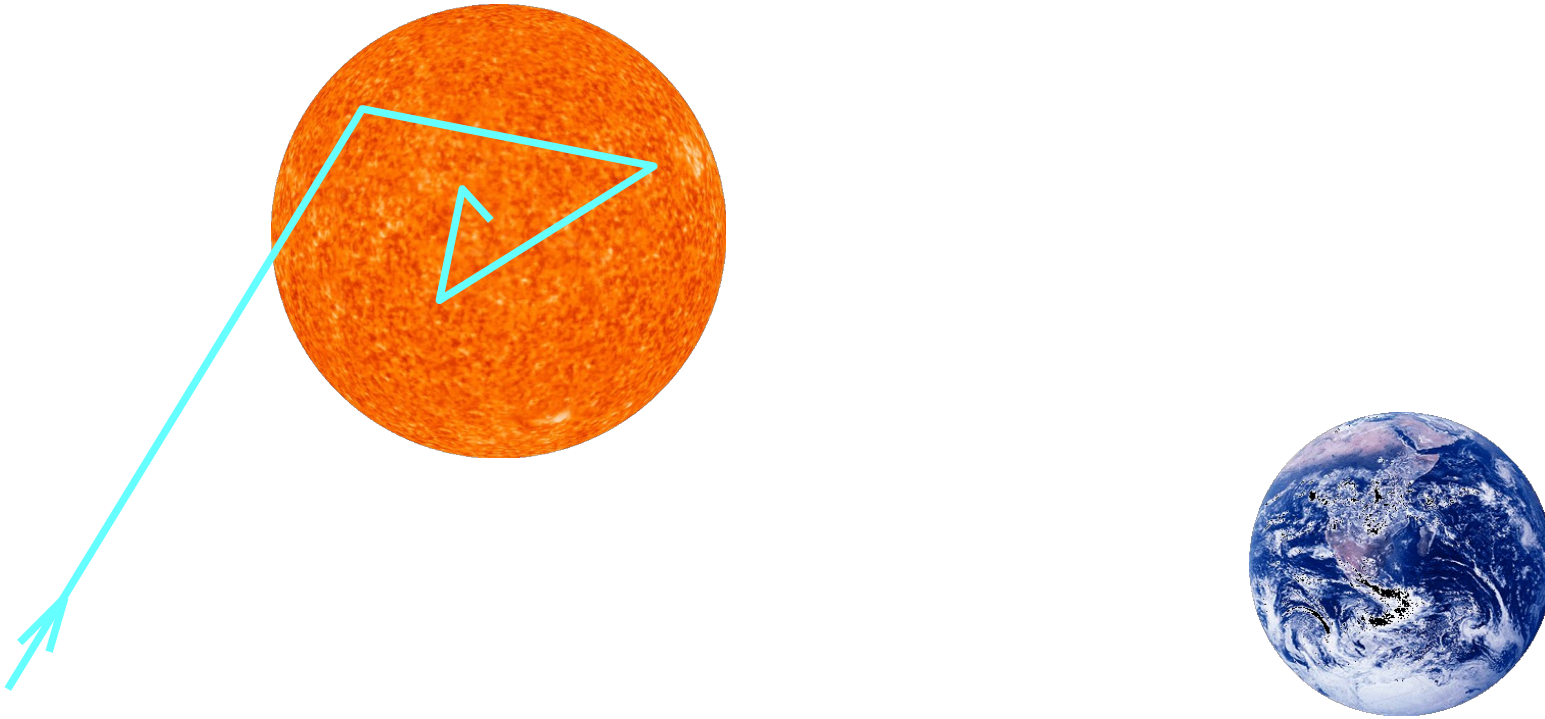
$$S_{[2.5,3.0]}^{(\text{DAMA})} = (2.51 \pm 0.40) \times 10^{-2} \text{ day}^{-1} \text{ kg}^{-1} \text{ keV}^{-1}$$

$$S_{[3.0,3.5]}^{(\text{DAMA})} = (2.16 \pm 0.40) \times 10^{-2} \text{ day}^{-1} \text{ kg}^{-1} \text{ keV}^{-1}$$

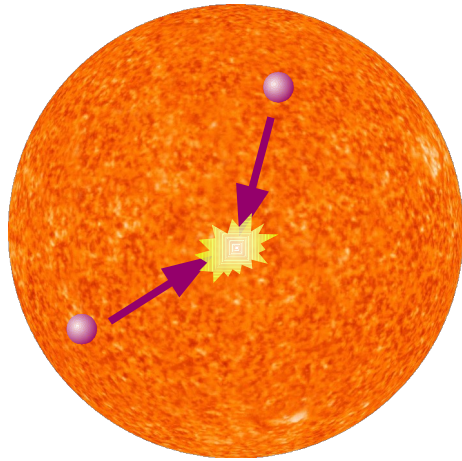
Neutrinos from annihilations in the Sun



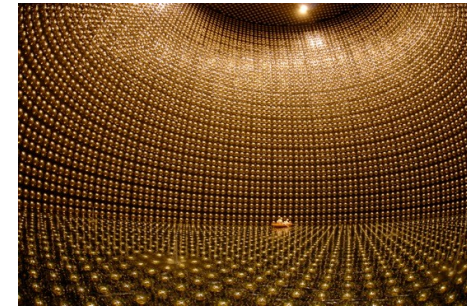
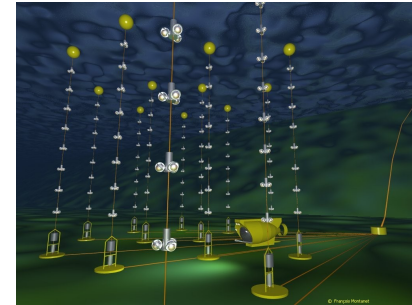
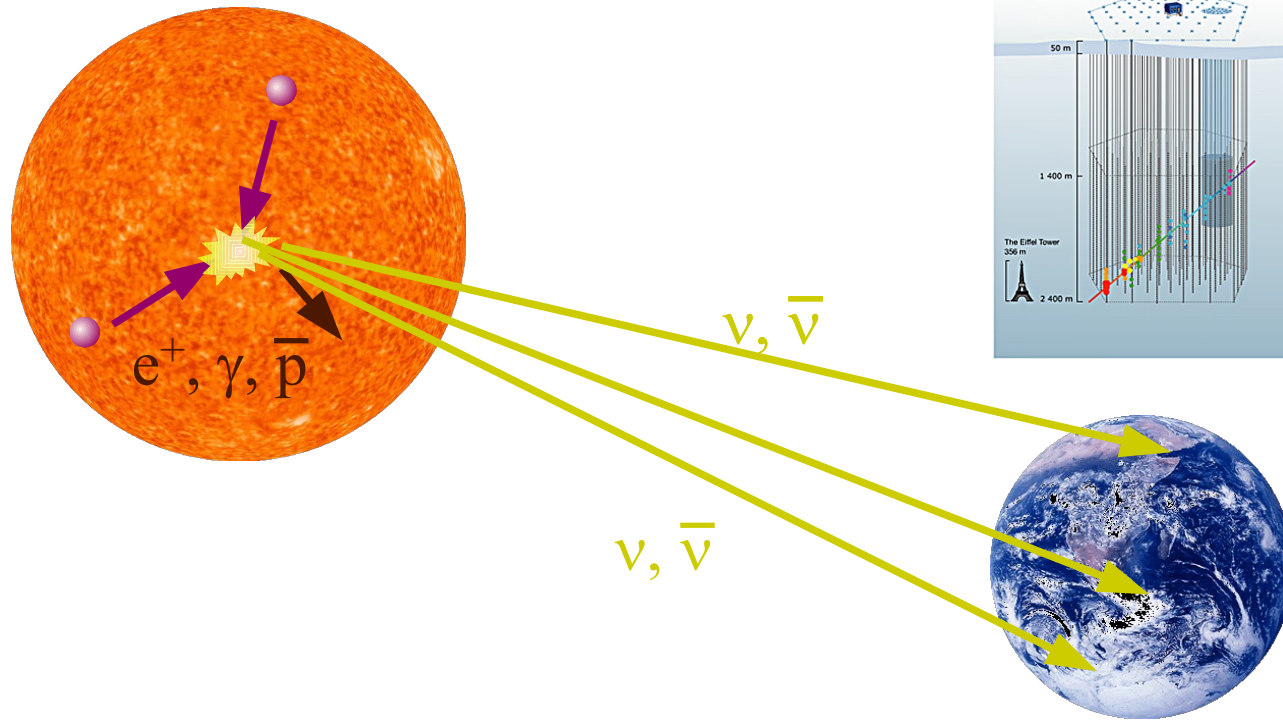
Neutrinos from annihilations in the Sun



Neutrinos from annihilations in the Sun

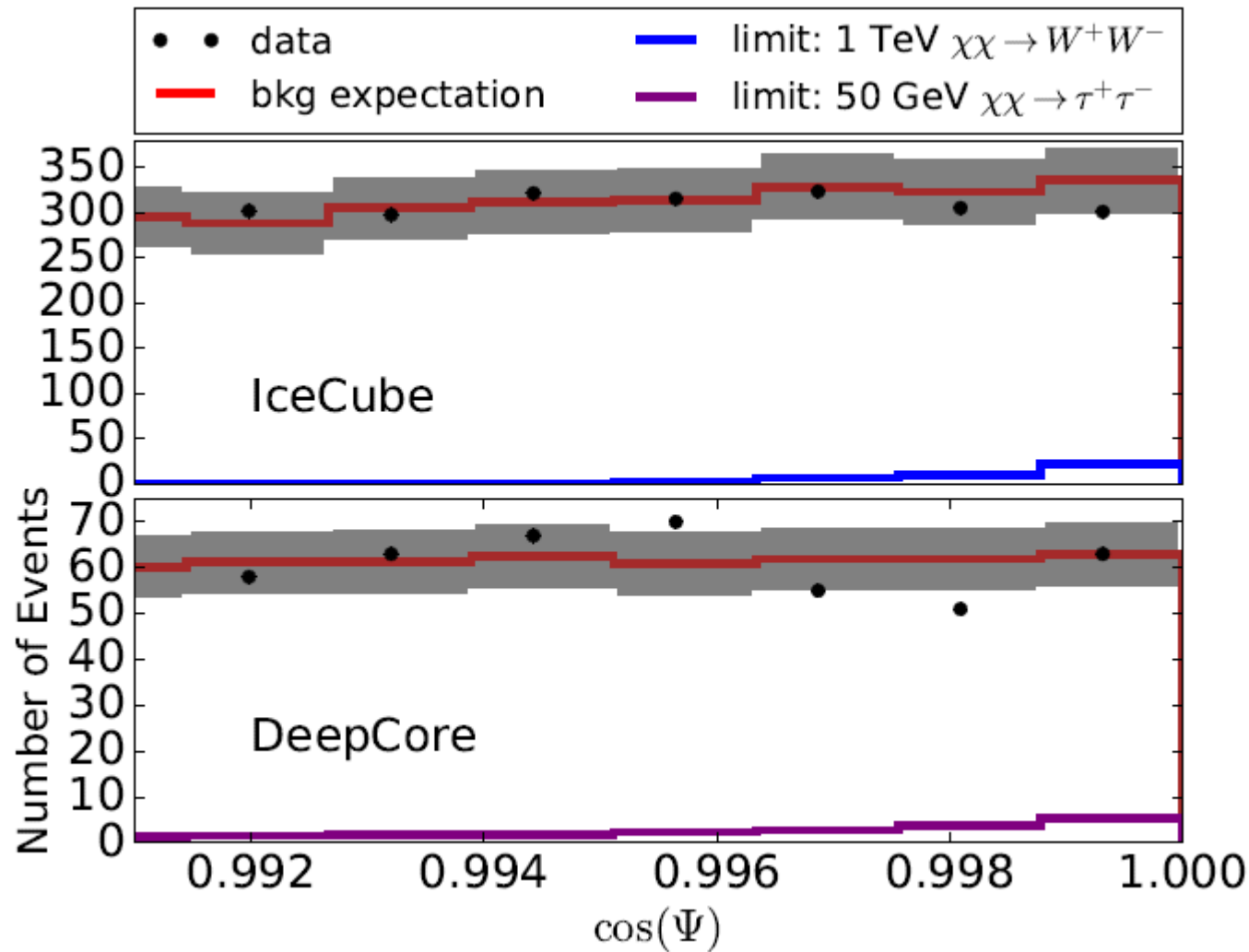


Neutrinos from annihilations in the Sun



Neutrinos from annihilations in the Sun

Observations consistent with the background-only hypothesis



**Theoretical interpretation
of the experimental results**

Theoretical interpretation of the experimental results

- Differential rate of DM-induced scatterings

$$\frac{dR}{dE_R} = \frac{\rho_{\text{loc}}}{m_A m_{\text{DM}}} \int_{v \geq v_{\text{min}}(E_R)} d^3v v f(\vec{v} + \vec{v}_{\text{obs}}(t)) \frac{d\sigma}{dE_R}$$

- The neutrino flux from annihilations inside the Sun is, under plausible assumptions, determined by the capture rate inside the Sun:

$$C = \int_0^{R_\odot} 4\pi r^2 dr \frac{\rho_{\text{loc}}}{m_{\text{DM}}} \int_{v \leq v_{\text{max}}^{(\text{Sun})}(r)} d^3v \frac{f(\vec{v})}{v} (v^2 + [v_{\text{esc}}(r)]^2) \times \int_{m_{\text{DM}}v^2/2}^{2\mu_A^2 (v^2 + [v_{\text{esc}}(r)]^2)/m_A} dE_R \frac{d\sigma}{dE_R}$$

Theoretical interpretation of the experimental results

- Differential rate of DM-induced scatterings

$$\frac{dR}{dE_R} = \frac{\rho_{\text{loc}}}{m_A m_{\text{DM}}} \int_{v \geq v_{\text{min}}(E_R)} d^3v v f(\vec{v} + \vec{v}_{\text{obs}}(t)) \frac{d\sigma}{dE_R}$$

Uncertainties from *particle/nuclear physics* and from *astrophysics*

- The neutrino flux from annihilations inside the Sun is, under plausible assumptions, determined by the capture rate inside the Sun:

$$C = \int_0^{R_\odot} 4\pi r^2 dr \frac{\rho_{\text{loc}}}{m_{\text{DM}}} \int_{v \leq v_{\text{max}}^{(\text{Sun})}(r)} d^3v \frac{f(\vec{v})}{v} (v^2 + [v_{\text{esc}}(r)]^2) \times \int_{m_{\text{DM}} v^2 / 2}^{2\mu_A^2 (v^2 + [v_{\text{esc}}(r)]^2) / m_A} dE_R \frac{d\sigma}{dE_R}$$

Theoretical interpretation of the experimental results

Uncertainties from **particle/nuclear physics**.

- Dark matter mass?

For thermally produced dark matter, $m_{\text{DM}} = \text{few MeV} - 100 \text{ TeV}$

- Differential cross section?

$$\frac{d\sigma}{dE_R} = \frac{m_A}{2\mu_A^2 v^2} (\sigma_{\text{SI}} F_{\text{SI}}^2(E_R) + \sigma_{\text{SD}} F_{\text{SD}}^2(E_R))$$

Spin-independent and
spin-dependent cross sections
at zero momentum transfer

Nuclear form factors

(In some DM frameworks, other operators may also arise)

Theoretical interpretation of the experimental results

Uncertainties from astrophysics

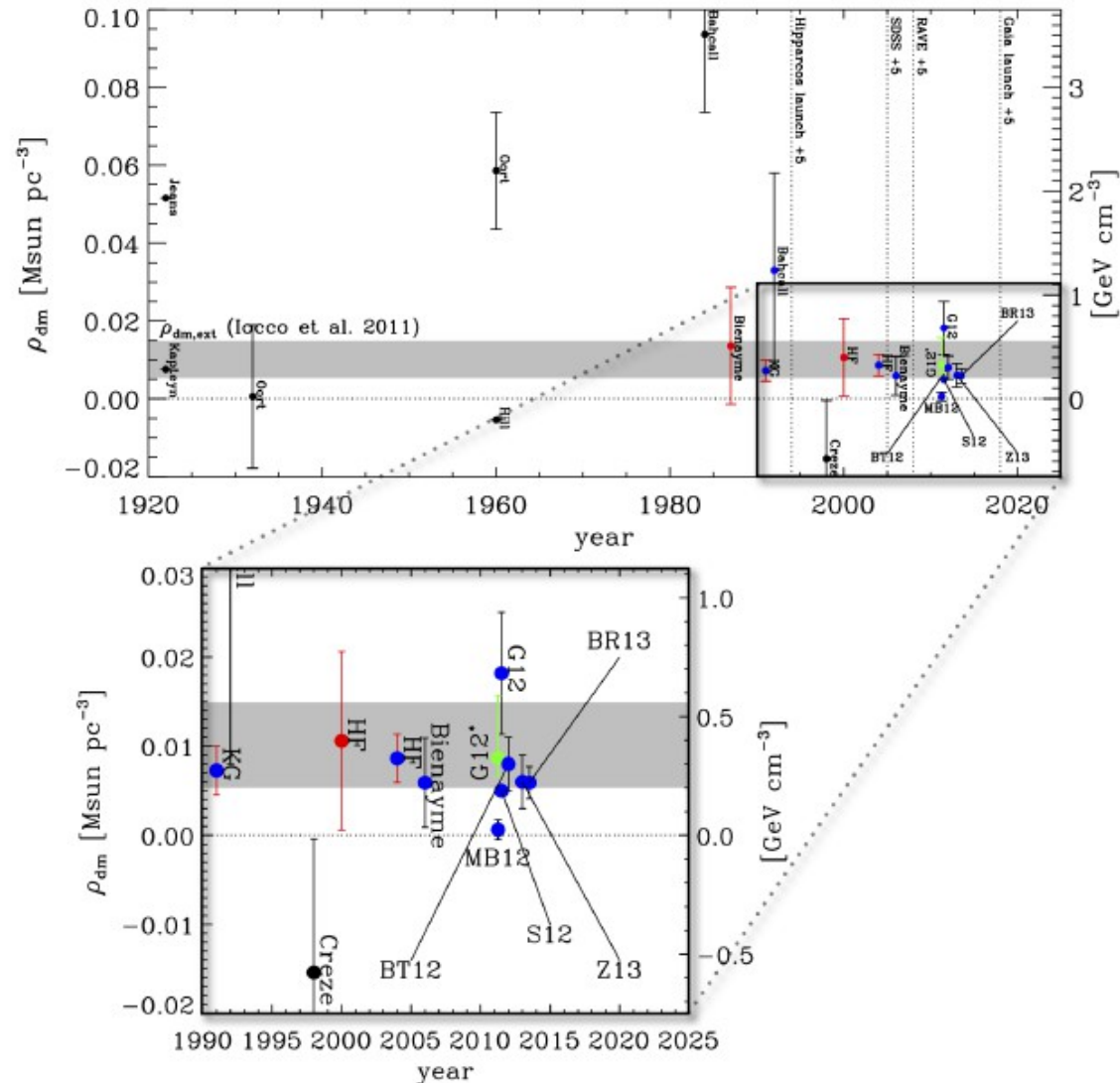
- Local dark matter density?

- “local measurements”:

From vertical kinematics of stars near (~ 1 kpc) the Sun

- “global measurements”:

From extrapolations of $\rho(r)$ determined from rotation curves at large r , to the position of the Solar System.



Read '14

Theoretical interpretation of the experimental results

Uncertainties from astrophysics

- Local dark matter velocity distribution?

Completely unknown. Rely on theoretical considerations

- If the density distribution follows a singular isothermal sphere profile, the velocity distribution has a Maxwell-Boltzmann form.

$$\rho(r) \sim \frac{1}{r^2} \longrightarrow f(v) \sim \exp(-v^2/v_0^2)$$

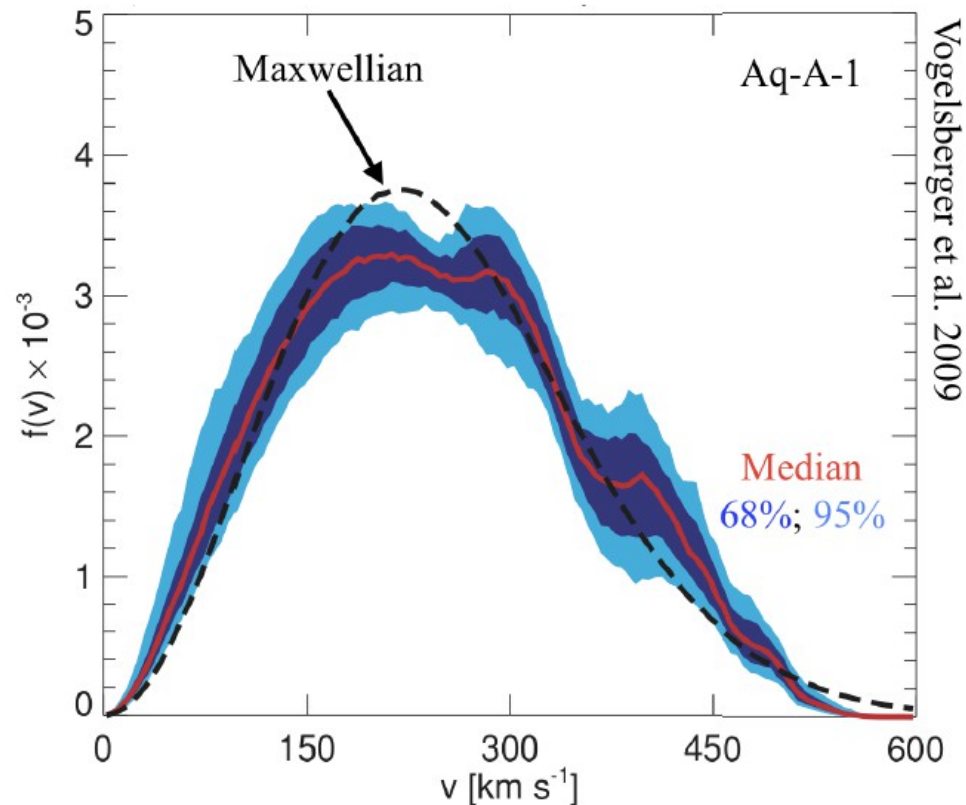
Theoretical interpretation of the experimental results

Uncertainties from astrophysics

- Local dark matter velocity distribution?

Completely unknown. Rely on theoretical considerations

- If the density distribution follows a singular isothermal sphere profile, the velocity distribution has a Maxwell-Boltzmann form.
- Dark matter-only simulations. Show deviations from Maxwell-Boltzmann



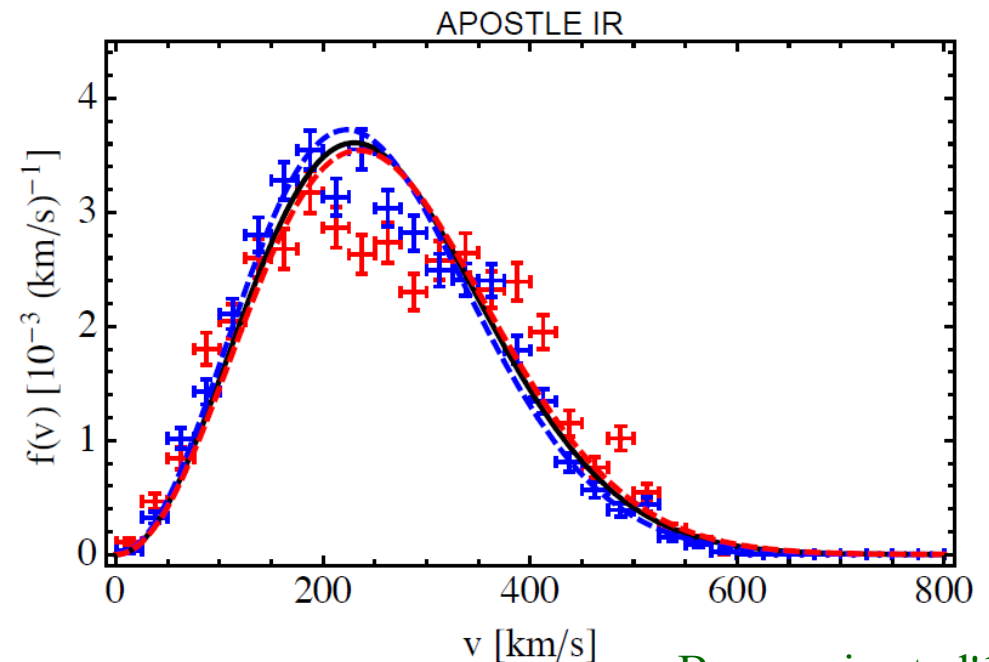
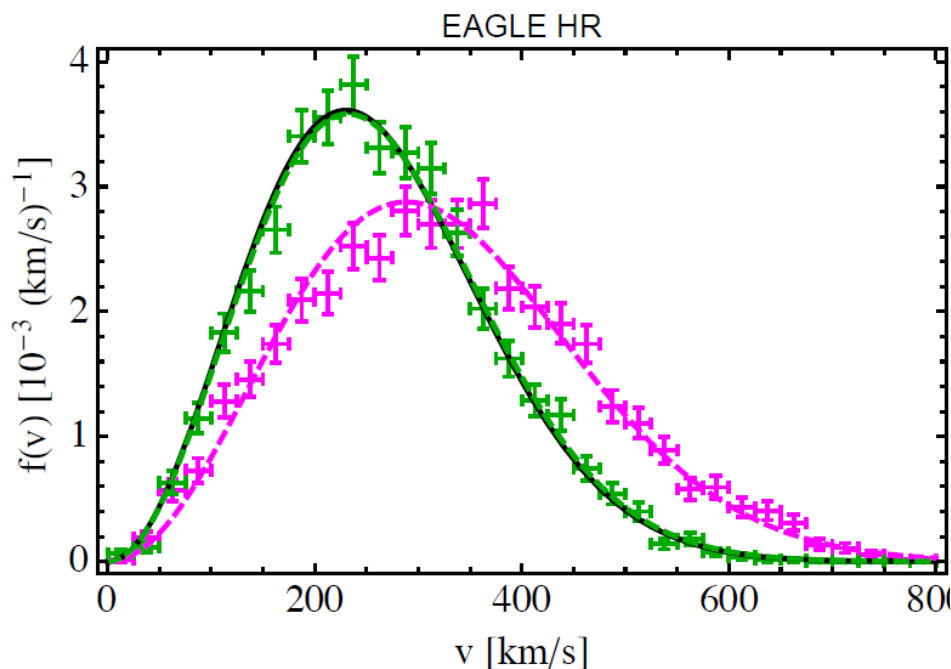
Theoretical interpretation of the experimental results

Uncertainties from astrophysics

- Local dark matter velocity distribution?

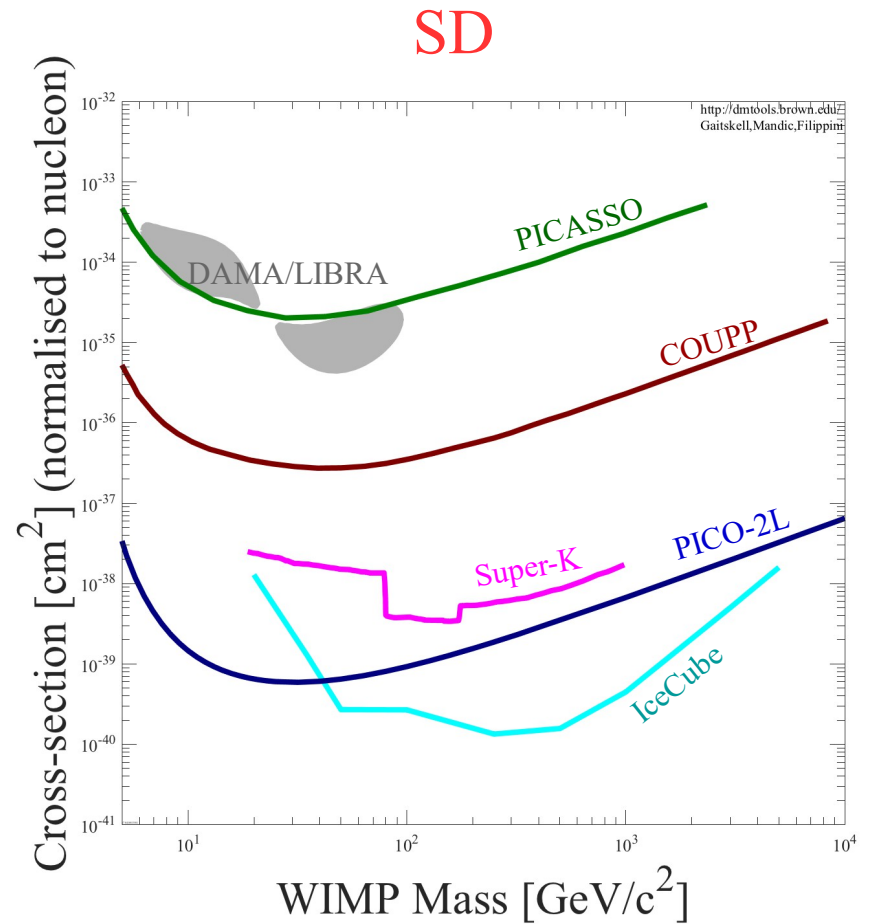
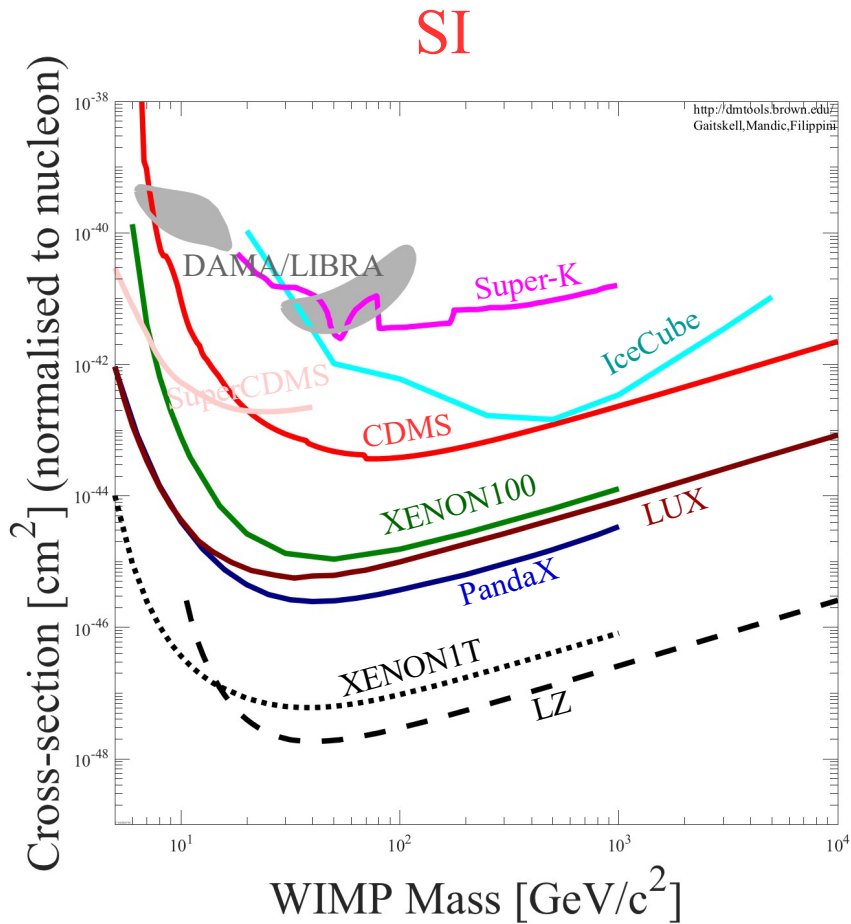
Completely unknown. Rely on theoretical considerations

- If the density distribution follows a singular isothermal sphere profile, the velocity distribution has a Maxwell-Boltzmann form.
- Dark matter-only simulations. Show deviations from Maxwell-Boltzmann
- Hydrodynamical simulations (DM+baryons). Inconclusive at the moment.



Theoretical interpretation of the experimental results

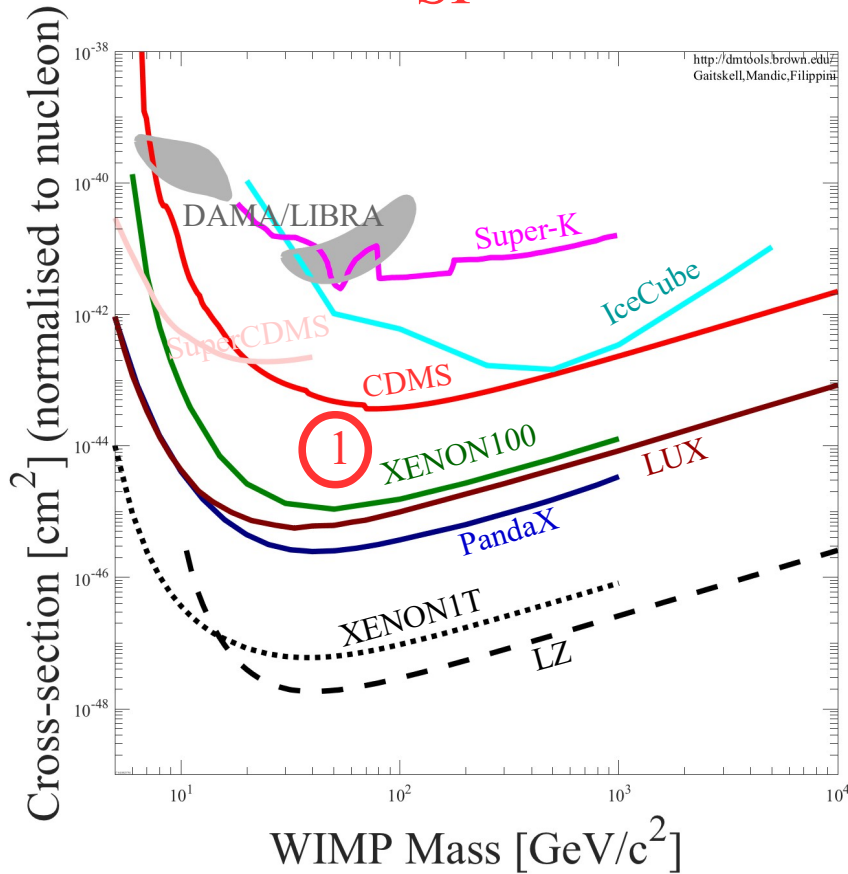
Common approach: assume SI or SD interaction only, assume $\rho_{\text{loc}} = 0.3 \text{ GeV/cm}^3$ and assume a Maxwell-Boltzmann velocity distribution



Theoretical interpretation of the experimental results

Common approach: assume SI or SD interaction only, assume $\rho_{\text{loc}} = 0.3 \text{ GeV/cm}^3$ and assume a Maxwell-Boltzmann velocity distribution

SI

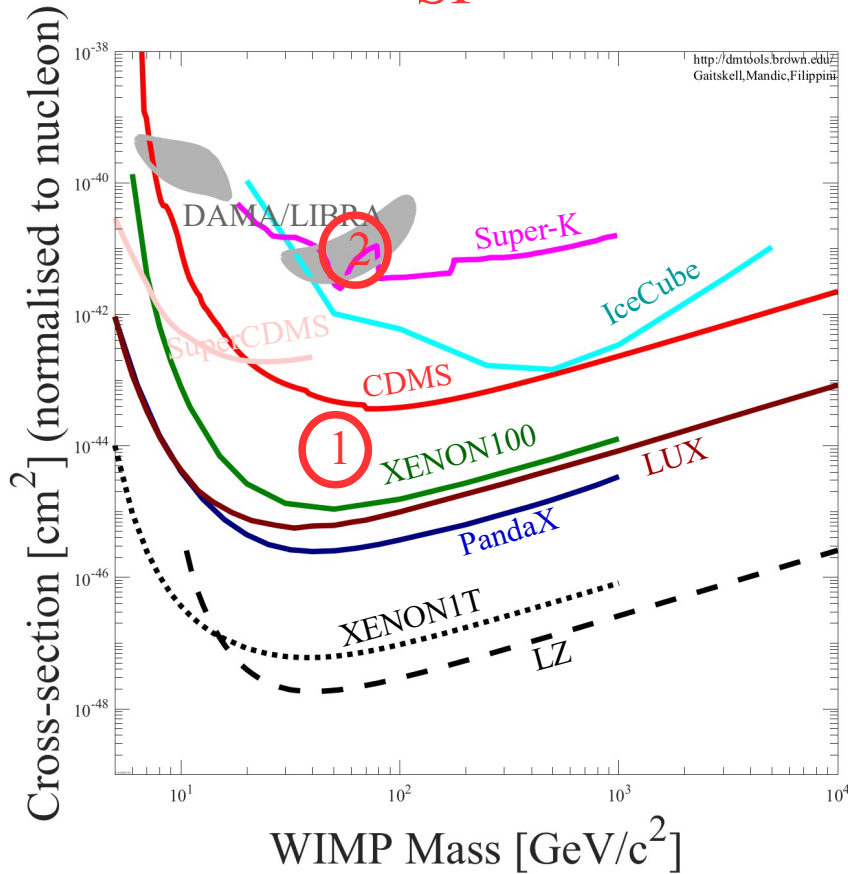


① is ruled out (by PandaX, among others)

Theoretical interpretation of the experimental results

Common approach: assume SI or SD interaction only, assume $\rho_{\text{loc}} = 0.3 \text{ GeV/cm}^3$ and assume a Maxwell-Boltzmann velocity distribution

SI

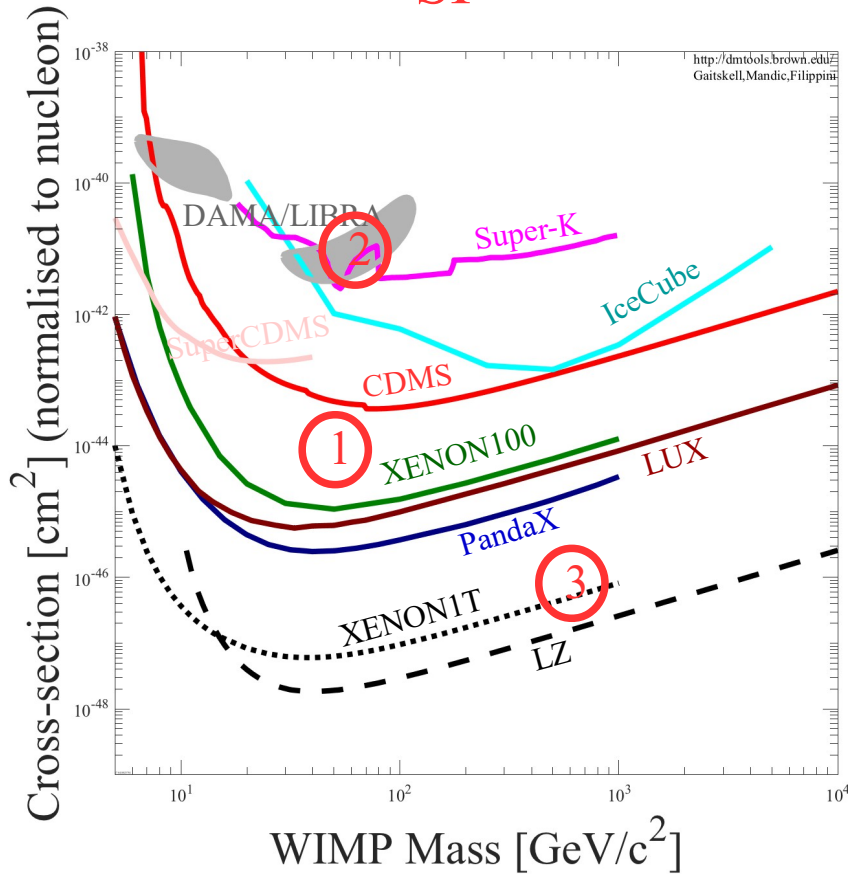


- ① is ruled out (by PandaX, among others)
- ② explains the DAMA results, but is ruled out by other direct detection experiments and by neutrino telescopes

Theoretical interpretation of the experimental results

Common approach: assume SI or SD interaction only, assume $\rho_{\text{loc}} = 0.3 \text{ GeV/cm}^3$ and assume a Maxwell-Boltzmann velocity distribution

SI

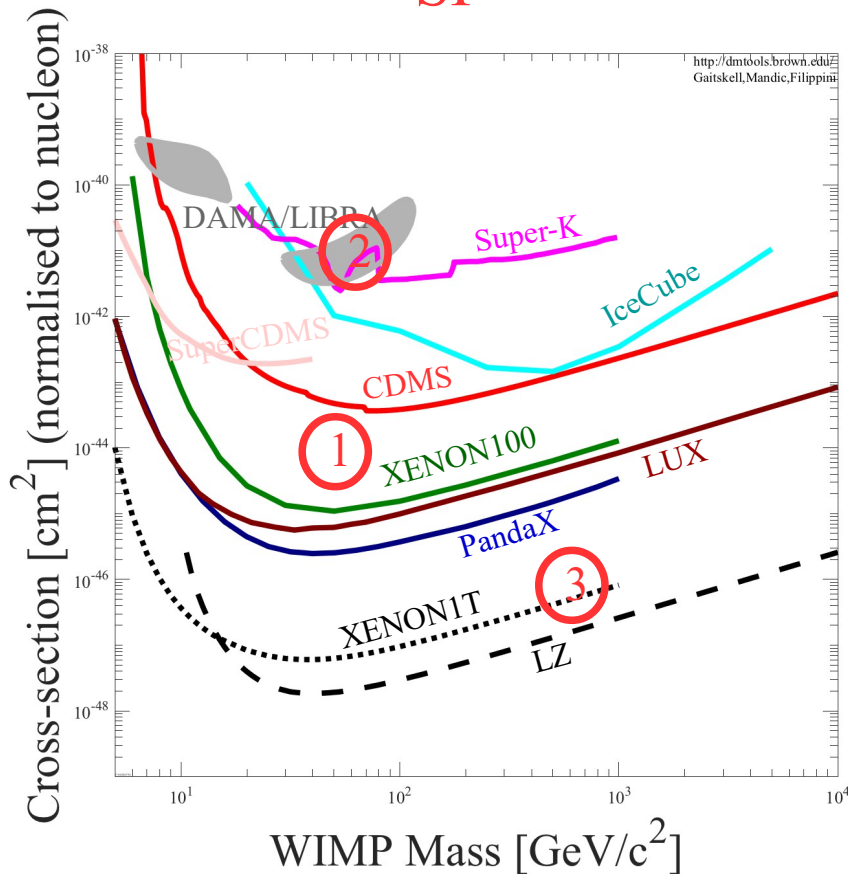


- ① is ruled out (by PandaX, among others)
- ② explains the DAMA results, but is ruled out by other direct detection experiments and by neutrino telescopes
- ③ is allowed by current experiments, and will be tested by LZ.

Theoretical interpretation of the experimental results

Common approach: assume SI or SD interaction only, assume $\rho_{\text{loc}} = 0.3 \text{ GeV/cm}^3$ and assume a Maxwell-Boltzmann velocity distribution

SI



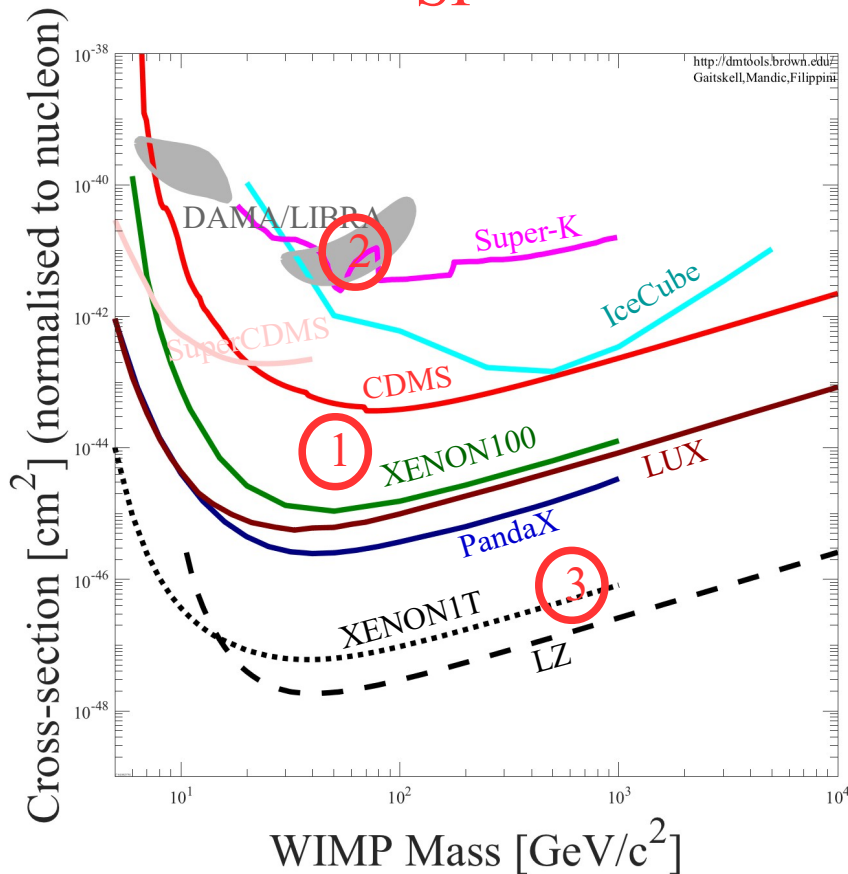
- ① is ruled out (by PandaX, among others)
- ② explains the DAMA results, but is ruled out by other direct detection experiments and by neutrino telescopes
- ③ is allowed by current experiments, and will be tested by LZ.

Are all particle physics models covered?

Theoretical interpretation of the experimental results

Common approach: assume SI or SD interaction only, assume $\rho_{\text{loc}} = 0.3 \text{ GeV/cm}^3$ and assume a Maxwell-Boltzmann velocity distribution

SI



- ① is ruled out (by PandaX, among others)
- ② explains the DAMA results, but is ruled out by other direct detection experiments and by neutrino telescopes
- ③ is allowed by current experiments, and will be tested by LZ.

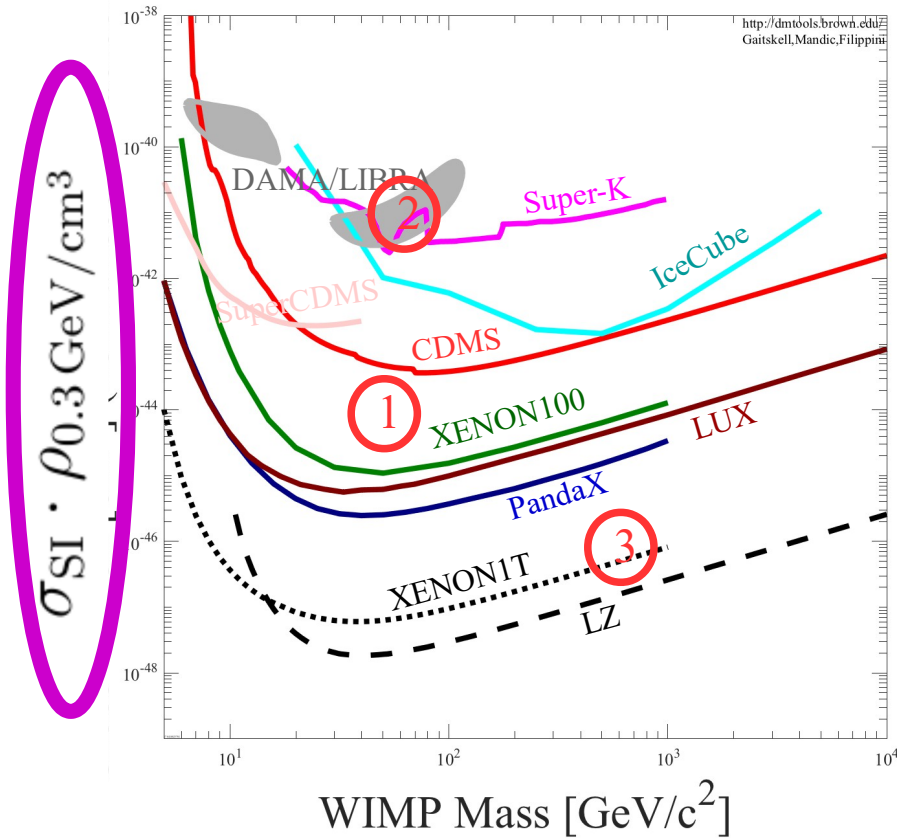
Are all particle physics models covered?

What is the impact of the astrophysical uncertainties on these conclusions?

Theoretical interpretation of the experimental results

Common approach: assume SI or SD interaction only, assume $\rho_{\text{loc}} = 0.3 \text{ GeV/cm}^3$ and assume a Maxwell-Boltzmann velocity distribution

SI



- ① is ruled out (by PandaX, among others)
- ② explains the DAMA results, but is ruled out by other direct detection experiments and by neutrino telescopes
- ③ is allowed by current experiments, and will be tested by LZ.

Are all particle physics models covered?

What is the impact of the astrophysical uncertainties on these conclusions?

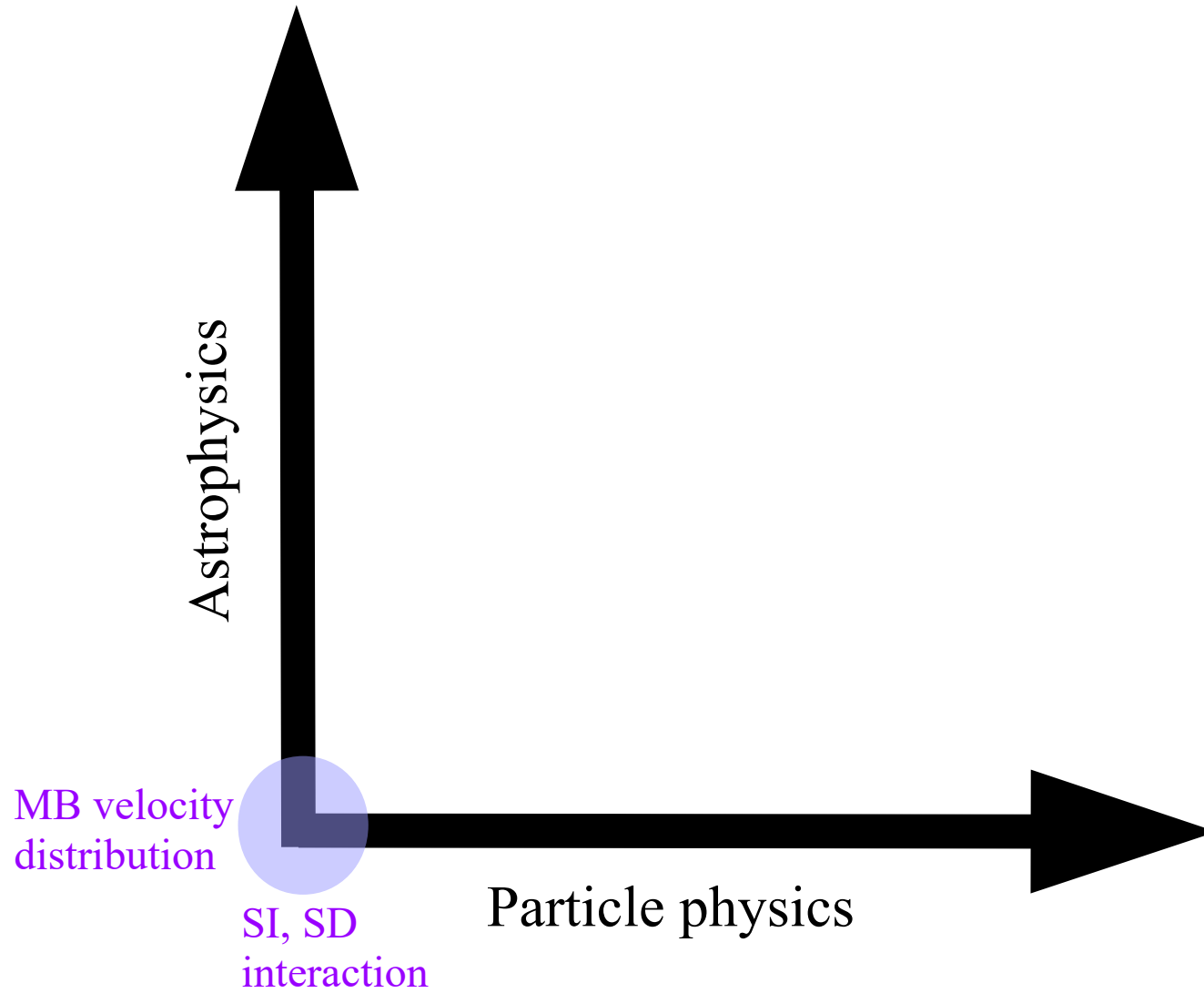
Do these conclusions hold for arbitrary velocity distributions?

Addressing

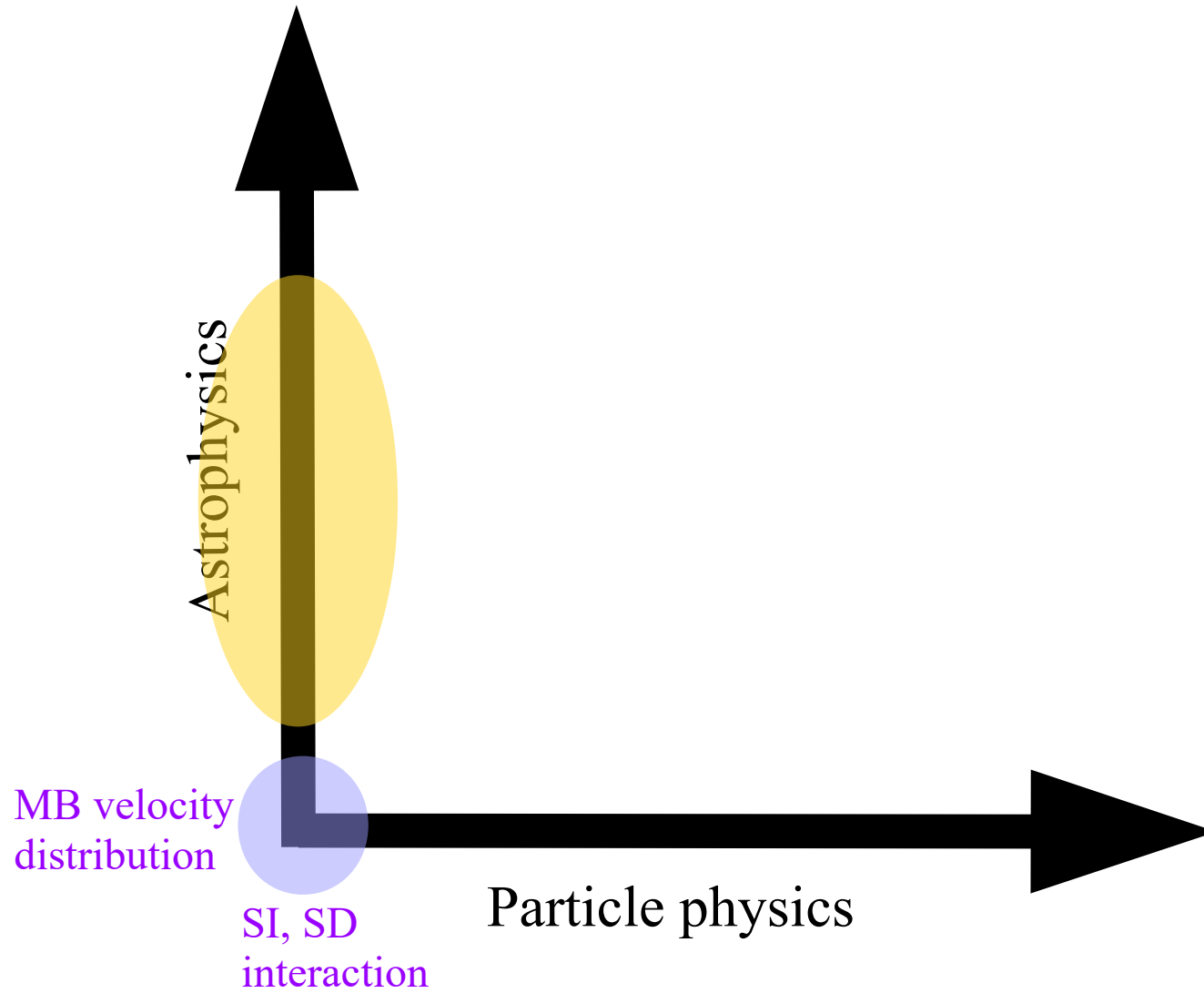
theoretical uncertainties

in dark matter detection

DM theory parameter space



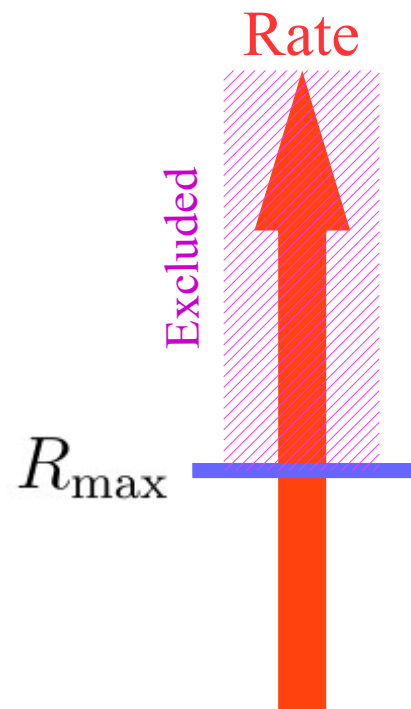
DM theory parameter space



Halo-independent approach for DM frameworks

- (σ, m_{DM}) is ruled out regardless of the velocity distribution if

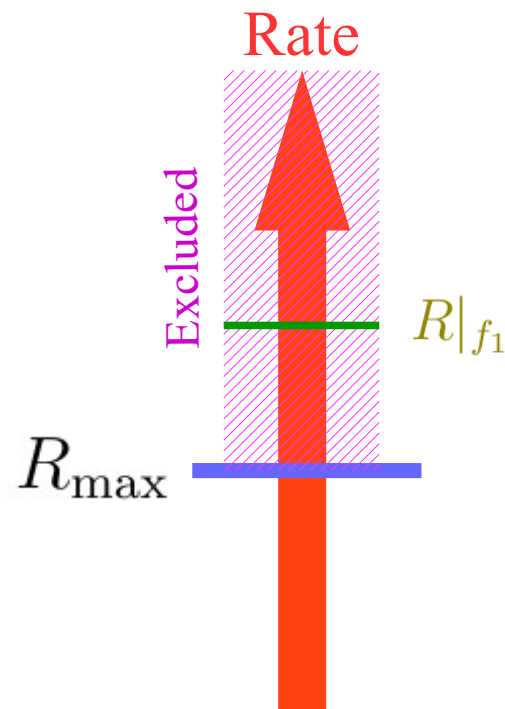
$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} > R_{\text{max}}$$



Halo-independent approach for DM frameworks

- (σ, m_{DM}) is ruled out regardless of the velocity distribution if

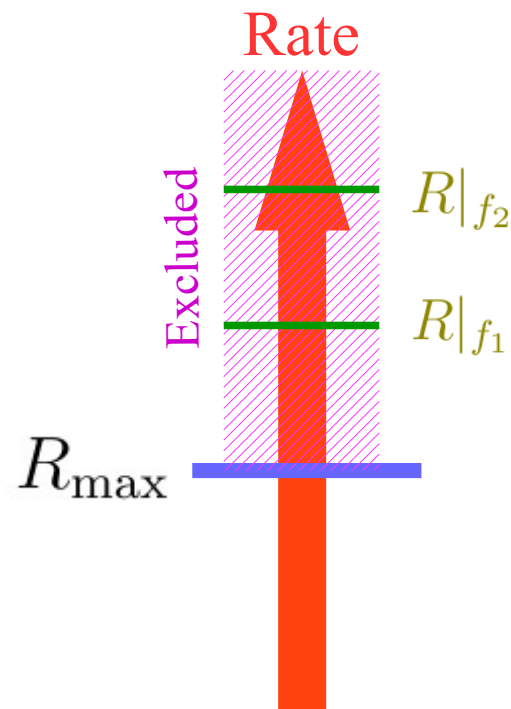
$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} > R_{\text{max}}$$



Halo-independent approach for DM frameworks

- (σ, m_{DM}) is ruled out regardless of the velocity distribution if

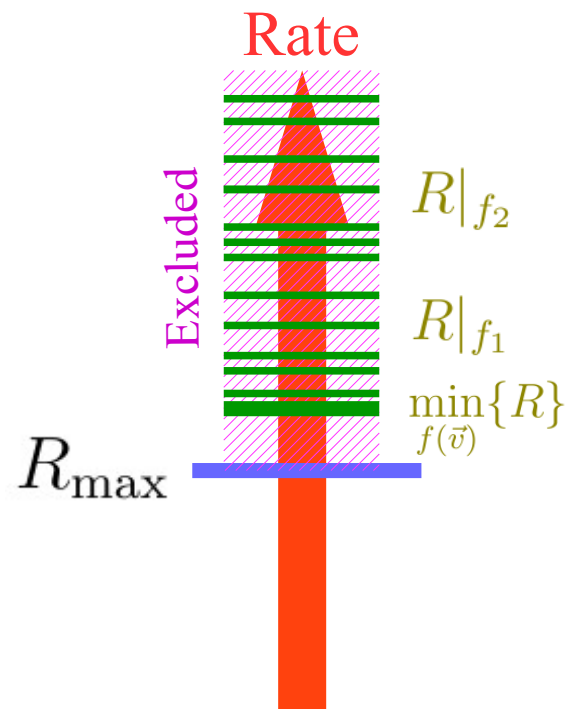
$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} > R_{\text{max}}$$



Halo-independent approach for DM frameworks

- (σ, m_{DM}) is ruled out regardless of the velocity distribution if

$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} > R_{\text{max}}$$

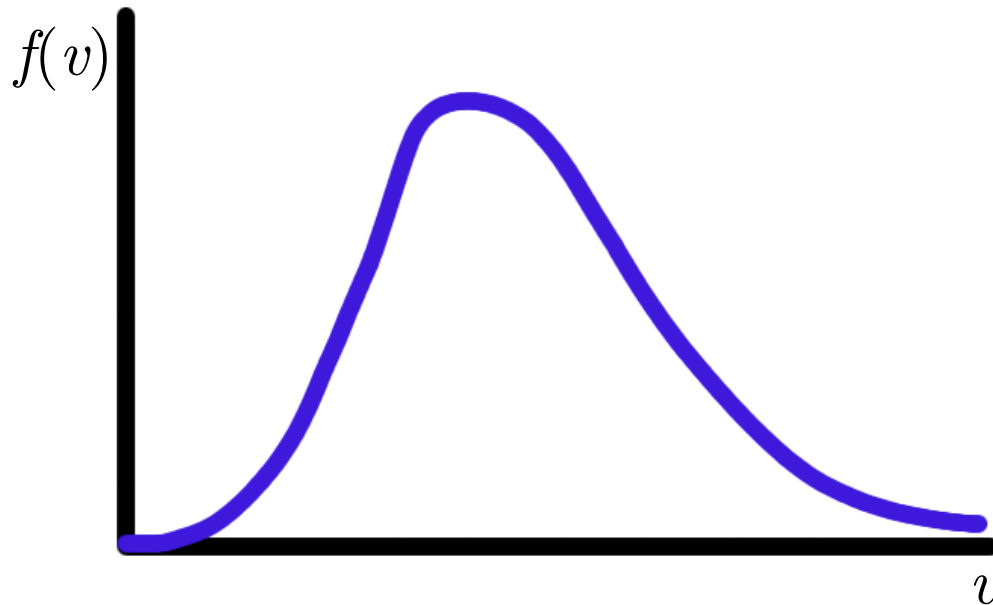


Halo-independent approach for DM frameworks

- (σ, m_{DM}) is ruled out regardless of the velocity distribution if

$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} > R_{\text{max}}$$

Note: one single direct detection experiment is not sufficient to probe a dark matter model in a halo-independent manner

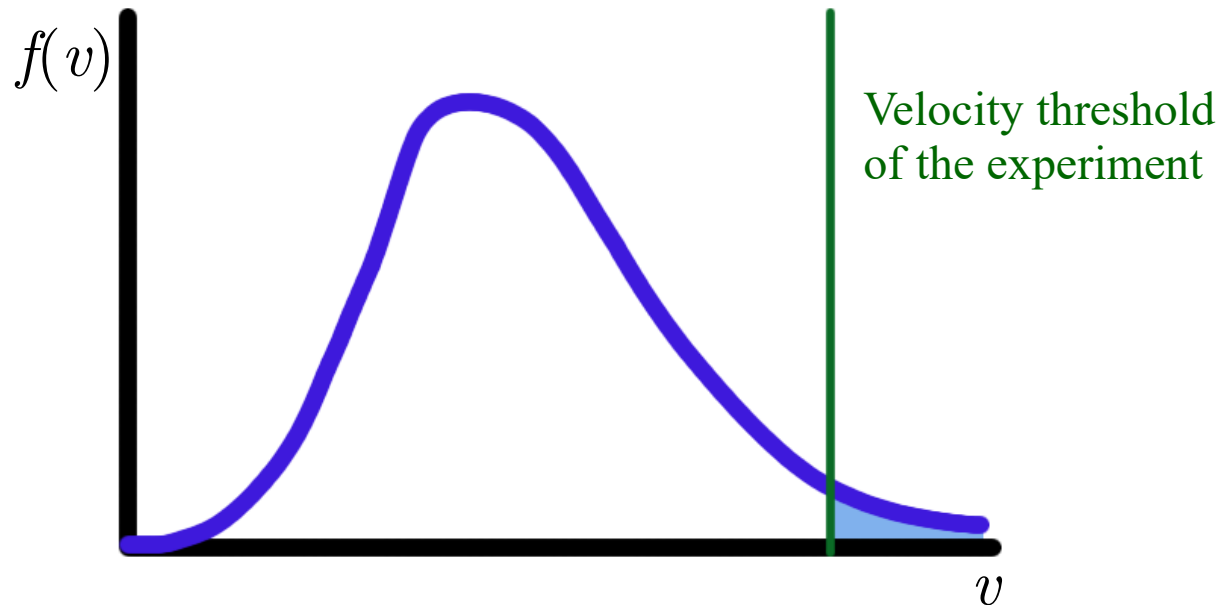


Halo-independent approach for DM frameworks

- (σ, m_{DM}) is ruled out regardless of the velocity distribution if

$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} > R_{\text{max}}$$

Note: one single direct detection experiment is not sufficient to probe a dark matter model in a halo-independent manner

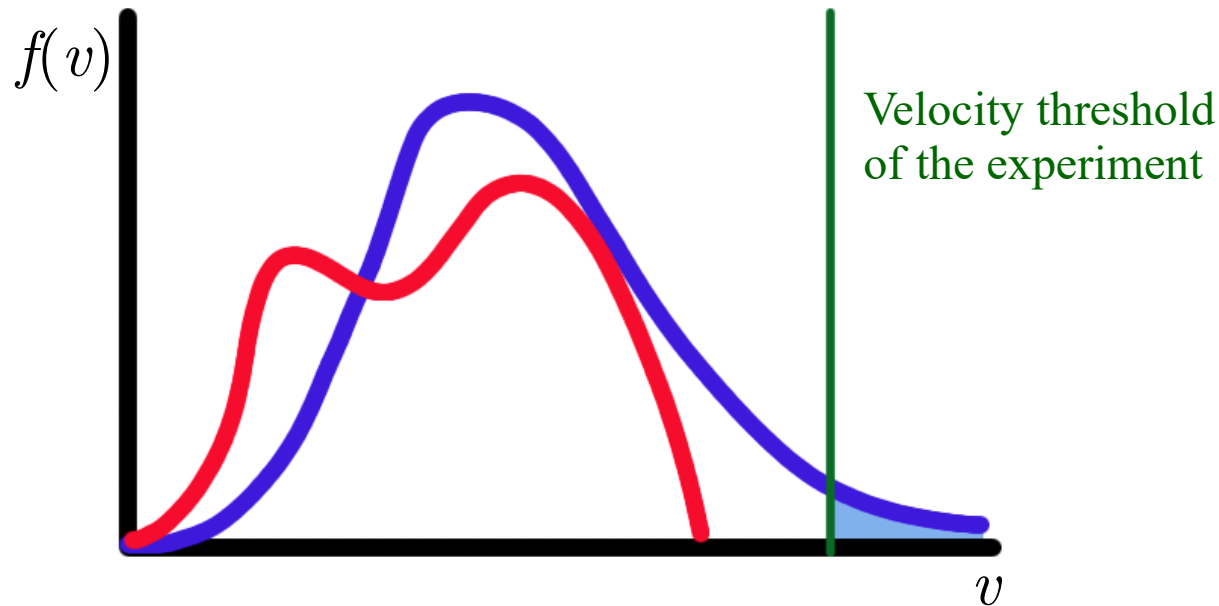


Halo-independent approach for DM frameworks

- (σ, m_{DM}) is ruled out regardless of the velocity distribution if

$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} > R_{\text{max}}$$

Note: one single direct detection experiment is not sufficient to probe a dark matter model in a halo-independent manner



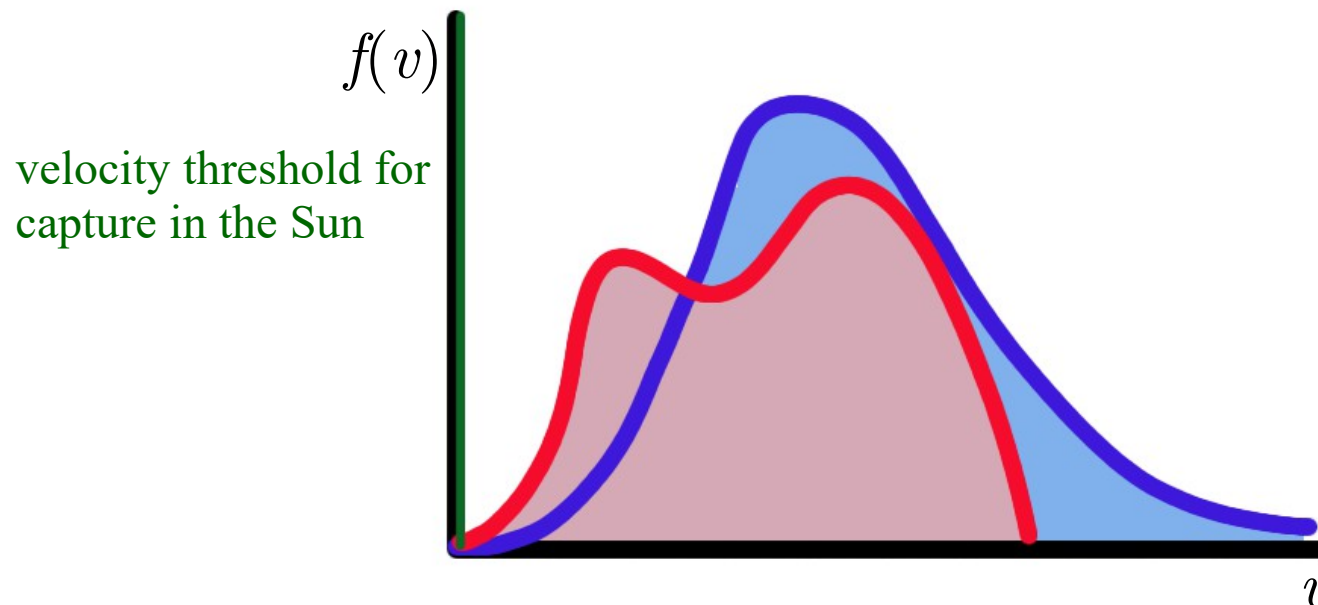
Some velocity distributions will escape detection in the experiment

Halo-independent approach for DM frameworks

- (σ, m_{DM}) is ruled out regardless of the velocity distribution if

$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} > R_{\text{max}}$$

Note: one single direct detection experiment is not sufficient to probe a dark matter model in a halo-independent manner



Neutrino telescopes probe low dark matter velocities. In combination with direct detection experiments, one can probe the whole velocity space

Halo-independent approach for DM frameworks

- (σ, m_{DM}) is ruled out regardless of the velocity distribution if

$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} > R_{\text{max}}$$

$$\min_{f(\vec{v})} \left\{ R(\sigma, m_{\text{DM}}) \right\} \Big|_{\int f=1, C(\sigma, m) \leq C_{\text{max}}} > R_{\text{max}}$$

Optimization problem with constraints

Halo-independent approach for DM frameworks

Technically complicated...

$$R(\sigma, m_{\text{DM}}) = \int_{E_{\text{th}}}^{\infty} dE_R \frac{\rho_{\text{loc}}}{m_A m_{\text{DM}}} \int_{v \geq v_{\text{min}}(E_R)} d^3v v f(\vec{v} + \vec{v}_{\text{obs}}(t)) \frac{d\sigma}{dE_R}$$

$$C(\sigma, m_{\text{DM}}) = \int_0^{R_{\odot}} 4\pi r^2 dr \frac{\rho_{\text{loc}}}{m_{\text{DM}}} \int_{v \leq v_{\text{max}}^{(\text{Sun})}(r)} d^3v \frac{f(\vec{v})}{v} (v^2 + [v_{\text{esc}}(r)]^2) \times \int_{m_{\text{DM}} v^2 / 2}^{2\mu_A^2 (v^2 + [v_{\text{esc}}(r)]^2) / m_A} dE_R \frac{d\sigma}{dE_R}$$

Upper limit on the scattering cross section from combining PandaX and IceCube/SK.

Express the velocity distribution as a superposition of many many streams:

$$f(\vec{v}) = \sum_{i=1}^n c_{\vec{v}_i} \delta(\vec{v} - \vec{v}_i)$$

Minimization problem. For given DM mass and cross-section:

$$\text{minimize } R^{(\text{PandaX})}(c_{\vec{v}_1}, \dots, c_{\vec{v}_n}) = \sum_{i=1}^n c_{\vec{v}_i} R_{\vec{v}_i}^{(\text{PandaX})},$$

$$\text{subject to } \sum_{i=1}^n c_{\vec{v}_i} C_{\vec{v}_i}^{(\text{NT})} \leq C_{\text{max}}^{(\text{NT})},$$

$$\text{and } \sum_{i=1}^n c_{\vec{v}_i} = 1,$$

$$\text{and } c_{\vec{v}_i} \geq 0, \quad i = 1, \dots, n,$$

The parameters σ and m_{DM} are excluded in a halo independent manner if :

$$\min \left\{ R^{(\text{PandaX})}(c_{\vec{v}_1}, \dots, c_{\vec{v}_n}) \right\} \Big|_{\text{constraints}} > R_{\text{max}}^{(\text{PandaX})}$$

Upper limit on the scattering cross section from combining PandaX and IceCube/SK.

Express the velocity distribution as a superposition of many many streams:

$$f(\vec{v}) = \sum_{i=1}^n c_{\vec{v}_i} \delta(\vec{v} - \vec{v}_i)$$

Minimization problem. For given DM mass and cross-section:

$$\text{minimize } R^{(\text{PandaX})}(c_{\vec{v}_1}, \dots, c_{\vec{v}_n}) = \sum_{i=1}^n c_{\vec{v}_i} R_{\vec{v}_i}^{(\text{PandaX})}$$

$$\text{subject to } \sum_{i=1}^n c_{\vec{v}_i} C_{\vec{v}_i}^{(\text{NT})} \leq C_{\text{max}}^{(\text{NT})},$$

$$\text{and } \sum_{i=1}^n c_{\vec{v}_i} = 1,$$

$$\text{and } c_{\vec{v}_i} \geq 0, \quad i = 1, \dots, n,$$

The objective function and the constraints are linear in the weights of the DM streams

↪ Optimize using linear programming techniques.

A tour in linear programming

An automobile company produces cars and trucks. For each car obtains 400€ profit, and for each truck, 700€. What should be the strategy of the company to optimize the weekly profit?

A tour in linear programming

An automobile company produces cars and trucks. For each car obtains 400€ profit, and for each truck, 700€. What should be the strategy of the company to optimize the weekly profit?

Answer: produce only trucks, if there are no constraints.

A tour in linear programming

An automobile company produces cars and trucks. For each car obtains 400€ profit, and for each truck, 700€. What should be the strategy of the company to optimize the weekly profit?

Answer: produce only trucks, if there are no constraints.

In real life, the production is subject to constraints

- It takes 4 hours to assemble the engine of a car, and 3 hours for a truck
- It takes 2 hours to paint a car, and 4 hours to paint a truck
- The assembly line operates 14 hours a day, and the paint workshop operates 10 hours a day, 5 days a week.

A tour in linear programming

An automobile company produces cars and trucks. For each car obtains 400€ profit, and for each truck, 700€. What should be the strategy of the company to optimize the weekly profit?

Answer: produce only trucks, if there are no constraints.

In real life, the production is subject to constraints

- It takes 4 hours to assemble the engine of a car, and 3 hours for a truck
- It takes 2 hours to paint a car, and 4 hours to paint a truck
- The assembly line operates 14 hours a day, and the paint workshop operates 10 hours a day, 5 days a week.

Linear programming problem:

$$\text{Maximize } P = 400N_c + 700N_t$$

$$\text{subject to } 4N_c + 3N_t \leq 14 \times 5$$

$$\text{and } 2N_c + 4N_t \leq 10 \times 5$$

$$\text{and } N_c \geq 0, N_t \geq 0$$

A tour in linear programming

An automobile company produces cars and trucks. For each car obtains 400€ profit, and for each truck, 700€. What should be the strategy of the company to optimize the weekly profit?

Answer: produce only trucks, if there are no constraints.

In real life, the production is subject to constraints

- It takes 4 hours to assemble the engine of a car, and 3 hours for a truck
- It takes 2 hours to paint a car, and 4 hours to paint a truck
- The assembly line operates 14 hours a day, and the paint workshop operates 10 hours a day, 5 days a week.

Linear programming problem:

“Objective function” Maximize $P = 400N_c + 700N_t$

“Constraints” { subject to $4N_c + 3N_t \leq 14 \times 5$

and $2N_c + 4N_t \leq 10 \times 5$

and $N_c \geq 0, N_t \geq 0$

“Decision variables”

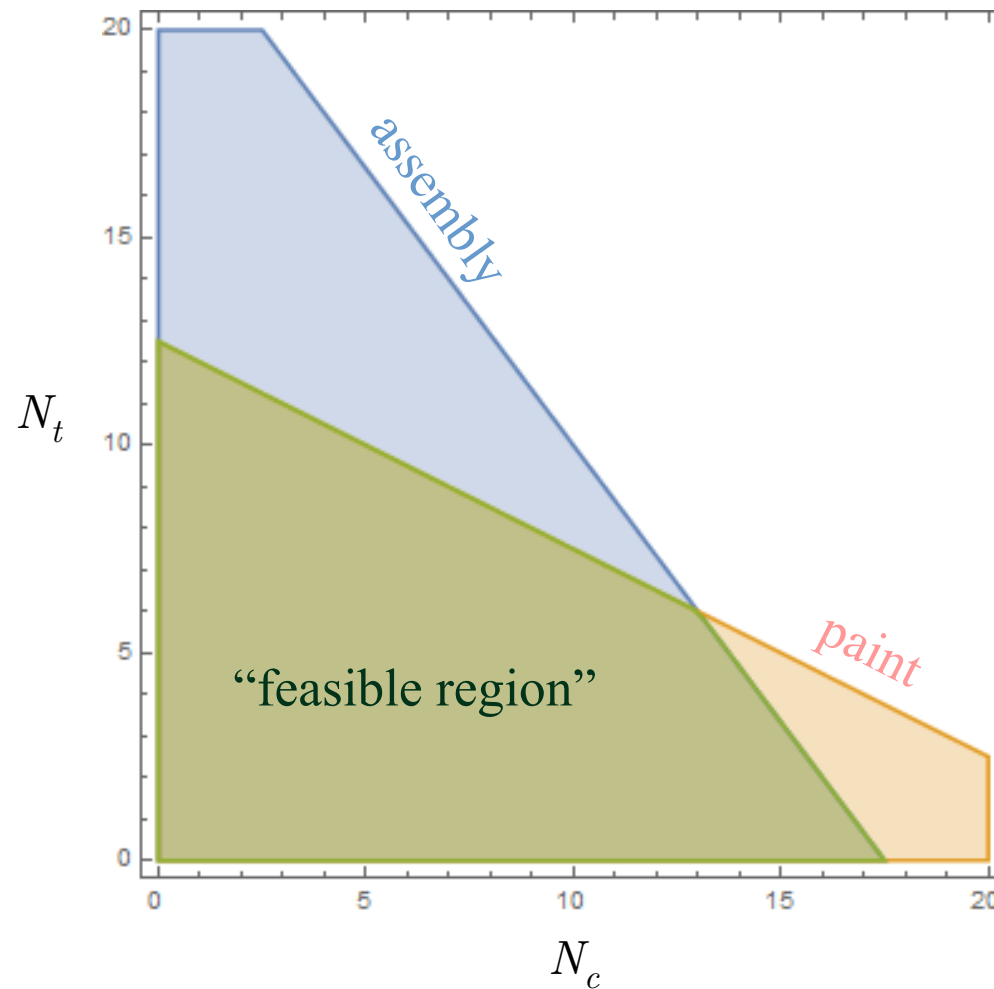
A tour in linear programming

Maximize $P = 400N_c + 700N_t$

subject to $4N_c + 3N_t \leq 14 \times 5$

and $2N_c + 4N_t \leq 10 \times 5$

and $N_c \geq 0, N_t \geq 0$



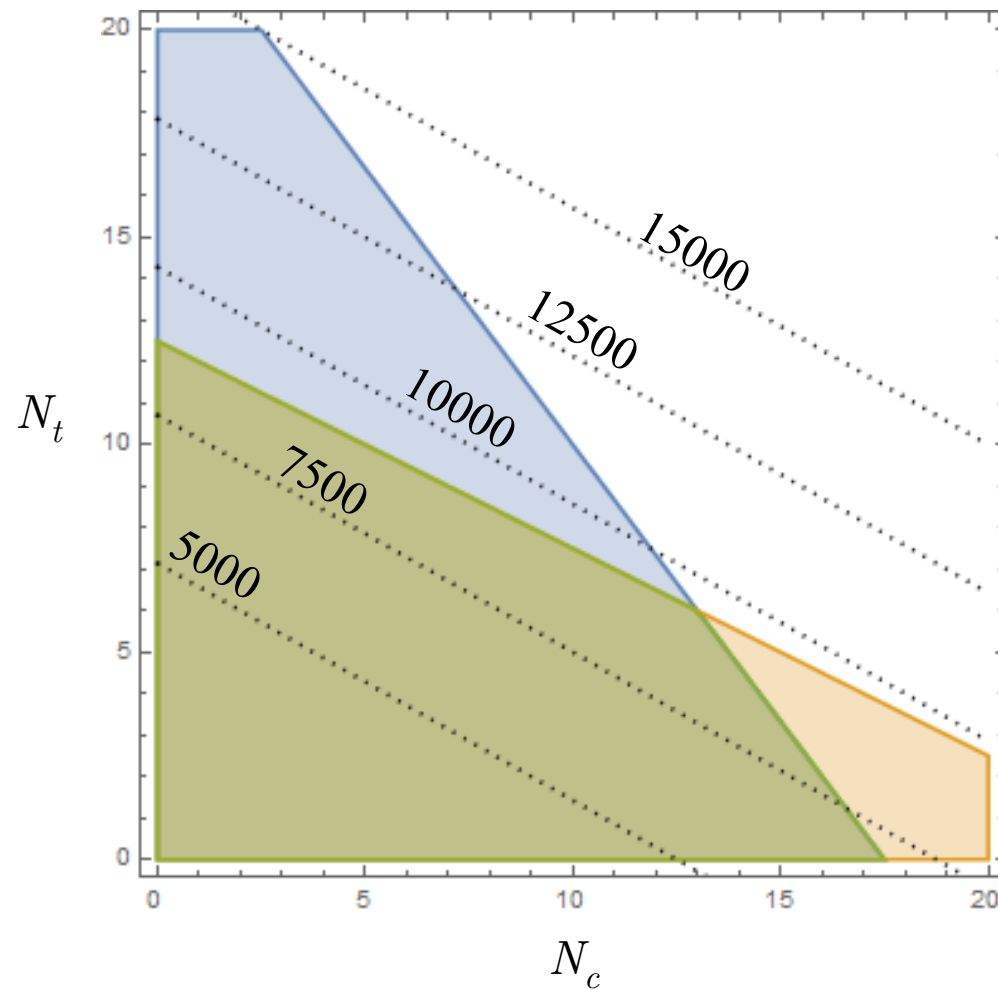
A tour in linear programming

Maximize $P = 400N_c + 700N_t$

subject to $4N_c + 3N_t \leq 14 \times 5$

and $2N_c + 4N_t \leq 10 \times 5$

and $N_c \geq 0, N_t \geq 0$



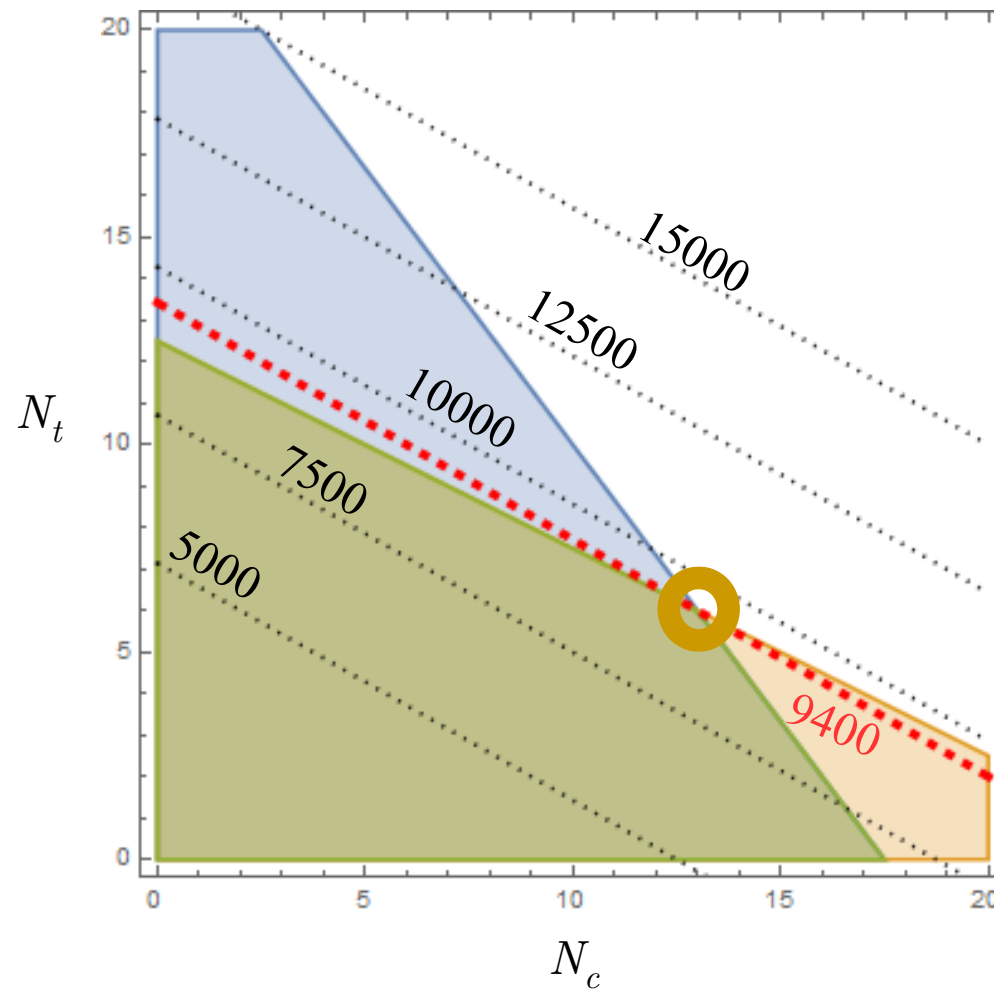
A tour in linear programming

Maximize $P = 400N_c + 700N_t$
subject to $4N_c + 3N_t \leq 14 \times 5$
and $2N_c + 4N_t \leq 10 \times 5$
and $N_c \geq 0, N_t \geq 0$

$$N_c = 13$$

$$N_t = 6$$

Profit = 9400 €/week



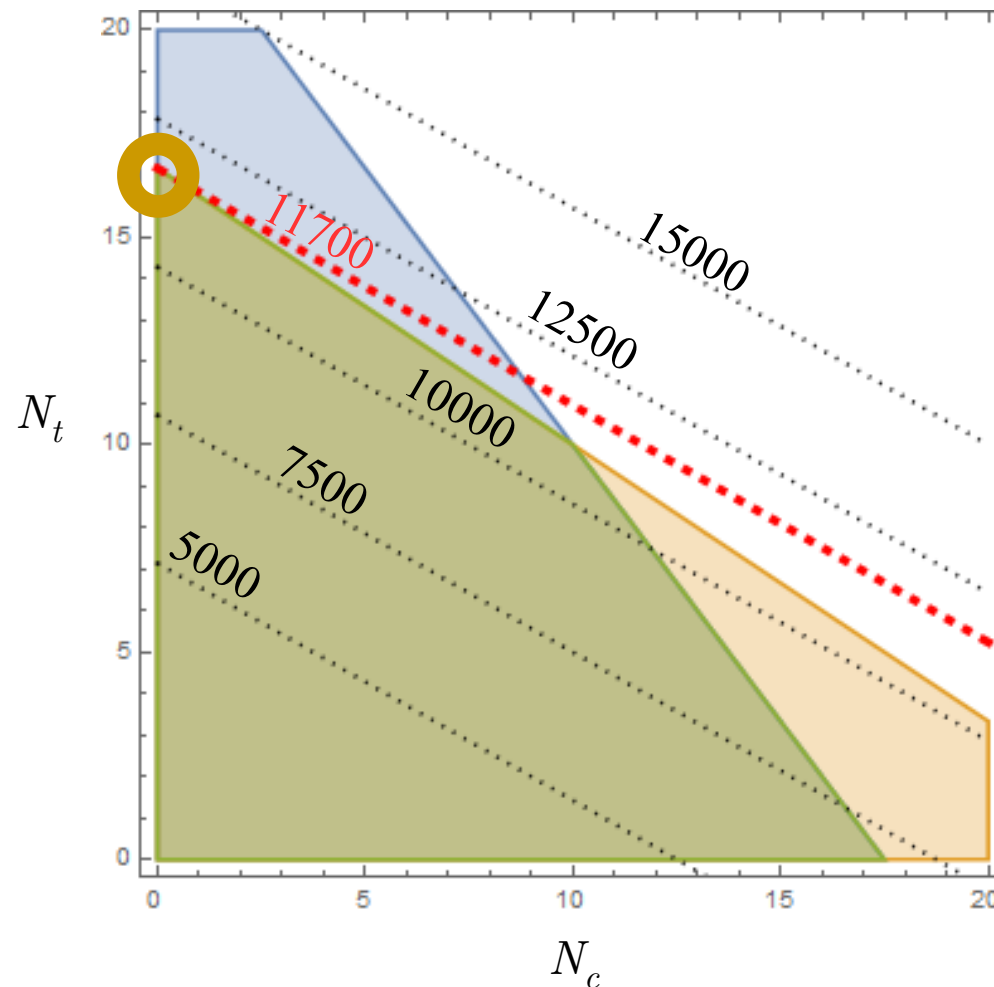
A tour in linear programming

Maximize $P = 400N_c + 700N_t$
subject to $4N_c + 3N_t \leq 14 \times 5$
and $2N_c + 3N_t \leq 10 \times 5$
and $N_c \geq 0, N_t \geq 0$

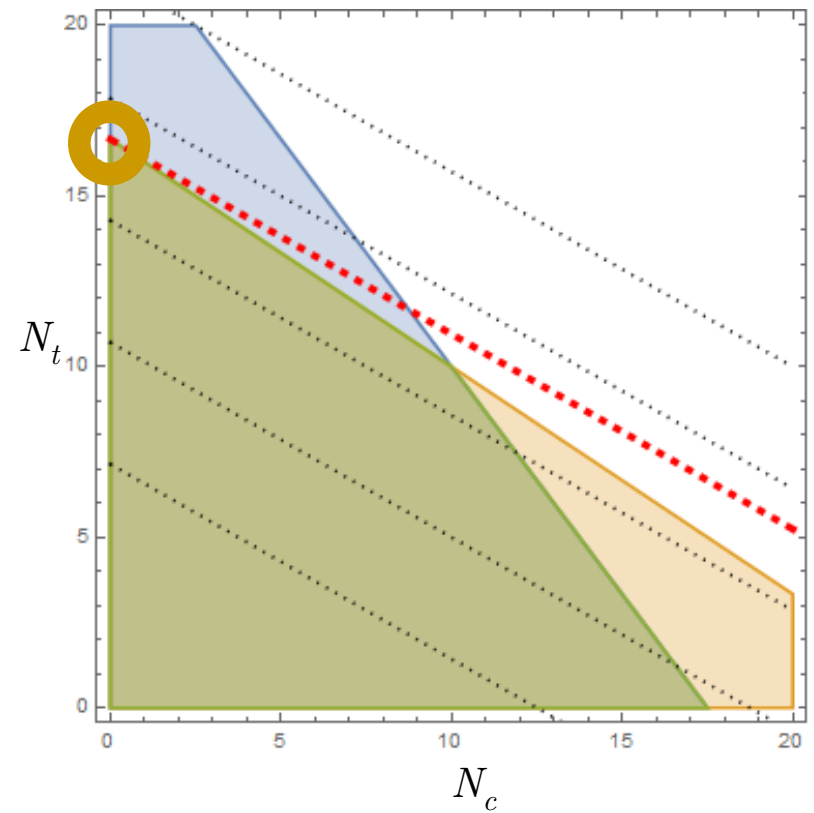
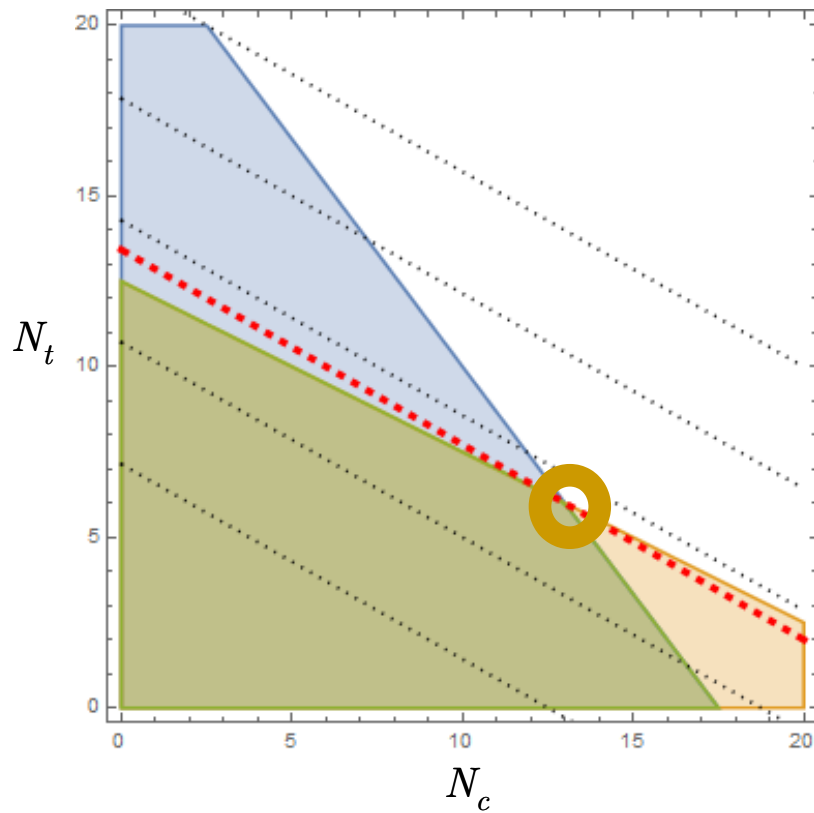
$$N_c = 0$$

$$N_t = 16.7$$

Profit = 11700 €/week



A tour in linear programming



Lessons:

1) The solution lies at one of the vertices of the feasible region (polygon)

2) For two constraints there are:

- two non-vanishing decision variables, when the two constraints are saturated
- one non-vanishing decision variable, when one of the constraints is not saturated

A tour in linear programming

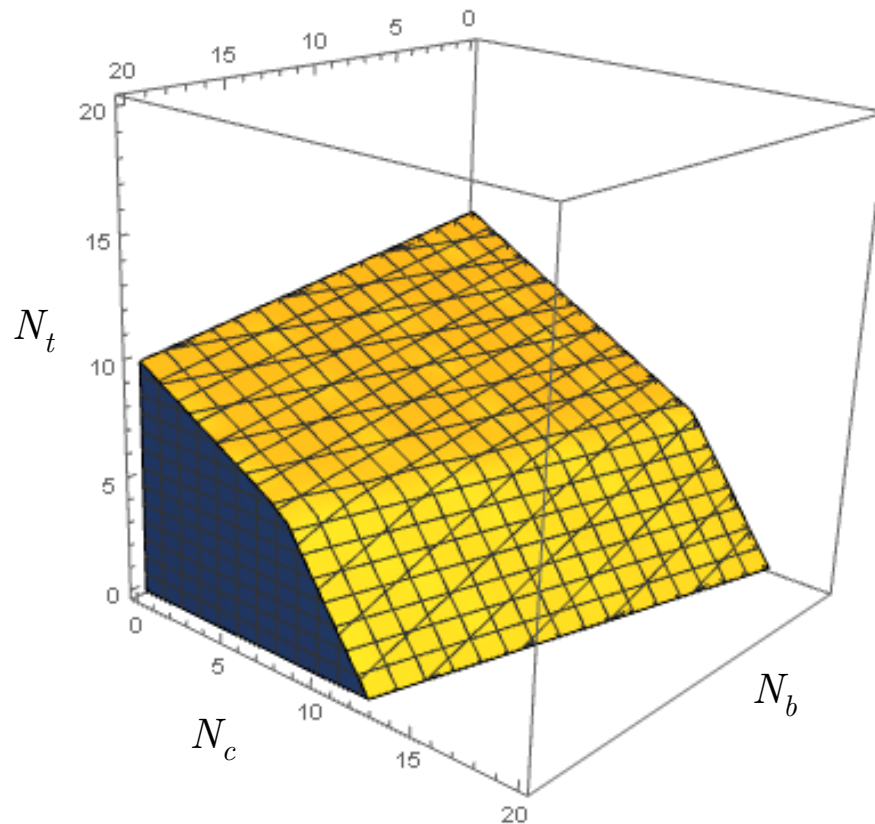
Suppose that the company also produces motorbikes. The profit is 100€ per motorbike, it takes 1 hour to assemble the engine of the motorbike, and it takes 30 minutes to paint the motorbike.

$$\text{Maximize } P = 400N_c + 700N_t + 100N_b$$

$$\text{subject to } 4N_c + N_t + N_b \leq 14 \times 5$$

$$\text{and } 2N_c + 4N_t + 0.5N_b \leq 10 \times 5$$

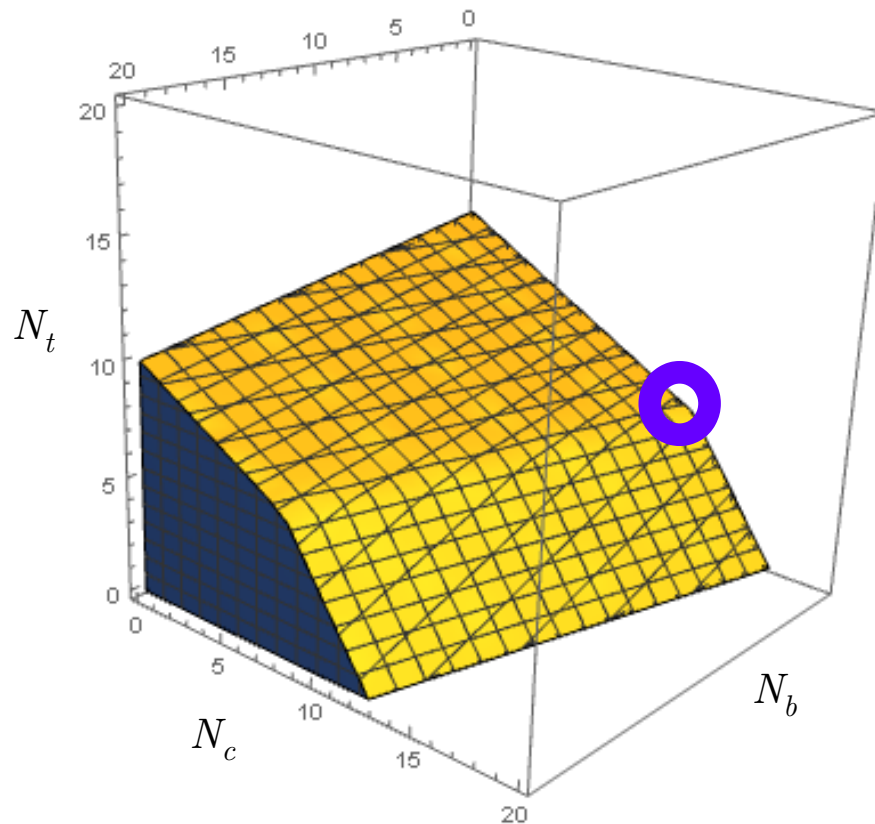
$$\text{and } N_c \geq 0, N_t \geq 0, N_b \geq 0$$



A tour in linear programming

Suppose that the company also produces motorbikes. The profit is 100€ per motorbike, it takes 1 hour to assemble the engine of the motorbike, and it takes 30 minutes to paint the motorbike.

$$\begin{aligned} &\text{Maximize } P = 400N_c + 700N_t + 100N_b \\ &\text{subject to } 4N_c + N_t + N_b \leq 14 \times 5 \\ &\quad \text{and } 2N_c + 4N_t + 0.5N_b \leq 10 \times 5 \\ &\quad \text{and } N_c \geq 0, N_t \geq 0, N_b \geq 0 \end{aligned}$$



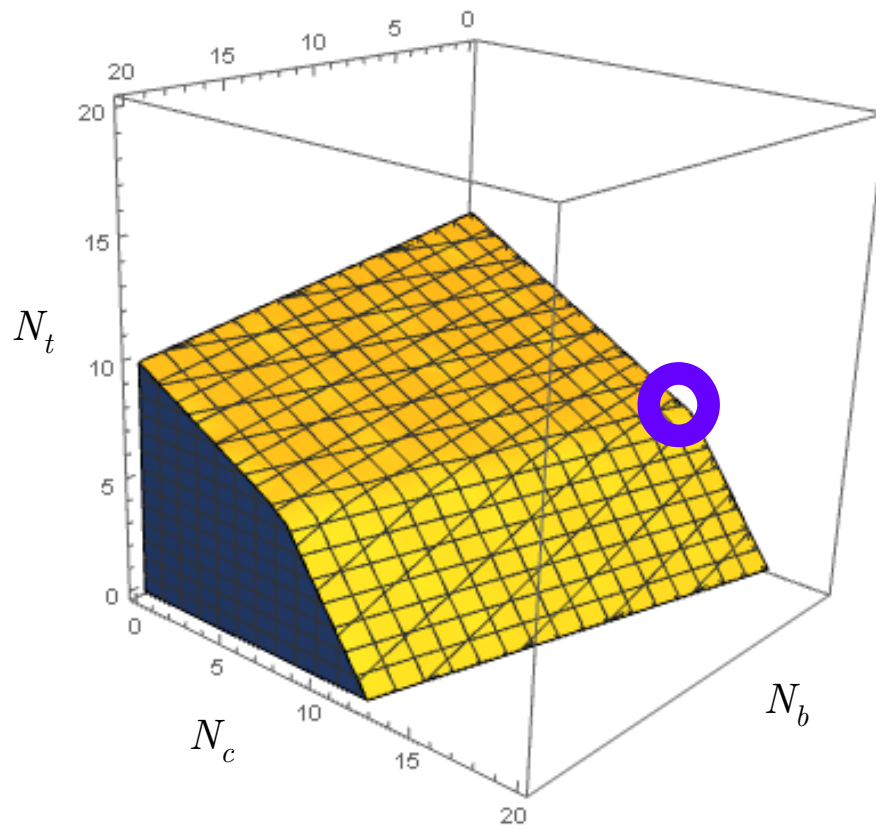
$$N_b = 0$$

$$N_c = 13$$

$$N_t = 6$$

$$\text{Profit} = 9400 \text{ €/week}$$

A tour in linear programming



For three decision variables and two constraints, the optimized solution *necessarily* has at least one vanishing decision variable (or, alternatively, at most two non-vanishing decision variables).

(Three non-vanishing decision variables would correspond to a point singled-out by the intersection of three planes, but we only have two constraints!)

A tour in linear programming

Take-home lessons from linear programming:

- 1) The solution lies at one of the vertices of the “feasible region”
- 2) For N constraints, there are between 1 and N non-vanishing decision variables.
(when r of the constraints are not saturated, then the optimal solution consists of $N - r$ decision variables)

Upper limit on the scattering cross section from combining PandaX and IceCube/SK.

Express the velocity distribution as a superposition of many many streams:

$$f(\vec{v}) = \sum_{i=1}^n c_{\vec{v}_i} \delta(\vec{v} - \vec{v}_i)$$

Minimization problem. For given DM mass and cross-section:

$$\text{minimize } R^{(\text{PandaX})}(c_{\vec{v}_1}, \dots, c_{\vec{v}_n}) = \sum_{i=1}^n c_{\vec{v}_i} R_{\vec{v}_i}^{(\text{PandaX})}$$

$$\text{subject to } \sum_{i=1}^n c_{\vec{v}_i} C_{\vec{v}_i}^{(\text{NT})} \leq C_{\text{max}}^{(\text{NT})},$$

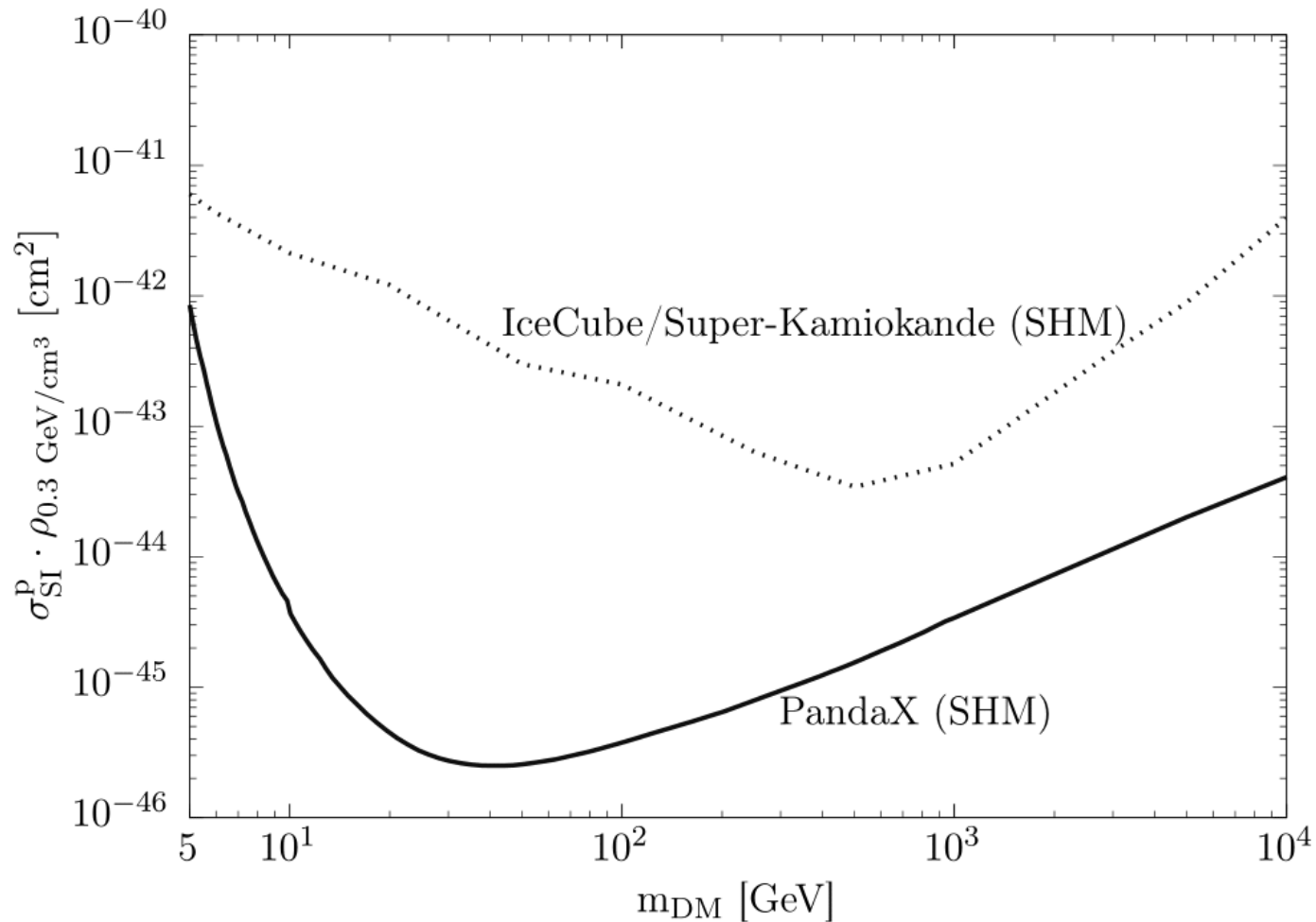
$$\text{and } \sum_{i=1}^n c_{\vec{v}_i} = 1,$$

$$\text{and } c_{\vec{v}_i} \geq 0, \quad i = 1, \dots, n,$$

- 1) The solution lies at one of the vertices of the “feasible region”
- 2) The optimized velocity distribution contains either one or two streams (depending on the number of constraints that are not saturated).

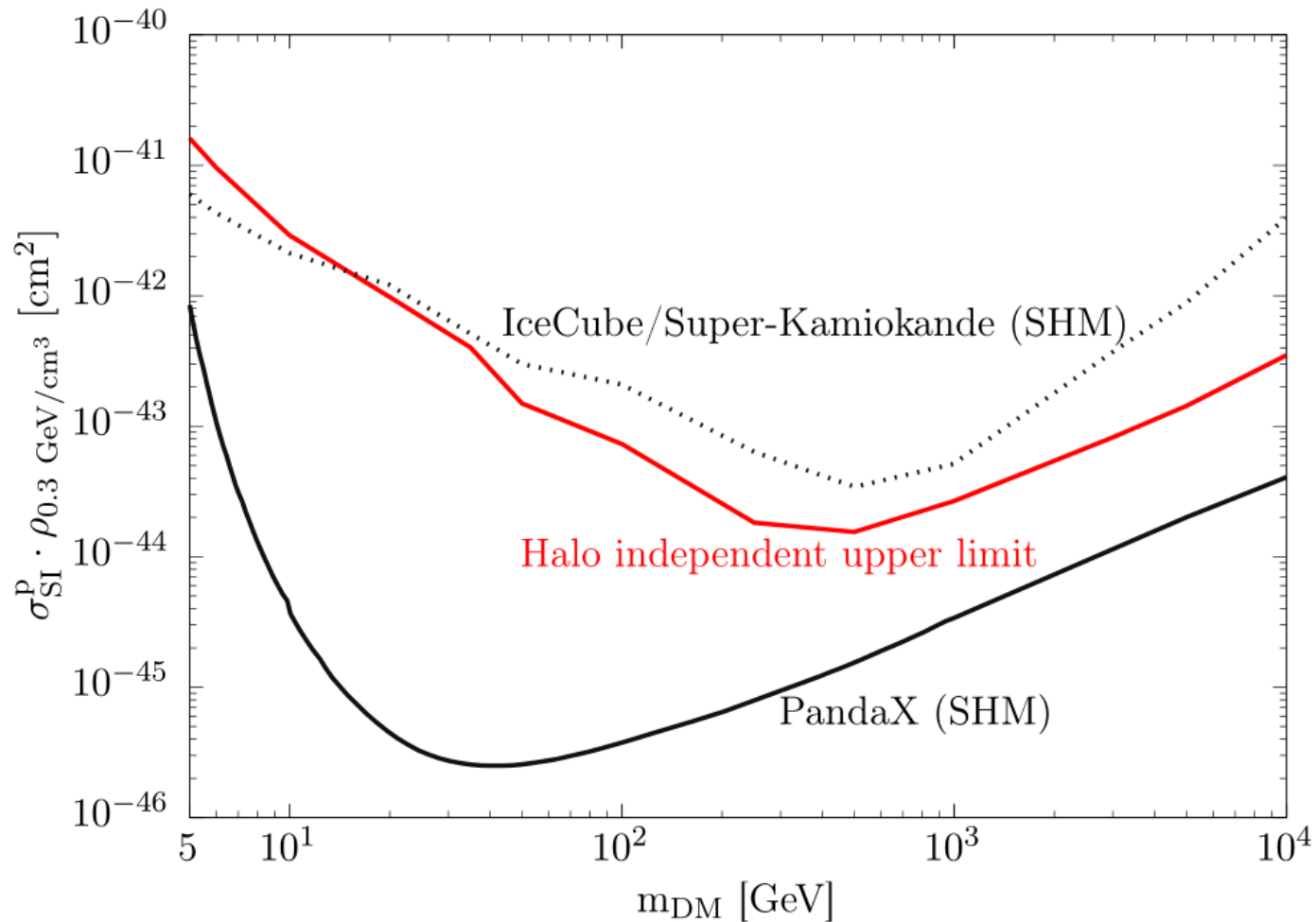
Upper limit on the scattering cross section from combining PandaX and IceCube/SK.

Spin-independent interaction



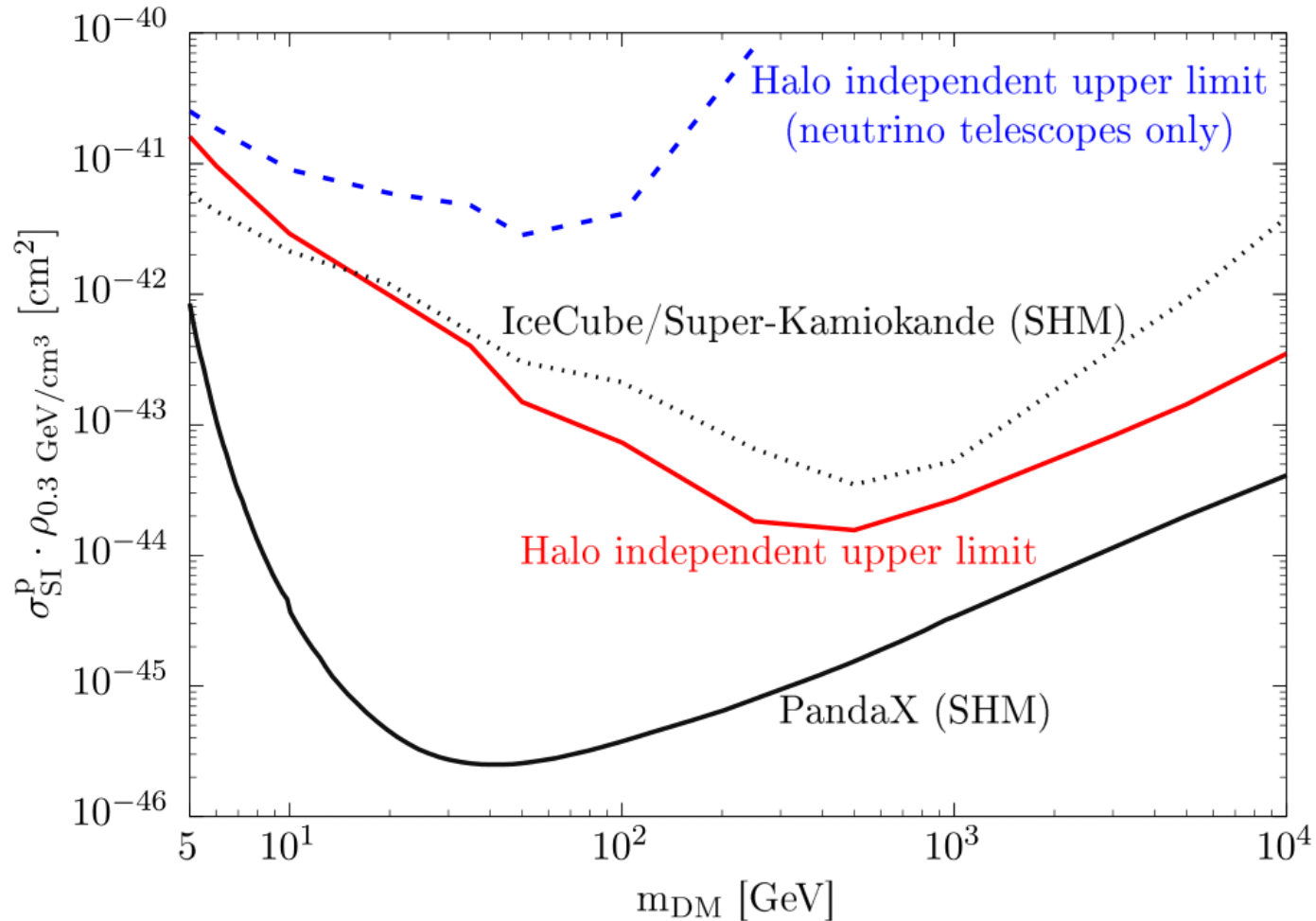
Upper limit on the scattering cross section from combining PandaX and IceCube/SK.

Spin-independent interaction



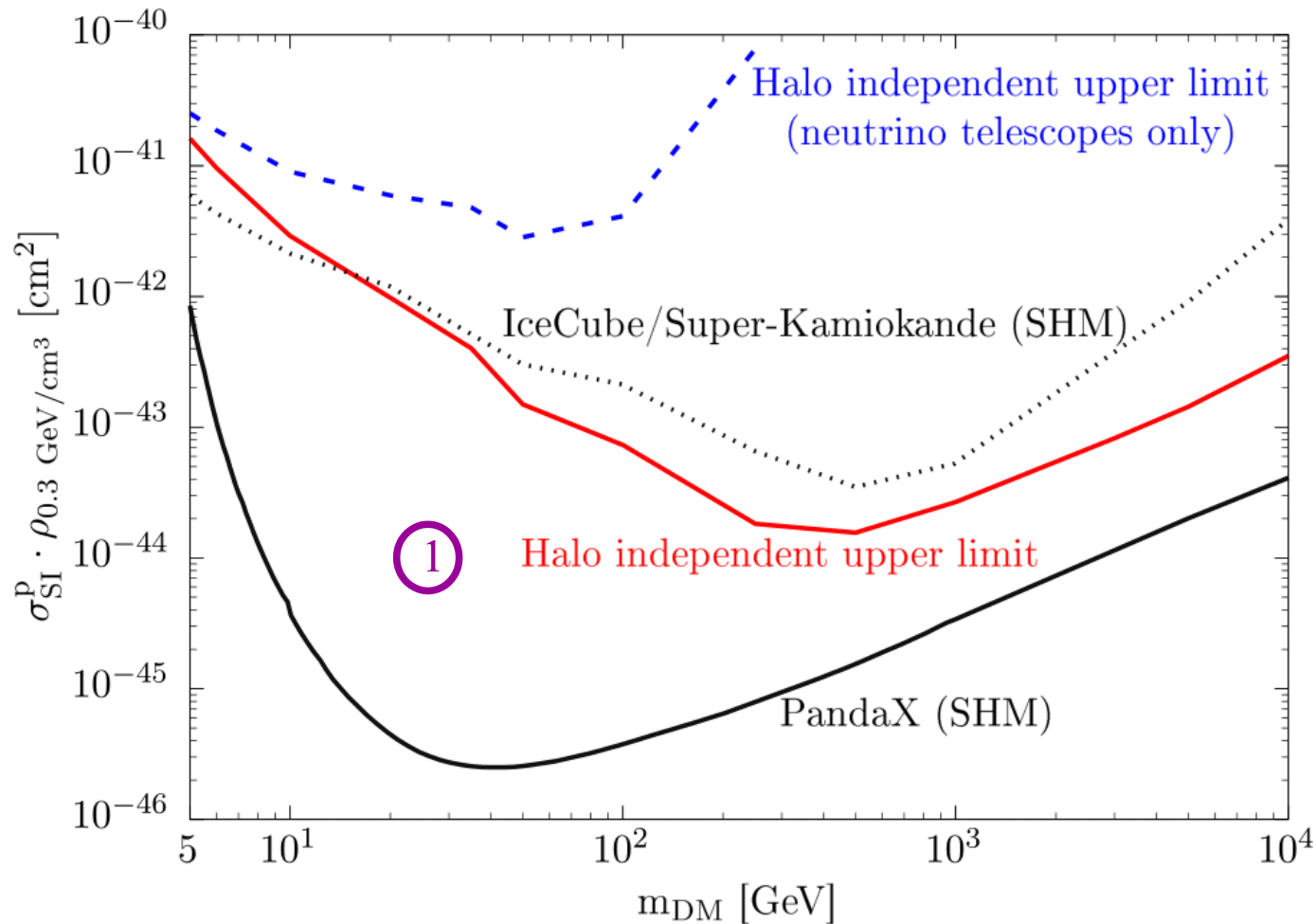
Upper limit on the scattering cross section from combining PandaX and IceCube/SK.

Spin-independent interaction



Upper limit on the scattering cross section from combining PandaX and IceCube/SK.

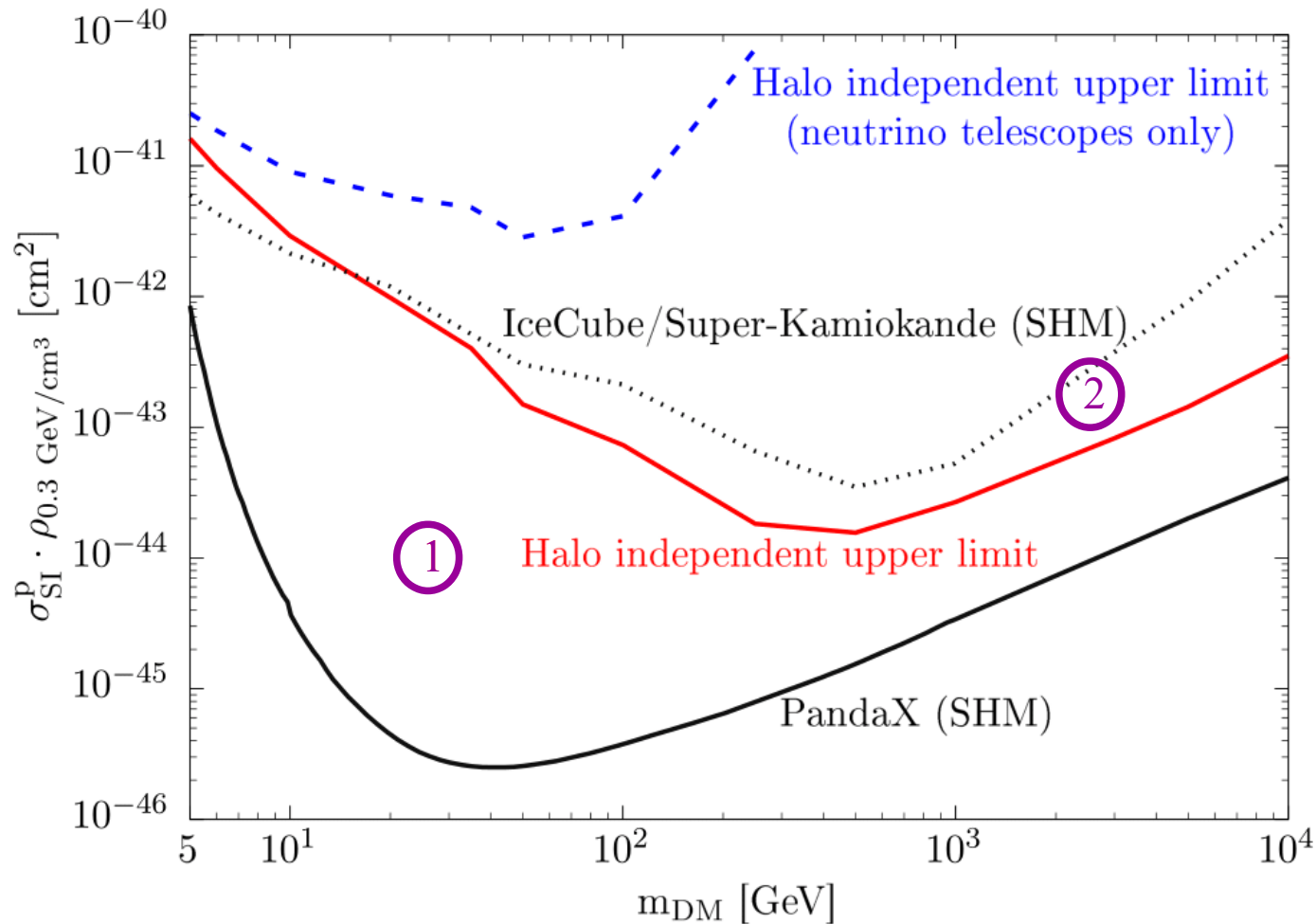
Spin-independent interaction



① is ruled out by PandaX assuming the SHM, but allowed for some velocity distributions

Upper limit on the scattering cross section from combining PandaX and IceCube/SK.

Spin-independent interaction

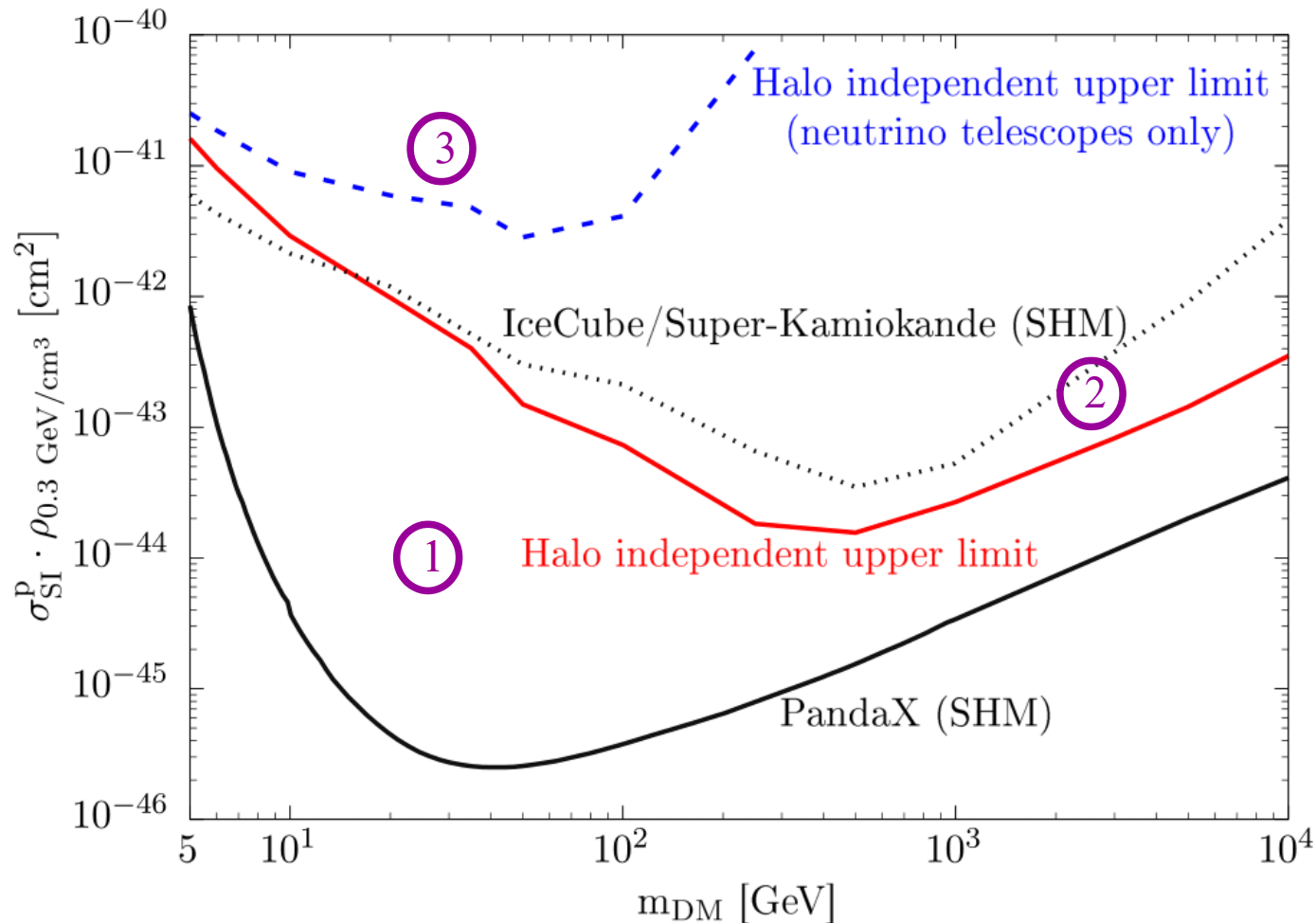


① is ruled out by PandaX assuming the SHM, but allowed for some velocity distributions

② is ruled out from combining PandaX and neutrino telescopes, for *any* velocity distribution.

Upper limit on the scattering cross section from combining PandaX and IceCube/SK.

Spin-independent interaction

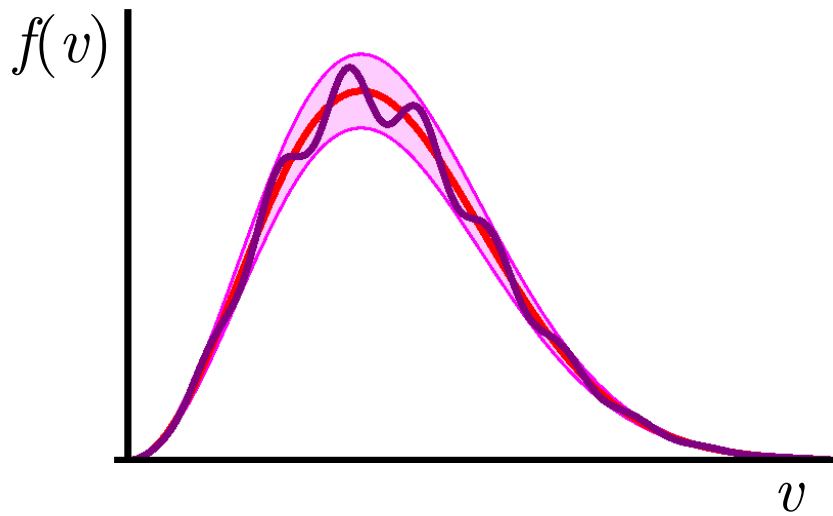


- ① is ruled out by PandaX assuming the SHM, but allowed for some velocity distributions
- ② is ruled out from combining PandaX and neutrino telescopes, for *any* velocity distribution.
- ③ is ruled out by neutrino telescopes only, for *any* velocity distribution.

Halo-independent upper limit on the scattering cross section from combining PandaX and IceCube/SK.

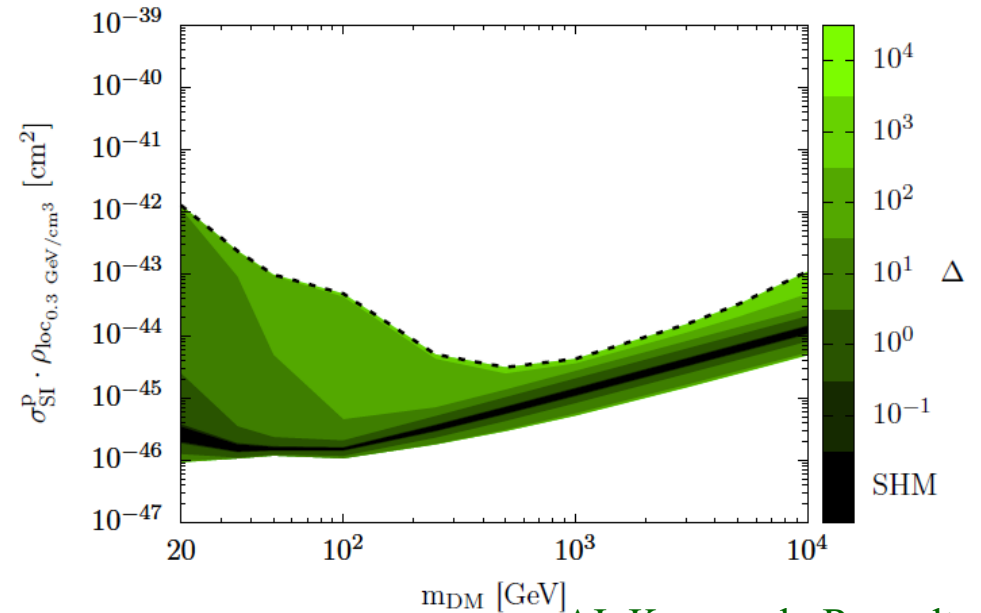
It is unlikely that the halo independent upper limit saturates (it is unlikely that the true velocity distribution consists just of two streams).

Add physically plausible assumptions (e.g. MB distribution + “distortions”).



Spin-independent interaction

Dependence of the Xenon1T+IceCube limit on Δ at 90% C.L.

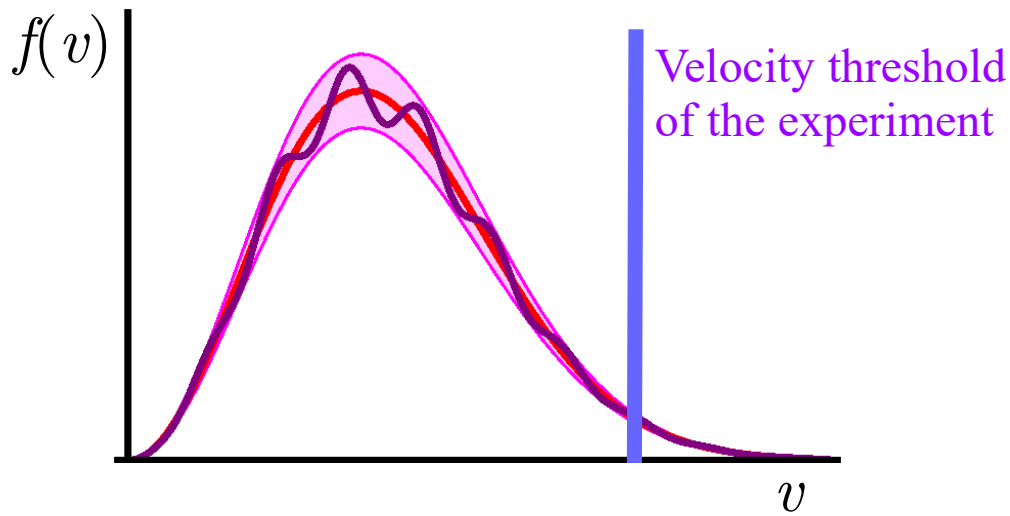


AI, Kavanagh, Rappelt
To appear

$$\left| \frac{f(\vec{v}) - f_{\text{MB}}(\vec{v})}{f_{\text{MB}}(\vec{v})} \right| \leq \Delta$$

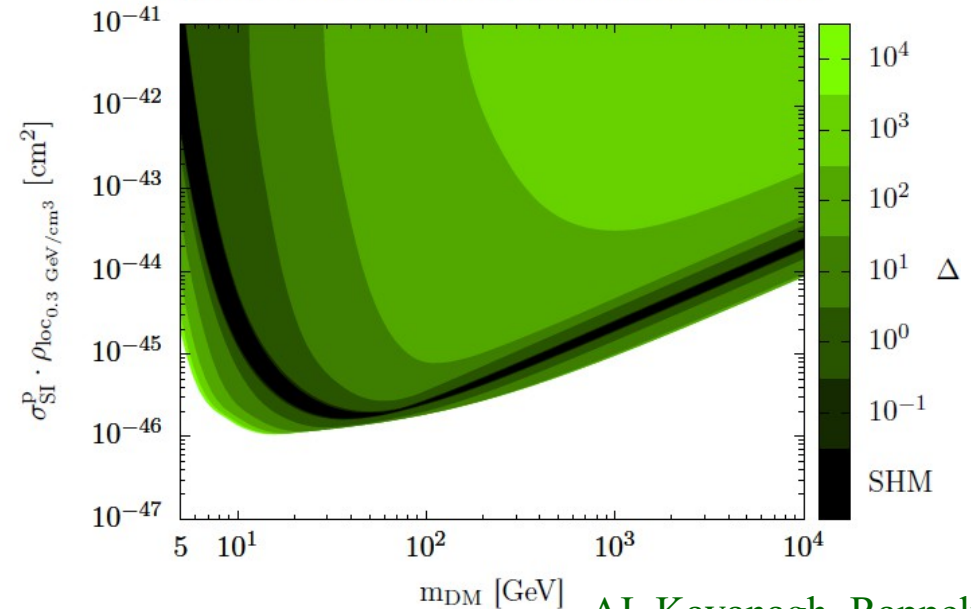
Halo-independent upper limit on the scattering cross section from combining PandaX and IceCube/SK.

The same method can be applied to bracket the astrophysical uncertainties in any experiment.



Spin-independent interaction

Dependence of the Xenon1T limits on Δ at 90% C.L.



AI, Kavanagh, Rappelt
To appear

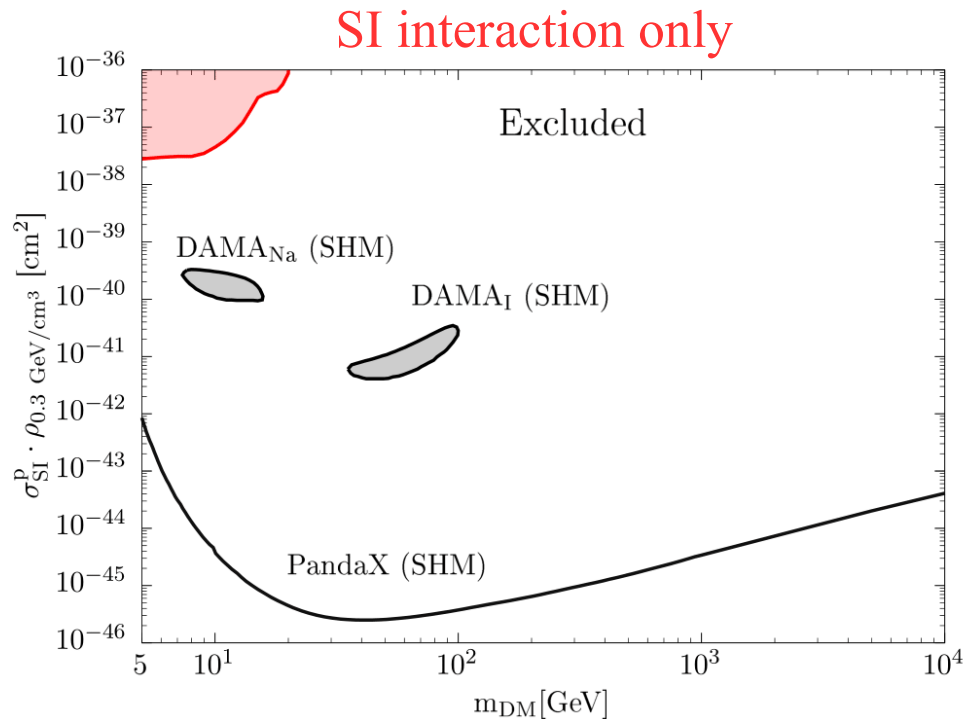
$$\left| \frac{f(\vec{v}) - f_{\text{MB}}(\vec{v})}{f_{\text{MB}}(\vec{v})} \right| \leq \Delta$$

DAMA confronted to null results in a halo independent way

Strategy: minimize the rate at a given experiment, with the constraints that the modulation signal at DAMA in the bins [2.0,2.5], [2.5,3.0] and [3.0,3.5] keV are as reported by the experiment.

The parameters σ and m_{DM} are excluded in a halo independent manner if:

$$\min_{f(\vec{v})} \left\{ R^{(\text{PandaX})}(\sigma, m_{\text{DM}}) \right\} \Big|_{\text{constraints}} \geq R_{\text{max}}^{(\text{PandaX})}$$

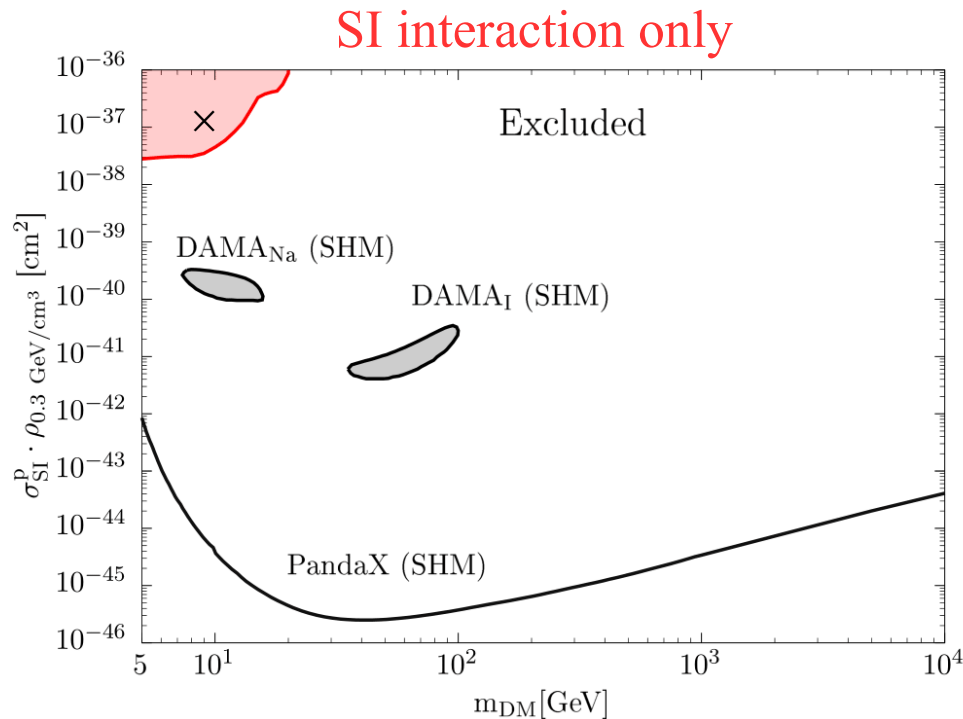


DAMA confronted to null results in a halo independent way

Strategy: minimize the rate at a given experiment, with the constraints that the modulation signal at DAMA in the bins [2.0,2.5], [2.5,3.0] and [3.0,3.5] keV are as reported by the experiment.

The parameters σ and m_{DM} are excluded in a halo independent manner if:

$$\min_{f(\vec{v})} \left\{ R^{(\text{PandaX})}(\sigma, m_{\text{DM}}) \right\} \Big|_{\text{constraints}} \geq R_{\text{max}}^{(\text{PandaX})}$$



	stream #1	stream #2	stream #3
$c_{\vec{v}_i}$	0.54	0.28	0.18
\vec{v}_i [km/s]	(-10, -123, 191)	(100, -167, -161)	(56, 119, -183)
$ \vec{v}_{i,\text{max}}^{(\text{PandaX})} $ [km/s]	257.1	264.3	255.1
$ \vec{v}_{i,\text{June}}^{(\text{DAMA})} $ [km/s]	256.1	245.0	195.7
$ \vec{v}_{i,\text{Dec}}^{(\text{DAMA})} $ [km/s]	198.8	263.2	255.1

Velocity thresholds

$$v_{\text{min}}^{(\text{PandaX})} = 259.6 \text{ km/s}$$

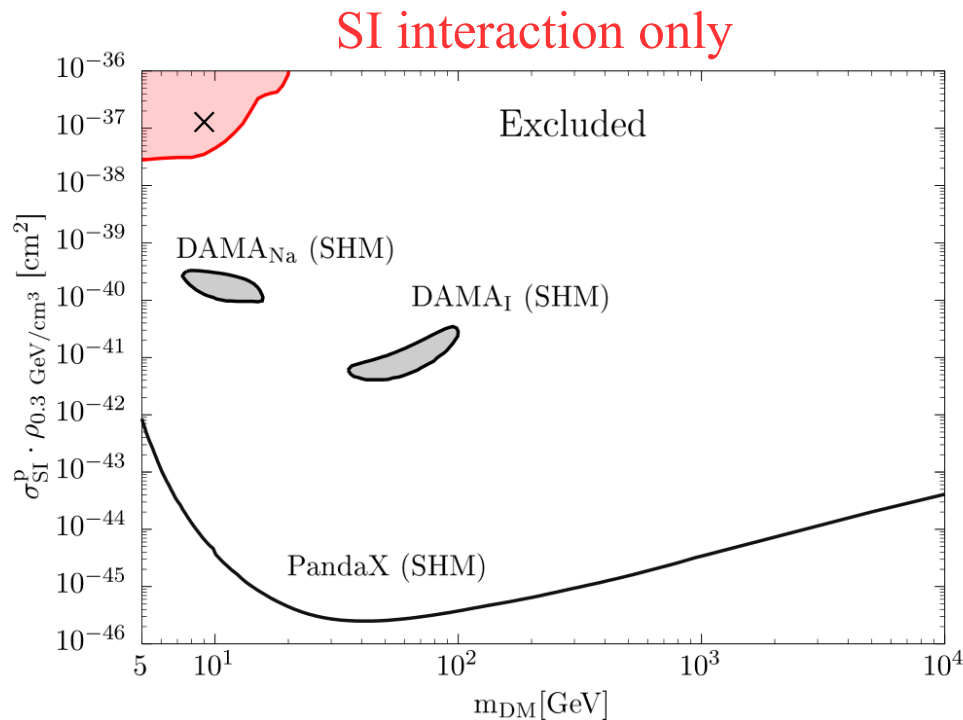
$$v_{\text{min}}^{(\text{DAMA}[3.0,3.5])} = 143.9 \text{ km/s}$$

DAMA confronted to null results in a halo independent way

Strategy: minimize the rate at a given experiment, with the constraints that the modulation signal at DAMA in the bins [2.0,2.5], [2.5,3.0] and [3.0,3.5] keV are as reported by the experiment.

The parameters σ and m_{DM} are excluded in a halo independent manner if:

$$\min_{f(\vec{v})} \left\{ R^{(\text{PandaX})}(\sigma, m_{\text{DM}}) \right\} \Big|_{\text{constraints}} \geq R_{\text{max}}^{(\text{PandaX})}$$



	stream #1	stream #2	stream #3
$c_{\vec{v}_i}$	0.54	0.28	0.18
\vec{v}_i [km/s]	(-10, -123, 191)	(106, -167, -161)	(56, 119, -183)
$ \vec{v}_{i, \text{max}}^{(\text{PandaX})} $ [km/s]	257.1	264.3	255.1
$ \vec{v}_{i, \text{June}}^{(\text{DAMA})} $ [km/s]	256.1	245.9	195.7
$ \vec{v}_{i, \text{Dec}}^{(\text{DAMA})} $ [km/s]	198.8	263.2	255.1

Velocity thresholds

$$v_{\text{min}}^{(\text{PandaX})} = 259.6 \text{ km/s}$$

$$v_{\text{min}}^{(\text{DAMA}[3.0,3.5])} = 143.9 \text{ km/s}$$

0.0036 events

DAMA confronted to null results in a halo independent way

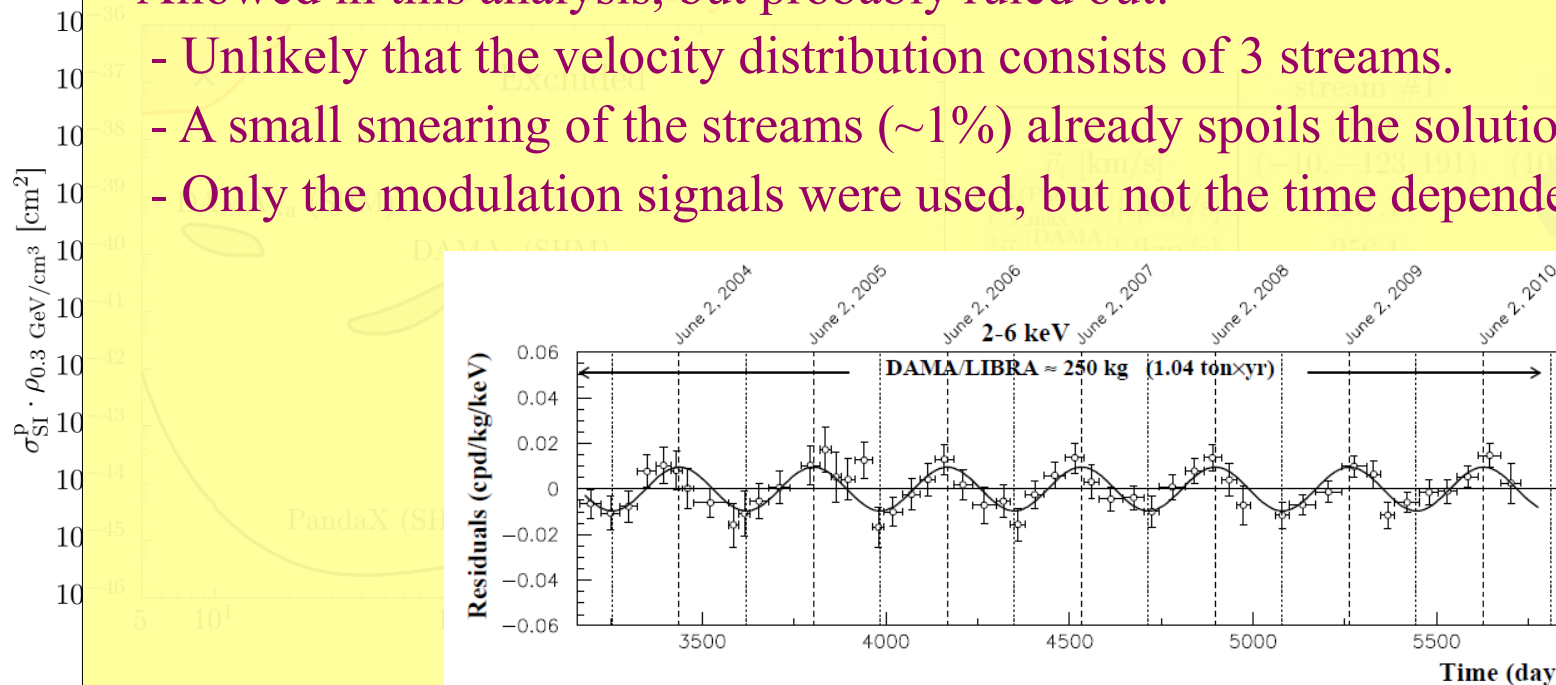
Strategy: minimize the rate at a given experiment, with the constraints that the modulation signal at DAMA in the bins [2.0,2.5], [2.5,3.0] and [3.0,3.5] keV are as reported by the experiment.

The parameters σ and m_{DM} are excluded in a halo independent manner if:

$$\min_{f(\vec{v})} \left\{ R^{(\text{PandaX})}(\sigma, m_{\text{DM}}) \right\} \Big|_{\text{constraints}} \geq R_{\text{max}}^{(\text{PandaX})}$$

Allowed in this analysis, but probably ruled out:

- Unlikely that the velocity distribution consists of 3 streams.
- A small smearing of the streams ($\sim 1\%$) already spoils the solution
- Only the modulation signals were used, but not the time dependence of the signal

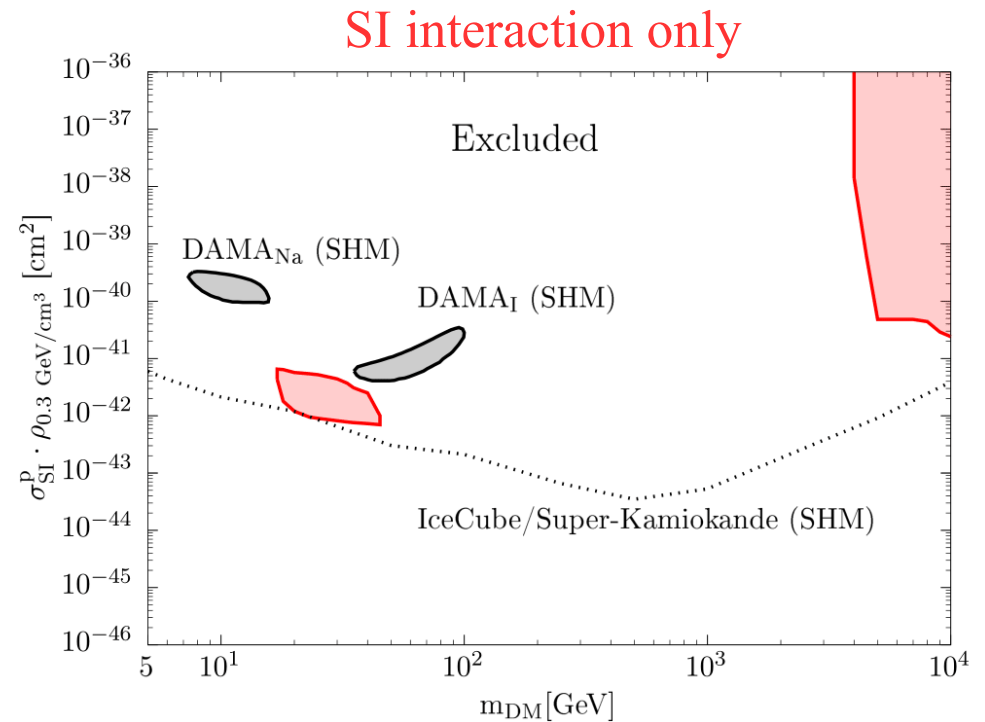
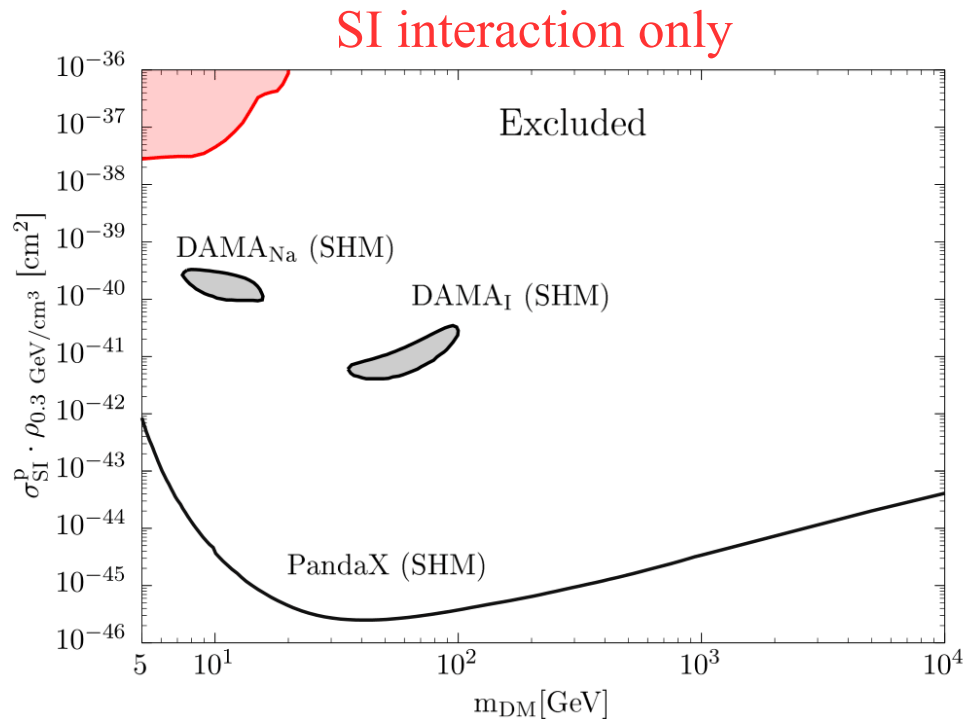


DAMA confronted to null results in a halo independent way

Strategy: minimize the rate at a given experiment, with the constraints that the modulation signal at DAMA in the bins [2.0,2.5], [2.5,3.0] and [3.0,3.5] keV are as reported by the experiment.

The parameters σ and m_{DM} are excluded in a halo independent manner if:

$$\min_{f(\vec{v})} \left\{ C^{(\text{NT})}(\sigma, m_{\text{DM}}) \right\} \Big|_{\text{constraints}} \geq C_{\text{max}}^{(\text{NT})}$$

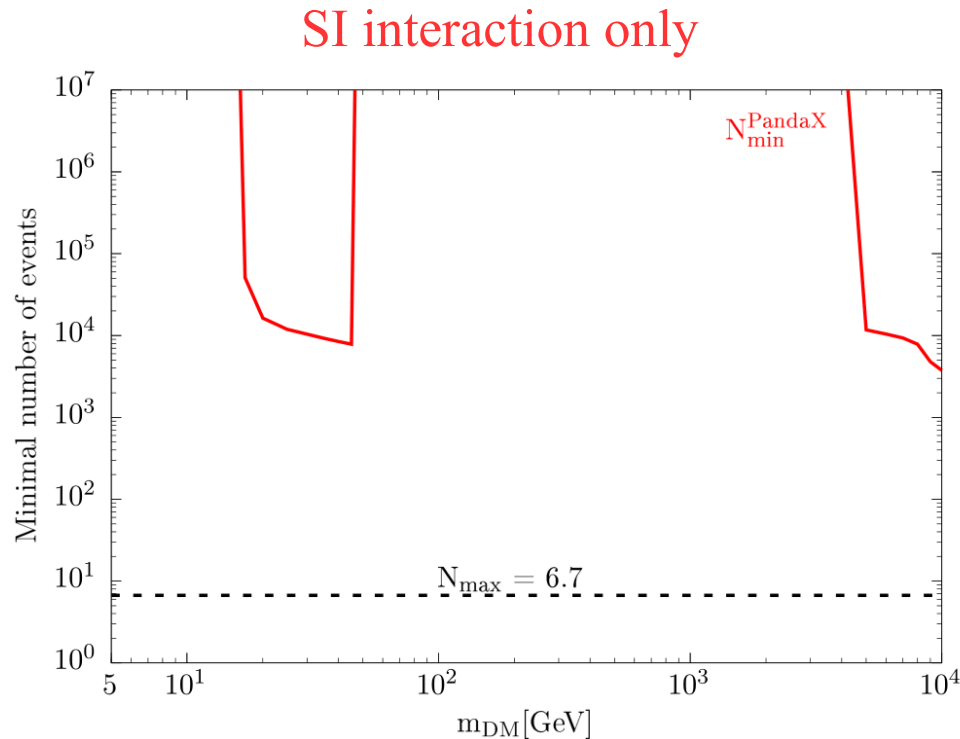


DAMA confronted to null results in a halo independent way

Strategy 2: minimize the rate at a given direct detection experiment, with the constraints that the modulation signal at DAMA in the bins [2.0,2.5], [2.5,3.0] and [3.0,3.5] keV are as reported by the experiment, and the capture rate at IceCube is below the current upper limit.

The parameters σ and m_{DM} are excluded in a halo independent manner if:

$$\min_{f(\vec{v})} \left\{ R^{(\text{PandaX})}(\sigma, m_{\text{DM}}) \right\} \Big|_{\text{constraints}} \geq R_{\text{max}}^{(\text{PandaX})}$$



AI, Rappelt '17

Halo independent prospects for future experiments

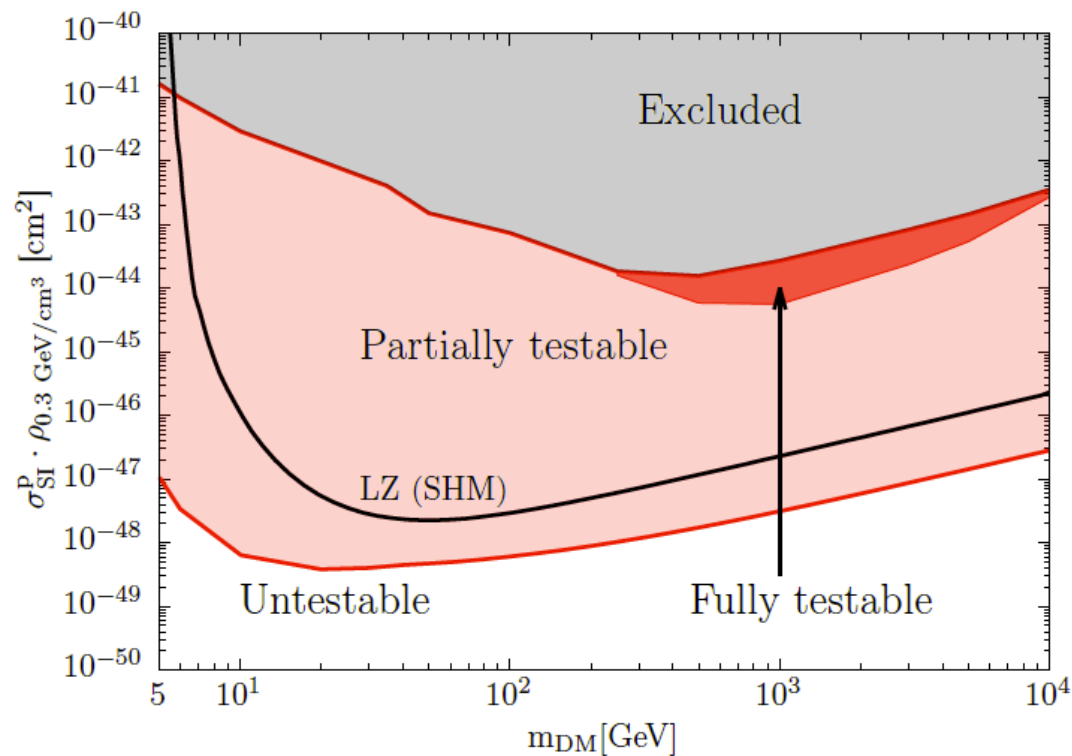
The parameters σ and m_{DM} are **fully testable** in a halo independent manner if :

$$\min_{f(\vec{v})} \left\{ R^{(\text{LZ})}(\sigma, m_{\text{DM}}) \right\} \Big|_{\text{constraints}} > 1$$

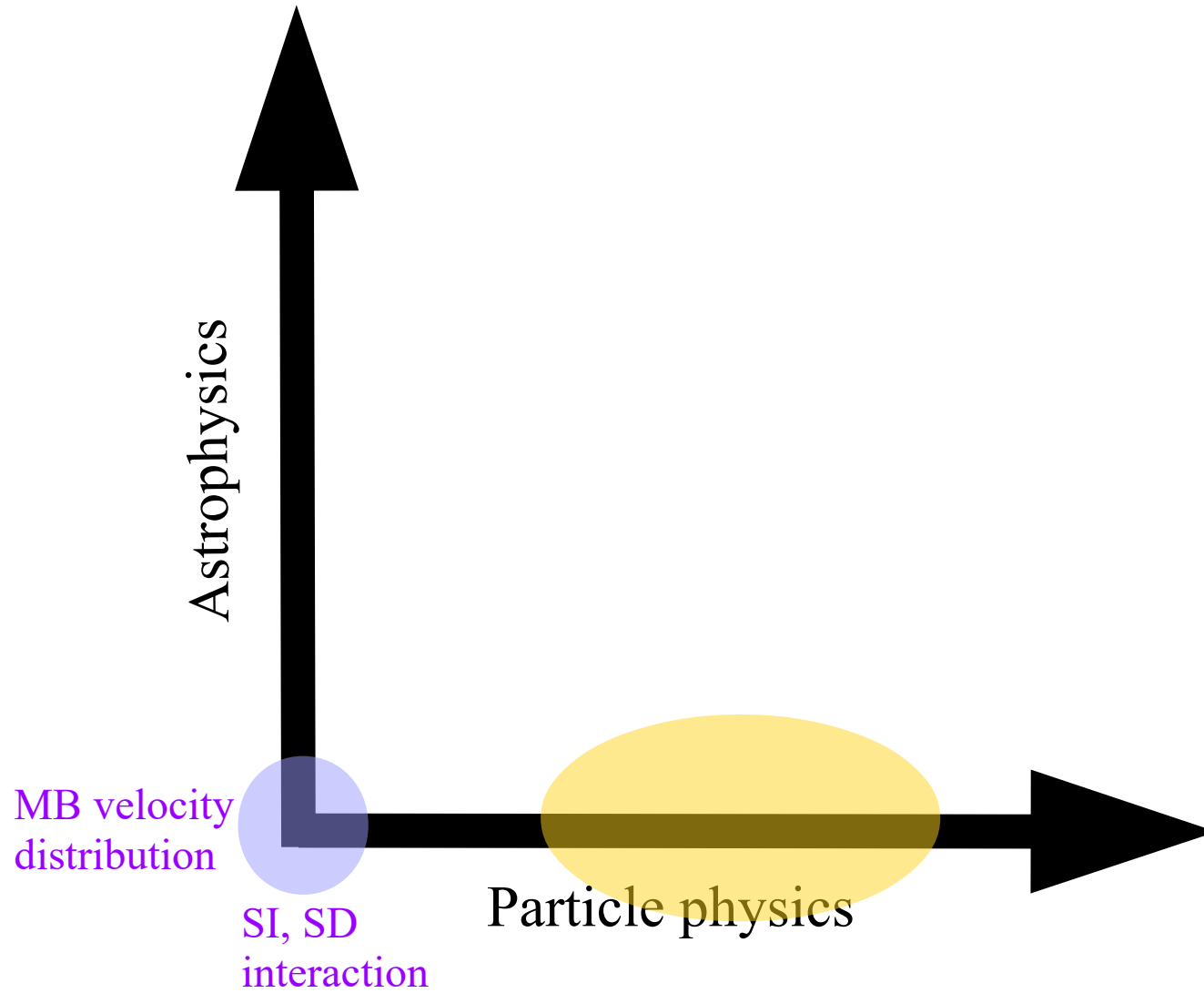
The parameters σ and m_{DM} are **untestable** in a halo independent manner if :

$$\max_{f(\vec{v})} \left\{ R^{(\text{LZ})}(\sigma, m_{\text{DM}}) \right\} \Big|_{\text{constraints}} < 1$$

LZ reach to the SI cross-section from null results at neutrino telescopes



DM theory parameter space



The effective theory of dark matter-nucleon interactions

In the non-relativistic limit, the scattering amplitude is restricted by:

- momentum conservation
- Galilean invariance

Most general form of the invariant amplitude:

$$\mathcal{M} = \mathcal{M}(\vec{q}, \vec{v}, \vec{S}_\chi, \vec{S}_N)$$

The diagram shows the invariant amplitude $\mathcal{M} = \mathcal{M}(\vec{q}, \vec{v}, \vec{S}_\chi, \vec{S}_N)$ enclosed in a red rectangular box. Four arrows point from the variables inside the box to their respective physical interpretations below: \vec{q} points to "Momentum transfer", \vec{v} points to "Relative incoming velocity", \vec{S}_χ points to "Dark matter spin", and \vec{S}_N points to "Nuclear spin".

The effective theory of dark matter-nucleon interactions

In the non-relativistic limit, the scattering amplitude is restricted by:

- momentum conservation
- Galilean invariance

Most general form of the invariant amplitude:

$$\mathcal{M} = \mathcal{M}(\vec{q}, \vec{v}^\perp, \vec{S}_\chi, \vec{S}_N)$$

Momentum transfer “Transverse velocity” Dark matter spin Nuclear spin

$$\vec{v}^\perp \equiv \vec{v} + \frac{\vec{q}}{2\mu_N}$$
$$\vec{v}^\perp \cdot \vec{q} = 0$$

The effective theory of dark matter-nucleon interactions

In the non-relativistic limit, the scattering amplitude is restricted by:

- momentum conservation
- Galilean invariance

Most general form of the invariant amplitude:

$$\mathcal{M} = \mathcal{M}(\vec{q}, \vec{v}^\perp, \vec{S}_\chi, \vec{S}_N)$$

The diagram shows the invariant amplitude $\mathcal{M} = \mathcal{M}(\vec{q}, \vec{v}^\perp, \vec{S}_\chi, \vec{S}_N)$ enclosed in a red rectangular box. Four arrows point from the variables in the box to their respective labels below: \vec{q} points to "Momentum transfer", \vec{v}^\perp points to "“Transverse velocity”", \vec{S}_χ points to "Dark matter spin", and \vec{S}_N points to "Nuclear spin".

The Hamiltonian of the system must be a combination of operators that depend only on $i\vec{q}$, \vec{v}^\perp , \vec{S}_χ , \vec{S}_N , $\mathbb{1}$

The effective theory of dark matter-nucleon interactions

14 possible operators, up to first order in the velocity and momentum transfer:

Fitzpatrick et al'12

$$\mathcal{O}_1 = \mathbf{1}_{\chi N}$$

$$\mathcal{O}_3 = i\vec{S}_N \cdot \left(\frac{\vec{q}}{m_N} \times \vec{v}^\perp \right)$$

$$\mathcal{O}_4 = \vec{S}_\chi \cdot \vec{S}_N$$

$$\mathcal{O}_5 = i\vec{S}_\chi \cdot \left(\frac{\vec{q}}{m_N} \times \vec{v}^\perp \right)$$

$$\mathcal{O}_6 = \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left(\vec{S}_N \cdot \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_7 = \vec{S}_N \cdot \vec{v}^\perp$$

$$\mathcal{O}_8 = \vec{S}_\chi \cdot \vec{v}^\perp$$

$$\mathcal{O}_9 = i\vec{S}_\chi \cdot \left(\vec{S}_N \times \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_{10} = i\vec{S}_N \cdot \frac{\vec{q}}{m_N}$$

$$\mathcal{O}_{11} = i\vec{S}_\chi \cdot \frac{\vec{q}}{m_N}$$

$$\mathcal{O}_{12} = \vec{S}_\chi \cdot \left(\vec{S}_N \times \vec{v}^\perp \right)$$

$$\mathcal{O}_{13} = i \left(\vec{S}_\chi \cdot \vec{v}^\perp \right) \left(\vec{S}_N \cdot \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_{14} = i \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left(\vec{S}_N \cdot \vec{v}^\perp \right)$$

$$\mathcal{O}_{15} = - \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left[\left(\vec{S}_N \times \vec{v}^\perp \right) \cdot \frac{\vec{q}}{m_N} \right]$$

The effective theory of dark matter-nucleon interactions

14 possible operators, up to first order in the velocity and momentum transfer:

Fitzpatrick et al'12

$$\mathcal{O}_1 = \mathbf{1}_{\chi N}$$

$$\mathcal{O}_3 = i\vec{S}_N \cdot \left(\frac{\vec{q}}{m_N} \times \vec{v}^\perp \right)$$

$$\mathcal{O}_4 = \vec{S}_\chi \cdot \vec{S}_N$$

$$\mathcal{O}_5 = i\vec{S}_\chi \cdot \left(\frac{\vec{q}}{m_N} \times \vec{v}^\perp \right)$$

$$\mathcal{O}_6 = \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left(\vec{S}_N \cdot \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_7 = \vec{S}_N \cdot \vec{v}^\perp$$

$$\mathcal{O}_8 = \vec{S}_\chi \cdot \vec{v}^\perp$$

$$\mathcal{O}_9 = i\vec{S}_\chi \cdot \left(\vec{S}_N \times \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_{10} = i\vec{S}_N \cdot \frac{\vec{q}}{m_N}$$

$$\mathcal{O}_{11} = i\vec{S}_\chi \cdot \frac{\vec{q}}{m_N}$$

$$\mathcal{O}_{12} = \vec{S}_\chi \cdot \left(\vec{S}_N \times \vec{v}^\perp \right)$$

$$\mathcal{O}_{13} = i \left(\vec{S}_\chi \cdot \vec{v}^\perp \right) \left(\vec{S}_N \cdot \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_{14} = i \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left(\vec{S}_N \cdot \vec{v}^\perp \right)$$

$$\mathcal{O}_{15} = - \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left[\left(\vec{S}_N \times \vec{v}^\perp \right) \cdot \frac{\vec{q}}{m_N} \right]$$

$$\text{Hamiltonian: } \mathcal{H}_N(r) = \sum_k c_k \mathcal{O}_k(\mathbf{r})$$

The effective theory of dark matter-nucleon interactions

14 possible operators, up to first order in the velocity and momentum transfer:

Fitzpatrick et al'12

$$\mathcal{O}_1 = \mathbf{1}_{\chi N}$$

$$\mathcal{O}_3 = i\vec{S}_N \cdot \left(\frac{\vec{q}}{m_N} \times \vec{v}^\perp \right)$$

$$\mathcal{O}_4 = \vec{S}_\chi \cdot \vec{S}_N$$

$$\mathcal{O}_5 = i\vec{S}_\chi \cdot \left(\frac{\vec{q}}{m_N} \times \vec{v}^\perp \right)$$

$$\mathcal{O}_6 = \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left(\vec{S}_N \cdot \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_7 = \vec{S}_N \cdot \vec{v}^\perp$$

$$\mathcal{O}_8 = \vec{S}_\chi \cdot \vec{v}^\perp$$

$$\mathcal{O}_9 = i\vec{S}_\chi \cdot \left(\vec{S}_N \times \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_{10} = i\vec{S}_N \cdot \frac{\vec{q}}{m_N}$$

$$\mathcal{O}_{11} = i\vec{S}_\chi \cdot \frac{\vec{q}}{m_N}$$

$$\mathcal{O}_{12} = \vec{S}_\chi \cdot \left(\vec{S}_N \times \vec{v}^\perp \right)$$

$$\mathcal{O}_{13} = i \left(\vec{S}_\chi \cdot \vec{v}^\perp \right) \left(\vec{S}_N \cdot \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_{14} = i \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left(\vec{S}_N \cdot \vec{v}^\perp \right)$$

$$\mathcal{O}_{15} = - \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left[\left(\vec{S}_N \times \vec{v}^\perp \right) \cdot \frac{\vec{q}}{m_N} \right]$$

Hamiltonian: $\mathcal{H}_N(r) = \sum_{\tau=0,1} \sum_k c_k^\tau \mathcal{O}_k(\mathbf{r}) t^\tau$

$$t^0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$t^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$c_k^p = (c_k^0 + c_k^1)/2$$

$$c_k^n = (c_k^0 - c_k^1)/2$$

The effective theory of dark matter-nucleon interactions

14 possible operators, up to first order in the velocity and momentum transfer:

$$\mathcal{O}_1 = \mathbb{1}_{\chi N}$$

SI interaction

$$\mathcal{O}_3 = i\vec{S}_N \cdot \left(\frac{\vec{q}}{m_N} \times \vec{v}^\perp \right)$$

$$\mathcal{O}_4 = \vec{S}_\chi \cdot \vec{S}_N \quad \text{SD interaction}$$

$$\mathcal{O}_5 = i\vec{S}_\chi \cdot \left(\frac{\vec{q}}{m_N} \times \vec{v}^\perp \right)$$

$$\mathcal{O}_6 = \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left(\vec{S}_N \cdot \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_7 = \vec{S}_N \cdot \vec{v}^\perp$$

$$\mathcal{O}_8 = \vec{S}_\chi \cdot \vec{v}^\perp$$

$$\mathcal{O}_9 = i\vec{S}_\chi \cdot \left(\vec{S}_N \times \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_{10} = i\vec{S}_N \cdot \frac{\vec{q}}{m_N}$$

$$\mathcal{O}_{11} = i\vec{S}_\chi \cdot \frac{\vec{q}}{m_N}$$

$$\mathcal{O}_{12} = \vec{S}_\chi \cdot \left(\vec{S}_N \times \vec{v}^\perp \right)$$

$$\mathcal{O}_{13} = i \left(\vec{S}_\chi \cdot \vec{v}^\perp \right) \left(\vec{S}_N \cdot \frac{\vec{q}}{m_N} \right)$$

$$\mathcal{O}_{14} = i \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left(\vec{S}_N \cdot \vec{v}^\perp \right)$$

$$\mathcal{O}_{15} = - \left(\vec{S}_\chi \cdot \frac{\vec{q}}{m_N} \right) \left[\left(\vec{S}_N \times \vec{v}^\perp \right) \cdot \frac{\vec{q}}{m_N} \right]$$

Fitzpatrick et al'12

Hamiltonian: $\mathcal{H}_N(r) = \sum_{\tau=0,1} \sum_k c_k^\tau \mathcal{O}_k(\mathbf{r}) t^\tau$

$$t^0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$t^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$c_k^p = (c_k^0 + c_k^1)/2$$

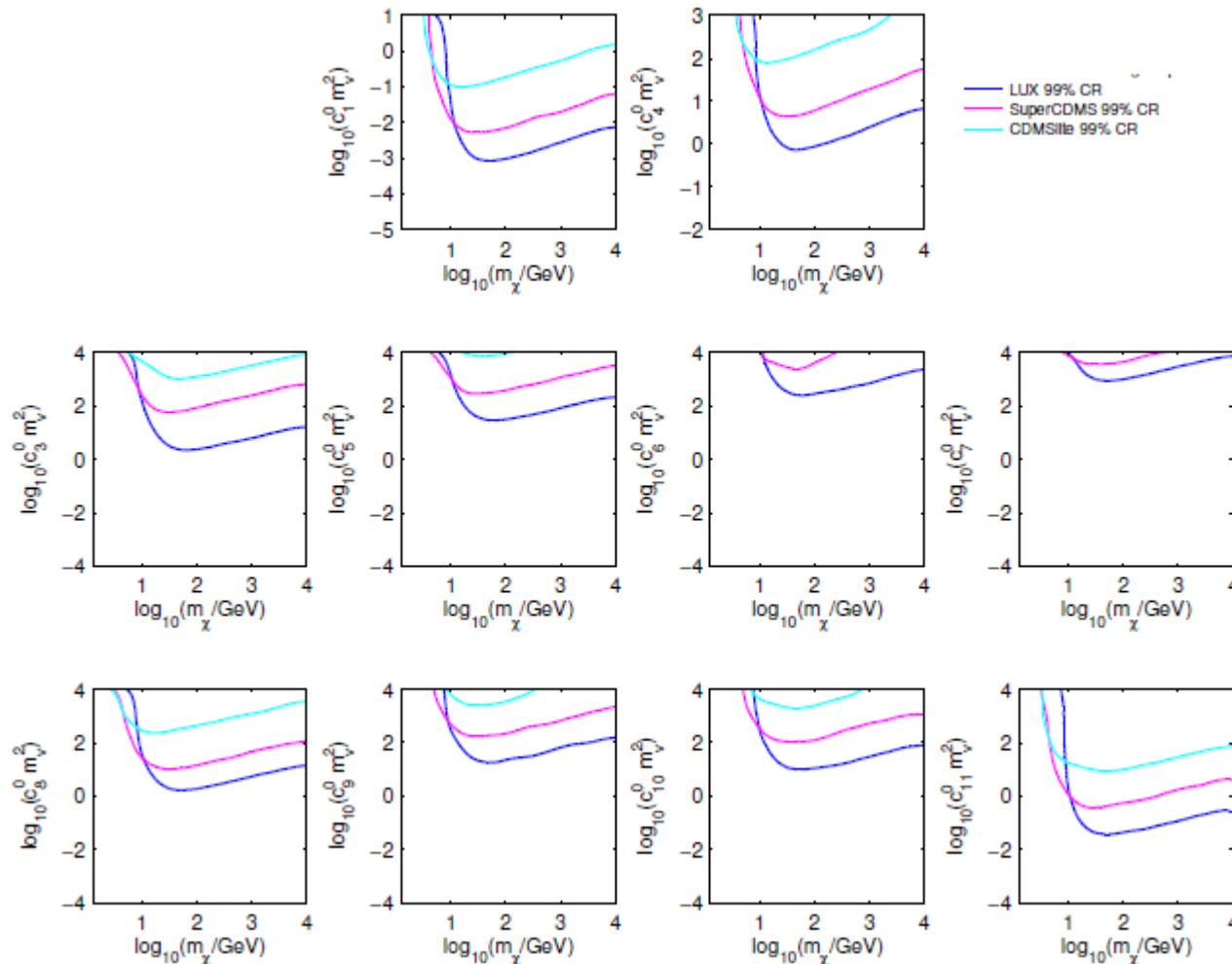
$$c_k^n = (c_k^0 - c_k^1)/2$$

The effective theory of dark matter-nucleon interactions

Some applications:

1) Model independent analysis of null search experiments (in the same spirit as for the “traditional” SI and SD interactions

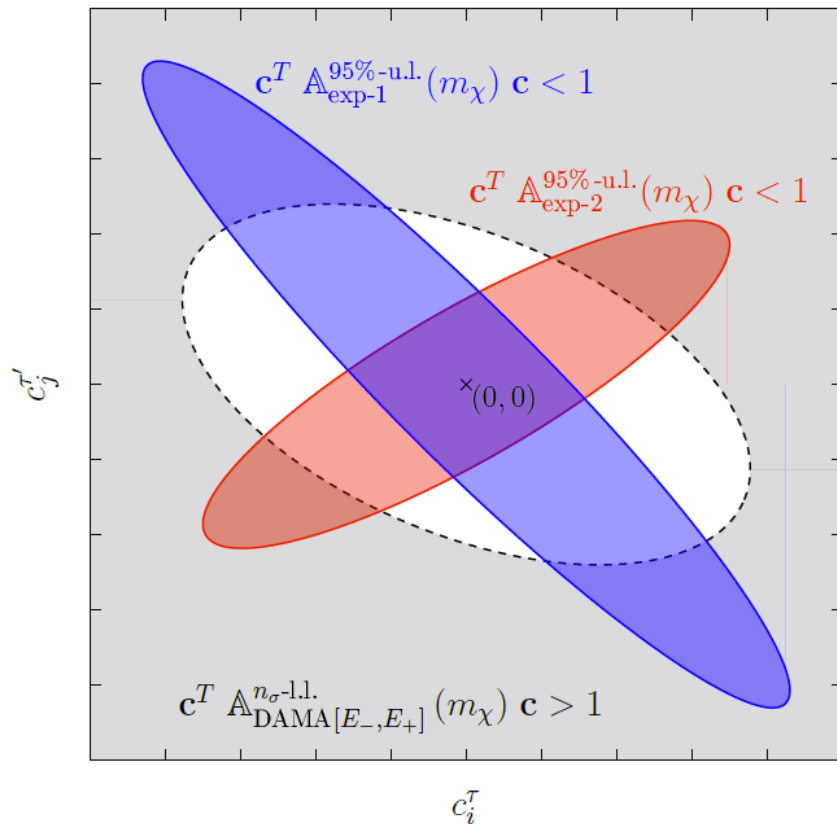
Fitzpatrick et al'12
Catena, Gondolo'15



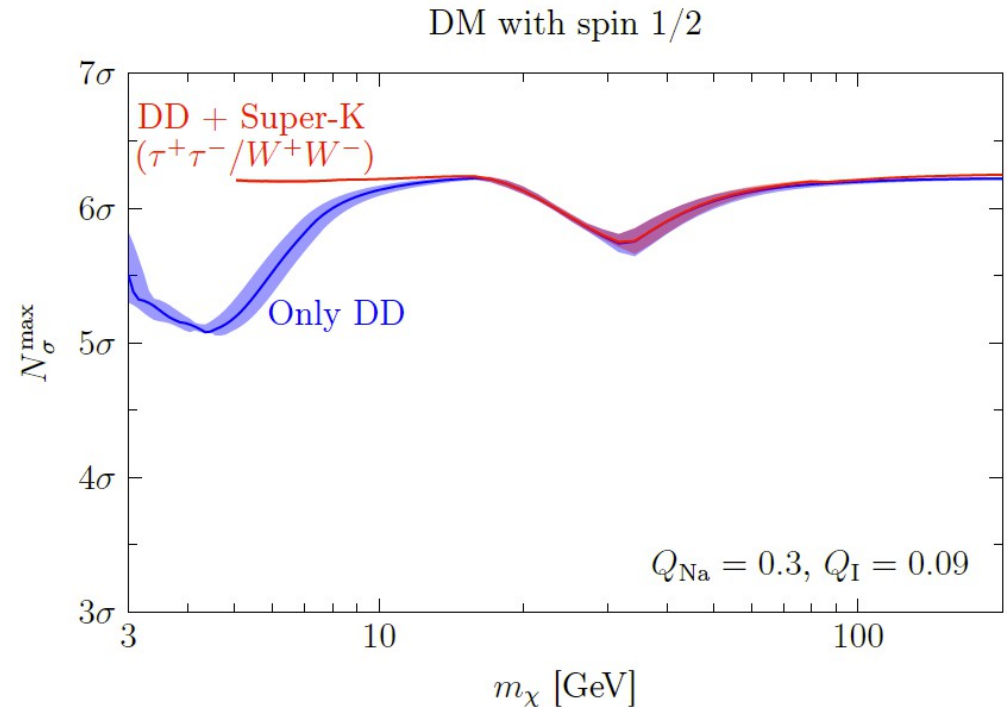
The effective theory of dark matter-nucleon interactions

Some applications:

- 1) Model independent analysis of null search experiments (in the same spirit as for the “traditional” SI and SD interactions)
- 2) Model independent analysis of the DAMA signal, in view of the null results from other direct detection experiments.



$$\text{event rate} \propto \mathbf{c}^T \mathbf{X}(m_\chi) \mathbf{c}$$

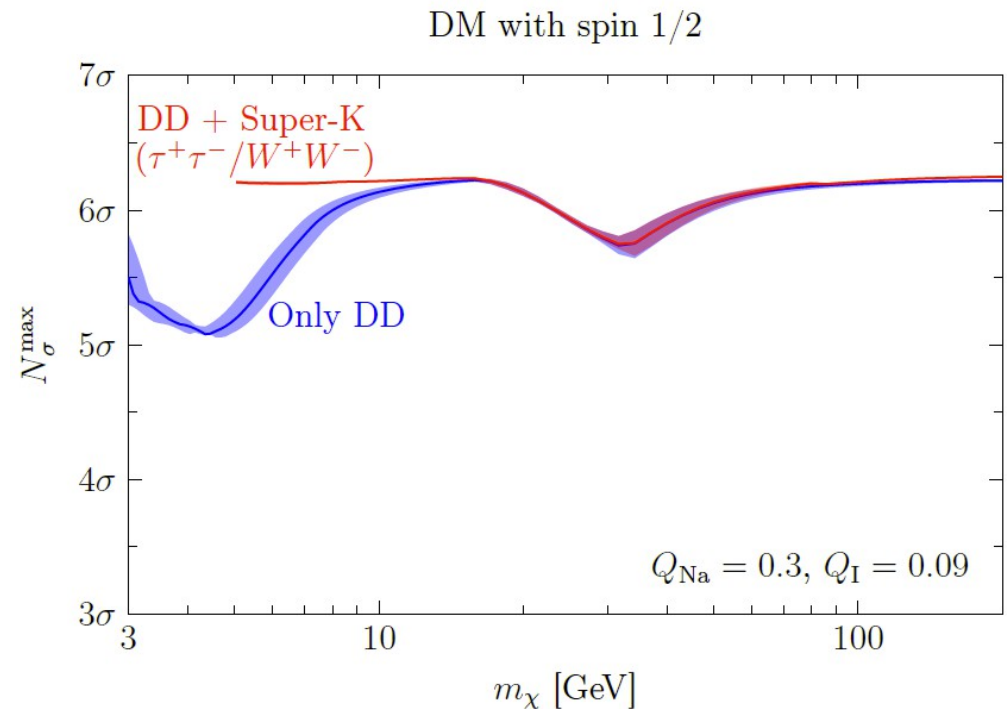
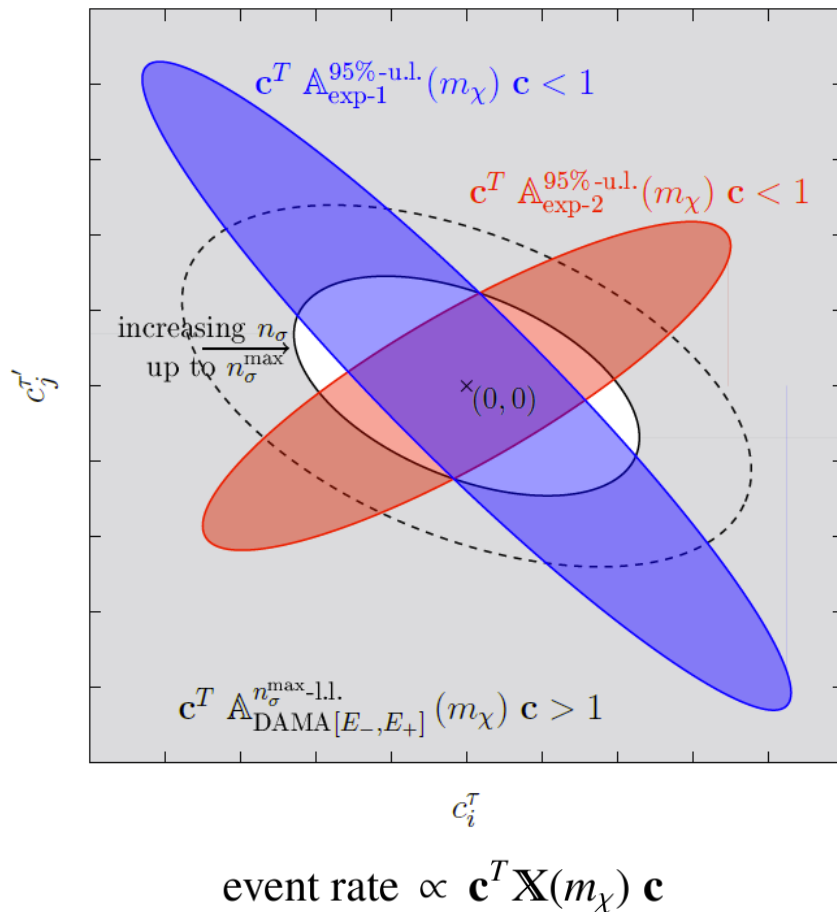


Catena, AI, Wild '16

The effective theory of dark matter-nucleon interactions

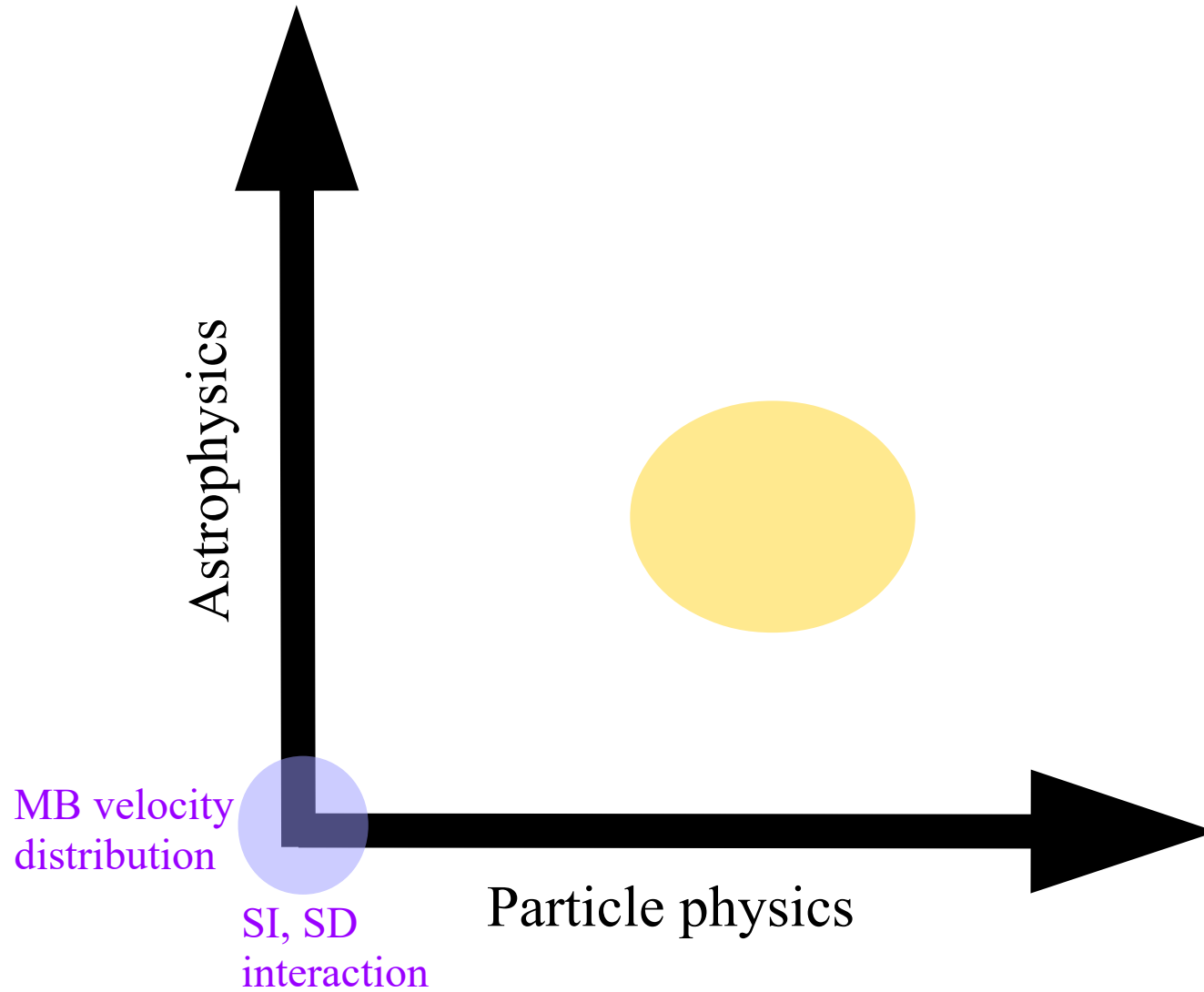
Some applications:

- 1) Model independent analysis of null search experiments (in the same spirit as for the “traditional” SI and SD interactions)
- 2) Model independent analysis of the DAMA signal, in view of the null results from other direct detection experiments.



Catena, AI, Wild '16

DM theory parameter space



CDMS-Si confronted to null results in a halo independent way

The silicon detectors of the CDMS II experiments observed three DM candidate events, with relatively little exposure (23.4 kg day).

Is the DM interpretation ruled out, for all models and all velocity distributions?

CDMS-Si confronted to null results in a halo independent way

The silicon detectors of the CDMS II experiments observed three DM candidate events, with relatively little exposure (23.4 kg day).

Is the DM interpretation ruled out, for all models and all velocity distributions?

$$N_{\max}^{(\text{CDMS-Si})} \equiv \max_{f(\mathbf{v})} \max_{\mathbf{c}} \left[N_{f(\mathbf{v})}^{(\text{CDMS-Si})}(\mathbf{c}) \right],$$

$$\text{subject to } N_{f(\mathbf{v})}^{(\text{XENON1T})}(\mathbf{c}) \leq N_{\text{u.l.}}^{(\text{XENON1T})},$$

$$\text{and } N_{f(\mathbf{v})}^{(\text{PICO})}(\mathbf{c}) \leq N_{\text{u.l.}}^{(\text{PICO})},$$

$$\text{and } \int f(\mathbf{v}) d^3v = 1,$$

CDMS-Si confronted to null results in a halo independent way

The silicon detectors of the CDMS II experiments observed three DM candidate events, with relatively little exposure (23.4 kg day).

Is the DM interpretation ruled out, for all models and all velocity distributions?

$$N_{\max}^{(\text{CDMS-Si})} \equiv \max_{f(\mathbf{v})} \max_{\mathbf{c}} \left[N_{f(\mathbf{v})}^{(\text{CDMS-Si})}(\mathbf{c}) \right]$$

subject to $N_{f(\mathbf{v})}^{(\text{XENON1T})}(\mathbf{c}) \leq N_{\text{u.l.}}^{(\text{XENON1T})}$,

and $N_{f(\mathbf{v})}^{(\text{PICO})}(\mathbf{c}) \leq N_{\text{u.l.}}^{(\text{PICO})}$,

and $\int f(\mathbf{v}) d^3v = 1$,

Step 1: Calculate the maximum number of events for a fixed-velocity distribution.

CDMS-Si confronted to null results in a halo independent way

The silicon detectors of the CDMS II experiments observed three DM candidate events, with relatively little exposure (23.4 kg day).

Is the DM interpretation ruled out, for all models and all velocity distributions?

$$N_{\max}^{(\text{CDMS-Si})} \equiv \max_{f(\mathbf{v})} \max_{\mathbf{c}} \left[N_{f(\mathbf{v})}^{(\text{CDMS-Si})}(\mathbf{c}) \right]$$

subject to $N_{f(\mathbf{v})}^{(\text{XENON1T})}(\mathbf{c}) \leq N_{\text{u.l.}}^{(\text{XENON1T})}$,

and $N_{f(\mathbf{v})}^{(\text{PICO})}(\mathbf{c}) \leq N_{\text{u.l.}}^{(\text{PICO})}$,

and $\int f(\mathbf{v}) d^3v = 1$,

Step 1: Calculate the maximum number of events for a fixed-velocity distribution.

Step 2: Sample over velocity distributions and determine the maximal number of events

Analytically, $f_{\alpha, v_1, v_2}(v) = \alpha \delta(v - v_1) + (1 - \alpha) \delta(v - v_2)$

$$N_{\max}^{(\text{CDMS-Si})} \equiv \max_{\alpha, v_1, v_2} \max_{\mathbf{c}} \left[N_{\alpha, v_1, v_2}^{(\text{CDMS-Si})}(\mathbf{c}) \right]$$

CDMS-Si confronted to null results in a halo independent way

The silicon detectors of the CDMS II experiments observed three DM candidate events, with relatively little exposure (23.4 kg day).

Is the DM interpretation ruled out, for all models and all velocity distributions?

$$N_{\max}^{(\text{CDMS-Si})} \equiv \max_{f(\mathbf{v})} \max_{\mathbf{c}} \left[N_{f(\mathbf{v})}^{(\text{CDMS-Si})}(\mathbf{c}) \right],$$

subject to $N_{f(\mathbf{v})}^{(\text{XENON1T})}(\mathbf{c}) \leq N_{\text{u.l.}}^{(\text{XENON1T})},$

and $N_{f(\mathbf{v})}^{(\text{PICO})}(\mathbf{c}) \leq N_{\text{u.l.}}^{(\text{PICO})},$

and $\int f(\mathbf{v}) d^3v = 1,$

Step 1: Calculate the maximum number of events for a fixed-velocity distribution.

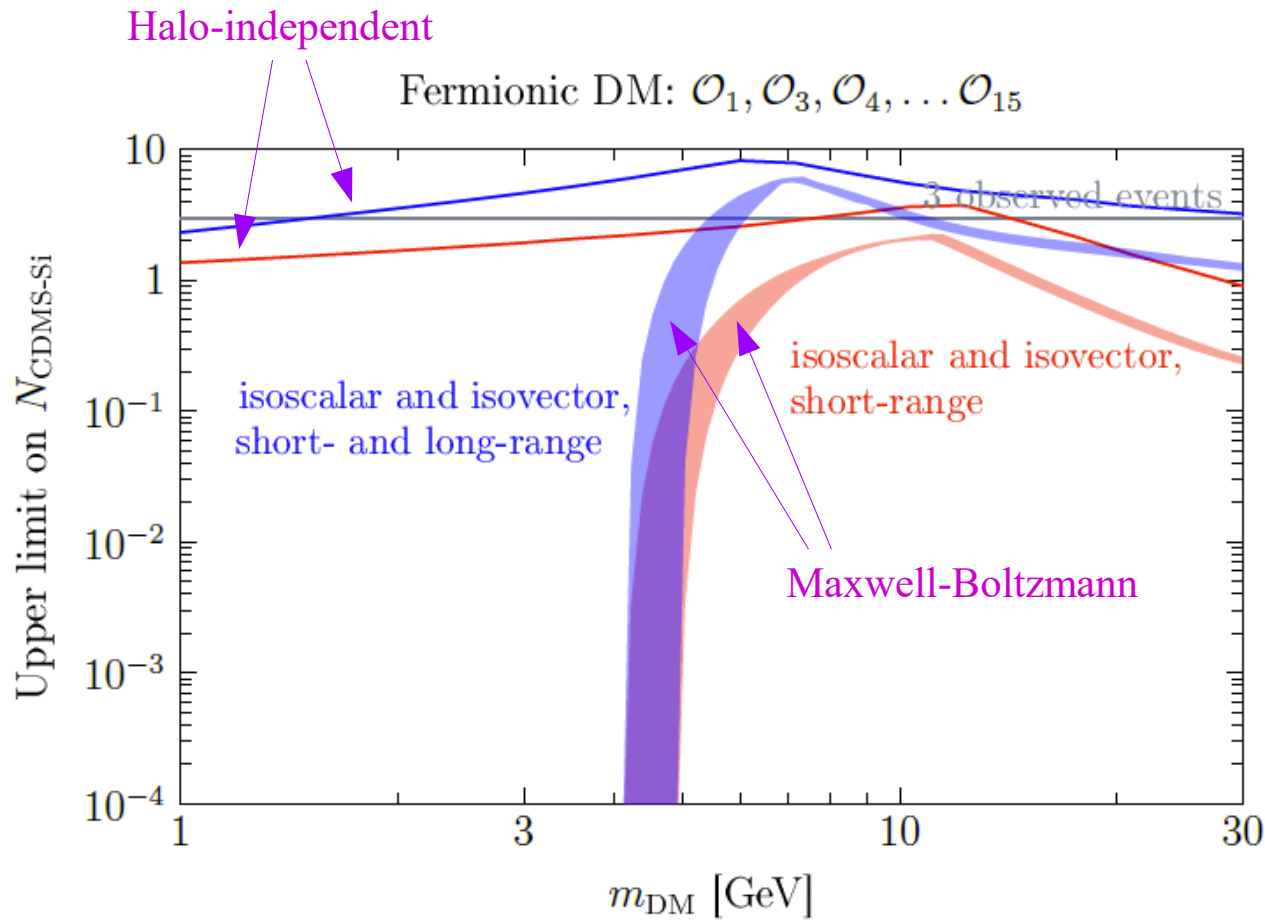
Step 2: Sample over velocity distributions and determine the maximal number of events

Step 3: If the maximal number of events is < 3 , then the DM interpretation is ruled-out in a halo- and particle physics independent way.

CDMS-Si confronted to null results in a halo independent way

The silicon detectors of the CDMS II experiments observed three DM candidate events, with relatively little exposure (23.4 kg day).

Is the DM interpretation ruled out, for all models and all velocity distributions?



Catena, AI, Rappelt, Wild
arXiv:1801.08466

Conclusions

- The interpretation of any experiment probing the dark matter distribution inside the Solar System is subject to our ignorance of the local dark matter density and velocity distribution, as well as of the underlying particle physics model.
- We have developed a method to calculate the minimum/maximum number of signal events in an experiment probing the dark matter distribution inside the Solar System, in view of a number of constraints from direct detection experiments and/or neutrino telescopes.
- Some applications are:
 - i) to derive a halo-independent upper limit on the cross section from a set of null results.
 - ii) to confront in a halo-independent way a detection claim to a set of null results.
 - iii) to assess, in a halo-independent manner, the prospects for detection in a future experiment given a set of current null results.
- The method could be extended to include other dark matter interactions, or to account for more realistic velocity configurations.