



中央研究院  
ACADEMIA SINICA



# The ATLAS experiment upgrade and expected physics performances at the High Luminosity LHC

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# Motivation for High Luminosity-LHC

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## Many physics achievements by LHC & experiments

- Higgs boson found (2012++)
- Several rare decays discovered (e.g.  $B_s^0 \rightarrow \mu^+\mu^-$ , ...)
- CP violation in B sector (e.g.  $B_s^0 \rightarrow J/\psi \phi$ )
- Standard Model is describing measurements well

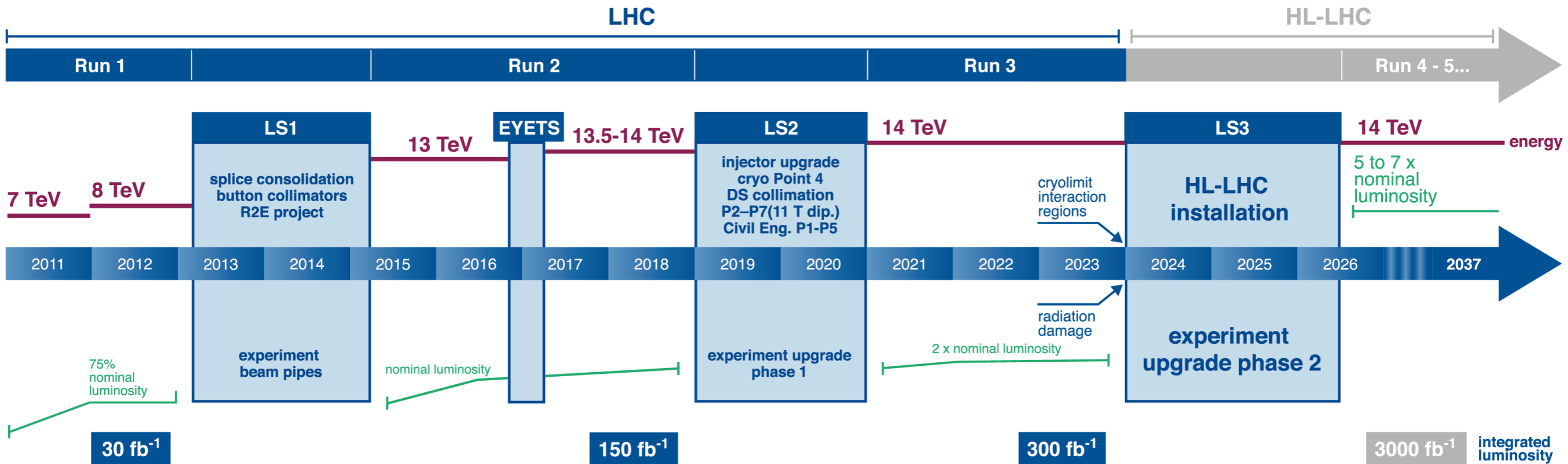
## Many puzzles remaining

- Dark matter  $\rightarrow$  New type(s) of particles?
- Supersymmetry: Does it exist?
- Flavor anomalies: LFV, LFU violation?
- Matter-antimatter asymmetry  
 $\rightarrow$  How to explain it? CP violation only?

## LHC at or above design performance

- Already at  $L_{\text{peak}} = 2.06 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$  (2 x design)
- $E_{\text{CMS}} = 14 \text{ TeV}$  expected for Run 3
- ➔ Expect only linear increase in  $\int L dt$  after Run 3
- Need more to improve measurements
- ➔ Upgrade LHC and experiments to High-Luminosity (HL) phase

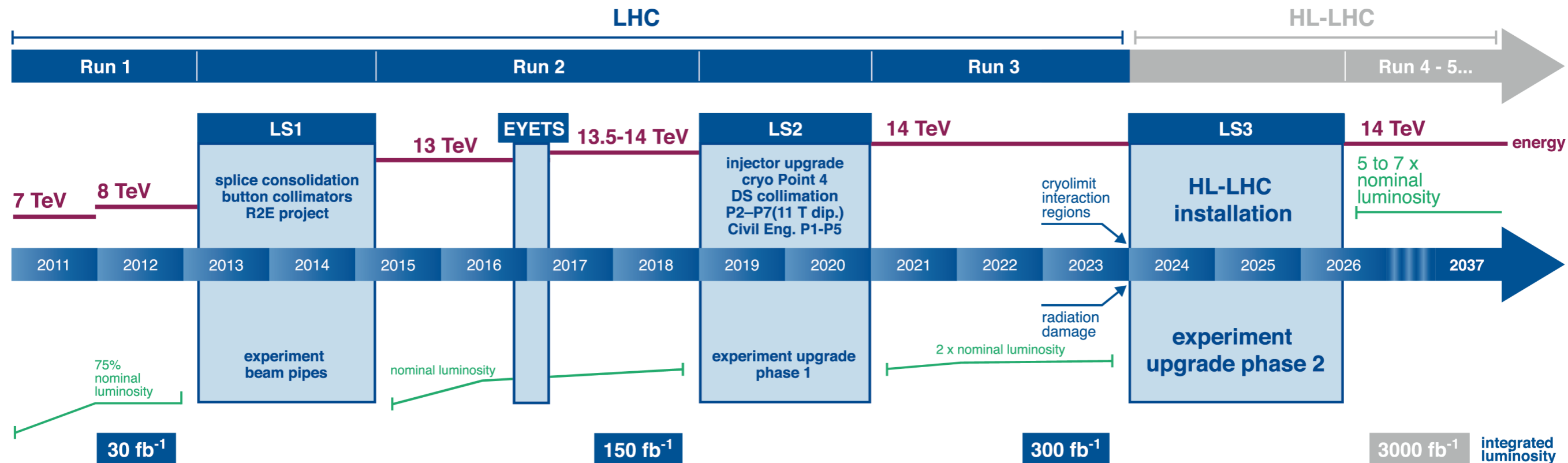
# LHC / HL-LHC Plan



**Run 1** Magnet splice update  
**Run 2 at ~full design energy**  
**Phase I upgrades (injectors)**  
**Run 3 at original design lumi**  
**Phase II upgrades (final focus)**  
**HL-LHC: ten times design lumi**

HL-LHC mode	Peak Luminosity (cm <sup>-2</sup> s <sup>-1</sup> )	Mean number of interactions per bunch-crossing $\langle \mu_{PU} \rangle$	Integrated luminosity (fb <sup>-1</sup> )
Baseline	$5 \times 10^{34}$	<b>140</b>	<b>3000</b>
Ultimate	$7.5 \times 10^{34}$	<b>200</b>	4000

# LHC / HL-LHC Plan

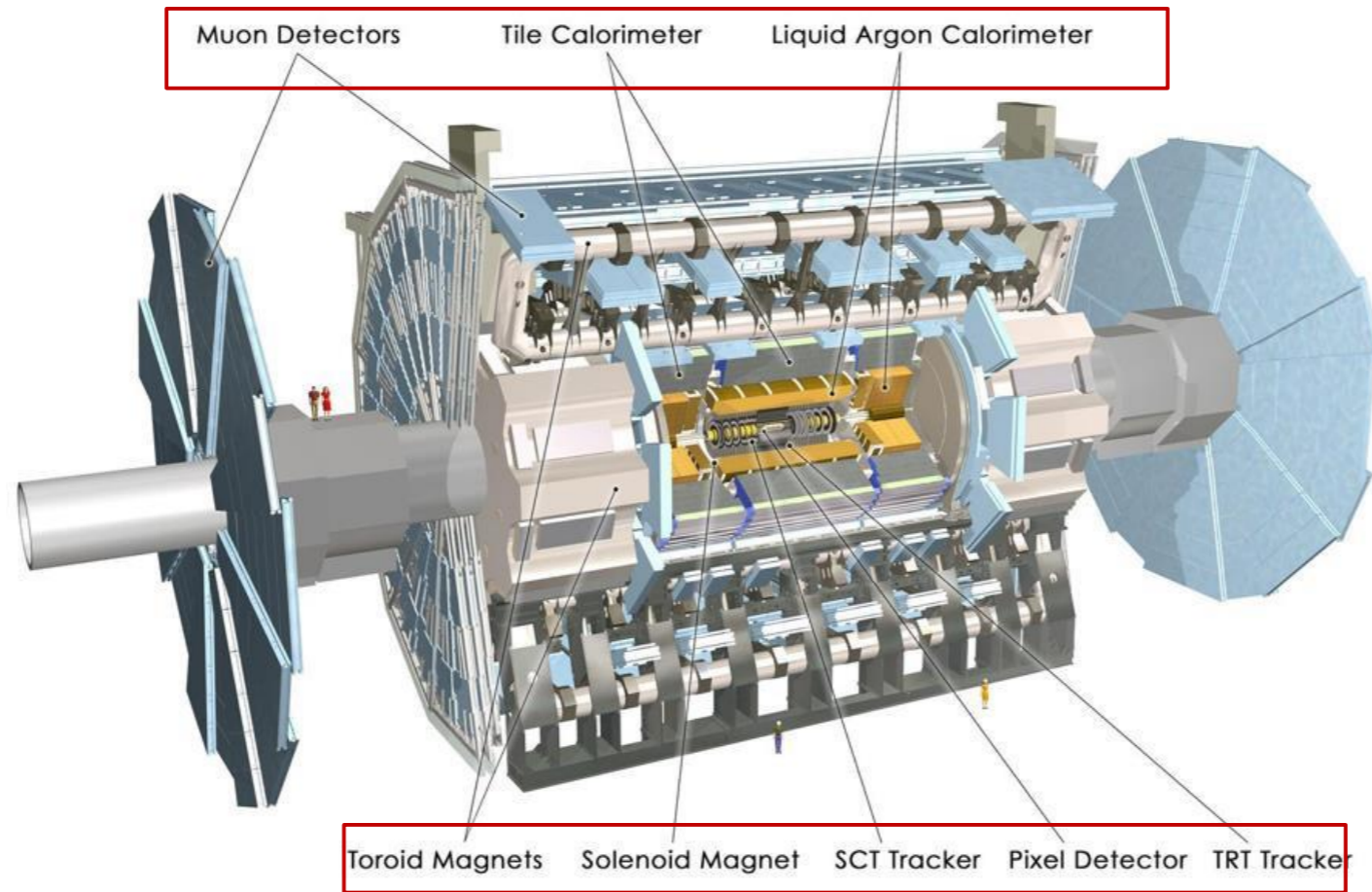


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Full exploitation of LHC is top priority in Europe & US for high energy physics  
 Operate HL-LHC with 5 (nominal) to 7.5 (ultimate)  $\times 10^{34} \text{cm}^{-2}\text{s}^{-1}$  to collect 3000/fb in order ten years.

# The ATLAS detector upgrade program

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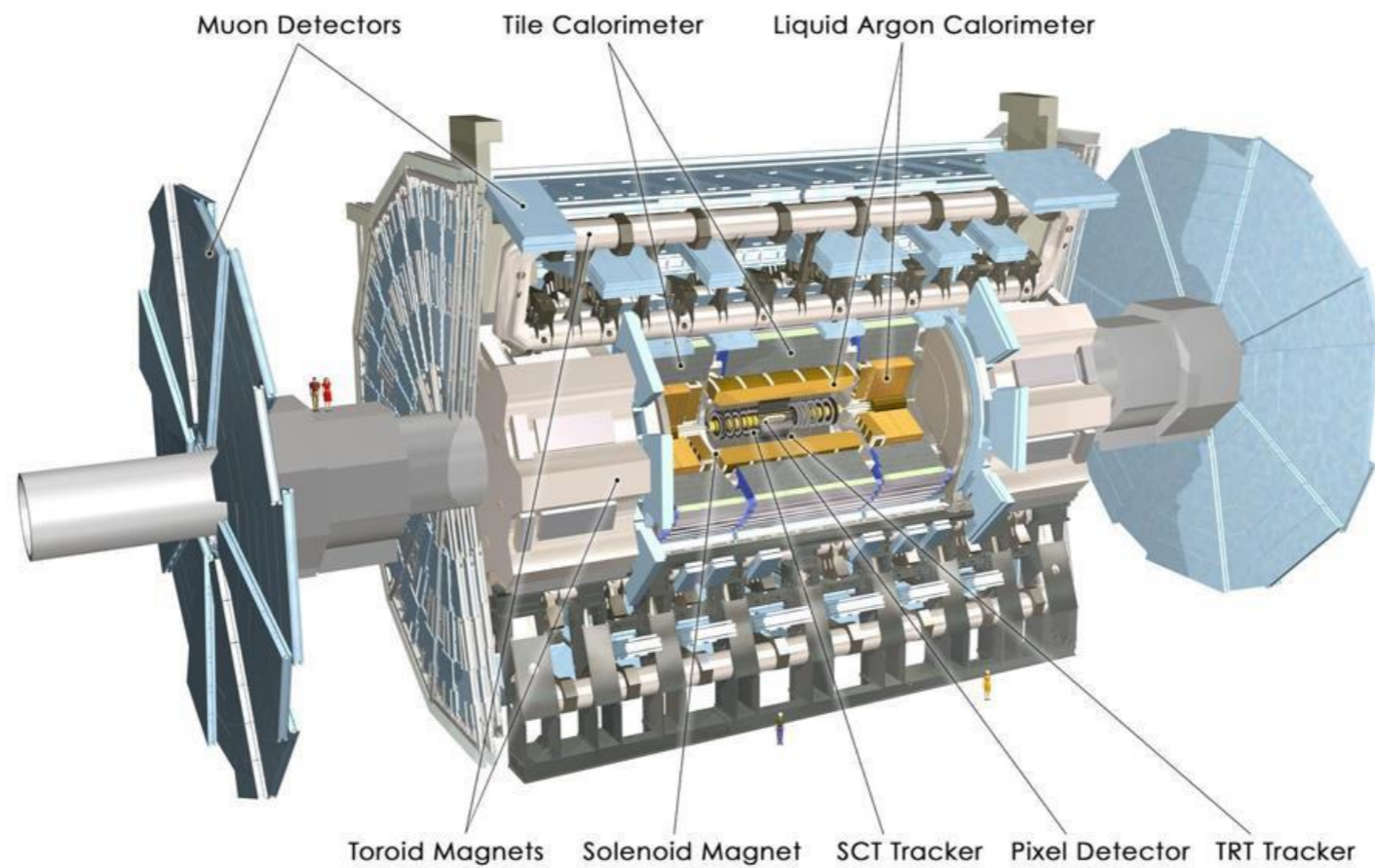
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TDAQ upgrade

→ Increased latencies and rates :

--L0 [6 $\mu$ s, 1MHz]

--L1 [30 $\mu$ s, 400kHz]



# The ATLAS detector upgrade program

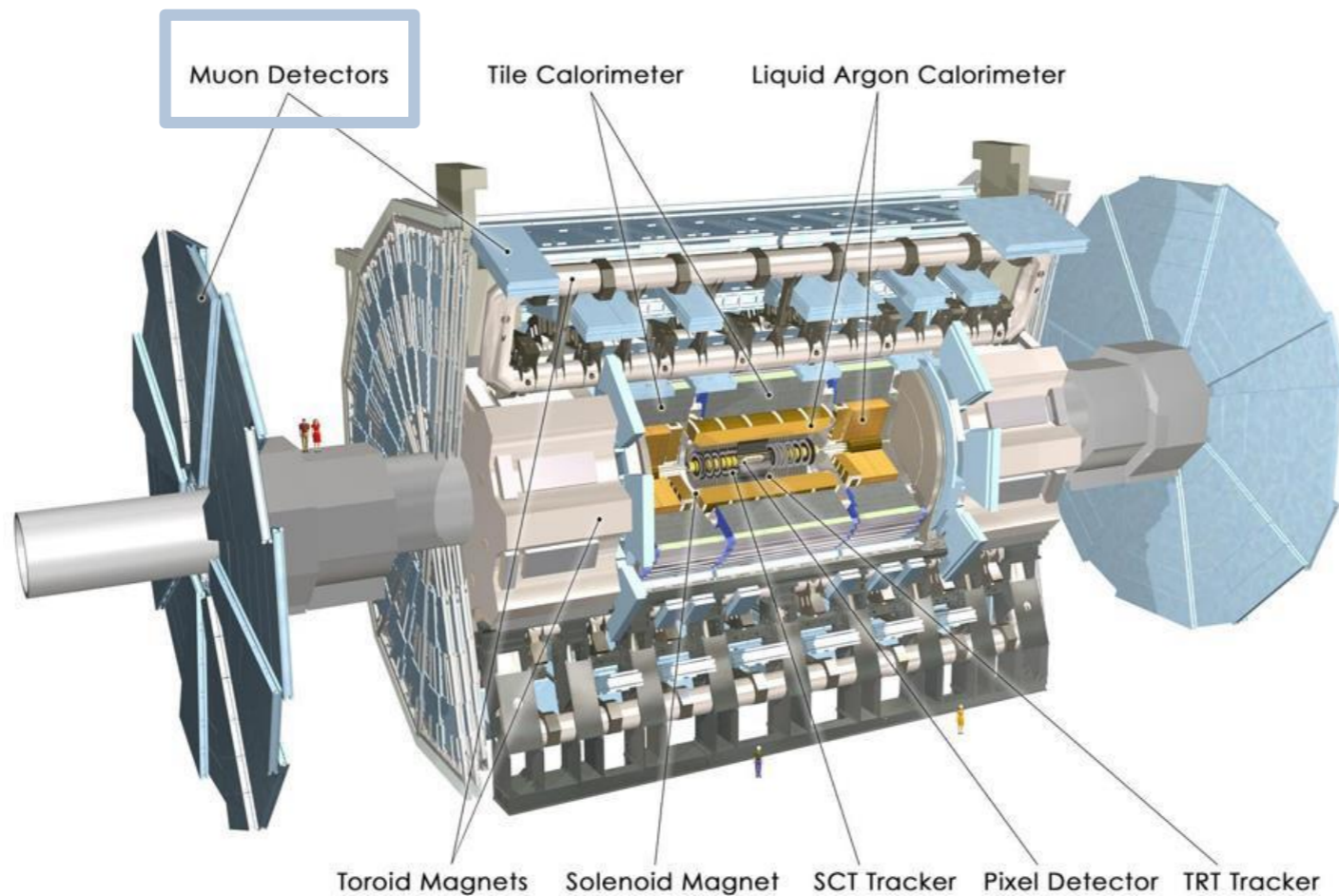
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New Barrel trigger layer



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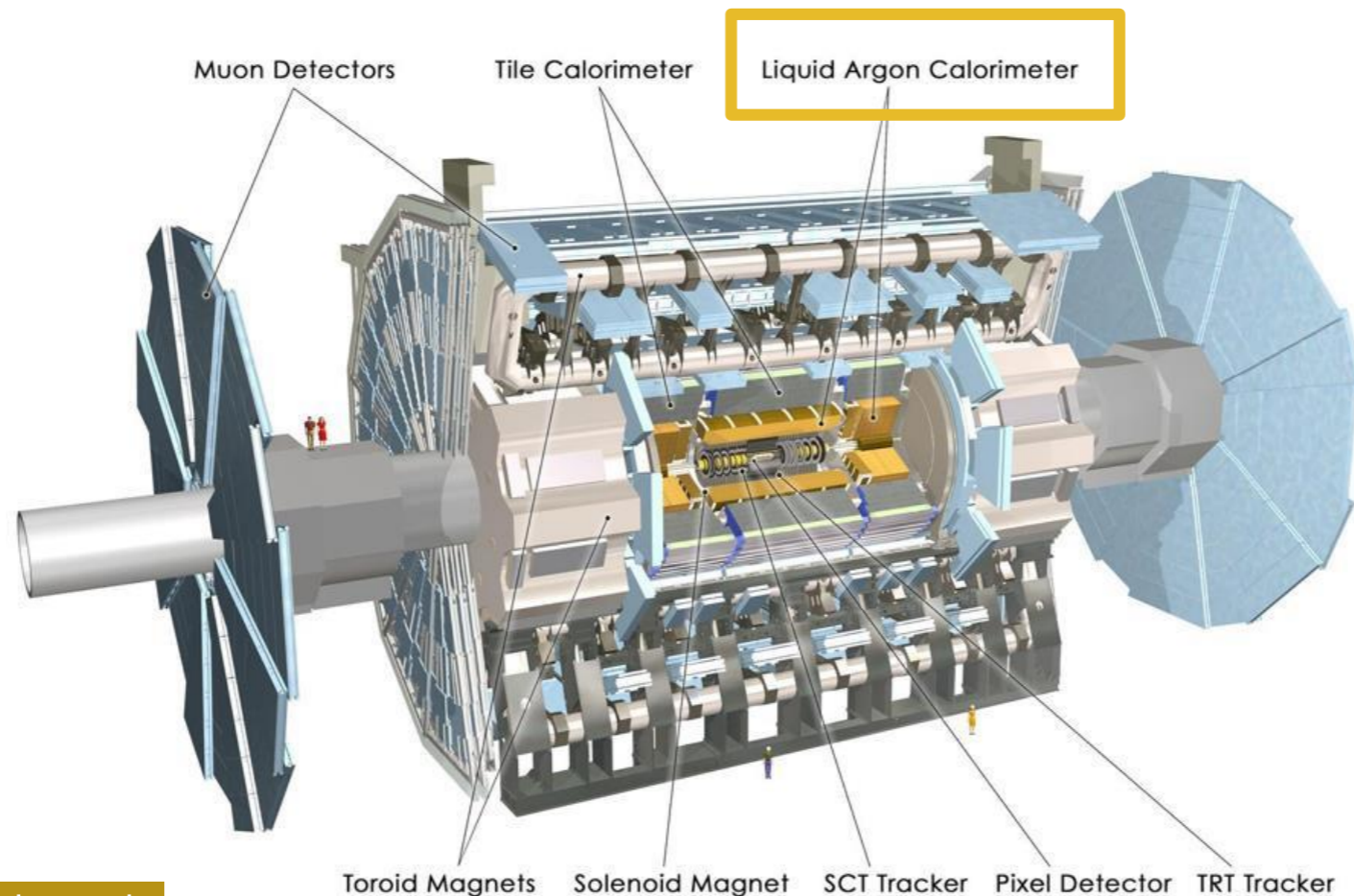
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LArg; new FrontEnd and BackEnd electronics for faster readout  
A new timing detector (HGTD) in the forward region





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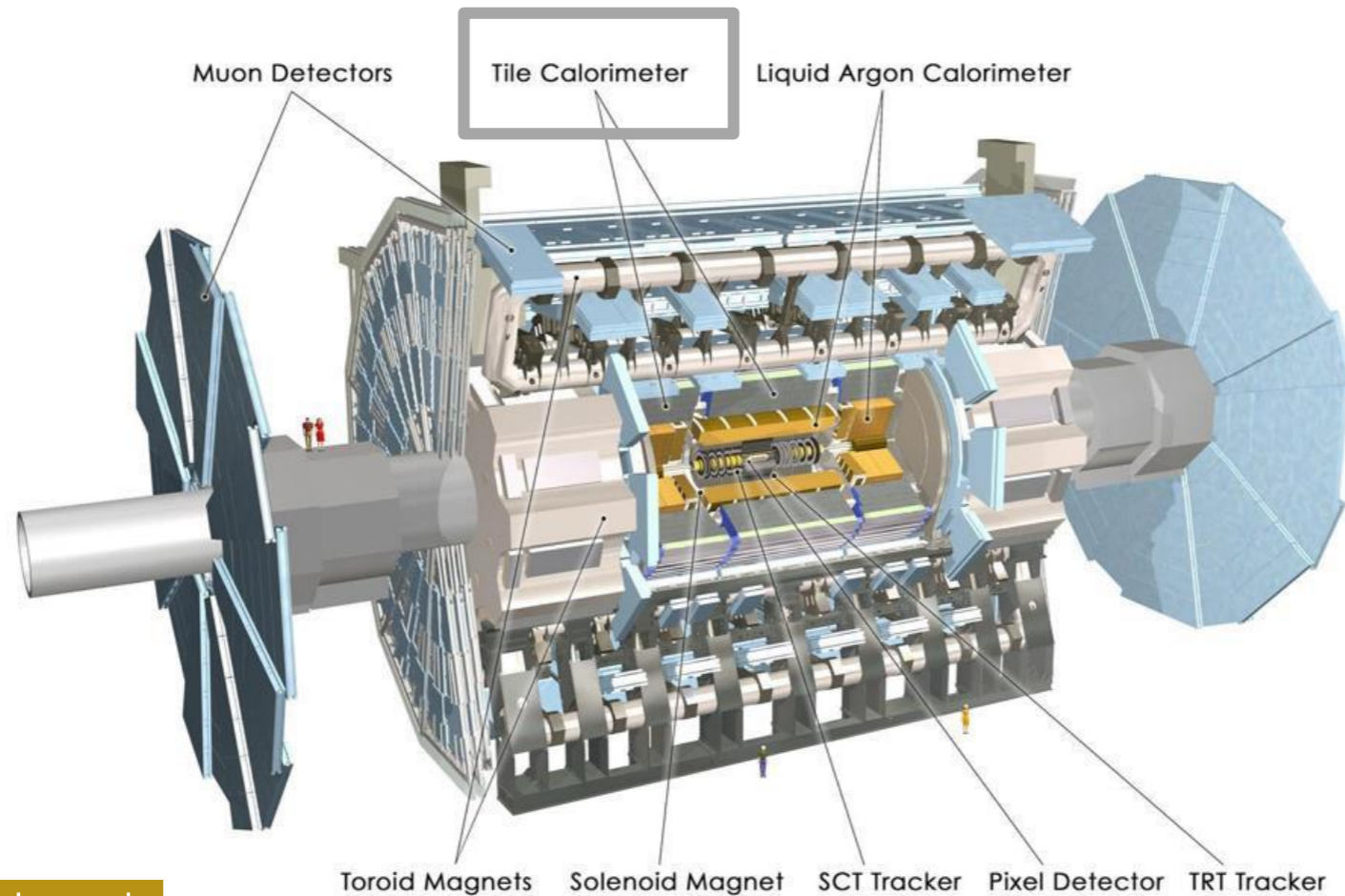
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Tile Calorimeter : upgrade of electronics and HV distribution

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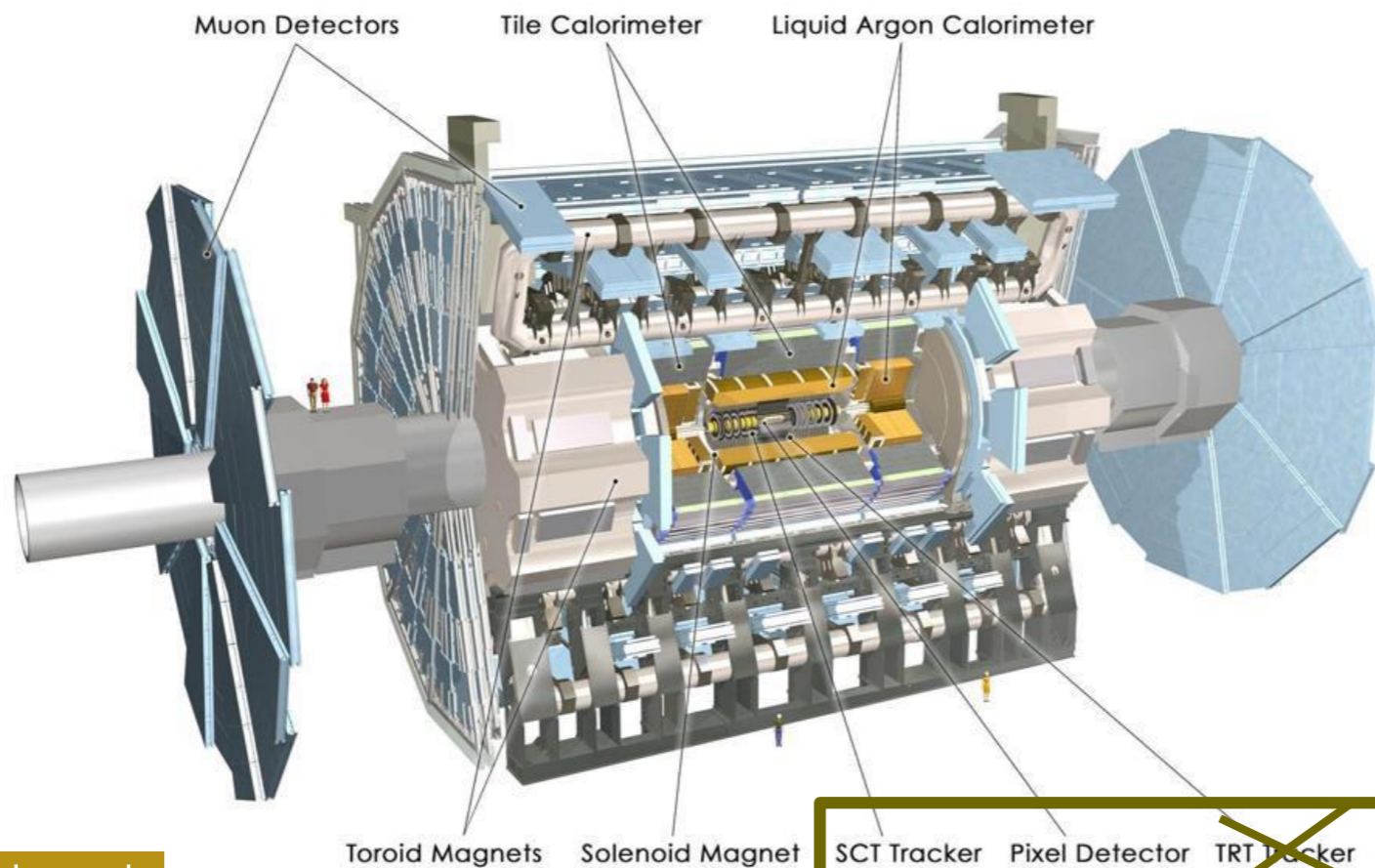
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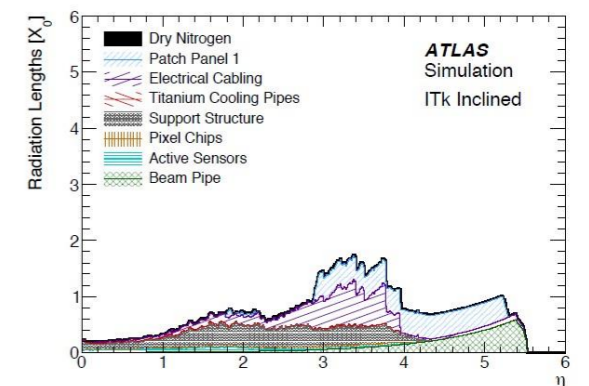
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Tile Calorimeter : upgrade of electronics and HV distribution

Inner Detector: full replacement by a all-silicon one (165m<sup>2</sup>), extending up to  $|\eta| = 4$   
At most 1.75 X0



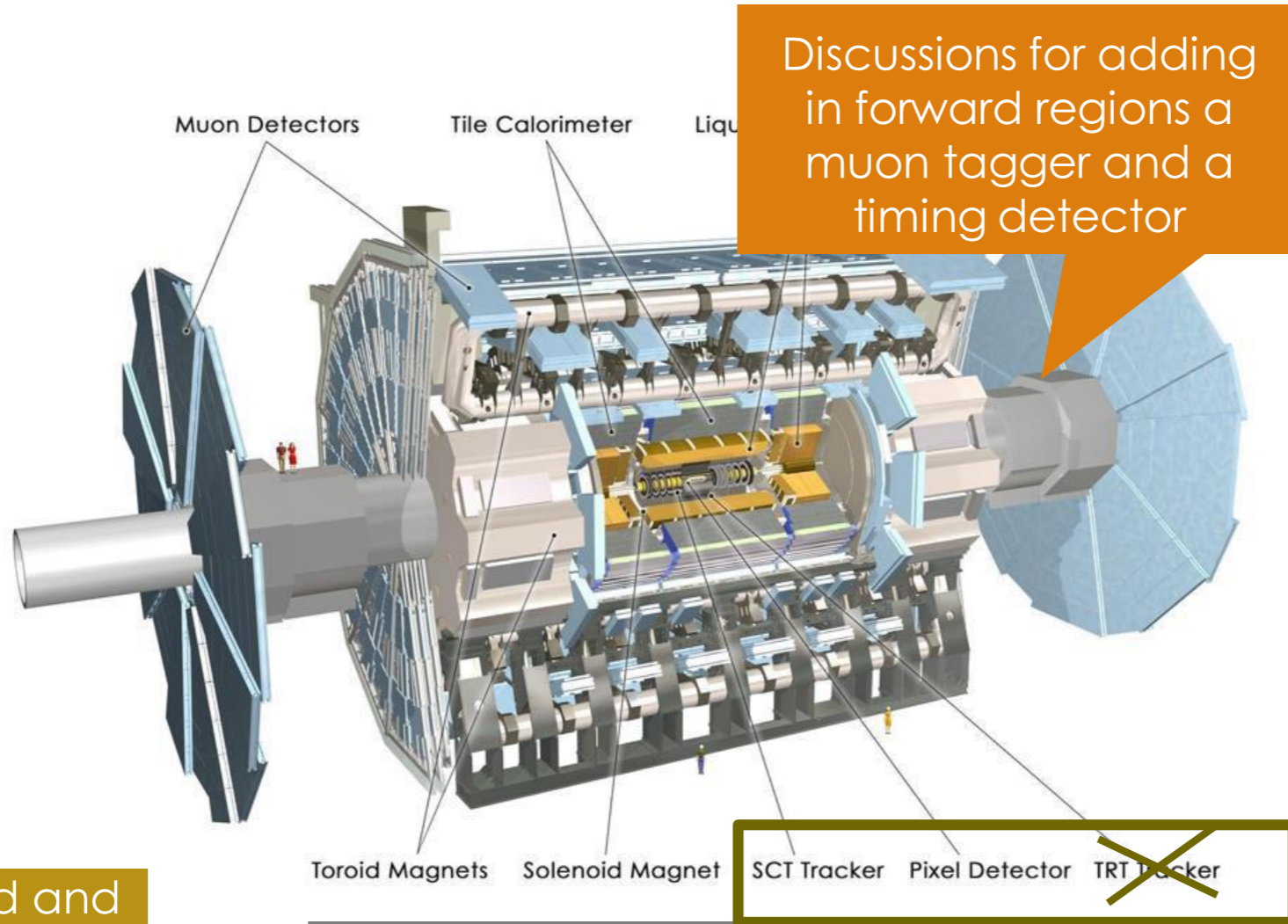
Tracker extension up to  $|\eta| = 4$  crucial for pileup rejection and VBF sensitivity

# The ATLAS detector upgrade program

TDAQ upgrade  
 → Increased latencies and rates :  
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 New Barrel trigger layer

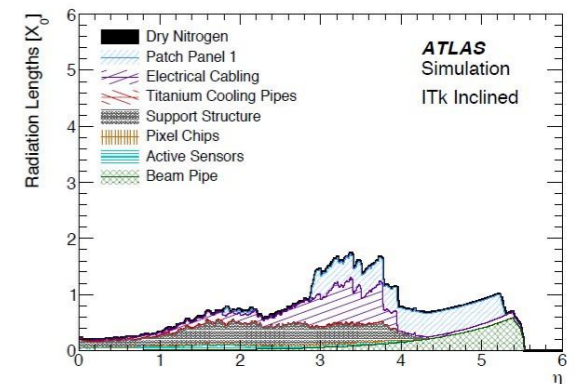
LArg; new FrontEnd and BackEnd electronics for faster readout  
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Discussions for adding in forward regions a muon tagger and a timing detector

Tile Calorimeter : upgrade of electronics and HV distribution

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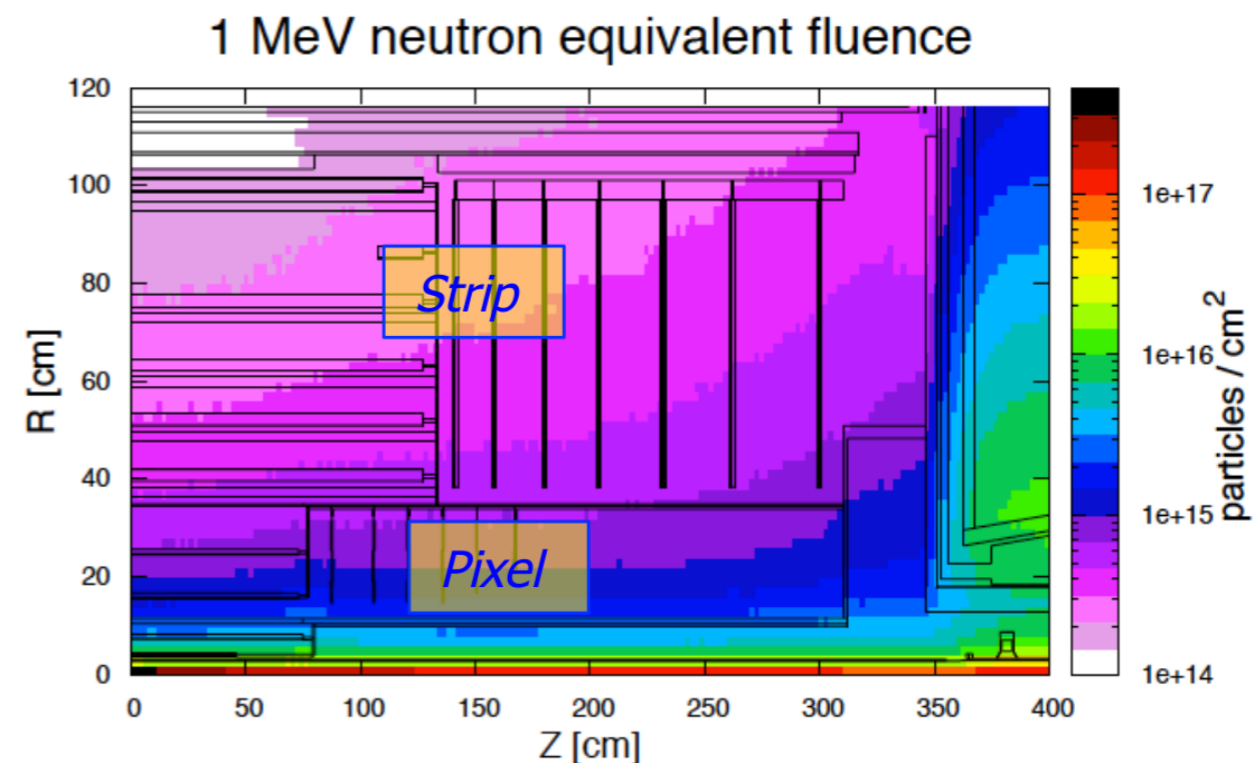
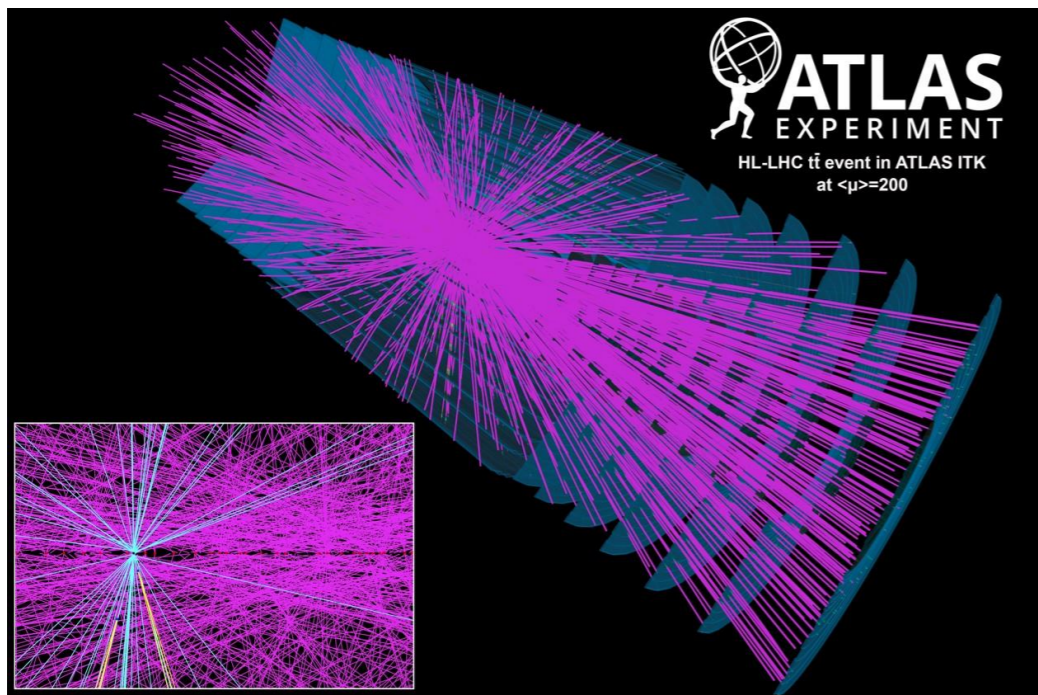


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# The ATLAS detector upgrade program

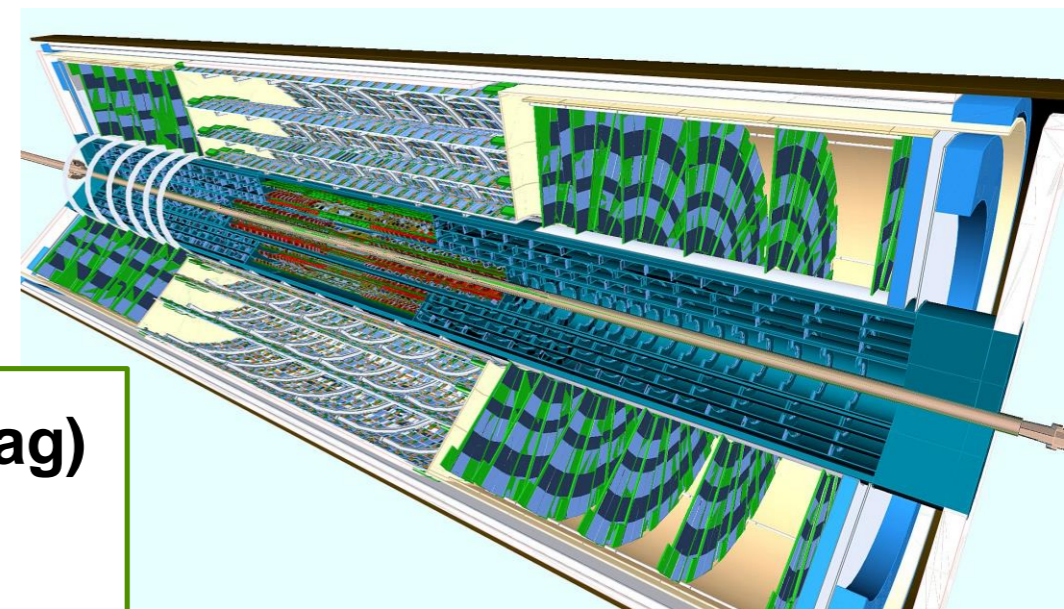
system	phase0 / run 2	phase 1 / run 3	phase 2 / run 4
Pixel	IBL at R=34 mm, new cooling, new services		replaced by ITk pixel
SCT			replaced by ITk strips
TRT			decommissioned
LAr	all new power supplies	new L1 trigger electronics	new readout electronics (input to L0Calo), 40 MHz streaming, High Granularity Timing Detector (HGTD)
Tile	new low voltage power supplies		readout electronics, 40 MHz streaming, improved drawer mechanics, new HV power supplies
RPC	gas leak repairs	BMG (sMDT) in acceptance gaps, BIS78 chambers between barrel and end-caps	new chambers in inner barrel
TGC		New Small Wheel (sTGC + MicroMegas)	new front-end electronics, forward tagger (option)
MDT			replace all front-end electronics
Trigger	new L1Topo, upgraded CTP, partial FTK L2 + EF → HLT	new FEX, full FTK, new muon-CTP interface HLT: multi-threading, offline-like algorithms	L0 (Calo, Muons) 1 MHz, 10 μs latency optional: L1 (L0 at 4 MHz, L1Track) 800 kHz, 35 μs latency
DAQ	custom hard-/firmware	FELIX for some systems	FELIX for all systems

# The ATLAS new Inner Tracker (ITK)



- inst. Lumi. :  $7.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- mean # of int. per bunch :  $\langle\mu\rangle \sim 200$  ( high track density , high radiation )

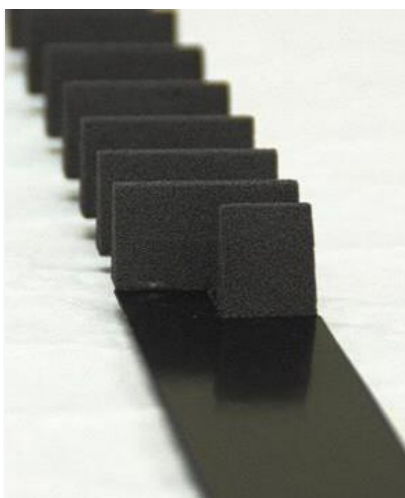
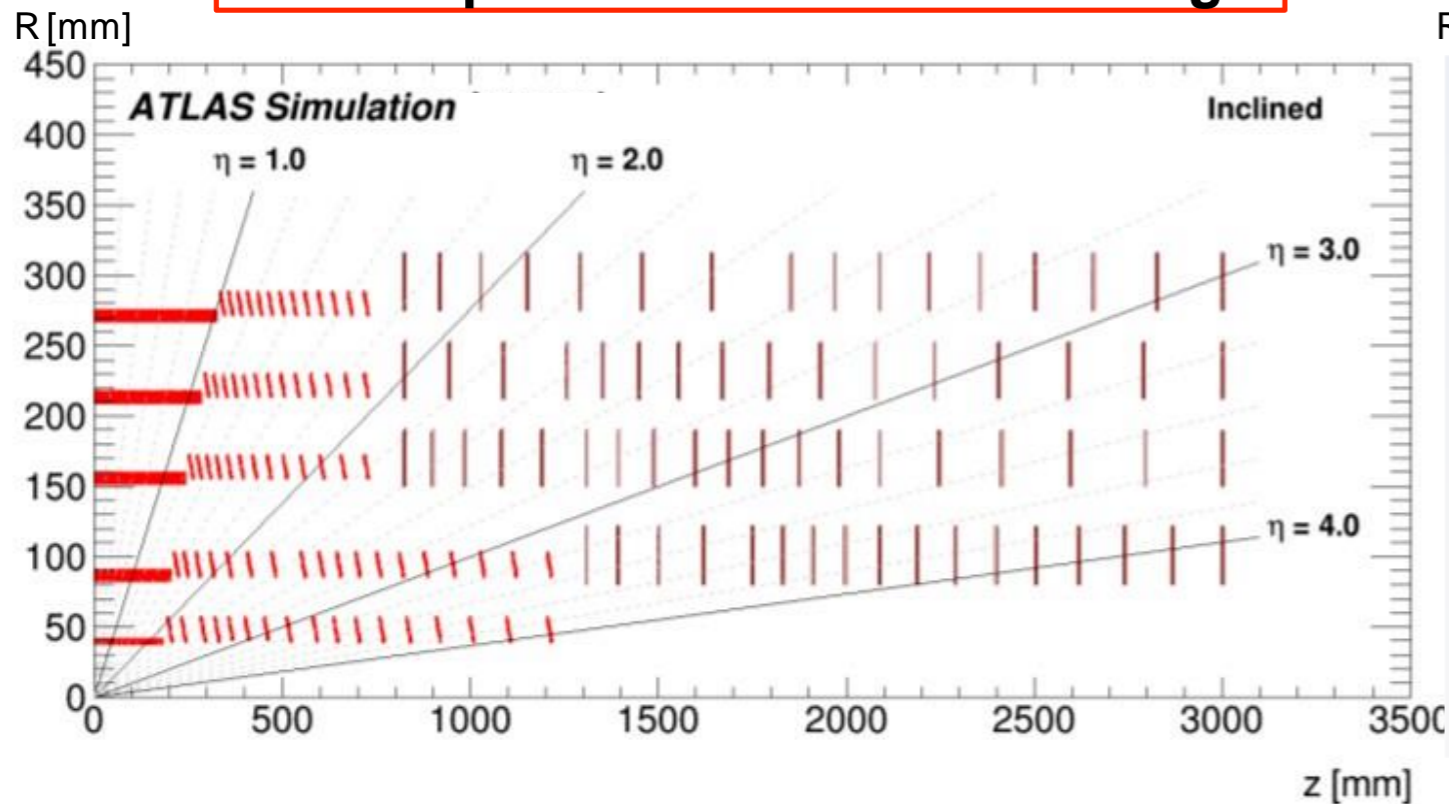
- Performance (resolution , efficiency , Vertex  $\rightarrow$  b-tag) to be maintained or better
- radiation tolerant



# PIXEL & STRIP Layout

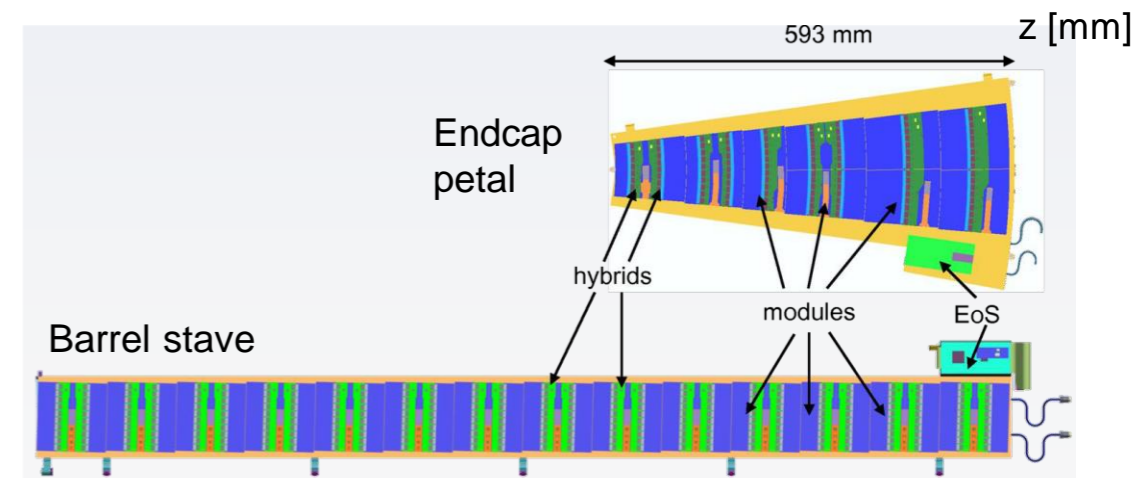
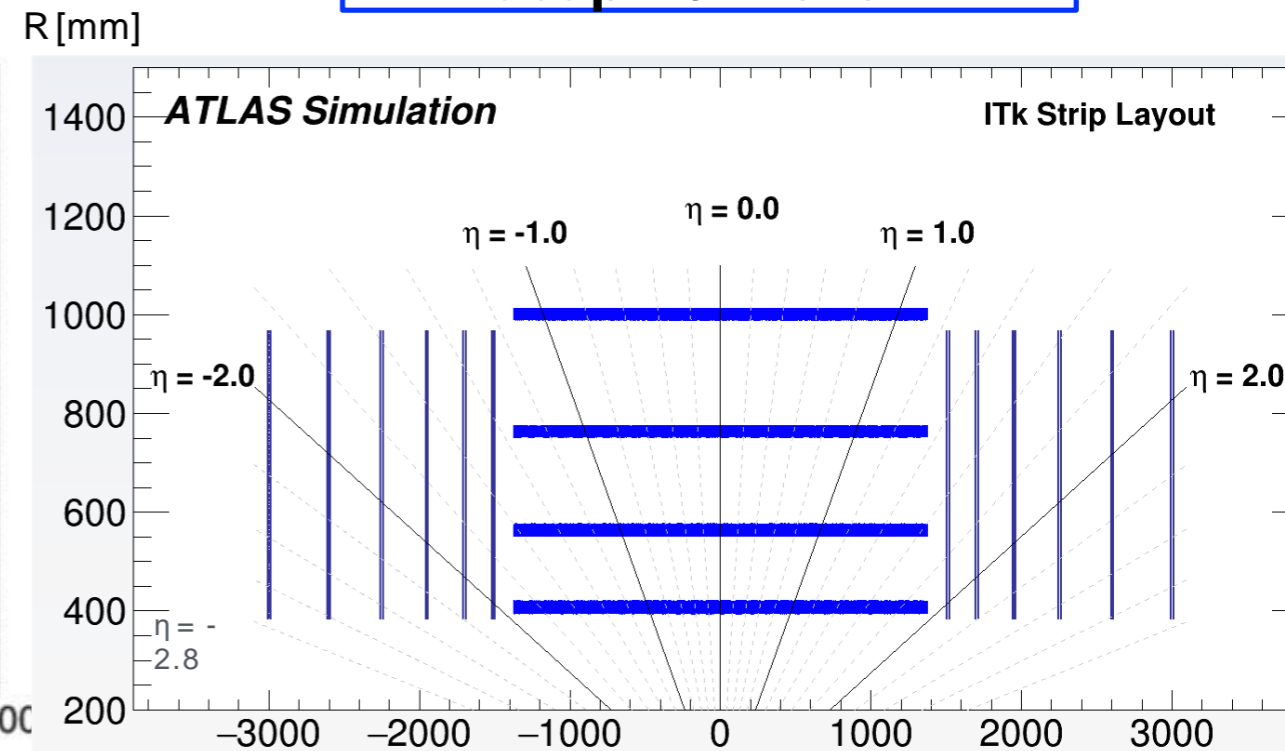
## PIXEL

- Barrel : 5 layer
- Endcap : inclined modules + Rings



## STRIP

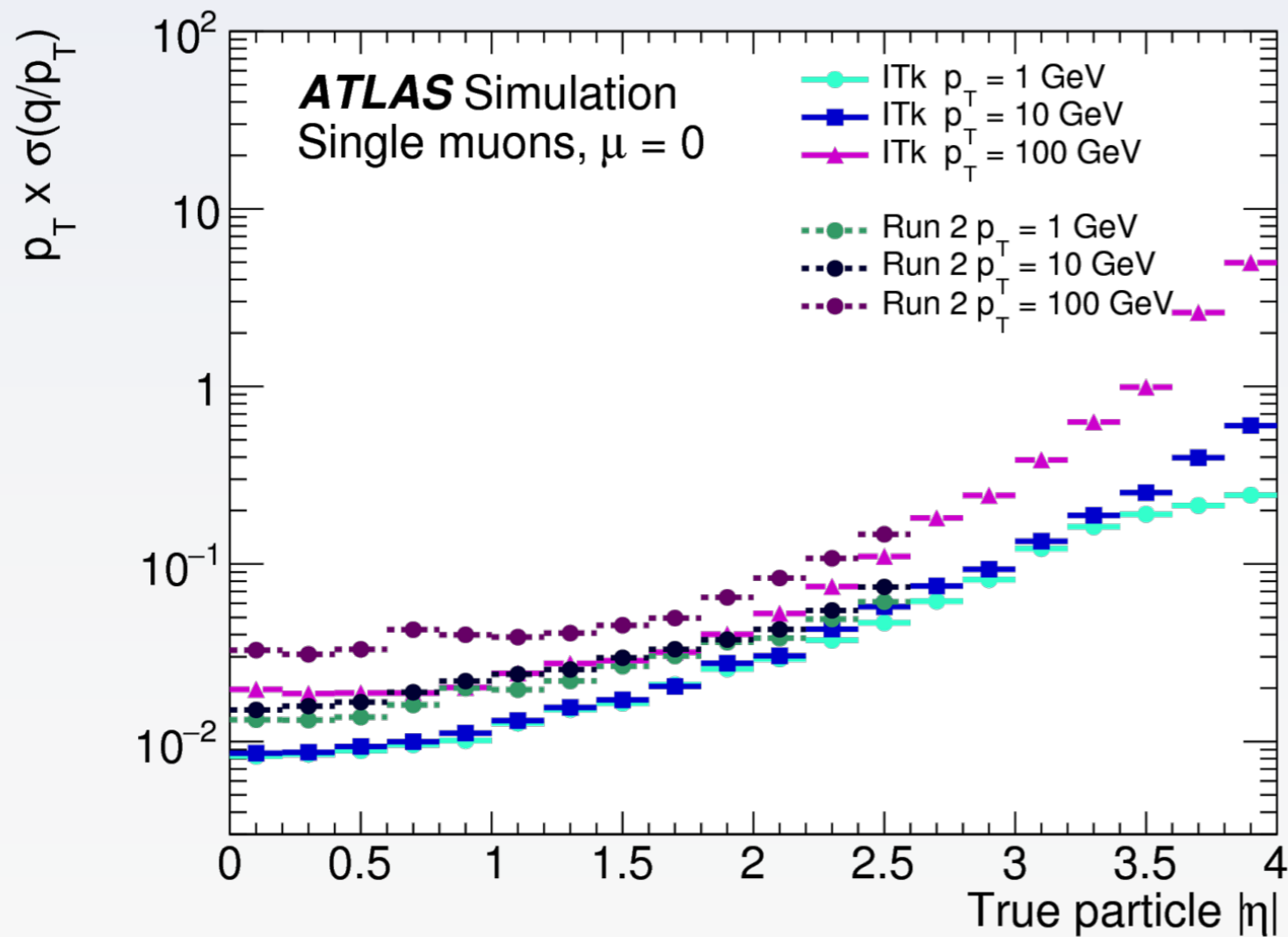
- Barrel : 4 layer
- Endcap : 6 Disks x 2



- $R < 1\text{m}$  ; all Silicon sensor
- $|\eta| < 4.0$  ( NEW :  $2.5 < |\eta| < 4.0$  )

# Performance: resolution ( $p_T$ , $\theta_0$ , $\phi_0$ )

## momentum resolution

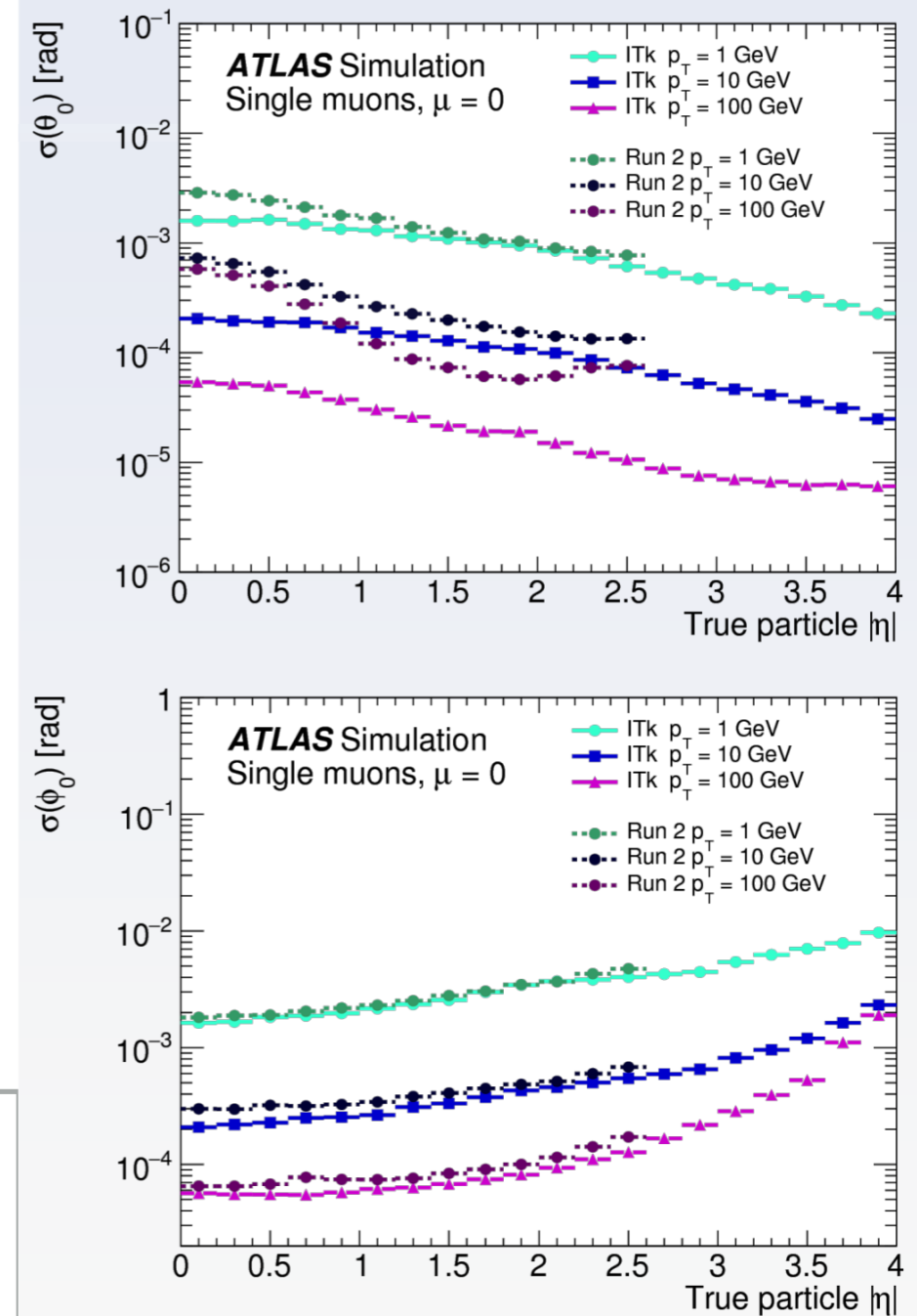


estimation : using single- $\mu$  tracks

**large improvements [  $p_T$  ,  $\theta_0$  ] w.r.t. the current system**

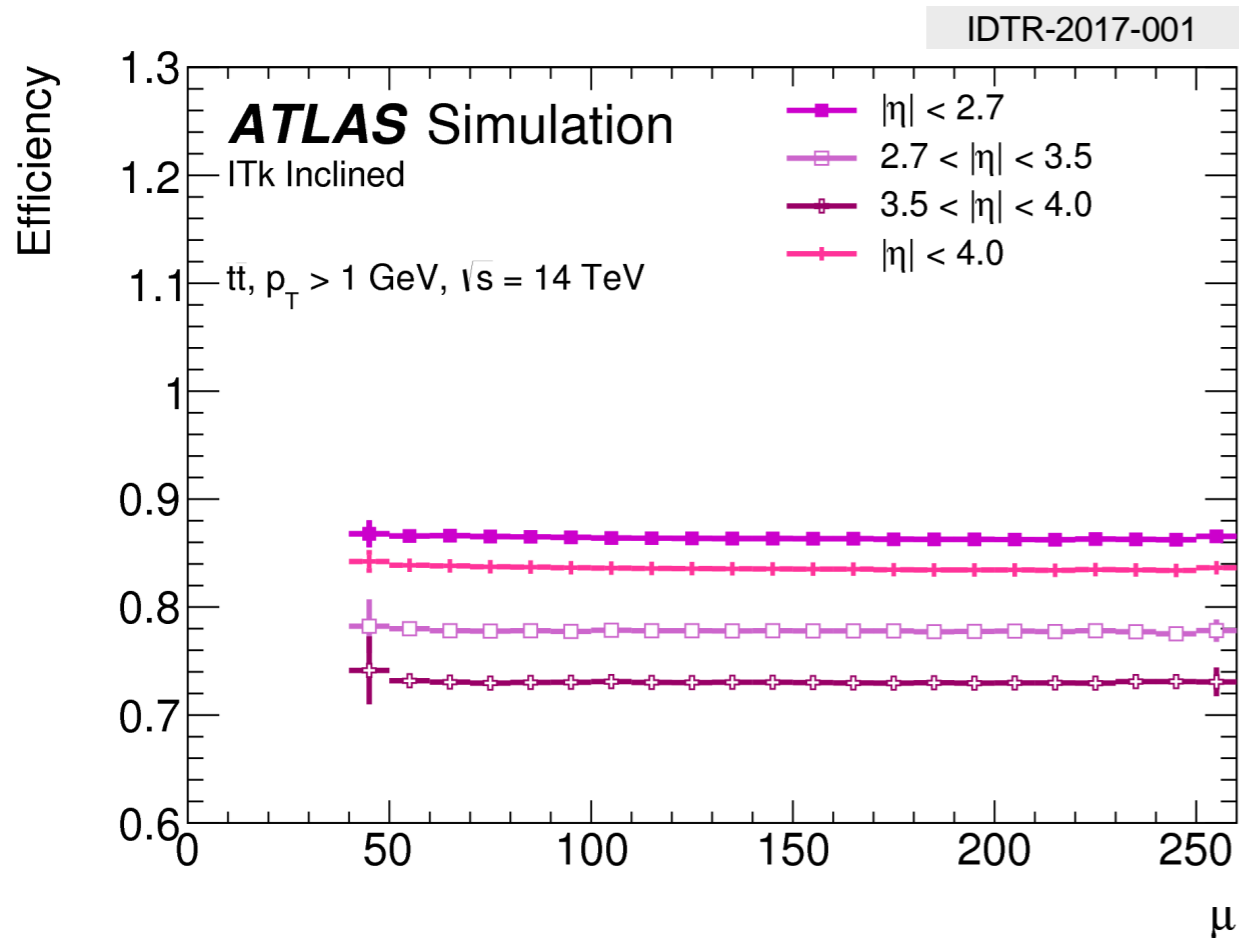
( the coverage up to  $|\eta| < 4.0$  )

## angular resolution



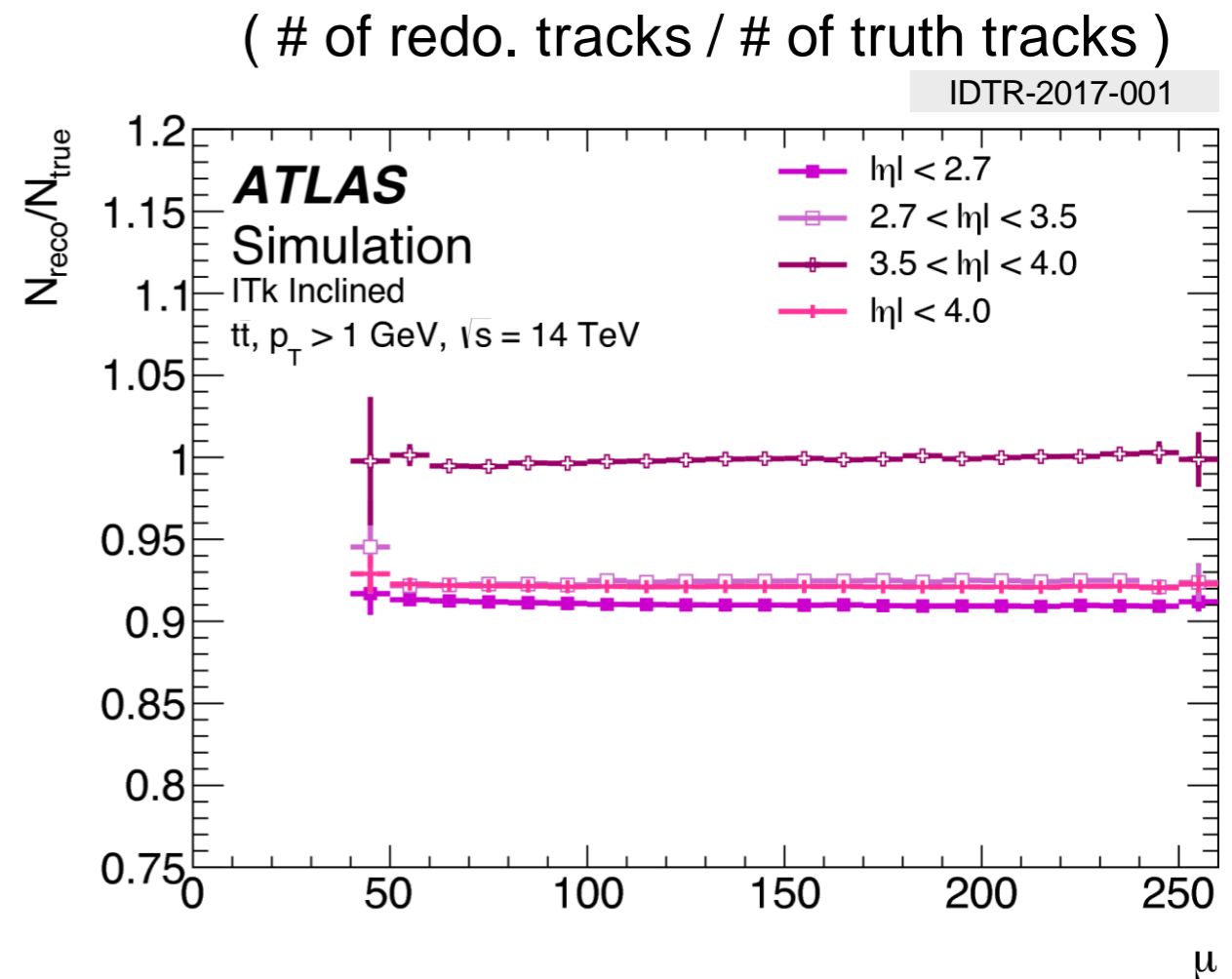
# Performance: Pile-up ( $\langle \mu \rangle$ ) dependence

## tracking efficiency



the tracking efficiency is ( almost )  
unchanged up to  $\mu=250$  for all the  $|\eta|$  regions

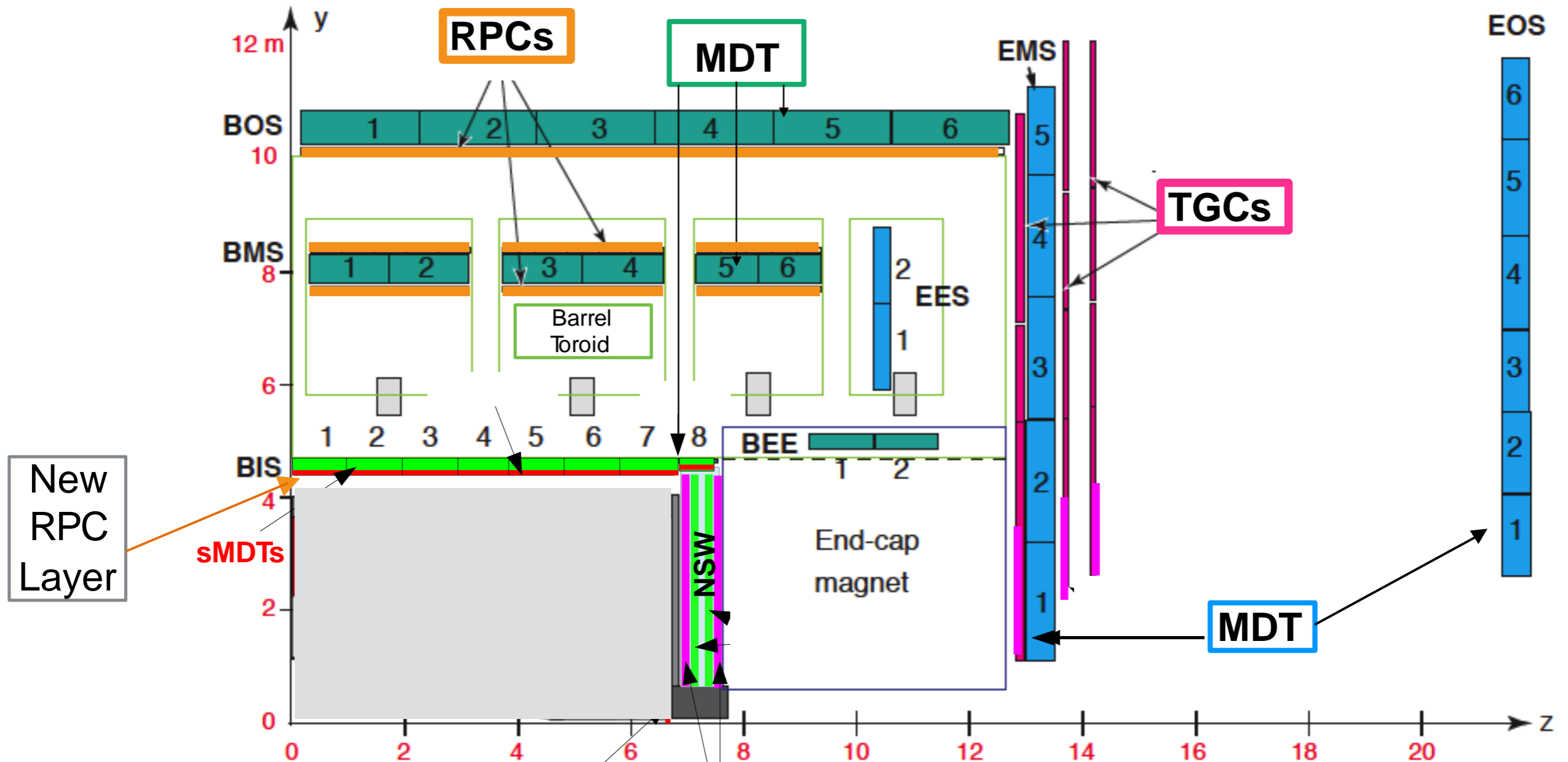
## fake track ratio



mostly independent to  $\langle \mu \rangle$   
no problem with fakes up to  $\mu = 250$



# The ATLAS muon system



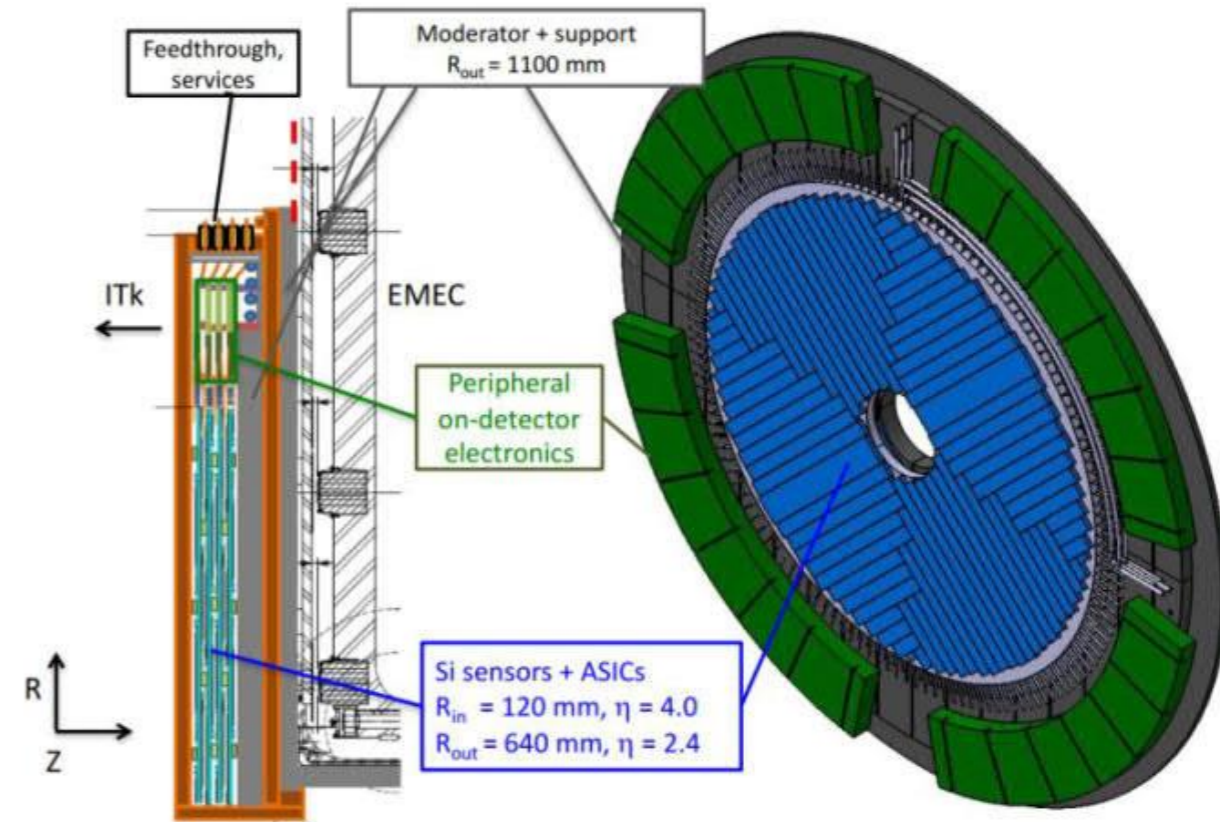
- A complex of **Trigger** chamber (RPC / TGC + NSW) and Precision **tracker** (MDT, NSW)
- Coped with longer latency & higher trigger rate, **all the electronics to be replaced**
- **MDT** (max. Drift-Time ~ 700ns) to be a part of **Hardware  $\mu$ -Trigger**
- **ALL the hits** of TGC/RPC/MDT sent to off-detector  $\rightarrow$  process Trigger

# The High Granularity Timing Detector

- Precise assignment of tracks to Hard-Scatter (HS) vertex  $\rightarrow$  to mitigate the pileup effects
  - **Space separation** of vertices in the beam direction ( $z$ )
    - High pile-up density at HL-LHC
    - $\sigma_{z_0}$  is not good in the forward region
  - **Time separation of vertices**

## HGTD

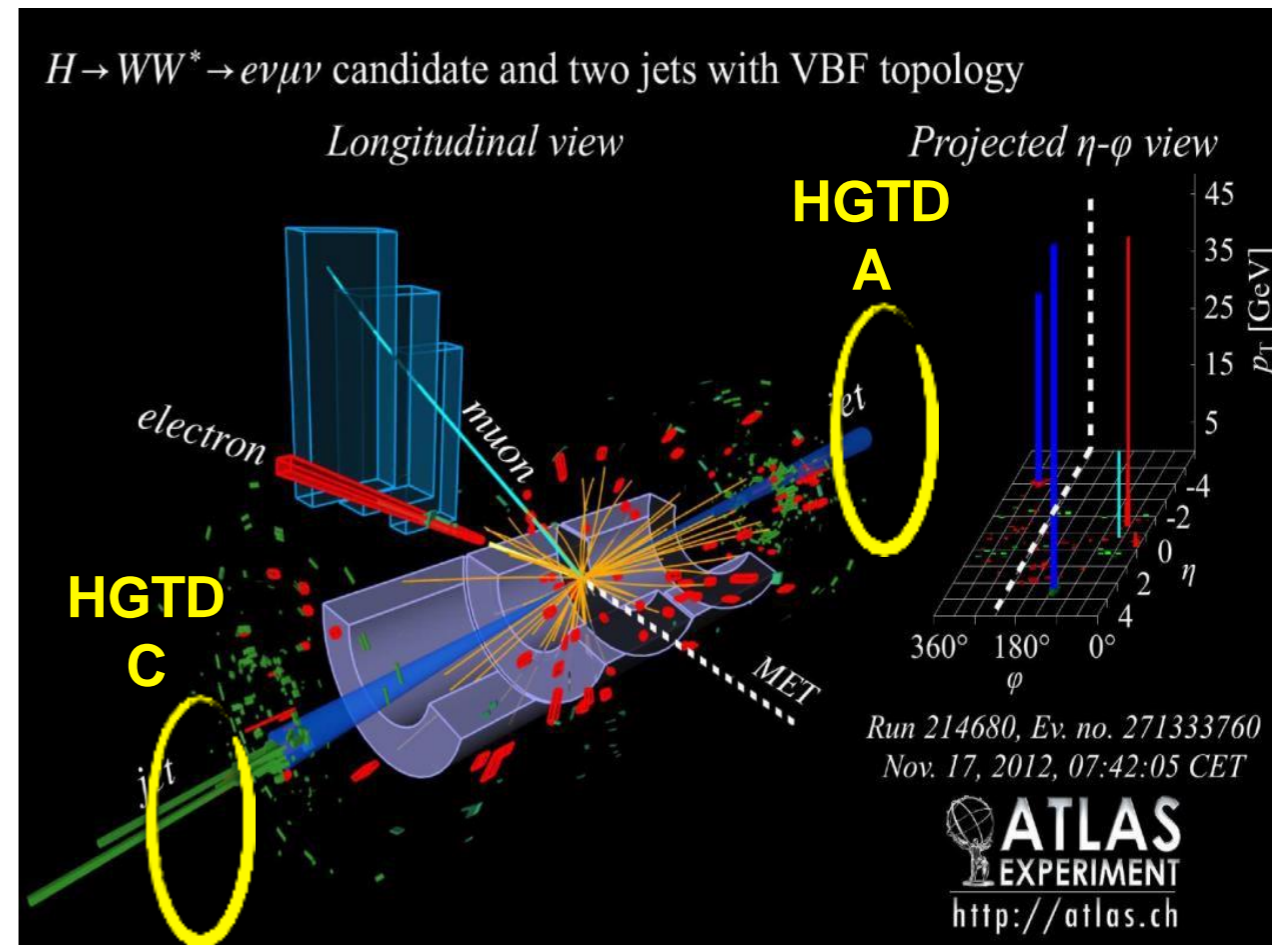
- Designed to distinguish between **collisions occurring very close in space but well separated in time**
- Located just outside of ITk covering the forward region  $2.4 < |\eta| < 4.0$
- Consisting of **4 silicon layers**
  - 10% occupancy in  $1.3 \times 1.3 \text{ mm}^2$  pixels
- Expected **timing resolution of 30 ps** will greatly improve the track-to-vertex association in the forward region
  - Compared to 180 ps RMS spread of collisions



# HGTD Motivation

## Important EW channels

- ✓ Potential of HGTD as a L (40MHz) Time trigger for the VBF 0channel
- ✓ Lower jet  $P_T$  thresholds and extend accessible phase space
- ✓ Largest potential in hadronic final state VBF channels (also offline), preferentially forward peaked:  
 $H \rightarrow bb, H \rightarrow \text{Inv.}, HH \rightarrow bbbb$



## ● Pre-shower option :

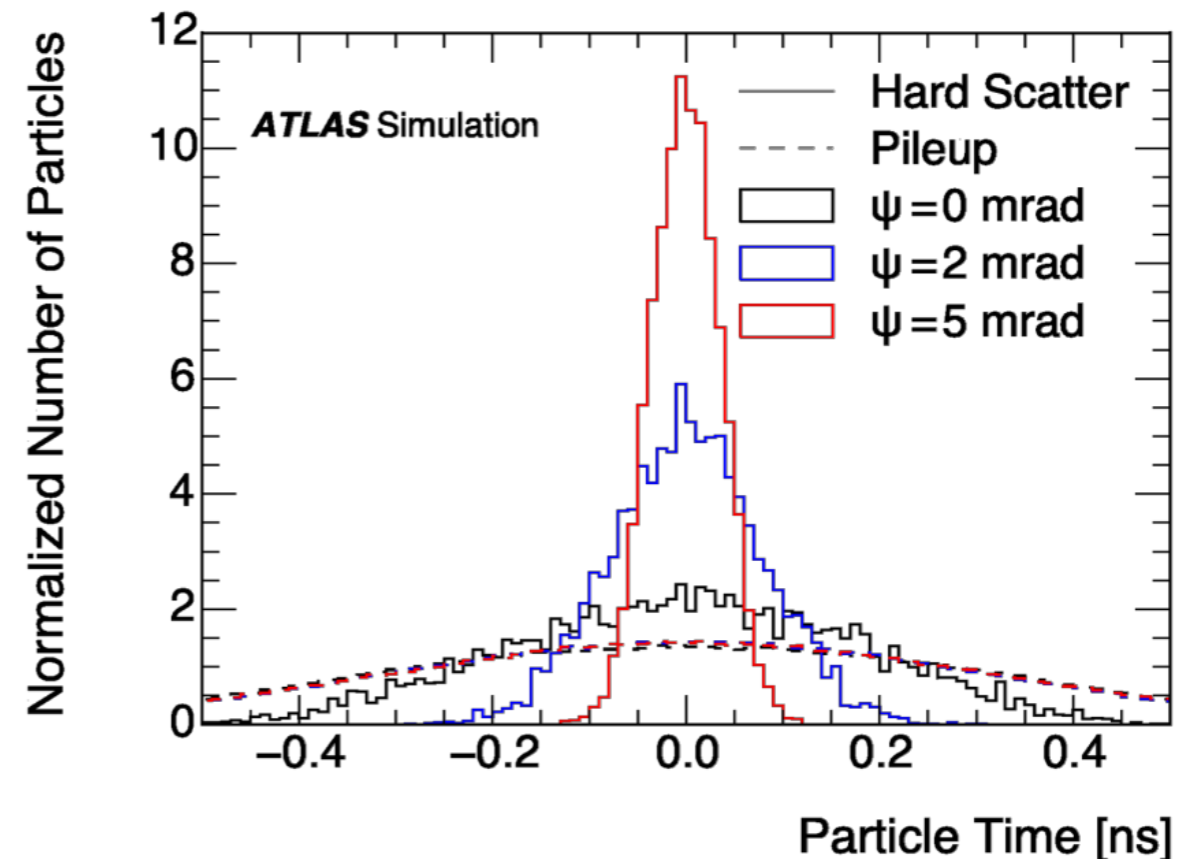
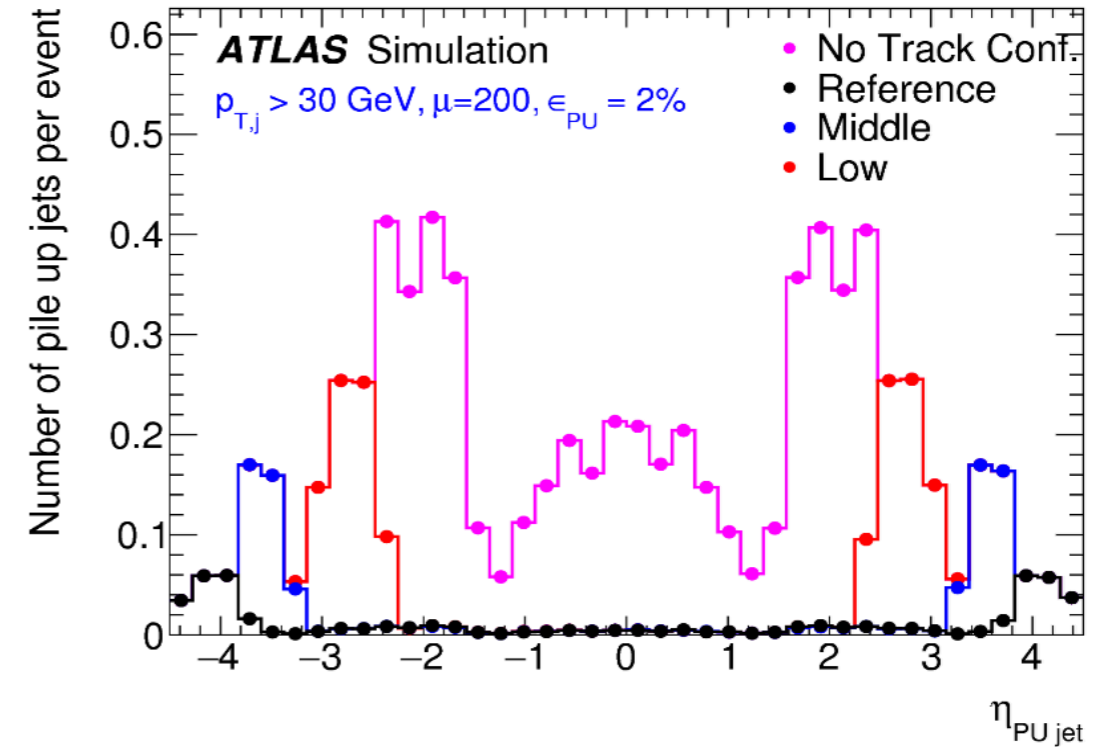
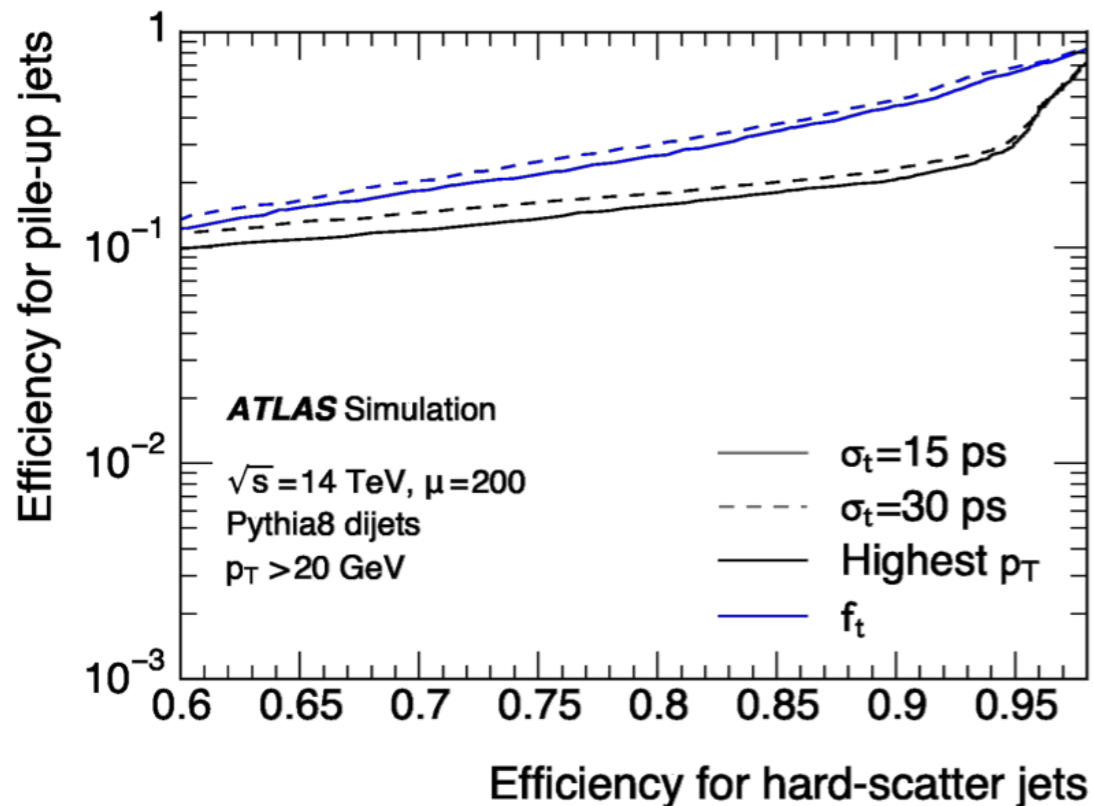
- Improve forward electron/photon reconstruction
- Interesting for search in  
 $H \rightarrow aa \rightarrow \gamma\gamma jj$

<u>Trigger</u>	<u>SD value</u>	<u>physics</u>
di- $\gamma$	25-25 GeV	di-photon
di- $\tau$	40-30 GeV	$H \rightarrow \tau\tau$
4-jet	75 GeV	$H \rightarrow bb, HH \rightarrow 4b$
$E_T^{\text{miss}}$	200 GeV	$H \rightarrow \text{Inv.}$

# The High Granularity Timing Detector

## Time - Pileup Rejection

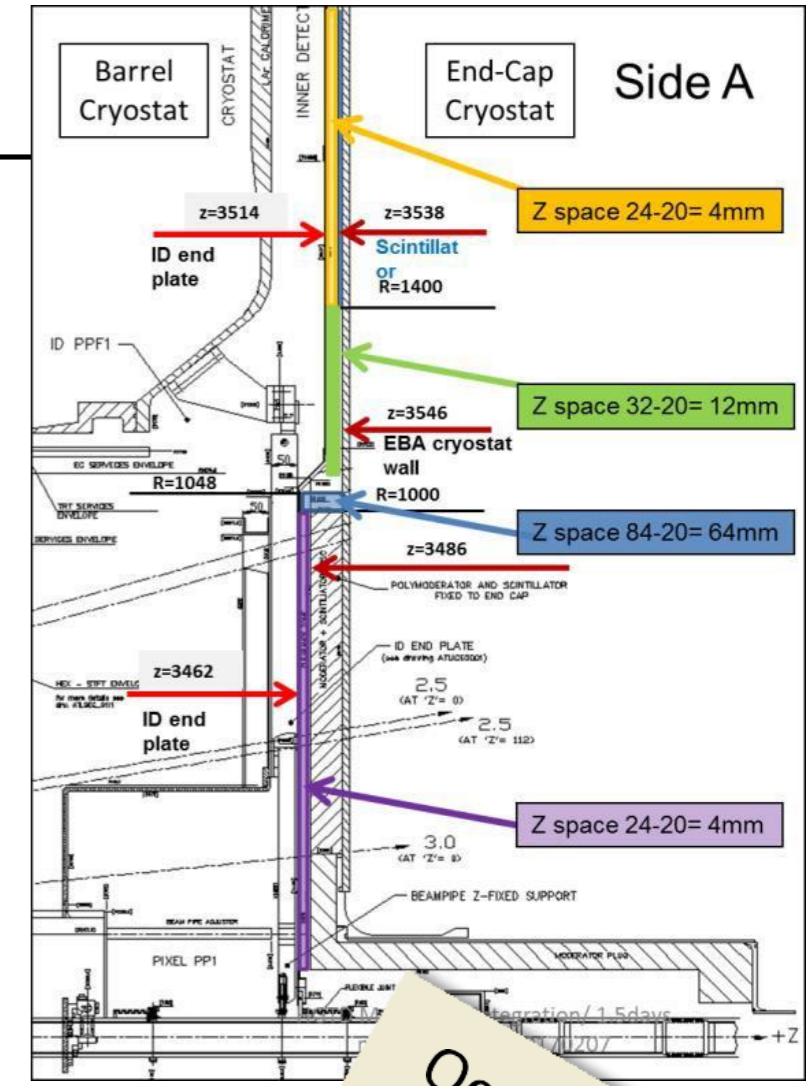
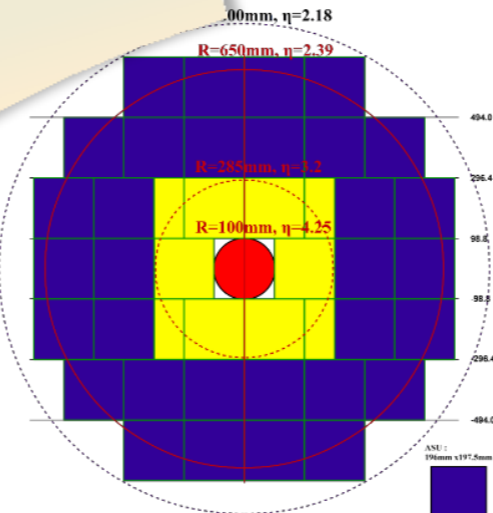
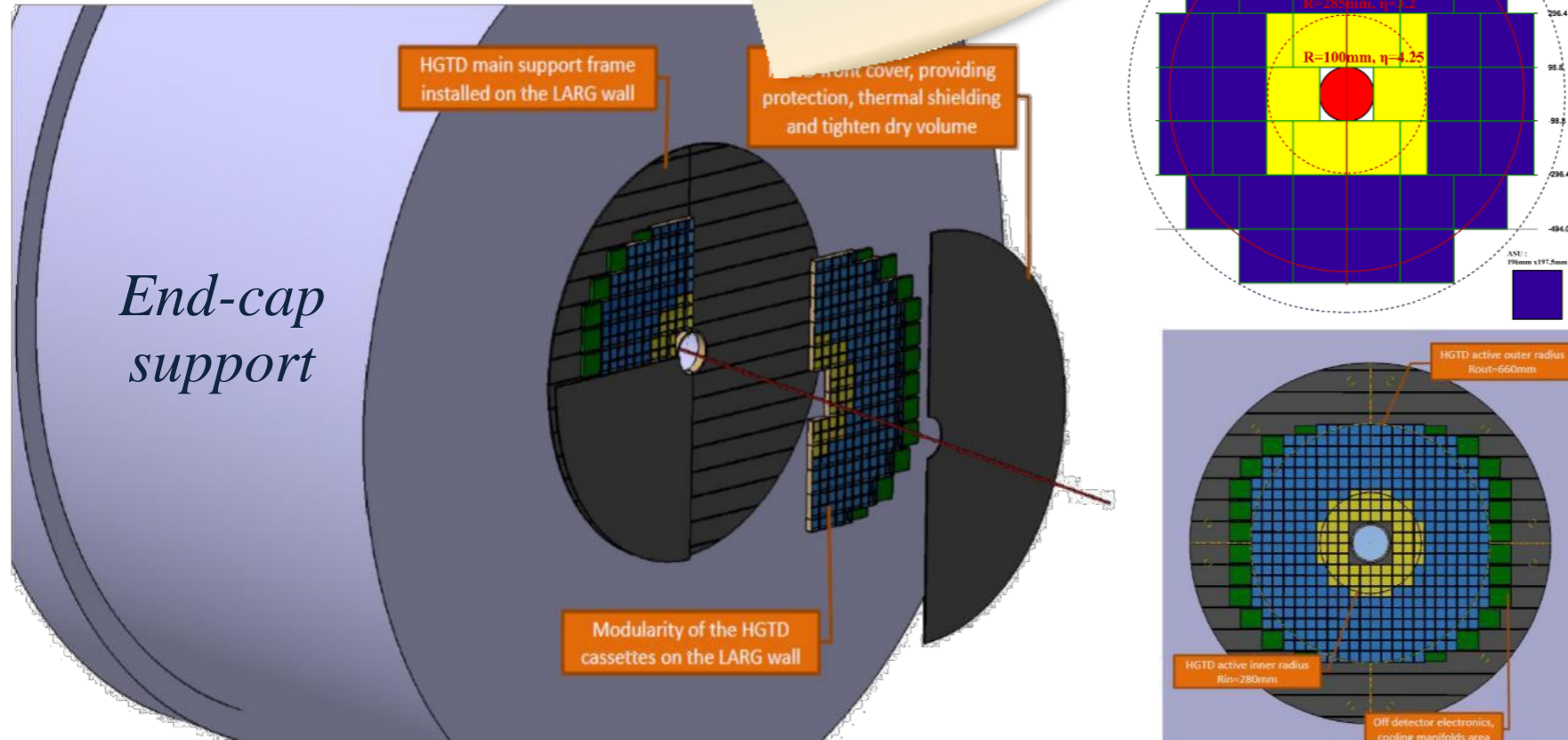
- ✓ High probability of vertices in close proximity
- ✓ Time information helps pileup rejection
- ✓ Pileup distribution extremely peaked at forward  $1.8 < |\eta| < 3.2$  where tracker not completely implemented
- ✓ Track confirmation rejection at 2% for central region but degrades towards end caps



# HGTD System

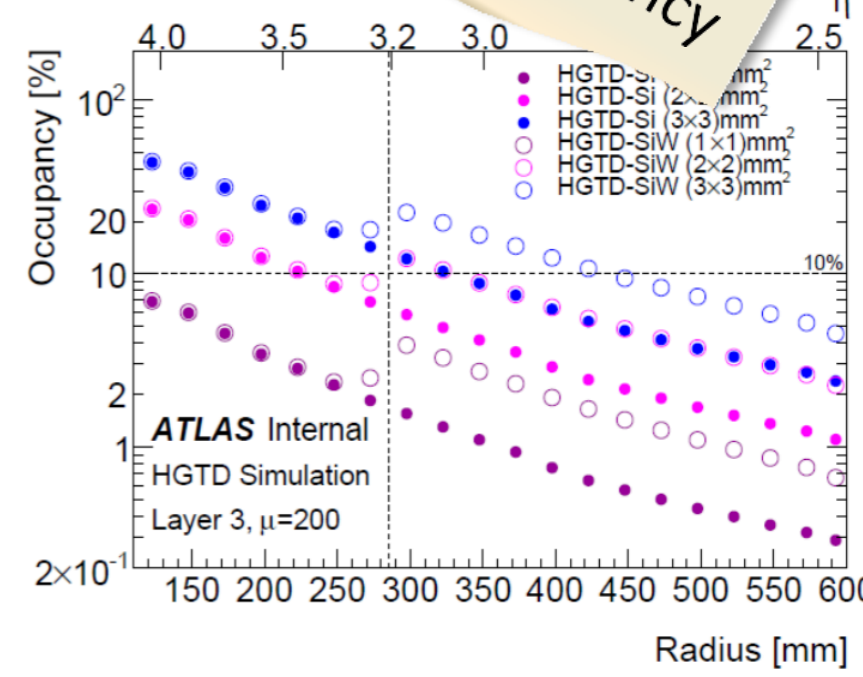
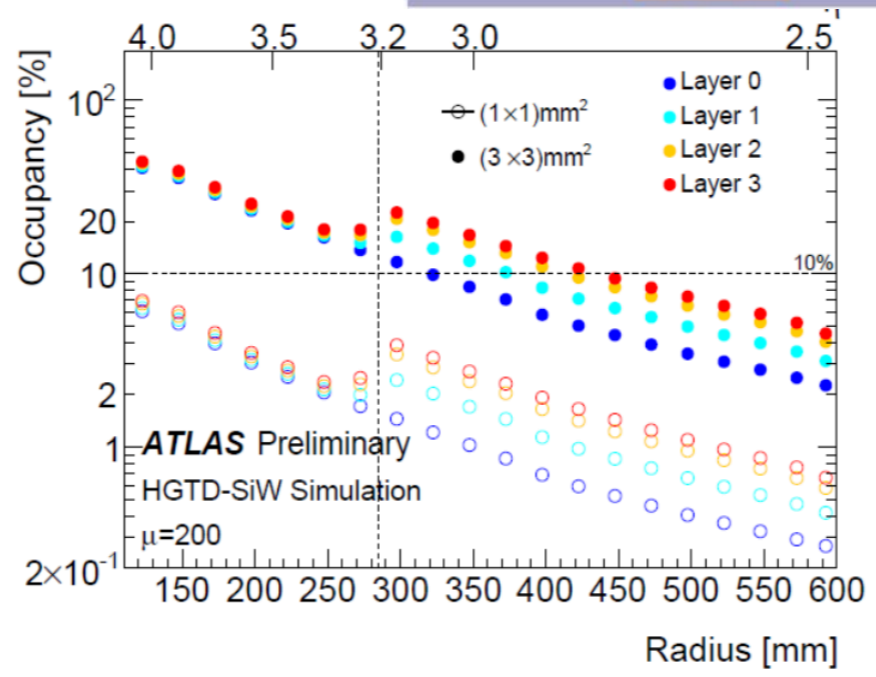
## Geometry

HGTD-Si: 4 si layers  
 HGTD-SiW: 4 si layers +  
 $3X_0$  W  $2.4 < \eta < 3.2$   
 ( $R_{min} = 285\text{mm}$ )



Occupancy

Specifications for 2023	
Coverage	$2.4 < \eta < 4.2$
$R_{min}$	11 cm
$R_{max}$	65 cm
$\Delta z$	~ 6 cm
$\Delta t$	< 50 ps
Cell Size	1 mm <sup>2</sup>

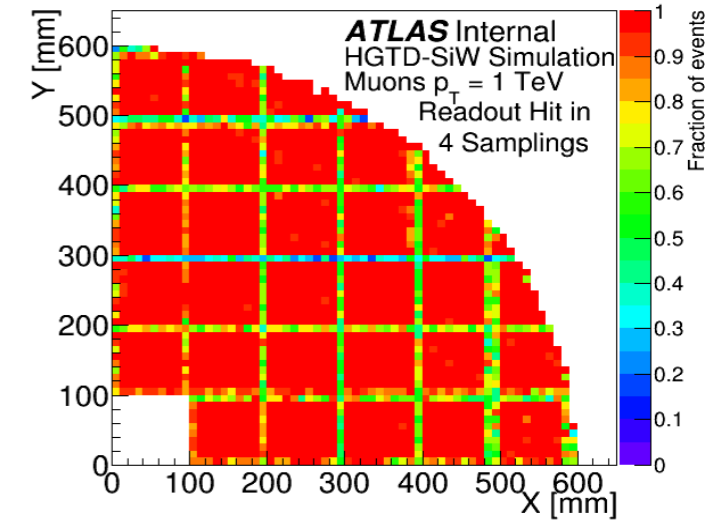
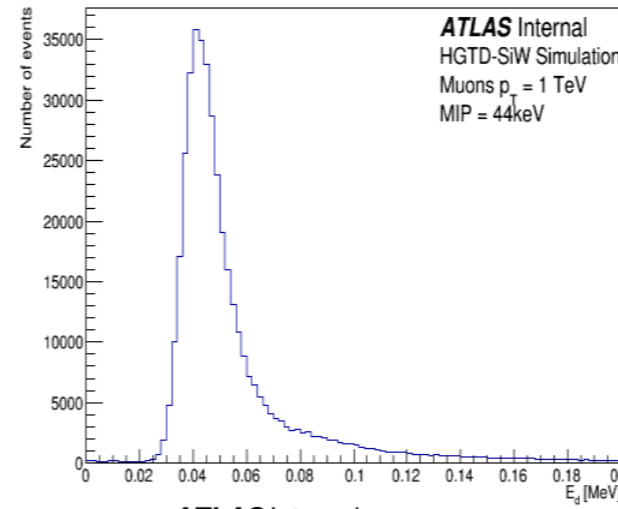


# HGTD performance for objects reconstruction

## Performance

*Muons*

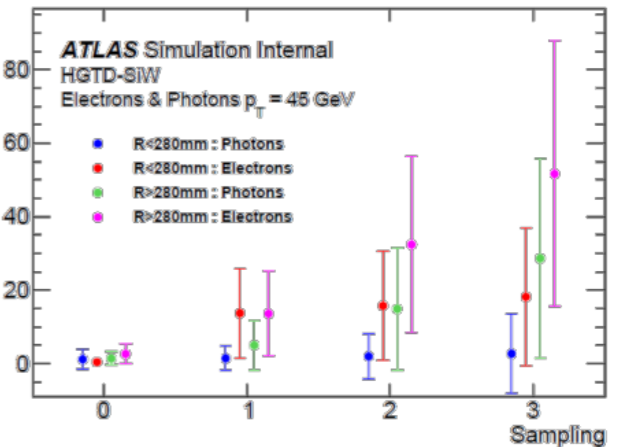
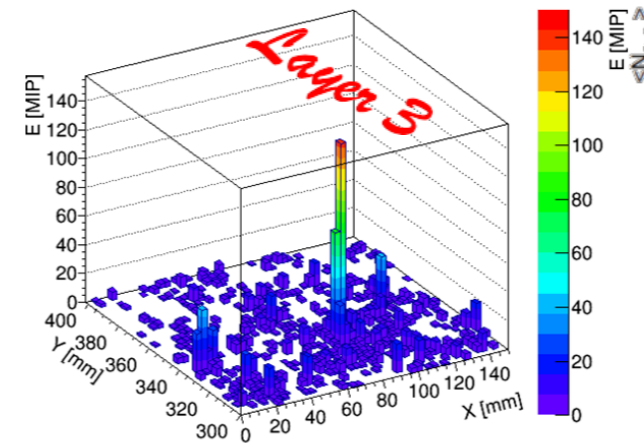
- ✓ 1TeV muons simulation
- ✓ 98.88% efficiency for 4 layers
- ✓ 0.044 MeV/muon at 150  $\mu\text{m}$
- ✓ 50% of inefficiency from zones



*Electrons*

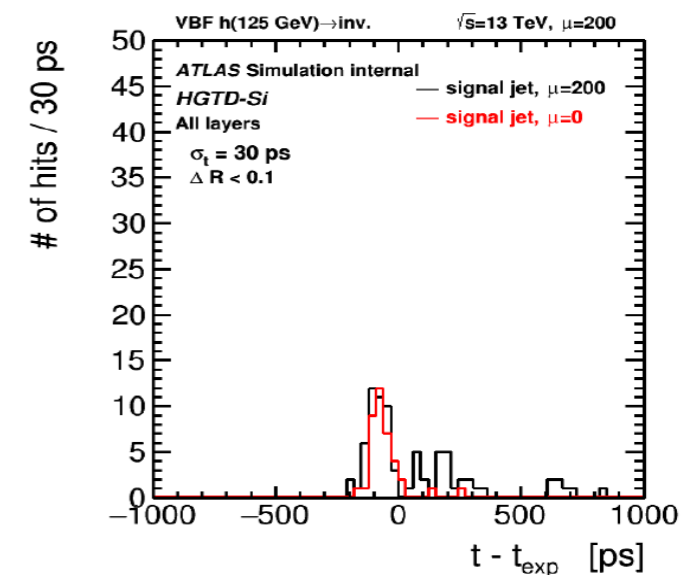
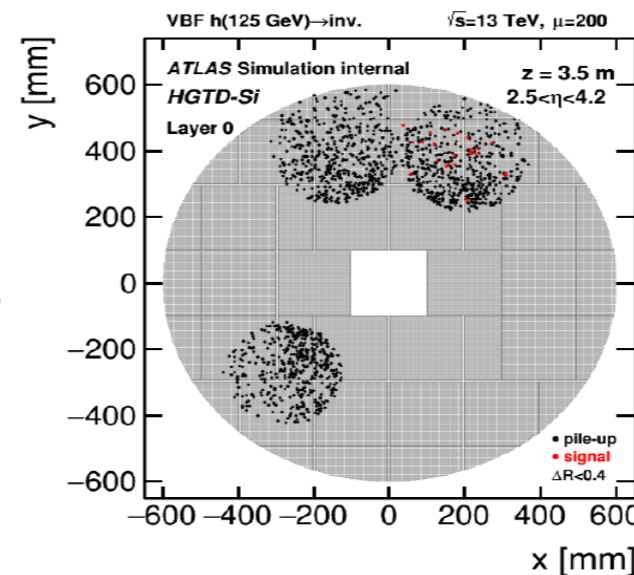
- ✓  $Z \rightarrow ee$  sample at  $\mu = 200$
- ✓ 45 GeV  $P_T$  e and  $\gamma$
- ✓ 6mm radius EM clusters
- ✓ 70 HGTD cells per cluster
- ✓ Dynamic range of 50psec/MIP

ATLAS Internal HGTD-SiW Simulation  
Electrons  $p_T = 45$  GeV  
 $\mu=200$   
Sampling 3



*Jets*

- ✓ H(125GeV)  $\rightarrow$  Inv. sample with jet  $P_T = 72\text{GeV}$
- ✓ Expected peak in time distribution
- ✓  $\sim 90\%$  signal purity at  $\Delta R < 0.1$



# ATLAS Trigger and Data Acquisition Upgrades

## L0 trigger (baseline):

- Hardware trigger based on calorimeter and muon information
- MDT precision information available
- Global event processor refines

e,  $\gamma$ ,  $\tau$ , jet and  $E_T^{\text{miss}}$  objects

- 1 MHz rate at 10  $\mu\text{s}$  latency

## Option: dual L0/L1 trigger:

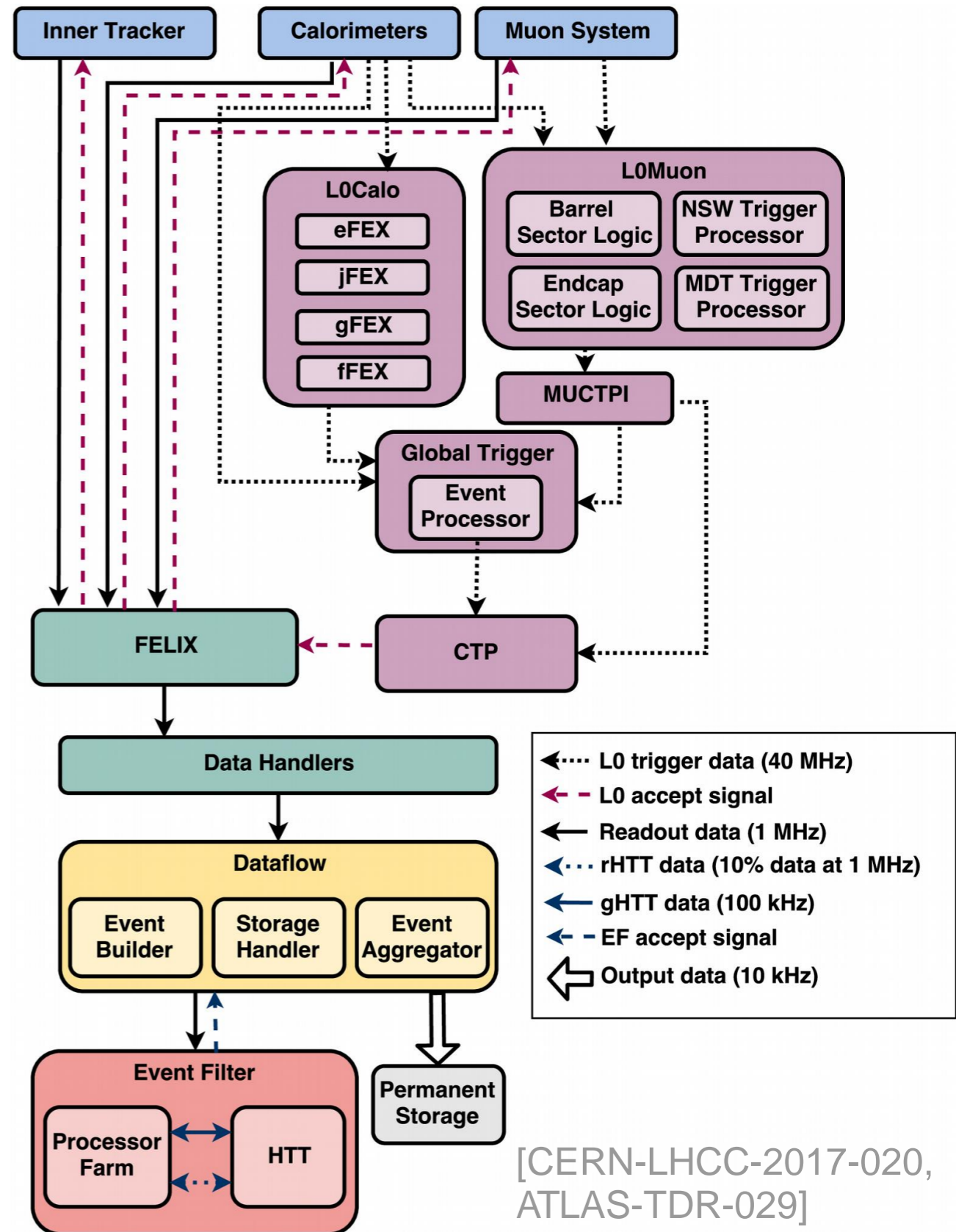
- 4 MHz rate at 10  $\mu\text{s}$  latency
- Hardware tracking (L1 track)  $\rightarrow$  pileup suppression

## Data Acquisition:

- Front End Link eXchange (FELIX)
- New Storage Handler

## Event Filter:

- Hardware Track Trigger (HTT)  $\rightarrow$  400 kHz
- High-Level-Trigger (HLT) in software  $\rightarrow$  10 kHz



# Trigger Menu @ ATLAS ( HL-LHC )

<b>HL-LHC @ <math>7.5 \times 10^{34}</math></b>	Offline $p_T$ Threshold [GeV]	Offline $ \eta $	<b>L0</b> Rate [kHz]
isolated Single $e$	22	$< 2.5$	200
forward $e$	35	$2.4 - 4.0$	40
single $\gamma$	120	$< 2.4$	66
single $\mu$	20	$< 2.4$	40
di- $\gamma$	25	$< 2.4$	8
di- $e$	15	$< 2.5$	90
di- $\mu$	11	$< 2.4$	20
$e - \mu$	15	$< 2.4$	65
single $\boxtimes$	150	$< 2.5$	20
di- $\boxtimes$	40,30	$< 2.5$	200
single jet	180	$< 3.2$	60
fat jet	375	$< 3.2$	35
four-jet	75	$< 3.2$	50
$H_T$	500	$< 3.2$	60
$E_T^{\text{miss}}$	200	$< 4.9$	50
jet + $E_T^{\text{miss}}$	140,125	$< 4.9$	60
forward jet <sup>←←←</sup>	180	$3.2 - 4.9$	30
Total			<b>1MHz</b>

ex : single-lepton

	Run-1	Run-2	<b>HL-LHC</b>
	$0.8 \times 10^{34}$	$2.0 \times 10^{34}$	<b><math>7.5 \times 10^{34}</math></b>
<b>1e</b>	25 GeV	32	<b>22 GeV</b>
<b>1<math>\mu</math></b>	25 GeV	27	<b>20 GeV</b>

**Aim** : similar threshold as Run-1 & Larger Geom. acceptance



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# **Examples of expected Physics Performances of the ATLAS at the HL-LHC**

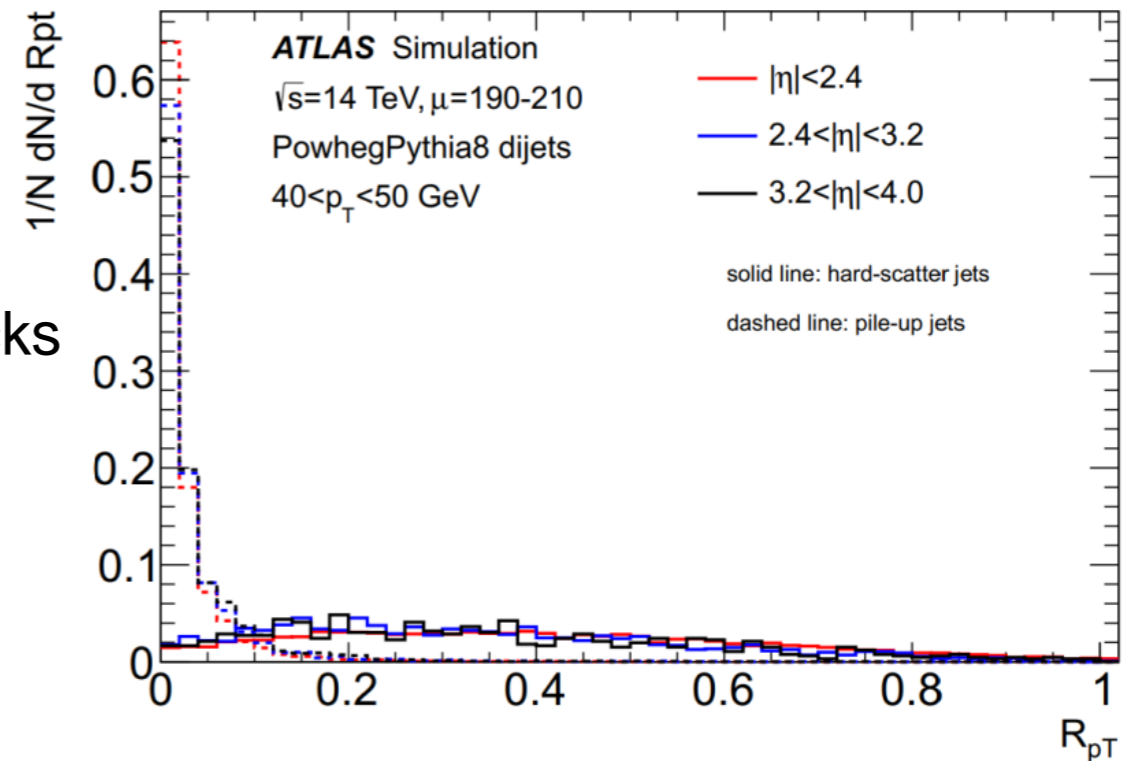
# Pile-up Jets Suppression

- **Pile-up jet tagging** with the discriminant

$$R_{p_T} = \frac{\sum_k p_T^{\text{trk}_k}(\text{PV}_0)}{p_T^{\text{jet}}}$$

- Defined as the scalar sum of the  $p_T$  of all tracks within a jet associated with the HS vertex, divided by the jet  $p_T$

- **Small value of  $R_{p_T}$  for pile-up jets**

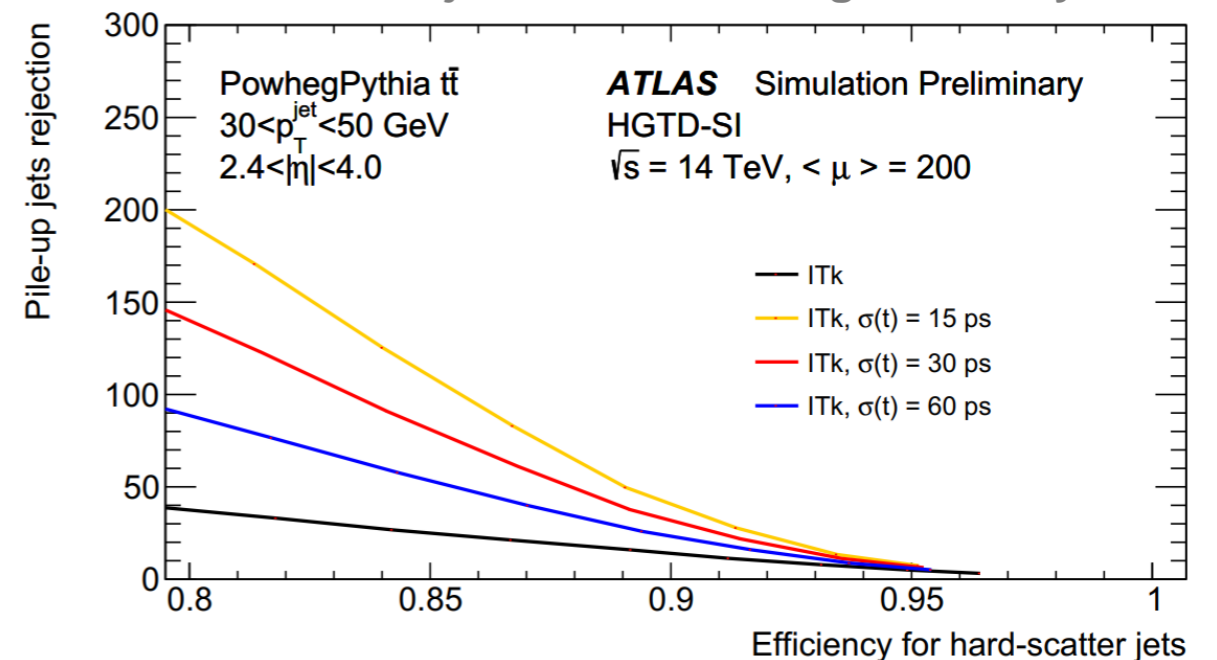


- Rejection vs efficiency as a scan over the  $R_{p_T}$  requirement

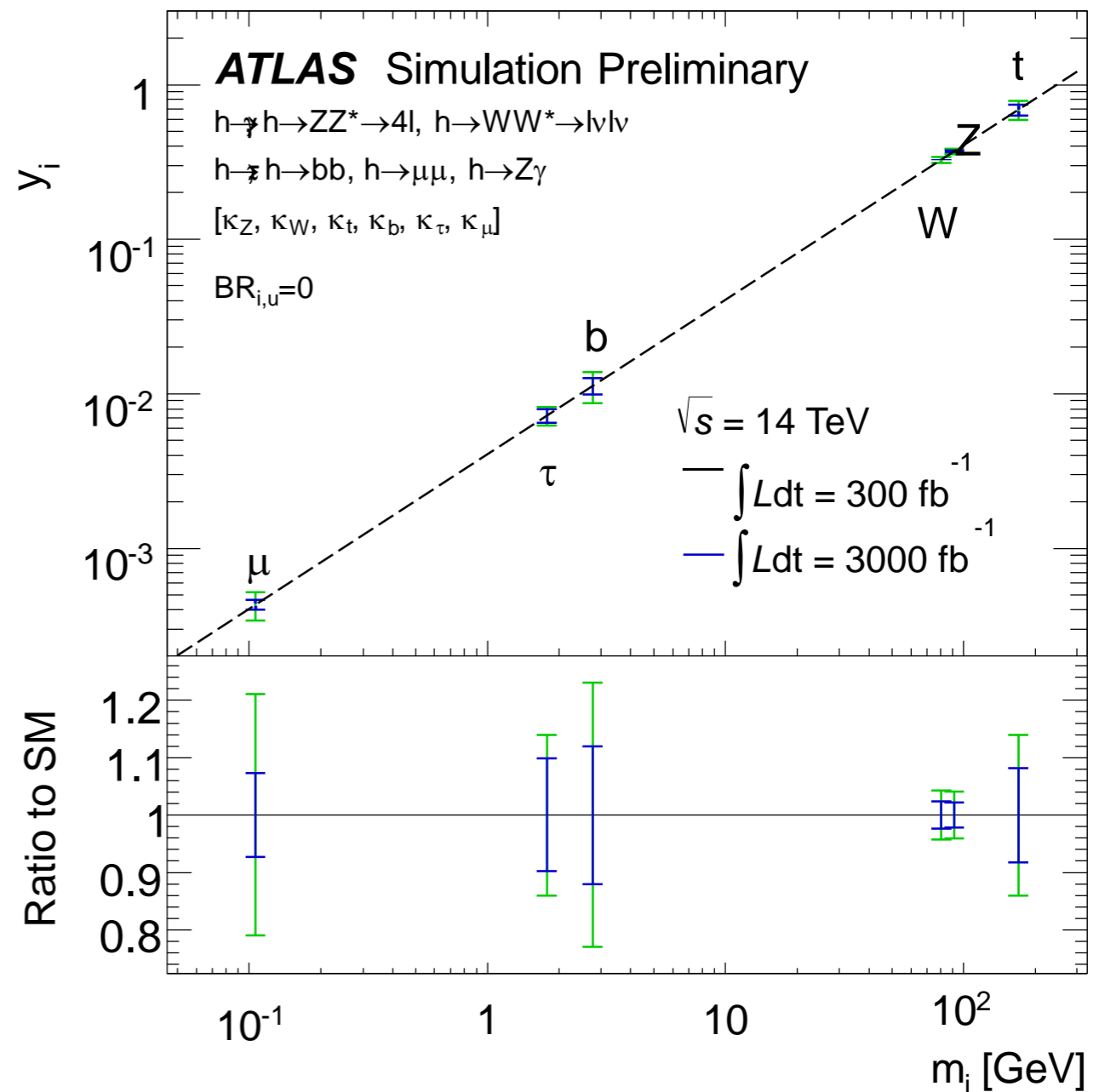
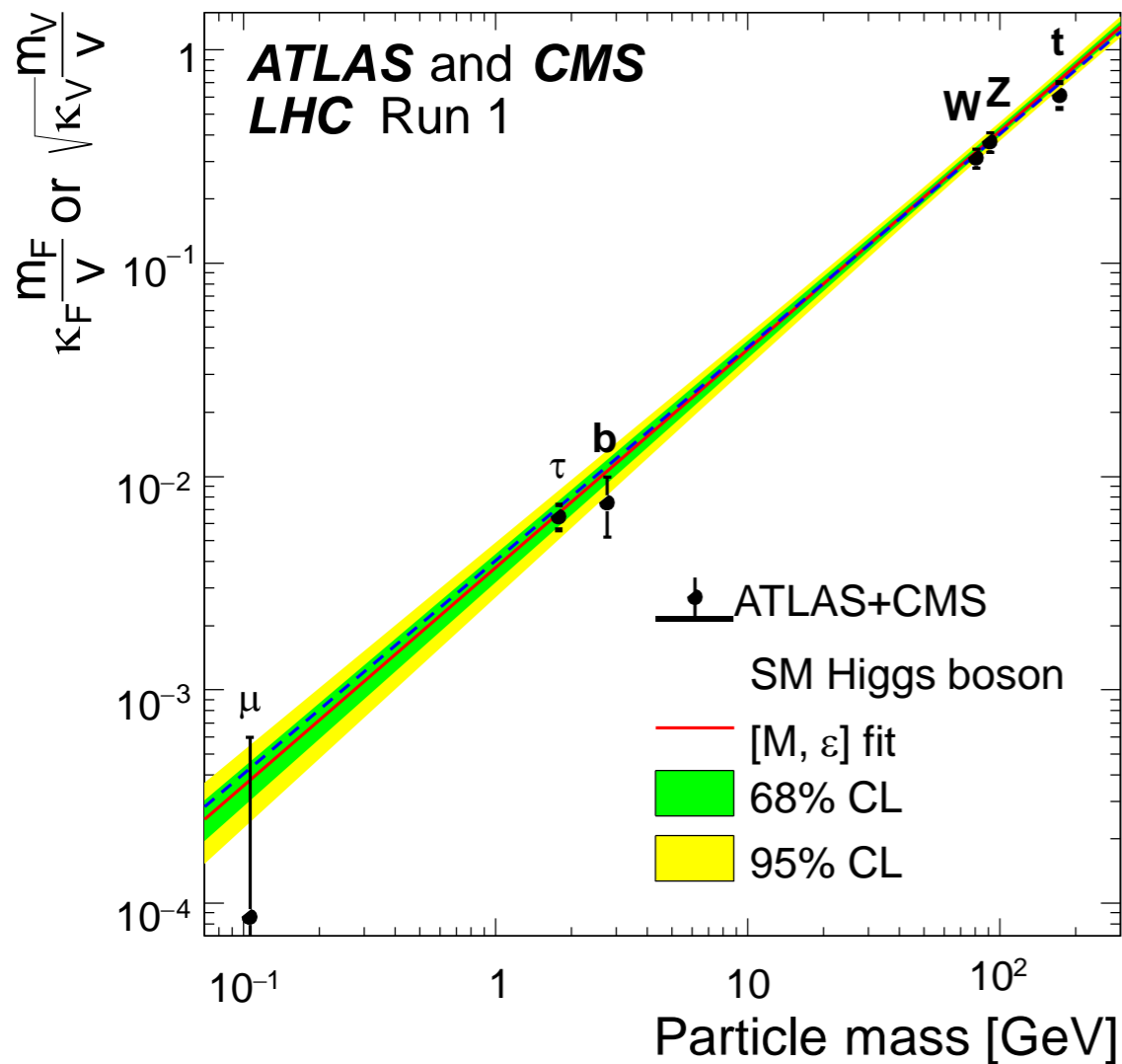
- **Significant improvement of pile-up jet rejection in the forward region**

- **Extended coverage of ITk**
  - Track-based pile-up suppression
- **HGTD**
  - Timing information

Rejection = 1/ mis-tag-efficiency



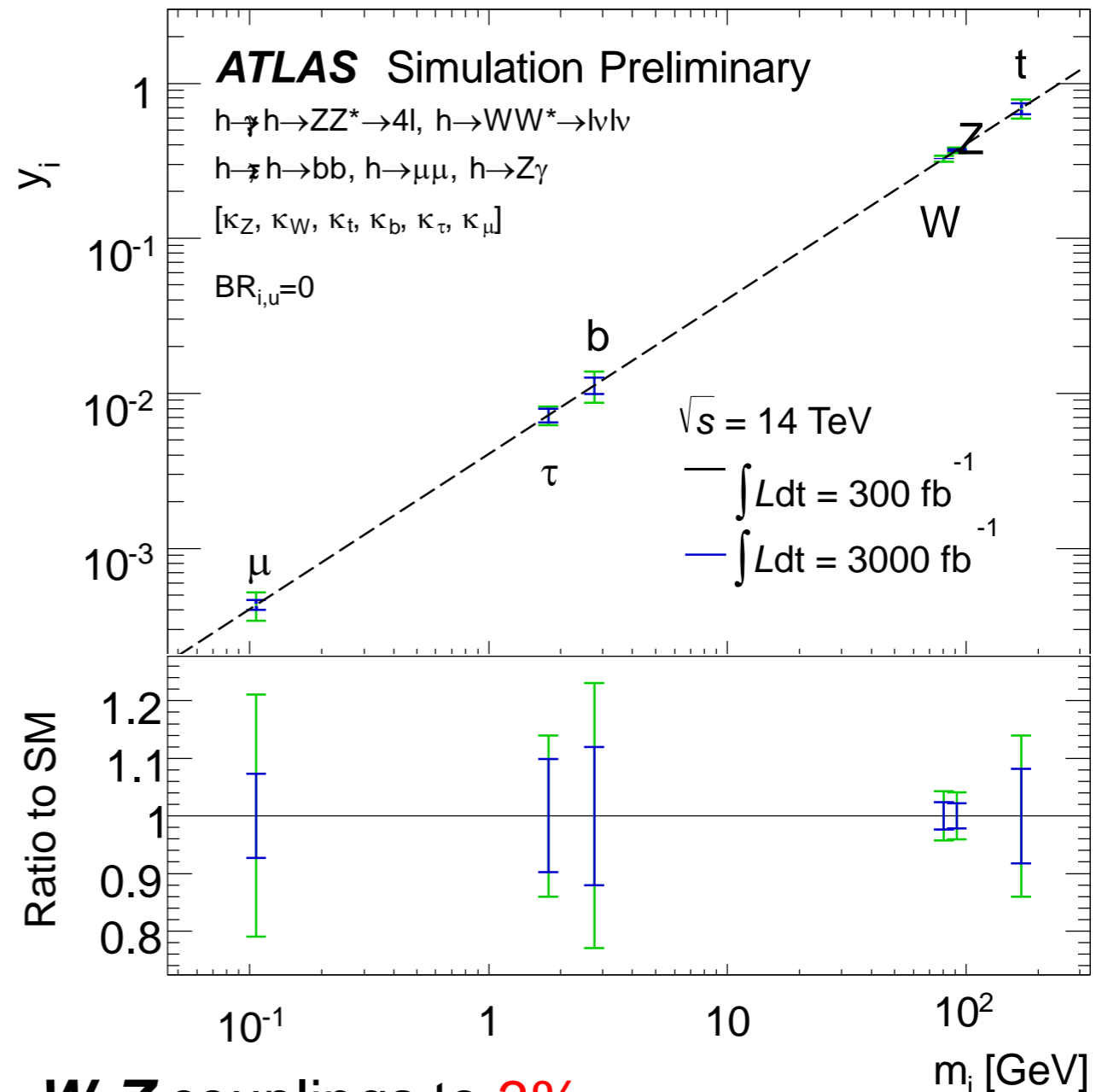
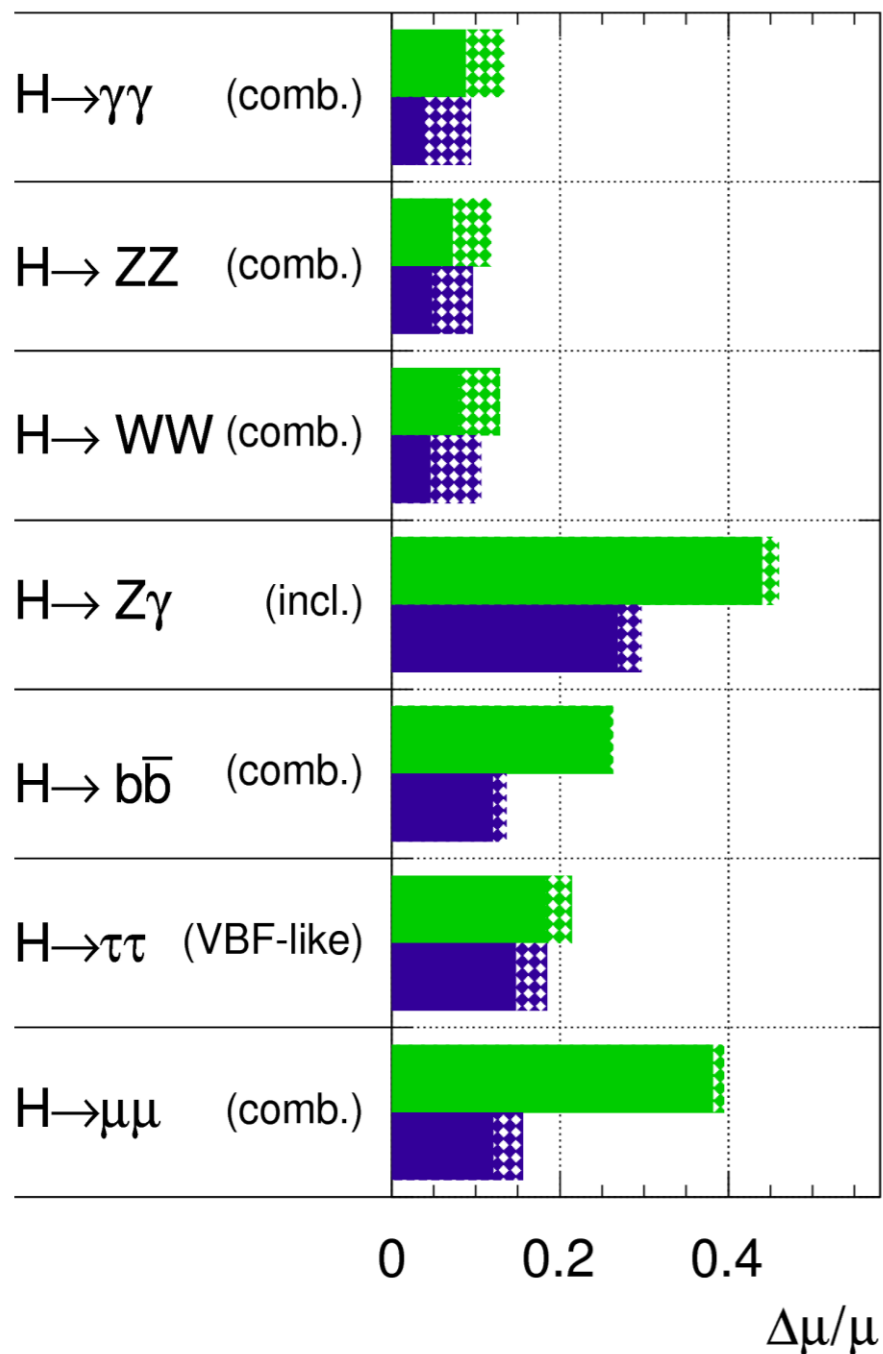
- Example coupling plots from Run 1 and for HL-LHC
  - Typical precision improves from 10% to 4%.  $H \rightarrow \mu\mu > 7\sigma$



- Example coupling plots from Run 1 and for HL-LHC
  - Typical precision improves from 10% to 4%.  $H \rightarrow \mu\mu > 7\sigma$

**ATLAS** Simulation Preliminary

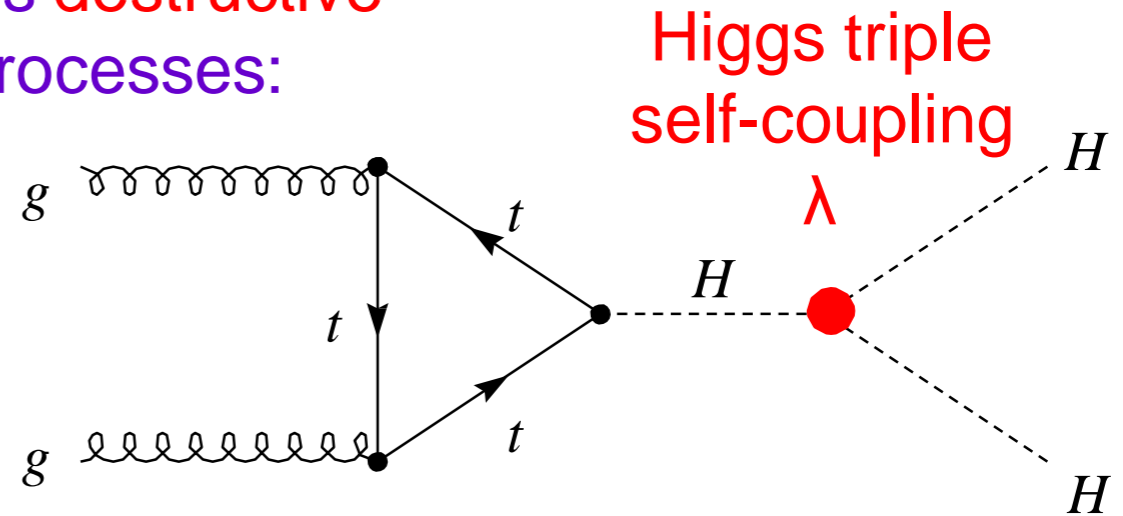
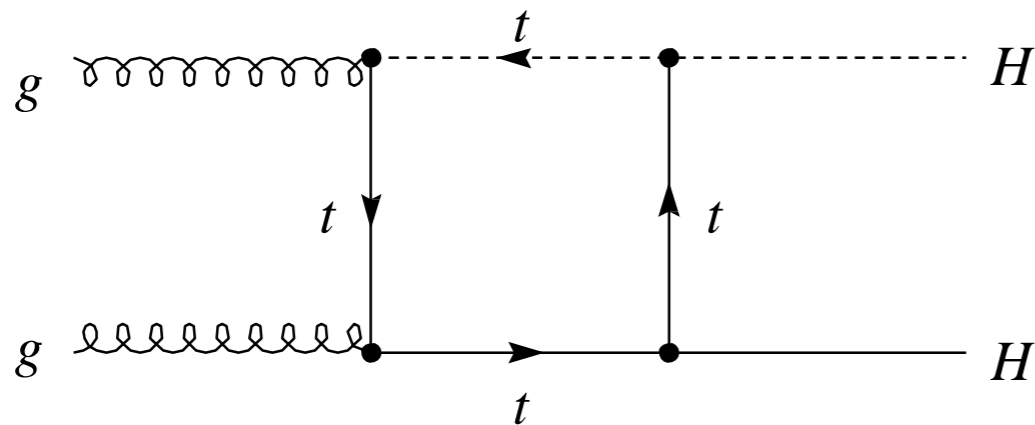
$\sqrt{s} = 14 \text{ TeV}$ :  $\int L dt = 300 \text{ fb}^{-1}$  ;  $\int L dt = 3000 \text{ fb}^{-1}$



- **W, Z** couplings to **3%**
- **Muon** coupling to **7%**, **t, b, τ** couplings to **8-12%**

# Higgs boson pair production

- Higgs boson pair production includes **destructive** interference between two types of processes:



- $\sim$ factor 2 increase in cross section if  $\lambda \rightarrow 0$

NNLO  $\sigma^{\text{SM}} = 40.8 \text{ fb}$

- Will have to combine several decay modes and both experiments to have evidence
- More generally – explore electroweak symmetry breaking in Vector Boson Scattering

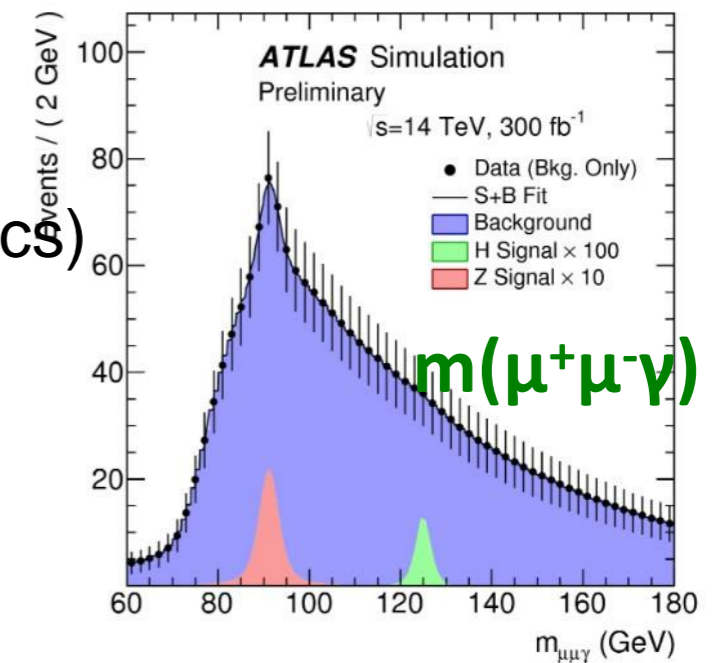
Channel	Events in 3/ab	Significance for HH ( $\lambda=1$ )
bbbb	40000	0.6 $\sigma$
bbWW	30000	(ttbar backgr)
bb $\tau\tau$	9000	0.6 $\sigma$
WWWW	6000	
$\gamma\gamma$ bb	320	1.05 $\sigma$
YYYY	1	

# Higgs rare decays

- $H \rightarrow J/\Psi (\rightarrow \mu^+ \mu^-) \gamma$  (with  $\langle \mu_{PU} \rangle = 140$ ,  $L = 3000 \text{ fb}^{-1}$ )
  - Higgs coupling to c-quark. Run-1 detector performances
  - MVA analysis  $m_{\mu^+ \mu^- \gamma}$  in [115, 135] GeV
  - 3 signal events and 1700 background (with no systematic)

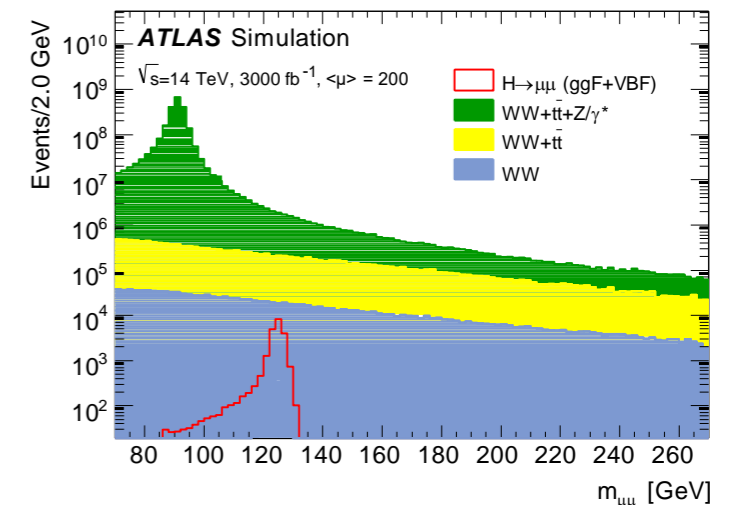
(ATL-PHYS-PUB-2015-043)

**BR ( $H \rightarrow J/\Psi (\rightarrow \mu\mu)\gamma$ ):  $44^{+19}_{-22} \times 10^{-6}$  (95% C.L.)**  
**SM:  $2.9 \pm 0.2 \times 10^{-6}$  ( Run-1 Limit:  $1.5 \times 10^{-3}$  )**



- $H \rightarrow \mu^+ \mu^-$  ( with  $\langle \mu_{PU} \rangle = 200$ ,  $L = 300/3000 \text{ fb}^{-1}$ )
  - Low BR, high  $Z/\gamma^*$  background, high mass resolution
  - Based on Run-1 analysis ,  $m_{\mu^+ \mu^-}$  in [110, 160] GeV]
  - Total background shape and normalization data-driven
  - ITK-Upgrade -> improve **mass resolutur on by 25%** (w.r.t Run-2

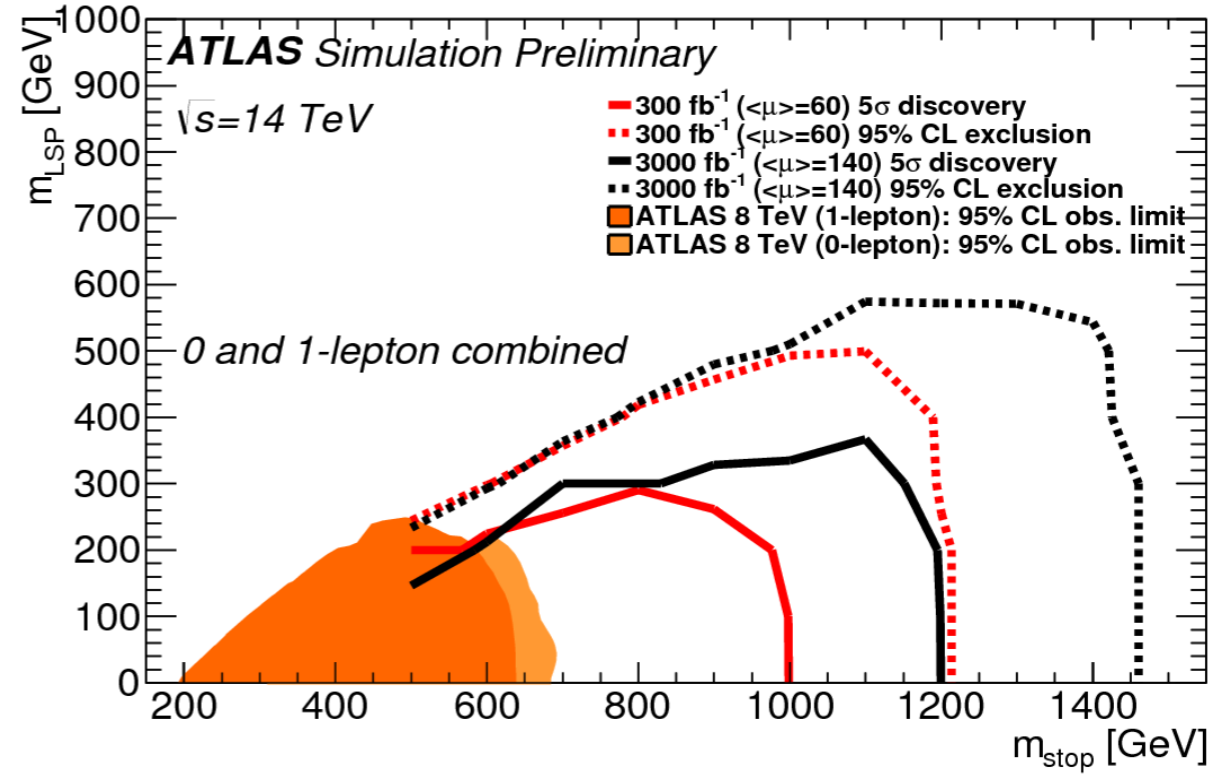
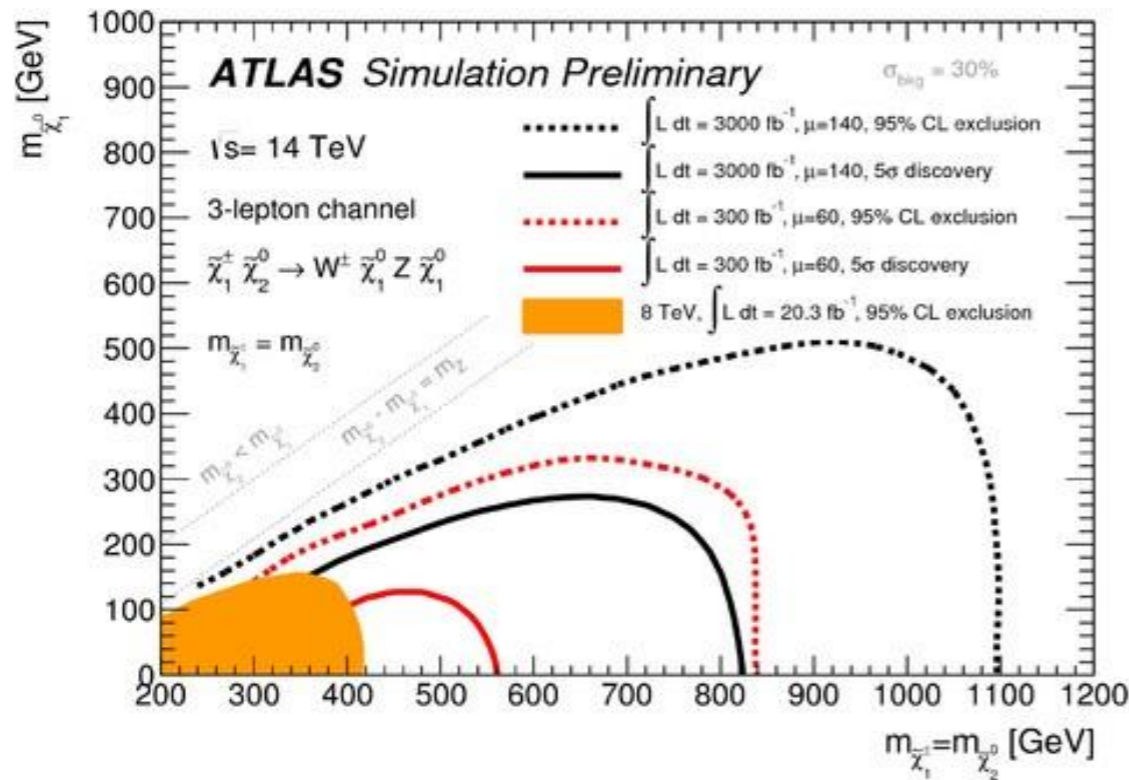
(ATL-TDR-025 LHCC-017-055)



**$Z_0$ :  $2.3\sigma$  ( $300 \text{ fb}^{-1}$ )  $7.0\sigma$  ( $3000 \text{ fb}^{-1}$ )**  
 **$\Delta\mu/\mu$ :  $46\%$  ( $300 \text{ fb}^{-1}$ )  $21\%$  ( $3000 \text{ fb}^{-1}$ )**

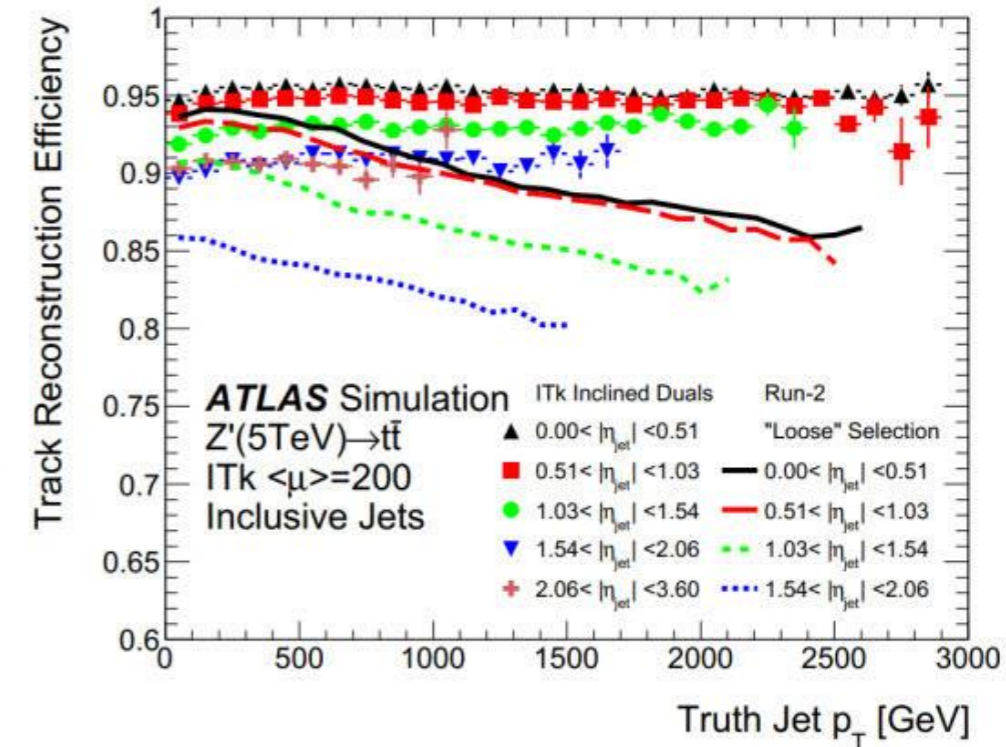
# Search reach (300/fb vs 3000/fb)

- Electroweak SUSY, extend from 500-600 GeV to 800-900 GeV
- Scalar top/bottom, few 100 GeV increase in reach

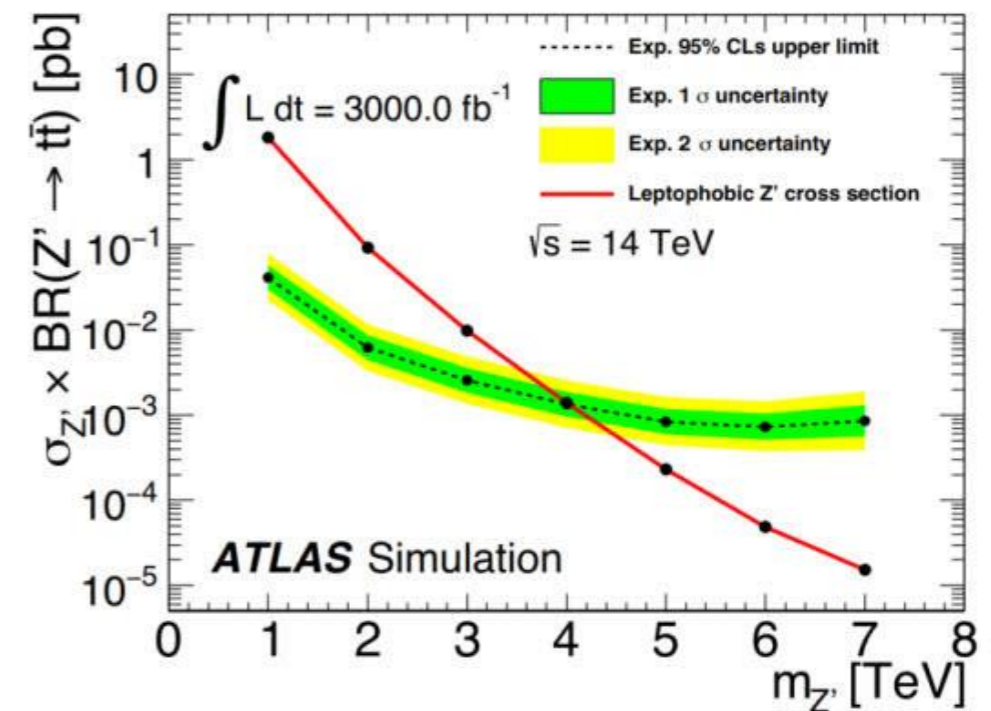


# Expected sensitivity for $Z' \rightarrow t\bar{t}$

- Single lepton + jets channel ( $t\bar{t} \rightarrow WbWb \rightarrow lvbqq'b$ )
- **Stable tracking efficiency inside jets with increasing  $p_T$** 
  - Top quarks tend to produce  $b$ -jets with  $p_T > 600\text{GeV}$
  - **Robust against the high-density tracking environment**



- If no signals observed, expect to exclude this resonance for  $m_{Z'} < 4\text{ TeV}$  after HL-LHC (ATL-PHYS-PUB-2017-002)
  - Topcolour model of spin-1  $Z'$  assuming  $\Gamma = 1.2\%$
  - $\text{LO} \times 1.3$  to account for NLO effects
  - The most recent ATLAS search using  $36.1\text{ fb}^{-1}$  of data taken at  $\sqrt{s} = 13\text{ TeV}$  excludes  $m_{Z'} < 3.2\text{ TeV}$  (Talk by Siyuan Sun)





# Summary

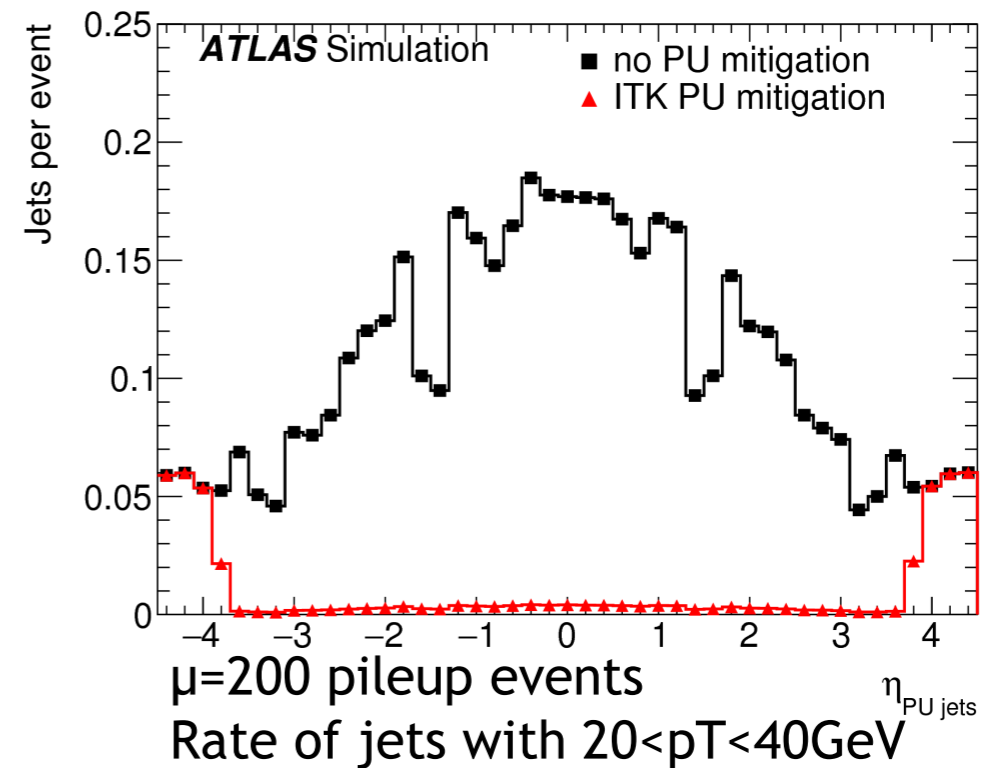
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- Challenging to maintain or improve performances in very dense environment with pileup up to 200
- Significant upgrades planned for the ATLAS detector for HL-LHC
  - All-silicon ITk with extended coverage to improve the tracking performance
  - HGTD to mitigate pile-up effects
  - Trigger system upgrade to keep lower trigger threshold
- The performance of the physics objects reconstruction is expected to be better than the current detector.
- HL-LHC critical for high precision measurement of the Higgs boson parameters as well as searches for physics BSM.
- Technical design reports (TDR) are planned for each upgrade project (Muon and Strip trackers are already released. Others will follow soon.

# Backup

# Phase-II for HL-LHC

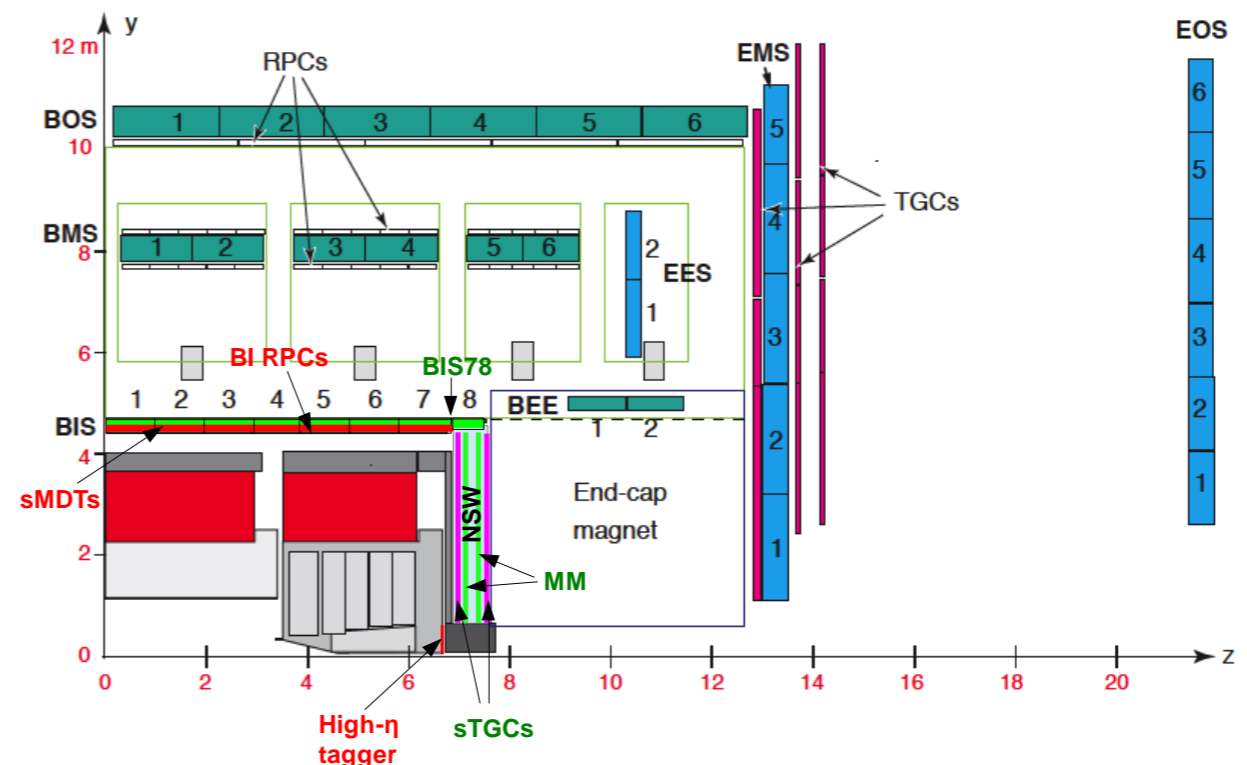
- New all-silicon tracker ITk
  - Extending to  $|\eta| < 4.0$
  - L1 track trigger
- Calorimeter electronics upgrade (full info at trigger level)



- Muon system upgrades (fill gaps in trigger coverage with new inner barrel chambers; new front-end electronics)

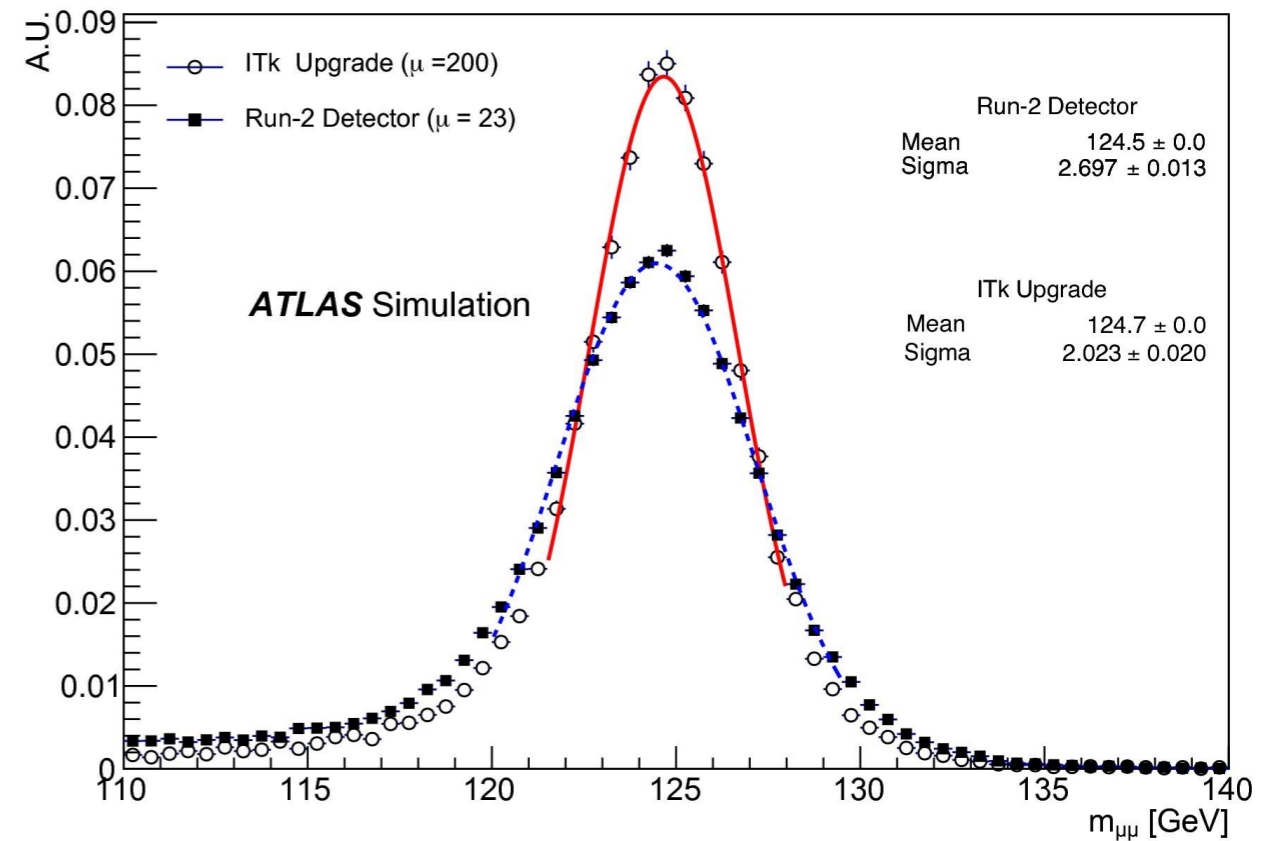
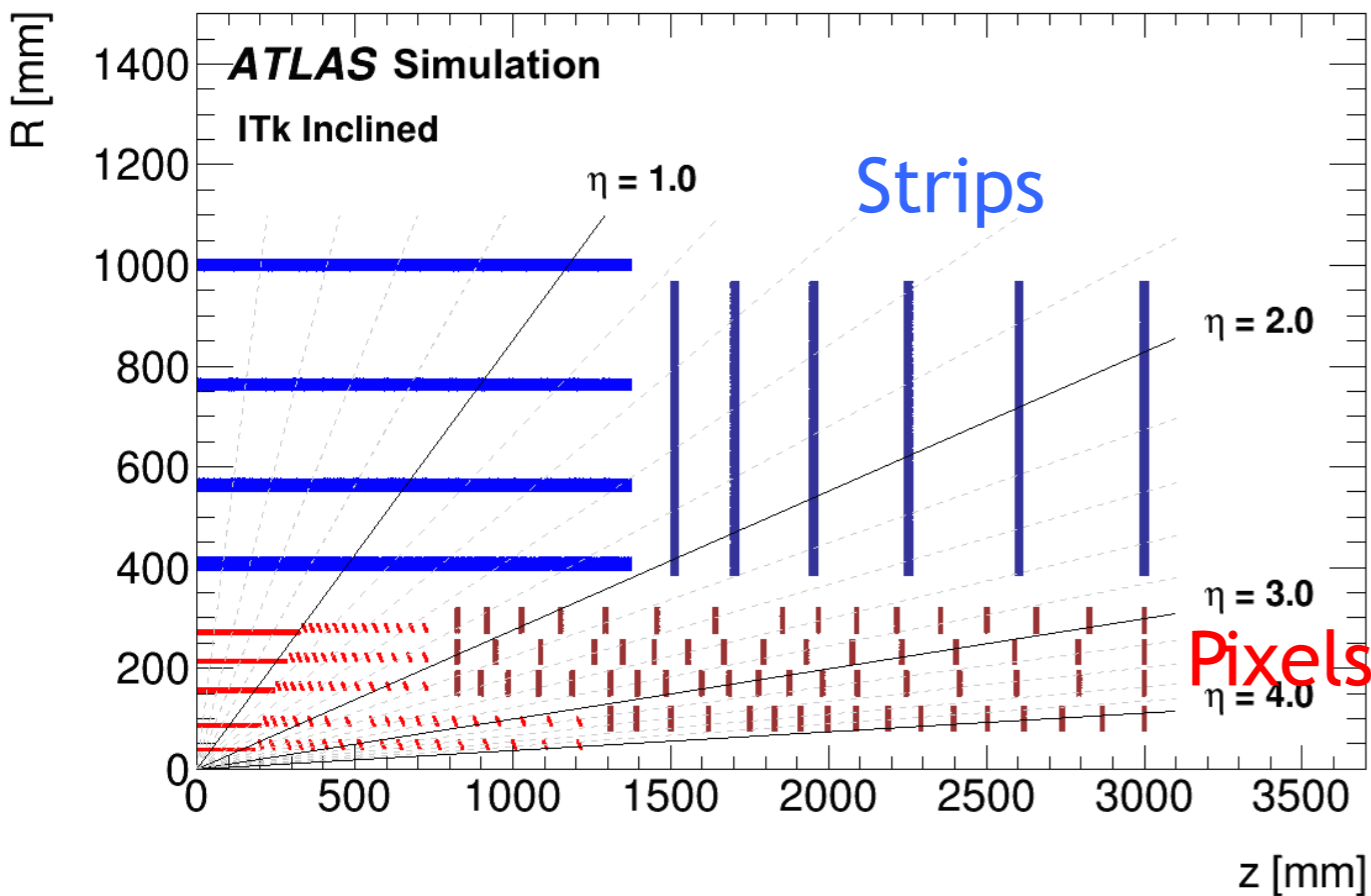
- Trigger-DAQ upgrades

- New projects:
  - High granularity timing detector for the forward region
  - Muon high- $\eta$  tagger



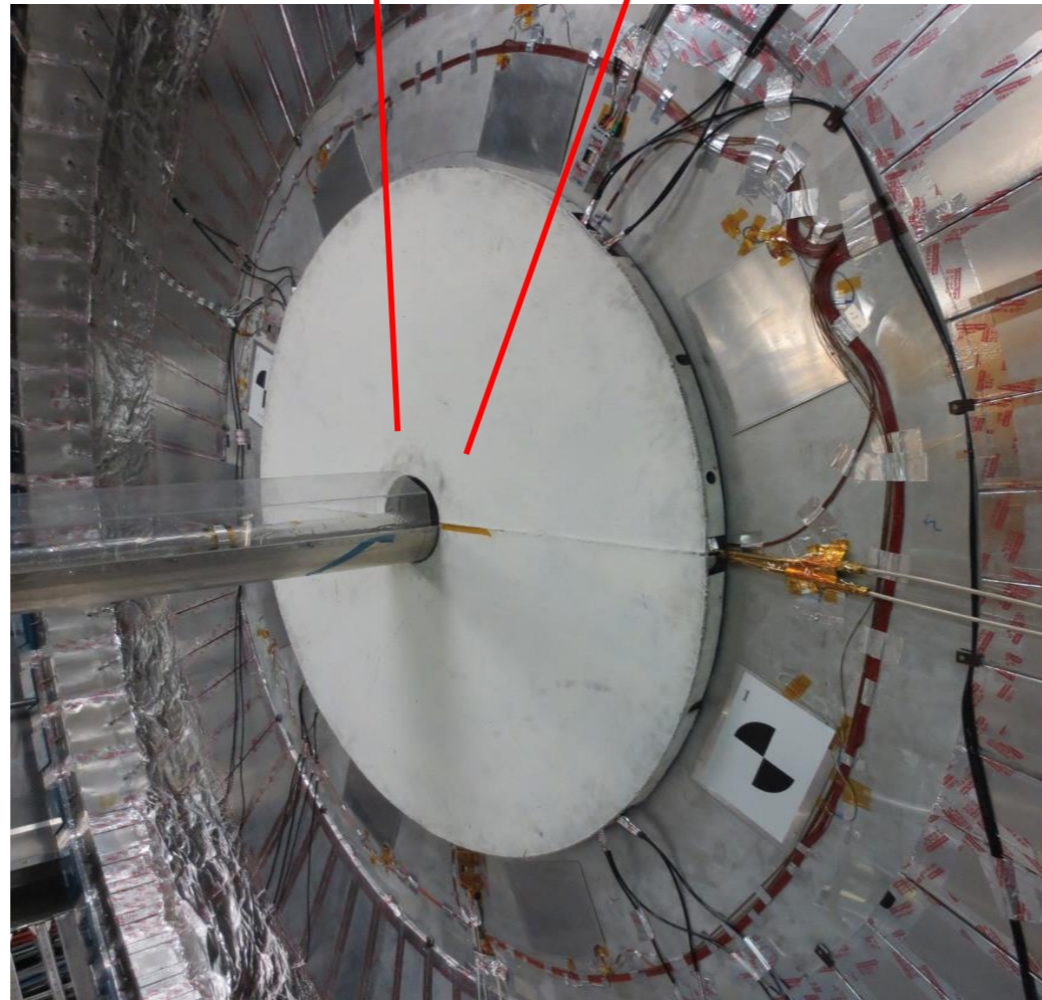
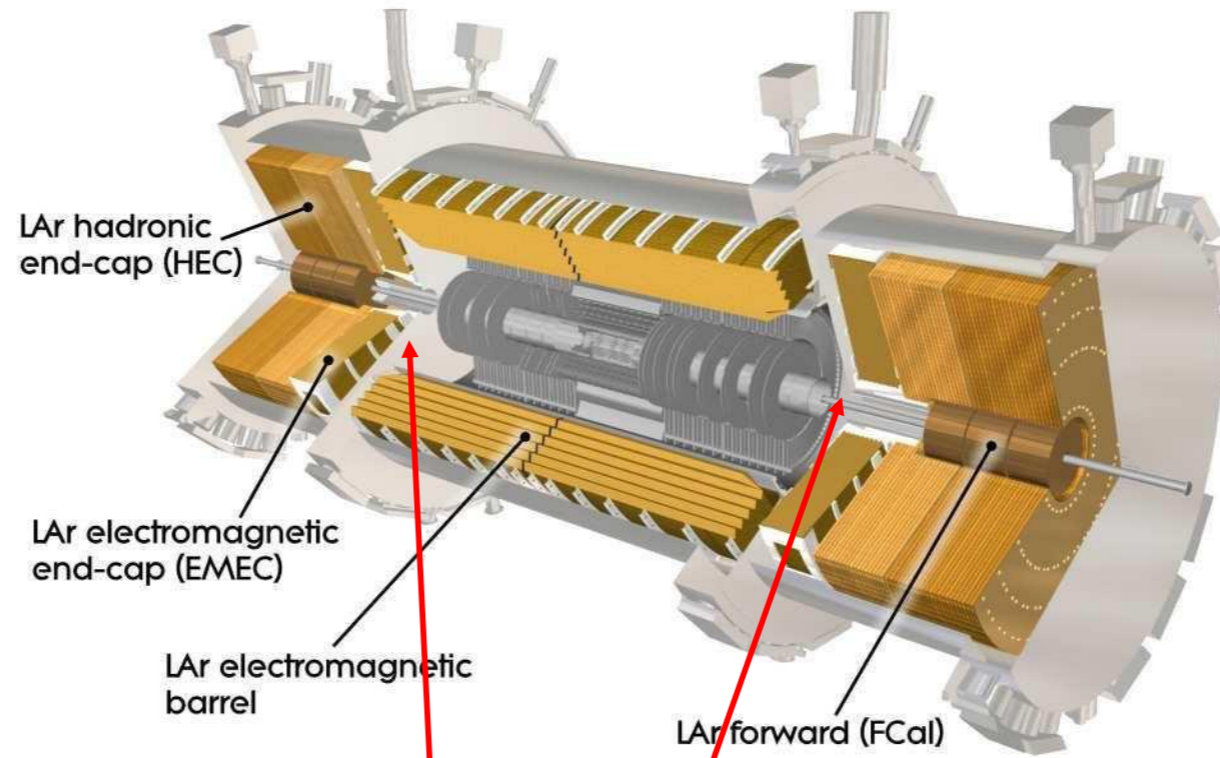
# HL-LHC studies in progress

- Present efforts are focussing on TDRs for each Phase 2 upgrade
  - Demonstrate that the detector and trigger choices meet the required performance
  - ITk layout from the Strip TDR and improvement in  $H \rightarrow \mu\mu$  mass



- More comprehensive physics prospects planned for Update of European Strategy for Particle Physics
- HGTD TDR planed for end of 2018

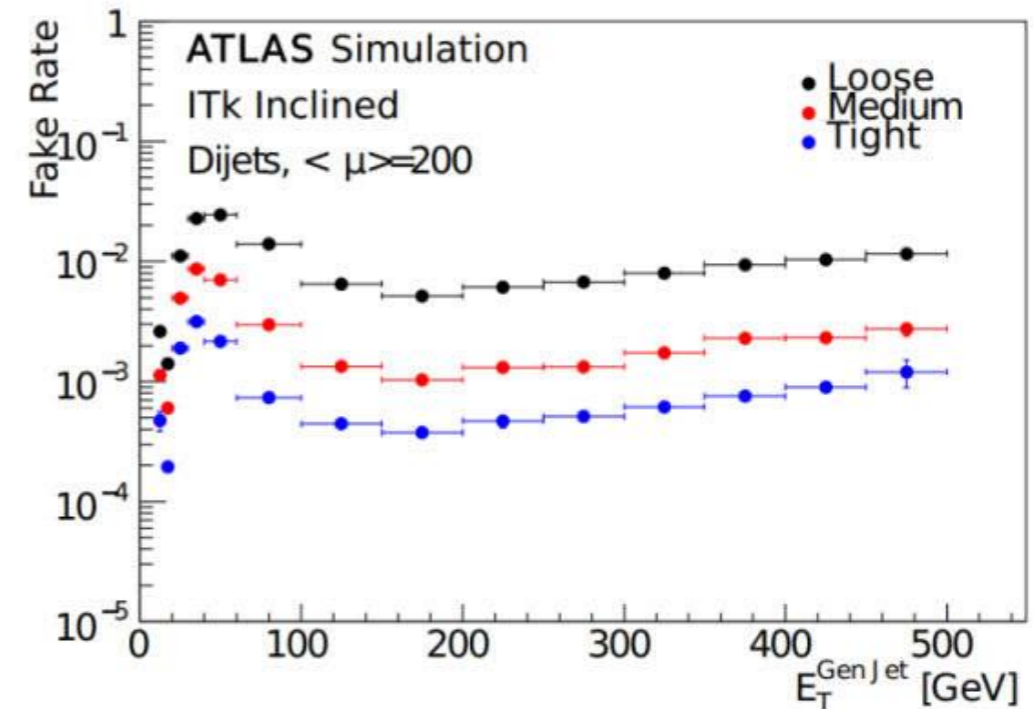
# HGTD location



# Performance of electron reconstruction

- **Similar performance with Run2 is expected**

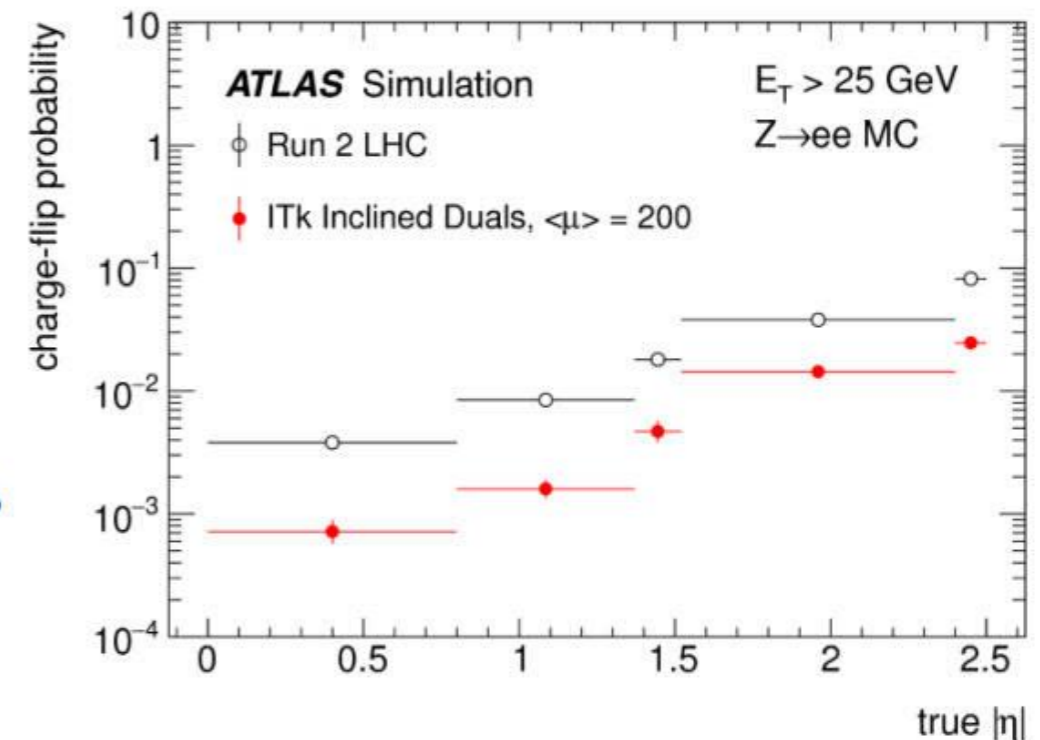
- Likelihood based electron identification, combining calorimeter and track variables
- It improves about a factor of 2-5 in rejection of jets.
- This would also be carried out for ITk.



- **Charge mis-identification** is caused predominantly by Bremsstrahlung .

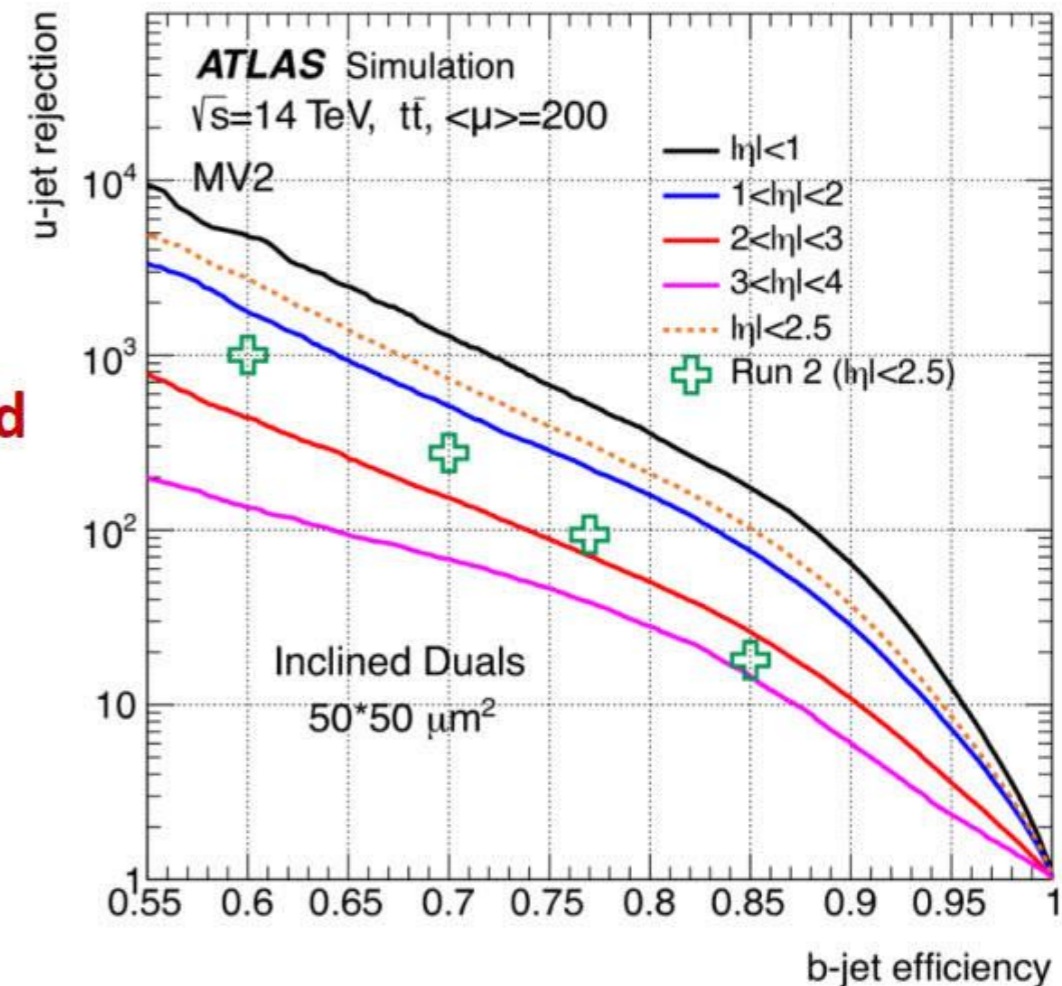
- The EM cluster corresponding to the initial **matched** to the wrong-charge from the conversion leptons
- The electron track may fail the tracking recovery for Bremsstrahlung, leading to a **poorly measured short track**.

➤ **Reduced material of ITk significantly decreases the mis-identification probability.**



# b-tagging performance

- **Multivariate techniques** based on
  - Impact parameters of associated tracks
  - Properties of reconstructed secondary vertex
- ***b*-tagging algorithms have been fully re-optimized for the new layout**
  - **Better rejection capability of ITk even at high pile-up levels**
  - **The extended coverage of ITk enables the *b*-tagging in the forward region.**
- *b*-tagging is sensitive to the contamination of **pile-up** tracks
  - It considers **tracks with large impact parameters**
  - **Essential to mitigate effects from pile-up**

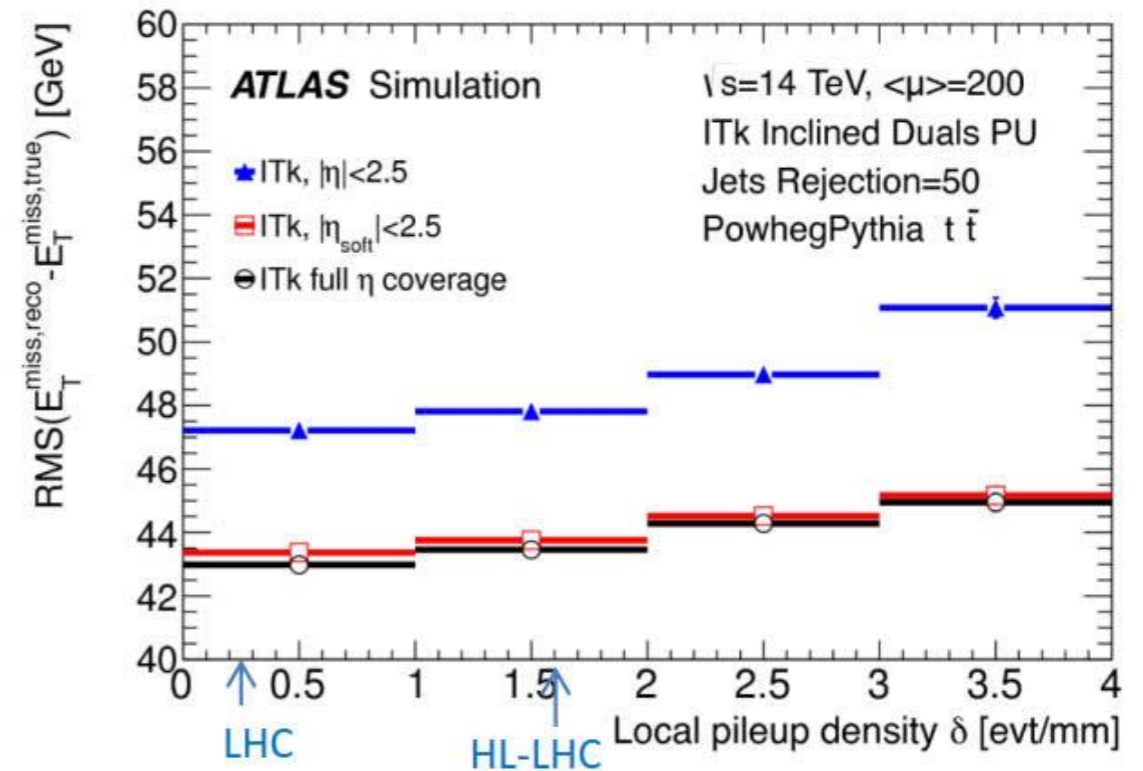


# Performance for Missing Transverse Momentum

- **An important variable in searches for exotic signatures.**
  - In SM,  $E_T^{\text{miss}}$  arises from neutrinos.
  - There are also prospects for such particles in BSM theories.
- $E_T^{\text{miss}}$  is computed as the vector momentum sum of high  $p_T$  physics objects, plus the soft-term from low  $p_T$  particles associated to the HS vertex.

- **Better  $E_T^{\text{miss}}$  resolution in the high pile-up conditions**

- ✓ Benefitting from the strong **pile-up jet rejection of ITk** in the forward region
- ✓ The gain in the soft term using tracks in the forward region is small

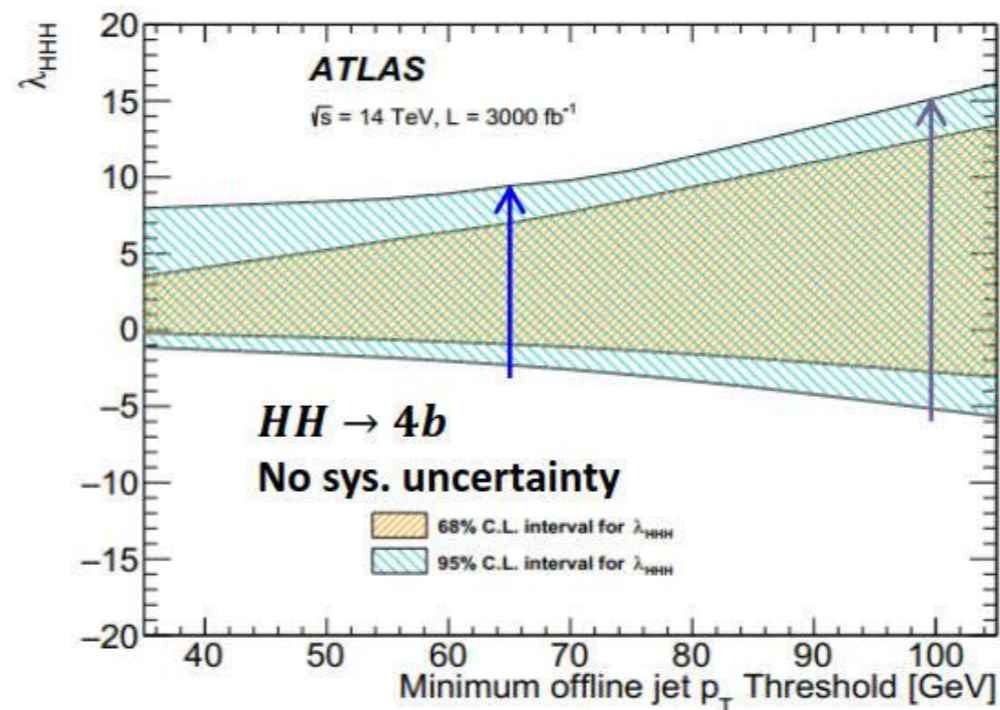
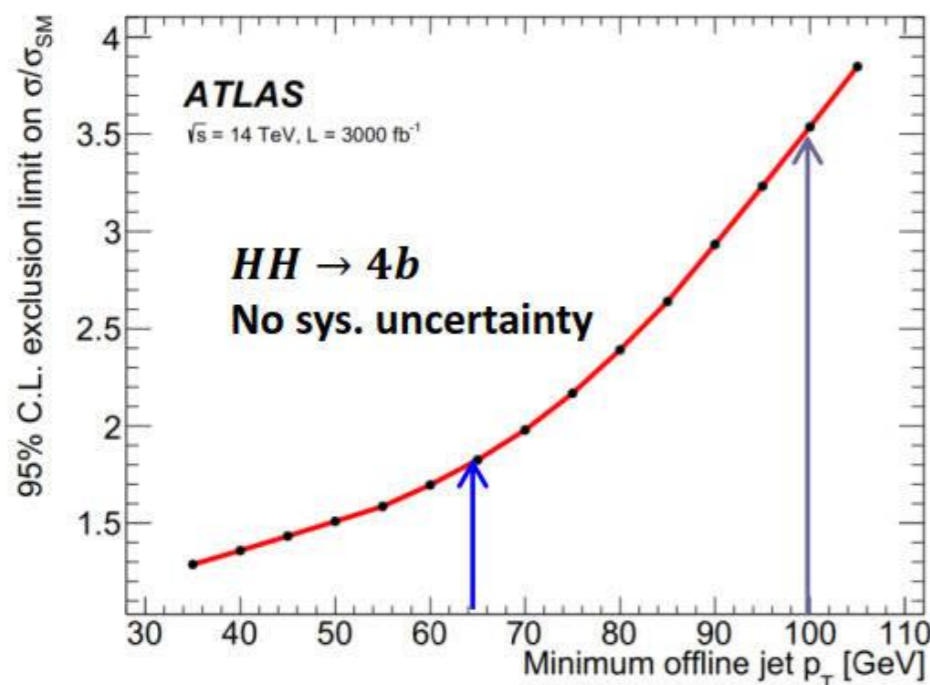


- HGTD timing information would improve  $E_T^{\text{miss}}$  performance with better pile-up rejection in the forward region



# Expected sensitivity to $H \rightarrow bb$

- The effects of upgraded ATLAS detector are taken into account by**
  - applying energy smearing, object efficiencies and fake rates to truth level quantities
  - following parameterizations based on detector performance studies with full simulation and HL-LHC conditions
- $HH \rightarrow 4b$  High sensitivity to  $b$ -jet trigger threshold  $\rightarrow$  Trigger system upgrade is critical**
  - Substantial degradation with increased minimum jet  $p_T$  requirement
  - 100 GeV  $\rightarrow$  65 GeV (w/o  $\rightarrow$  w/ upgrade)  $\sim \times 2$  sensitivity



- More channels combined to get enough statistics**
  - $b\bar{b} + \gamma\gamma$
  - $b\bar{b} + \tau^+\tau^-$
  - .....