

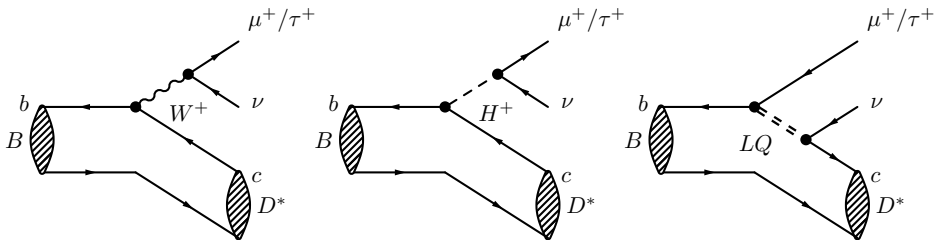
Experimental status of $\mathcal{R}(D^{(*)})$

Greg Ciezarek,
on behalf of the LHCb collaboration

January 15, 2018

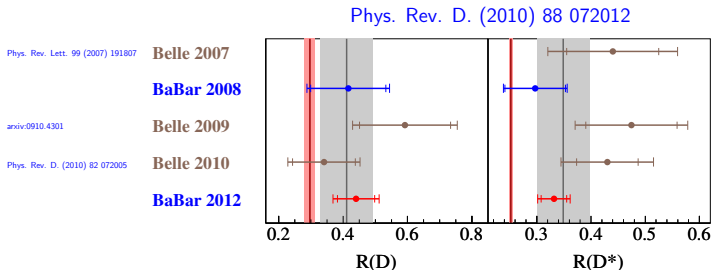


$$B \rightarrow D^{(*)} \tau \nu$$



- In the Standard model, the only difference between $B \rightarrow D^{(*)} \tau \nu$ and $B \rightarrow D^{(*)} \mu \nu$ is the mass of the lepton
 - Form factors mostly cancel in the ratio of rates (except helicity suppressed amplitude)
- Ratio $R(D^{(*)}) = \mathcal{B}(B \rightarrow D^{(*)} \tau \nu) / \mathcal{B}(B \rightarrow D^{(*)} \mu \nu)$ is sensitive to e.g. charged Higgs, leptoquark

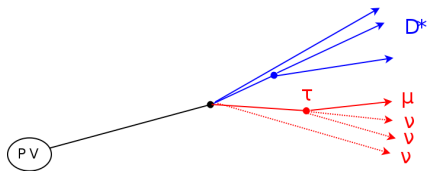
History



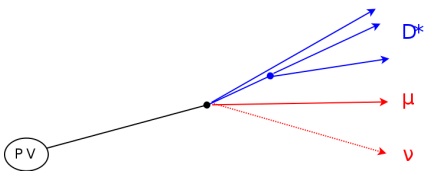
- How this started: measurements from B factories in $\tau \rightarrow \ell \nu \nu$ channel
 - Final measurement from BaBar ([Phys. Rev. D. 88 072012](#)) claimed 3σ excess over SM expectation
 - Status at the time of the Babar measurement

Experimental challenge

$$B \rightarrow D^* \tau \nu$$

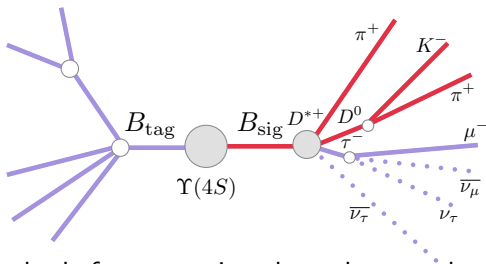


$$B \rightarrow D^* \mu \nu$$



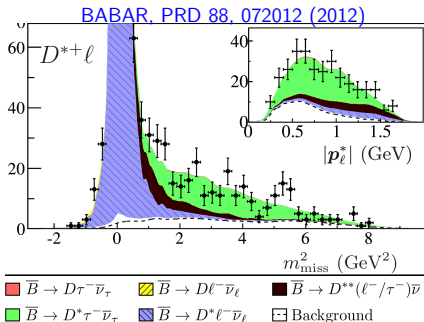
- Difficulty: neutrinos - 2 for $(\tau \rightarrow \pi\pi\pi\nu)\nu$, 3 for $(\tau \rightarrow \mu\nu\nu)\nu$
 - No narrow peak to fit (in any distribution)
- Main backgrounds: partially reconstructed B decays
 - $B \rightarrow D^* \mu \nu, B \rightarrow D^{**} \mu \nu, B \rightarrow D^* D(\rightarrow \mu X) X \dots$
 - $B \rightarrow D^* \pi\pi\pi X, B \rightarrow D^* D(\rightarrow \pi\pi\pi X) X \dots$
- Also combinatorial, misidentified background

B Factory method



- Traditional methods for measuring these decays rely on $e^+e^- \rightarrow B\bar{B}$ event properties
 - Centre of mass fixed
 - Nothing else produced in event
- “Tag reconstruction”
 - Fully reconstruct other $B \rightarrow$ measurement of signal B kinematics
 - Signal B + other B should be entire event \rightarrow strong rejection against other missing reconstructable particles
- Penalty: sub percent efficiency

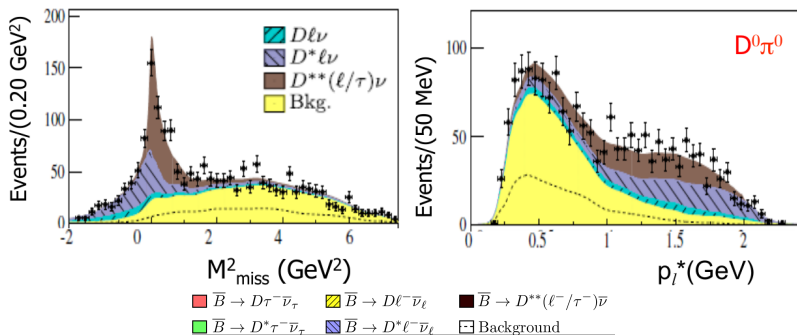
Babar measurement



- Babar measurement strategy: 2D fit in missing mass² (m_{miss}^2), lepton energy in B rest frame
- Narrow peak for $B \rightarrow D^* \mu \nu$, broader distributions for $B \rightarrow D^* \tau \nu$, $B \rightarrow D^{**} \mu^+ \nu$
- (Cleanest sample shown)

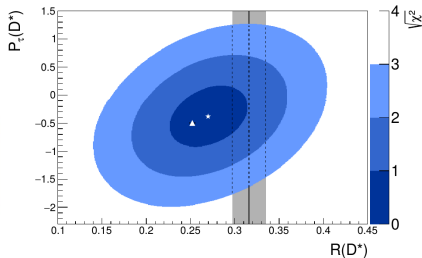
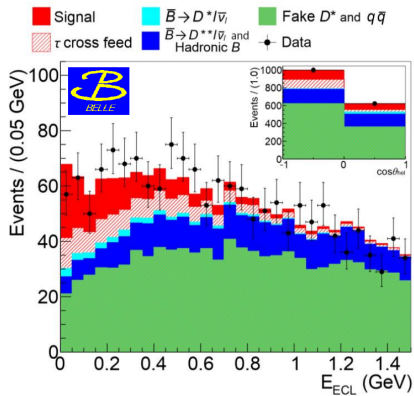
Babar control sample

BABAR, PRD 88, 072012 (2012)



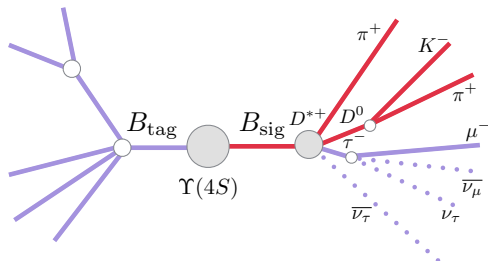
- $B \rightarrow D^{**}\mu^+\nu$ background controlled using $D^{(*)}\pi^0$ sample
 - Using data to control background crucial to all measurements
- Combined $\mathcal{R}(D)$ and $\mathcal{R}(D^*)$ 3σ from SM: first hint of the hint
- Still the most precise single measurement

Belle $\tau^+ \rightarrow \pi^+(\pi^0)\nu$



- Recent from Belle: first measurement with $\tau^+ \rightarrow \pi^+(\pi^0)\nu$, and first measurement of τ polarisation
- Fit variable: energy left over in Calorimeters after tag+signal reconstruction (E_{ECL})
- Important proof of principle for Belle-II
- [Phys. Rev. Lett. 118, 211801 \(2017\)](#), [Phys. Rev. D 97, 012004 \(2018\)](#)

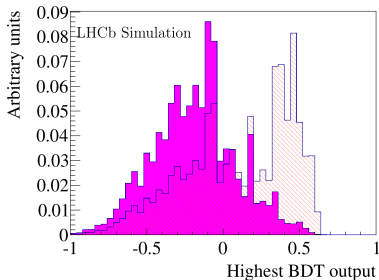
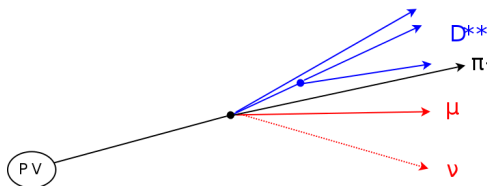
Can you do this at a hadron collider?



- Traditional methods for measuring these decays rely on $e^+e^- \rightarrow B\bar{B}$ event properties
 - Centre of mass fixed
 - Nothing else produced in event
- In a hadron collider the $B\bar{B}$ centre of mass isn't fixed \rightarrow rest of event provides little constraint on the signal B kinematics
 - Event also contains a lot of junk from the proton-proton interaction \rightarrow reconstructing the whole event is meaningless
- Needed completely different methods

Isolation

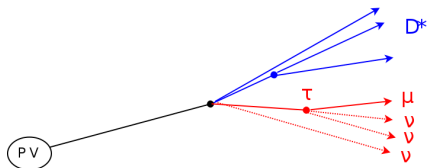
Phys. Rev. Lett. 115 (2015) 111803



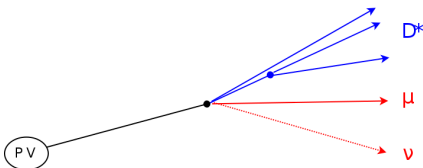
- Reject physics backgrounds with additional charged tracks
- MVA output distribution for $B \rightarrow D^{**} \mu^+ \nu$ background (hatched) and signal (solid)
- Inverting the cut gives a sample hugely enriched in background \rightarrow control samples

Fit strategy

$$B \rightarrow D^* \tau \nu$$



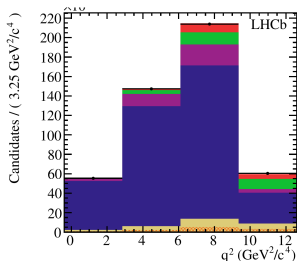
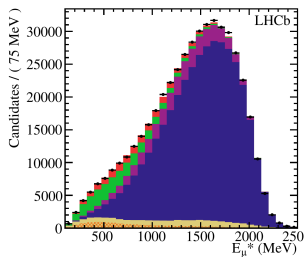
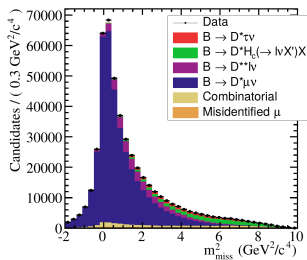
$$B \rightarrow D^* \mu \nu$$



- Can use B flight direction to measure transverse component of missing momentum
- No way of measuring longitudinal component \rightarrow use approximation to access rest frame kinematics
 - Assume $\gamma\beta_{z,visible} = \gamma\beta_{z,total}$
 - $\sim 20\%$ resolution on B momentum, long tail on high side
- Can then calculate rest frame quantities - $m_{missing}^2$, E_μ , q^2

Fit strategy

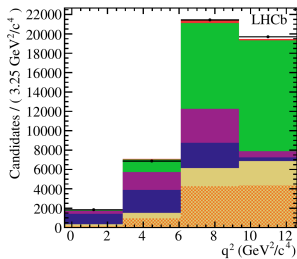
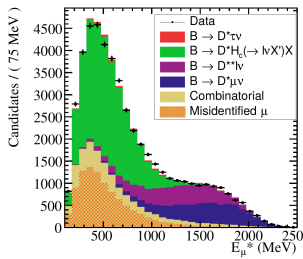
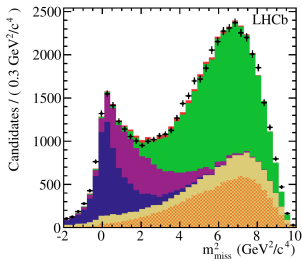
Phys. Rev. Lett. 115 (2015) 111803



- Three dimensional template fit in E_{μ} (left), m_{missing}^2 (middle), and q^2
 - Projections of fit to isolated data shown
- All uncertainties on template shapes incorporated in fit:
 - Continuous variation in e.g different form factor parameters

Background strategy

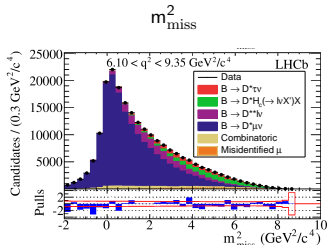
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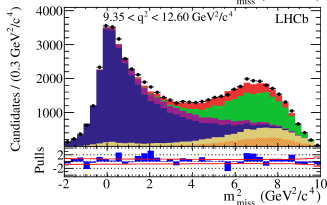
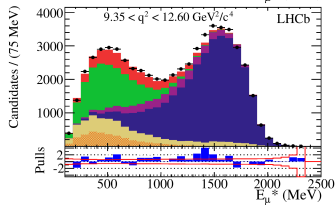
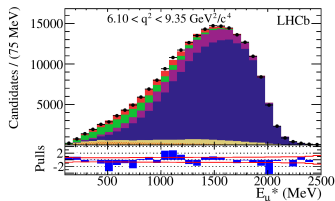
- All major backgrounds modelled using control samples in data
 - Dedicated samples for different backgrounds
 - Quality of fit used to justify modelling
 - Data-driven systematic uncertainties
- All combinatorial or misidentified backgrounds taken from data
- More details on everything in backups

Signal fit

$$9.35 < q^2 < 12.60 \text{ GeV}^2/c^4$$



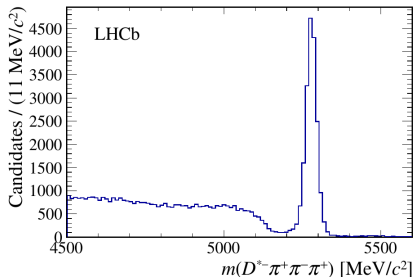
$$9.35 < q^2 < 12.60 \text{ GeV}^2/c^4$$

 E_μ 

- Fit to isolated data, used to determine ratio of $B \rightarrow D^* \tau \nu$ and $B \rightarrow D^* \mu \nu$
- Model fits data well
- We measure $\mathcal{R}(D^*) = 0.336 \pm 0.027 \pm 0.030$, consistent with SM at 2.1σ level
 - [Phys. Rev. Lett. 115 \(2015\) 111803](#)(Run 1 data)

$\mathcal{R}(D^*)$ with $\tau \rightarrow \pi\pi\pi\nu$

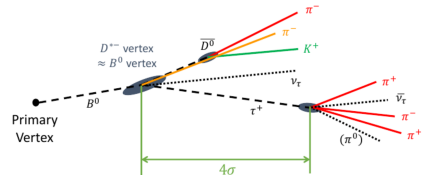
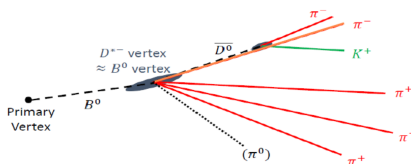
LHCb-PAPER-2017-017, LHCb-PAPER-2017-027



- Compared to muonic $\mathcal{R}(D^*)$:
 - Large $B \rightarrow D^* \mu \nu$, $B \rightarrow D^{**} \mu^+ \nu$ backgrounds absent
 - Additional $B \rightarrow D^* \pi \pi \pi X$ backgrounds
 - $B \rightarrow D^* D X$ with $D \rightarrow \pi \pi \pi X$
- Control experimental efficiencies by measuring rate relative to $B \rightarrow D^* \pi \pi \pi$

Removing $B \rightarrow D^* \pi \pi \pi X$

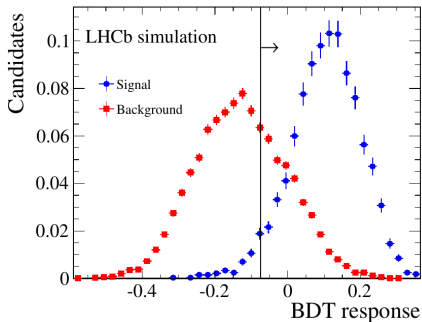
LHCb-PAPER-2017-017, LHCb-PAPER-2017-027




- Can use decay topology to remove direct $B \rightarrow D^* \pi \pi \pi X$ decays:
- If the $\pi \pi \pi$ vertex is displaced from the B vertex, cannot be direct $B \rightarrow D^* \pi \pi \pi X$
- Can remove a large, poorly measured background
 - And control the remainder
- $B \rightarrow D^* D X$ major physics background remaining

Dealing with $B \rightarrow D^* DX$

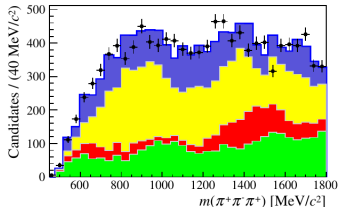
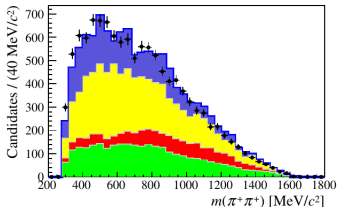
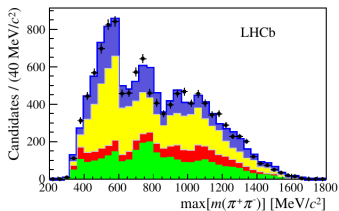
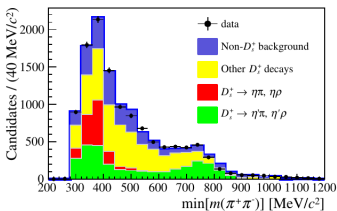
LHCb-PAPER-2017-017, LHCb-PAPER-2017-027



- $[\pi\pi\pi]$ lifetime discriminates between tau and $B \rightarrow D^* DX$
- Can use partial reconstruction techniques to reconstruct D peak in $B \rightarrow D^{*+} D$ (not $B \rightarrow D^* DX$)
- $\tau \rightarrow \pi\pi\pi\nu$ is mostly $a_1(1260)$, $D \rightarrow \pi\pi\pi X$ mostly isn't
 - Use the $\pi\pi\pi$ (sub) structure to separate $B \rightarrow D^* \tau\nu$ from $B \rightarrow D^* DX$
 - Shown: control region for $D_s \rightarrow \pi\pi\pi X$
- Put everything in an MVA: kinematics, Dalitz, partial reconstruction, 

$D \rightarrow \pi\pi\pi X$

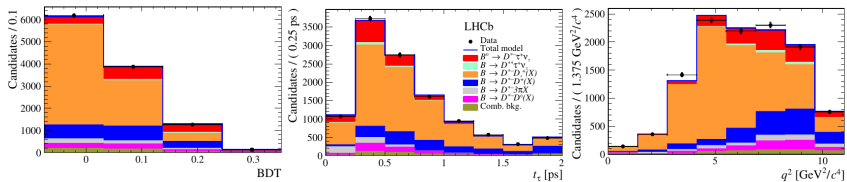
LHCb-PAPER-2017-017, LHCb-PAPER-2017-027



- Again, use data to control background modelling
- Use low BDT region to control $D_s \rightarrow \pi\pi\pi X$ substructure

Fit

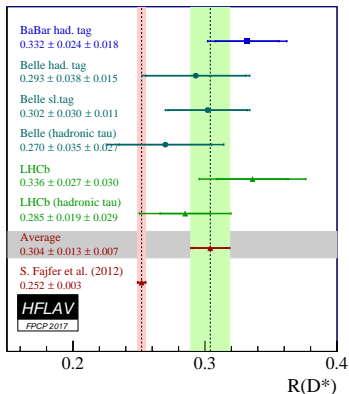
LHCb-PAPER-2017-017, LHCb-PAPER-2017-027



- 3D template fit in BDT, q^2 , tau lifetime to determine signal yield

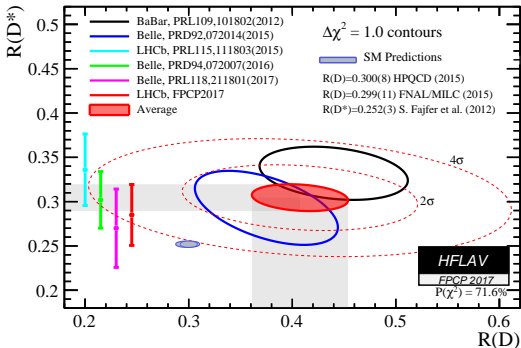
Result

LHCb-PAPER-2017-017, LHCb-PAPER-2017-027



- Result equally compatible with SM, world average
- More precise than our past result (still only run 1 data)
- New average gives a slightly lower value, but higher precision → significance increases very, very slightly
- LHCb-PAPER-2017-017, LHCb-PAPER-2017-027 (Run 1 data)

Where do we stand?

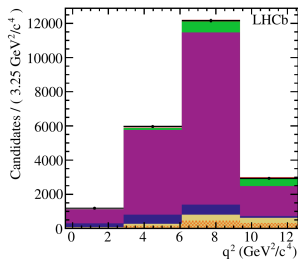
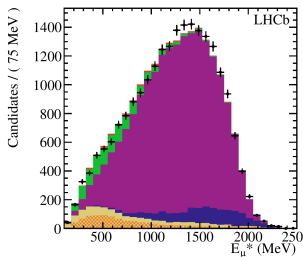
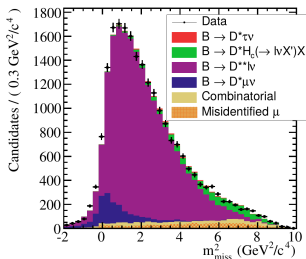


- Official HFLAV combination of $\mathcal{R}(D)$ and $\mathcal{R}(D^*)$
- Excellent consistency between results
- Combined: **4.1 σ tension with SM**
 - (Before considering more conservative $B \rightarrow D^* \tau \nu$ form factors..))

Where next?

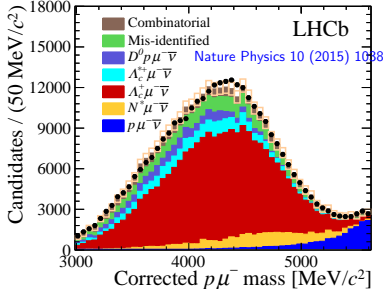
- Next step from muonic $\mathcal{R}(D^*)$: $D^0\mu X$ vs $D^{*+}\mu X$
 - Backgrounds not so much worse than in $D^{*+}\mu X$
 - Significant improvement in precision
- Ongoing: $B_s \rightarrow D_s^{(*)}\tau\nu$
 - Similar situation to $\mathcal{R}(D^{(*)})$
 - Main difference to $B \rightarrow D^{(*)}\tau\nu$: feed-down mostly via neutrals

Where next?



- Ongoing: $\Lambda_b \rightarrow \Lambda_c^{(*)} \tau \nu$
 - Different spin structure to meson modes \rightarrow different physics sensitivity
 - In particular, would help discriminate tensor contributions
- Potential: $B \rightarrow D^{**} \tau \nu$
 - Samples of $D^{**} \mu X$ not so small: control sample for $\mathcal{R}(D^*)$ measurement shown
 - To interpret results, need to split measurements between different D^{**} states
 - More work needed first on $B \rightarrow D^{**} \mu \nu$ modes

$$b \rightarrow u\tau\nu$$



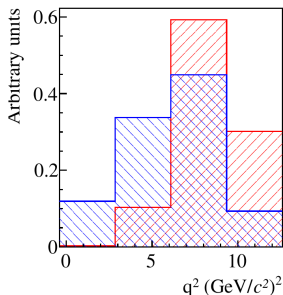
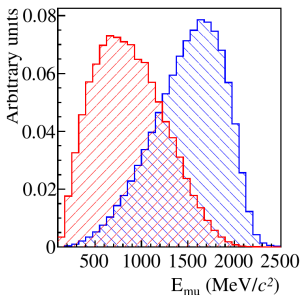
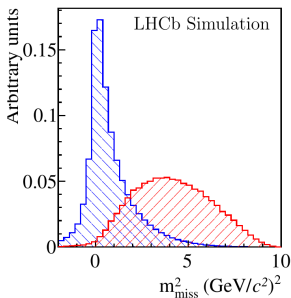
- If we establish a new physics signal in $b \rightarrow c\tau\nu$, would really want to test the flavour structure: $b \rightarrow u\tau\nu$
 - $b \rightarrow c\tau\nu$ hard enough to measure, before extra suppression \rightarrow background levels challenging
 - Requires very careful choice of channel to give us any hope
- $B \rightarrow p\bar{p}\tau\nu$ with $\tau \rightarrow \mu\nu\nu$
 - Experimentally the cleanest, Theoretically not so good...
 - Will make detailed measurements of corresponding $B \rightarrow p\bar{p}\mu\nu$ mode
- $\Lambda_b \rightarrow p\tau\nu$ with $\tau \rightarrow \pi\pi\pi\nu$?
 - Lattice calculations used to measure $|V_{ub}|$ with equivalent $\Lambda_b \rightarrow p\mu\nu$ mode \rightarrow already have a good theory prediction

Conclusion

- World average for $\mathcal{R}(D^{(*)})$ in 4.1σ tension with SM
- More updates to come soon from LHCb
 - Wide program of measurements in related channels
 - Published measurements still only Run 1 data!
- Not so long until Belle-II enters the game
- Will also start measuring observables beyond branching fractions
- All measurements are still limited by sample sizes, will continue to improve
- Exciting times ahead

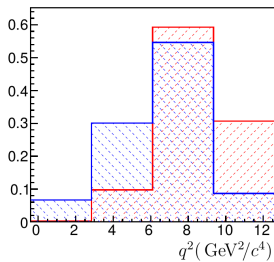
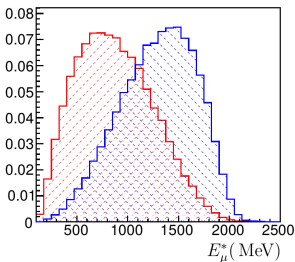
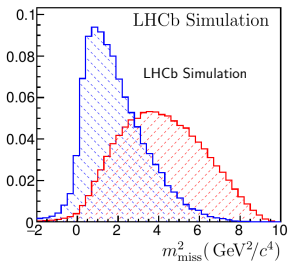
Backups

$$B \rightarrow D^* \mu \nu$$



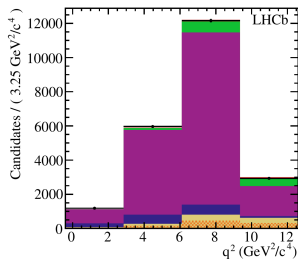
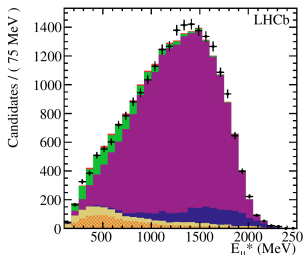
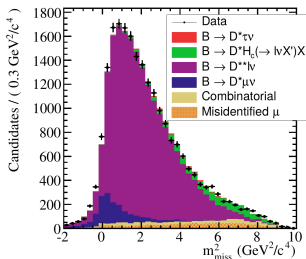
- $B \rightarrow D^* \mu \nu$ (black) vs $B \rightarrow D^* \tau \nu$ (red)
- $B \rightarrow D^* \mu \nu$ is both the normalisation mode, and the highest rate background ($\sim 20 \times B \rightarrow D^* \tau \nu$)
 - Use CLN parameterisation for form factors
 - Float form factors parameters in fit \rightarrow uncertainty taken into account

$$B \rightarrow D^{**} \mu^+ \nu$$



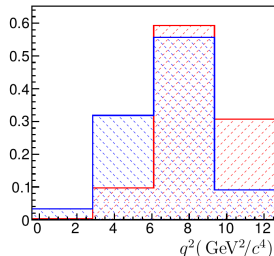
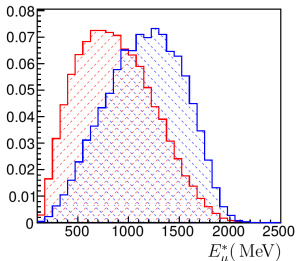
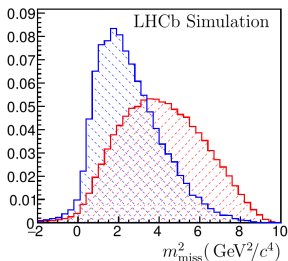
- $B \rightarrow D^{**} \mu^+ \nu$ refers to any higher charm resonances (or non resonant hadronic modes)
- Not so well measured
 - Set of states comprising D^{**} known to be incomplete
 - Decay models not well measured
- For the established states (shown in black):
 - Separate components for each resonance (D_1, D_2^*, D_1')
 - Use LLSW model ([Phys. Rev. D. \(1997\) 57 307](#)), float slope of Isgur-wise function

$B \rightarrow D^{**}(\rightarrow D^{*+}\pi)\mu\nu$ control sample



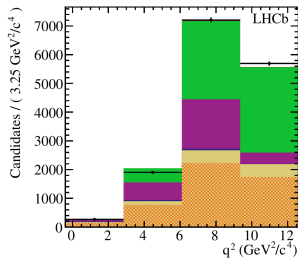
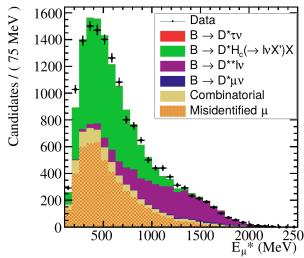
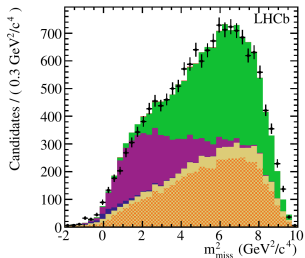
- Isolation MVA selects one track, $M_{D^{*+}\pi}$ around narrow D^{**} peak \rightarrow select a sample enhanced in $B \rightarrow D^{**}\mu^+\nu$
 - Use this to constrain, justify $B \rightarrow D^{**}\mu^+\nu$ shape for light D^{**} states
 - Also fit above, below narrow D^{**} peak region to check all regions of $M_{D^{*+}\pi}$ are modelled correctly in data

Higher $B \rightarrow D^{**} \mu^+ \nu$ states



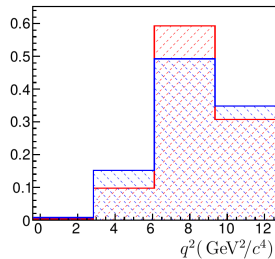
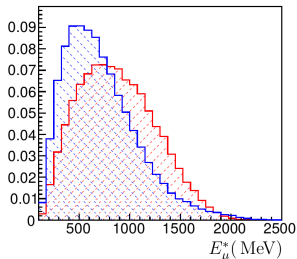
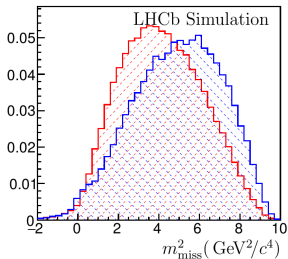
- Previously unmeasured $B \rightarrow D^{**}(\rightarrow D^{*+} \pi \pi) \mu \nu$ contributions recently measured by BaBar
 - Too little data to separate individual (non)resonant components
 - Single fit component, empirical treatment
- Constrain based on a control sample in data
 - Degrees of freedom considered: D^{**} mass spectrum, q^2 distribution
 - Effect of D^{**} mass spectrum negligible

$B \rightarrow D^{**}(\rightarrow D^{*+}\pi\pi)\mu\nu$ control sample



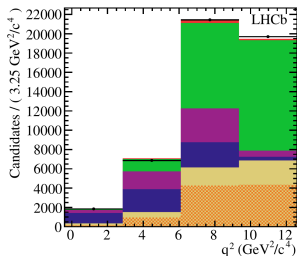
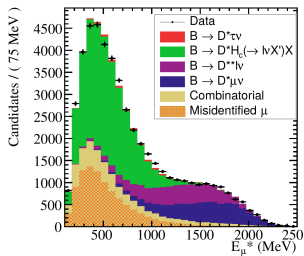
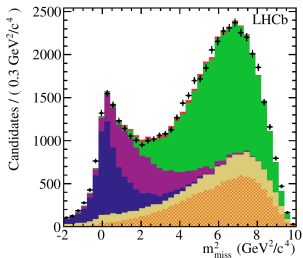
- Also look for two tracks with isolation MVA \rightarrow study $B \rightarrow D^{**}(\rightarrow D^{*+}\pi\pi)\mu\nu$ in data
- Can control shape of this background

$B \rightarrow D^* DX$



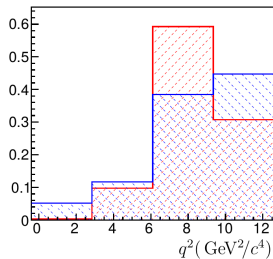
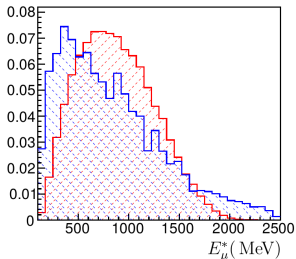
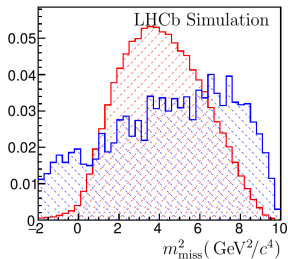
- $B \rightarrow D^* DX$ consists of a very large number of decay modes
 - Physics models for many modes not well established
- Constrain based on a control sample in data
- Single component, empirical treatment
 - Consider variations in M_{DD}
 - Multiply simulated distributions by second order polynomials
 - Parameters determined from data

$B \rightarrow D^* DX$ control sample



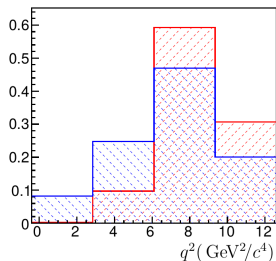
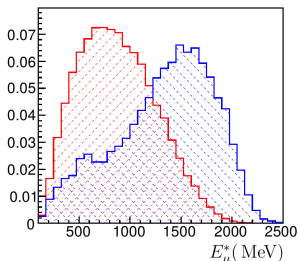
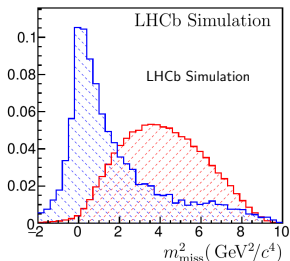
- Isolation MVA selects a track with loose kaon ID \rightarrow select a sample enhanced in $B \rightarrow D^* DX$
- Use this to constrain, justify $B \rightarrow D^* DX$ shape

Combinatorial backgrounds



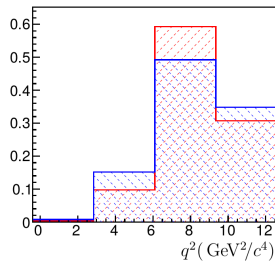
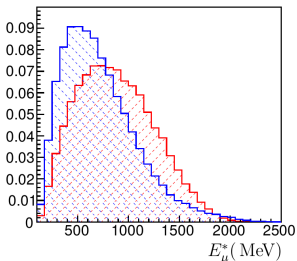
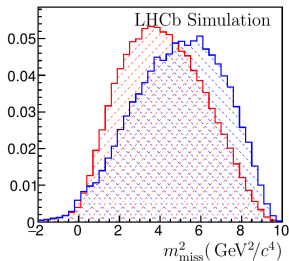
- Combinatorial background modelled using same-sign $D^{*+}\mu^+$ data
- Two sources of combinatorial background are treated separately (shown on next slide)

Combinatorial backgrounds



- Non D^{*+} backgrounds (fake D^*) template modelled using $D^0\pi^-$ data (shown)
 - Yield determined from sideband extrapolation beneath D^{*+} mass peak
- Hadrons misidentified as muons (fake muons)
 - Controlled using $D^{*+}h^{\pm}$ sample
 - Both template and expected yield can be determined
- Both of these are subtracted from $D^{*+}\mu^+$ template to avoid double counting

$D^{*+}\tau X$ backgrounds



- Two small backgrounds containing taus, each $< \sim 10\%$ of the signal yield: $B \rightarrow D^{**}\tau^+\nu$ (shown) and $B \rightarrow D^*(D_s \rightarrow \tau\nu)X$
 - Both too small to measure
- $B \rightarrow D^{**}\tau^+\nu$ constrained based on measured $B \rightarrow D^{**}\mu^+\nu$ yield, theoretical expectations ($\sim 50\%$ uncertainty)
- $B \rightarrow D^*(D_s \rightarrow \tau\nu)X$ constrained based on $B \rightarrow D^*DX$ yield, and measured branching fractions ($\sim 30\%$ uncertainty)

Systematics / efficiencies

Model uncertainties	Size ($\times 10^{-2}$)
→ Simulated sample size	2.0
→ Misidentified μ template shape	1.6
D^* form factors	0.6
$B \rightarrow D^*DX$ shape	0.5
$\mathcal{B}(B \rightarrow D^{**}\tau\nu)/\mathcal{B}(B \rightarrow D^{**}\mu\nu)$	0.5
$B \rightarrow [D^*\pi\pi]\mu\nu$ shape	0.4
Corrections to simulation	0.4
Combinatoric background shape	0.3
D^{**} form factors	0.3
$B \rightarrow D^*(D_s \rightarrow \tau\nu)X$ fraction	0.1
Total model uncertainty	2.8

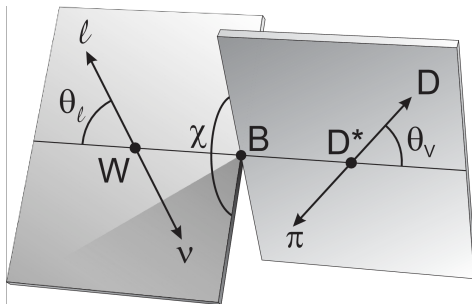
Multiplicative uncertainties	Size ($\times 10^{-2}$)
Simulated sample size	0.6
Hardware trigger efficiency	0.6
Particle identification efficiencies	0.3
Form-factors	0.2
$\mathcal{B}(\tau \rightarrow \mu\nu\nu)$	< 0.1
Total multiplicative uncertainty	0.9
Total systematic uncertainty	3.0

- Largest systematic from simulation statistics \rightarrow reducible in future
- Next largest systematic from choice of method used to construct fake muon template
- Other systematic from background modelling depend on control samples in data
 - No uncertainties limited by external inputs
- Systematics from ratio of $B \rightarrow D^*\mu\nu$ and $B \rightarrow D^*\tau\nu$ efficiencies small

Other hadronic analyses

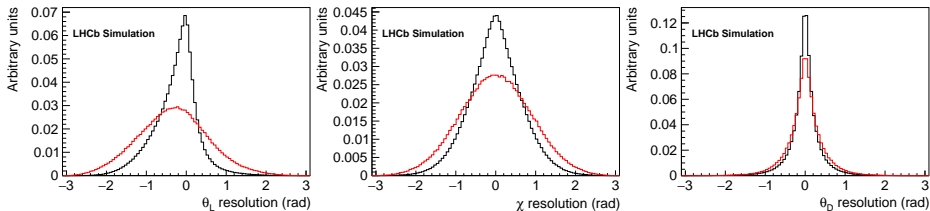
- After $\mathcal{R}(D^*)$, expect full program of measurements with hadronic tau
- $\mathcal{R}(\Lambda_c)$ already underway
- Key issue: normalisation channels
 - Hadronic $\mathcal{R}(D^*)$ measurement relies on precise external measurement of $B \rightarrow D^{*+} \pi^- \pi^+ \pi^-$
 - These do not exist for e.g $\Lambda_b \rightarrow \Lambda_c \pi^- \pi^+ \pi^-$
 - Plan to use theory calculation for $\mathcal{B}(\Lambda_b \rightarrow \Lambda_c \mu \nu) / \mathcal{B}(B \rightarrow D^* \mu \nu)$ to avoid dependence on Λ_b production fraction

Beyond R_s



- Ratios of branching fractions are only the first observable
 - q^2 , angles, τ/D^* polarisation have different sensitivity to new physics
- Variables fitted in $\tau \rightarrow \mu\nu\nu$ analyses already have some sensitivity to this
 - For now, measurements assume SM distributions (+ uncertainties)

Angular resolutions for $B \rightarrow D^* \tau \nu$ ($\tau \rightarrow \mu \nu \nu$)

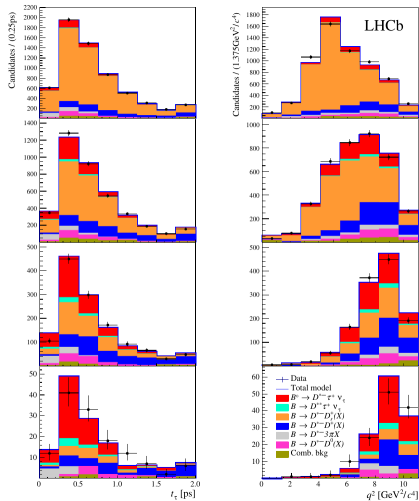


- Angular resolution for $B \rightarrow D^* \mu \nu$ (black) and $B \rightarrow D^* \tau \nu$ (red)
- Tau decay results in degradation of resolution
- Pretty wide, but have something to work with
 - Interesting measurements also possible in muonic modes
- Ideas for how to exploit this, some tools already exist
- Sensitivity not yet known, may need larger samples to really pin things down..

Future

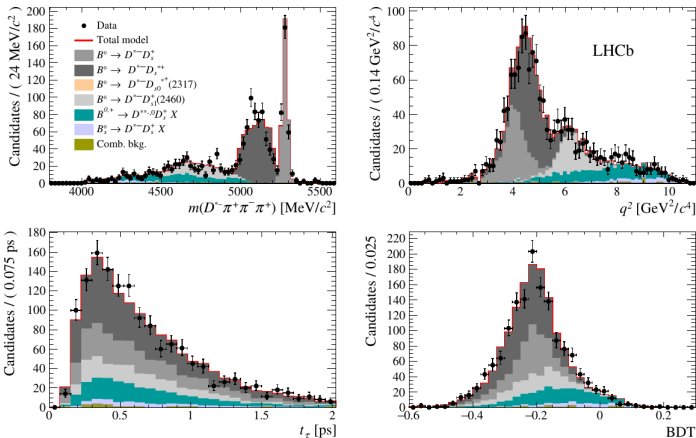
- What we have analysed now is a tiny fraction of the sample we will eventually collect
 - With 50 fb^{-1} (2021-2030), samples will grow by a factor ~ 30
 - With 300 fb^{-1} , (2034) samples will grow by a factor ~ 200
 - No sign that we hit a systematic limit
 - $O(10 \text{ million}) B \rightarrow D^* \tau \nu$ ($\tau \rightarrow \mu \nu \nu$) events \rightarrow huge power for angular analysis
 - Need to work together with theory to understand all contributions to the needed precision \rightarrow continuous process
 - Even more suppressed signals ($B_c \rightarrow J/\psi \tau \nu X$, $B \rightarrow D^{**} \tau \nu$, $b \rightarrow u \tau \nu$ modes?) can have high statistical precision

Fit



- Now in slices of BDT output

Dealing with $B \rightarrow D^*DX$



- Use data to control $B \rightarrow D^*DX$ modelling
- Can use $D_{(s)} \rightarrow \pi\pi\pi$ mass peak to select a pure $B \rightarrow D^*DX$ sample
- This controls the $B \rightarrow D^*DX$ modelling, but not the $D \rightarrow \pi\pi\pi X$