# SM PREDICTIONS FOR R(D) and $R(D^*)$

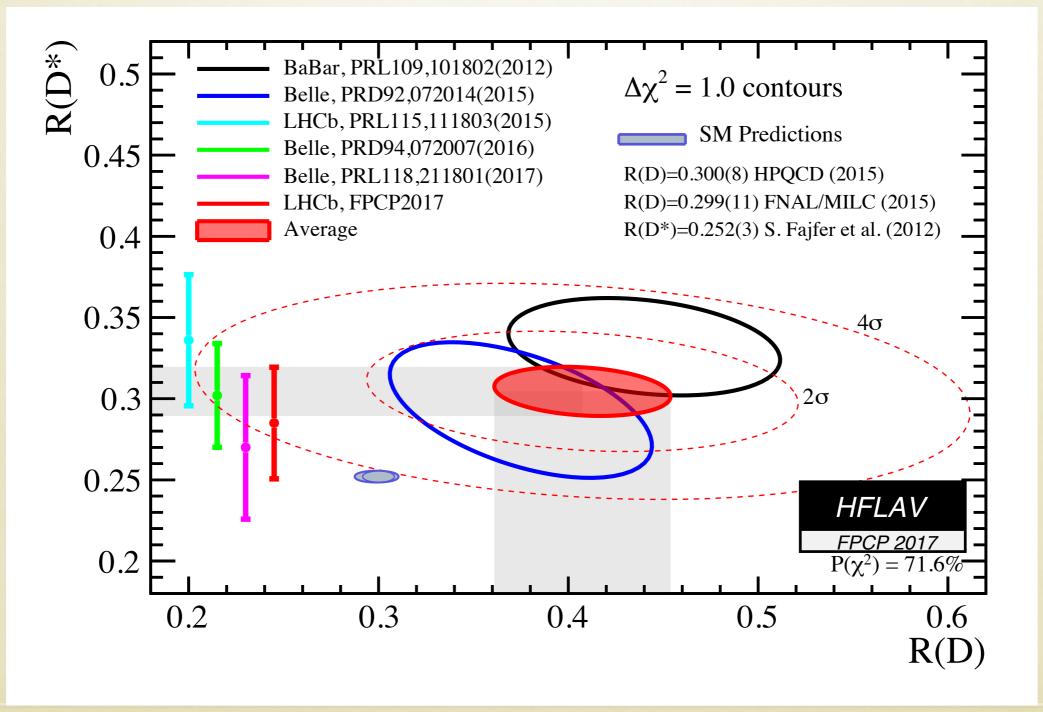
1606.08030 & 1707.09509 with D.Bigi and S. Schacht

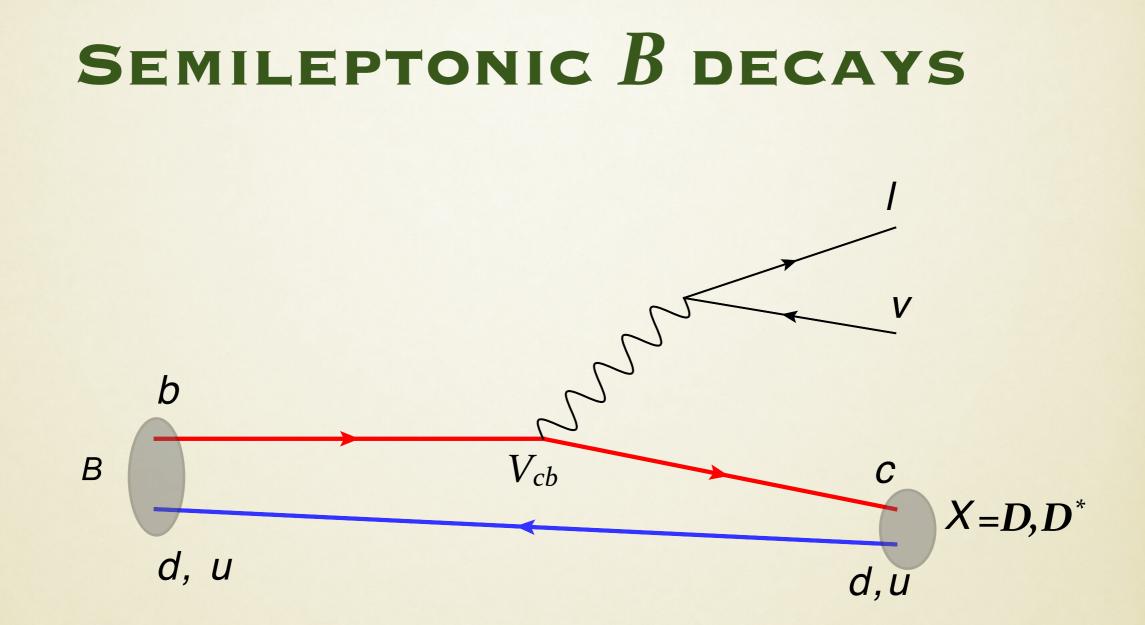
PAOLO GAMBINO UNIVERSITÀ DI TORINO & INFN

ZPW 2018, ZURICH, 15 JAN 2018

# **LEPTON FLAVOUR UNIVERSALITY VIOLATION?**

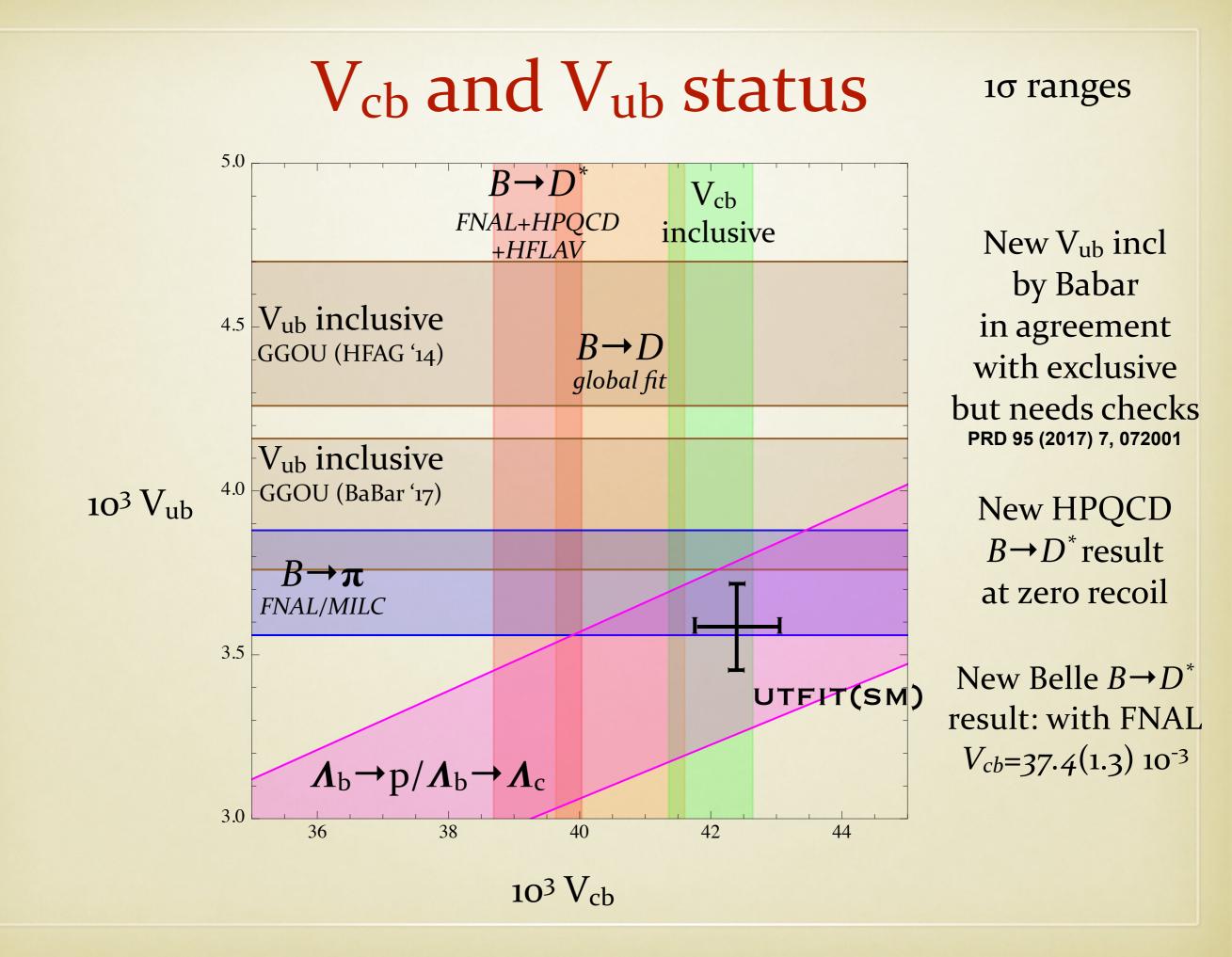
$$R(D^{(*)}) = \frac{\mathcal{B}(B \to D^{(*)}\tau\nu)}{\mathcal{B}(B \to D^{(*)}\mu\nu)}$$



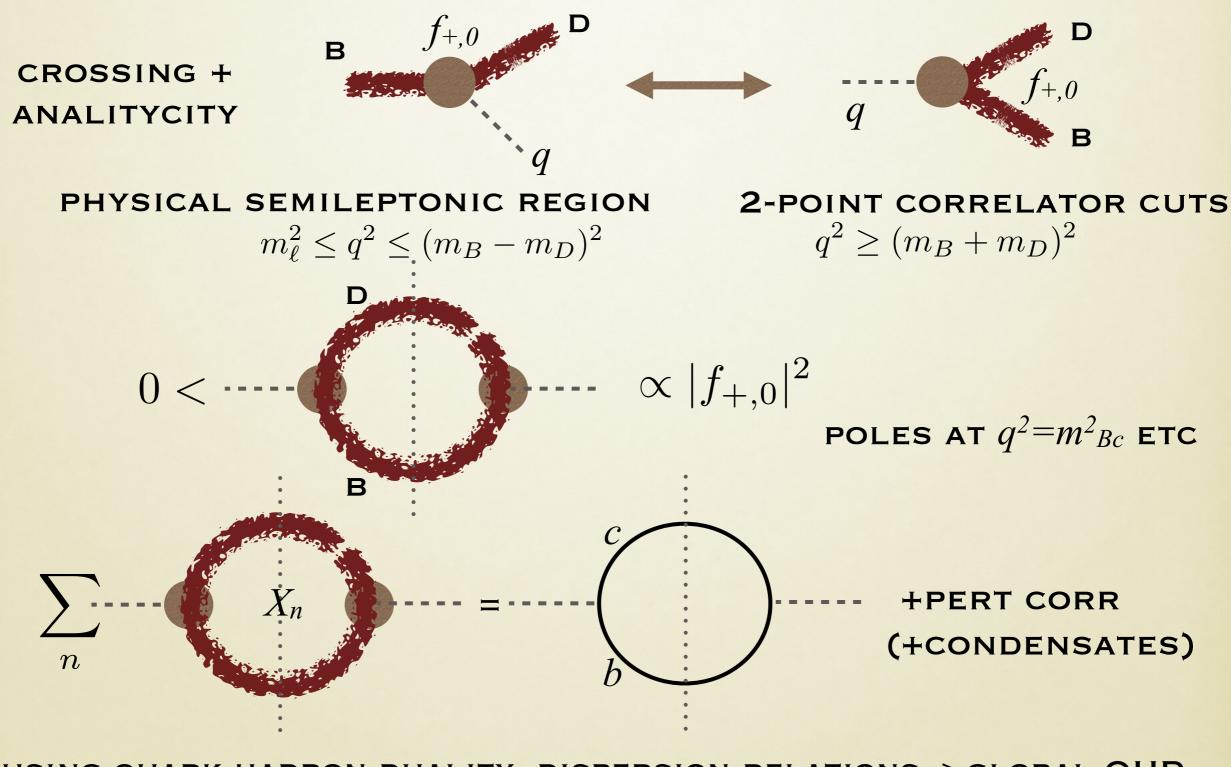


Allow for the determination of  $V_{cb}$ , which drops out of  $R(D,D^*)$ . There are 1(2) and 3(4) FFs for D and  $D^*$  for light (heavy) leptons, for instance

 $\langle D|\bar{c}\gamma^{\mu}b|B\rangle \propto f_{+,0}(q^2)$ 



#### **MODEL INDEPENDENT FF PARAMETRIZATION**



USING QUARK-HADRON DUALITY. DISPERSION RELATIONS→ GLOBAL QHD

### UNITARITY CONSTRAINTS

$$\begin{pmatrix} -g^{\mu\nu} + \frac{q^{\mu}q^{\nu}}{q^2} \end{pmatrix} \Pi^T(q^2) + \frac{q^{\mu}q^{\nu}}{q^2} \Pi^L(q^2) \equiv i \int d^4x \, e^{iqx} \langle 0|TJ^{\mu}(x)J^{\dagger\nu}(0)|0\rangle$$

$$\chi^L(q^2) = \frac{\partial \Pi^L}{\partial q^2}, \qquad \chi^T(q^2) = \frac{1}{2} \frac{\partial^2 \Pi^T}{\partial (q^2)^2}$$

SATISFY UNSUBTRACTED DISP REL, PERT CALCULATION FOR  $q^2=0$  Boyd, Grinstein, Lebed 1995

$$\begin{split} \chi_V^T(0) &= \left[ 5.883 + 0.552_{\alpha_s} + 0.050_{\alpha_s^2} \right] \, 10^{-4} \, \text{GeV}^{-2} = 6.486(48) \, \, 10^{-4} \, \text{GeV}^{-2} \\ \chi_V^L(0) &= \left[ 5.456 + 0.782_{\alpha_s} - 0.034_{\alpha_s^2} \right] \, 10^{-3} = 6.204(81) \, \, 10^{-3} \, \text{\&} \text{ analogous for axial etc} \end{split}$$

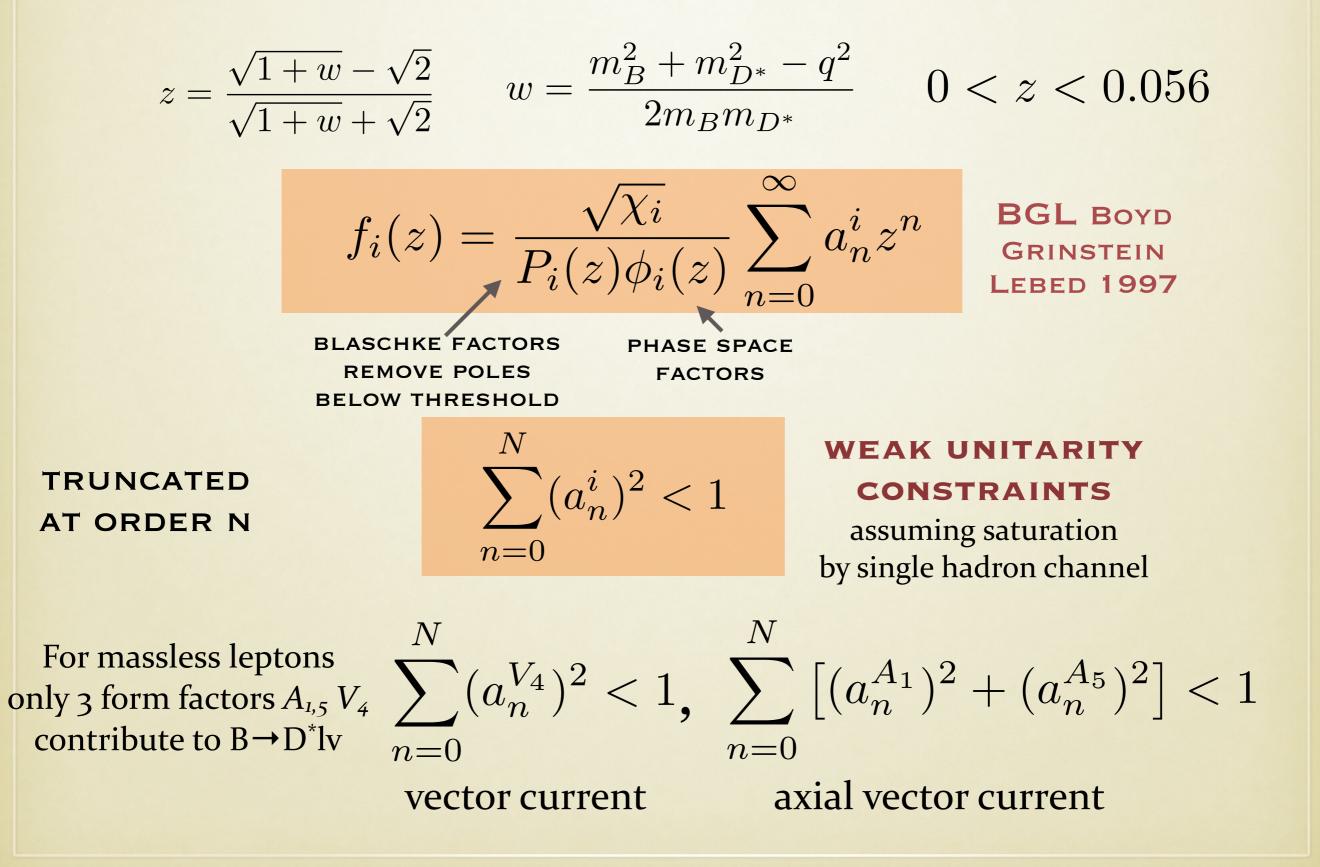
USING UP-TO-DATE QUARK MASSES AND 3LOOP CALCULATION Grigo et al 2012

$$\tilde{\chi}^T(0) = \chi^T(0) - \sum_{n=1,2} \frac{f_n^2(B_c^*)}{M_n^4(B_c^*)}$$

SUBTRACT BOUND STATE CONTRIBUTIONS

Type	Mass $(GeV)$	Decay constants (GeV)
1-	6.329(3)	0.422(13)
1-	6.920(20)	0.300(30)
1-	7.020	
1-	7.280	
$0^{+}$	6.716	
$0^{+}$	7.121	

### UNITARITY CONSTRAINTS



# STRONG UNITARITY CONSTRAINTS

Information on other channels makes the constraints tighter. HQS implies that all  $B^{(*)} \rightarrow D^{(*)}$  ff either vanish or are prop to the Isgur-Wise function: any ff  $F_j$  can be expressed as

$$F_j(z) = \left(\frac{F_j}{F_i}\right)_{\text{HQET}} F_i(z)$$

which leads to (hyper)ellipsoids in the *a<sub>i</sub>* space for *S*, *P*, *V*, *A* currents

$$\sum_{i=1}^{H} \sum_{n=0}^{N} b_{in}^2 < 1$$

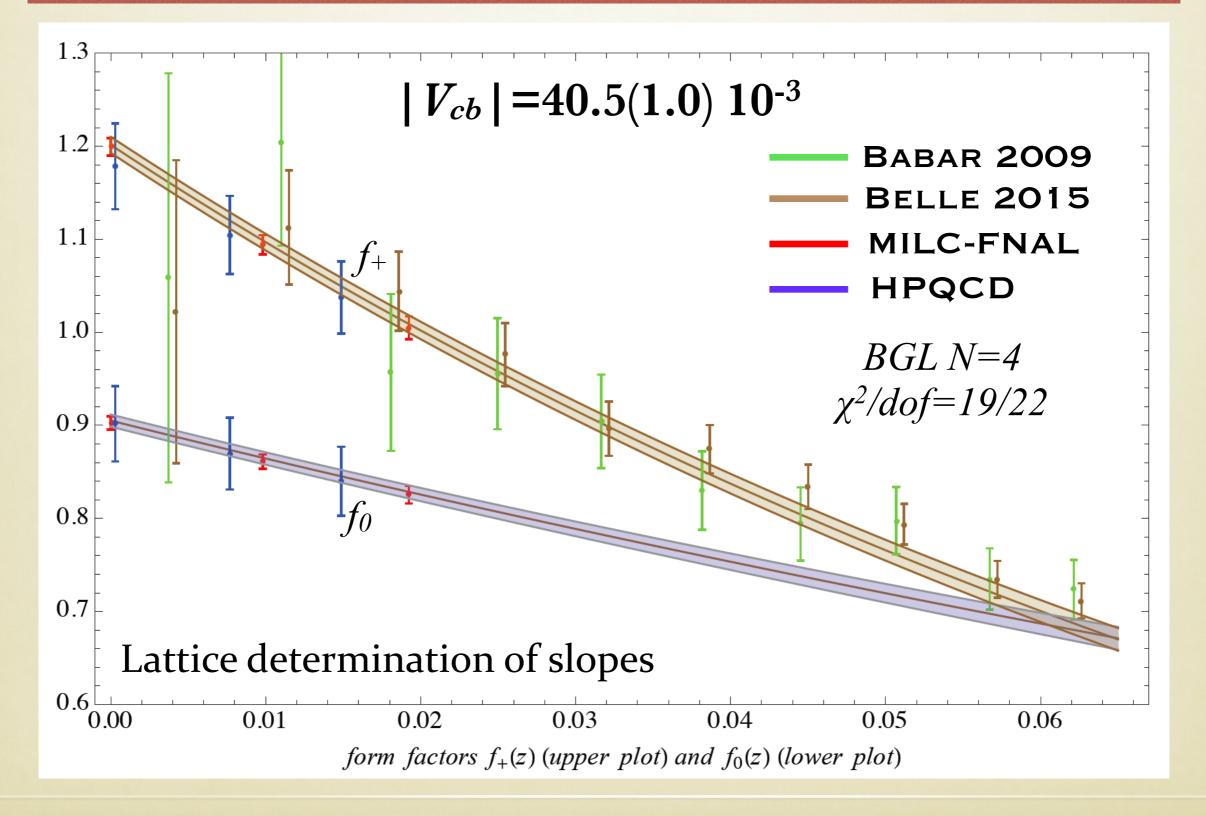
**CLN** exploit NLO HQET relations between form factors + QCD sum rules to reduce parameters for ff... **up to < 2% uncertainty (?), never included in exp analysis.** 

CAPRINI LELLOUCH NEUBERT CLN 1998

$$\begin{aligned} f_{+}(z) &\simeq f_{+}(0) \left[ 1 - 8\rho_{1}^{2}z + (51\rho_{1}^{2} - 10)z^{2} - (252\rho_{1}^{2} - 84)z^{3} \right] \\ \frac{f_{0}(z)}{f_{+}(z)} &\simeq \left( \frac{2\sqrt{r}}{1+r} \right)^{2} \frac{1+w}{2} 1.0036 \left[ 1 - 0.0068w_{1} + 0.0017w_{1}^{2} - 0.0013w_{1}^{3} \right] \\ h_{A1}(z) &= h_{A1}(1) \left[ 1 - 8\rho^{2}z + (53\rho^{2} - 15)z^{2} - (231\rho^{2} - 91)z^{3} \right] \\ R_{1}(w) &= R_{1}(1) - 0.12w_{1} + 0.05w_{1}^{2} \\ R_{2}(w) &= R_{2}(1) + 0.11w_{1} - 0.06w_{1}^{2} \end{aligned}$$

Global fit to  $B \rightarrow Dlv$ 

D.Bigi, PG arXiv:1606.08030



# Global fit to $B \rightarrow Dlv$

- |*V<sub>cb</sub>* | =40.49(0.97) 10<sup>-3</sup> compatible with inclusive, same for BGL, BCL parametrizations
- Constrained fit with **strong unitarity bounds** (weak bounds lead to similar results with slightly larger errors)
- CLN relies heavily on HQET: its intrinsic uncertainties can no longer be neglected
- fit assumes no correlation between FNAL and HPQCD, 3% syst error on Babar data, correct treatment of last bin, no finite size bin effect.
- Non-zero recoil lattice results are <u>crucial</u>: only zero recoil leads to  $|V_{cb}| = 39.6(2.0) \ 10^{-3} \ (BGL)$
- Possible improvements from more precise data (Belle-II, reanalysis of Babar data), lattice calculations, QED corrections
- *R(D)=0.299(3)* 2.4σ from HFLAV average 0.407(46)

Ref.	R(D)	Deviation			
Experiment [HFLAV update]	0.407(39)(24)				
2016/17 theory results, using new lattice and exp. data:					
[Bigi Gambino 1606.08030]	0.299(3)	$2.4\sigma$			
[Bernlochner Ligeti Papucci Robinson 1703.05330]	0.299(3)	$2.4\sigma$			
[Jaiswal Nandi Patra 1707.09977]	0.302(3)	$2.3\sigma$			
2012 theory results:					
[Fajfer Kamenik Nisandzic 1203.2654]	0.296(16)	$2.3\sigma$			
[Celis Jung Li Pich 1210.8443]	$0.296\binom{8}{6}(15)$	$2.3\sigma$			
[Tanaka Watanabe 1212.1878]	0.305(12)	$2.2\sigma$			

LATTICE ONLY RESULTS HPQCD 2015: 0.300(8), FNAL/MILC 2015: 0.299(11)

# $|V_{cb}|$ from $B \rightarrow D^* \ell v$

So far LQCD gives only light lepton FF at zero recoil, w=1, where rate vanishes and the FF is  $\mathcal{F}(1) = \eta_A \left[ 1 + O\left(\frac{1}{m_c^2}\right) + \dots \right]$ 

Exp error only ~1.3%:  $\mathcal{F}(1)\eta_{ew}|V_{cb}| = 35.61(45) \times 10^{-3}$  (extrapolation to zero recoil with CLN parameterization)

Two unquenched calculations

T(1) = 0.906(13)

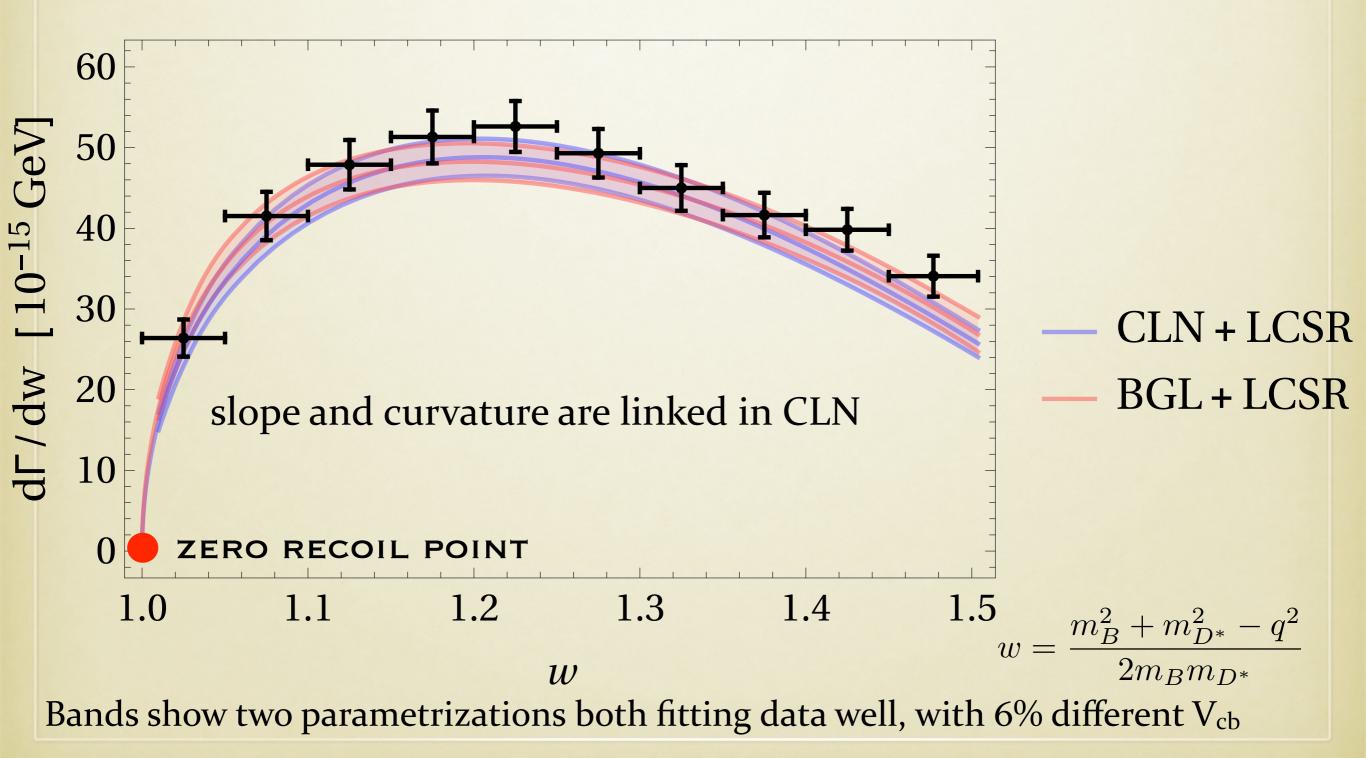
 $\mathcal{F}(1) = 0.881(22)$ 

Bailey et al 1403.0635 (FNAL/MILC) Using their average 0.900(11): Harrison et al 1711.11013 (HPQCD)

 $|V_{cb}| = 39.31(72) \, 10^{-3}$ 

~ 2.8σ or ~ 7% from inclusive determination 42.00(65) 10<sup>-3</sup> PG,Healey,Turczyk 2016 NB Heavy Quark Sum Rules estimate F(1)=0.86(2) PG,Mannel,Uraltsev 2012

### Preliminary Belle analysis of $B \rightarrow D^* lv$ 1702.01521 for the first time *w* and angular deconvoluted distributions independent of parameterization. All previous analyses are CLN based.



# FITS WITH WEAK CONSTRAINTS

1703.06124

#### CLN

BGL (N=2)

	Data + lattice	Data + lattice + LCSR			Data + lattice + LCSR
$\chi^2/dof$	34.3/36	34.8/39	$\chi^2/dof$	27.9/32	31.4/35
$ V_{cb} $	0.0382(15)	0.0382(14)	$ V_{cb}\rangle$	$0.0417 \left( {}^{+20}_{-21}  ight)$	$0.0404 \begin{pmatrix} +16 \\ -17 \end{pmatrix}$
$\rho_{D^*}^2$	$1.17 \begin{pmatrix} +15 \\ -16 \end{pmatrix}$	1.16(14)	$a_0^f$	0.01223(18)	0.01224(18)
$R_{1}(1)$	$1.391 \begin{pmatrix} +92 \\ -88 \end{pmatrix}$	1.372 (36)	$a_1^f$	$-0.054\left(^{+58}_{-43} ight)$	$-0.052\left(^{+27}_{-15} ight)$
$R_{2}(1)$	$0.913 \begin{pmatrix} -33 \\ -80 \end{pmatrix}$	$0.916 \left( {}^{+65}_{-70} \right)$	$a_2^f$	$0.2\left(^{+7}_{-12} ight)$	$1.0 \begin{pmatrix} +0 \\ -5 \end{pmatrix}$
$h_{A_1}(1)$	0.906 (13)	0.906 (13)	$a_1^{\mathcal{F}_1}$	$-0.0100\left(^{+61}_{-56} ight)$	$-0.0070\left(^{+54}_{-52} ight)$
			$a_2^{\mathcal{F}_1}$	0.12(10)	$0.089 \begin{pmatrix} +96 \\ -100 \end{pmatrix}$
reproduces			$a_0^g$	$0.012 \begin{pmatrix} +11 \\ -8 \end{pmatrix}$	$0.0289 \begin{pmatrix} +57 \\ -37 \end{pmatrix}$
Belle's deconvoluted		$a_1^g$	$0.7 \left( {}^{+3}_{-4} \right)$	$0.08 \left( {}^{+8}_{-22} \right)$	
results. Best CLN analysis V <sub>cb</sub> =0.0374(13)		$a_2^g$	$0.8 \left(^{+2}_{-17}\right)$	$-1.0(^{+20}_{-0})$	

see also Grinstein & Kobach, 1703.08170

Jaiswal et al., 1707.09977

#### 9% and 6% (with LCSR) difference in V<sub>cb</sub>

Bernlochner et al. 1708.07134

LCSR: Light Cone Sum Rule results from Faller et al, 0809.0222

 $h_{A_1}(w_{max}) = 0.65(18),$  $R_1(w_{max}) = 1.32(4), \quad R_2(w_{max}) = 0.91(17)$ 

# LATTICE WILL CLARIFY...

Future lattice fits	$\chi^2/dof$	$ V_{cb} $
		0.0407(12)
		0.0406(12)
$\operatorname{BGL}$	28.2/33	0.0409(15)
BGL+LCSR	31.4/36	0.0404(13)

assuming Lattice QCD will provide an estimate of the slope with 5% accuracy

$$\frac{\partial \mathcal{F}}{\partial w}|_{w=1} = -1.44 \pm 0.07$$

# HQS breaking in FF relations

**HQET:** 
$$F_i(w) = \xi(w) \left[ 1 + c^i_{\alpha_s} \frac{\alpha_s}{\pi} + c^i_b \epsilon_b + c^i_c \epsilon_c + \dots \right] \quad \epsilon_{b,c} = \overline{\Lambda}/2m_{b,c}$$

 $\begin{aligned} \eta(1) &= 0.62 \pm 0.20, & \eta'(1) = 0.0 \pm 0.2, \\ \hat{\chi}_2(1) &= -0.06 \pm 0.02 & \hat{\chi}_2'(1) = 0 \pm 0.02 \\ \hat{\chi}_3'(1) &= 0.04 \pm 0.02. \end{aligned}$ 

Subleading IW functions from QCD sumrules Neubert, Ligeti, Nir 1992-93 Bernlochner et al 1703.05330

$$\begin{array}{ll} \textbf{RATIOS} & \frac{F_j(w)}{V_1(w)} = A_j \left[ 1 + B_j \, w_1 + C_j \, w_1^2 + D_j \, w_1^3 + \dots \right] & w_1 = w - 1 \\ & \textbf{Roughly} & \epsilon_c \sim 0.25, & \epsilon_c^2 \sim 0.06 & \textbf{but coefficients?} \\ \frac{S_1(w)}{V_1(w)} \Big|_{\text{LQCD}} = 0.975(6) + 0.055(18)(w - 1) + \dots & \frac{S_1(w)}{V_1(w)} \Big|_{\text{HQET}} = 1.021(30) - 0.044(64)(w - 1) + \dots \\ \frac{A_1(1)}{V_1(1)} \Big|_{\text{LQCD}} = 0.857(15), & \frac{A_1(1)}{V_1(1)} \Big|_{\text{HQET}} = 0.966(28) \\ \frac{S_1(1)}{A_1(1)} \Big|_{\text{LQCD}} = 1.137(21). & \frac{S_1(1)}{A_1(1)} \Big|_{\text{HQET}} = 1.055(2), \end{array}$$

5-13% differences, always > NLO correction

$F_j$	$A_j$	$B_{j}$	$C_{j}$	$D_j$
$S_1$	1.0208	-0.0436	0.0201	-0.0105
$S_2$	1.0208	-0.0749	-0.0846	0.0418
$S_3$	1.0208	0.0710	-0.1903	0.0947
$P_1$	1.2089	-0.2164	0.0026	-0.0007
$P_2$	0.8938	-0.0949	0.0034	-0.0009
$P_3$	1.0544	-0.2490	0.0030	-0.0008
$V_1$	1	0	0	0
$V_2$	1.0894	-0.2251	0.0000	0.0000
$V_3$	1.1777	-0.2651	0.0000	0.0000
$V_4$	1.2351	-0.1492	-0.0012	0.0003
$V_5$	1.0399	-0.0440	-0.0014	0.0004
$V_6$	1.5808	-0.1835	-0.0009	0.0003
$V_7$	1.3856	-0.1821	-0.0011	0.0003
$A_1$	0.9656	-0.0704	-0.0580	0.0276
$A_2$	0.9656	-0.0280	-0.0074	0.0023
$A_3$	0.9656	-0.0629	-0.0969	0.0470
$A_4$	0.9656	-0.0009	-0.1475	0.0723
$A_5$	0.9656	0.3488	-0.2944	0.1456
$A_6$	0.9656	-0.2548	0.0978	-0.0504
$A_7$	0.9656	-0.0528	-0.0942	0.0455

The size of NLO corrections varies strongly. Some ff are protected by Luke's theorem (no 1/m corrections at zero recoil), others are linked by kinematic relations at max recoil to those protected

NNLO corrections can be sizeable and are naturally O(10-20)%

$$\frac{F_j(w)}{V_1(w)} = A_j \left[ 1 + B_j w_1 + C_j w_1^2 + D_j w_1^3 + . \right]$$

#### Fit to new Belle's data + total branching ratio (world average) with strong unitarity bounds (with uncertainties & LQCD inputs) for reference CLN fit: $V_{cb}|=0.0392(12)$

BGL Fit:	Data + lattice	Data + lattice + LCSR	Data + lattice	Data + lattice + LCSR
unitarity	weak	weak	$\operatorname{strong}$	strong
$\chi^2/dof$	28.2/33	32.0/36	29.6/33	33.1/36
$ V_{cb} $	0.0424 (18)	0.0413(14)	0.0415(13)	$0.0406 \begin{pmatrix} +12 \\ -13 \end{pmatrix}$
$a_0^{A_1}$	0.01218(16)	0.01218(16)	0.01218(16)	0.01218(16)
$a_{1}^{A_{1}}$	$-0.053\left(^{+56}_{-44} ight)$	$-0.052\left(^{+25}_{-14} ight)$	$-0.046(^{+34}_{-18})$	$-0.029(^{+21}_{-13})$
$a_{2}^{A_{1}}$	$0.2 \begin{pmatrix} +8\\ -12 \end{pmatrix}$	$0.99\binom{+0}{-46}$	$0.48(^{+2}_{-92})$	$0.5(^{+0}_{-3})$
$a_1^{A_5}$	$-0.0101\left(^{+59}_{-55} ight)$	$-0.0072 \begin{pmatrix} +52\\ -50 \end{pmatrix}$	$-0.0063(^{+36}_{-11})$	$-0.0051(^{+49}_{-13})$
$a_{2}^{A_{5}}$	0.12(10)	$0.092 \begin{pmatrix} +92\\ -95 \end{pmatrix}$	$0.062(^{+4}_{-64})$	$0.065 \begin{pmatrix} +9\\ -89 \end{pmatrix}$
$a_0^{V_4}$	$0.011 \begin{pmatrix} +10 \\ -8 \end{pmatrix}$	$0.0286 \begin{pmatrix} +55\\ -36 \end{pmatrix}$	$0.0209(^{+44}_{-0})$	$0.0299(^{+53}_{-35})$
$a_{1}^{V_{4}}$	$0.7 \begin{pmatrix} +3 \\ -4 \end{pmatrix}$	$0.08 \begin{pmatrix} +8\\ -22 \end{pmatrix}$	$0.33(^{+4}_{-17})$	$0.04(^{+7}_{-20})$
$a_{2}^{V_{4}}$	$0.7 \begin{pmatrix} +2\\ -17 \end{pmatrix}$	$-1.0\left(^{+20}_{-0} ight)$	$0.6(^{+2}_{-13})$	$-0.9(^{+18}_{-0})$

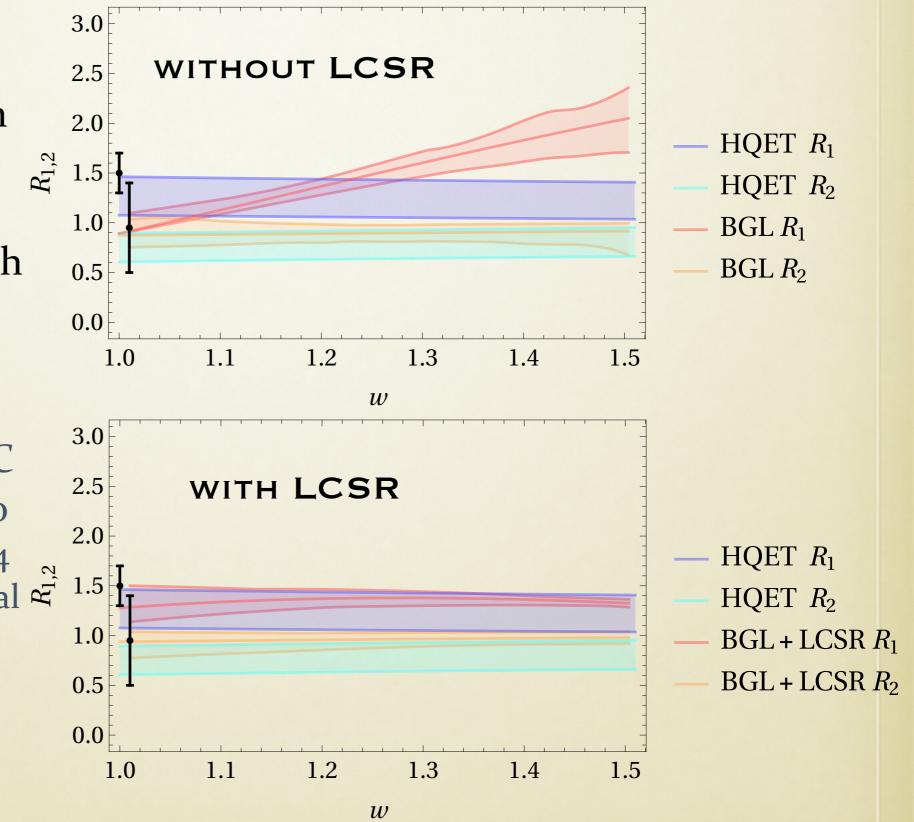
1707.09509

#### Using strong unitarity bounds brings BGL closer to CLN and reduce uncertainties but 3.5-5% difference persists

### **CONSISTENCY WITH HQS**

Comparison of R<sub>1,2</sub> from BGL fit vs HQET+QCD sum rule predictions (with parametric + 15% th uncertainty)

black points from preliminary FNAL-MILC calculation according to Bernlochner et al 1708.07134 (before continuum and chiral extrapolations...)



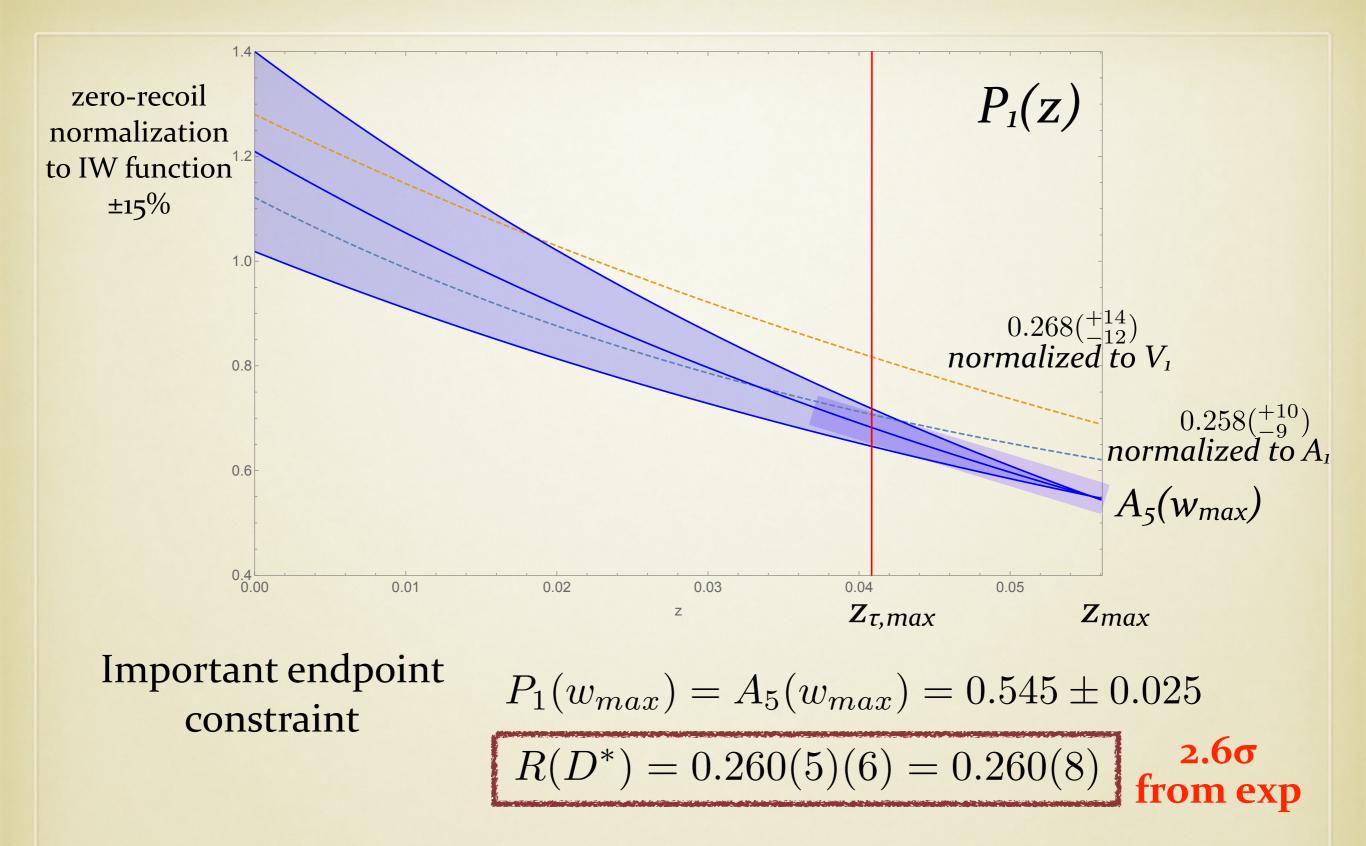
# Calculation of $R(D^*)$

$$\frac{d\Gamma_{\tau}}{dw} = \frac{d\Gamma_{\tau,1}}{dw} + \frac{d\Gamma_{\tau,2}}{dw} \qquad \begin{cases} \frac{d\Gamma_{\tau,1}}{dw} = \left(1 - \frac{m_{\tau}^2}{q^2}\right)^2 \left(1 + \frac{m_{\tau}^2}{2q^2}\right) \frac{d\Gamma}{dw}, \\ \frac{d\Gamma_{\tau,2}}{dw} = k \frac{m_{\tau}^2 (m_{\tau}^2 - q^2)^2 r^3 (1 + r)^2 (w^2 - 1)^3}{(q^2)^3} \underbrace{P_1(w)^2}_{\pm 30\%!!} \\ R(D^*) = R_{\tau,1}(D^*) + R_{\tau,2}(D^*) \\ R_{\tau,1}(D^*) = \frac{\int_1^{w_{\tau,\max}} dw \, d\Gamma_{\tau,1}/dw}{\int_1^{w_{\max}} dw \, d\Gamma/dw} \\ R_{\tau,2}(D^*) = \frac{\int_1^{w_{\tau,\max}} dw \, d\Gamma_{\tau,2}/dw}{\int_1^{w_{\max}} dw \, d\Gamma/dw} \end{cases} \qquad w_{\max} \approx 1.56, \qquad w_{\tau,\max} \approx 1.35$$

 $P_{i}$  is a new FF, for which no lattice calculation  $R_{\tau,1} \sim 90\% R_{\tau}$   $R_{\tau,2} \sim 10\% R_{\tau}$  is yet available, but its contribution is only ~10%

Again, normalize  $P_1$  to one of the FF with proper uncertainties

 $P_1 = (P_1/V_1)_{\text{HQET}} V_1^{exp} \qquad P_1 = (P_1/A_1)_{\text{HQET}} A_1^{exp} \qquad P_1 = \xi(w)(1+\dots)_{\text{HQET}}$ 

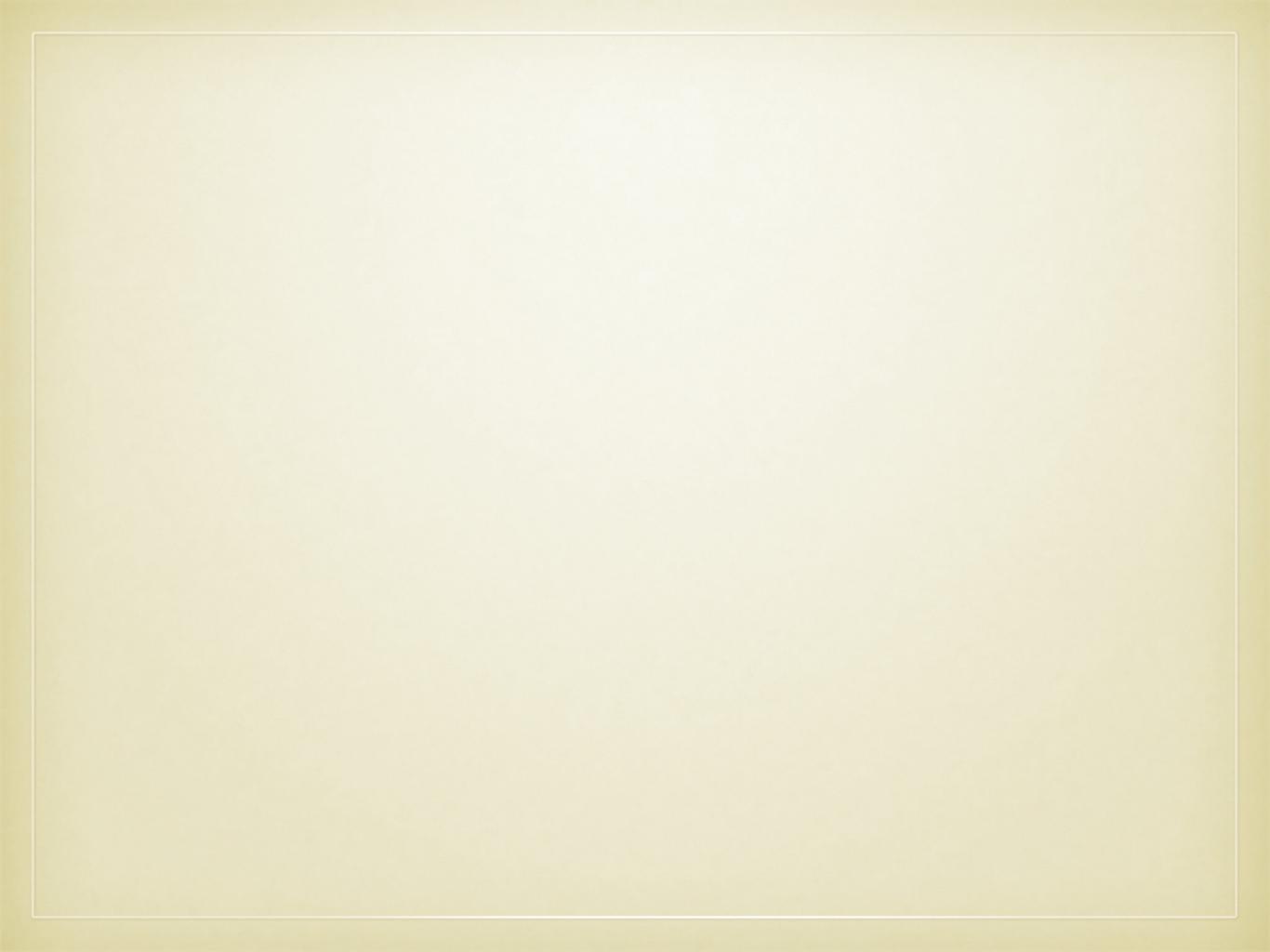


Consistent with previous estimates but with larger uncertainty

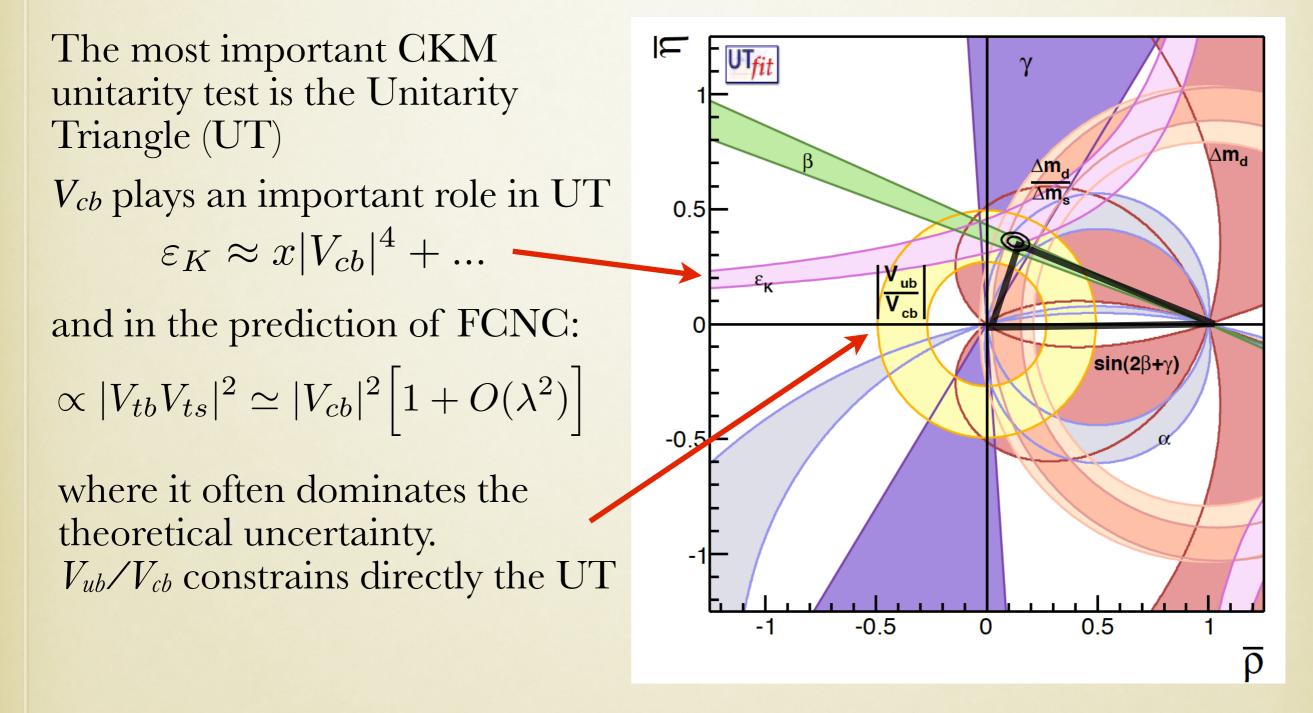
Ref.	$R(D^*)$	Deviation			
Experiment [HFLAV update]	0.304(13)(7)				
2017 theory results, using new lattice and exp. data:					
[Bernlochner Ligeti Papucci Robinson 1703.05330]	0.257(3)	$3.1\sigma$			
Our result [Bigi Gambino Schacht 1707.09509]	0.260(8)	$2.6\sigma$			
[Jaiswal Nandi Patra 1707.09977]	0.257(5)	$3.0\sigma$			
2012 theory results:					
[Fajfer Kamenik Nisandzic 1203.2654]	0.252(3)	$3.5\sigma$			
[Celis Jung Li Pich 1210.8443]	0.252(2)(3)	$3.4\sigma$			
[Tanaka Watanabe 1212.1878]	0.252(4)	$3.4\sigma$			

# SUMMARY

- The SM prediction for *R*(*D*) has 1% accuracy and appears reliable (there are two lattice calculations at non-zero recoil of all relevant FFs)
- For R(D<sup>\*</sup>) we only have the FF at zero recoil for light leptons and we have to rely on HQET + QCD sum rules. Hence larger uncertainty, but the anomaly persists. A LQCD determination of P<sub>1</sub> at zero recoil would cut the uncertainty by almost 2.
- Is the  $V_{cb}$  puzzle resolved? not quite yet... but a few pieces fit together. The uncertainty in  $B \rightarrow D^* lv$  was underestimated, old data should be reanalised.
- We revisited main ideas behind CLN, using LQCD & exp results and conservative theory uncertainties, and obtained *strong* unitarity bounds on BGL coefficients. We do *not* give a simplified parametrization. Our results provide a good framework for future exp analyses.

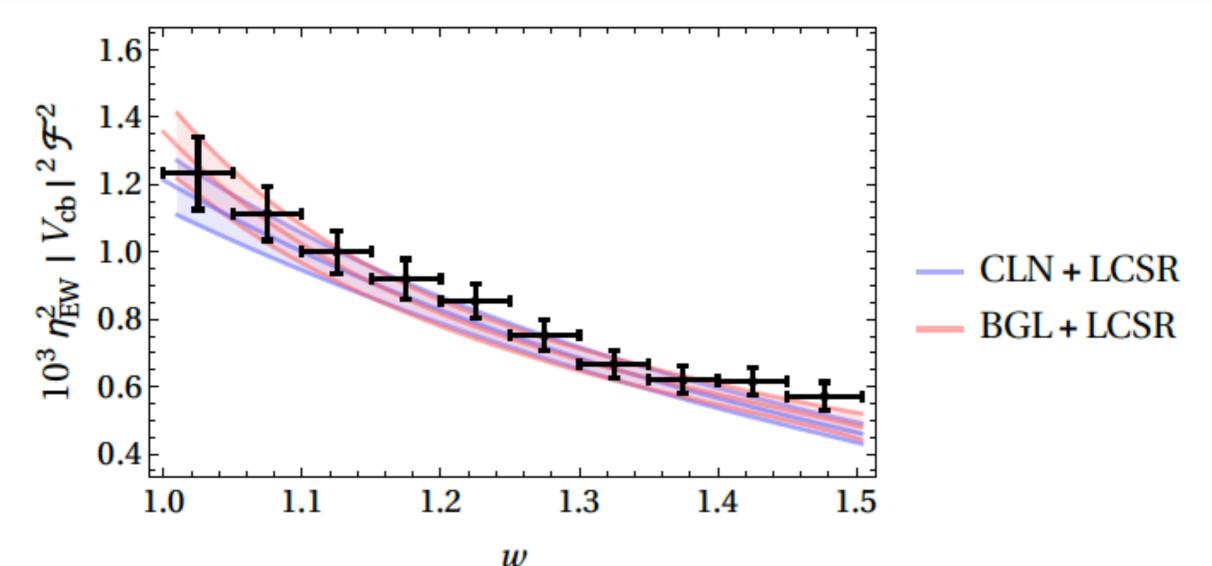


# **IMPORTANCE OF** $|V_{xb}|$



Since several years, exclusive decays prefer smaller  $|V_{ub}|$  and  $|V_{cb}|$ 

### Main reason for deviation



CLN fit has limited flexibility of slope.

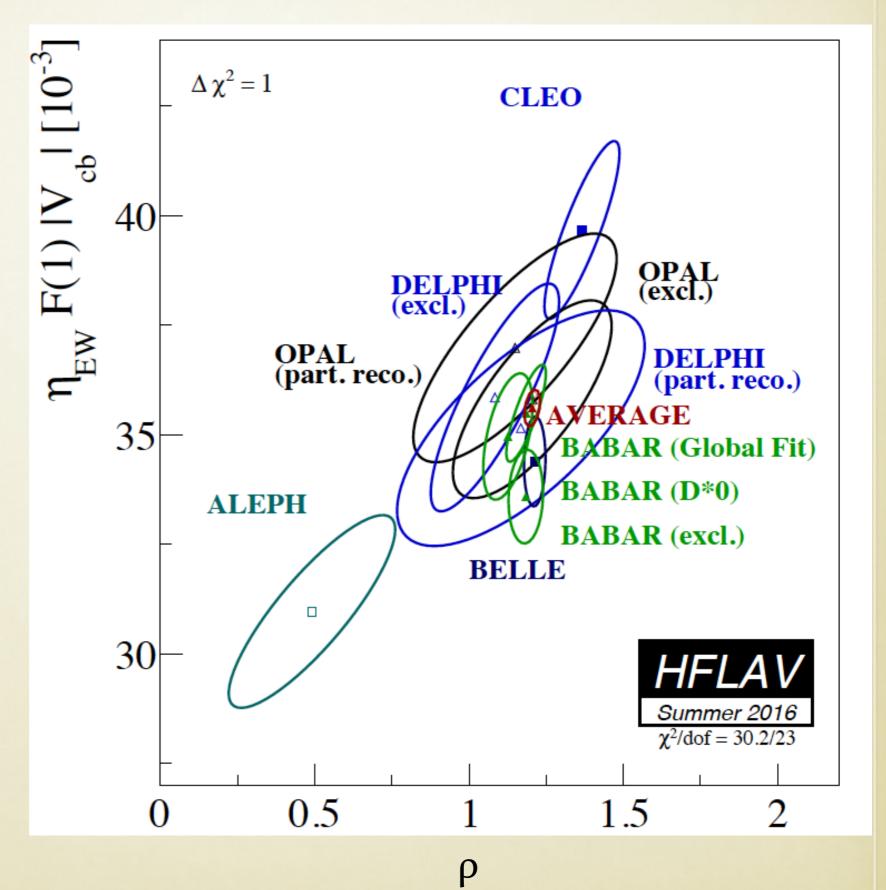
CLN band underestimates all three low recoil points.

- Extrapolation near w = 1 crucial: Lattice input for  $V_{cb}$  extraction.
- CLN fit with free floating  $R_{1,2}$  slopes (wo LCSR):  $|V_{cb}| = 0.0415(19)$ .
- Intrinsic uncertainties of CLN fit can no longer be neglected.

Stefan Schacht

Since almost 20 years experimental & theory analyses of B->D<sup>(\*)</sup>lv are based on the CLN (Caprini,Lellouch, Neubert, 1998) parametrization of the form factors.

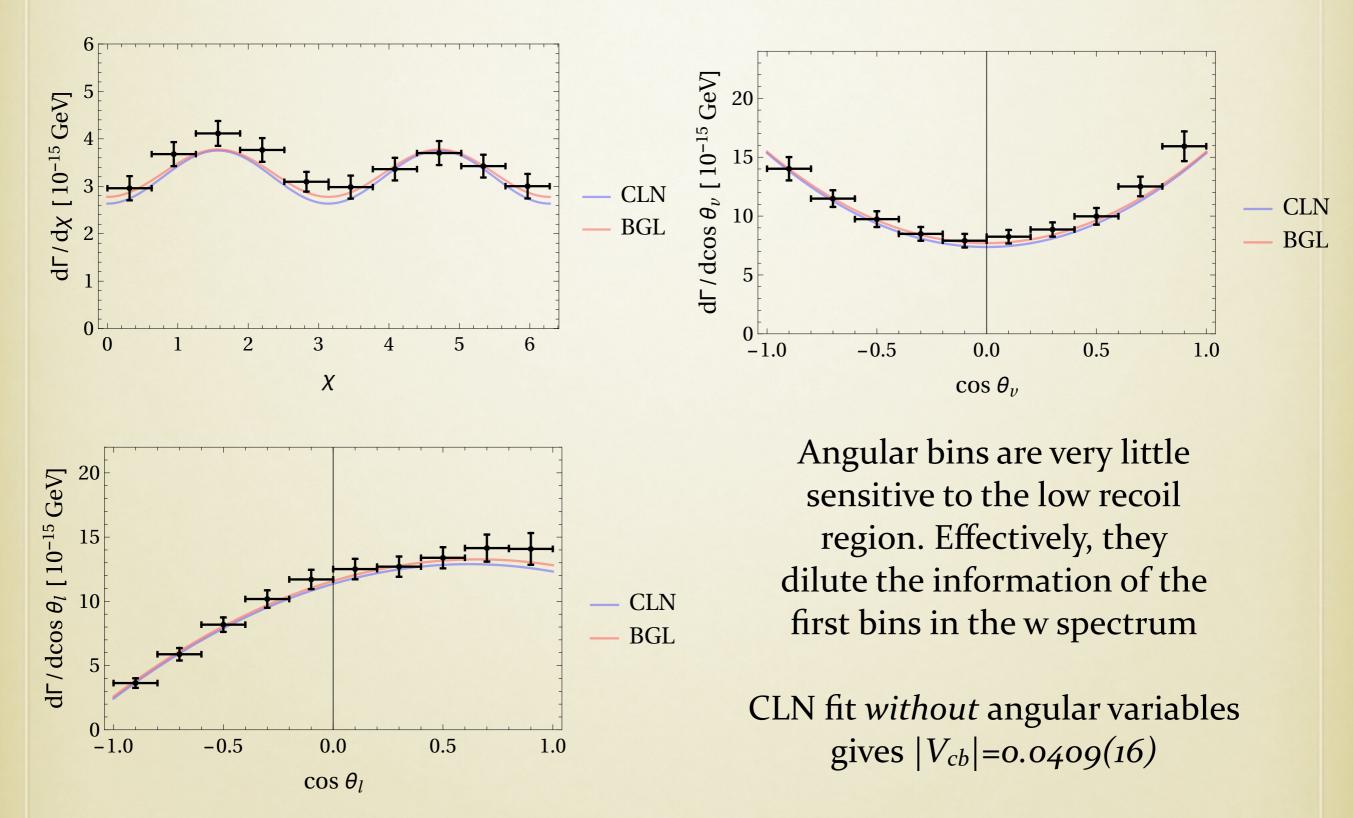
In view of the long-standing discrepancy between inclusive and exclusive determinations of V<sub>cb</sub>, Belle has released deconvoluted B->D<sup>(\*)</sup>lv spectra that can be analysed with other parametrizations



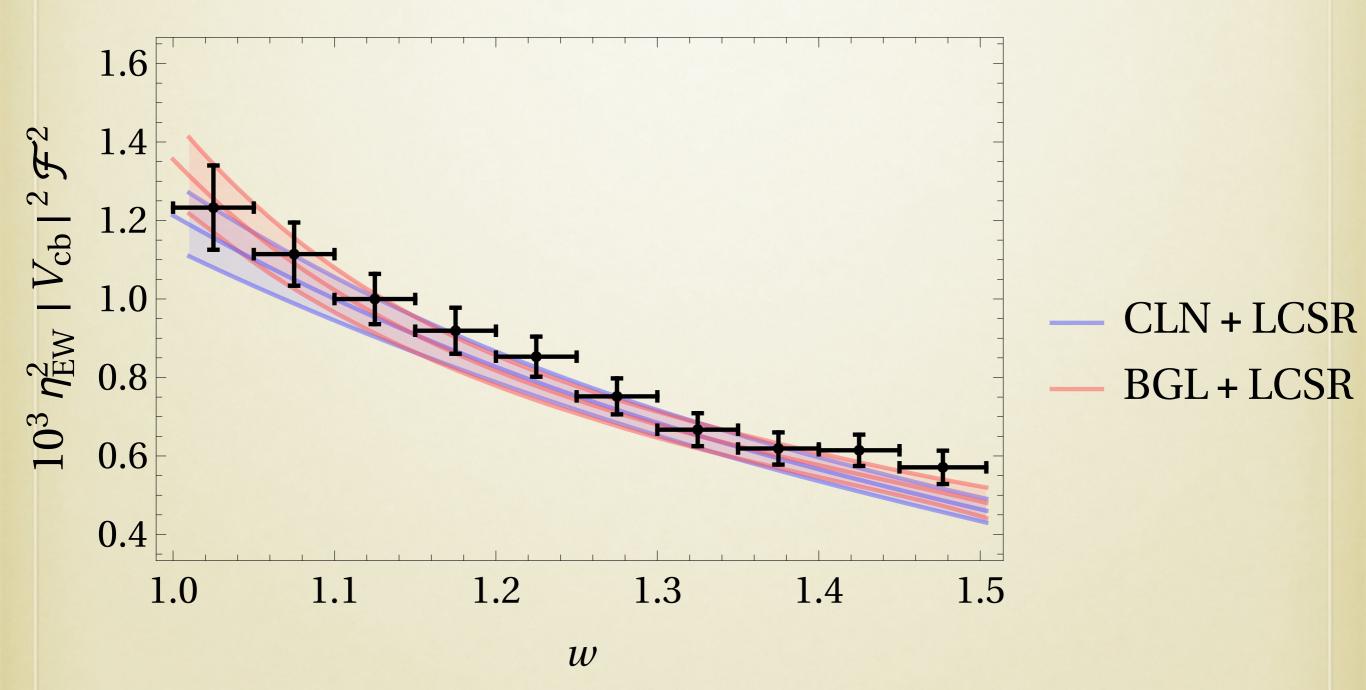
# QUESTIONS

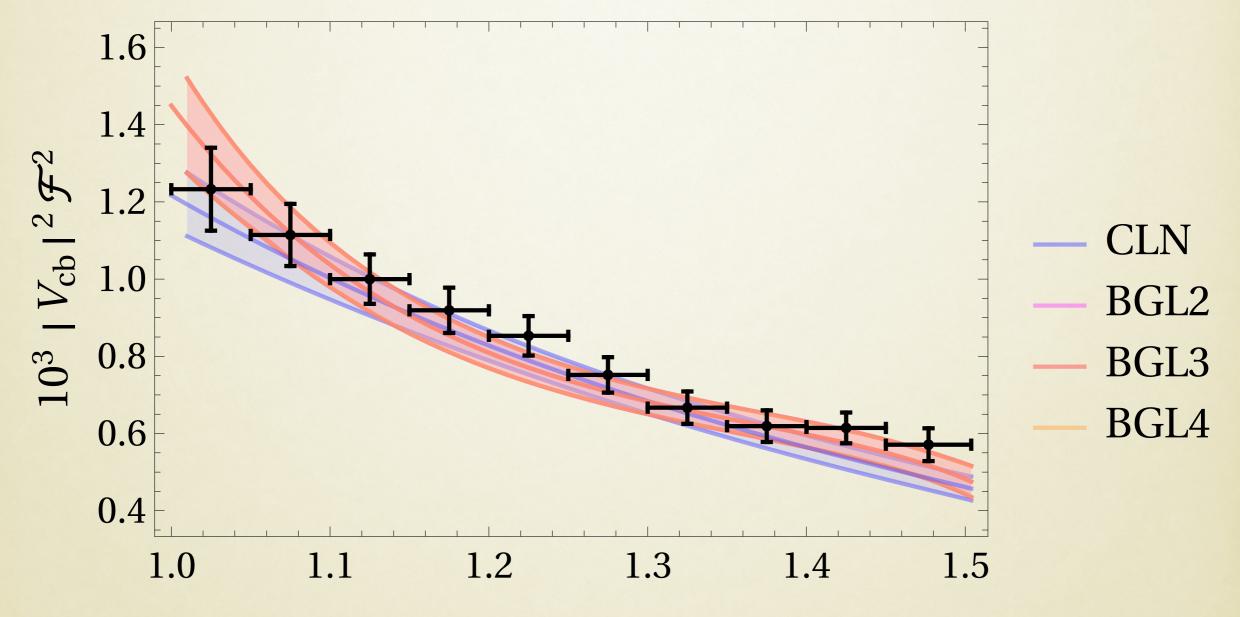
- Why do CLN and BGL fits differ so much? because BGL is more flexible: slight modifications to CLN lead to same V<sub>cb</sub>
- What's the basic difference between CLN and BGL? They are based on the same dispersive (or unitarity) bounds, but CLN employs HQET relations + QCD sum rules to reduce number of parameters.
- Are theory uncertainties included in the CLN approach? The experimental analyses have systematically neglected the uncertainty estimated by CLN. Moreover, we need to check that the assumptions made by CLN in 1998 are consistent with what we know now.

### **ANGULAR DEPENDENCE**

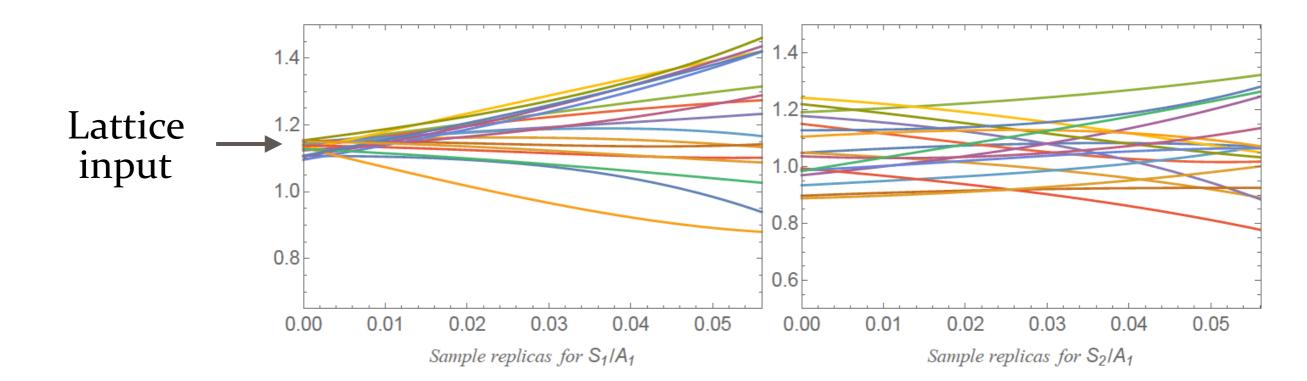


### WITH LCSR CONSTRAINTS



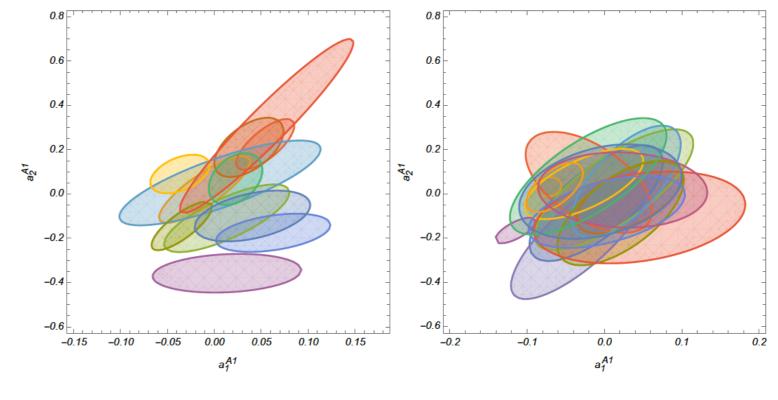


W

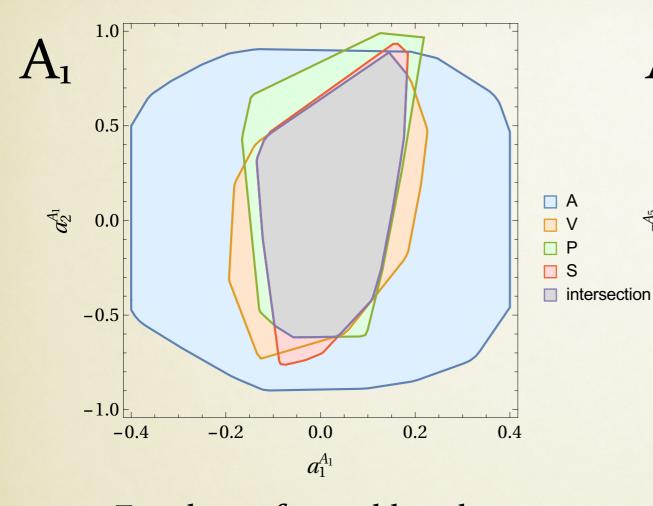


Each replica is a viable model of a f.f. complying with existing lattice and experimental results, and within a band centered in the HQET expectation: ~±25(30)% at zero (maximal) recoil.

 $a_0$  fixed by LQCD in relevant cases: constraints are ellipses in  $(a_1, a_2)$  plane



Constraints in the  $a_1$ - $a_2$  planes



Envelopes formed by a large number of ellipses represent allowed regions in  $(a_1, a_2)$  planes

One gets different (but consistent) constraints from the S,P,V,A channels: take intersection

