

# **Recursive Neural Networks in quark/gluon Tagging**

(arXiv:1711.02633)

Taoli Cheng

University of Chinese Academy of Sciences

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# Machine Learning in Jet Physics

## DNN Architectures

- Fully connected NNs
- CNN
- RNN (LSTM)
- RecNN

## Jet Tagging

- top tagging
- W tagging
- b tagging
- q/g tagging
- ...



Main Idea: low level information ->



-> output info.

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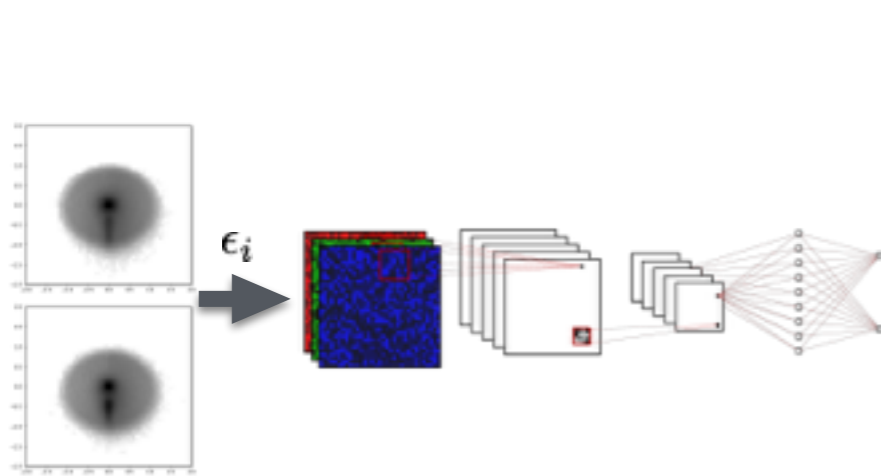
- top tagging
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- ...



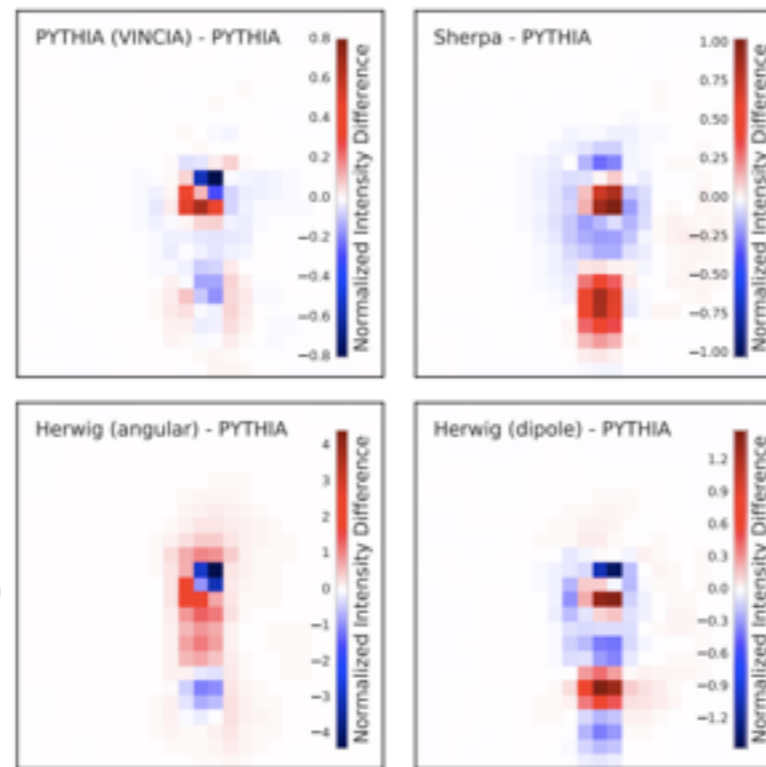
Main Idea: low level information <-  <- output info.

(Interpretation)

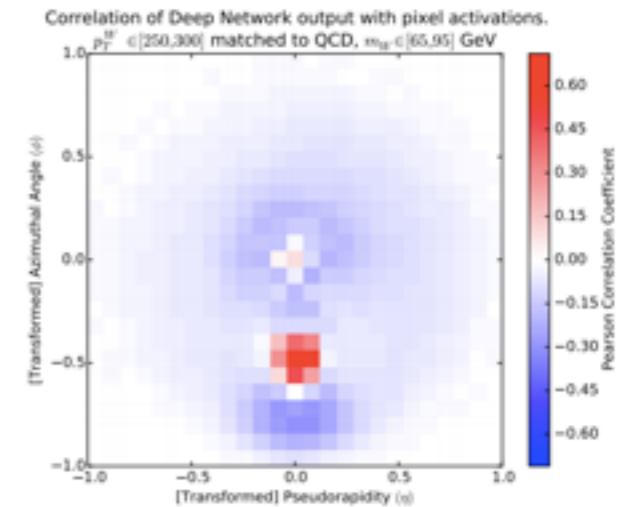
# Machine Learning in Jets Physics



(P. Komiske, et al. arXiv: arXiv:1612.01551)



(J. Barnard, et al. arXiv:1609.00607)



(L. de Oliveira, et al. arXiv:1511.05190)

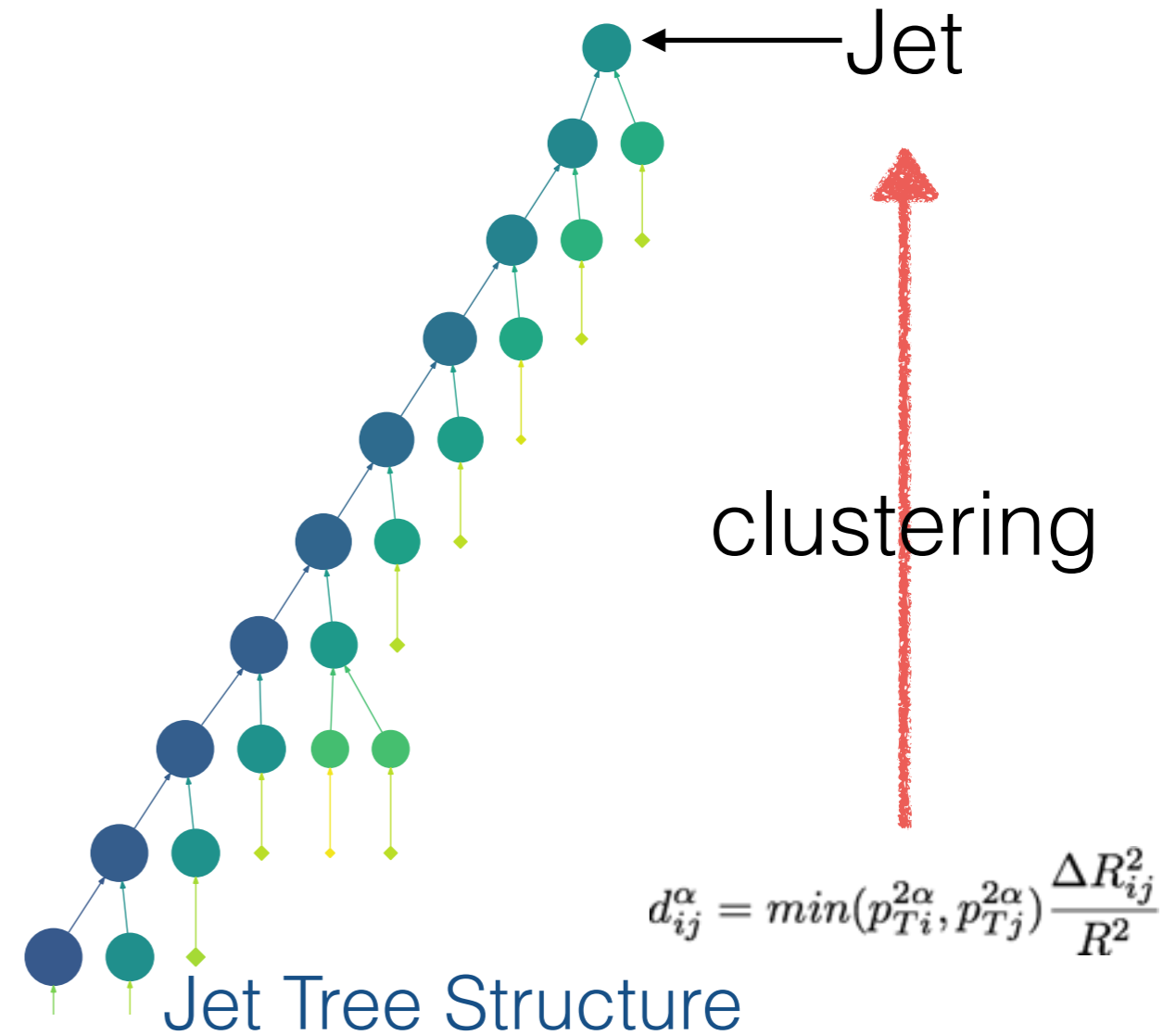
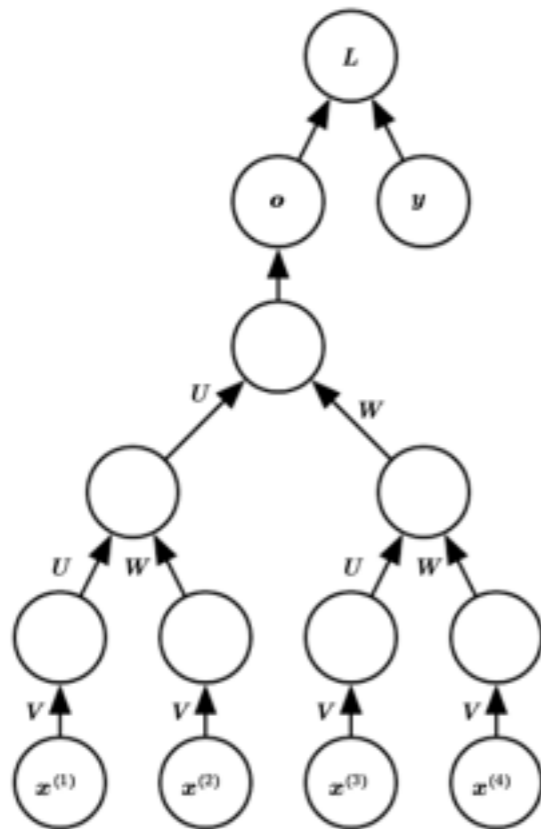
We are concerned with:

- input information
- the representation of the input information
- physics-motivated NNs architecture

# RecNN for Jets

Motivated by:

- problems in image approach: sparsity of jet images (5% - 10% active), fixed image size, (information loss from pixelization)
- natural tree-like structure of sequential jet clustering history
- implementation in event-level



Recursive Neural Nets (RecNN)

# RecNN for Jets

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*[G. Louppe, K. Cho, C. Becot, K. Cranmer, arXiv: 1702.00748 ]*

## **QCD-Aware Recursive Neural Networks for Jet Physics**

**Gilles Louppe,<sup>1</sup> Kyunghyun Cho,<sup>1</sup> Cyril Becot,<sup>1</sup> and Kyle Cranmer<sup>1</sup>**

*<sup>1</sup>New York University*

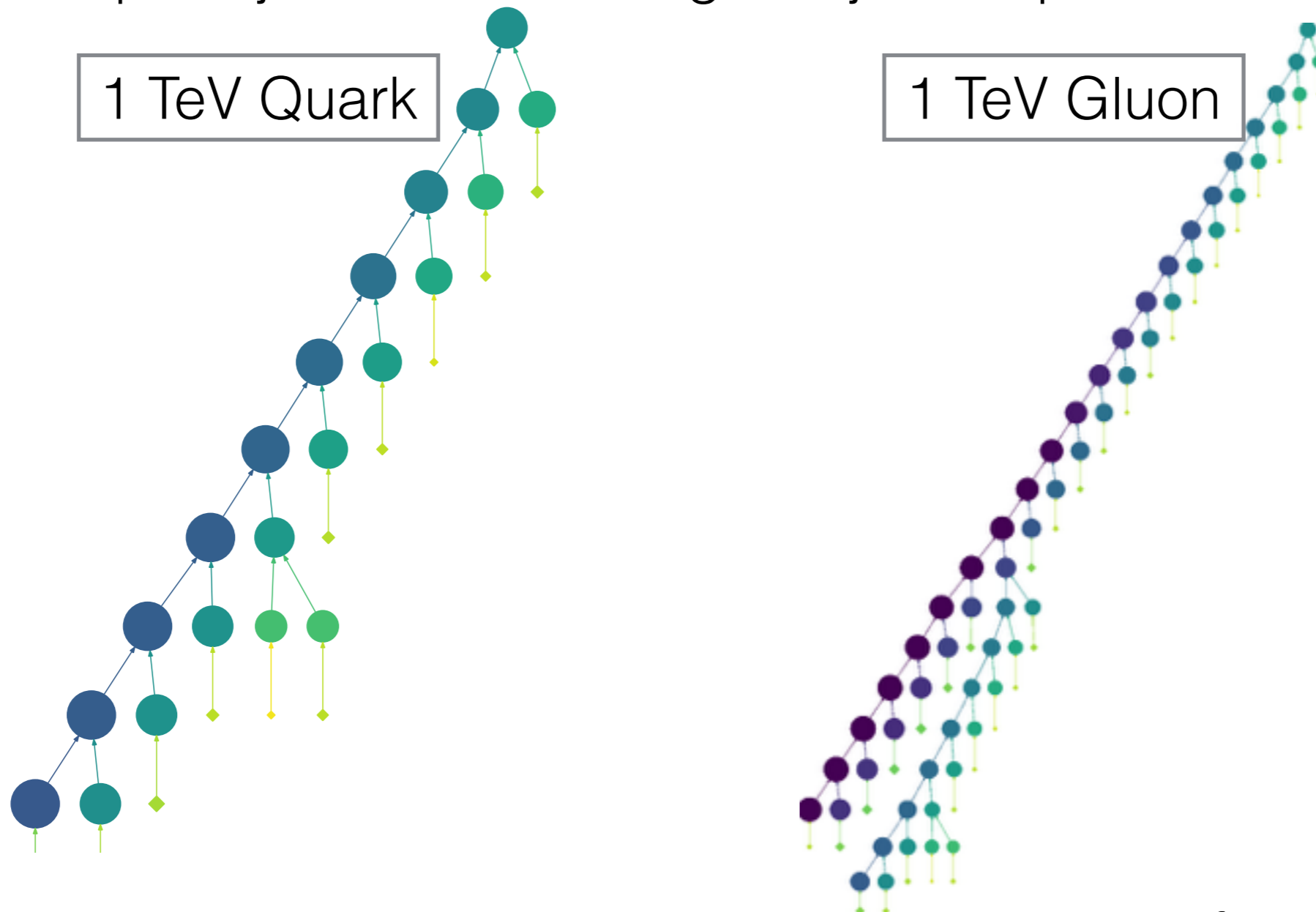
Recent progress in applying machine learning for jet physics has been built upon an analogy between calorimeters and images. In this work, we present a novel class of recursive neural networks built instead upon an analogy between QCD and natural languages. In the analogy, four-momenta are like words and the clustering history of sequential recombination jet algorithms is like the parsing of a sentence. Our approach works directly with the four-momenta of a variable-length set of particles, and the jet-based tree structure varies on an event-by-event basis. Our experiments highlight the flexibility of our method for building task-specific jet embeddings and show that recursive architectures are significantly more accurate and data efficient than previous image-based networks. We extend the analogy from individual jets (sentences) to full events (paragraphs), and show for the first time an event-level classifier operating on all the stable particles produced in an LHC event.

# RecNN for Quark/Gluon Tagging

Quark jet v.s. Gluon jet  $\longrightarrow$  Different Radiation Patterns

$$\langle N \rangle_g / \langle N \rangle_q \sim C_A / C_F \sim 2$$

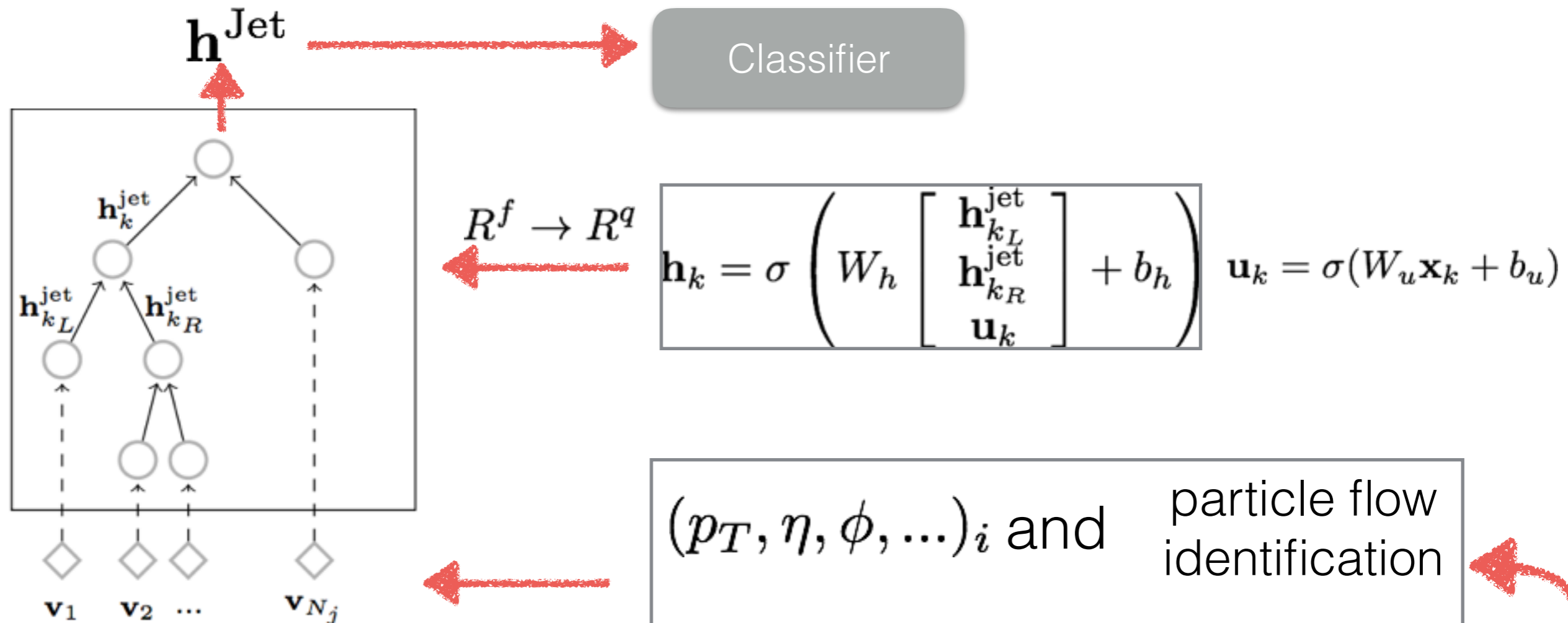
$\sim 50$  for quark jets and  $\sim 90$  for gluon jets @  $p_t = 1$  TeV



Conventionally, track count has been the most powerful discriminant for q/g tagging



# RecNN & Jet Embedding



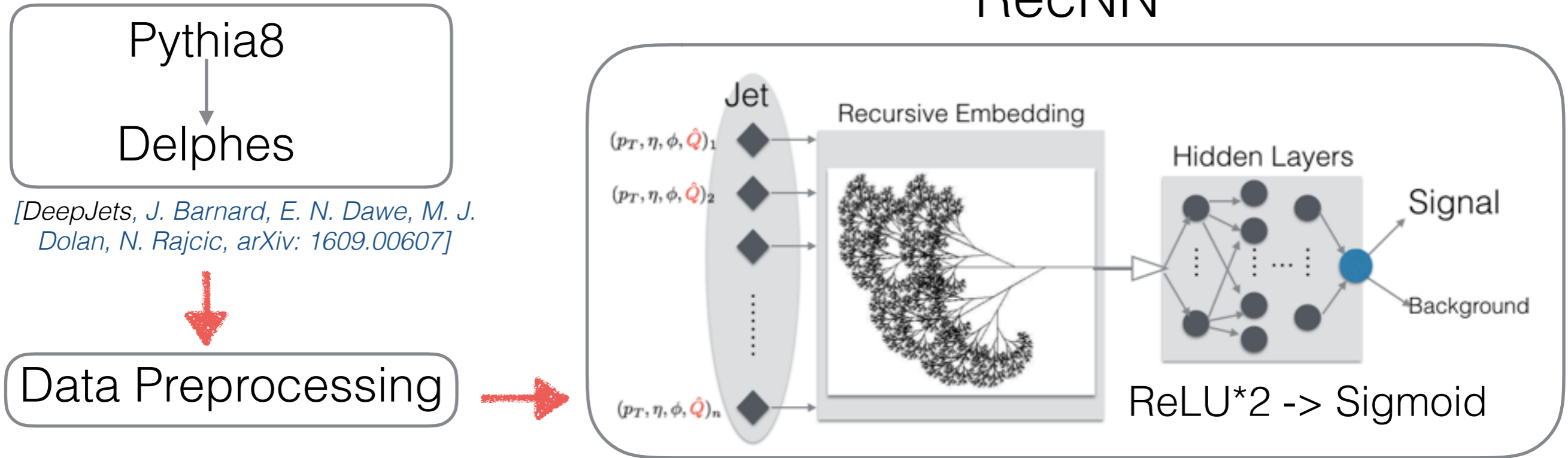
(taken from G. Louppe, K. Cho, C. Becot, K. Cranmer, arXiv: 1702.00748)

- One hot vector  $((i_{\text{neutral hadron}}, i_{\text{photon}}, i_+, i_-), i = 0 \text{ or } 1)$
- pt-weighted charge  $Q_k^{\text{rec}} = \frac{Q_{kL}^{\text{rec}}(p_T^{kL})^\kappa + Q_{kR}^{\text{rec}}(p_T^{kR})^\kappa}{(p_T^k)^\kappa}$

\* with recursively defined pt-weighted charge, we can include the particle flow information in one variable which is well defined for all the nodes



# Workflow



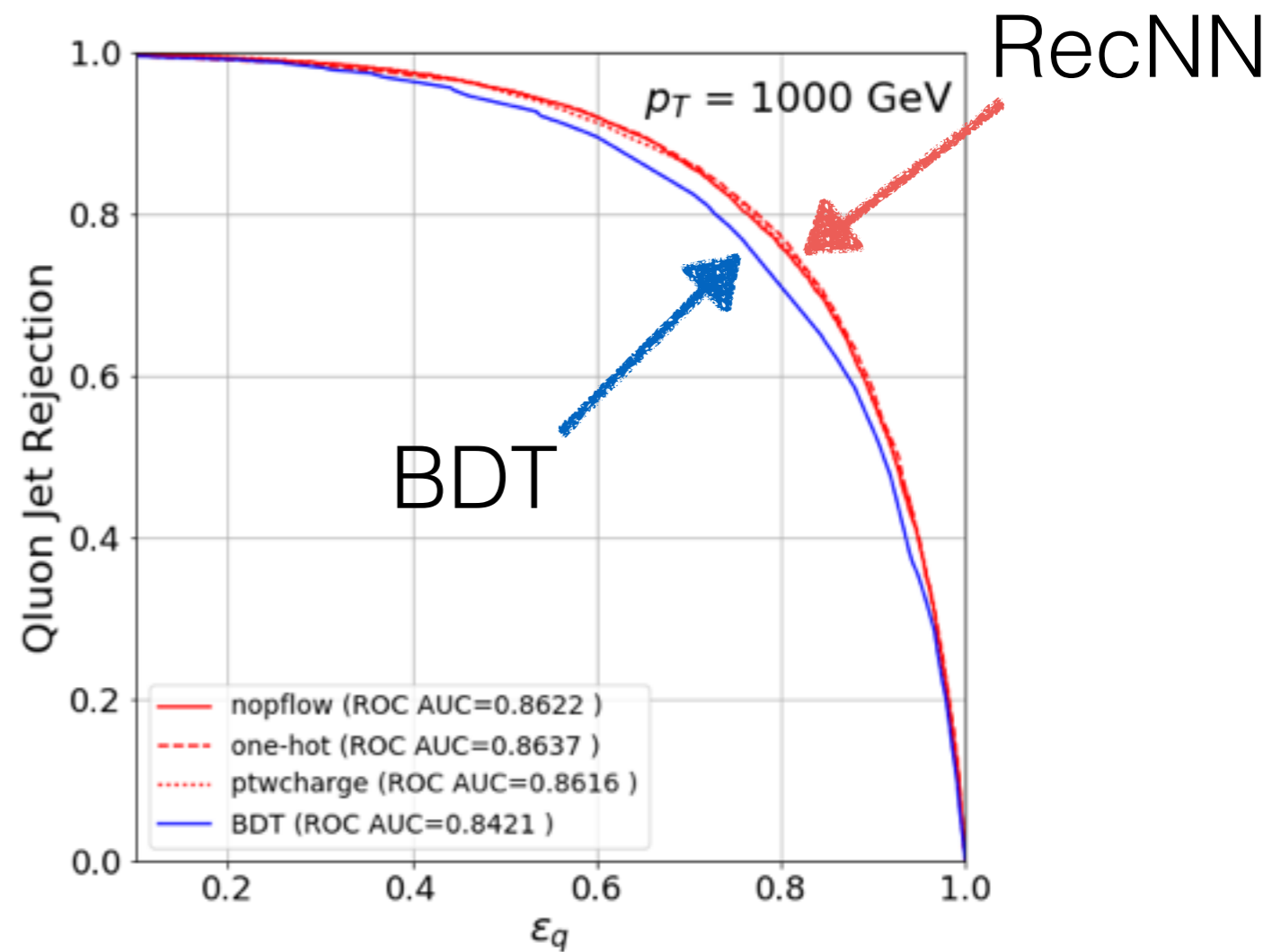
- Measure: ROC (AUC), background rejection rate @  $\epsilon_s = 50\%$
- Particle Flow Identification: one-hot vectors, or pt weighted charge

# Main Results

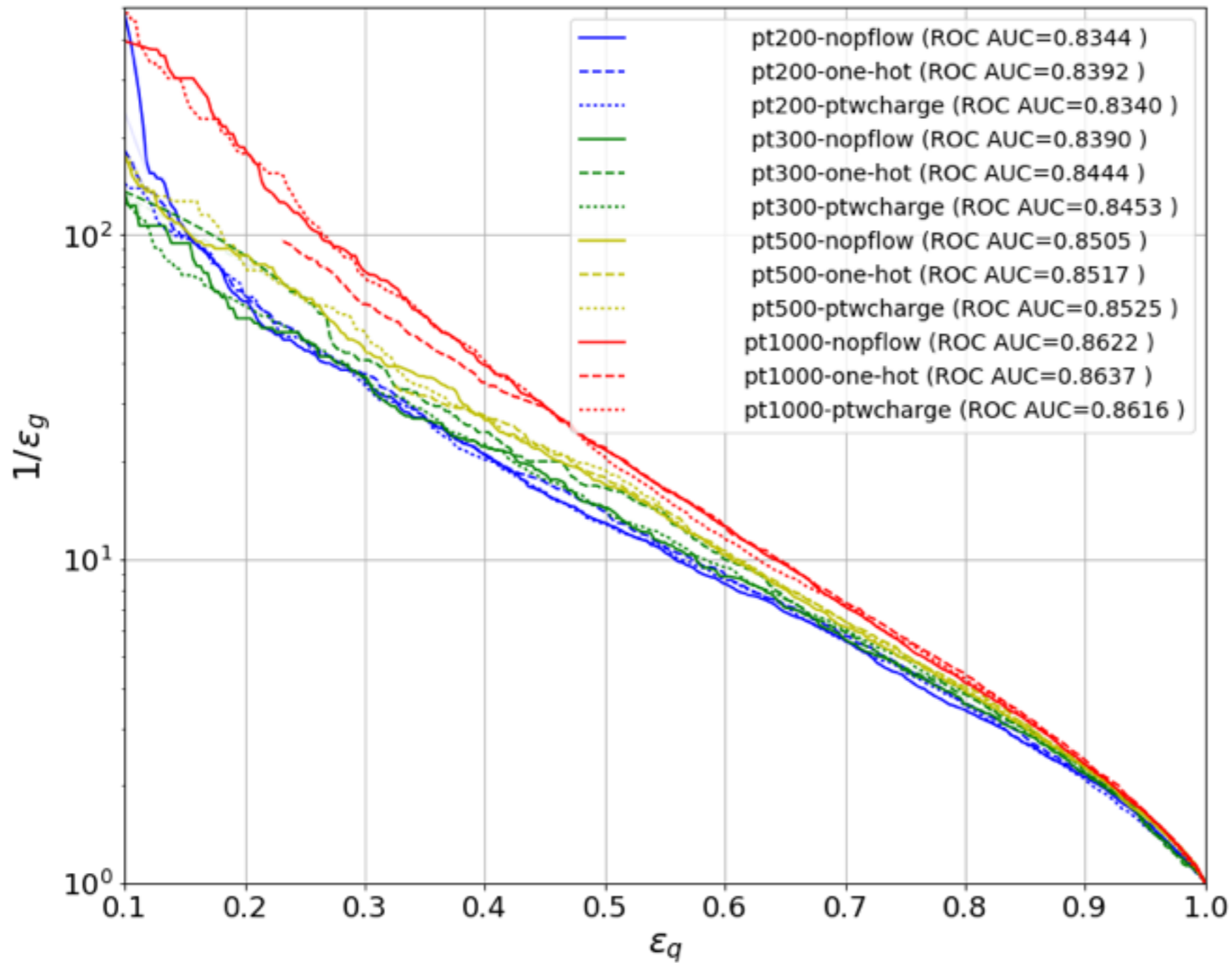
Baseline: BDT (jet mass  $m/p_T$ , jet girth  $\sum_{i \in \text{Jet}} \frac{p_T^i}{p_T^J} r_i$ , charged particle count  $\# \text{charged}$ )

For RecNN,

- no particle flow identification
- one-hot vectors
- pt-weighted charge instead



# Main Results

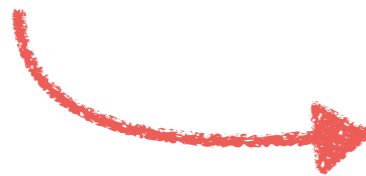
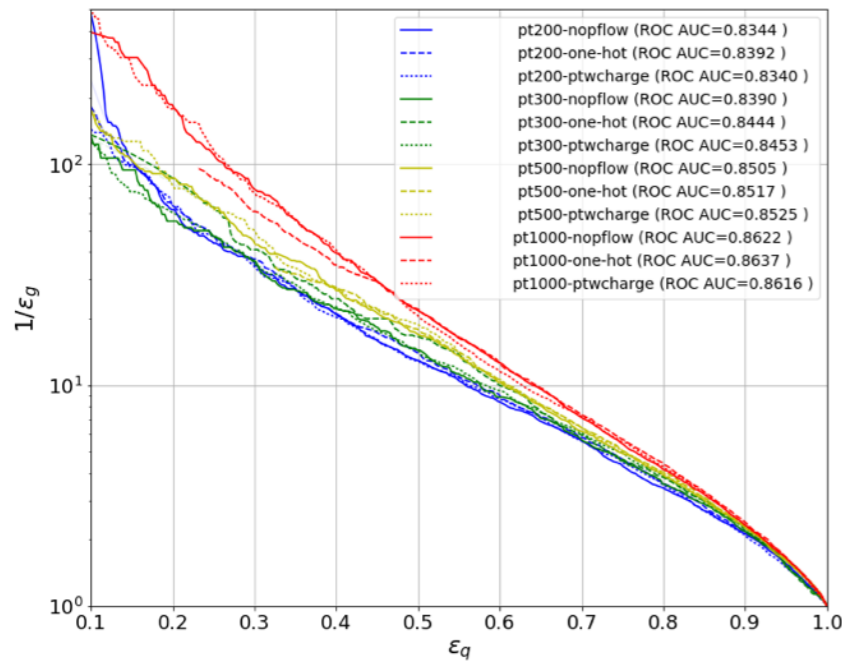


Jet pts: 200, 300, 500,  
1000 GeV

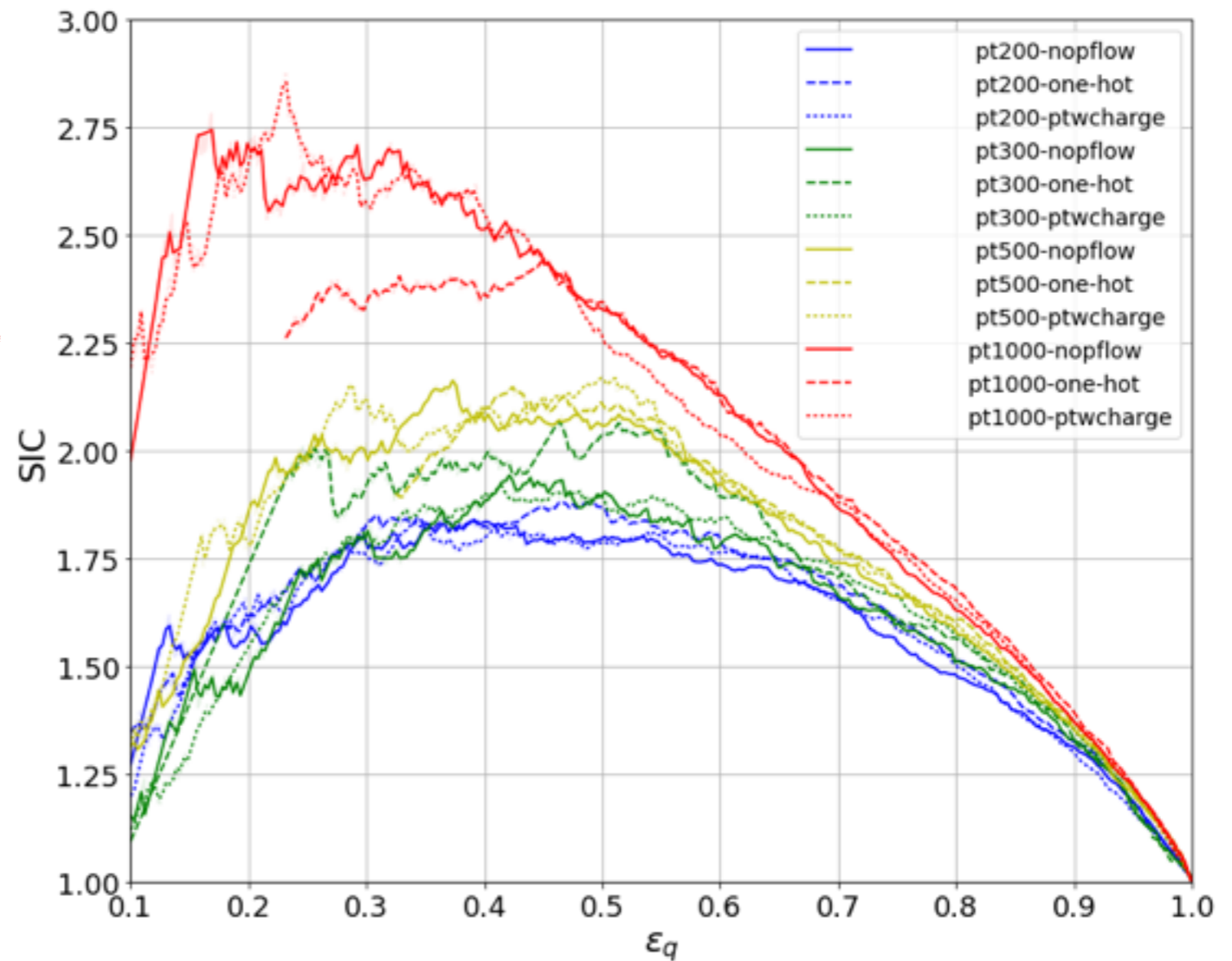
# Main Results

$$\sigma \equiv \frac{S}{\sqrt{B}} \rightarrow \frac{\epsilon_S S}{\sqrt{\epsilon_B B}} = \left( \frac{\epsilon_S}{\sqrt{\epsilon_B}} \right) \sigma \rightarrow \text{SI} = \frac{\epsilon_S}{\sqrt{\epsilon_B}}$$

Significance Improvement



Jet pts: 200, 300, 500,  
1000 GeV



# Variants

[pt=200 GeV]

$$\mathbf{h}_k = \sigma \left( W_h \begin{bmatrix} \mathbf{h}_{kL}^{\text{jet}} \\ \mathbf{h}_{kR}^{\text{jet}} \\ \mathbf{u}_k \end{bmatrix} + b_h \right)$$

Variants in input information



Variants	AUC	$R_{\epsilon=50\%}$
Baseline	0.8344	12.9
R=0.7	0.8210	12.4
$W_h \rightarrow R^{q \times 2q}$	0.8268	12.3
$W_h \rightarrow R^{q \times 2q}$ with one-hot	0.8313	13.7
$\mathbf{x}=(p_T, \eta, \phi)$	0.8291	11.8
$\mathbf{x}=(\eta, \phi)$	0.8249	11.9
$\mathbf{x}=(p_T)$	0.8264	11.6
only one-hot	0.8255	11.9
$\mathbf{x}=(Q_{\kappa=50\%}^{\text{rec}})$	0.8234	11.3

- particle flow identification doesn't help significantly
- the discriminating information for q/g tagging is RecNN mainly reside in the tree structure itself

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$$\mathbf{h}_k = \sigma \left( W_h \begin{bmatrix} \mathbf{h}_{kL}^{\text{jet}} \\ \mathbf{h}_{kR}^{\text{jet}} \end{bmatrix} + b_h \right) \rightarrow$$

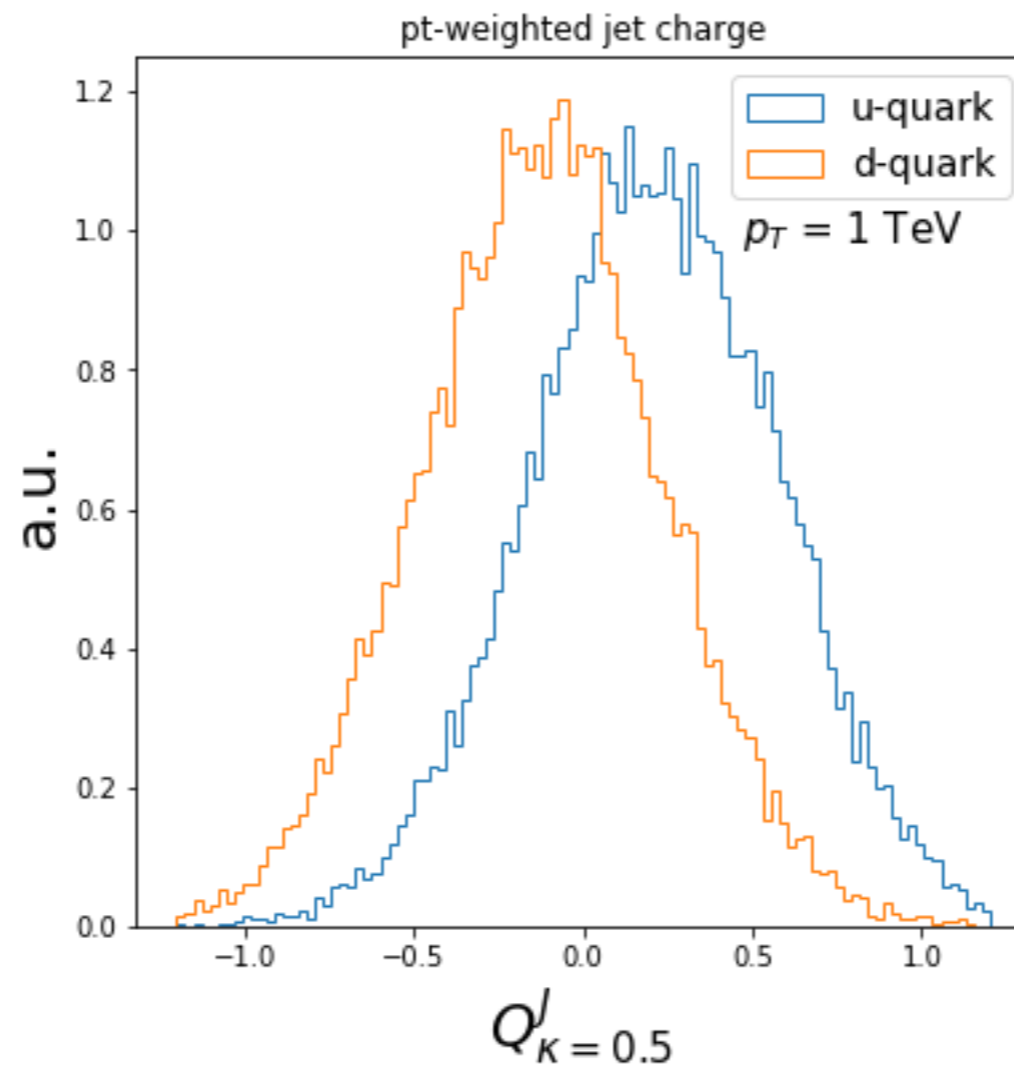
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# Jet Charge

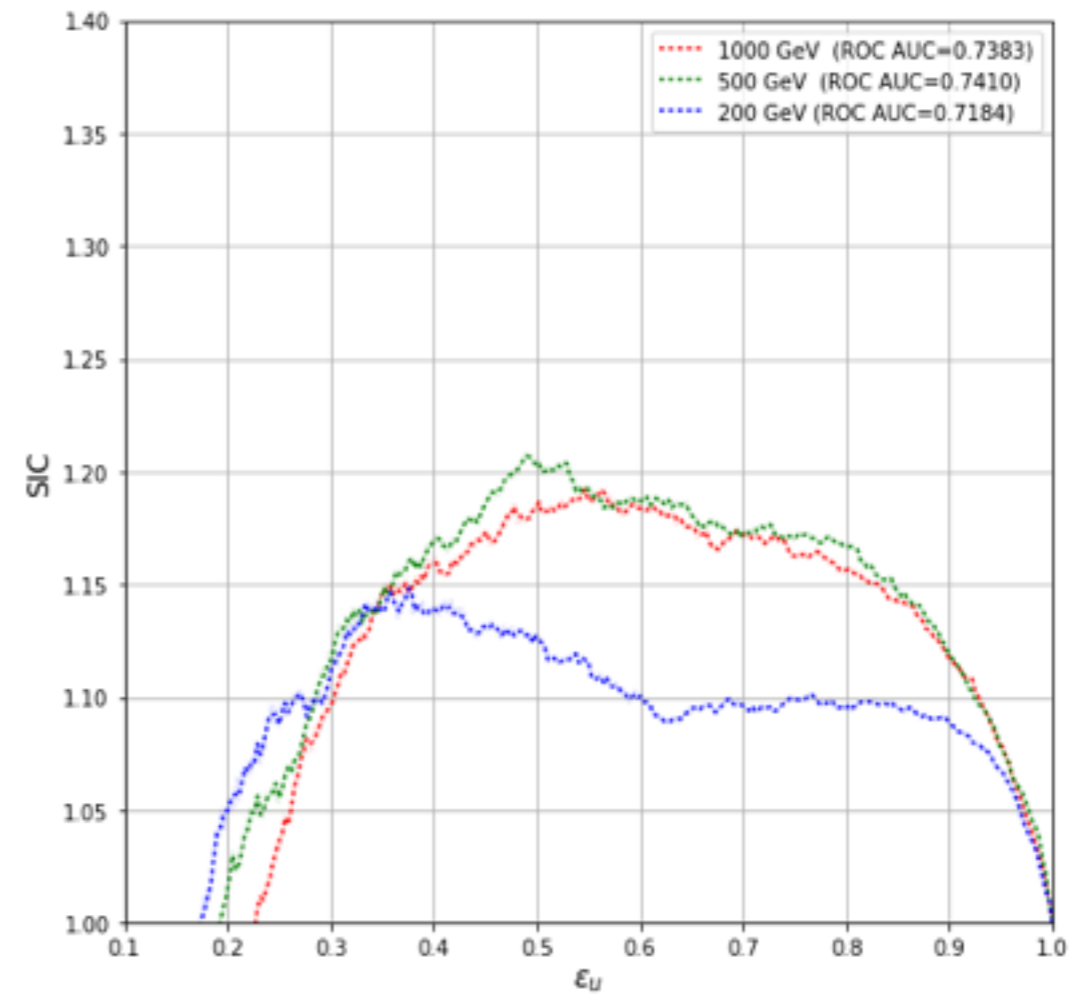
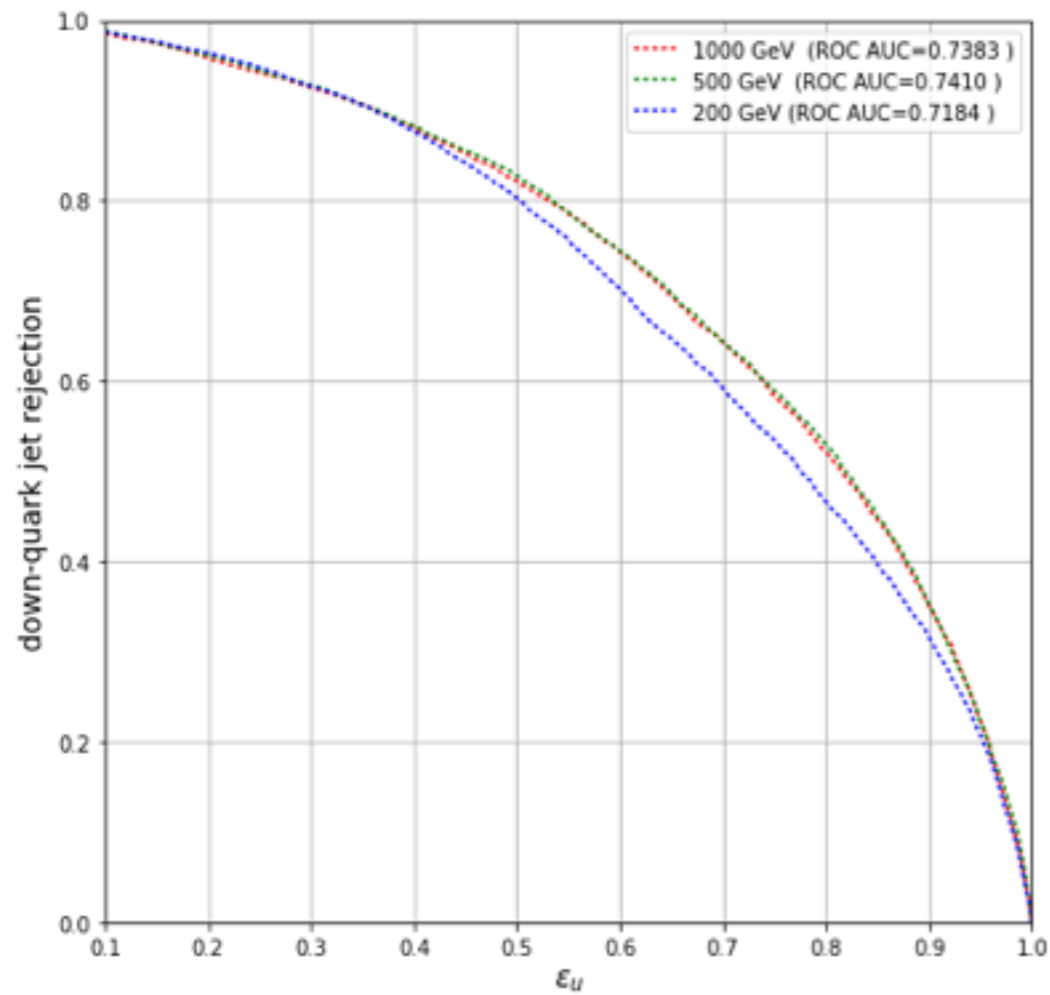
pt weighted jet charge  $Q_{\kappa}^J = \sum_{i \in J} \left( \frac{p_T^i}{p_T^J} \right)^{\kappa} q_i$





# Jet Charge

u/d discrimination

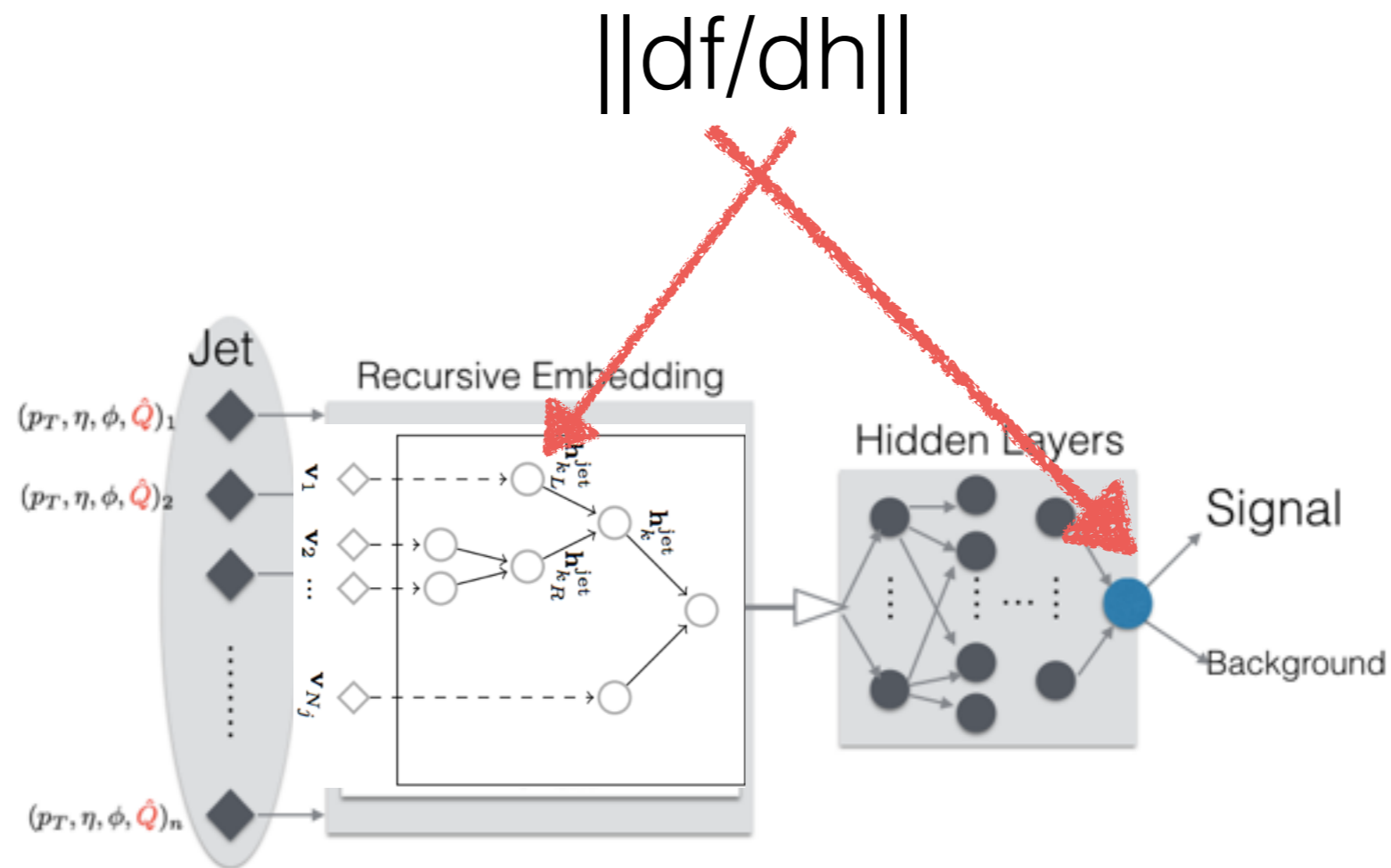


RecNNs with pt-weighted charge

\* one-hot implementation doesn't work here

# Visualisation

Sensitivity indicated by gradients



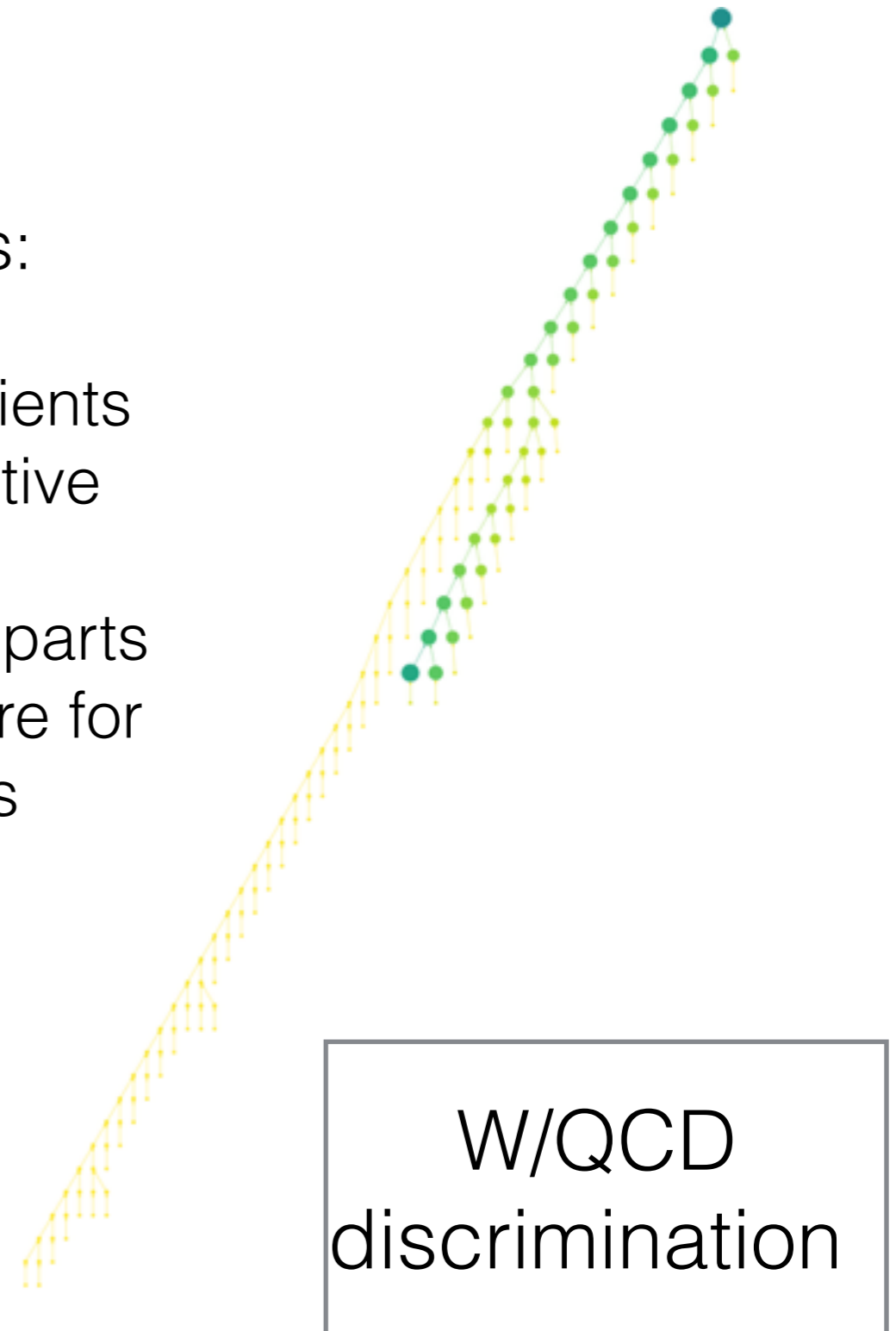
(work in progress)

# Visualisation



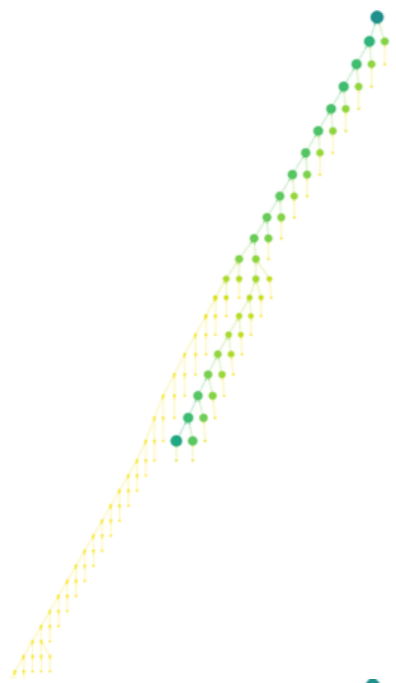
Observations:

The largest gradients  
(thus most sensitive  
nodes)  
reside in different parts  
of the tree structure for  
different tasks

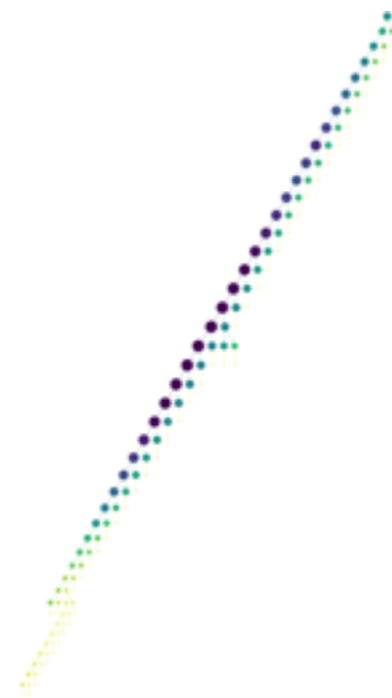


# Visualisation

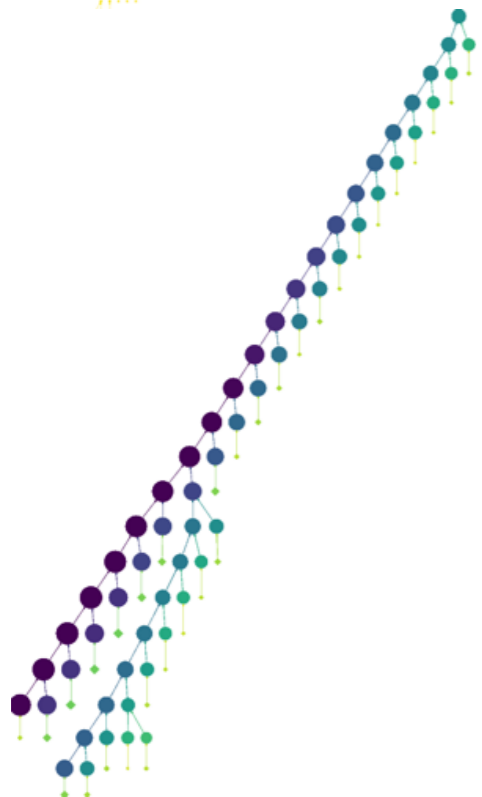
W Tagging



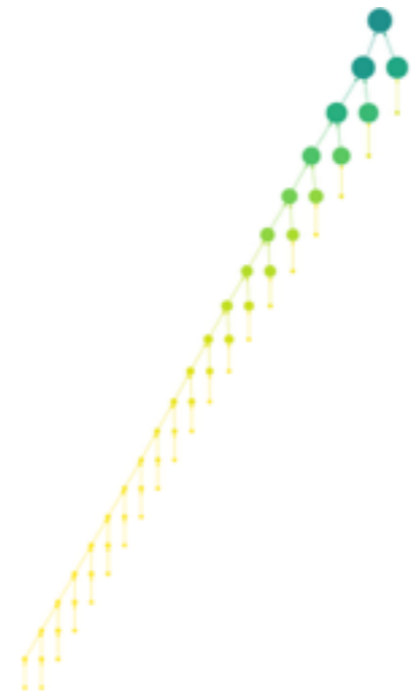
Top Tagging



q/g Tagging

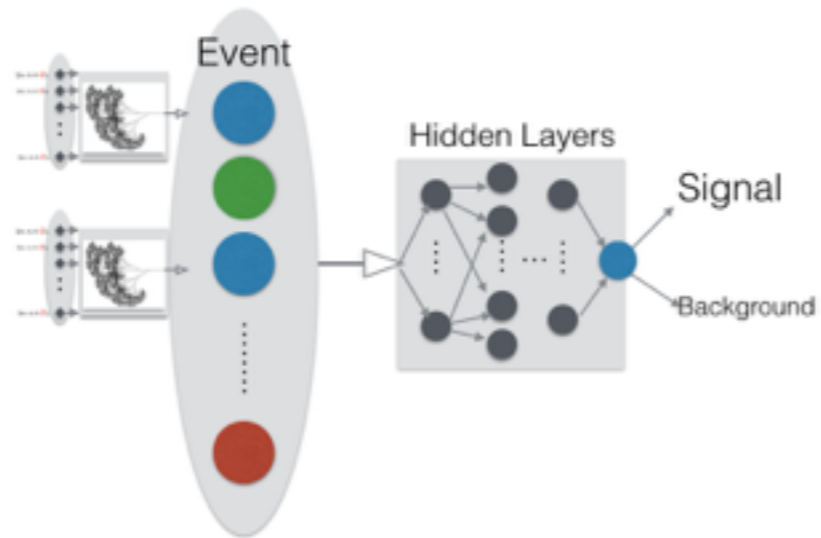


u/d Tagging



(work in progress)

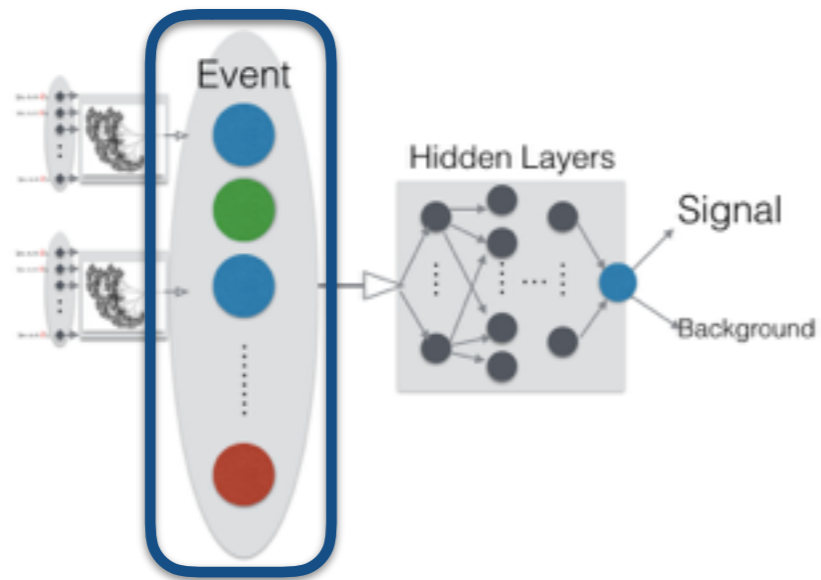
# Outlook



SM as MNIST

- Event-level analysis
- Multiclass classification
- Jet Algorithms
- Applications

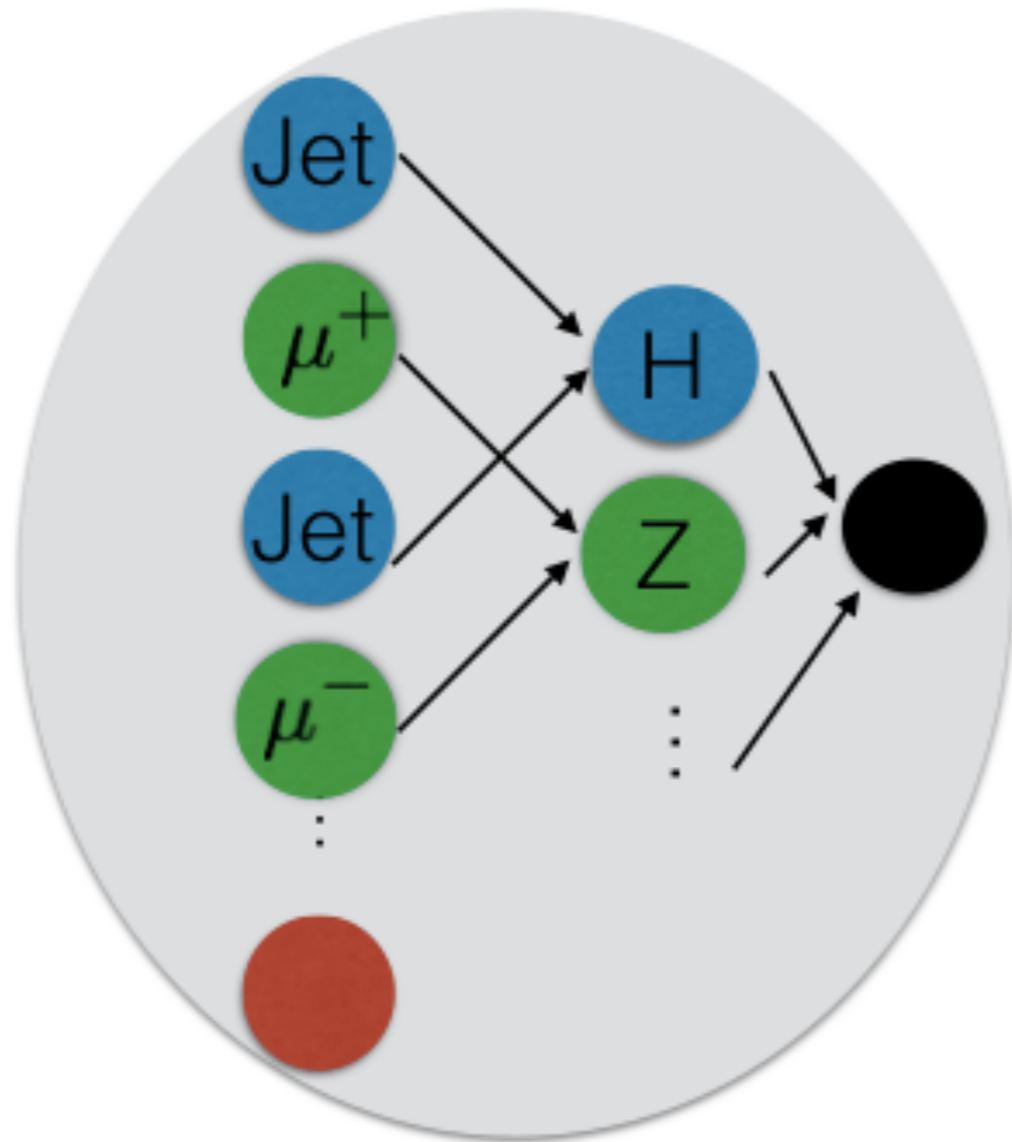
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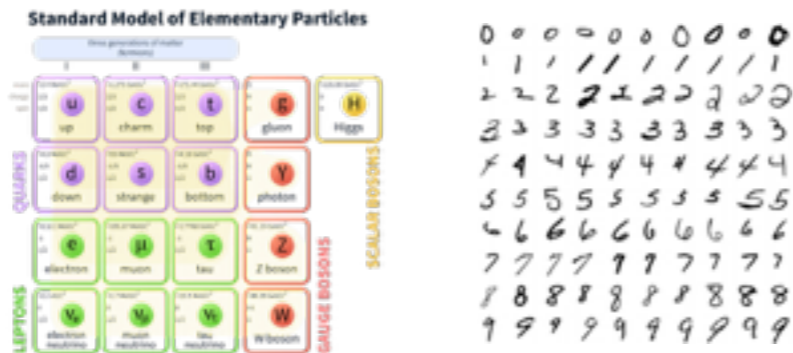
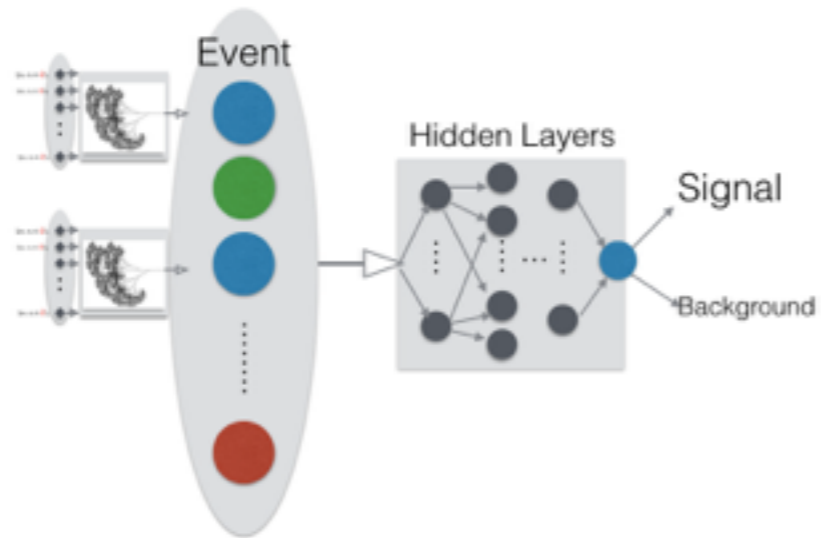
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# Summary

## **What has been done:**

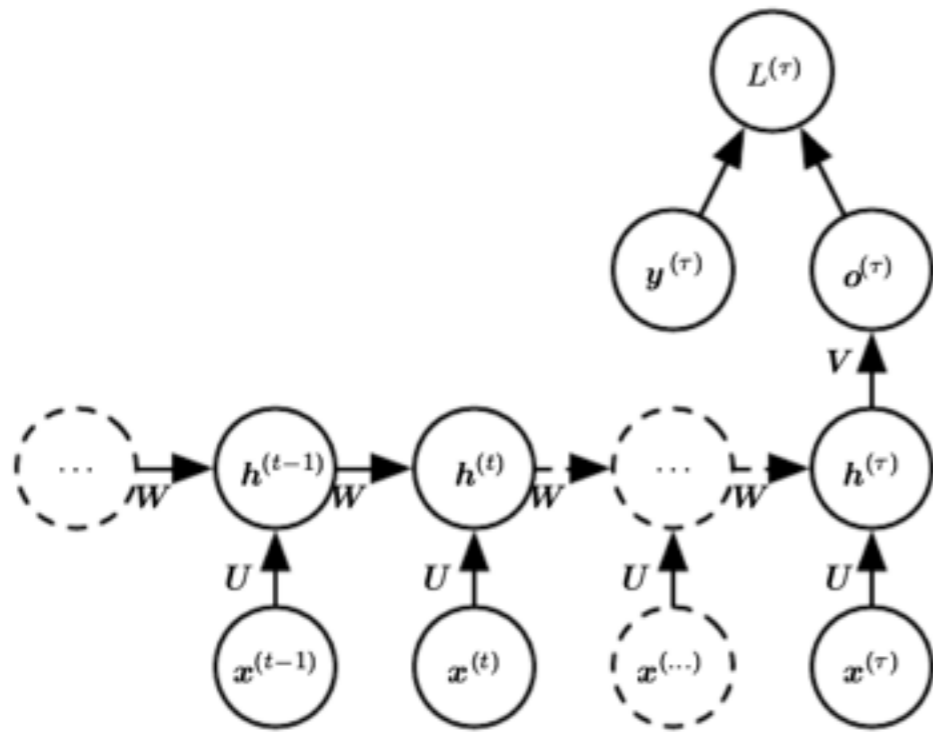
- examined performance of RecNNs in q/g tagging in detail
- explored different variants of the networks (which shows that the main information is included in the tree-structure itself )

## **What to expect:**

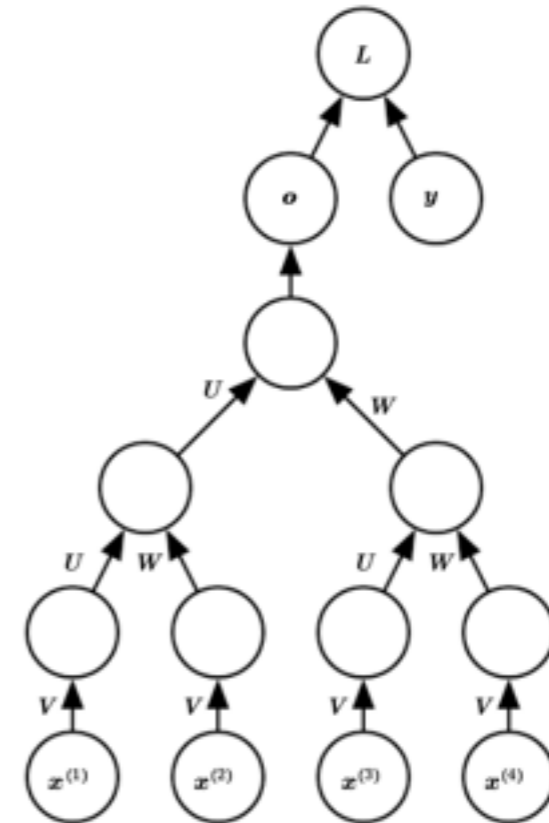
- Visualisation study
- Full event analysis
- Jet clustering implemented with DNNs

Thank you!

# Backup



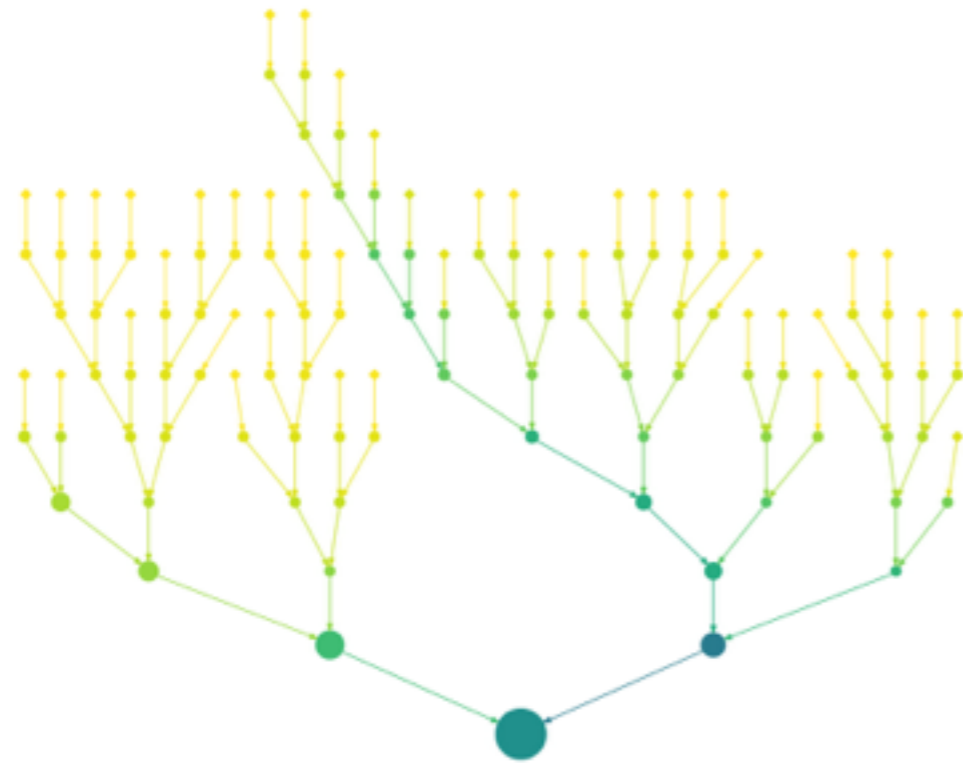
RNN



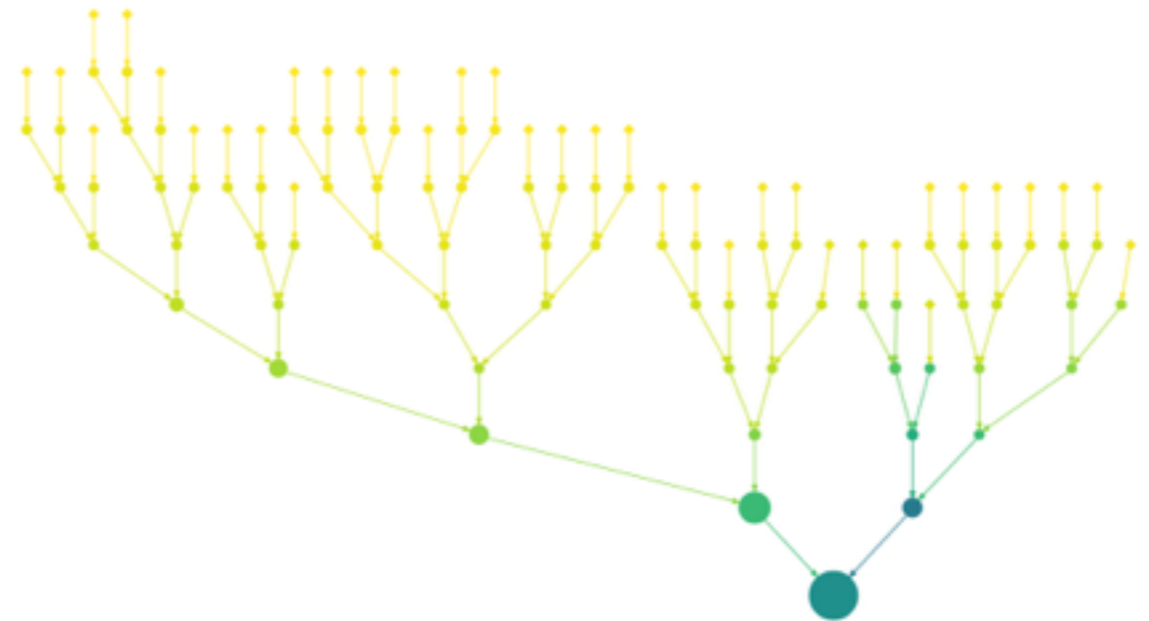
RecNN

# Backup

## W/QCD Tagging



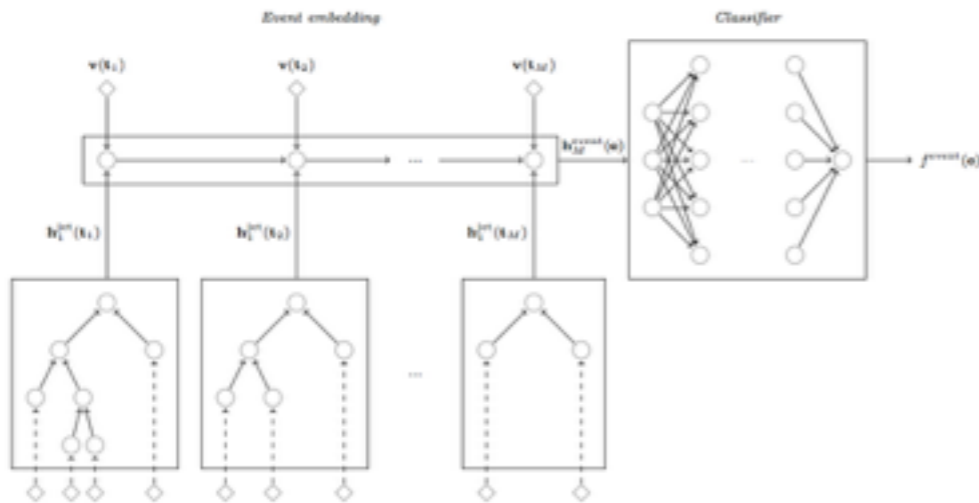
W Jet



QCD Jet

(work in progress)

# Backup



In Gilles' work, it has been shown that only the 2 hardest jets give the best results. Other soft jets even deflect performance

Input	ROC AUC	$R_{\epsilon=80\%}$
Hardest jet		
$\mathbf{v}(t_j)$	$0.8909 \pm 0.0007$	$5.6 \pm 0.0$
$\mathbf{v}(t_j), \mathbf{h}_j^{\text{jet}(k_t)}$	<b><math>0.9602 \pm 0.0004</math></b>	<b><math>26.7 \pm 0.7</math></b>
$\mathbf{v}(t_j), \mathbf{h}_j^{\text{jet}(\text{desc-}p_T)}$	$0.9594 \pm 0.0010$	$25.6 \pm 1.4$
2 hardest jets		
$\mathbf{v}(t_j)$	$0.9606 \pm 0.0011$	$21.1 \pm 1.1$
$\mathbf{v}(t_j), \mathbf{h}_j^{\text{jet}(k_t)}$	$0.9866 \pm 0.0007$	$156.9 \pm 14.8$
$\mathbf{v}(t_j), \mathbf{h}_j^{\text{jet}(\text{desc-}p_T)}$	<b><math>0.9875 \pm 0.0006</math></b>	<b><math>174.5 \pm 14.0</math></b>
5 hardest jets		
$\mathbf{v}(t_j)$	$0.9576 \pm 0.0019$	$20.3 \pm 0.9$
$\mathbf{v}(t_j), \mathbf{h}_j^{\text{jet}(k_t)}$	$0.9867 \pm 0.0004$	$152.8 \pm 10.4$
$\mathbf{v}(t_j), \mathbf{h}_j^{\text{jet}(\text{desc-}p_T)}$	<b><math>0.9872 \pm 0.0003</math></b>	<b><math>167.8 \pm 9.5</math></b>
No jet clustering, desc- $p_T$ on $\mathbf{v}_i$		
$i = 1$	$0.6501 \pm 0.0023$	$1.7 \pm 0.0$
$i = 1, \dots, 50$	<b><math>0.8925 \pm 0.0079</math></b>	<b><math>5.6 \pm 0.5</math></b>
$i = 1, \dots, 100$	$0.8781 \pm 0.0180$	$4.9 \pm 0.6$
$i = 1, \dots, 200$	$0.8846 \pm 0.0091$	$5.2 \pm 0.5$
$i = 1, \dots, 400$	$0.8780 \pm 0.0132$	$4.9 \pm 0.5$

Right now, the pre-clustering is still necessary. However, it will be interesting if we combine the jet clustering and event-level analysis.