





# Transverse Dynamics II

JAI Accelerator Physics Course Michaelmas Term 2017

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# Acknowledgements

These lectures have been produced with the advice and some content from Ted Wilson, whose book is the main text for this course.

Also see: S. Y. Lee, Accelerator Physics

R. Bartmann course in Advanced Accelerator Physics <a href="http://lin12.triumf.ca/text/PHYS555B207-2014/">http://lin12.triumf.ca/text/PHYS555B207-2014/</a>

# Reminder: last lecture

- Reminder: relativity
- Magnetic rigidity
- Transverse dynamics in a cyclotron
- AVF cyclotrons
- Synchrotrons weak focusing
- Magnet types and multipoles
- Synchrotrons strong focusing

## Contents

- Equations of motion in transverse co-ordinates
- Check Solution of Hill's equation
- Transfer matrices
- Stability and AG focusing
- Physical meaning of tune and beta

### Transverse Motion

Hamiltonian for particle motion

$$H = e\phi + c[m^{2}c^{2} + (\vec{P} - e\vec{A})^{2}]^{1/2}$$

 $\vec{P} = \vec{p} + e\vec{A}$  is the canonical momentum  $\vec{p}$  is the mechanical momentum

Hamilton's equations of motion

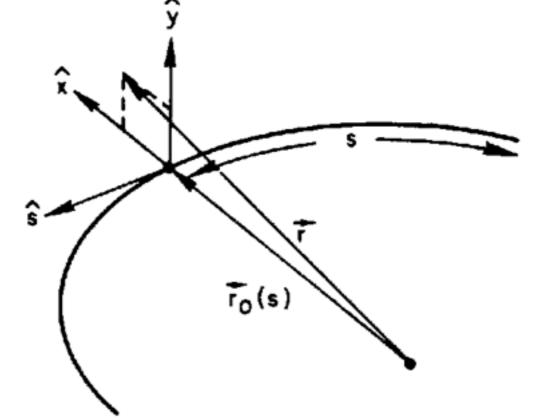
$$\dot{x} = \frac{\partial H}{\partial P_x}, \dot{P}_x = -\frac{\partial H}{\partial x}, etc...$$

(nb. dot denotes derivative wrt time)

nb. For much of the early part of this lecture I follow S. Y. Lee, Accelerator Physics, Chapter 2.

In accelerator physics we ask: "What are the particles' generalized coordinates when they reach a certain point in space?"

• First, we convert to 'Frenet-Serret' co-ordinate system



Particle motion is described with respect to a **reference orbit** in the noninertial frame (x, y, s). This co-ordinate system is known as *Frenet-Serret*  • First, we convert to 'Frenet-Serret' co-ordinate system

 $\hat{s}(s) = \frac{d\vec{r}_0(s)}{ds}$  $\hat{x}(s) = -\vec{\rho}(s)\frac{d\hat{s}(s)}{ds}$ Tangent unit vector to closed orbit

Unit vector perpendicular to tangent vector

 $\hat{y}(s) = \hat{x}(s) \times \hat{y}(s)$  Third unit vector...

Particle trajectory:  $\vec{r}(s) = \vec{r}_0(s) + x\hat{x}(s) + y\hat{y}(s)$ nb. the reference frame moves WITH the particle We perform a canonical transformation using the generating function:

$$F_3(\vec{P};x,s,y) = -\vec{P}.[\vec{r_0}(s) + x\hat{x}(s) + y\hat{y}(s)]$$

(note: P is momentum in cartesian system)

To obtain the Hamiltonian:

$$H = e\phi + c[m^{2}c^{2} + \frac{(p_{s} - eA_{s})^{2}}{(1 + x/\rho)^{2}} + (p_{x} - eA_{x})^{2} + (p_{y} - eA_{y})^{2}]^{1/2}$$

• Next, we change the independent variable from t to s

The new conjugate phase space variables are  $x, p_x; y, p_y; t, -H$ 

And the new Hamiltonian (s-dependent) is  $\tilde{H} = -p_s$ 

$$\tilde{H} = -(1 + x / \rho) \left[ \frac{(H - e\phi)^2}{c^2} - m^2 c^2 - (p_x - eA_x)^2 - (p_y - eA_y)^2 \right]^{1/2} - eA_s$$

Which is time-independent (if also  $\phi, A$  are time-independent)

Expanding the Hamiltonian to second order in px, py

$$\tilde{H} \approx -p(1+x/\rho) + \frac{1+x/\rho}{2p} \left[ (p_x - eA_x)^2 + (p_y - eA_y)^2 \right]^{1/2} - eA_s$$

 $H - e\phi = E$  is the total particle energy

 $p = \sqrt{E^2 / c^2 - m^2 c^2}$  is the total particle momentum

# Getting to Hill's equation (1)

Hamilton's equations of motion\* are:

$$x' = \frac{\partial \tilde{H}}{\partial p_x} \qquad p'_x = -\frac{\partial \tilde{H}}{\partial x} \qquad \qquad y' = \frac{\partial \tilde{H}}{\partial p_y} \qquad p'_y = -\frac{\partial \tilde{H}}{\partial y}$$

With transverse magnetic fields we showed last time scaled & in (x,s,y) :

$$\vec{B} = B_x(x,y)\hat{x} + B_y(x,y)\hat{y}$$
$$B_x = -\frac{1}{(1+x/\rho)}\frac{\partial A_s}{\partial y} \quad B_y = -\frac{1}{(1+x/\rho)}\frac{\partial A_s}{\partial x}$$

Betatron equations of motion become: (neglect higher order terms)

\*neglecting synchrotron motion

# Getting to Hill's equation (2)

So we have these equations:

$$x'' - \frac{\rho + x}{\rho^2} = \frac{B_y}{B\rho} \frac{p_0}{p} \left(1 + \frac{x}{\rho}\right)^2 \qquad \qquad y'' = -\frac{B_x}{B\rho} \frac{p_0}{p} \left(1 + \frac{x}{\rho}\right)^2$$

Expand the B field to first order in x,y:

$$B_{y} = -B_{0} + \frac{\partial B_{y}}{\partial x} x \qquad B_{x} = \frac{\partial B_{y}}{\partial x} y$$
$$\frac{B_{0}}{B\rho} = \frac{1}{\rho} \quad \text{ie. dipole field defines the closed orbit}$$

$$x'' + K_x(s)x = 0 \qquad K_x = 1/\rho^2 - K_1(s) y'' + K_y(s)y = 0 \qquad K_y = K_1(s) \qquad K_1(s) = \frac{1}{B\rho} \frac{\partial B_1}{\partial x}$$

nb. in a quadrupole  $K_x = -K_y$ 

## Hill's Equation

Hill's equation is a linearised equation of motion describing particle oscillations:

$$\frac{d^2x}{ds^2} + k_x(s)x = 0 \qquad \qquad \frac{d^2y}{ds^2} + k_y(s)y = 0$$

Question: we have ended up with linear equations of motion because we took 2nd order Hamiltonian only! What would happen if we took the full Hamiltonian?

Where k changes along the path, and  $B_1(s) = \partial B_y / \partial x$ 

$$k_x(s) = \frac{1}{\rho^2} - \frac{B_1(s)}{B\rho} \qquad \qquad k_y(s) = \frac{B_1(s)}{B\rho}$$

evaluated at the closed orbit

Focusing functions are periodic over length L, ie.  $K_{x,y}(s+L) = K_{x,y}(s)$ 

nb. In a quadrupole: 
$$k_x(s) = -\frac{B_1(s)}{B\rho}$$

Following similar notation to S. Y. Lee, Accelerator Physics, pp.41

E. D. Courant and H. S. Snyder, "Theory of the alternating-gradient synchrotron," Annals of Physics, vol. 3, no. 1, pp. 1–48, 1958.

Let's check if the following solves Hill's equation... x'' + kx = 0

 $x = \sqrt{\beta(s)\varepsilon\cos(\phi(s) + \phi_0)}$ 

Substitute  $w = \sqrt{\beta}$   $\phi = \phi(s) + \phi_0$ 

& differentiate...

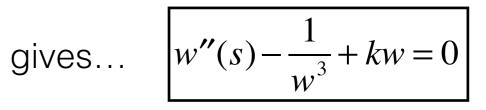
$$x' = \sqrt{\varepsilon} \left\{ w'(s) \cos \phi - \frac{d\phi}{ds} w(s) \sin \phi \right\}$$
 nb. we need:  $\frac{d\phi}{ds} = \frac{1}{\beta(s)} = \frac{1}{w^2(s)}$ 

Differentiate again...

$$x'' = \sqrt{\varepsilon} \left\{ w''(s)\cos\phi - \frac{w'(s)}{w^2(s)}\sin\phi + \frac{w'(s)}{w^2(s)}\sin\phi - \frac{1}{w^3}\cos\phi \right\}$$

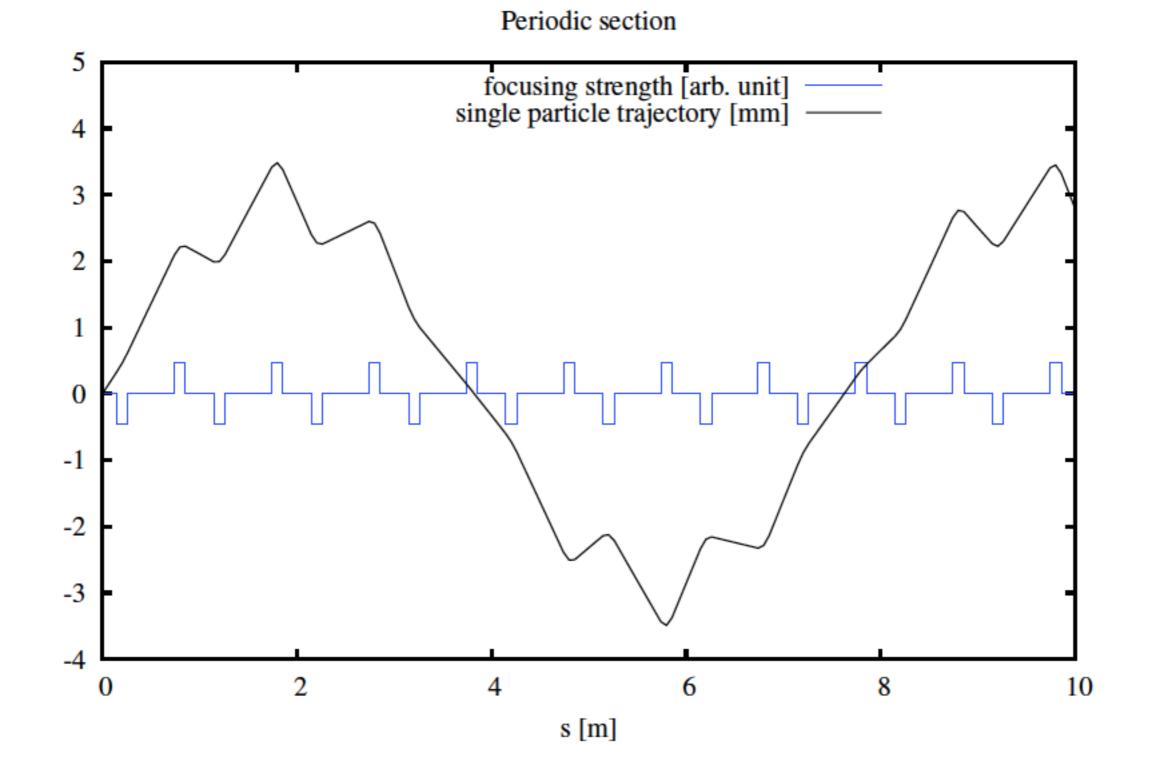
Sub into Hill's...

$$\sqrt{\varepsilon} \left\{ w''(s)\cos\phi - \frac{1}{w^3}\cos\phi \right\} + kw\sqrt{\varepsilon}\cos\phi = 0$$

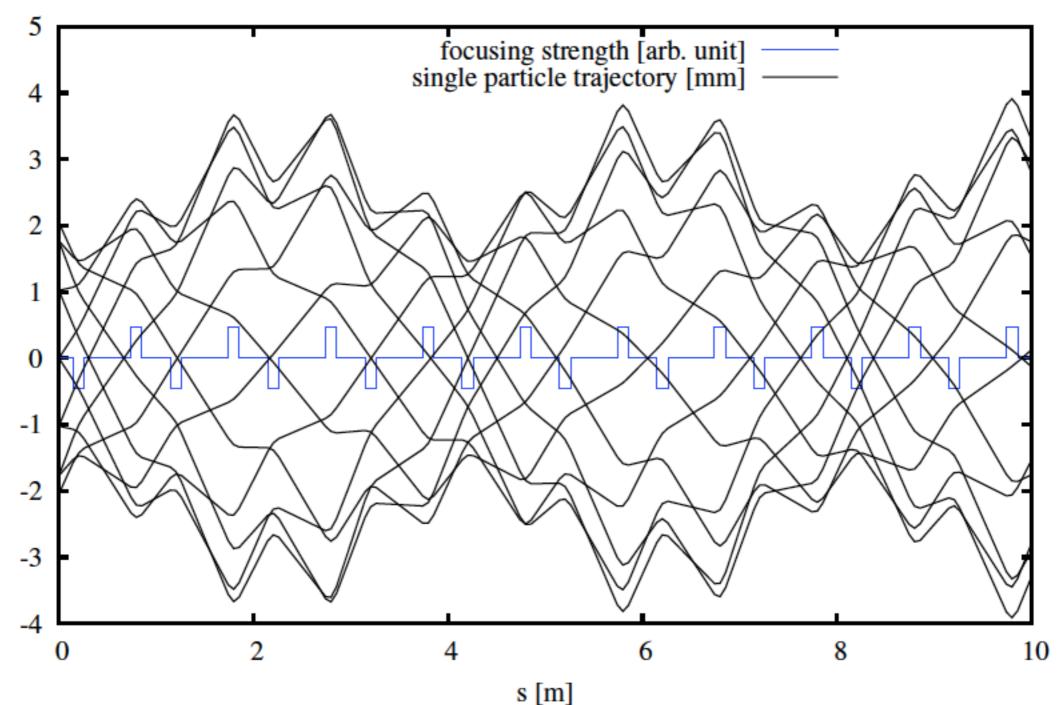


'envelope equation'

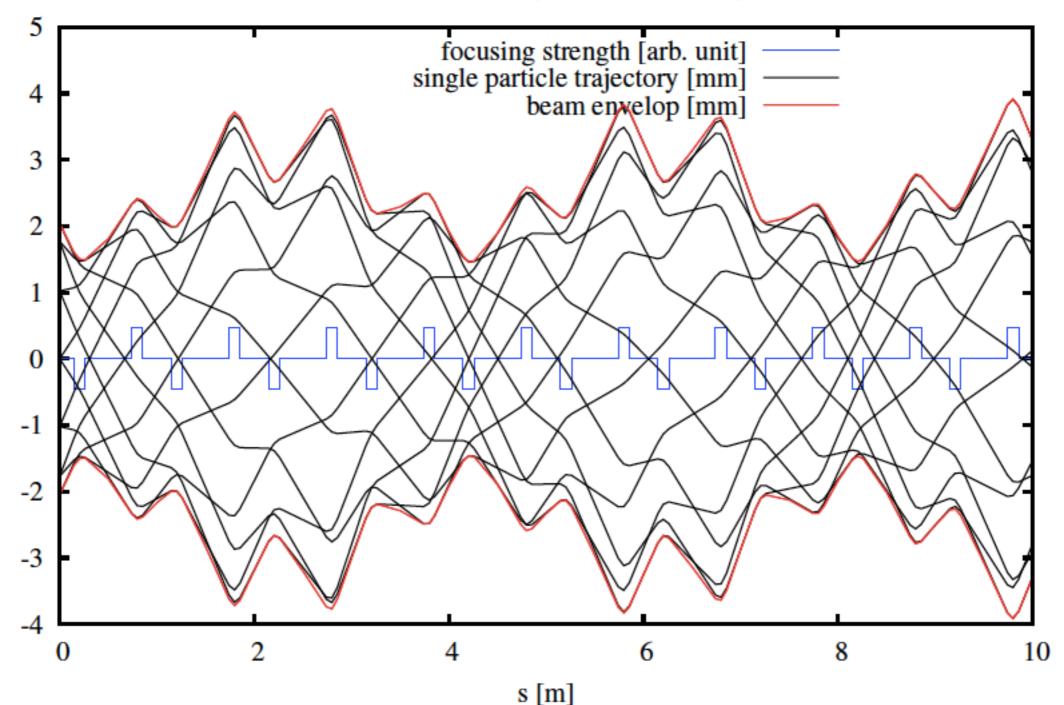
$$\frac{1}{2}\beta\beta'' - \frac{1}{4}\beta'^2 + k\beta^2 = 1$$



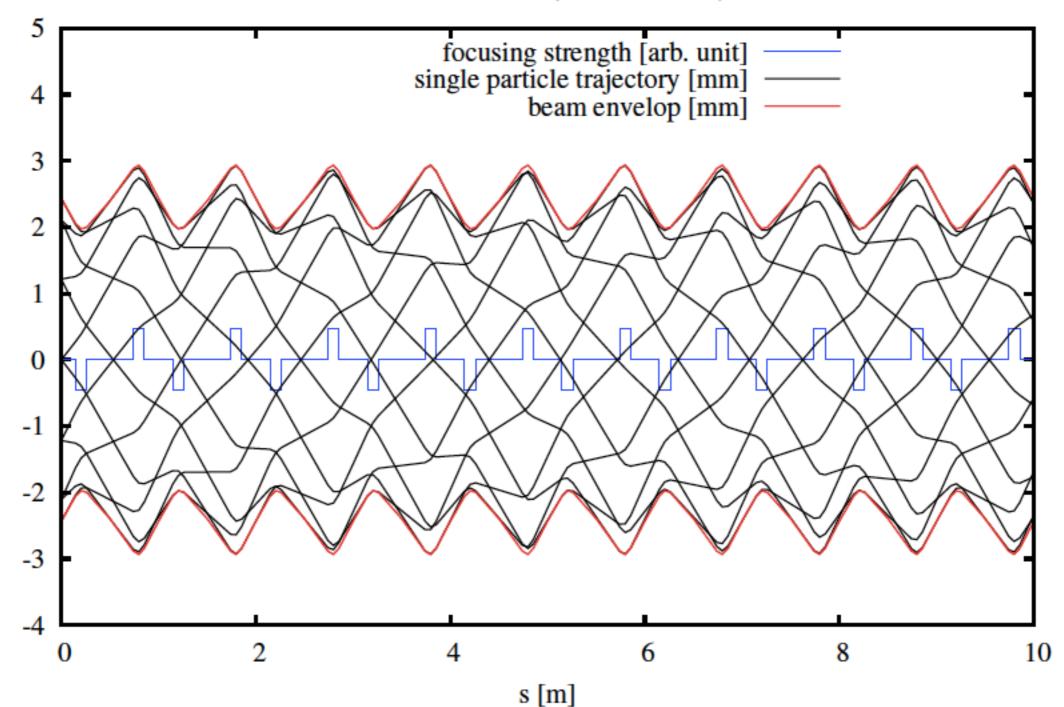
Periodic section (mismatched beam)



Periodic section (mismatched beam)



Periodic section (matched beam)



# Solution of Hill's equation

 $x = \sqrt{\beta(s)}\sqrt{\varepsilon} \sin[\phi(s) + \phi_0]$ 

betatron function property of the machine (not the beam)

emittance (property of beam)

•······ initial phase

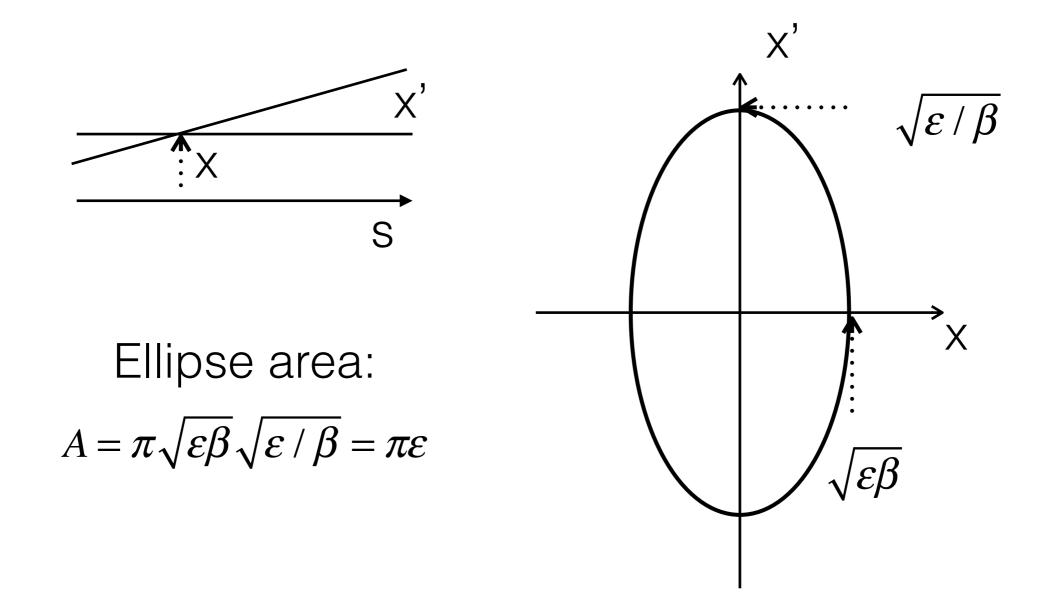
phase

phase advance 'tune'

$$\phi = \int \frac{ds}{\beta(s)}$$

Because transverse oscillations in accelerators were theoretically studied by Kerst and Serber (Physical Review, 60, 53 (1941)) for the first time in betatrons, transverse oscillations in accelerators are known generically as betatron oscillations

### Transverse 'phase space' ellipse



Ellipse can change shape but not area! Emittance is conserved. (cf. 'Louiville's theorem')

### Transfer matrices

Express solution in matrix form...

$$\vec{x}(s) = M(s \mid s_0) \vec{x}(s_0) \qquad \vec{x}(s) = \begin{pmatrix} x(s) \\ x'(s) \end{pmatrix}$$

Where M is the 'transfer matrix'.

We already know (because we showed)

$$\frac{d\phi(s)}{ds} = \frac{1}{w^2}$$

$$x = w\sqrt{\varepsilon}\cos(\phi(s) + \phi_0)$$

Take derivative for x'...

$$x' = w'\sqrt{\varepsilon}\cos(\phi(s) + \phi_0) - \frac{\sqrt{\varepsilon}}{w}\sin(\phi(s) + \phi_0)$$

reminder...

$$\frac{d(\cos(f(x)))}{dx} = -\sin(f(x))\frac{df(x)}{dx}$$

$$\begin{pmatrix} x(s_2) \\ x'(s_2) \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x(s_1) \\ x'(s_1) \end{pmatrix}$$

Trace two rays...

'cosine like'  $\phi = 0$  'sine like'  $\phi = \pi / 2$ 

$$x = w\sqrt{\varepsilon}\cos(\phi(s) + \phi_0)$$
  
$$x' = w'\sqrt{\varepsilon}\cos(\phi(s) + \phi_0) - \frac{\sqrt{\varepsilon}}{w}\sin(\phi(s) + \phi_0)$$

Yields 4 simultaneous equations so we can solve for a,b,c,d...

$$\mu = \phi_2 - \phi_1$$

$$M_{12} = \begin{pmatrix} \frac{w_2}{w_1} \cos \mu - w_2 w_1' \sin \mu & w_1 w_2 \sin \mu \\ -\frac{1 + w_1 w_1' w_2 w_2'}{w_1 w_2} \sin \mu - \left(\frac{w_1'}{w_2} - \frac{w_2'}{w_1}\right) \cos \mu & \frac{w_1}{w_2} \cos \mu + w_1 w_2' \sin \mu \end{pmatrix}$$

Simplify by considering a period or 'turn', and w's are equal.

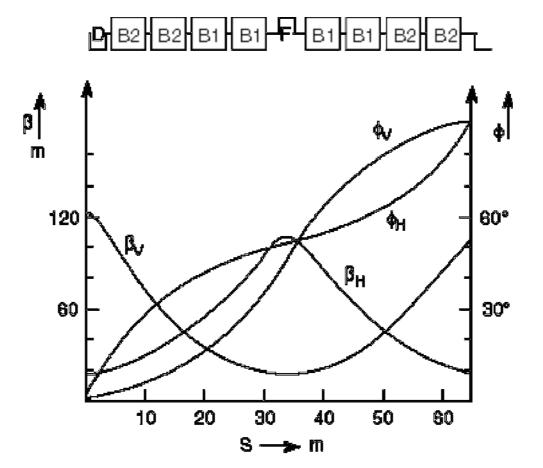
$$M_{period} = \begin{pmatrix} \cos \mu - ww' \sin \mu & w^2 \sin \mu \\ -\frac{1 + w^2 w'^2}{w^2} \sin \mu & \cos \mu + ww' \sin \mu \end{pmatrix}$$

If we define the so-called 'Twiss' or 'Courant-Snyder' parameters:

$$\beta = w^{2} \qquad \alpha = -\frac{1}{2}\beta' \qquad \gamma = \frac{1+\alpha}{\beta}$$
$$M_{period} = \begin{pmatrix} \cos\mu + \alpha\sin\mu & \beta\sin\mu \\ -\gamma\sin\mu & \cos\mu - \alpha\sin\mu \end{pmatrix}$$

(sorry that we are reusing symbols again... these are NOT the relativistic parameters)

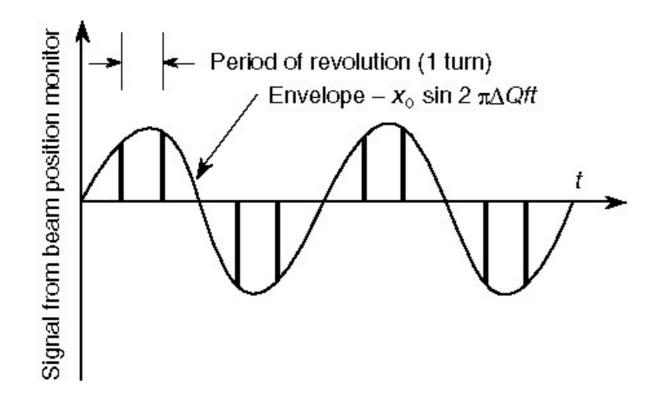
### Evolution of beta in a lattice...



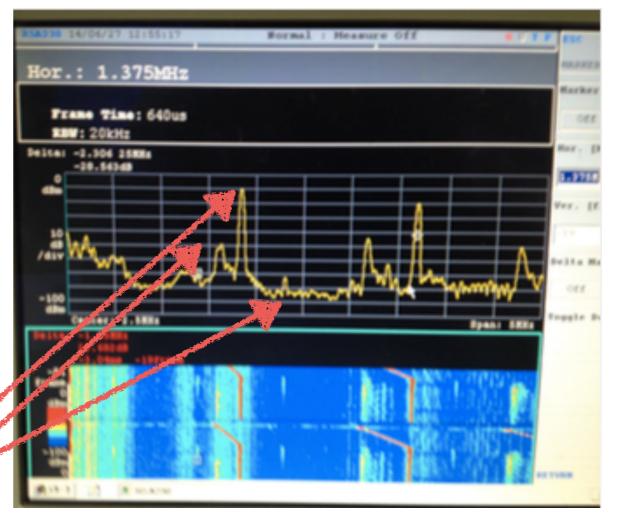
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24	,400000	0,000000	0,000000	2,574765 73,750162		,272846 29,634602		<b>389424</b> 57,546939 12,382087
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## How do we measure a 'tune'?

Measure the turn-by-turn oscillations of a bunch



Main frequency = revolution frequency 'Sideband' frequency gives the tune Tune measurement example from Kyoto University 150 MeV proton FFAG



Transfer matrices  
$$\vec{x}(s) = M(s \mid s_0) \vec{x}(s_0)$$
  $\vec{x}(s) = \begin{pmatrix} x(s) \\ x'(s) \end{pmatrix}$ 

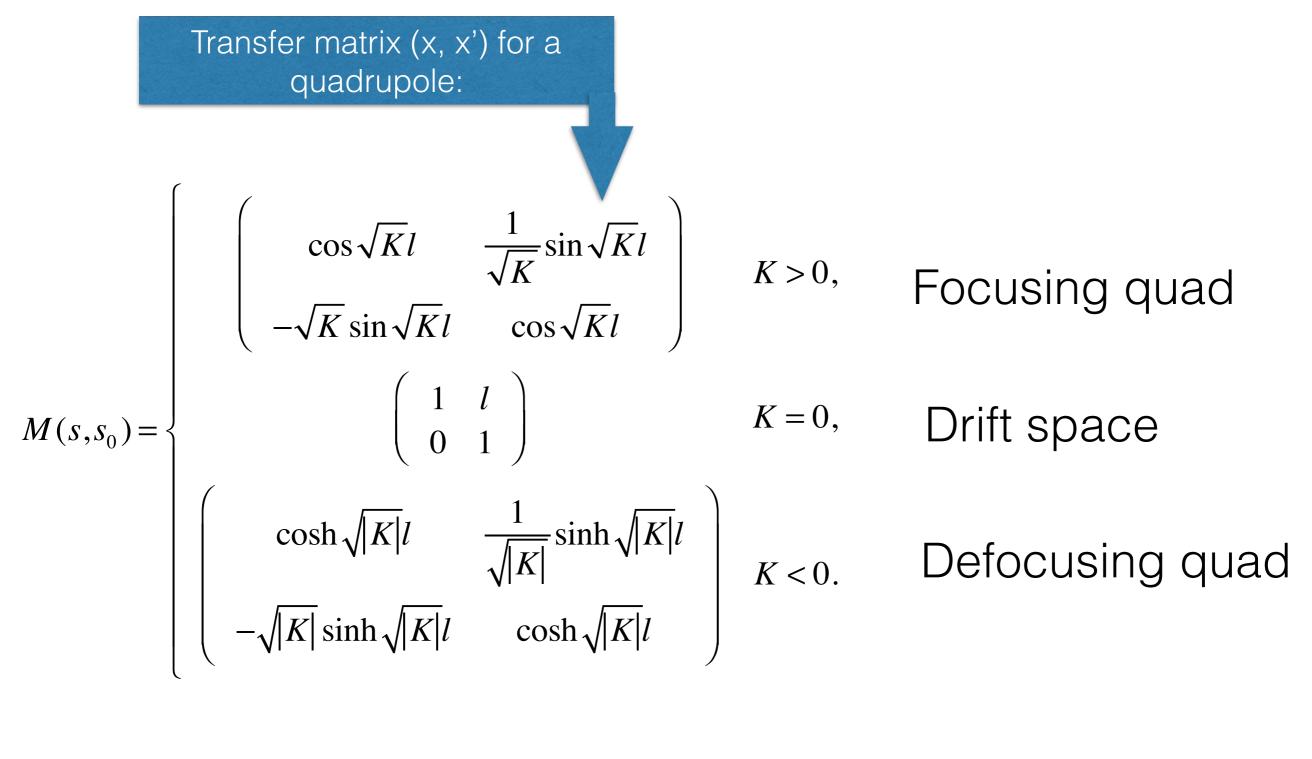
Where M is the 'transfer matrix'.

The effect of a succession of drifts & lenses can be found by multiplying their transfer matrices...

$$\vec{x}(s_n) = M_n(s_n | s_{n-1}) \dots M_3(s_3 | s_2) M_2(s_2 | s_1) M_1(s_1 | s_0) \vec{x}(s_0)$$

We could do this for a whole ring, but usually can exploit some symmetry (superperiod or cell)

# AG focusing

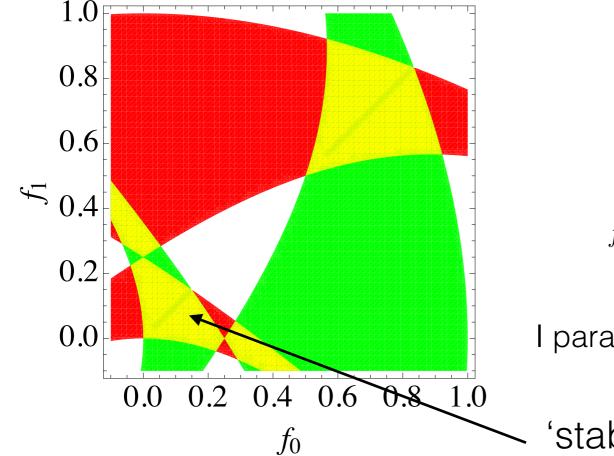


## Stability: an example

This solution is 'stable' in periodic system when there is a real betatron phase advance or tune, such that:

$$|Tr(M)| \le 2$$

So let's test this out...



 $f(\theta) = \begin{cases} f_0 + f_1 = const., & 0 < \theta < \frac{1}{2}\theta_0 \\ f_0 - f_1 = const., & \frac{1}{2}\theta_0 < \theta < \theta_0. \end{cases}$ 

I parameterise it in terms of f, think of f as focal length

'stability region'

nb. no drift space & no edge focusing in this case

# AG focusing: thin lens

For infinitesimally short lenses, we can recover most of the physics

 $K(s) = \pm \delta(s) / f$  where f is the focal length.

In the 'thin lens' approximation, for a 'FODO' lattice:

$$M = \begin{pmatrix} 1 & d \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 1/f & 1 \end{pmatrix} \begin{pmatrix} 1 & d \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix}$$
$$= \begin{pmatrix} 1 - \frac{d}{f} - \frac{d^2}{f^2} & 2d + \frac{d^2}{f} \\ -\frac{d}{f^2} & 1 + d/f \end{pmatrix}$$

Focusing & defocusing with a drift between doesn't cancel out. This is what gives us 'alternating gradient' focusing

Equating these two:  

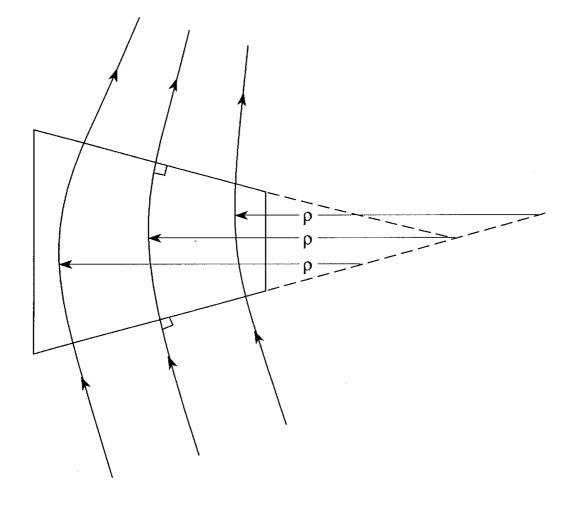
$$M_{FODO} = \begin{pmatrix} 1 - \frac{d}{f} - \frac{d^2}{f^2} & 2d + \frac{d^2}{f} \\ -\frac{d}{f^2} & 1 + d/f \end{pmatrix} \qquad M_{period} = \begin{pmatrix} \cos\mu + \alpha \sin\mu & \beta \sin\mu \\ -\gamma \sin\mu & \cos\mu - \alpha \sin\mu \end{pmatrix}$$

We find:

$$\cos\mu = \left(m_{11} + m_{22}\right)/2 = 1 - \frac{d^2}{2f^2}$$

Beta function 
$$\beta_{cs} = 2d \frac{1 + \sin(\mu/2)}{\sin \mu}$$
  
Phase advance  $\mu(s) = \int_{0}^{s} \frac{ds}{\beta(s)}$  'tune'  $v = \mu/2\pi$ 

### nb. sector magnet focusing



$$M_{x} = \begin{pmatrix} \cos \theta , & \rho \sin \theta \\ -\frac{1}{\rho} \sin \theta , & \cos \theta \end{pmatrix}$$

# Summary

- Equations of motion in transverse co-ordinates
- Check Solution of Hill's equation
- Transfer matrices
- Stability and AG focusing
- Physical meaning of tune and beta

### Note: Ted Wilson's cyclotron derivation $w = \frac{q}{m_0} B_0$

#### **Equation of motion in a cyclotron**

Non relativistic

$$\frac{d(m\mathbf{v})}{dt} = \mathbf{F} \qquad \qquad \frac{d(m\mathbf{v})}{dt} = q[\mathbf{v} \times \mathbf{B}]$$

• Cartesian  

$$\frac{d(mv_x)}{dt} = \frac{d(m\dot{x})}{dt} = q[\dot{y}B_z - \dot{z}B_y]$$

$$\frac{d(mv_y)}{dt} = \frac{d(m\dot{y})}{dt} = q[\dot{z}B_x - \dot{x}B_z]$$

$$\frac{d(mv_z)}{dt} = \frac{d(m\dot{z})}{dt} = q[\dot{x}B_y - \dot{y}B_x]$$

Cylindrical

$$\frac{d(m\dot{r})}{dt} - mr\dot{\Theta}^{2} = q[r\dot{\Theta}B_{z} - \dot{z}B_{\theta}]$$
$$\frac{d(mr\dot{\Theta})}{dt} + m\dot{r}\dot{\Theta} = q[\dot{z}B_{r} - \dot{r}B_{z}]$$
$$\frac{d(m\dot{z})^{2}}{dt} = q[rB_{\theta} - r\dot{\Theta}B_{r}]$$

Lecture 3 - E. Wilson - 17 Oct 2012 – Slide 6



#### **Cyclotron orbit equation**

• For non-relativistic particles  $(m = m_0)$  and with an axial field  $B_z = -B_0$ 

$$m_0 \left( \ddot{r} - r\dot{\theta}^2 \right) = -qr\dot{\theta}B_z$$
$$m_0 \left( r\ddot{\theta} + 2r\dot{\theta} \right) = q\dot{r}B_z$$
$$m_0 \ddot{z} = 0$$

• The solution is a closed circular trajectory which has radius

$$R = \frac{p}{qB_z}$$

• and an angular frequency

$$\omega = \frac{q}{m_0} B_z$$

- Take into account special relativity by  $m = m_0 \gamma = m_0 \frac{E}{E_0}$
- And increase B with g to stay synchronous!

Lecture 3 - E. Wilson - 17 Oct 2012 -- Slide 7



#### **Cyclotron focusing – small deviations**

• See earlier equation of motion

$$\frac{d\left(m\dot{r}\right)}{dt} + mr\dot{\theta}^{2} + q\left[r\dot{\theta}B_{z} - \dot{z}B_{\theta}\right] = 0$$

• If all particles have the same velocity:

$$\rho \dot{\theta} = v_0 = \dot{z}$$
$$\frac{d}{dt} \left( m \frac{d\rho}{dt} \right) + \frac{m v_0^2}{\rho} + e v_0 B_z = 0$$

Change independent variable and substitute for small deviations

$$\frac{d}{dt} = v_0 \frac{d}{ds} , \quad \Delta B_z = B_z - B_0, \quad \mathbf{x} = \rho - \rho_0$$

• Substitute

$$\frac{1}{mv_0}\frac{d}{ds}\left(p_0\frac{dx}{ds}\right) + \frac{x}{\rho_0^2} + \frac{1}{\rho_0}\frac{\Delta B_z}{B_0} = 0$$

 $p_0 = mv_0$ 

Lecture 3 - E. Wilson - 17 Oct 2012 -- Slide 8

**Cyclotron focusing – field gradient** 

From previous slide

$$\frac{1}{mv_0}\frac{d}{ds}\left(p_0\frac{dx}{ds}\right) + \frac{x}{\rho_0^2} + \frac{1}{\rho_0}\frac{\Delta B_z}{B_0} = 0$$

Taylor expansion of field about orbit

$$B_{z} = B_{0} + \frac{\partial B_{z}}{\partial x}x + \frac{1}{2!}\frac{\partial^{2} B_{z}}{\partial x^{2}}x^{2} + \dots$$

Define field index (focusing gradient)

$$k = -\frac{1}{(B_0 \rho_0)} \frac{\partial B_z}{\partial x}$$

To give horizontal focusing

$$\frac{1}{p_0} \frac{d}{ds} \left( p_0 \frac{dx}{ds} \right) + \left( \frac{1}{\rho^2} - k \right) x = 0$$

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#### Cyclotron focusing – betatron oscillations

From previous slide - horizontal focusing:

$$\frac{1}{p_0} \frac{d}{ds} \left( p_0 \frac{dx}{ds} \right) + \left( \frac{1}{\rho^2} - k \right) x = 0$$

Now Maxwell's

$$\nabla \times \mathbf{B} = 0$$

- **Determines**  $\left(\frac{\partial B_x}{\partial z}\right)_0 = \left(\frac{\partial B_z}{\partial x}\right)$
- hence  $B_x = -zB_0\rho_0k$
- In vertical plane

$$\frac{1}{p_0} \frac{d}{ds} \left( p_0 \frac{dz}{ds} \right) + kz = 0$$

Simple harmonic motion with a number of oscillations per turn:

$$Q_x = \sqrt{\frac{1}{\rho^2} - k}, \qquad Q_z = \sqrt{k}$$

- These are "betatron" frequencies  $\omega Q_x$ ,  $\omega Q_y$
- Note vertical plane is unstable if

$$k > \frac{1}{\rho^2}$$
  
J.A.I.