

# Constraining the SMEFT in the top sector at the LHC

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(DESY)

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and EFT enthusiasts from ATLAS and CMS



# Timeline

**Spring:** TH brainstorming

**June:** first ideas on paper, presentation, first feedback, new contributions

**Summer:** re-thinking and implementation,  
UFO as mediator between TH and EXP teams

**Mid-October:** v0 put together

**November $-\epsilon$ :** 10<sup>+</sup> pages of feedback received, overall agreement on principles,  
interesting suggestions, questions raised, clarifications requested

**Today:** open discussion

**November $+\epsilon$ :** implementation in v1 and release

# Content

## For v1

- ▶ guiding principles
- ▶ an example of EFT analysis strategy
- flavour assumptions
- corresponding degrees of freedom
- indicative direct constraints
- UFO implementation and benchmarks

[many from TopFitter]

## Foreseen

- FCNCs
- NLO QCD
- indirect constraints, from EDMs, flavour, etc.
- ...
- theory uncertainties
- unstable tops
- ...

[Los Alamos/Nikhef contrib.]

[sugg. by M.Schulze]

# Guiding principles

# Guiding principles

Still Warsaw as reference basis, still only operators involving tops, but:

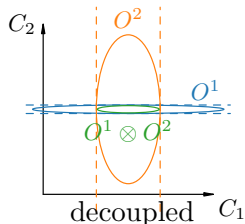
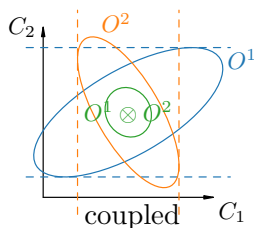
## Previous approach

- attempt to determine the d.o.f. relevant for given processes
- hierarchize their contributions  
(QCD vs. EW,  $m_b/m_t$  or PDF suppressions...)

- !! model dependent
- !! observable/phase-space dependent
- !! not fitting the global EFT scheme

## Now more general and phenomenological

1. all tree-level contributions on the same footing
2. hierarchies derive from existing constraints for each observable  $O^k$
3. compute higher orders in SM couplings where necessary



# Guiding principles

## Implications

- ▶ Give up, for now, on the stating which d.o.f. is relevant in which process.
- ▶ Recommend to determine the EFT dependence observable-by-observable.
  - Naive hierarchies are upset in too many instances.
  - Use MC for instance. Some benchmarks given, notably for total rates.
  - The picture will become clearer/more specific with time.
- ▶ The d.o.f. relevance in a measurement may change as constraints are collected!

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⇒ Measurement should be re-interpretable!

- in an evolving global EFT picture
- with more sophisticated predictions
- with less restrictive assumptions  
(e.g. about flavour, non-top operators, etc.)

An example of EFT analysis strategy



## An example of EFT analysis strategy

**Warning:** dangerous territory for a theorist!

- to show how EFT challenges could be addressed
- to fix ideas on what are useful outputs from a TH perspective

Choose a (particle-level) fiducial volume close enough to the detector level for unfolding to be very model independent.

Check it!

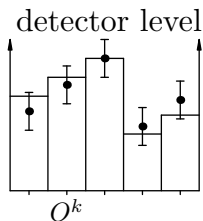
- allows re-interpretation without full simulation
- greatly facilitates multi-dimensional EFT analyses

# An example of EFT analysis strategy

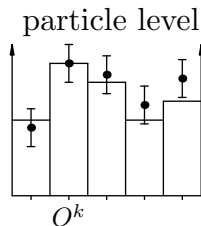
For  $O^k$  observables

total rate, binned  $p_T$ ,  $\eta$ ,  $m_{xy}$ , etc. distributions,  
binned MVA output, ratios, asymmetries, *optimal* observables,...

Unfold



unfold  
the data  
 $\Rightarrow$   
under SM  
hypothesis



Provide

- observable definitions (code if non-standard)
- stat. uncertainties
- systematics breakdown

( $\rightarrow$  re-interpretable in any model)

## Global EFT interpretation

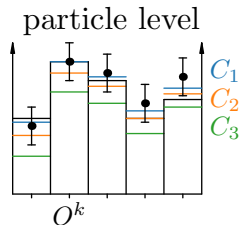
- Compute EFT predictions to the particle level

$$O^k = B_l^k + \frac{C_i}{\Lambda^2} S_i^k + \frac{C_i C_j}{\Lambda^4} S_{ij}^k + \dots$$

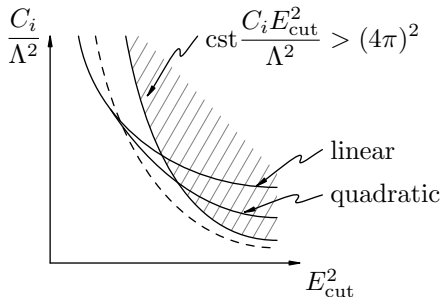
SM bkg composition      linear dim-6 contributions (EFT-SM interf.)      quadratic dim-6 contributions      higher powers, and higher-dim. operators

- Obtain and release likelihoods in the full  $\{C_i\}$  space

≡ **global** constraints  
to combine with other measurements



- also quote **individual** constraints
  - information about sensitivity and the magnitude of approximate degeneracies



- quote both the **linear** and **quadratic** dim-6 approx.
  - information about the importance of higher powers of dim-6 coeff.
- quote limits as functions of  $E_{\text{cut}}$ 
  - valid interpretation for models with lower scales
  - perturbativity possibly ensured by minimal  $E_{\text{cut}}$

[Contino et al '16]

$$\sum_n \text{diagram} \sim \sum_n \left[ \text{cst} \frac{C_i E_{\text{cut}}^2}{(4\pi\Lambda)^2} \right]^n$$

The diagram on the left shows a series of n loops connected in a chain. Each loop has two external lines. The vertices are labeled 1, 2, ..., n.

Degrees of freedom

# Flavour assumptions

(not applicable for top FCNCs, treated separately)

## Lepton sector (not critical)

- rather loose  $U(1)_{l_1+e_1} \times U(1)_{l_2+e_2} \times U(1)_{l_3+e_3}$  aka flavour diagonality
- could easily be restricted to  $U(3)_{l+e}$ , or even  $U(3)_l \times U(3)_e$

## Quark sector (baseline and variants)

to effectively reduce the huge number of four-quark operators

**Baseline**  $U(2)_q \times U(2)_u \times U(2)_d$

$\equiv$  SM flavour symmetry in the limit  $y_{u,d,s,c} \rightarrow 0$ ,  $V_{\text{CKM}} \rightarrow \mathbb{I}$   
forces the first two generations to appear as  $\sum_{i=1,2} \bar{q}_i q_i$ ,  $\bar{u}_i u_i$ ,  $\bar{d}_i d_i$

**Extended** to  $U(2)_{q+u+d}$

[sugg. by J.A.Aguilar Saavedra]

- allows light right-handed charged currents  $\sum_{i=1,2} \bar{u}_i d_i$
- allows light chirality flipping currents  $\sum_{i=1,2} \bar{q}_i u_i$ ,  $\bar{q}_i d_i$

**Restricted** to *top-philic* scenario

[sugg. by A.Wulzer]

- assumes NP generates all operators with tops and bosons
- then project that over-complete set on the Warsaw basis with EOM, etc.

# d.o.f. and constraints

	benchmark	extended	restricted
four heavy quarks	9		5
two light and two heavy quarks	14	+10 + 10 CPV	} 5
two heavy quarks and two leptons	(8 + 3 CPV) × 3		
two heavy quarks and bosons	9 + 6 CPV		9 + 6 CPV

## Indicative direct constraints:

[many from TopFitter]

Four-heavy (9 d.o.f.)

Indicative direct limits

$$C_{QQ}^1 \equiv 2C_{qq}^{1(3333)} - \frac{2}{3}C_{qq}^{3(3333)}$$

$$C_{QQ}^8 \equiv 8C_{qq}^{3(3333)}$$

$$!C_{QQ}^+ \equiv C_{qq}^{1(3333)} + C_{qq}^{3(3333)}$$

$$C_{Qt}^1 \equiv C_{qu}^{1(3333)}$$

$$C_{Qt}^8 \equiv C_{qu}^{8(3333)}$$

$$C_{Qb}^1 \equiv C_{qd}^{1(3333)}$$

$$C_{Qb}^8 \equiv C_{qd}^{8(3333)}$$

$$C_{tt}^1 \equiv C_{uu}^{1(3333)}$$

$$C_{tb}^1 \equiv C_{ud}^{1(3333)}$$

$$C_{tb}^8 \equiv C_{ud}^{8(3333)}$$

$$[-2.92, 2.80] (E_{cut} = 3 \text{ TeV}) [4]$$

$$[-4.97, 4.90] (E_{cut} = 3 \text{ TeV}) [4]$$

$$[-10.3, 9.33] (E_{cut} = 3 \text{ TeV}) [4]$$

$$[-2.92, 2.80] (E_{cut} = 3 \text{ TeV}) [4]$$

Two-light-two-heavy (14 d.o.f.)

$$C_{Qq}^{3,1} \equiv C_{qq}^{3(i33)} + \frac{1}{6}(C_{qq}^{1(i33i)} - C_{qq}^{3(i33i)})$$

$$C_{Qq}^{3,8} \equiv C_{qq}^{1(i33i)} - C_{qq}^{3(i33i)}$$

$$C_{Qq}^{1,1} \equiv C_{qq}^{1(i33)} + \frac{1}{6}C_{qq}^{1(i33i)} + \frac{1}{2}C_{qq}^{3(i33i)}$$

$$C_{Qq}^{1,8} \equiv C_{qq}^{1(i33i)} + 3C_{qq}^{3(i33i)}$$

$$[-0.66, 1.24] [5], [-3.11, 3.10] [4]$$

$$[-6.06, 6.73] [4]$$

$$[-3.13, 3.15] [4]$$

$$[-6.92, 4.93] [4]$$

[ $\Lambda = 1 \text{ TeV}$ ]

# UFO implementation

- ▶  $90^+$  d.o.f. of the extended flavour scenario
- ▶ LO for now

## Benchmark dependences

e.g. linear contributions ( $S_i^k$ ) to total rates:

[permil of the SM rate,  $\Lambda = 1$  TeV]

SM		$pp \rightarrow t\bar{t}$ $5.2 \times 10^2$ pb	$pp \rightarrow t\bar{t}b\bar{b}$ 2.3 pb	$pp \rightarrow t\bar{t}t\bar{t}$ 0.0099 pb	$pp \rightarrow t\bar{t}e^+\nu$ 0.02 pb	$pp \rightarrow t\bar{t}e^+e^-$ 0.016 pb	$pp \rightarrow t\bar{t}\gamma$ 1.5 pb	$pp \rightarrow t\bar{t}h$ 0.4 pb
$c_{QQ}^1$	cQQ1	-0.25	-1.5	$-1 \times 10^2$		-1.6	-0.66	-0.71
$c_{QQ}^8$	cQQ8	-0.16	-2.5	-32		-0.91	-0.49	-0.28
$c_{Qt}^1$	cQt1	-0.15	-4.3	$1 \times 10^2$		-0.77	-0.19	-0.56
$c_{Qt}^8$	cQt8	-0.053	-1.5	-39		-0.18	-0.094	-0.15
$c_{Qb}^1$	cQb1	-0.0055	0.53	-0.051		-0.014	-0.0069	-0.029
$c_{Qb}^8$	cQb8	0.14	3.2	0.12		0.35	0.16	0.57
$c_{tt}^1$	ctt1			$-1.6 \times 10^2$				
$c_{tb}^1$	ctb1	-0.0096	0.36	-0.056		-0.02	-0.023	-0.04
$c_{tb}^8$	ctb8	0.14	2.9	0.11		0.26	0.3	0.58
$c_{Qq}^{3,8}$	cQq83	2.6	2	5	-84	-19	0	16
$c_{Qq}^{1,8}$	cQq81	12	20	24	$2.6 \times 10^2$	73	26	73
$c_{tq}^8$	ctq8	12	21	27	$2.6 \times 10^2$	63	54	73
$c_{Qu}^8$	cQu8	7.2	12	18		17	42	44
$c_{tu}^8$	ctu8	7.5	11	15		14	23	44
$c_{Qd}^8$	cQd8	5	8.3	11		17	6.8	28
$c_{td}^8$	ctd8	4.8	7.2	10		12	14	28
$c_{Qq}^{3,1}$	cQq13	3.3	5.3	5.1	$1.1 \times 10^2$	22	11	19
$c_{tq}^{1,1}$	ctq11	0.82	0.19	-7.9	-6.1	-4.8	2.8	6.2
$c_{tq}^1$	ctq1	0.67	2.7	-8.3	8.7	0.66	3.5	4.9
$c_{Qu}^1$	cQu1	0.58	1.9	-5.1		1.5	2.8	4.2
$c_{tu}^1$	ctu1	1.1	0.86	-4		2.3	3.5	6.9
$c_{Qd}^1$	cQd1	-0.2	0.17	-4		-0.67	-0.27	-1.4
$c_{td}^1$	ctd1	-0.38	-1.2	-4.9		-0.94	-1.2	-2.1
$c_{t\nu}$	ctp	$-2.1 \times 10^{-5}$	-23	-8.7	-0.034	-0.0093	$-2.9 \times 10^{-5}$	$-1.2 \times 10^2$

preliminary





That's it for the overview!

Thanks for all the contributions and feedback!

The floor is open for discussion!

Watch for v1!