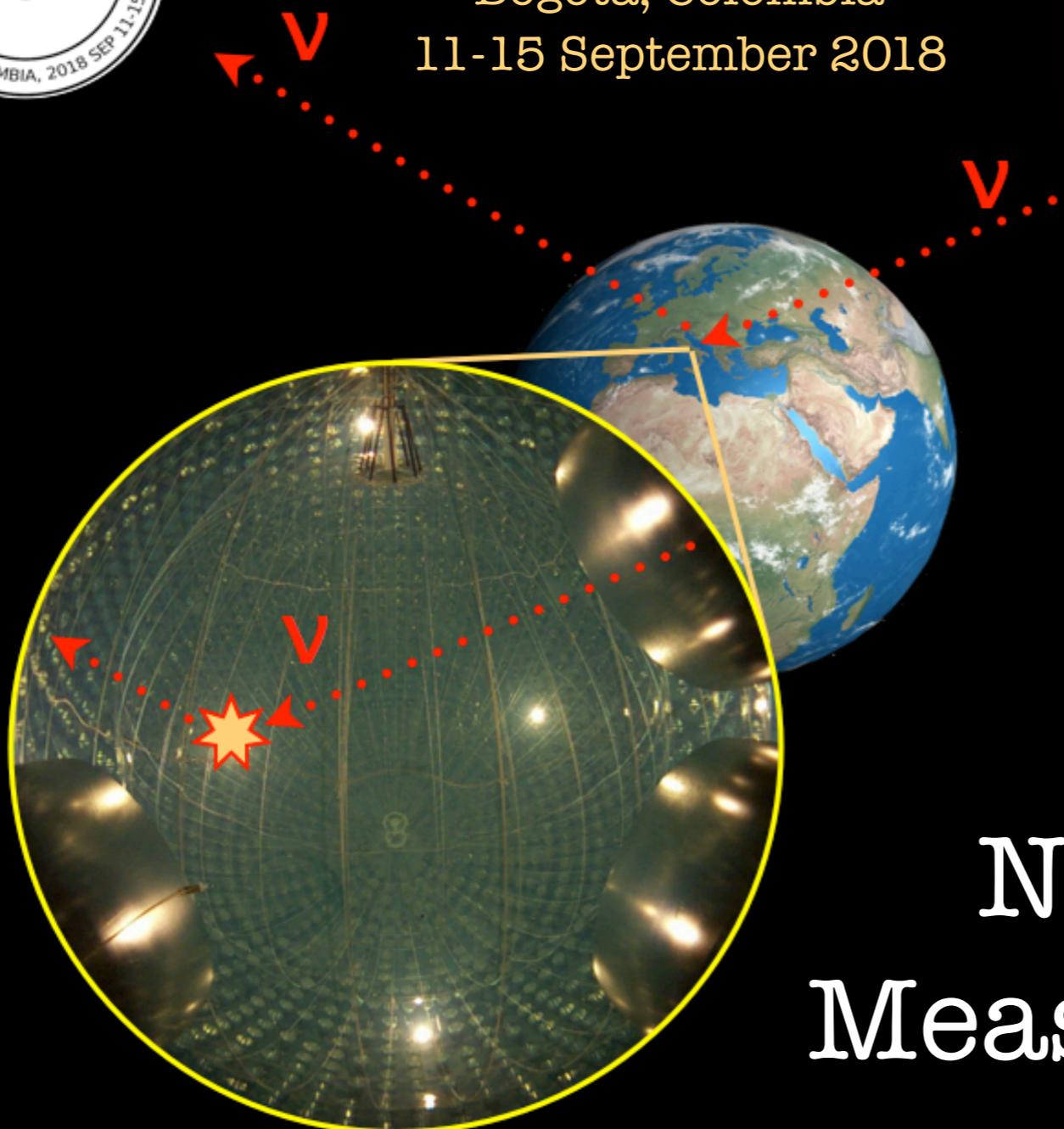




PIC 2018 – XXXVII International  
Symposium on Physics in Collision  
Bogotá, Colombia  
11-15 September 2018



# Solar Neutrino Measurements



*Andrea Pocar*  
University of Massachusetts, Amherst



AMHERST CENTER FOR FUNDAMENTAL INTERACTIONS  
Physics at the interface: Energy, Intensity, and Cosmic frontiers  
University of Massachusetts Amherst

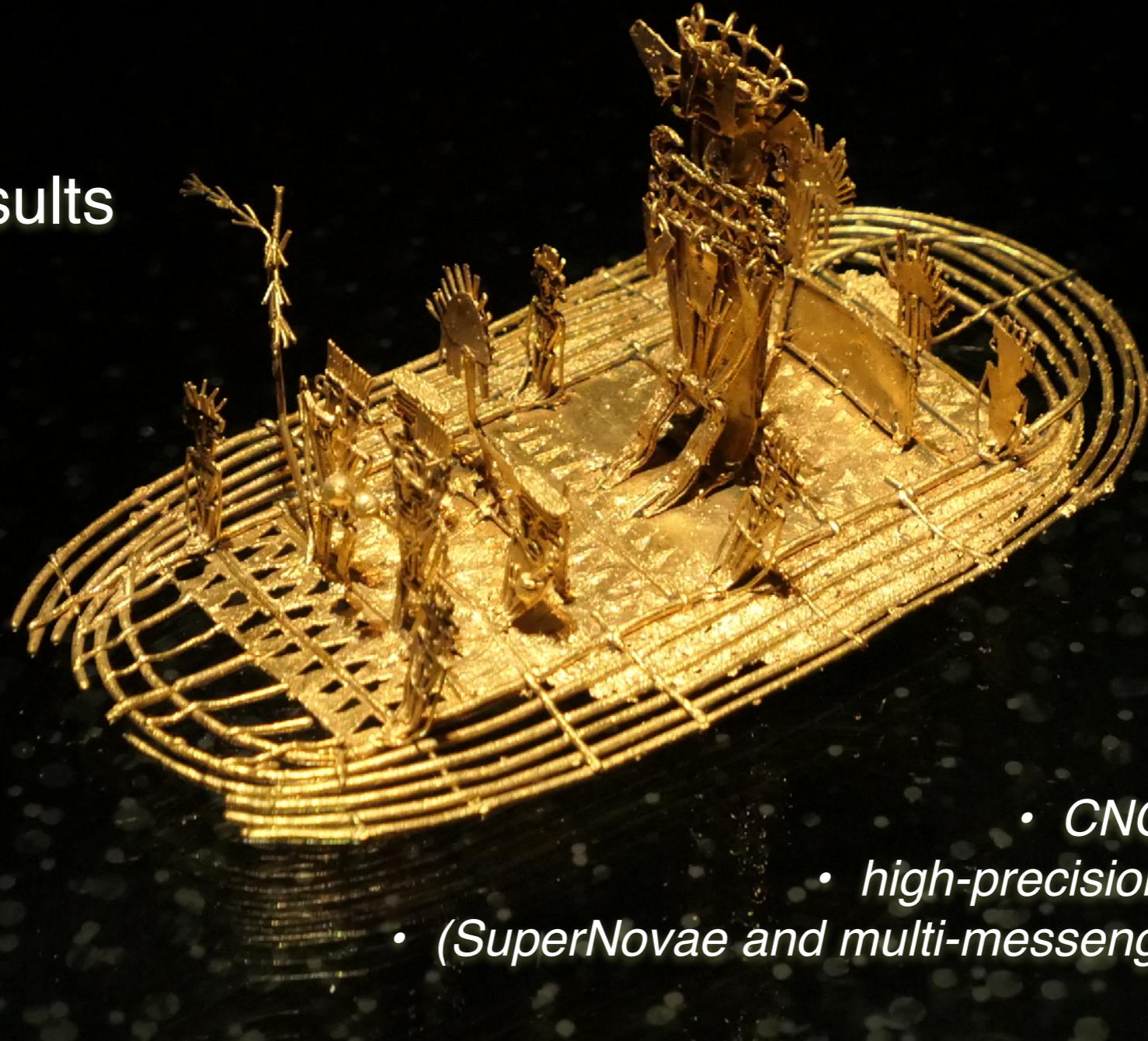
# Outline

## Solar neutrinos

- *fusion processes in the Sun*
- *solar neutrino milestones*
- *the solar neutrino puzzle*
- *running experiments*

## Recent results

- *SuperK-IV*
- *Borexino*



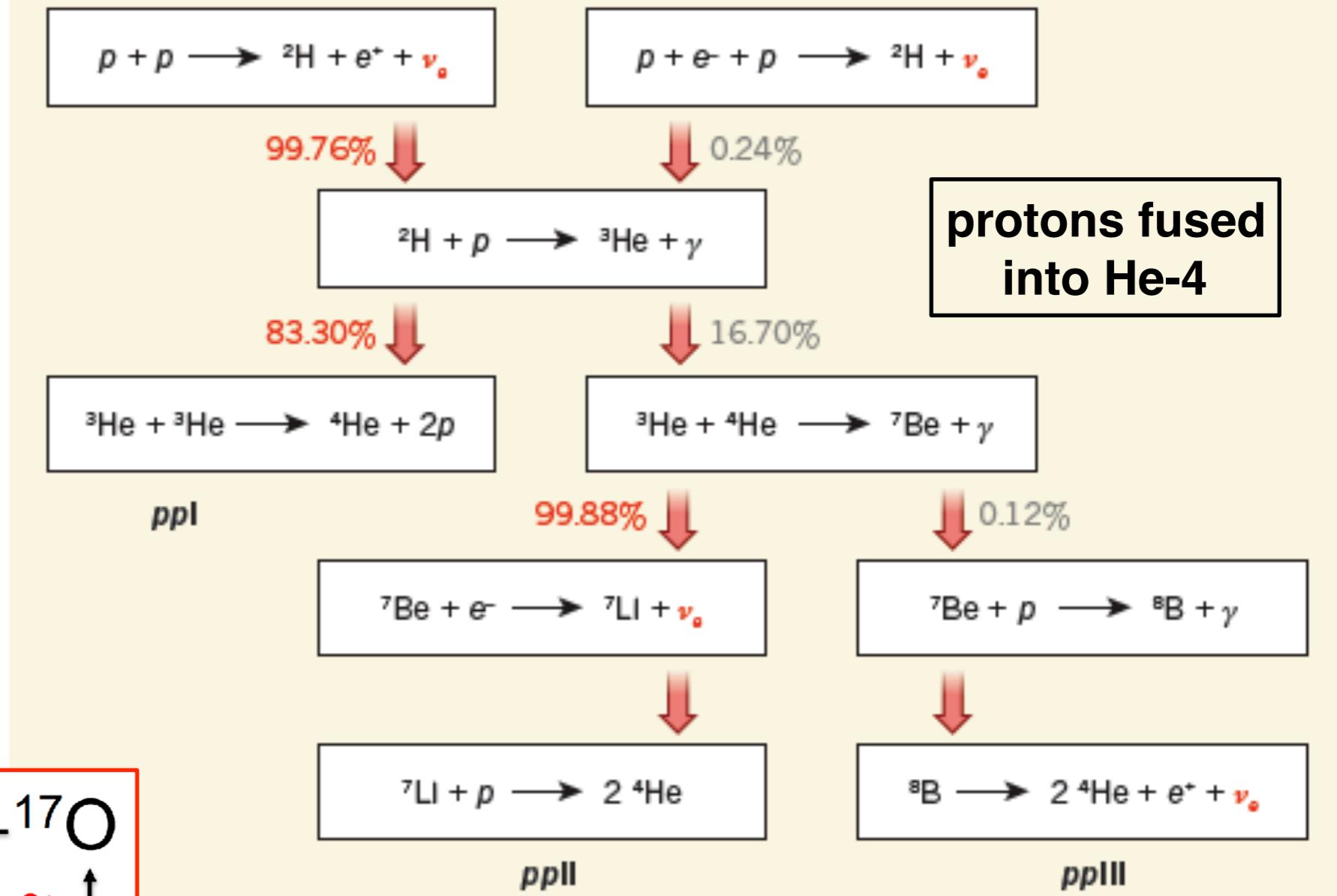
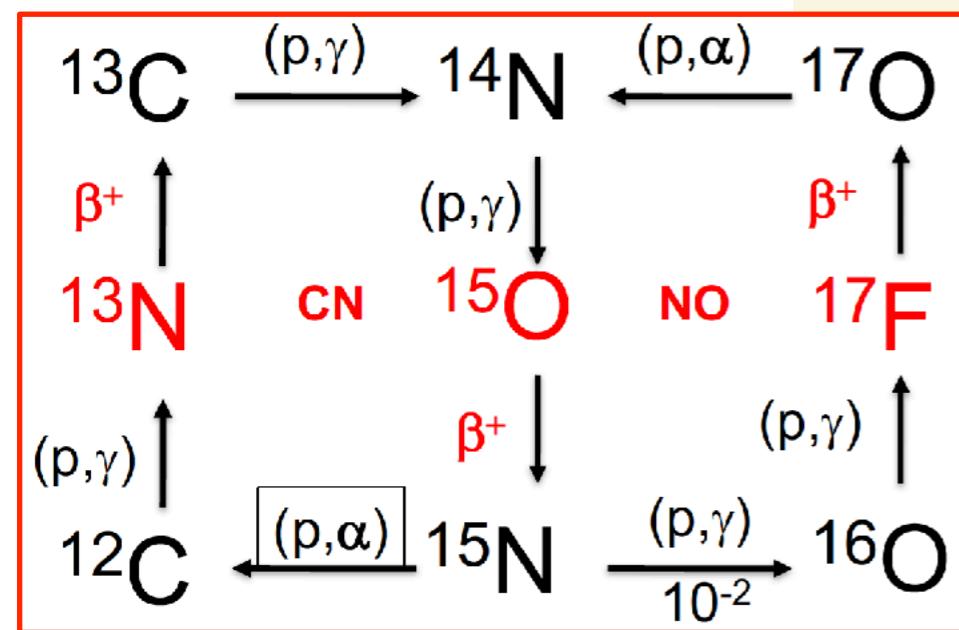
## Outlook

- *CNO solar neutrinos*
- *high-precision measurements*
- *(SuperNovae and multi-messenger astrophysics)*

# Solar fusion and neutrino emission



# H. Bethe (1906-2005)



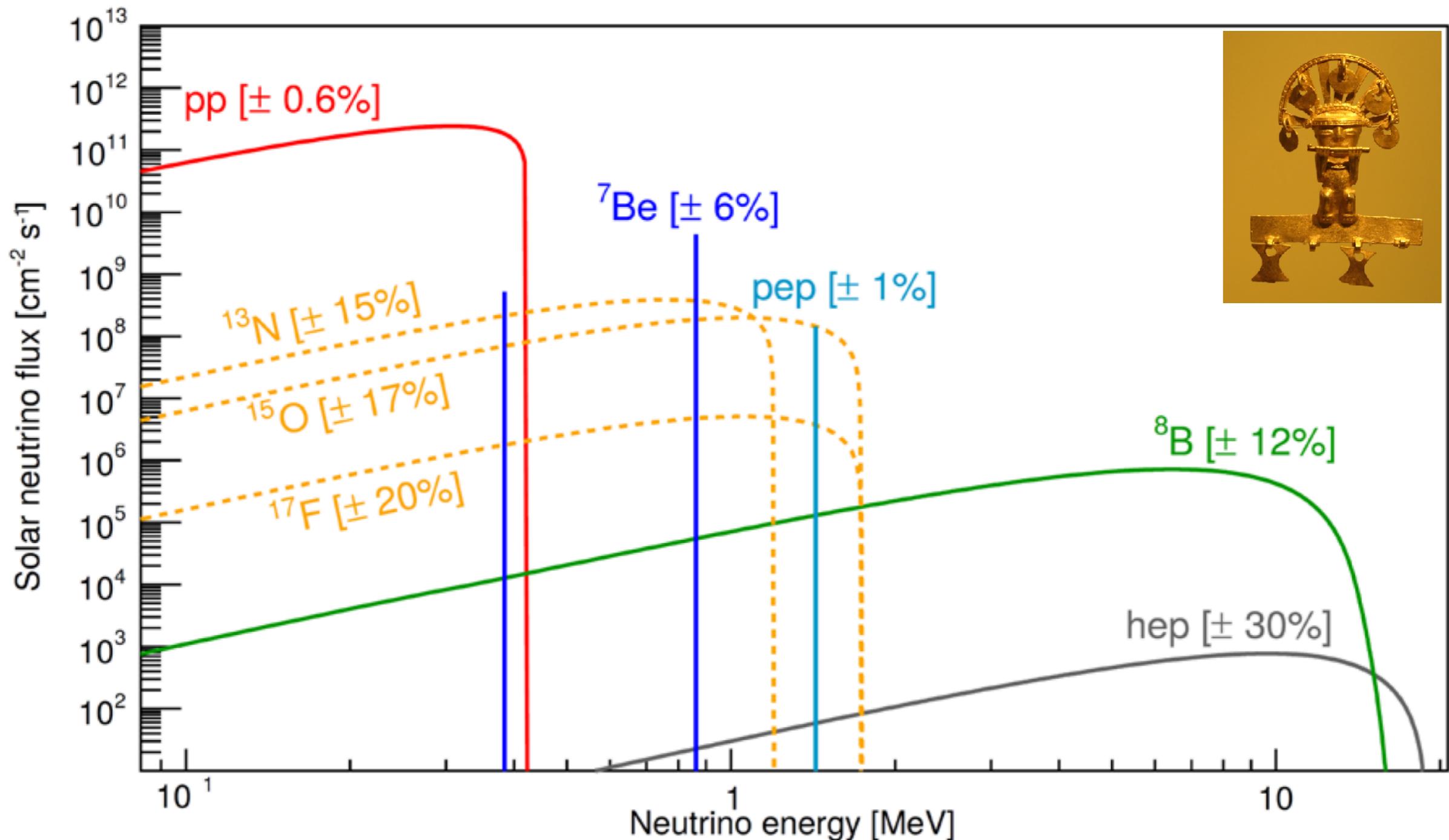
$$4p \rightarrow {}^4\text{He} + 2e^+ + (24.69 + 2m_e c^2) \text{ MeV}$$

$\langle E_\nu \rangle \sim 0.53 \text{ MeV}$   
 $(\sim 2\% \text{ of the total energy})$

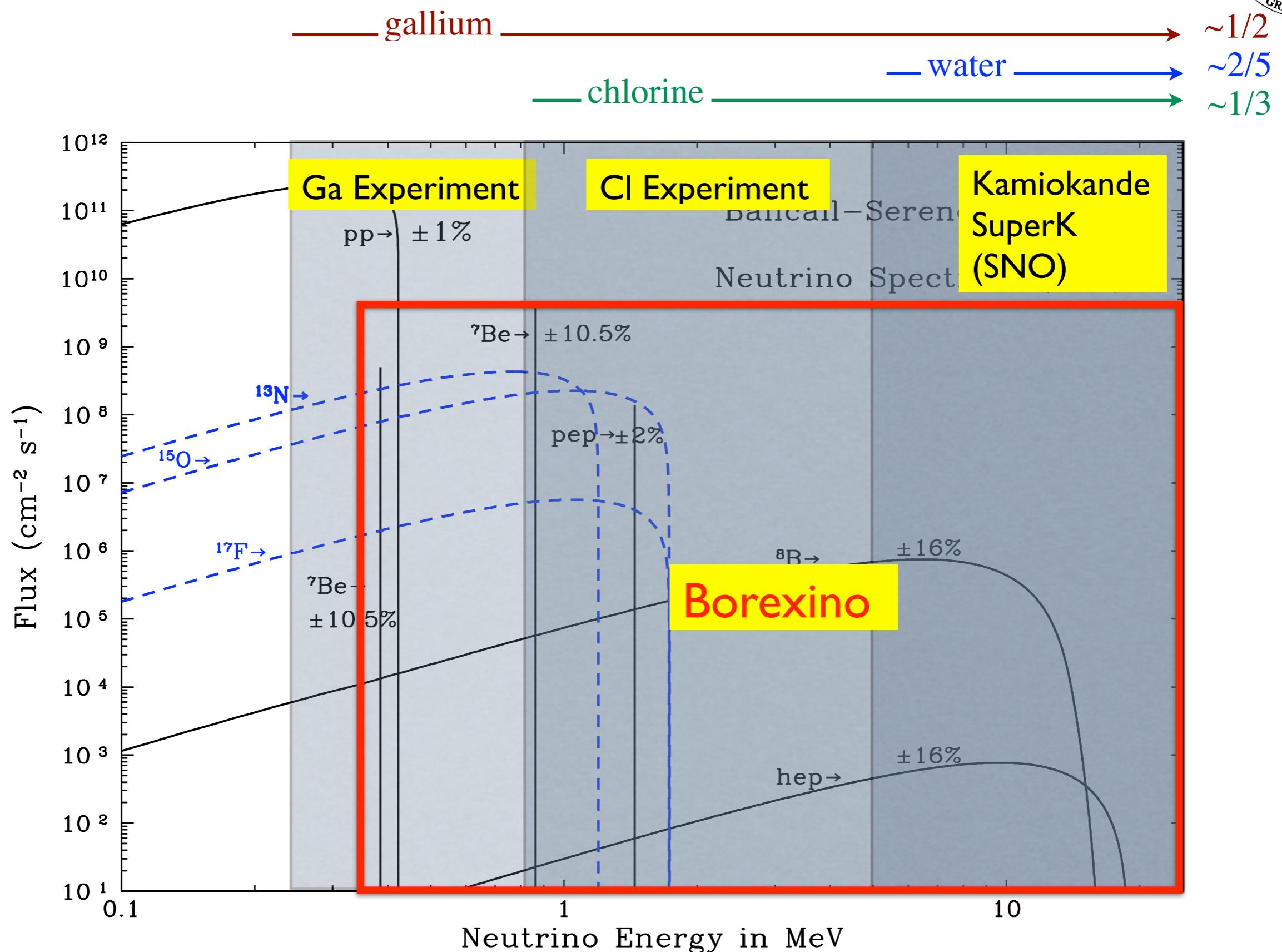


# Solar neutrino spectrum

Aldo Serenelli et al, *Astrophys. J.* 835 (2017) no.2, 202



# The Solar Neutrino “Puzzle”



# Atmospheric neutrino oscillations

VOLUME 81, NUMBER 8

PHYSICAL REVIEW LETTERS

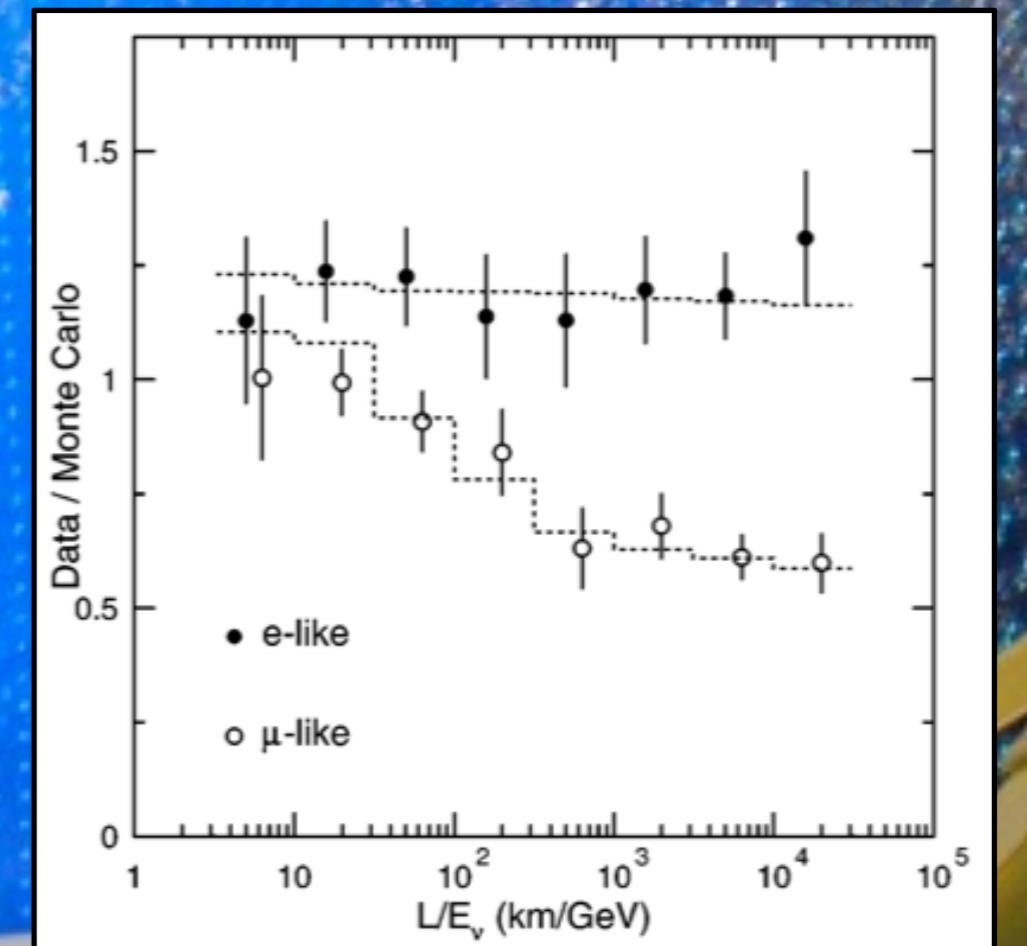
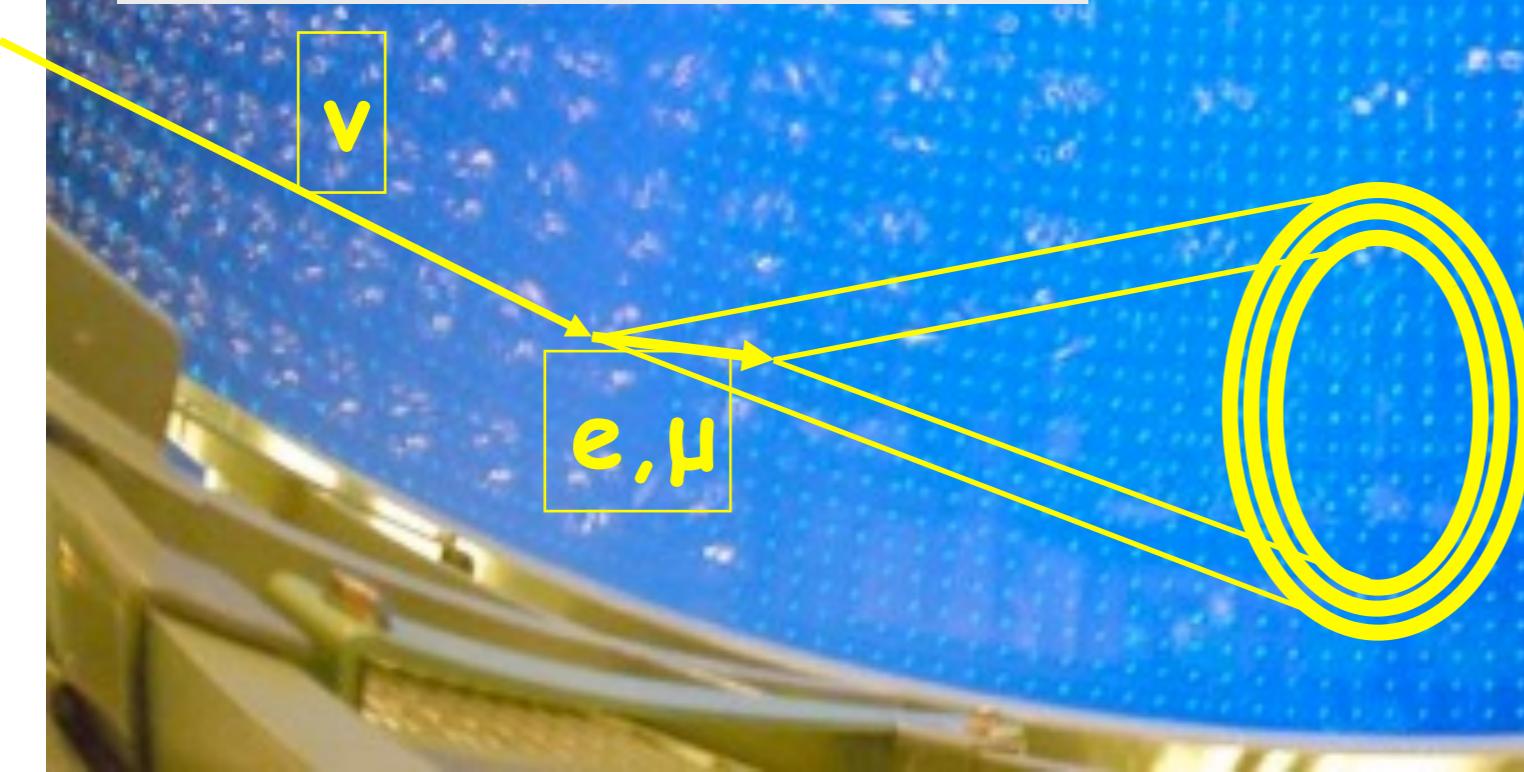
24 AUGUST 1998

## Evidence for Oscillation of Atmospheric Neutrinos

Y. Fukuda,<sup>1</sup> T. Hayakawa,<sup>1</sup> E. Ichihara,<sup>1</sup> K. Inoue,<sup>1</sup> K. Ishihara,<sup>1</sup> H. Ishino,<sup>1</sup> Y. Itow,<sup>1</sup> T. Kajita,<sup>1</sup> S. Kasuga,<sup>1</sup> K. Kobayashi,<sup>1</sup> Y. Kobayashi,<sup>1</sup> Y. Koshio,<sup>1</sup> M. Miura,<sup>1</sup> M. Nakahata,<sup>1</sup> S. Nakayama,  
K. Okumura,<sup>1</sup> N. Sakurai,<sup>1</sup> M. Shiozawa,<sup>1</sup> Y. Suzuki,<sup>1</sup> Y. Takeuchi,<sup>1</sup> Y. Totsuka,<sup>1</sup> S. Yamada,<sup>1</sup> M. E.



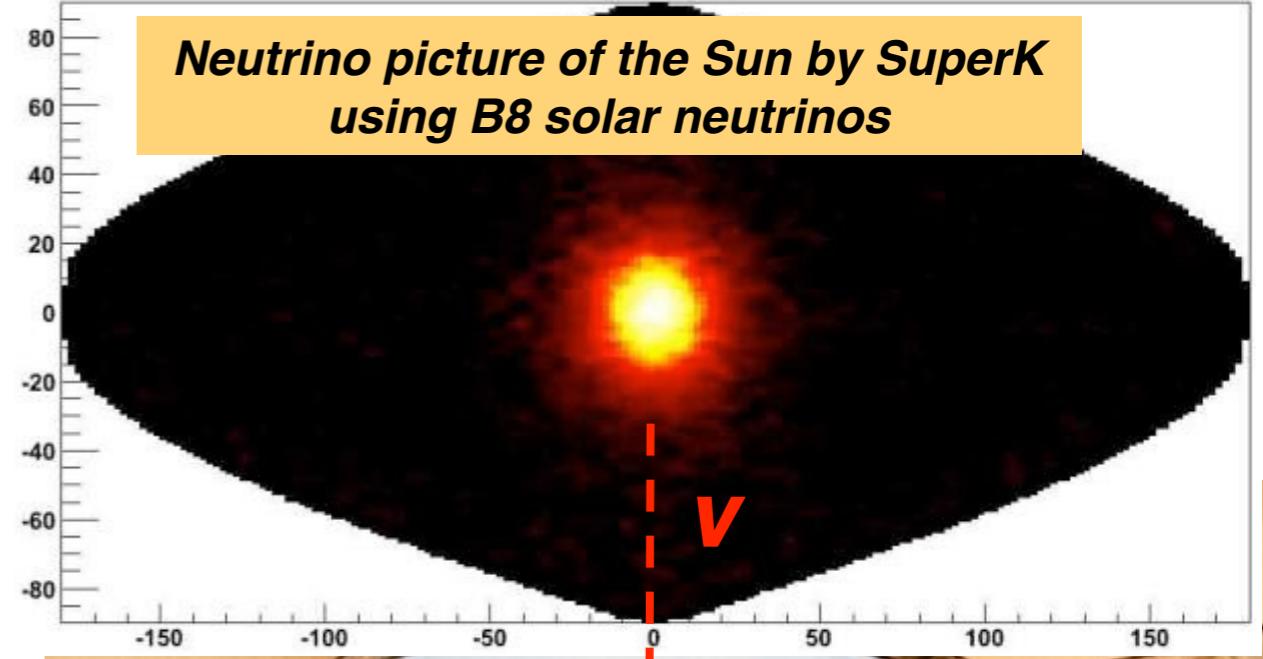
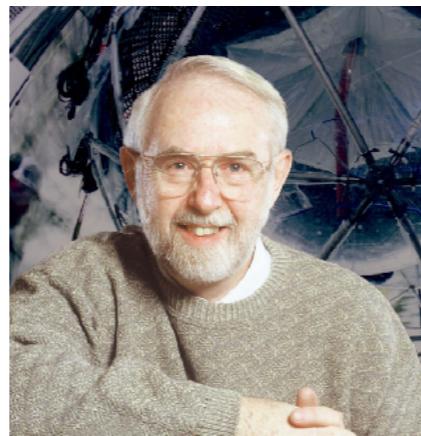
$$\begin{aligned}\pi &\rightarrow \mu + \nu_\mu \\ &\rightarrow e + \nu_e + \nu_\mu\end{aligned}$$



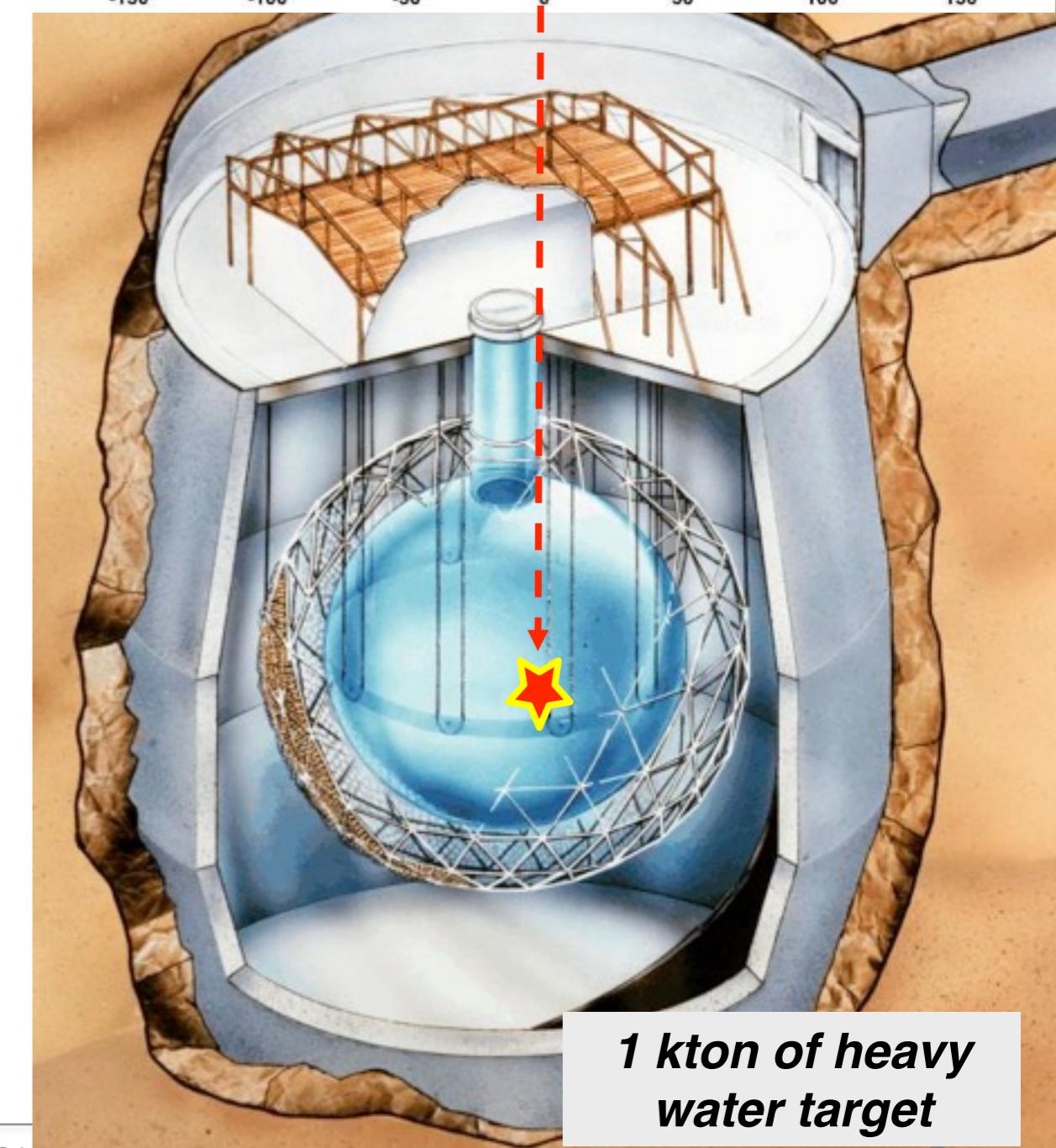
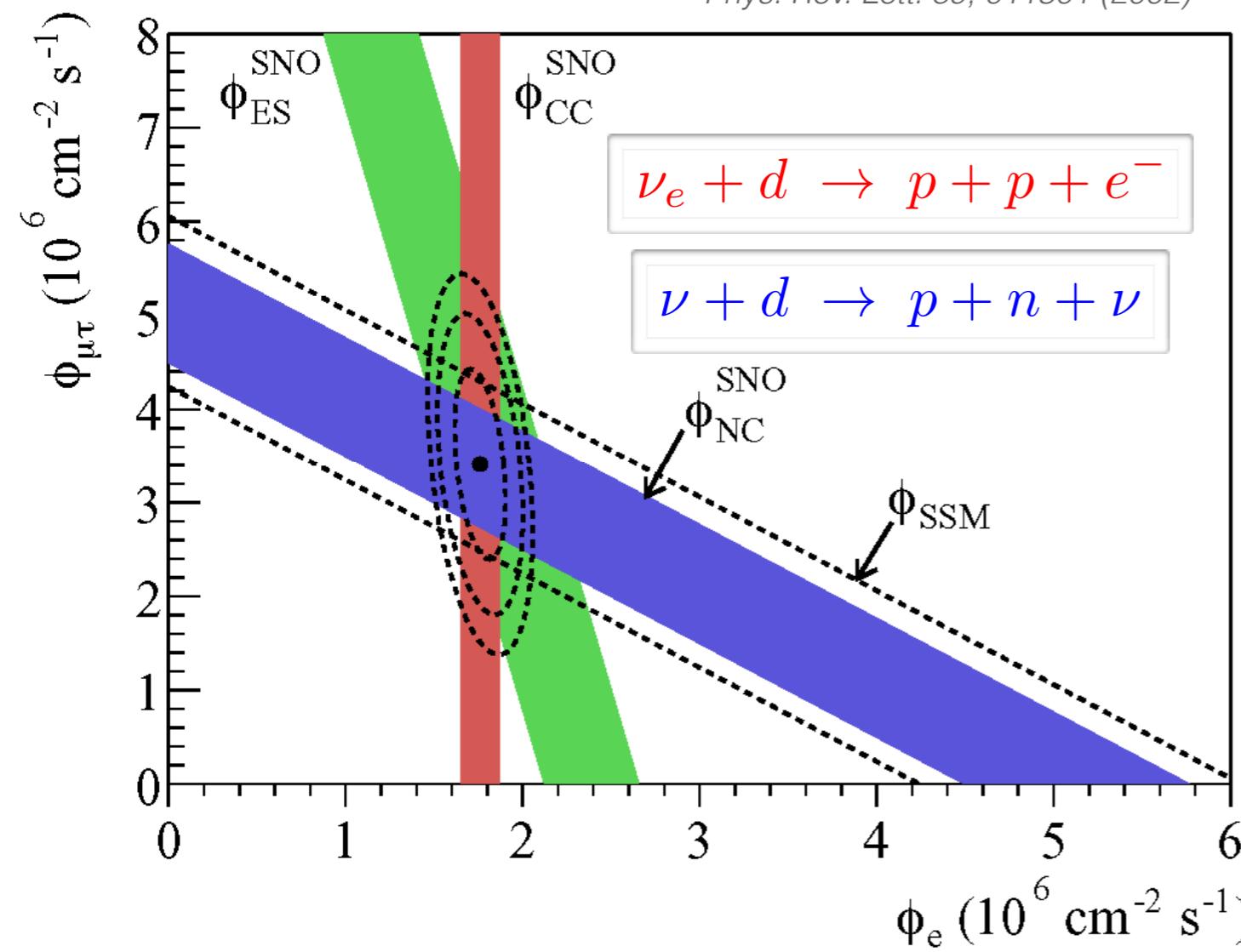
# Solar $\nu$ oscillations

2002:

by exploiting 2 different reactions on deuterium, the SNO experiment proved that  $\nu_e$  produced in fusion reactions in the sun have turned (oscillated) into  $\nu_{\mu,\tau}$  when they are detected on earth



Phys. Rev. Lett. 89, 011301 (2002)



# two almost block diagonal 2-flavor ν mixings

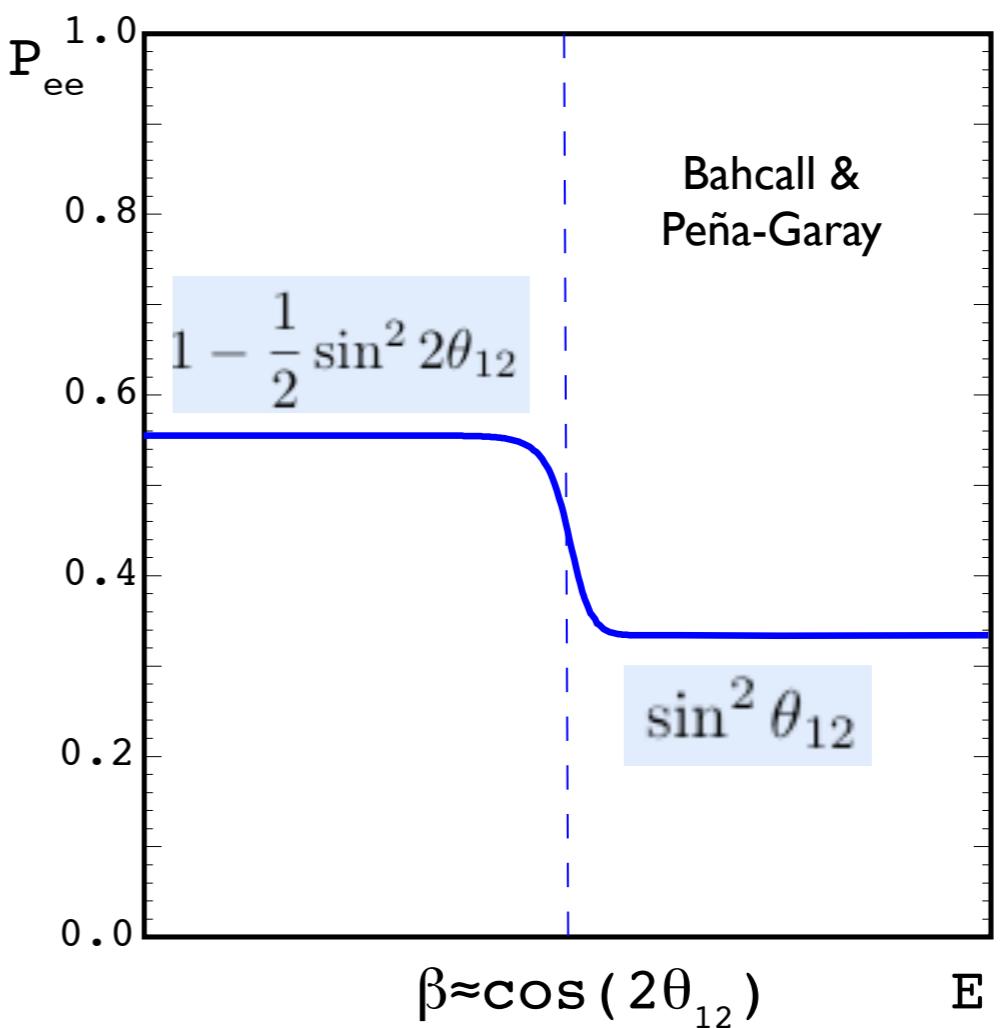


solar, atmospheric, reactor, beam neutrinos build a picture of the oscillation of three active flavours

neutrino oscillations firmly established

the MSW-LMA solution for solar neutrinos predicts an energy-dependent survival probability for electron neutrinos

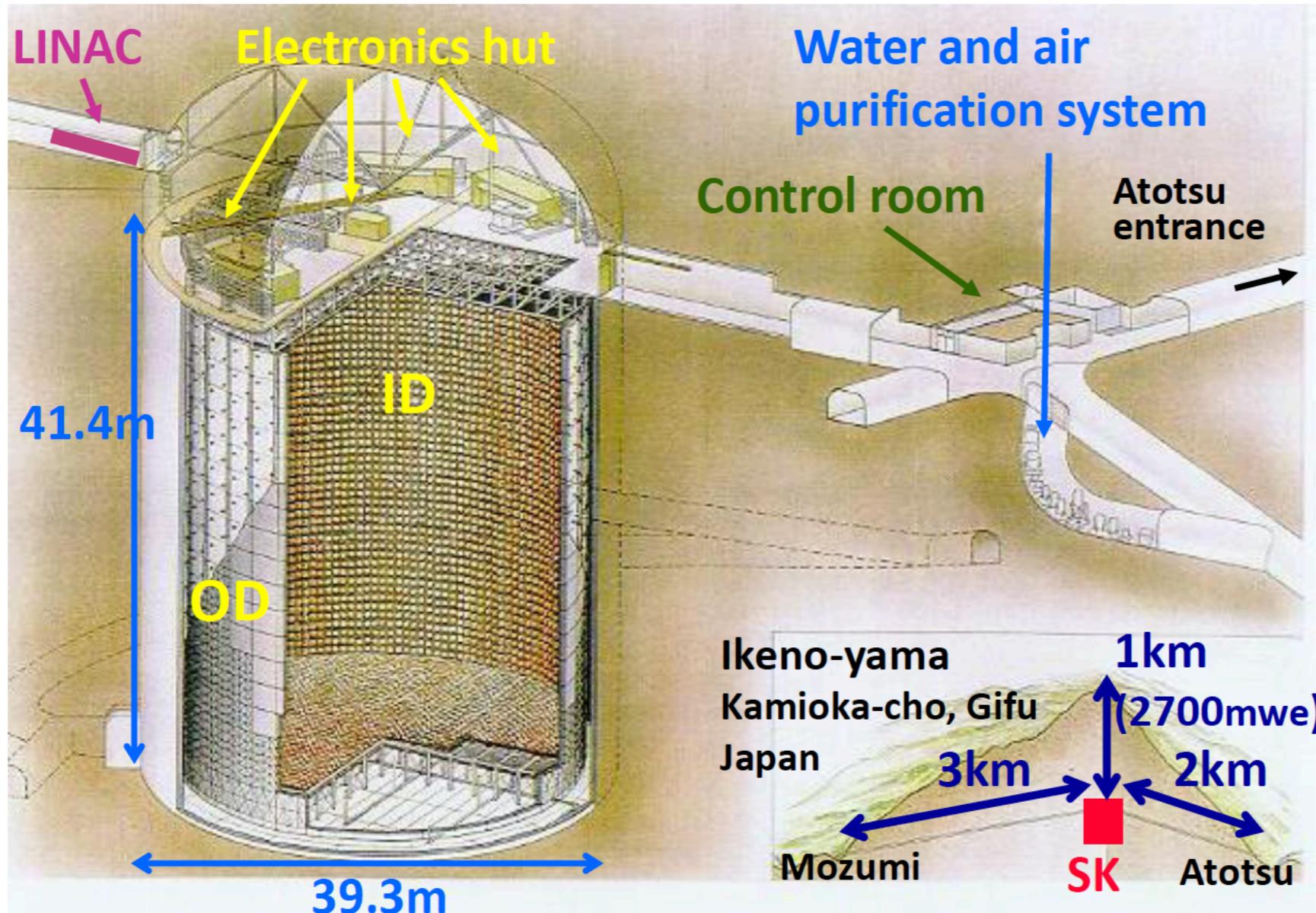
$$\delta m_{12}^2 \sim 7.5 \times 10^{-5} \text{ eV}^2$$
$$\sin^2 \theta_{12} \sim 0.3$$
$$\delta m_{23}^2 \sim 2.4 \times 10^{-3} \text{ eV}^2$$
$$\sin^2 \theta_{23} \sim 0.4$$
$$\sin^2 \theta_{13} \sim 0.02$$



# Super-Kamiokande detector

<http://www-sk.icrr.u-tokyo.ac.jp/sk/>

from Y. Takeuchi @RICH18



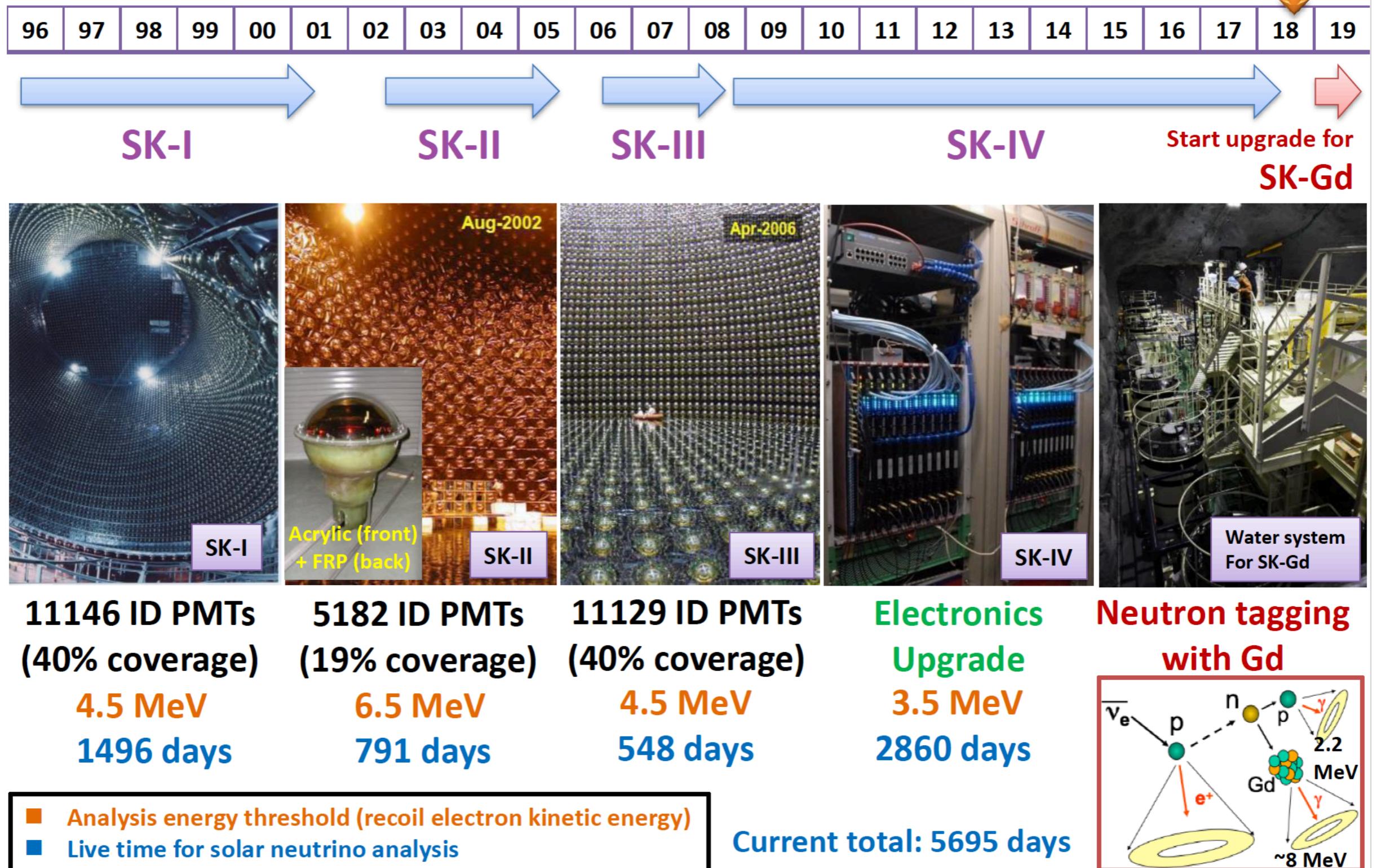
Inner Detector (ID) PMT: ~11100 (SK-I, III, IV), ~5200 (SK-II)  
 Outer Detector (OD) PMT: 1885

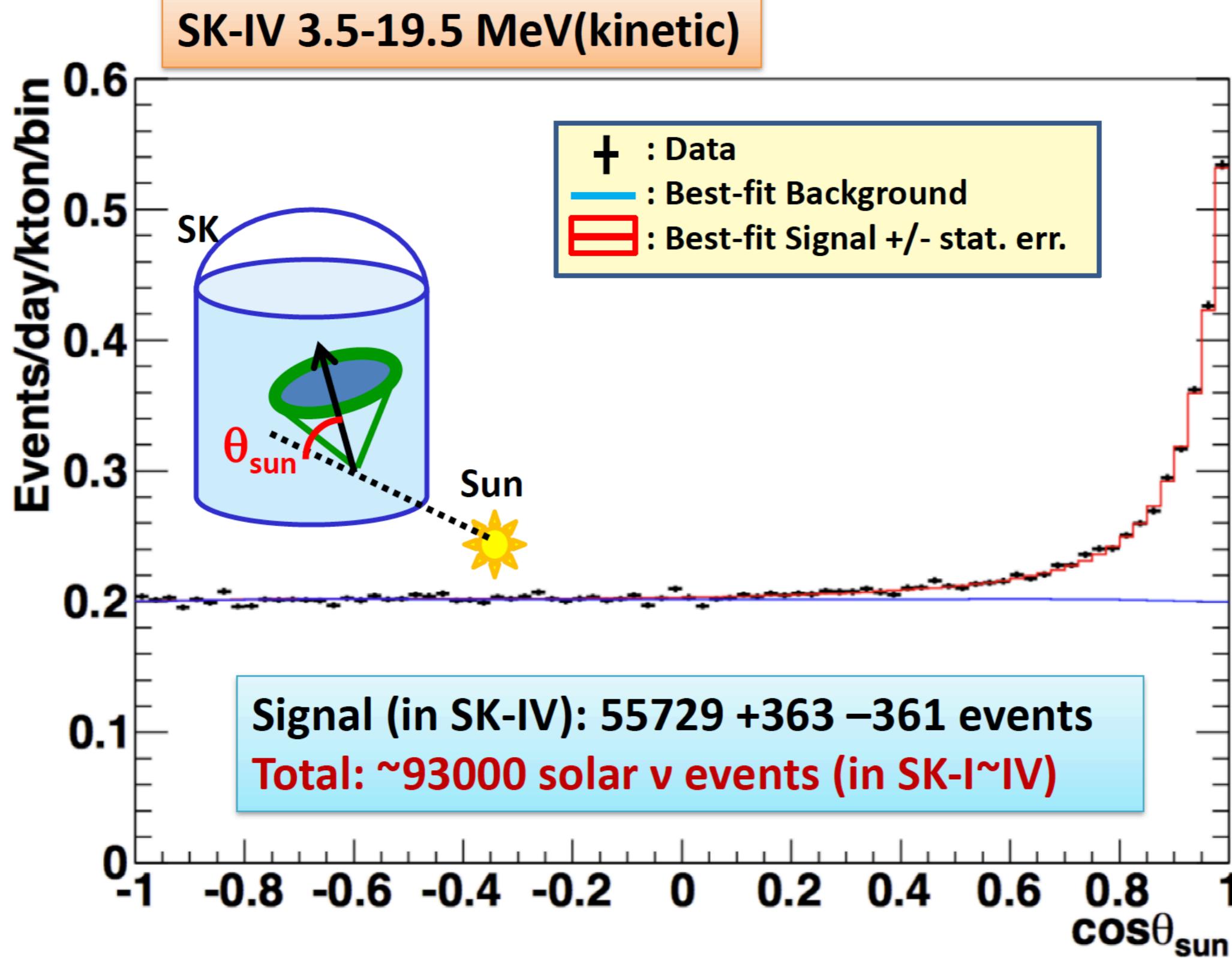
- 50 kton water
- ~2m OD viewed by 8-inch PMTs
- 32kt ID viewed by 20-inch PMTs
- 22.5kt fid. vol. (2m from wall)
- SK-I: April 1996~
- Refurbishment work is ongoing

- Physics targets:
- Nucleon decay search
  - Neutrino oscillation study
  - Astrophysical neutrino search

# History & Plan of Super-Kamiokande

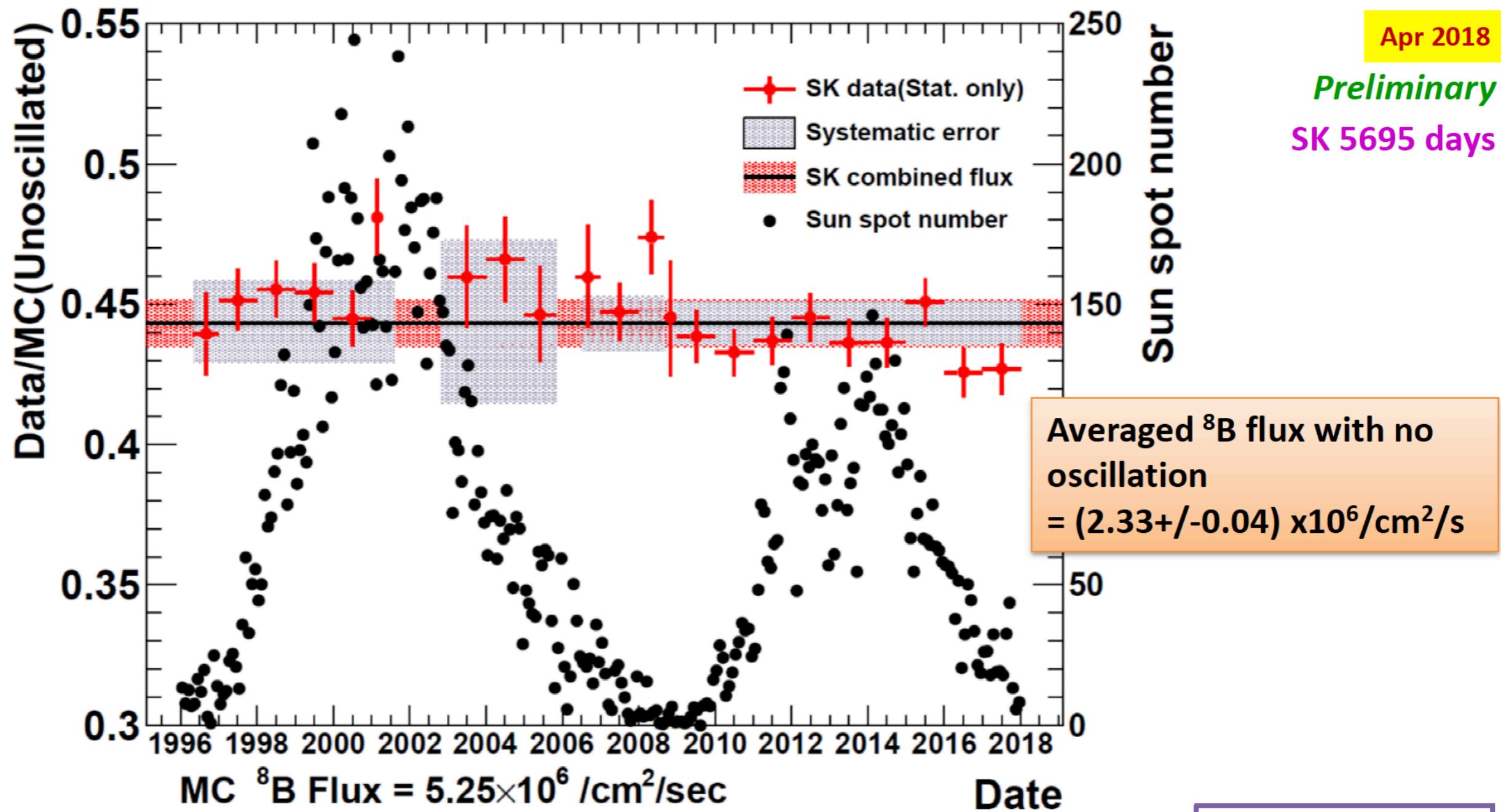
from Y. Takeuchi @RICH18





# <sup>8</sup>B solar neutrino flux: Yearly plot

from Y. Takeuchi @RICH18



$$\chi^2 = 21.57 / 21 \text{ d.o.f.} \rightarrow \text{Confidence level} = 41.4\%$$

Super-K solar rate measurements are fully consistent with a constant solar neutrino flux emitted by the Sun.

Sun spot number:  
 WDC-SILSO, Royal Observatory of Belgium, Brussels

# Solar $\nu$ oscillation results

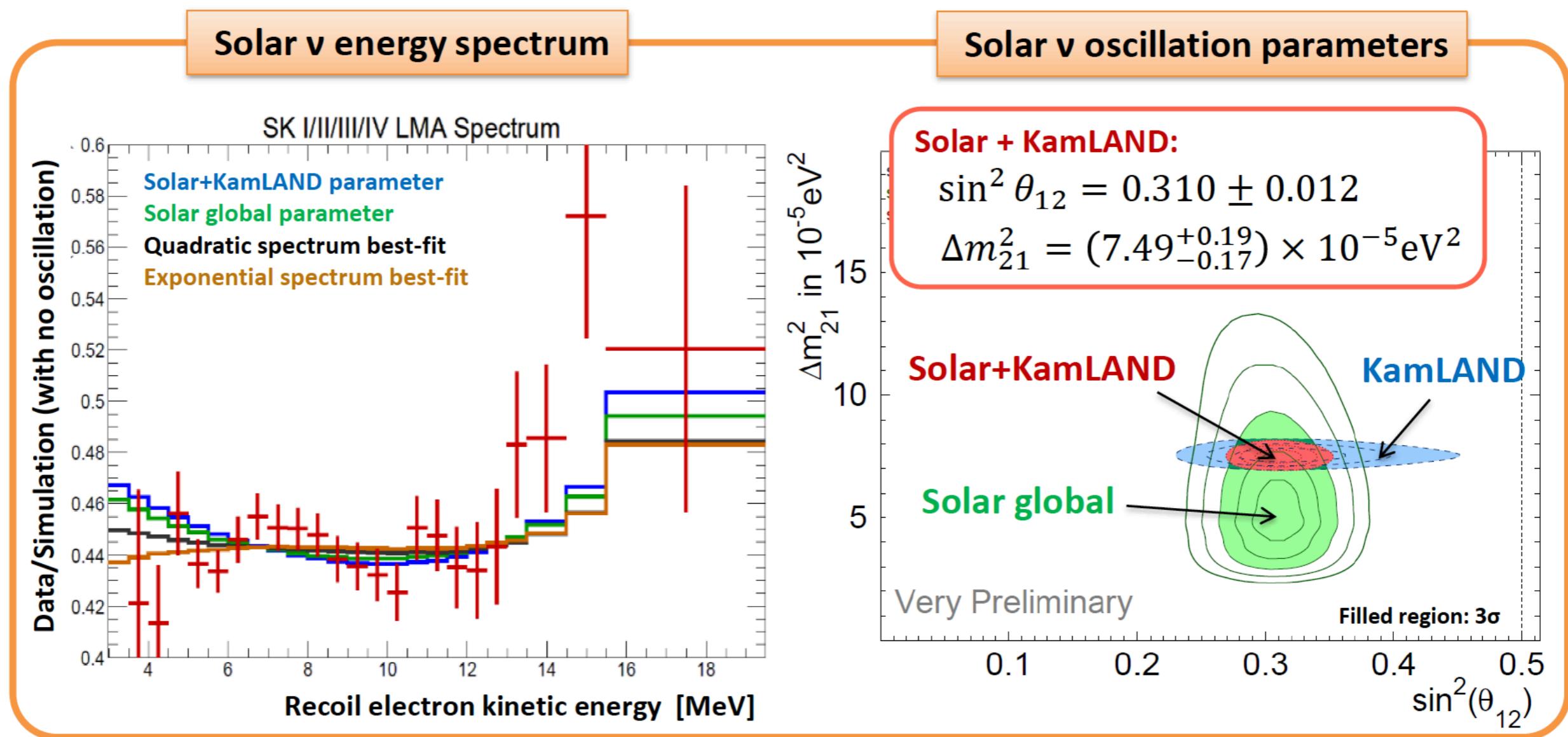
Apr 2018

Preliminary

SK 5695 days

from Y. Takeuchi @ RICHH18

- Quadratic fit of SK spectrum is consistent with solar  $\Delta m_{21}^2$  within  $\sim 1.2 \sigma$  and disfavors KamLAND  $\Delta m_{21}^2$  by  $\sim 2.0 \sigma$ .
- $\sim 2.0 \sigma$  level tension in  $\Delta m_{21}^2$  between solar global analysis and KamLAND is still remaining.

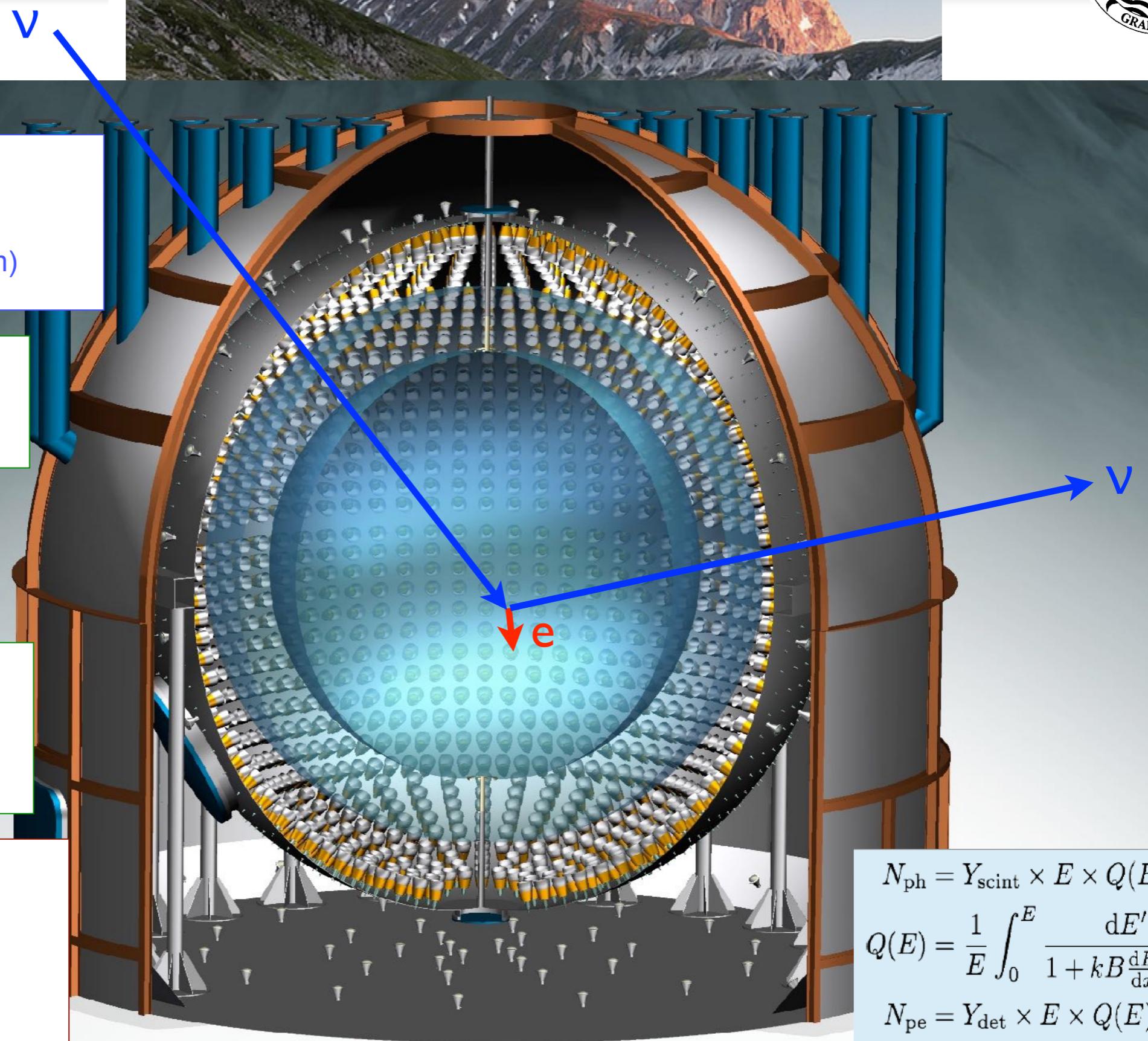


# The Borexino project



- Designed to solve the solar neutrino puzzle by finding Be-7 neutrinos
- After SuperK, SNO established neutrino oscillations:
  - precision neutrino oscillation studies
  - precision solar physics
- Has, in a way, become a standard against which to compare very large, low background experiments

# Borexino



## Scintillator:

270 t PC+PPO (1.5g/l)  
in a 150 $\mu$ m thick  
*Inner nylon vessel* ( $R=4.25\text{m}$ )

## Buffer region:

PC+DMP quencher (5g/l)  
 $4.25\text{m} < R < 6.75\text{m}$

## Outer nylon vessel:

$R=5.50\text{m}$   
( $^{222}\text{Rn}$  Barrier)

## Stainless Steel Sphere:

$R=6.75\text{m}$   
2212 8" PMTs with  
light guide cone.  $1350\text{m}^3$

## Water tank:

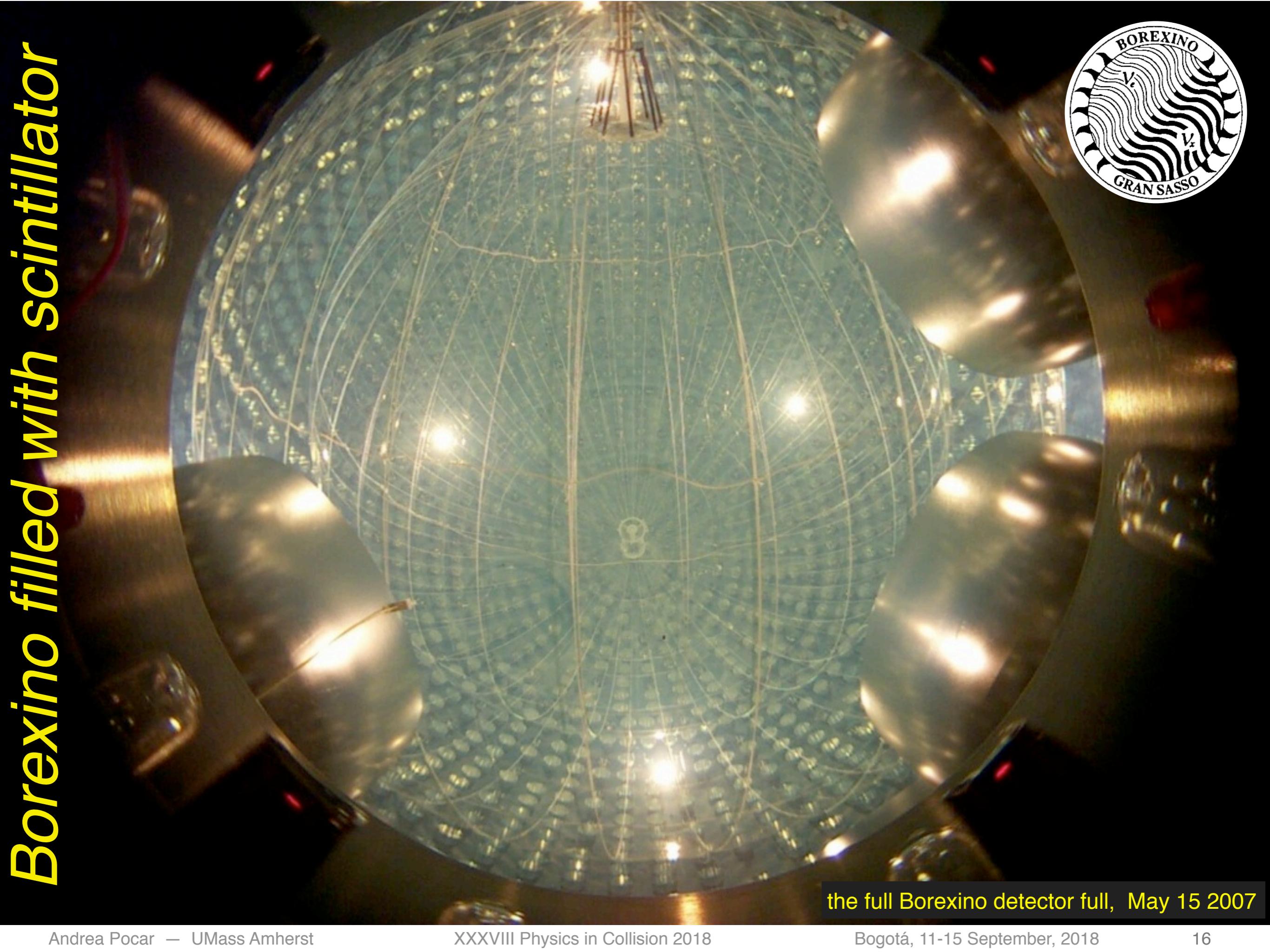
$\gamma$  and n shield  
 $\mu$  water cherenkov detector  
208 PMTs in water  
 $2100\text{m}^3$

$$N_{\text{ph}} = Y_{\text{scint}} \times E \times Q(E)$$

$$Q(E) = \frac{1}{E} \int_0^E \frac{\text{d}E'}{1 + kB \frac{\text{d}E}{\text{d}x}(E')}$$

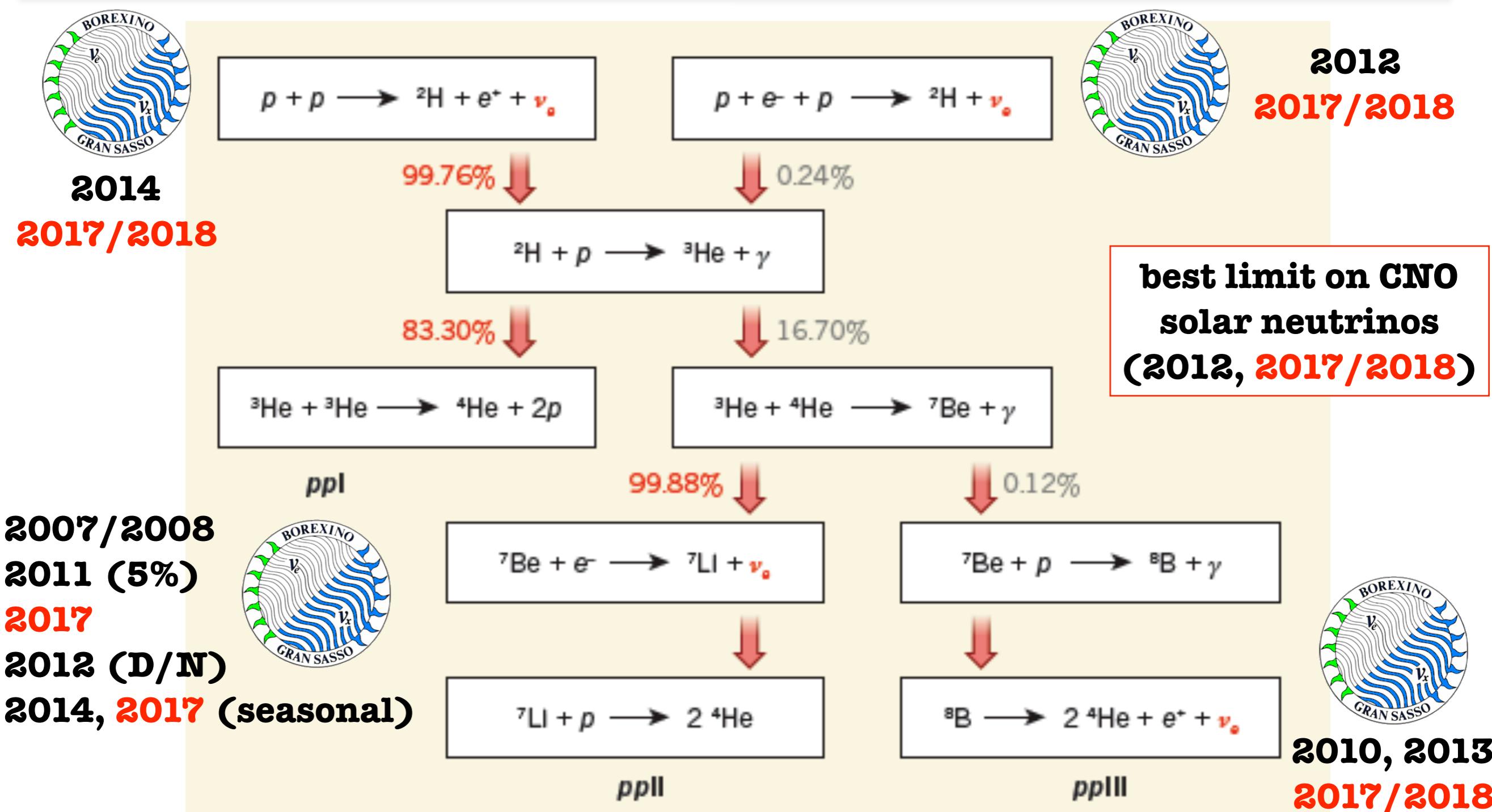
$$N_{\text{pe}} = Y_{\text{det}} \times E \times Q(E)$$

# Borexino filled with scintillator



the full Borexino detector full, May 15 2007

# Borexino milestone results

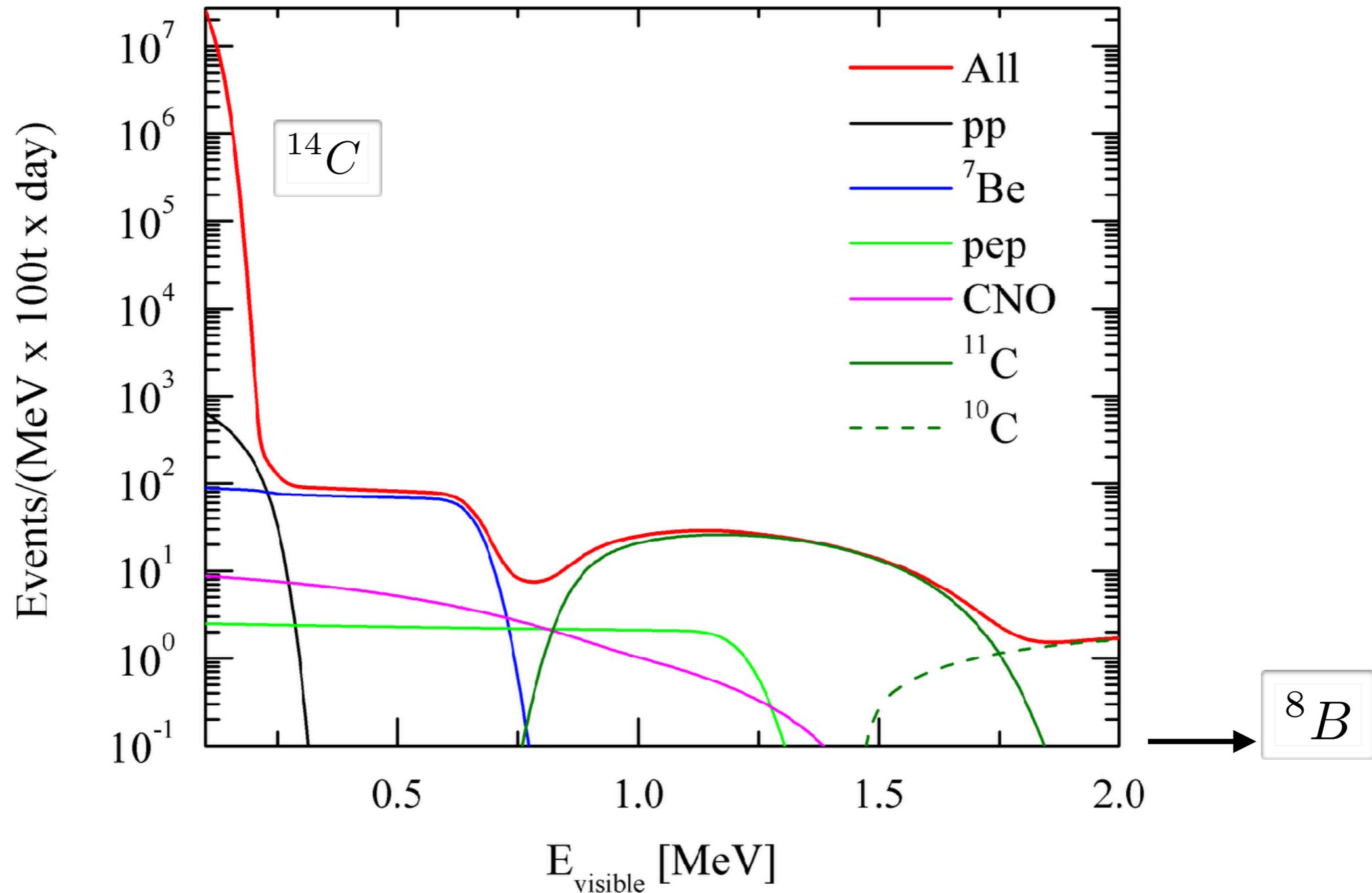


- geoneutrinos (2010, 2013, 2015)
- search for solar axions (2008, 2012)
- search for solar, astro anti- $\nu$  (2011)
- test of electric charge conservation (2015)
- limits on  $\nu$  magnetic moment (2017)
- coinc. with GRB's (2016), GW's (2017)



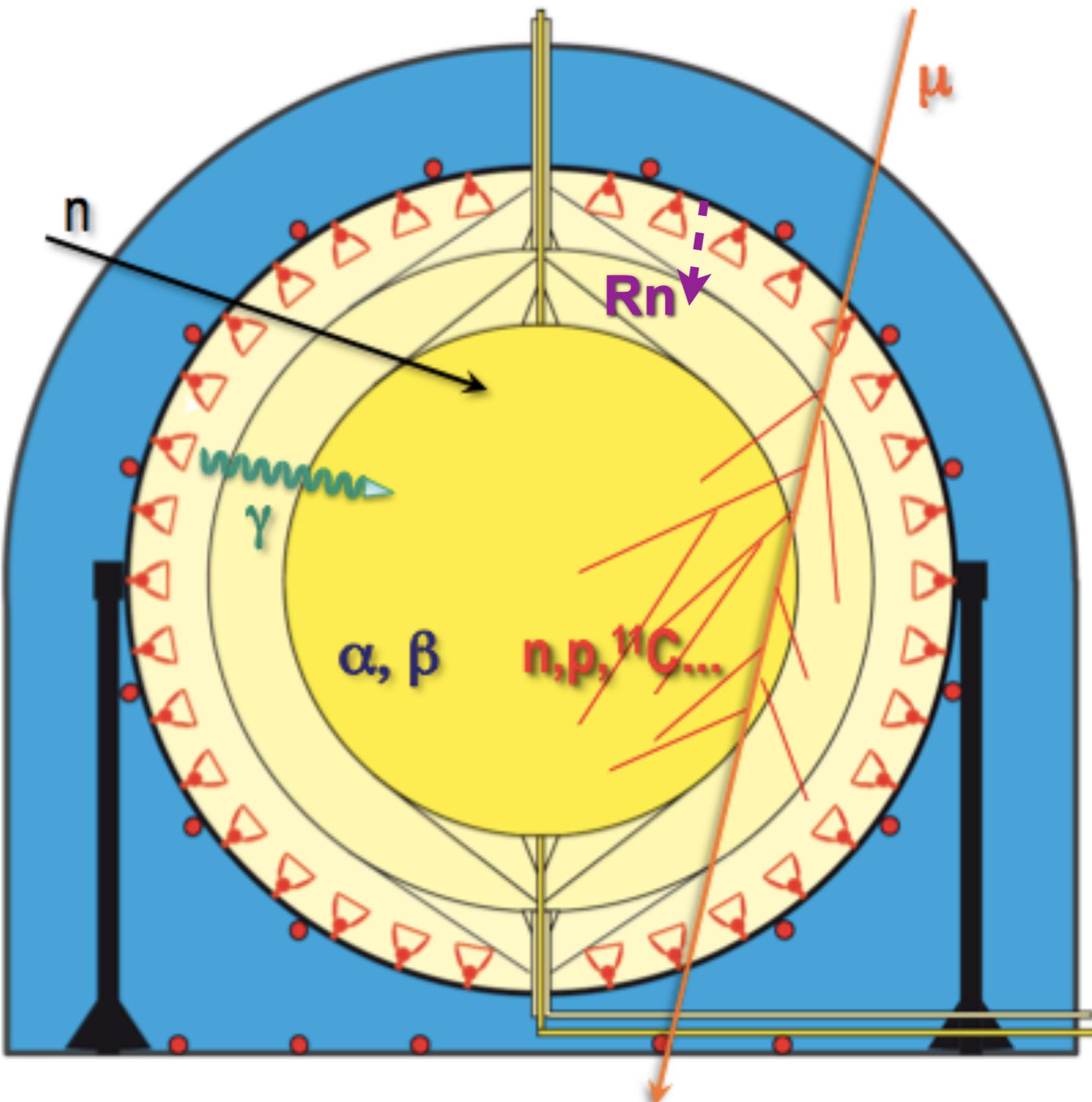
# Borexino energy spectrum (expected)

with “irreducible” backgrounds





# Extreme radio-purity



## internal radioactivity

traces of radioisotopes in the scintillator ( $\text{U}, \text{Th}, {}^{40}\text{K}$ )

## external $\gamma$ rays

from fluid buffer, steel sphere, PMT glass and light concentrators ( ${}^{40}\text{K}, {}^{208}\text{TI}, {}^{214}\text{Bi}$ )

## radon emanation

from the PMTs and steel sphere

## cosmic muons

and their secondaries

## cosmogenics

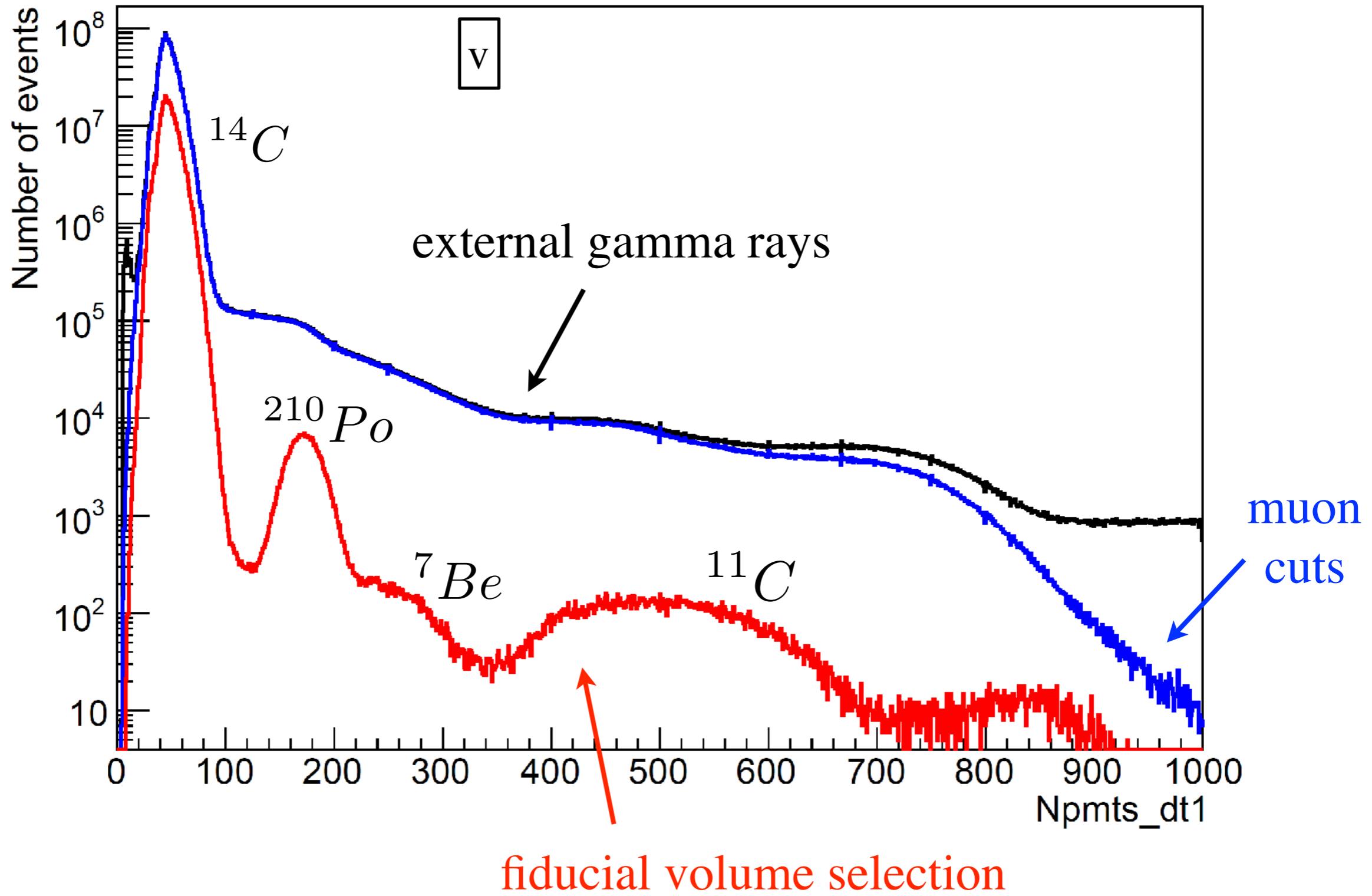
neutrons and radionuclides from  $\mu$  spallation and hadronic showers

## fast neutrons

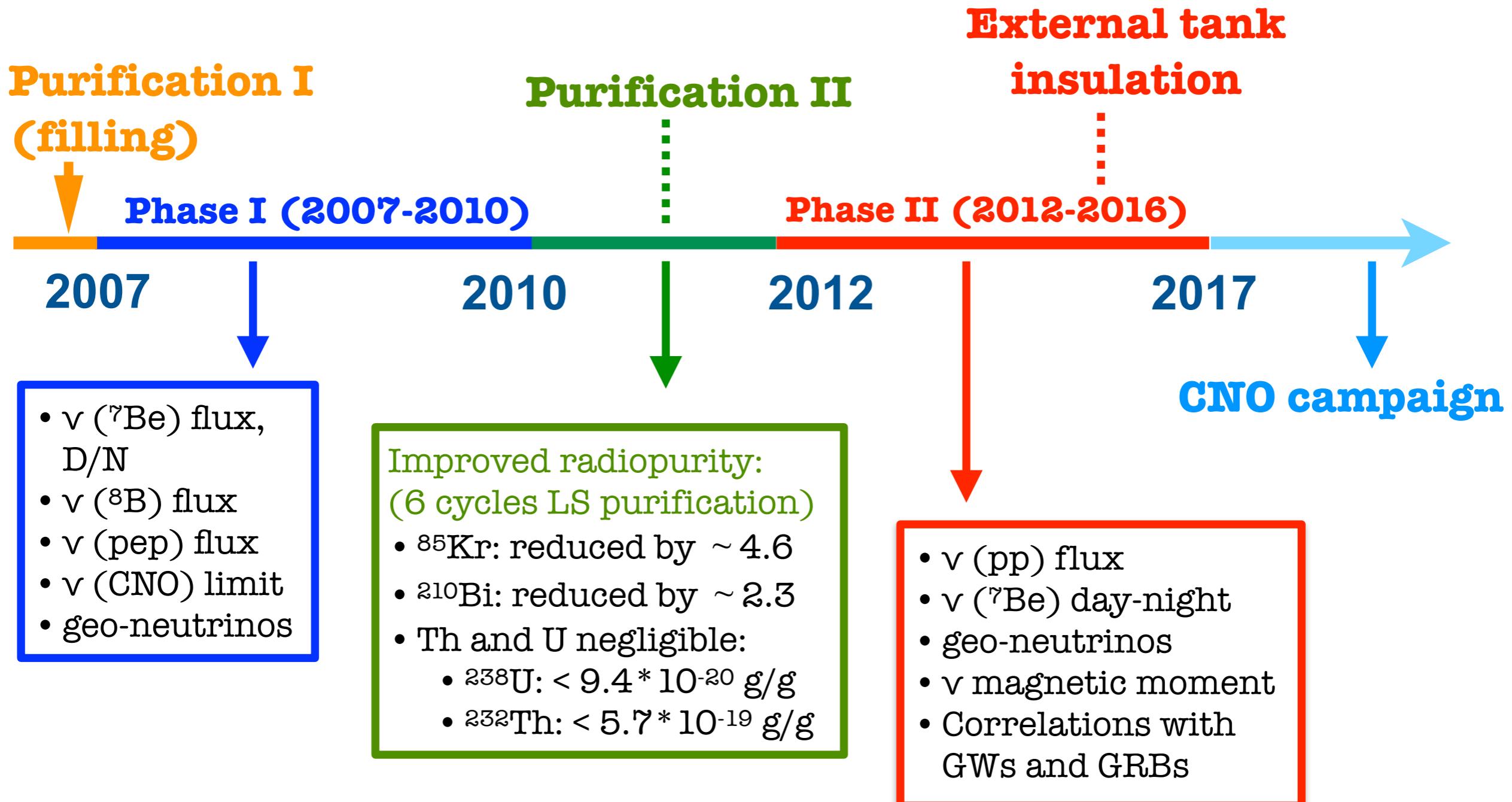
from external muons



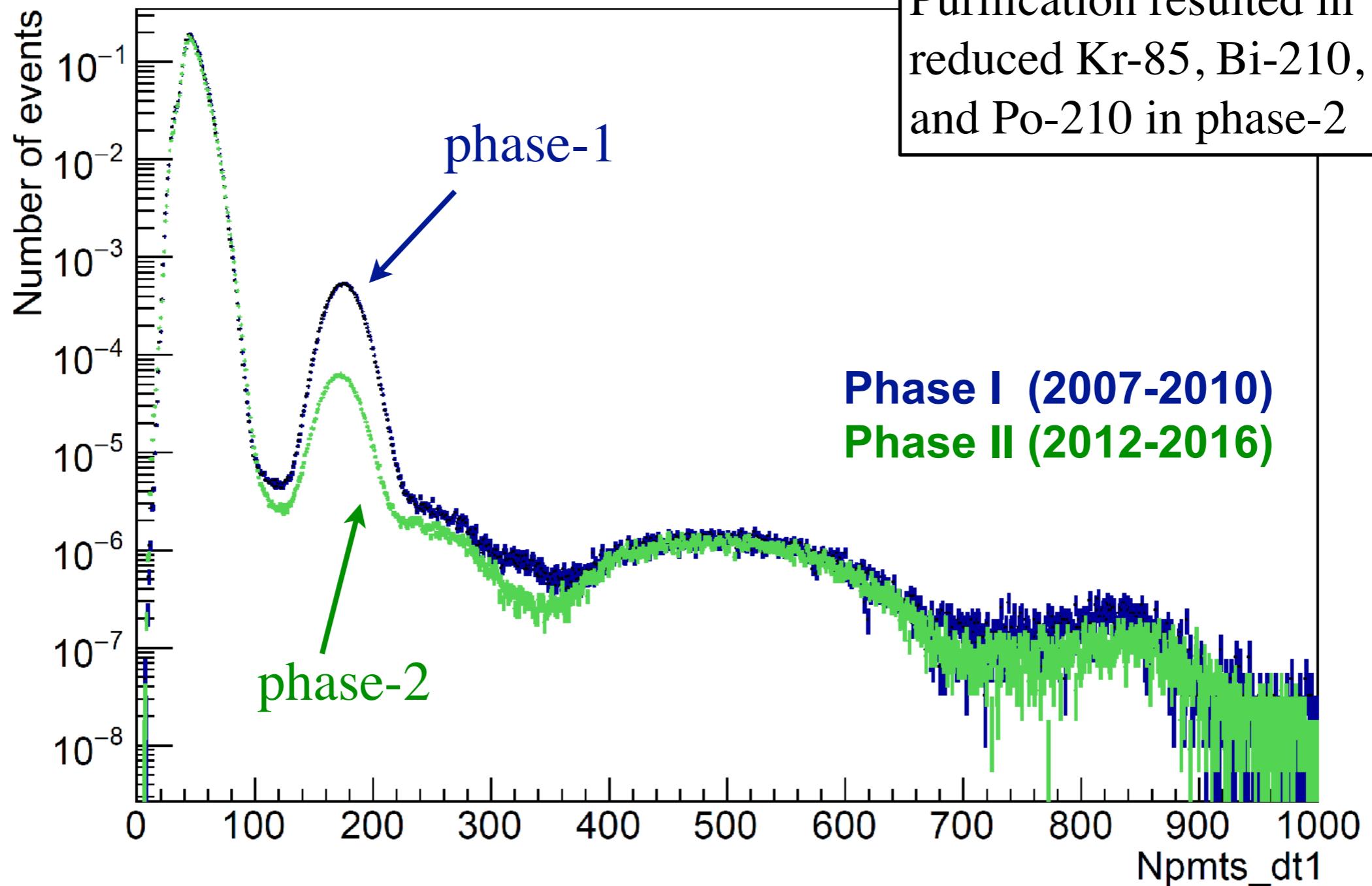
# Borexino energy spectrum (data)



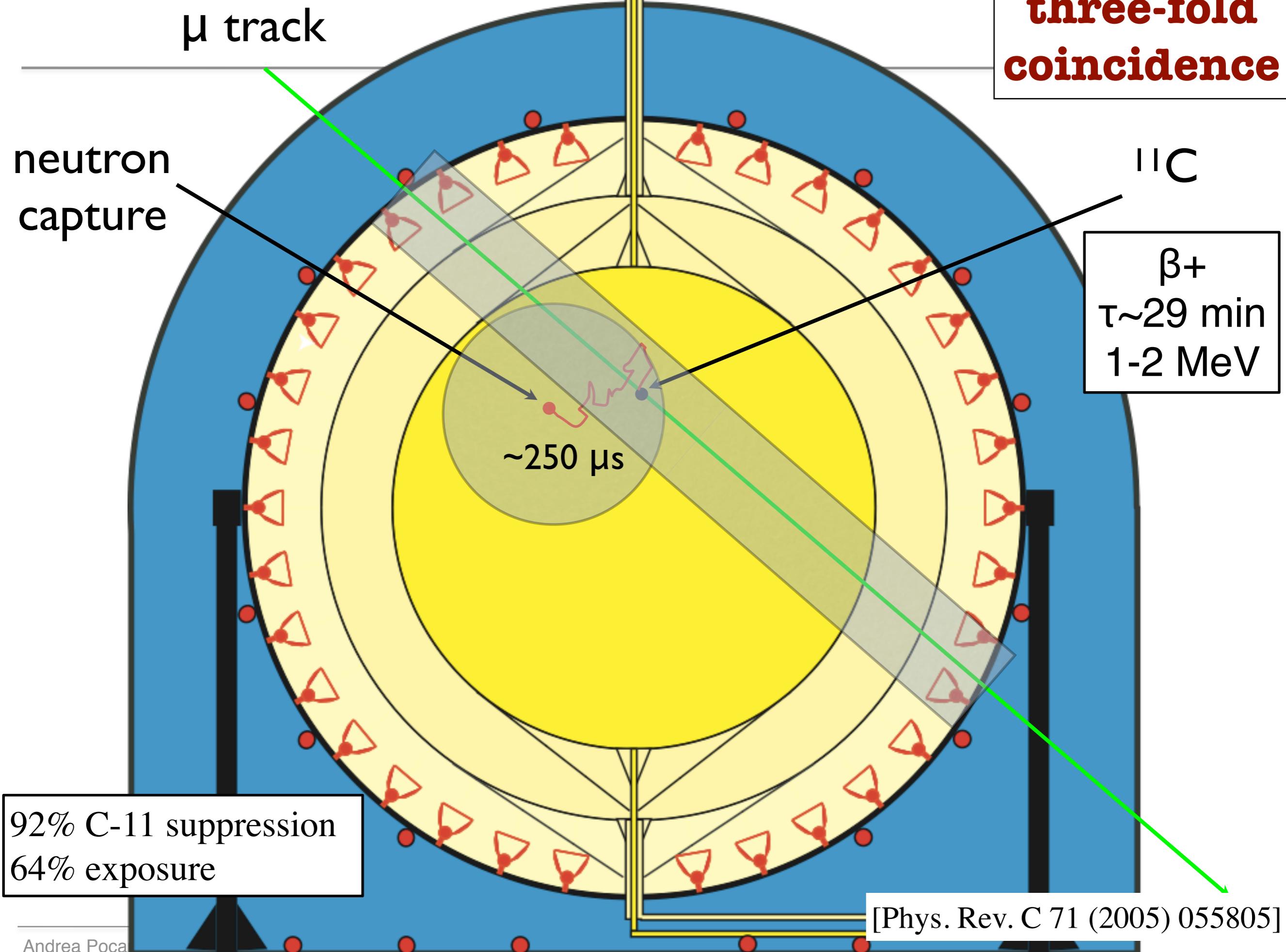
# Borexino timeline



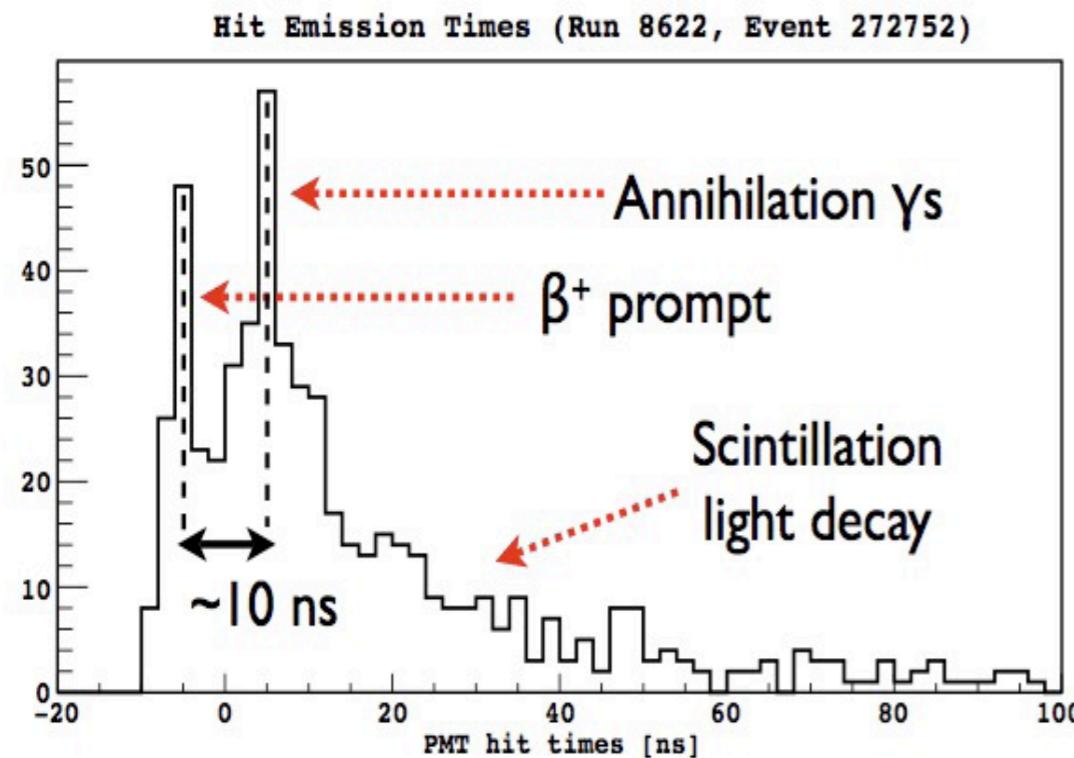
# Stability between phase 1 and phase-2



**three-fold  
coincidence**

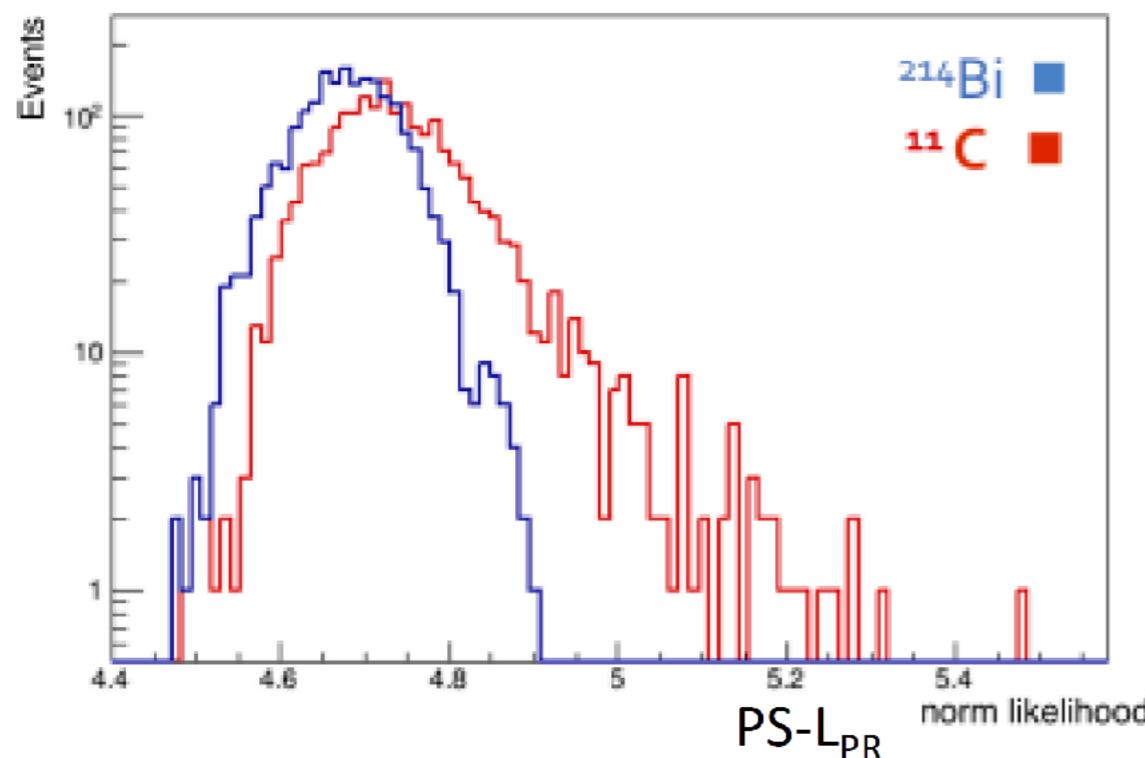


# Spectral fit: multivariate approach



50% of  $\beta^+$  decays produce ortho-positronium ( $t_{1/2} \sim 3$  ns) —> pulse shape discriminator based on:

- time shift
- multi-site (gammas)
- ionization density profile



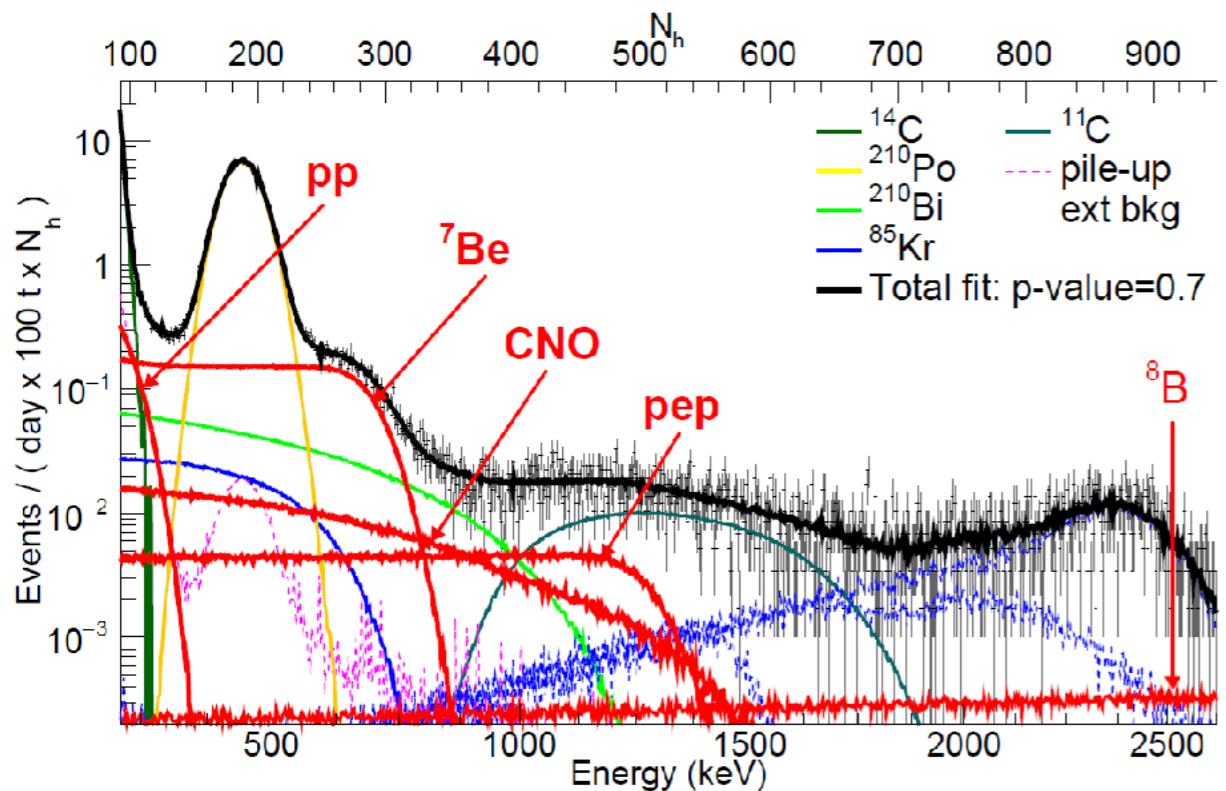
Likelihood built combining:

- simultaneous fit of TFC-tagged and TFC-subtracted energy spectra
- pulse-shape parameter
- radial distribution

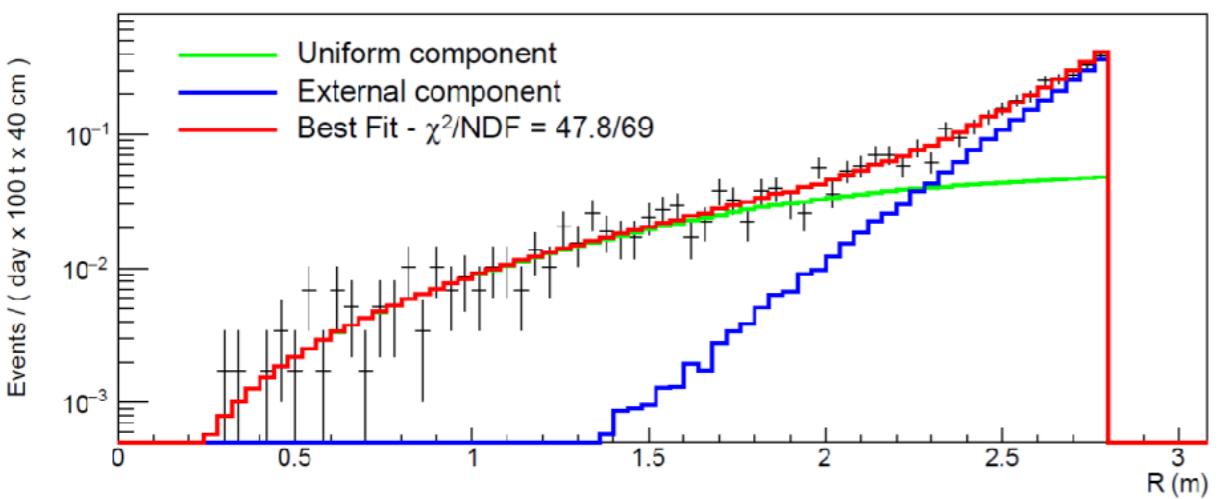
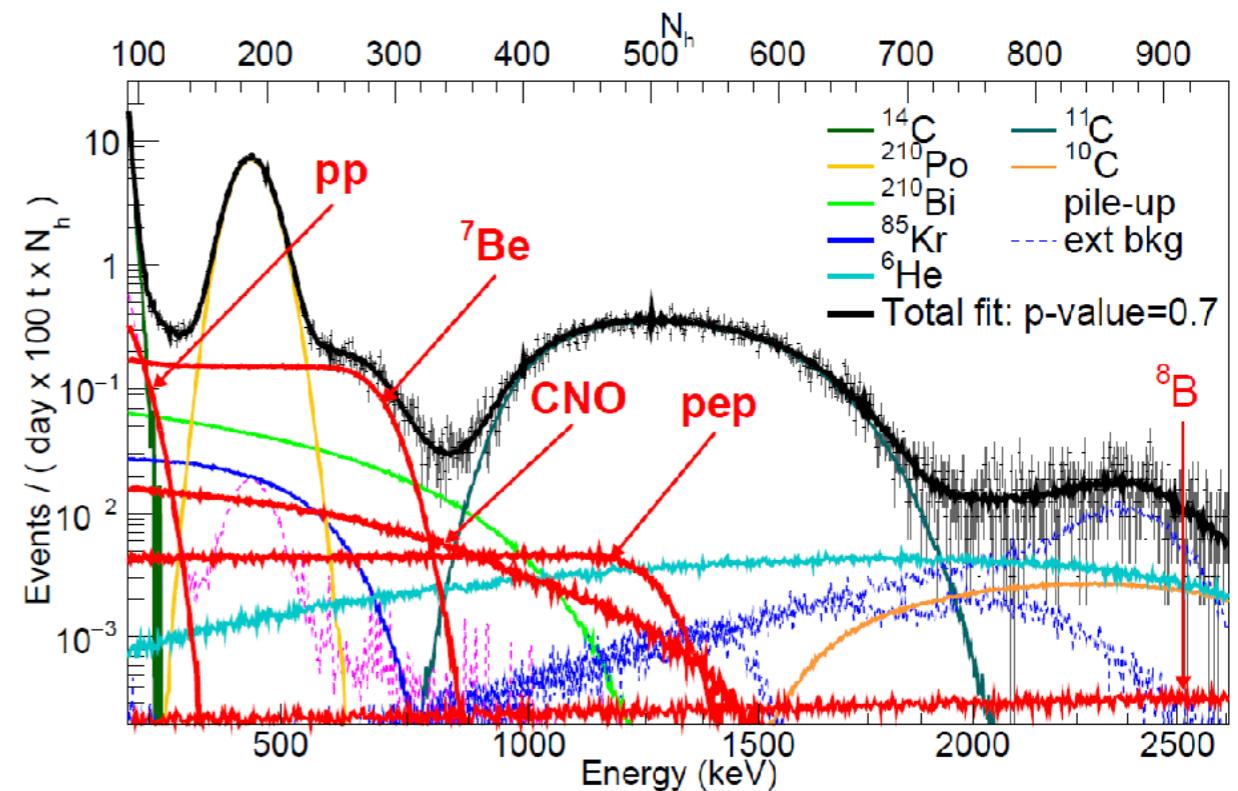
# Multi-variate fits sampler



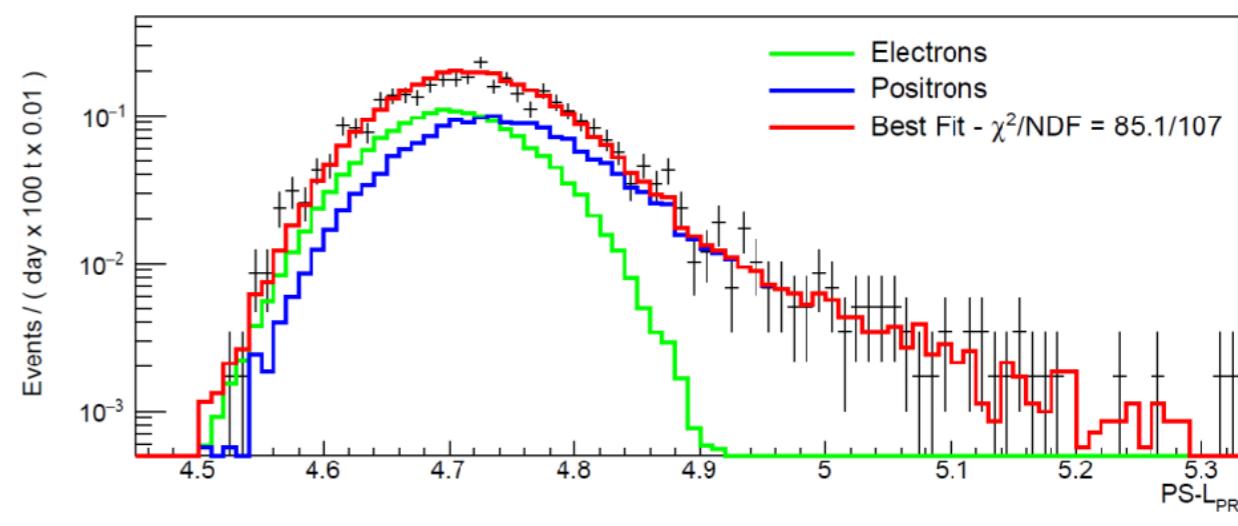
TFC subtracted energy spectrum



TFC tagged (C-11 rich) energy spectrum



$L_{\text{rad}}$



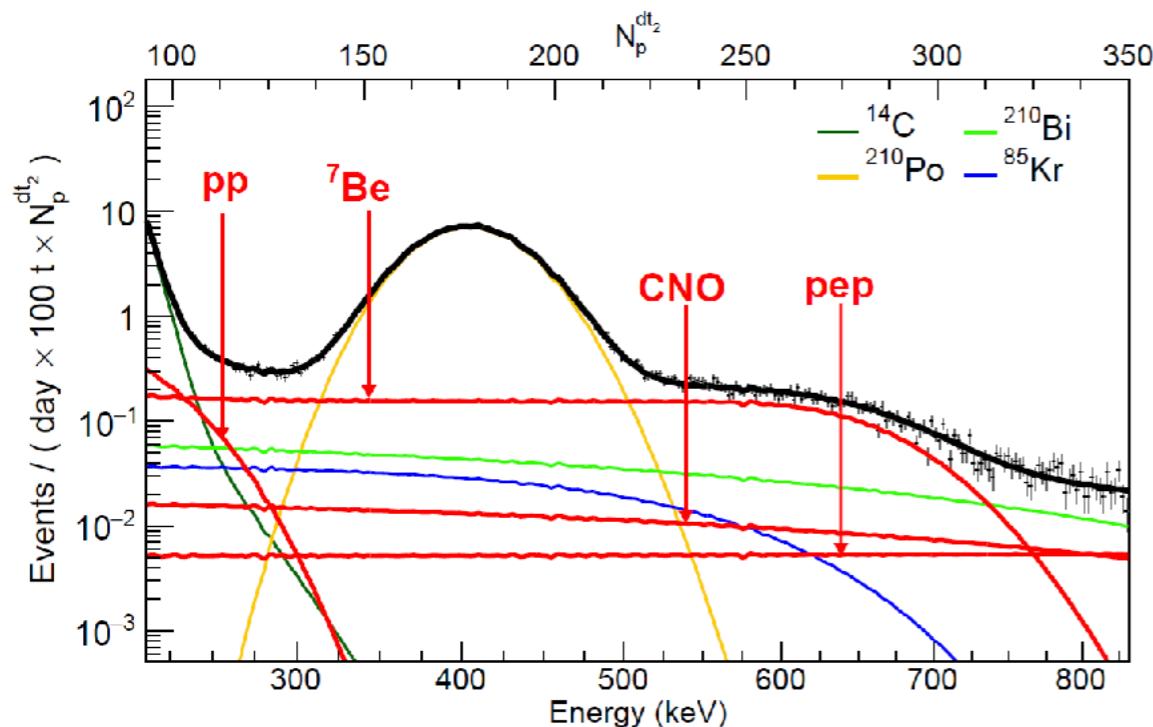
$L_{\text{PS}}$

# Simultaneous fit for all $\nu$ 's

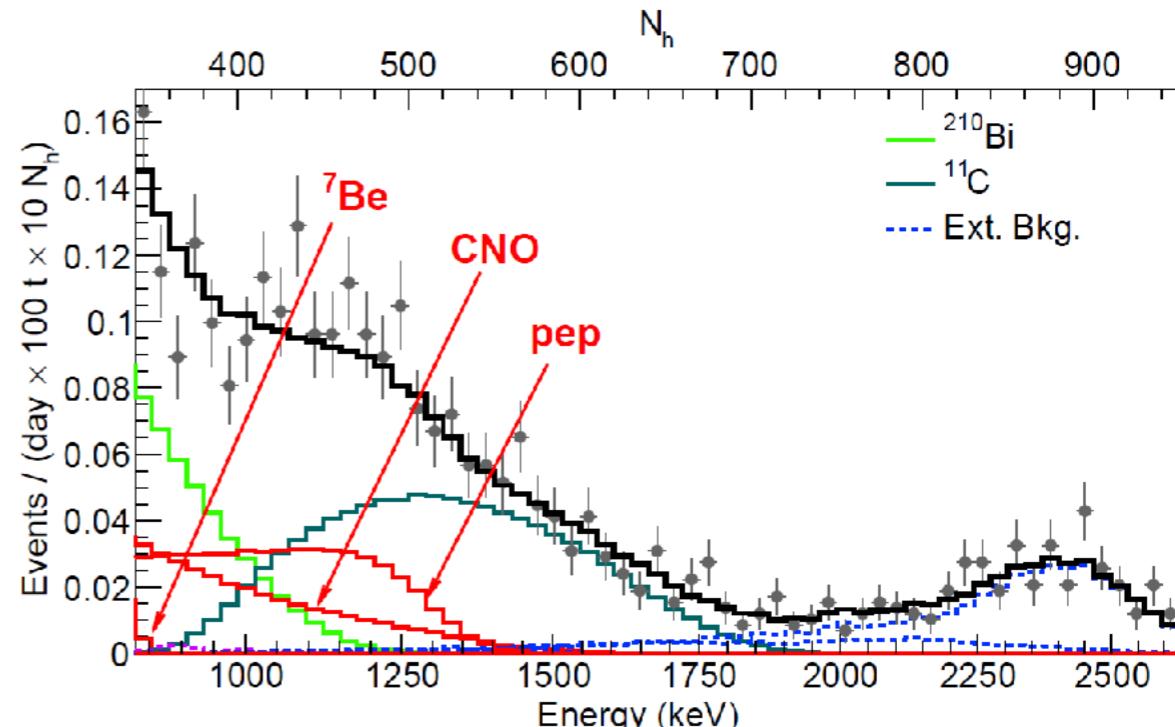


Astroparticle Physics 97 (2018) 136–15

Fits performed with analytical and Monte Carlo pdf's are consistent



lowest energy detail



$R < 2.8 \text{ MeV}$  and  $L_{PS} < 4.8$   
visible pep-shoulder

CNO  $\nu$ 's are included in the fit, but they are  $\sim$ degenerate with Bi-210

**CNO (MSW/LMA):**  
HZ:  $(4.92 \pm 0.55)$  cpd/100t  
LZ:  $(3.52 \pm 0.37)$  cpd/100t

>5 $\sigma$  evidence of pep neutrinos  
 $R(\text{CNO}) < 8.1$  cpd/100 t (95% CL)

# Results – arXiv:1707.09279



Dec 14 2011 – May 21 2016  
Fit range: (0.19-2.93) MeV

Exposure:  
1291.51 days x 71.3 tons

Solar $\nu$	Borexino experimental results		B16(GS98)-HZ		B16(AGSS09)-LZ	
	Rate [cpd/100 t]	Flux [cm $^{-2}$ s $^{-1}$ ]	Rate [cpd/100 t]	Flux [cm $^{-2}$ s $^{-1}$ ]	Rate [cpd/100 t]	Flux [cm $^{-2}$ s $^{-1}$ ]
$pp$	$134 \pm 10^{+6}_{-10}$	$(6.1 \pm 0.5^{+0.3}_{-0.5}) \times 10^{10}$	$131.0 \pm 2.4$	$5.98 (1 \pm 0.006) \times 10^{10}$	$132.1 \pm 2.3$	$6.03 (1 \pm 0.005) \times 10^{10}$
$^7\text{Be}$	$48.3 \pm 1.1^{+0.4}_{-0.7}$	$(4.99 \pm 0.13^{+0.07}_{-0.10}) \times 10^9$	$47.8 \pm 2.9$	$4.93 (1 \pm 0.06) \times 10^9$	$43.7 \pm 2.6$	$4.50 (1 \pm 0.06) \times 10^9$
$pep$ (HZ)	$2.43 \pm 0.36^{+0.15}_{-0.22}$	$(1.27 \pm 0.19^{+0.08}_{-0.12}) \times 10^8$	$2.74 \pm 0.05$	$1.44 (1 \pm 0.009) \times 10^8$	$2.78 \pm 0.05$	$1.46 (1 \pm 0.009) \times 10^8$
$pep$ (LZ)	$2.65 \pm 0.36^{+0.15}_{-0.24}$	$(1.39 \pm 0.19^{+0.08}_{-0.13}) \times 10^8$	$2.74 \pm 0.05$	$1.44 (1 \pm 0.009) \times 10^8$	$2.78 \pm 0.05$	$1.46 (1 \pm 0.009) \times 10^8$
CNO	$< 8.1$ (95% C.L.)	$< 7.9 \times 10^8$ (95% C.L.)	$4.91 \pm 0.56$	$4.88 (1 \pm 0.11) \times 10^8$	$3.52 \pm 0.37$	$3.51 (1 \pm 0.10) \times 10^8$

Background	Rate [cpd/100 t]
$^{14}\text{C}$ [Bq/100 t]	$40.0 \pm 2.0$
$^{85}\text{Kr}$	$6.8 \pm 1.8$
$^{210}\text{Bi}$	$17.5 \pm 1.9$
$^{11}\text{C}$	$26.8 \pm 0.2$
$^{210}\text{Po}$	$260.0 \pm 3.0$
Ext. $^{40}\text{K}$	$1.0 \pm 0.6$
Ext. $^{214}\text{Bi}$	$1.9 \pm 0.3$
Ext. $^{208}\text{Tl}$	$3.3 \pm 0.1$

Source of uncertainty	$pp$		$^7\text{Be}$		$pep$	
	-%	+	-%	+	-%	+
Fit method (analytical/MC)	-1.2	1.2	-0.2	0.2	-4.0	4.0
Choice of energy estimator	-2.5	2.5	-0.1	0.1	-2.4	2.4
Pile-up modeling	-2.5	0.5	0	0	0	0
Fit range and binning	-3.0	3.0	-0.1	0.1	1.0	1.0
Fit models (see text)	-4.5	0.5	-1.0	0.2	-6.8	2.8
Inclusion of $^{85}\text{Kr}$ constraint	-2.2	2.2	0	0.4	-3.2	0
Live Time	-0.05	0.05	-0.05	0.05	-0.05	0.05
Scintillator density	-0.05	0.05	-0.05	0.05	-0.05	0.05
Fiducial volume	-1.1	0.6	-1.1	0.6	-1.1	0.6
Total systematics (%)	-7.1	4.7	-1.5	0.8	-9.0	5.6

210Bi, E-scale, response  
R(85Kr)<7.5 @ 95%  
LS mass



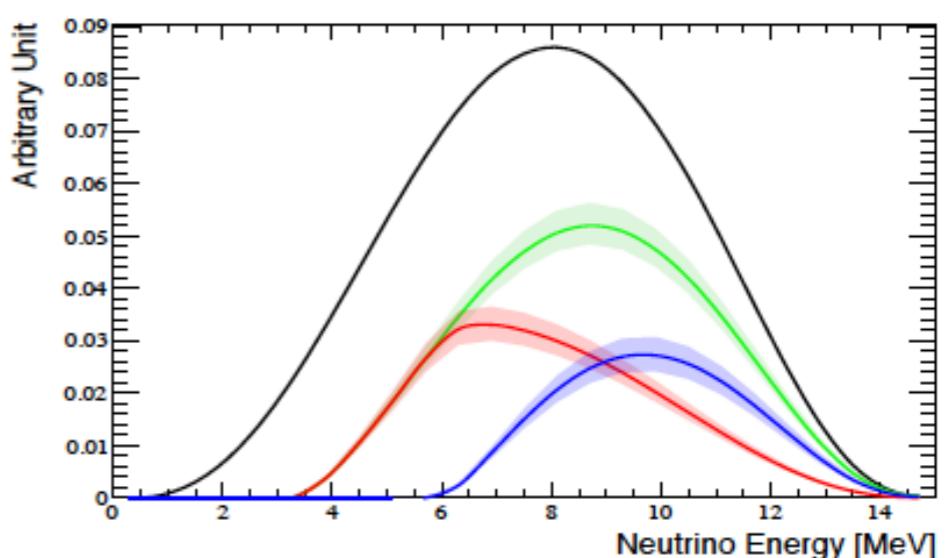
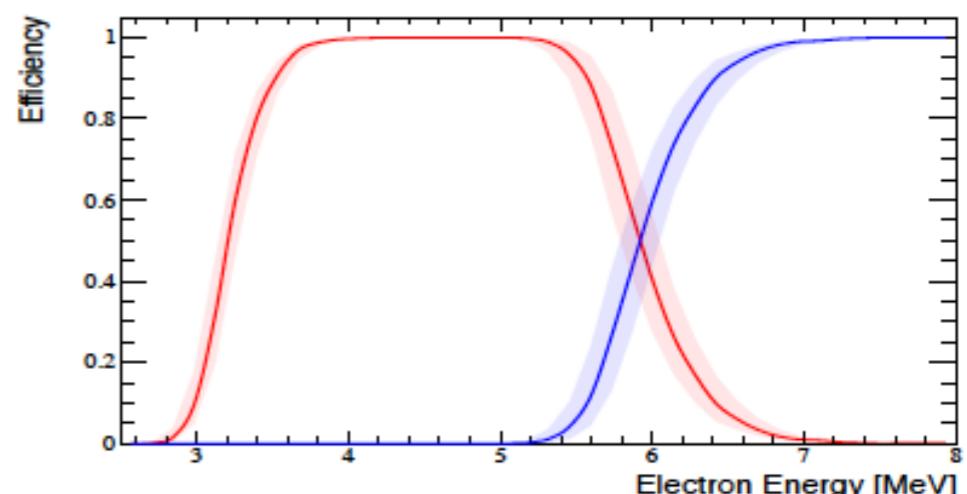
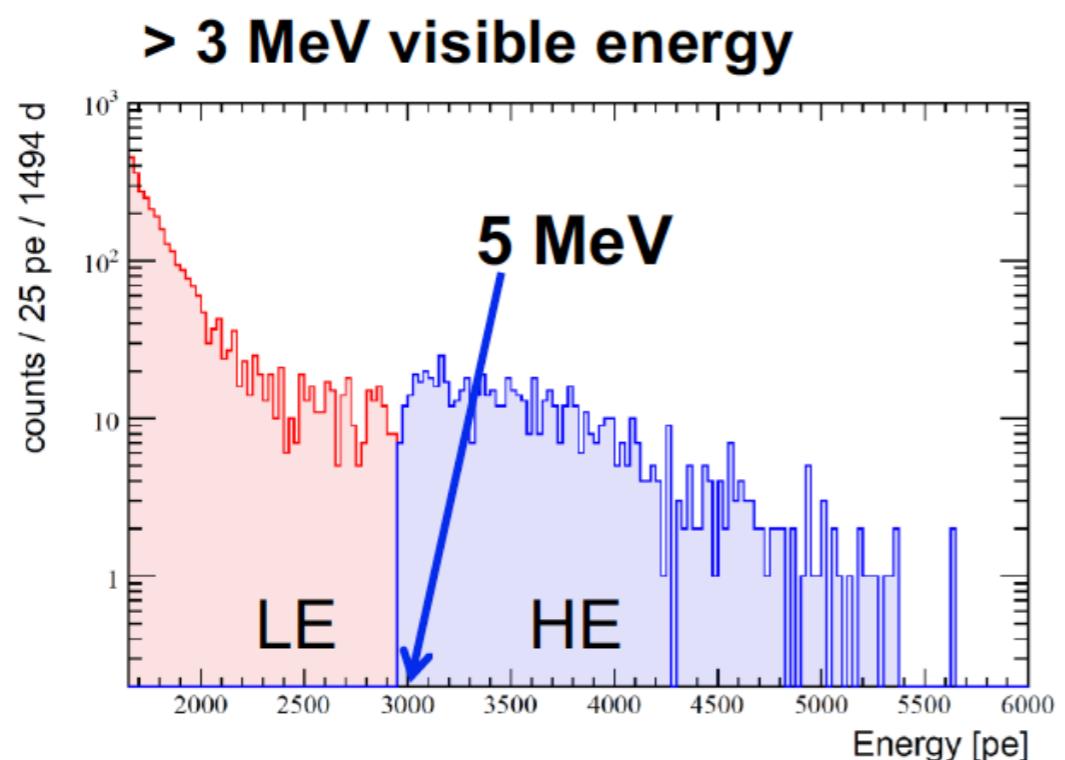
# Improved measurement of B-8 neutrinos

no FV cut, 3.2-17 MeV

1.5 kton-yr exposure (x11 from phase-1)

better understanding of backgrounds  
(cosmogenic Be-11, n-captures, surface)

arXiv:1709.00756



$$R_{LE} = 0.133^{+0.013}_{-0.013} (stat) ^{+0.003}_{-0.003} (syst) \text{ cpd}/100 \text{ t}$$

$$R_{HIE} = 0.087^{+0.08}_{-0.010} (stat) ^{+0.005}_{-0.005} (syst) \text{ cpd}/100 \text{ t}$$

$$R_{LE+HE} = 0.220^{+0.015}_{-0.016} (stat) ^{+0.006}_{-0.006} (syst) \text{ cpd}/100 \text{ t}$$

# Borexino Phase 2 (2010-2016): Latest results



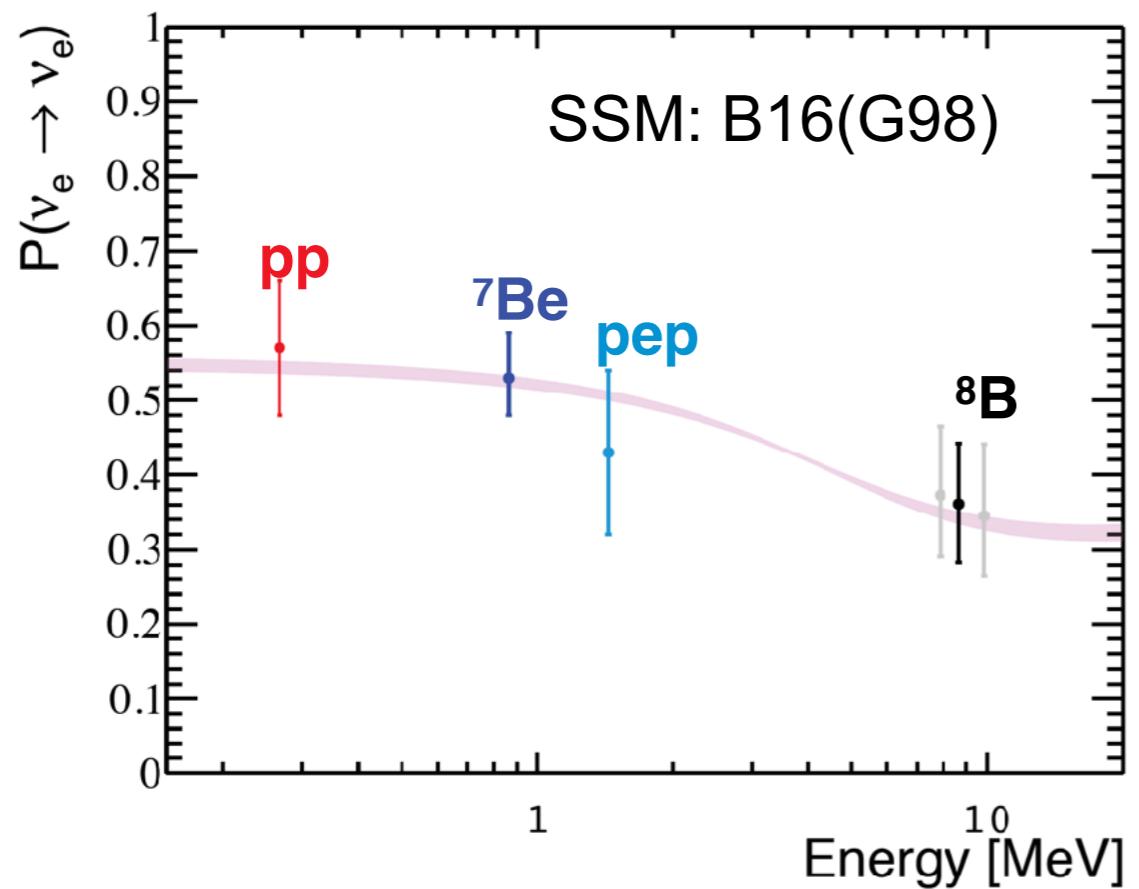
All rates are fully compatible with and improve the uncertainty of the previously published Borexino results

	<b>Previous BX results (cpd/ 100t)</b>	<b>This work (cpd/ 100t)</b>	<b>Uncertainty reduction</b>
<b>pp</b>	$144 \pm 13 \pm 10$	$134 \pm 10^{+6}_{-10}$	0.78
<b><math>^7\text{Be}</math></b>	$48.3 \pm 2.0 \pm 0.9$	$48.3 \pm 1.1^{+0.4}_{-0.7}$ <span style="border: 1px solid red; padding: 2px;">2.7% precision</span>	0.57
<b>pep</b>	$3.1 \pm 0.6 \pm 0.3$	(HZ) $2.43 \pm 0.36^{+0.15}_{-0.22}$ (LZ) $2.65 \pm 0.36^{+0.15}_{-0.24}$	0.61
<b><math>^8\text{B}</math></b>	$0.217 \pm 0.038 \pm 0.008$	$0.220^{+0.015}_{-0.016} \pm 0.006$	0.42

# Survival probability, $P_{ee}$



## High Metallicity



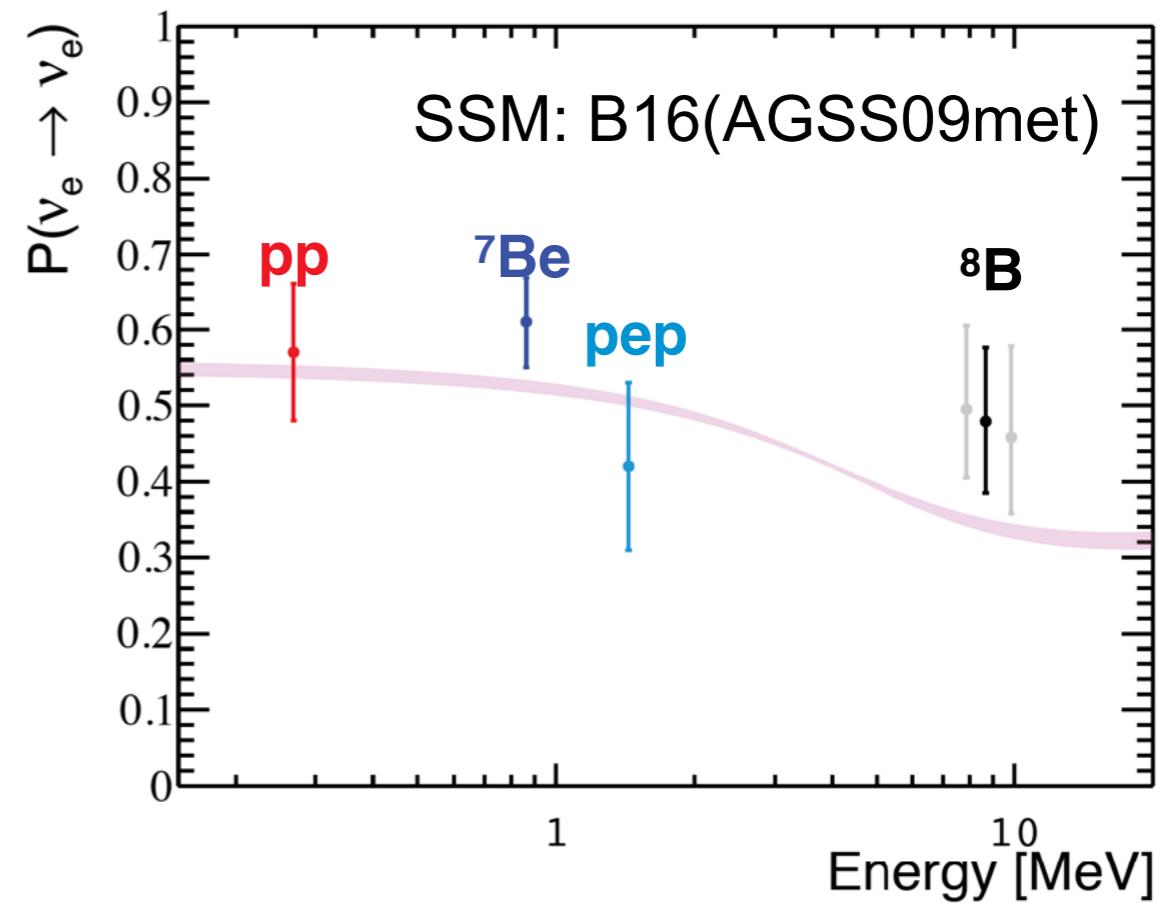
**p-values:**

**Bx only:** 0.998

**All exp:** 0.956



## Low Metallicity



**p-values:**

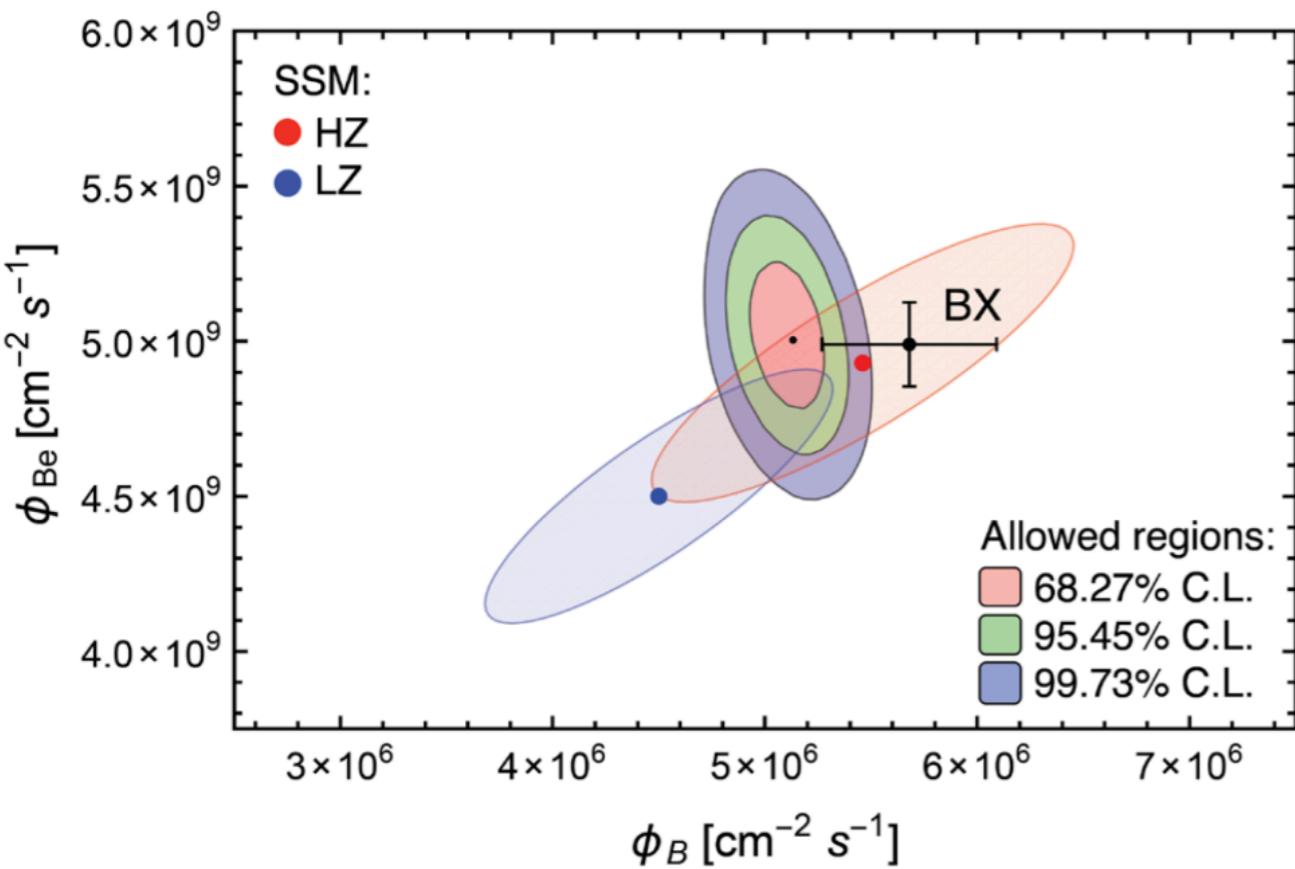
**Bx only:** 0.362

**All exp:** 0.465

30



# Tests of the SSM

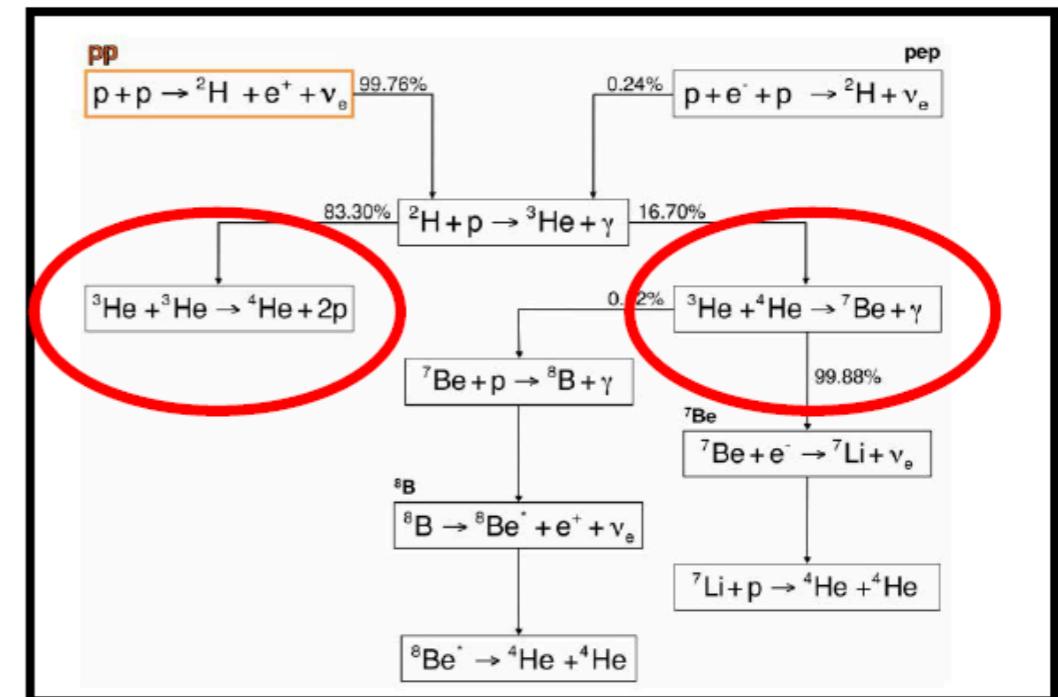


- **Global fit to all solar + Kamland data (including the new  ${}^7\text{Be}$  result from BX)**

$$f_{\text{Be}} = \frac{\Phi(\text{Be})}{\Phi(\text{Be})_{\text{HZ}}} = 1.01 \pm 0.03$$

$$f_B = \frac{\Phi(B)}{\Phi(B)_{\text{HZ}}} = 0.93 \pm 0.02$$

- **a hint towards the HM :**  
**LZ is excluded by BX data at  $1.8\sigma$  level**
- **theoretical errors are dominating**



$$R \equiv \frac{\langle {}^3\text{He} + {}^4\text{He} \rangle}{\langle {}^3\text{He} + {}^3\text{He} \rangle} = \frac{2\phi({}^7\text{Be})}{\phi(\text{pp}) - \phi({}^7\text{Be})}$$

$$R(\text{HZ}) = 0.180 \pm 0.011$$

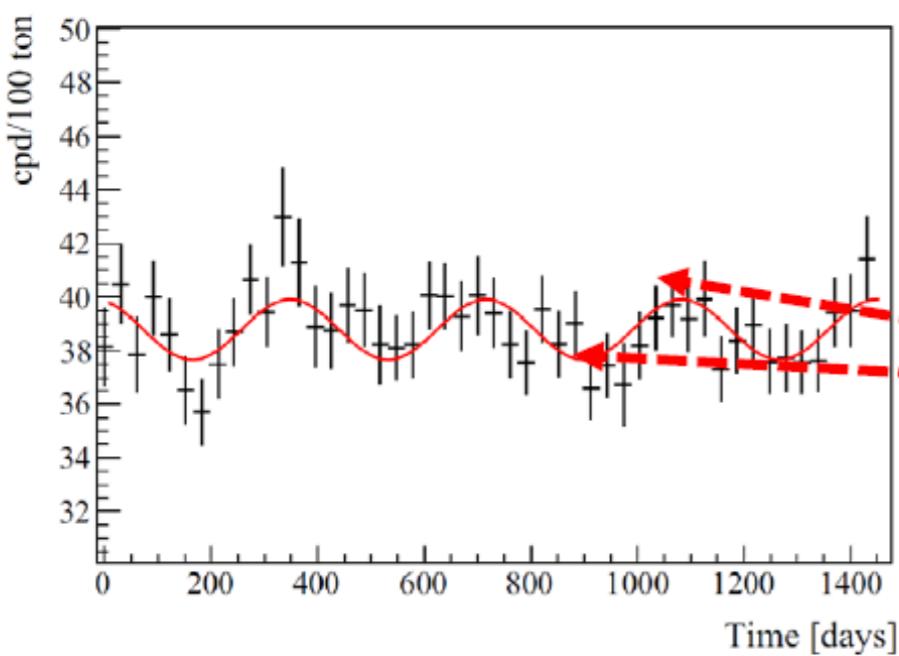
$$R(\text{LZ}) = 0.161 \pm 0.010$$

from pp and Be-7 measurements:

$$\mathbf{R(\text{BRX})=0.178^{+0.027}_{-0.023}}$$

# Be-7 seasonal modulation

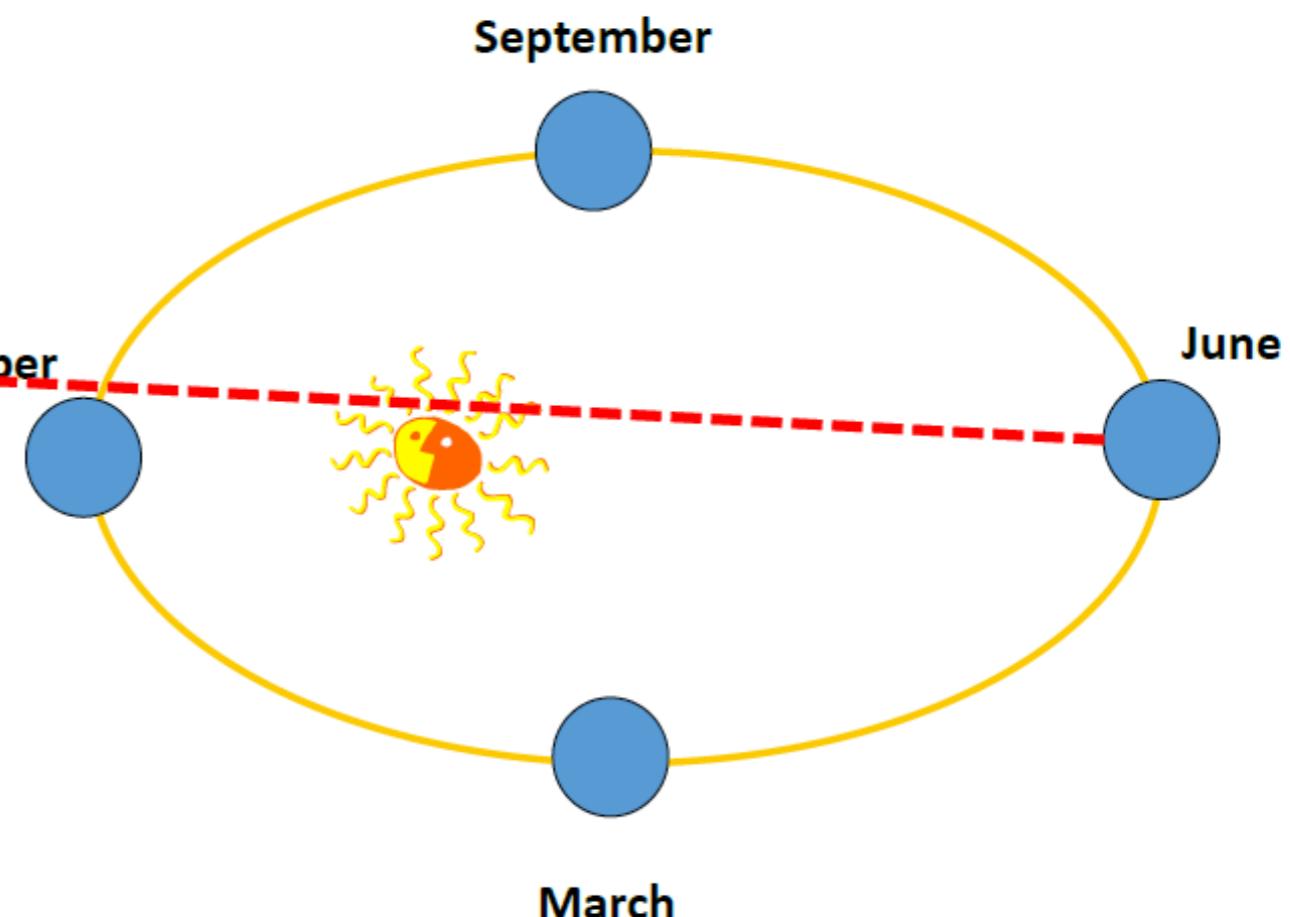
M. Agostini et al., Astropart.Physics 92 (2017) 21–29



Fit to the evolution  
of the rate in time  
(bin of 30 days)



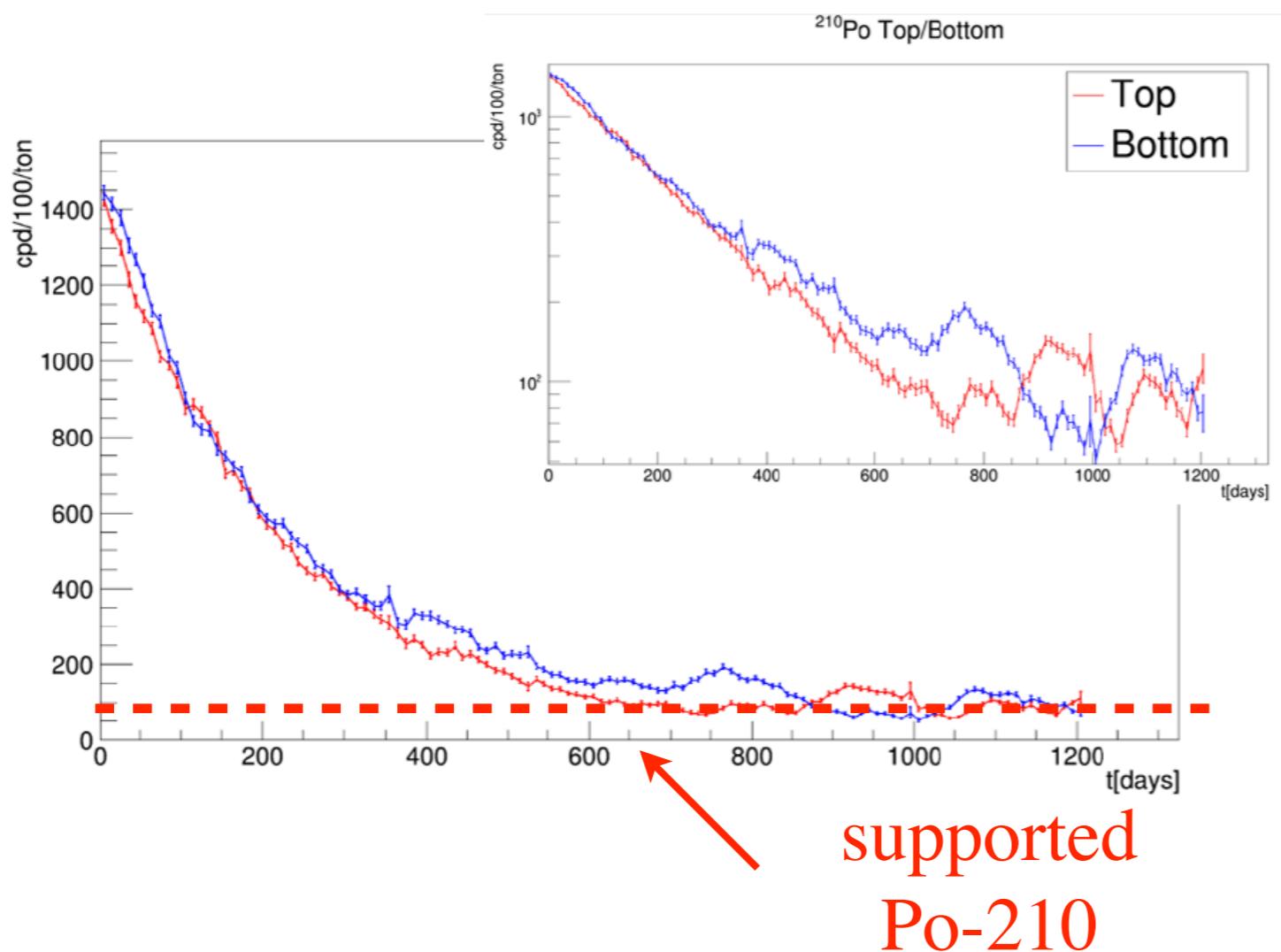
$$\begin{aligned}\epsilon &= (1.74 \pm 0.45)\% \\ T &= (367 \pm 10) \text{ days} \\ \Phi &= (-18 \pm 24) \text{ days}\end{aligned}$$





# Towards a CNO solar neutrino measurement

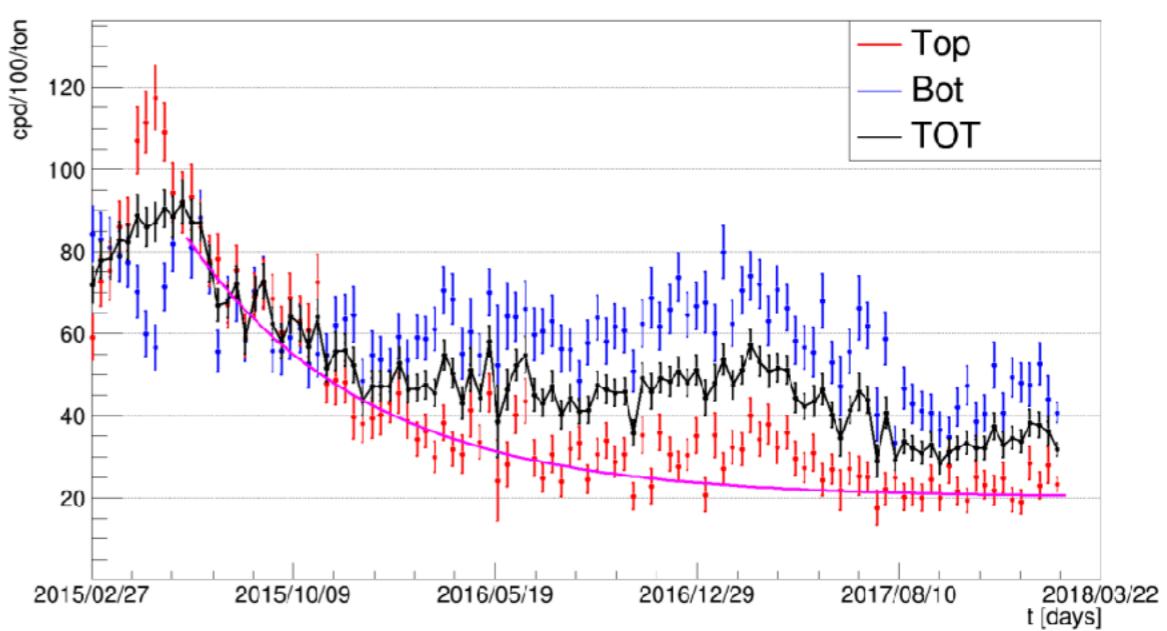
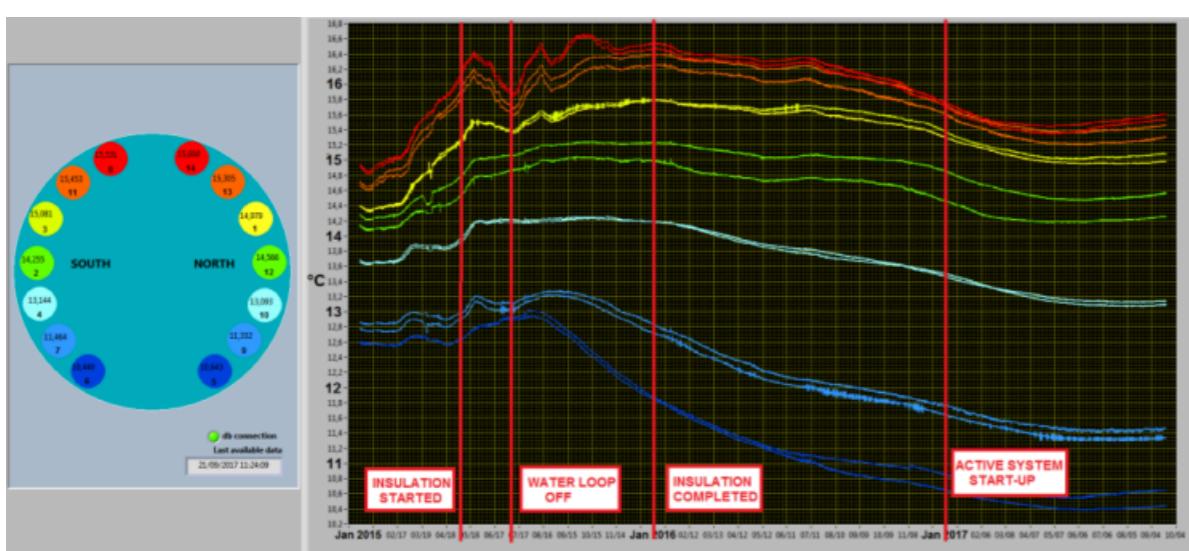
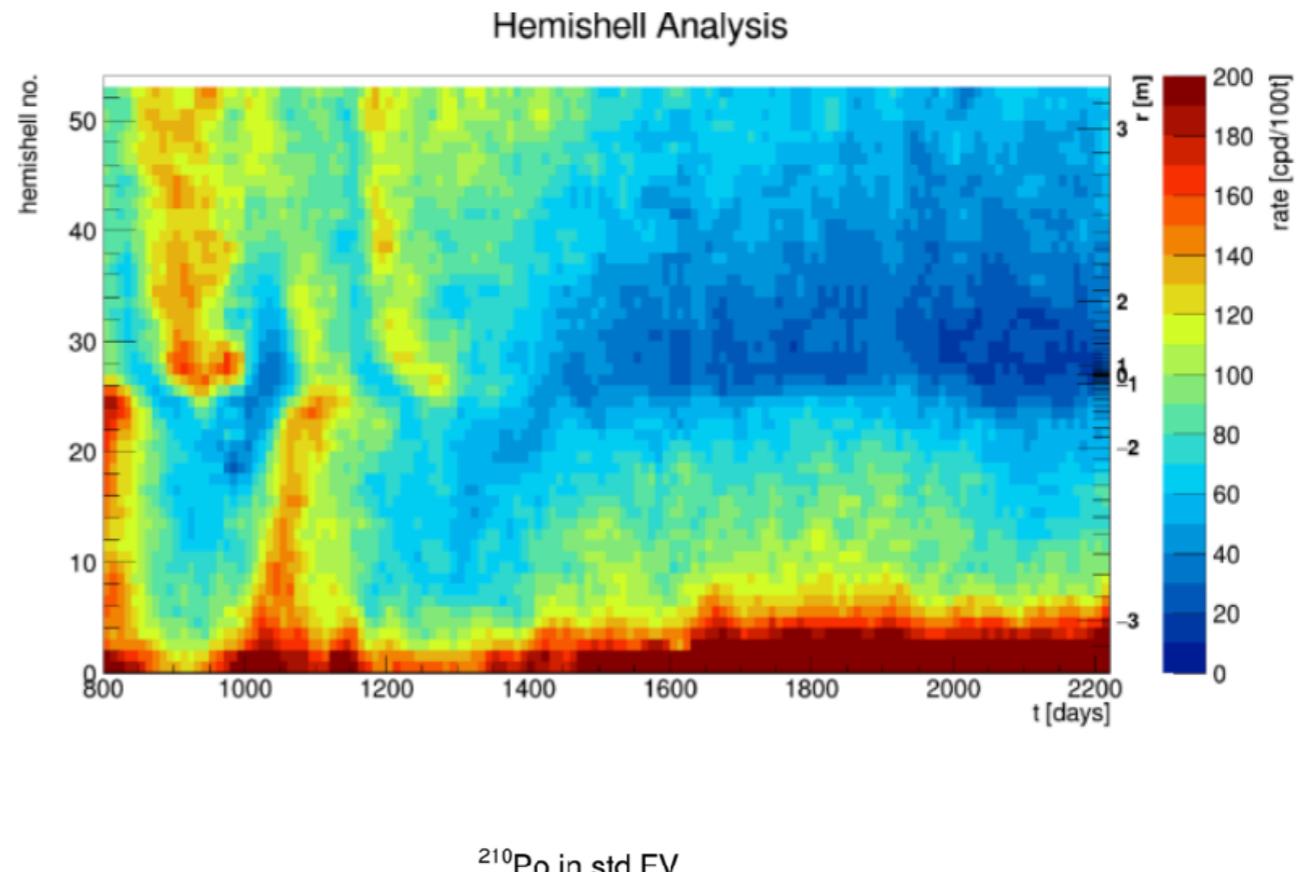
- CNO solar neutrinos: the direct measurement of their rate could help solve the solar metallicity controversy surrounding the Standard Solar Model ( ${}^7\text{Be}$  (12% difference) and CNO (50-60% difference))



- supported Po-210 determines constraints residual Bi-210
- attempts plagued by fluid convection causing Po-210 mixing

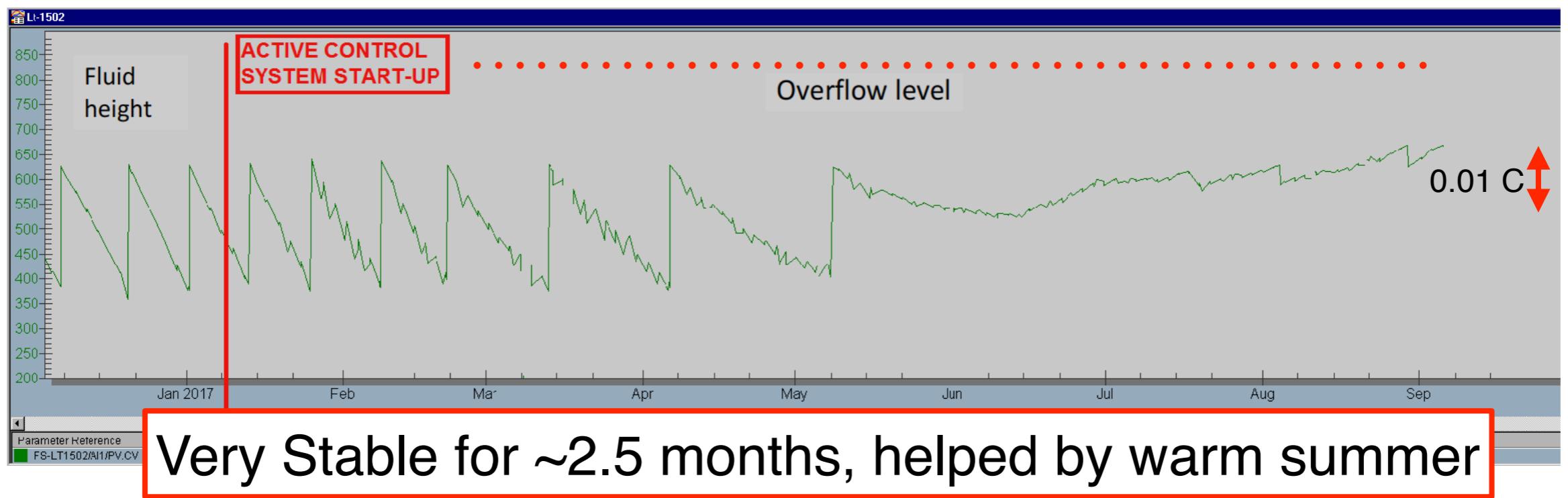
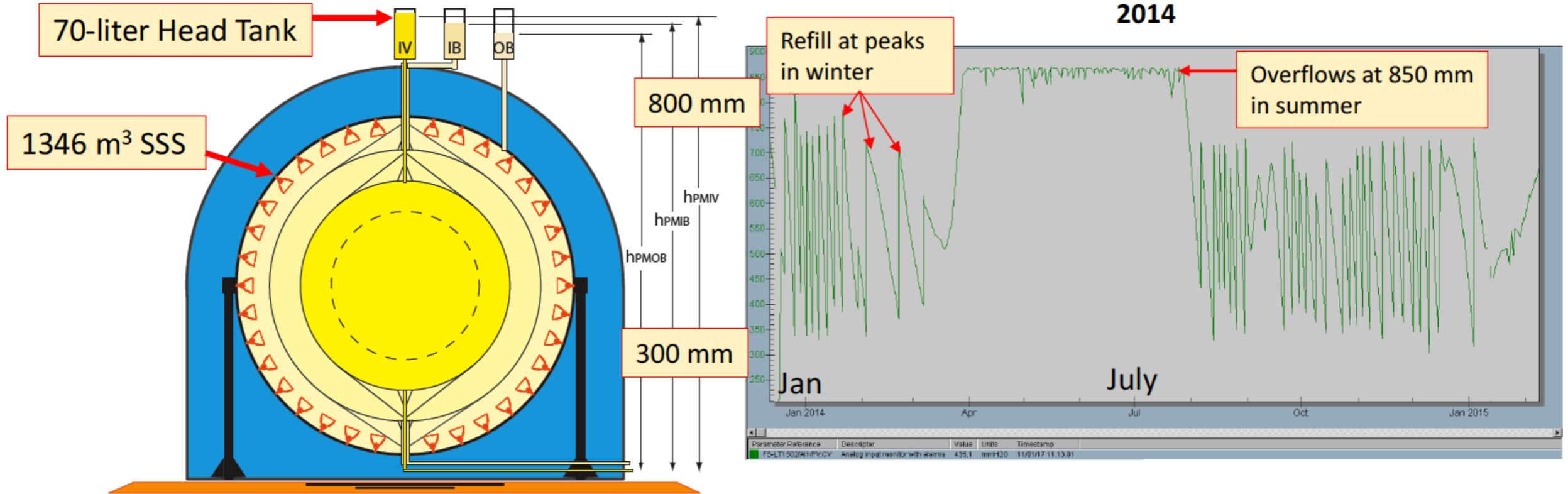


# Detector thermal stabilization



# A very sensitive thermometer

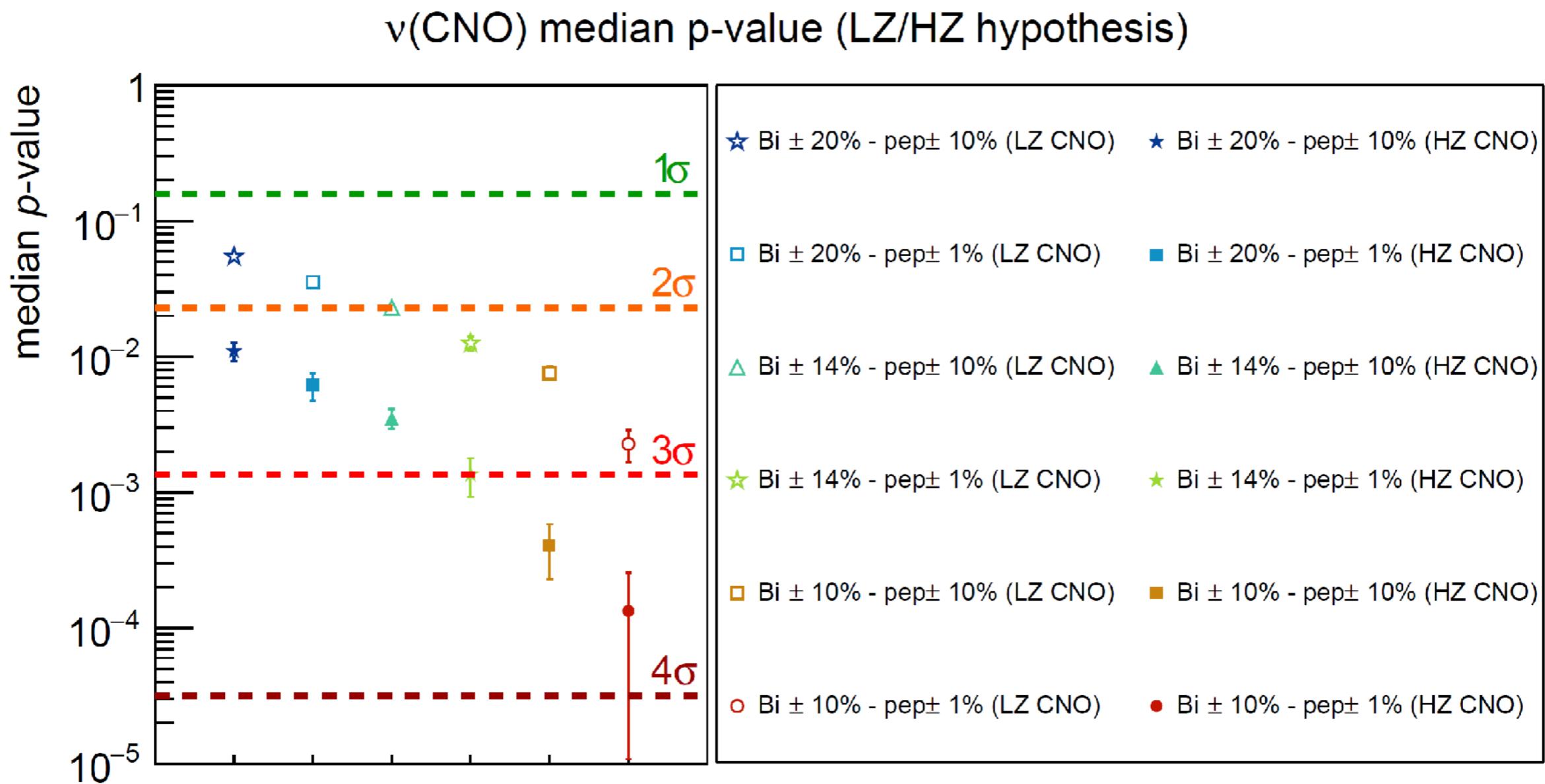
Head Tank Level Measurement





# CNO sensitivity

- requires stable Bi-210, measured at 10-20%



# Outlook

1) SNO+ could measure CNO neutrinos without C-11 background (alternative to  $0\nu\beta\beta$  program)



2) JUNO (20 kton LS): 10-20 times the Borexino statistics arXiv:1809.03821  
(if LS is radio-pure enough)

3) A 300 ton LAr detector could:

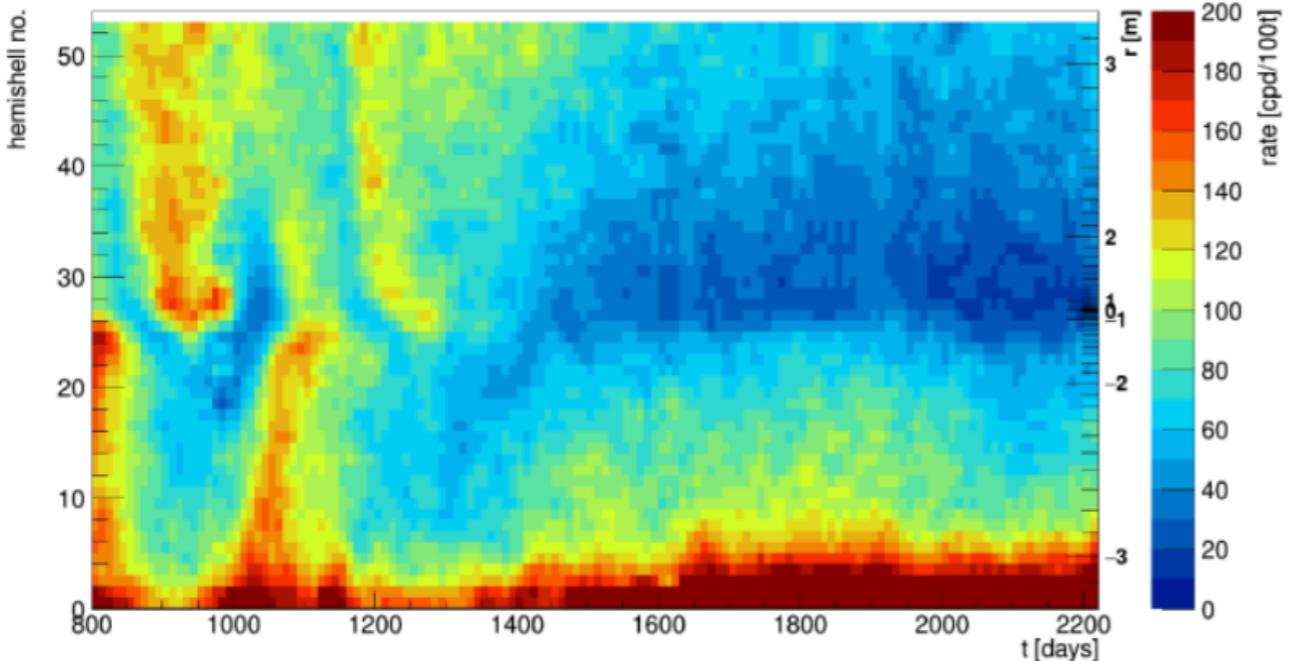
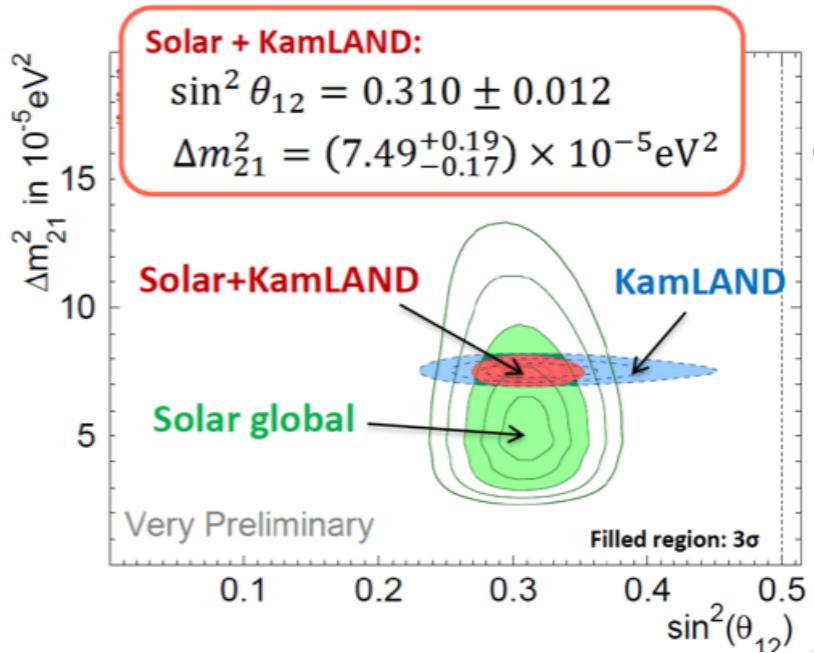
JCAP08(2016)017

- *measure CNO solar neutrino flux with  $\sim 15\%$  uncertainty*
- *measure Be-7 neutrinos at  $\sim 2\%$*
- *measure pep neutrinos at better than 10%*

4) DUNE could make precision measurements of B-8 neutrinos through different scattering channels (Ar-40 nuclei, electrons)

- *make precision measurements of B-8 neutrinos through different scattering channels (Ar-40 nuclei, electrons)*
- *precision day/night effect*
- *hep solar neutrinos*

arXiv:1808.08232



# Summary

- Solar neutrinos essential in discovering the physics of neutrino oscillations
- Two solar neutrino experiments are currently running (SuperK and Borexino)
- Borexino has mapped out the entire pp solar fusion chain with high precision
- A measurement of CNO neutrinos would give us key knowledge of the Sun's metallicity
- Low-background techniques developed by Borexino have defined the standard for rare-event physics
- Some upcoming experiments could continue this exciting branch of science



# the Borexino collaboration



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