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# THE (ENDLESS) CHASE FOR $V_{cb}$

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Heavy quarks through the looking glass, Siegen, 4-5 october 2018

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# 30 YEARS OF RELEVANT CONTRIBUTIONS

Imprecated, yet impeccable: on the theoretical evaluation of  $\Gamma(B \rightarrow X_c \ell \nu)$

D. Benson<sup>a,b</sup>, I.I. Bigi<sup>a,b</sup>, Th. Mannel<sup>b</sup>, N. Uraltsev<sup>c,1</sup>



Physics Letters B

Volume 254, Issues 1–2, 17 January 1991, Pages 274–278

open access



Higher order  $1/m$  corrections at zero |

Thomas Mannel

Phys. Rev. D **50**, 428 – Published 1994 | [hep-ph/9308262](#)

Operator Product Expansion for Inclusive Semileptonic Decays in Heavy Quark Effective Field Theory

THOMAS MANNEL


[Journal of High Energy Physics](#)

November 2010, 2010:109 | [Cite as](#)

Higher order power corrections in inclusive  $B$  decays

Authors

[Authors and affiliations](#)

Th. Mannel, S. Turczyk , N. Uraltsev

Parton–Hadron Duality in  $B$  Meson Decays

IKAROS I. BIGI<sup>1</sup> and THOMAS MANNEL

Testing the heavy quark effective theory in

$B^0 \rightarrow D^{*+} e^- \nu$  ☆

Thomas Mannel<sup>a, b, 1</sup>, Winston Roberts<sup>a, 2</sup>, Zbigniew Ryzak<sup>a, 3</sup>

$B \rightarrow D^{(*)}$  form factors from QCD light-cone sum rules

Inclusive semileptonic  $B$  decays from QCD with NLO accuracy for power-suppressed terms

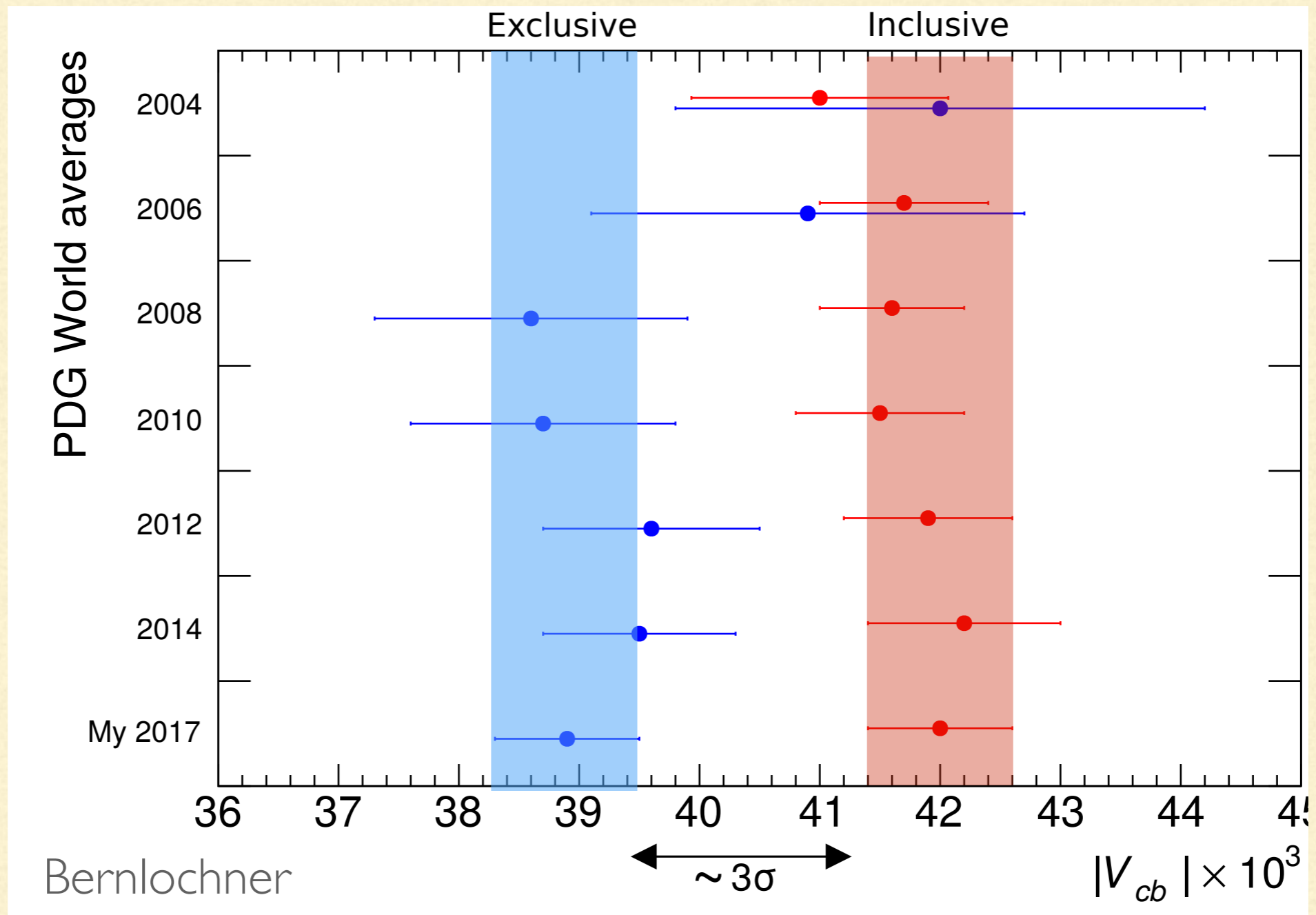
Thomas Mannel<sup>a</sup>, Alexei A. Pivovarov<sup>a,b</sup>, Denis Rosenthal<sup>a,\*</sup>

<sup>a</sup>Theoretische Physik 1, Universität Siegen, D-57068 Siegen, Germany

$B \rightarrow D^*$  zero-recoil formfactor and the heavy quark expansion in QCD: a systematic study

### 89. Semileptonic $b$ -Hadron Decays, Determination of $V_{cb}$ , $V_{ub}$

Updated October 2017 by R. Kowalewski (Univ. of Victoria, Canada) and T. Mannel (Univ. of Siegen, Germany)



Babar physics book 1999  
“if the two results agree”

$$|V_{cb}| = \dots \pm 0.0004 \pm 0.0012 (\pm 1\% \pm 3\%)$$

ex th

# The importance of $|V_{cb}|$

The most important CKM unitarity test is the Unitarity Triangle (UT)

$V_{cb}$  plays an important role in UT

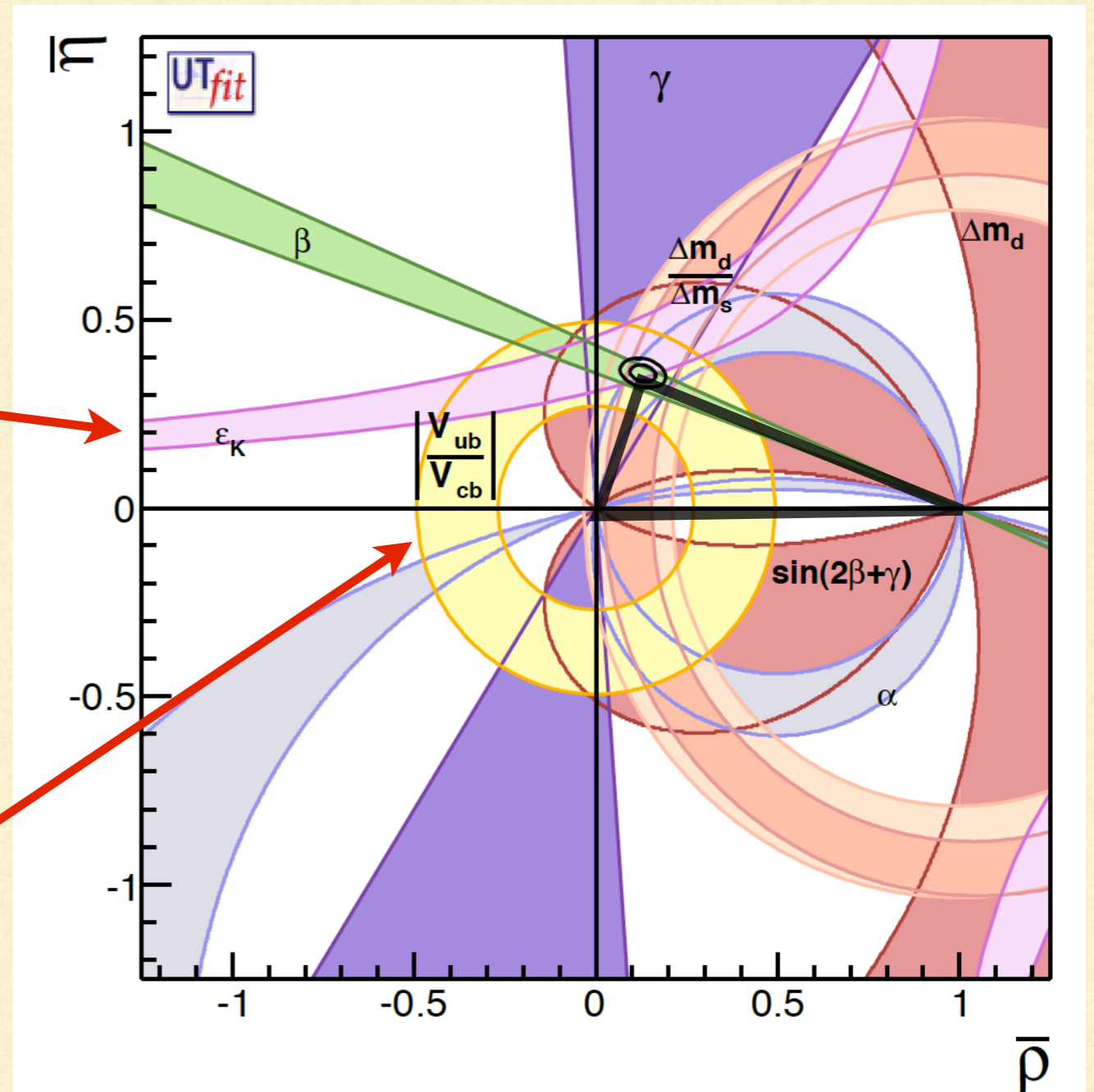
$$\varepsilon_K \approx x|V_{cb}|^4 + \dots$$

and in the prediction of FCNC:

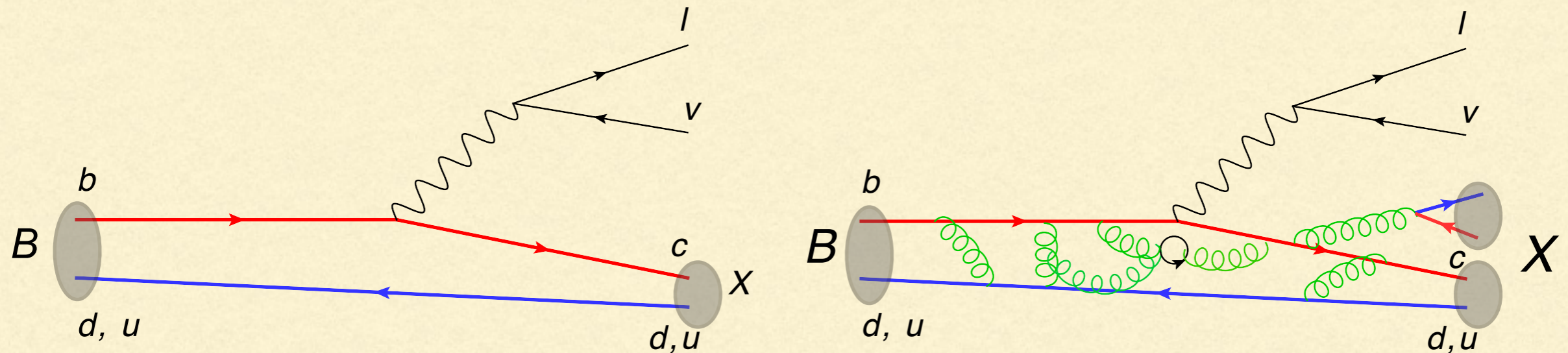
$$\propto |V_{tb}V_{ts}|^2 \simeq |V_{cb}|^2 \left[ 1 + O(\lambda^2) \right]$$

where it often dominates the theoretical uncertainty.

$V_{ub}/V_{cb}$  constrains directly the UT



# INCLUSIVE DECAYS: BASICS



- Simple idea: inclusive decays do not depend on final state, long distance dynamics of the B meson factorizes. An OPE allows to express it in terms of B meson matrix elements of local operators
- The Wilson coefficients are perturbative, matrix elements of local ops parameterize non-pert physics: double series in  $\alpha_s, \Lambda/m_b$
- Lowest order: decay of a free b, linear  $\Lambda/m_b$  absent. Depends on  $m_{b,c}$ , 2 parameters at  $O(1/m_b^2)$ , 2 more at  $O(1/m_b^3)$ ...

# INCLUSIVE SEMILEPTONIC B DECAYS

Inclusive observables are double series in  $\Lambda/m_b$  and  $\alpha_s$

$$M_i = M_i^{(0)} + \frac{\alpha_s}{\pi} M_i^{(1)} + \left(\frac{\alpha_s}{\pi}\right)^2 M_i^{(2)} + \left( M_i^{(\pi,0)} + \frac{\alpha_s}{\pi} M_i^{(\pi,1)} \right) \frac{\mu_\pi^2}{m_b^2} \\ + \left( M_i^{(G,0)} + \frac{\alpha_s}{\pi} M_i^{(G,1)} \right) \frac{\mu_G^2}{m_b^2} + M_i^{(D,0)} \frac{\rho_D^3}{m_b^3} + M_i^{(LS,0)} \frac{\rho_{LS}^3}{m_b^3} + \dots$$

$$\mu_\pi^2(\mu) = \frac{1}{2M_B} \left\langle B \left| \bar{b} \left( i \vec{D} \right)^2 b \right| B \right\rangle_\mu \quad \mu_G^2(\mu) = \frac{1}{2M_B} \left\langle B \left| \bar{b} \frac{i}{v_2} \sigma_{\mu\nu} G^{\mu\nu} b \right| B \right\rangle_\mu$$

OPE valid for inclusive enough measurements, away from perturbative singularities: semileptonic width, moments

Most fits include 6 non-pert parameters

$$m_b \quad m_c \quad \mu_{\pi,G}^2 \quad \rho_{D,LS}^3$$

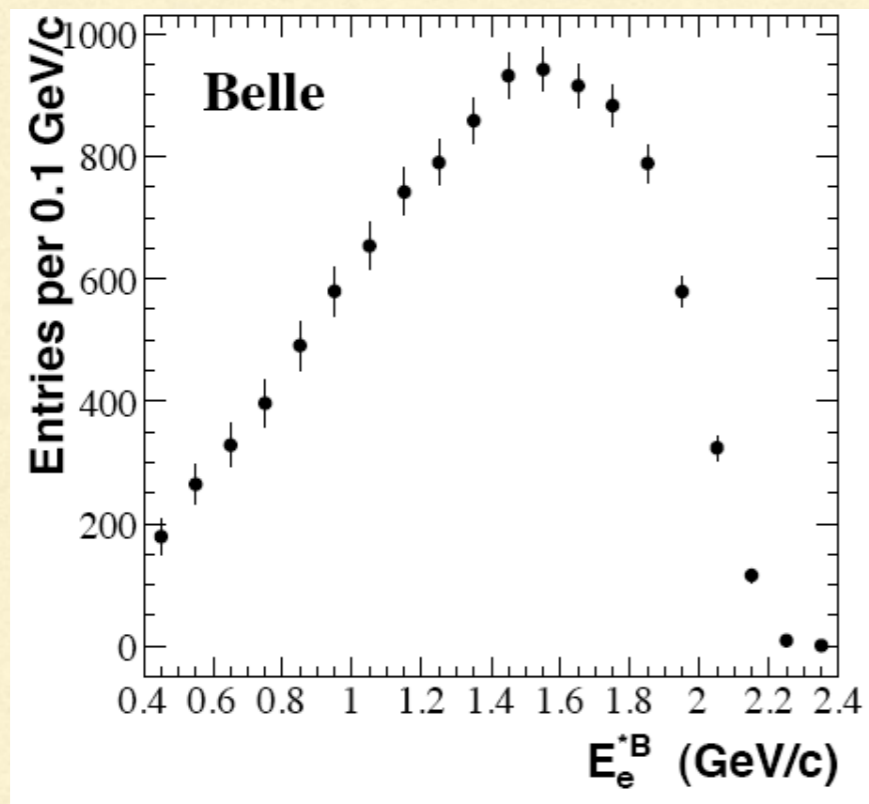
and all known corrections up to  $O(\Lambda^3/m_b^3)$

TAU final states as well!

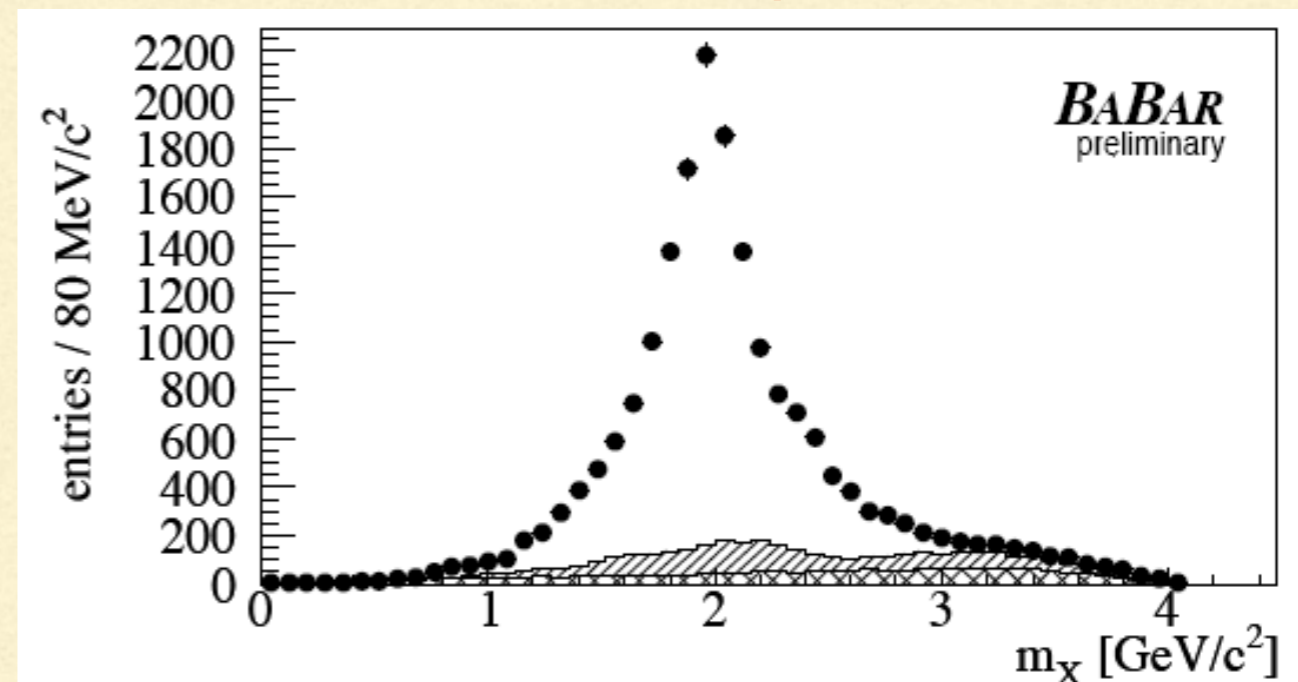
Ligeti, Tackmann, **Mannel**, Rusov, Shaharian

# EXTRACTION OF THE OPE PARAMETERS

lepton energy spectrum



hadronic mass spectrum



Global **shape** parameters (first moments of the distributions, with various lower cuts on  $E_l$ ) tell us about  $m_b, m_c$  and the B structure, total **rate** about  $|V_{cb}|$

OPE parameters describe universal properties of the B meson and of the quarks: they are useful in many applications (rare decays,  $V_{ub}, \dots$ )

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# THE CURRENT HFLAV S.L. FIT

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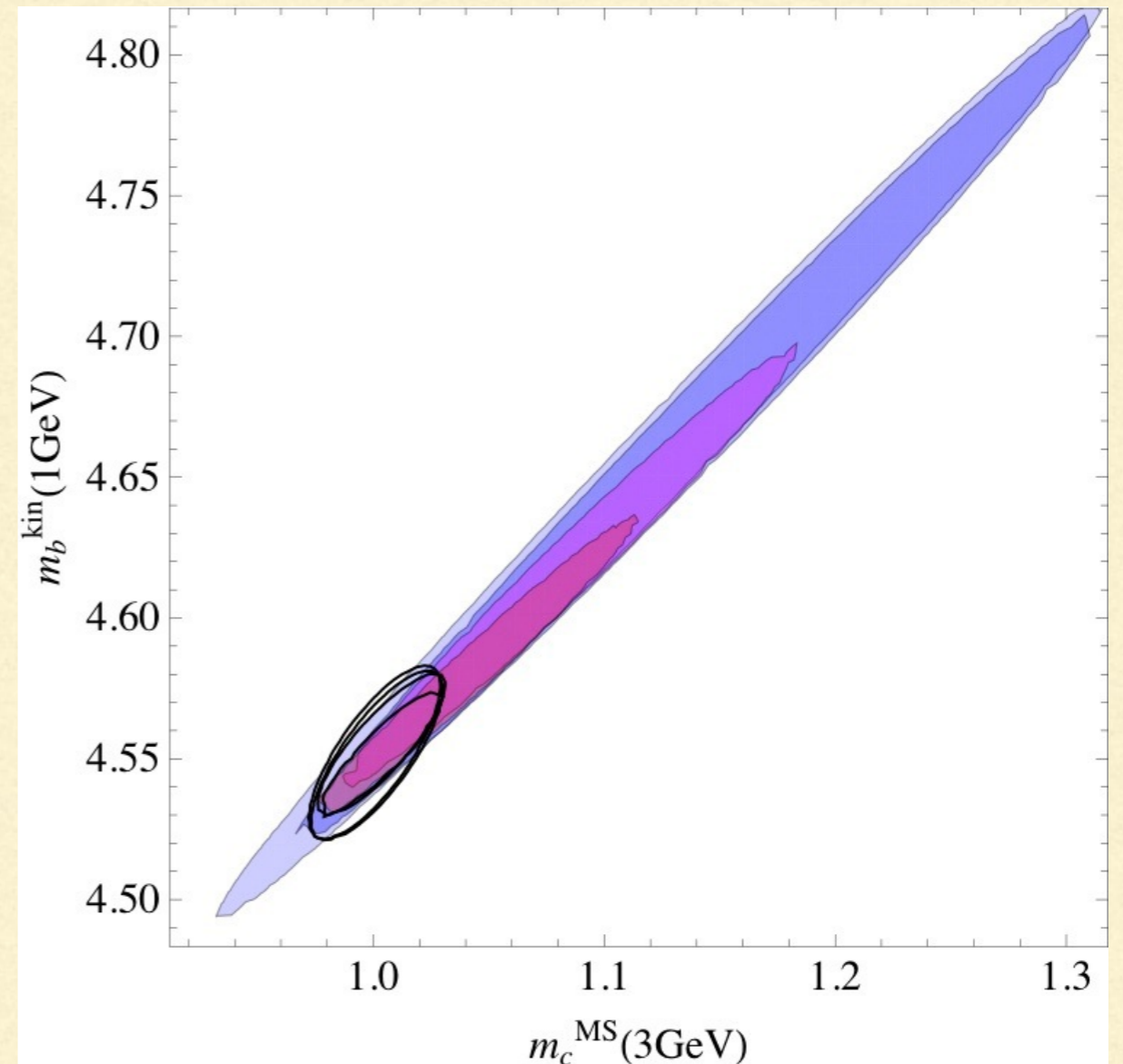
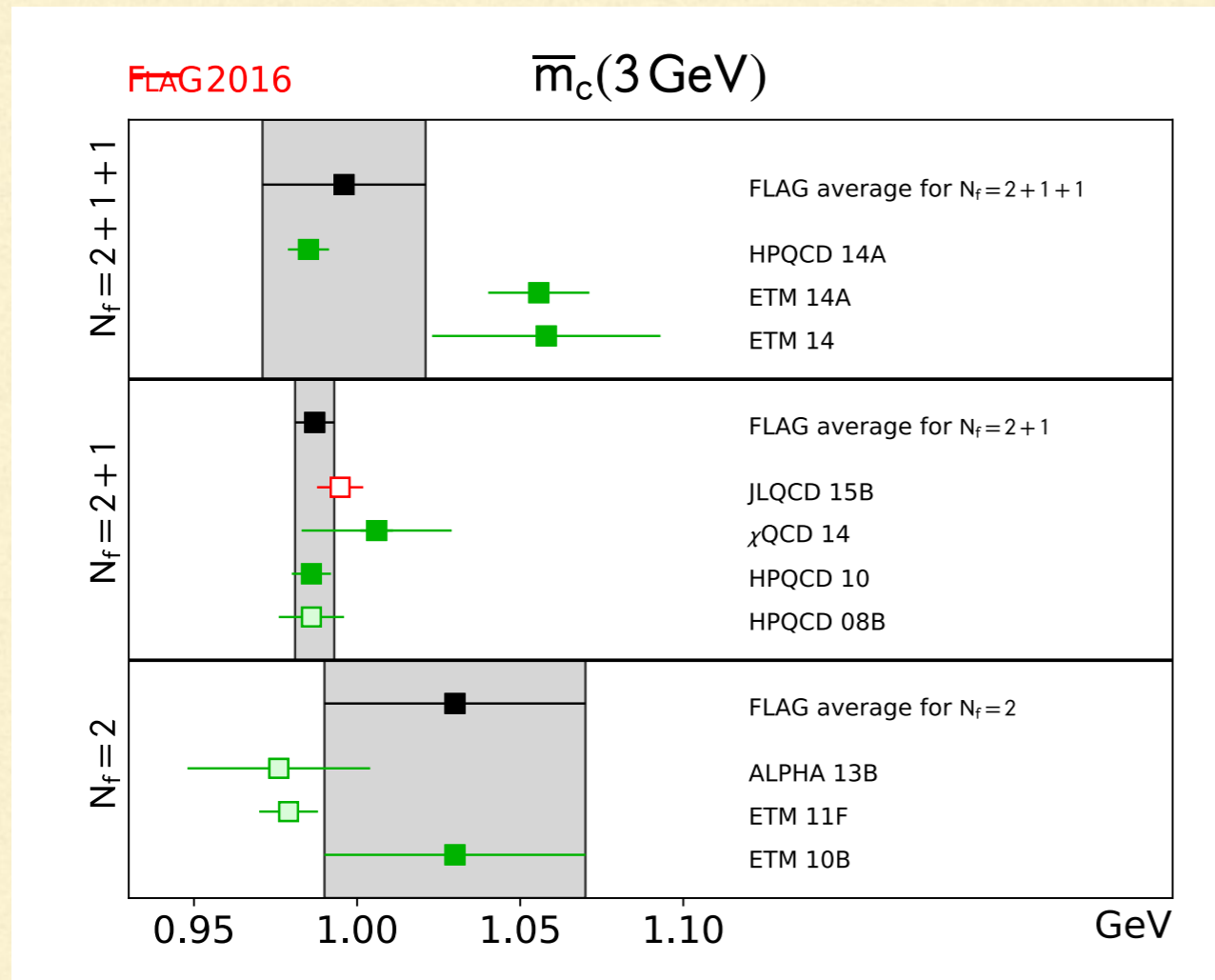
- **kinetic scheme** calculation based on 1411.6560, 1107.3100; hep-ph/0401063
- includes all  $O(\alpha_s^2, \alpha_s/m_b^2, 1/m_b^3)$  corrections Melnikov, Biswas, Czarnecki, Pak, Becher, Boos, Lunghi, Alberti, Ewerth, Nandi, PG, **Mannel**, Pivovarov, Rosenthal
- Reliability of the method depends on our control of higher order effects. **Quark-hadron duality violation** would manifest as inconsistency in the fit.
- reassessment of theoretical errors, realistic theoretical correlations following Schwanda, PG, 1307.4551
- external constraints: precise heavy quark mass determinations, mild constraints on  $\mu^2_G$  from hyperfine splitting and  $\rho^3_{LS}$  from sum rules

Older fits, like Buchmuller & Flaecher (2005), Bauer et al (2004) (IS scheme) are not up-to-date

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# CHARM MASS



Remarkable improvement in the last decade. We used  $m_c$  as input to fix  $m_b$ , but higher precision on  $m_b$  should be exploited as well

# FIT RESULTS

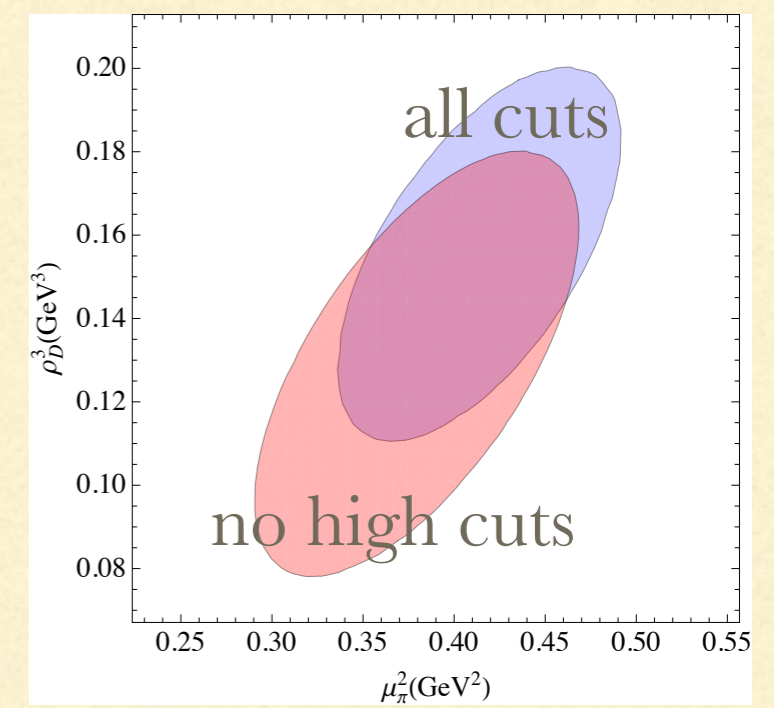
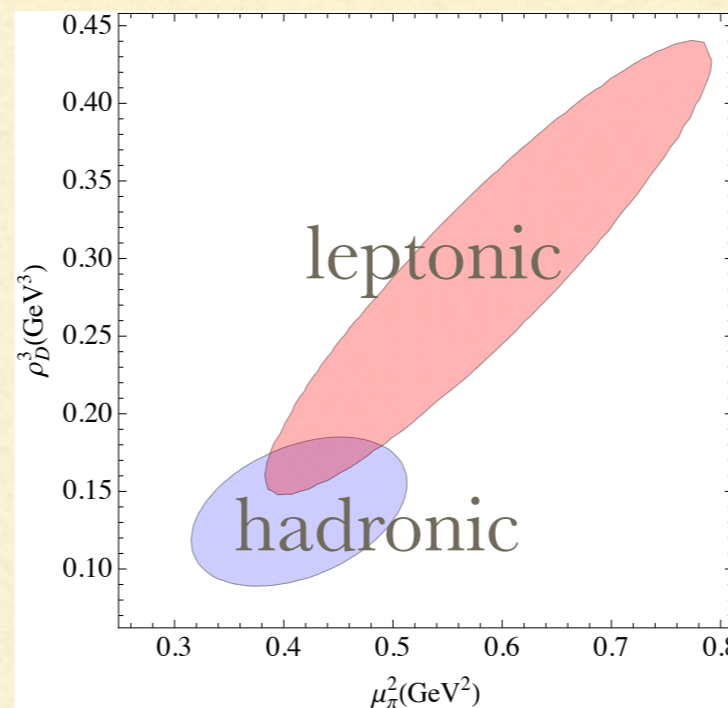
$m_b^{kin}$	$\overline{m}_c(3\text{ GeV})$	$\mu_\pi^2$	$\rho_D^3$	$\mu_G^2$	$\rho_{LS}^3$	$\text{BR}_{cl\nu}$	$10^3 V_{cb} $
4.553	0.987	0.465	0.170	0.332	-0.150	10.65	42.21
0.020	0.013	0.068	0.038	0.062	0.096	0.16	0.78

Alberti, Healey, Nandi, PG, 1411.6560

## WITHOUT MASS CONSTRAINTS

$$m_b^{kin}(1\text{ GeV}) - 0.85 \overline{m}_c(3\text{ GeV}) = 3.714 \pm 0.018 \text{ GeV}$$

- results depend little on assumption for correlations and choice of inputs, 1.8% determination of  $V_{cb}$
- 20-30% determination of the OPE parameters
- b mass determination in agreement with recent lattice and sum rules results



# HIGHER POWER CORRECTIONS

Proliferation of non-pert parameters starting  $1/m^4$ : 9 at dim 7, 18 at dim 8

$$2M_B m_1 = \langle ((\vec{p})^2)^2 \rangle$$

$$2M_B m_2 = g^2 \langle \vec{E}^2 \rangle$$

$$2M_B m_3 = g^2 \langle \vec{B}^2 \rangle$$

$$2M_B m_4 = g \langle \vec{p} \cdot \text{rot } \vec{B} \rangle$$

$$2M_B m_5 = g^2 \langle \vec{S} \cdot (\vec{E} \times \vec{E}) \rangle$$

$$2M_B m_6 = g^2 \langle \vec{S} \cdot (\vec{B} \times \vec{B}) \rangle$$

$$2M_B m_7 = g \langle (\vec{S} \cdot \vec{p})(\vec{p} \cdot \vec{B}) \rangle$$

$$2M_B m_8 = g \langle (\vec{S} \cdot \vec{B})(\vec{p})^2 \rangle$$

$$2M_B m_9 = g \langle \Delta(\vec{\sigma} \cdot \vec{B}) \rangle$$

**Mannel**, Turczyk, Uraltsev  
1009.4622

In principle relevant: HQE contains  $O(1/m_b^n 1/m_c^k)$

**Lowest Lying State Saturation**  
**Approx (LLSA)** truncating

$$\langle B | O_1 O_2 | B \rangle = \sum_n \langle B | O_1 | n \rangle \langle n | O_2 | B \rangle$$

see also Heinonen, **Mannel** 1407.4384

and relating higher dimensional to lower dimensional matrix elements, e.g.

$$\rho_D^3 = \epsilon \mu_\pi^2 \quad \rho_{LS}^3 = -\epsilon \mu_G^2 \quad \epsilon \sim 0.4 \text{ GeV}$$

excitation energy to P-wave states. LLSA might set the scale of effect, but large corrections to LLSA have been found in some cases 1206.2296

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# INCLUDING HIGHER ORDERS

Healey, Turczyk, PG 1606.06174

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- Using LLSA one observes a good convergence of the HQE in width and moments
- We use LLSA as loose constraint or priors (60% gaussian uncertainty, dimensional estimate for vanishing matrix elements) in a fit including higher powers
- The rest of the fit is unchanged, with slightly smaller theoretical errors

$$|V_{cb}| = 42.11(74) \times 10^{-3} \quad (0.25\% \text{ reduction})$$

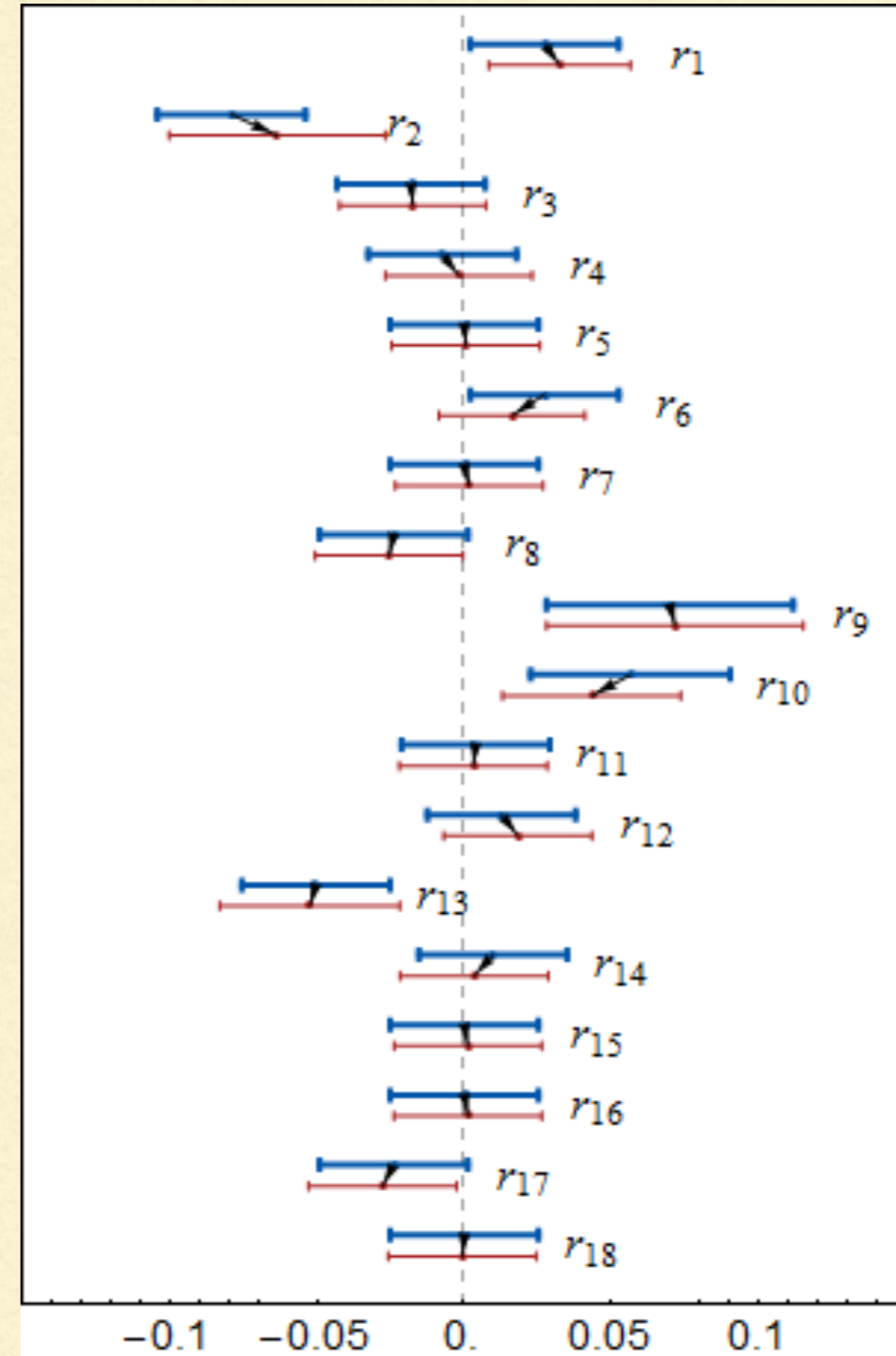
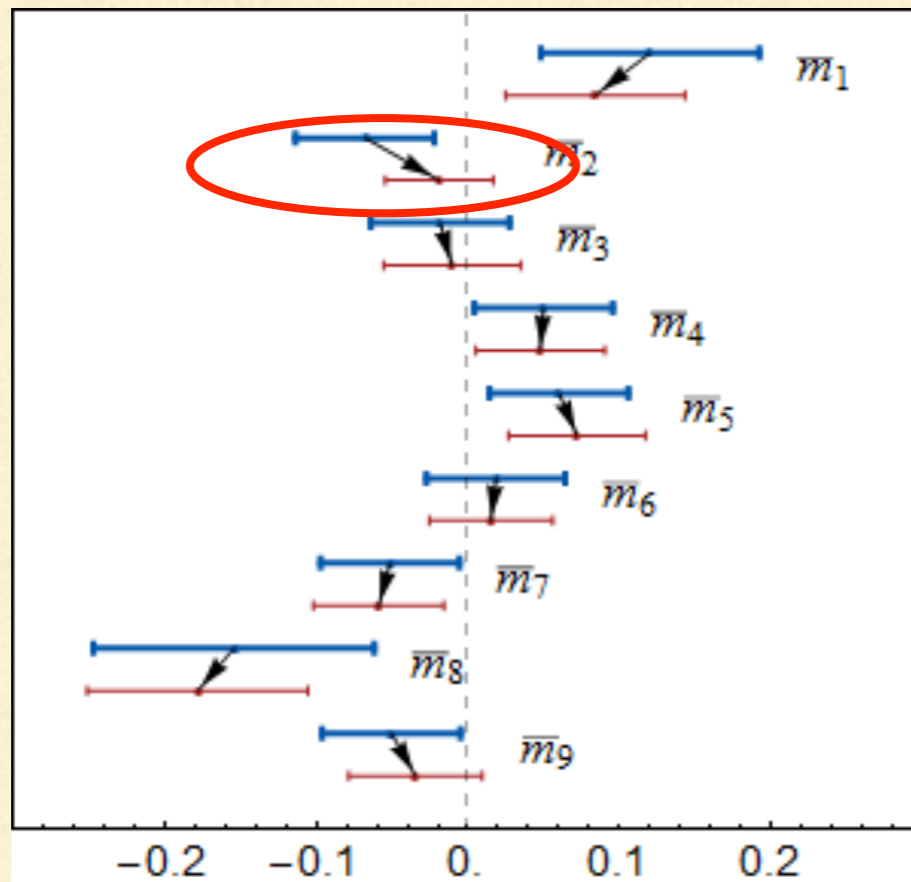
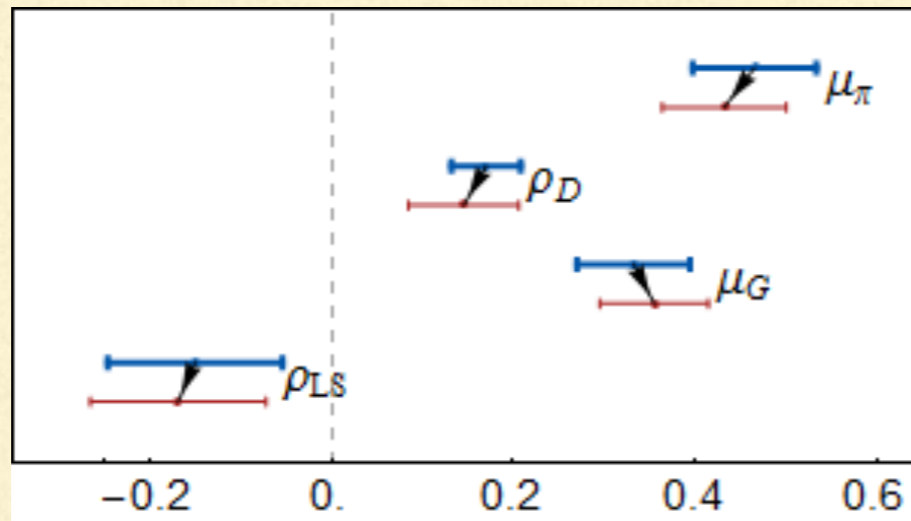
Using  $m_c(2\text{GeV})$  and including the PDG average for  $m_b$ , 1.5% uncertainty on  $V_{cb}$

$$|V_{cb}| = 42.00(64) \times 10^{-3}$$

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# SENSITIVITY TO HIGHER POWER CORRECTIONS

Moderate changes to the leading HQE m.e.



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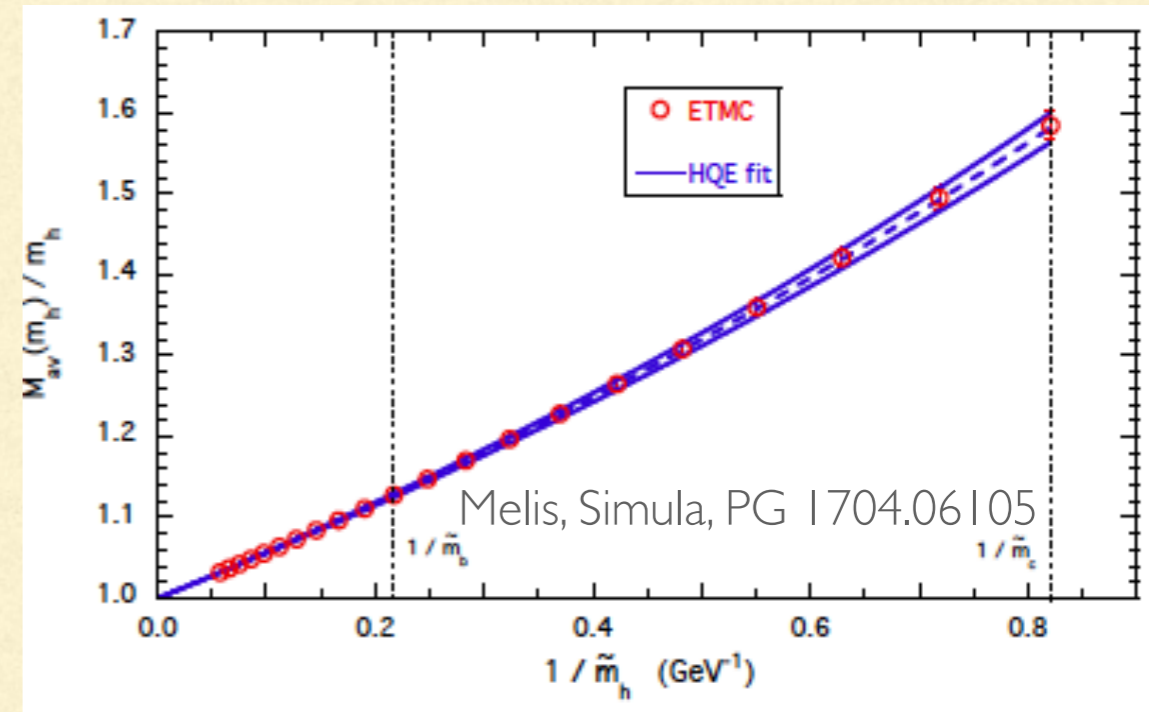
# PROSPECTS for INCLUSIVE $V_{cb}$

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- Theoretical uncertainties already dominant
  - $O(\alpha_s/m_b^3)$  calculation under way
  - $O(1/m_Q^{4,5})$  effects need further investigation but small effect on  $V_{cb}$
  - NNNLO corrections to total width feasible, needed for 1% uncertainty?
  - Electroweak (QED) corrections require attention
  - New observables in view of Belle-II: FB asymmetry proposed by S.Turczyk could be measured already by Babar and Belle now
  - **Lattice QCD** information on local matrix elements is the next frontier (eg Hashimoto method and meson masses)
-

# MESON MASSES FROM ETMC

$$M_{H_Q} = m_Q + \bar{\Lambda} + \frac{\mu_\pi^2 - a_H \mu_G^2}{2m_Q} + \dots$$



- on the lattice one can compute mesons for arbitrary quark masses  
see also Kronfeld & Simone hep-ph/0006345, 1802.04248
- We used both pseudoscalar and vector mesons
- Direct 2+1+1 simulation,  $a=0.62-0.89$  fm,  $m_\pi=210-450$  MeV, heavy masses from  $m_c$  to  $3m_c$ , ETM ratio method with extrapolation to static point.
- Kinetic scheme with cutoff at 1 GeV, good sensitivity up to  $1/m^3$  corrections

# RESULTS AND IMPLICATIONS

$$m_b^{\text{kin}}(1 \text{ GeV}) = 4.61(20) \text{ GeV}$$

$$\bar{\Lambda} = 0.552(26) \text{ GeV} ,$$

$$\mu_\pi^2 = 0.321(32) \text{ GeV}^2 ,$$

$$\mu_G^2(m_b) = 0.253(25) \text{ GeV}^2 .$$

$$\rho_D^3 - \rho_{\pi\pi}^3 - \rho_S^3 = 0.153(34) \text{ GeV}^3 ,$$

$$\rho_{\pi G}^3 + \rho_A^3 - \rho_{LS}^3 = -0.158(84) \text{ GeV}^3 .$$

10% (30%) precision on dim 5 and 6 m.e. Competitive with the moments fits?

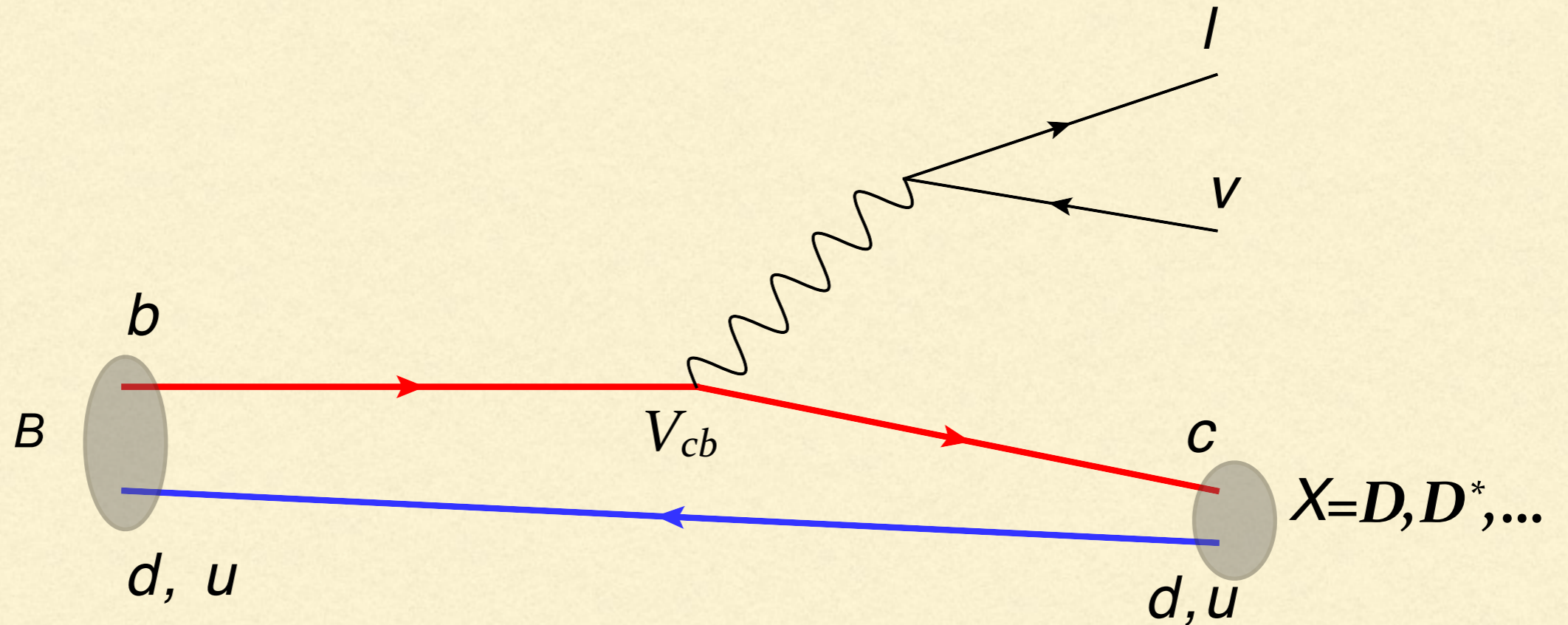
$$\mu_\pi^2|_B = \mu_\pi^2|_\infty - \frac{\rho_{\pi\pi}^3 + \frac{1}{2}\rho_{\pi G}^3}{\tilde{m}_b} + \mathcal{O}(1/\tilde{m}_b^2) ,$$

$$\mu_G^2(m_b)|_B = \mu_G^2(m_b)|_\infty + \frac{\rho_S^3 + \rho_A^3 + \frac{1}{2}\rho_{\pi G}^3}{\tilde{m}_b} + \mathcal{O}(1/\tilde{m}_b^2)$$

- comparison with fits shows that  $\rho_{\pi\pi}^3 + \frac{1}{2}\rho_{\pi G}^3 \approx -0.51(35) \text{ GeV}^3$
- since  $\rho_{\pi\pi}^3 + \rho_{\pi G}^3 + \rho_A^3 + \rho_S^3 \geq 0$
- it follows that  $\mu_G^2(m_b) \geq 0.36(8) \text{ GeV}^2$
- good consistency with fits, but only 4 combinations are determined



# EXCLUSIVE DECAYS



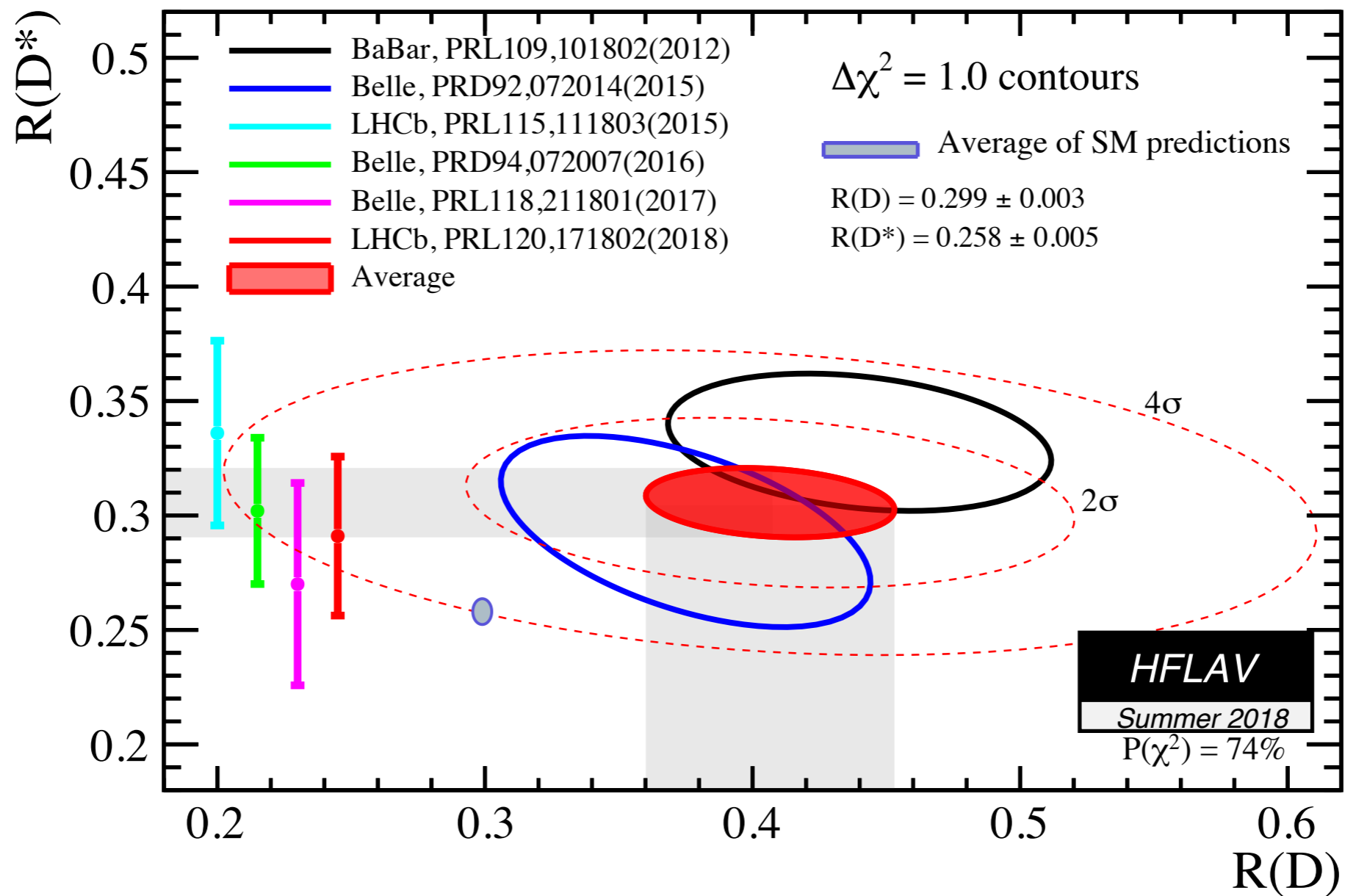
There are 1(2) and 3(4) FFs for  $D$  and  $D^*$  for light (heavy) leptons, for instance

$$\langle D | \bar{c} \gamma^\mu b | B \rangle \propto f_{+,0}(q^2)$$

Information on FFs comes from LQCD (at high  $q^2$ ), LCSR (at low  $q^2$ ), exp...

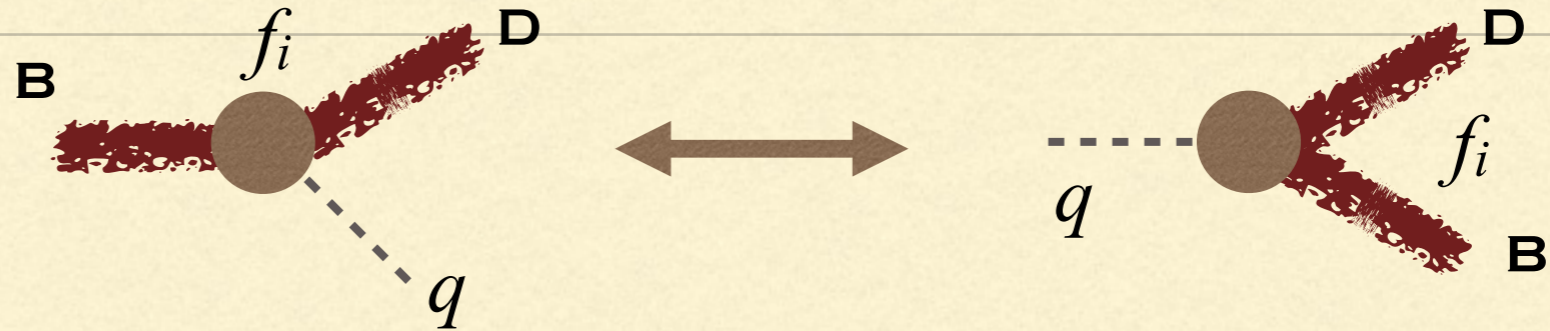
# VIOLATION OF LFU WITH TAUS

$$R\left(D^{(*)}\right) = \frac{\mathcal{B}\left(B \rightarrow D^{(*)} \tau \nu_{\tau}\right)}{\mathcal{B}\left(B \rightarrow D^{(*)} \ell \nu_{\ell}\right)}$$



# MODEL INDEPENDENT FF PARAMETRIZATION

**CROSSING +  
ANALITYCITY**

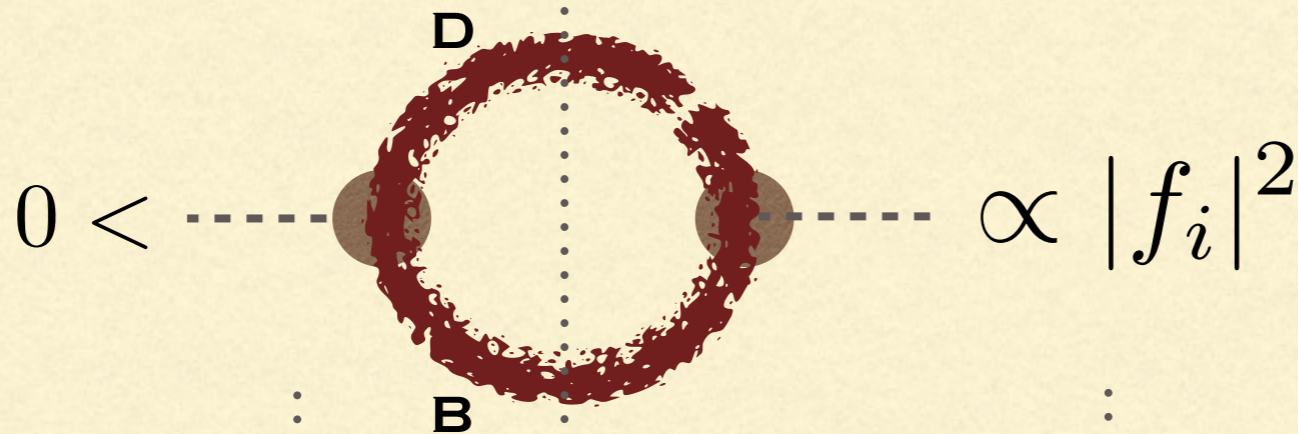


**PHYSICAL SEMILEPTONIC REGION**

$$m_\ell^2 \leq q^2 \leq (m_B - m_D)^2$$

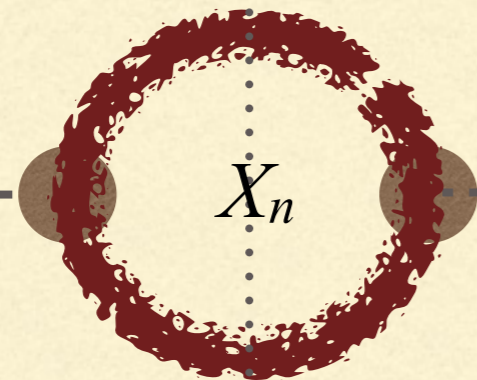
**2-POINT CORRELATOR CUTS**

$$q^2 \geq (m_B + m_D)^2$$

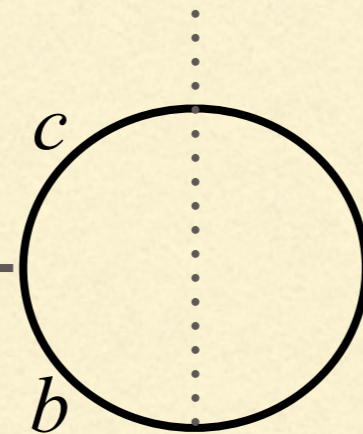


**POLES AT  $q^2 = m_{Bc}^2$  ETC**

$\sum_n$



=



**+PERT CORR  
(+CONDENSATES)**

**USING QUARK-HADRON DUALITY**

# UNITARITY CONSTRAINTS

$$z = \frac{\sqrt{1+w} - \sqrt{2}}{\sqrt{1+w} + \sqrt{2}} \quad w = \frac{m_B^2 + m_{D^*}^2 - q^2}{2m_B m_{D^*}} \quad 0 < z < 0.056$$

$$f_i(z) = \frac{\sqrt{\chi_i}}{P_i(z)\phi_i(z)} \sum_{n=0}^{\infty} a_n^i z^n$$

**BGL BOYD  
GRINSTEIN  
LEBED 1997**

BLASCHKE FACTORS  
REMOVE POLES  
BELOW THRESHOLD

PHASE SPACE  
FACTORS

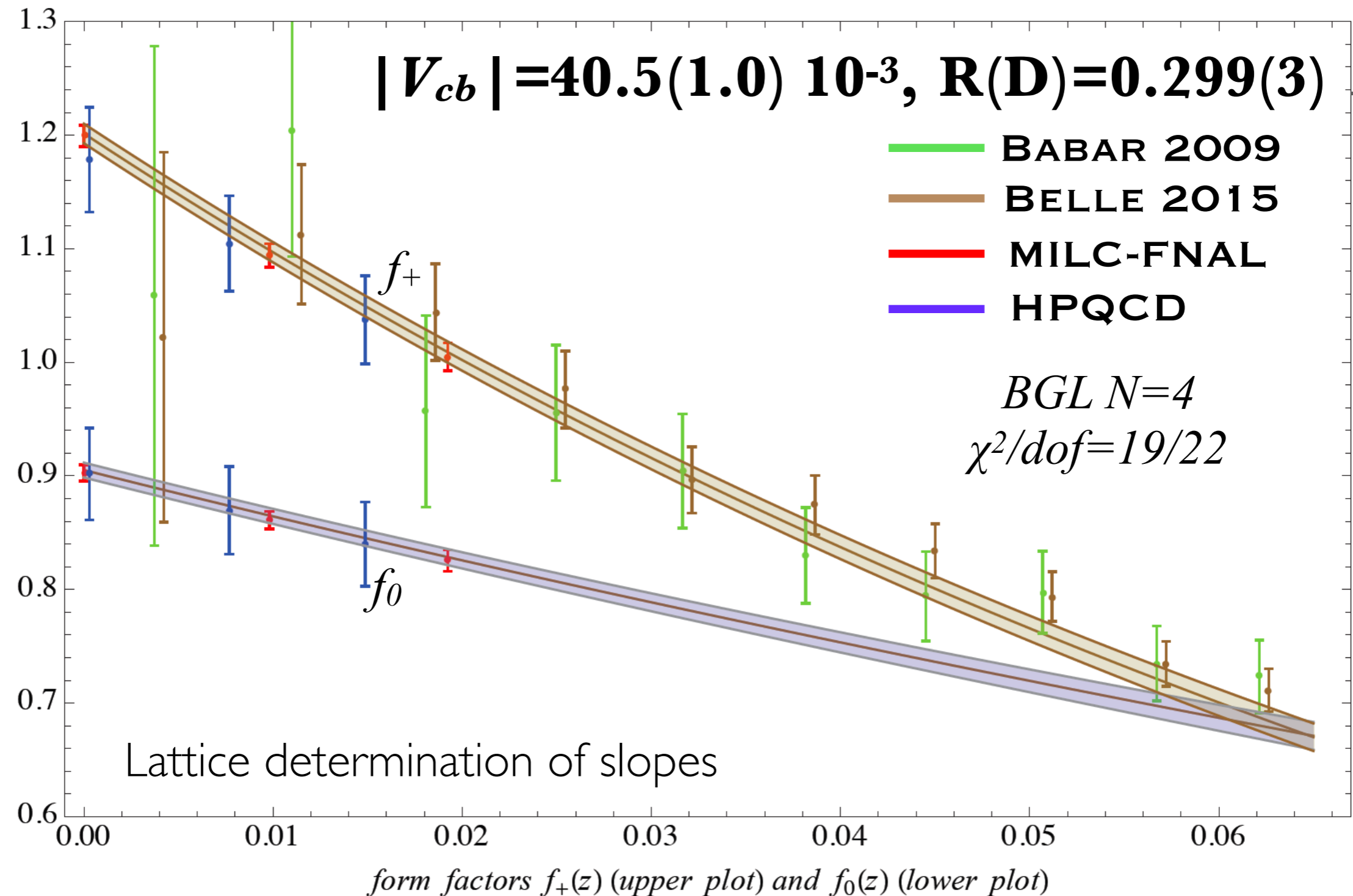
TRUNCATED  
AT ORDER N

$$\sum_{n=0}^N (a_n^i)^2 < 1$$

**WEAK UNITARITY  
CONSTRAINTS**  
assuming saturation  
by single hadron channel

# LATTICE + EXP FIT for $B \rightarrow D/\nu$

Bigi, PG 1606.08030



2.4 $\sigma$   
from exp

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# Strong Unitarity Bounds

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Information on other channels makes the bounds tighter.

HQS implies that all  $B^{(*)} \rightarrow D^{(*)}$  ff either vanish or are prop to the Isgur-Wise function: any ff  $F_j$  can be expressed as

$$F_j(z) = \left( \frac{F_j}{F_i} \right)_{\text{HQET}} F_i(z)$$

which leads to (hyper)ellipsoids in the  $a_i$  space for S, P, V, A currents

**Caprini Lellouch Neubert (CLN, 1998)** exploit NLO HQET relations between form factors + QCD sum rules to **reduce parameters** for ff... up to < 2% uncertainty, never included in exp analysis.

$$h_{A1}(z) = h_{A1}(1) [1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3]$$

$$R_1(w) = R_1(1) - 0.12(w - 1) + 0.05(w - 1)^2,$$

$$R_2(w) = R_2(1) + 0.11(w - 1) - 0.06(w - 1)^2,$$

**nice: only 2+2 parameters! but theoretical uncertainty?**

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# $|V_{cb}|$ from $B \rightarrow D^* l \nu$ (traditional way)

So far LQCD gives only light lepton FF at zero recoil,  $w=1$ , where rate vanishes. Experimental results must therefore be **extrapolated to zero-recoil**

Exp error only  $\sim 1.3\%$ :  $\mathcal{F}(1)\eta_{ew}|V_{cb}| = 35.61(45) \times 10^{-3}$   
(HFLAV extrapolation with CLN parameterization)

Two unquenched lattice calculations

$$\mathcal{F}(1) = 0.906(13)$$

$$\mathcal{F}(1) = 0.895(26)$$

Bailey et al 1403.0635 (FNAL/MILC)

Harrison et al 1711.11013 (HPQCD)

Using their average  $0.904(12)$ :

$$|V_{cb}| = 39.13(75) \cdot 10^{-3}$$

$\sim 2.9\sigma$  or  $\sim 7\%$  from inclusive determination  $42.00(65) \cdot 10^{-3}$

PG, Healey, Turczyk 2016

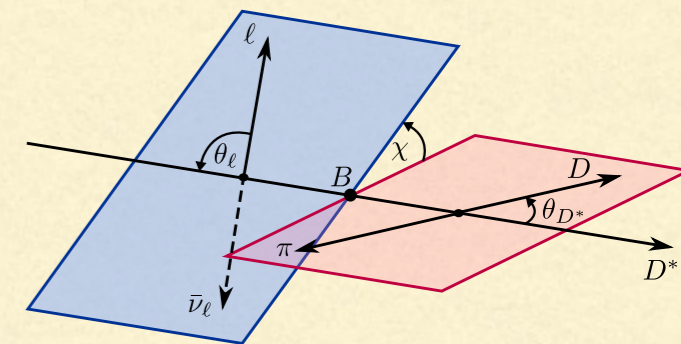
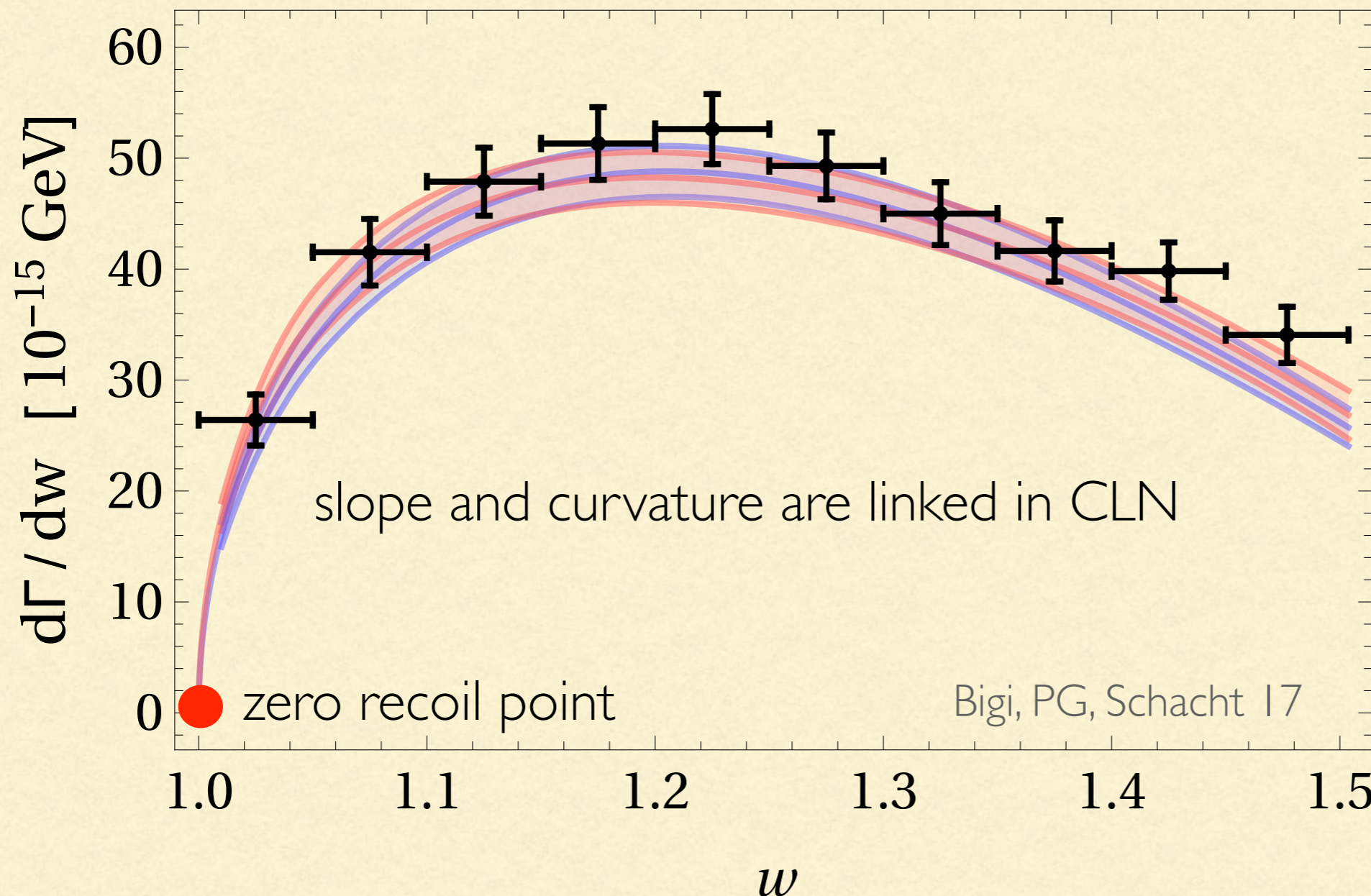
**Heavy quark sum rules**  $\mathcal{F}(1) < 0.925$  and estimate of inelastic contribution  $\mathcal{F}(1) \approx 0.86$

Mannel, Uraltsev, PG, 2012

# 2017 preliminary Belle analysis

1702.01521

$w$  and angular deconvoluted distributions (independent of parameterization).  
All previous analyses are CLN based.



- CLN + LCSR
- BGL + LCSR

see also  
Kobach, Grinstein

$$w = \frac{m_B^2 + m_{D^*}^2 - q^2}{2m_B m_{D^*}}$$

Bands show two parametrizations both fitting data well, with 6% different  $V_{cb}$



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# HQS breaking in FF relations

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**HQET:**  $F_i(w) = \xi(w) \left[ 1 + c_{\alpha_s}^i \frac{\alpha_s}{\pi} + c_b^i \epsilon_b + c_c^i \epsilon_c + \dots \right] \quad \epsilon_{b,c} = \bar{\Lambda}/2m_{b,c}$

$c_{b,c}$  can be computed using subleading IW functions from QCD sumrules  
Neubert, Ligeti, Nir 1992-93, Bernlochner et al 1703.05330

**RATIOS**  $\frac{F_j(w)}{V_1(w)} = A_j \left[ 1 + B_j w_1 + C_j w_1^2 + D_j w_1^3 + \dots \right] \quad w_1 = w - 1$

Roughly  $\epsilon_c \sim 0.25$ ,  $\epsilon_c^2 \sim 0.06$  but coefficients??

In a few cases we can compare these ratios with recent lattice results:  
there are 5-13% differences, always  $>$  NLO correction. For ex.:

$$\frac{A_1(1)}{V_1(1)} \Big|_{\text{LQCD}} = 0.857(15), \quad \frac{A_1(1)}{V_1(1)} \Big|_{\text{HQET}} = 0.966(28)$$

Looking at NLO HQET corrections, NNLO can be sizeable, naturally  $O(10-20)\%$

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# Updating Strong Unitarity Bounds

Fit to new Belle's data + total branching ratio (world average) in 1707.09509 with UPDATED strong unit. bounds (including uncertainties & LQCD inputs)

for reference CLN fit  $|V_{cb}|=0.0392(12)$

BGL Fit:	Data + lattice	Data + lattice + LCSR	Data + lattice	Data + lattice + LCSR
unitarity	weak	weak	strong	strong
$\chi^2/\text{dof}$	28.2/33	32.0/36	29.6/33	33.1/36
$ V_{cb} $	0.0424 (18)	0.0413 (14)	0.0415 (13)	0.0406 ( $^{+12}_{-13}$ )

LCSR: Light Cone Sum Rule results from Faller, Khodjamirian, Klein, **Mannel**, 0809.0222

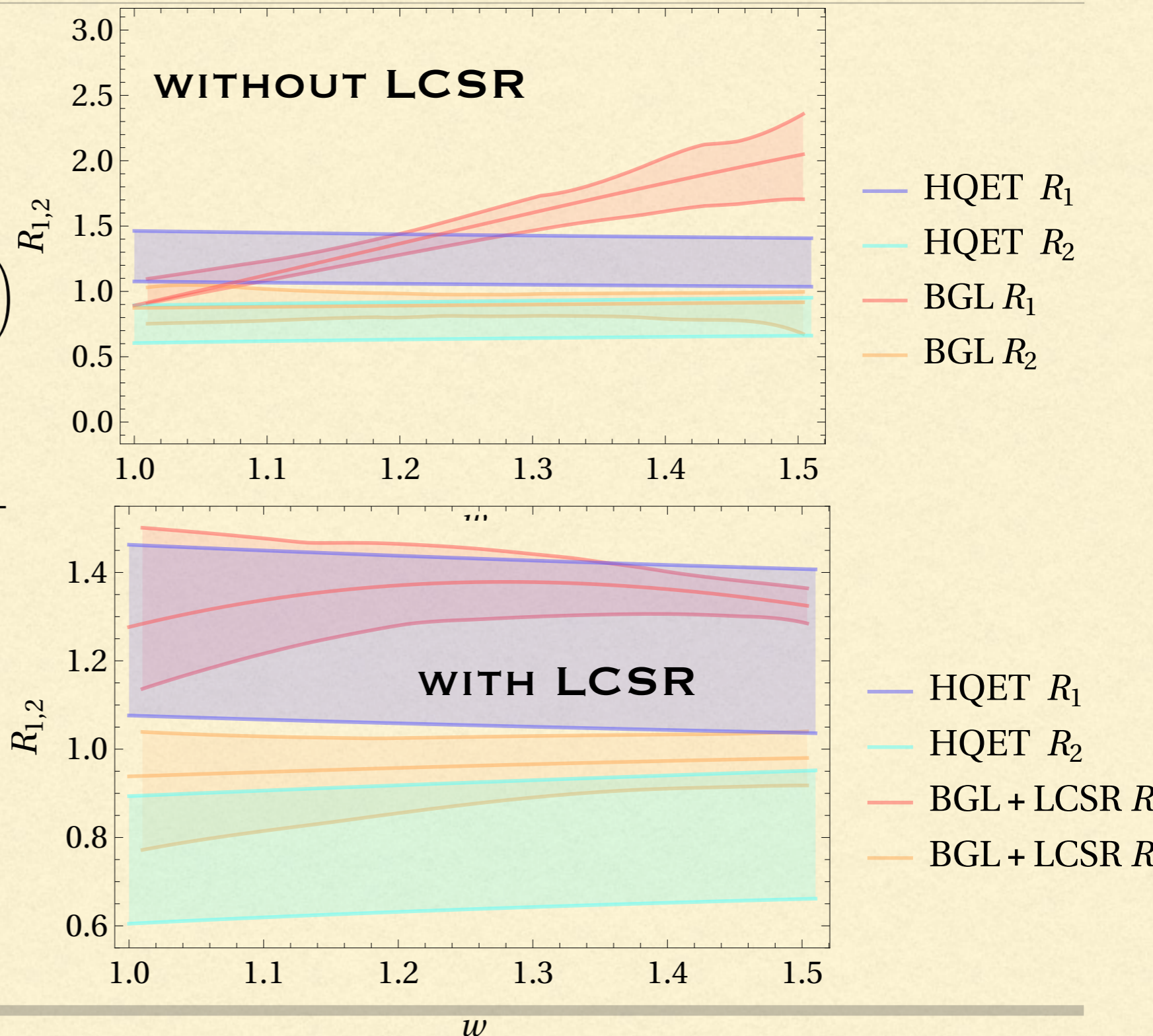
**Using strong unitarity bounds brings BGL closer to CLN and reduce uncertainties but 3.5-5% difference persists**

# CONSISTENCY WITH HQET

$$R_1(w) = \frac{V_4(w)}{A_1(w)}$$

$$R_2(w) = \frac{w-r}{w-1} \left( 1 - \frac{1-r}{w-r} \frac{A_5(w)}{A_1(w)} \right)$$

Comparison of  $R_{1,2}$  from BGL fit vs HQET+QCD sum rule predictions (with parametric + 15% th uncertainty)



# NEW BELLE UNTAGGED RESULT

## Results and Outlook @Belle

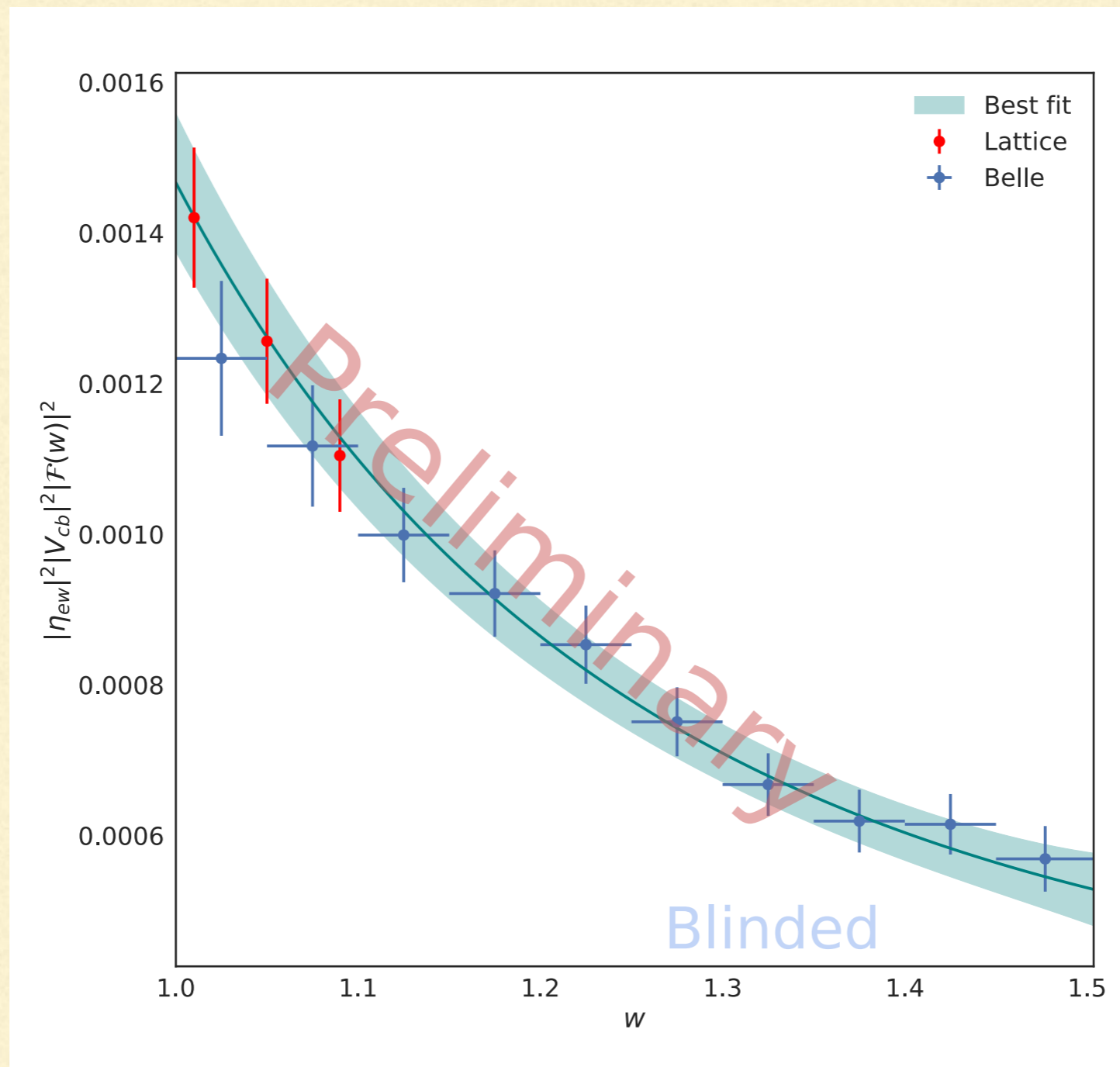
Link	Channel	Tag	$ V_{cb}  \times 10^3$ (CLN)	$ V_{cb}  \times 10^3$ (BGL)	Unfold	Notes
<a href="#">Phys.Rev. D82 112007</a>	$D^* \ell^- \bar{\nu}_\ell$	No	$35.5 \pm 1.5$			
<a href="#">1809.03290</a>	$D^* \ell^- \bar{\nu}_\ell$	No	$38.4 \pm 0.9$	$42.5 \pm 1.0$	Soon	
<a href="#">1702.01521</a>	$D^* \ell^- \bar{\nu}_\ell$	Had.	$37.4 \pm 1.3$		Yes	Soon: Separate results $\ell = e$ and $\ell = \mu$
<a href="#">Phys.Rev. D93 no.3, 032006</a>	$D \ell^- \bar{\nu}_\ell$	Had.	$39.9 \pm 1.3$	$40.8 \pm 1.1$		

cf. current PDG:  $V_{cb, \text{incl.}} = (42.2 \pm 0.8) \times 10^{-3}$

Full Belle data, most precise result to date.  
 Parametrization dependence is not linked to  
 a particular dataset and has nothing to do with unfolding

# BLINDED FNAL-MILC RESULTS

First unquenched calculation of  $B \rightarrow D^*$  form factors at non zero recoil



Vaquero, talk at CKM 2018

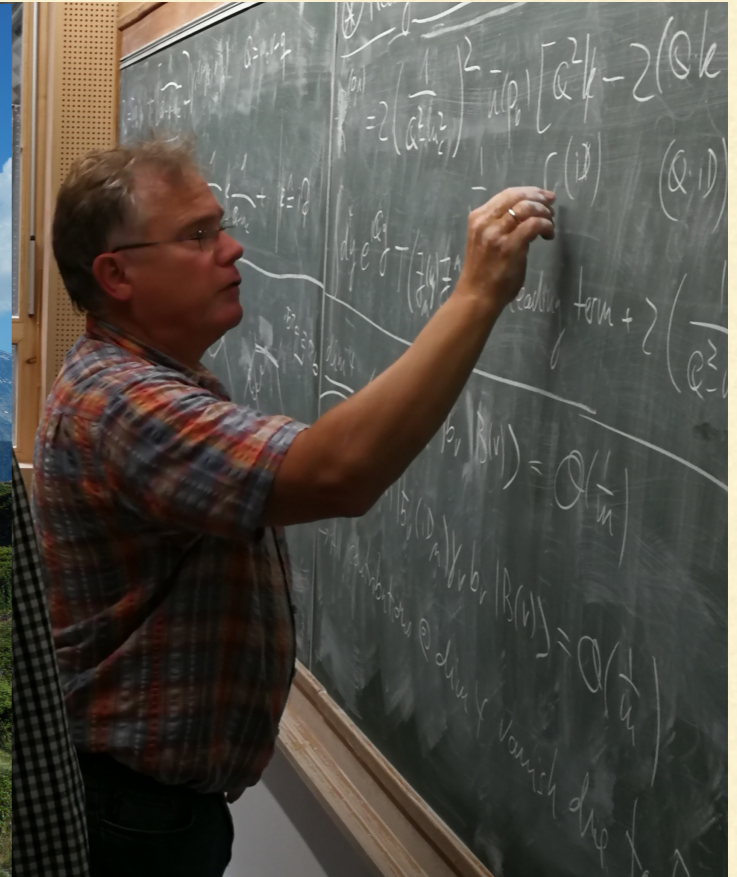
combined fit with Belle spectrum

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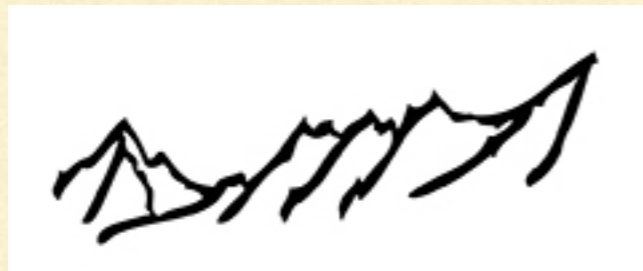
# CONCLUSIONS

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- The efforts to improve the theory of inclusive semileptonic B decays continue. No sign of inconsistency so far, several ways to improve and decrease uncertainty.
  - We understand better higher power contributions and have checked their impact on the fit. They seem to have been tamed. LQCD determination of HQE parameters from masses is promising
  - The choice of parametrization has a surprisingly large effect on the extraction of  $V_{cb}$  from  $B \rightarrow D^* l \nu$ .
  - The  $V_{cb}$  puzzle is not yet over. The exclusive determination was biased and uncertainties underestimated, but we need (unblinded) LQCD results to understand the level of agreement with the inclusive one.
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Les Houches 2017 summer school  
EFT in particle physics and cosmology



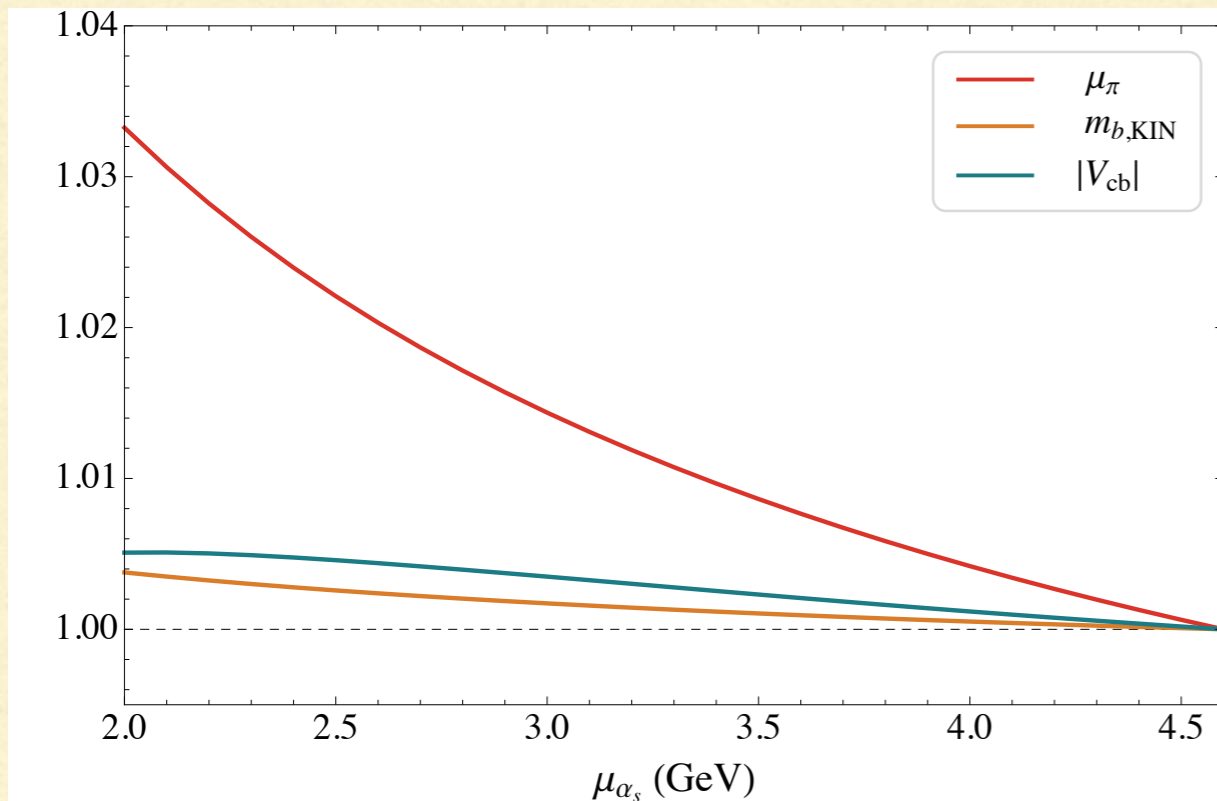
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**BACKUP**

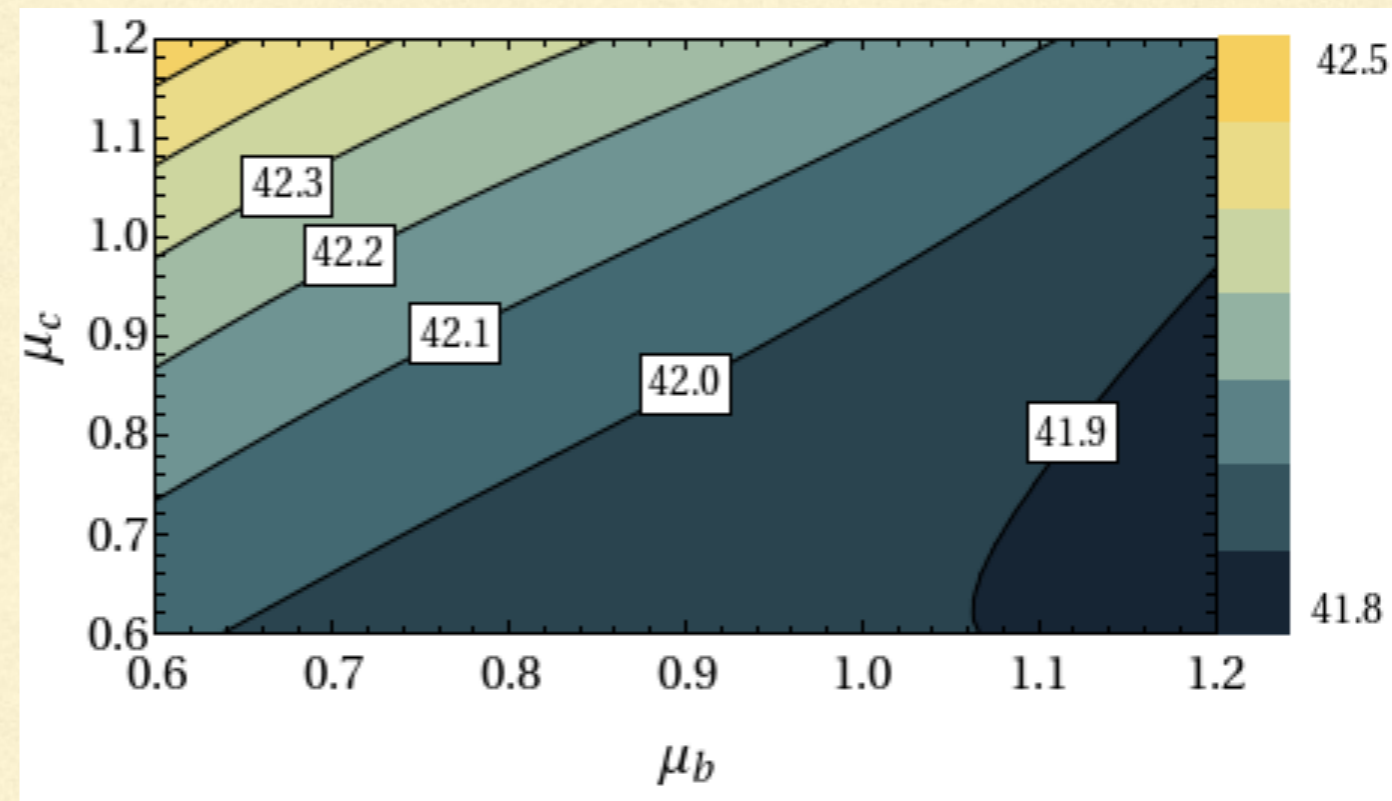
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# SCALE DEPENDENCE

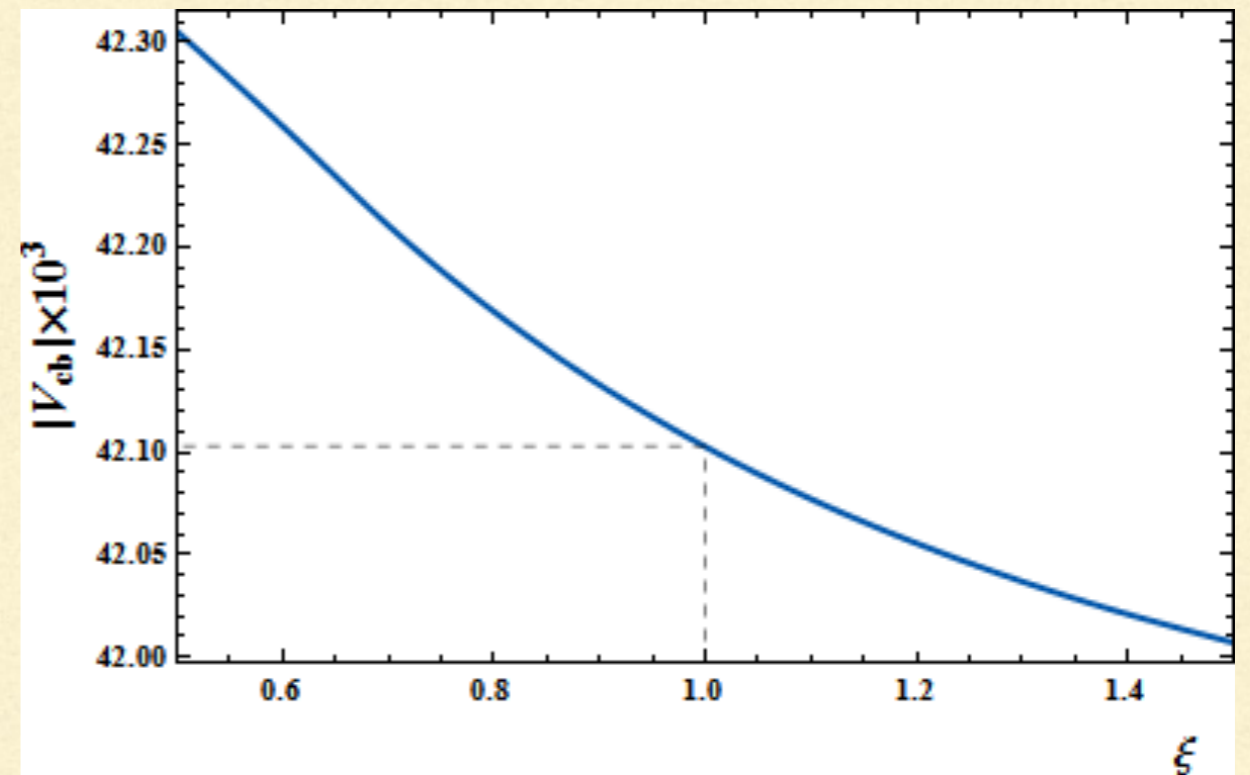
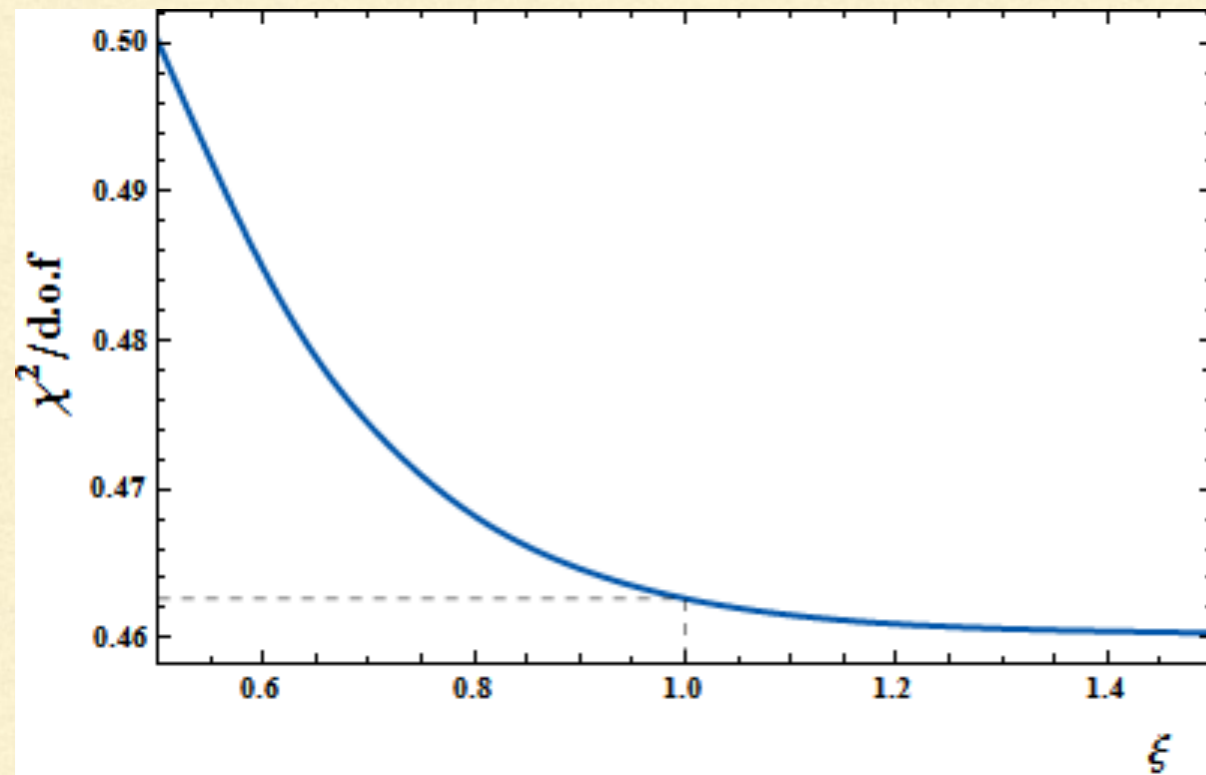


dependence on the scale of  $\alpha_s$



dependence on the kin scale of  $m_c$  and  $m_b$

# DEPENDENCE ON LLSA UNCERTAINTY



if we rescale all LLSA uncertainties by a factor  $\xi$  the results change very little.  
Similar tiny deviations if we vary  $\epsilon$  between 0.3 and 0.5 GeV

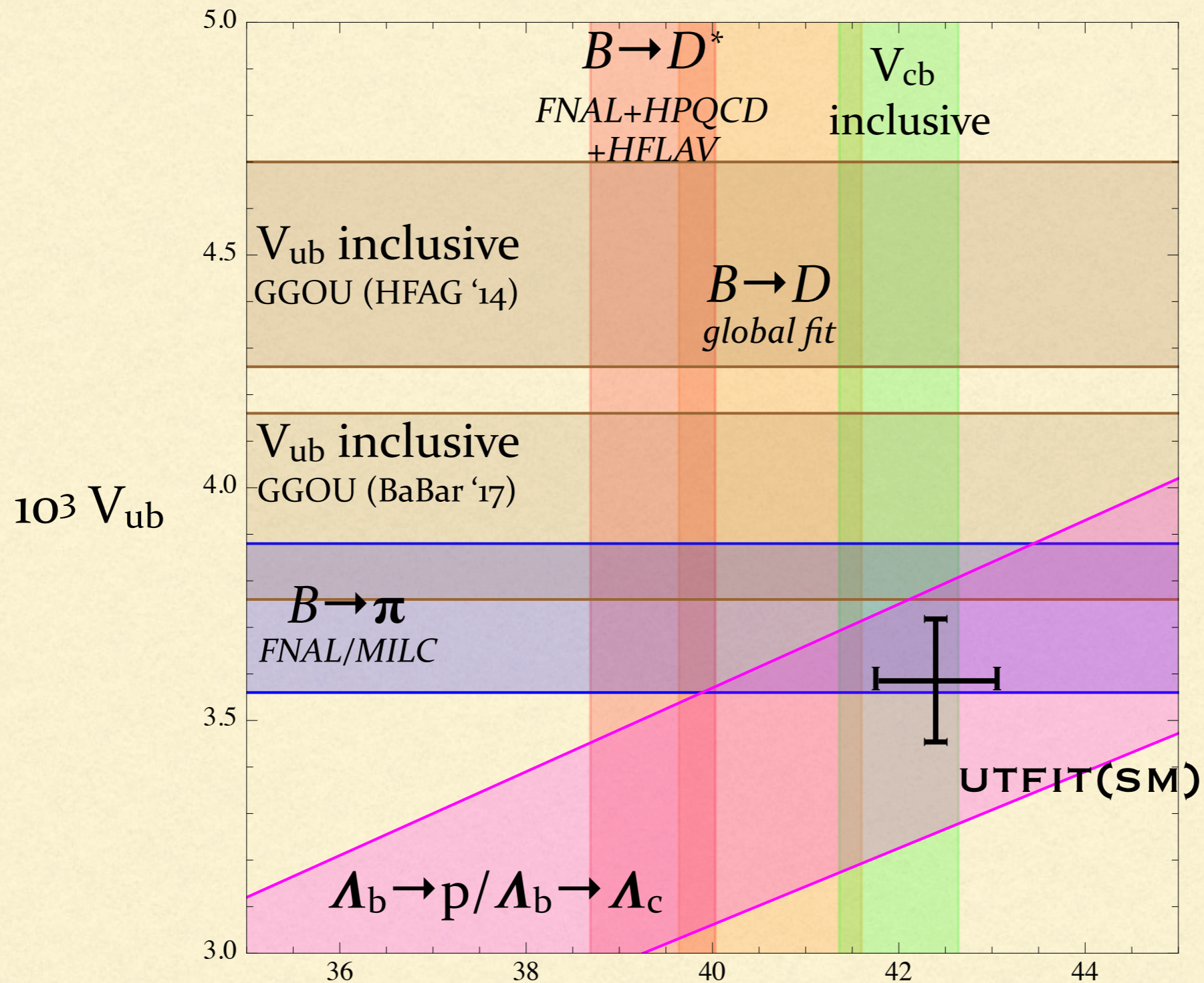
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# RECENT DEVELOPMENTS

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- New Belle  $B \rightarrow D^* \ell \nu$  tagged analysis (deconvoluted spectra)
  - Strong dependence of  $V_{cb}$  on FF parametrisation (CLN vs BGL)
  - BGL alone may be equally misleading: role of HQS constraints
  - New Belle  $B \rightarrow D^* \ell \nu$  tagged analysis with both CLN and BGL
  - Upcoming FNAL/MILC results on all  $B \rightarrow D^* \ell \nu$  FFs at non-zero recoil
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# STATUS of $V_{cb}$ and $V_{ub}$



The size of NLO corrections varies strongly. Some ff are protected by Luke's theorem (no  $1/m$  corrections at zero recoil), others are linked by kinematic relations at max recoil to those protected

**NNLO corrections can be sizeable and are naturally  $O(10-20)\%$**

$$\frac{F_j(w)}{V_1(w)} = A_j [1 + B_j w_1 + C_j w_1^2 + D_j w_1^3 + \dots]$$

$F_j$	$A_j$	$B_j$	$C_j$	$D_j$
$S_1$	1.0208	-0.0436	0.0201	-0.0105
$S_2$	1.0208	-0.0749	-0.0846	0.0418
$S_3$	1.0208	0.0710	-0.1903	0.0947
$P_1$	1.2089	-0.2164	0.0026	-0.0007
$P_2$	0.8938	-0.0949	0.0034	-0.0009
$P_3$	1.0544	-0.2490	0.0030	-0.0008
$V_1$	1	0	0	0
$V_2$	1.0894	-0.2251	0.0000	0.0000
$V_3$	1.1777	-0.2651	0.0000	0.0000
$V_4$	1.2351	-0.1492	-0.0012	0.0003
$V_5$	1.0399	-0.0440	-0.0014	0.0004
$V_6$	1.5808	-0.1835	-0.0009	0.0003
$V_7$	1.3856	-0.1821	-0.0011	0.0003
$A_1$	0.9656	-0.0704	-0.0580	0.0276
$A_2$	0.9656	-0.0280	-0.0074	0.0023
$A_3$	0.9656	-0.0629	-0.0969	0.0470
$A_4$	0.9656	-0.0009	-0.1475	0.0723
$A_5$	0.9656	0.3488	-0.2944	0.1456
$A_6$	0.9656	-0.2548	0.0978	-0.0504
$A_7$	0.9656	-0.0528	-0.0942	0.0455

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# HQS breaking in FF relations

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**HQET:**  $F_i(w) = \xi(w) \left[ 1 + c_{\alpha_s}^i \frac{\alpha_s}{\pi} + c_b^i \epsilon_b + c_c^i \epsilon_c + \dots \right] \quad \epsilon_{b,c} = \bar{\Lambda}/2m_{b,c}$

$c_{b,c}$  can be computed using subleading IW functions from QCD sumrules  
Neubert, Ligeti, Nir 1992-93, Bernlochner et al 1703.05330

**RATIOS**  $\frac{F_j(w)}{V_1(w)} = A_j \left[ 1 + B_j w_1 + C_j w_1^2 + D_j w_1^3 + \dots \right] \quad w_1 = w - 1$

Roughly  $\epsilon_c \sim 0.25$ ,  $\epsilon_c^2 \sim 0.06$  but coefficients??

In a few cases we can compare these ratios with recent lattice results:  
there are 5-13% differences, always  $>$  NLO correction. For ex.:

$$\frac{A_1(1)}{V_1(1)} \Big|_{\text{LQCD}} = 0.857(15),$$

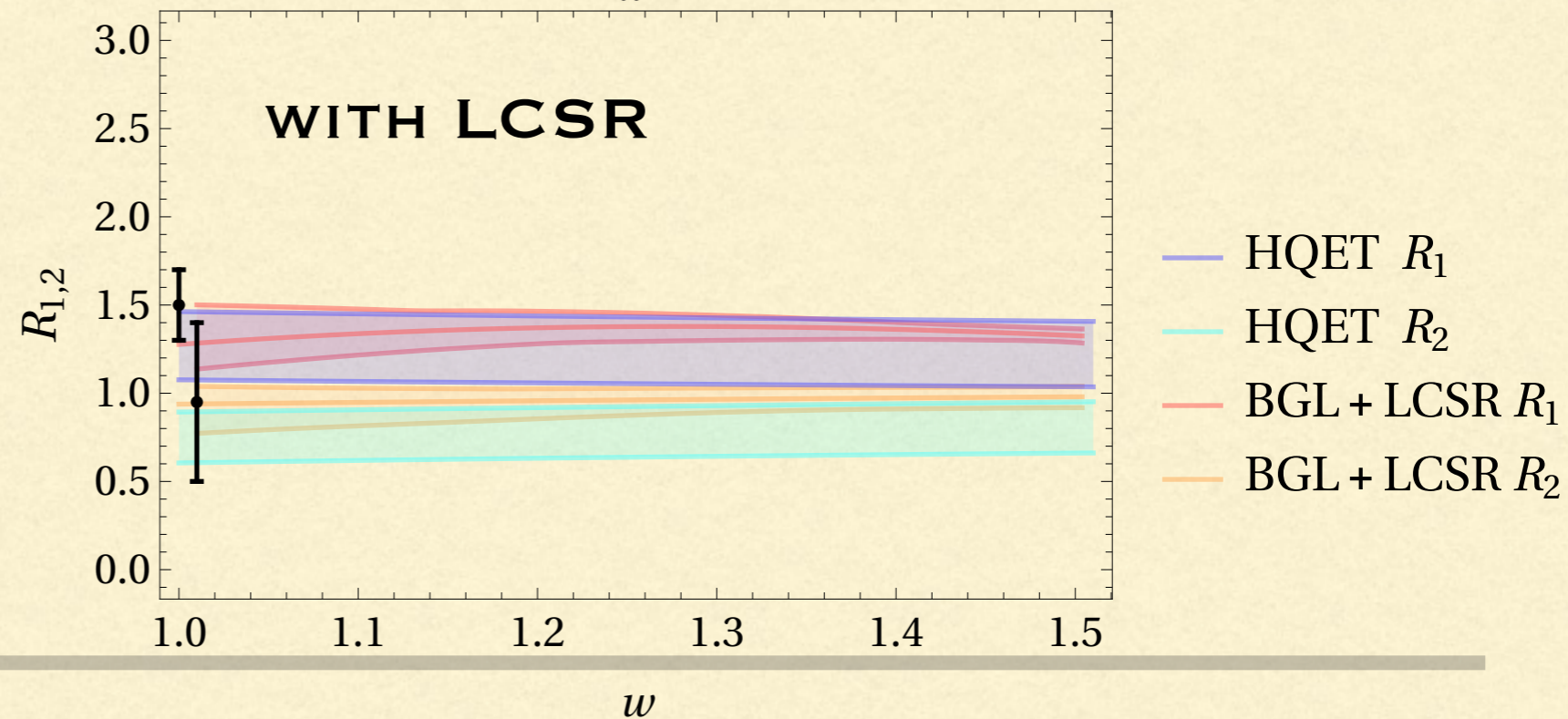
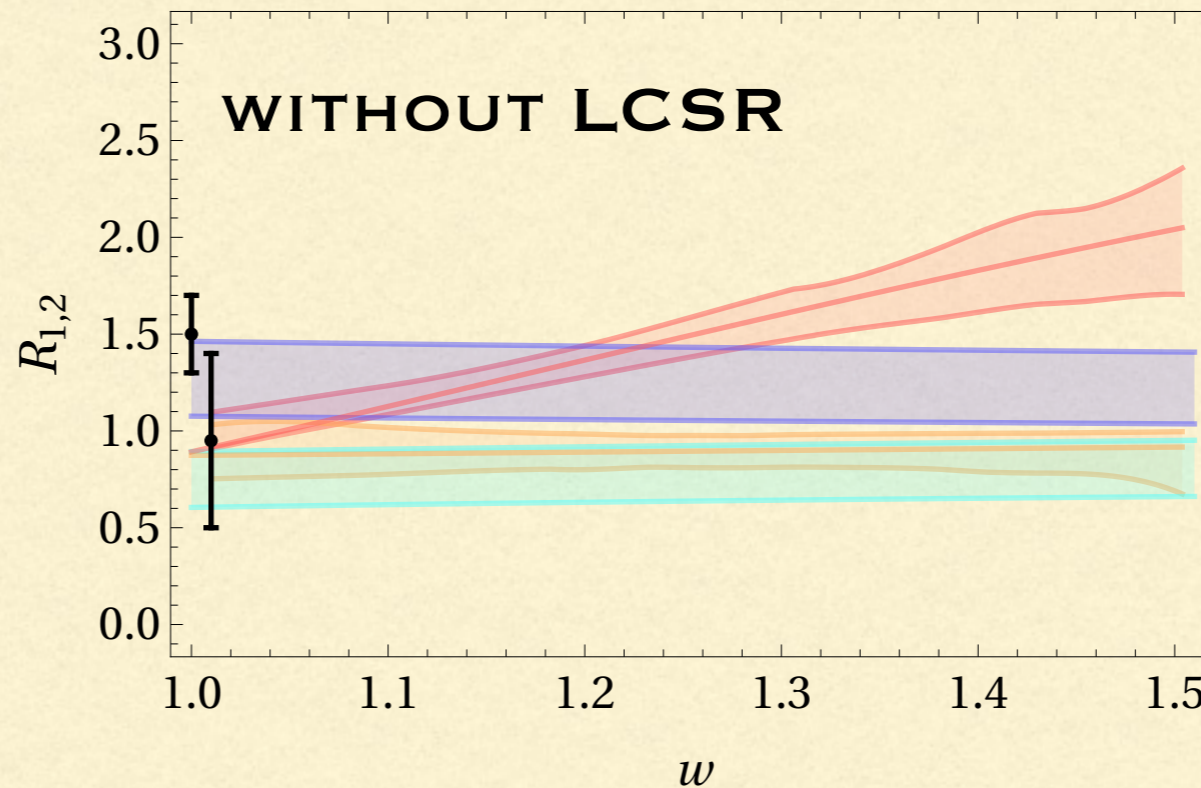
$$\frac{A_1(1)}{V_1(1)} \Big|_{\text{HQET}} = 0.966(28)$$

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# CONSISTENCY WITH HQET

Comparison of  $R_{1,2}$  from BGL fit vs HQET+QCD sum rule predictions (with parametric + 15% th uncertainty)

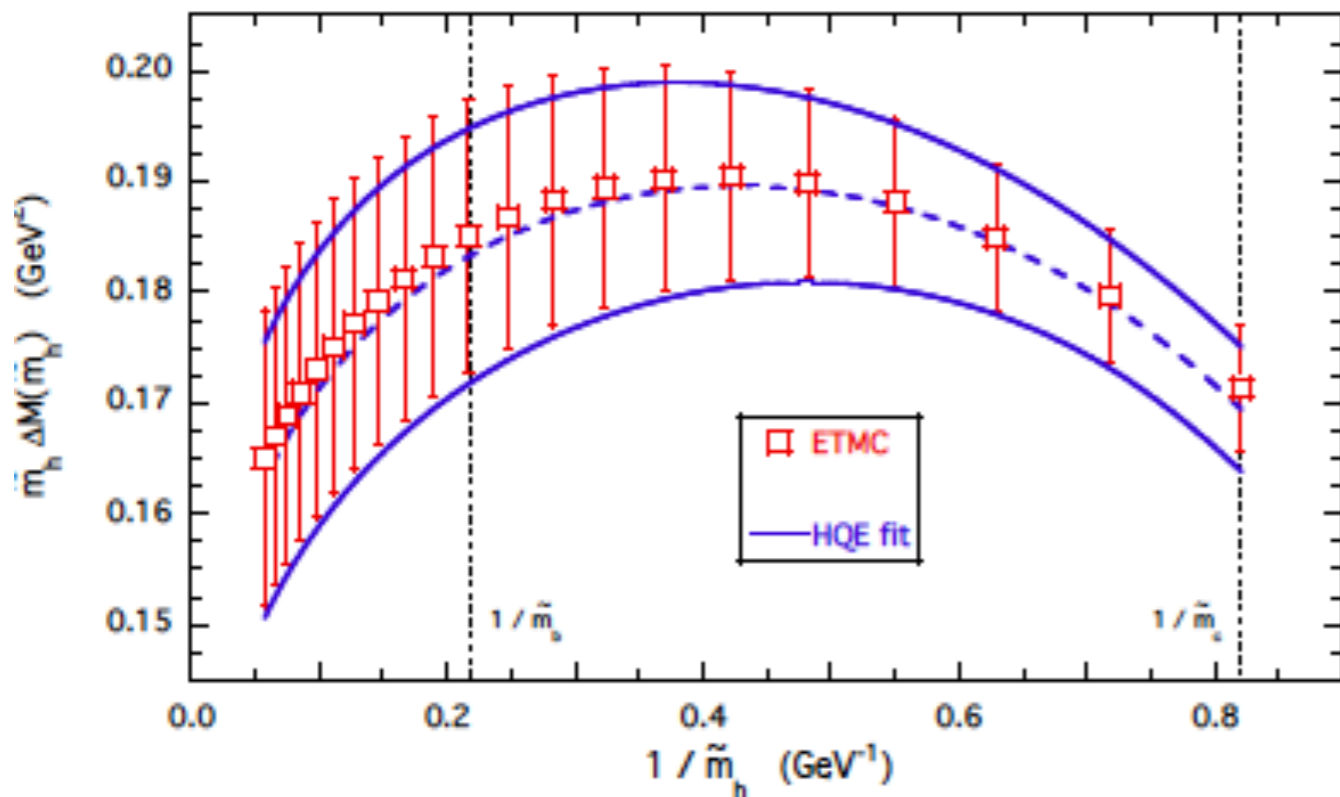
black points from preliminary FNAL-MILC calculation according to Bernlochner et al 1708.07134 (before continuum and chiral extrapolations...)



# HQE expansion parameters from $\Delta M(\tilde{m}_h)$

The chain equation can be extended beyond the  $b$  quark point, we choose  $n \sim 20$  ( $\tilde{m}_h \simeq 4\tilde{m}_b$ ),

$$\tilde{m}_h^{(n)} \frac{\Delta M(\tilde{m}_h^{(n)})}{c_G(\tilde{m}_h^{(n)}, \tilde{m}_b)} = \tilde{m}_c \frac{\Delta M(\tilde{m}_c)}{c_G(\tilde{m}_c, \tilde{m}_b)} \prod_{i=2}^n \bar{y}_{\Delta M}(\tilde{m}_h^{(i)}, \lambda).$$



We apply a correlated fit based on the HQE expansion

$$\tilde{m}_h \Delta M(\tilde{m}_h) = \frac{2}{3} c_G(\tilde{m}_h, \tilde{m}_b) \mu_G^2(\tilde{m}_b) + \frac{\rho_{\pi G}^3 + \rho_A^3 - \rho_{LS}^3}{3\tilde{m}_h} + \frac{\Delta\sigma^4}{\tilde{m}_h^4}.$$

Results of the dim-6 fit  $\tilde{m}_h > \tilde{m}_c$

$$\begin{aligned} \mu_G^2(\tilde{m}_b) &= 0.250 (18)_{\text{stat}} (8)_{\text{syst}} \text{ GeV}^2 \\ \rho_{\pi G}^3 + \rho_A^3 - \rho_{LS}^3 &= -0.143 (57)_{\text{stat}} (21)_{\text{syst}} \text{ GeV}^3 \end{aligned}$$

Results of the dim-6 fit  $\tilde{m}_h > 2\tilde{m}_c$

$$\begin{aligned} \mu_G^2(\tilde{m}_b) &= 0.254 (22) \text{ GeV}^2 \\ \rho_{\pi G}^3 + \rho_A^3 - \rho_{LS}^3 &= -0.158 (70) \text{ GeV}^3 \end{aligned}$$

Results of the dim-7 fit

$$\begin{aligned} \mu_G^2(\tilde{m}_b) &= 0.254 (20)_{\text{stat}} (9)_{\text{syst}} \text{ GeV}^2 \\ \rho_{\pi G}^3 + \rho_A^3 - \rho_{LS}^3 &= -0.173 (74)_{\text{stat}} (25)_{\text{syst}} \text{ GeV}^3 \\ \Delta\sigma^4 &= 0.0092 (58)_{\text{stat}} (14)_{\text{syst}} \text{ GeV}^4 \end{aligned}$$