

How to discover charm CP violation

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Heavy Quarks through the Looking Glass
Siegen, 5 October 2018

Today is Thomas' 60.003th birthday!

I met Thomas for the first time in Munich in 1992, where he was introduced to us as an expert on “ $1/m$ expansion”



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In that year, Robert Fleischer and I, both beginning PhD students, started to learn HQET, but soon drifted off into the worlds of penguins and boxes, respectively.

According to **INSPIRE** the papers co-authored by Thomas and me have collected **491 citation** on average.

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All the best for the next **39.997** years!

- 1 CP violation in D decays
- 2 How not to discover charm CP violation
- 3 How to discover charm CP violation
- 4 How to really discover charm CP violation
- 5 Summary

I discuss hadronic two-body weak decays of D^+ , D^0 , D_s^+ mesons.

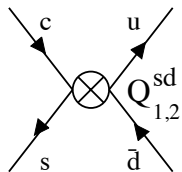
$$D^+ \sim c\bar{d}, \quad D^0 \sim c\bar{u}, \quad D_s^+ \sim c\bar{s},$$

Examples: $D^+ \rightarrow \bar{K}^0\pi^+$, $D^0 \rightarrow \pi^+\pi^-$, $D^+ \rightarrow K^0\pi^+$.

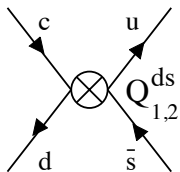
Decays are classified in terms of powers of the **Wolfenstein parameter**

$$\lambda \simeq |V_{us}| \simeq |V_{cd}| \simeq 0.22.$$

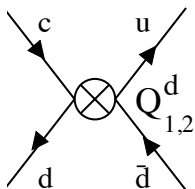
$$\text{Amplitude } A \propto \begin{cases} \lambda^0 & \text{Cabibbo-favoured} \\ \lambda^1 & \text{singly Cabibbo-suppressed} \\ \lambda^2 & \text{doubly Cabibbo-suppressed} \end{cases}$$



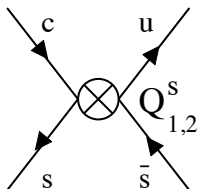
Cabibbo-favoured (CF), $A \propto \lambda^0$



doubly Cabibbo-suppressed (DCS), $A \propto \lambda^2$



singly Cabibbo-suppressed (SCS), $A \propto \lambda^1$



Number of D^+ , D^0 , D_s^+ decay modes:

- 4 Cabibbo-favoured,
- 5 doubly Cabibbo-suppressed,
- 8 singly Cabibbo-suppressed.

In the **SCS** amplitudes three CKM structures appear:

$\lambda_d = V_{cd}^* V_{ud}$, $\lambda_s = V_{cs}^* V_{us}$, $\lambda_b = V_{cb}^* V_{ub}$ and CKM unitarity
 $\lambda_d + \lambda_s + \lambda_b = 0$ is invoked to eliminate one of these.

Commonly used

$$A^{\text{SCS}} \equiv \lambda_{sd} A_{sd} - \frac{\lambda_b}{2} A_b$$

with

$$\lambda_{sd} = \frac{\lambda_s - \lambda_d}{2} \quad \text{and} \quad -\frac{\lambda_b}{2} = \frac{\lambda_s + \lambda_d}{2}$$

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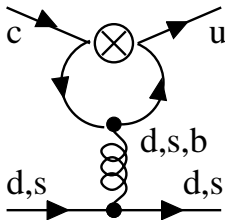
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In view of $|\lambda_b|/|\lambda_{sd}| \sim 10^{-3}$ only A_{sd} is relevant for branching ratios.

Penguin loop contributions to A_{sd} are GIM-suppressed (naively: $\propto (m_s^2 - m_d^2)/m_c^2$).



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- ... and probe **new physics** in flavour transitions of **up-type** quarks,
- ... are very difficult to predict in the **Standard Model**,
- ... are **not discovered** yet!

Direct CP asymmetries in singly Cabibbo-suppressed decays:

With $\mathcal{A}^{\text{SCS}} = \mathcal{A}$ write

$$\mathcal{A} = \lambda_{sd} A_{sd} - \frac{\lambda_b}{2} A_b,$$

CP-conjugate decay:
$$\bar{\mathcal{A}} = -\lambda_{sd}^* A_{sd} + \frac{\lambda_b^*}{2} A_b.$$

Find

$$\begin{aligned} a_{CP}^{\text{dir}} &\equiv \frac{|\mathcal{A}|^2 - |\bar{\mathcal{A}}|^2}{|\mathcal{A}|^2 + |\bar{\mathcal{A}}|^2} \\ &= \text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{A_b}{A_{sd}}. \end{aligned}$$

Recall: $|A_{sd}| = |\mathcal{A}|/|\lambda_{sd}|$ is fixed from measured branching ratios.

\Rightarrow need $|A_b|$ and the **phase** of A_b/A_{sd} to predict a_{CP}^{dir} .

All SM predictions for CP asymmetries involve a suppression by

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asymmetries or the semileptonic CP asymmetry, which quantifies CP violation (CPV) in mixing.

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In the **pre-LHC era** CPV could have only been discovered if there was a substantial enhancement by new physics, with $\text{Im} \frac{\lambda_b}{\lambda_{sd}}$ replaced by some $\mathcal{O}(1)$ factor. Thus the “**CPV discovery channels**” were identical to the “**new-physics discovery channels**”.

With **LHCb** probing CP asymmetries down to SM predictions, the goals

(a) “discover **CPV** if there is no physics beyond the SM”

and

(b) “discover new physics”

require different strategies:

For (a) need decay modes with **large** SM predictions for a_{CP}^{dir} .

For (b) need decay modes with **clean** SM predictions for a_{CP}^{dir} .

$$\begin{aligned} a_{CP}^{\text{dir}} &= \text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{A_b}{A_{sd}} \\ &= -6 \cdot 10^{-4} \underbrace{\text{Im} \frac{A_b}{A_{sd}}} \end{aligned}$$

can be $\mathcal{O}(10)$ in the SM,
if A_{sd} is suppressed.

Typical SM values of a_{CP}^{dir} are below 10^{-3} , thus identifying decays with large $\left| \frac{A_b}{A_{sd}} \right|$ is important. (The phase $\arg \frac{A_b}{A_{sd}}$ is unpredictable, so one must be lucky.)

To learn as much as possible about A_{sd} for the various decay modes, do a correlated analysis of all available data on the branching fractions of $D^0 \rightarrow K^+K^-$, $D^0 \rightarrow \pi^+\pi^-$, $D^0 \rightarrow K_S K_S$, $D^0 \rightarrow \pi^0\pi^0$, $D^+ \rightarrow \pi^0\pi^+$, $D^+ \rightarrow K_S K^+$, $D_S^+ \rightarrow K_S\pi^+$, $D_S^+ \rightarrow K^+\pi^0$, $D^0 \rightarrow K^-\pi^+$, $D^0 \rightarrow K_S\pi^0$, $D^0 \rightarrow K_L\pi^0$, $D^+ \rightarrow K_S\pi^+$, $D^+ \rightarrow K_L\pi^+$, $D_S^+ \rightarrow K_S K^+$, $D^0 \rightarrow K^+\pi^-$, $D^+ \rightarrow K^+\pi^0$, and the $K^+\pi^-$ strong phase difference $\delta_{K\pi} = 6.45^\circ \pm 10.65^\circ$.

This gives essentially one ingredient of the CP asymmetries, $|A_{sd}|$, but gives no information on $|A_b|$ and $\arg(A_b/A_{sd})$.

S. Müller, UN, St. Schacht, Phys.Rev.D92(2015) 014004

S. Müller, UN, St. Schacht, Phys.Rev.Lett.115(2015) 251802

UN, St. Schacht, Phys.Rev.D92(2015) 054036

Use the approximate $SU(3)_F$ symmetry of QCD: Owing to $m_{u,d,s} \ll \Lambda_{\text{QCD}}$ hadronic amplitudes are approximately invariant under unitary rotations of

$$\begin{pmatrix} u \\ d \\ s \end{pmatrix}.$$

\Rightarrow One can correlate various $D \rightarrow K\pi$ decays.

Example: In the limit of exact $SU(3)_F$ symmetry find

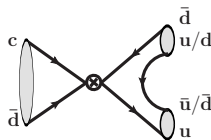
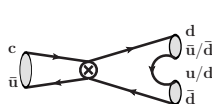
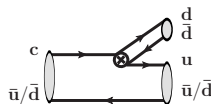
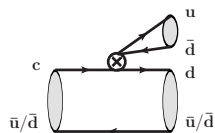
$$\mathcal{B}(D^0 \rightarrow \pi^+\pi^-) = \mathcal{B}(D^0 \rightarrow K^+K^-).$$

Data show $\mathcal{O}(30\%)$ $SU(3)_F$ breaking in the decay amplitudes. It is possible to include $SU(3)_F$ breaking to first order (linear breaking) in the decomposition of the decay amplitudes in terms of $SU(3)_F$ representations.

Topological amplitudes

Combine topological amplitudes (Chau 1980,1982; Zeppenfeld 1981) with linear $SU(3)_F$ breaking (Gronau 1995).

$SU(3)_F$ limit:



tree (T)

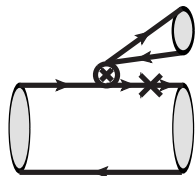
color-suppressed tree (C)

exchange (E)

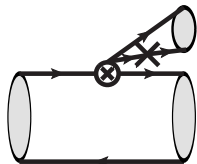
annihilation (A)

$SU(3)_F$ breaking

Feynman rule from $H_{SU(3)_F} = (m_s - m_d)\bar{s}s$: dot on s -quark line.
 Find 14 new topological amplitudes such as



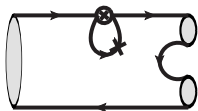
T_1



T_2

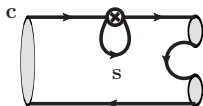
...

Important:



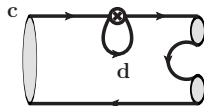
penguin (P_{break})

\equiv



s

−



d

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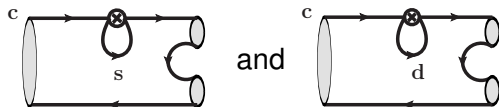
- within the **Standard Model**
and
- as evidence for **new physics!**

CP asymmetries

Generic problem: For **CP asymmetries** we need A_b which involves **new hadronic quantities** which do not appear in A_{sd} and are therefore not constrained by branching fractions.

E.g. new **SU(3)** representations or, in our analysis, new topological-amplitudes.

Prominent example:



Penguins P_s and P_d appear in other combinations than $P_{\text{break}} = P_s - P_d$. We also need $P_s + P_d - 2P_b$.

Experimentally $a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+\pi^-)$ and $a_{CP}^{\text{dir}}(D^0 \rightarrow K^+K^-)$ are well constrained. Status of 2015:

$$\Delta a_{CP}^{\text{dir}} \equiv a_{CP}^{\text{dir}}(D^0 \rightarrow K^+K^-) - a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+\pi^-) = -0.00253 \pm 0.00104$$

$$\Sigma a_{CP}^{\text{dir}} \equiv a_{CP}^{\text{dir}}(D^0 \rightarrow K^+K^-) + a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+\pi^-) = -0.0011 \pm 0.0026$$

Topological amplitudes:

$$\mathcal{A}_{sd}(D^0 \rightarrow \pi^+\pi^-) = -T - E + P_{\text{break}}$$

$$\mathcal{A}_b(D^0 \rightarrow \pi^+\pi^-) = T + E + P + PA$$

It is useful to eliminate $T + E$ in \mathcal{A}_b in favour of \mathcal{A}_{sd} :

$$\begin{aligned}\mathcal{A}_b(D^0 \rightarrow \pi^+\pi^-) &= -\mathcal{A}_{sd}(D^0 \rightarrow \pi^+\pi^-) + P_{\text{break}} + P + PA \\ \Rightarrow \quad \text{Im} \frac{A_b(\pi^+\pi^-)}{A_{sd}(\pi^+\pi^-)} &= \text{Im} \frac{P_{\text{break}} + P + PA}{A_{sd}(\pi^+\pi^-)}\end{aligned}$$

Similarly for $D^0 \rightarrow K^+K^-$ (up to $SU(3)_F$ breaking):

$$\text{Im} \frac{A_b(K^+K^-)}{A_{sd}(K^+K^-)} = \text{Im} \frac{P_{\text{break}} - P - PA}{A_{sd}(\pi^+\pi^-)}$$

Thus $\Delta a_{CP}^{\text{dir}}$ rules out spectacular enhancements of $P + PA$ and $\Sigma a_{CP}^{\text{dir}}$ likewise constrains P_{break} .

\Rightarrow To find CPV look for alternatives to $P, PA!$

$$\mathcal{A}(D^0 \rightarrow K_S K_S) = \lambda_{sd} \mathcal{A}_{sd} - \frac{\lambda_b}{2} \mathcal{A}_b.$$

Special feature I:

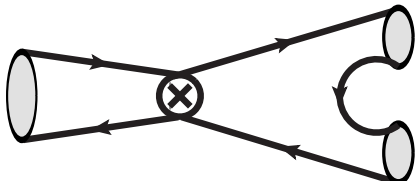
In the $SU(3)_F$ limit: $\mathcal{A}_{sd} = 0$ while $\mathcal{A}_b \neq 0$

\Rightarrow suppressed $\mathcal{B}(D^0 \rightarrow K_S K_S) = (1.7 \pm 0.4) \cdot 10^{-4}$

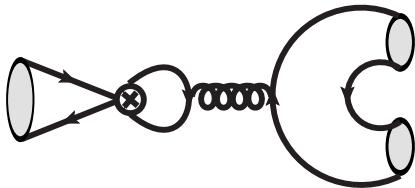
enhanced $a_{CP}^{\text{dir}} \propto \text{Im} \frac{A_b}{A_{sd}}$

Special feature II:

$a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)$ receives contributions at tree level, from the (sizable!) exchange diagram:



exchange diagram



penguin annihilation diagram

Result: a_{CP}^{dir} can be large. We find:

$$|a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)| \leq 1.1\% \quad @95\% \text{ C.L.}$$

The CP violation in $K-\bar{K}$ mixing is meant to be subtracted.

UN, St. Schacht, Phys.Rev.D92(2015) 054036

Experiment determines

$$A_{CP} = a_{CP}^{\text{dir}} - A_{\Gamma} \frac{\langle t \rangle}{\tau},$$

where $\langle t \rangle$ is the average decay time and τ is the D^0 lifetime.

$$A_{CP}^{\text{CLEO } 2001} = -0.23 \pm 0.19$$

$$A_{CP}^{\text{LHCb } 2015} = -0.029 \pm 0.052 \pm 0.022$$

$$A_{CP}^{\text{Belle } 2016} = -0.0002 \pm 0.0153 \pm 0.0017$$

UN, St. Schacht, Phys.Rev.Lett. 119 (2017) 251801

Two $D^0 \rightarrow KK^*$ decays:

$$D^0 \rightarrow \bar{K}^{*0} [\rightarrow K^- \pi^+] K^0$$

$$D^0 \rightarrow K^{*0} [\rightarrow K^+ \pi^-] \bar{K}^0$$

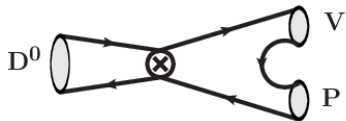
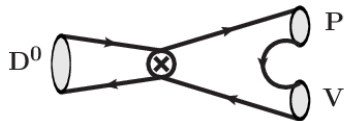
with the K^0 , \bar{K}^0 hadronising into K_S .

Write shortly:

$$\mathcal{A}(\bar{K}^{*0}) \equiv \mathcal{A}(D^0 \rightarrow \bar{K}^{*0} K^0)$$

$$\mathcal{A}(K^{*0}) \equiv \mathcal{A}(D^0 \rightarrow K^{*0} \bar{K}^0).$$

Each diagram comes in two variants, e.g.

 E_P  E_V

Topological amplitudes:

$$\mathcal{A}_{sd}(K^{*0}) = E_P - E_V + E_{P3} - E_{V1} - E_{V2} - PA_{PV}^{\text{break}}$$

$$\begin{aligned} \mathcal{A}_b(K^{*0}) &= -E_P - E_V - E_{P3} - E_{V1} - E_{V2} - PA_{PV} \\ &= \mathcal{A}_{sd}(K^{*0}) - 2E_P - 2E_{P3} - PA_{PV} + PA_{PV}^{\text{break}}, \end{aligned}$$

$$\mathcal{A}_{sd}(\bar{K}^{*0}) = -E_P + E_V - E_{P1} - E_{P2} + E_{V3} - PA_{PV}^{\text{break}},$$

$$\begin{aligned} \mathcal{A}_b(\bar{K}^{*0}) &= -E_P - E_V - E_{P1} - E_{P2} - E_{V3} - PA_{PV} \\ &= \mathcal{A}_{sd}(\bar{K}^{*0}) - 2E_V - 2E_{V3} - PA_{PV} + PA_{PV}^{\text{break}}. \end{aligned}$$

$$\begin{aligned} \Rightarrow a_{CP}^{\text{dir}}(D^0 \rightarrow \bar{K}^{*0} K^0) &= \text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{A_b(\bar{K}^{*0})}{A_{sd}(K^{*0})} \\ &\approx -\text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{A_b(K^{*0})}{A_{sd}(K^{*0})} = -a_{CP}^{\text{dir}}(D^0 \rightarrow K^{*0} \bar{K}^0) = a_{CP}^{\text{dir}}(\bar{D}^0 \rightarrow \bar{K}^{*0} K^0) \end{aligned}$$

$$D^0 \rightarrow KK^*$$

$a_{CP}^{\text{dir}}(D^0 \rightarrow \bar{K}^{*0} K^0) \approx a_{CP}^{\text{dir}}(\bar{D}^0 \rightarrow \bar{K}^{*0} K^0)$ means that no flavour tagging is needed:

$$a_{CP}^{\text{dir}}(\bar{D}^0 \rightarrow K_S K^{0*}) \approx a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K^{0*})$$

Using

$$\mathcal{B}^{\text{exp}}(D^0 \rightarrow K^{*0} K_S) = (1.1 \pm 0.2) \cdot 10^{-4},$$

$$\mathcal{B}^{\text{exp}}(D^0 \rightarrow \bar{K}^{*0} K_S) = (0.9 \pm 0.2) \cdot 10^{-4}.$$

from experiment to determine $|E_P - E_V| = (1.6 \pm 0.2) \cdot 10^{-6}$ we find

$$|a_{CP}^{\text{dir, untag}}| \lesssim 0.003.$$

The maximum corresponds to $\arg(E_V/E_P) = 0.14\pi$.

Another goodie: One can scan the $K^+\pi^-K_S$ Dalitz plot near the K^{*0} resonance for a favourable $\arg(E_V/E_P)$.

- “Charm CPV discovery within the SM” and “New-physics discovery through CPV” require different strategies.
- Within the Standard Model the direct CP asymmetry in the charm decay in $D^0 \rightarrow K_S K_S$ can be as large as 1.1%.
 $a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)$ is dominated by the exchange diagram, which involves no loop suppression. View $D^0 \rightarrow K_S K_S$ as a discovery channel for charm CP violation.
- The same is true for $D^0 \rightarrow K^{*0} K_S$, which moreover requires no tagging to measure a_{CP}^{dir} . $a_{CP}^{\text{dir, untag}}(D^0 \rightarrow K^{*0} K_S)$ can be as large as 0.3%.