



PRECISION TRAPS

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GSI





- Motivation and Introduction
- Trapping of Charged Particles
- Basic Methods
- Antiproton Measurements in Penning traps
 - Charge to Mass Ratios
 - Magnetic Moments



Fundamental Physics in 2018



- Relativistic quantum field theories of the standard model describe a plethora of particle physics phenomena
- The Standard Model is not just a list of particles and a classification - it is a theory that makes detailed, precise quantitative predictions!

$$\frac{g}{2} = 1 + C_2 \left(\frac{\alpha}{\pi}\right) + C_4 \left(\frac{\alpha}{\pi}\right)^2 + C_6 \left(\frac{\alpha}{\pi}\right)^3 + C_8 \left(\frac{\alpha}{\pi}\right)^4 + C_{10} \left(\frac{\alpha}{\pi}\right)^5 + \dots + a_{\mu\tau} + a_{\text{hadronic}} + a_{\text{weak}},$$

g / 2 = 1.001 159 652 180 73 (28)

!!! At the same time, the Standard Model is the biggest success and the greatest frustration of modern physics **!!!**

BSE Beyond Standard Model Physics

- Standard model is incomplete
 - contains 19 free parameters which need to be tuned by experiments
- observations beyond the SM
 - neutrino oscillations
 - dark matter
 - energy content of the universe
 - several fine-tuning problems
 - origin of lepton helicity
 - absence of antimatter in the universe:

known Standard Model CP violation produces one baryon in 10¹⁸ photons observed CMBR density: one baryon in 10⁹ photons

so at least we know, that we know quite a lot about almost nothing

what's next?

how can we produce input to solve at least some of the problems?



BSE How to solve problems in physics?

- Prominent and rather fruitful strategy:
 - Investigate very well understood, simple systems and look of unexpected deviations.

OED effects

Example: Hydrogen

Basic models	Relativistic models	HFS – coupling and nuclear	Asymmetric WI-effects
		enect	Bethe / Schwinger
Bohr / Schroedinger	Dirac	Pauli / Bloch etc.	
1	10-4	10 ⁻⁷	10 ⁻⁴ to 10 ⁻¹¹

III A single particle in a trap is one of the simplest systems you can think of III

BSE How to trap charged particles...

• Maxwell equations:

$$\Delta \Phi = 0$$

• Harmonic potential:

$$\Phi(x, y, z) = C_x x^2 + C_y y^2 + C_z z^2$$

• Earnshaw theorem: Not possible to trap particles in static electric fields.

Dynamic approach: Paul Trap



Physics idea: Oscillating rffields drive particles back to center – time average attractive (particle ping/pong)

-Z-stable region

Stability Diagram



Nobel prize in 1989

Static approach: Penning Trap

Physics idea: counteract the radial saddle-potential by superimposing a strong magnetic field



• Natural consequence: both trap-types have stability issues...

SE Paul Trap vs. Penning Trap

Cheap

- Variable
- Open access



- Expensive
- Constrained
- Closed system
- STABILITY
- Controlability
- Reliability



- Noisy
- Micromotion

Use Paul trap once you're interested in physics properties which are defined by internal interactions in composed charged systems.

Prominent in quantum information

Use the Penning trap for ultra-high precision studies or experiments dealing with complex many particle systems.

Prominent in fundamental studies

BSE Traps in Antimatter Physics

• The **Penning trap** is the "work-horse" of the antimatter physics community.



This entire field of physics would likely NOT EXIST without traps!

Trap Results in Antimatter Physics

- Two collaborations dealing with single particles in traps ATRAP and BASE
 - (A)TRAP pioneered fundamental experimental methods.
 - BASE enhanced fractional precision of these experiments.



??? What is behind all that ???



radial confinement of charged particles by a constant magnetic field

Superimpose the simplest electrostatic potential you can think of

 $\vec{B} = B_0 \hat{z}$

 $\Phi(\rho, z) = V_0 c_2 \left(z^2 - \frac{\rho^2}{2} \right)$





results in three harmonic oscillator modes

axial mode

modified cyclotron mode

, magnetron mode

 $\omega_z = \sqrt{\frac{2e_0 U_0}{md_0^2}}$

$$\omega_{+} = \frac{\omega_{c}}{2} + \sqrt{\frac{\omega_{c}^{2}}{4} - \frac{\omega_{z}^{2}}{2}}$$
$$\omega_{-} = \frac{\omega_{c}}{2} - \sqrt{\frac{\omega_{c}^{2}}{4} - \frac{\omega_{z}^{2}}{2}}$$

• **single particle in a trap:** simplest superimposed static magnetic and static electric fields result in three fully decoupled harmonic single-particle oscillators.

BSE The Invariance Theorem

• The three trap frequencies can be combined to a robust invariance relation

$$\nu_{c} = \sqrt{\nu_{+}^{2} + \nu_{z}^{2} + \nu_{-}^{2}}$$

- misalignment of B-axis and E-axis cancels out
- ellipticities of trap potential cancel out
- Single particles in Penning traps give direct access to the fundamental properties of trapped particles



Precise frequency measurements in traps provide information about fundamental properties of trapped particles.

SE Different Perspective: Fundamental Symmetries

- Within the framework of classical physics, a single particle in a Penning trap is a well understood, very simple system (three harmonic oscillators).
- Penning traps thus provide perfect boundary conditions to test exotic theories and search for physics beyond the Standard Model.
- How would a yet undiscovered physics effect look like?
- Whatever it is:

 $H \psi = (H_0 + V_{exotic}) \psi$ $\Delta E_{exotic} = \langle \psi | V_{exotic} | \psi \rangle$

• Need to ask the right questions

	System 1		System 2
ΔE_1	\$	ΔE_2	‡
ΔE_1	\$	ΔE_2	\$

 $E_1 - E_2 = \varDelta E_{exotic} = \langle \psi | V_{exotic} | \psi \rangle$

A precision trap is an artificial, highly modular, extremely exotic atom

Interaction Potentials – Minimal SME

• Example: Standard Model Extension

Inspired by string theory translated to SM via effective field theory

Idea of construction: Consider all possible low dimension bilinears within the Poincare group *(useful but not fundamental)*.

$$(i\gamma^{\mu}D_{\mu}-m-a_{\mu}\gamma^{\mu}-b_{\mu}\gamma_{5}\gamma^{\mu})\psi=0$$

Dirac equation CPT-odd modifications

$$b_{\mu}\gamma_{5}\gamma^{\mu} \rightarrow b_{x}\begin{pmatrix} \mathbf{0} & \mathbf{1} \\ \mathbf{1} & \mathbf{0} \end{pmatrix} \begin{pmatrix} \mathbf{0} & \sigma_{x} \\ -\sigma_{x} & \mathbf{0} \end{pmatrix} + b_{y}\begin{pmatrix} \mathbf{0} & \mathbf{1} \\ \mathbf{1} & \mathbf{0} \end{pmatrix} \begin{pmatrix} \mathbf{0} & \sigma_{y} \\ -\sigma_{y} & \mathbf{0} \end{pmatrix} + b_{z}\begin{pmatrix} \mathbf{0} & \mathbf{1} \\ \mathbf{1} & \mathbf{0} \end{pmatrix} \begin{pmatrix} \mathbf{0} & \sigma_{z} \\ -\sigma_{z} & \mathbf{0} \end{pmatrix}$$
$$b_{x}\begin{pmatrix} -\sigma_{x} & \mathbf{0} \\ \mathbf{0} & \sigma_{x} \end{pmatrix} + b_{y}\begin{pmatrix} -\sigma_{y} & \mathbf{0} \\ \mathbf{0} & \sigma_{y} \end{pmatrix} + b_{z}\begin{pmatrix} -\sigma_{z} & \mathbf{0} \\ \mathbf{0} & \sigma_{z} \end{pmatrix} \qquad \text{Pseudo-magnetic field, with different coupling between matter and antimatter}$$



Measurements:

coefficients can be constrained by searching for **diurnal variations** in comagnetometer measurements.

comparisons of particle/antiparticle magnetic moments in traps

Physics: such interactions can e.g. be induced by CPT-odd dark matter couplings



Interaction Potentials – Non-Minimal SME

$$\Delta V_{int} = \widetilde{b_{z,D}} \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \pm \boldsymbol{\sigma}_z \end{pmatrix}$$

these types of interactions can only be uncovered by explicit measurements on antimatter

$$\left(\Delta - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}\right) + \left(\frac{mc}{\hbar}\right)^2 \psi = 0$$

 $R_0 = \frac{\hbar}{---}$

Stationary Yukawa potential:

Effective interaction length:

$$V(r) = -\frac{g}{r} exp\left(-\frac{r}{R_0}\right)$$



coupling strength $b_3^{}$ (GeV)

Potentially sensitive to exchange bosons, exclusively coupling to antimatter.

Potentially sensitive to heavy exchange bosons at strong interaction strenghts.

Complementary approach to HEP



The Penning Trap – Key Techniques

BSE Blueprint Measurements in Penning traps

Cyclotron Motion



S. Ulmer, A. Mooser *et al.* PRL 107, 103002 (2011)









S. Ulmer, A. Mooser *et al.* PRL 106, 253001 (2011)

Determinations of the q/m ratio and g-factor reduce to measurements of frequency ratios -> in principle very simple experiments -> full control, (almost) no theoretical corrections required.

BSENon Destructive Particle Detection (see Smorra lecture)

Idea: Oscillating particle induces image currents in trap electrodes.

What are we dealing with?





single charge

 $I_p = \frac{q}{D_i}\dot{\rho_i} = 2\pi \frac{q}{D_i}\nu_i\rho_i$

10⁻¹⁶ A/mm

Image current detection:

- Requires detection systems with high sensitivity
- Non destructive long observation times precise information about trapped particle
- Real time observation of particle manipulation





BSE Example: Resistive Cooling and Peak Detection

- Power dissipated in detection resistor
- Particle is cooled resistively





The Continuous Stern-Gerlach Effect

Measurement based on continuous Stern Gerlach effect.

Energy of magnetic dipole in magnetic field

$$\Phi_M = -(\overrightarrow{\mu_p} \cdot \overrightarrow{B})$$

Leading order magnetic field correction

$$B_z = B_0 + B_2 \left(z^2 - \frac{\rho^2}{2} \right)$$





Frequency Measurement

Spin is detected and analyzed via an axial frequency measurement



This term adds a spin dependent quadratic axial potential -> Axial frequency becomes function of spin state

$$\Delta v_z \sim \frac{\mu_p B_2}{m_p v_z} := \alpha_p \frac{B_2}{v_z}$$



Single Penning trap method is limited to the p.p.m. level



The Penning Trap – Ingredients



- Typical ingredients of Penning trap experiments
 - Stable and homogeneous superconducting solenoid
 - Precisely machined trap electrodes
 - RF electronics
 - Ultra stable power supplies
 - Most of the high-precision experiments are operated under cryogenic conditions.
 - Cryogenic operation -> good vacuum
- Centre of a good magnet

Paramter	Value
dB/dt	< 10 ⁻¹¹ /h
B1	< mT/m (NMR shimming limit)
B2	< 0.5 T/m ² (NMR shimming limit)





Supplies-rack of CERN's BASE experiment

Ulmer / Mooser / Smorra



Degrees of freedom for defined radius:



1.) C4 = 0

2.) C6 = 0

3.) orthogonality



- 2.) Length of correction electrode
- 3.) Compensation/Ring voltage





easy to understand / simple to optimize / easy to machine

BSE Particle Loading – Matter (here protons)

• In-trap creation by bombarding an appropriate target with an electron beam



SE Particle Loading - Antiprotons



▶ p [proton] > ion > neutrons > p [antiproton] → +> proton/antiproton conversion > neutrinos > electron

-> Degrader -> 1keV





Particles in the trap, what's next?

SE Electron Cooling / Electron Kickout

- Idea: Store antiprotons and electrons in the same trap volume
- Coulomb interaction -> electrons cool fast due to cyclotron radiation
- Obtain a cold cloud of antiprotons
- Get rid of electrons...



Cold particles in the trap, what's next?



• Evaporation:

$$\Delta v_z(N) = \frac{N}{2\pi} \frac{R_p}{m} \left(\frac{q}{D_{eff}}\right)^2$$

• Single particle extraction by trap potential manipulation:





C. Smorra, Int. Journ. Mass. Spec (2015)

BSE Sideband Coupling/Cooling

• Application of a quadrupolar drive at sum or difference frequency of two involved modes:

$$\vec{E}(x_i, x_k) = \operatorname{Re}\left(E_0 \exp\left(i\omega_{\mathrm{rf}}t\right)\right)\left(x_i\vec{e}_k + x_k\vec{e}_i\right) \xrightarrow{\text{coupled}} \operatorname{system} interpretation = \hbar\omega_z a_z(t) + \hbar g \exp\left(-i\omega_d t\right) a_-(t) + \hbar g \exp\left(-i\omega_d t\right) a_z(t)$$

 $\frac{d}{dt} \begin{pmatrix} b_z \\ b_- \end{pmatrix} = - \frac{i}{2} (\omega_z - \omega_-) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} b_z \\ b_- \end{pmatrix}$

 $- \left| \frac{\imath}{2} \left(\begin{array}{cc} -\delta & 2g \\ 2g & \delta \end{array} \right) \right| \left(\begin{array}{c} b_z \\ b_- \end{array} \right)$

important coupling practically applied by injecting rf on segmented, rf-blocked electrodes

• Rotating wave approximation

coherent TRACELESS system at complex eigenvalues

Diagonalization

$$\frac{d}{dt} \begin{pmatrix} \tilde{b}_z \\ \tilde{b}_- \end{pmatrix} = -\frac{i}{2} \begin{pmatrix} \omega_z - \omega_- + \Omega & 0 \\ 0 & \omega_z - \omega_- - \Omega \end{pmatrix} \begin{pmatrix} \tilde{b}_z \\ \tilde{b}_- \end{pmatrix}$$



SE Examples: Sideband Coupling

Effectively: Amplitude modulation of particle motion

$$z(t) = z_0 \cos\left(\frac{\Omega}{2}t\right) \sin(\omega_z t + \varphi_z)$$

Classical "Dressed states"





$$v_l + v_r = v_z + v_{rf} - v_{\pm}$$

widely used to measure temperature of the radial modes.

701035



also useful for efficient cooling of radial modes

S. Ulmer et al. Phys. Rev. Lett 107, 130005 (2011)



Temperature in Sideband Cooling

 Sideband cooling -> dynamics in quantum states and cooling dynamics stops if n_{+,-}=n_z

• Cooling Limit:

$$\frac{T_+}{T_z} = \frac{\langle E_+ \rangle}{\langle E_z \rangle} = \frac{\nu_+}{\nu_z} \qquad \frac{T_-}{T_z} = \frac{\langle |E_-| \rangle}{\langle E_z \rangle} = \frac{\nu_-}{\nu_z}$$



EXPERIMENTS – Charge to Mass Ratios

BSE Results of proton/antiproton mass comparisons

Historical measurements for current antimatter physics program



Limitations of 1995 measurement and last factor of 10 improvement?

[G. Gabrielse et al., PRL 82, 3198 (1999)]



Why would we want to use a hydrogen ion rather than a proton

- Slightly inhomogeneous magnetic field.
- Offset potentials on the electrodes of the cryogenic trap.
- Change of polarity leads to position shift of the particle.
- Systematic uncertainties due to the particle position are large (~10⁻⁹)
- For protons (polarity inversion (dV=10V)) much larger as for H- ions (dV=0.005V).



Take a ratio of measured cyclotron frequency of antiproton $v_{c\overline{p}}$ to H⁻ ion $v_{cH^-} =>$ reduces to antiproton to proton charge-to-mass ratio

Magnetic field cancels out!

$$R = \frac{\nu_{c\overline{p}}}{\nu_{cH^{-}}} = \frac{(q/m)_{\overline{p}}}{(q/m)_{H^{-}}} \times \frac{R/2\pi}{B/2\pi} = \frac{(q/m)_{\overline{p}}}{(q/m)_{H^{-}}}$$

$$m_{\rm H^-} = m_{\rm p} (1 + 2\frac{m_{\rm e}}{m_{\rm p}} - \frac{E_{\rm b}}{m_{\rm p}} - \frac{E_{\rm a}}{m_{\rm p}} + \frac{\alpha_{\rm pol,H^-} B_0^2}{m_{\rm p}})$$



Measurement cycle is triggered by the antiproton injection into the AD One BASE charge-to-mass ratio measurement is by 50 times faster than achieved in previous proton/antiproton measurements.

First high-precision mass spectrometer which applies this fast shuttling technique

BSE BASE Measurements – Proton to Antiproton Q/M



Result of 6500 proton/antiproton Q/M comparisons:

R_{exp,c} = 1.001 089 218 755 (64) (26)

$$\frac{(q/m)_{\overline{p}}}{(q/m)_{\overline{p}}} - 1 = 1(69) \times 10^{-12}$$

Most precise test of CPT invariance with Baryons.

Consistent with CPT invariance

• Constrain of the gravitational anomaly for antiprotons:

$$\frac{\omega_{c,p} - \omega_{c,\bar{p}}}{\omega_{c,p}} = -3(\alpha_g - 1) U/c^2$$
Our 69ppt result sets
a new upper limit of
 $|\alpha_g - 1| < 8.7 \times 10^{-7}$



S. Ulmer et al., Nature 524 196 (2015)

BSE Example: Resolution Limit in BASE

• Sideband based magnetic field measurements:







- Sideband measurement in presence of a magnetic field inhomogeneity
- Sideband measurement
 in homogeneous magnetic field, in presence of running accelerator
 - Sideband measurement using a self shielding solenoid

??? Physics understood ???

Further improvement by direct measurement methods



EXPERIMENTS – Magnetic Moments

E Magnetic Moment Measurements

Measurement based on continuous Stern Gerlach effect.

Energy of magnetic dipole in magnetic field

$$\Phi_M = -(\overrightarrow{\mu_p} \cdot \overrightarrow{B})$$

Leading order magnetic field correction

$$B_z = B_0 + B_2 \left(z^2 - \frac{\rho^2}{2} \right)$$

This term adds a spin dependent quadratic axial potential -> Axial frequency becomes function of spin state

$$\Delta v_z \sim \frac{\mu_p B_2}{m_p v_z} := \alpha_p \frac{B_2}{v_z}$$

- Very difficult for the proton/antiproton system.

 $B_2 \sim 300000 \ T/m^2$

- Most extreme magnetic conditions ever applied to single $\Delta v_z \sim 170 \ mHz$





Frequency Measurement Spin is detected and analyzed via an axial frequency measurement

$$\Phi_M = -(\overrightarrow{\mu_p} \cdot \overrightarrow{B})$$

$$B_z = B_0 + B_2 \left(z^2 - \frac{\rho^2}{2} \right)$$

S. Ulmer, A. Mooser et al. PRL 106, 253001 (2011)

Single Penning trap method is limited to the p.p.m. level

BSE 0.8 p.p.m. Measurement



• single particle in the magnetic bottle

measurement



• evaluate g-factor

repeated the scheme in total six times



С

0.6

Spin flip probability Spin flip probability

0.0

-0.05

0.00

Result

• from six independent g-factor measurements



• noise driven random walk in the magnetron mode traces magnetic field.

$$\frac{d\nu_c}{\nu_c} = -\frac{1}{2\pi^2 m_{\overline{p}} \nu_z^2} \frac{B_2}{B_0} dE_- = \frac{5.2 \text{ p. p. m.}}{\mu \text{eV}} dE_-$$



Ultimately limits g-factor measurement to the 1 p.p.m. to 0.1 p.p.m. level

0.20

VL AT=52.337 MHz

0.05 0.10 0.15

Drive frequency - v1 AT (MHz)

250 kHz



- probably, by putting a lot of effort in we would be able to reach with the single-trap method the 0.1 p.p.m. level.
- apply alternative measurement schemes: **multi-trap methods**





SE The Double Penning-Trap Method



measures spin flip probability as a function of the drive frequency in the homogeneous magnetic field of the precision trap

Challenges – High-Fidelity Single Spin-Flip Resolution

• To conclude in which quantum state the particle leaves / returns from precision trap, the double trap method requires **high-fidelity spin-state resolution**



resolving single antiproton spin flips is a challenge

SE Challenges – High-Fidelity Single Spin-Flip Resolution

observation of antiproton spin transitions with high-fidelity requires ultra-cold particles



• Physics

- heating by rf at a noise density of about $100 \text{ pV}/\sqrt{\text{Hz}}$ drive radial cyclotron quantum transitions.
- transition rates scale with the cyclotron quantum number.





• Cold particle is prepared by resistive cooling in the PT





NOTE: each cyclotron frequency measurement heats the particle to about 300K

works (see BASE-Mainz measurements), but sub-thermal cooling is EXTREMELY time consuming



Current Time Budget of a (CERN) Double-Trap Experiment



BSE BASE Two-Particle Method

Idea: divide measurement to two particles



«hot» cyclotron particle which probes the magnetic field in the precision trap «cold» cyclotron particle to flip and analyze the spineigenstate

pay: measure with two particles at different mode energies

win: 60% of time usually used for subthermal cooling useable for measurements



challenges:

- transport without heating
- more challenging systematics

BSE The Magnetic Moment of the Antiproton



C. Smorra et al., Nature 550, 371 (2017)

BASE 2017: μ_{g} = -2.792 847 344 1 (42) μ_{nucl}



first measurement more precise for antimatter than for matter...

...so how about the proton magnetic moment?



Thanks very much for your attention



• prepare a **coherent cyclotron state** with well-defined phase:



D. Pritchard, J Thompson, S. Rainville, E.Cornell, E. Myers, M. Redshaw,.....many papers





Phase Sensitive Axial Detection

 Idea: Instead of frequency measurement – measurement of phase relative to locked drive

$$\Delta \phi(^{\circ}) = \Delta \phi_1(^{\circ}) - \Delta \phi_2(^{\circ}) = 360 \Delta \nu_z \cdot t_{\text{evol}}$$



• Measured in 1s per trial.

[S. Ulmer et al., PhD Thesis (2011)]



Quantum-limited cooling and detection of radio-frequency oscillations by laser-cooled ions

D. J. Heinzen and D. J. Wineland Time and Frequency Division, National Institute of Standards and Technology, Boulder, Colorado 80303 (Received 13 March 1990)

- Idea:
 - Laser-cool ⁹Be⁺ ion(s)
 - Design a trap for resonant coupling
 - Energy exchange

«Laser-cooled» antiprotons (mK-cooling within ms) !!! -> Higher precision, specifically in magnetic moment measurements.

[C. Ospelkaus Group, PTB Braunschweig]



Coupled Oscillators

Two charged particles trapped in direct vicinity interact via coulomb interaction.



SE Proton Spin Quantum Transitions

- Improvement of apparatus, trap wiring, quality of detection systems (lower noise, faster measuring cycles).
- Based on Bayesian filter -> fidelity of > 90% achieved



A. Mooser, K. Franke, S. Ulmer et al. Phys. Rev. Lett. 723, 78 (2013)



Frequency Shifts in Penning Traps

Particles in imperfect Penning traps -> Anharmonic Oscillators



This can be one of the considerable limitations in frequency ratio measurements !



e.g. a not considered offsetpotential (50mV) and adjustmentof resonance voltage shifts ratio(p/pbar comparison) by already30ppt!



• Adjust voltages of correction electrodes to make the trap harmonic.



• Allows for trap tuning to mV.



What else modifies the result of your precision experiment?

Effective potential of the induced image charge

- Modification of the quadrupolar potential
- Correction of the invariance theorem





Strong scaling with trap radius. Typical traps (1cm diameter) ->

Modifies cyclotron frequency at level of 1e-10



In a perfect Penning trap

- Homogeneous magnetic field
- Perfect electric quadrupole
- large trap (no image charge shift)

Any additional frequency shift?



• Dip measurements are limited to a certain precision

- Line width
- Signal-to-noise ratio
- Averaging time
- Voltage drifts and scatter

$$v_l + v_r = v_z + v_{rf} - v_{\pm}$$

scatters scatters scatters



$$\sigma(f_0) = \alpha \,[\text{window, overlap}] \times \sqrt{\frac{1}{4\pi} \frac{\Delta f'}{T_s} \frac{\sqrt{\text{SNR}} + 1}{\sqrt{\text{SNR}} - 1}}$$



Measurement of cyclotron frequency with cyclotron resonator



 Problem: Cyclotron detectors are not very sensitive (small inductance) -> considerable frequency shifts due to large particle energy.