

***Direct CP asymmetries in  $B \rightarrow K \pi$***   
***Forward-Backward asymmetry in  $B \rightarrow K^* \ell \ell$***   
***D-Mixing***  
***at BABAR***

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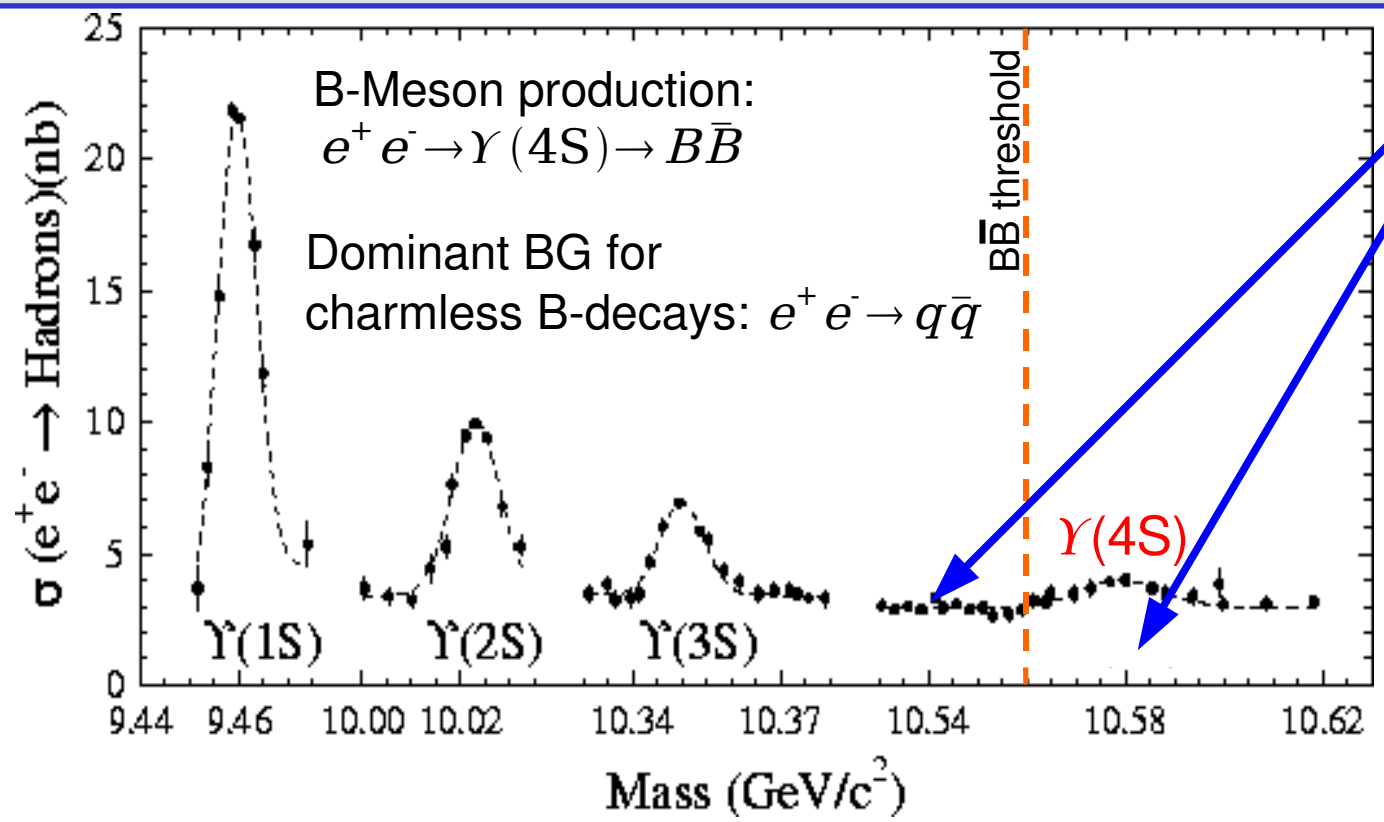
*on behalf of the BABAR collaboration*

*Second Workshop on Beyond 3 Generation Standard Model*

*--- New Fermions at the Crossroads of Tevatron and LHC*

*January 14-16, 2009, Taipeh, Taiwan*

# B-Meson Production at $\Upsilon(4S)$

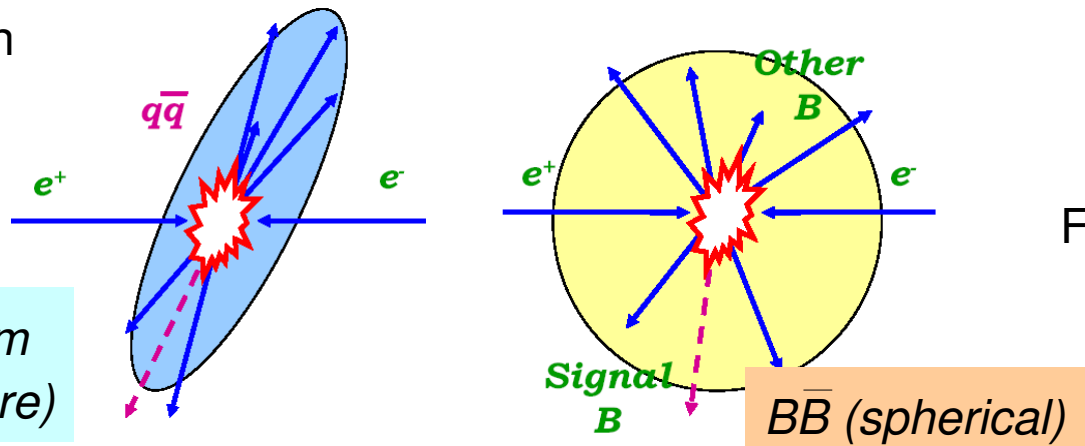


D-Mixing: use  
Offpeak &  
Onpeak data

$e^+e^- \rightarrow$	$\sigma$
$b\bar{b}$	1.10 nb
$c\bar{c}$	1.30 nb
$s\bar{s}$	0.35 nb
$u\bar{u}$	1.39 nb
$d\bar{d}$	0.35 nb

$$\frac{\sigma(B\bar{B})}{\sigma(hadr)} = 0.28$$

Suppress with  
event shape  
variables:

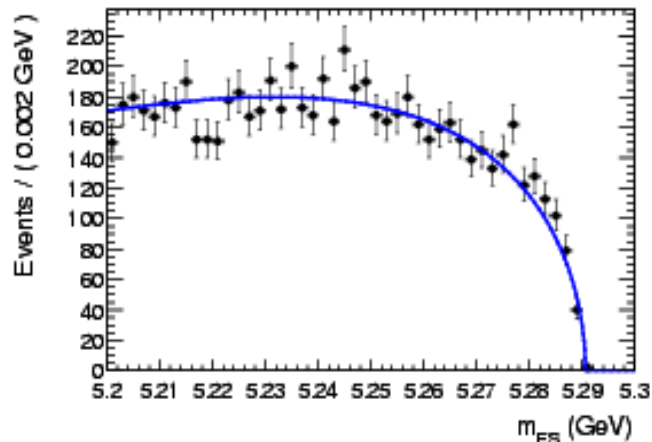
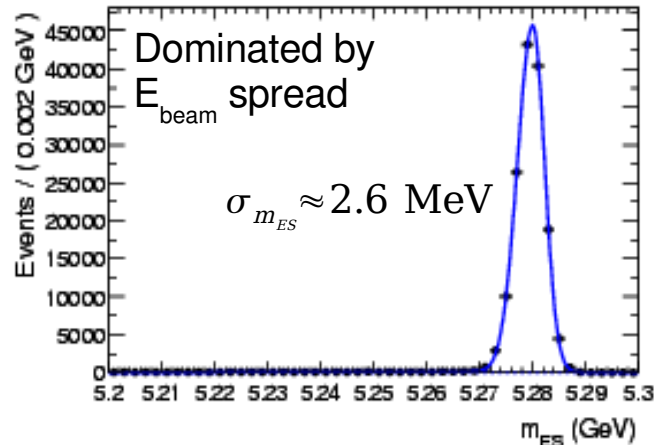


Often combined  
in  
Fisher discriminant  
or  
Neural Net

# Kinematical constraints for signal reconstruction at the $Y(4S)$

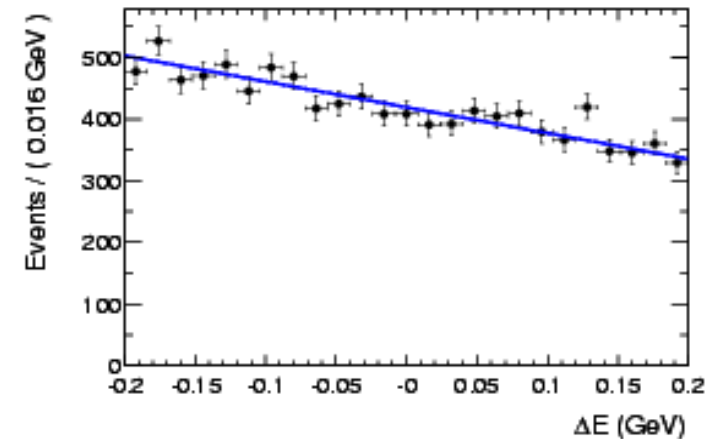
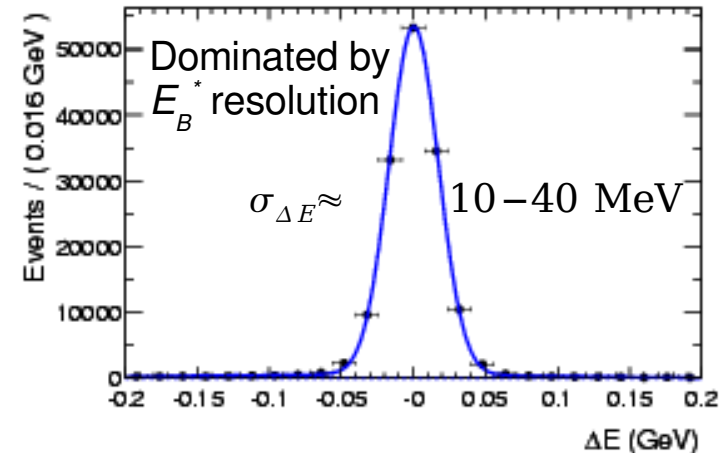
Beam-energy substituted mass

$$m_{ES} = \sqrt{\left(\frac{s}{2} + |\vec{p}_{e^+e^-}|^2\right) / E_{e^+e^-}^2 - \vec{p}_B}$$



Energy difference

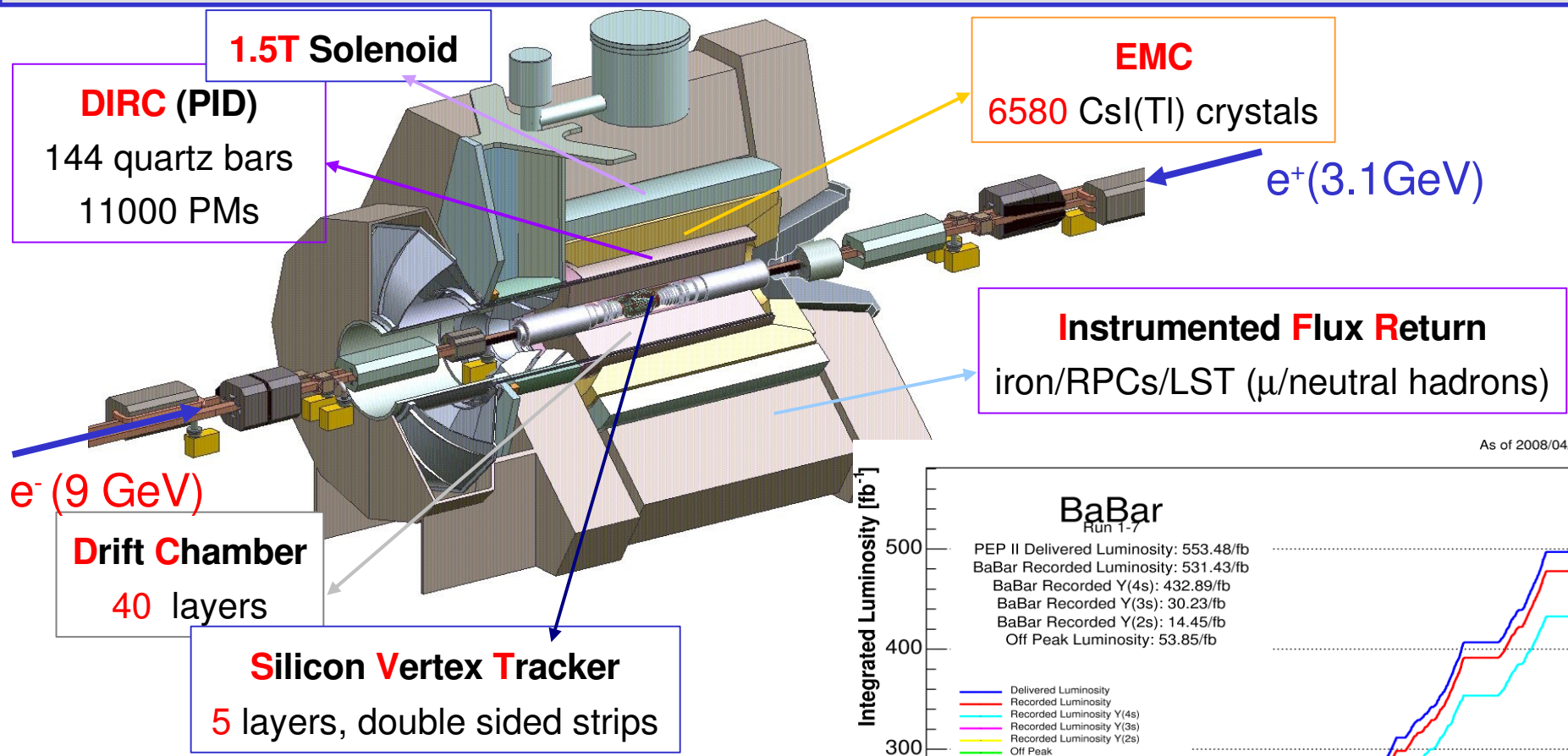
$$\Delta E = E_B^* - E_{beam}^*$$



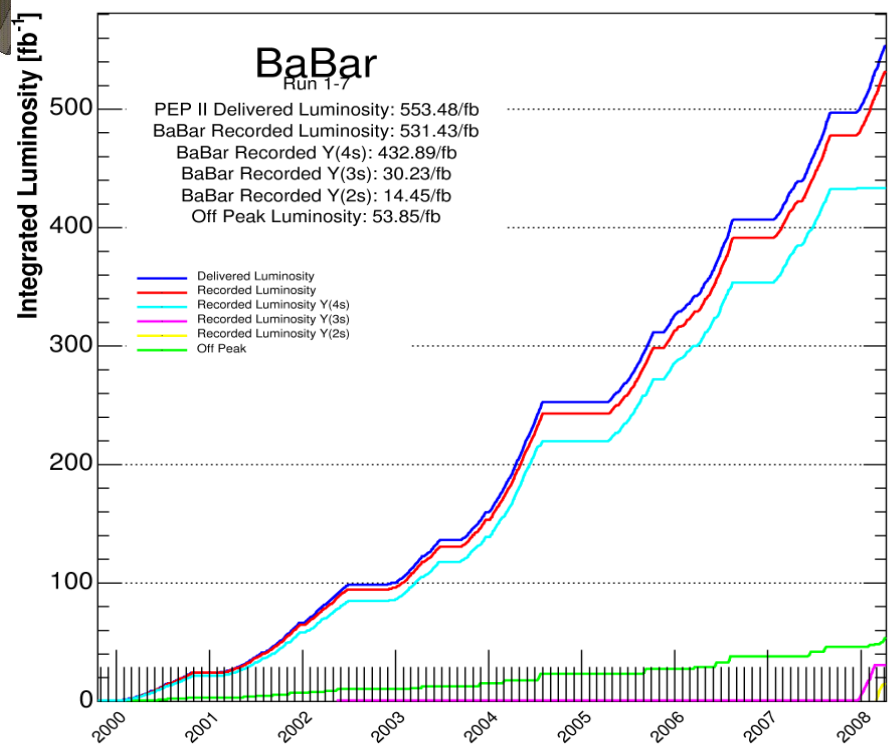
Correctly  
reconstructed  
**BB events**

Combinatorial  
**background**

# BABAR and PEP-II



As of 2008/04/11 00:00

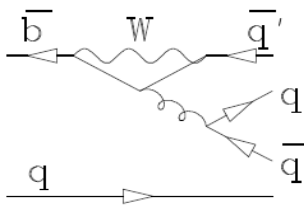


- Full statistics:  
 Onpeak:  $433\text{ fb}^{-1} \cong 467 \cdot 10^6\text{ Y}(4S)$   
 Offpeak:  $54\text{ fb}^{-1}$
- Not all published results with full statistics yet

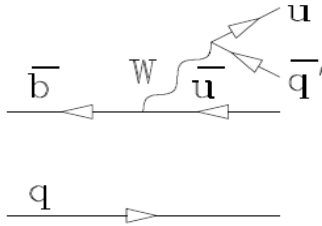
# $B \rightarrow K \pi$

SM amplitudes ( $\lambda_t = V_{ts} V_{tb}^*$ ,  $\lambda_u = V_{us} V_{ub}^*$ , Annihilation (A) not shown):

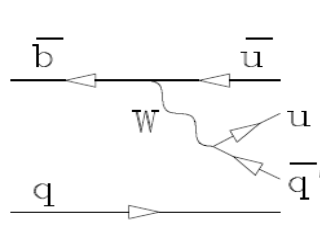
$\lambda_t P_{tc} + \lambda_u P_{uc}$



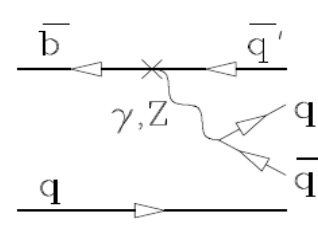
$\lambda_u T$



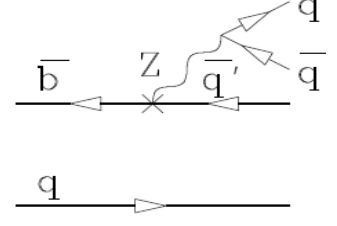
$\lambda_u C$



$\lambda_t P_{EW}^C$



$\lambda_t P_{EW}$



$$\begin{aligned}
 -A(K^+ \pi^-) &= \lambda_u (P_{uc} + T) + \lambda_t (P_{tc} + \frac{2}{3} P_{EW}^C) \\
 -\sqrt{2} A(K^+ \pi^0) &= \lambda_u (P_{uc} + T + C + A) + \lambda_t (P_{tc} + \frac{2}{3} P_{EW}^C + P_{EW}) \\
 \sqrt{2} A(K^0 \pi^0) &= \lambda_u (P_{uc} - C) + \lambda_t (P_{tc} - \frac{1}{3} P_{EW}^C - P_{EW}) \\
 A(K^0 \pi^+) &= \lambda_u (P_{uc} + A) + \lambda_t (P_{tc} - \frac{1}{3} P_{EW}^C)
 \end{aligned}$$

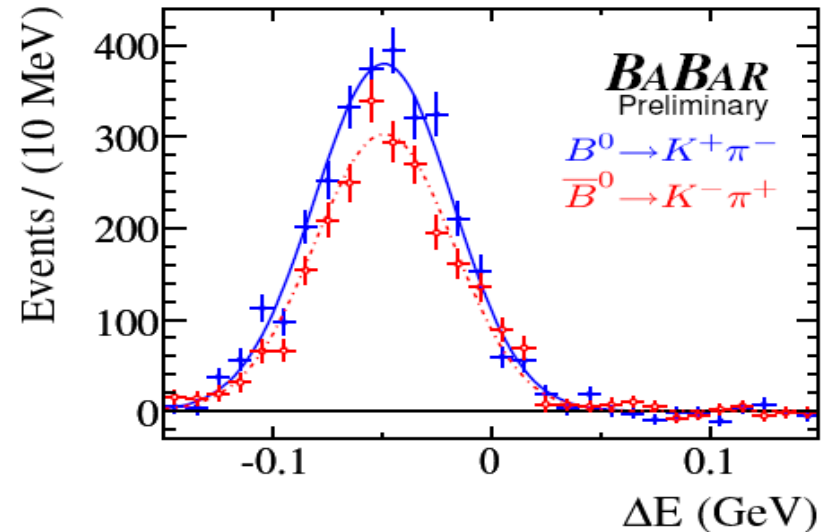
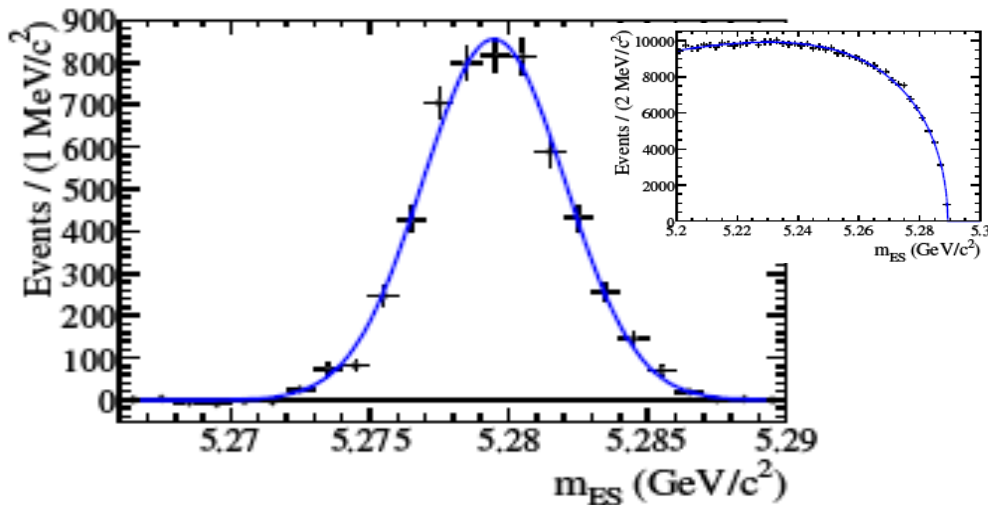
$$\left| \frac{\lambda_u}{\lambda_t} \right| \approx \lambda^2 \Rightarrow P_{tc} \text{ dominant}$$

$P_{EW}$  only possible for final states with  $\pi^0$

- If  $A \ \& \ C \ll T$ :  $\frac{1}{2} BF(B \rightarrow K^+ \pi^-) \approx BF(B \rightarrow K^+ \pi^0)$       $\Delta A_{CP} = A_{CP}(B \rightarrow K^+ \pi^-) - A_{CP}(B \rightarrow K^+ \pi^0) \approx 0$
- $\Delta A_{CP} \neq 0$  might be interpreted as anomalously large  $P_{EW} \Rightarrow$  NP (e.g. 4<sup>th</sup> gen.)  
(Yoshikawa '03; Mishima & Yoshikawa '04; Buras et al '04, '06; Baek & London '07; Hou et al '07; Jung & Mannel '08; Baek et al '09; Li & Mishima '09)
- But:  $C \sim T$  observed in  $\pi\pi$  system (e.g. CKMfitter group 2005 and others)  
 $\Rightarrow \Delta A_{CP} \neq 0$  possible simply due to failing ability in calculating hadronic SM amplitudes?  
(Chiang et al 2004; Charng, Li, Mishima & Sanda 2005, ... QCDF, PQCD, SCET)

$$B \rightarrow K^{\pm} \pi^{\mp}$$

- Preliminary result:  $467 \cdot 10^6$  Y(4S) (arXiv:0807.4226[hep-ex])
- Unbinned Maximum Likelihood (ML) fit of  $\theta_{\text{Cherenkov}}$ , kinematic & event shape variables
- Kaon charge determines B-meson flavor tag (self-tagging)



Distributions obtained from weighting technique (*s* Plots): Pivk and Le Diberder, NIM A555, 356 (2005)

$$A_{CP}^{BABAR}(B \rightarrow K^{\pm} \pi^{\mp}) = \frac{N(\bar{B}) - N(B)}{N(\bar{B}) + N(B)} = -0.107 \pm 0.016^{+0.006}_{-0.004}$$

$$A_{CP}^{Belle}(B \rightarrow K^{\pm} \pi^{\mp}) = -0.094 \pm 0.018 \pm 0.008$$

$$A_{CP}^{CDF}(B \rightarrow K^{\pm} \pi^{\mp}) = -0.086 \pm 0.023 \pm 0.009$$

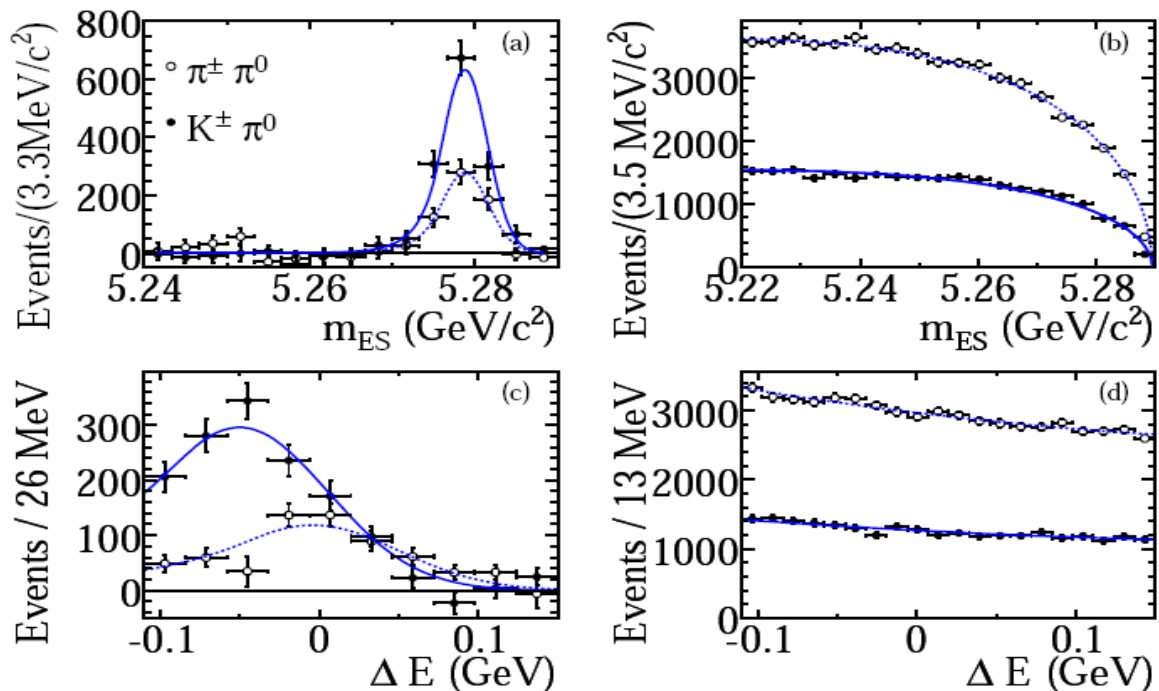
$$A_{CP}^{WA}(B \rightarrow K^{\pm} \pi^{\mp}) = -0.098^{+0.012}_{-0.011}$$

$535 \cdot 10^6$  Y(4S)  
Nature 452, 332 (2008)

$1.0 \text{ fb}^{-1}$   
hep-ex/0612018

# $B^\pm \rightarrow K^\pm \pi^0$

- Published result:  $383 \cdot 10^6$  Y(4S) (PRD-RC 76, 091102 (2007))
- Unbinned ML fit of  $\theta_{\text{Cherenkov}}$ , kinematic & event shape variables



$$A_{CP}^{BABAR}(B^\pm \rightarrow K^\pm \pi^0) = +0.030 \pm 0.039 \pm 0.010$$

$$A_{CP}^{Belle}(B^\pm \rightarrow K^\pm \pi^0) = +0.07 \pm 0.03 \pm 0.01 \quad 535 \cdot 10^6 \text{ Y(4S)} \\ \text{Nature 452, 332 (2008)}$$

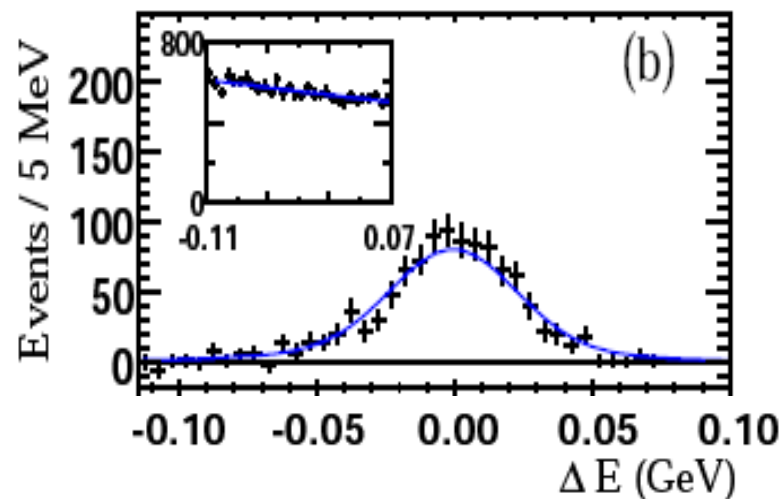
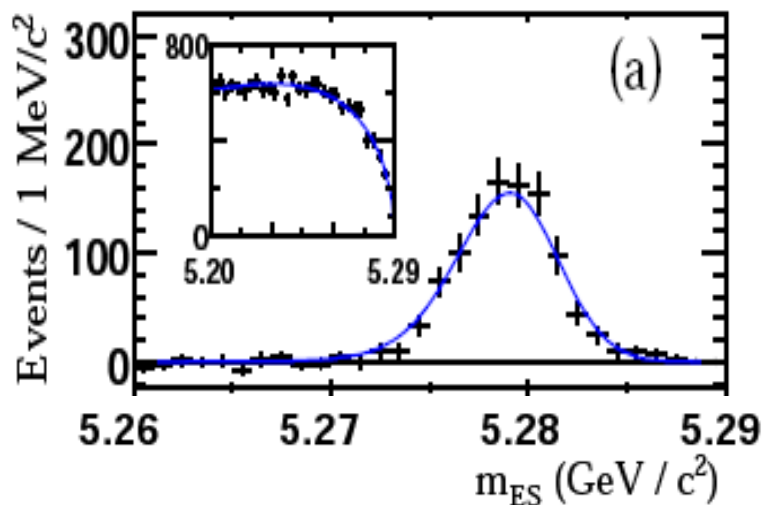
$$A_{CP}^{WA}(B^\pm \rightarrow K^\pm \pi^0) = +0.050 \pm 0.025$$

$$\Rightarrow \Delta A_{CP}^{WA} = A_{CP}^{WA}(B \rightarrow K^+ \pi^-) - A_{CP}^{WA}(B \rightarrow K^+ \pi^0) = -0.148 \pm 0.028 \neq 0 \quad (5.3\sigma) \quad \text{“}K\pi \text{ puzzle”!?”}$$



$$B^{\pm} \rightarrow K_S \pi^{\pm}$$

- Published result:  $347 \cdot 10^6$  Y(4S) (PRL 97, 171805 (2006))
- ML fit of Cherenkov angle, kinematic and event shape variables



$$A_{CP}^{BABAR}(B^{\pm} \rightarrow K^0 \pi^{\pm}) = -0.029 \pm 0.039 \pm 0.010$$

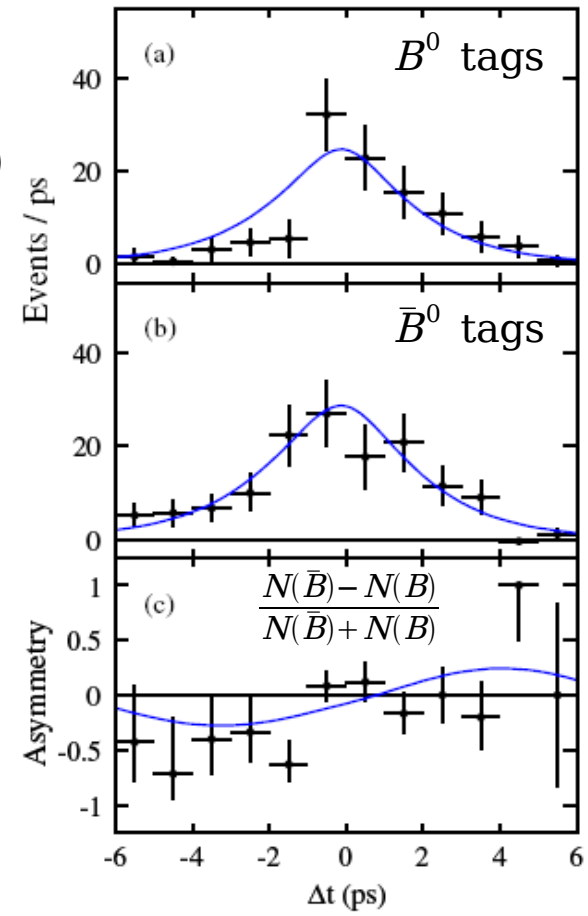
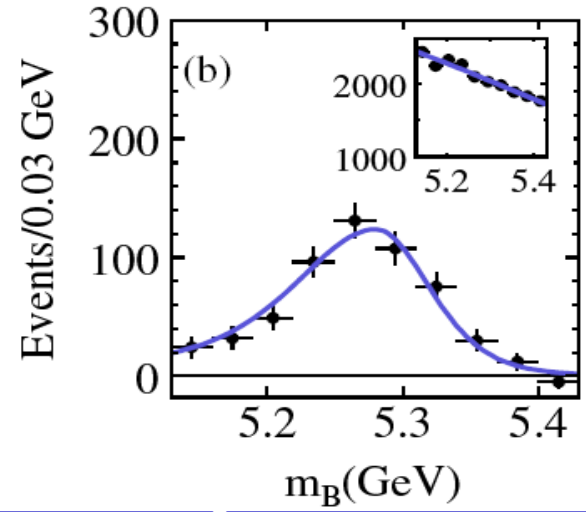
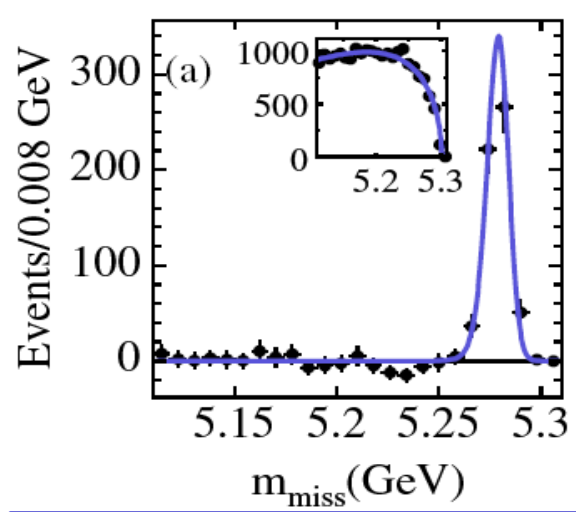
$$A_{CP}^{Belle}(B^{\pm} \rightarrow K^0 \pi^{\mp}) = +0.03 \pm 0.03 \pm 0.01 \quad 449 \cdot 10^6 \text{ Y(4S)} \\ \text{PRL 98, 181804 (2007)}$$

$$A_{CP}^{WA}(B^{\pm} \rightarrow K^0 \pi^{\mp}) = +0.009 \pm 0.025$$



# $B \rightarrow K_S \pi^0$

- Published result:  $467 \cdot 10^6$  Y(4S) (PRD 79, 052003 (2009))
- ML fit of Cherenkov angle, kinematic and event shape variables,  $\Delta t$ 
  - $m_B$ :  $M_{inv}$  of reconstructed  $B_{CP}$ -meson
  - $m_{miss}$ :  $M_{inv}$  of  $B_{tag}$  from  $E_{Beam}$  &  $p(B_{CP})$  with  $m(B_{CP})=m_B$  (PDG) (lower correlation due to  $\pi^0$  energy resolution wrt  $m_{ES}$  &  $\Delta E$ )
  - $\Delta t$ :  $B_{CP}$  vertex from  $K_S$  flight direction with beam spot constraint in transverse plane
- Flavour tagging from other B



$$S_{CP}^{BABAR}(B^0 \rightarrow K_S \pi^0) = +0.55 \pm 0.20 \pm 0.03$$

$$A_{CP}^{BABAR}(B^0 \rightarrow K_S \pi^0) = -0.13 \pm 0.13 \pm 0.03$$

$$A_{CP}^{Belle}(B^0 \rightarrow K_S \pi^0) = +0.14 \pm 0.13 \pm 0.06$$

$$A_{CP}^{WA}(B^0 \rightarrow K_S \pi^0) = -0.01 \pm 0.10$$

$657 \cdot 10^6$  Y(4S)  
0809.4366 [hep-ex]

# Summary: $B \rightarrow K\pi$

- Isospin sum rule (e.g. no assumption on  $C \ll T$ ):

$$A_{CP}(K_S \pi^0) \frac{2 BF(K^0 \pi^0) \tau_0}{BF(K^+ \pi^-) \tau_+} = A_{CP}(K^+ \pi^-) - A_{CP}(K^+ \pi^0) \frac{2 BF(K^+ \pi^0) \tau_0}{BF(K^+ \pi^-) \tau_+} + A_{CP}(K^0 \pi^+) \frac{BF(K^0 \pi^+) \tau_0}{BF(K^+ \pi^-) \tau_+}$$

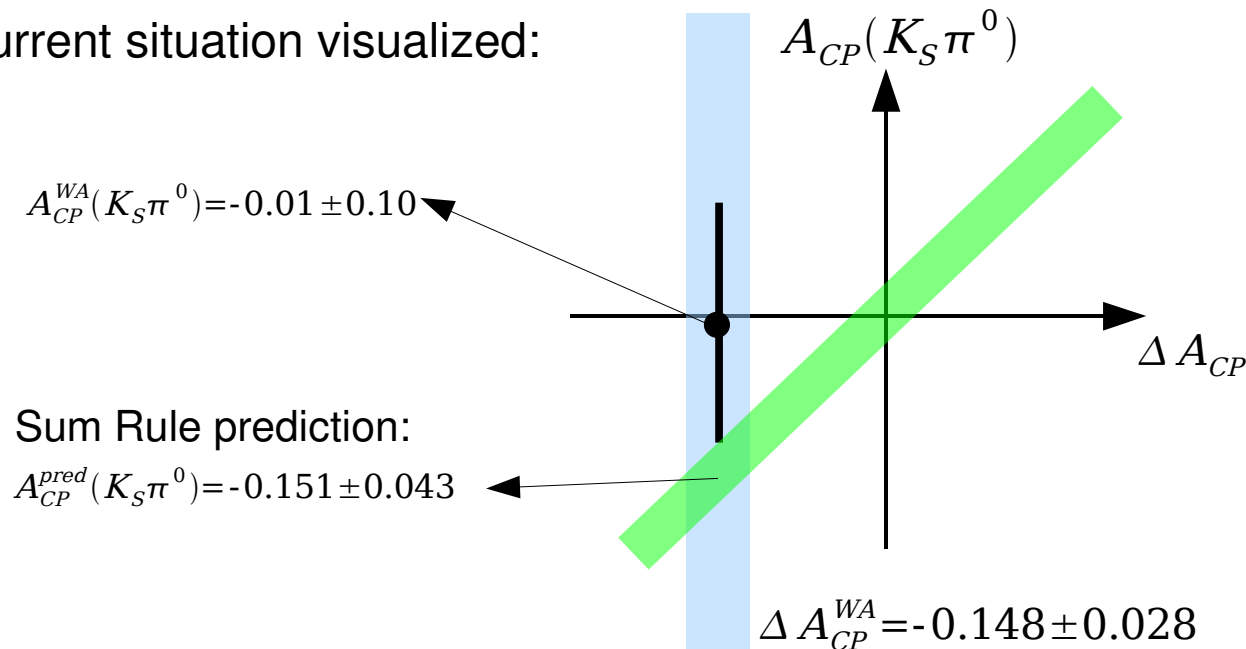
$$A_{CP}(K_S \pi^0) \approx A_{CP}(K^+ \pi^-) - A_{CP}(K^+ \pi^0) + A_{CP}(K^0 \pi^+) \quad (\text{Simplified version})$$

Gronau, PLB 627, 82 (2005); Atwood & Soni, PRD 58, 036005 (1998)

Gronau, Pirjol & Zupan, 1001.0702 [hep-ph]: Violation of sum rule at most -2%

Violation of sum rule beyond this level  $\Rightarrow$  Evidence for NP in  $\Delta I=1$  trans. ( $P_{EW} & P_{EW}^C$ )

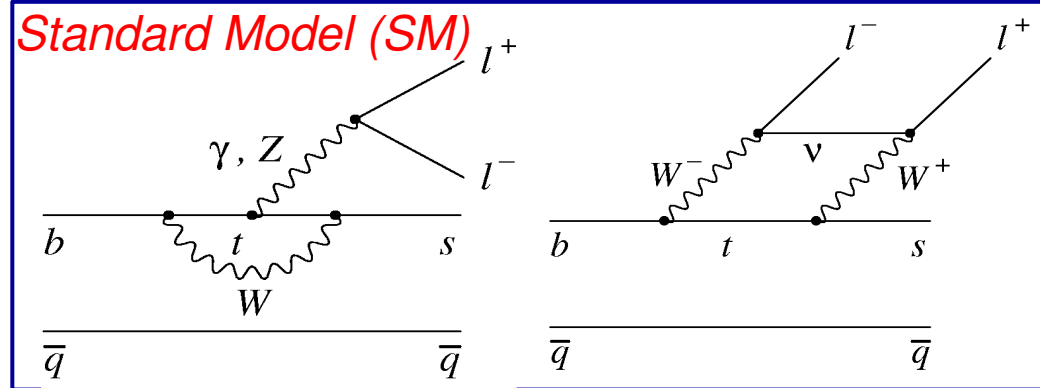
- Current situation visualized:



Clarification possible  
 @ Super-B  
 ( $\pi^0$  reconstruction  
 difficult @ LHCb)

# $b \rightarrow s$ $ll$ Decays: Standard Model and New Physics <sup>11</sup>

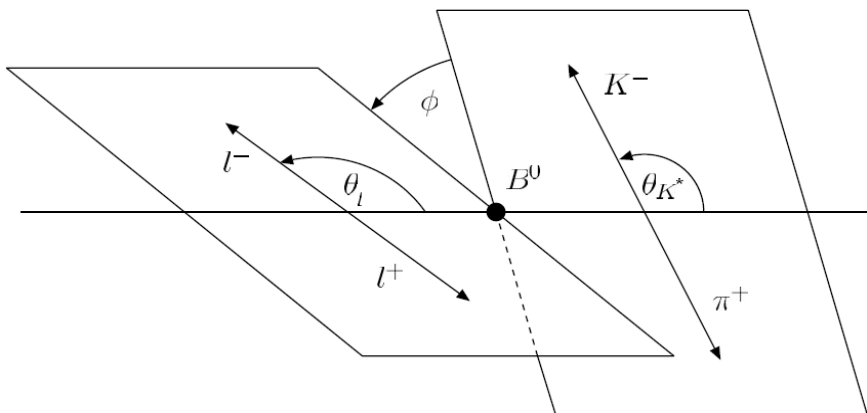
- SM:  $b \rightarrow s ll$  forbidden @ tree level
- Sensitive to New Physics



Three Wilson coefficients:

- $C_7^{\text{eff}}$  from photon penguin: -  $\text{BR}(b \rightarrow s \gamma)$  sensitive to  $|C_7^{\text{eff}}|$   
-  $b \rightarrow s ll$  sensitive to  $\text{Sign}(C_7^{\text{eff}})$
- $C_9^{\text{eff}}(C_{10}^{\text{eff}})$  from vector (axial-vector) contributions of Z, W box diagrams
- NP could modify  $C_i$ 's or contribute additional scalar and pseudo-scalar terms
- **Inclusive  $b \rightarrow s ll$ :** - Theoretical calculation good to  $\sim 10\%$   
- Experimentally difficult
- **Exclusive  $b \rightarrow s ll$ :** - Large theoretical uncertainties in BRs ( $\sim 30\%$ )  
Uncertainties cancel partly in angular distributions & asymmetries  
- Experimentally much easier

# $B \rightarrow K^* l^+ l^-$ Decay Angle Distributions



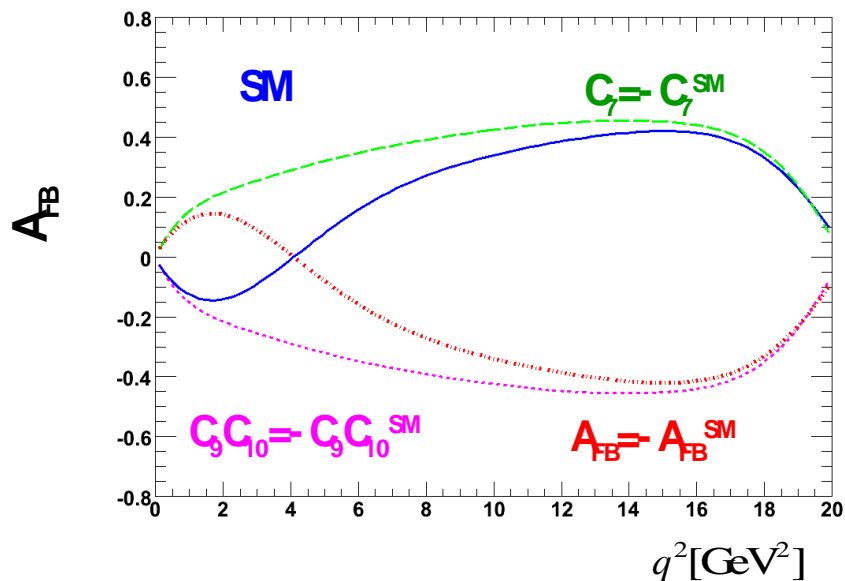
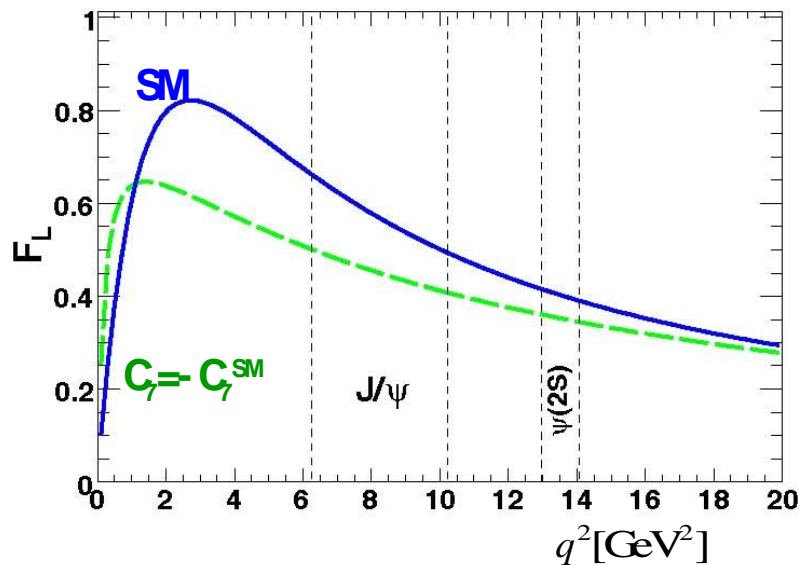
- $B \rightarrow K^* l^+ l^-$  kinematics:  $q^2$ ,  $\theta_l$ ,  $\theta_{K^*}$ ,  $\phi$

4-dim. fit difficult with small statistics =>  
Fit for

$K^*$  longitudinal polarization  $F_L$  &  
lepton forward-backward asymmetry  $A_{FB}$   
in Bins of  $q^2$

$$\frac{d\Gamma}{d \cos \theta_{K^*}} = \frac{3}{2} F_L \cos^2 \theta_{K^*} + \frac{3}{4} (1 - F_L) (1 - \cos^2 \theta_{K^*})$$

$$\frac{d\Gamma}{d \cos \theta_l} = A_{FB} \cos \theta_l + \frac{3}{4} F_L (1 - \cos^2 \theta_l) + \frac{3}{8} (1 - F_L) (1 + \cos^2 \theta_l)$$



# $B \rightarrow K^* l^+ l^-$ reconstruction and event yields

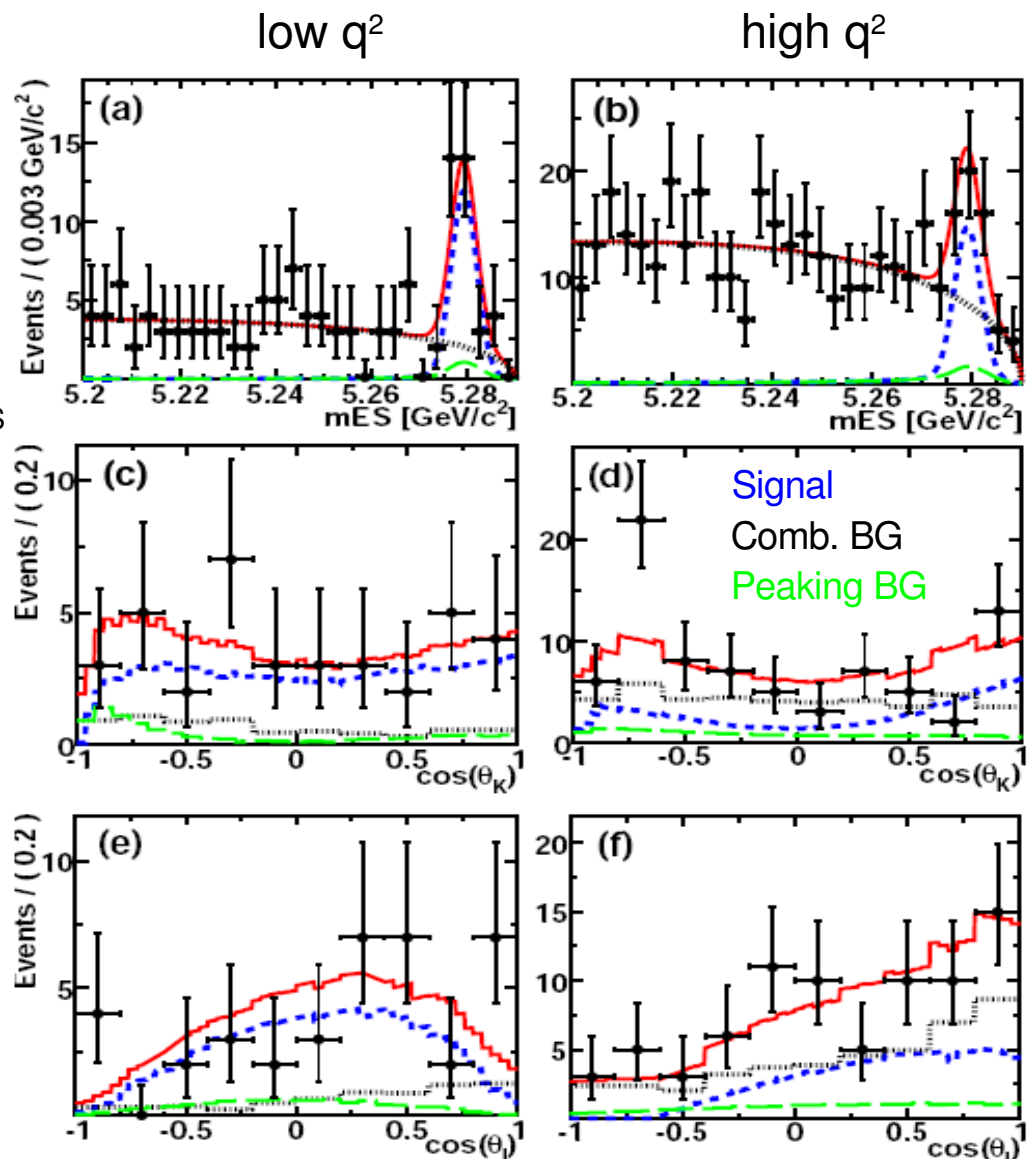
13

- 10 reconstructed final states:  $K^+ \pi^-$ ,  $K^+ \pi^0$ ,  $K^0_S \pi^+$  and  $e^+ e^-$ ,  $\mu^+ \mu^-$
- Neural networks suppress BG from continuum and B/D semilep. decays
- Vetoes for  $B \rightarrow D(K^*) \pi \pi$   
&  $B \rightarrow K^* J/\psi (\psi(2S))$
- Cut on  $\Delta E$ , signal yield from fit to  $m_{ES}$
- Signal & BG yield fixed and fit for  $F_L$  in  $\theta_{K^*}$  distribution
- Fix  $F_L$  and fit for  $A_{FB}$  in  $\theta_l$  distribution

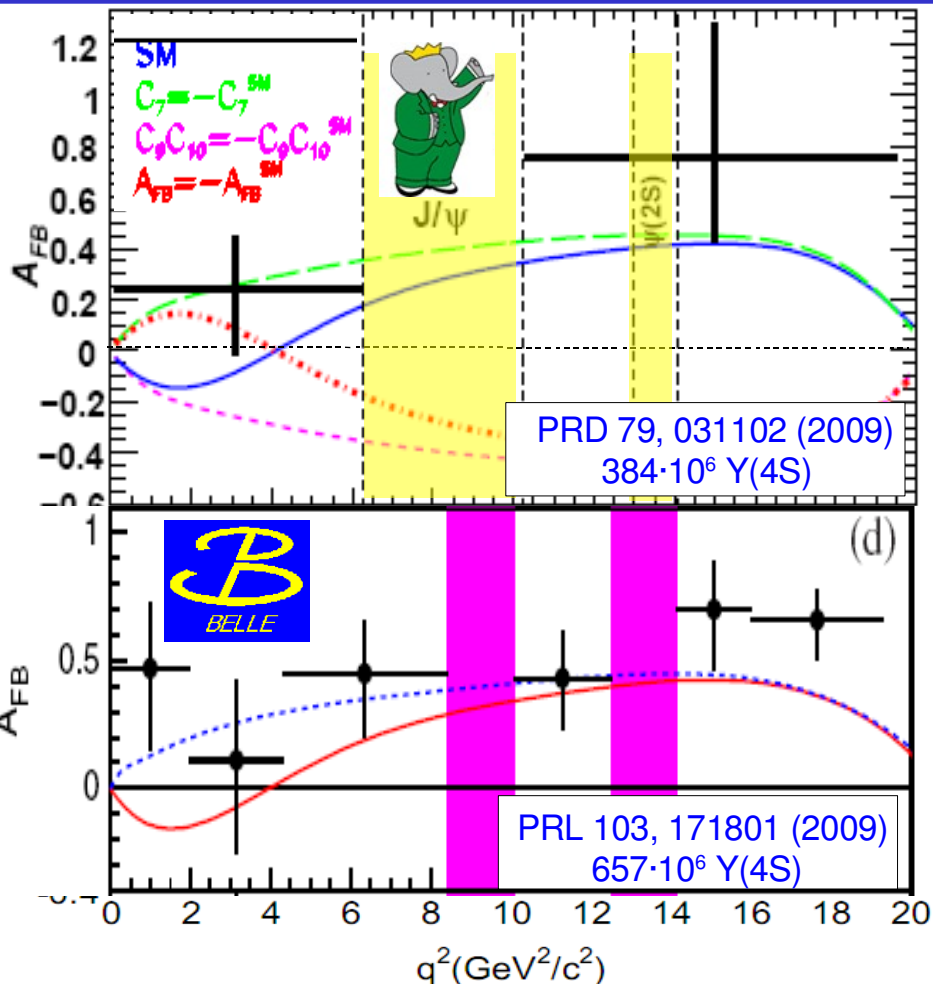
BaBar:  $384 \cdot 10^6$  Y(4S)

PRL 102, 091803 (2009)

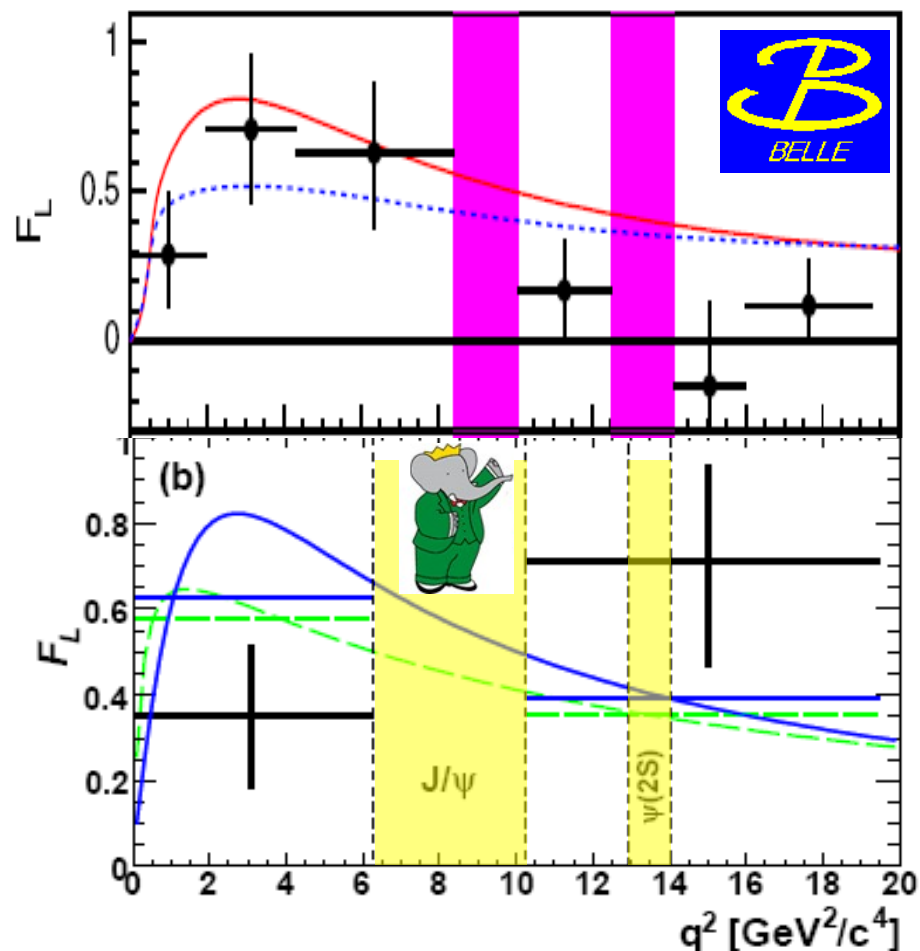
PRD-RC 79, 031102 (2009)



# $K^*$ Longitudinal Polarization $F_L$ and lepton $A_{FB}$



- \* Good agreement between BaBar & Belle
- \*  $-C_9 C_{10}^{SM}$  disfavored
- \* Data above SM pred. (more data needed)
- \* Need theory error; treatment of resonances?



- \* Good agreement between BaBar & Belle @low  $q^2$ , but deviation of  $2.5\sigma$  @high  $q^2$
- \* Reasonable agreement between data & SM

# D-Mixing

$$i \frac{\partial}{\partial t} \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix} = \left( \mathbf{M} - \frac{i}{2} \mathbf{\Gamma} \right) \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix}$$

Mass eigenstates:

$$|D_1\rangle = p |D^0\rangle + q |\bar{D}^0\rangle$$

$$|D_2\rangle = p |D^0\rangle - q |\bar{D}^0\rangle$$

with Eigenvalues:  $m_{1,2} + i \Gamma_{1,2}/2$  ( $m = (m_1 + m_2)/2$ ,  $\Gamma = (\Gamma_1 + \Gamma_2)/2$ )

Mixing parameters:

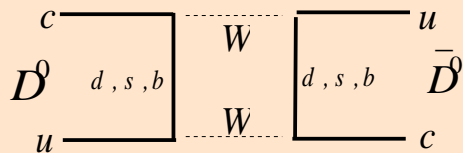
$$x = \frac{m_1 - m_2}{\Gamma} \quad y = \frac{\Gamma_1 - \Gamma_2}{2\Gamma} \quad \left| \frac{q}{p} \right| \quad \phi_M = \text{Arg} \left( \frac{q}{p} \right)$$

$D^0 \rightarrow \bar{D}^0 \xrightarrow{\bar{A}_f} f$   
 $D^0 \xrightarrow{A_f} f$

$$\lambda_f = \frac{q}{p} \frac{\bar{A}_f}{A_f} \propto e^{i(\delta_{Strong}^f + \phi_{weak}^f + \phi_M)}$$

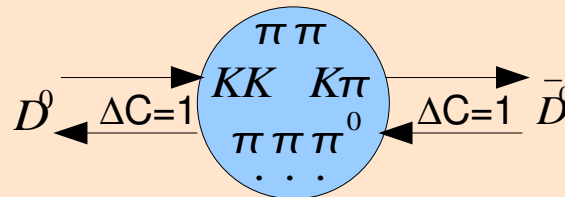
CPV in decay  
 CPV in  $\left| \frac{q}{p} \right| \neq 1$   
 CPV in interference between decay with and w/o mixing

$\Delta C=2$  (short distance)  
contributing mainly to x



$b$  : CKM-suppressed  $((V_{ub} V_{cb}^*)^2)$   
 $d, s$  : GIM-suppressed  $((m_s^2 - m_d^2)/m_c^2) \sim 10^{-5}$

Hadronic intermediate states  
(long distance)



Recent predictions:  
 $|x| \leq 1\%$   $|y| \leq 1\%$

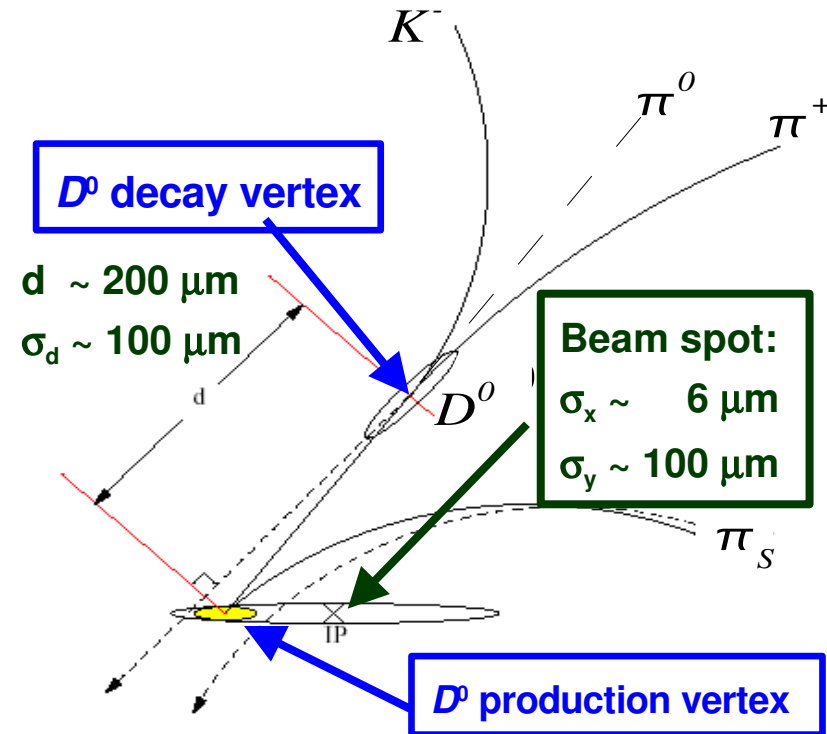
Signals for NP:

- \*  $|x| \gg |y|$
- \* Evidence for CPV



# D-Mixing at BABAR

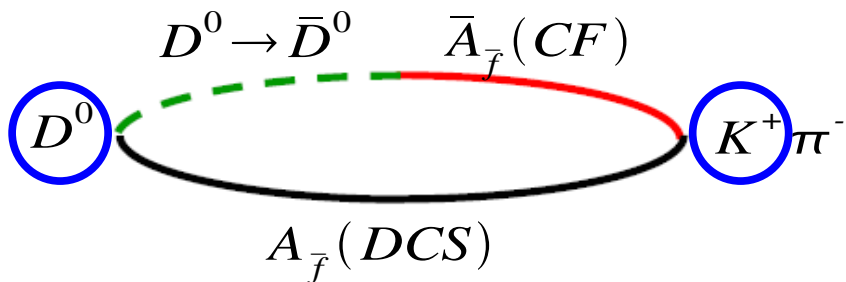
- Good vertex resolution  
=> time-dependence of  $D^0$  decays
- Eliminate D's from B's by cutting out low-momentum D's:  
better S/B & avoids bias in vertexing
- Excellent K/ $\pi$  separation (DIRC, dE/dx)
- Clean D sample from  $D^{*+} \rightarrow D^0 \pi_S^+$
- Tag flavour of  $D^0$  at production from  $\pi_S$  charge
- Untagged events for CP-conserving quantities



Analyses:	Mixing	CPV
	$D^0 \rightarrow K \pi$	$D^0 \rightarrow K K, \pi \pi$
	$D^0 \rightarrow K K, \pi \pi$	$D^0 \rightarrow \pi \pi \pi^0$
	$D^0 \rightarrow K \pi \pi^0$	$D^0 \rightarrow K K \pi^0$
	$D^0 \rightarrow K^{(*)} l \nu$	
	$D^0 \rightarrow K_S \pi \pi$	

# Hadronic Decays accessible to both $D$ -Mesons

Tag  $D^0$  and reconstruct “wrong sign” (WS) kaon final state  $K \pi$ :



$$x' = x \cos \delta + y \sin \delta$$

$$y' = y \cos \delta - x \sin \delta$$

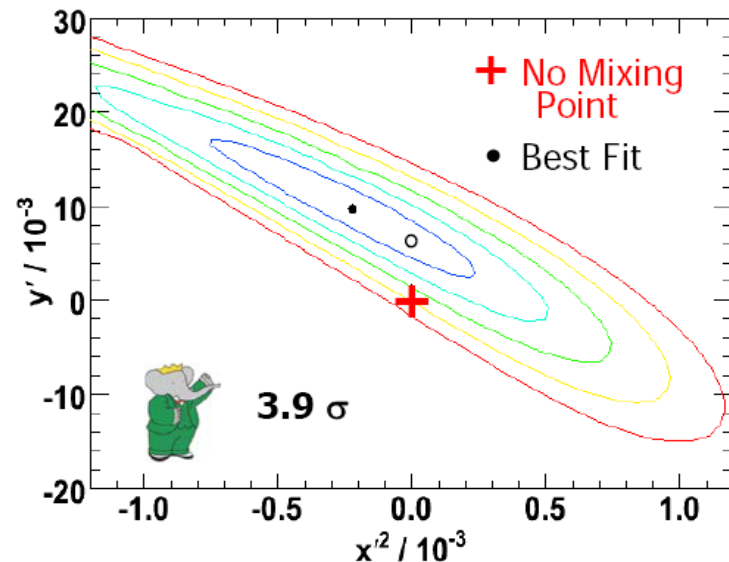
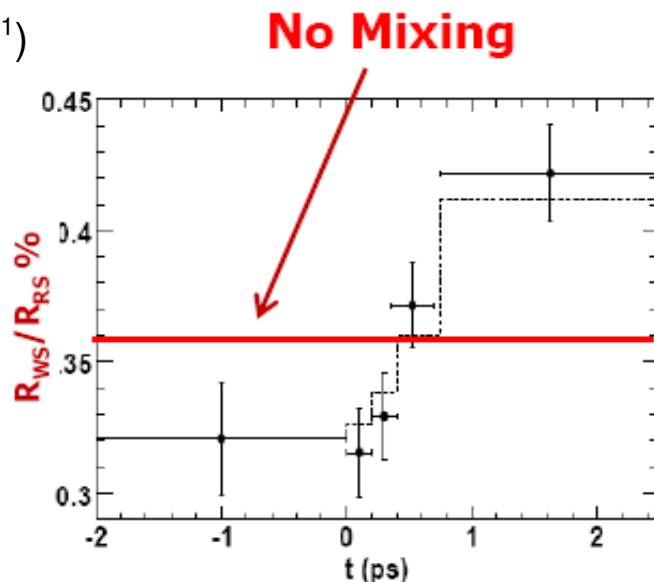
Strong phase  $\delta$   
 unknown in this case

WS decay rate for  $|x|, |y| \ll 1$ :

$$\frac{R_{WS}}{e^{-\Gamma t}} \propto \underbrace{|A_{\bar{f}}|^2}_{\text{DCS rate}} + \underbrace{y' |A_{\bar{f}}| |\bar{A}_{\bar{f}}|}_{\text{DCS-Mixing interference}} \cdot \Gamma \cdot t + \underbrace{\frac{x'^2 + y'^2}{4} |\bar{A}_{\bar{f}}|^2}_{\text{Mixing rate}} \cdot (\Gamma \cdot t)^2$$

“Right Sign” (RS) rate dominated by  $A_f \Rightarrow R_{WS}(D^0 \rightarrow K^- \pi^+) \propto e^{-\Gamma t}$

Tagged analysis ( $384 \text{ fb}^{-1}$ )  
 PRL 98, 211802 (2007):

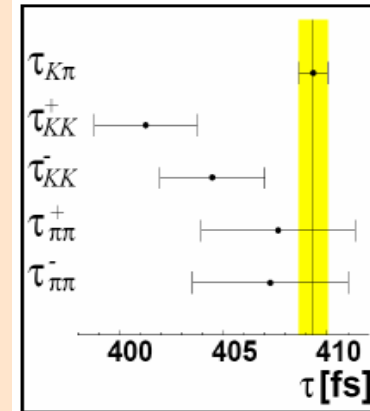
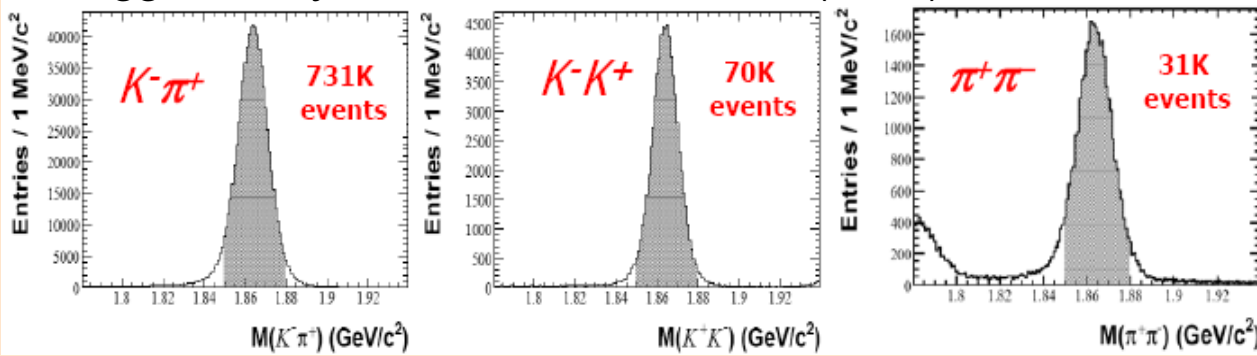


# Lifetime difference

No CPV  $\Rightarrow D_1$  CP-even,  $D_2$  CP-odd  $\Rightarrow y = y_{CP} = \frac{\langle \tau_{K\pi} \rangle}{\langle \tau_{hh} \rangle} - 1$ ,  $\langle \tau_{hh} \rangle = \frac{(\tau^-(D^0 \rightarrow hh) + \tau^+(\bar{D}^0 \rightarrow hh))}{2}$

Possible CPV:  $\Delta Y = \frac{\tau_{K\pi}}{\langle \tau_{hh} \rangle} A_\tau$  with  $A_\tau = \frac{\tau^-(\bar{D}^0 \rightarrow h^+ h^-) - \tau^+(D^0 \rightarrow h^+ h^-)}{\tau^-(\bar{D}^0 \rightarrow h^+ h^-) + \tau^+(D^0 \rightarrow h^+ h^-)}$

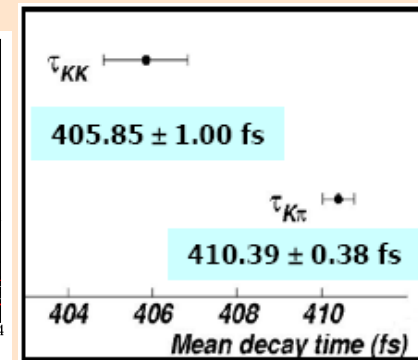
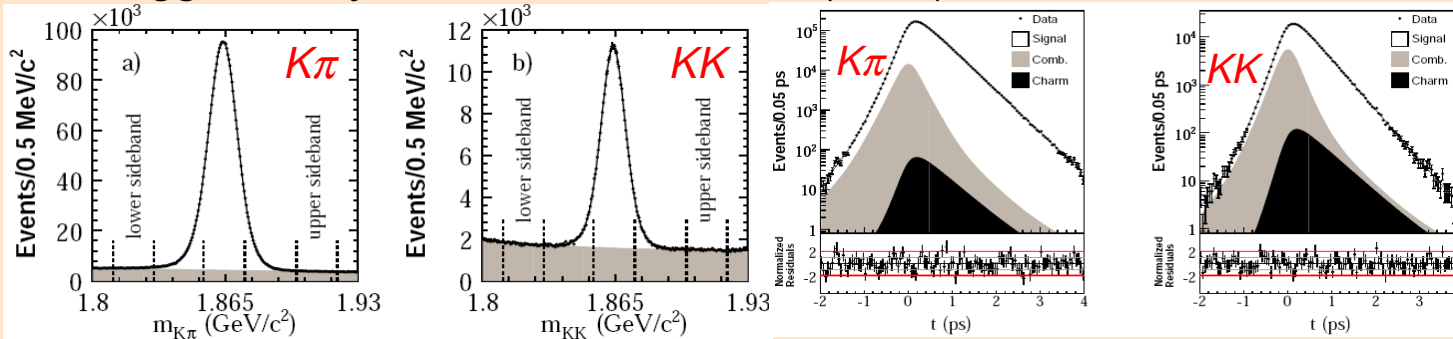
Tagged analysis: PRD 78, 011105 (2008) – 384 fb<sup>-1</sup>



No-mixing excluded @ 3.0 $\sigma$

No sign of CPV

Untagged analysis: PRL 103, 211801 (2009) – 384 fb<sup>-1</sup>



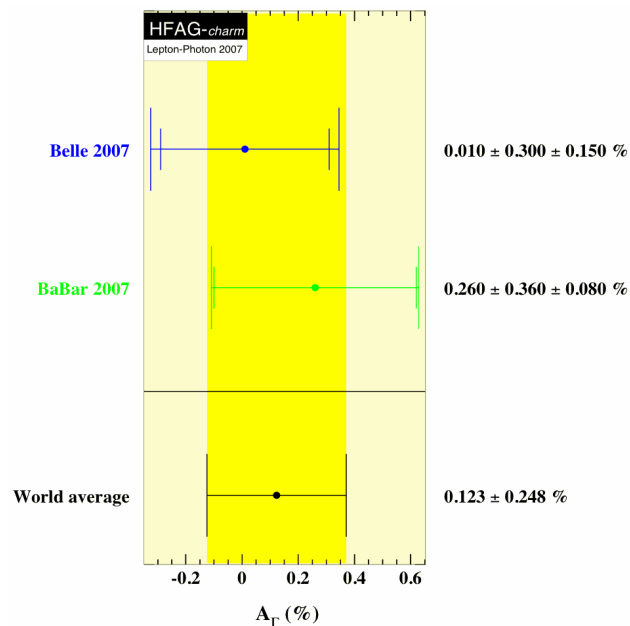
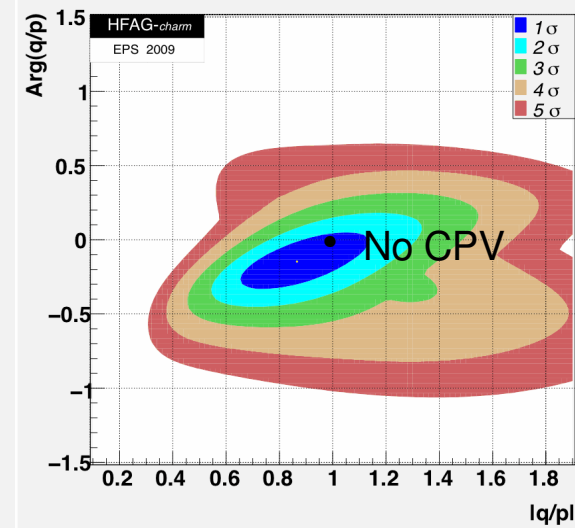
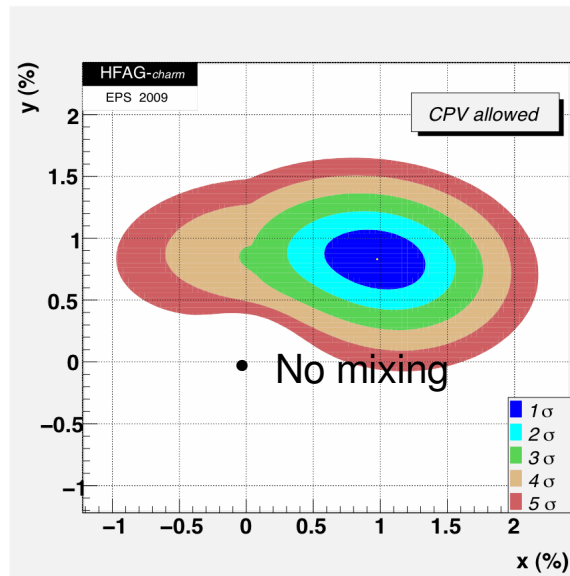
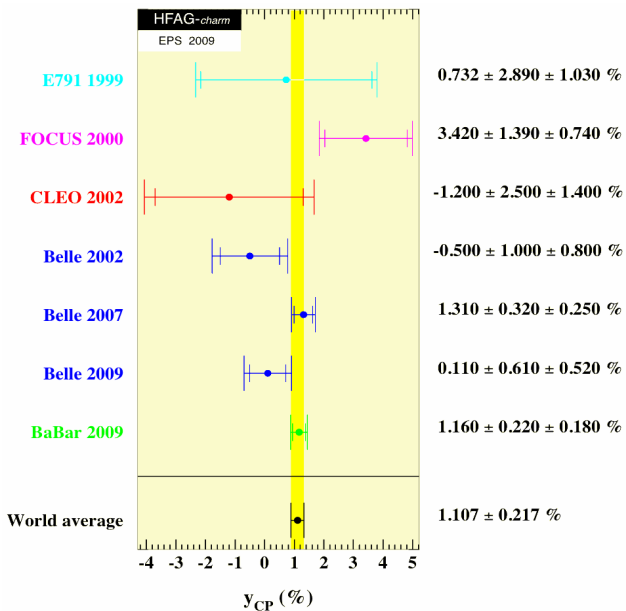
$$y_{CP}^{untagged} = (1.12 \pm 0.26(stat.) \pm 0.22(sys.))\% \quad (3.3\sigma)$$

$$y_{CP}^{tagged} = (1.24 \pm 0.39(stat.) \pm 0.13(sys.))\% \quad (3.0\sigma)$$

$$\Rightarrow y_{CP} = (1.16 \pm 0.22(stat.) \pm 0.18(sys.))\% \quad (4.1\sigma)$$

(Stat. errors: uncorr., sys. errors: 100% corr.)

# D-Mixing: Summary



$x = (0.98^{+0.24}_{-0.26}) \%$	$y = (0.83 \pm 0.16) \%$
$ q/p  = 0.87^{+0.17}_{-0.15}$	$\phi_M = (-8.5^{+7.4}_{-7.0})$ degrees
$\delta_{K\pi} = (26.4^{+9.6}_{-9.9})$ degrees	$\delta_{K\pi\pi} = (14.8^{+20.2}_{-22.1})$ degrees
$R_D = (0.337 \pm 0.009) \%$	$A_D = (-2.2 \pm 2.4) \%$

- No-mixing hypothesis excluded @10.2σ
- $x \sim y \sim 1\%$
- No CPV at current precision which would mean NP

# Summary

- All loop flavour observables sensitive to 4<sup>th</sup> generation t' with one exception: D-system (e.g. mixing): only flavour observables sensitive to b'
- **B→Kπ**: Strikingly large  $\Delta A_{CP}$  but only conclusive once isospin sum rule tested with much higher precision since C~T could very well drive large  $\Delta A_{CP}$  and not  $P_{EW} \rightarrow \text{Super-B (K}_S\pi^0)$
- **B→K\*II**: Interesting effects seen in  $A_{FB}/F_L$  but not conclusive yet  
BABAR: less precision (less statistics,  $\epsilon_\mu$ , 1D-ML fit) than Belle  
→ LHCb, Super-B  
Besides more statistics also more work on theory errors needed
- **D-Mixing**: Very nice success for B-factories, CLEO-C, FOCUS, etc.  
More to come from BES-III (Super-B?)  
Constraint on  $|V_{cb'} V_{ub'}^*| < 0.002$  for  $m_{b'} > 200$  GeV (theory error?)  
(Golowich et al., PRD76:095009, (2007))
- Some slight improvements still possible from B-factories in these areas