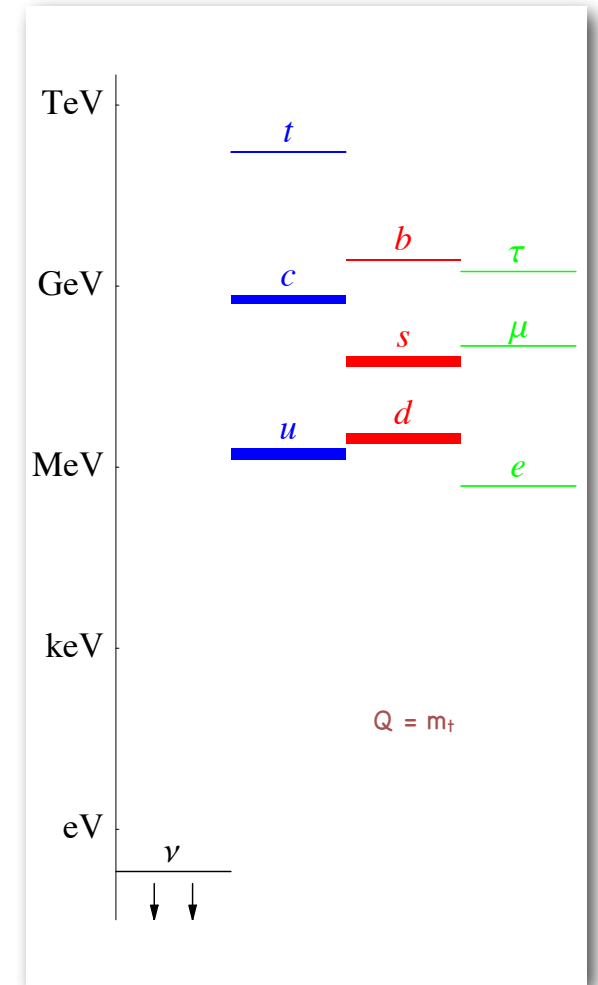


Neutrino masses

Neutrino masses are small

- Neutrino have masses (oscillations)
- Natural scale of fermion masses: $\langle H \rangle \approx 174 \text{ GeV}$
- Why $m_\nu / \langle H \rangle < 10^{-12}$?
- Must have a different origin than $m_e / \langle H \rangle \approx 0.3 \times 10^{-5}$
 - quantitatively larger hierarchy
 - family independent
 - compelling understanding available



- Reminder: most general mass term with ψ_1, \dots, ψ_n

$$\frac{m_{ij}}{2} \psi_i \psi_j + \text{h.c.} \quad (\text{only gauge invariant terms})$$

$$(\psi_1 \psi_2 = \psi_2 \psi_1 = \psi_1^\alpha \epsilon_{\alpha\beta} \psi_2^\beta)$$

Neutrino masses in QED + QCD

- Elementary L-handed fermions (1 family)

	d	d ^c	u	u ^c	e	e ^c	ν
Q	-1/3	1/3	2/3	-2/3	-1	1	0
SU(3) _c	3	3*	3	3*	1	1	1

- Most general invariant mass terms: $m_d d^c d + m_u u^c u + m_e e^c e + \frac{m_\nu}{2} \nu \nu$

- Both charged leptons and neutrinos are equally allowed to get a mass term (although of different type)

Neutrino masses in the SM

- Elementary L-handed fermions

	Q_i	d^c_i	u^c_i	L_i	e^c_i
SU(3)	3	3^*	3^*	1	1
SU(2)	2	1	1	2	1
Y	1/6	1/3	-2/3	-1/2	1

- Most general invariant mass terms: none

$$m_d = \lambda_d v$$

- After EWSB

$$m_u = \lambda_u v$$

$$m_e = \lambda_e v$$

$$m_\nu = 0$$

a SM success

- $m_\nu = 0$ in the SM is a nice starting point but

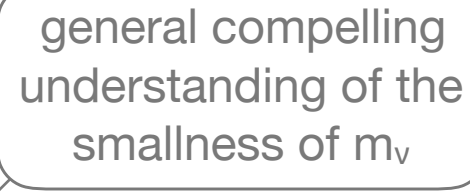
- $m_\nu \neq 0$ needs extra ingredients

- 2 main options:

1. the new ingredients live at $M \gg M_Z$ (example: see-saw)

2. the new ingredients live at $M \lesssim M_Z$ (example: Dirac neutrinos)

general compelling
understanding of the
smallness of m_ν

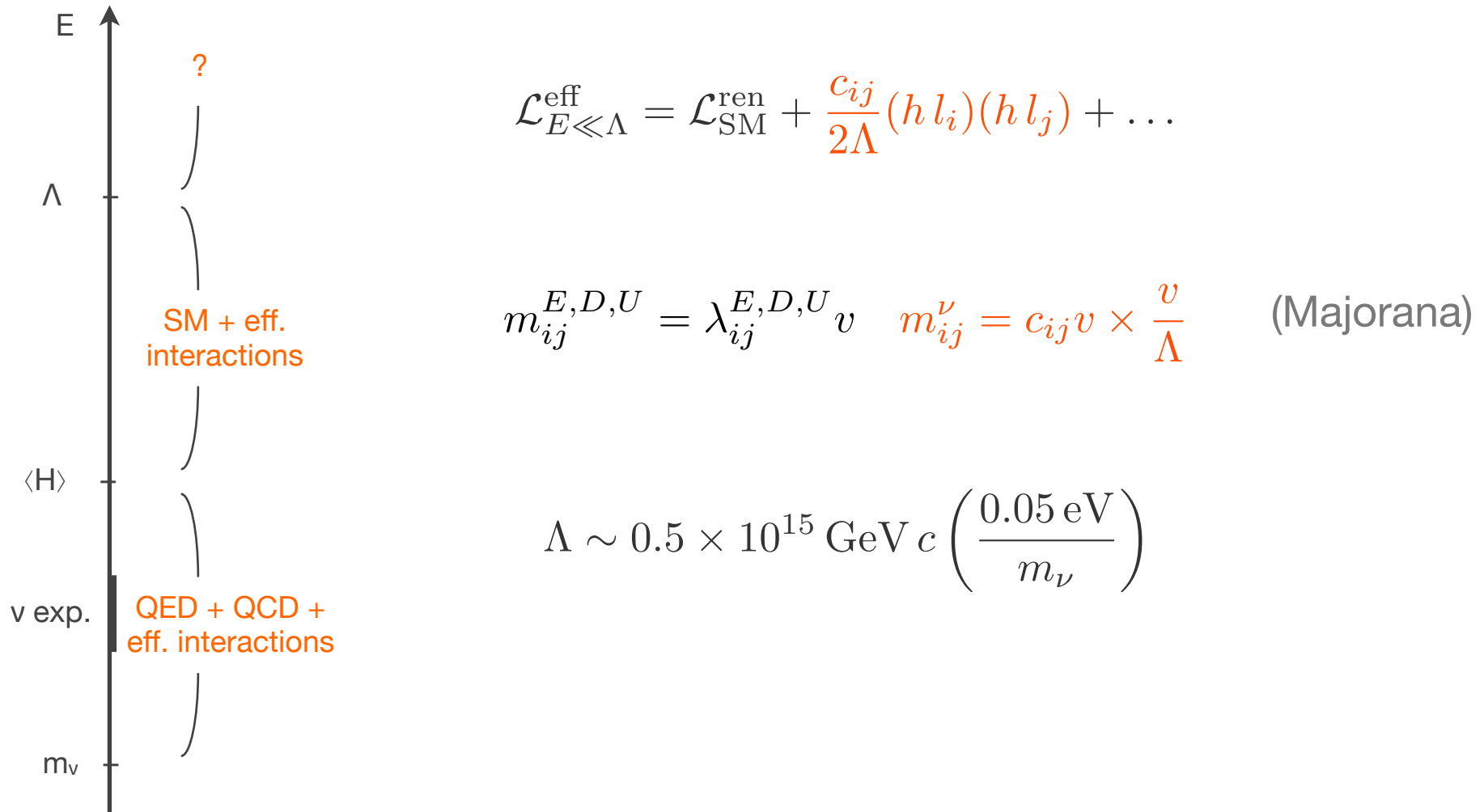


Option 1: $M \gg M_Z$

Theorem (reminder)

- * The effect of any high scale [$M \gg M_Z$] physics [responsible for neutrino masses] can be described at low E by effective interactions involving only light [SM] dofs and symmetries (no need to know the microscopic theory and dofs) suppressed by M
- * Example: SM interactions can be described at $E \ll M_Z$ by effective Fermi interaction involving only light fermions

Neutrino masses



- **Compelling:**

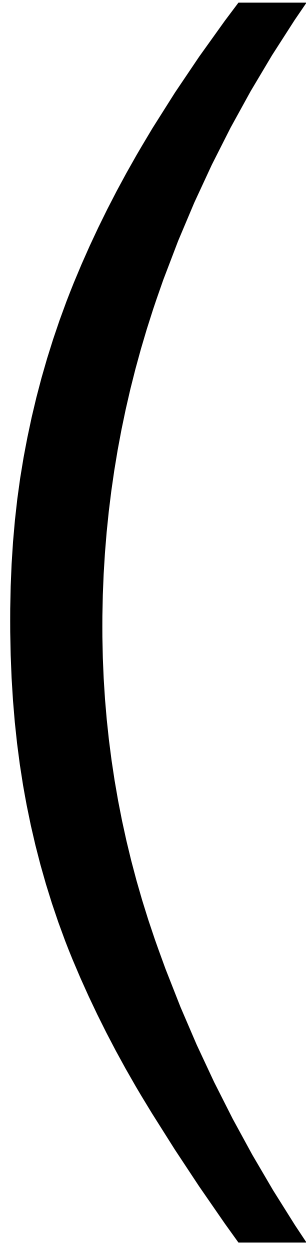
- An elegant, economical, and model-independent understanding of the smallness of neutrino masses in terms of the heaviness of the scale at which L is violated.

- **What makes neutrinos special?**

- They are the only fermions in the SM for which a mass does not arise (after EWSB) from a renormalisable interaction with the Higgs fields. They turn out to be Majorana.

- **BUT:**

- Could not ν have a light ν^c partner as all other SM fermions?



"Right-handed" neutrinos

$$\begin{pmatrix} u \\ d \end{pmatrix} \quad \begin{pmatrix} u^c \\ d^c \end{pmatrix} \quad \begin{pmatrix} \nu \\ e \end{pmatrix} \quad \begin{pmatrix} \nu^c \\ e^c \end{pmatrix} \quad \text{SU(3)}_C \times \text{SU(3)}_F \times \text{SU(2)}_W \times \text{U(1)}_Y \times \text{U(1)}_{B-L}$$

"Right-handed" neutrinos

$$\begin{pmatrix} u \\ d \end{pmatrix} \quad u^c \quad d^c \quad \begin{pmatrix} \nu \\ e \end{pmatrix} \quad \nu^c \quad e^c \quad \text{SU}(3)_c \times \text{SU}(2)_W \times \text{U}(1)_Y$$

$$\lambda_\nu \nu_c LH \rightarrow m_\nu = \lambda_\nu v \quad (\text{like the other fermions})$$

ν_c is a SM singlet and can therefore be heavy

$$\mathcal{L}_{\text{HE}} \supset -\frac{M}{2} \nu^c \nu^c \quad (\text{unlike the other fermions})$$

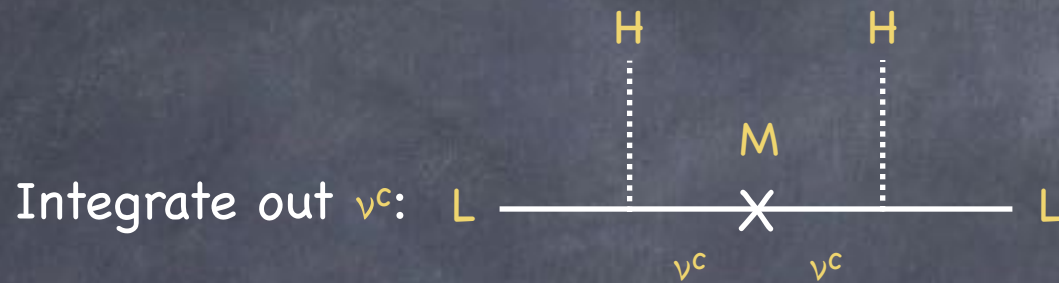
Neutrino masses in the SM + ν^c

- Elementary L-handed fermions

	Q_i	d_i^c	u_i^c	L_i	e_i^c	ν_i^c
SU(3)	3	3^*	3^*	1	1	1
SU(2)	2	1	1	2	1	1
Y	1/6	1/3	-2/3	-1/2	1	0

- Most general invariant mass terms: $\frac{M_{ij}}{2} \nu_i^c \nu_j^c$

See-saw



$$\frac{c}{\Lambda} (HL)(HL)$$

$$\frac{c}{\Lambda} = -\lambda^T \frac{1}{M} \lambda$$

$$m_\nu = -m_D^T \frac{1}{M} m_D$$

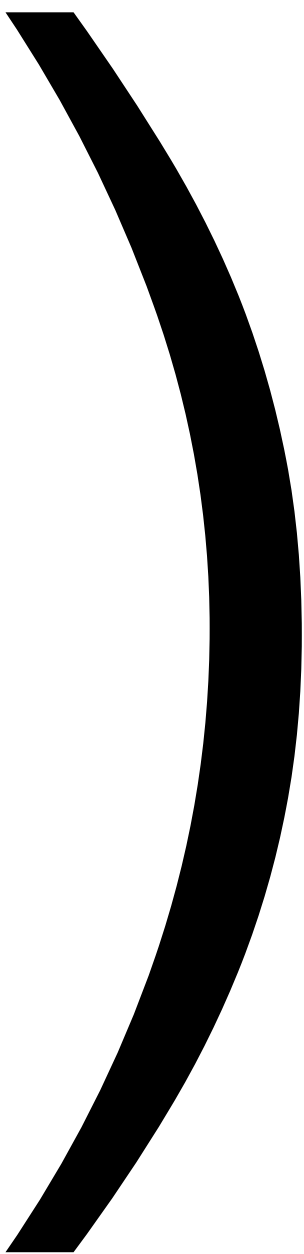
Majorana

exercise

$$\mathcal{L}(L_i, H, \nu_i^c) = \lambda_{ij} \nu_i^c L_j H + \frac{M_{ij}}{2} \nu_i^c \nu_j^c$$

e.o.m. (neglect kinetic term): $\frac{\partial \mathcal{L}}{\partial \nu_i^c} = 0$

$$\mathcal{L}_{\text{eff}}(L_i, H) = ?$$

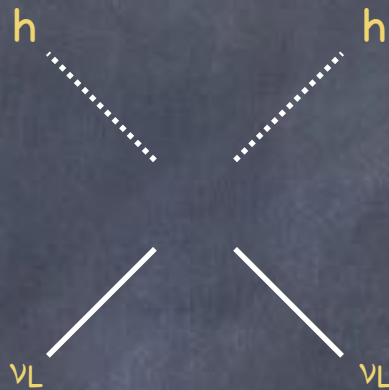
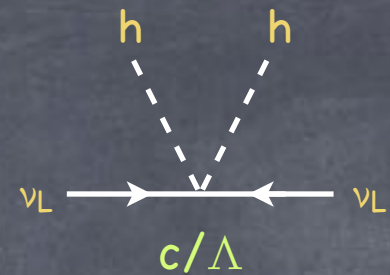


Theorem (reminder)

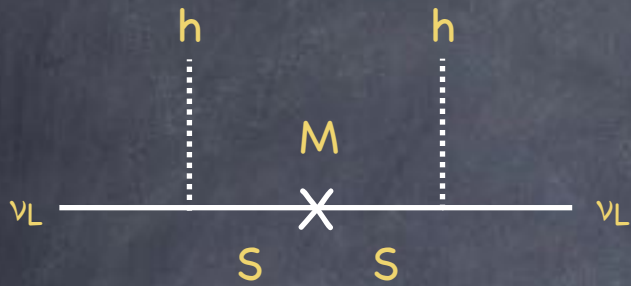
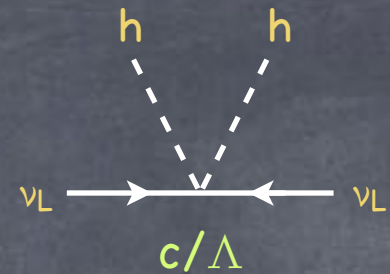
- * The effect of any high scale [$M \gg M_Z$] physics [responsible for neutrino masses] can be described at low E by effective interactions involving only light [SM] dofs and symmetries (no need to know the microscopic theory and dofs) suppressed by M
- * Example: SM interactions can be described at $E \ll M_Z$ by effective Fermi interaction involving only light fermions
- * If the higher E theory is known, the specific form of the NR remnants can be derived
- * If the higher E theory is unknown: i) model-independent parameterization of NP, ii) the experimental determination of effective interactions tells us about the microscopic theory
 - e.g.: Fermi Gamow-Teller Sudarshan-Marshak interaction

$$\frac{G_F}{\sqrt{2}} \bar{\psi}_1 \Gamma^A \psi_2 \bar{\psi}_3 \Gamma_A \psi_4 \rightarrow \frac{G_F}{\sqrt{2}} \bar{\psi}_1 \gamma^\mu (1 - \gamma_5) \psi_2 \bar{\psi}_3 \gamma_\mu (1 - \gamma_5) \psi_4 \rightarrow \text{SM}$$

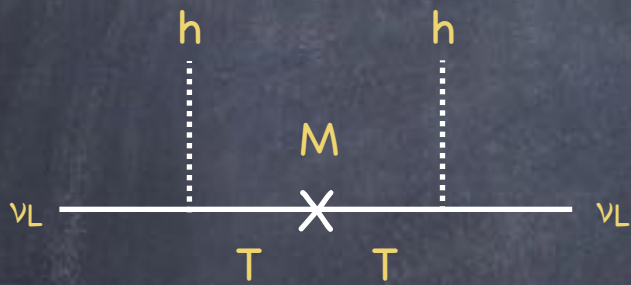
Possible (tree level) origins of



Possible (tree level) origins of

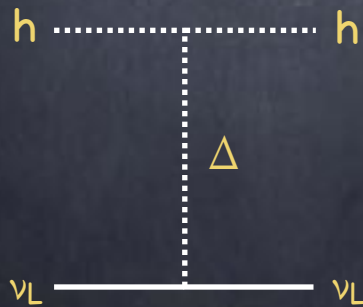
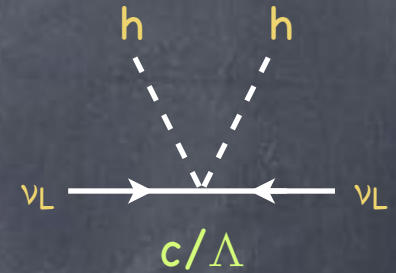


See-saw **type I**
 S : SM singlet



See-saw **type III**
 T : $SU(2)_L$ triplet, $Y = 0$

$E \ll M$



See-saw **type II**
 T : $SU(2)_L$ triplet, $Y = 1$

Option 2: $M \approx M_z$

Example: Dirac neutrinos

- Lepton number is “exactly” conserved: $h_{ij} = 0$
- Neutrino masses then need an $L = -1$ neutrino ν^c

$$m_{ij}^N \nu_i^c \nu_j + m_{ij}^E e_i^c e_j + m_{ij}^D d_i^c d_j + m_{ij}^U u_i^c u_j + \text{h.c.}$$

- In the SM:

$$\begin{aligned} \mathcal{L}_{\text{SM}}^{\text{flavor}} &= \lambda_{ij}^N \nu_i^c L_j H + \lambda_{ij}^E e_i^c L_j H^\dagger + \lambda_{ij}^U u_i^c Q_j H + \lambda_{ij}^D d_i^c Q_j H^\dagger + \text{h.c.} \\ &= m_{ij}^N \nu_i^c \nu_j + m_{ij}^E e_i^c e_j + m_{ij}^U u_i^c u_j + m_{ij}^D d_i^c d_j + \text{h.c.} + \dots \end{aligned}$$

$$m_{ij}^N = \lambda_{ij}^N v \quad m_{ij}^E = \lambda_{ij}^E v \quad m_{ij}^D = \lambda_{ij}^D v \quad m_{ij}^U = \lambda_{ij}^U v$$

- Needs L and $\lambda^N < 10^{-11}$: why?

Low scale lepton number violation

- singlet neutrino mass $M < v$ (EW scale)
- effective description not sound anymore
- $\lambda \approx 10^{-6} (M/v)^{1/2}$

Low-scale origin of L-violation (1)

- TeV-scale see-saw

- ν^c with $M \approx \text{TeV}$

- Probe ν^c through $\lambda \nu^c LH$: $m_\nu = -m_D^T \frac{1}{M} m_D$, $m_D = \lambda \langle H \rangle$

- $M \sim \text{TeV} \Rightarrow \lambda = \frac{m_D}{\langle H \rangle} \sim 10^{-6} \left(\frac{m_\nu}{0.05 \text{ eV}} \right)^{1/2} \left(\frac{M}{\text{TeV}} \right)^{1/2}$

too small for LHC

- Unless $\lambda \gg 10^{-7}$ + cancellations in $m_\nu = -m_D^T \frac{1}{M} m_D$
(2 or more ν^c 's)

- "magical", e.g.: $m_\nu = 0$ + corrections if

$$m_{nj}^D = \alpha_n \beta_j m_0, \quad M_R = \text{Diag}(M_1 \dots M_n), \quad \sum_n \alpha_n^2 M_n = 0$$

- natural, e.g.:

$$L_e, L_\mu, L_\tau, (\nu_R)_1 \equiv N \text{ have } L = 1, (\nu_R)_2 \equiv N' \text{ has } L = -1$$

Low-scale origin of L-violation (2)

- (R_P-violating) supersymmetry
- Supersymmetry does not guarantee (accidental) L (or B) conservation, unlike the SM: $H_d \approx L_i$

$$W = \lambda_{ij}^U u_i^c Q_j H_u + \lambda_{ij}^D d_i^c Q_j H_d + \lambda_{ij}^E e_i^c L_j H_d + \mu H_u H_d \\ + \lambda''_{ijk} u_i^c d_j^c d_k^c + \lambda'_{ijk} L_i Q_j d_k^c + \lambda_{ijk} L_i L_j e_k^c + \mu_i H_u L_i$$

$$\mathcal{L}_{\text{soft}} = A_{ij}^U \tilde{u}_i^c \tilde{Q}_j H_u + A_{ij}^D \tilde{d}_i^c \tilde{Q}_j H_d + A_{ij}^E \tilde{e}_i^c \tilde{L}_j H_d + B\mu H_u H_d \\ + A''_{ijk} \tilde{u}_i^c \tilde{d}_j^c \tilde{d}_k^c + A'_{ijk} \tilde{L}_i \tilde{Q}_j \tilde{d}_k^c + A_{ijk} \tilde{L}_i \tilde{L}_j \tilde{e}_k^c + (B\mu)_i H_u \tilde{L}_i \\ + \tilde{m}_Q^2 \tilde{Q}^\dagger \tilde{Q} + (\tilde{m}_i^2 H_d^\dagger \tilde{L}_i + \text{h.c.}) + \text{gaugino masses}$$

- L and B violating terms controlled by $R_P = (-1)^{3(B-L)+2s}$
- A small R_P breaking:
 - induces $(h_{ij}/\Lambda)L_i L_j H H$, with $\Lambda = \tilde{m}$, $h \leftrightarrow$ small R_P breaking
 - makes the LSP unstable (could be any susy partner)

Majorana o Dirac?

Dirac vs Majorana at the particle level

- QFT: each particle p corresponds to an antiparticle \bar{p} (CPT)
- Particle and antiparticle have same mass, spin (opposite helicities if $m = 0$), opposite conserved charges
- CPT does not forbid $p = \bar{p}$; however this cannot happen if the particle is charged or, if $s \neq 0$, massless
- If $Q = 0$ and $m \neq 0$ a fermion can coincide with its antiparticle (Majorana fermion) or be independent of it (Dirac fermion)
- Neutrinos are the only known fermions that can be Majorana or Dirac, all other known fermions are Dirac
- The mass term of a Majorana fermion violates all charges carried by the fermion
- If neutrinos are Majorana, lepton number is violated

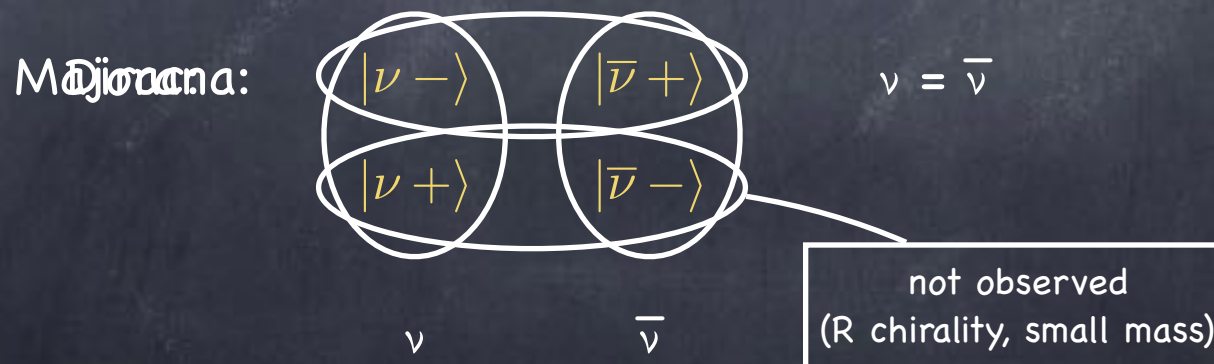
Dirac vs Majorana at the particle level

- $m = 0$

- the helicity is an invariant of a 1-particle state (1 dof)
- neutrino particles have 1 dof
- CC interactions only produce $|\nu -\rangle$ and $|\bar{\nu} +\rangle$ (L chirality)

- $m \neq 0$

- helicity depends on the reference frame, one particle states have 2 dofs corresponding to two opposite helicities



Dirac vs Majorana at the field level

ψ_i "L" fermions

ψ

Most general mass term: $\frac{m_{ij}}{2} \psi_i \psi_j$

$$\frac{m}{2} \psi \psi$$

m_{ij} symmetric

"Majorana"
breaks any charge of ψ

example: $\psi = \nu_L$
 $m \neq 0$ allowed by QED+QCD
breaks lepton number

Dirac vs Majorana at the field level

ψ_i "L" fermions

ψ, ψ^c

Most general mass term: $\frac{m_{ij}}{2} \psi_i \psi_j$

$$\underbrace{\frac{m_1}{2} \psi\psi + \frac{m_2}{2} \psi^c\psi^c}_{\text{"Majorana"}} + \underbrace{m\psi^c\psi}_{\text{"Dirac"}}$$

m_{ij} symmetric

"Majorana"

"Dirac"

$Q(\psi) + Q(\psi^c) = 0$
(all charged SM fermions)

(e.g. Dirac neutrino mass term: $m \bar{\nu}_R \nu_L$)

$$\psi^c = \bar{\nu}_R \quad \psi = \nu_L$$

needs ν_R

does not break lepton number)

(e.g. electron mass term: $m \bar{e}_R e_L$)

$$\psi^c = \bar{e}_R \quad \psi = e_L$$

Dirac vs Majorana in the interaction

* The difference shows up only in the $m \neq 0$ case:

- Dirac ($m = 0$)

$$\bar{\nu}_L|0\rangle = |\nu -\rangle$$

$$\nu_L|0\rangle = |\bar{\nu} +\rangle$$

- Majorana ($m = 0$)

$$\bar{\nu}_L|0\rangle = |\nu -\rangle$$

$$\nu_L|0\rangle = |\nu +\rangle$$

* In oscillations, once the $O(m/E)$ terms have been neglected:

- the helicity does not play a role
- there is no L-violation
- oscillation formulae are identical for Dirac and Majorana ν 's

Dirac vs Majorana (particle content)

* The difference shows up only in the $m \neq 0$ case:

- Dirac ($m \neq 0$)

$$\bar{\nu}_L|0\rangle = |\nu -\rangle + \mathcal{O}(m/E) |\nu +\rangle \qquad \nu_L|0\rangle = |\bar{\nu} +\rangle + \mathcal{O}(m/E) |\bar{\nu} -\rangle$$

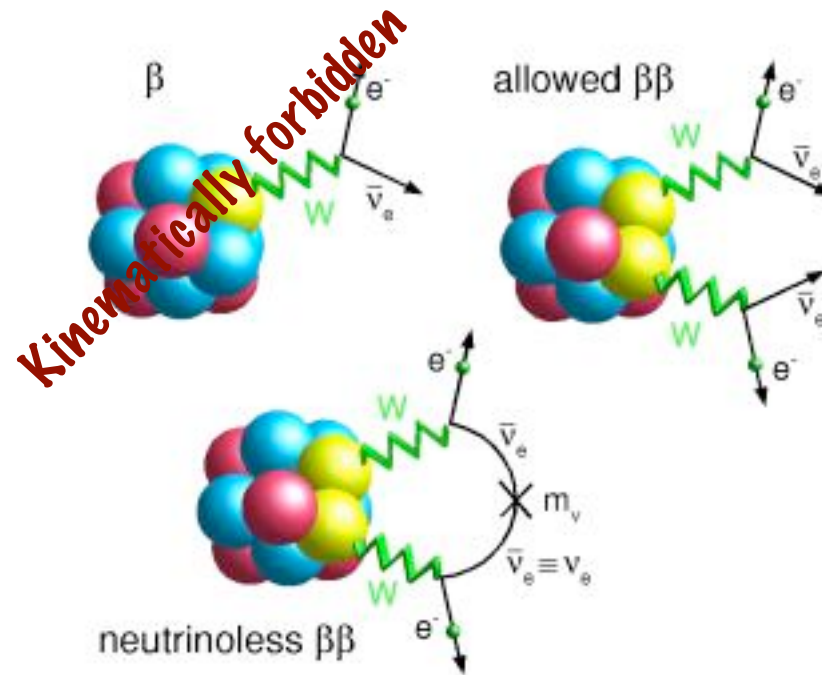
- Majorana ($m \neq 0$)

$$\bar{\nu}_L|0\rangle = |\nu -\rangle + \mathcal{O}(m/E) |\nu +\rangle \qquad \nu_L|0\rangle = |\nu +\rangle + \mathcal{O}(m/E) |\nu -\rangle$$

* In oscillations, once the $\mathcal{O}(m/E)$ terms can be neglected:

- the helicity does not play a role
- there is no L-violation
- oscillation formulae are identical for Dirac and Majorana ν 's

$0\nu 2\beta$ decay



Violates lepton number
Needs Majorana neutrinos

0ν2β decay

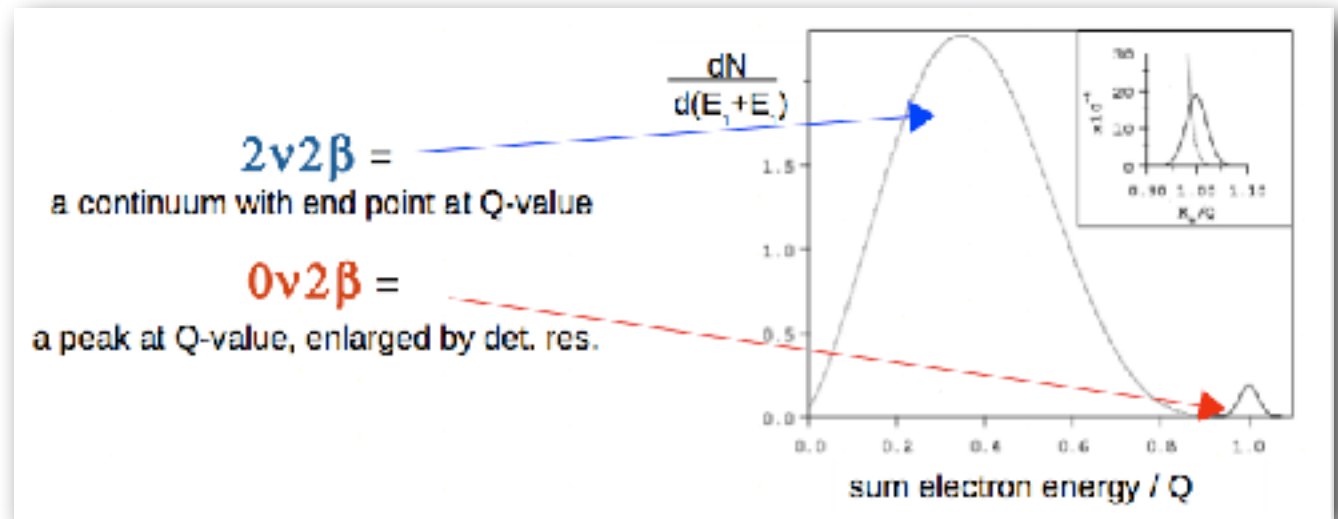
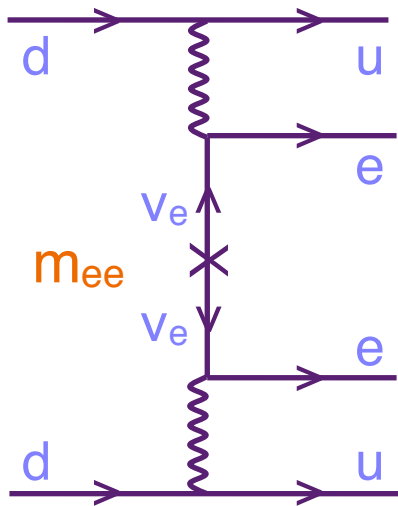


$$\Gamma \propto |m_{ee}|^2 \langle Q \rangle^2$$

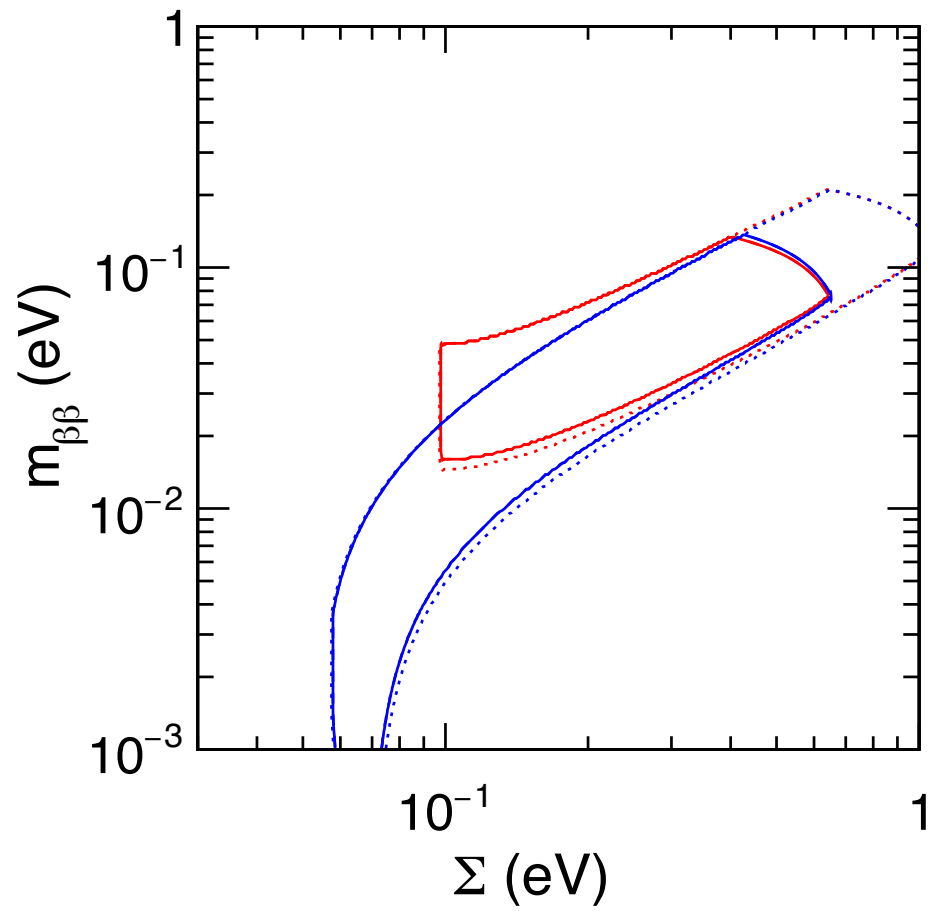
$$m_{ee} = U_{eh}^2 m_h = c_{13}^2 (m_1 c_{12}^2 + m_2 s_{12}^2 e^{2i\alpha}) + m_3 s_{13}^2 e^{2i\beta'}$$

Depends on

- Phases
- Nuclear matrix elements
- Dirac vs Majorana



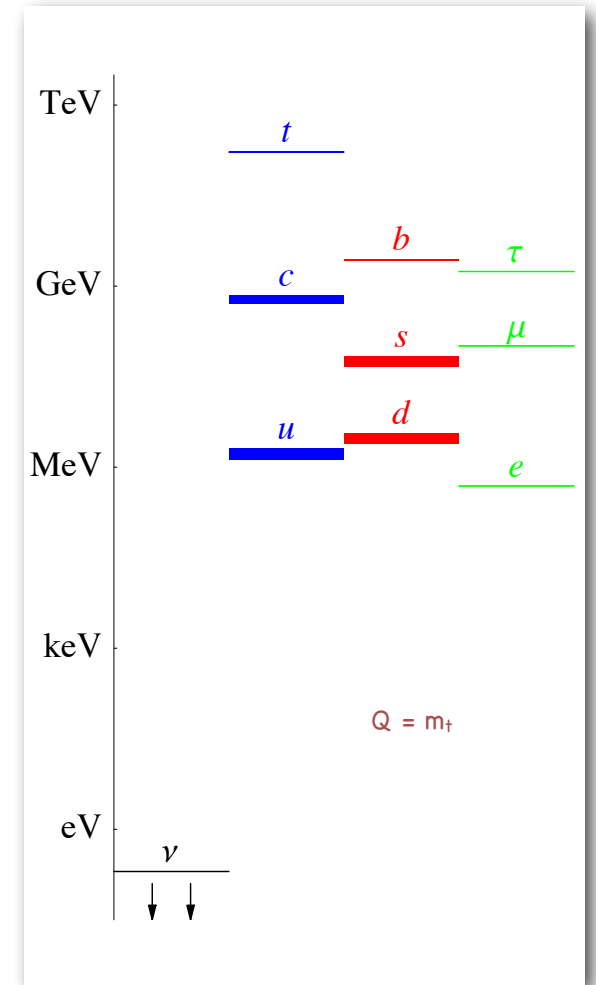
$$|m_{ee}| < \mathcal{O}(1) \times 0.2 \text{ eV (Heidelberg-Moscow)} \rightarrow \mathcal{O}(1) \times 0.01 \text{ eV (Genius)}$$



Neutrino masses are small

What else do we know?

- Natural scale of fermion masses: $\langle H \rangle \approx 174 \text{ GeV}$
- Why $m_\nu / \langle H \rangle < 10^{-12}$?
- Must have a different origin than $m_e / \langle H \rangle \approx 0.3 \times 10^{-5}$
 - quantitatively larger hierarchy
 - family independent
 - compelling understanding available



Neutrino (lepton) flavour parameters

- Quark sector

$$m_{ij}^D \overline{d_{iR}} d_{jL} + m_{ij}^U \overline{u_{iR}} u_{jL} = m_{d_i} \overline{d'_{iR}} d'_{iL} + m_{u_i} \overline{u'_{iR}} u'_{iL}$$

$$j_{c,\text{had}}^\mu = \overline{u_{iL}} \gamma^\mu d_{iL} = V_{ij} \overline{u'_{iL}} \gamma^\mu d'_{jL}$$

- Lepton sector, including neutrino masses (Majorana for definiteness)

$$\frac{m_{ij}^\nu}{2} \nu_{iL} \nu_{jL} + m_{ij}^E \overline{e_{iR}} e_{jL} = \frac{m_{\nu_i}}{2} \nu'_{iL} \nu'_{iL} + m_{e_i} \overline{e'_{iR}} e'_{iL}$$

$$j_{c,\text{lep}}^\mu = \overline{\nu_{iL}} \gamma^\mu e_{iL} = U_{ij}^\dagger \overline{\nu'_{iL}} \gamma^\mu e'_{jL}$$

Physical flavour parameters in the lepton sector

$$\frac{m_{\nu_i}}{2} \nu_{iL} \nu_{iL} + m_{e_i} \bar{e}_{iR} e_{iL} \quad j_{c,lep}^{\mu\dagger} = U_{ij} \bar{e}_{iL} \gamma^\mu \nu_{jL}$$

$$U = \underbrace{\begin{pmatrix} e^{i\gamma_1} & & \\ & e^{i\gamma_2} & \\ & & e^{i\gamma_3} \end{pmatrix}}_{\text{unphysical}} \underbrace{\left(\text{standard par.} \right)}_{3+1} \underbrace{\begin{pmatrix} 1 & & \\ & e^{i\alpha} & \\ & & e^{i\beta} \end{pmatrix}}_{\text{physical (Majorana)}}$$

$$9 = 3 + 3 + 1 + 2$$

Physical flavour parameters in the lepton sector

$$\frac{m_{\nu_i}}{2} \nu_{iL} \nu_{iL} + m_{e_i} \bar{e}_{iR} e_{iL} \quad j_{c,lep}^{\mu\dagger} = U_{ij} \bar{e}_{iL} \gamma^\mu \nu_{jL}$$

$$m_e, m_\mu, m_\tau, m_{\nu_1}, m_{\nu_2}, m_{\nu_3}, \theta_{23}, \theta_{12}, \theta_{13}, \delta, \alpha, \beta$$

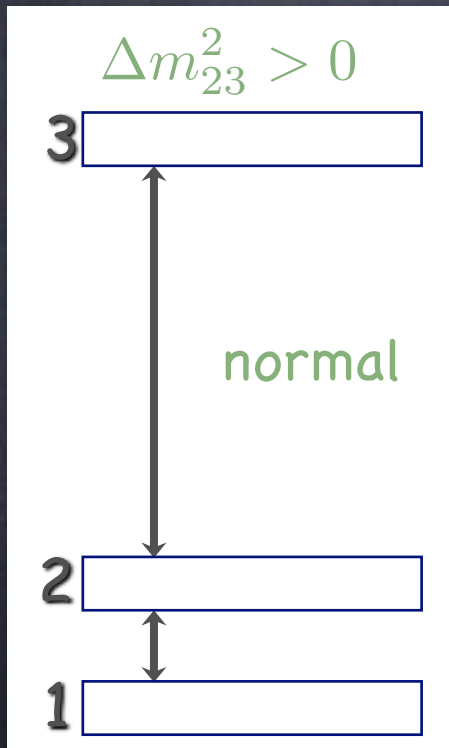
$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha} & 0 \\ 0 & 0 & e^{i\beta} \end{pmatrix}$$

$$0 \leq \theta_{23}, \theta_{12}, \theta_{13} \leq \frac{\pi}{2}, \quad 0 \leq \delta < 2\pi, \quad 0 \leq \alpha, \beta < \pi$$

Standard labeling of eigenstates

$0 < \Delta m_{12}^2 < |\Delta m_{23}^2|$ uniquely defines the labeling
 $\Delta m_{12}^2 > 0$ by definition; Δm_{23}^2 can have both signs

$$\left(\begin{array}{l} \delta m^2 \equiv \Delta m_{12}^2 \\ \Delta m^2 \approx \Delta m_{23}^2 \approx \Delta m_{13}^2 \end{array} \right)$$



e.g.:

$$m_1 < m_2 \ll m_3$$

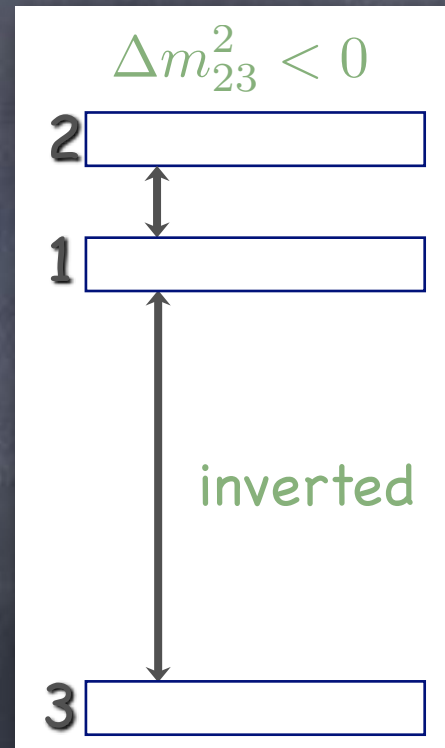
(hierarchical)

$$m_1 \approx m_2 \approx m_3$$

(degenerate)

$$m_1 \approx m_2 < m_3$$

(neither)



e.g.:

$$m_1 \approx m_2 > m_3$$

(inverse
hierarchical)

$$m_1 \approx m_2 \approx m_3$$

(degenerate)

$$\Delta m_{ij}^2 \equiv m_j^2 - m_i^2$$

Accessible
to oscillations

Not accessible
to oscillations

Charged
sector

$m_{e,\mu,\tau}$

δm^2

$|\Delta m^2|$

$\text{sign}(\Delta m^2)$

$\theta_{12}, \theta_{23}, \theta_{13}, \delta$

m_{lightest}

α

β

$$(\Delta m_{ij}^2 \equiv m_{\nu_j}^2 - m_{\nu_i}^2)$$

$$\Delta m_{13}^2 = \Delta m_{12}^2 + \Delta m_{23}^2$$

$$\delta m^2 \equiv \Delta m_{12}^2 \ll |\Delta m_{23}^2| \Rightarrow \Delta m_{23}^2 \approx \Delta m_{13}^2 \approx \delta m^2 \equiv (\Delta m_{13}^2 + \Delta m_{12}^2)/2$$

Accessible
to oscillations

Not accessible
to oscillations

Charged
sector

$$m_{e,\mu,\tau}$$

Well known

$$\delta m^2$$

$$|\Delta m^2|$$

$$\text{sign}(\Delta m^2)$$

$$\theta_{12}, \theta_{23}, \theta_{13}, \delta$$

Known

$$m_{\text{lightest}}$$

$$\alpha$$

$$\beta$$

Bounds

Hints

$$|\Delta m^2| \approx 2.4 \times 10^{-3} \text{ eV}^2 \quad \theta_{23} \sim 45^\circ$$

$$\delta m^2 \approx 0.73 \times 10^{-4} \text{ eV}^2 \quad \theta_{12} \approx 33^\circ$$

$$\theta_{13} \approx 8^\circ$$

$$\text{sign}(\Delta m^2) > 0 \quad \text{preferred at } 3\sigma$$

$$\delta \sim 3\pi/2 \pm 15\%$$

$$|m_{ee}| \equiv |U_{ei}^2 m_{\nu_i}| < \mathcal{O}(1) \times 0.2 \text{ eV} \quad \text{double-beta decay}$$

$$(m^\dagger m)_{ee} = |U_{ei}|^2 m_{\nu_i}^2 < (2 \text{ eV})^2 \quad \text{beta decay}$$

$$\sum m_{\nu_i} \lesssim 0.7 \text{ eV (priors)} \quad \text{cosmology}$$

Guidelines for theory:

$$m_{\nu_i} \ll 174 \text{ GeV}$$

$$\theta_{23} \sim 45^\circ (= 45^\circ?)$$

$$\theta_{12} \approx 33^\circ \neq 45^\circ$$

$$\theta_{13} \text{ not so small}$$

$$\delta m^2 / |\Delta m^2| \approx 0.03 \ll 1$$

normal ordering?

large CP-violation?

Thank you

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