

# Neutrinos with Focus on Non-Oscillation Physics I

Kate Scholberg, Duke University  
Invisibles School  
Burghausen, August 2018

# Lecture Overview

- Neutrino overview
    - Physics & astrophysics
    - A bit on neutrino oscillations
  - Neutrino interactions with matter
    - Neutrino-nucleus cross sections at  $\sim$ GeV energies
    - Neutrino-nucleus interactions at  $\sim$ 10-MeV energies
  - Neutrinos from core-collapse supernovae
  - Neutrino mass and the nature of the neutrino
- two examples
- Lecture 1
- Lecture 2
- Lecture 3
- 
- ```
graph LR; A[Neutrino overview] --- B[Physics & astrophysics]; A --- C[A bit on neutrino oscillations]; D[Neutrino interactions with matter] --- E[Neutrino-nucleus cross sections at ~GeV energies]; D --- F[Neutrino-nucleus interactions at ~10-MeV energies]; G[Neutrinos from core-collapse supernovae]; H[Neutrino mass and the nature of the neutrino]; B --- I[Lecture 1]; C --- I; E --- J[two examples]; F --- J; I --- K[Lecture 1]; G --- L[Lecture 2]; H --- M[Lecture 3];
```

# Lecture Overview

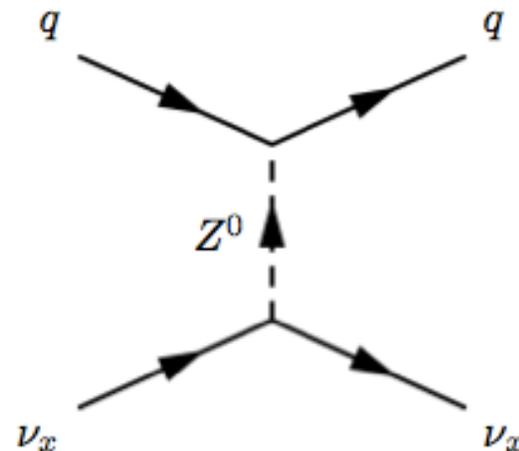
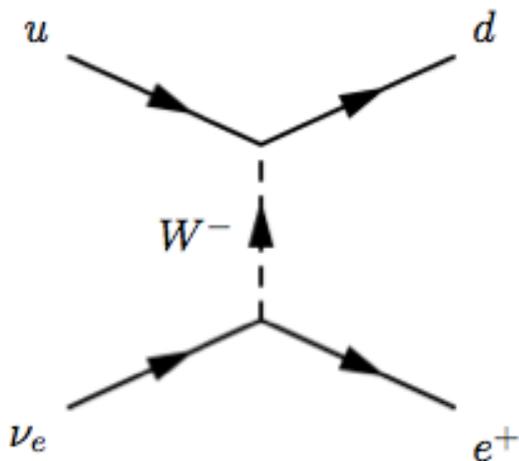
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```

# NEUTRINOS

$$\begin{pmatrix} e \\ \nu_e \end{pmatrix} \quad \begin{pmatrix} \mu \\ \nu_\mu \end{pmatrix} \quad \begin{pmatrix} \tau \\ \nu_\tau \end{pmatrix}$$

neutral partners  
to the charged leptons

- Three flavors (families), neutrinos and antineutrinos
- Tiny masses ( $< 1$  eV) and **oscillations** (flavor change)
- Interact *only* via *weak interaction* (& gravity)



Exchange of  
W and Z bosons in  
*weak* interactions

# Why do neutrinos matter?

THE STANDARD MODEL

	Fermions			Bosons	
Quarks	$u$ up	$c$ charm	$t$ top	$\gamma$ photon	Force carriers
	$d$ down	$s$ strange	$b$ bottom	$Z$ Z boson	
Leptons	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino	$W$ W boson	
	$e$ electron	$\mu$ muon	$\tau$ tau	$g$ gluon	
	*Higgs boson				

\*Yet to be confirmed

Source: AAAS

fundamental particles and interactions



astrophysical systems



cosmology

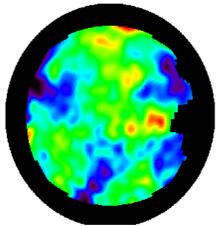


nuclear physics

# Sources of 'wild' neutrinos



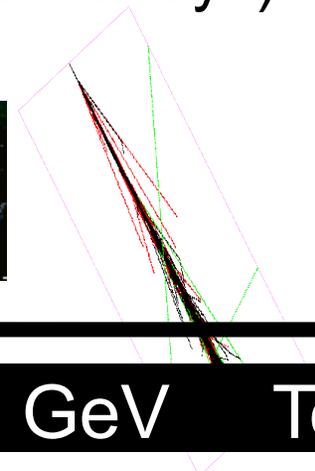
The Big Bang



Super  
novae



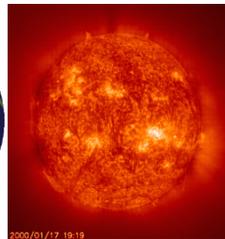
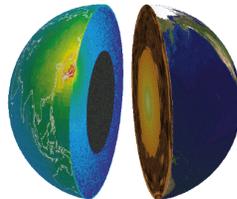
The Atmosphere  
(cosmic rays)



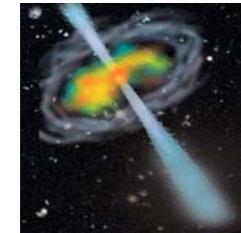
AGN's, GRB's, ...

meV   eV   keV   MeV   GeV   TeV   PeV   EeV

Radioactive  
decay in the  
Earth



The Sun



# Sources of 'tame' neutrinos

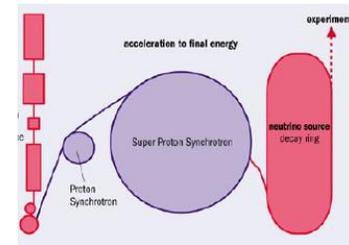


Proton accelerators

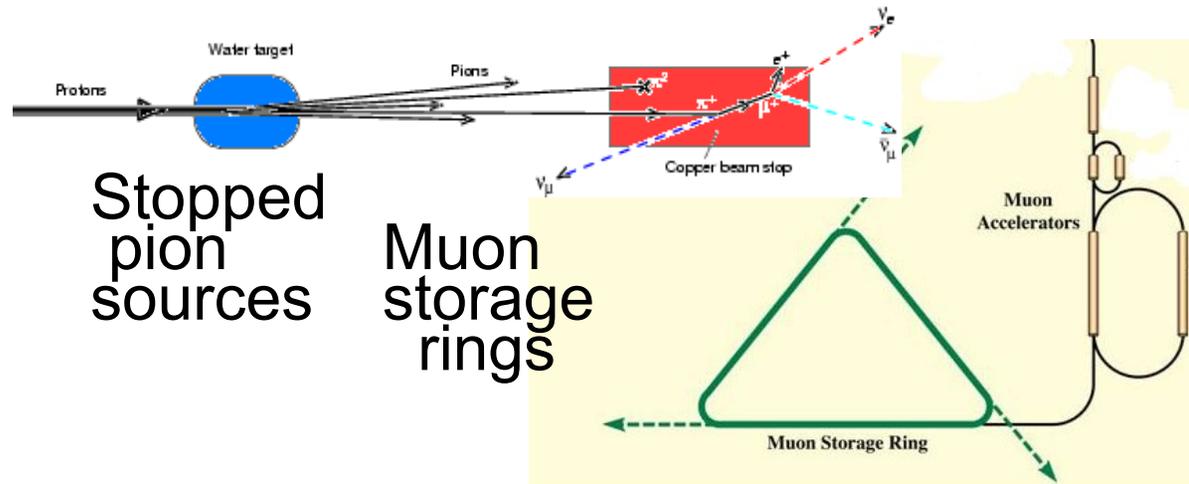
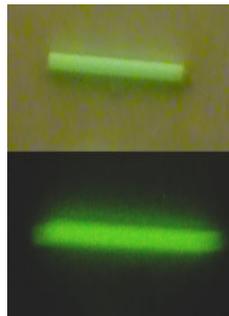
Nuclear reactors



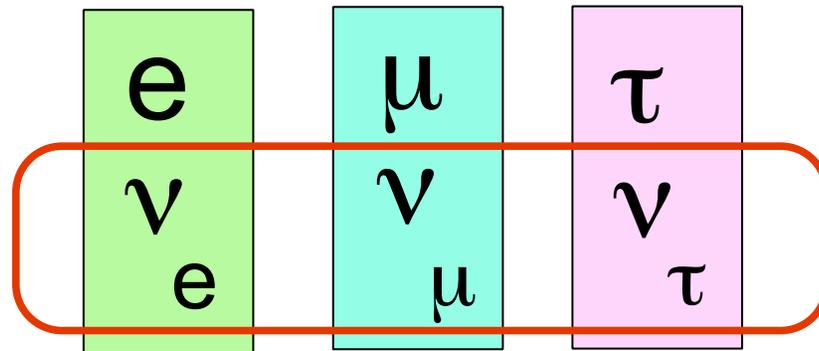
Beta beams



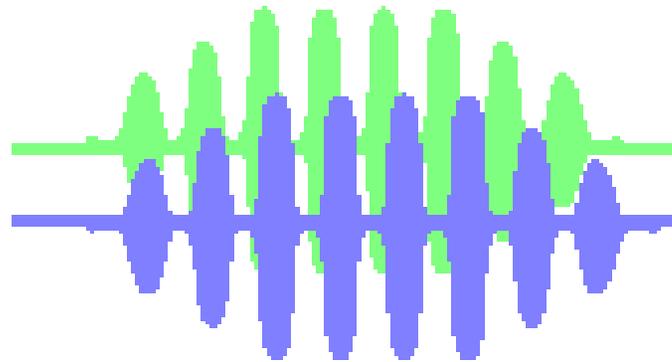
Artificial radioactive sources



Neutrinos come in **three flavors** in the Standard Model



And in QM, the flavors **oscillate** as the neutrinos propagate



Massive neutrinos (as waves) propagate with different frequencies according to their masses;  
the observed flavor change is **how we know neutrinos have mass**

# Neutrino Mass and Oscillations

Flavor states related to mass states by a unitary mixing matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1}^* & U_{e2}^* & U_{e3}^* \\ U_{\mu1}^* & U_{\mu2}^* & U_{\mu3}^* \\ U_{\tau1}^* & U_{\tau2}^* & U_{\tau3}^* \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

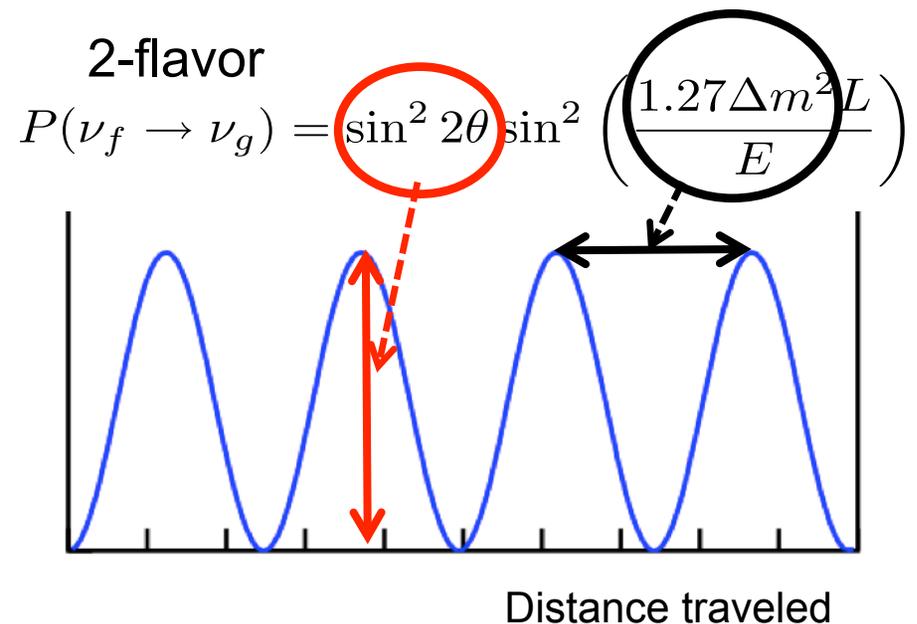
participate in weak interactions

unitary mixing matrix

eigenstates of free Hamiltonian

If mixing matrix is not diagonal, get *flavor oscillations*:

**energy- and baseline-dependent flavor change**



# The three-flavor paradigm

$$|\nu_f\rangle = \sum_{i=1}^N U_{fi}^* |\nu_i\rangle$$

Parameterize mixing matrix U as

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

**3 masses**

$m_1, m_2, m_3$   
(2 mass differences  
+ absolute scale)

**3 mixing angles**

$\theta_{23}, \theta_{12}, \theta_{13}$

**1 CP phase**

$\delta$

**(2 Majorana phases)**

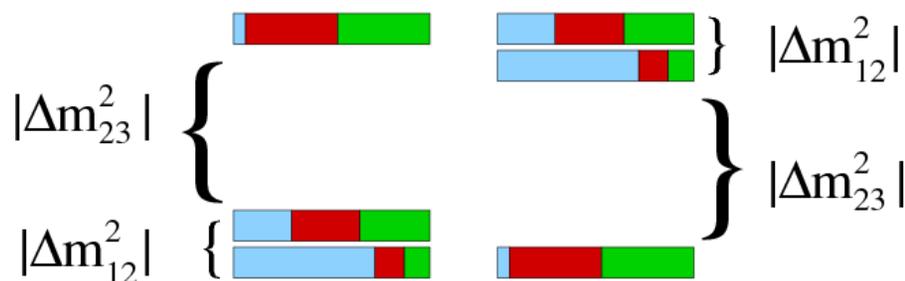
$\alpha_1, \alpha_2$

$$\times \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$s_{ij} \equiv \sin \theta_{ij}, c_{ij} \equiv \cos \theta_{ij}$$

Normal

Inverted



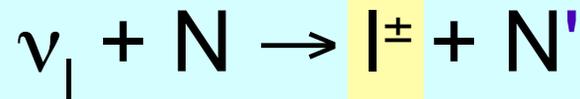
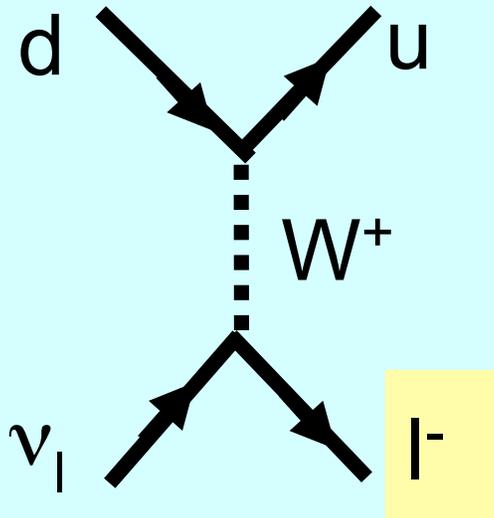
signs of the  
mass differences  
matter

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  - **Neutrino interactions with matter**
    - Neutrino-nucleus cross sections at  $\sim$ GeV energies
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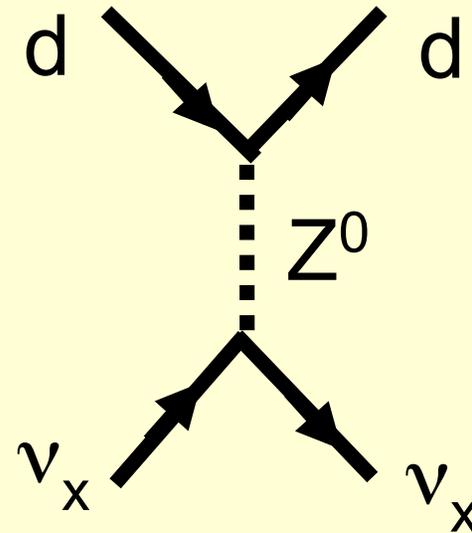
# Neutrino interactions with matter

## Charged Current (CC)



Produces lepton  
with **flavor corresponding  
to neutrino flavor**  
(must have enough energy  
to make lepton)

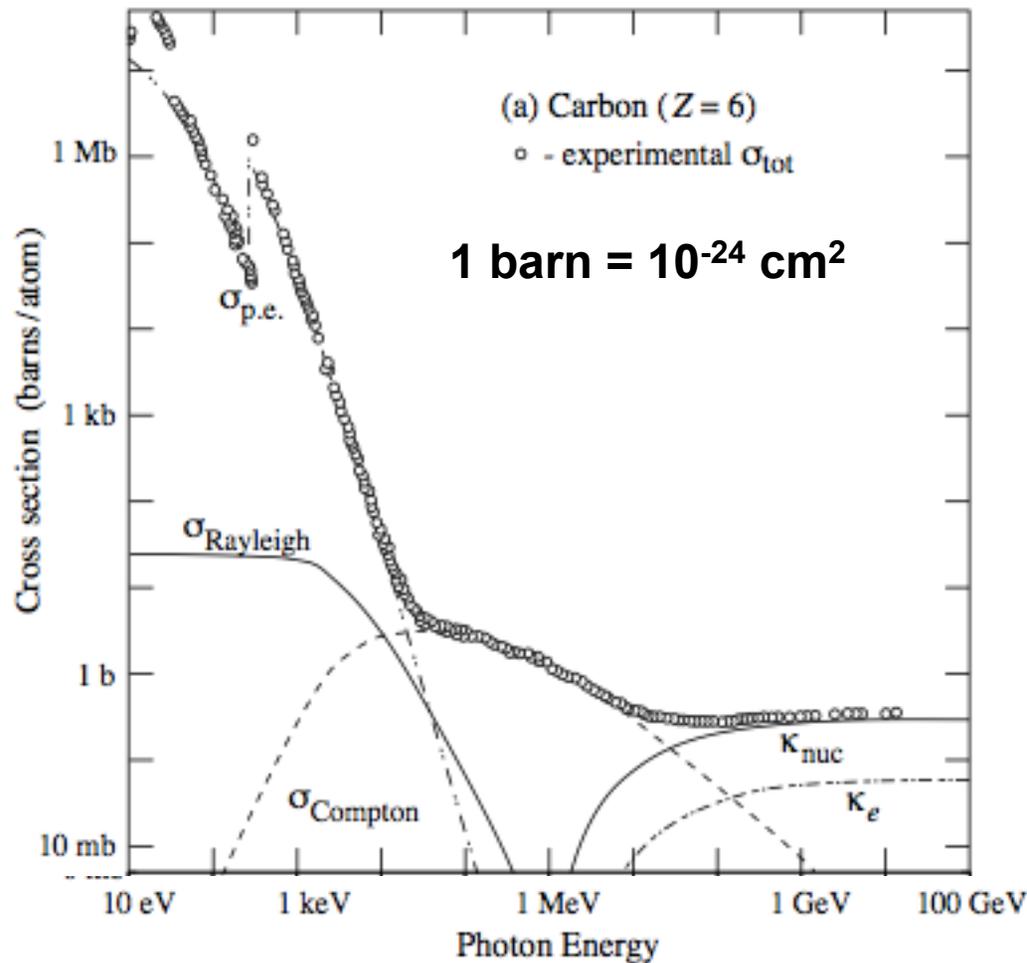
## Neutral Current (NC)



Flavor-blind

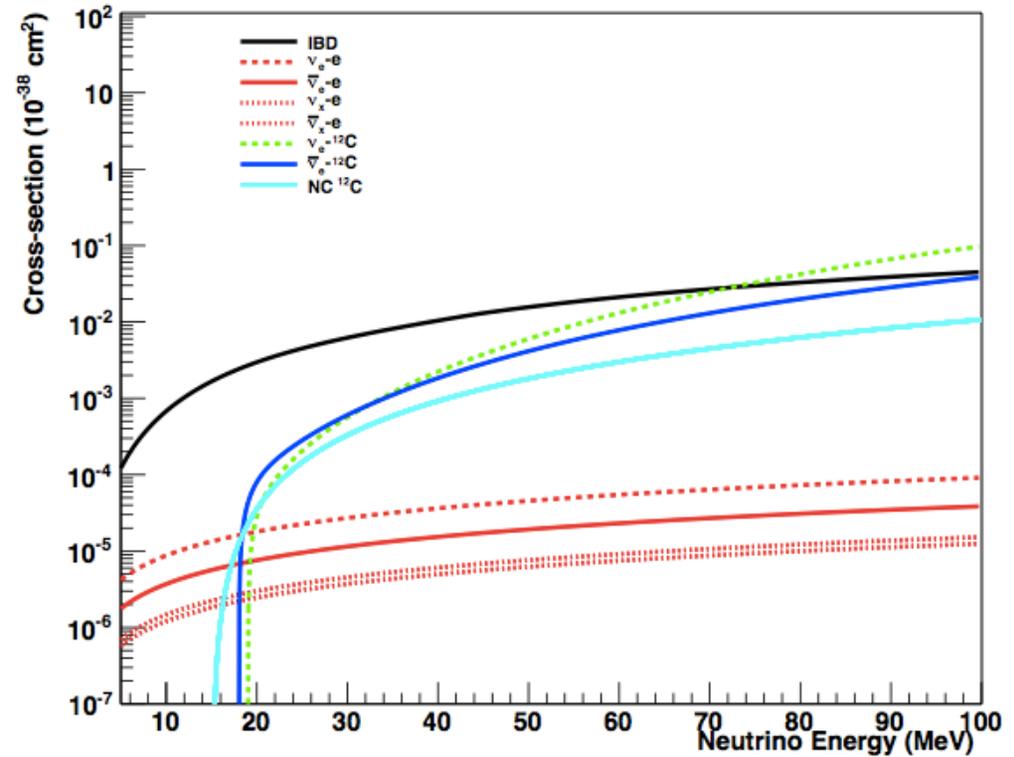
# It's called the weak interaction for a reason

Photon-matter cross-sections



**$\sim 10^{-24} \text{ cm}^2$**

Neutrino-matter cross-sections



**$\sim 10^{-40} \text{ cm}^2$**

**$\sim 16-17$  orders of magnitude smaller**

In astrophysics, the weakness of the interaction is both a blessing and a curse...



- neutrinos bring information from deep inside objects, from regions where photons are trapped
- but they require heroic efforts to detect!

# Interaction rates in a detector material

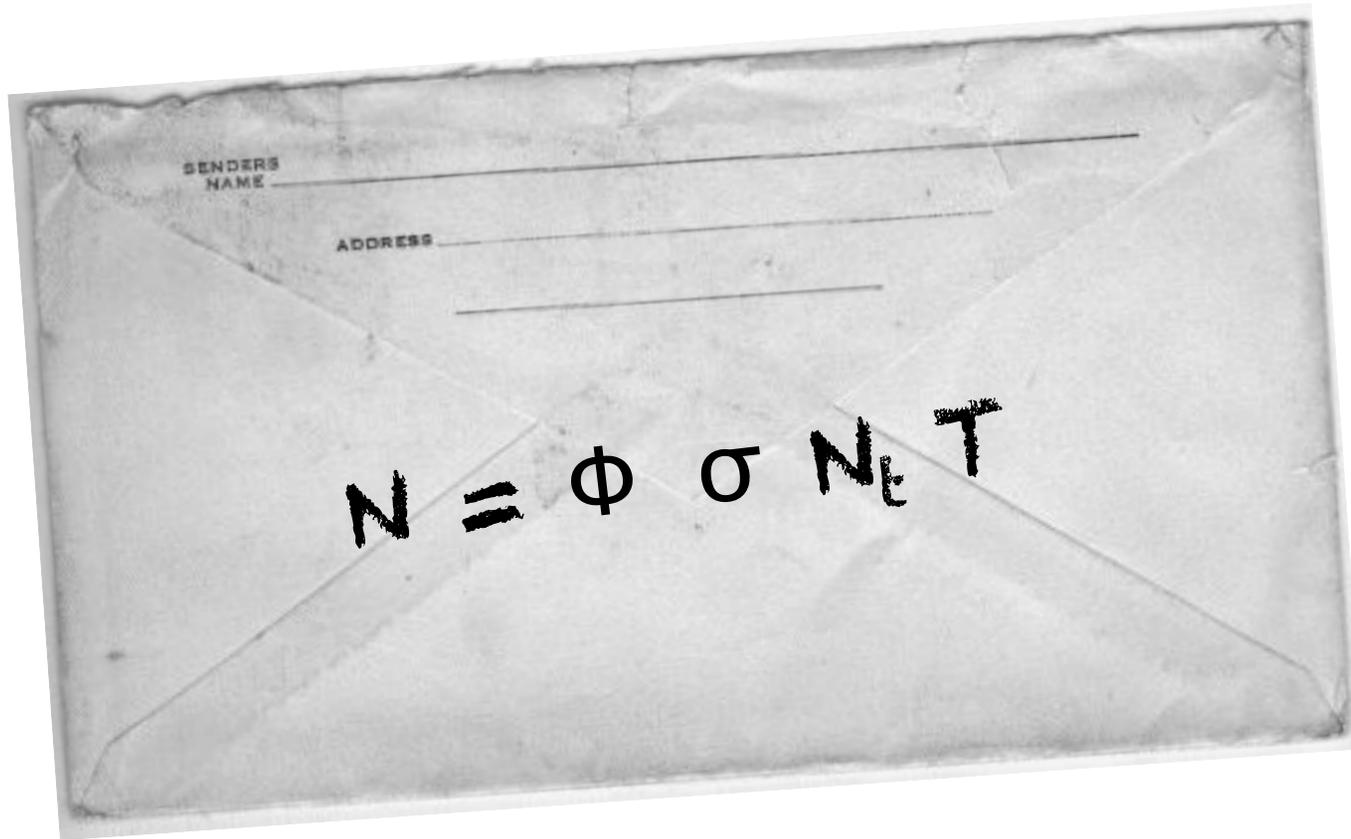
The diagram illustrates the equation for interaction rate  $R$ . The equation is  $R = \Phi \sigma N_t$ . The terms are represented by colored boxes:  $\Phi$  is in a light green box,  $\sigma$  is in a light yellow box, and  $N_t$  is in a light purple box. Callout boxes point to each term: a light green callout labeled "Flux" points to  $\Phi$ , a light yellow callout labeled "Cross section" points to  $\sigma$ , and a light purple callout labeled "Number of targets" points to  $N_t$ .

$$R = \Phi \sigma N_t$$

$\propto$  detector mass,  $1/D^2$

(Note: fluxes, cross-sections are  $E_\nu$  dependent)

In fact this may be the neutrino experimentalist's most useful back-of-the-envelope expression...

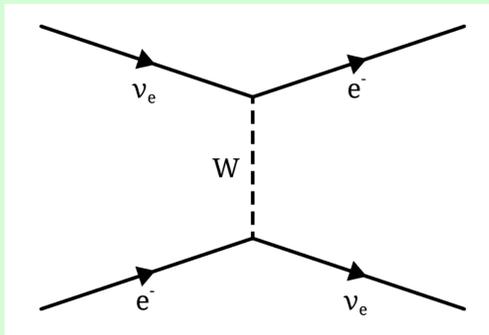


How many solar neutrinos will interact in your body during your lifetime?

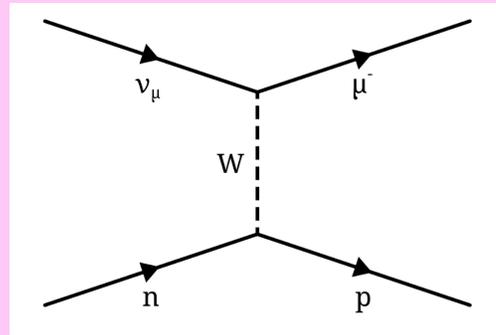
$$\sigma \sim 5 \times 10^{-44} \text{ cm}^2 \text{ (electron scattering cross-section above a few MeV)}$$
$$\phi \sim 2 \times 10^6 \text{ cm}^{-2}\text{s}^{-1} \text{ (flux above a few MeV, mostly from } ^8\text{B neutrinos)}$$

What you actually detect is the **secondary(ies)**... (and tertiaries...)  
scattered particle, newly created particles,  
ejected nuclei, showers...

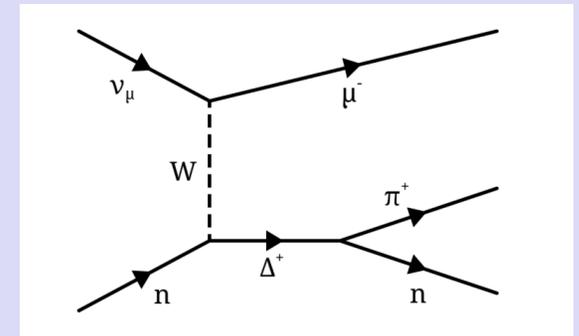
## Common nomenclature for neutrino interactions



**“Elastic”**  
same particles  
in as out

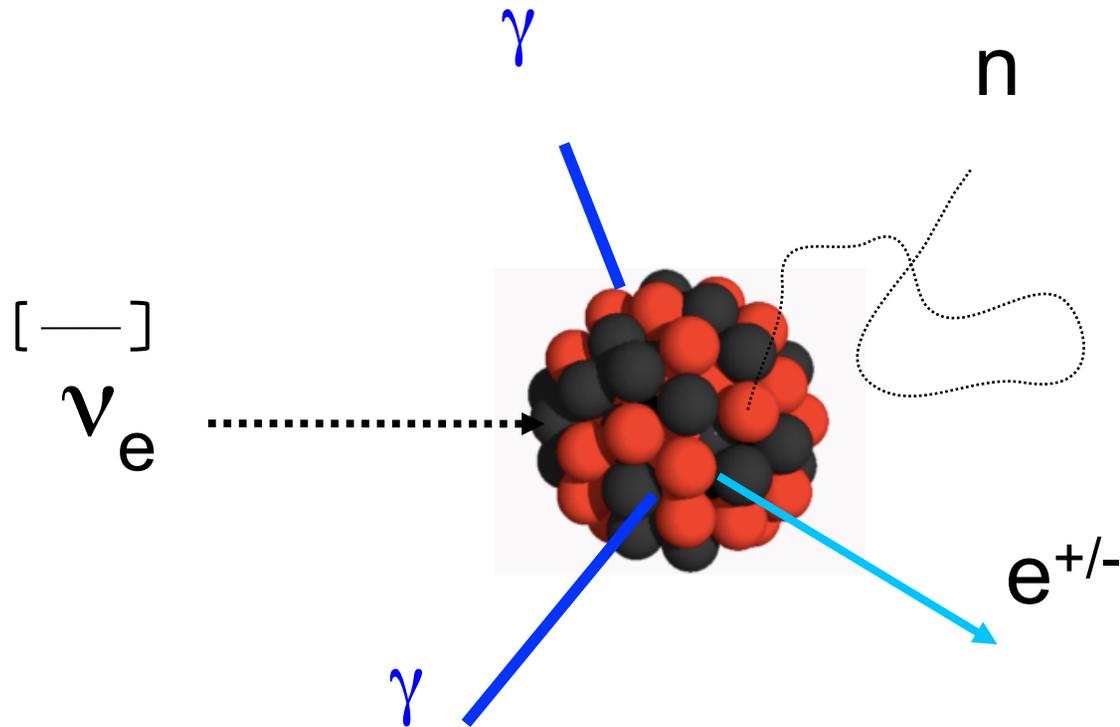


**“Quasi-elastic”**  
different final-state  
particles but same  
number of particles



**“Inelastic”**  
energy converted  
to new particles

In many neutrino experiments,  
neutrinos interact with **nuclei**



### Observable final-state particles:

- lepton (for charged-current)
- de-excitation debris ( $\gamma$ 's, ejected nucleons)
- if sufficient energy, newly-created particles
- recoil of the nucleus itself

# Why do we need to measure neutrino-nucleus interactions?

THE STANDARD MODEL

	Fermions			Bosons		
Quarks	$u$ up	$c$ charm	$t$ top	$\gamma$ photon		
	$d$ down	$s$ strange	$b$ bottom	$Z$ Z boson		
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	$e$ electron	$\mu$ muon	$\tau$ tau	$g$ gluon		

\*Yet to be confirmed

Source: AAAS

fundamental particles and interactions

We need to understand the **nature of the neutrino**

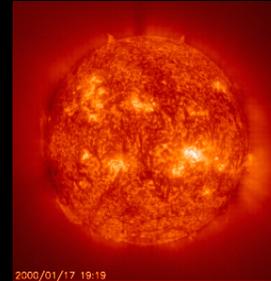
- interpretation of **neutrino oscillation...**  
how do the flavors change?
- are there new interactions?  
**beyond the Standard Model** physics?



cosmology

# Why do we need to measure neutrino-nucleus interactions?

We need to unfold the **fluxes from astrophysical systems** (Sun, supernovae, blazars, mergers...) from detection on Earth



astrophysical systems

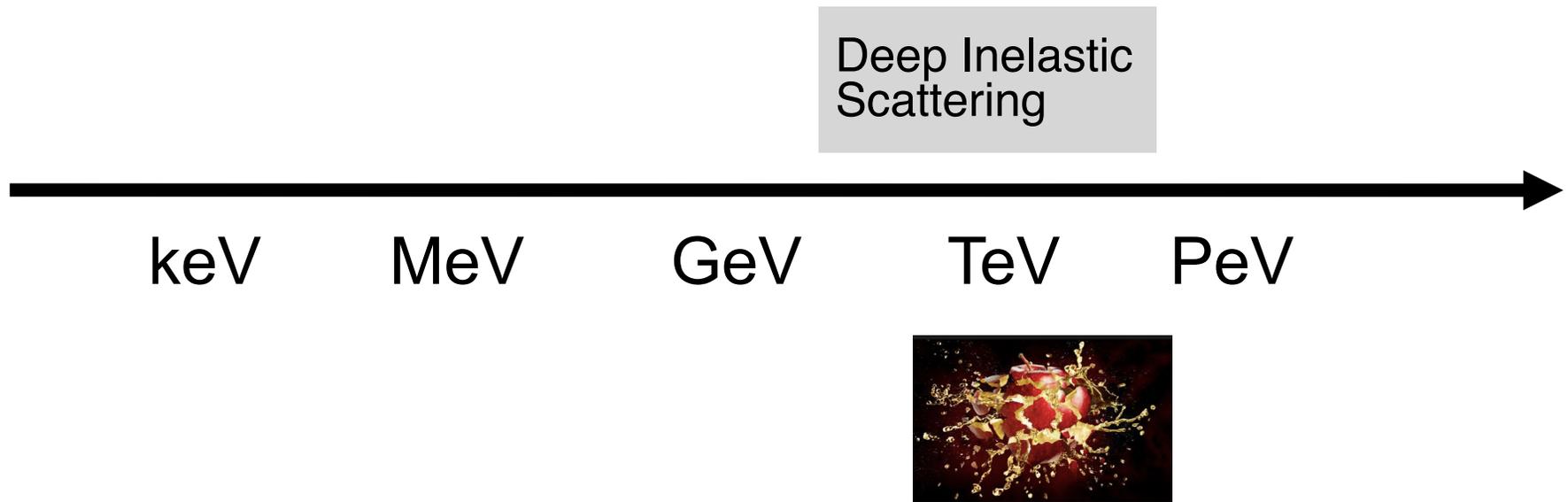
# Why do we need to measure neutrino-nucleus interactions?

We can learn about the **structure of nuclei**, reactor processes,...

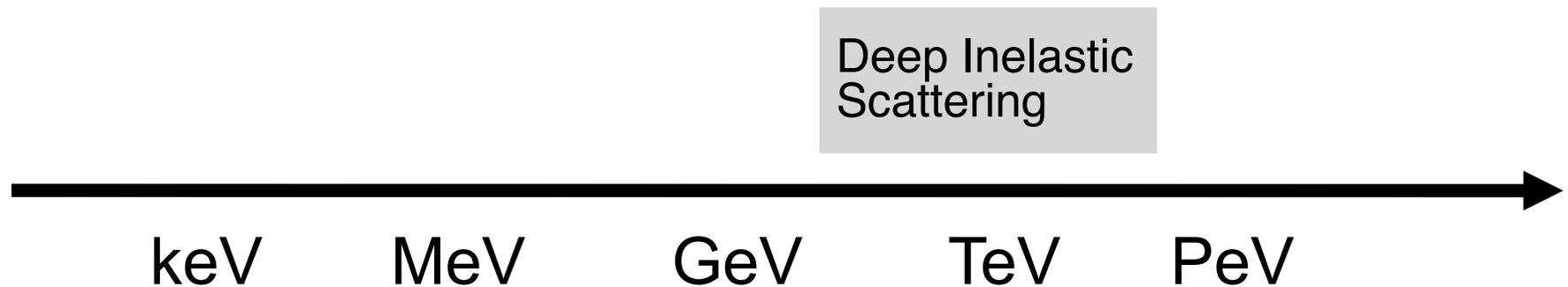


nuclear  
physics

# Neutrino Interactions with Nuclei in Different Energy Regimes

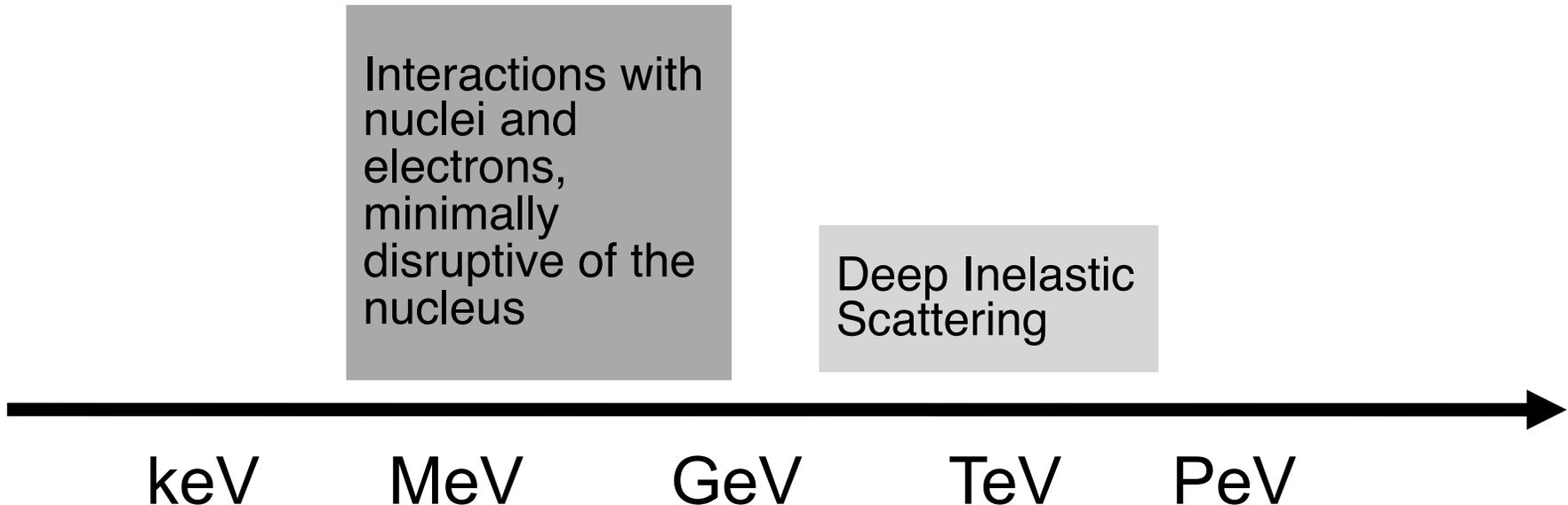


# Neutrino Interactions with Nuclei in Different Energy Regimes



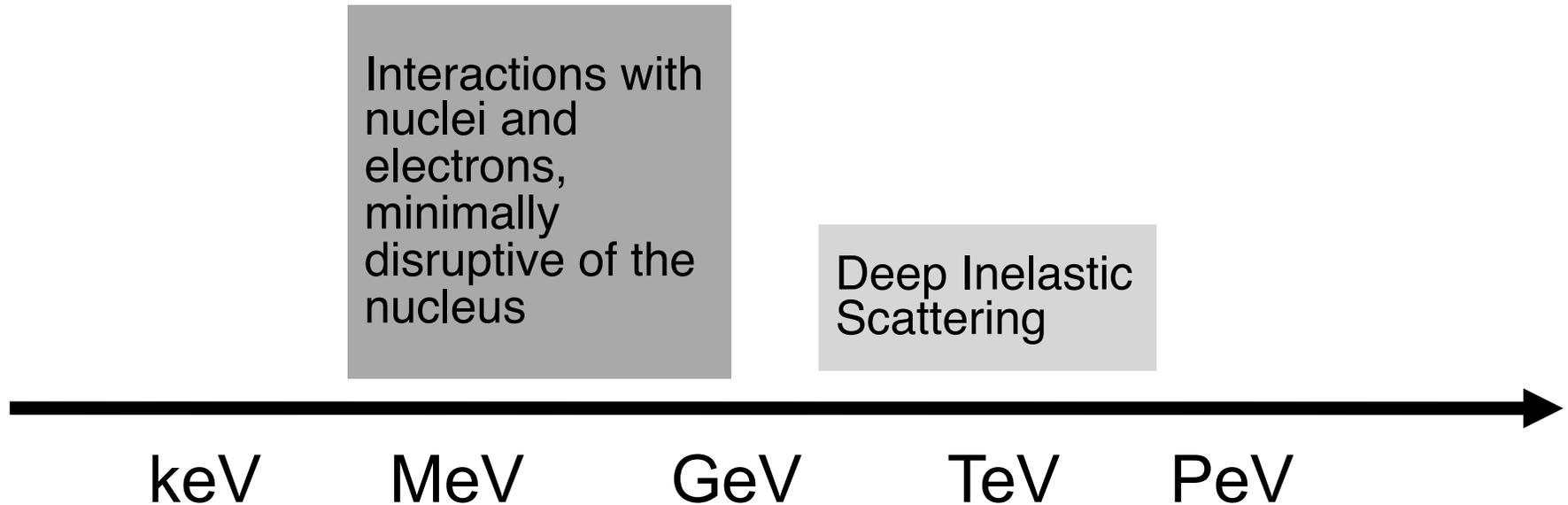
Interactions with nucleons inside nuclei, often disruptive, hadroproduction

# Neutrino Interactions with Nuclei in Different Energy Regimes



Interactions with nucleons inside nuclei, often disruptive, hadroproduction

# Neutrino Interactions with Nuclei in Different Energy Regimes



Interactions with nucleons inside nuclei, often disruptive, hadroproduction



Example 1:  
regime of  
**long-baseline oscillation**  
experiment

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# Long-baseline beam experiments for oscillation physics



Past

Current

Future



**K2K**  
KEK to Kamioka  
250 km, 5 kW



**MINOS (+)**  
FNAL to Soudan  
734 km, 400+ kW



**CNGS**  
CERN to LNGS  
730 km, 400 kW



**NOvA**  
FNAL to Ash River  
810 km, 400-700 kW



**T2K (II)**  
J-PARC to Kamioka  
295 km, 380-750 kW → >1 MW



**LBNF/DUNE**  
FNAL to Homestake  
1300 km, 1.2 MW (→ 2.3 MW)

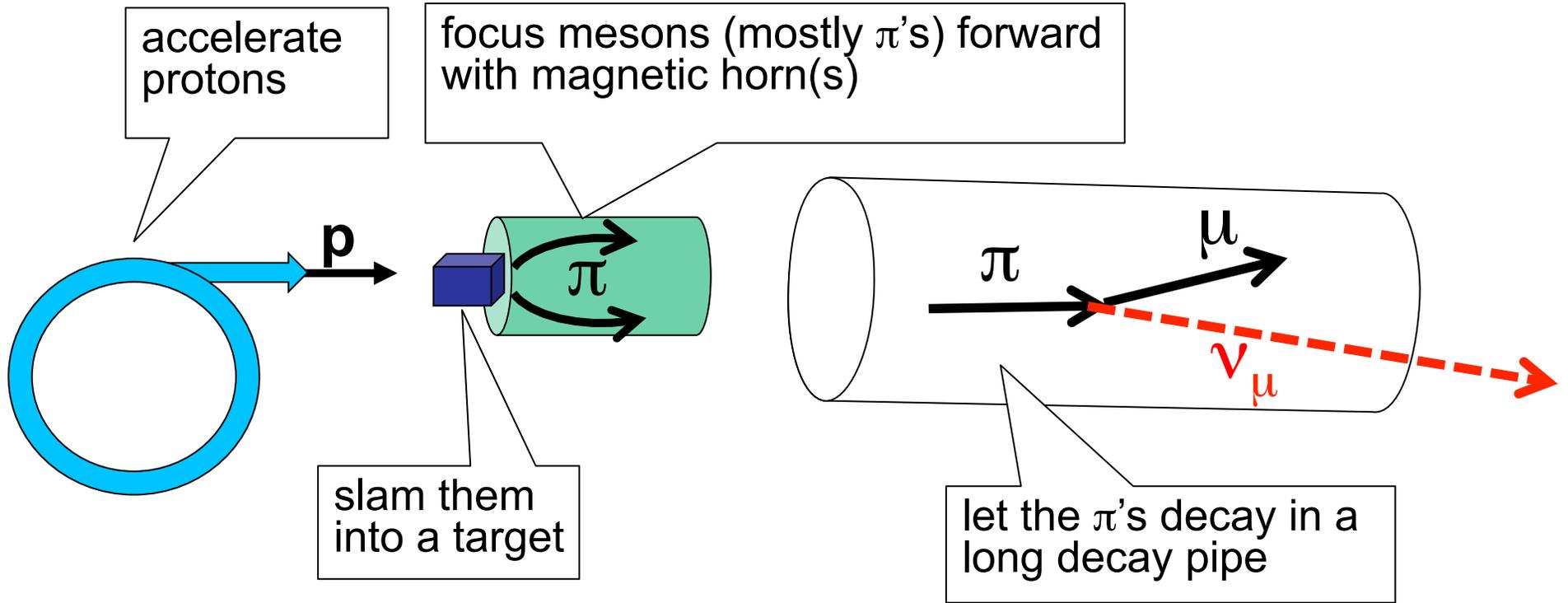


**Hyper-K**  
J-PARC to Kamioka  
295 km, 750 kW  
(→ 1.3 MW)

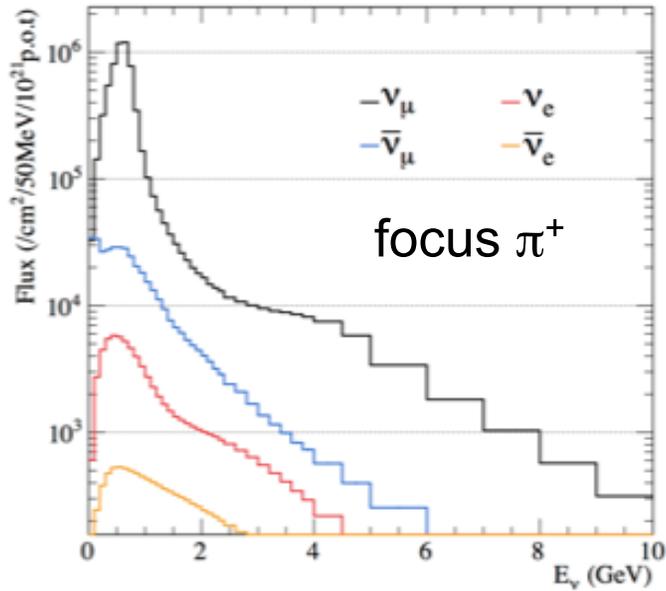
**And beyond...**  
ESSnuB,  
neutrino factories...



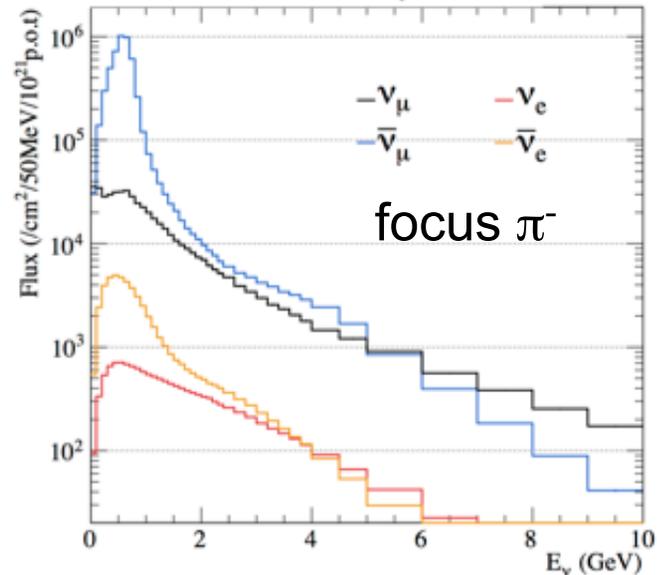
# These make use of $\sim$ GeV neutrinos from $\pi$ decay in flight



Neutrino mode operation



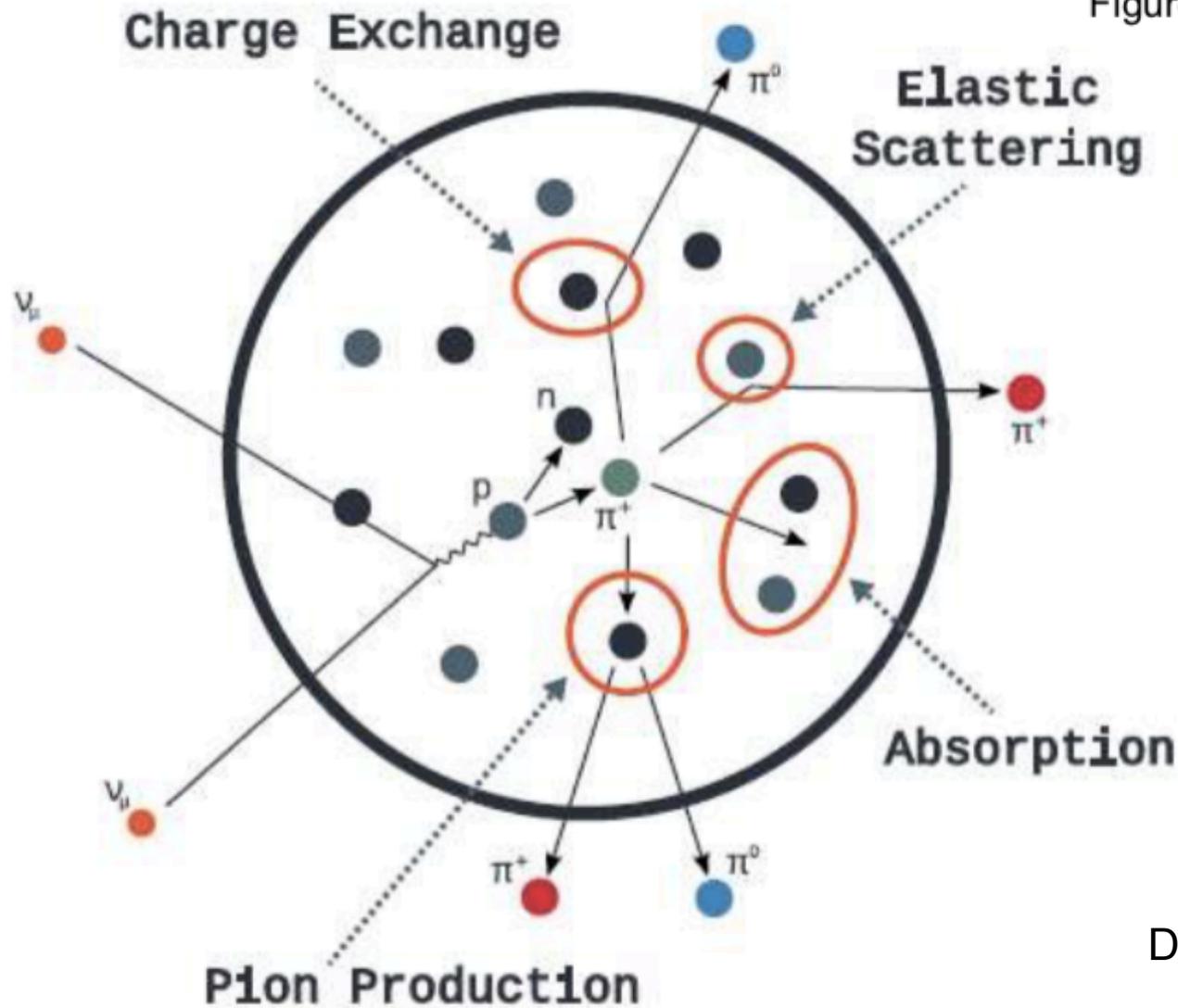
Antineutrino mode operation



T2K beam

Neutrino-nucleus interactions in this regime have **complicated final states**

Figure by Tomasz Golan

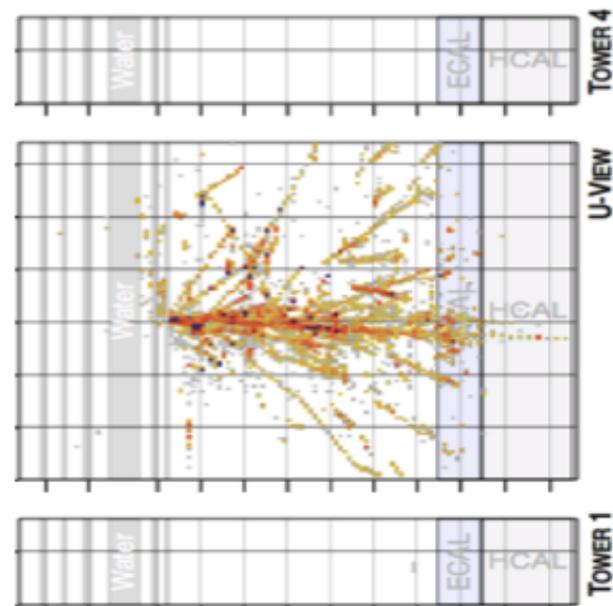
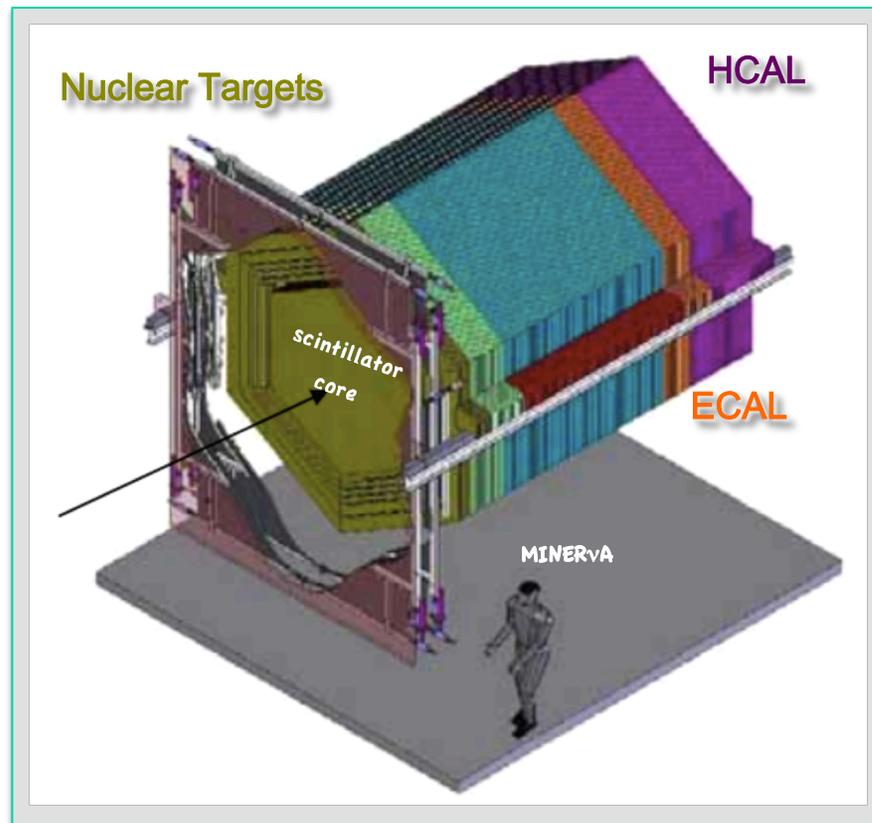
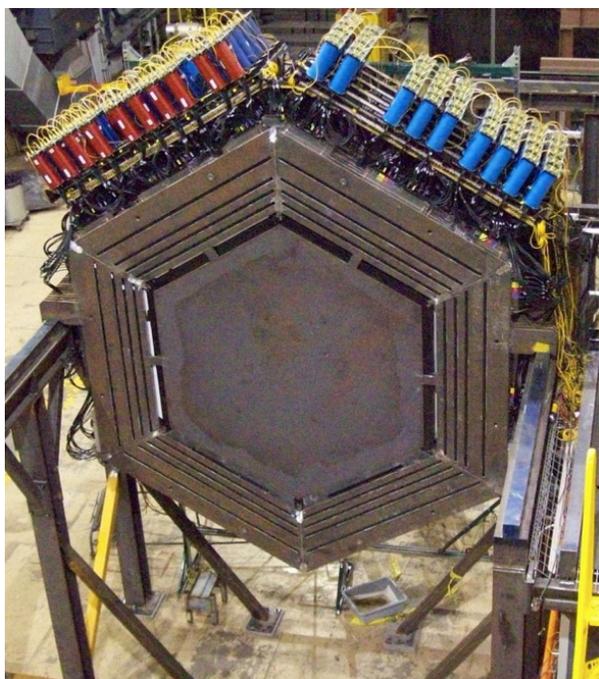


Need to “unfold” neutrino flavor, energy dependence for oscillation studies

D. Ruterbories, Nu2018

# MINER $\nu$ A

Detector at NuMI (Fermilab)  
to measure cross-sections of  
 $\sim$ GeV neutrinos on nuclear targets  
(finely-segmented scintillator  
+ em & hadronic calorimeters)



These studies are critical  
for interpretation of  
neutrino oscillation experiment

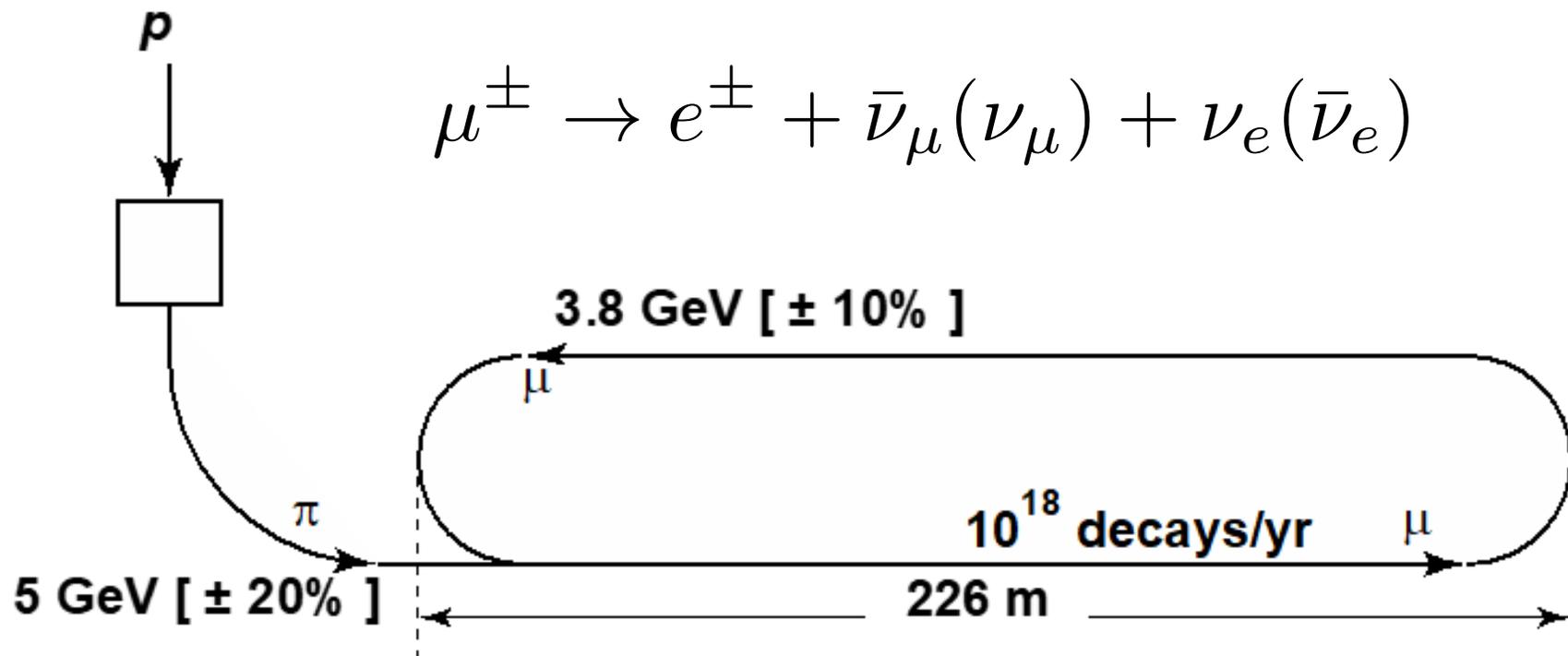
# Muon (or radioactive isotope) storage rings

are conceptually attractive

for **well-understood neutrino flux**

from muon decay in flight, tunable energy

➔ good for **precision cross-section measurements**

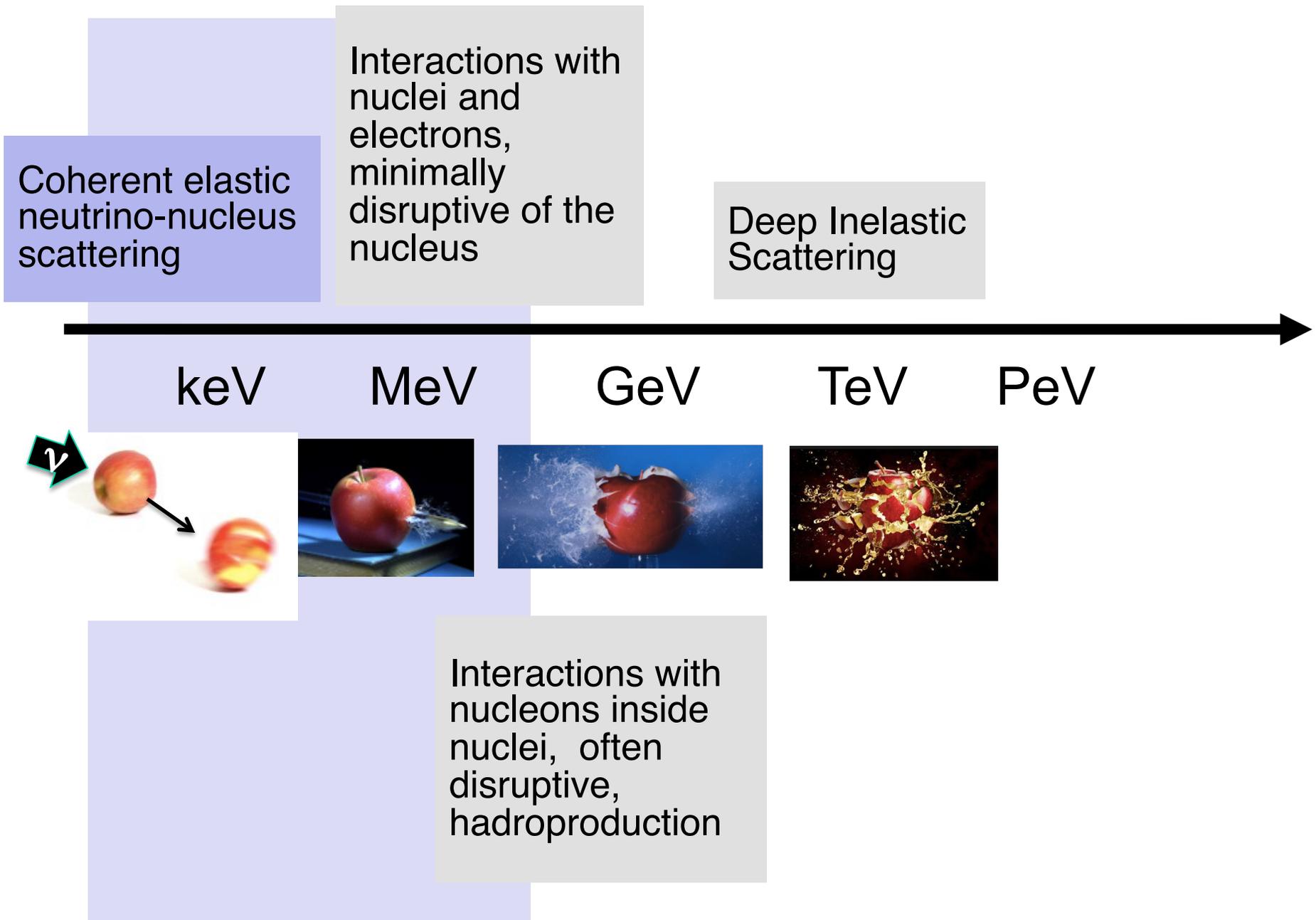


e.g., NuSTORM

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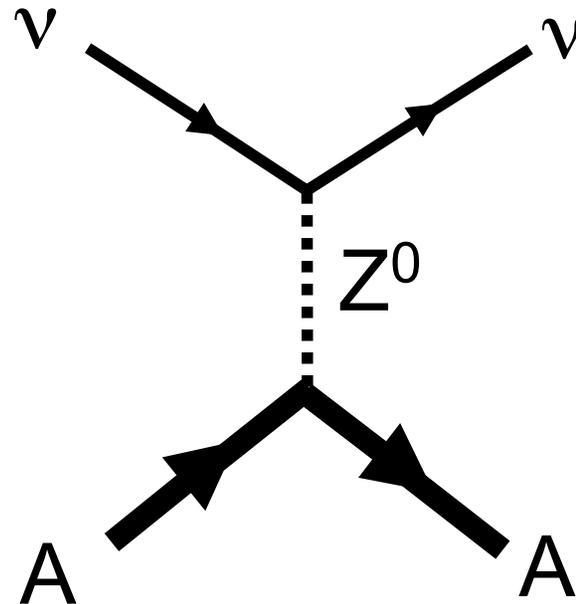
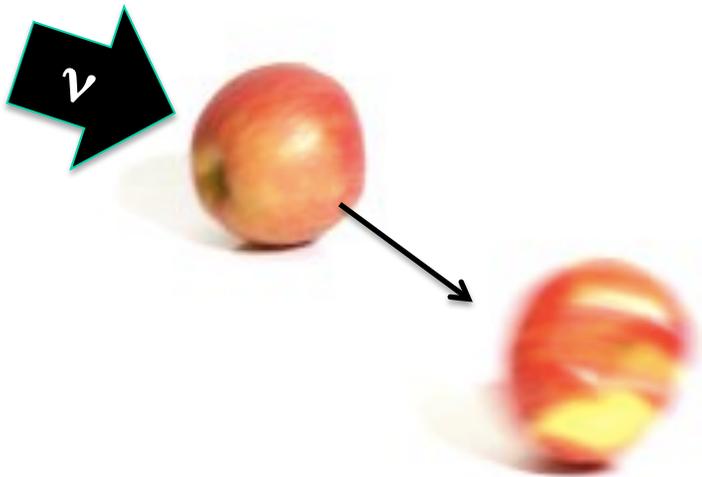
# Example 2: the low-energy regime and the *gentlest* interaction with nuclei



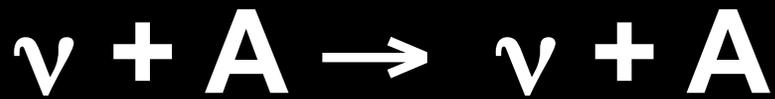
# Coherent elastic neutrino-nucleus scattering (CEvNS)



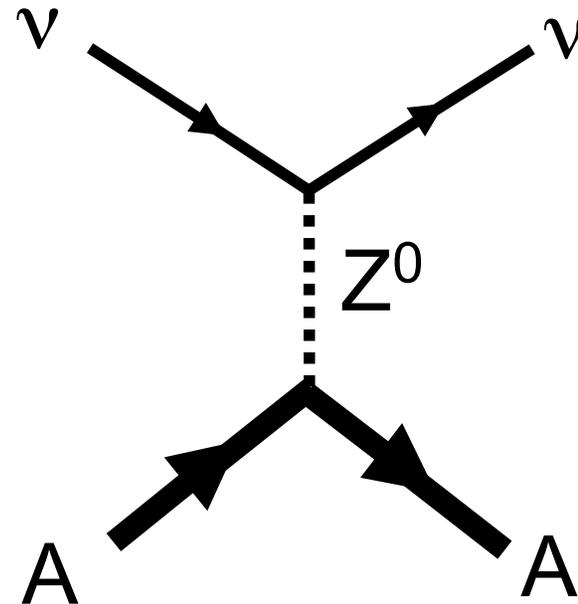
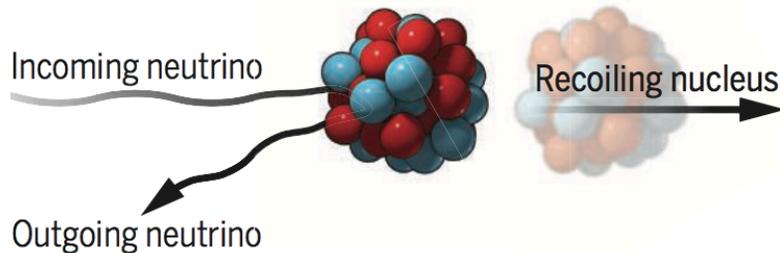
A neutrino smacks a nucleus via exchange of a  $Z$ , and the nucleus recoils as a whole; **coherent** up to  $E_\nu \sim 50$  MeV



# Coherent elastic neutrino-nucleus scattering (CEvNS)



A neutrino smacks a nucleus via exchange of a  $Z$ , and the nucleus recoils as a whole; **coherent** up to  $E_\nu \sim 50$  MeV



Nucleon wavefunctions in the target nucleus are **in phase with each other** at low momentum transfer

$$\text{For } QR \ll 1, \quad [\text{total xscn}] \sim A^2 * [\text{single constituent xscn}]$$

A: no. of constituents

# First proposed 44 years ago!

PHYSICAL REVIEW D

VOLUME 9, NUMBER 5

1 MARCH 1974

## Coherent effects of a weak neutral current

Daniel Z. Freedman†

*National Accelerator Laboratory, Batavia, Illinois 60510*

*and Institute for Theoretical Physics, State University of New York, Stony Brook, New York 11790*

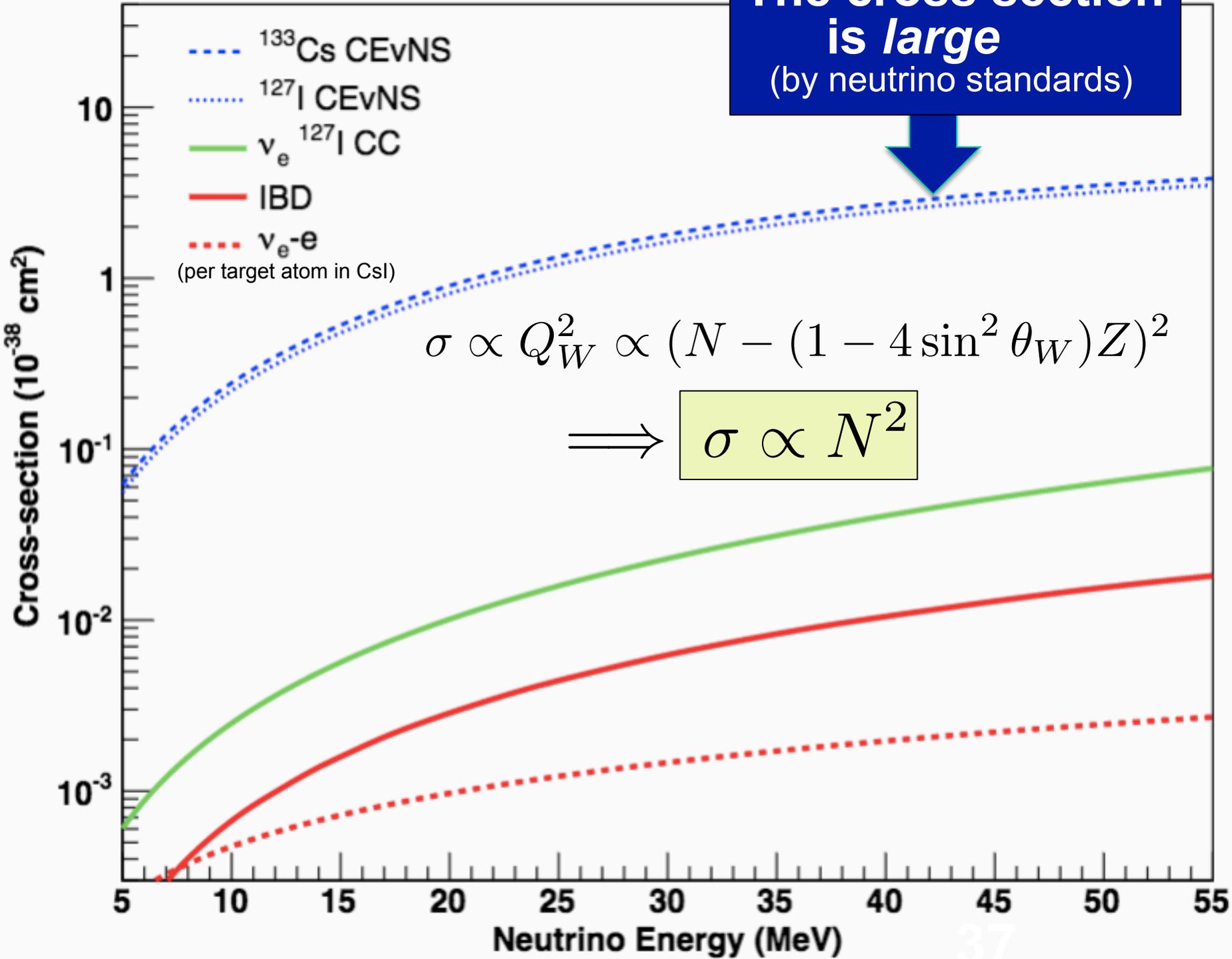
(Received 15 October 1973; revised manuscript received 19 November 1973)

Our suggestion may be an act of hubris, because the inevitable constraints of interaction rate, resolution, and background pose grave experimental difficulties for elastic neutrino-nucleus scattering. We will discuss these problems at the end of this note, but first we wish to present the theoretical ideas relevant to the experiments.

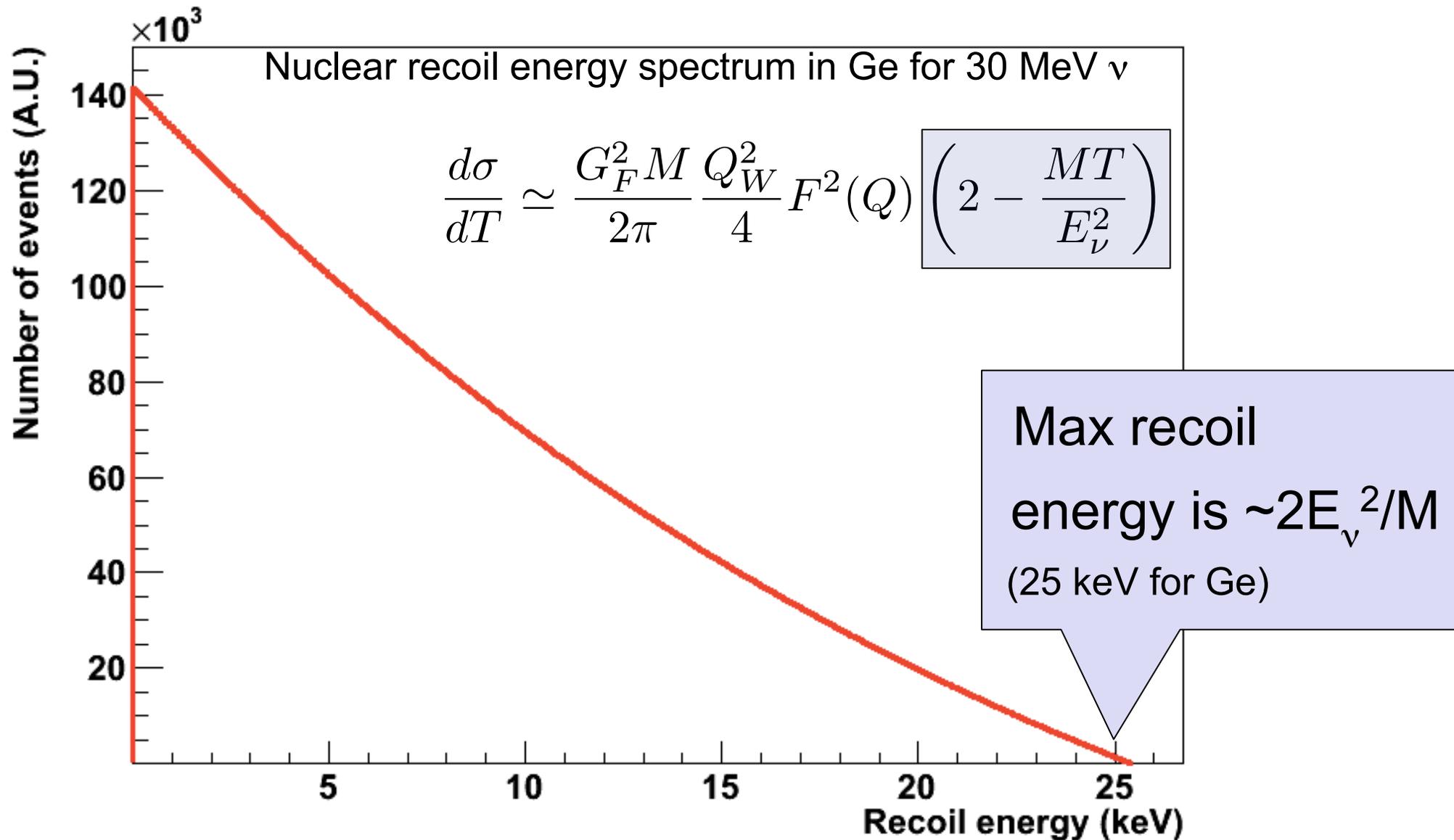


Also: D. Z. Freedman et al., "The Weak Neutral Current and Its Effect in Stellar Collapse", *Ann. Rev. Nucl. Sci.* 1977. 27:167-207

**The cross section is large**  
(by neutrino standards)

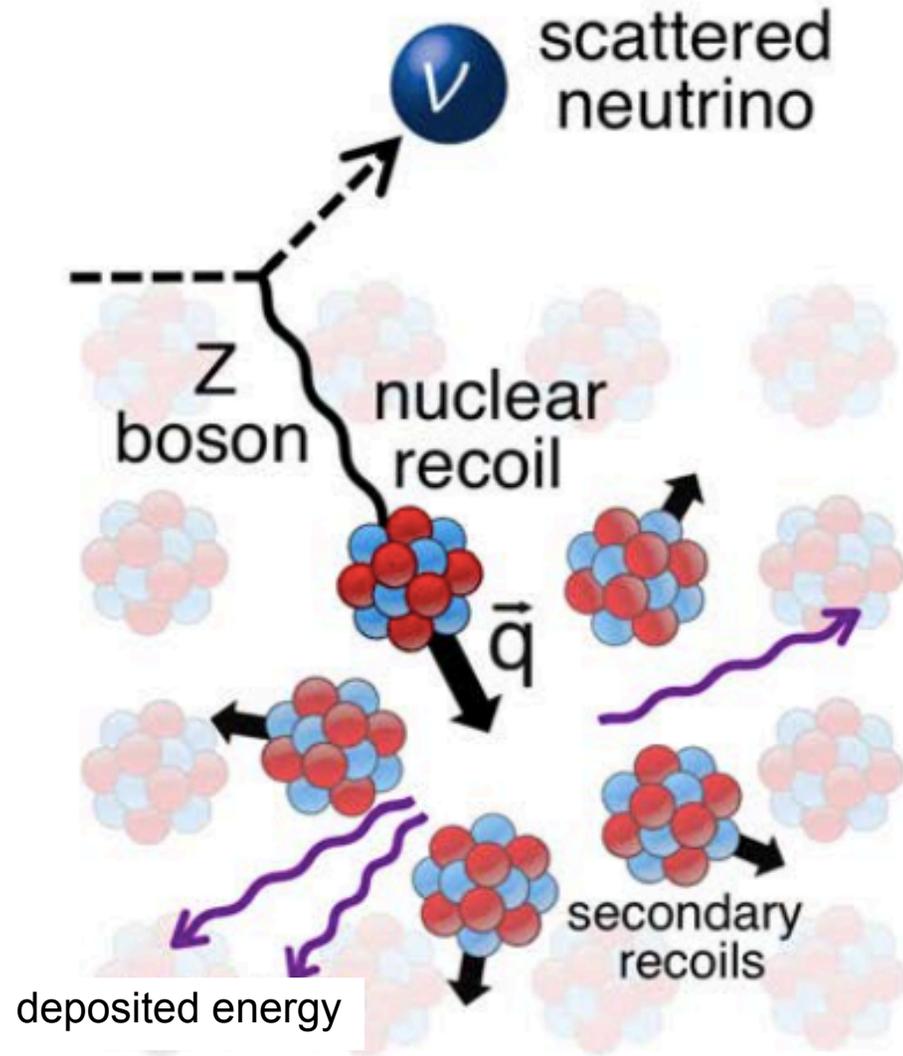


**Large cross section** (by neutrino standards) but hard to observe due to **tiny nuclear recoil energies**:



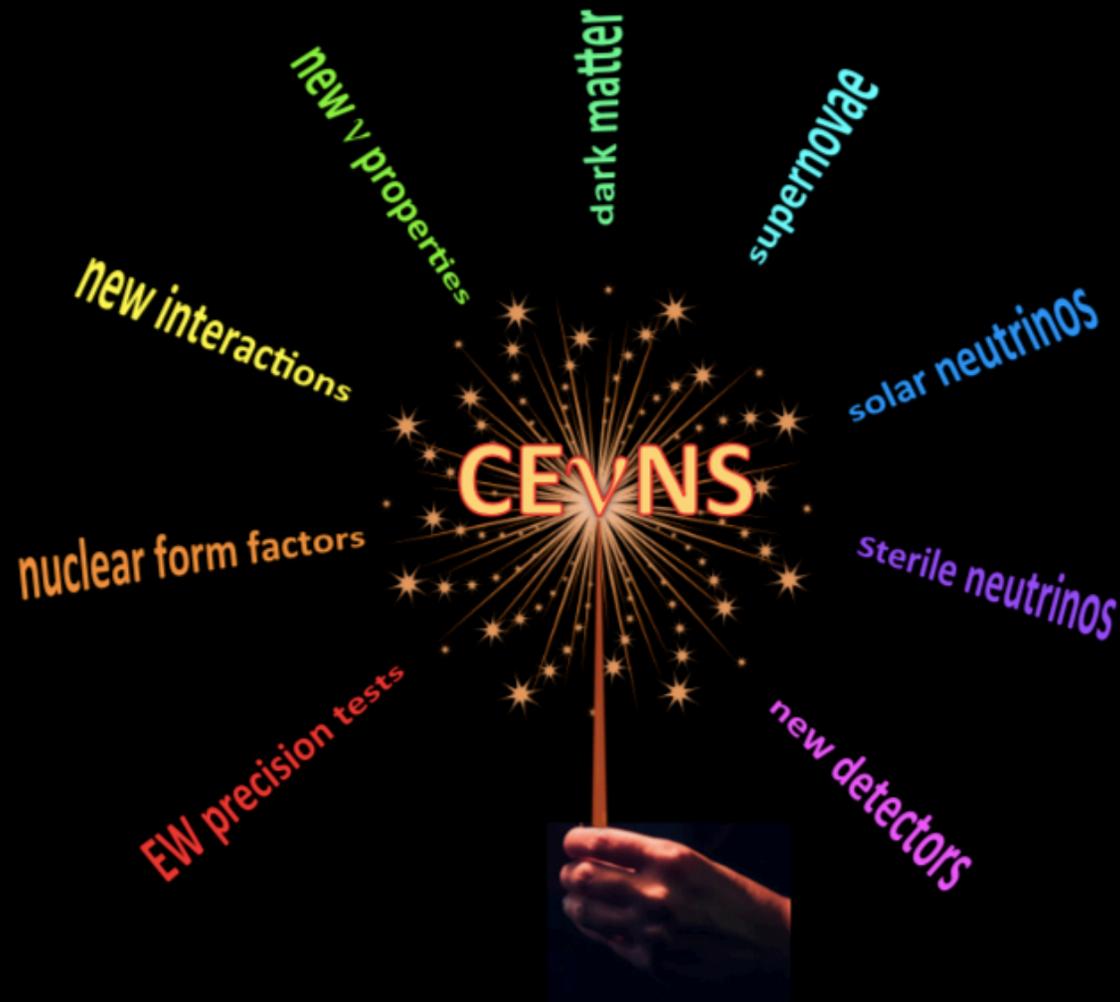
The only experimental signature:

tiny energy deposited by nuclear recoils in the target material



→ **WIMP dark matter detectors** developed over the last ~decade are sensitive to  $\sim$  keV to 10's of keV recoils

# Why measure CEvNS?



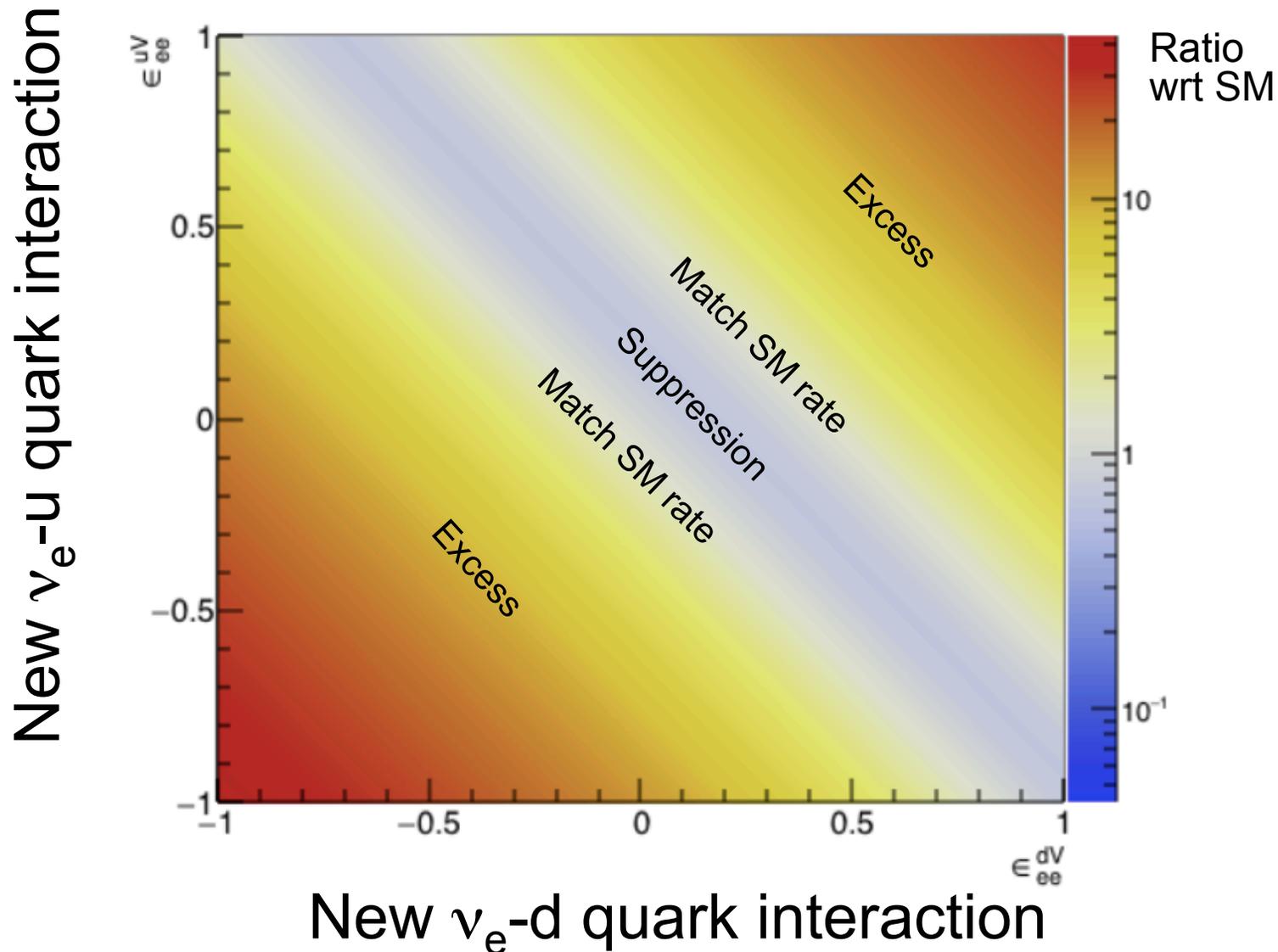
E. Lisi  
Neutrino 2018

One example: hunting for **new interactions**  
“Beyond-the-Standard-Model”

# Signatures of **Beyond-the-Standard-Model Physics**

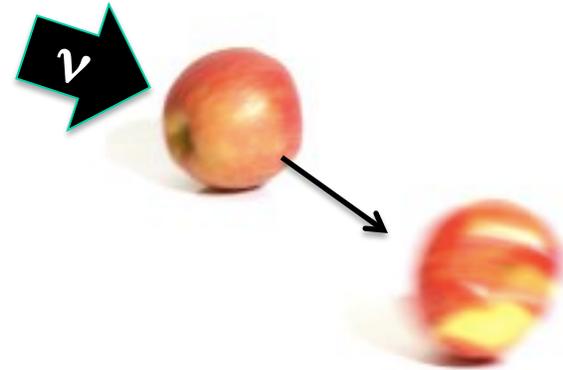
Look for a CEvNS **excess** or **deficit** wrt SM expectation

## Csl



# How to detect CEvNS?

You need a neutrino source and a detector

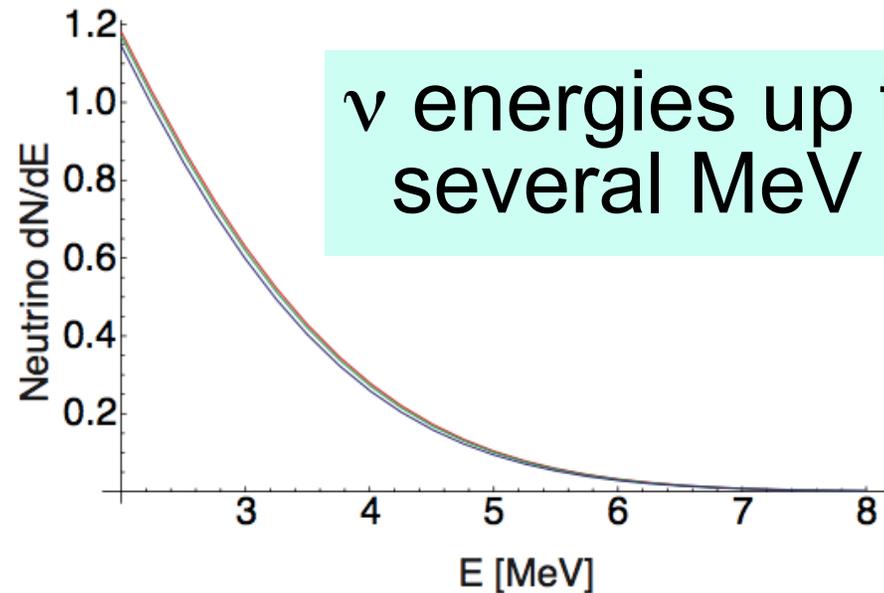
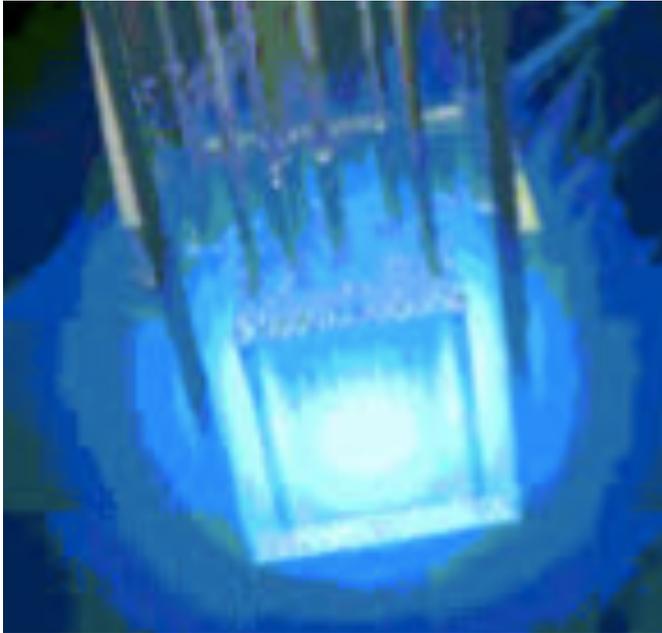


## What do you want for your $\nu$ source

- ✓ High flux
- ✓ Well understood spectrum
- ✓ Multiple flavors (physics sensitivity)
- ✓ Pulsed source if possible, for background rejection
- ✓ Ability to get close
- ✓ Practical things: access, control, ...

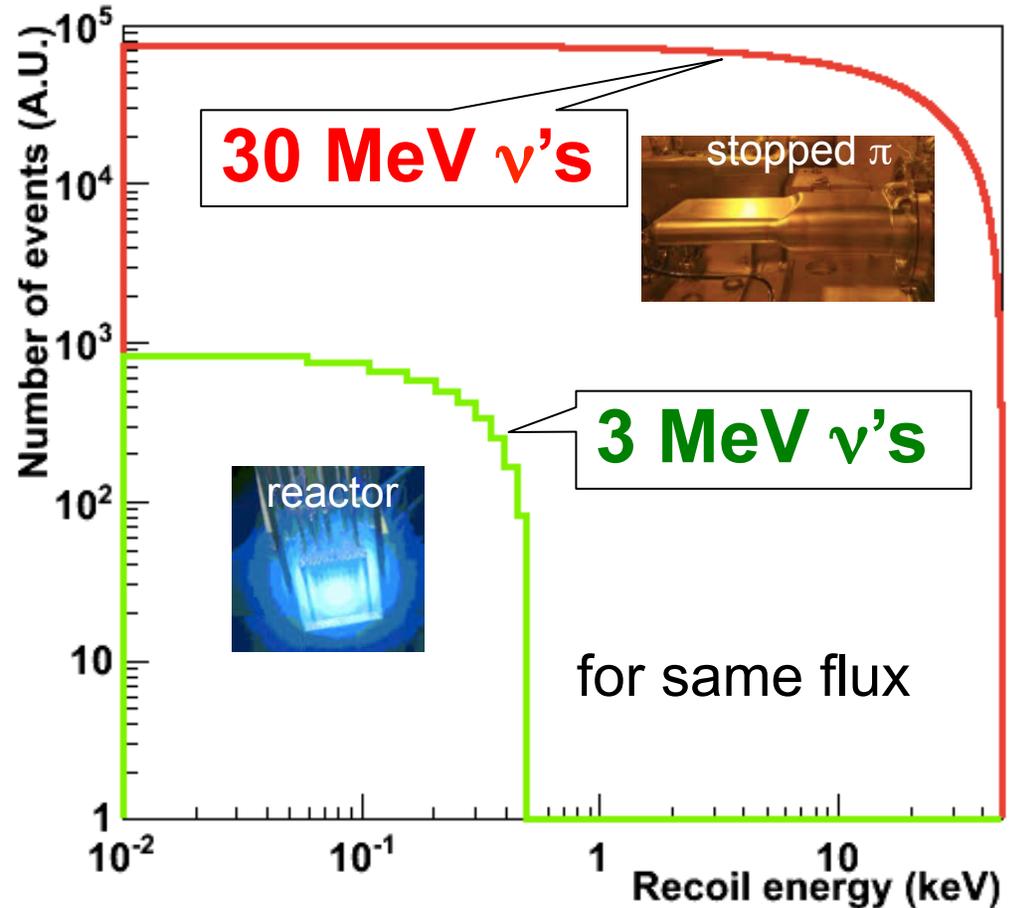
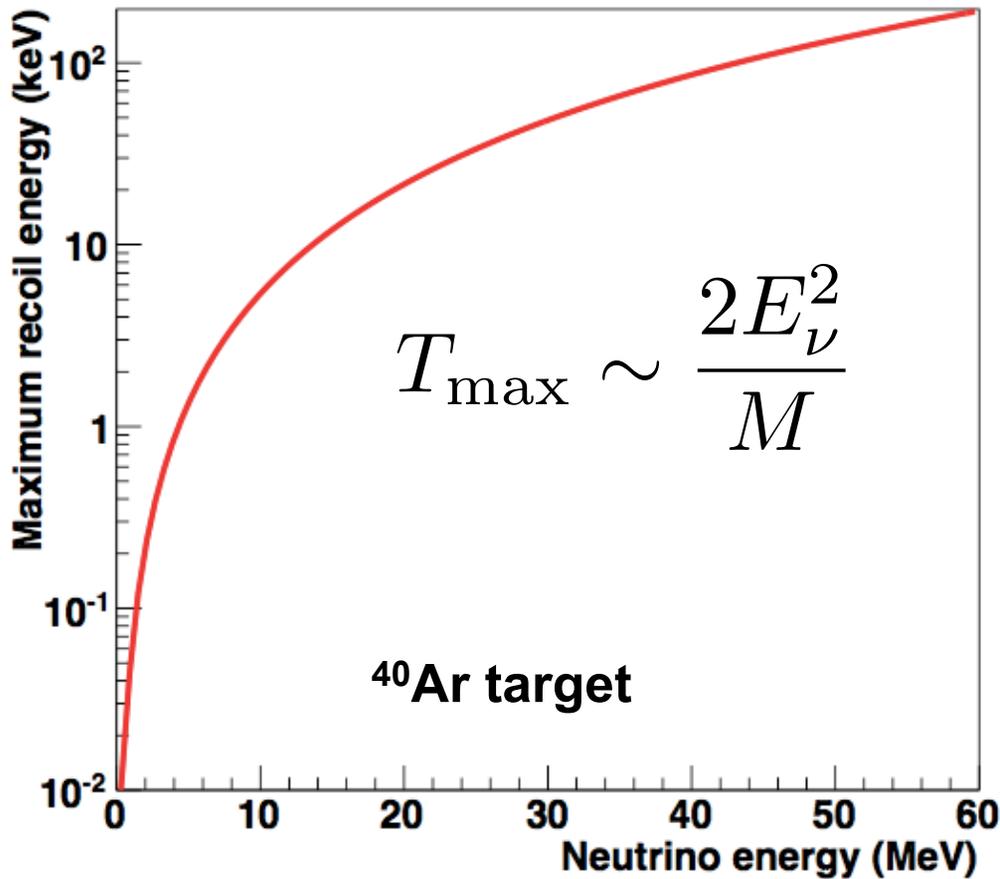


# Neutrinos from nuclear reactors



- $\bar{\nu}_e$  produced in fission reactions (one flavor)
- **huge fluxes possible**:  $\sim 2 \times 10^{20} \text{ s}^{-1}$  per GW
- several CEvNS searches past, current and future at reactors, but **recoil energies < keV** and backgrounds make this very challenging

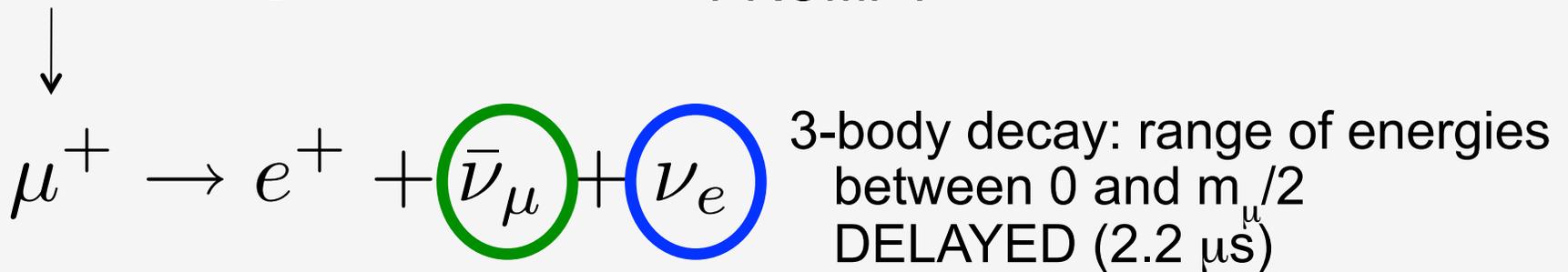
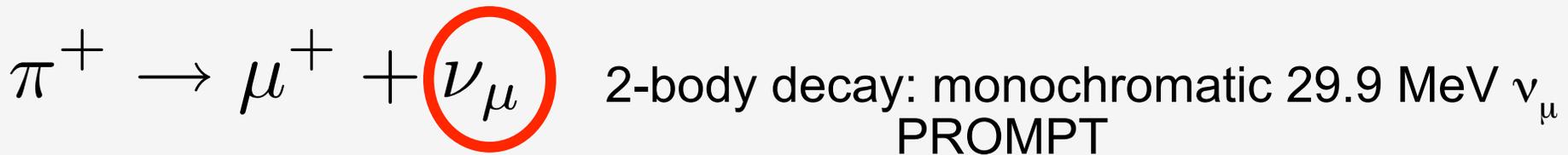
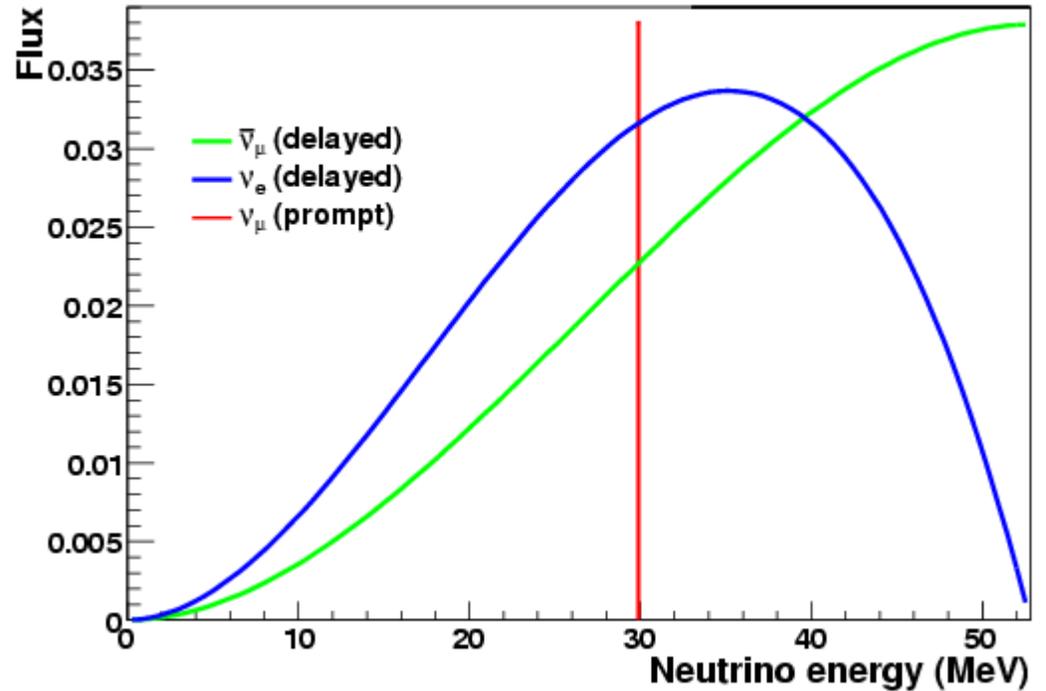
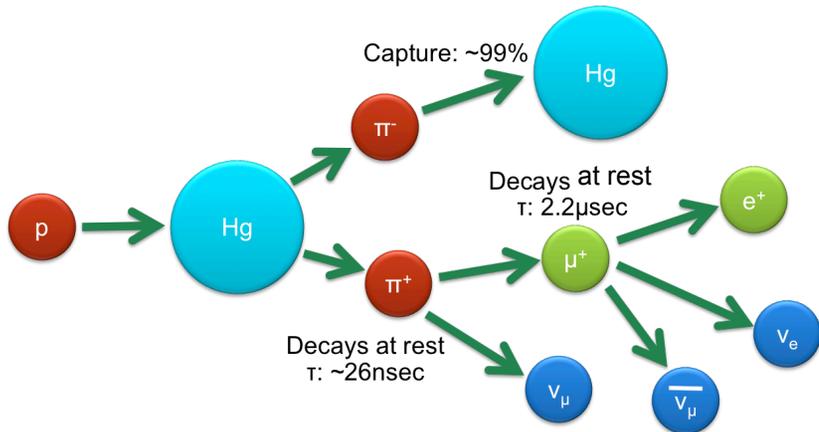
Both **cross-section** and **maximum recoil energy** increase with neutrino energy:



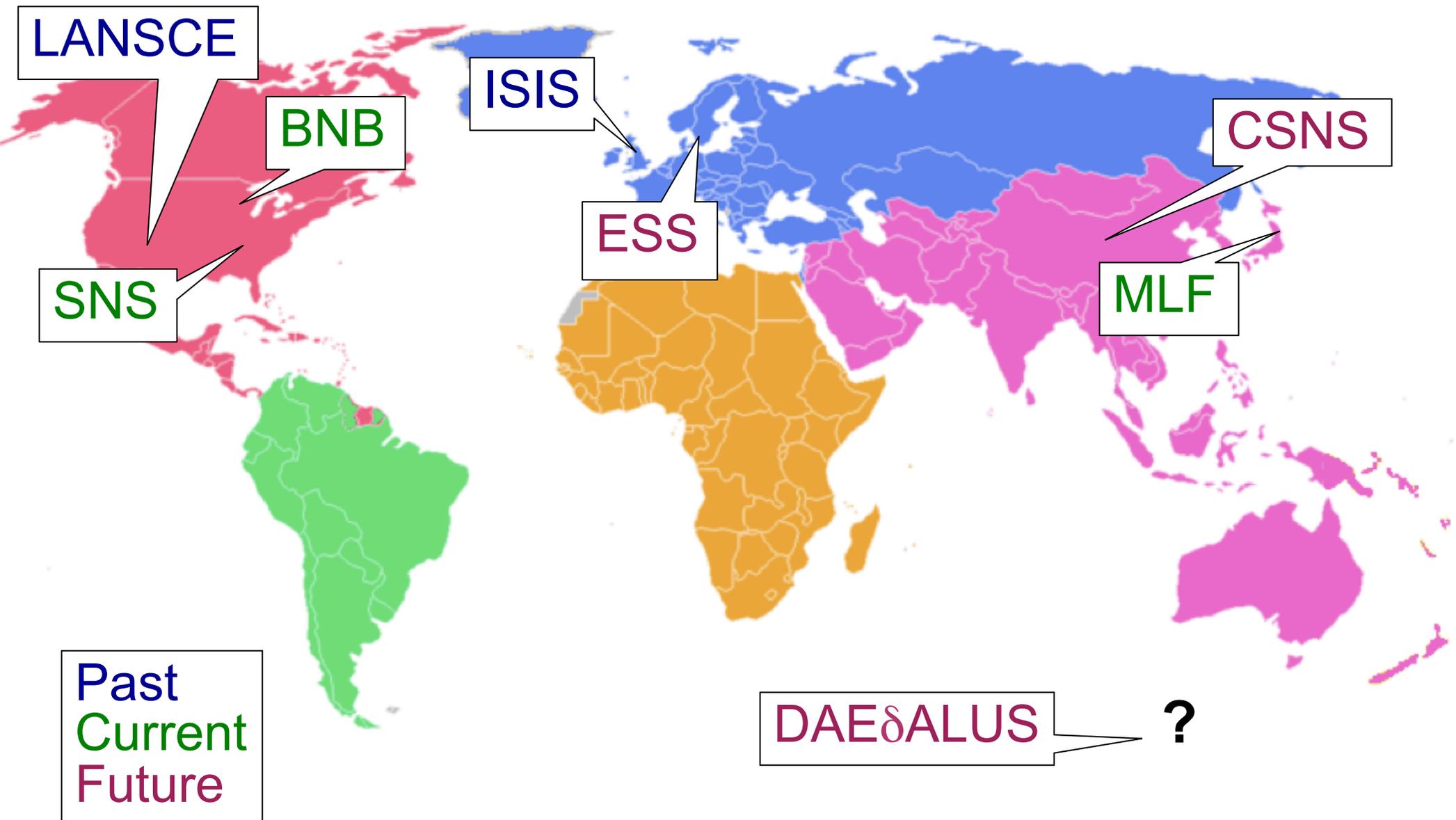
Want energy as large as possible while satisfying coherence condition:

$$Q \lesssim \frac{1}{R} \quad (< \sim 50 \text{ MeV for medium A})$$

# Stopped-Pion ( $\pi$ DAR) Neutrinos

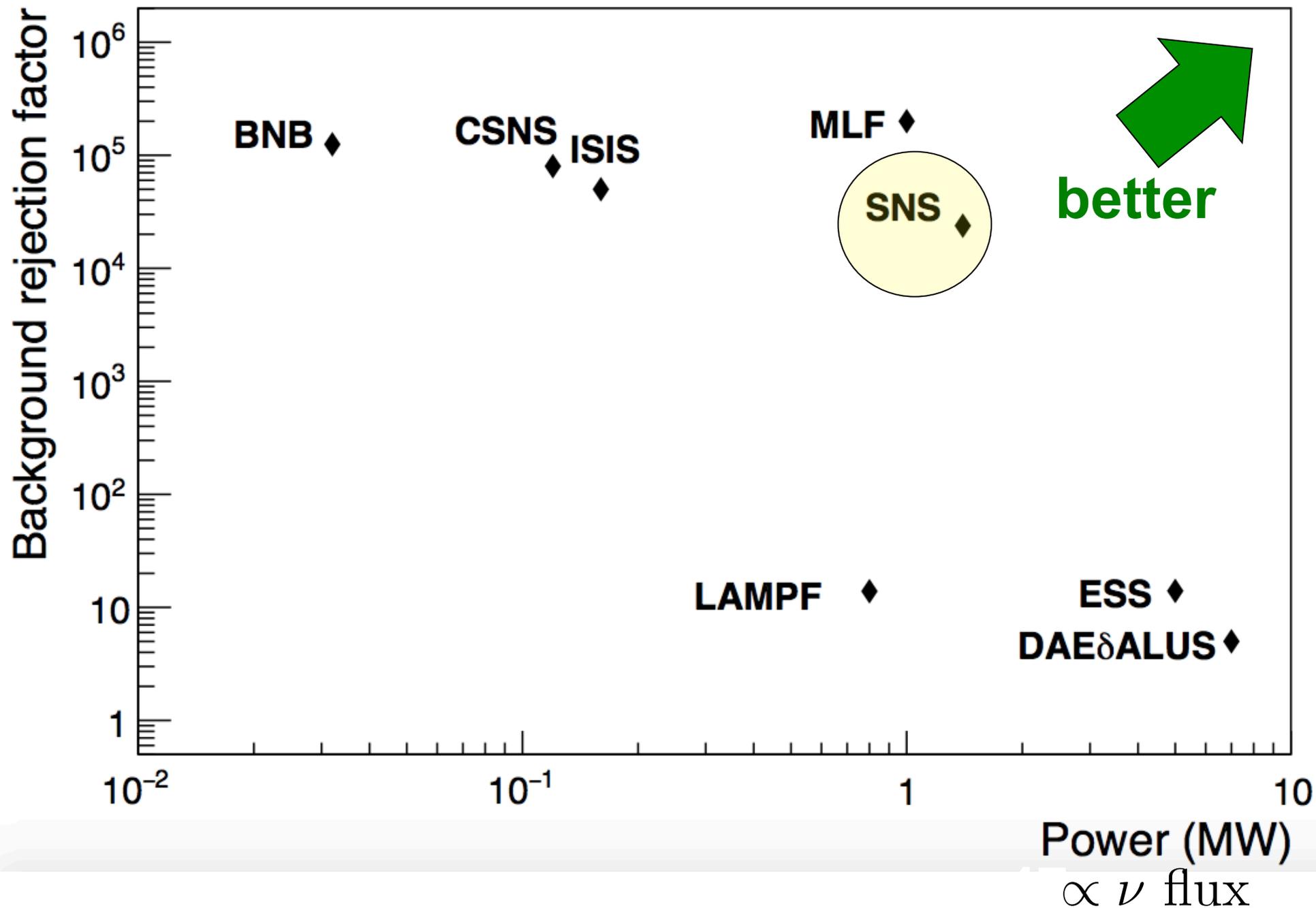


# Stopped-Pion Neutrino Sources Worldwide



# Comparison of pion decay-at-rest $\nu$ sources

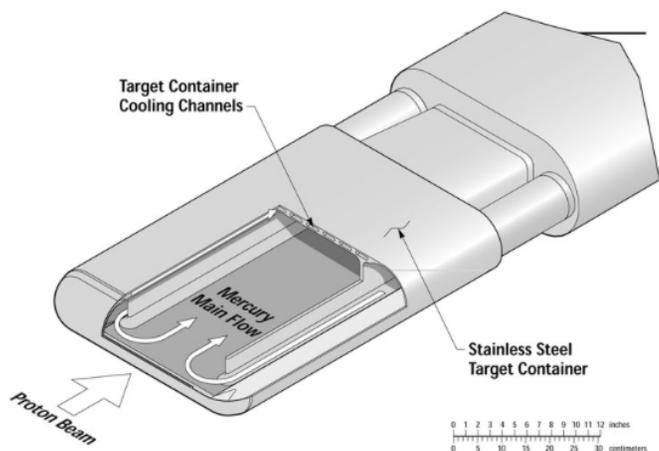
from duty cycle





# Spallation Neutron Source

Oak Ridge National Laboratory, TN



Proton beam energy: 0.9-1.3 GeV  
Total power: 0.9-1.4 MW  
Pulse duration: 380 ns FWHM  
Repetition rate: 60 Hz  
Liquid mercury target

## The neutrinos are free!

These are *not* crummy  
old cast-off neutrinos...



These are *not* crummy  
old cast-off neutrinos...

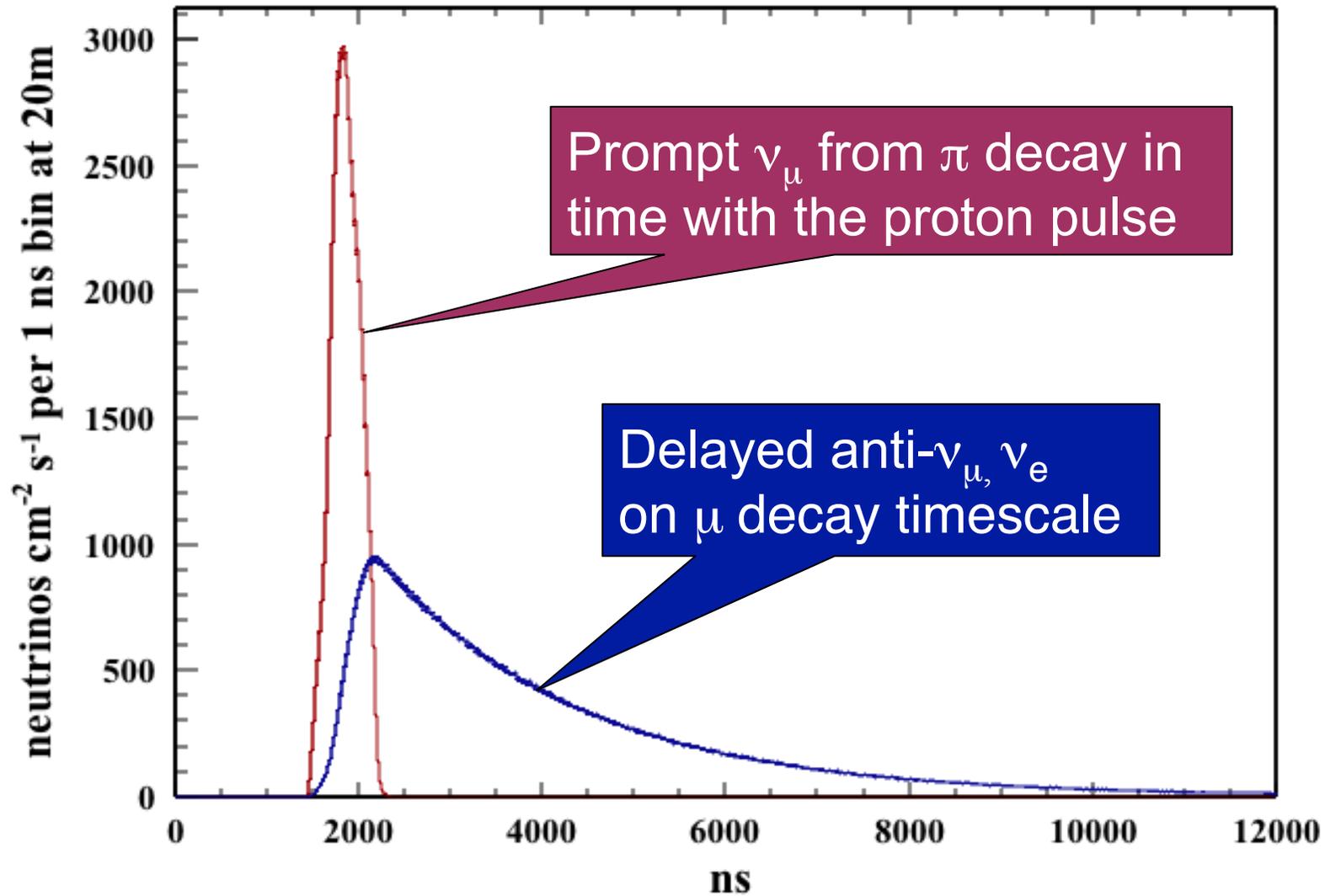


They are of the  
highest quality!



# Time structure of the SNS source

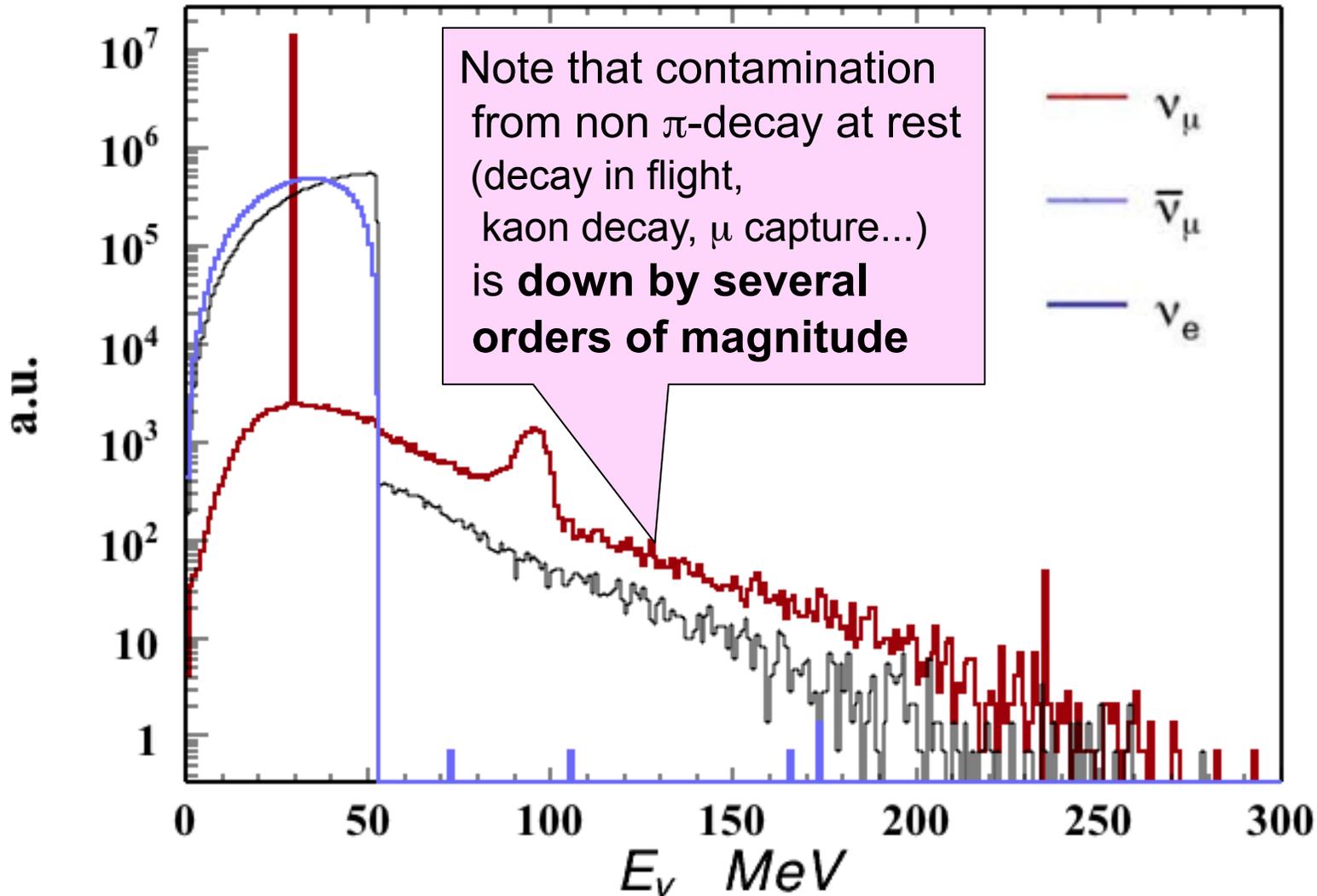
60 Hz *pulsed* source



Background rejection factor  $\sim \text{few} \times 10^{-4}$

The SNS has **large, extremely clean** stopped-pion  $\nu$  flux

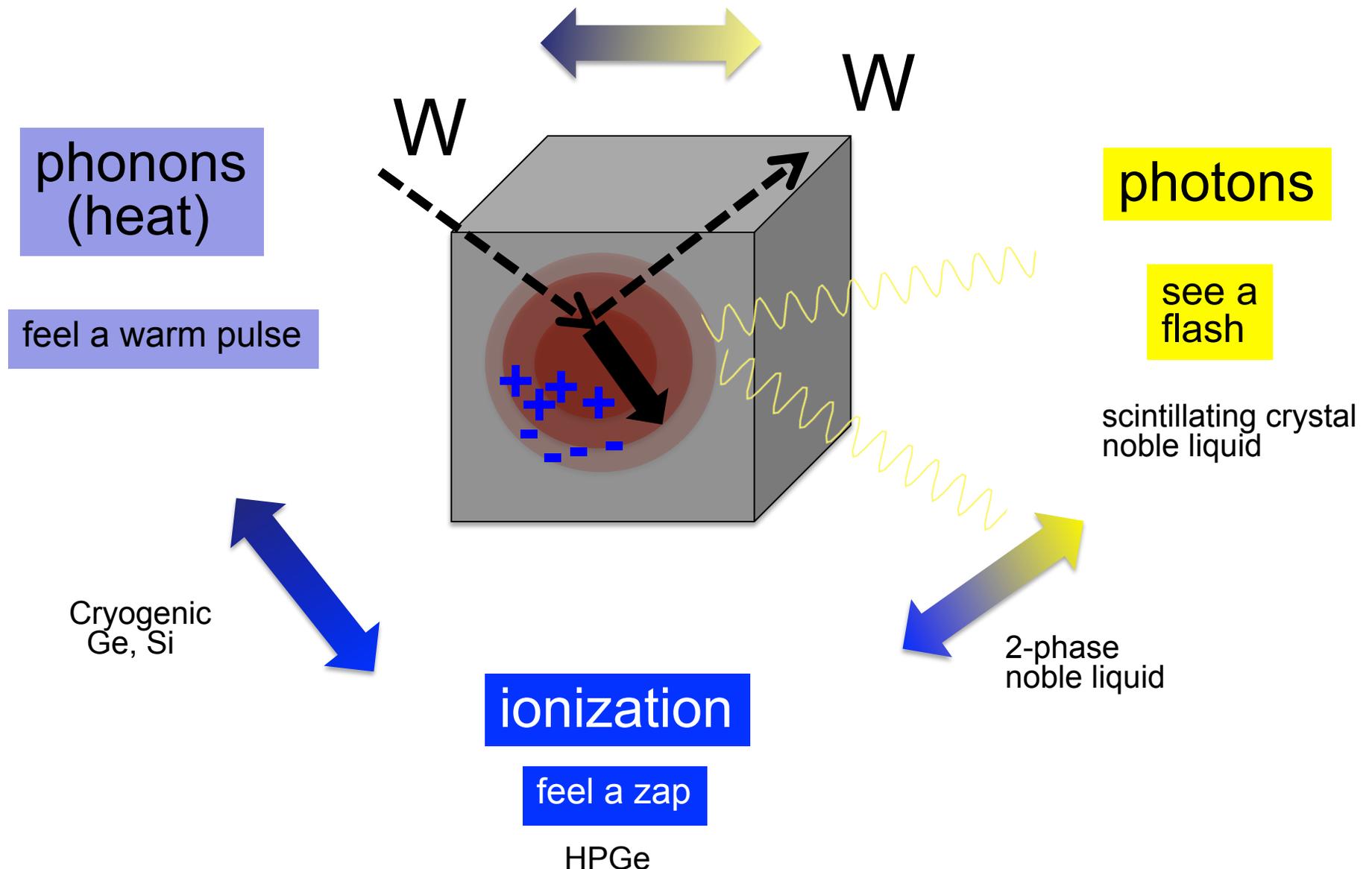
0.08 neutrinos per flavor per proton on target



SNS flux (1.4 MW):  
 **$430 \times 10^5 \nu/\text{cm}^2/\text{s}$**   
@ 20 m

# Now, *detecting* the tiny kick of the neutrino...

This is just like the tiny thump of a WIMP;  
we benefit from the last few decades of low-energy nuclear recoil detectors

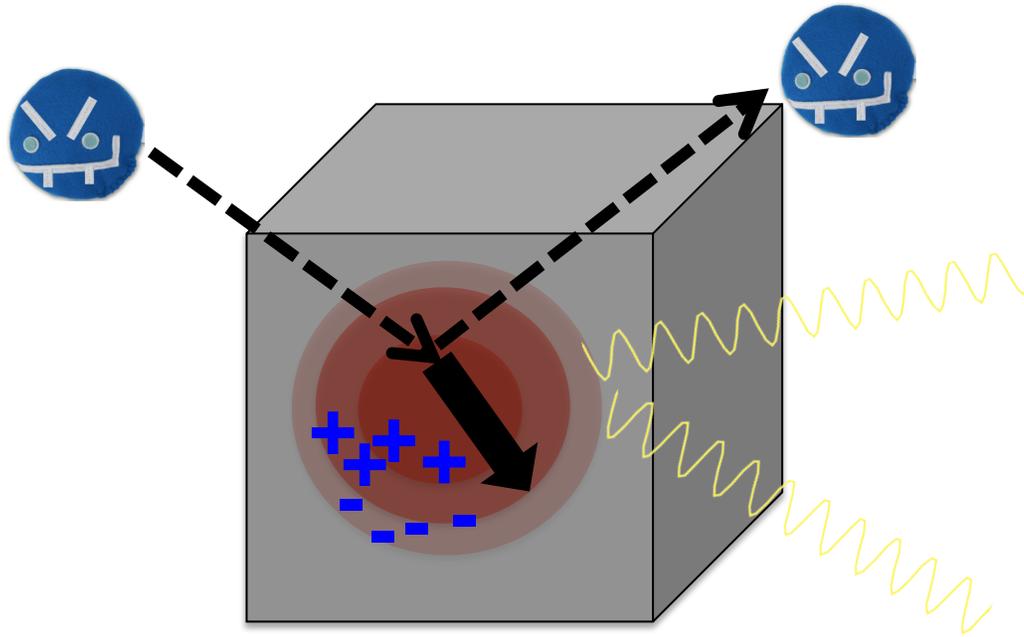


# Backgrounds

Usual suspects:

- cosmogenics
- ambient and intrinsic radioactivity
- detector-specific noise and dark rate

Neutrons are especially not our friends\*

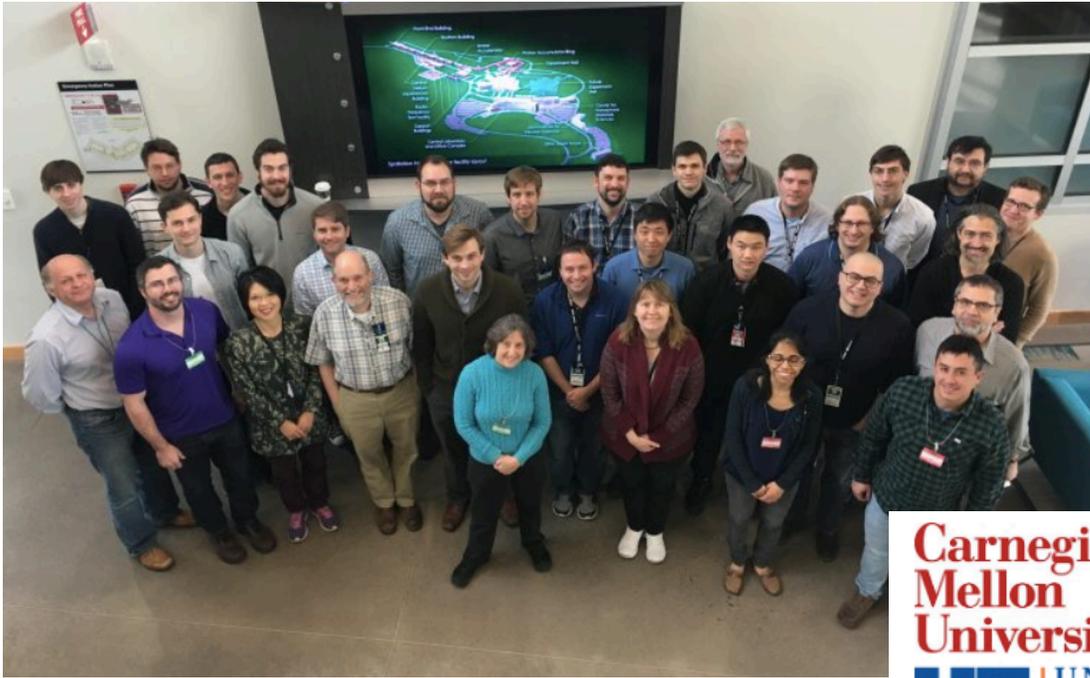


Steady-state backgrounds can be *measured* off-beam-pulse  
... in-time backgrounds must be carefully characterized

\*Thanks to Robert Cooper for the “mean neutron”

# The COHERENT collaboration

<http://sites.duke.edu/coherent>



~80 members,  
19 institutions  
4 countries

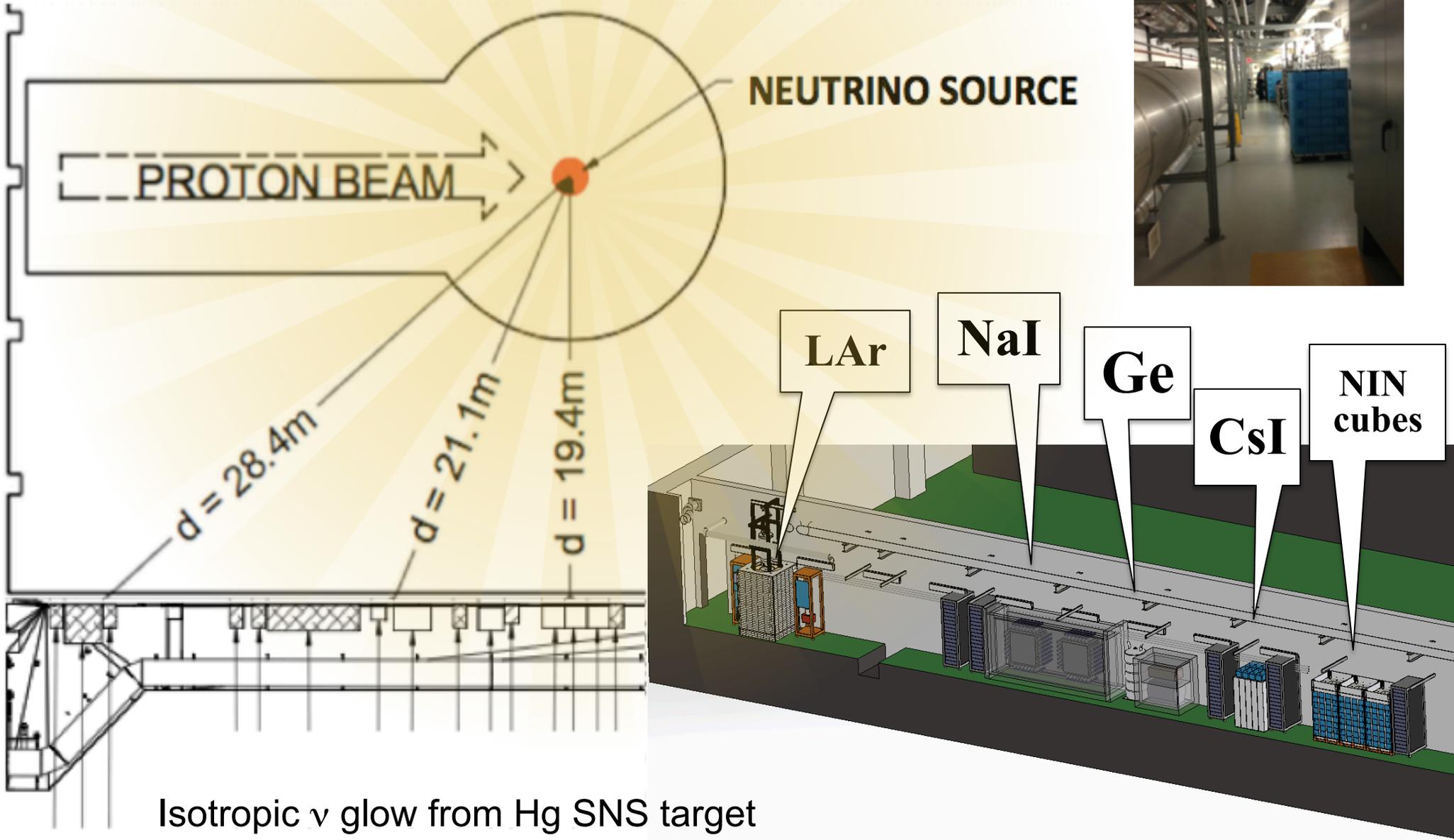
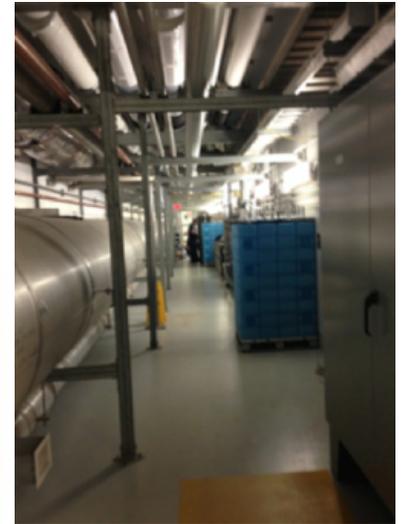
arXiv:1509.08702



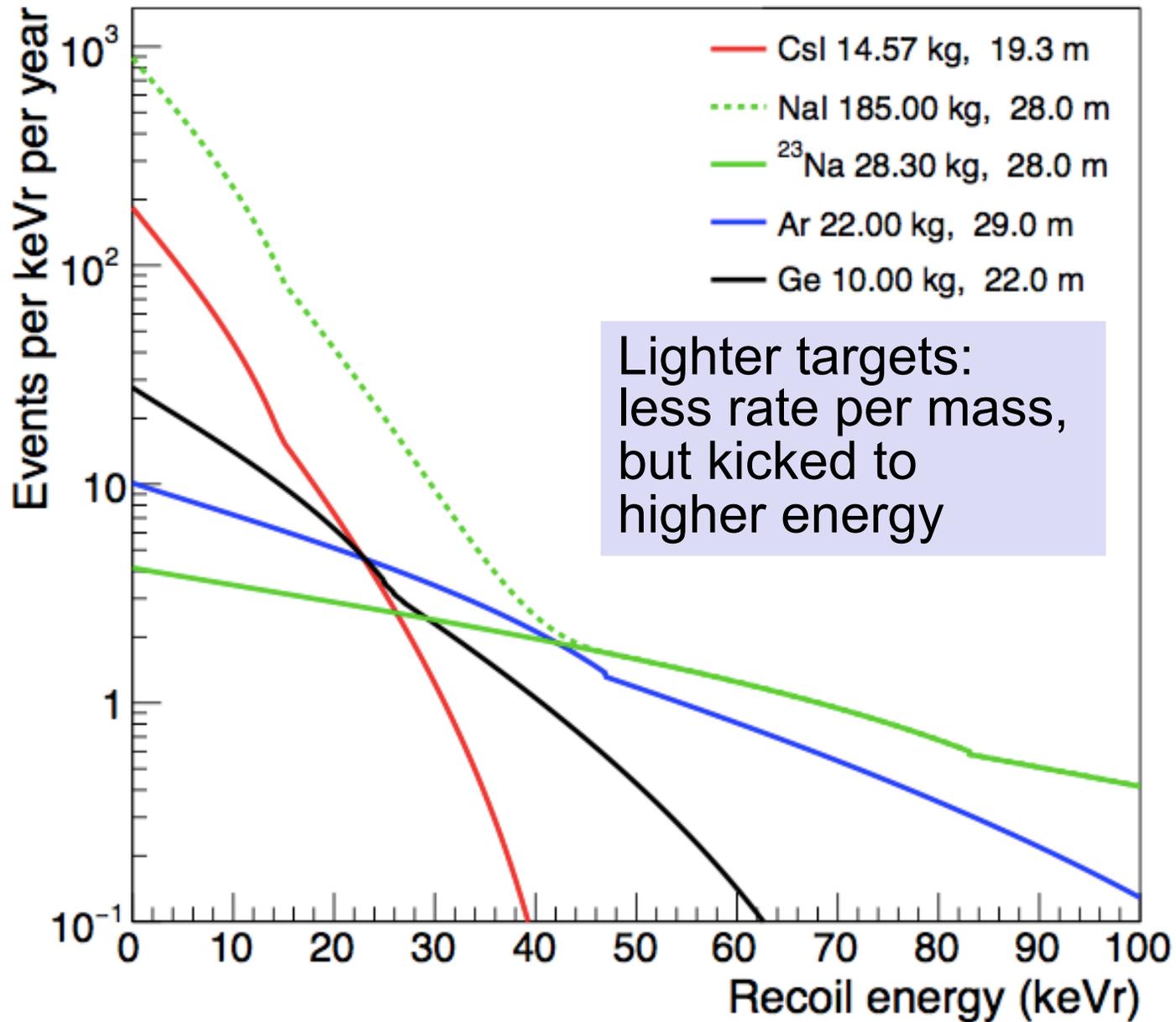
# Siting for deployment in SNS basement

(measured neutron backgrounds low,  
~ 8 mwe overburden)

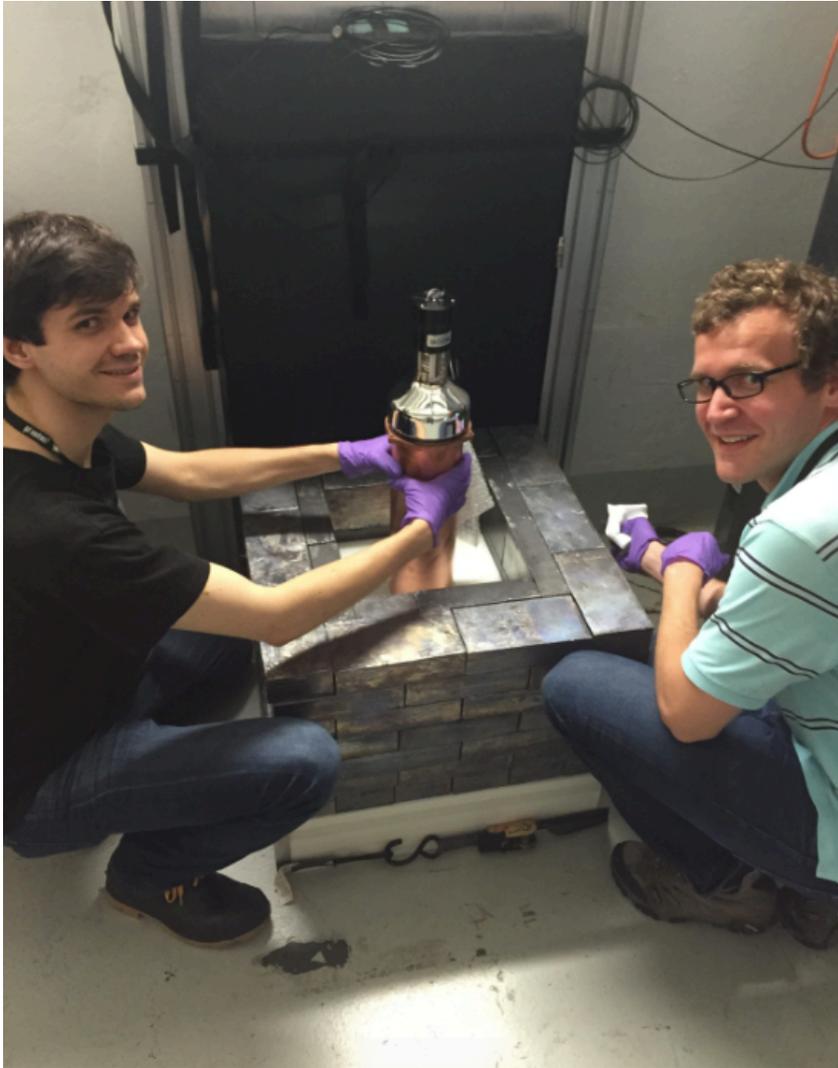
View looking  
down "Neutrino Alley"



# Expected recoil energy distribution



# The CsI Detector in Shielding in Neutrino Alley at the SNS



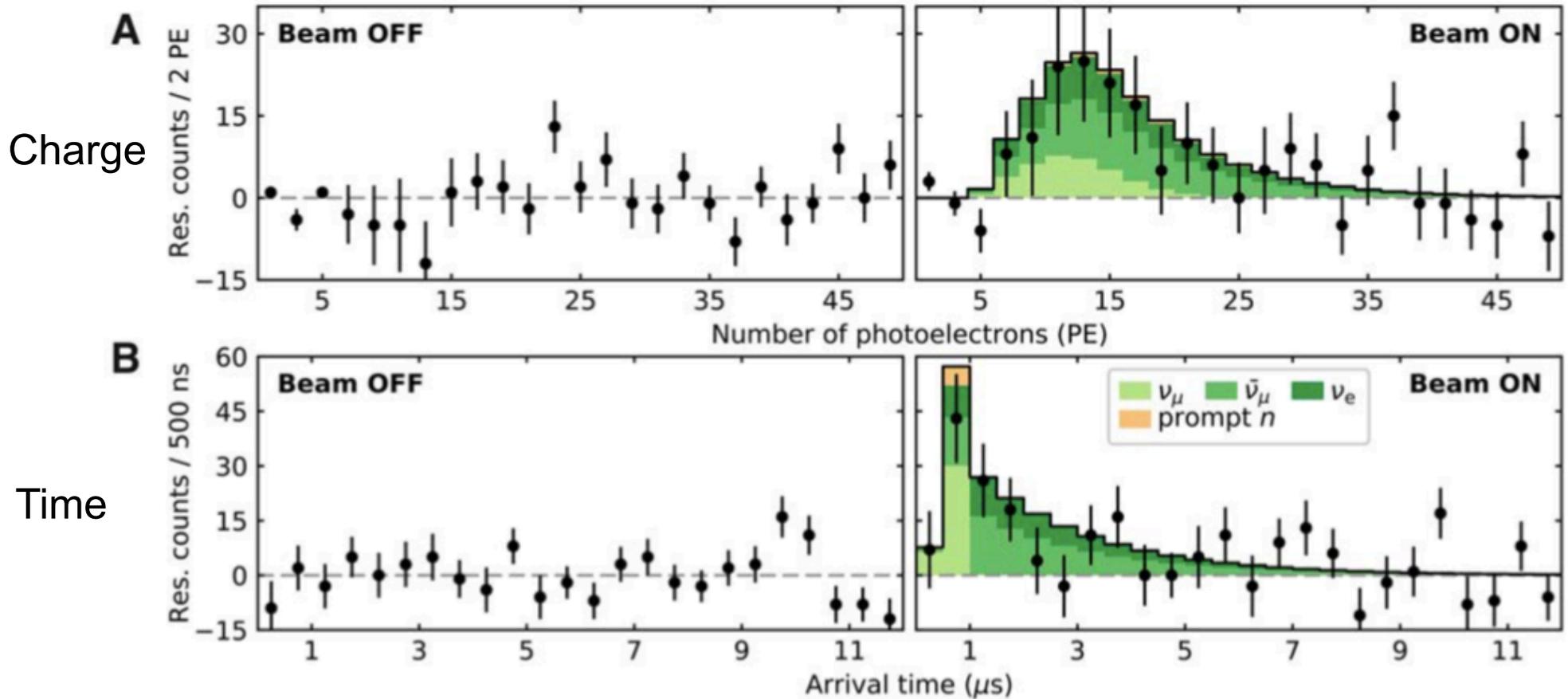
A hand-held detector!



Almost wrapped up...

Detector designed and calibrated @ U. of Chicago

# First light at the SNS with 14.6-kg CsI[Na] detector



## Observation of coherent elastic neutrino-nucleus scattering

D. Akimov<sup>1,2</sup>, J. B. Albert<sup>3</sup>, P. An<sup>4</sup>, C. Awe<sup>4,5</sup>, P. S. Barbeau<sup>4,5</sup>, B. Becker<sup>6</sup>, V. Belov<sup>1,2</sup>, A. Brown<sup>4,7</sup>, A. Bolozdy...

+ See all authors and affiliations

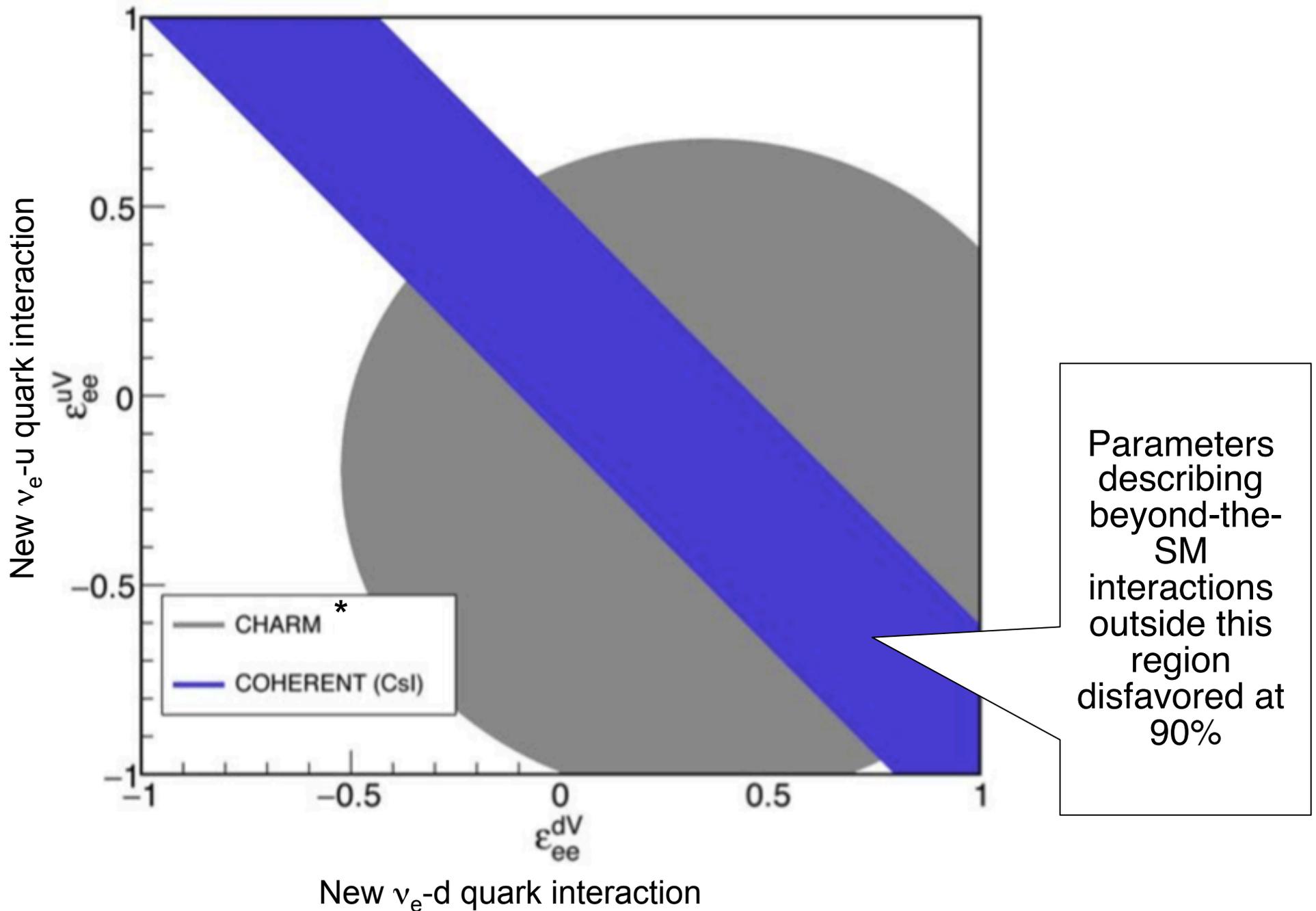
Science 03 Aug 2017:  
eaa0990  
DOI: 10.1126/science.aao0990



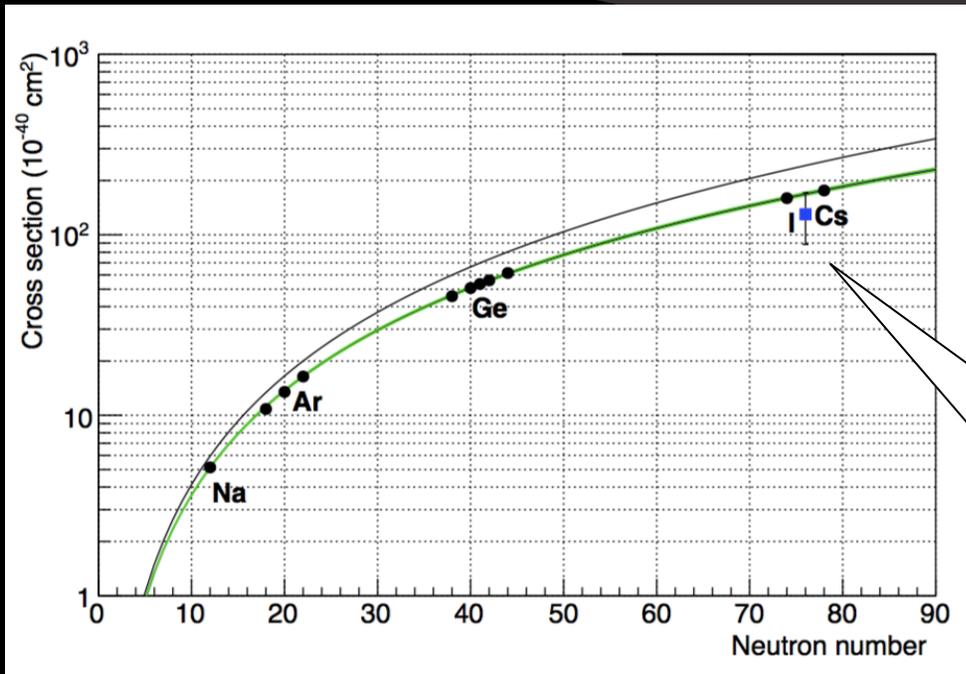
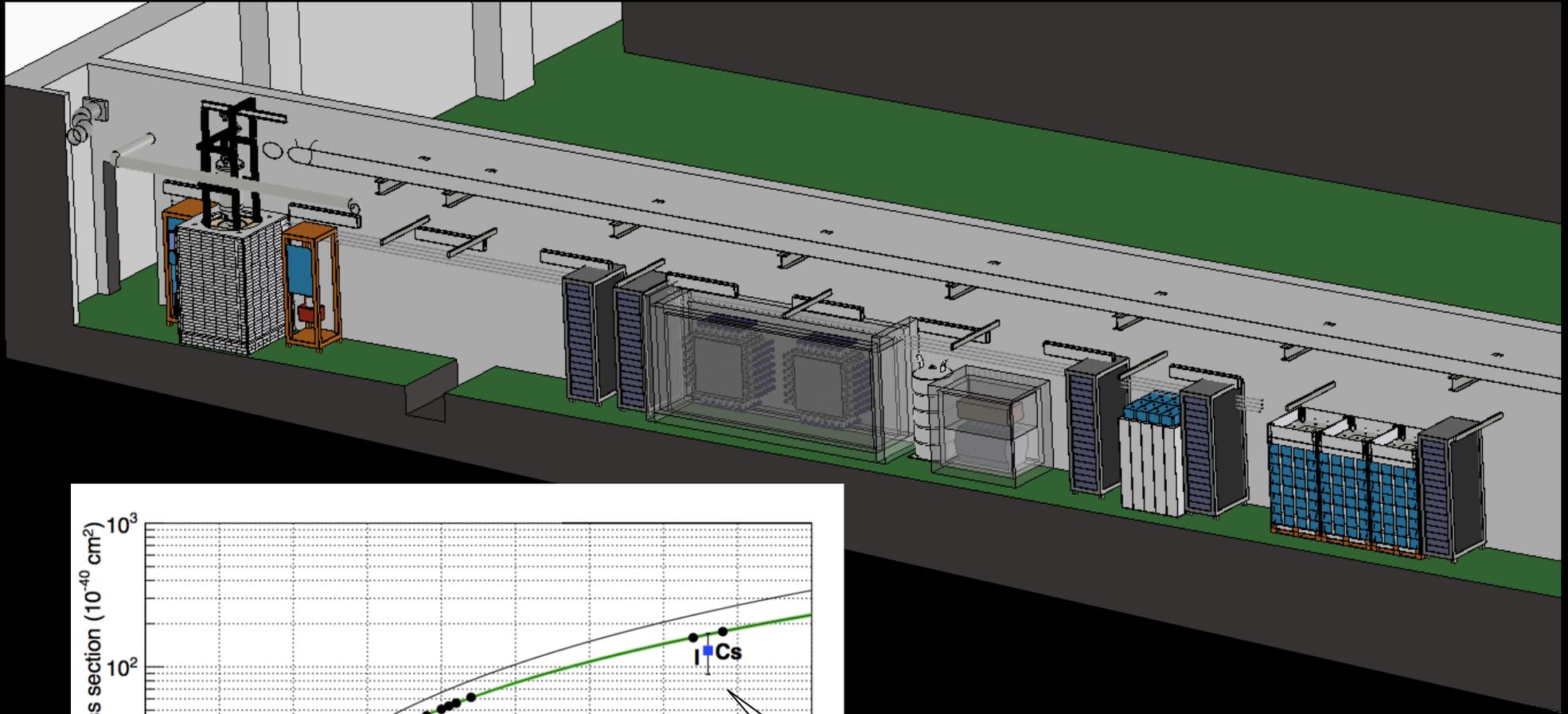
D. Akimov et al., *Science*, 2017

<http://science.sciencemag.org/content/early/2017/08/02/science.aao0990>

# Neutrino non-standard interaction results for current Csl data set:

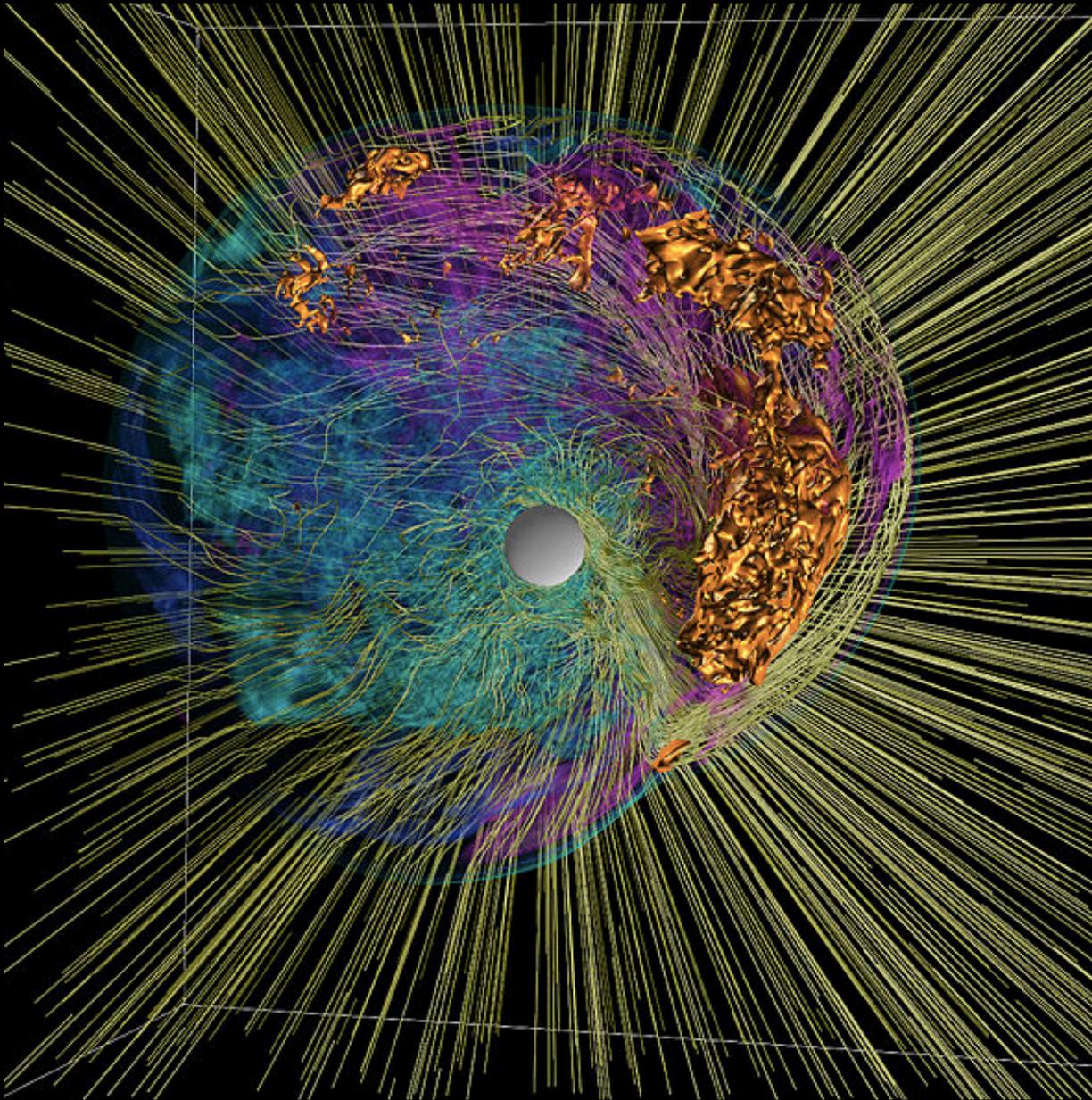


# What's Next for COHERENT?



One measurement so far! Want to map out  $N^2$  dependence

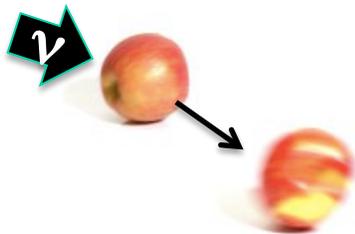
# And there are many more potential opportunities for neutrino physics with stopped-pion sources



- interactions of supernova neutrinos
- neutrino oscillations
- nuclear structure
- low-energy detection technology
- ...

# Take-Away Messages from this Lecture

- **Neutrinos** matter for particle physics  
astrophysics  
cosmology  
nuclear physics
- Neutrinos interact with matter via charged- and neutral-current interactions ... weak interactions are rare but important!  
•  $N = \phi \sigma N_t T$  [use in your tutorial]
- Understanding of **neutrino interactions with nuclei** matters:
  - interpretation of neutrino experiments (oscillation, astrophysical)
  - search for beyond-the-SM physics
  - understanding of the nuclei themselves



# **Extras/backups**