An introduction to Diffraction

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Two alternative definitions:

1. Diffraction is elastic or quasi-elastic scattering caused, via s-channel unitarity, by the absorption of components of the wave functions of the incoming particles e.g. pp→pp, pp→pX (single proton dissociation, SD), pp→XX (both protons dissociate, DD)

quan.no. of p

X

2. A diffractive process is characterized by a large rapidity gap (LRG), which is caused by t-channel Pomeron exch. (or, to be more precise, by the exchange corresponding to the rightmost singularity in the complex angular momentum plane with vacuum quantum numbers).

Let us start with the

s-channel viewpoint

Unitarity gives us the optical theorem

S matrix and the Optical Theorem

$$
\sum_{n} P(i \to n) = 1 = \sum_{n} |\langle n|S|i\rangle|^{2} = \sum_{n} \langle i|S^{\dagger}|n\rangle\langle n|S|i\rangle = \langle i|S^{\dagger}S|i\rangle = 1
$$

true for any |i\rangle, so $\boxed{S^{\dagger}S = I}$. Introduce trans matrix $T: \boxed{S = I + iT}$
 $(I - iT^{\dagger})(I + iT) = I$
 $i(T^{\dagger} - T) = T^{\dagger}T$
 $i\langle f|T^{\dagger} - T|i\rangle = \sum_{n} \langle f|T^{\dagger}|n\rangle\langle n|T|i\rangle$
 $2 \text{Im}T(i \to f) = \sum_{n} \langle n|T^{*}|f\rangle\langle n|T|i\rangle$
put $f = i$, forward elastic scatt. \to Optical theorem

$$
2 \operatorname{Im} T_{\text{el}}(t=0) = \sum_{n} |T(i \rightarrow n)|^2 = \sigma_{\text{tot}}
$$

- 1. The sum over all inelastic channels forms a "shadow", which "generates" elastic scattering \rightarrow diffraction \rightarrow can generalise
- 2. As s increases Im $T_{el}(s,0)$ is the sum over increasing number of positive terms. No such constraint exists for Re T_{el} . $T_{el}(0)$ is predominantly imag. at HE.
- 3. Away from forward dirⁿ, phases in $2ImT_{el} \sim T_{nf} \cdot T_{ni}$ vary. $T_{el}(s,t)$ rapidly decreases away from t=0.

Eikonal $(\Omega(s,b))$ parametrization

$$
2 \text{ Im} T_{\text{el}} = \sum_{n} |T(i \to n)|^2 = |T_{\text{el}}|^2 + G_{\text{inel}}
$$

best to work in b space, since at high energies the value of b is frozen

$$
2 \operatorname{Im} T_{\text{el}}(s, b) = |T_{\text{el}}(s, b)|^{2} + G_{\text{inel}}(s, b)
$$

\n
$$
\sigma_{\text{tot}} = 2 \int d^{2}b \operatorname{Im} T_{\text{el}}(s, b) = 2 \int d^{2}b (1 - e^{-\Omega/2})
$$

\n
$$
\sigma_{\text{el}} = \int d^{2}b |\operatorname{T}_{\text{el}}(s, b)|^{2} = \int d^{2}b (1 - e^{-\Omega/2})^{2}
$$

\n
$$
\sigma_{\text{inel}} = \int d^{2}b [2\operatorname{Im} T_{\text{el}}(s, b) - |T_{\text{el}}(s, b)|^{2}] = \int d^{2}b (1 - e^{-\Omega})
$$

\nwith $\operatorname{Re}\Omega \ge 0$. Amp ~ image. at HE so eikonal Ω is real
\nNote $e^{-\Omega(s, b)}$ is prob. no inelastic interⁿ occurs at b

At HE the inelastic contribution, G_{inel} , dominates; $\Omega(s, b) \gg 1$. In this so-called "black disk" limit $\text{Im}T_{\text{el}}(s, b) = 1$ Example: black disc of radius R shadow due to absorption leads to elastic scattering $64 + 2\pi R^2$ Since $\frac{d\sigma_{\text{el}}}{dt} = |\text{Im}T_{\text{el}}(s,t)|^2 (1+\rho^2)$ Fourier transform to b-space: $(-t = q_T^2)$ $b \leftrightarrow \overline{q}_T$ wide narrow directly determines $\text{Im}T_{\text{el}}(s,b)$ data

So far only discussed elastic diffraction

What about inelastic diffraction ?

Inelastic diffraction \mid is a consequence of internal struct of p

At HE fluctuations of p are frozen. A constituent of p can scatter and destroy coherence of fluctuations \rightarrow inelastic, as well as, elastic diffraction

(single diffractive dissociation)

Good-Walker formalism for low-mass diffve dissoc n

We write $|p\rangle = \sum a_{k} |\phi_{k}\rangle$ where $|\phi_{k}\rangle$ diagonalise T The $\ket{\phi_{\bm{k}}}$ undergo "elastic-type" scatt $|p\rangle \rightarrow$ diffractive eigenstates $|\phi_{\mathcal{R}}\rangle \rightarrow$ multichannel eikonal $Im T = a F a^{T}$ where $\langle \phi_{j} | F | \phi_{k} \rangle = F_{k} S_{j} k$ Elastic amp. $\langle p | ImT | p \rangle = \sum |a_{\boldsymbol{k}}|^2 F_{\boldsymbol{k}} = \langle F \rangle$ average of F over the initial prob. distrib. of diff. estates

$$
\frac{d\epsilon_{\text{tot}}}{d^2b} = 2 \langle \rho | \text{Im} T | \rho \rangle = 2 \sum |a_k|^2 F_k = 2 \langle F \rangle
$$

\n
$$
\frac{d\epsilon_{\text{el}}}{d^2b} = |\langle \rho | T | \rho \rangle|^2 = (\sum |a_k|^2 F_k)^2 = \langle F \rangle^2
$$

\n
$$
\frac{d\epsilon_{\text{el+SD}}}{d^2b} = \sum_{k} |\langle \epsilon_k | T | \rho \rangle|^2 = \sum_{k} |a_k|^2 F_k^2 = \langle F^2 \rangle
$$

\n
$$
\text{Comments}
$$

1.
$$
\frac{dG_{SD}}{d^2b} = \langle F^2 \rangle - \langle F \rangle^2
$$
 straightforward in
above. 47 bits

If all compts. of incident proton absorbed equally then
diffracted superposition = incident one. No inclastic diffraction $2.$ e.g. Small b: Fe = 1 (whlack disc), so diff prod ~ zero S diffraction mainly on periphery.

\n- 3.
$$
0 \leq F_k \leq 1
$$
, $F_k^2 \leq F_k$, $\langle F^2 \rangle \leq \langle F \rangle$
\n- 3. Rumplin bound: $\frac{d_{\mathcal{S}_{\mathcal{D}}}}{d^2b} \leq \frac{1}{2} \frac{d_{\mathcal{D}_{\mathcal{D}}}}{d^2b} - \frac{d_{\mathcal{D}_{\mathcal{D}}}}{d^2b}$
\n- 4. Easy to allow both protons to dissociate; expand both 1p>s in differentive eigenstates
\n- 5. Hiph-mass discussion not included yet.
\n

Summary of the s-channel viewpoint

- s-channel unitarity plays a key role.
- -Impact parameter representation best.
- -Inelastic scattering generates elastic amp.
- -Eikonal formalism preserves unitarity.
- Slow approach to black disc limit at small b.
- Multichannel eikonal necessary for proton dissociation.
- Diffraction mainly in the periphery (large b).
- Need t-channel approach for high-mass dissociation.

t-channel picture of Diffraction

see Martin Poghosyan's talk

 $cos\theta_{E} = 1 - \frac{S}{2k_{F}^{2}}$

 P_1 (cos θ_k) ~ (cos θ_k)^j

First, v. brief overview of Regge Poles

partial wave expansion in t-ch: T(s,t) = [2f+1] a,(t) P, (cost)] so exchange of particle of spin j in t-ch $T(s,t\sim M_j^2) \sim \frac{T_j(c\alpha \theta_t)}{M_i^2-t}$ \rightarrow s^{j} as $s \rightarrow \infty$ s-ch j

whereas from unitarity

 $T(s, t=0) \leq c s \log^2 s$

so s^j violates unitarity if $j > 1$.

So we need a way to sum partial-wave series (Sommerfeld-Watson transform see MP's talk) Consider particles lying on a single linear Regge trajectory

HE behaviour dominated by leading (highest) Regge-exch. trajectory

 $σ_{tot}$ (hadron-hadron) → const. (actually slightly rising as s→infinity)

that is $T(s, t=0) \sim s$ (actually $s^{1.08}$)

(In our discussion on Regge poles we use more usual normalⁿ of T such optical theorem reads \quad 2Im T_{el}(s,t=0) = flux $\sigma_{\rm tot}$ = 2s $\sigma_{\rm tot}$)

Implies Regge-pole exchange with $\alpha(0) = 1$ (1.08 ?)

called the Pomeron

We shall see later that the Pomeron is represented by gluon exchange – we need two gluons to form colourless exchange. But, for the moment, let us consider the Pomeron as a simple (effective) Regge pole

Donnachie-Landshoff type simple Regge pole fit to

^σ**tot** and d^σ**el**/dt for pp, $p\overline{p}$, πp , Kp ,...

Good description up to Tevatron energies with

 $S^{\alpha(0)-1} \sim S^{0.08}$

$$
\alpha_{\rm P}^{\rm eff}(t) \sim 1.08 + 0.25~t
$$

$$
\alpha_R(t) \sim 0.5 + 0.9 t
$$

$$
S=0.5
$$
\n
$$
S=0.5
$$
\n
$$
S=0.5
$$
\n
$$
P\overline{P} = P + f - g + a_2 - \omega
$$
\n
$$
P\overline{P} = P + f - g + a_2 - \omega
$$
\n
$$
CERN ISR = Telation LHC
$$

$$
\sigma_{\rm tot} \sim s^{\alpha(t=0)} / s
$$

Impact parameter picture of Regge pole exchange

$$
T(s,b) = \int T_{Reg}(s,t) e^{-i\vec{b}\cdot\vec{q}} \, d^2q_T
$$
\nwith\n
$$
T_{Reg}(s,t) = \beta(0) \, \gamma \left(\frac{s}{s_0}\right)^{\alpha(0)-1} \, e^{\beta t} \int \eta = \frac{\lambda}{\sin \frac{\pi}{2}} \, e^{\beta t}
$$
\nwhere\n
$$
B(s) = R_c^2 + \alpha' \left[\ln \left(\frac{s}{s_0}\right) - \lambda \frac{\pi}{2} \right] \int_{\cos \frac{\pi}{2}}^{\cos \frac{\pi}{2}} \, e^{\beta t} \, d^2 = -1
$$
\n
$$
T(s,b) = \frac{\beta(0) \eta}{B} \left(\frac{s}{s_0}\right)^{\alpha(0)-1} \, e^{\beta t} \left(\frac{s}{4B}\right) \int_{\beta \wedge c^{(L)}}^{\pi} \, e^{\beta t} \, d^2\varphi.
$$
\n
$$
T(s,b) = \frac{\beta(0) \eta}{B} \left(\frac{s}{s_0}\right)^{\alpha(0)-1} \, e^{\beta t} \left(\frac{s}{4B}\right) \int_{\beta \wedge c^{(L)}}^{\pi} \, e^{\beta t} \, d^2\varphi.
$$
\n
$$
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$$
\n
$$
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$$
\n
$$
T(s,b) = \frac{\beta(0) \eta}{B} \left(\frac{s}{s_0}\right)^{\alpha(0)-1} \, e^{\beta t} \left(\frac{s}{4B}\right) \int_{\beta \wedge c^{(L)}}^{\pi} \, e^{\beta t} \, d^2\varphi.
$$
\n
$$
T(s,b) = \frac{\beta(0) \eta}{B} \left(\frac{s}{s_0}\right)^{\alpha(0)-1} \, e^{\beta t} \left(\frac{s}{
$$

Im T_{Pom}(s,b) exceeds black disc limit, at small b, before LHC energies

To correct for unitarity: eikonalize amplitude i.e. Im $T_{el} = (1 - e^{-2/2})$ $\frac{\Omega}{2} = \frac{\beta \gamma}{B} \left(\frac{S}{s}\right)^{\Delta} exp\left(-\frac{b^2}{4B}\right)$ HE $\frac{\beta p}{B}$ exp $(\Delta ln(\frac{s}{s_o}) - \frac{b^2}{4\alpha' ln(\frac{s}{s_o})})$ $\gg 1$ for $b^2 < R^2 = 4\alpha' \Delta ln^2 \frac{S}{S}$ → black disc for b SR tre $B(s) = \mathbb{R}_c^2 + \alpha' \left[ln(\frac{s}{s_o}) - i \frac{\cancel{R}}{2} \right]$
 $T(s,b) = \frac{\beta(0)\eta}{B} (\frac{s}{s_o})^{\alpha(0)-1} \varphi_p(-\frac{b^2}{4B})$

Recall low M diffraction

Let $|p\rangle, |N^*\rangle, ...$ $i=1, 2, ...$ i=1,2 sufficient two-channel eikonal

 $p\rightarrow Q \equiv M$

 $\left. \Sigma a_{ik} | \phi_k \right\rangle$ diffractive eigenstates only undergo
"élastic-type" scatt.

High M diffraction ?

Enlarge no. of $|\phi_k\rangle$ s?

Even if practical, have the problem of overlapping particle production for central rapidities

Mueller optical theorem

 $f = E_c \frac{dS}{d^3p_c} (AB \rightarrow CX) = \frac{1}{S} Disc_{M^2} T(AB\overline{C} \rightarrow AB\overline{C})$ $f = \frac{1}{2s} \left| \int_{B}^{A} Q_x^c \right|^2 = \frac{1}{2s} \sum_{x} \left\{ \sum_{B} \frac{X}{A} Q_{B}^c \right\} = \frac{1}{s} \text{Disc}_{M^2} A + \sum_{B}$

Proof is not trivial. C is an outgoing particle and we are not in the physical region of the elastic process. We need to make a delicate analytical continuation of a many variable 3-body amplitude.

Triple Regge region $M^2 \rightarrow \infty$, $\frac{S}{M^2} \rightarrow \infty$ high mass diff^{ve} dissocⁿ $f = \frac{1}{S} \beta_{AC}^{i}(t) \beta_{AC}^{j}(t) \left(\frac{S}{M^{2}}\right)^{\alpha_{j}(t) + \alpha_{i}(t)}$ Disc_{$M^{2} (\alpha_{i} B \rightarrow \alpha_{j} B)$} = $\frac{1}{s} \beta_{ijk}(t) \left(\frac{s}{M^{2}}\right)^{\alpha_{j}(t) + \alpha_{i}(t)} (M^{2})^{\alpha_{k}(0)}$ $S \sim E_{jR}(t)$ (M^{2})
 $\beta_{i j k}(t)$ $S \propto j^{(t)+\alpha_{i}(t)-1}$ (M^{2}) $\alpha_{k}(0) - \alpha_{j}(t) - \alpha_{i}(t)$ $f(s,t=0,M^2) \sim \frac{S}{M^2}$ if $\alpha_p(0)=1$
 $\gamma^P f(s,t=0,M^2) \sim S(M^2)^{-3/2}$ if $\alpha_R(0)=0.5$

So far Pomeron regarded as an exchanged particle. But Pomeron with "intercept" $\Delta = \alpha_{\mathsf{P}}(0)$ -1 > 0 leads to a violation of unitarity as s \rightarrow infinity: $\,\sigma_{\rm tot^{\bm\sim}}\, {\rm s}^\varDelta, \,\,\sigma_{\rm SD, DD}^{\bm\sim} \sim {\rm s}^{2\varDelta}$

Multi-Pomeron exch s suppress this growth and restore s-ch unitarity. Called unitarity/screening/abs corr^{ns}

find
$$
g_{3P} = \lambda g_N
$$
 $\lambda \sim 0.2$

 \leftarrow why is λ sufficently large, that enh. multi-Pom diagrams important at HE ?

naïve argument without absorptive effects:

so at HE collider energies σ_{SD} (large M) ~ σ_{el} SD is "enhanced" by larger phase space available at HE.

Elastic amp. $T_{el}(s,b)$

bare amp. $\Omega/2$

(SD -80%)

Im
$$
T_{el} = \overline{0} = 1 - e^{-\Omega/2} = \sum_{(s-ch unitarity)}^{\infty} \overline{1 + \sum_{n=1}^{m} \frac{\Omega}{2}}
$$
 (-20%)
Low-mass diffractive dissociation

introduce diff^{ve} estates $\phi_\mathsf{i},\,\phi_\mathsf{k}$ (comb^{ns} of p,p*,..) which only undergo "elastic" scattering (Good-Walker)

Im
$$
T_{ik} = \overline{\bigcup}_{k}^{i} = 1 - e^{-\Omega_{ik}/2} = \sum \underline{\bigcup}_{k} \cdots \underline{\bigcap}_{ik}^{i} / 2
$$
 (–40%)

include high-mass diffractive dissociation

$$
\Omega_{ik} = \frac{1}{\sqrt{k}} \mathbf{1} + \frac{1}{\sqrt{k}} \mathbf{1} + \frac{1}{\sqrt{k}} \mathbf{1} + \cdots + \frac{1}{\sqrt{k}} \mathbf{1} + \cdots
$$

So far, considered only triple-Pomeron coupling $\,\rightarrow\,$ leads to ^σ**tot** which decreases at asymptotic energies.

More reasonable to includem \rightarrow n Pomeron vertices

Data favour

$$
g_{n}^{m} = g_{3P} (\lambda g_{N})^{m+n-2}
$$

(this form satisfies AGK cutting rules).

see EPJ**C71**(2011)1617 for more discussion.

Summary of t-channel viewpoint

Regge formalism appropriate for HE (large s) and forward scattering $(t-0)$ --- for "soft" HE hadron inter^{ns}

Constant or increasing $\sigma_{tot}(s)$ with $s \rightarrow$ **Pomeron** \rightarrow (a) processes with large rapidity gaps \rightarrow valuable exclusive HE data \rightarrow \rightarrow \leq (b) soft multiparticle production \rightarrow vital to understand the underlying event to rare New Physics processes at the LHC

Triple Regge needed for high-mass dissociation

Importance of absorption (unitarity corrections) \rightarrow multi-Pomeron exchanges

s-channel unitarity and Pomeron exchange

Unitarity relates the Im part of ladder diagrams (disc $T = 2$ Im T) to cross sections for multiparticle production

The coherence of $\;$ ψ (beam) is destroyed by interaction of last exch. pt. with target. Leads, not only to inelastic high-multiplicity production, but also, via unitarity, to elastic scattering. Elastic scattering is due to the absorption of an initial coherent component, and originates from the remaining part of ψ (beam) which preserves its coherence

eikonal multi-Pom diagrams: $\;$ Im T = 1-e^{- Ω /2 = Ω /2 - Ω ²/8+...}

- --Factors 1, - 4, 2 come from AGK cutting rules (see MP's talk)
- -- σ_0 , σ_2 must be positive (real final states)
- --A multi-Pom diagram describes several different processes

Partonic model of the Pomeron ?

If we had a partonic model of the Pomeron perhaps we could merge "soft" and "hard" high energy pp interactions ?

Could lead to a reliable all-purpose Monte Carlo which describes all aspects on minimum bias data – total, differential elastic X-sections, diffraction, multi-particle production, jet production – in a unified framework ?

Very important to precisely describe the underlying event to the rare New Physics signals at the LHC

Ladder structure of the Pomeron after QCD

Shortly after the discovery of QCD it was proposed $\begin{array}{c|c} \hline g & g \end{array}$
that (colourless) two-gluon exch. had properties of Pomeron exch:

vacuum quantum no's, singularity at j=1

- --Later, using the BFKL formalism, in which the t-ch gluons (rather than hadrons) become Reggeized, it was found possible (for sufficiently large k_T) to describe HE (low x) interactions in pQCD.
- --BFKL sum up the leading ($\alpha_{\rm s}$ log1/x)ⁿ contributions and build up the hard/pQCD/BFKL Pomeron.
- --The Pomeron, is not a pole, but a branch cut in the complex angular momentum plane, plus more complicated cuts at HO

"Soft" and "Hard" Pomerons ?

A vacuum-exchange object drives soft HE interactions. Not a simple pole, but an enigmatic non-local object. Rising $\sigma_{\rm tot}$ means multi-Pom diags (with Regge cuts) are necessary to restore unitarity. σ_{tot} , d σ_{el} /dt data, described, in a limited energy range, by eff. pole $\alpha_{\rm P}^{\rm eff}$ = 1.08 + 0.25t

Sum of ladders of Reggeized gluons with, in LLx BFKL, a singularity which is a cut and not a pole. When HO are included the intercept of the BFKL/hard Pomeron is $\alpha_{\rm P}^{\rm bare}(0) \sim 1.3$ $Δ = α_P(0) -1 ~ 0.3$

 $\alpha_{\rm P}^{\rm eff}$ ~ 1.08 + 0.25 t $\alpha_{\rm P}^{\rm bare}$ \sim 1.3 + 0 t up to Tevatron energies

 $(\sigma_{\mathsf{tot}} \thicksim \mathsf{S}^\Delta)$

with absorptive (multi-Pomeron) effects

$$
\Delta = \alpha_{\rm P}(0) - 1
$$

Small-size "BFKL" Pomeron is natural object to continue from "hard" to "soft" (low k_t) domain
"Soft" and "Hard" Pomerons ?

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 $\alpha_{\rm P}^{\rm eff}$ ~ 1.08 + 0.25 t $\alpha_{\rm P}^{\rm bare}$ \sim 1.3 + 0 t

up to Tevatron energies $(\sigma_{\mathsf{tot}} \thicksim \mathsf{S}^\Delta)$

with absorptive (multi-Pomeron) effects

Phenomeno^{logical} hints that R_{bare Pom} << R_{proton} $\alpha'_P \propto 1/\langle k_t^2 \rangle \propto R_{\text{Pom}}^2$ small slope $\alpha^\prime_{\text{ bare}}\thicksim 0^$ $r_{qq} \sim R_{\text{Pom}} \ll R_p$ success of Additive QM small size of triple-Pomeron vertex small size of Bose-Einstein correlations at low N_{ch}

Pomeron is a parton cascade which
develops in ln(1/x) space, and which $=$ $\frac{2}{\sqrt{2\pi}}$ develops in ln(1/x) space, and which is not strongly ordered in k_t . However, above evidence indicates

the cascade is compact in b space and so the parton k_t 's are not too low. We may regard the cascade as a hot spot inside the two colliding protons

Probe of hot spots \rightarrow Bose-Einstein correlations

identical pion correlations measure size of their emission region

Partonic structure of "bare" Pomeron

BFKL evolⁿ in rapidity generates ladder

$$
\frac{\partial \Omega(y, k_t)}{\partial y} = \bar{\alpha}_s \int d^2k'_t \ K(k_t, k'_t) \ \Omega(y, k'_t)
$$

 $\Omega = \Omega_{ik}(y, k_t, b)$ Yi k_t k' y=0 k

At each step k_t and b of parton can be be changed – so, in principle, we have 3 variable integro-diff. eq. to solve

Khoze,Martin,Ryskin

- Inclusion of **k_t crucial to match soft and hard domains**. M oreover, embodies less screening for larger $\mathsf{k_{t}}$ comp ts .
- KMR use a simplified form of the kernel K with the main features of BFKL – diffusion in log k $_{\rm t}^2$, $~\Delta$ = $\alpha_{\rm P}(0)$ – 1 ~ 0.3
- b dependence during the evolution is prop' to the Pomeron slope α' , which is v.small $(\alpha'$ <0.05 GeV⁻²) -- so ignore. Only b dependence comes from the starting evolⁿ distribⁿ

Evolution gives

$$
\boxed{\Omega = \Omega_{ik}(y, k_t, b)}
$$

iHow are Multi-Pomeron contrib^{ns} included? Now include rescatt of intermediate partons with the "beam" i and "target" k (KMR) kevolve up from y=0 Y $\frac{\partial \Omega_k(y)}{\partial u} = \bar{\alpha}_s \int d^2k'_t \exp(-\lambda(\Omega_k(y) + \Omega_i(y'))/2) K(k_t, k'_t) \Omega_k(y)$ evolve down from y'=Y-y=0
 $\frac{\partial \Omega_i(y')}{\partial y'} = \bar{\alpha}_s \int d^2k'_t \exp(-\lambda(\Omega_i(y') + \Omega_k(y))/2) K(k_t, k'_t) \Omega_i(y')$ y $\sqrt{\frac{y' - Y - y' - \Omega_i(y')}{\lambda}}$ y

0

where $\lambda\Omega_{\text{i,k}}$ reflects the different opacity of protons felt by intermediate parton, rather the proton-proton opacity $\Omega_{\text{i},\text{k}}$ λ \sim 0.2

solve iteratively for ^Ω**ik(y,k** $_{\rm t}$,b) inclusion of **k_t crucial**

 $\textsf{Note: } \textsf{data} \textsf{prefer } \textsf{exp}(\text{-}\lambda \Omega) \quad \Rightarrow \quad \textsf{[1}-\textsf{exp}(\text{-}\lambda \Omega) \textsf{]} \textit{ / } \lambda \Omega$ Form is consistent with generalisation of AGK cutting rules

In principle, knowledge of $\Omega_{\rm ik}({\sf y},{\sf k}_{\rm t} ,{\sf b})$ (and hadronization) allows the description of all soft, semi-hard pp high-energy data: $\sigma_{\rm tot}$, d $\sigma_{\rm el}$ /dt, d $\sigma_{\rm SD}$ /dtdM², DD, DPE… LRG survival factors S ² (to both eikonal, enhanced rescatt) PDFs and diffractive PDFs at low x and low scales

Indeed, such a model can describe the main features of all the data, in a semi-quantitative way, with just a few physically motivated parameters. (KMR, EPJ C71)

To describe less inclusive quantities we need a Monte Carlo including hadronization, see later.

Can we describe all "soft" HE data

 $\sigma_{\sf tot}$, d $\sigma_{\sf el} / {\sf dt},~~\sigma_{\sf low\,M}$, (+ $\sigma_{\sf high\,M}$) from CERN-ISR \rightarrow Tevatron \rightarrow LHC in terms of a single "effective" pomeron ?

Recall, low-mass dissociation is a consequence of the internal structure of proton. A constituent can scatter & destroy coherence of |p

Good-Walker: $|p\rangle = \sum a_i |\phi_i|$

where φ_i diagonalize T -- have only "elastic-type" scatt

Usually GW eigenstates assumed independent of t & s KMR (1306.2149) parametrize form factor F_i(t) for each $\rm\,\phi_{i=1,2}$

Allows for $B_{el} \sim 10 \text{ GeV}^{-2}$ at CERN-ISR $B_{el} \sim 20$ GeV⁻² at LHC (7 TeV) as well as diffve dip

 \rightarrow smaller |t| at LHC, |p) less distorted, so $\sigma_{\text{low M}}$ smaller

model 1

• Pomeron is a (BFKL) cut, not a pole $\overline{\mathsf{low}\ \mathsf{k}_\mathsf{t}}$ bigh k_t diffusion in log k_t abs. corr^{ns} between intermediate parton-parton inter^{ns} $\sigma_{\rm abs}{\sim}$ 1/k $_{\rm t}^2$, suppress low k $_{\rm t}$ \rightarrow mean k $_{\rm t}$ increases with s $\mathsf{k}_{\mathsf{min}}^{\mathsf{}}2$ $2 \sim S^{0.12}$ model 2

(enhanced multi-pom effects introduce dynamical infrared cutoff, see later)

 σ_{tot} , d σ_{el} /dt, $\sigma_{\text{low M}}$, (+ $\sigma_{\text{high M}}$) from CERN-ISR \rightarrow Tevatron \rightarrow LHC Yes, it is possible to describe all "soft" HE data in terms of a single "effective" pomeron

Energy dep. of $\sigma_{\rm el}$, $\,\sigma_{\rm tot}$ controlled by intercept and slope of "effective" pomeron trajectory

Diffractive dip and $\sigma_{\mathsf{low}\ \mathsf{M}}$ controlled by properties of GW eigenstates

High-mass dissⁿ driven by multi-pomeron effects

Two alternative definitions of diffraction

1. Diffraction is elastic or quasi-elastic scattering caused, via s-channel unitarity, by the absorption of components of the wave functions of the incoming particles e.g. pp→pp, pp→pX (single proton dissociation, SD), pp→XX (both protons dissociate, DD) \equiv X quan.no. of p

(but problems with high M_{X} dissociation)

2. A diffractive process is characterized by a large rapidity gap (LRG), which is caused by t-channel Pomeron exch. (or, to be more precise, by the exchange corresponding to the rightmost singularity in the complex angular momentum plane with vacuum quantum numbers). (but plagued by background which produces rapidity gaps due to Reggeon exchange & fluctuations during hadronization process)

E.

Soft and Hard HE interactions

Soft processes

have momentum transfer squared |t| less ~0.5 GeV², and have dσ/dt~e^{-20t} at LHC, so v.few large |t| events.

Such processes described by Regge Field Theory. At high energies, Pomeron exch. dominates, and gives both LRGs & multi-pt events.

Hard processes

characterized by a large energy scale, |t| more ~ 2 GeV 2 – slower, power-like, fall-off with |t|, modulo logs. Here perturbative QCD is appropriate

Hard processes continued

The required non-pert properties of the proton are determined from global analyses of data on DIS and related hard scatt. processes. In this way universal PDFs of the proton are obtained. Factorization theorems exist so PDFs can be taken from one hard process to another.

Hard diffractive processes exist. For example Diffractive DIS where there is a LRG between thep and the hadronizⁿ products of the struck parton. From such data we obtain diffractive PDFs. These are not universal.

To transport them we need to calculate the survival probability, S^2 , of the LRG to soft rescattering, which is process dependent.

Survival prob., S 2, of rapidity gaps

Examples:

- 1. CDF and HERA diffractive dijet production
- 2. CDF diffractive dijet ratios
- 3. Exclusive J/ ψ prodⁿ: pp \rightarrow p+J/ ψ +p Ronan McNulty (γ* Pom →) Joakim Nystrand 4. Central exclusive prodⁿ: pp → p+A+p with A=Higgs, dijet, $\gamma\gamma$, χ **Mike Albrow** Antoni Szczurek
- 5. LHC check of S ² using W+gap events

Need S2 ~ 0.1

A.B. Kaidalov et al. / Physics Letters B 559 (2003)

Dijet production at the Tevatron

р F_P is Pomeron "flux factor" ξ is fraction of incoming $\mathbf{S_{1}}$ mom. carried by Pom. $\mathbf{S}_{\mathbf{2}}$ x = βξ NDf are the effective PDFs \mathbf{D} $R_{\text{ND}}^{\text{SD}} \equiv \frac{\sigma_{jj}^{\text{SD}}}{\sigma_{jj}^{\text{ND}}} = \frac{F_P(\xi_{\bar{p}}) f_P(\beta) \beta}{f_{\bar{p}}(x_{\bar{p}}) x_{\bar{p}}} S_1$ SDDPNeed same kinematics. $R_{\text{SD}}^{\text{DP}} \equiv \frac{\sigma_{jj}^{\text{SP}}}{\sigma_{\text{SD}}^{\text{SD}}} = \frac{F_P(\xi_P) f_P(\beta_1) \beta_1}{f_P(x_P) x_P} \frac{S_2}{S_1}$ Uncertainities cancel. Could study S(β) $D = \frac{R_{\text{ND}}^{\text{SD}}}{R_{\text{SD}}^{\text{DP}}} = \frac{F_P(\xi_{\bar{p}}) f_P(\beta) \beta}{F_P(\xi_p) f_P(\beta_1) \beta_1} \frac{f_p(x_p) x_p}{f_{\bar{p}}(x_{\bar{p}}) x_{\bar{p}}} \frac{S_1^2}{S_2}$ (if $\beta = \beta_1$, $= S_1^2/S_2$ same ξ) $\sim 0.1^{2}/0.05 = 0.2$

 $CDF data$ $D = 0.19 +/- 0.07$

Exclusive J/ ψ at LHC, pp → p+J/ψ+p, probe gluon PDF down to $x \sim 10^{-5}$ see the talks by Ronan McNulty Joakim Nystrand Example 3

Also HERA data onγ*p → J/ψ+p

Recent JMRT analysis arXiv:1307.7099

From Ronan McNulty (LHCb at 7 TeV)

 $x_{1,2} = \frac{M}{\sqrt{s}} \exp(\pm Y)$

+ ${\sf Q}_{\rm 0}$ contrib $^{\rm n}$

and S² are survival probabilities of LRG

survival factors for pp data at 7 TeV y=2 S 2(W +)=0.87 S 2(W-)=0.93 y=4 S²(W₊)=0.74 S²(W₋)=0.95

survival factor for HERA data $\,$ S $^{2}\!\!\sim\!$ 1

JMRT arXiv:1307.7099

Actual description of LHCb data in combined fit

need parameterization of gluon from $x \sim 10^{-5}$ to 0.1 to cover data

$$
x g(x, \mu^2) = N x^{-a} (\mu^2)^b \exp \left[\sqrt{16N_c/\beta_0 \ln(1/x) \ln(G)} \right] \quad \text{with} \quad G = \frac{\ln(\mu^2/\Lambda_{\text{QCD}}^2)}{\ln(Q_0^2/\Lambda_{\text{QCD}}^2)}
$$

NLO

gluon PDF obtained from combined HERA+LHCb fit to γ^\star p $\,\rightarrow\,$ J/ψ+p data

indicative plot:

pred^{ns} for g (purple) only have exptal data errors!

compared to only central values of global PDFs (blue). Huge errors for $x \sim 10^{-4}$ or less

Promising future

Much more precise exclusive J/ψ data at 8, 14 TeV Expect exclusive Υ data : probe gluon at scale μ^2 ~ M $^2_{\infty}$ /4~ 23 GeV² Ronan McNulty

Pb - Pbb b>2R_A~12 fm, but b<1/sqrt(t_{min}) with t_{min}~(xm_p)²/(1-x), so need v.small t_{min}, leading to small W²=xs_{pp}(~HERA). So cannot probe v.low x, rather probe nuclear effects on g PDF Used incomplete NLO (but main kinematic effects included.

Need confirmation of full NLO (hep-ph/0401131)

Example 4 Central exclusive prod: pp → p+A+p Mike Albow D Antoni Szczurek $\mathsf{A}= \mathsf{Higgs},\, \mathsf{dijet},\, \gamma\gamma,\, \chi_{\rm c},\ldots$ p $\mathcal{M}(\bar{p}p \to \bar{p} + A + p) \sim S^2 \int \frac{d^2 Q_t}{Q_t^4} f_g f_g$ dominant diagram p

 $\boldsymbol{\mathsf{A}}$

 q_{1}

p(กิ

tho' pred^{ns} become more unreliable as M $_{\sf A}$ becomes smaller, and infrared region not so suppressed by Sudakov factor

$$
T=\exp\left(-\int_{Q_t^2}^{M_A^2} dk_t^2...\right)
$$

Double-diff^{ve} exclusive Higgs production at the LHC

Khoze, Martin, Ryskin

advantages:	
• M _H = {M(bb)}	
• LO gg=bb	background
• LO gg=bb	background
• x supported by J _z =O selection rule	
(= O for M _b =O and forward products)	
• unique LHC signal if M _H \leq 130 GeV (S/B ~ 1)	
• for SUSY Higgs, S/B tang enhanced	

The price for rapidity gaps ? \rightarrow

$$
pp \rightarrow p + H + p
$$
\nSurvival prob. of rap. gaps

\n
$$
W = S^2 T^2
$$
\nprice for no soft

\n
$$
h\cos(1/\pi) = \frac{mo}{m} \cdot \frac{rad^m}{mgg} \rightarrow H
$$

$$
x_i \stackrel{\frown}{\underset{x_i}{\text{max}}}\n \begin{array}{c}\n x_i \stackrel{\frown}{\underset{\text{sum}}}\n \end{array}\n \begin{array}{c}\n \begin{array}{c}\n \stackrel{\frown}{\underset{x_i}{\text{max}}}}\n \end{array}\n \begin{array}{c}\n \stackrel{\frown}{\underset{x_i}{\text{max}}}}\n \end{array}
$$
\n
$$
x_i \stackrel{\frown}{\underset{x_i}{\text{max}}}}\n \begin{array}{c}\n \stackrel{\frown}{\underset{x_i}{\text{max}}}}\n \end{array}
$$
\n
$$
y \stackrel{\frown}{\underset{x_i}{\text{max}}}}\n \begin{array}{c}\n \stackrel{\frown}{\underset{x_i}{\text{max}}}}\n \end{array}
$$
\n
$$
y \mapsto p
$$
\n
$$
\text{Area} \quad \text{where } \mathbf{Q}_t \stackrel{\frown}{\underset{x_i}{\text{max}}}\n \begin{array}{c}\n \stackrel{\frown}{\underset{x_i}{\text{max}}}}\n \end{array}
$$
\n
$$
x \stackrel{\frown}{\underset{x_i}{\text{max}}}\n \begin{array}{c}\n \stackrel{\frown}{\underset{x_i}{\text{max}}}}\n \end{array}
$$
\n
$$
y \mapsto p
$$
\n
$$
\text{Area} \quad \text{sumed } \text{sumed }
$$

Also have to consider S 2 enhanced_d for pp → p + H +p

soft-hardfactorizⁿ

eikonal rescatt: between protons enhanced rescatt: involving intermediate partons

conserved ← broken

The new soft analysis, with Pomeron q_t structure, enables S 2 _{enh} to be calculated (see KMR, 0812.2413)

- 1. f_q 's from HERA data already include rescatt. of intermediate partons with parent proton
- 2. Usually take $p_t=0$ and integrate with $exp(-Bp_t^2)$. S^2/B^2 enters (where $1/B = \langle p_1^2 \rangle$). But enh. abs. changes p_t^2 behaviour from exp., so quote $S^2 < p_t^2 > 2$

$$
|\langle S^2_{\text{tot}} \rangle = \langle S^2_{\text{eik}} S^2_{\text{enh}} \rangle \sim 0.015 \quad \text{(for B=4 GeV-2)}
$$

 $\langle S^2_{tot} \rangle \langle p_t^2 \rangle^2 = 0.0010 \text{ GeV}^4$ see 0812.2413

3. See KMR 1306.2149 for latest S^2 (Higgs)

$$
\eta_W = 2.3(-2.3) \implies \xi \sim 0.1(0.001)
$$

 S^2 large, as large b_{t} (small opacity)

W+gaps has S 2 large, as large b_t for γ exch (small opacity)

Z+gaps has b_{t} more like excl. Higgs

σ~0.2pb for $\Delta \eta_i$ >3 and $E_T(b)$ >50GeV but to avoid QCD bb backgd use $Z\rightarrow$ |+|-

Recall, that besides LRGs, the Pomeron also describes "soft" multi-particle production.

Constant or increasing $\sigma_{\text{tot}}(\text{s})$ with $\text{s} \to \text{Pomeron} \to$ (a) processes with large rapidity gaps \rightarrow valuable exclusive HE data \rightarrow \leq (b) soft multiparticle production \rightarrow vital to understand the underlying event to rare New Physics processes at the LHC

Further, recall that the "hard" Pomeron (based on BFKL), with abs. corr^{ns}, continues smoothly into "soft" Pomeron

"Soft" and "Hard" Pomerons ?

A vacuum-exchange object drives soft HE interactions. Not a simple pole, but an enigmatic non-local object. Rising $\sigma_{\rm tot}$ means multi-Pom diags (with Regge cuts) are necessary to restore unitarity. σ_{tot} , d σ_{el} /dt data, described, in a limited energy range, by eff. pole $\alpha_{\rm P}^{\rm eff}$ = 1.08 + 0.25t

Sum of ladders of Reggeized gluons with, in LLx BFKL, a singularity which is a cut and not a pole. When HO are included the intercept of the BFKL/hard Pomeron is $\alpha_{\rm P}^{\rm bare}(0) \sim 1.3$ $Δ = α_P(0) -1 ~ 0.3$

 $\alpha_{\rm P}^{\rm eff}$ ~ 1.08 + 0.25 t $\alpha_{\rm P}^{\rm bare}$ \sim 1.3 + 0 t up to Tevatron energies

 $(\sigma_{\mathsf{tot}} \thicksim \mathsf{S}^\Delta)$

with absorptive (multi-Pomeron) effects High-energy pp interactions

Reggeon Field Theory with phenomenological soft Pomeron

pQCD partonic approach

hard

smooth transition using QCD / "BFKL" / hard Pomeron

There exists only one Pomeron, which makes a smooth transition from the hard to the soft regime

Could lead to a reliable **all-purpose Monte Carlo** which describes all aspects on minimum bias data – total, diff. elastic X-sections, diffraction, multi-particle production, jet production –

in a unified framework ?

(b) DGLAP-based MC

Existing all-purpose MCs describe inclusive spectra with hard partonparton interaction in central region, with secondaries from backward evolⁿ.

Infrared cutoff k_{min} ~3 GeV at LHC(7 TeV) compared to cutoff k_{min} ~2.15 GeV at Tevatron.

Understood in pQCD: in relevant low x region, prob of rescatt. large, corresponding abs^{ve} corrⁿ, $\sigma_{\rm abs}$ ~1/k_t², suppress low k_{t .}

Model \rightarrow $\,$ d $\rm \sigma$ /dy \sim s $^{0.2}$ like LHC data for 0.9 to 7 TeV

Can conclude from the LHC data:

The $\langle p_{\rm T} \rangle$ of hadrons measured by ATLAS, CMS, ALICE is smaller than that expected from the **DGLAP-based** MC's (which have strong-ordering in k_T going from the protons to the central region) tuned to lower energy data. After this tuning the MC's, find smaller <p_T> and larger particle density dN/dy at LHC. This indicates the need for a **BFKL-based** MC (with multi-Pomeron absorptive corrections), where we have diffusion in log k_T and a growth of particle density as we go to large initial energy, that is smaller x.

Existing "all purpose" (DGLAP) Monte Carlos split eikonal

$$
\Omega(s,b) = \Omega_{\text{soft}} + \Omega_{\text{hard(pQCD)}}
$$

Seek MC that describes all aspects of minimum bias - total, differential elastic Xsections, diffraction, jet prod... in a unified framework; capable of modelling exclusive final states.

SHERPA Monte Carlo based on KMR framework

"SHRiMPS" MC= **S**oft-**H**ard **R**eactions **i**nvolving **M**ulti-**P**omeron **S**catt.

Krauss, Hoeth, Zapp et al

OUTLINE of SHRiMPS:

Solve coupled evol eqs. in y to generate $\ \Omega({\mathsf{y}},\mathsf{b}),$ specifying boundary conditions of GW eigenstates. Eigenstates give elastic, quasi-elastic scatt.

Select no. of ladders exchanged (according to Poisson distribution with parameter $\Omega_{\text{ik}}(\textsf{b}))$ to simulate inelastic state

Incoming protons dissolved into val. q, diquark and gluons

For each pair we check prob. to exchange next ladder

Gluon emissions from ladder according to Markov chain, ordered in y, with pseudo Sudakov form factor

t-ch propagators are reggeized gluon in either colour singlets or octets. Prob(singlet) = $P_1 = (1-exp(-\delta\Omega/2))^2$

Each gluon emission leads to two new propagators-- allowed combinations $\mathsf{P}_\mathtt{1}\mathsf{P}_\mathtt{8}\text{, }\mathsf{P}_\mathtt{8}\mathsf{P}_\mathtt{1}\text{, }\mathsf{P}_\mathtt{8}\mathsf{P}_\mathtt{8}\text{-}\text{-}{\text{-}}\text{-singlet propagators}$ give rise to rapidity gaps associated with elastic scatt.

Also rescatt. can give inelastic interaction of secondaries, producing new ladders with Poisson prob. exp(-δΩ)(δΩ)ʰ/n!

single gluon emission iterated until active interval is colour singlet or no further emissions are kinematically allowed in rapidity interval

Finally usual hadronization, plus hadron decays, plus QED, to produce final scatter of observed particles.

A few typical plots from SHRiMPS Monte Carlo (in SHERPA) \rightarrow

Conclusion on "SHRIMPS" Monte Carlo

Seek MC that describes all aspects of minimum bias -- total, differential elastic Xsections, diffraction, jet prod...in a unified framework; capable of modelling exclusive final states.

Incorporate the KMR model in SHERPA MC framework Krauss, Hoeth, Zapp + KMR

KMR model is based on bare QCD Pomeron, with absorptive multi-Pomeron rescattering corrections \rightarrow "SHRIMPS" MC

= Soft-Hard Reactions involving Multi-Pomeron Scatt.

Special properties of "SHRiMPS" Monte Carlo

- Based on partonic model of Pomeron, which enables **BFKL-like** structure to be continued into soft domain, increasingly subject to absorptive corrections
- Stronger absorption of low k_T partons $\mathsf{automatically}$ gives effective infrared cutoff k_{min} which increases with collider energy (Existing general purpose **DGLAP-based** MCs have external parameter giving an energy dependent cutoff. "BFKL-like diffusion in lnk_T + $\,$ absorption of low k $_{\rm T}$ "can be approximately mimicked by DGLAP)
- Consistently includes low-mass diffraction, via 2-channel eikonal.

Special properties of "SHRiMPS" MC continued

Consistent inclusion of (absorptive) multi-Pomeron effects.

A multi-Pomeron diagram simultaneously describes several different processes depending on which Pomeron ladders are cut

- (i) multiparticle production results from "cut" ladders
- (ii) processes with rapidity gaps (no cut ladders in gap)
- Offers the possibility of a reliable description of the underlying event to New Physics "hard" processes.

Some review-type references

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These lecture notes can be found onhttp://www.ippp.dur.ac.uk/~martin/Heidel13.pdf