

High energy density physics and relativistic nucleus-nucleus collisions

M.I. Gorenstein (BITP, Kiev)

1. Matter from elementary particles 1950

2a. Quark Model

2b. Limiting Temperature of Fireballs 1965

3. Phase Transitions in the Gas of Bags 1980

4. Onset of Deconfinement 2000

5. QCD critical point 2015

Summary



I. Matter from Elementary Particles

p proton="first", Rutherford, 1920

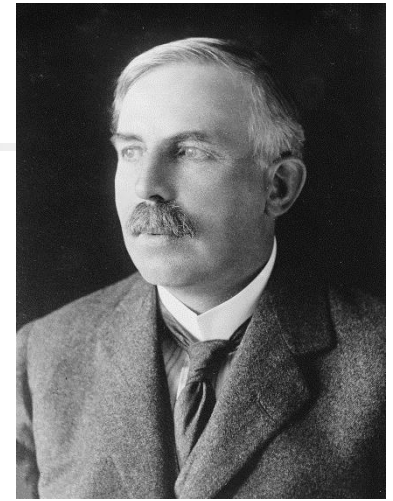
n Chadwick, 1932 (Nobel Prize 1935)

π^+, π^-, π^0 Powell, 1947, cosmic rays;
Yukawa (NP 1949), Powell (NP 1950)

K, Λ strange hadrons, cosmic rays, 1947

\bar{p} antiproton predicted by Dirac in his 1933 NP lecture,
discovered by Serge and Chamberlain in 1955 (NP in 1959)

In 1960 about of several tens of strongly interacting particles (hadrons) were known



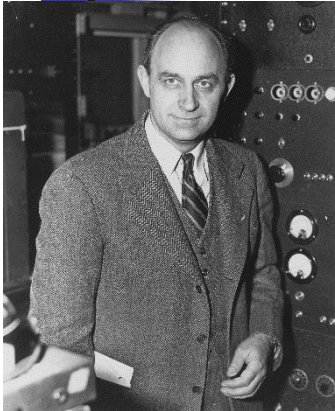
Ernest Rutherford
1871-1937

HADRONS

Lev Okun 1962



Multiparticle Production in proton-proton collisions



1950, **Fermi** – Statistical Model
Progr. Theor. Phys. (1950)



1953, **Landau** – Hydrodynamical Model
Izv. Akad. Nauk (1953)

$$p = \frac{1}{3} \varepsilon, \quad \varepsilon = \sigma T^4$$

1. Ideal Gas 2. $m \ll T$

Units: $\hbar = c = k = 1$ $1\text{fm} = 10^{-13}\text{cm}$, $1\text{fm}/c = 10^{-23}\text{sec}$

$$m_{\pi} = 140 \text{ MeV}, \quad m_p = 940 \text{ MeV}, \quad 1\text{fm} \simeq \frac{1}{200 \text{ MeV}}$$



Interacting hadrons = (non-interacting) Hadrons + Resonances

E. Beth and G.E. Uhlenbeck, *Physica* (1937), calculated the level density for interacting particles. They derived an expression for this density which describes the interaction by the scattering phase shifts.

S.Z. Belenkij, *Nucl. Phys.* (1956), proposed to treat hadronic resonances exactly like stable particles in phase space calculations.

R. Dashen, S. Ma, and H.J. Bernstein, *Phys. Rev.* (1969), S-matrix formulation of statistical mechanics.

II. Limiting Temperature

$$Z(T, V; m) = \sum_{N=0}^{\infty} \frac{V^N}{N!} \int_0^{\infty} \frac{k_1^2 dk_1}{2\pi^2} \exp\left(-\frac{\sqrt{k_1^2 + m^2}}{T}\right) \dots$$

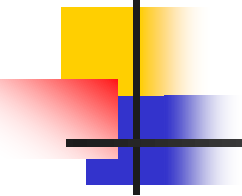
Relativistic, Ideal,
Boltzmann, Multi-
Component, Gas

$$\dots \int_0^{\infty} \frac{k_N^2 dk_N}{2\pi^2} \exp\left(-\frac{\sqrt{k_N^2 + m^2}}{T}\right) = \exp\left(\frac{V m^2 T K_2(m/T)}{2\pi^2}\right)$$

$$= \exp(\bar{N}(T, m)),$$

$$Z(T, V; m_1, \dots, m_L) = \prod_{j=1}^L Z(T, V; m_j) = \exp[\bar{N}(T, m_1)] \times \dots$$

$$\times \exp[\bar{N}(T, m_L)] = \exp\left[\sum_{j=1}^L \bar{N}(T, m_j)\right] = \exp\left[\int_0^{\infty} dm \rho(m) \bar{N}(T, m)\right]$$



$$Z(T, V) = \exp \left[\int_0^{\infty} dm \rho(m) \frac{V}{2\pi^2} m^2 T K_2 \left(\frac{m}{T} \right) \right]$$

$$\rho(m) = C m^{-a} \exp \left(-\frac{m}{T_H} \right)$$

$$p(T) = \frac{T \ln Z}{V} = T \int_{M_0}^{\infty} dm \rho(m) \left(\frac{mT}{2\pi} \right)^{3/2} \exp \left(-\frac{m}{T} \right) \propto (T_H - T)^{a-5/2}$$

$$\varepsilon(T) = T \frac{dp}{dT} - p \propto (T_H - T)^{a-7/2}$$

$$\text{At } T \rightarrow T_H : \quad p, \varepsilon \rightarrow \infty, \text{ for } a \leq \frac{5}{2}$$

$$p \rightarrow \text{const}, \varepsilon \rightarrow \infty, \text{ for } \frac{5}{2} < a \leq \frac{7}{2}$$

$$p \rightarrow \text{const}, \varepsilon \rightarrow \text{const}, \text{ for } a > \frac{7}{2}$$

Transverse momentum spectra

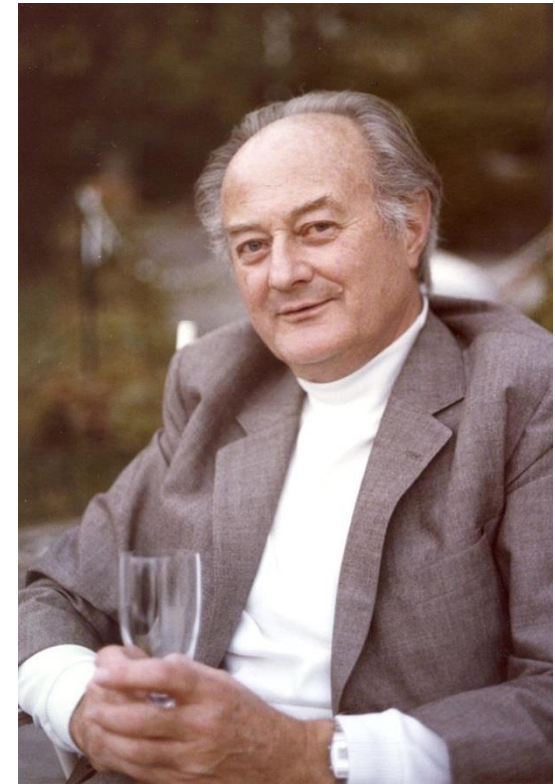
T_H must govern the transverse momentum spectra of outgoing final particles in high energy collisions:

$$\frac{dN}{dp_T} \propto \exp\left(-\frac{\sqrt{p_T^2 + m^2}}{T_H}\right)$$

$$T_H = 158 \pm 3 \text{ MeV}$$

$$T_H = 160 \pm 5 \text{ MeV} \approx 1.7 \times 10^{12} \text{ } ^\circ K$$

this estimate was used in further publications



$T_H = 158 \text{ MeV}$

R. Hagedorn (1965)

p_T spectra

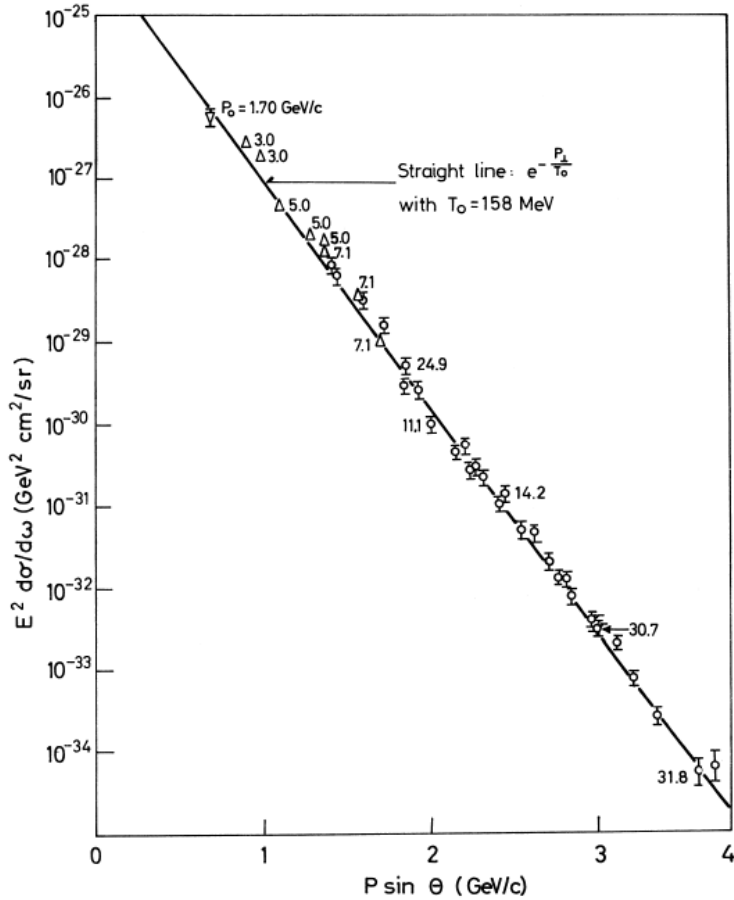


FIG.1 $[E^2 d\sigma_{el}/d\omega]_{pp}$ as a function of the transverse momentum [taken from ref. 14]

$\rho(m)$ versus m

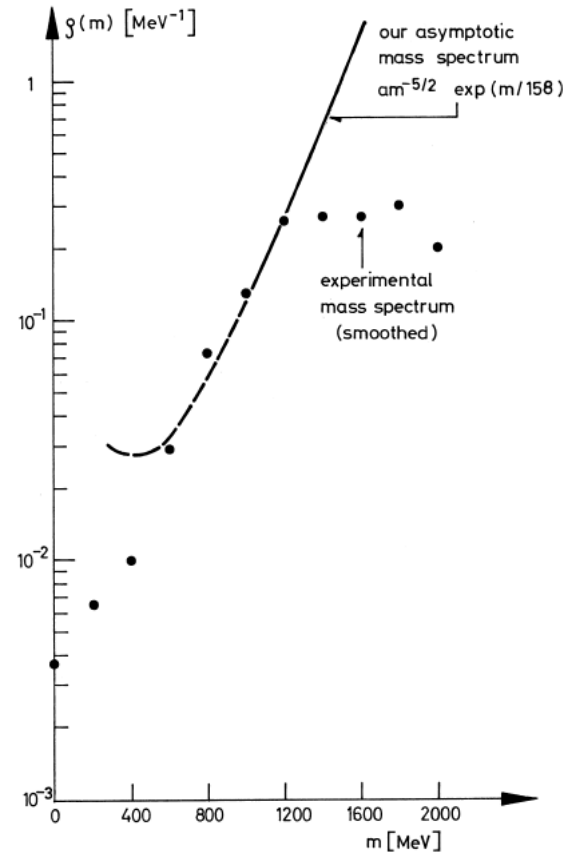


FIG.2 The experimental mass spectrum¹⁰⁾ (smoothed) compared to our asymptotic $\rho(m)$; one-parameter fit with $a=6.5 \times 10^3 \text{ [MeV}^{3/2}\text{]}$



R. Hagedorn and J. Ranft, Suppl. Nuovo Cim. (1968);

R. Hagedorn, Suppl. Nuovo Cim. (1968), Nuovo Cim. (1967, 1968).

R. Hagedorn, "Remarks on the Thermodynamical Model of Strong Interactions", Nucl. Phys. B (1970).

A fireball is

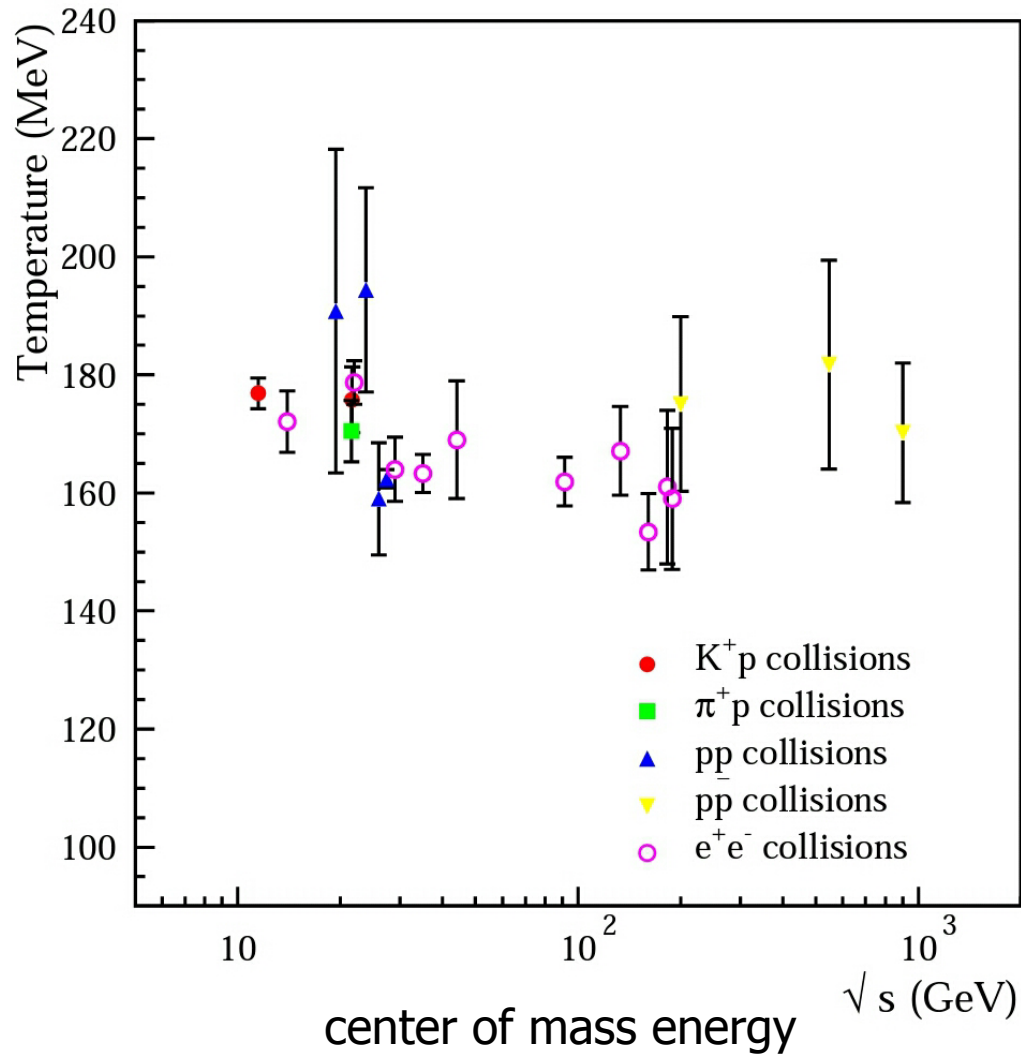
→ a statistical equilibrium of undetermined numbers of fireballs,
each of which, in turn, is considered to be ...
↓
←

New philosophy: If quarks exist as real free particles then the hadron mass contains states with non-integer charge and baryon number; if they do not exist as free particles, then the hadron spectrum does not contain such states. In both cases quarks need not be considered more elementary than other hadrons, they are just members of the family

G. Veneziano, Nuovo Cim. (1968), dual resonance model (Veneziano model), Exponentially increasing mass spectrum, Strings (1020 citations)

Fireballs=Strings

T_H is a limiting temperature in the Hadron World



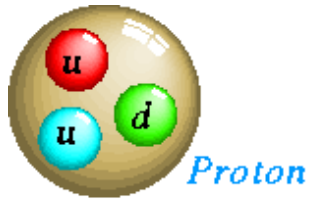
From fitting the data on hadron multiplicities within statistical model,

Becattini

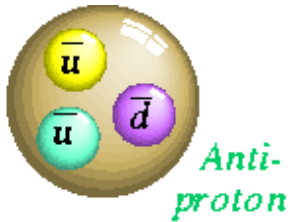
[arXiv:0901.3643 \[hep-ph\]](https://arxiv.org/abs/0901.3643)

QUARKS

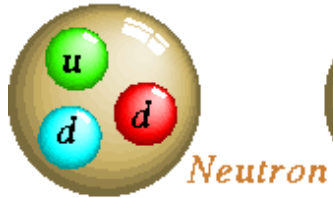
1963, Gell-Mann and Zweig (u,d,s)



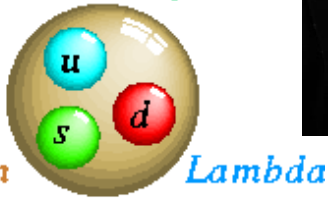
Proton



Anti-proton



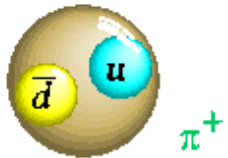
Neutron



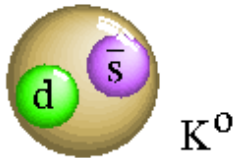
Lambda



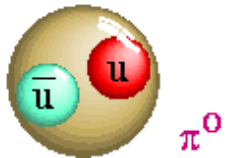
Gell-Mann
(NP, 1969)



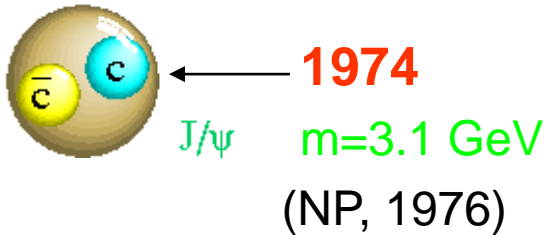
π^+



K^0



π^0



J/ ψ

1974
 $m=3.1 \text{ GeV}$
(NP, 1976)

Mesons:

$\pi^\pm, \pi^0, \eta, \rho, \omega, \phi(s\bar{s}), \dots$

$K^+(u\bar{s}), K^0(d\bar{s}), \bar{K}^0(\bar{d}s), K^-(\bar{u}s)$

$c\bar{c} \quad \eta_c, J/\psi, \xi_c, h_c, \psi(2S), \dots$

$D^+(c\bar{d}), D^0(c\bar{u}), \bar{D}^0(\bar{c}u), D^-(\bar{c}d)$

$D_s^+(c\bar{s}), D_s^-(\bar{c}s)$

$b\bar{b} \quad Y, \xi_b, h_b, \dots,$

$u\bar{b}, d\bar{b}, \bar{d}b, \bar{u}b,$

$s\bar{b}, \bar{s}b, \bar{c}b, \bar{c}, b$

Baryons:

uud, udd, uuu, ddd

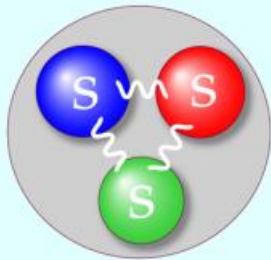
$uds, uus, uds, dds, uss, dss, sss$

$udc, uuc, ddc, usc, dsc, ssc$

udb, usb, dsb, ssb

$Y(b\bar{b}), \quad m = 9.46 \text{ GeV}$

1977



Bogolyubov Institute for Theoretical Physics
National Academy of Sciences of Ukraine

May 16-17, 2013, Kiev

COLOR OF QUARKS

**Workshop in memory of Boris V. STRUMINSKY
(14.08.1939 – 18.01.2003)**



Topics:

- Quark model of structure and hadron interaction
- Quantum chromodynamics and standard model of elementary particles
- Collective properties of nuclear matter

Relatives, friends, and colleagues are welcome!

For further information,
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THE STANDARD MODEL

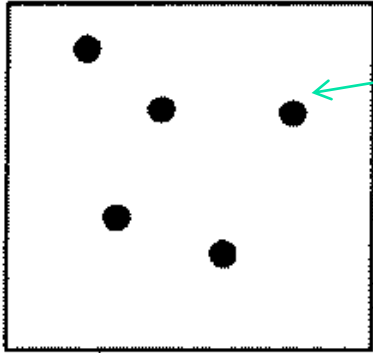
	Fermions			Bosons	
Quarks	u up	c charm	t top	γ photon	Force carriers
	d down	s strange	b bottom	Z Z boson	
Leptons	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	
	e electron	μ muon	τ tau	g gluon	

Higgs*
boson

*Yet to be confirmed

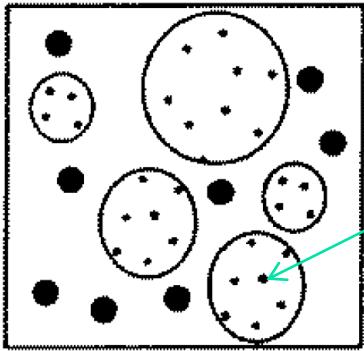
Source: AAAS

III. Phase Transitions in the Gas of Bags



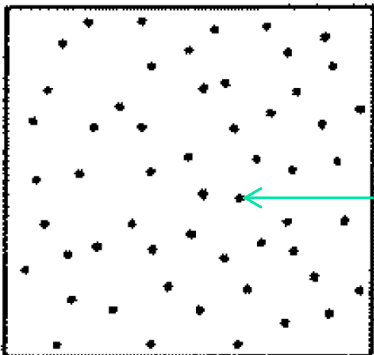
m

$$\rho(m) = C m^{-a} \exp\left(\frac{m}{T_H}\right)$$



(m, v) M.I.G., Petrov, and Zinovjev, Phys. Lett. B (1981)

$$\rho(m, v) = C v^\gamma (m - Bv)^\delta \exp\left[\frac{4}{3} \sigma^{1/4} v^{1/4} (m - Bv)^{3/4}\right]$$



Quark Gluon Plasma

Phase Transition in the Gas Bags

$$\hat{Z}(T, s) = \int_0^\infty dV \exp(-sV) Z(T, V) = \frac{1}{s - f(T, s)}$$

$$f(T, s) \equiv \left(\frac{T}{2\pi}\right)^{3/2} \int dm dv m^{3/2} \rho(m, v) \exp\left(-\frac{m}{T}\right) \exp(-sv)$$

$$p(T) = Ts^*(T) = T \max\{s_H(T), s_Q(T)\}$$

$$Z(T, V) = \exp\left[\frac{p(T) V}{T}\right]$$

s^* is the farthest-right singularity of $\hat{Z}(T, s)$: $p(T) = Ts^*(T)$

$$s_H = f(T, s_H), \quad f(T, s_Q)$$

$$\gamma + \delta < -3, \quad \delta < -7/4$$

conditions for the PTs

$$p(T) = Ts_Q(T) = \frac{\sigma}{3} T^4 - B$$

HG \rightarrow QGP

M.I.G., Zinovjev, Petrov, and Shelest,
Teor. Mat. Fiz. (1982)

M.I.G. Yad. Fiz. (1984)

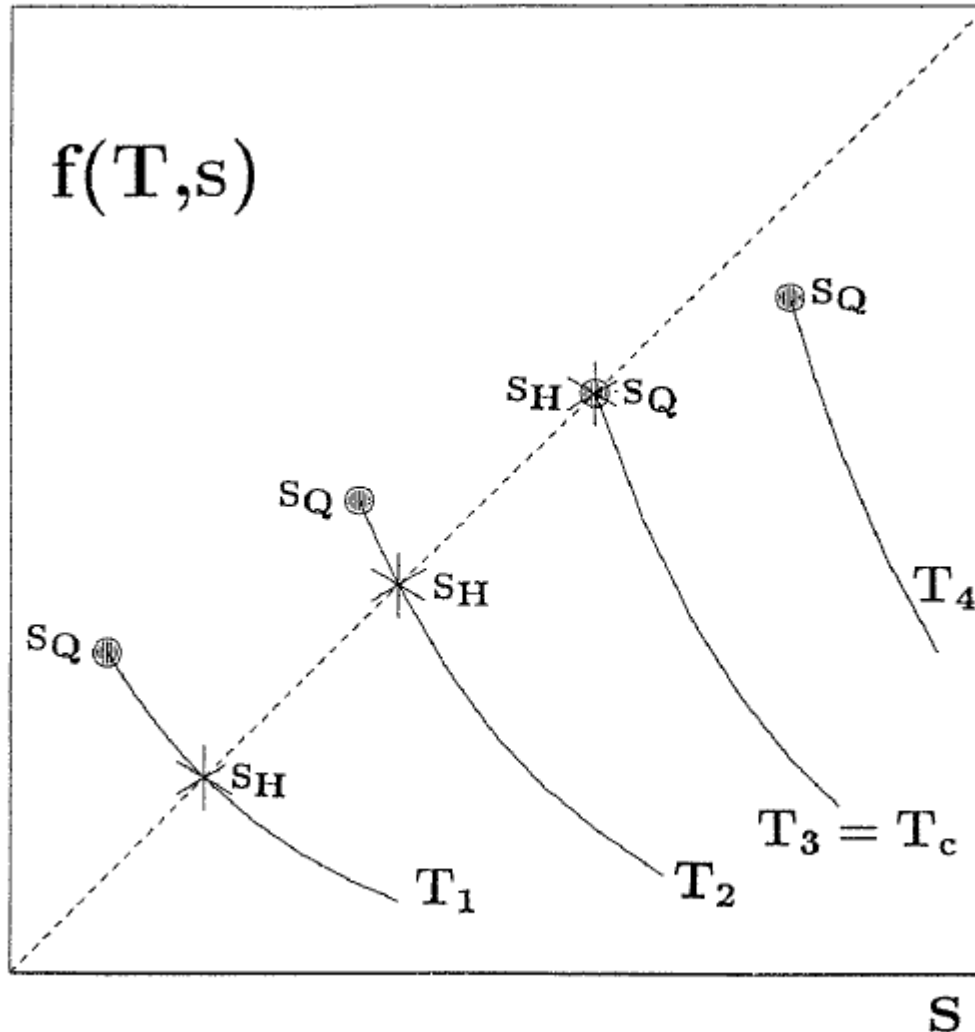
1st order PT

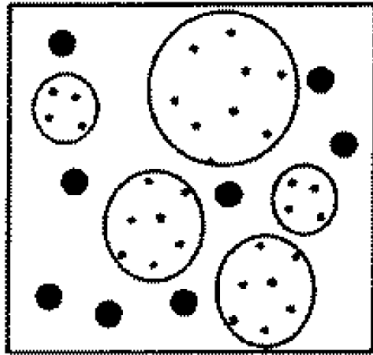
M.I.G., W. Greiner, and
Shin Nan Yang, J. Phys. G (1998)

2nd order PT

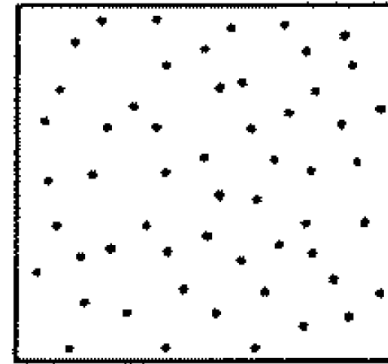
M.I.G., Gazdzicki, and
W. Greiner, Phys. Rev. C (2005)

Higher order PTs





Phase Transition



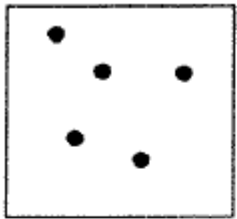
Hadron-Resonance-Bag
Gas

Quark Gluon Plasma

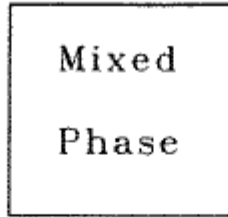
1st order PT, 2nd order PT, ..., Cross-over

hadrons=small bags **1st order PT**

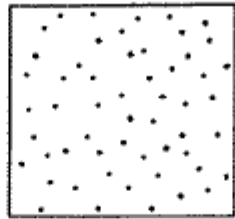
QGP=infininitely large bag



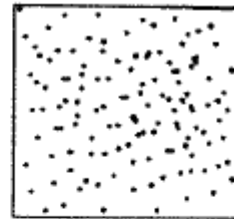
T_1



$T_2 = T_c^{(1)}$

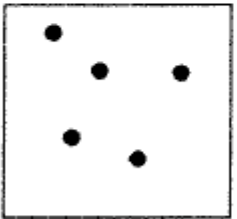


T_3

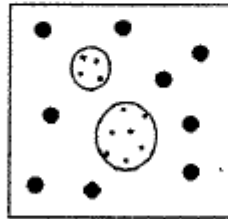


T_4

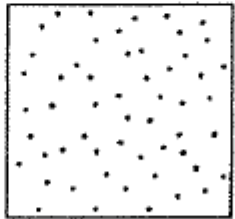
2nd order PT



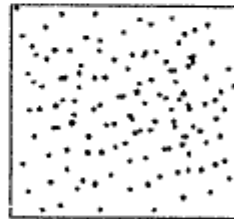
T_1



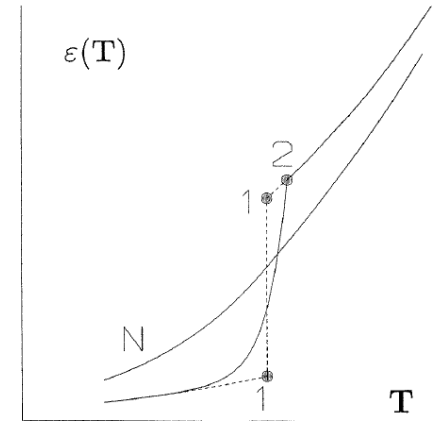
T_2



$T_3 = T_c^{(2)}$



T_4



Nucleus-Nucleus Collisions

	E (GeV)	S ^{1/2} (GeV)
AGS BNL Au+Au 1980 – 1990	2 ÷ 11	2.3 ÷ 4.7
SPS CERN Pb+Pb 1990 – 2000 2000 2002 2003 2010-...	160 40, 80 30 20 10 ÷ 160	17.4 8.3, 12.3 7.6 6.3
RHIC BNL Au+Au 2000 – ...		200 8 ÷ 200
LHC CERN Pb+Pb 2010 -- ...		2760

Alternative **G**radient
Synchrotron

Super **G**radient
Synchrotron

NA 49

NA 61

2000-2010

2010- ..., **STAR**

ALICE

Relativistic
Heavy
Ion
Collider

Large
Hadron
Collider

QGP discovery 2000

- 1). J/psi Suppression - Matsui, Satz (1986)

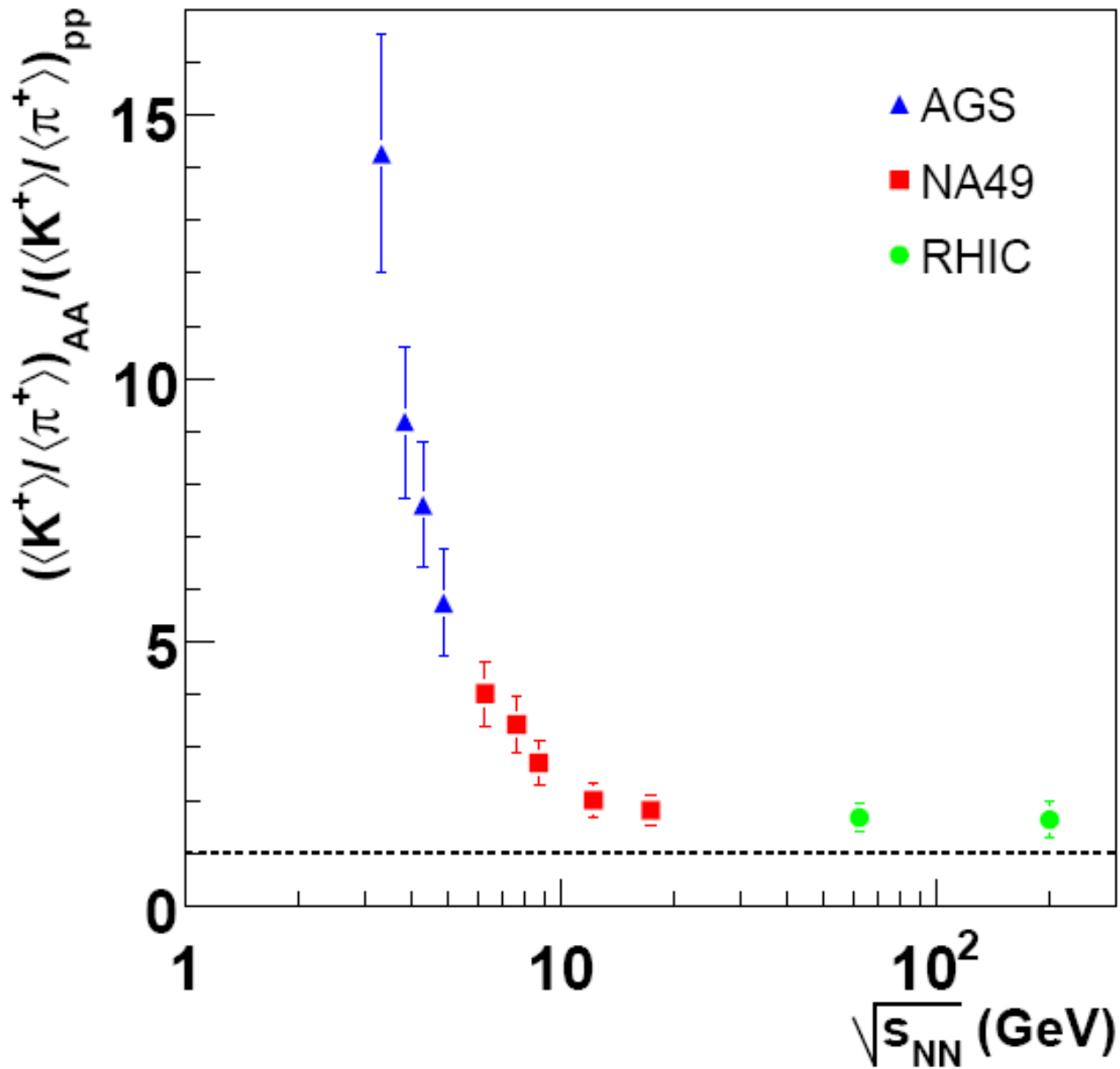
$$\left[\frac{N_{J/\psi}}{N_{e^+e^-}^{DY}} \right]_{AA} < \left[\frac{N_{J/\psi}}{N_{e^+e^-}^{DY}} \right]_{pp}$$

- 2). Strangeness Enhancement - Koch, Muller, Rafelsky (1986) .

$$\left[\frac{N_K}{N_\pi} \right]_{AA} > \left[\frac{N_K}{N_\pi} \right]_{pp}$$

- 3). Photon and Lepton Thermal Production 4).....

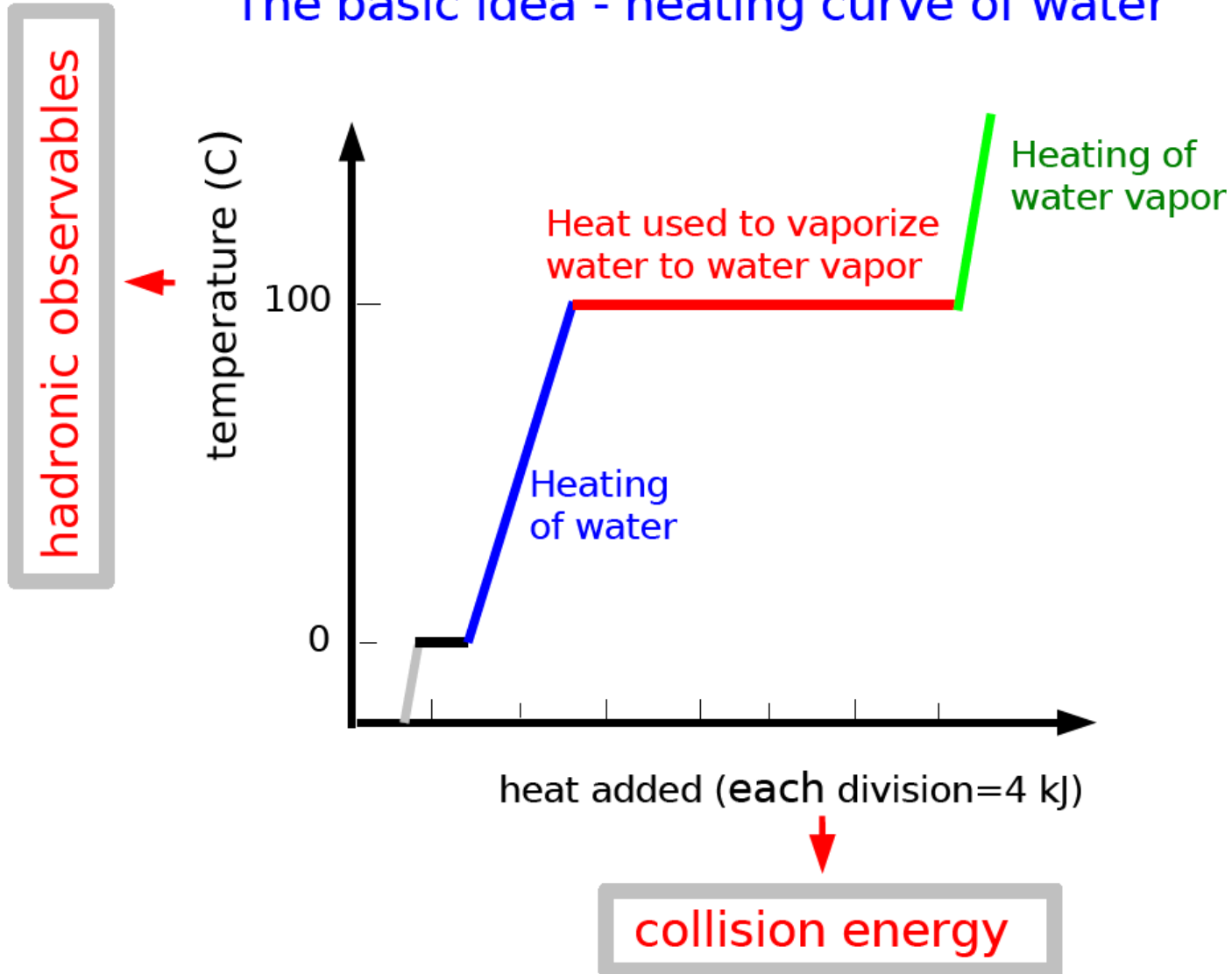
Strangeness Enhancement



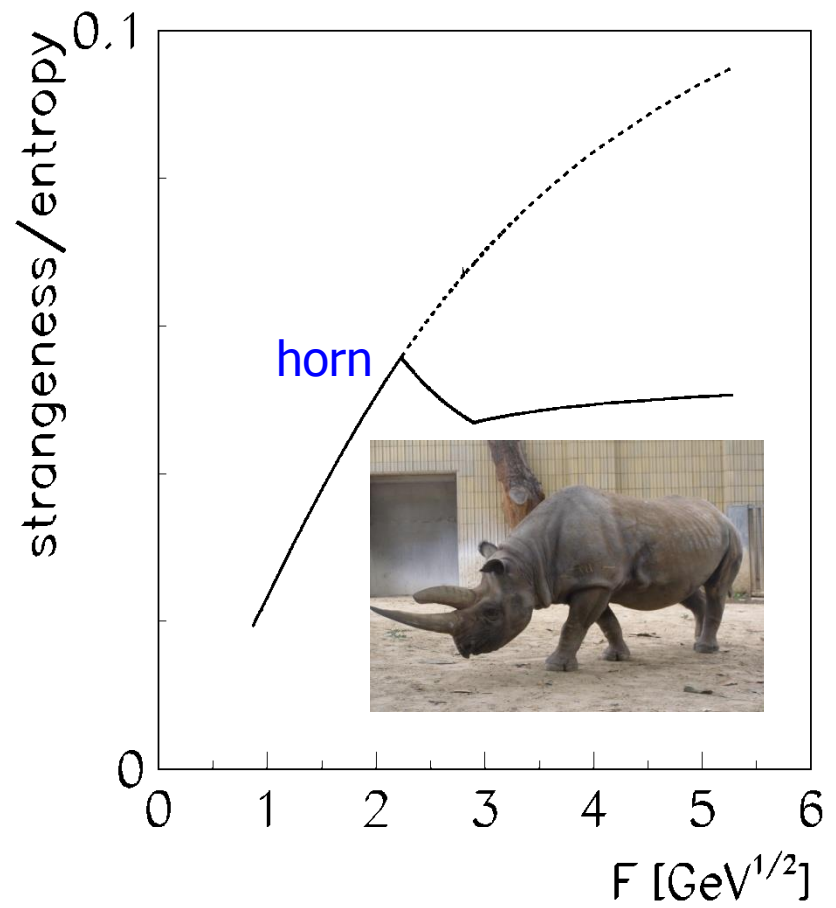
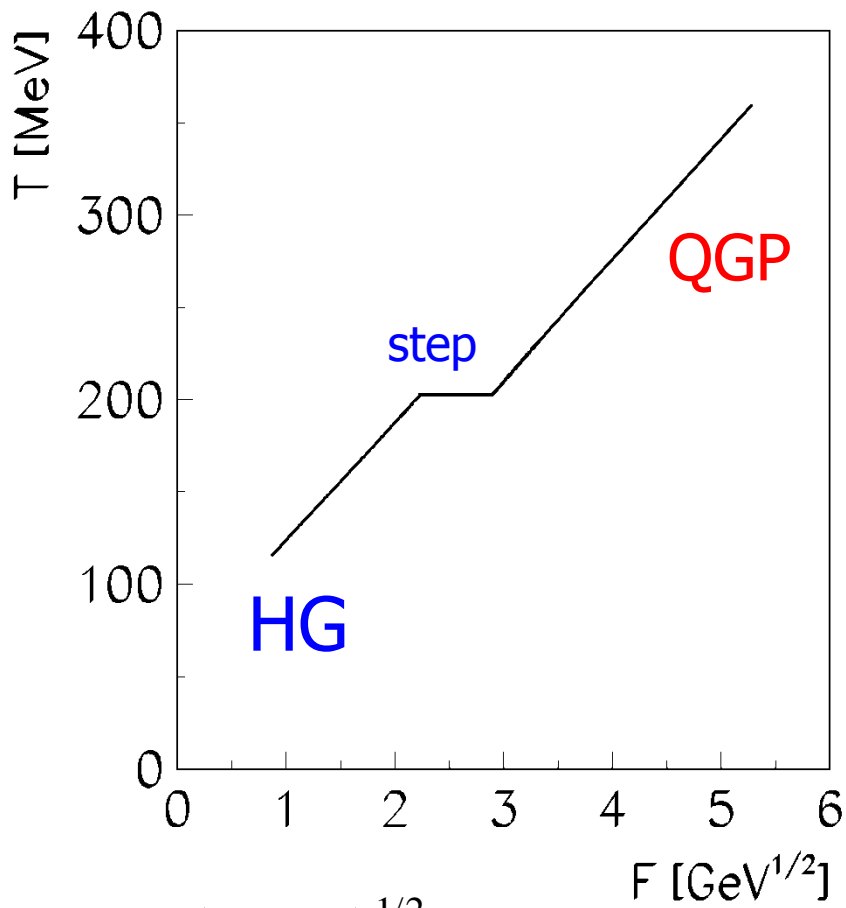
IV. Onset of Deconfinement

- ■ Onset of deconfinement at the CERN SPS

The basic idea - heating curve of water



Statistical Model of Early Stage

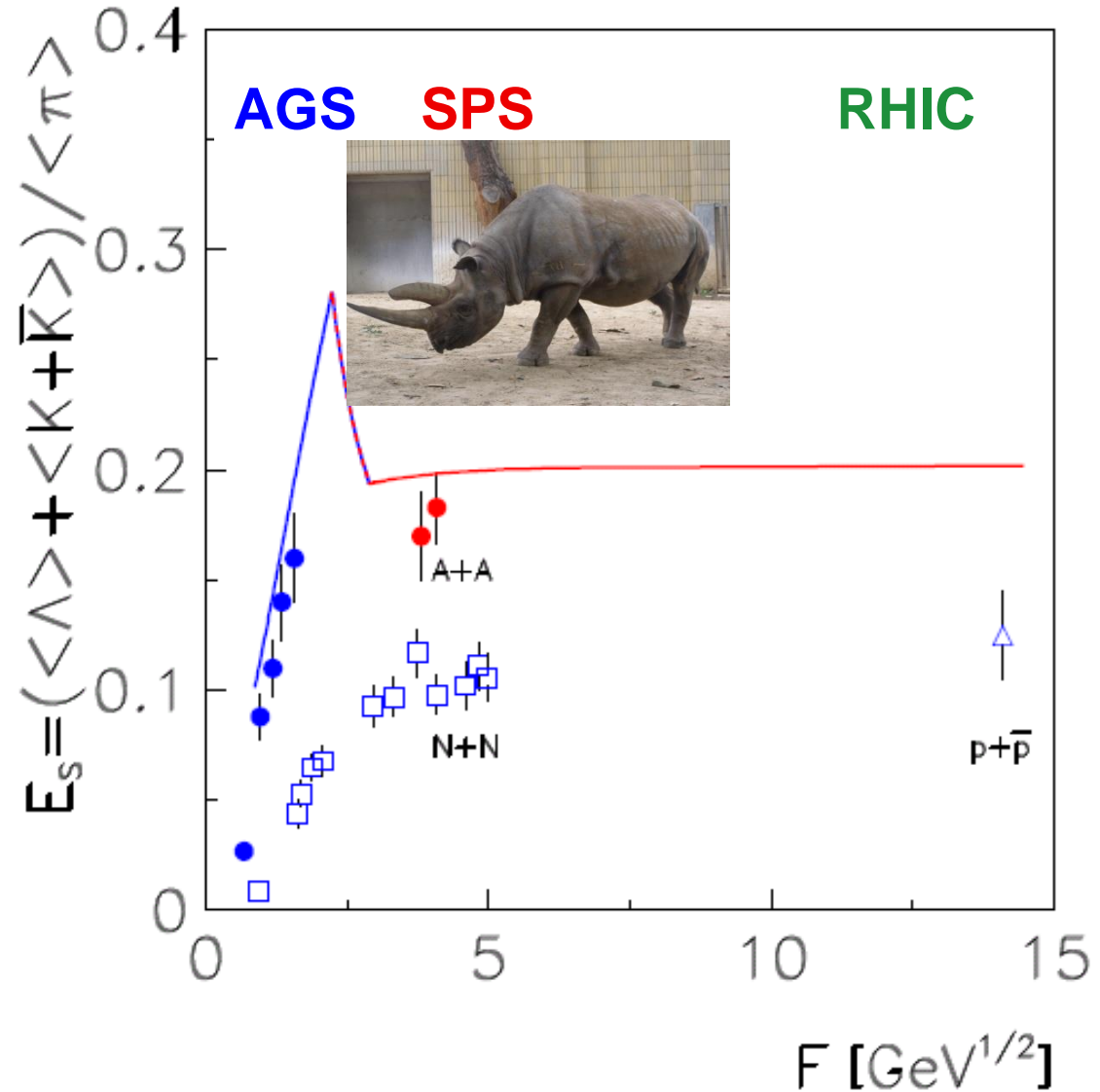


$$F \cong \left(\sqrt{s_{NN}} \right)^{1/2}$$

Gazdzicki and M.I.G., Acta Phys. Pol. (1998)

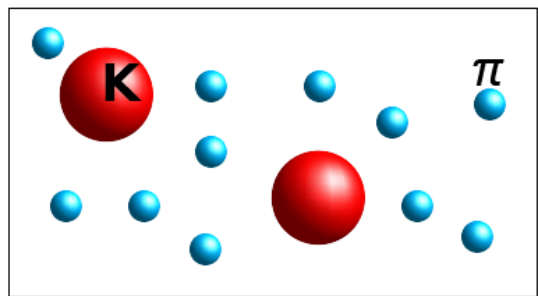
Experimental Data in 1998

strangeness
entropy



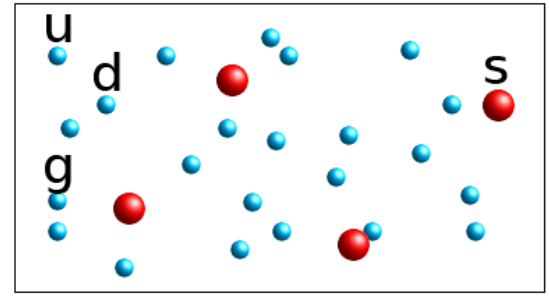
A toy model of the horn

hadron gas

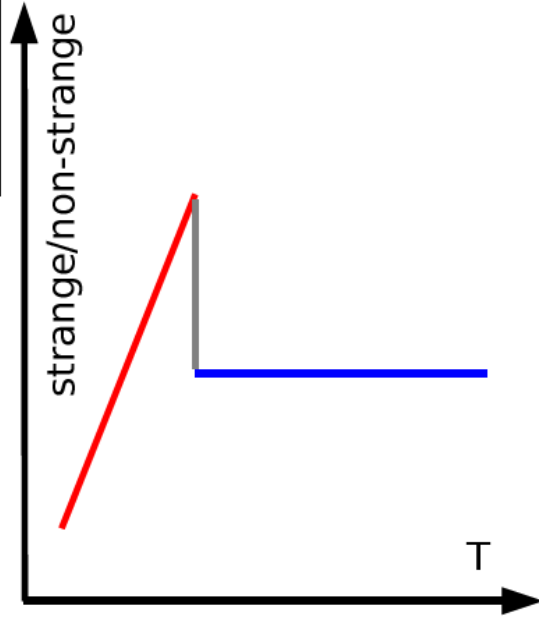


$$\frac{\langle K \rangle}{\langle \pi \rangle} \propto \frac{MT^{3/2}}{T^3} e^{-M/T}$$

quark-gluon plasma



$$\frac{\langle s \rangle}{\langle u+d+g \rangle} \propto \frac{T^3}{T^3} = \text{const}(T)$$



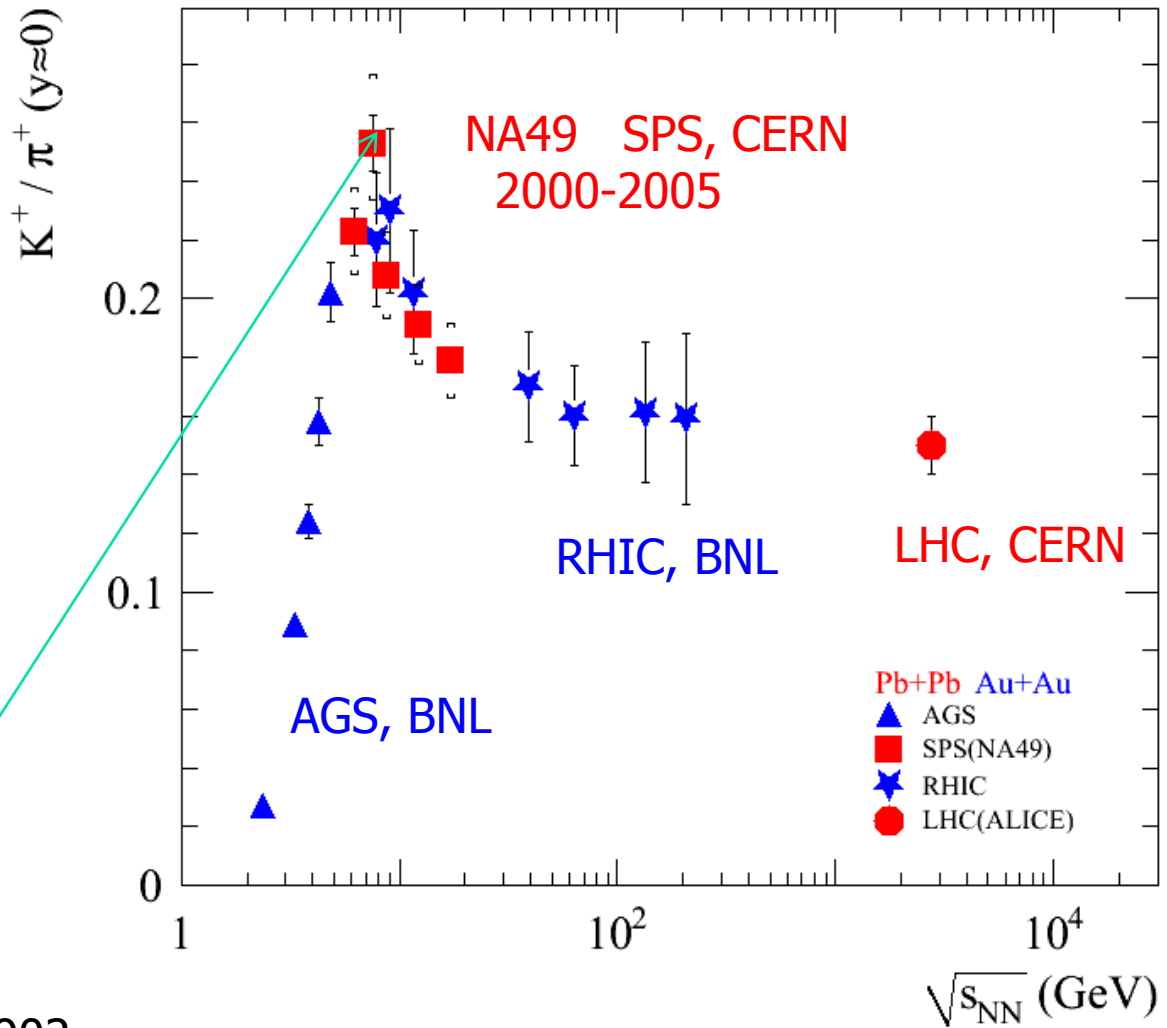
$$\langle n \rangle = \frac{gV}{(2\pi)^3} \int d^3p \frac{1}{e^{E/T} \pm 1}$$

$$\approx gV \frac{2\pi^2}{4.45} T^3 \quad \text{for light particles}$$

$$\approx gV \left(\frac{MT}{2\pi}\right)^{3/2} e^{-M/T} \quad \text{for heavy particles}$$

The Horn: Pb+Pb

strangeness
entropy

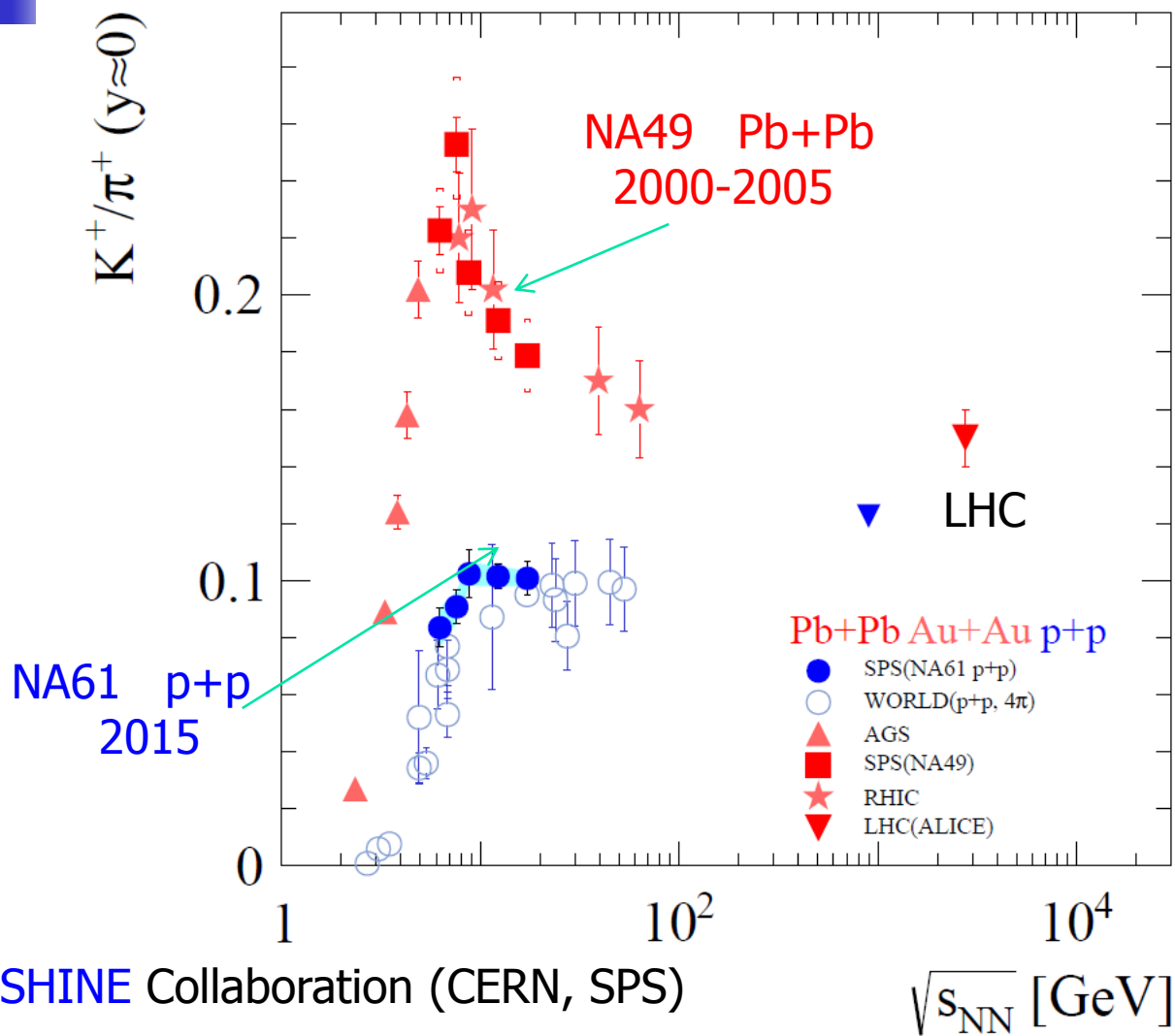


NA49 Collaboration
(CERN SPS)

Phys.Rev. C66 (2002) 054902

Phys.Rev. C77 (2008) 024903

The Horn: Pb+Pb vs p+p

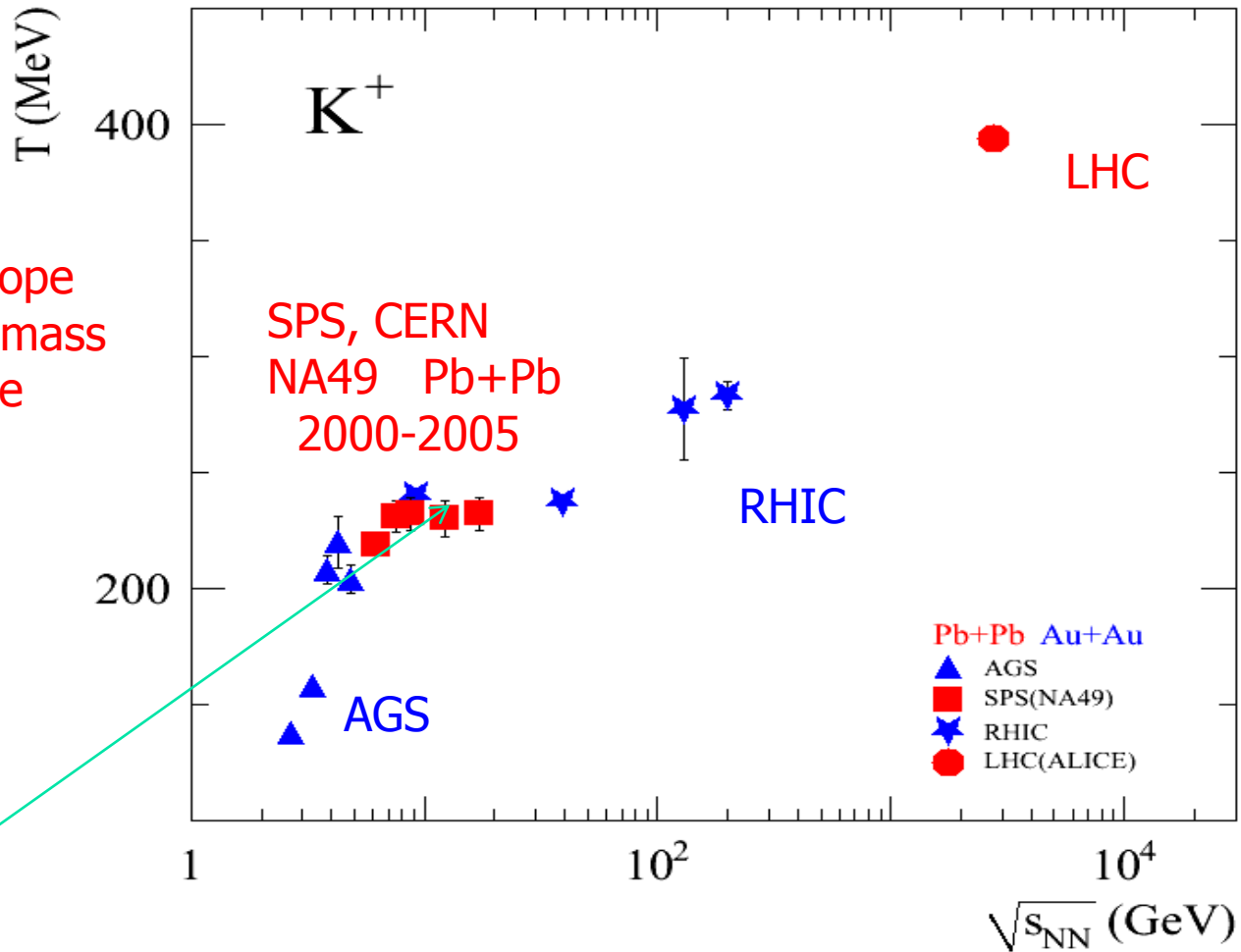


NA61/SHINE Collaboration (CERN, SPS)

[arXiv:1502.07916](https://arxiv.org/abs/1502.07916) [nucl-ex]

The Step: Pb+Pb

T is the inverse slope of the transverse mass spectra = effective temperature.



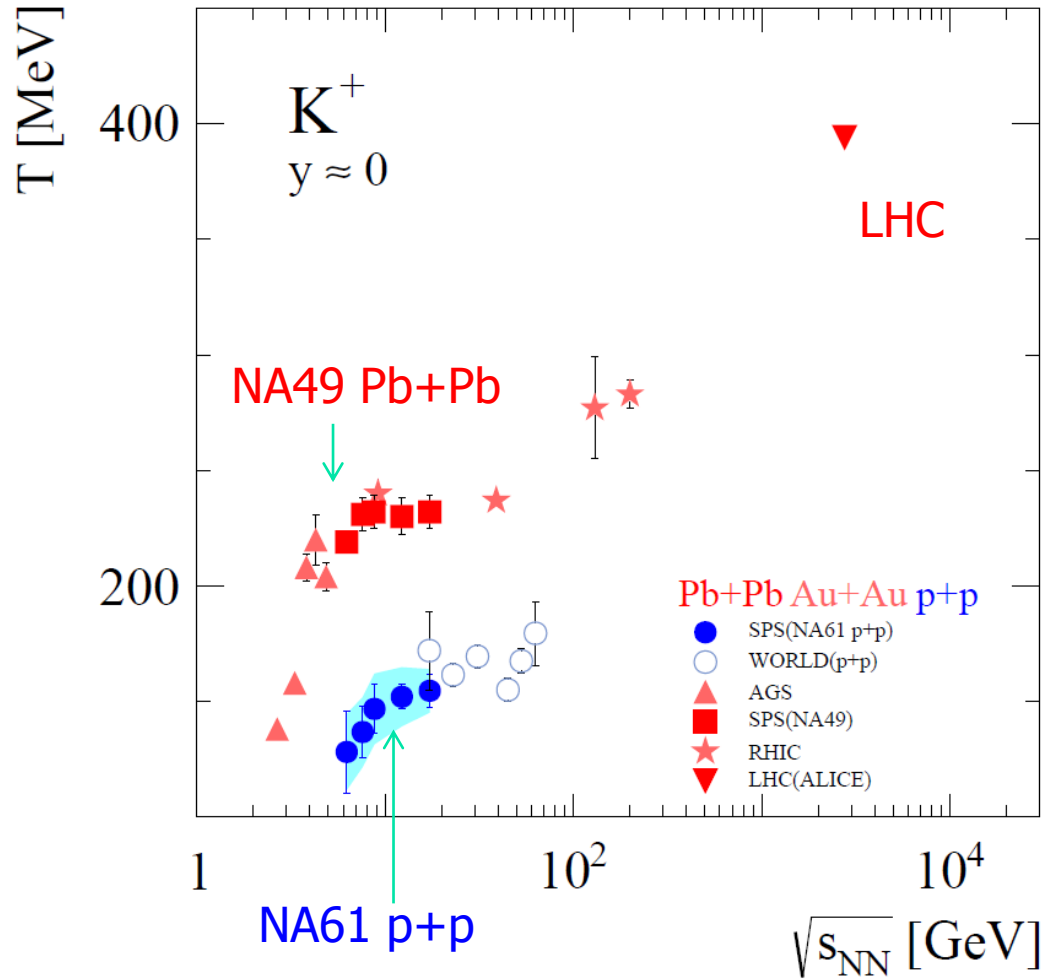
NA49

Phys.Rev. C66 (2002) 054902

Phys.Rev. C77 (2008) 024903

The Step: Pb+Pb vs p+p

K^+

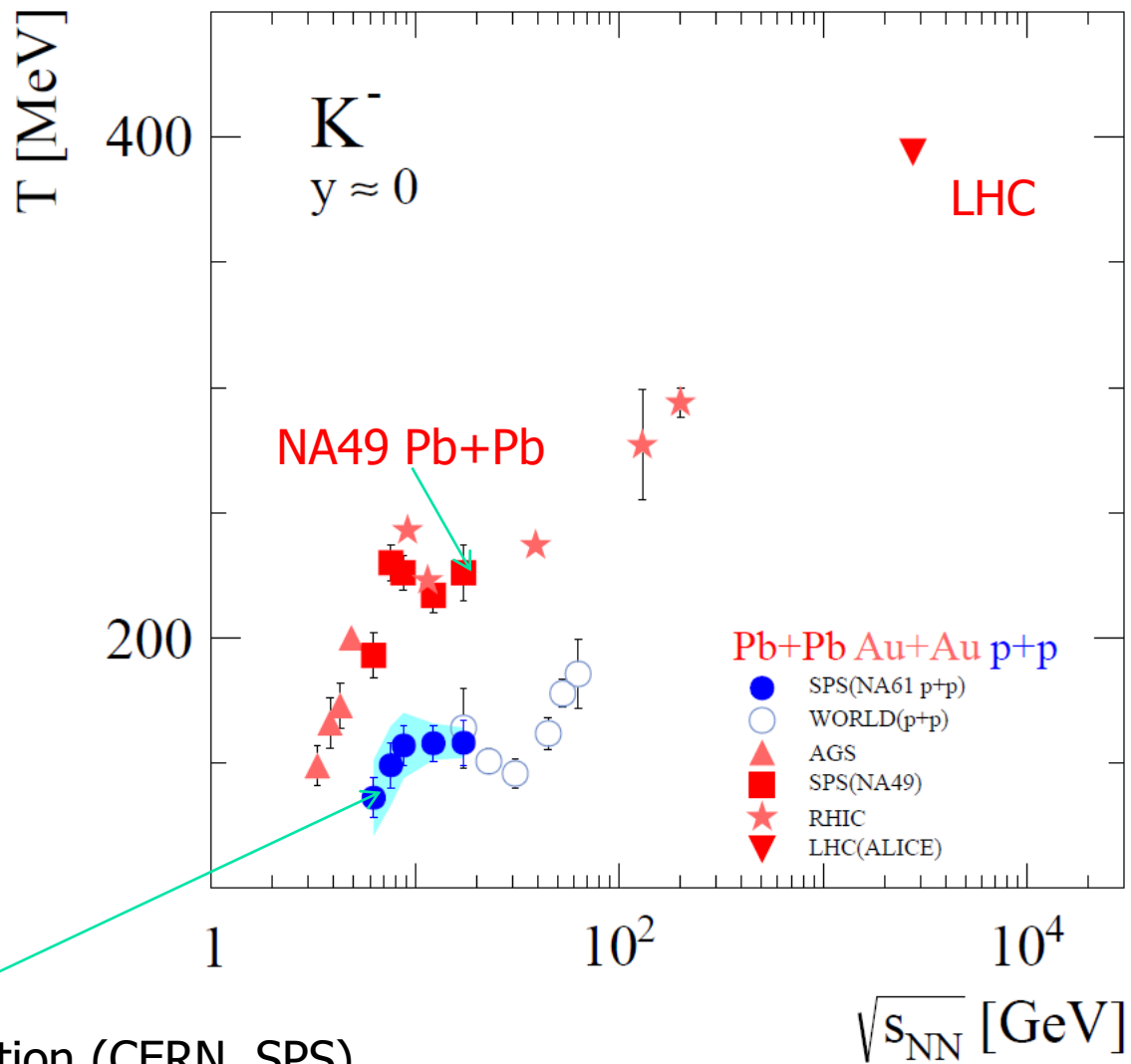


NA61/SHINE Collaboration (CERN, SPS)

[arXiv:1502.07916](https://arxiv.org/abs/1502.07916) [nucl-ex]

The Step: **Pb+Pb** vs **p+p**

K^-



RHIC discovery

Au+Au

$$\sqrt{s_{NN}} = 200 \text{ GeV}$$

QGP = Ideal Liquid

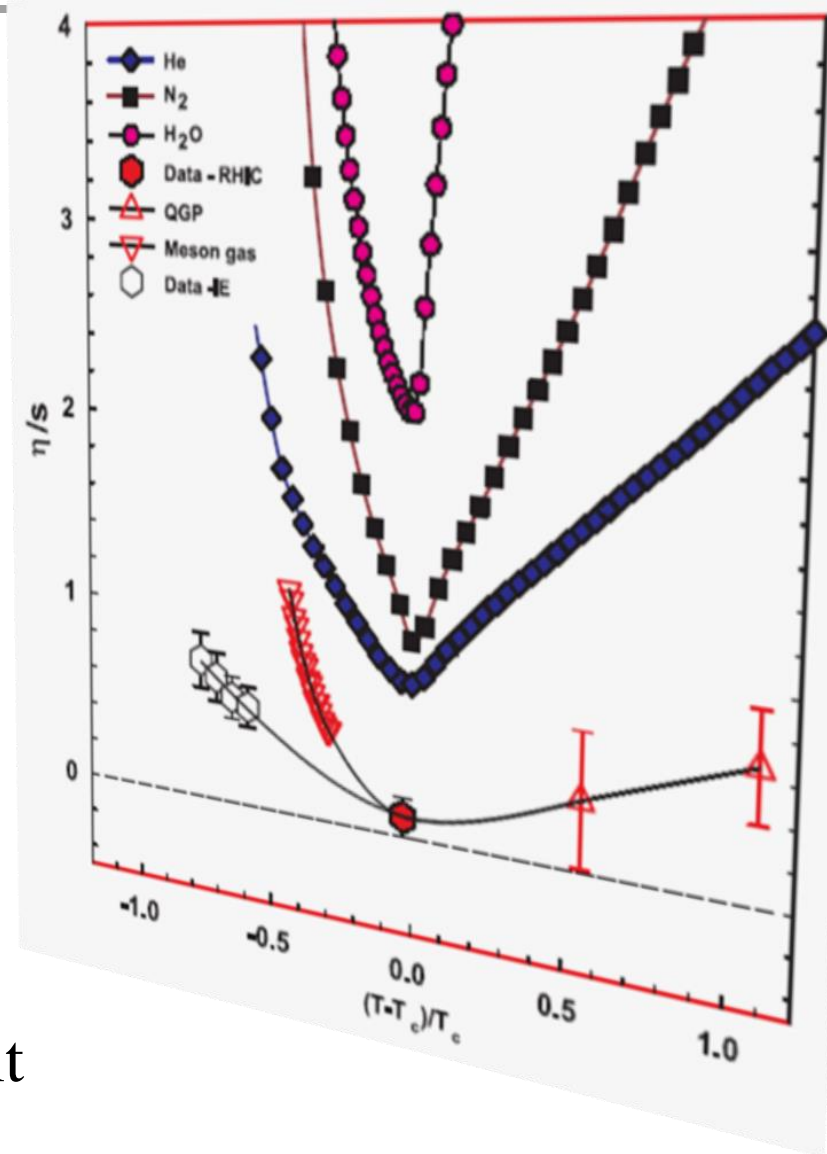
(almost)

shear viscosity

$$\frac{\eta}{s} \cong 0.1 \geq \frac{1}{4\pi} \text{ the lowest limit}$$

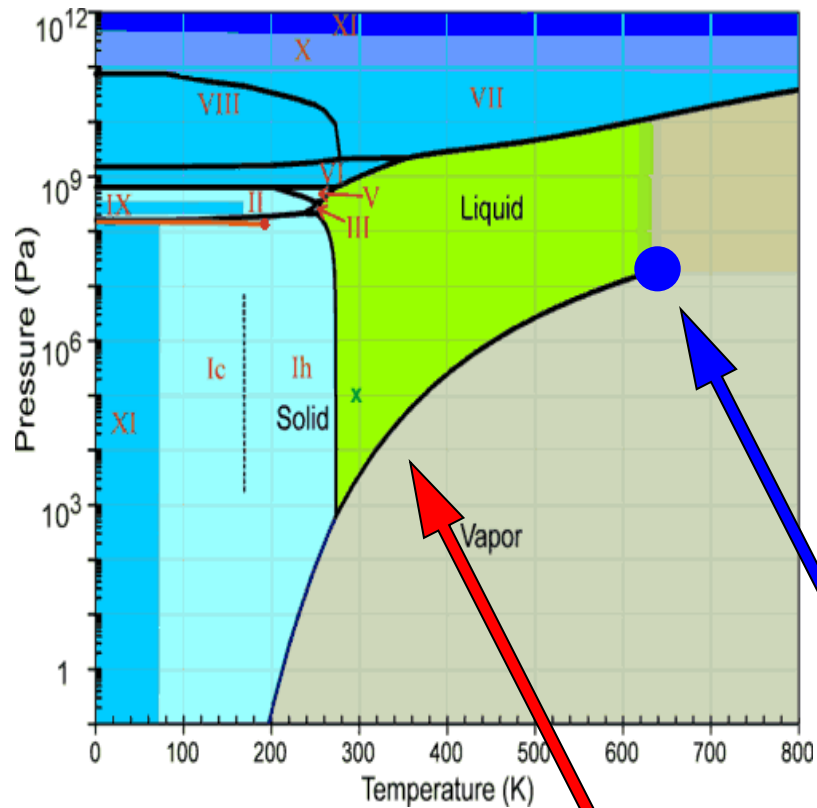
entropy density

Lacey et al. Phys.Rev.Lett.98:092301

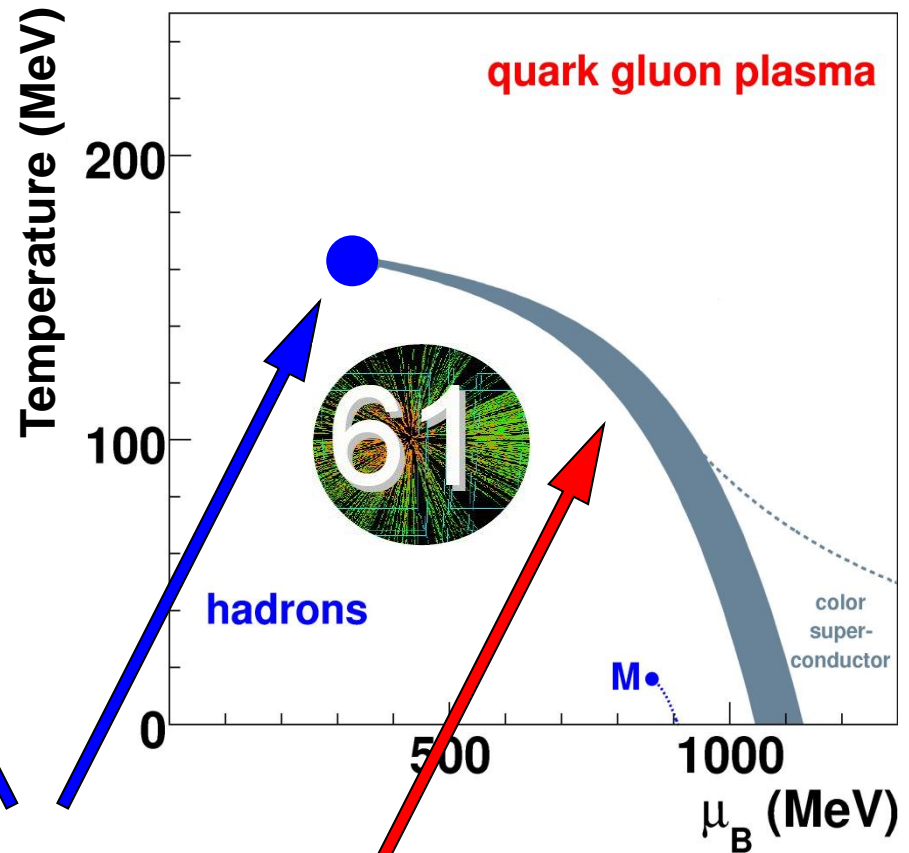


V. QCD Critical Point

Water



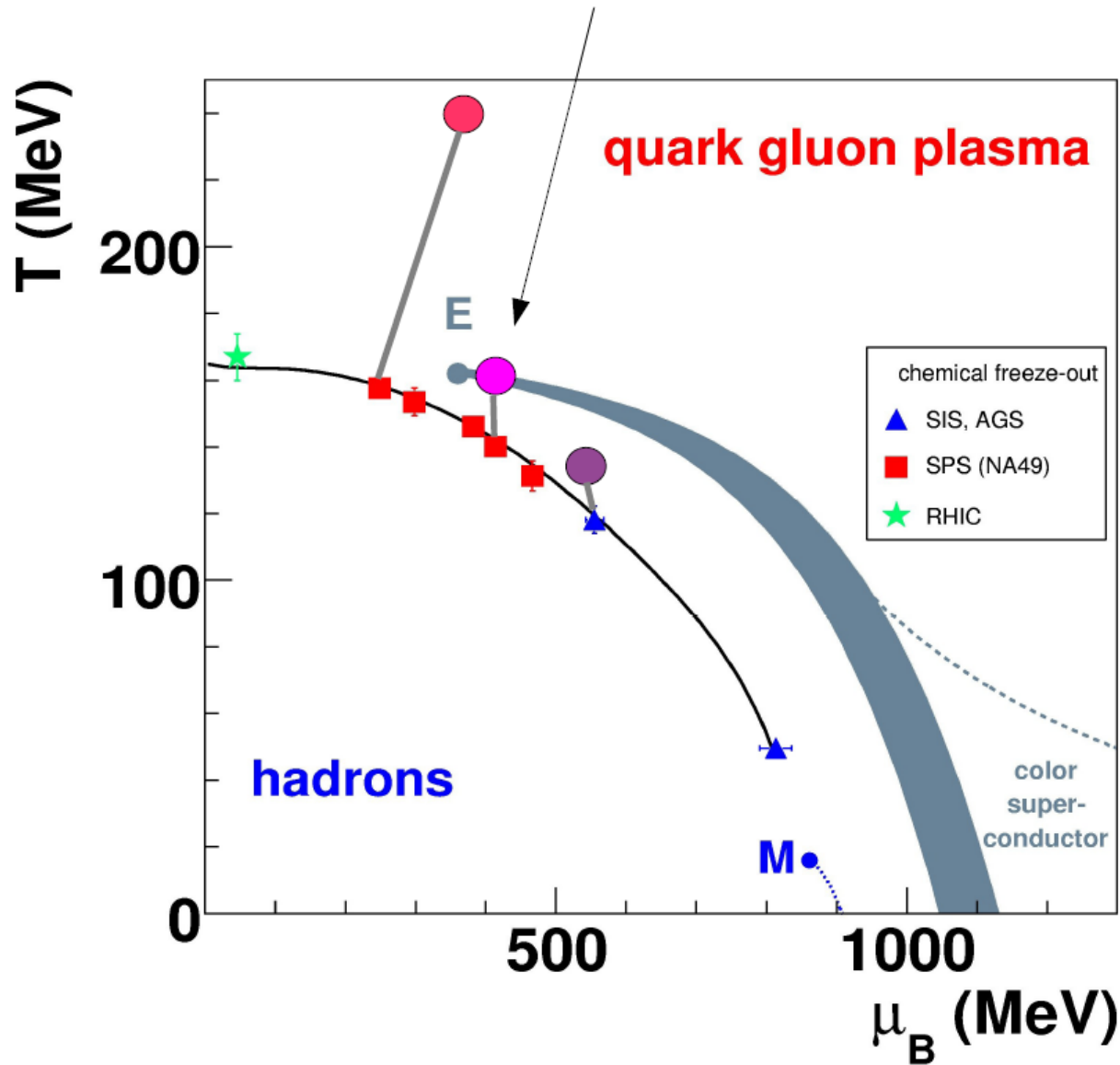
Strongly Interacting Matter



QCD Critical Point

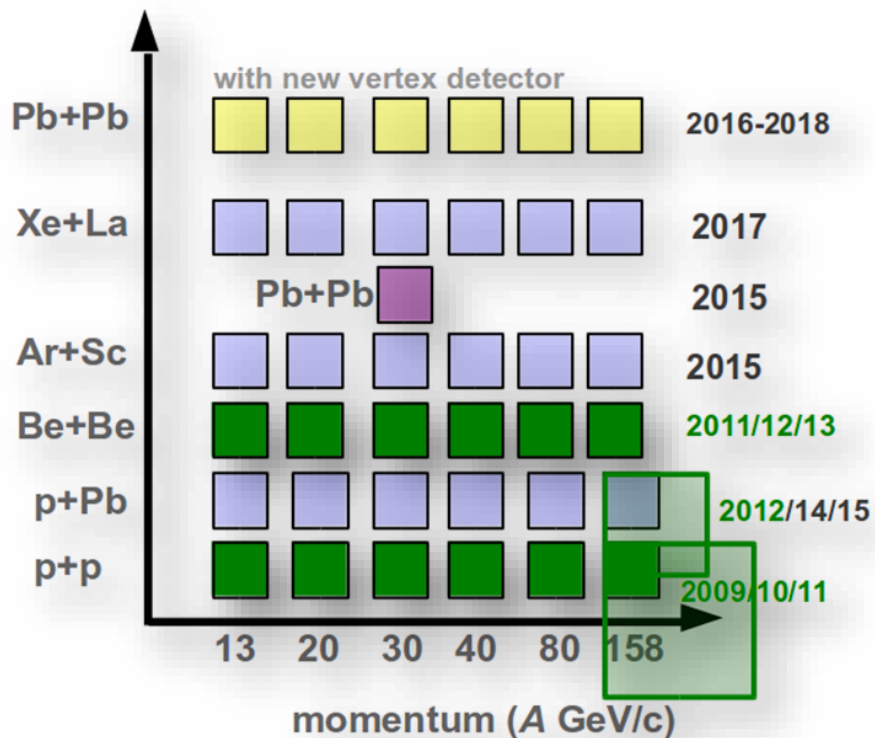
1st Order Phase Transition

Onset of deconfinement:
the early stage hits the transition line

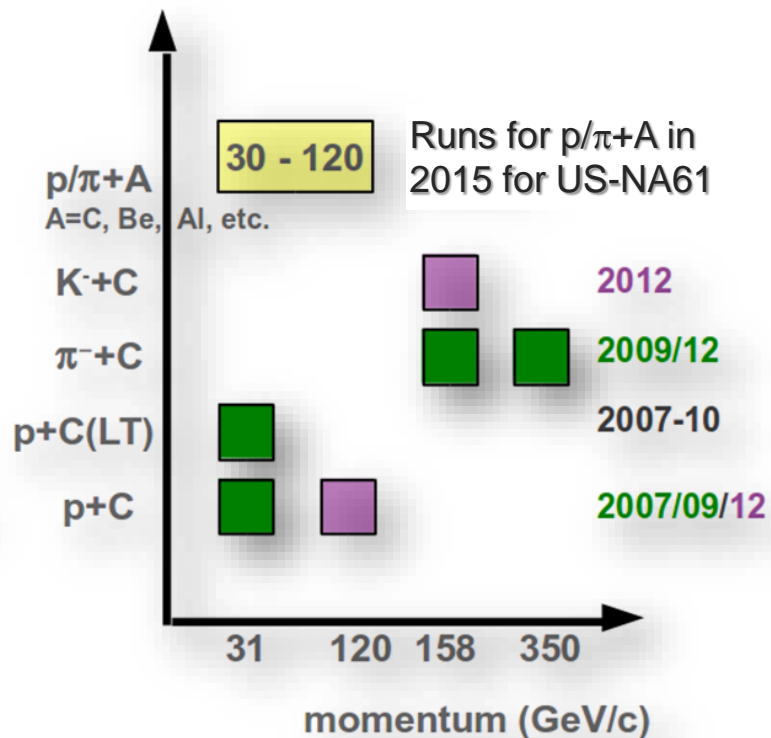


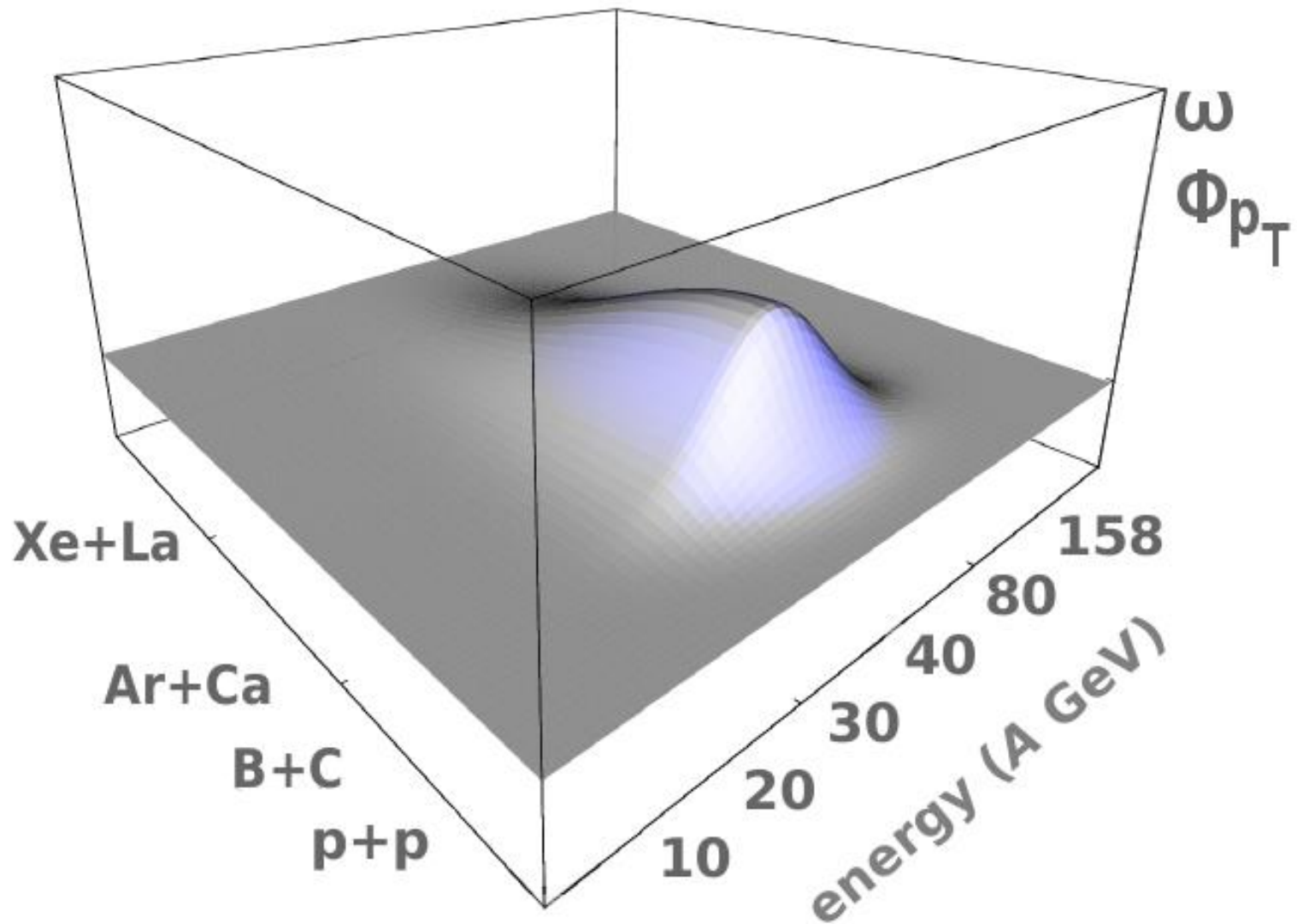
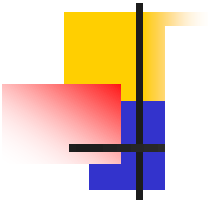
SPS CERN, NA61/SHINE: the data taking plan

Strong interactions program

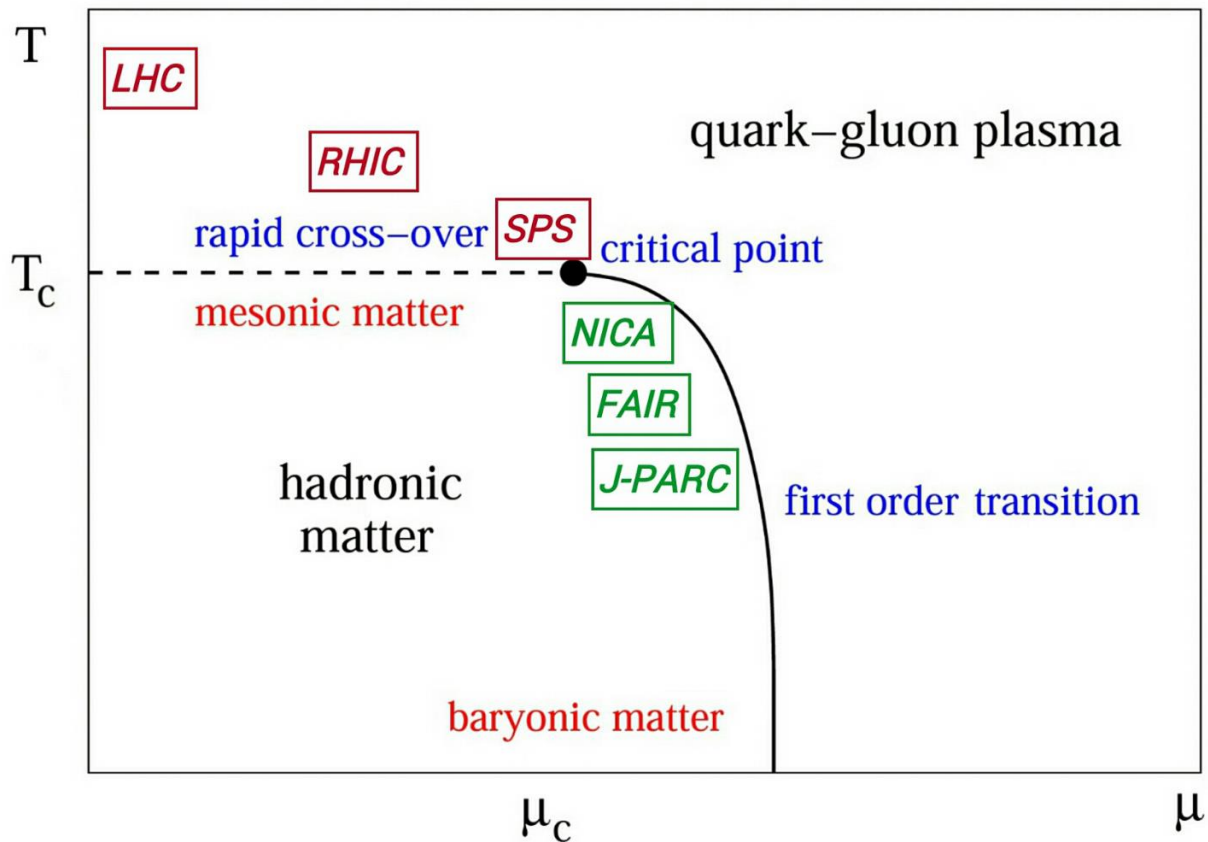
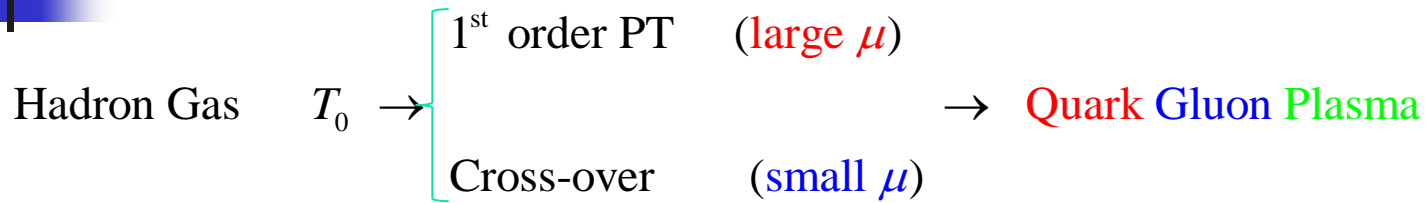


Neutrino and CR programs

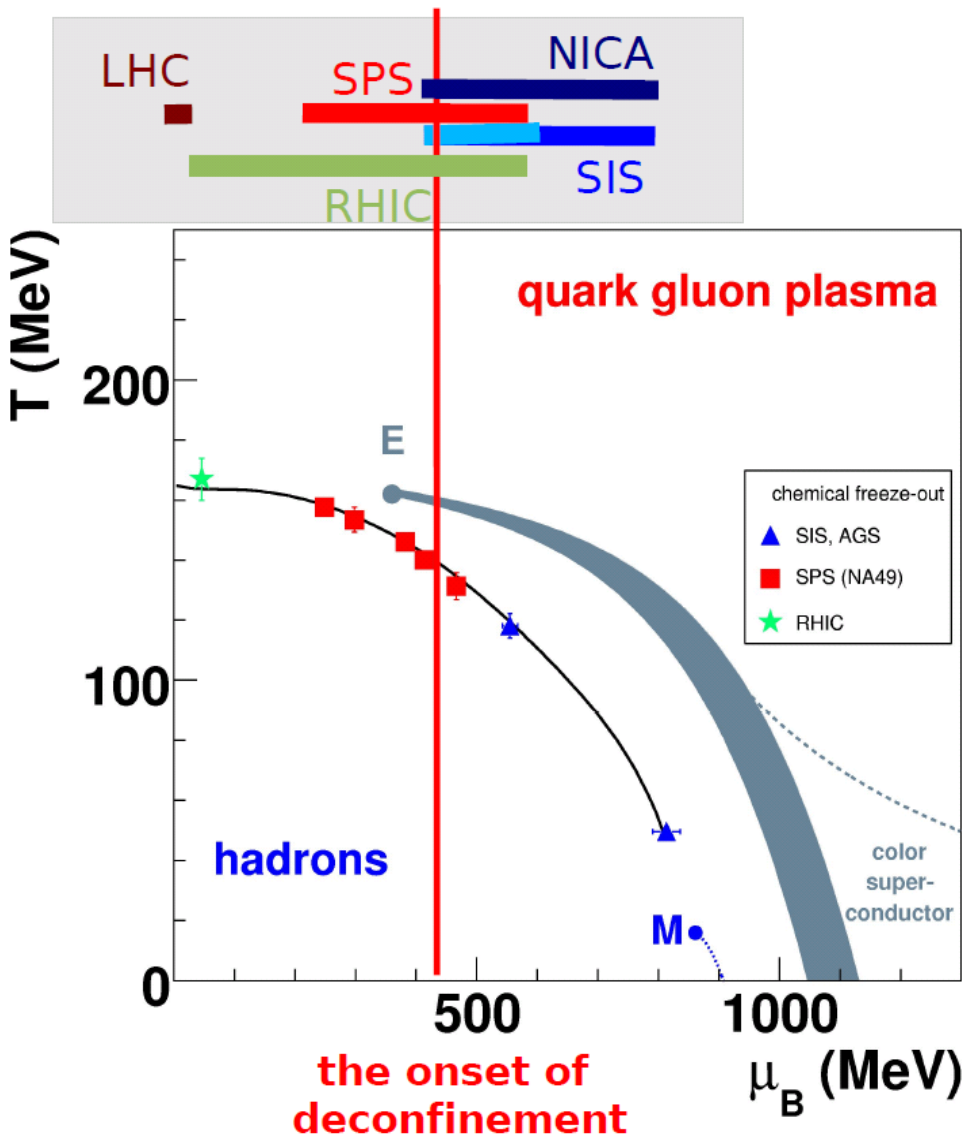




Summary



Experiments:

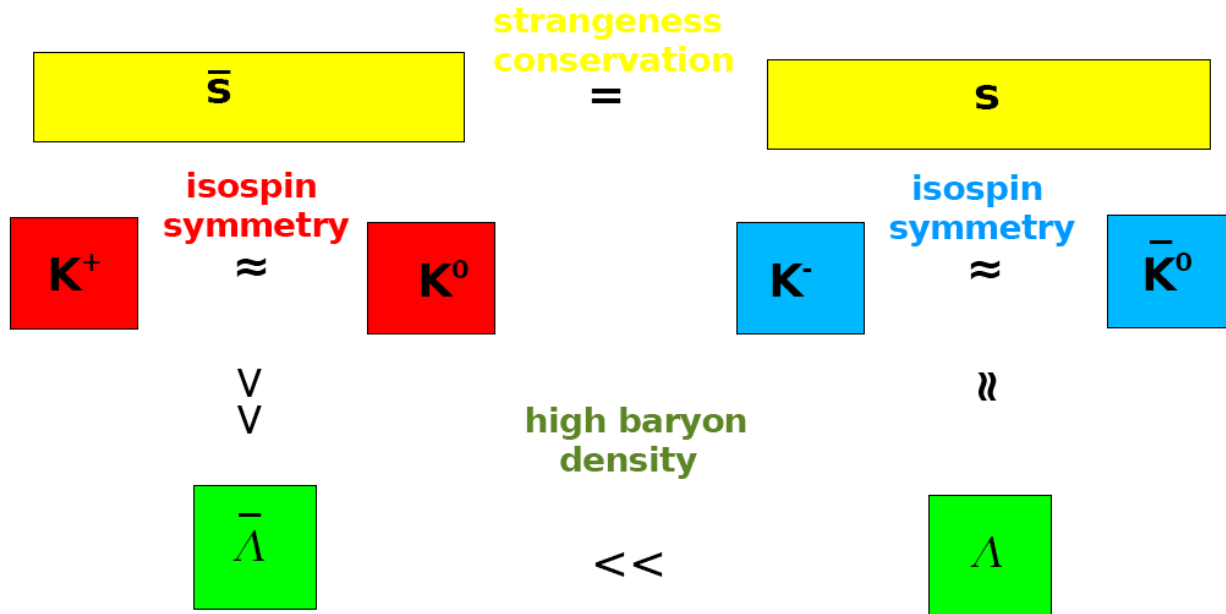


NICA, Dubna	2020
SIS, GSI	2020
SPS, CERN NA61/SHINE	2009
RHIC, BNL STAR	2010



Thank You !

main strangeness carriers



■ sensitive to strangeness content only
■ ■ sensitive to strangeness content and baryon density

QGP discovery 2000

- 1). J/psi Suppression - Matsui, Satz (1986)

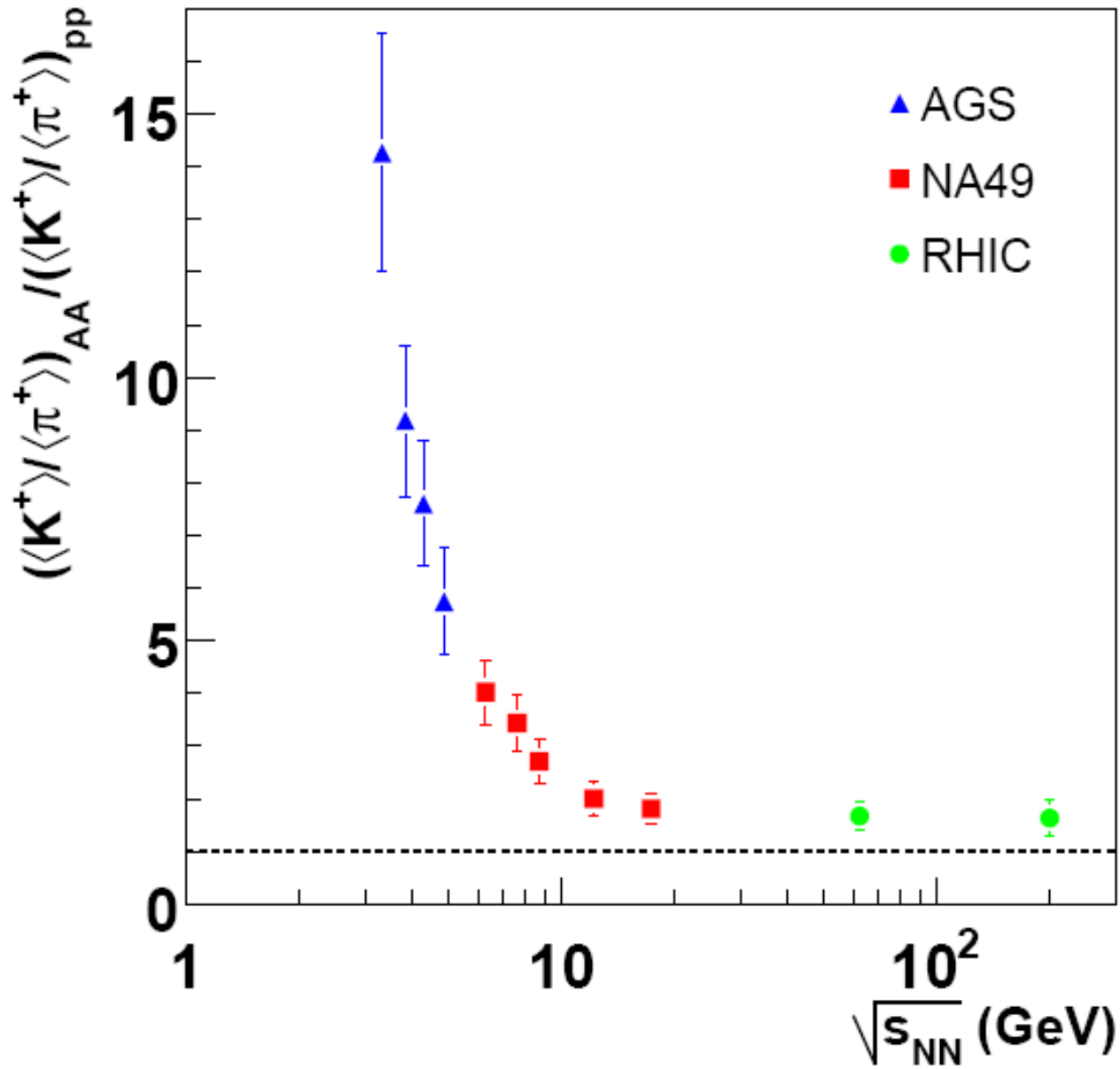
$$\left[\frac{N_{J/\psi}}{N_{e^+e^-}^{DY}} \right]_{AA} < \left[\frac{N_{J/\psi}}{N_{e^+e^-}^{DY}} \right]_{pp}$$

- 2). Strangeness Enhancement - Koch, Muller, Rafelsky (1986) .

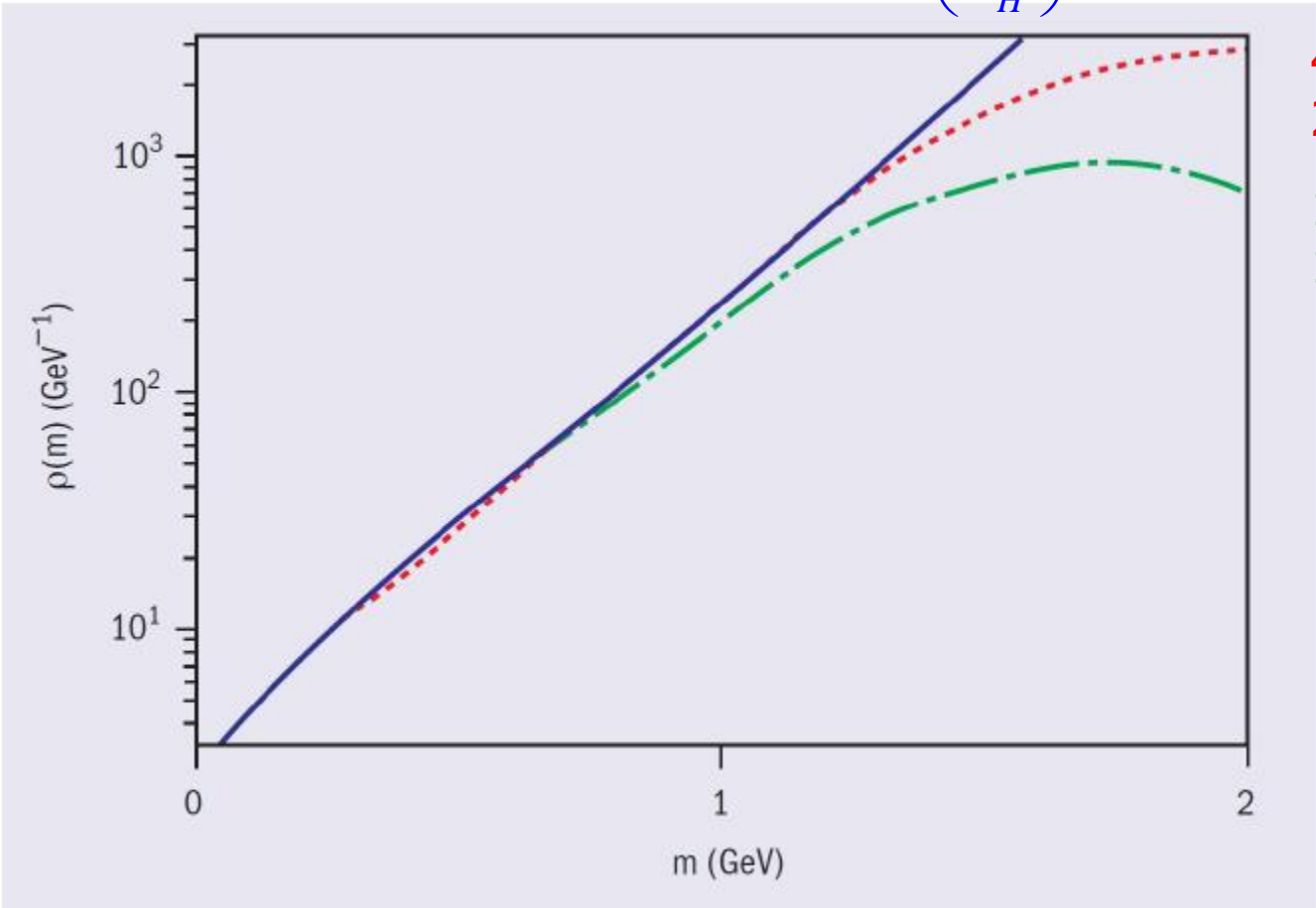
$$\left[\frac{N_K}{N_\pi} \right]_{AA} > \left[\frac{N_K}{N_\pi} \right]_{pp}$$

- 3). Photon and Lepton Thermal Production 4).....

Strangeness Enhancement



$$\exp\left(\frac{m}{T_H}\right)$$



4627 states
2000

1411 states
1967

Limiting Temperature (short summary)

$$\rho(m) = C m^{-a} \exp\left(\frac{m}{T_H}\right) \quad \text{for } m \rightarrow \infty$$

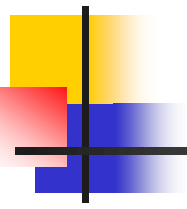
$$p(T) = T \int_{M_0}^{\infty} dm \rho(m) \left(\frac{mT}{2\pi}\right)^{3/2} \exp\left(-\frac{m}{T}\right) \propto (T_H - T)^{a-5/2}$$

$$\varepsilon(T) = T \frac{dp}{dT} - p \propto (T_H - T)^{a-7/2}$$

$$\text{At } T \rightarrow T_H : \quad p, \varepsilon \rightarrow \infty, \text{ for } a \leq \frac{5}{2}$$

$$p \rightarrow \text{const}, \varepsilon \rightarrow \infty, \text{ for } \frac{5}{2} < a \leq \frac{7}{2}$$

$$p \rightarrow \text{const}, \varepsilon \rightarrow \text{const}, \text{ for } a > \frac{7}{2}$$



$$Z(T, V) = \sum_{N=0}^{\infty} \left(\frac{V}{2\pi^2} \int_{M_0}^{\infty} dm \int_0^{\infty} k^2 dk \rho(m) \exp\left(-\sqrt{k^2 + m^2}\right) / T \right)^N \frac{1}{N!}$$
$$= \exp\left[\frac{VT}{2\pi^2} \int_{M_0}^{\infty} dm \rho(m) m^2 K_2(m/T) \right]$$

$$V \rightarrow \left(V - \sum_{i=1}^N v_i \right)$$

$$\rho(m) \rightarrow \rho(m, v) = \rho_0 + Cv^\gamma (m - Bv)^\delta \exp\left[\frac{4}{3} \sigma^{1/4} v^{1/4} (m - Bv)^{3/4} \right]$$

$$Z(T, V) = \sum_{N=0}^{\infty} \frac{1}{N!} \left(\prod_{i=1}^N \int_{M_0}^{\infty} dm_i \int_{V_0}^{\infty} dv_i (V - v_1 - \dots - v_N) \rho(m_i, v_i) K_2\left(\frac{m_i}{T}\right) \right)$$