

# A glance at CP Violation, Status of Flavour Anomalies and all that-I

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- Introduction and Motivation.
- Assessing the CKM paradigm in the SM.
- Two tensions:  $|V_{ub}|$  and  $|V_{cb}|$ .
- Bounding New Physics via FCNC ( $\Delta F = 2$ ).
- Probing New Physics via Rare B decays: Present situation and description of Flavour Anomalies.
- The path to the  $b \rightarrow s\ell\ell$  anomalies... why there? why now?

Even if the SM is extremely successful theory most likely is an effective theory, it does not explain...

- why 3 generations of fermions? why their masses are so hierarchical.
- origin of the Baryon asymmetry in the universe? matter anti-matter asymmetry too small in SM.
- **lack of a candidate of the dark matter observed in the Universe**
- ...



a more fundamental theory with new degrees of freedom (new particles)

*This new theory defines what is usually called **New Physics***

Central question of QFT-based particle physics

	I	II	III		
Quarks	$u$	$c$	$t$	$\gamma$	$H$ Higgs
	$d$	$s$	$b$	$g$	
Leptons	$\nu_e$	$\nu_\mu$	$\nu_\tau$	$Z$	Forces
	$e$	$\mu$	$\tau$	$W$	

3 générations

$$\mathcal{L} = ?$$

i.e. which degrees of freedom, symmetries, scales ?

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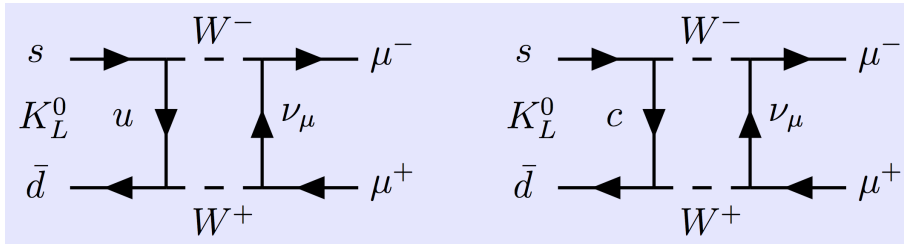


Two ways of searching for New Physics:

- **DIRECT** production of New Particles: so far nothing new....besides SM Higgs. It needs **Energy**.
- **INDIRECT** or **VIRTUAL** production of New Particles affecting (i.e. loops) couplings & **decays**
  - ⇒ It needs **Precision**. Energy scales not directly accessible at accelerators.
  - ⇒ If New Particles bring couplings with new phases, CP violating observables can detect them.
    - ⇒ We test this second path with **Flavour Physics: Rare decays and CP Violation**

# A historical example of indirect search

The  $K_L^0 \rightarrow \mu^+ \mu^-$  decay is forbidden at tree level



The unexpected non-observation was explained by Glashow, Iliopoulos and Maiani ("GIM mechanism"):

**Idea of GIM mechanism:** to understand the suppression besides the  $u$  quark loop a second loop with a new quark ( $c$ ) with opposite sign that cancels in the limit  $m_c - m_u = 0$ .

Example of an observation of New Physics mediated by a new virtual particle: charm particle.

## List of B mesons

B mesons											
Particle	Symbol	Anti-particle	Quark content	Charge	Isospin (I)	Spin and parity (J <sup>P</sup> )	Rest mass (MeV/c <sup>2</sup> )	S	C	B'	Mean lifetime (s)
Strange B meson	B <sub>s</sub> <sup>0</sup>	$\bar{B}_s^0$	$s\bar{b}$	0	0	0 <sup>-</sup>	5,366.3 ± 0.6	-1	0	+1	$1.470^{+0.027}_{-0.026} \times 10^{-12}$
B meson	B <sup>0</sup>	$\bar{B}^0$	$d\bar{b}$	0	1/2	0 <sup>-</sup>	5,279.53 ± 0.33	0	0	+1	$(1.530 \pm 0.009) \times 10^{-12}$
Charmed B meson	B <sub>c</sub> <sup>+</sup>	$B_c^-$	$c\bar{b}$	+1	0	0 <sup>-</sup>	6,276 ± 4	0	+1	+1	$(0.46 \pm 0.07) \times 10^{-12}$
B meson	B <sup>+</sup>	B <sup>-</sup>	$u\bar{b}$	+1	1/2	0 <sup>-</sup>	5,279.15 ± 0.31	0	0	+1	$(1.638 \pm 0.011) \times 10^{-12}$



SM expected to be dominant  
(tree dominated)

[semi/leptonic dec.] **Metrology of SM**  
but there are unexpected exceptions...



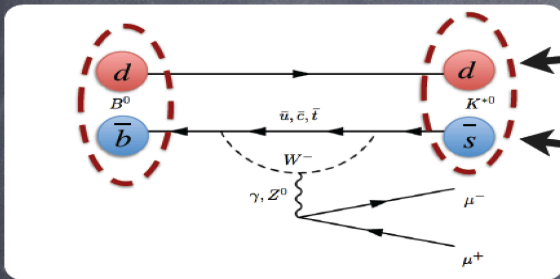
SM and NP competing  
(loop dominated)

[rare processes] **Constraints on NP**  
**FCNC Forbidden in SM at tree level**

Subclass of observables (LFUV)  
with little hadronic unc. **IN SM.**

→ **Smoking guns of NP**

# The $B^0 \rightarrow K^{*0} \mu \mu$



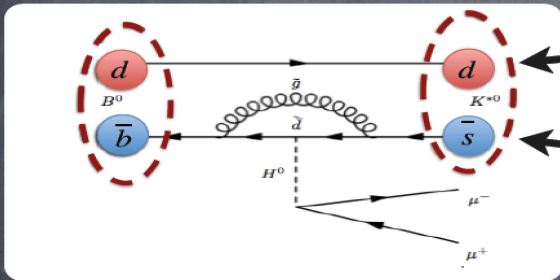
This quark stays the same  
"spectator quark"

This quark changes flavor  
without changing the charge  
"FCNC"

$q_i$  and  $q_j$  change charge  
when they change flavor



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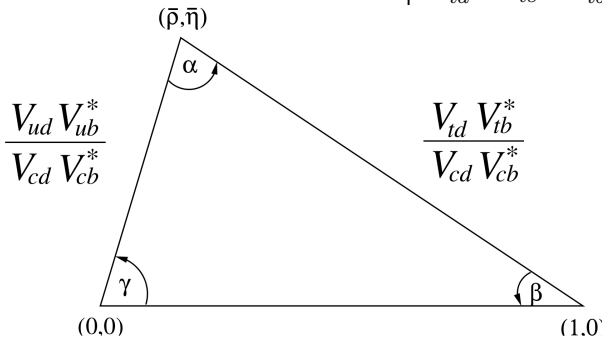
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# Assessing the CKM paradigm in the SM

In SM weak charged transitions mix quarks of different generations

Encoded in unitary CKM matrix  $V_{CKM} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix}$ . From off-diagonal  $V_{CKM}^\dagger V_{CKM} = 1$



- 3 generations  $\implies$  **1 phase**, only source of CP-violation in SM
- Wolfenstein parametrisation, defined to hold to all orders in  $\lambda$  and rephasing invariant

$$\lambda^2 = \frac{|V_{us}|^2}{|V_{ud}|^2 + |V_{us}|^2}$$

$$A^2\lambda^4 = \frac{|V_{cb}|^2}{|V_{ud}|^2 + |V_{us}|^2}$$

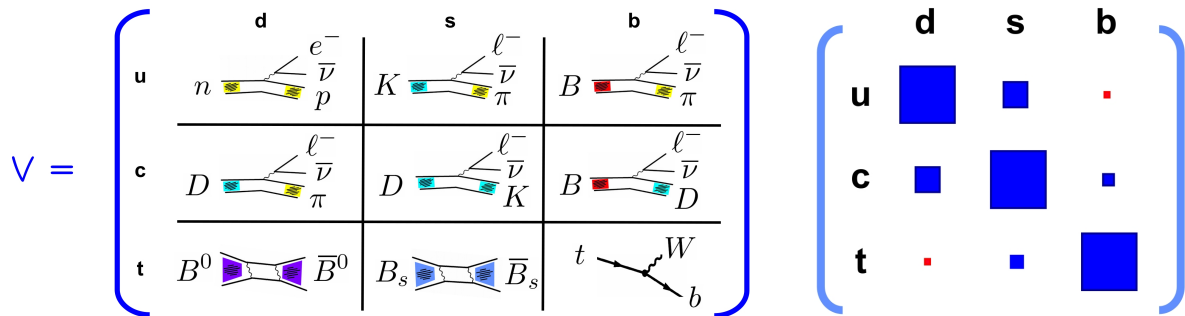
$$\bar{\rho} + i\bar{\eta} = -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}$$

$\implies$  4 parameters describing the CKM matrix,

to determine from data under the SM hyp.



# Extracting the CKM parameters



- $CP$ -invariance of QCD to build hadronic-indep.  $CP$ -violating asym. or to determine hadronic inputs from data
- Statistical framework to combine data and assess uncertainties

	Exp. uncert.	Theoretical uncertainties	
Tree	$B \rightarrow DK$ $\gamma$	$B(b) \rightarrow D(c)\ell\nu$	$ V_{cb} $ vs form factor (OPE)
		$B(b) \rightarrow \pi(u)\ell\nu$	$ V_{ub} $ vs form factor (OPE)
		$M \rightarrow \ell\nu$	$ V_{UD} $ vs $f_M$ (decay cst)
Loop	$B \rightarrow J/\Psi K_s$ $\beta$	$\epsilon_K$ ( $K$ mixing)	$(\bar{\rho}, \bar{\eta})$ vs $B_K$ (bag parameter)
	$B \rightarrow \pi\pi, \rho\rho$ $\alpha$	$\Delta m_d, \Delta m_s$ ( $B_d, B_s$ mixings)	$ V_{tb}V_{tq} $ vs $f_B^2 B_B$ (bag param)

CKM matrix within a frequentist framework ( $\simeq \chi^2$  minim.)

data = weak  $\otimes$  QCD  $\implies$  Need for hadronic inputs (mostly lattice)

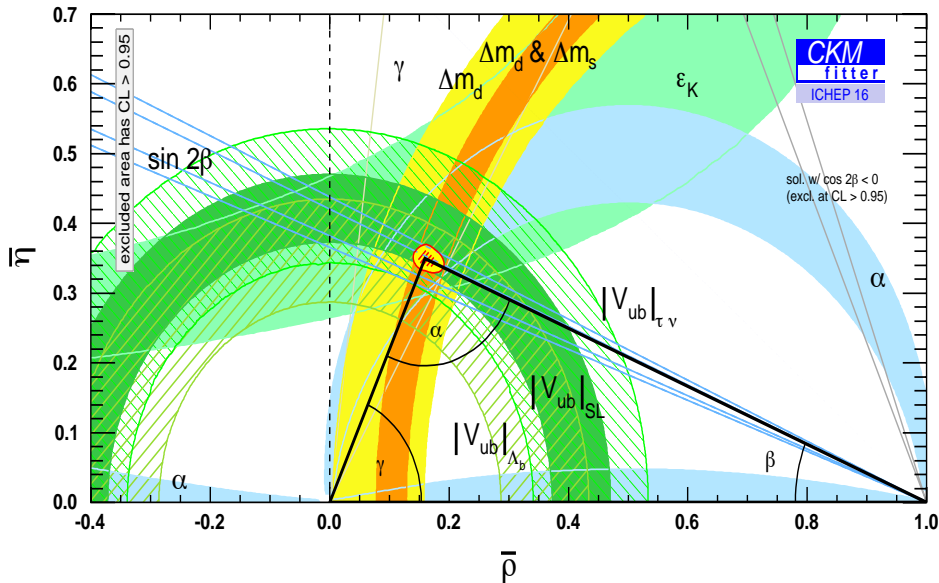
$ V_{ud} $	superallowed $\beta$ decays	PRC91, 025501 (2015)
$ V_{us} $	$K \rightarrow \pi \ell \nu$ (Flavianet)	$f_+(0) = 0.9681 \pm 0.0014 \pm 0.0022$
	$K \rightarrow \ell \nu, \tau \rightarrow K \nu_\tau$	$f_K = 155.2 \pm 0.2 \pm 0.6 \text{ MeV}$
$ V_{us}/V_{ud} $	$K \rightarrow \ell \nu / \pi \rightarrow \ell \nu, \tau \rightarrow K \nu_\tau / \tau \rightarrow \pi \nu_\tau$	$f_K/f_\pi = 1.1959 \pm 0.0010 \pm 0.0029$
$\epsilon_K$	PDG	$\hat{B}_K = 0.7567 \pm 0.0021 \pm 0.0123$
$ V_{ub} $	inclusive and exclusive	(see later)
$ V_{cb} $	inclusive and exclusive	(see later)
$\Delta m_d$	$B_d - \bar{B}_d$ mixing	$B_{B_s}/B_{B_d} = 1.007 \pm 0.014 \pm 0.014$
$\Delta m_s$	$B_s - \bar{B}_s$ mixing	$B_{B_s} = 1.320 \pm 0.016 \pm 0.030$
$\beta$	$J/\psi K^{(*)}$	
$\alpha$	$\pi\pi, \rho\pi, \rho\rho$	isospin
$\gamma$	$B \rightarrow D^{(*)} K^{(*)}$	GLW/ADS/GGSZ
$B \rightarrow \tau \nu$	$(1.08 \pm 0.21) \cdot 10^{-4}$	$f_{B_s}/f_{B_d} = 1.205 \pm 0.003 \pm 0.006$
		$f_{B_s} = 225.1 \pm 1.5 \pm 2.0 \text{ MeV}$

# How to search for New Physics

Frequentist approach (CKMfitter). See also UTfit approach.

Look for inconsistent determinations of UT-angles, UT- sides.

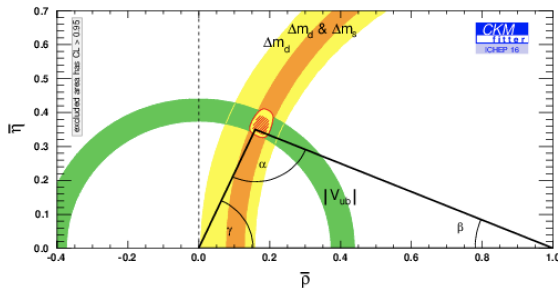
Small Yellow region: preferred region by all observables (C.L. < 95.45%)



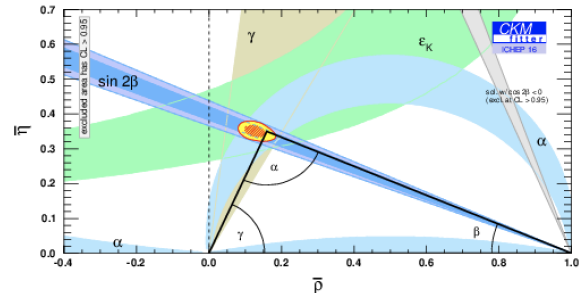
$$\begin{aligned}
 &|V_{ud}|, |V_{us}| \\
 &|V_{cb}|_{SL}, |V_{ub}|_{SL} \\
 &B \rightarrow \tau \nu \\
 &\Delta m_d, \Delta m_s \\
 &\epsilon_K \\
 &\sin 2\beta \\
 &\alpha \\
 &\gamma
 \end{aligned}$$

$$\begin{aligned}
 A &= 0.825^{+0.007}_{-0.012} \\
 \lambda &= 0.2251^{+0.0003}_{-0.0003} \\
 \bar{\rho} &= 0.160^{+0.008}_{-0.007} \\
 \bar{\eta} &= 0.350^{+0.006}_{-0.006}
 \end{aligned}$$

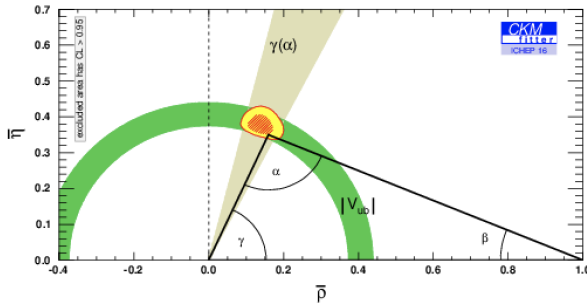
# Consistency of the CKM mechanism: Many different determinations



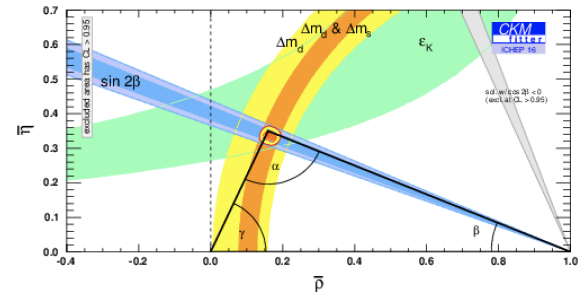
*CP*-conserving only



*CP*-violating only



Tree only



Loop only

Validity of Kobayashi-Maskawa picture of *CP* violation: No significant deviation observed

$V_{ub}$  and  $V_{cb}$  affects the identification of NP.

Problem: Inclusive and Exclusive determinations in tension (different theory & experiment).

**TABLE 1.** Status of exclusive and inclusive  $|V_{cb}|$  determinations

Exclusive decays	$ V_{cb}  \times 10^3$
$\bar{B} \rightarrow D^* l \bar{\nu}$	
FLAG 2016 [23]	$39.27 \pm 0.49_{\text{exp}} \pm 0.56_{\text{latt}}$
FNAL/MILC 2014 (Lattice $\omega = 1$ ) [20]	$39.04 \pm 0.49_{\text{exp}} \pm 0.53_{\text{latt}} \pm 0.19_{\text{QED}}$
HFAG 2012 (Sum Rules) [27, 28, 21]	$41.6 \pm 0.6_{\text{exp}} \pm 1.9_{\text{th}}$
$\bar{B} \rightarrow D l \bar{\nu}$	
Global fit 2016 [35]	$40.49 \pm 0.97$
Belle 2015 (CLN) [34, 29]	$39.86 \pm 1.33$
Belle 2015 (BGL) [34, 29, 33]	$40.83 \pm 1.13$
FNAL/MILC 2015 (Lattice $\omega \neq 1$ ) [29]	$39.6 \pm 1.7_{\text{exp+QCD}} \pm 0.2_{\text{QED}}$
HPQCD 2015 (Lattice $\omega \neq 1$ ) [33]	$40.2 \pm 1.7_{\text{latt+stat}} \pm 1.3_{\text{syst}}$
<b>Inclusive decays</b>	
Gambino et al. 2016 [100]	$42.11 \pm 0.74$
HFAG 2014 [24]	$42.46 \pm 0.88$
<b>Indirect fits</b>	
UTfit 2016 [101]	$41.7 \pm 1.0$
CKMfitter 2015 ( $3\sigma$ ) [102]	$41.80^{+0.97}_{-1.64}$

$|V_{cb}|$

- Most precise determinations:
  - 1st) Lattice determination in exclusive  $B \rightarrow D^*$  channel,
  - 2nd) inclusive measurements,
  - 3rd) semileptonic  $B \rightarrow D$ .
- Tension among latest inclusive and latest  $B \rightarrow D^*$  is  $3\sigma$ . NO tension if Sum Rules used.
- Indirect Fit using CKM, CPV and flavour data (except direct decays) closer to **inclusive determination**.

Refs from 1610.04387 (Giulia Ricciardi)

**TABLE 2.** Status of exclusive  $|V_{ub}|$  determinations and indirect fits

Exclusive decays	$ V_{ub}  \times 10^3$
$\bar{B} \rightarrow \pi l \bar{\nu}_l$	
FLAG 2016 [23]	$3.62 \pm 0.14$
Fermilab/MILC 2015 [138]	$3.72 \pm 0.16$
RBC/UKQCD 2015 [139]	$3.61 \pm 0.32$
HFAG 2014 (lattice) [24]	$3.28 \pm 0.29$
HFAG 2014 (LCSR) [145, 24]	$3.53 \pm 0.29$
Imsong et al. 2014 (LCSR, Bayes an.) [150]	$3.32^{+0.26}_{-0.22}$
Belle 2013 (lattice + LCSR) [133]	$3.52 \pm 0.29$
$\bar{B} \rightarrow \omega l \bar{\nu}_l$	
Bharucha et al. 2015 (LCSR) [153]	$3.31 \pm 0.19_{\text{exp}} \pm 0.30_{\text{th}}$
$\bar{B} \rightarrow \rho l \bar{\nu}_l$	
Bharucha et al. 2015 (LCSR) [153]	$3.29 \pm 0.09_{\text{exp}} \pm 0.20_{\text{th}}$
$\Lambda_b \rightarrow p l \nu_\mu$	
LHCb (PDG) [154]	$3.27 \pm 0.23$
<b>Indirect fits</b>	
UTfit (2016) [101]	$3.74 \pm 0.21$
CKMfitter (2015, $3\sigma$ ) [102]	$3.71^{+0.17}_{-0.20}$

**Inclusive decays ( $|V_{ub}| \times 10^3$ )**

	ADFR [190, 191, 192]	BNLP [193, 194, 195]	DGE [196]	GGOU [197]
HFAG 2014 [24]	$4.05 \pm 0.13^{+0.18}_{-0.11}$	$4.45 \pm 0.16^{+0.21}_{-0.22}$	$4.52 \pm 0.16^{+0.15}_{-0.16}$	$4.51 \pm 0.16^{+0.12}_{-0.15}$

$$|V_{ub}|$$

- Less precise module of CKM matrix elements.
- Inclusive determination more challenging theoretically than  $V_{cb}$
- Lattice best exclusive determination  $B \rightarrow \pi$  ( $B \rightarrow \rho, \omega$ ) systematically lower.
- Tension exclusive-inclusive at 2-3 $\sigma$ .
- Indirect Fit using CKM, CPV and flavour data (except direct decays) closer to **exclusive determination**.
- $|V_{ub}|$  from  $\mathcal{B}(B^+ \rightarrow \ell^+ \nu_\ell)$  consistent with both inclusive and exclusive (not yet competitive).

# Is there a New Physics solution for those tensions exclusive/inclusive?

Apparently there seems NOT to exist a NP solution [A. Crivellin et al.].

- Inclusive always larger than exclusive determinations (in both  $|V_{cb}|$  and  $|V_{ub}|$ )
- EFT approach to test it in a model independent way.

Two possibilities NP can affect CKM from tree-level B decays:

⇒ via additional four-fermion operators (generated at tree level)

$$\mathcal{O}_R^S = \bar{\ell} P_L \nu \bar{q} P_R b \quad \mathcal{O}_L^S = \bar{\ell} P_L \nu \bar{q} P_L b \quad \mathcal{O}_L^T = \bar{\ell} \sigma_{\mu\nu} P_L \nu \bar{q} \sigma^{\mu\nu} P_L b$$

$q = u, c$ . Lack of interference with SM at zero-recoil:

- Exclusive:  $|C_L^T|^2$  (all),  $|C_R^S + C_L^S|^2$  ( $B \rightarrow D(\pi)$ ),  $|C_R^S - C_L^S|^2$  ( $B \rightarrow D^*(\rho)$ ).
- Inclusive:  $|C_L^T|^2$  (all),  $|C_R^S|^2 + |C_L^S|^2$ .

→ No way to explain Inclusive > Exclusive.

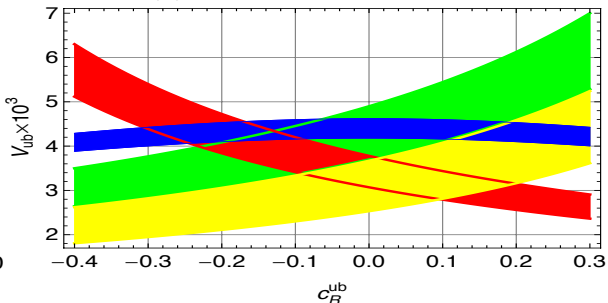
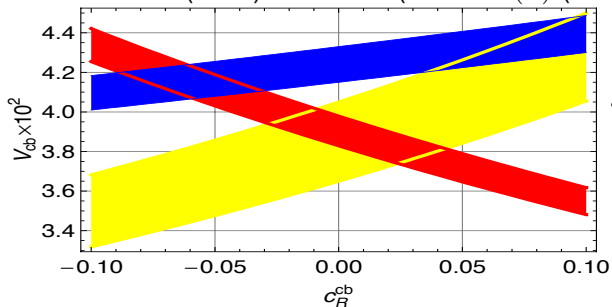
⇒ via operators modifying W-quark couplings (loop-effect)

⇒ affect the charged current after W boson is integrated out

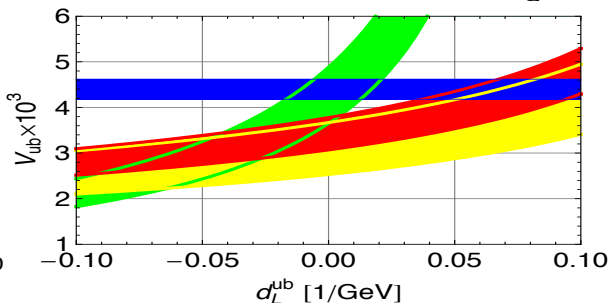
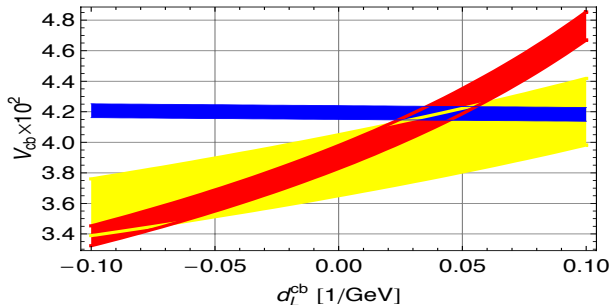
$$H_{eff} = \frac{4G_F V_{qb}}{\sqrt{2}} \bar{\ell} \gamma^\mu P_L \nu \left( (1 + c_L^{qb}) \bar{q} \gamma_\mu P_L b + g_L^{qb} \bar{q} i \overleftrightarrow{D}_\mu P_L b + d_L^{qb} i \partial^\nu (\bar{q} i \sigma_{\mu\nu} P_L b) + L \rightarrow R \right)$$

$$V_{cb} \rightarrow V_{cb}(c_{L,R}^{cb}, d_{L,R}^{cb}, g_{L,R}^{cb}) \quad \text{and} \quad V_{ub} \rightarrow V_{ub}(c_{L,R}^{ub}, d_{L,R}^{ub}, g_{L,R}^{ub})$$

Only  $c_R$  can produce differences in exclusive and inclusive but not agreement between incl. (blue) and excl. ( $B \rightarrow D^*(\pi)$  (Red), ( $B \rightarrow D(\rho)$  (Yellow), ( $B \rightarrow \tau\nu$  (Green).



Also the other coefficients fail to get a global agreement, except maybe  $d_L^{qb}$



$d_L^{qb}$ : Agreement between INCL. and EXCL., BUT tension with  $B \rightarrow \tau\nu$ . Also too large  $Z - b\bar{b}$  coupling.



$\Rightarrow \beta$ :

- Mode  $B^0 \rightarrow J/\psi K_S^0$  access to  $\varphi_d$  (phase between decay and mixing+decay):  
SM: decay dominated by single CKM phase (neglect penguins)+  $B_0$ -mixing: top-top box diagram.

$$\sin 2\beta^{\text{meas}} = 0.691 \pm 0.017 < \sin 2\beta^{\text{indirect}} = 0.740_{-0.025}^{+0.020}$$

$\rightarrow$  fit to  $B \rightarrow J/\psi P + \text{SU}(3)$  and SCET  $\Rightarrow$  penguin small.

$\rightarrow$  2nd solution of  $\beta$  disfavoured from  $B^0 \rightarrow J/\psi K^{*0}$ .

$\rightarrow \sin 2\beta^{q\bar{q}s} = 0.655 \pm 0.032$  from loop-induced  $b \rightarrow q\bar{q}s$  transitions.

$\Rightarrow \alpha$

- $b \rightarrow u$  transitions ( $B \rightarrow \rho\rho, \pi\pi, \pi\rho$ ) polluted by penguins.
- Challenging for th & exp. Unitarity used. Isospin analysis for  $B \rightarrow \pi\pi$  using all channels.

$$\alpha^{\text{measured}} = (88.8_{-2.3}^{+2.3})^0 \quad \text{versus} \quad \alpha^{\text{fit}} = (92.1_{-1.1}^{+1.5})^0$$

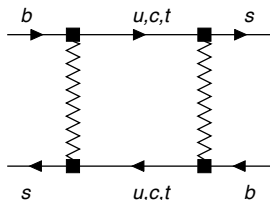
$\Rightarrow \gamma$

- Less precisely known angle. Tree  $B \rightarrow DK$  decays; interference between  $b \rightarrow c$  (CA) and  $b \rightarrow u$  (CS) topologies. Important test of CKM paradigm. Different methods (GLW, GGSZ, ADS).

$$\gamma^{\text{measured}} = (72.1_{-5.8}^{+5.4})^0 (\text{B-factories} + \text{LHCb}) \quad \text{versus} \quad \gamma^{\text{fit}} = (65.31_{-2.5}^{+1.0})^0$$

# Bounding New Physics via FCNC ( $\Delta F = 2$ )

# $\Delta F = 2$ : neutral-meson oscillation observables



$$i \frac{d}{dt} \begin{pmatrix} |B_q(t)\rangle \\ |\bar{B}_q(t)\rangle \end{pmatrix} = \left( M^q - \frac{i}{2} \Gamma^q \right) \begin{pmatrix} |B_q(t)\rangle \\ |\bar{B}_q(t)\rangle \end{pmatrix}$$

- Non-hermitian Hamiltonian (only 2 states) but  $M$  and  $\Gamma$  hermitian
- Mixing due to non-diagonal terms  $M_{12}^q - i\Gamma_{12}^q/2$

$\implies$  Diagonalisation: physical  $|B_{H,L}^q\rangle = p|B_q\rangle \mp q|\bar{B}_q\rangle$

of masses  $M_{H,L}^q$ , widths  $\Gamma_{H,L}^q$

In terms of  $M_{12}^q$ ,  $|\Gamma_{12}^q|$  and  $\phi_q = \arg\left(-\frac{M_{12}^q}{\Gamma_{12}^q}\right)$  and determined from:

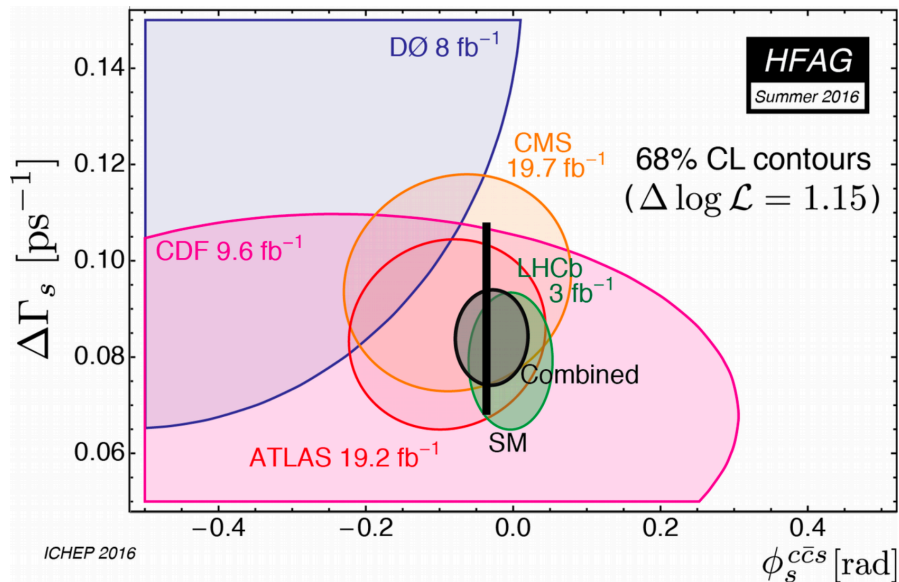
- Mass difference  $\Delta m_q = M_H^q - M_L^q$
- Width difference  $\Delta\Gamma_q = \Gamma_L^q - \Gamma_H^q$
- $a_{SL}^q = \frac{\Gamma(\bar{B}_q(t) \rightarrow \ell^+ \nu X) - \Gamma(B_q(t) \rightarrow \ell^- \nu X)}{\Gamma(\bar{B}_q(t) \rightarrow \ell^+ \nu X) + \Gamma(B_q(t) \rightarrow \ell^- \nu X)}$  measures CP violation in mixing

Accessible for  $B_d$  and  $B_s$  at Babar, Belle, CDF, DØ, LHCb... Model-independent parametrisation under the assumption that NP only changes modulus and phase of  $M_{12}^d$  and  $M_{12}^s$  A. Lenz, U. Nierste, CKMfitter

$$M_{12}^q = (M_{12}^q)_{SM} \times \Delta_q \quad \Delta_q = |\Delta_q| e^{i\phi_q^\Delta} = (1 + h_q e^{2i\sigma_q})$$

Use  $\Delta m_d$ ,  $\Delta m_s$ ,  $\beta$ ,  $\phi_s$ ,  $a_{SL}^d$ ,  $a_{SL}^s$ ,  $\Delta\Gamma_s$  to constrain  $\Delta_d$  and  $\Delta_s$

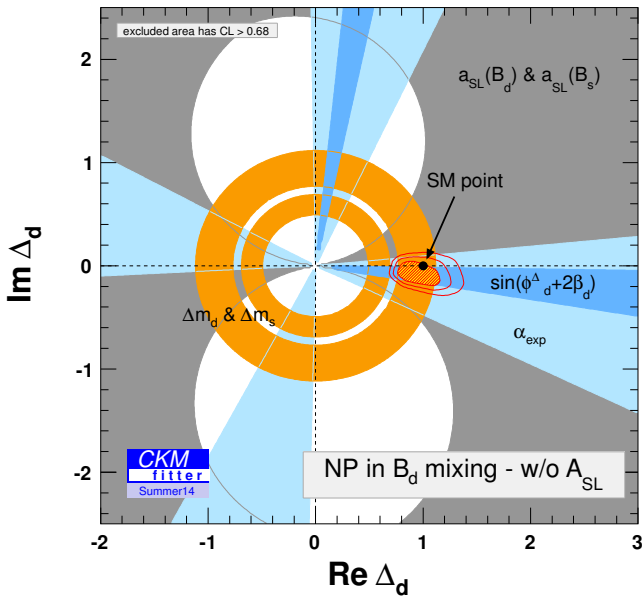
# NP in $B_s^0$ oscillations?



Experimental errors are still larger than theory ones for  $\phi_s$  ....  
...but no much room left for NP here.

# $\Delta F = 2$ : $B_d$ mixing

NP phases shift  $2\beta \rightarrow 2\beta + \phi_d^\Delta$  in mixing-induced CP asymm. in  $B^0 \rightarrow J/\psi K_s^0$  and  $a_{sl}^d$



[Constraints @ 68% CL]

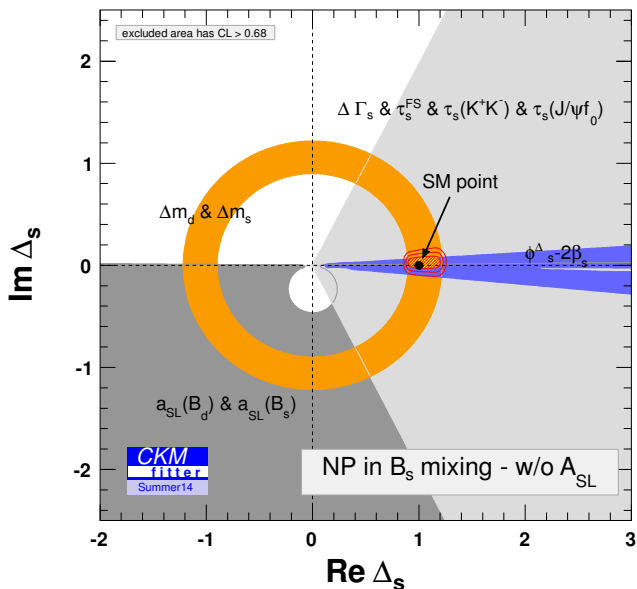
- Dominant constraint from  $\beta$  and  $\Delta m_d$
- Good agreement with other constraints ( $\alpha$ ,  $a_{SL}^{d,s}$ )
- Compatible with SM

$$\Delta_d = 0.94_{-0.15}^{+0.18} + i \cdot (-0.11_{-0.05}^{+0.11})$$

$$2D \text{ SM hyp. } (\Delta_d = 1 + i \cdot 0): 0.9 \sigma$$

# $\Delta F = 2$ : $B_s$ mixing

NP phases shift  $2\beta_s \rightarrow 2\beta_s - \phi_s^\Delta$  in mixing-induced CP asymm. in  $B_s^0 \rightarrow J/\psi\phi$  and  $a_{sl}^s$



[Constraints @ 68% CL]

- Dominant constraints from  $\Delta m_s$  and  $\phi_s$
- $\phi_s$  favours SM situation
- $A_{\text{SL}}$ , combining  $a_{\text{SL}}^d$  and  $a_{\text{SL}}^s$ , measured by  $D\bar{0}$  not included

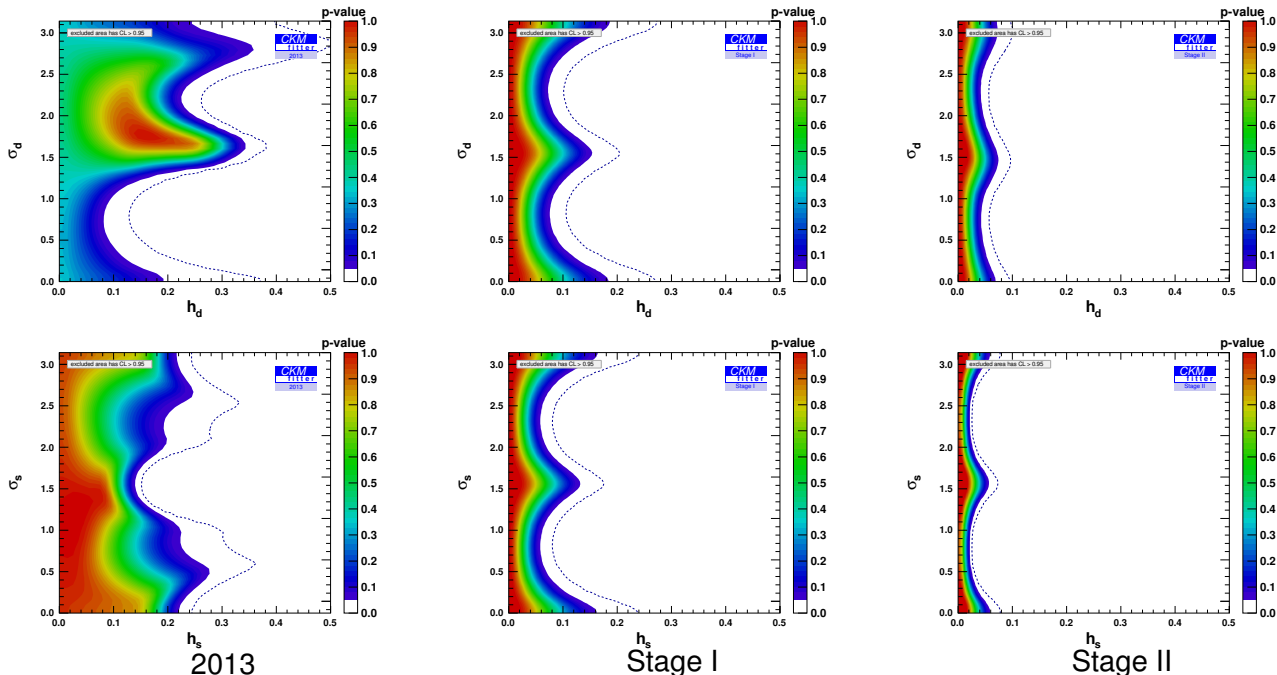
$$\Delta_s = 1.05^{+0.14}_{-0.13} + i \cdot (-0.03^{+0.04}_{-0.04})$$

$$2\text{D SM hyp } (\Delta_s = 1 + i \cdot 0): 0.3 \sigma$$

What are the bounds/prospects for New Physics at **Stage I**:  $7 \text{ fb}^{-1}$  LHCb data +  $5 \text{ ab}^{-1}$  Belle II and **Stage II**:  $50 \text{ fb}^{-1}$  LHCb data +  $50 \text{ ab}^{-1}$  Belle II

$$\Delta F = 2: \text{ bounds on } h_{d,s} = |\Delta_{d,s} - 1|$$

What are the bounds/prospects for New Physics at **Stage I**:  $7 \text{ fb}^{-1}$  LHCb data +  $5 \text{ ab}^{-1}$  Belle II and **Stage II**:  $50 \text{ fb}^{-1}$  LHCb data +  $50 \text{ ab}^{-1}$  Belle II



# Probing New Physics via Rare B decays:

Present situation

concerning New Physics in  $b \rightarrow s\ell\ell$

and in  $b \rightarrow c\tau\nu$



Messages to take home of this talk:

For the first time we see **Coherence** on a large set of **deviations/anomalies**

Nature seems to point **towards** first signals of **violation** of **lepton flavour universality**  
...SM predicts LFU: interactions between gauge bosons and leptons  
being the same for different lepton families.

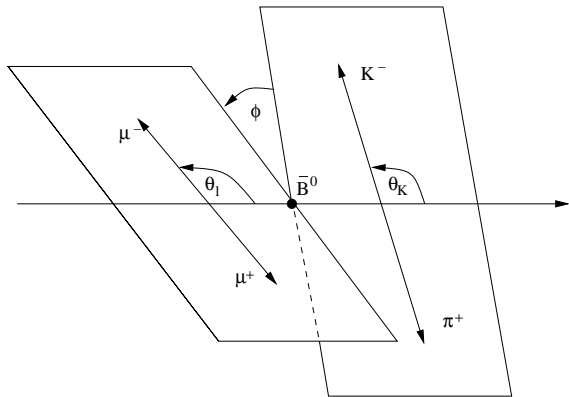
... soon we will have more observables to confirm it.

We still need a bit more **DATA** to solve some internal tensions and a few specific **NEW** inputs

The path  
to the anomalies  
Why now? why there?

# The starting point: Angular distribution of $B \rightarrow K^*(\rightarrow K\pi)\mu\mu$

4-body angular distribution  $\bar{B}_d \rightarrow \bar{K}^{*0}(\rightarrow K^-\pi^+)\mu^+\mu^-$  with three angles, invariant mass of lepton-pair  $q^2$ .



$\theta_\ell$ : Angle of emission between  $\bar{K}^{*0}$  and  $\mu^-$  in di-lepton rest frame.

$\theta_K$ : Angle of emission between  $\bar{K}^{*0}$  and  $K^-$  in di-meson rest frame.

$\phi$ : Angle between the two planes.

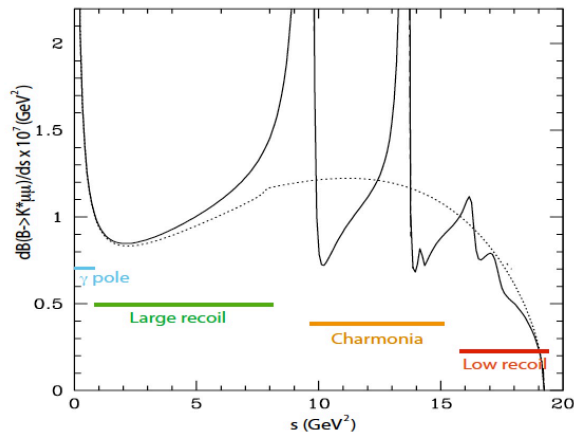
$q^2$ : dilepton invariant mass square.

$$\frac{d^4\Gamma(\bar{B}_d)}{dq^2 d \cos \theta_\ell d \cos \theta_K d\phi} = \frac{9}{32\pi} \sum_i J_i(q^2) f_i(\theta_\ell, \theta_K, \phi)$$

$J_i(q^2)$  function of transversity (helicity) amplitudes of  $K^*$ :  $A_{\perp,\parallel,0}^{L,R}$  but also  $A_t, A_S$

$$A_{\perp,\parallel,0}^{L,R} = C_i \text{ (short)} \times \text{Hadronic quantities (long)}$$

# Four regions in $q^2$ for the angular distribution $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$



Four regions in  $q^2$ :

- **very large  $K^*$ -recoil** ( $4m_\ell^2 < q^2 < 1 \text{ GeV}^2$ ):  $\gamma$  almost real.
- **large  $K^*$ -recoil/low- $q^2$** :  $E_{K^*} \gg \Lambda_{QCD}$  or  $4m_\ell^2 \leq q^2 < 9 \text{ GeV}^2$ : LCSR-FF
- **charmonium region** ( $q^2 = m_{J/\Psi}^2, \dots$ ) **between**  $9 < q^2 < 14 \text{ GeV}^2$ .
- **low  $K^*$ -recoil/large- $q^2$** :  $E_{K^*} \sim \Lambda_{QCD}$  or  $14 < q^2 \leq (m_B - m_{K^*})^2$ : LQCD-FF

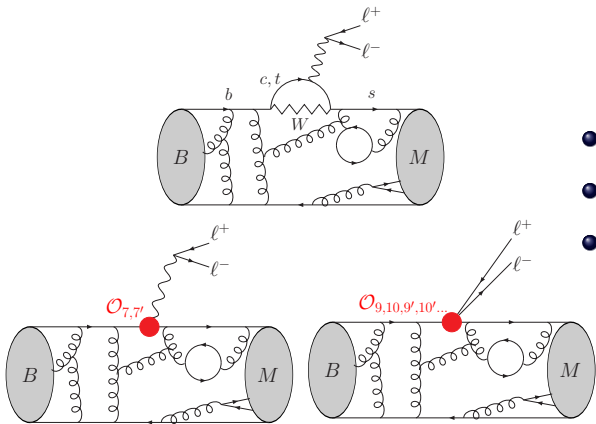
The amplitude of  $B \rightarrow K^* \mu^+ \mu^-$

$$b \rightarrow s\gamma(^*) : \mathcal{H}_{\Delta F=1}^{SM} \propto \sum V_{ts}^* V_{tb} \mathcal{C}_i \mathcal{O}_i + \dots$$

separate short and long distances ( $\mu_b = m_b$ )

- $\mathcal{O}_7 = \frac{e}{16\pi^2} m_b (\bar{s} \sigma^{\mu\nu} \mathbf{P}_R b) \mathbf{F}_{\mu\nu}$  [real or soft photon]
- $\mathcal{O}_9 = \frac{e^2}{16\pi^2} (\bar{s} \gamma_\mu \mathbf{P}_L b) (\bar{\ell} \gamma^\mu \ell)$
- $\mathcal{O}_{10} = \frac{e^2}{16\pi^2} (\bar{s} \gamma_\mu \mathbf{P}_L b) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$

$$\mathcal{C}_7^{SM} = -0.29, \quad \mathcal{C}_9^{SM} = 4.1, \quad \mathcal{C}_{10}^{SM} = -4.3$$

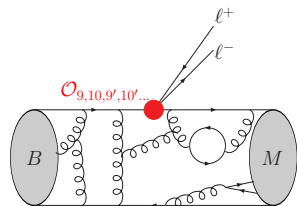


NP changes short-distance  $\mathcal{C}_i = \mathcal{C}_i^{SM} + \mathcal{C}_i^{NP}$  for SM or involve additional operators  $\mathcal{O}_i$

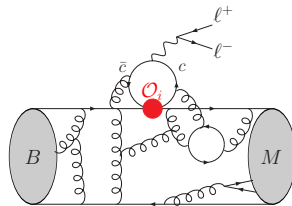
- Chirally flipped ( $W \rightarrow W_R$ )  $\mathcal{O}_{7'} \propto (\bar{s} \sigma^{\mu\nu} P_L b) F_{\mu\nu}$ ,  $\mathcal{O}_{9'} \propto (\bar{s} \gamma_\mu P_R b) (\bar{\ell} \gamma^\mu \ell) \dots$
- (Pseudo)scalar ( $W \rightarrow H^+$ )  $\mathcal{O}_S \propto (\bar{s} P_R b) (\bar{\ell} \ell)$ ,  $\mathcal{O}_P \propto (\bar{s} P_R b) (\bar{\ell} \gamma_5 \ell)$
- Tensor operators ( $\gamma \rightarrow T$ )  $\mathcal{O}_T \propto \bar{s} \sigma_{\mu\nu} (1 - \gamma_5) b \bar{\ell} \sigma_{\mu\nu} \ell$

$$A(B \rightarrow K^* \ell \ell) = \frac{G_F \alpha}{\sqrt{2} \pi} V_{tb} V_{ts}^* [(A_\mu + T_\mu) \bar{u}_\ell \gamma^\mu v_\ell + B_\mu \bar{u}_\ell \gamma^\mu \gamma_5 v_\ell]$$

Form factors (local)



Charm loop (non-local)



1 Local contributions: 7 form factors  $\Rightarrow V, A_{0,1,2}, T_{1,2,3}$

$$A_\mu = -\frac{2m_b q^\nu}{q^2} C_7 \langle V_\lambda | \bar{s} \sigma_{\mu\nu} P_R b | B \rangle + C_9 \langle V_\lambda | \bar{s} \gamma_\mu P_L b | B \rangle$$

$$B_\mu = C_{10} \langle V_\lambda | \bar{s} \gamma_\mu P_L b | B \rangle \quad \lambda : K^* \text{ helicity}$$

2 Non-local contributions (charm loops): hadronic contribs.

$T_\mu$  contributes like  $O_{7,9}$ , but depends on  $q^2$  and external states

# Form Factors to parametrize $B \rightarrow K^*$

⇒ Different sets of form factors available: KMPW (LCSR, low  $q^2$ ) or BSZ (fit LCSR + lattice).

- low  $K^*$  recoil: lattice, with correlations

[Horgan, Liu, Meinel, Wingate]

- large  $K^*$  recoil: B-meson Light-Cone Sum Rule,

- large error bars and no correlations

[Khodjamirian, Mannel, Pivovarov, Wang]

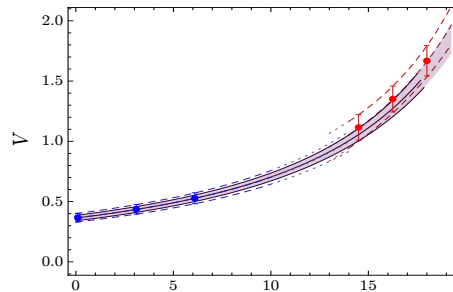
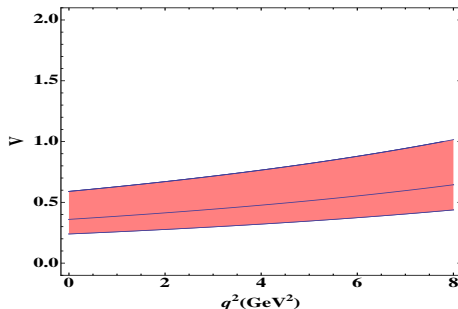
- reduce uncertainties and restore correlations among form factors

using EFT correlations arising in  $m_b \rightarrow \infty$ , e.g., at large  $K^*$  recoil

$$\xi_{\perp} = \frac{m_B}{m_B + m_{K^*}} V = \frac{m_B + m_{K^*}}{2E_{K^*}} A_1 = T_1 = \frac{m_B}{2E_{K^*}} T_2 \quad +O(\alpha_s, \Lambda/m_b) \text{ corr}$$

- Alternatively: fit to  $K^*$ -meson LCSR + lattice, small errors bars, correlations

[Bharucha, Straub, Zwicky]





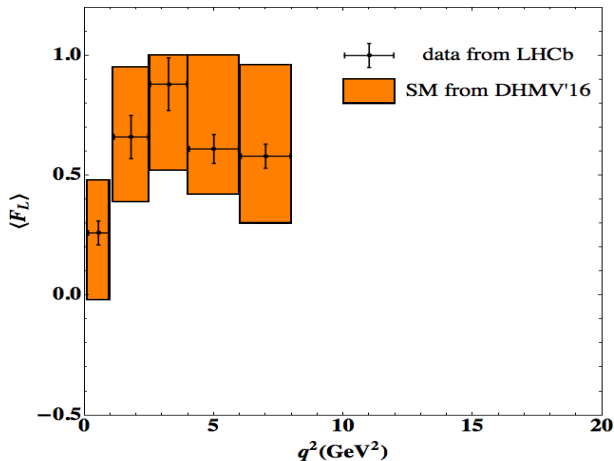
Traditional experimental approach to

$$B \rightarrow K^* \mu^+ \mu^-$$

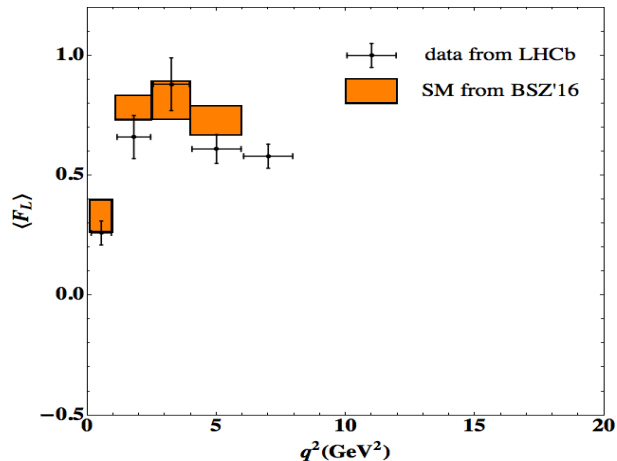
For a longtime only  $\frac{dB}{dq^2}, F_L, A_{FB}$  were the target of traditional analysis.

$$\frac{d^2\Gamma}{dq^2 d\cos\theta_\ell} = - \left( \frac{3}{4} \mathbf{F}_L \sin^2\theta_\ell + \frac{3}{8} (1 - \mathbf{F}_L) (1 + \cos^2\theta_\ell) + \mathbf{A}_{FB} \cos\theta_\ell \right) \frac{d\Gamma}{dq^2}$$

FF: KMPW



FF: BSZ



....in these observables hadronic uncertainties mask any possible sign of New Physics.

## Two key observations:

- **THEORY:** At leading order in  $1/m_b$ ,  $\alpha_s$  and large-recoil ( $E_{K^*} \rightarrow \infty$ ) FF fulfill:

$$\frac{m_B}{m_B + m_V} \mathbf{V}(\mathbf{q}^2) = \frac{m_B + m_V}{2E} \mathbf{A}_1(\mathbf{q}^2) = \mathbf{T}_1(\mathbf{q}^2) = \frac{m_B}{2E} \mathbf{T}_2(\mathbf{q}^2) = \xi_{\perp}(\mathbf{q}^2)$$

$$\frac{m_B + m_V}{2E} \mathbf{A}_1(\mathbf{q}^2) - \frac{m_B - m_V}{m_B} \mathbf{A}_2(\mathbf{q}^2) = \frac{m_B}{2E} \mathbf{T}_2(\mathbf{q}^2) - \mathbf{T}_3(\mathbf{q}^2) = \xi_{\parallel}(\mathbf{q}^2)$$

consequently the transversity amplitudes:

$$A_{\perp}^{L,R} = \sqrt{2} N m_B (1 - \hat{s}) \left[ (\mathcal{C}_9^{\text{eff}} + \mathcal{C}_9^{\text{eff}'}) \mp (\mathcal{C}_{10} + \mathcal{C}'_{10}) + \frac{2\hat{m}_b}{\hat{s}} (\mathcal{C}_7^{\text{eff}} + \mathcal{C}_7^{\text{eff}'}) \right] \xi_{\perp}(E_{K^*})$$

$$A_{\parallel}^{L,R} = -\sqrt{2} N m_B (1 - \hat{s}) \left[ (\mathcal{C}_9^{\text{eff}} - \mathcal{C}_9^{\text{eff}'}) \mp (\mathcal{C}_{10} - \mathcal{C}'_{10}) + \frac{2\hat{m}_b}{\hat{s}} (\mathcal{C}_7^{\text{eff}} - \mathcal{C}_7^{\text{eff}'}) \right] \xi_{\perp}(E_{K^*})$$

$$A_0^{L,R} = -\frac{N m_B (1 - \hat{s})^2}{2\hat{m}_{K^*} \sqrt{\hat{s}}} \left[ (\mathcal{C}_9^{\text{eff}} - \mathcal{C}_9^{\text{eff}'}) \mp (\mathcal{C}_{10} - \mathcal{C}'_{10}) + 2\hat{m}_b (\mathcal{C}_7^{\text{eff}} - \mathcal{C}_7^{\text{eff}'}) \right] \xi_{\parallel}(E_{K^*})$$

- **EXPERIMENT:** One can get access to new observables using the “folding technique”. Identify  $\phi \leftrightarrow -\phi$  and  $\theta_{\ell} \leftrightarrow \pi - \theta_{\ell}$  leads to

$$d\Gamma = d\Gamma(\hat{\phi}) + d\Gamma(-\hat{\phi}) + d\Gamma(\hat{\phi}, \pi - \hat{\theta}_{\ell}) + d\Gamma(-\hat{\phi}, \pi - \hat{\theta}_{\ell})$$

# A new approach: new observables

One can construct a new type of observables out of  $A_{\perp,\parallel,0}$  based on two criteria: [F. Kruger, JM'05]

1 Exact Cancellation at LO of the SFF ( $\xi_{\perp,\parallel}$ ):

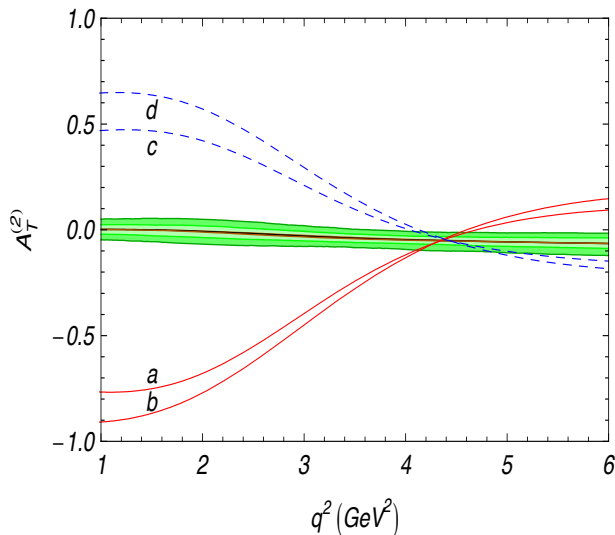
$$A_T^{(2)} = P_1 = \frac{|A_{\perp}|^2 - |A_{\parallel}|^2}{|A_{\perp}|^2 + |A_{\parallel}|^2} = \mathcal{O}(\alpha_s \xi_{\perp}) + \dots$$

compared to

$$F_L = \mathcal{O}(\xi_{\perp}^2 / \xi_{\parallel}^2)$$

- The suppression of  $H_{+1} = (A_{\perp} + A_{\parallel}) / \sqrt{2} \simeq 0$  due to LHS of SM implies  $|A_{\perp}| \simeq |A_{\parallel}|$ .
- A contribution to  $C_7'$  induces a large-deviation (sign-sensitive: positive-down, negative-up).

2 Respect the symmetries of the distribution.



An important step forward was the identification of the **symmetries** of the distribution:

*Transformation of amplitudes leaving distribution invariant.*

All the distribution can be rewritten in terms of  $n_{\parallel} = (A_{\parallel}^L, A_{\parallel}^{R*})$ ,  $n_{\perp} = (A_{\perp}^L, -A_{\perp}^{R*})$  and  $n_0 = (A_0^L, A_0^{R*})$ .

**All physical information** of the massless distribution encoded in 3 moduli + 3 complex scalar products - 1 constraint (**relation among  $n_i$** ):  $3 + 3 \times 2 - 1 = 8$

$$\begin{aligned} |n_{\parallel}|^2 &= \frac{2}{3}J_{1s} - J_3, & |n_{\perp}|^2 &= \frac{2}{3}J_{1s} + J_3, & |n_0|^2 &= J_{1c} \\ n_{\perp}^{\dagger} n_{\parallel} &= \frac{J_{6s}}{2} - iJ_9, & n_0^{\dagger} n_{\parallel} &= \sqrt{2}J_4 - i\frac{J_7}{\sqrt{2}}, & n_0^{\dagger} n_{\perp} &= \frac{J_5}{\sqrt{2}} - i\sqrt{2}J_8 \end{aligned}$$

How do we find the number of symmetries of the distribution?

Any  $\vec{A}' = \vec{A} + \delta\vec{s}$  with  $\vec{A} = (\text{Re}[A_{\perp}^L], \dots)$  a 12-component vector is a symmetry that leaves the  $J_i$  coefficients unchanged if

$$\forall i \in J_i : \vec{\nabla}_i \perp \delta\vec{s}$$

Number of symmetries can be found by the directions orthogonal to the hyperplane of gradients.

# Symmetries of the angular distribution $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$

Symmetries of  
Massless Case:

$$n'_i = U n_i = \begin{bmatrix} e^{i\phi_L} & 0 \\ 0 & e^{-i\phi_R} \end{bmatrix} \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} \begin{bmatrix} \cosh i\tilde{\theta} & -\sinh i\tilde{\theta} \\ -\sinh i\tilde{\theta} & \cosh i\tilde{\theta} \end{bmatrix} n_i.$$

**Symmetries** determine the minimal # observables for each scenario:

$$n_{obs} = 2n_A - n_S \quad n_{obs} = n_{Ji} - n_{dep}$$

Case	Coefficients $J_i$	Amplitudes	Symmetries	Observables	Dependencies
$m_\ell = 0, A_S = 0$	11	6	4	<b>8</b>	3
$m_\ell = 0$	11	7	5	<b>9</b>	2
$m_\ell > 0, A_S = 0$	11	7	4	<b>10</b>	1
$m_\ell > 0$	12	8	4	<b>12</b>	0

All symmetries (massive and scalars) were found explicitly later on.

[JM, Mescia, Ramon, Virto'12]

**Symmetries**  $\Rightarrow$  # of observables  $\Rightarrow$  determine a **basis**:  $\Rightarrow \left\{ \frac{dB\Gamma}{dq^2}, F_L, P_1, P_2, P_3, P'_4, P'_5, P'_6 \right\}$

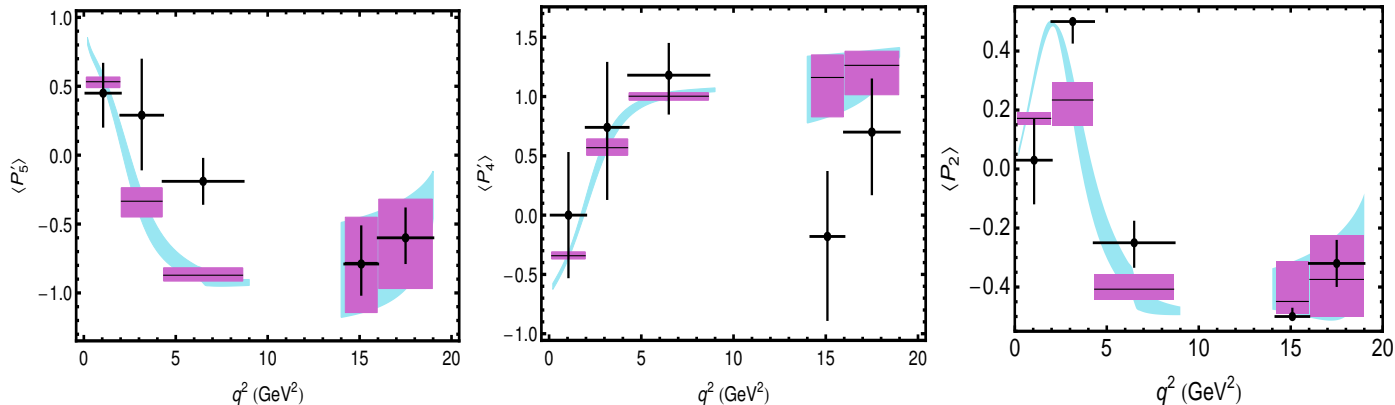
Example of non-trivial dependency:

$$P_2 = \frac{1}{2} \left[ P'_4 P'_5 + \sqrt{(-1 + P_1 + P_4'^2)(-1 - P_1 + P_5'^2)} \right]$$

# Brief flash on the anomalies: Back to 2013

Why so much excitement in Flavour Physics in that year?

First measurement by LHCb of the basis of optimized observables  $P_i$  with  $1 \text{ fb}^{-1}$ :



All the focus was on the optimized observable  $P'_5$  that deviated in the bin  $[4, 8.68] \text{ GeV}^2$  near  $4\sigma$ .

BUT the relevant point.....indeed is the COHERENT PATTERN among the relevant observables

[S. Descotes-Genon, J.M., J. Virto'13].

⇒ **Symmetries** among  $A_{\perp, \parallel, 0}$  [Egede, JM, Reece, Ramon'12] and [Serra, JM]

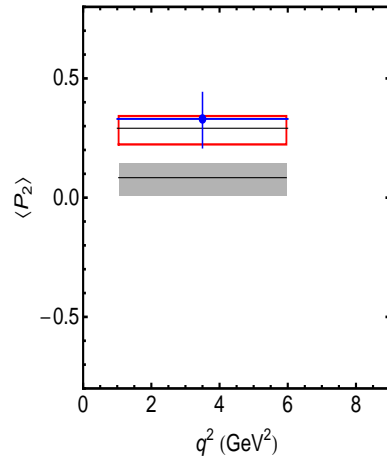
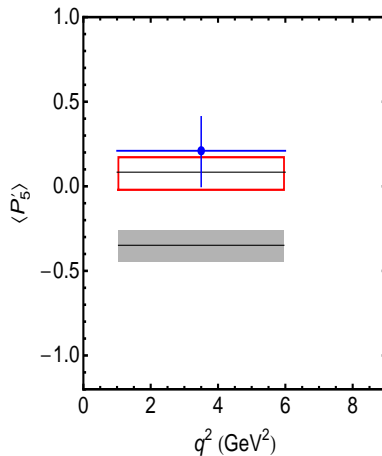
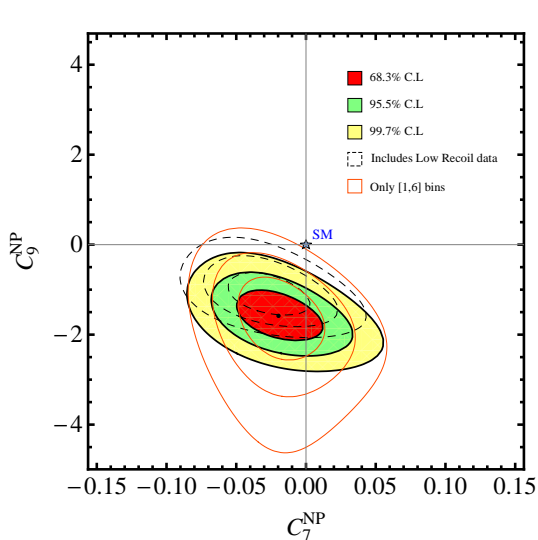
⇒ imply relations among the observables above.



# How do we understand this anomaly? (Coherence I)

In [DMV'13] it was shown that a New Physics contribution to the coefficient  $C_9$ :  $C_9^{\text{NP}} \sim -1.5$

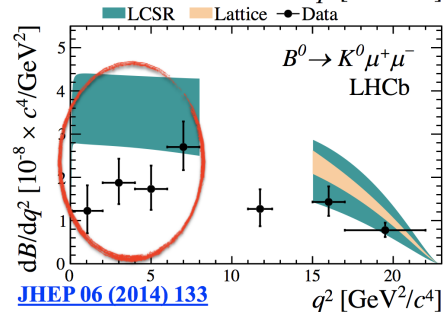
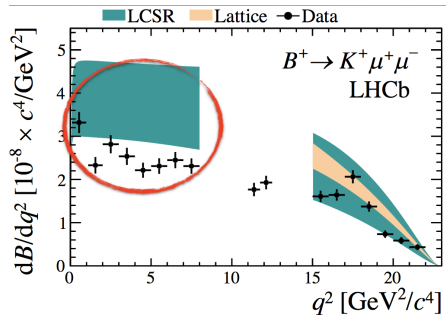
reduced the tension on  $P'_5$ , but also in  $P_2$ .



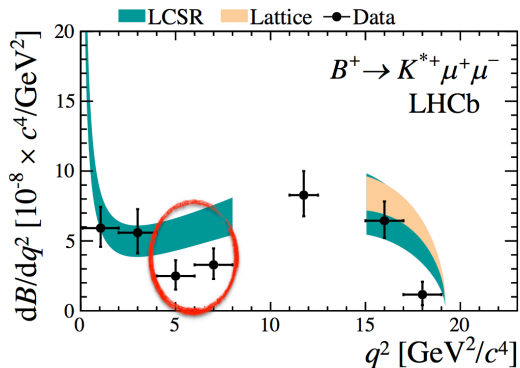
Gray: SM. Blue: LHCb data. Red:  $C_9^{\text{NP}}$ .

# Other $b \rightarrow s\mu^+\mu^-$ observables tensions show up: (Coherence II)

Systematic deficit of muons at large-recoil but also at low-recoil:



[JHEP 06 \(2014\) 133](#)

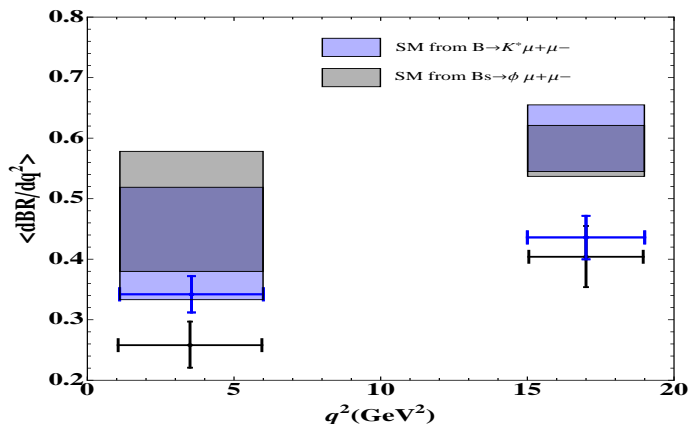


$b \rightarrow s\mu^+\mu^-$ ( $\times 10^7$ )	bin	SM	EXP	Pull
$\text{BR}(B^0 \rightarrow K^0 \mu^+ \mu^-)$	[15,19]	$0.91 \pm 0.12$	$0.67 \pm 0.12$	+1.4
$\text{BR}(B^0 \rightarrow K^{*0} \mu^+ \mu^-)$	[16,19]	$1.66 \pm 0.15$	$1.23 \pm 0.20$	+1.7
$\text{BR}(B^+ \rightarrow K^{*+} \mu^+ \mu^-)$	[15,19]	$2.59 \pm 0.25$	$1.60 \pm 0.32$	<b>+2.5</b>
$\text{BR}(B_s \rightarrow \phi \mu^+ \mu^-)$	[15,18.8]	$2.20 \pm 0.17$	$1.62 \pm 0.20$	<b>+2.2</b>

# Let's take a look to the case of $B_s \rightarrow \phi \mu^+ \mu^-$

Systematic low-recoil small tensions:

$10^7 \times \text{BR}(B_s \rightarrow \phi \mu^+ \mu^-)$	SM	EXP	Pull
[0.1,2]	$1.56 \pm 0.35$	$1.11 \pm 0.16$	+1.1
[2,5]	$1.55 \pm 0.33$	$0.77 \pm 0.14$	<b>+2.2</b>
[5,8]	$1.89 \pm 0.40$	$0.96 \pm 0.15$	<b>+2.2</b>

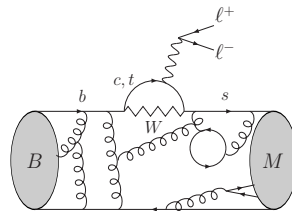
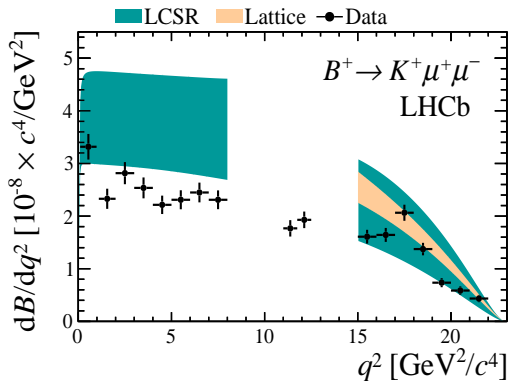


Form factors at low- $q^2$  for  $B_s \rightarrow \phi$  (computed ONLY in BSZ) are larger than  $B \rightarrow K^*$ , so we would expect at low- $q^2$  an INVERTED hierarchy.

... more data required.

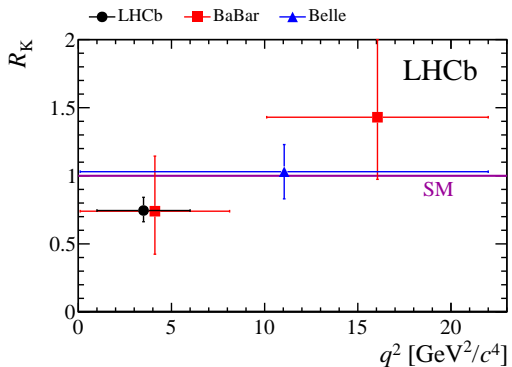
or problem of BSZ FF? No cross check from KMPW.

# In the meanwhile (2014) new deviations appear...LFUV anomalies



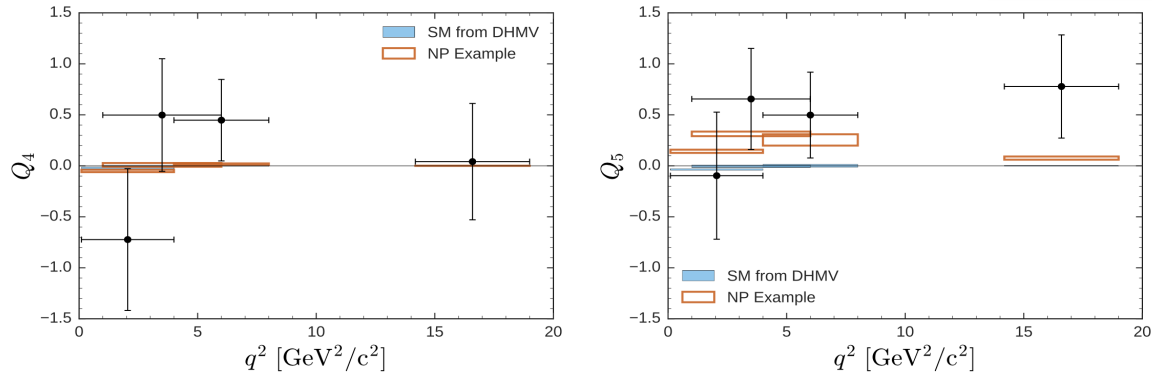
$$R_K = \frac{\text{Br}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\text{Br}(B^+ \rightarrow K^+ e^+ e^-)} = 0.745_{-0.074}^{+0.090} \pm 0.036$$

- ⇒ It deviates  $2.6\sigma$  from SM.
- ⇒ equals to 1 in SM (universality of lepton coupling).
- ⇒ NP coupling  $\neq$  to  $\mu$  and  $e$ .



Conceptually  $R_K$  very relevant:

- 1 Tensions in  $R_K$  cannot be explained in the SM by neither factorizable power corrections\* nor long-distance charm\*.



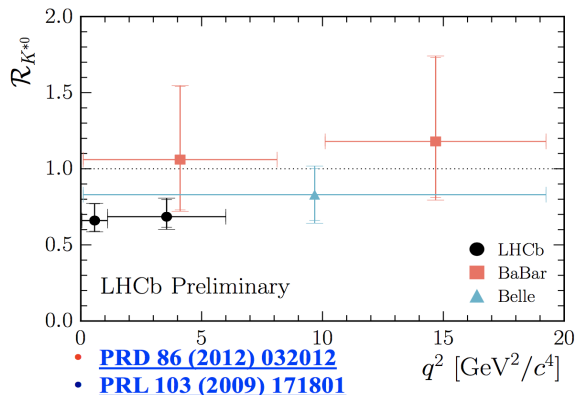
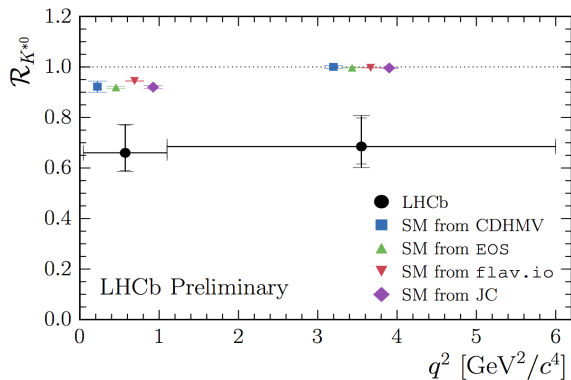
**Figure 3:**  $Q_4$  and  $Q_5$  observables with SM and favored NP “Scenario 1” from Ref. [6].

**Table 2:** Results for the lepton-flavor-universality-violating observables  $Q_4$  and  $Q_5$ . The first uncertainty is statistical and the second systematic.

$q^2$ in GeV <sup>2</sup> /c <sup>2</sup>	$Q_4$	$Q_5$
[1.00, 6.00]	$0.498 \pm 0.527 \pm 0.166$	$0.656 \pm 0.485 \pm 0.103$
[0.10, 4.00]	$-0.723 \pm 0.676 \pm 0.163$	$-0.097 \pm 0.601 \pm 0.164$
[4.00, 8.00]	$0.448 \pm 0.392 \pm 0.076$	$0.498 \pm 0.410 \pm 0.095$
[14.18, 19.00]	$0.041 \pm 0.565 \pm 0.082$	$0.778 \pm 0.502 \pm 0.065$

$$R_{K^*} = \frac{Br(B^0 \rightarrow K^{*0} \mu^+ \mu^-)}{Br(B^0 \rightarrow K^{*0} e^+ e^-)}$$

pulls	$R_{K^*}^{[0.045, 1.1]}$	$R_{K^*}^{[1.1, 6]}$
Exp.	$0.66^{+0.113}_{-0.074}$	$0.685^{+0.122}_{-0.083}$
SM	$0.92 \pm 0.02$	$1.00 \pm 0.01$



- Both  $R_K$  and  $R_{K^*}$  are very clean **in the SM and for  $q^2 \geq 1 \text{ GeV}^2$** .
  - Lepton mass effects even in the SM are important in the first bin.
    - Our error size in 1st and 2nd bin in agreement with Isidori et al. (including QED → 0.03).
- In presence of New Physics or for  $q^2 < 1 \text{ GeV}^2$  **hadronic uncertainties return**.
  - Typical wrong statement " $R_{K^*}$  is ALWAYS a very clean observable", indeed it is substantially less clean and more FF dependent than any optimized observable.