

(Quantum) Field Theory and the Electroweak Standard Model

Lecture I

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Outline

■ Lecture I

- What is the Standard Model?
- Introducing Quantum Fields
- Global Symmetries

■ Lecture II

- Introducing Interactions
- Perturbation Theory
- Renormalizable or Non-Renormalizable?

■ Lecture III

- Gauge Symmetries
- Constructing the EW SM
- Experimental tests of the EW SM
- Issues and Prospects of the EW SM

What is the Standard Model?

The Absolutely Amazing Theory of Almost Everything

- A Quantum Field Theory
- Based on (gauge) Symmetry principles
- Describes interactions between all known elementary particles
- Potentially can account for physics up to very high energies
- Experimentally established with rather high precision



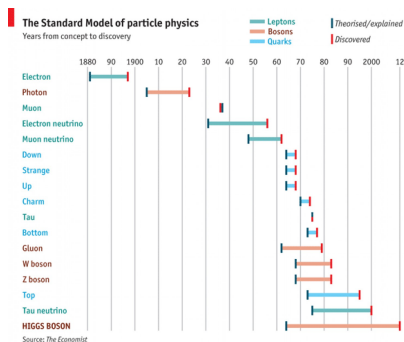
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Robert Oerter, The Theory of Almost Everything: The Standard Model, the Unsung Triumph of Modern Physics, 2006

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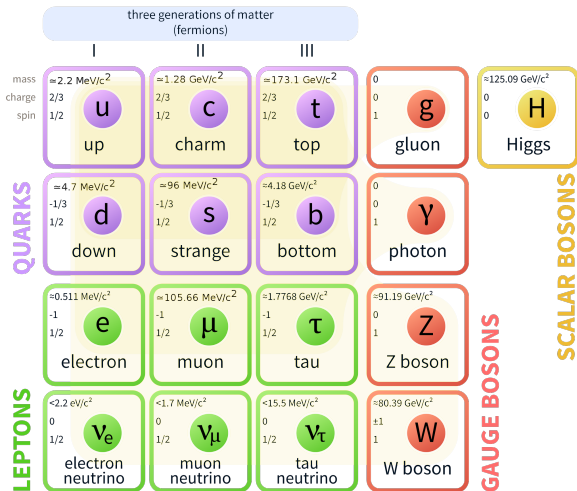
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Standard Model of Elementary Particles



Courtesy to Wikipedia: "Standard Model of Elementary Particles", May 2018

Particle (Field) content of the SM

Fermions (“Matter”)

- Quarks (spin 1/2)
 - 3 colors
(lect. by F. Tramontano)
 - 6 flavours
(lect. by J. Zupan)

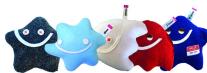


- Leptons (spin 1/2)
 - 3 charged leptons
 - 3 neutrinos
(lect. by S. Pascoli)



Bosons (“Force Mediators”)

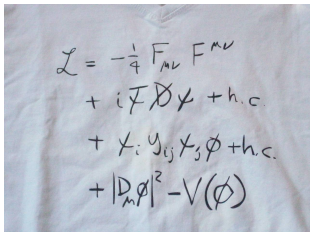
- Vector (spin 1) bosons
 - 8 gluons
(lect. by F. Tramontano)
 - 4 electroweak bosons
(Z , W^\pm , γ)
- Scalar (spin 0) boson
(lect. by F. Maltoni)



NB: Gluons and photons (γ) are assumed to be **massless**. All other particles have mass (neutrino?).

The SM interactions (on a T-shirt)

All particle **interactions** can be read of the SM Lagrangian:



SU(3) x SU(2) x U(1)



DO YOU NOT UNDERSTAND?

QFT allows one not only to understand why the short expression is **unique** in certain sense, but also to derive the long one!

- Symmetries (**Lorentz**, **Gauge**)
- Renormalizability

Moreover, given the Lagrangian one can obtain predictions for **observables**.

Units, Dimensions, etc.

We use natural units $\hbar = c = 1$. The reference unit is energy (mass):



$$[t] = [x] = -1, \quad [p] = [E] = [M] = 1$$



$$\hbar \simeq 6.6 \cdot 10^{-22} \text{ MeV} \cdot \text{s}, \quad \hbar c \simeq 2 \cdot 10^{-14} \text{ GeV} \cdot \text{cm}$$

Some useful formulas (check the dimension of both sides:)

- Commutation relation and uncertainty principle:

$$[\hat{x}, \hat{p}] = i, \quad \Delta x \Delta p \geq 1$$

- Fourier transformation $f(x) \leftrightarrow f(p)$:

$$f(x) = \frac{1}{2\pi} \int dp f(p) e^{-ipx}, \quad \partial_x f(x) = \frac{1}{2\pi} \int dp [-ip] f(p) e^{-ipx}$$

- Delta-function (distribution):

$$\delta(x) = \frac{1}{2\pi} \int dp e^{-ipx}, \quad \int dx \delta(x) = 1$$

Lorentz symmetry and Index Summation Notation

- We consider Minkowski space in $d = 4$ dimensions. Greek letters are used to denote components of Lorentz 4-vectors

$$x_\mu = \{x_0, \mathbf{x}\}, \text{ with time } t \equiv x_0,$$

$$p_\mu = \{p_0, \mathbf{p}\}, \text{ with energy } E \equiv p_0,$$

while for 3-vectors we use **bold-face**: $\mathbf{x} = \{x_1, x_2, x_3\}$, etc.

A scalar product of two 4-vectors in pseudo-euclidean space

$$p \cdot x \equiv p_\mu x_\mu = g_{\mu\nu} p_\mu x_\nu = p_0 x_0 - \mathbf{p} \cdot \mathbf{x}, \quad g_{\mu\nu} = \text{diag}(1, -1, -1, -1)$$

is **invariant** under **Lorentz transformations** (rotations and boosts):

$$x_\mu \rightarrow x'_\mu = \Lambda_{\mu\nu} x_\nu, \quad x_\mu x_\mu = x'_\mu x'_\mu \Rightarrow \Lambda_{\mu\alpha} \Lambda_{\mu\beta} = g_{\alpha\beta}$$

- The 4-momentum p of a free particle with mass m satisfies

$$p^2 = E^2 - \mathbf{p}^2 = m^2 = \text{invariant}$$

Why do we need QFT?

Relativistic Quantum Mechanics (QM) describing fixed number of particles turns out to be inconsistent.

From the energy-momentum relation for a free **relativistic** particle

$$E^2 = \mathbf{p}^2 + m^2 \quad (\text{instead of } E = \frac{\mathbf{p}^2}{2m}),$$

and the **correspondence** principle

$$E \rightarrow i\frac{\partial}{\partial t}, \quad \mathbf{p} \rightarrow -i\nabla$$

we have the Klein-Gordon (KG) equation

$$(\partial_t^2 - \nabla^2 + m^2) \phi(t, \mathbf{x}) = 0 \quad (\text{instead of } i\partial_t\psi = -\frac{\nabla^2}{2m}\psi)$$

for a **wave-function** $\phi(t, \mathbf{x}) \equiv \langle \mathbf{x} | \phi(t) \rangle$. For any \mathbf{p}

$$\phi_p(t, \mathbf{x}) = e^{-iEt + \mathbf{p}\mathbf{x}}, \quad \text{with } E = \pm\sqrt{\mathbf{p}^2 + m^2}.$$

The spectrum is not **bounded from below!**

Wave-packets in Relativistic QM

General solution of the KG equation (a wave-packet)

$$\phi(t, \mathbf{x}) = \frac{1}{(2\pi)^{3/2}} \int \frac{d\mathbf{p}}{\sqrt{2\omega_p}} \left[a(\mathbf{p}) e^{-i\omega_p t + i\mathbf{p}\mathbf{x}} + b(\mathbf{p}) e^{+i\omega_p t - i\mathbf{p}\mathbf{x}} \right]$$

with $\omega_p \equiv +\sqrt{\mathbf{p}^2 + m^2}$. Both $E = \omega_p$ and $E = -\omega_p$ contribute. An attempt to introduce a **positive-definite** probability density ρ fails

$$\begin{aligned} \partial_\mu j_\mu &= 0, & j_\mu &= i(\phi^* \partial_\mu \phi - \phi \partial_\mu \phi^*) \\ \rho \equiv j_0 &= i(\phi^* \partial_t \phi - \phi \partial_t \phi^*) \Rightarrow 2E \text{ for } \phi \propto e^{-iEt}. \end{aligned}$$

Ex: Show that for the general solution we have

$$\int d\mathbf{x} \cdot \rho = \int d\mathbf{p} \{ |a(\mathbf{p})|^2 - |b(\mathbf{p})|^2 \},$$

which is not positively-defined (but **time-independent**).

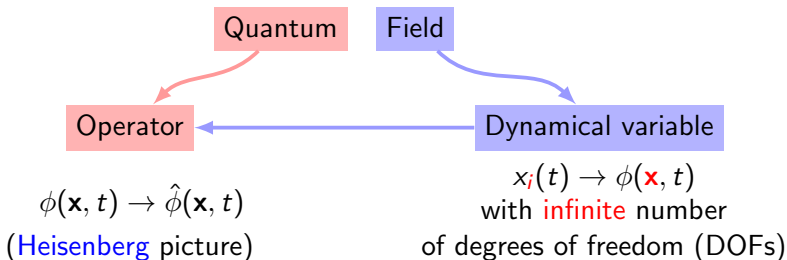
NB: Positive-energy condition [$b(\mathbf{p}) \equiv 0$] is not stable under **interactions!**

From (R)QM to QFT

To get a relativistic quantum theory that treats **space** and **time** coordinates on the same footing, one re-interprets ϕ , satisfying

$$(\partial^2 + m^2)\phi(x) = 0$$

as a



- Particles in QFT are treated as field **excitations**.
- Single field accounts for **infinite** number of particles.

NB: In the **Heisenberg** picture operators $\mathcal{O}_H(t)$ depend on time, while in the **Schrödinger** one the states evolve: $\langle \psi(t) | \mathcal{O}_S | \psi(t) \rangle = \langle \psi | \mathcal{O}_H(t) | \psi \rangle$.

Free Scalar Field

The solution of the KG equation* ($p_0 = \omega_p$)

$$\phi(x) = \frac{1}{(2\pi)^{3/2}} \int \frac{d\mathbf{p}}{\sqrt{2\omega_p}} [a_{\mathbf{p}}^- e^{-ipx} + b_{\mathbf{p}}^+ e^{+ipx}],$$

is a linear combination of **operators** $a_{\mathbf{p}}^\pm$ and $b_{\mathbf{p}}^\pm$

$$[a_{\mathbf{p}}^-, a_{\mathbf{p}'}^+] = \delta^3(\mathbf{p} - \mathbf{p}'), \quad [b_{\mathbf{p}}^-, b_{\mathbf{p}'}^+] = \delta^3(\mathbf{p} - \mathbf{p}').$$

All other commutators are zero, e.g., $[a_{\mathbf{p}}^\pm, a_{\mathbf{p}'}^\pm] = 0$.

NB1: The operators also satisfy $a_{\mathbf{p}}^\pm = (a_{\mathbf{p}}^\mp)^\dagger$ and $b_{\mathbf{p}}^\pm = (b_{\mathbf{p}}^\mp)^\dagger$.

NB2: For $a_{\mathbf{p}}^\pm \equiv b_{\mathbf{p}}^\pm$ the field is hermitian $\phi^\dagger(x) = \phi(x)$.

*For brevity $\hat{\phi} \rightarrow \phi$.

Free Scalar Field: Fock Space

The operator

$$\phi(x) = \frac{1}{(2\pi)^{3/2}} \int \frac{d\mathbf{p}}{\sqrt{2\omega_p}} [a_{\mathbf{p}}^- e^{-ipx} + b_{\mathbf{p}}^+ e^{ipx}],$$

needs some **space** to act on. In QFT we consider **Fock** space. It consists of a **vacuum** $|0\rangle$, which is **annihilated** by $a_{\mathbf{p}}^-$ (and $b_{\mathbf{p}}^-$) for every \mathbf{p}

$$\langle 0|0\rangle = 1, \quad a_{\mathbf{p}}^- |0\rangle = 0, \quad \langle 0|a_{\mathbf{p}}^+ = (a_{\mathbf{p}}^- |0\rangle)^\dagger = 0,$$

and states corresponding to field **excitations**:

$$\begin{aligned} |f_1\rangle &= \int d\mathbf{k} \cdot f_1(\mathbf{k}) a_{\mathbf{k}}^+ |0\rangle, & 1 - \text{particle state} \\ |f_2\rangle &= \int d\mathbf{k}_1 d\mathbf{k}_2 \cdot f_2(\mathbf{k}_1, \mathbf{k}_2) a_{\mathbf{k}_1}^+ a_{\mathbf{k}_2}^+ |0\rangle, & 2 - \text{particle state} \end{aligned}$$

NB1: Two sets of operators a^\pm (particles) and b^\pm (anti-particles).

NB2: Since $a_{\mathbf{p}}^+ a_{\mathbf{k}}^+ = a_{\mathbf{k}}^+ a_{\mathbf{p}}^+$, particles are not **distinguishable** (bosons).

Free Scalar Field: Fock Space

The operator

$$\phi(x) = \frac{1}{(2\pi)^{3/2}} \int \frac{d\mathbf{p}}{\sqrt{2\omega_{\mathbf{p}}}} [a_{\mathbf{p}}^- e^{-ipx} + b_{\mathbf{p}}^+ e^{+ipx}],$$

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and states corresponding to field **excitations**:

$$\begin{aligned} |\mathbf{p}\rangle &= a_{\mathbf{p}}^+ |0\rangle, & f_1(\mathbf{k}) &= \delta(\mathbf{p} - \mathbf{k}) \\ |\mathbf{p}_1, \mathbf{p}_2\rangle &= a_{\mathbf{p}_1}^+ a_{\mathbf{p}_2}^+ |0\rangle, & f_2(\mathbf{k}_1, \mathbf{k}_2) &=? \end{aligned}$$

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Free Field and Harmonic Oscillators

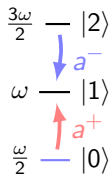
The commutation relations


$$[a_{\mathbf{p}}^-, a_{\mathbf{p}'}^+] = \delta^3(\mathbf{p} - \mathbf{p}')$$

should remind you about **quantum harmonic oscillators** with Hamiltonian

$$\hat{\mathcal{H}}_{osc} = \sum_j \frac{1}{2} (\hat{p}_j^2 + \omega_j^2 \hat{x}_j^2), \quad [\hat{x}_j, \hat{p}_k] = i\delta_{jk}, \quad [x_j, x_k] = [p_j, p_k] = 0$$

expressed in terms of **ladder** operators $\sqrt{2\omega} a_j^\pm = (\omega \hat{x}_j \mp i \hat{p}_j)$


$$\begin{aligned} \frac{3\omega}{2} \text{ --- } |2\rangle \\ \downarrow a^- \\ \omega \text{ --- } |1\rangle \\ \uparrow a^+ \\ \frac{\omega}{2} \text{ --- } |0\rangle \end{aligned} \quad \hat{\mathcal{H}}_{osc} = \sum_j \frac{\omega_j}{2} (a_j^+ a_j^- + a_j^- a_j^+) \quad \text{after re-ordering}$$
$$= \sum_j \omega_j \left(\hat{n}_j + \frac{1}{2} \right), \quad \hat{n}_j = a_j^+ a_j^-, \quad [a_j^-, a_k^+] = \delta_{jk}.$$

 Zero-point Energy

Here, \hat{n}_j counts energy quanta for oscillator j : $\hat{n}_j |n_j\rangle = n_j |n_j\rangle$.

Free Field: Hamiltonian

Indeed, if we put our field in a box of size L , \mathbf{p} and $\omega_{\mathbf{p}}$ will be quantized

$$\mathbf{p} \rightarrow \mathbf{p}_{\mathbf{j}} = (2\pi/L)\mathbf{j}, \quad \mathbf{j} = (j_1, j_2, j_3), \quad j_i \in \mathbb{Z},$$

$$\omega_{\mathbf{p}} \rightarrow \omega_{\mathbf{j}} = \sqrt{(2\pi/L)^2 \mathbf{j}^2 + m^2}$$

The QFT Hamiltonian is obtained by taking the **limit** $L \rightarrow \infty$ in $\hat{\mathcal{H}}_{osc}$:

$$\hat{\mathcal{H}}_{part} = \lim_{L \rightarrow \infty} \underbrace{\left[\left(\frac{2\pi}{L} \right)^3 \sum_{\mathbf{j}} \right]}_{\int d\mathbf{p}} \omega_{\mathbf{j}} \left[\underbrace{\left(\frac{L}{2\pi} \right)^{\frac{3}{2}} a_{\mathbf{j}}^+}_{a_{\mathbf{p}}^+} \underbrace{\left(\frac{L}{2\pi} \right)^{\frac{3}{2}} a_{\mathbf{j}}^-}_{a_{\mathbf{p}}^-} + \frac{1}{2} \underbrace{\left(\frac{L}{2\pi} \right)^3}_{\delta(\mathbf{0})} \right]$$

We had two kind of operators, so

$$\hat{\mathcal{H}} = \hat{\mathcal{H}}_{part} + \hat{\mathcal{H}}_{antipart} = \int d\mathbf{p} \omega_{\mathbf{p}} \left\{ \left[n_{\mathbf{p}} + \frac{1}{2} \delta(\mathbf{0}) \right] + \left[\bar{n}_{\mathbf{p}} + \frac{1}{2} \delta(\mathbf{0}) \right] \right\},$$

with $(\bar{n}_{\mathbf{p}} \equiv b_{\mathbf{p}}^+ b_{\mathbf{p}}^-)$ $n_{\mathbf{p}} \equiv a_{\mathbf{p}}^+ a_{\mathbf{p}}^-$ **counting** (anti-)particles with momentum \mathbf{p} .

Free Field and Vacuum Energy

There is a disturbing **problem** in

$$\hat{\mathcal{H}} = \int d\mathbf{p} \omega_p [n_{\mathbf{p}} + \bar{n}_{\mathbf{p}}] + \int d\mathbf{p} \omega_p \delta(\mathbf{0}), \quad n_{\mathbf{p}} \equiv a_{\mathbf{p}}^+ a_{\mathbf{p}}^-, \quad \bar{n}_{\mathbf{p}} \equiv b_{\mathbf{p}}^+ b_{\mathbf{p}}^-.$$

The additive “constant”, associated with vacuum (**no particles**):

$$E_0 = \langle 0 | \hat{\mathcal{H}} | 0 \rangle = \int d\mathbf{p} \omega_p \delta(\mathbf{0})$$

is **infinite**. There are two kind of infinities:

- **InfraRed** (large distances, $L \rightarrow \infty$) due to $L^3 \rightarrow (2\pi)^3 \delta(\mathbf{0})$.
- **UltraViolet** (small distances, $\mathbf{p}/\omega_p \rightarrow \infty$).

To “solve” the problem, let’s measure all energies w.r.t the vacuum:

$$\hat{\mathcal{H}} \rightarrow : \hat{\mathcal{H}} : = \hat{\mathcal{H}} - \langle 0 | \hat{\mathcal{H}} | 0 \rangle$$

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Equivalently, we can say that **by definition** the operators in $: \hat{\mathcal{H}} :$ are **normal-ordered**, e.g.,

$$: \hat{\mathcal{H}}_{osc} : = \frac{\omega_j}{2} \left(: a_j^+ a_j^- + a_j^- a_j^+ : \right) = \omega_j : a_j^+ a_j^- : = \omega_j a_j^+ a_j^-$$



Free Field: Momentum and Charge

Now we have

$$\hat{\mathcal{H}} = \int d\mathbf{p} \omega_p (n_{\mathbf{p}} + \bar{n}_{\mathbf{p}}), \quad \bar{n}_{\mathbf{p}} \equiv b_{\mathbf{p}}^+ b_{\mathbf{p}}^-, \quad n_{\mathbf{p}} \equiv a_{\mathbf{p}}^+ a_{\mathbf{p}}^-, \quad \hat{\mathcal{H}}|0\rangle = 0.$$

It is easy to check that (no negative energies)

$$\hat{\mathcal{H}}|\mathbf{p}\rangle = \omega_p |\mathbf{p}\rangle, \quad |\mathbf{p}\rangle = a_{\mathbf{p}}^+ |0\rangle, \quad \hat{\mathcal{H}}|\bar{\mathbf{p}}\rangle = \omega_p |\bar{\mathbf{p}}\rangle, \quad |\bar{\mathbf{p}}\rangle = b_{\mathbf{p}}^+ |0\rangle.$$

We can also “cook up” the 3-momentum operator (Ex:)

$$\hat{\mathbf{P}}|0\rangle = 0|0\rangle, \quad \hat{\mathbf{P}}|\mathbf{p}\rangle = \mathbf{p}|\mathbf{p}\rangle, \quad \hat{\mathbf{P}}|\bar{\mathbf{p}}\rangle = \mathbf{p}|\bar{\mathbf{p}}\rangle$$

and the charge operator that distinguishes particles from antiparticles

$$\hat{Q}|0\rangle = 0|0\rangle, \quad \hat{Q}|\mathbf{p}\rangle = +|\mathbf{p}\rangle, \quad \hat{Q}|\bar{\mathbf{p}}\rangle = -|\bar{\mathbf{p}}\rangle.$$

NB: The operators $\hat{\mathbf{P}}$ and \hat{Q} do not depend on time and $[\hat{\mathbf{P}}, \hat{Q}] = 0$.

Ex: Show that multiparticle states $|\mathbf{p}_1 \dots \mathbf{p}_n\rangle$ are eigenvectors of $\hat{\mathcal{H}}, \hat{\mathbf{P}}, \hat{Q}$.

Free Scalar Field Propagator

The field ϕ^\dagger (ϕ) increases (decreases) charge of a state

$$\left[\hat{Q}, \phi^\dagger(x) \right] = +\phi^\dagger(x), \quad \left[\hat{Q}, \phi(x) \right] = -\phi(x)$$

Consider the following amplitudes

$$t_2 > t_1 : \quad \langle 0 | \underbrace{\phi(x_2)}_{a^-} \underbrace{\phi^\dagger(x_1)}_{a^+} | 0 \rangle$$

Particle (charge +1)
propagates from x_1 to x_2

$$t_1 > t_2 : \quad \langle 0 | \underbrace{\phi^\dagger(x_1)}_{b^-} \underbrace{\phi(x_2)}_{b^+} | 0 \rangle$$

Antiparticle (charge -1)
propagates from x_2 to x_1

Both possibilities can be taken into account in one function:

$$\begin{aligned} \langle 0 | T[\phi(x_2)\phi^\dagger(x_1)] | 0 \rangle &\equiv \theta(t_2 - t_1) \langle 0 | \phi(x_2)\phi^\dagger(x_1) | 0 \rangle \\ &\quad + \theta(t_1 - t_2) \langle 0 | \phi^\dagger(x_1)\phi(x_2) | 0 \rangle, \end{aligned}$$

with T being **time-ordering** operation.

Free Scalar Field Propagator

This is **Feynman Propagator**:

$$\underbrace{\langle 0 | T[\phi(x_2)\phi^\dagger(x_1)] | 0 \rangle}_{-iD_c(x-y)} \equiv \theta(t_2 - t_1) \langle 0 | \phi(x_2)\phi^\dagger(x_1) | 0 \rangle + \theta(t_1 - t_2) \langle 0 | \phi^\dagger(x_1)\phi(x_2) | 0 \rangle,$$

vanishes
for $t_2 < t_1$

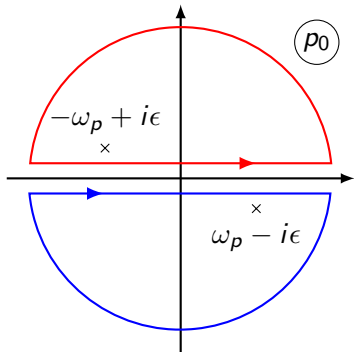
Fourier transform

$$D_c(x-y) = \frac{-1}{(2\pi)^4} \int d^4p \frac{e^{-ip(x-y)}}{p^2 - m^2 + i\epsilon}$$

The $i\epsilon$ -prescription ($\epsilon \rightarrow 0$) picks up certain poles in the p_0 complex plane.

The propagator is a Green-function:

$$\underbrace{(\partial_x^2 + m^2)}_{\text{KG equation}} D_c(x-y) = \delta(x-y)$$



NB: Feynman propagator is a Lorentz-invariant function (distribution)!

From Field to Particle to Force

The propagator of particles can be connected to the force between two static classical sources $J_i(\mathbf{x}) = \delta(\mathbf{x} - \mathbf{x}_i)$ located at $\mathbf{x}_i = (\mathbf{x}_1, \mathbf{x}_2)$. Presence of the sources disturbs the vacuum $|0\rangle \rightarrow |\Omega\rangle$, since Hamiltonian $\mathcal{H} \rightarrow \mathcal{H}_0 + J \cdot \phi$. Assuming for simplicity that $\phi = \phi^\dagger$ we can find

$$\begin{aligned}\langle \Omega | e^{-i\mathcal{H}T} | \Omega \rangle &\equiv e^{-iE_0(J)T} \Rightarrow \text{in the limit } T \rightarrow \infty \\ &= e^{\frac{i^2}{2i} \int dx dy J(x) \langle 0 | T(\phi(x)\phi(y)) | 0 \rangle J(y)} = e^{+\frac{i}{2} \int dx dy J(x) D_c(x-y) J(y)}\end{aligned}$$

Evaluating the integral for $J(x) = J_1(x) + J_2(x)$ we get the contribution δE_0 to $E_0(J)$ due to interactions between two sources

$$\begin{aligned}\lim_{T \rightarrow \infty} \delta E_0 T &= - \int dx dy J_1(x) D_c(x-y) J_2(y) \\ \delta E_0 &= - \int \frac{d\mathbf{p}}{(2\pi)^3} \frac{e^{+i\mathbf{p}(\mathbf{x}_1 - \mathbf{x}_2)}}{\mathbf{p}^2 + m^2} = -\frac{1}{4\pi r} e^{-mr}, \quad r = |\mathbf{x}_1 - \mathbf{x}_2|\end{aligned}$$

This is nothing else, but **Yukawa** potential due to scalar massive field. It is **attractive** and fall off exponentially over the distance scale $1/m$.

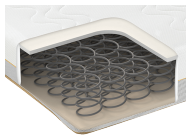
Free Scalar Field: Lagrangian

A convenient way to deal with (quantum) fields is to consider the following **Action** functional (“function \rightarrow number”):

$$\mathcal{S}[\phi(x)] = \int d^4x \underbrace{\mathcal{L}(\phi(x), \partial_\mu \phi)}_{\text{Lagrangian (density)}} = \int d^4x \underbrace{\left(\partial_\mu \phi^\dagger \partial_\mu \phi - m^2 \phi^\dagger \phi \right)}_{\phi^\dagger \cdot K \cdot \phi}.$$

To have an analogy with Classical Mechanics one can rewrite the Action as

$$\begin{aligned} \mathcal{S}[\phi(x)] &= \int dt L(t), & L &= T - U, & H &= T + U \\ T &= \int d\mathbf{x} |\partial_t \phi|^2, & U &= \int d\mathbf{x} (|\partial_x \phi|^2 + m^2 |\phi|^2) \end{aligned}$$



A system of **coupled** oscillators with kinetic energy T and potential U .

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We can derive the **equations of motions** (EOM) via the **Action Principle**:

$$\underbrace{\mathcal{S}[\phi'(x)] - \mathcal{S}[\phi(x)]}_{\delta \mathcal{S}[\phi(x)]} = \int d^4x \left[\underbrace{\left(\partial_\mu \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} - \frac{\partial \mathcal{L}}{\partial \phi} \right)}_{(\partial_\mu^2 + m^2)\phi} \delta\phi + \underbrace{\partial_\mu \left(\frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \delta\phi \right)}_{\text{surface term}} \right].$$

$$\phi'(x) = \phi(x) + \delta\phi(x), \quad \delta\phi(x) \text{ is infinitesimal (“tiny”)}$$

Free Scalar Field: Lagrangian

A convenient way to deal with (quantum) fields is to consider the following **Action** functional (“function \rightarrow number”):

$$S[\phi(x)] = \int d^4x \underbrace{\mathcal{L}(\phi(x), \partial_\mu \phi)}_{\text{Lagrangian (density)}} = \int d^4x \underbrace{\left(\partial_\mu \phi^\dagger \partial_\mu \phi - m^2 \phi^\dagger \phi \right)}_{\phi^\dagger \cdot \mathbf{K} \cdot \phi}.$$

We can derive the **equations of motions** (EOM) via the **Action Principle**:

$$\underbrace{\mathcal{S}[\phi'(x)] - \mathcal{S}[\phi(x)]}_{\delta \mathcal{S}[\phi(x)] = 0} = \int d^4x \left[\underbrace{\left(\partial_\mu \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} - \frac{\partial \mathcal{L}}{\partial \phi} \right)}_{(\partial_\mu^2 + m^2)\phi = 0} \delta\phi + \underbrace{\partial_\mu \left(\frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \delta\phi \right)}_{\text{surface term} = 0} \right].$$

We look for **specific** $\phi(x)$ that gives $\delta S[\phi(x)] = 0$ for **any** variation^a $\delta\phi(x)$.

NB1: Fields satisfying EOMs are said to be “**on-mass-shell**”.

NB2: $\langle 0 | T[\phi(x)\phi^\dagger(y)] | 0 \rangle$ can be found by inverting the quadratic form **K**.

^aSatisfying boundary conditions.

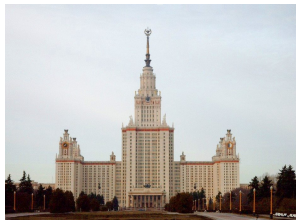
About Symmetries (a Relaxing Slide)



With Action you can study
Symmetries...

The latter are intimately connected
with **transformations**, which leaves
something **invariant**...

Symmetries are not only beautiful
but also very **useful**:



An architect can design only half of the
building (**parity** $x \rightarrow -x$)

And winter decoration will
take much less time
(**rotation** by a finite angle)



Field Theory: Symmetries

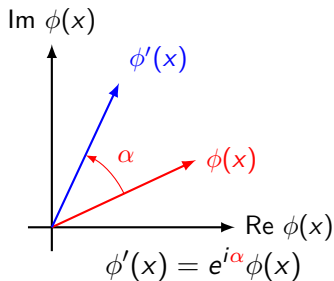
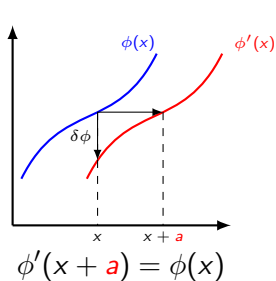
- Transformations can be **discrete**, e.g.,

$$\text{Parity : } \phi'(\mathbf{x}, t) = P\phi(\mathbf{x}, t) = \phi(-\mathbf{x}, t),$$

$$\text{Time-reversal : } \phi'(\mathbf{x}, t) = T\phi(\mathbf{x}, t) = \phi(\mathbf{x}, -t),$$

$$\text{Charge-conjugation : } \phi'(\mathbf{x}, t) = C\phi(\mathbf{x}, t) = \phi^\dagger(\mathbf{x}, t),$$

or depend on **continuous** parameters, e.g.,



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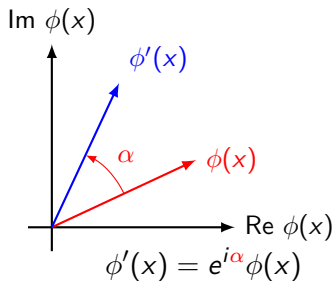
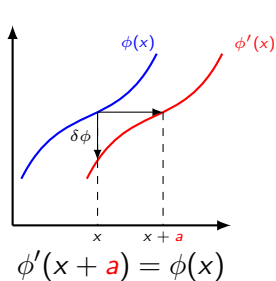
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- We can distinguish **space-time** and **internal** symmetries.

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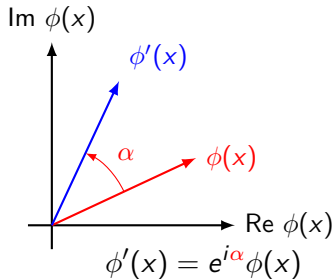
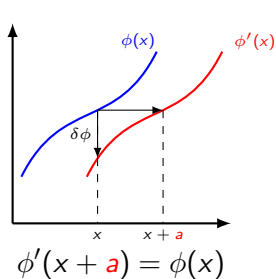
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or depend on **continuous** parameters, e.g.,



- We can distinguish **space-time** and **internal** symmetries.
- For x -dependent parameters we have **local (gauge)** transformations.

Quantum Field Theory: Symmetries

In Classical Physics symmetry transformations allows one to find

- new solutions to EOMs from the given one, keeping some features of the solutions (**invariants**) intact.
- how a solution in one coordinate system (as seen by one observer) looks in another coordinates (as seen by another observer).

In Quantum World a symmetry \mathcal{S} guarantees that transition **probabilities** \mathcal{P} between **states** do not change upon transformation:

$$|A_i\rangle \xrightarrow{\mathcal{S}} |A'_i\rangle, \quad \mathcal{P}(A_i \rightarrow A_j) = \mathcal{P}(A'_i \rightarrow A'_j), \quad |\langle A_i | A_j \rangle|^2 = |\langle A'_i | A'_j \rangle|^2$$

Symmetries are **represented** by **unitary**[†] operators U :

$$|A'_i\rangle = U|A_j\rangle, \quad \langle A'_i | A'_j \rangle = \langle A_i | \underbrace{U^\dagger U}_1 | A_j \rangle$$

[†]or anti-unitary (time-reversal).

Quantum Field Theory: Symmetries

A transformation of **states** can be reformulated as a change of **operators**:

$$\langle A_i | \mathcal{O}_k(x) | A_j \rangle \xrightarrow{\mathcal{S}} \langle A'_i | \mathcal{O}_k(x) | A'_j \rangle = \langle A_i | U^\dagger \mathcal{O}_k(x) U | A_j \rangle$$
$$\langle A_i | \mathcal{O}_k(x) | A_j \rangle \xrightarrow{\mathcal{S}} \langle A_i | \mathcal{O}'_k(x) | A_j \rangle, \quad \mathcal{O}'_k(x) \equiv U^\dagger \mathcal{O}_k(x) U$$

Symmetry relates these quantities

For example, translational invariance leads to

$$\langle A_i | \phi(x) | A_j \rangle = \langle A_i | \phi'(x + a) | A_j \rangle = \langle A_i | U^\dagger(a) \phi(x + a) U(a) | A_j \rangle$$

Quantum Field Theory: Symmetries

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Symmetry relates these quantities

For example, translational invariance leads to

$$\phi(x) = \phi'(x + a) = U^\dagger(a) \phi(x + a) U(a)$$

so **quantum** field should satisfy

$$\phi(x + a) = U(a) \phi(x) U^\dagger(a)$$

We can have **non-trivial** (realizations of) symmetries mixing different fields:

$$\phi'_i(x') = S_{ij}(a) \phi_j(x) \Rightarrow \phi_i(x') = S_{ij}(a) U(a) \phi_j(x) U^\dagger(a), \quad x' = x'(x, a)$$

Examples will be provided later... For the moment, let us find a connection between Symmetries of Action, Conserved Quantities and Unitary Operators that realize the symmetries at the quantum level.

Global Continuous Symmetries: Noether Theorem

Given $\mathcal{S}[\phi]$ one can find its **symmetries**, i.e., **particular** infinitesimal variations $\delta\phi(x)$ that for **any** ϕ leave $\mathcal{S}[\phi]$ invariant up to a surface term

$$\mathcal{S}[\phi'(x)] - \mathcal{S}[\phi(x)] = \int d^4x \partial_\mu \mathcal{K}_\mu, \quad \phi'(x) \equiv \phi(x) + \delta\phi(x).$$

We compare this with

$$\mathcal{S}[\phi'(x)] - \mathcal{S}[\phi(x)] = \int d^4x \left[\left(\partial_\mu \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} - \frac{\partial \mathcal{L}}{\partial \phi} \right) \delta\phi + \partial_\mu \left(\frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \delta\phi \right) \right].$$

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and require $\phi(x)$ to satisfy EOMs. This results in a **local conservation law**:

$$\partial_\mu J_\mu = 0, \quad J_\mu \equiv \mathcal{K}_\mu - \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \delta\phi$$

Integration over space leads to the **conserved charge**

$$\frac{d}{dt} Q = 0, \quad Q = \int d\mathbf{x} J_0$$

NB: If $\delta\phi = \rho_i \delta_i \phi$ depends on parameters ρ_i , we have a conservation law for every ρ_i . For **Global** symmetries ρ_i do not depend on coordinates.

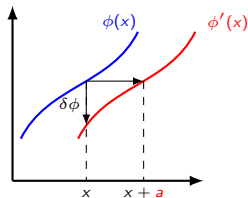
The Noether Theorem: Space-time symmetries

Consider space-time **translations**

$$\phi'(x + a) = \phi(x)$$

$$\text{expand in } a \Rightarrow \delta\phi(x) = -a_\nu \partial_\nu \phi(x),$$

$$\delta\mathcal{L}(\phi(x), \partial_\mu \phi(x)) = \partial_\nu (-a_\nu \mathcal{L})$$



A conserved Energy-Momentum Tensor $T_{\mu\nu}$:

$$J_\mu = -a_\mu \mathcal{L} + a_\nu \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \partial_\nu \phi = a_\nu T_{\mu\nu}, \quad \partial_\mu T_{\mu\nu} = 0$$

leads to time-independent “**charges**”

$$P_\nu = \int dx T_{0\nu}$$

Ex1: Consider $\mathcal{L} = |\partial_\mu \phi|^2 + m^2 |\phi|^2$ and find the expression for P_μ .

Ex2: Substitute $\phi(x)$ by its expansion in terms of operators a_p^\pm and b_p^\pm and prove that modulo **operator ordering** ambiguities $P_\mu \rightarrow (\hat{\mathcal{H}}, \hat{\mathbf{P}})$.

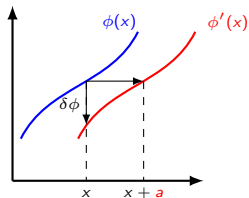
The Noether Theorem: Space-time symmetries

Consider space-time **translations**

$$\phi'(x + \mathbf{a}) = \phi(x)$$

$$\text{expand in } \mathbf{a} \Rightarrow \delta\phi(x) = -\mathbf{a}_\nu \partial_\nu \phi(x),$$

$$\delta\mathcal{L}(\phi(x), \partial_\mu \phi(x)) = \partial_\nu (-\mathbf{a}_\nu \mathcal{L})$$



A conserved Energy-Momentum Tensor $T_{\mu\nu}$:

$$J_\mu = -\mathbf{a}_\mu \mathcal{L} + \mathbf{a}_\nu \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \partial_\nu \phi = \mathbf{a}_\nu T_{\mu\nu},$$

$$\partial_\mu T_{\mu\nu} = 0$$

Lorentz transform
for a **scalar** field
 $\phi'(\Lambda x) = \phi(x)$

leads to time-independent “**charges**”

$$P_\nu = \int d\mathbf{x} T_{0\nu}$$

Ex1: Consider $\mathcal{L} = |\partial_\mu \phi|^2 + m^2 |\phi|^2$ and find the expression for P_μ .

Ex2: Substitute $\phi(x)$ by its expansion in terms of operators a_p^\pm and b_p^\pm and prove that **normal-ordered** expression $P_\mu \rightarrow (\hat{\mathcal{H}}, \hat{\mathbf{P}})$.

The Noether Theorem: Internal symmetries

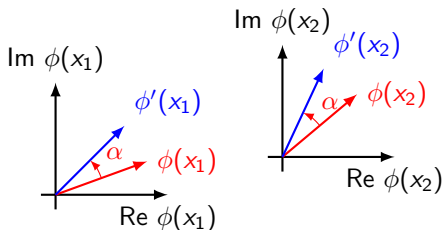
There is an additional symmetry of

$$\mathcal{L} = \partial_\mu \phi^\dagger \partial_\mu \phi - m^2 \phi^\dagger \phi$$

$$\phi'(x) = e^{i\alpha} \phi(x)$$

$$\delta\phi(x) = i\alpha\phi(x)$$

$$J_\mu = i(\phi^\dagger \partial_\mu \phi - \phi \partial_\mu \phi^\dagger)$$



It is a $U(1)$ symmetry:

- It acts in **internal** space (“rotates” complex number $\phi(x)$ at every x)
- It is a **global** symmetry (rotation angle α does not depend on x).

Ex: Check again that we will obtain the expression for the operator \hat{Q} .

Lagrange Approach to Quantum Fields: Mini Summary

The approach based on **Lagrangians** allows one to (given \mathcal{L})

- Derive **EOMs** (via **Action Principle**).
- Find **Symmetries** of the Action.
- Find **Conserved** quantities (via the Noether Theorem)

After quantisation the operators of **conserved** quantities

- can be used to define a convenient **basis** of states, e.g.,:

$$|\mathbf{p}\rangle \equiv |\mathbf{p}, +1\rangle, |\bar{\mathbf{p}}\rangle \equiv |\mathbf{p}, -1\rangle \Rightarrow \hat{Q}|\mathbf{p}, q\rangle = q|\mathbf{p}, q\rangle, \hat{\mathbf{P}}|\mathbf{p}, q\rangle = \mathbf{p}|\mathbf{p}, q\rangle$$

- act as **generators** of symmetries, e.g. for space-time translations:

$$U(a) = \exp\left(i\hat{P}_\mu a_\mu\right), \quad \hat{\phi}(x+a) = U(a)\hat{\phi}(x)U^\dagger(a)$$

NB: For $a_\mu = (t, \mathbf{0})$ we obtain the connection between **Schrödinger** and **Heisenberg** pictures:

$$O_H(t) = e^{i\hat{\mathcal{H}}t} O_S e^{-i\hat{\mathcal{H}}t}.$$

Lagrange Approach to Quantum Fields: Mini Summary

In QFT we usually **start** building our models by **postulating** symmetries (and other good properties) of the Action/Lagrangian!

We assume that general \mathcal{L} is

- Lorentz (Poincare) invariant* (a sum of Lorentz scalars),
- Local (involve finite number of partial derivatives),
- Real (hermitian) (respects unitarity=conservation of probability)

In addition, we can impose other symmetries and get further restrictions on the model...

*Lorentz invariance is crucial for proving the *CPT*-theorem.