

**Optimizing search strategies for DIR-CP  
in charm decays  
Amarjit Soni  
HET-BNL**

**CKM-2018  
Univ of Heidelberg  
09/18/18**

# Outline

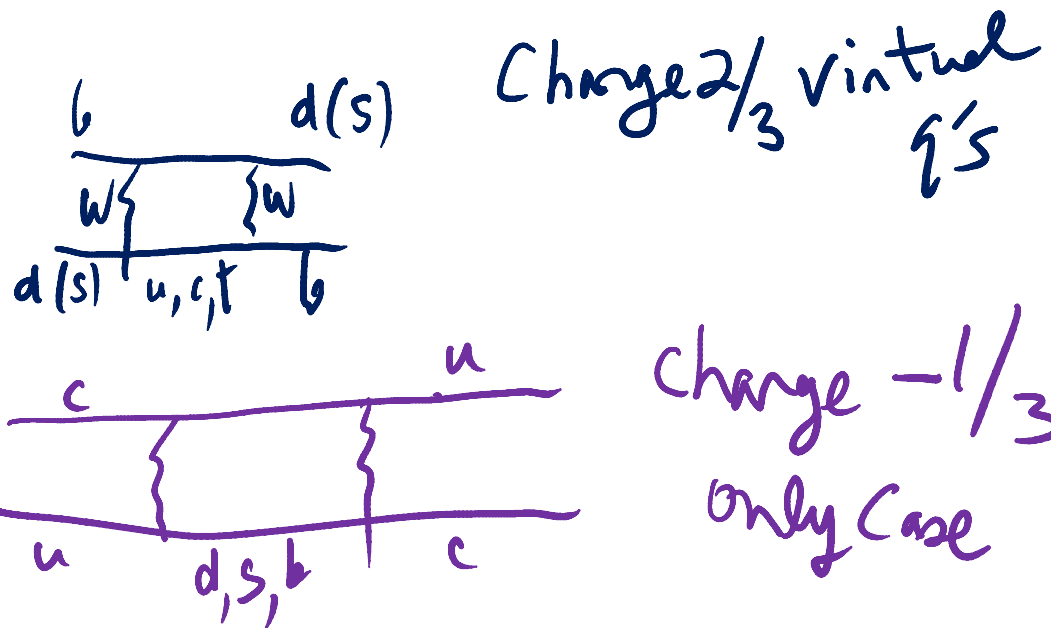
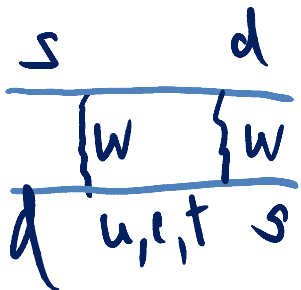
- Recapitulate: SM expectations => charm physics difficult due to non-perturbative effects
- Small [quantify?] CP symmetry violations in SM.
- Therefore very good use of charm as null tests
- Currently, several indications of BSM => CP phase
- Esp. implications for charm
- Also in view of anticipated large increase in data
- Strategies to maximize charm- CP [SM and/or BSM]
- Illustrative examples
- More implications for charm of current BSM-hints
- Summary and outlook

# Useful literature for CPV

- Bander, Silverman and A.S., PRL 1979
- Bigi et al; in particular Bigi + Ayan Paul, Several papers
- Hou & Gerard; PRL, 1989, systematic implement CPT
- Feldmann, Nandi and A.S. JHEP 2012  $sm \leq 1\% \Delta A_{CP}$
- Atwood + A. S, PTEP 2013.....update now
- Atwood, Bar-Shalom, Eilam and A.S, Phys Rept 2001
- W. Altmannshofer, CKM-Vienna 2014 [talk]
- **Jolanta Brodzicka**, Implications workshop, CERN, 2017 [talk]....**many very useful experimental updates**
- **Marco Gersabeck**, talks at FPCP 18 & at Weihai-18
- **A S lecture III @ 2018 Weihai**

# Charm system is unique

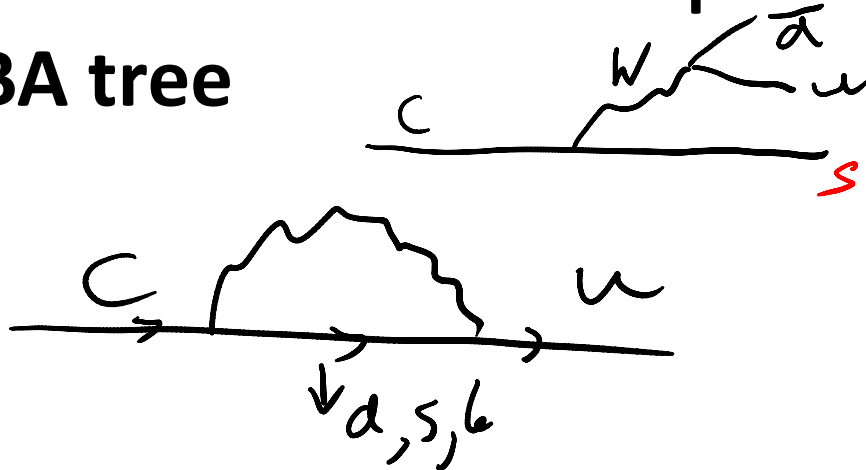
- Distinct from K and B-mixings



Delta F=2 mixings are an extremely valuable treasure in providing stringent constraints on NP scenarios.....

# Tree vs penguin

- CBA tree



Not so in  $s, b$

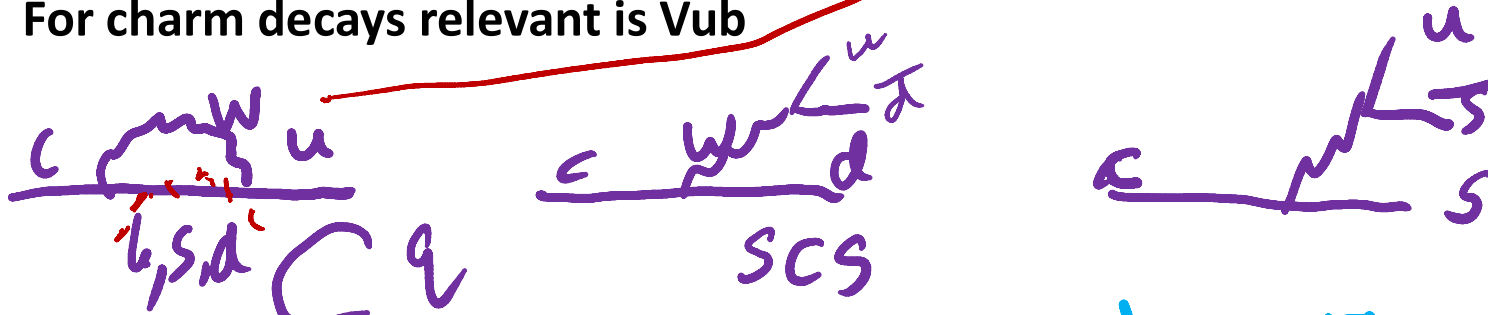
$V_{us}$   
 $\pi^2$

$V_{cb}$   
 $\chi^2 \sim 0.4$

- Penguin..partial cancellation between d,s
- Also  $(mb/mW)^2 \ll (mt/mW)^2$
- So corrections due to c-penguin are much muted compared K and B decays

# SM expectation...DCP

- Dir CP..... See Bander, Silverman + AS, PRL 1979 for DCP when  $m_q \gg \lambda_{\text{QCD}}$ ...anticipate large corrections for charm from s-quark [K-decays] → non-perturbative
- Key points: Penguin-Tree interference; SCS modes.....Hall mark of BSS'79
- Need suitable simple changes
- SM CKM phase either in  $V_{ub}$  or in  $V_{td}$  →  $m_c^2 > 4[m_s^2, m_d^2]$
- For charm decays relevant is  $V_{ub}$



DCP  $\sim \frac{g_m [V_{cb} V_{ub}^* \lambda]}{\lambda^2} \sim \lambda^4 \sim 10^{-3}$

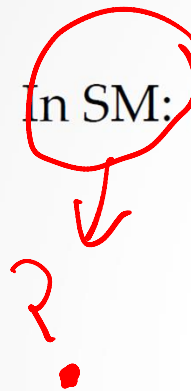
Enhance by CLS Tree etc  $\sim N^2 \lambda^4 \sim 10^2 !!$   
 See later ↑ DIFFICULT

$$\Delta A_{CP} = A_{CP}(D^0 \rightarrow K^+K^-) - A_{CP}(D^0 \rightarrow \pi^+\pi^-)$$

- Simple & sensitive

$$\Delta A_{CP} \simeq \left[ A_{CP}^{\text{direct}}(KK) - A_{CP}^{\text{direct}}(\pi\pi) \right] + \frac{\Delta \langle t \rangle}{\tau_D} A_{CP}^{\text{indirect}}$$

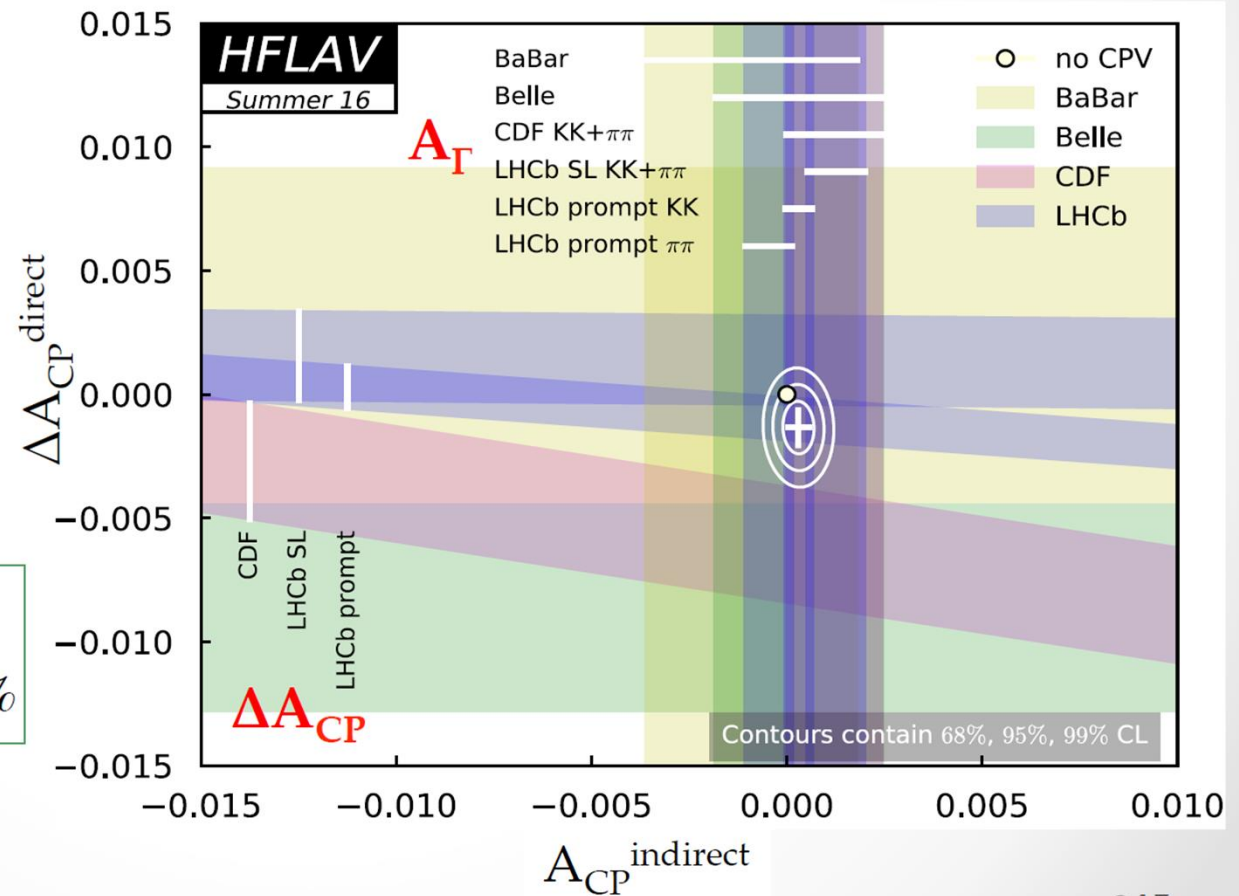
- In SM:  $|\Delta A_{CP}^{\text{direct}}| \leq 0.6\%$



- HFLAV average

$$\Delta A_{CP}^{\text{direct}} = (-0.13 \pm 0.07)\%$$

$$A_{CP}^{\text{indirect}} = (0.030 \pm 0.026)\%$$



Excitement from LHCb: CIRCA 2012

from  $D^0$ - $\bar{D}^0$  mixing, and  $A_{\text{CP}}^{\text{ind}}$  stems from the interference of mixing and decay. Recent results from the LHCb experiment [18] on CP asymmetries in  $D^0$  decays,

$$\Delta A_{\text{CP}}^{\text{dir}} \equiv A_{\text{CP}}^{\text{dir}}(K^+K^-) - A_{\text{CP}}^{\text{dir}}(\pi^+\pi^-) = -(0.82 \pm 0.21 \pm 0.11) \%, \quad (1.3)$$

indicate a  $3.5\sigma$  deviation from 0, with a large amount of experimental systematics cancelling

[18] LHCb collaboration, R. Aaij et al., *Evidence for CP-violation in time-integrated  $D^0 \rightarrow h^-h^+$  decay rates*, *Phys. Rev. Lett.* **108** (2012) 111602 [[arXiv:1112.0938](https://arxiv.org/abs/1112.0938)] [[INSPIRE](#)].

Unfortunately short lived excitement  
Better understanding of SM Th expectation



# **BEST CHANCE IN A VERY LONG TIME OF POSSIBLE SIGHTINGS OF BSM**

# Anomalies galore!

- RD(\*)  $\sim 46(?)$  probably lot less
- RK(\*) :  $2.66(R_K)$  ; probably  $\sim 3.56$  but only LHC
- g -2...BNL =>FNAL expt...  $\sim 3.66$  main lattice progress by RBC-UKQCD & others

# ■ $R(D^{(*)})$ by HFAG

Hirose [BELLE]@EW  
MORIOND Mar. 2017

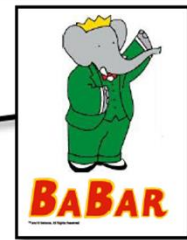
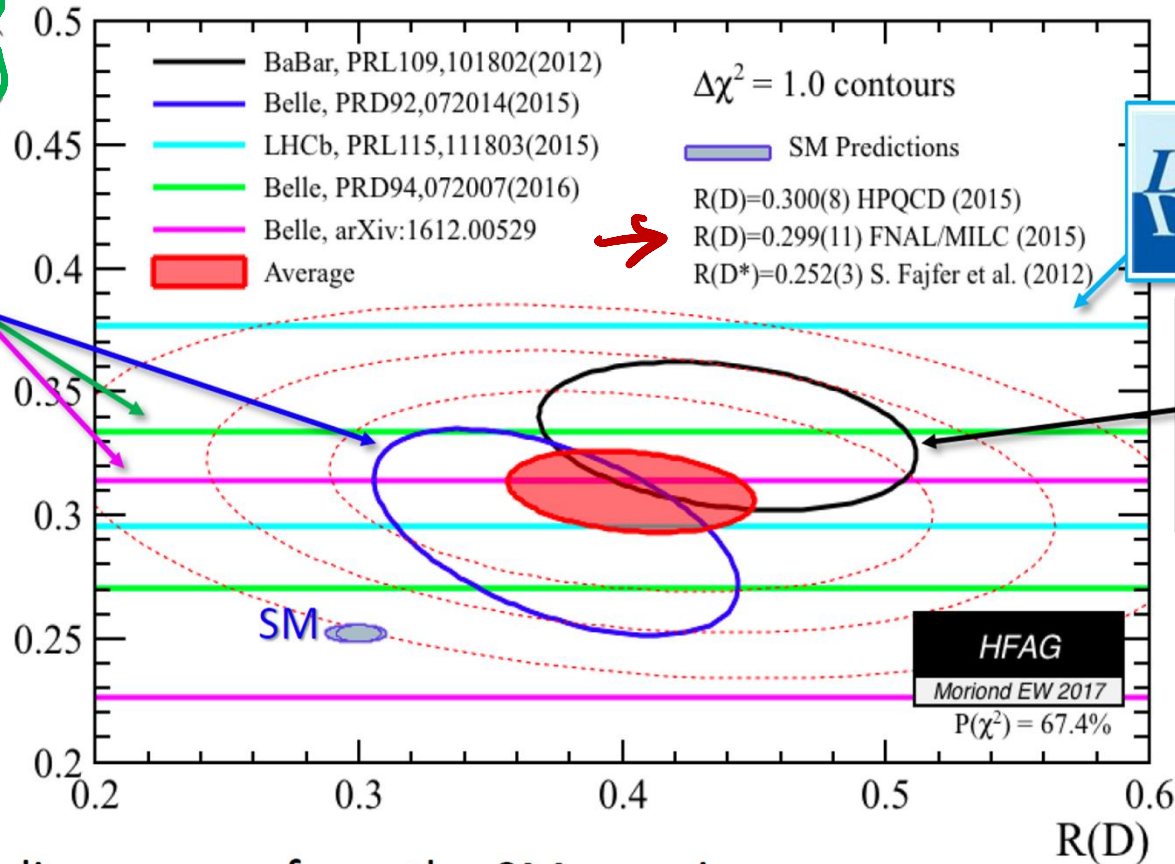
11/15

$$R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \mu \nu)}$$

$\lambda = \mu, e$



$$C_c \frac{V_{cb}^2}{C}$$



- $\sim 4\sigma$  discrepancy from the SM remains
  - All the experiments show the larger  $R(D^{(*)})$  than the SM
- More precise measurements at Belle II and LHCb are essential



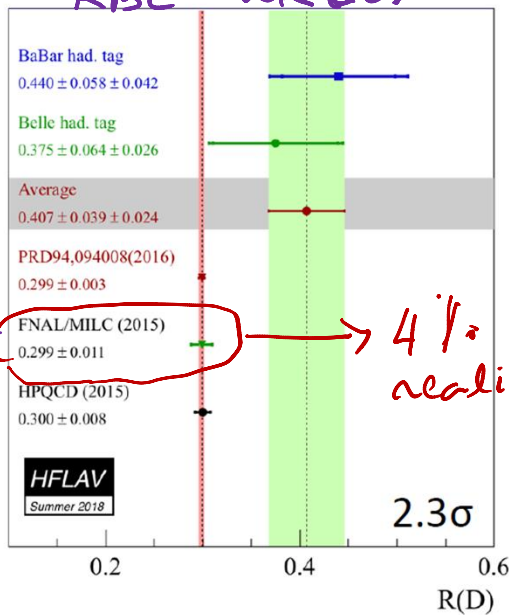
Belle deviations quite mild

# Status of $R(D^{(*)})$ results

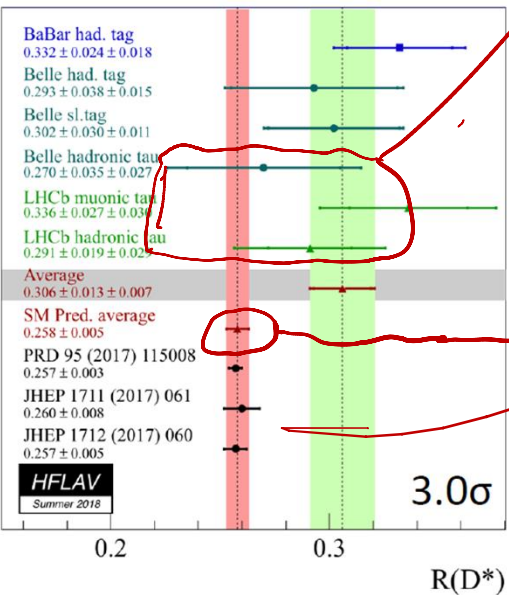
also WITZEL et al  
RBC - UK QCD

$R_D$  Theory much cleaner but QED radiative cor needed.  
more expt of fat on  $R_D$  needed

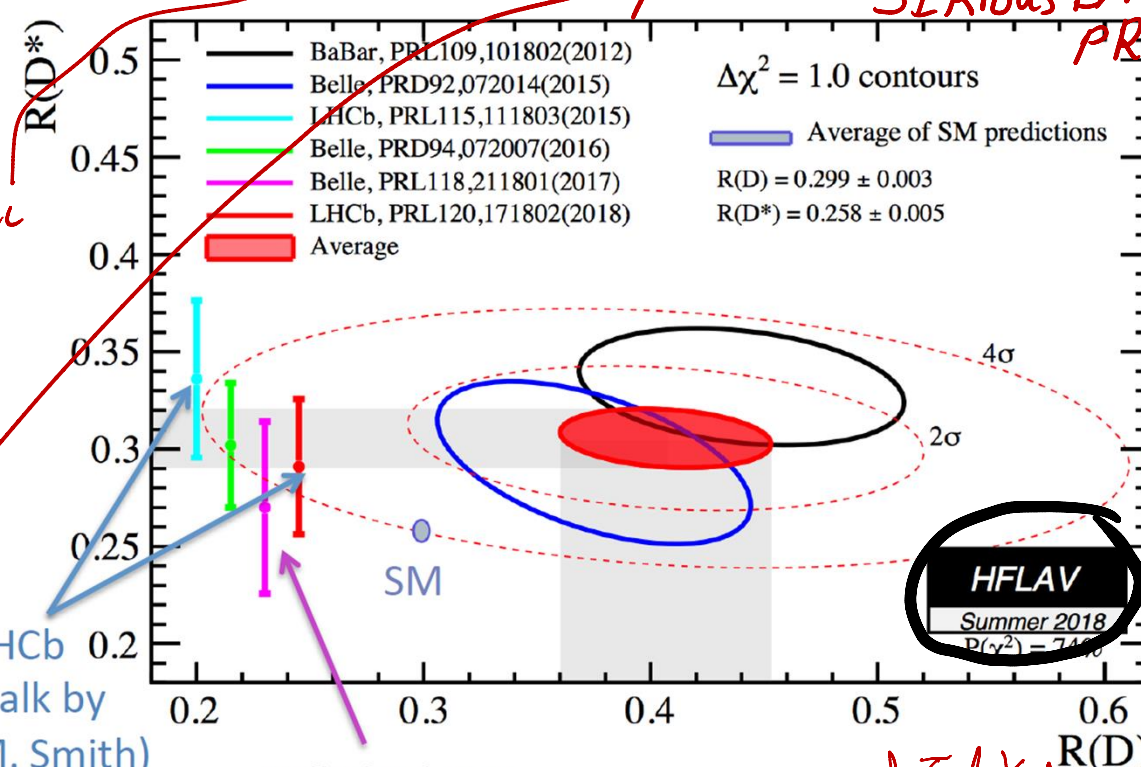
POTENTIALLY VERY SERIOUS EXPERIMENTAL PROBLEM



4% realistic



Serious  
likely also affects  $V_{cb}$

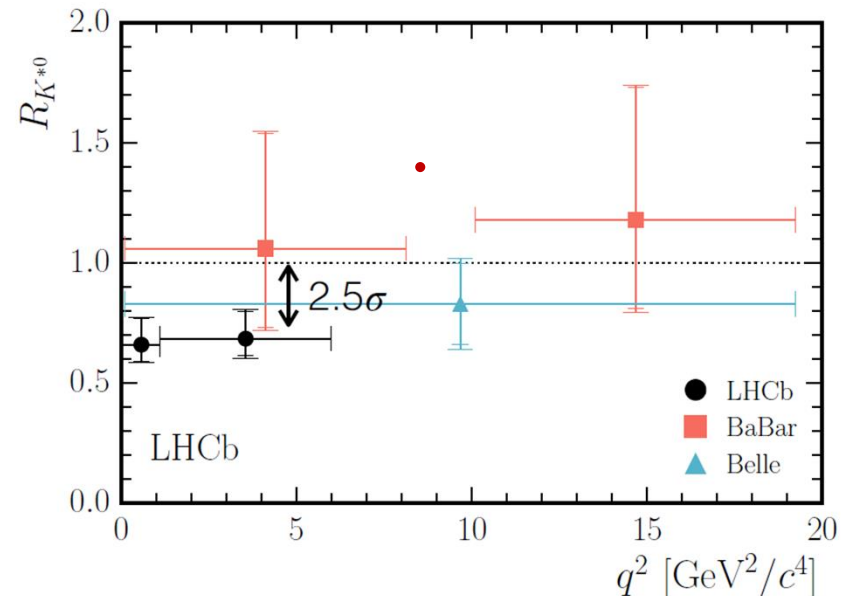
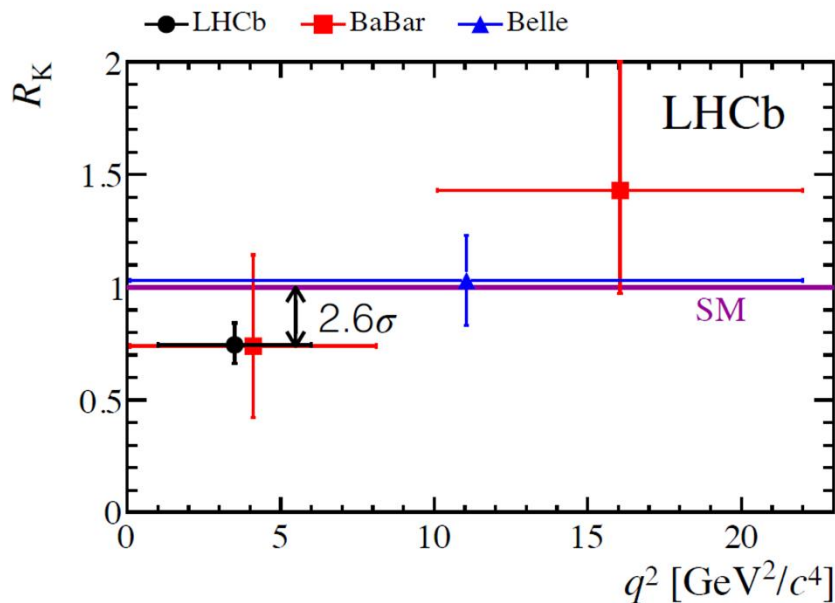


LHCb 0.2  
(talk by M. Smith)

Belle had. tag ( $\tau$  polarization) also a recent  $\tau$  likely probe into  $\nu$  Theory errors because  $D^*$  has spin B  
Deviation from SM prediction  $3.9\sigma$   
V likely OVERESTIMATE

# Lepton universality tests

- We have interesting hints of non-universal lepton couplings in LHCb run 1 dataset:



[LHCb, PRL113 (2014) 151601]

[LHCb, LHCb-PAPER-2017-013]

[BaBar, PRD 86 (2012) 032012]

[Belle, PRL 103 (2009) 171801]

*Radiative Correction see Tsionis et al*

NB  $R_K \approx 0.8$  is a prediction of one class of model explaining the  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  angular observables, see  $L_\mu - L_\tau$  models  
 W. Altmannshofer et al. [PRD 89 (2014) 095033]

# $(g-2)_\mu$ on + off the Lattice

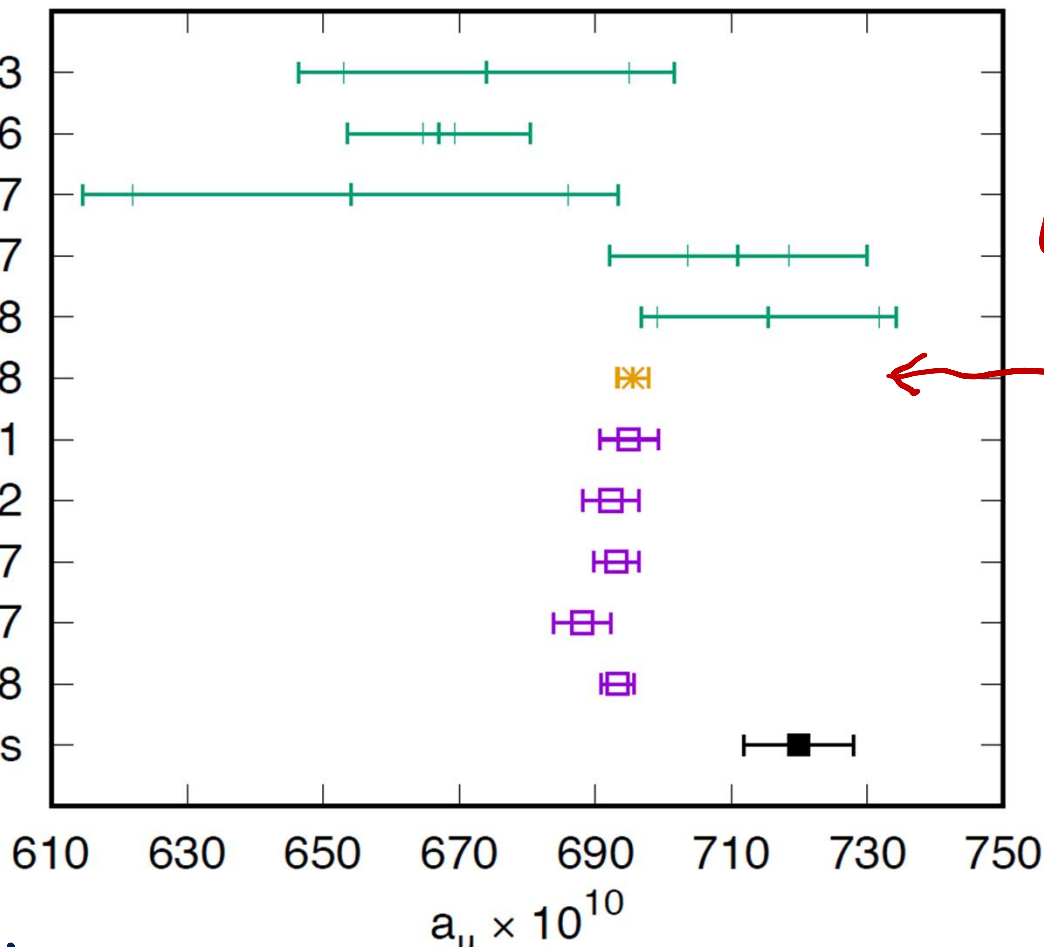
PURE Lattice

- ETMC 2013
- HPQCD 2016
- Mainz 2017
- BMW 2017
- RBC/UKQCD 2018
- RBC/UKQCD 2018

Pheno

- HLMNT 2011
- DHMZ 2012
- DHMZ 2017
- Jegerlehner 2017
- KNT 2018

No new physics



C Lehner et al  
RBC/UKQCD  
HYBRID

SUMMARY: C. LEHNER (BNL)

We need to improve the precision of our pure lattice result so that it can distinguish the "no new physics" results from the cluster of precise R-ratio results.

HET Lunch Seminar 03/09/18

# Possible sightings of new physics

- **An extremely important consequence of NP is that it is highly unlikely (i.e. unnatural) that it will not be accompanied by new CP-odd phase[s]....**
- **This possibility we will explore a bit further**





Since calculations of CPV are not rigorous

## Implications of CPT

Based on

Hou and Gerard, **Phys.Rev.Lett. 62 (1989) 855**

Atwood, Bar-Shalom, Eilam and A.S, **Phys.Rept. 347  
(2001) 1-222**

Atwood and A.S, **PTEP 2013 (2013) no.9, 093B05**

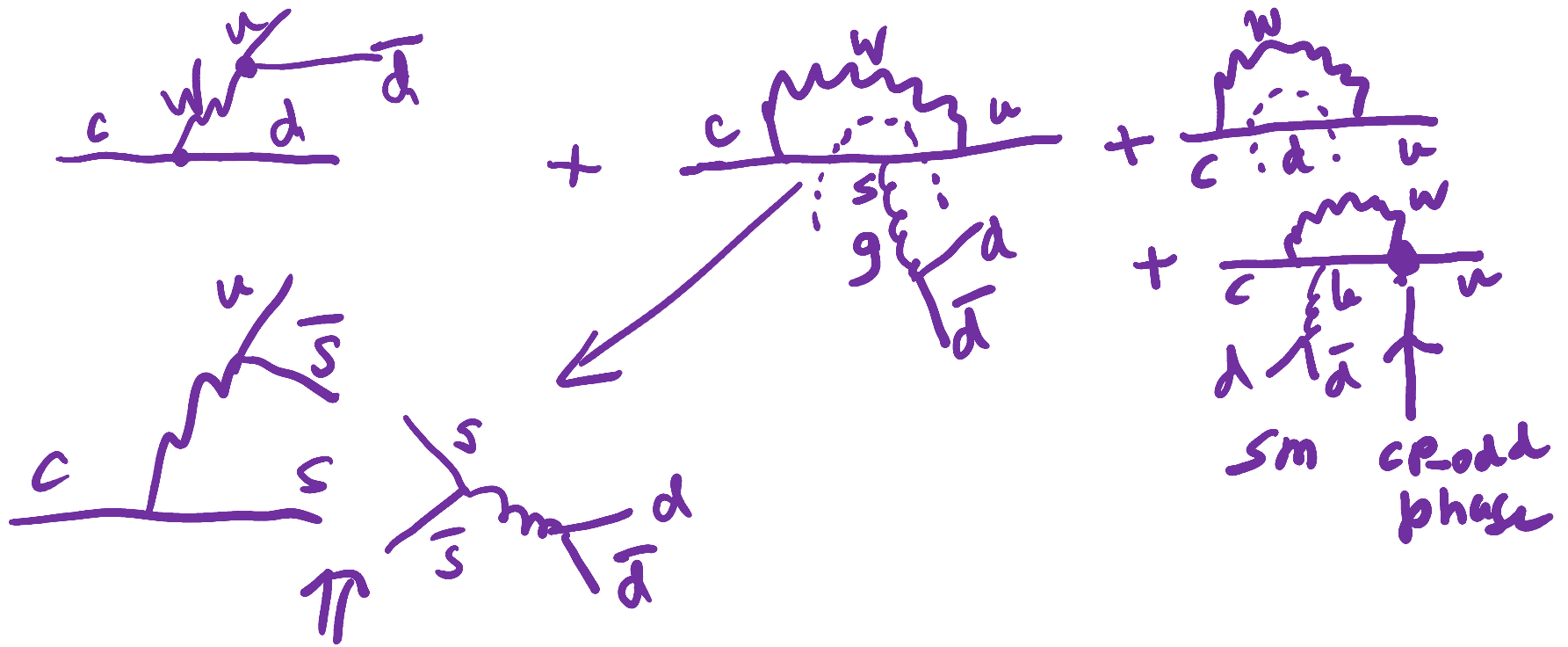
# CP $\Leftrightarrow$ CPT

- A classic test for CPV is the partial rate asymmetry:

- $$\alpha_x = \frac{\Gamma(D \rightarrow X) - \Gamma(\bar{D} \rightarrow \bar{X})}{\Gamma(D \rightarrow X) + \Gamma(\bar{D} \rightarrow \bar{X})}$$

Consider such rate asymmetries in 2 final States:

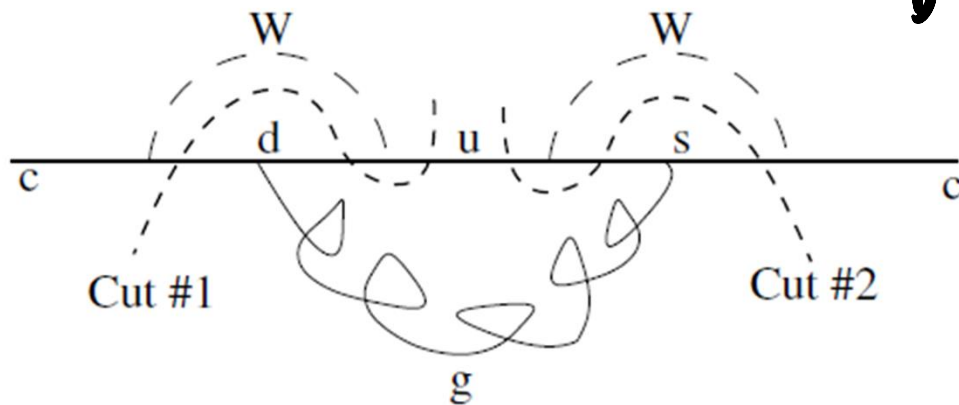
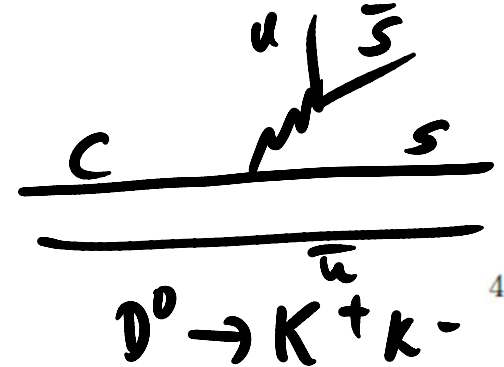
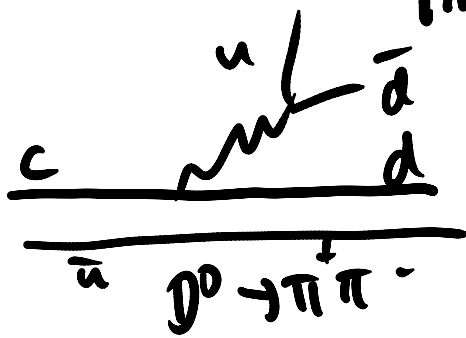
$$C \rightarrow u s \bar{s} \quad \& \quad C \rightarrow u d \bar{d}$$



on-shell rescattering phase  
 CP-even phase  $\Rightarrow$  Total amplitude  
 for  $c \rightarrow u d \bar{d}$  is  
 complex

# Implications of CPT

ILLUSTRATIVE EXAMPLE



See ATWOOD  
+ AS  
PTEP 2012

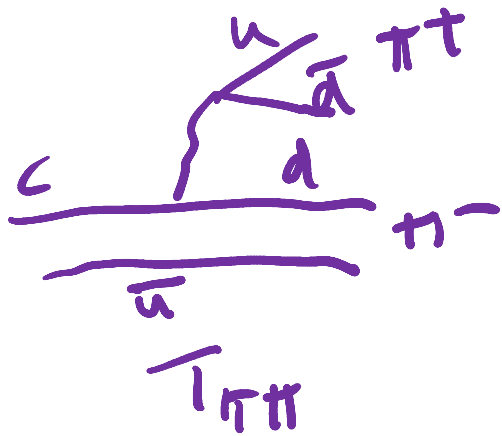
FIG. 1: The unitarity graph showing the CPT identity Eqn. 6 for the quark level SCS charm decay. Cut #1 indicated in the figure shows the case where the decay is  $c \rightarrow d\bar{d}u$  with a  $s\bar{s}u$  intermediate state providing the strong phase. Conversely, cut #2 indicated in the figure shows the case where the decay is  $c \rightarrow s\bar{s}u$  with a  $d\bar{d}u$  intermediate state providing the strong phase. The interfering tree graphs are not shown but are implied

$\Gamma_D = \Gamma_{\bar{D}}$

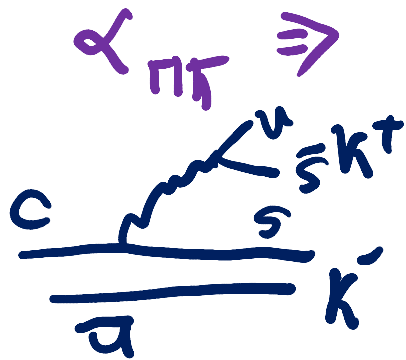
$CPT \Rightarrow \sum_X \Delta\Gamma(D \rightarrow X) \equiv \sum_X [\Gamma(D \rightarrow X) - \Gamma(\bar{D} \rightarrow X)] = 0$

AT the quark level:

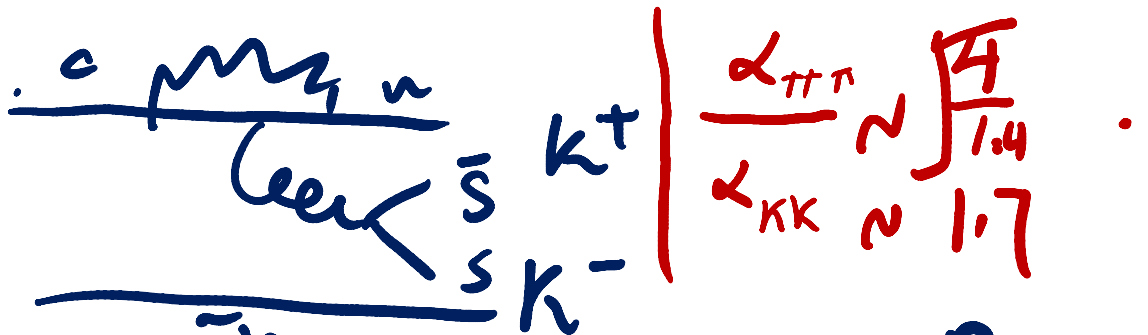
$\Delta\Gamma(c \rightarrow d\bar{d}u) = -\Delta\Gamma(c \rightarrow s\bar{s}u).$



BR  $D^0 \rightarrow K^+ K^- \approx 4 \times 10^{-3}$   
 $D^0 \rightarrow \pi^+ \pi^- \approx 1.4 \times 10^{-3}$



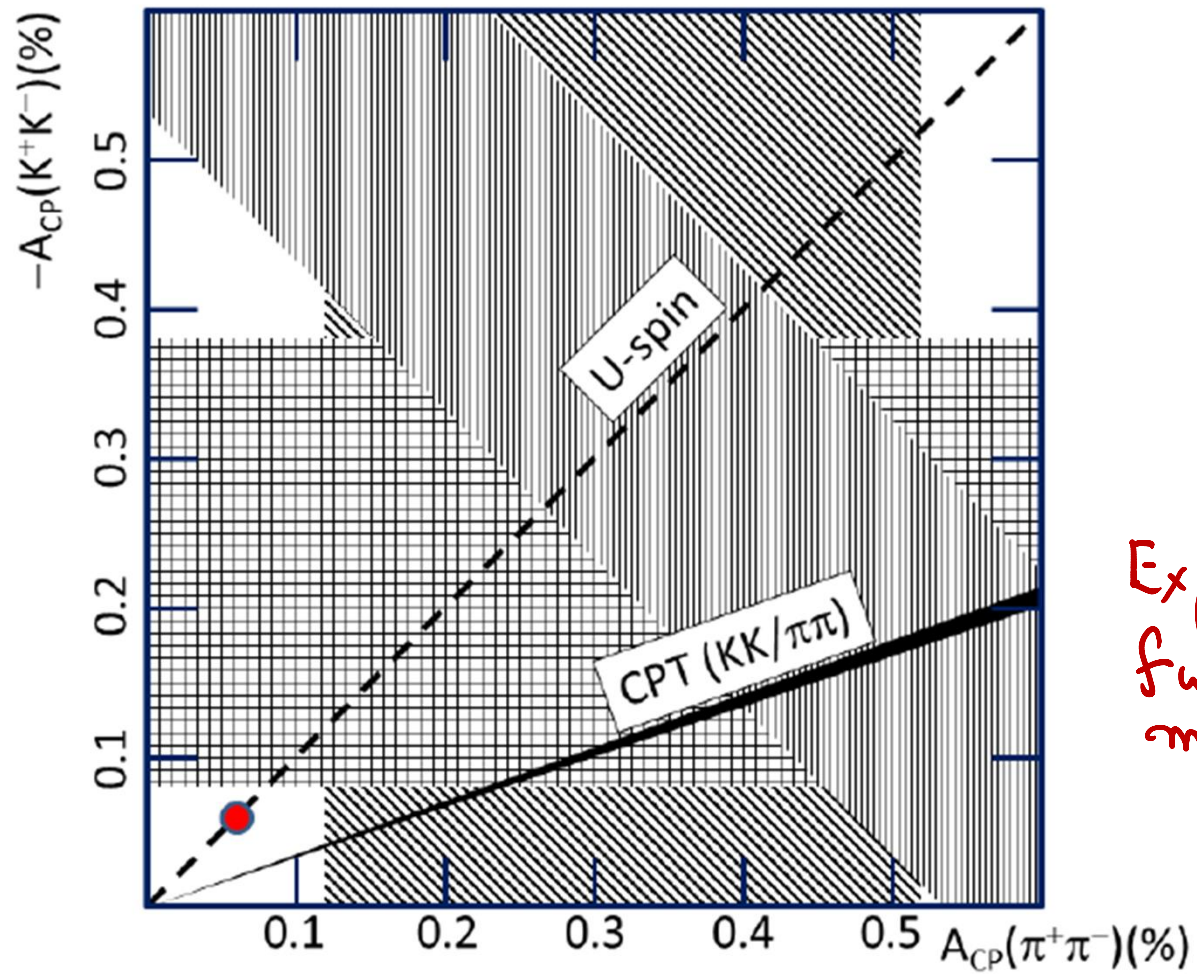
$$\frac{\text{amp} \times T_{\pi\pi}}{|T_{\pi\pi}|^2} \sim \frac{\text{amp}}{T_{\pi\pi}} \sim \frac{\text{amp}}{\sqrt{\text{BR}_{D \rightarrow \pi\pi}}}$$



$$\left. \begin{aligned} \alpha_{\pi\pi} &\sim \sqrt{\frac{4}{1.4}} \\ \alpha_{KK} &\sim 1.7 \end{aligned} \right\}$$

$\alpha_{KK} \Rightarrow$

$$\frac{\text{amp} \times T_{KK}}{|T_{KK}|^2} \sim \frac{\text{amp}}{T_{KK}} \sim \frac{\text{amp}}{\sqrt{\text{BR}_{D \rightarrow KK}}}$$



*Expectation for future measurements*

FIG. 9: The current experimental results for  $A_{CP}(\pi^+\pi^-)$  and  $A_{CP}(K^+K^-)$ . The vertically hatched band shows the

# $A_{CP}(D^0 \rightarrow K^+K^-)$ & $A_{CP}(D^0 \rightarrow \pi^+\pi^-)$

- Individual  $A_{CP}(KK)$ , pion-tagged sample

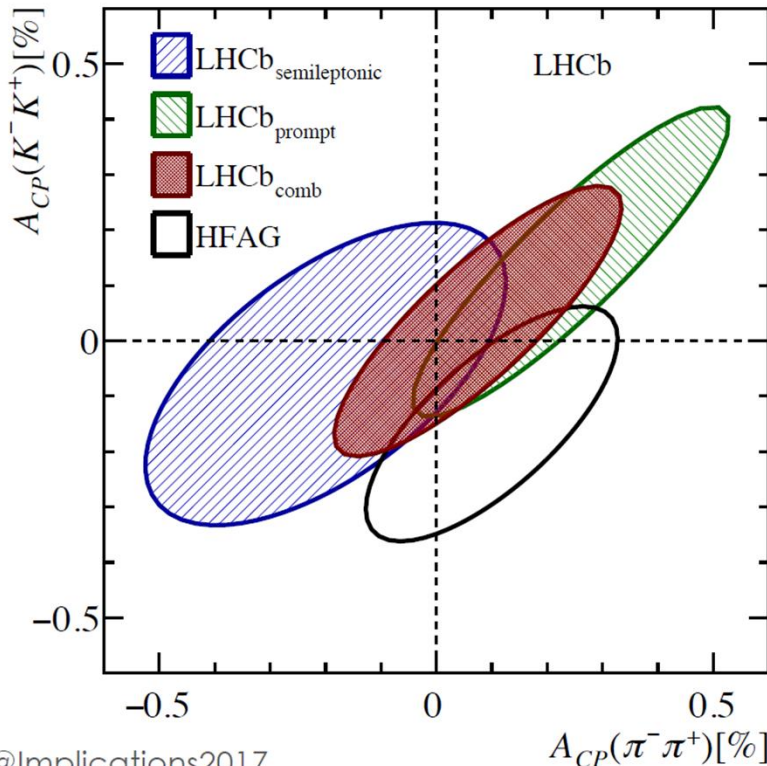
$$A_{CP}(K^+K^-) = (0.14 \pm 0.15 \pm 0.10)\%$$

- Combine with  $\Delta A_{CP} \Rightarrow$

$$A_{CP}(\pi^+\pi^-) = A_{CP}(K^+K^-) - \Delta A_{CP} = (0.24 \pm 0.15 \pm 0.11)\%$$

*Central values*

*Seem consistent with expectation from CPT but errors large*



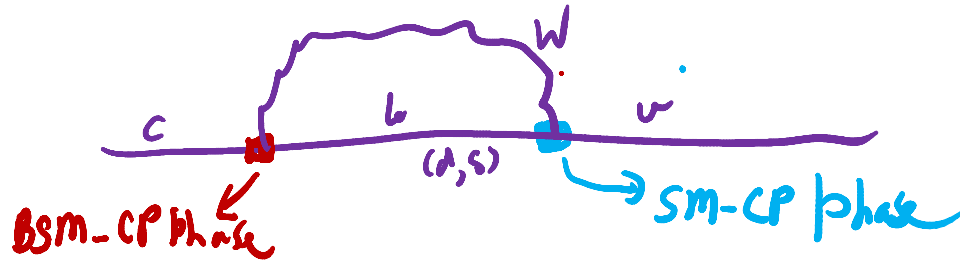
- Combine with results from muon-tagged sample  
JHEP07, 041 (2014)  
 $\Rightarrow$  **LHCb combination**
- Both  $A_{CP}$ 's consistent with zero

# **STARRING MORE AT CHARMING PENGUINS**



Bearing all that in mind, Let's stare some more at c-penguin

$R_{D^{(*)}}$  Anomally  $\Rightarrow$



Charming Penguin

- cb has no SM-CP ...whereas likely it has BSM-CP
- ub does have SM-CP ...whereas likely it has no BSM-CP

$\rightarrow$  Because  $\nabla B \rightarrow D^{(*)}$  anomaly

**MORAL...no matter what charm –penguin is; it is essential for DCP observation**

# Strategy to enhance charm-CP

$$\left[ \alpha \sim \frac{\text{Im} P}{PRA} \right]$$

- Enhance penguin as much as you can
- **For charm-CP extremely important to suppress tree as much as possible:**
- A) avoid  $W \rightarrow ud$  or  $us$  making charge vector state.... e.g.  $\rho^{+-}$  or  $K^{*(+-)}$  ....field-current ....Sakurai VMD ideas
- B) go for CLS ....color suppressed FS...from tree
- C) go for CBS....cabibbo suppressed FS => Singly Cabibbo Suppressed [SCS]....**automatically forced by T-P interference a la Bander, Silverman and A.S PRL 1979**



$$\pi^0 \pi^0 (9^0)$$

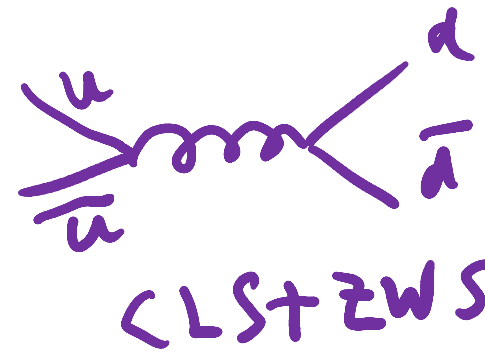
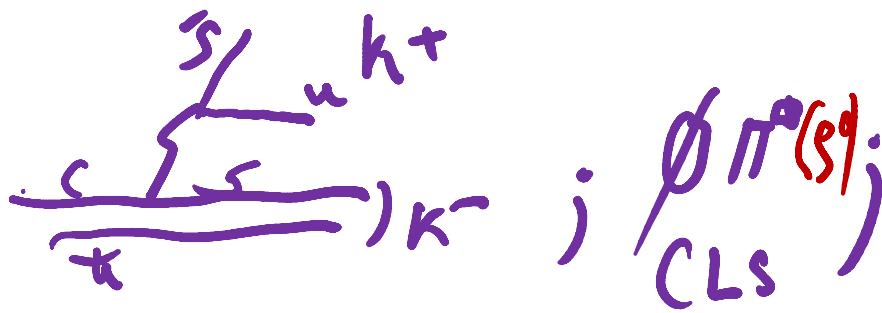
CKM-2018, Soni-BNL



# 4<sup>th</sup> rule

See DA+AS  
PTEPN 2012-13

- Zweig suppressed + CLS
- Only class of modes seem possible here:
- $D0 \Rightarrow K_s K_s, K_0 K_0^*, K_0^* K_0^*$
- Feynman graph



$\alpha_{k^+k^-} < \alpha_{\phi \pi^0 (sg)} < \alpha_{K_s K_s, K_s K_0^*, 2K_0^*}$   
 $K_s K_s, K_0^* K_0^*, 2K_0^*$

# Improved strategy for DCP

- Improved a bit over DA+AS, PTEP 2013, Tab I
- $D_s \Rightarrow \rho^0 K^{(*)+}$  ;  $K^+ \phi$  [NOT  $K^{*+}$ ]
- $D^+ \Rightarrow \phi \pi^+ (\rho^+)$  ;  $K^{0(*)} K^+$  [NOT  $K^{*+}$ ]
- $D^+ \Rightarrow \rho^0 \pi^+ ; \pi^0 \pi^+ \dots$ ; [NOT  $\rho^+$ ]
- $D^0 \Rightarrow K^+ K^{(*)-}$  [NOT  $K^{*+}$ ] ;  $\phi \rho^0$
- $D^0 \Rightarrow \rho^0 \rho^0$  ;  $\rho^0 \pi^0$  ;  $\pi^+ \pi^-$  ;  $\pi^+ \rho^-$  [Not  $\rho^+ \pi^- ; \rho^+ \rho^-$ ]
- NOTES:
- 1) many FS all charged;
- 2) Some VV good for TCA esp.  $D_s \Rightarrow \rho^0 K^{(*)+}$  ,  $D^0 \Rightarrow \phi \rho^0$ ;  $2K^0$ \*
- 3) all  $\pi^0$  always also imply  $\eta^{(\prime)}$  ;
- 4) Special Note:  $\rho^0$  broad width not a problem for CP tests as can always replace it with  $\pi^+ \pi^-$  in a mass window so long as done C-symmetrically with the antiparticle decay as well.

Decay	Suppressed Tree	Charged Final State	Favored	Total BR ( $10^{-3}$ )
$D_s \rightarrow \pi^{(*)0} K^{(*)+}$	X	$[\rho^0 \rightarrow \pi^+ \pi^-] K^+$ $[\rho^0 \rightarrow \pi^+ \pi^-][K^{*+} \rightarrow \pi^+ [K_s \rightarrow \pi^+ \pi^-]]$	X X	$2.7 \pm 0.05$ —
$D_s \rightarrow \phi^{(*)} K^{(*)+}$		$[\phi \rightarrow K^+ K^-] K^+$ $[\phi \rightarrow K^+ K^-][K^{*+} \rightarrow \pi^+ [K_s \rightarrow \pi^+ \pi^-]]$		$< 0.3$ —
$D^+ \rightarrow \pi^{(*)+} \phi^{(*)}$	X	$\pi^+ [\phi \rightarrow K^+ K^-]$	X	$2.65 \pm 0.08$
$D^+ \rightarrow K^{(*)+} \bar{K}^{(*)0}$		$K^+ [K_s \rightarrow \pi^+ \pi^-]$ $K^+ [\bar{K}^{*0} \rightarrow K^+ \pi^-]$ $[K^{*+} \rightarrow \pi^+ [K_s \rightarrow \pi^+ \pi^-]][K_s \rightarrow \pi^+ \pi^-]$ $[K^{*+} \rightarrow \pi^+ [K_s \rightarrow \pi^+ \pi^-]][\bar{K}^{*0} \rightarrow K^+ \pi^-]$		$1.98 \pm 0.13$ $2.45^{09}_{14}$ $5.7 \pm 2.3$ —
$D^+ \rightarrow \pi^{(*)+} \pi^{(*)0}$		$\pi^+ [\rho^0 \rightarrow \pi^+ \pi^-]$		$0.81 \pm 0.15$
$D^0 \rightarrow K^{(*)0} \bar{K}^{(*)0}$	XX	$[K_s \rightarrow \pi^+ \pi^-][K_s \rightarrow \pi^+ \pi^-]$ $[K^{*0} \rightarrow K^+ \pi^-][K_s \rightarrow \pi^+ \pi^-]$ $[\bar{K}^{*0} \rightarrow K^- \pi^+][K_s \rightarrow \pi^+ \pi^-]$ $[K^{*0} \rightarrow K^+ \pi^-][\bar{K}^{*0} \rightarrow \pi^+ K^-]$	X X X X	$0.085 \pm 0.014$ $< 0.2$ $< 0.35$ $.07 \pm 0.05$
$D^0 \rightarrow \pi^{(*)0} \pi^{(*)0}$	X	$[\rho^0 \rightarrow \pi^+ \pi^-][\rho^0 \rightarrow \pi^+ \pi^-]$	X	$1.82 \pm 0.10$
$D^0 \rightarrow \pi^{(*)+} \pi^{(*)-}$		$\pi^+ \pi^-$		$1.400 \pm .026$
$D^0 \rightarrow \phi^{(*)} \pi^{(*)0}$	X	$D^0 \rightarrow \phi \rho^0$	X	$1.40 \pm 0.12$
$D^0 \rightarrow K^{(*)+} K^{(*)-}$		$K^+ K^-$ $[K^{*+} \rightarrow \pi^+ [K_s \rightarrow \pi^+ \pi^-]] K^-$ $K^+ [K^{*-} \rightarrow \pi^- [K_s \rightarrow \pi^+ \pi^-]]$ $[K^{*+} \rightarrow \pi^+ [K_s \rightarrow \pi^+ \pi^-]][K^{*-} \rightarrow \pi^- [K_s \rightarrow \pi^+ \pi^-]]$		$3.96 \pm .08$ $2.19 \pm 0.1$ $0.78 \pm 0.06$ —

MANY MORE MODES

TABLE I: The singly Cabibbo suppressed decays of  $D$  mesons to two ground state are listed. Note that the notation  $\pi^{(*)\pm}$  stands for  $\pi^+$  or  $\rho^+$ ;  $\pi^{(*)0}$  stands for  $\pi^0$ ,  $\rho^0$  or  $\omega^0$ ;  $\phi^{(*)}$  stands for  $\phi$  or  $\eta^{(l)}$  to the extent that  $\eta^{(l)}$  is an  $s\bar{s}$  state.

For each group of decays, we have indicated whether the tree contribution is color suppressed with “X” and if it is both color and Zweig suppressed with “XX”. The instances which lead to an all charged final state are listed. The all suppressed and the final state has an all charged final state shown from [34] we have included it in the last column; this is the all charged decays to the final all charged state indicated.

For details, Atwood + AS, PTEP 2012

# Direct CPV in 4-body decays

- Access to  $\mathcal{P}$ -odd amplitudes  $\Rightarrow$  CPV via P-violation  
 [P-odd amplitude e.g.  $D \rightarrow VV$  in P-wave]  $D^0 \rightarrow f^0 f^0, f^0 \phi^0 \dots$
- 2&3-body D decays: P-even ampl. only  $\Rightarrow$  CPV via C-violation  
 [Baryons: P-odd also in 2&3-body decays]
- CPV in P-even ampl:  $A_{CP} \sim \sin \Delta \phi_{\text{weak}} \sin \Delta \phi_{\text{strong}}$   
 P-odd ampl:  $A_{CP} \sim \sin \Delta \phi_{\text{weak}} \cos \Delta \phi_{\text{strong}}$  ⇐ complementary
- Triple-product method (aka T-odd): sensitive to P-odd CPV **only**

Mode	$A_{CP}^{\text{P-odd}} [10^{-3}]$	Exp	Ref
$D^0 \rightarrow K_S \pi^+ \pi^- \pi^0$	$-0.3 \pm 1.4^{+0.2}_{-0.8}$	Belle	arXiv:1703.05721
$D^0 \rightarrow K^+ K^- \pi^+ \pi^-$	$1.8 \pm 2.9 \pm 0.4$	LHCb	JHEP10 (2014) 005
$D^+ \rightarrow K_S K^+ \pi^+ \pi^-$	$-12 \pm 10 \pm 5$	Babar	PRD84 031103(2011)

Triple product:  
 $C_T \equiv \vec{p}_1 \cdot (\vec{p}_2 \times \vec{p}_3)$

# Implications of CPT for CP-violating observables [I]

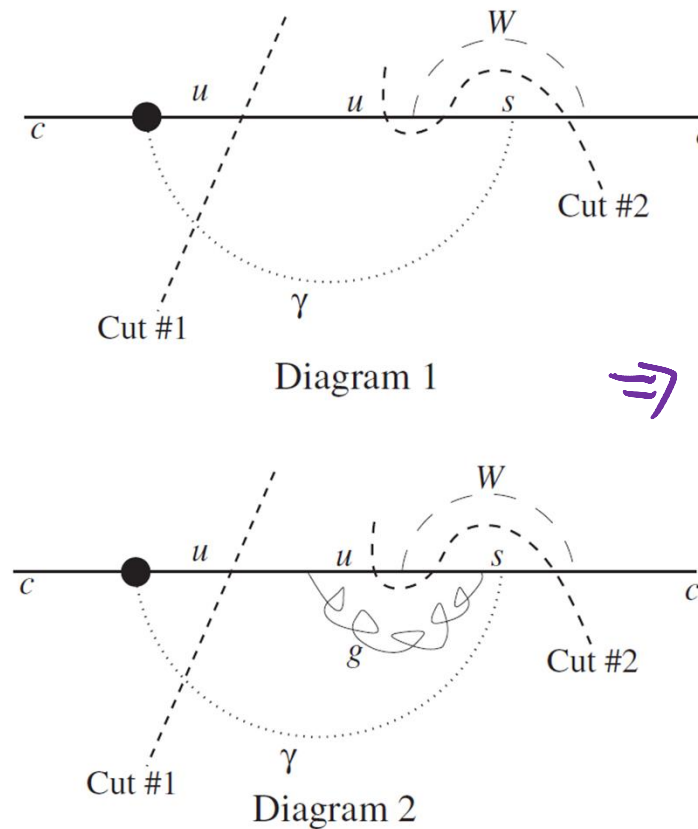
*D. Atwood et al. / Physics Reports 347 (2001) 1–222*

Table 1

Transformation properties under  $T_N$  and CP and presence or absence of final state interactions (FSI). Hei present and N  $\equiv$  FSI absent

$T_N$	CP-violating	CP-conserving
even $\rightarrow$ Energy, P, R odd $\downarrow$ TCA	Y $\rightarrow$ Needs FSI phase N $\downarrow$ Does not	N Y

$\downarrow$  requires loop



Implications of CPT  
 => CPV in on-shell  $\gamma$   
 small

**Fig. 3.** This unitarity graph illustrates CPT conservation for the quark level process  $c \rightarrow u\gamma$  due to NP. Diagram 1 shows the lowest order interference between NP and SM where cut #1 is for the  $c\gamma$  final state and cut #2 is for an  $s\bar{u}$  final state. Cut #2 cannot be on shell. Diagram 2 shows an example of an order  $\alpha_s$  correction to diagram 1 where cut #2 can be on shell.



Off-shell gamma, Z esp. important in light of current LHCb  
hints of LUV

- $D(s) \Rightarrow [\pi(K), \rho(K^*)] + l^+ l^-$

For  $l = \mu, e \dots$  for LUV tests

Many ways to test CP, for example,  
Compare lepton pair invariant mass  
From particle to anti-particle decays

### Radiative modes

$\rho^0 \gamma$	< 2.4	$\times 10^{-4}$	CL=90%	771
$\omega \gamma$	< 2.4	$\times 10^{-4}$	CL=90%	768
$\phi \gamma$	$( 2.73 \pm 0.35 )$	$\times 10^{-5}$		654
$\overline{K}^*(892)^0 \gamma$	$( 3.31 \pm 0.34 )$	$\times 10^{-4}$		719




---

$\gamma \gamma$	CI	< 2.2	$\times 10^{-6}$	CL=90%	932
$e^+ e^-$	CI	< 7.9	$\times 10^{-8}$	CL=90%	932
$\mu^+ \mu^-$	CI	< 6.2	$\times 10^{-9}$	CL=90%	926
$\pi^0 e^+ e^-$	CI	< 4.5	$\times 10^{-5}$	CL=90%	928
$\pi^0 \mu^+ \mu^-$	CI	< 1.8	$\times 10^{-4}$	CL=90%	915
$\eta e^+ e^-$	CI	< 1.1	$\times 10^{-4}$	CL=90%	852
$\eta \mu^+ \mu^-$	CI	< 5.3	$\times 10^{-4}$	CL=90%	838
$\pi^+ \pi^- e^+ e^-$	CI	< 3.73	$\times 10^{-4}$	CL=90%	922
$\rho^0 e^+ e^-$	CI	< 1.0	$\times 10^{-4}$	CL=90%	771
$\pi^+ \pi^- \mu^+ \mu^-$	CI	< 5.5	$\times 10^{-7}$	CL=90%	894
$\rho^0 \mu^+ \mu^-$	CI	< 2.2	$\times 10^{-5}$	CL=90%	754



Table 1

Number of events expected for one year of running.

STCF

expectations

Physics channel	Center-of-mass energy (GeV)	Peak luminosity ( $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ )	Physics cross section (nb)	Number of events per year
$J/\psi$	3.097	0.6	$\sim 3400$	$10 \times 10^9$
$\tau$	3.67	1.0	$\sim 2.4$	$12 \times 10^6$
$\psi(2S)$	3.686	1.0	$\sim 640$	$3.0 \times 10^9$
$D$	3.770	1.0	$\sim 5$	$25 \times 10^6$
$D_s$	4.030	0.6	$\sim 0.32$	$1.0 \times 10^6$
$D_s$	4.140	0.6	$\sim 0.67$	$2.0 \times 10^6$

Expect # of  $\tau$ 's,  $D$ 's  $\gtrsim 10^9$  in the coming years

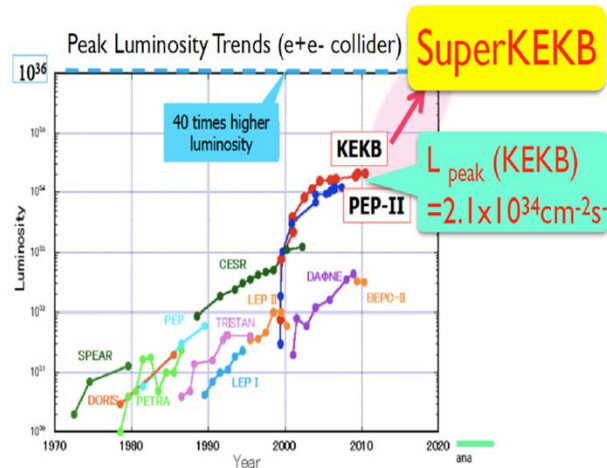
Toru Iijima @  
SCGP May 31,  
2018

# SuperKEKB/Belle II

New intensity frontier facility at KEK

- Target luminosity ;  $L_{\text{peak}} = 8 \times 10^{35} \text{cm}^{-2}\text{s}^{-1}$   
 $\Rightarrow \sim 10^{10} \text{ } \bar{B}B, \tau^+\tau^- \text{ and } \underline{\text{charms per year !}}$

$$L_{\text{int}} > 50 \text{ ab}^{-1}$$



*The first particle collider after the LHC !*

# # of D's vs Br & Asymm

$$N = N_{\sigma}^2 / (\text{Br} A_{\text{CP}}^2) \propto \frac{N_{\sigma}^2}{|A|^2 |a/A|^2} \propto \frac{N_{\sigma}^2}{|a|^2}. \quad (11)$$

So that, generally,  $N$  depends on  $a$  but is independent of  $A$ , but a smaller value of  $A$  does enhance  $A_{\text{CP}}$ ;  $N$  is not affected because this is at the expense of the branching ratio. Going to a mode that has a smaller branching ratio with higher asymmetry has the advantage of reducing the effects of systematic errors and other errors that are not statistical in nature, *all other things being equal*.

With  $B_R \sim O(10^{-3})$ ,  $A_{\text{CP}} \sim 10^{-2}$ ;  $N_G = 3$ ,  $n_{\text{eff}} \sim \frac{1}{10}$

$N \gtrsim 10^9$

puts things in interesting region

$B_R \sim 10^{-2}$ ,  $A_{\text{CP}} \sim 10^{-3} \Rightarrow$

$N \gtrsim 10^{10}$

for 3-5 observation

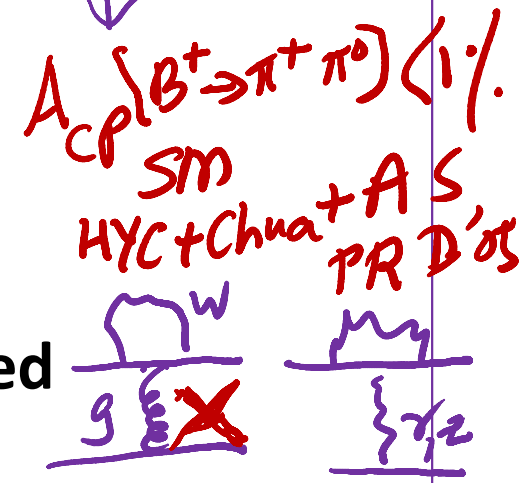




# Null tests: Dir CP

$B_r \approx 5.5 \times 10^{-6}$

- A very powerful class of null tests relevant for the era of the huge data sets on the horizon and esp suited for lattice calculations is
- $D, B \Rightarrow \pi[K] |^+ |^-$  [diff. rate and Dir CP];
- $K^+, D^+, B^+ \Rightarrow \pi^+ \pi^0$   $A_{CP}$
- FS is  $I=2$  and transitions are all  $\Delta I=3/2$
- Therefore to the extent isospin is conserved
- gluonic penguins cannot contribute [only tree + (8,8) ops enter]
- Calculations are a lot simpler than eps' because disconnected diagrams cannot contribute
- However EMIV [electro -mag + isospin violations] are essential for non-vanishing SM-CPV thus rendering these as approx null tests....
- Quantitative calculation of these non-perturbative effects become essential
- One is encouraged by the fact that calculations of EMIV are becoming standard tools in many lattice calculations



DIR-CP  
Great Null tests now due  
Belle-II & LHCle



# SM expectations for DirCP: examples

- **Expected hierarchy:**
- **ACP[b=>s]>ACP[c=>u] [l l]**
- **ACP[b=>d]>ACP[b=>s][l l]**
- **ACP[b=>d]>ACP[b=>s] [q q']**

**All follow from CPT**

# Summary & Outlook

- SM-CP expectations in charm  $< \sim 1\%$ ....small
- Charm serves as a superb null test
- Several indications of new physics around now
- Can have major repercussions for charm decays
- In particular with some insight focussing on selected modes may pay good rewards..gave several examples of hadronic modes
- For purely hadronic modes, expectations for CPAsy from SM is a hierarchy (focus here only on CBS mode):  $CL^+ ZWS > CL^+ > CLA$ ; also to enhance CPAsy should avoid  $W^+ \Rightarrow \rho^+ \text{ or } K^{*+}$   
[there are many other ways of making vector mesons in the final state that should be exploited]
- $D^+ (B^+) \Rightarrow \pi^+ \pi^0$  is good way to go after, but precise SM predictions are absent and isospin breakings may be sizeable
- Its also important to go after  $c \Rightarrow u \ell \ell$ ,  $c \Rightarrow u \gamma$  but expected rates are rather small.
- **Very good chance that in the next  $\sim 5$  years, via IF machines, LHCb, Belle-II, STCF along with precise computations ...major advances in our understandings of Particle Physics will be made**

**EXTRA**

# Topics

- $D \Rightarrow h h | | \text{bigi} + A \text{ and Gronu} + R$
- $b \Rightarrow c$  and anomaly
- $D \Rightarrow$  hadronic 4-body FS
- $D \Rightarrow K + X$  and A+S point
- CPT a la DA + AS; Bigi +
- DA + AS Table
- $\Delta I = 1/2$  enhancement; RBC-UKQCD prl
- Emerging figure at mpi phy and heavier
- Likely affects all 2 pi exclusive modes
- For PV and VV color counting likely works a lot better...anticipated by DA+AS PTEP

# Summary (so far) on Recent D-CP results

- SM explanation cannot be ruled out and is quite plausible; *however, a compelling case for SM explanation can also not be made.*
- *Unless true result is , for sure, 1% or more , not a compelling sign of new physics*
- theory estimates plagued by large hadronic (non-perturbative) uncertainties; NO RIGOUROUS METHOD IN SIGHT; LONG-TERM WORRY => Ghost of  $\epsilon'/\epsilon$ . However, unlike  $K \rightarrow \pi\pi$  , lattice methods appear exceedingly difficult  $\Rightarrow$  DA+AS 2012 See Later
- More exptal input (many other modes) crucial & could change interpretation...
-

MORE EXPERIMENTAL INPUT COULD BE VERY USEFUL  
 (PDG + HFAG  $\sim$  OQG)  $A_{CP} \neq 0$

Mode	BR	$A_{CP}$ in %	$5\sigma$ Reach
$D^+ \rightarrow K_S \pi^+$	$1.47 \times 10^{-2}$	$-0.52 \pm 0.14$ [32]	$1 \times 10^{-3}$
$D_s \rightarrow \eta' \pi^+$	$3.94 \times 10^{-2}$	$-6.1 \pm 3.0$ [63] $-5.5 \pm 3.7 \pm 1.2$ [32]	$0.7 \times 10^{-3}$
$D_s \rightarrow K_S \pi^+$	$1.21 \times 10^{-3}$	$6.6 \pm 3.3$ [63] $6.53 \pm 2.46$ [32]	$4 \times 10^{-3}$

THESE Need clarification.

AT ISSUE IS DIRECT CP  $\Rightarrow$  USE  $D^\pm, D_s$

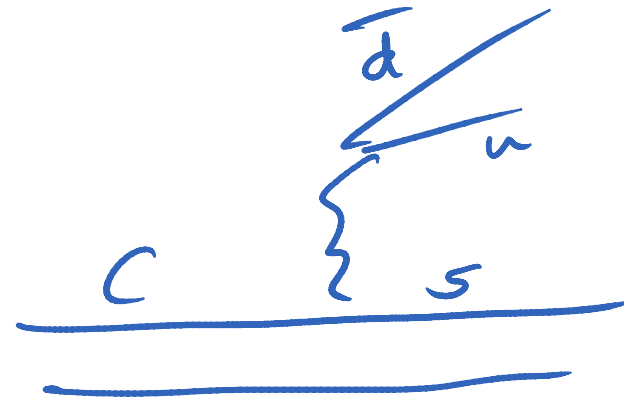
MANY INTERESTING MODES e.g.  $D^0 \rightarrow K^{*\pm} K^\mp, \rho^\pm \pi^\mp$

$$D^+ \rightarrow K^{*0} \pi^+, \phi \pi^+$$

$$D_s \rightarrow \phi \pi^+, \eta' \pi^+, K^{*0} \pi^+, \phi K^+$$

# Important to measure CP in pure trees

Example



$$D^0 \rightarrow K^- \pi^+$$

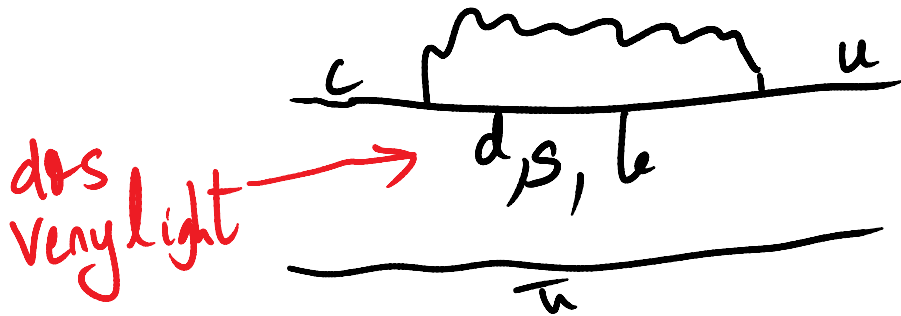
[NICE FINAL STATE]

NO Penguin  
NO CP phase SM

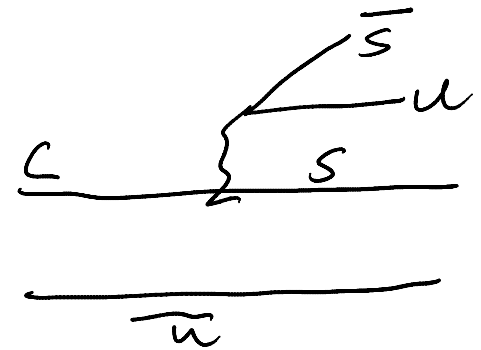
ESPECIALLY IMPORTANT To search CP  
since  $\chi$  extractions ASSUME No CP in  $D^0$

# BACK of a NAPKIN

e.g.  $D^0 \rightarrow K^+ K^-$



*does very light* →



$$\Delta A_{CP} \sim 4 \left(\frac{P}{T}\right) \frac{V_{ub} V_{cb}^*}{V_{cs}^* V_{us}} \Rightarrow \underbrace{4A^2 \lambda^4 \eta}_{\sim 1 \times 10^{-3}} \sin \delta_{ST} \left(\frac{P}{T}\right)$$

*HIGHLY Nonperturbative*

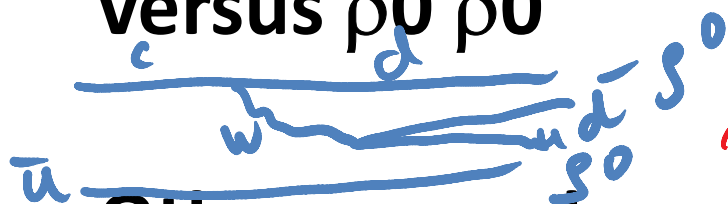
*Naively  $\frac{P}{T} \sim d_s(m_c)/\pi \sim 0.3$  MISLEADING*



- **Implications of CPT**
- **Final States with enhanced CP**
- **SM or not : A critical test**

# Candidates for enhanced CP asymmetry [because of CPT]

- Since asymmetry arises from T and P interference and as a rule  $P \ll T$ , need final states where T is suppressed  $\Rightarrow$  color suppressed modes: compare  $D^0 \Rightarrow \rho^+ + \rho^-$  versus  $\rho^0 \rho^0$

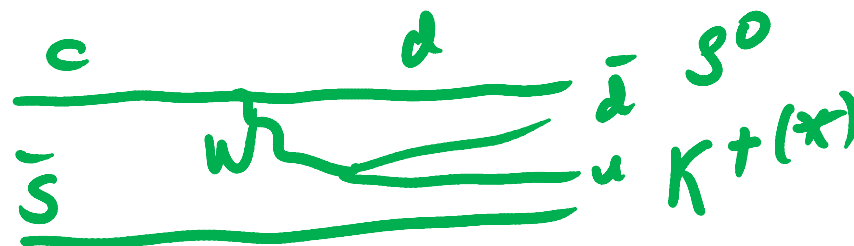


color mismatch



color diagonal

- Other examples:



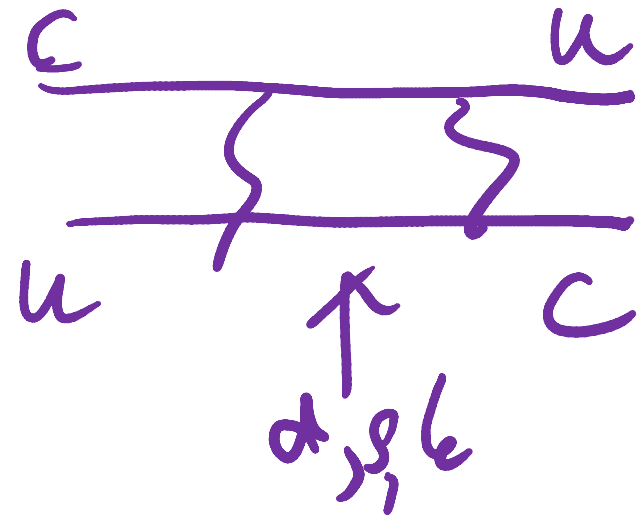
For KEKB  $D \Rightarrow \pi^0 \pi^0$  ( $\eta, \eta'$ )  
also imp but may not be CS

# SM expectation...InDCP

- Indirect CP..... $\text{Im}[\text{D0-mixing-Box graph}]/\text{Re}[\ ]$

$$\frac{\text{Im} \left[ \begin{matrix} V_{cb} V_{ub}^* & V_{cs} V_{us}^* \\ V_{cb} V_{ub} & V_{cs} V_{us} \end{matrix} \right]}{\text{Re} \left[ \begin{matrix} & & & \\ & & & \\ & & & \end{matrix} \right]}$$

$$\sim O(10^{-4})$$



# $A_\Gamma$ : quest for indirect CPV

- Does mixing affect  $D^0$  and  $\bar{D}^0$  differently?
- Easiest access via  $A_\Gamma$

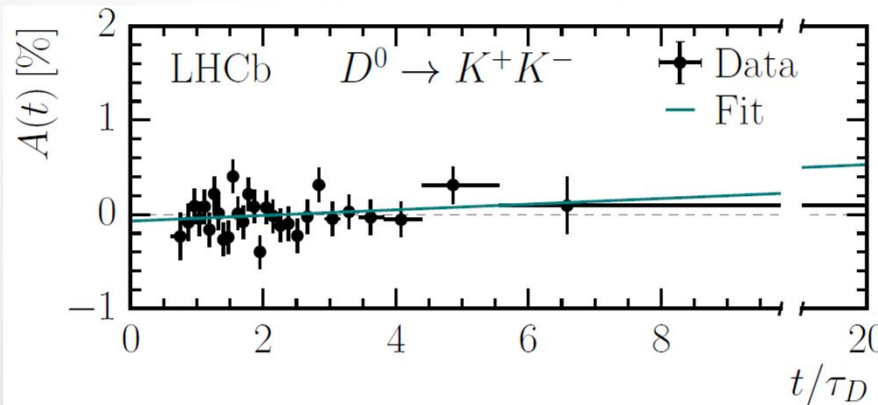


$$A_\Gamma = \frac{\tau(\bar{D}^0 \rightarrow h^+h^-) - \tau(D^0 \rightarrow h^+h^-)}{\tau(\bar{D}^0 \rightarrow h^+h^-) + \tau(D^0 \rightarrow h^+h^-)} \simeq -A_{CP}^{\text{indirect}}$$

- Asymmetry of yields in  $t(D)$  bins:
- 2011+2012 data, prompt charm

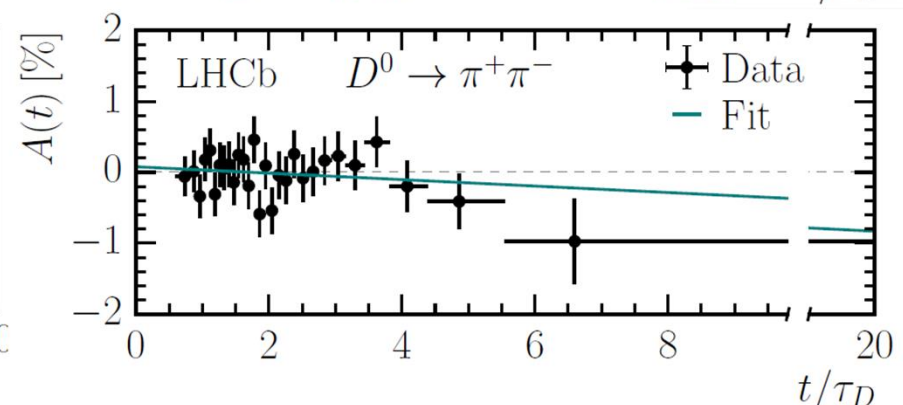
$$A_{CP}(t) \simeq A_{CP}^{\text{direct}} - A_\Gamma \frac{t}{\tau_D}$$

$D^0 \rightarrow K^+K^-$  ~10M



$$A_\Gamma(KK) = (-0.030 \pm 0.032 \pm 0.010)\%$$

$D^0 \rightarrow \pi^+\pi^-$  ~3M



$$A_\Gamma(\pi\pi) = (+0.046 \pm 0.058 \pm 0.012)\%$$

# $A_\Gamma$ : entering SM area

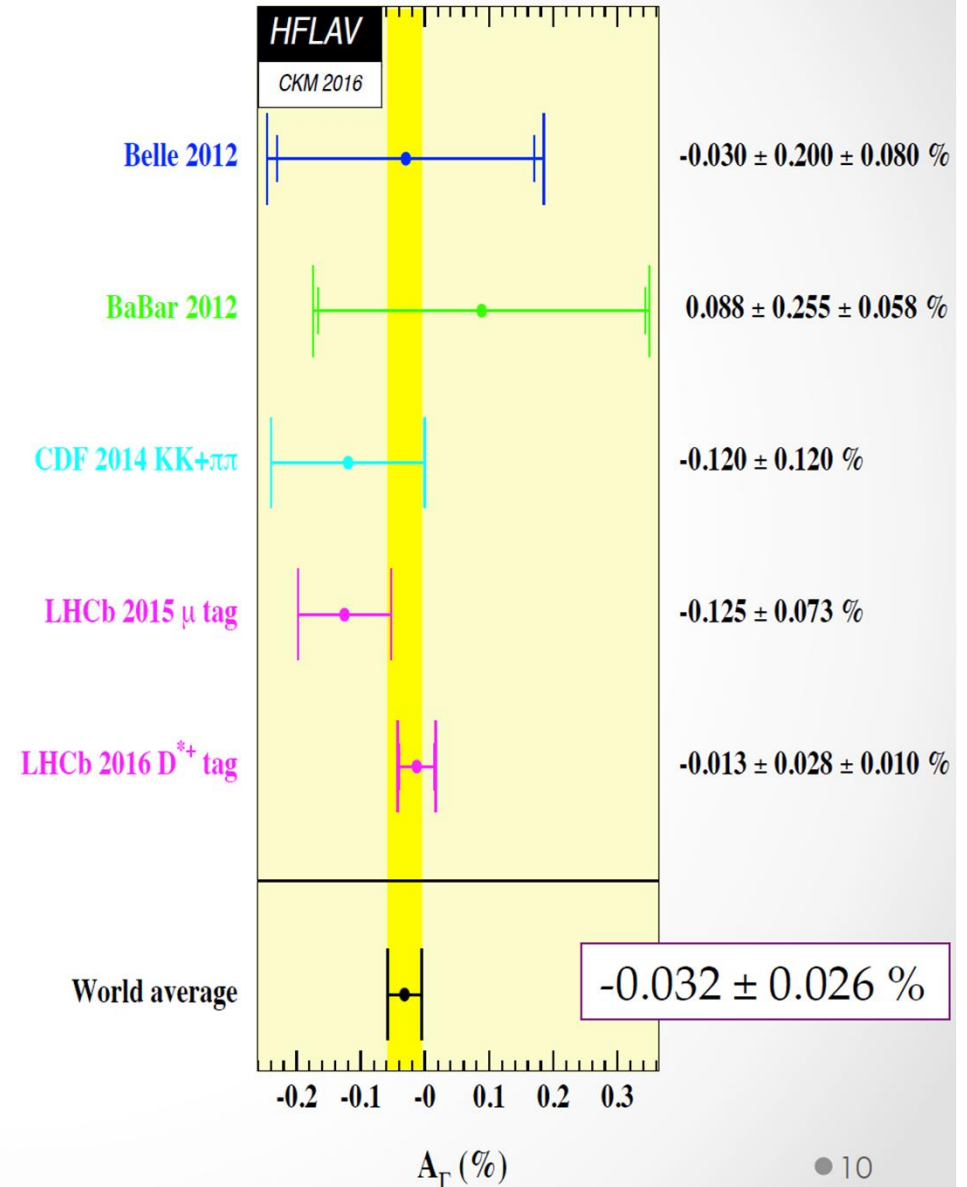
- Sensitivity:  $O(10^{-4})$   
Limited by statistics
- Indirect CPV in SM  $\sim 10^{-4}$

- $A_\Gamma$  in terms of basic parameters

$$A_\Gamma = \frac{1}{2} \left[ \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) y \cos \phi - \left( \left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) x \sin \phi \right]$$

CPV in mixing      in mixing-decay  
in mixing      interference

⇒ sensitivity to  $q/p$  depends on  $x$



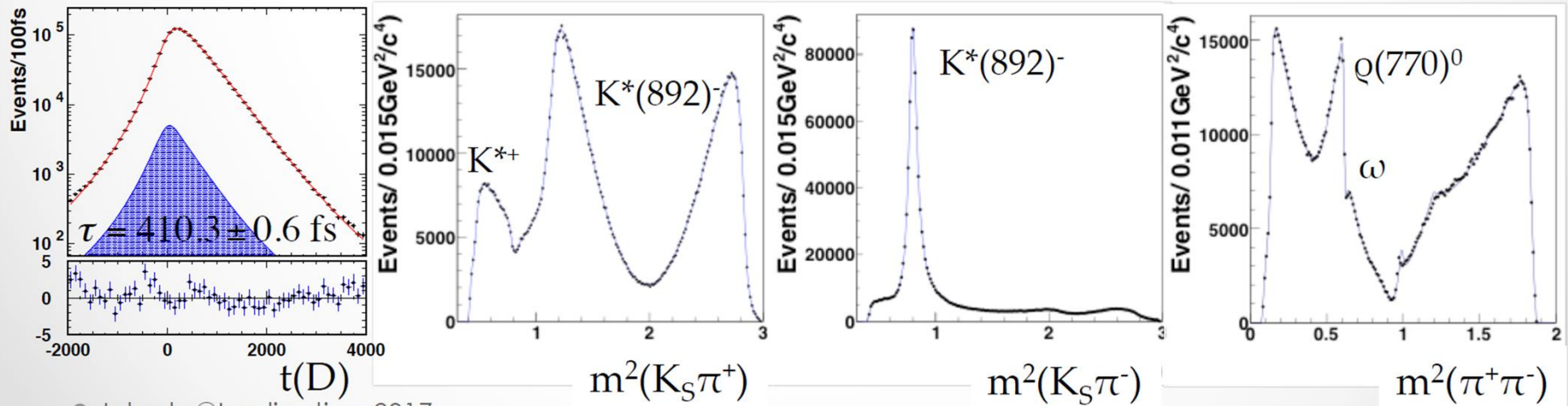
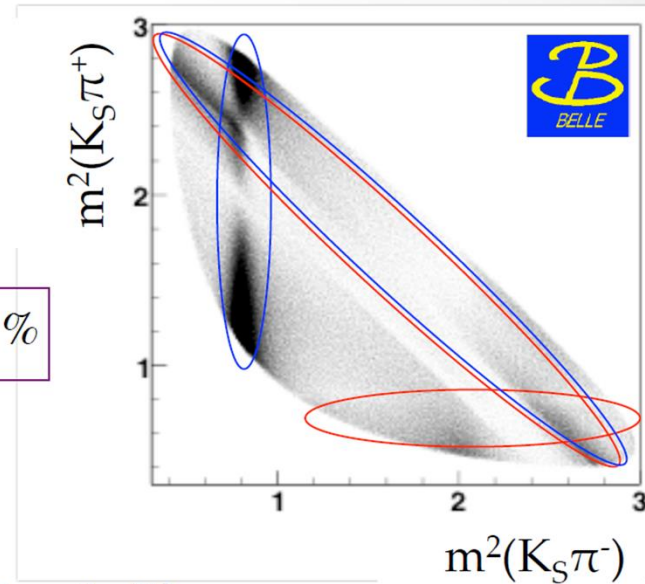
# Dalitz(t) of $D^0 \rightarrow K_S \pi^+ \pi^-$ golden mode

- Large statistics and rich dynamics
- Significant  $D^0 \rightarrow f$  &  $D^0 \rightarrow \bar{f}$  interferences
- Most precise  $x$  so far

$$x = \left(0.56 \pm 0.19^{+0.04 +0.06}_{-0.08 -0.08}\right) \% \quad y = \left(0.30 \pm 0.15^{+0.04 +0.03}_{-0.05 -0.07}\right) \%$$

$$|q/p| = 0.90^{+0.16 +0.05 +0.06}_{-0.15 -0.04 -0.05} \quad \phi = \left(-6 \pm 11 \pm 3^{+3}_{-4}\right)^\circ$$

- Belle: 1.2M signal events
- LHCb: 2M in Run1. Significant  $x$  with Run1+2?



# Extremely important consequence of CPT

- Since  $\text{Br}(D^0 \Rightarrow \pi^+ \pi^-) \sim \text{Br}(D^0 \Rightarrow K^+ K^-) \times [1.40/3.96 = 0.35]$
- # of  $D^0$  needed for CP-observability in  $\pi^+ \pi^-$  modes  
~ 1/3 needed for  $K^+ K^-$
- Note: This only accounts for statistical errors

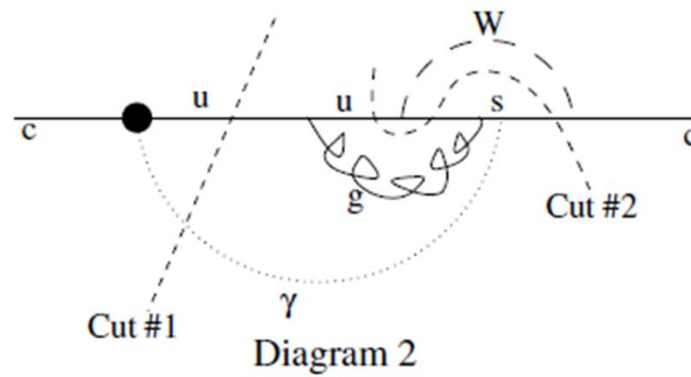
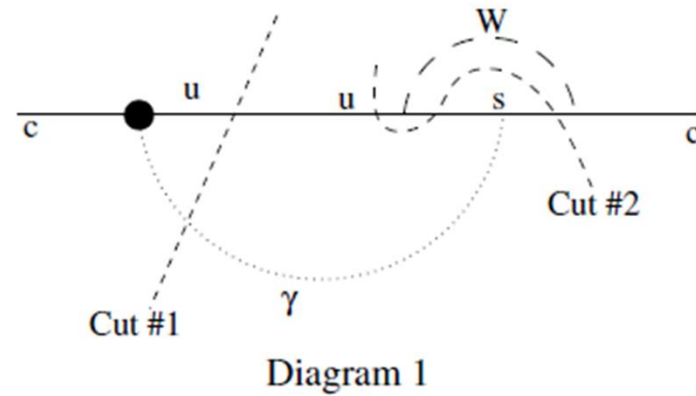


FIG. 3: This unitarity graph illustrates CPT conservation for the quark level process  $c \rightarrow u\gamma$  due to NP. Diagram 1 shows the lowest order interference between NP and SM where cut #1 is for the  $c\gamma$  final state and cut #2 is for a  $s\bar{u}$  final state. Cut #2 cannot be on shell. Diagram 2 shows an example of an order  $\alpha_s$  correction to diagram 1 where in contrast cut #2 can be on shell.



***Propose a new test for new physics  
see Atwood + AS, PTEP 2012***

- **Key idea: Hadronic matrix elements enhancement only operational for EXCLUSIVE [few body] MODES, e.g.  $\pi\pi, KK$**
- **Inclusive (multibody) modes should exhibit quark level asymmetry [quark-hadron duality]  $\sim \text{few} \times 10^{-4}$  if SM is the source, if these also show  $O(5 \times 10^{-3})$  asymmetry then BSM-CP is the origin**
- **Look forward to implementation at LHCb, but esp at KEKB(II), BESIII, STCF....**

# *How to look for inclusive final states?*

## **Simple suggestion**

- **Look for  $D \Rightarrow K K X$**
- **Operationally  $KKX$  is any final state containing a  $K K$  with total energy in the 2 kaons less than the energy of the parent  $D$**
- **Limitation  $\Rightarrow$  charm mass is a bit light**

# Wolfenstein representation: particularly insightful

PRL '84

$\lambda \approx 0.22$ , EXPANSION PARAMETER

$$V_{\text{WOLF}} \equiv \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + O(\lambda^4)$$

e.g.  $V_{ii} \sim 1$ ,  $V_{21} \sim \lambda$ ;  $V_{23} \sim \lambda^2$ ;  $V_{13} \sim \lambda^3$

$A, \rho, \eta \sim O(1)$   $\eta$  is CP-phase

Change to sign of central values; for numerical illustrations take central values to be  $\frac{1}{2}$  of current value

# Brs of some interesting 2-body hadronic modes

# Expected hierarchy of CPA

$$A_{\text{amp}} \sim a + A$$

$$a \ll A$$

Atwood + AS  
PTEP'12

→ smaller amplitude  
→ larger " "

while  $A_{\text{CP}}(f) \propto a/A$ . If we want to observe the CP violation with a significance of  $N_\sigma$ , the number of mesons required is  $N = N_\sigma^2 / (\text{Br} A_{\text{CP}}^2)$ . In terms of the amplitudes then,

$D(s)$  ↓

$$N = N_\sigma^2 / (\text{Br} A_{\text{CP}}^2) \propto \frac{N_\sigma^2}{|A|^2 |a/A|^2} \propto \frac{N_\sigma^2}{|a|^2}. \quad (11)$$

So that, generally,  $N$  depends on  $a$  but is independent of  $A$ , but a smaller value of  $A$  does enhance  $A_{\text{CP}}$ ;  $N$  is not affected because this is at the expense of the branching ratio. Going to a mode that has a smaller branching ratio with higher asymmetry has the advantage of reducing the effects of systematic errors and other errors that are not statistical in nature, *all other things being equal*.

# Going rare

More by Simone Bifani  
on Wednesday

- The larger penguin contribution, the larger CPV

**Radiative decays:** there are signals to explore

- $A_{CP}(D^0 \rightarrow \rho^0 \gamma) \leq 10\%$  de Boer, Hiller arXiv:1701.06392
- Full Belle data PRL118, 051801 (2017)

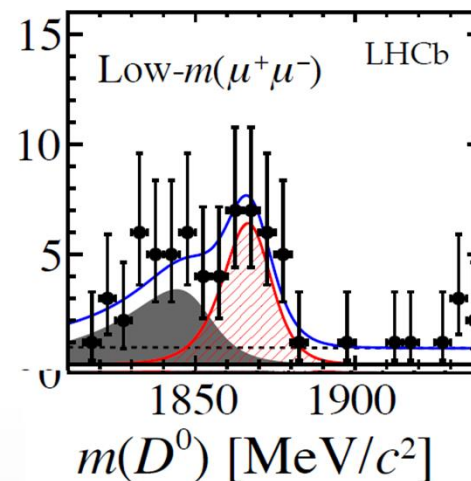
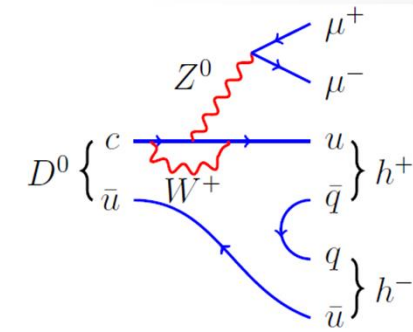
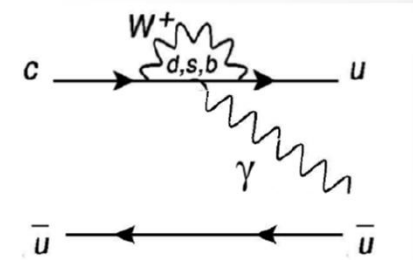
$$A_{CP}(D^0 \rightarrow \phi \gamma) = (-9.4 \pm 6.6 \pm 0.1)\%$$

$$A_{CP}(D^0 \rightarrow \rho^0 \gamma) = (+5.6 \pm 15.1 \pm 0.6)\%$$

- LHCb Run2: at least double Belle signals

**Leptonic decays:** first signal!

- $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$   
with  $m(\mu^+ \mu^-) < 525$  MeV  
 $S = 27 \pm 6$  ( $5.4\sigma$ )  
PRL119, 181805 (2017)



signal  
 $D^0 \rightarrow 4\pi$



# ***Contrarian/Complementary view***

- **flavor physics is actually hanging by perhaps the weakest link i.e. a single CP-phase endowed by the 3g –SM.**
- **In many ways this is a contrarian (or complementary) point of view, in sharp contrast to the overwhelming majority following the naturalness lamp post via Higgs radiative stability.**
- **In this context it is useful to stress**

# Importance of the “IF”: score card

- Beta decay  $\Rightarrow G_f \Rightarrow W \dots$
- Huge suppression of  $K_L \Rightarrow \mu \mu$ ; miniscule  $\Delta m_K \Rightarrow$  charm
- $K_L \Rightarrow 2 \pi$  but very rarely; mostly to  $3\pi \Rightarrow$  CP violation  $\Rightarrow 3$  families
- Largish  $B_d$  –mixing  $\Rightarrow$  large top mass
- etc.....
- $\Rightarrow$  **extremely unwise to put all eggs in HEF**
- Complementary info from IF can be a crucial guide for pointing to new thresholds as well as provide important clues to the nature of the signals there from