

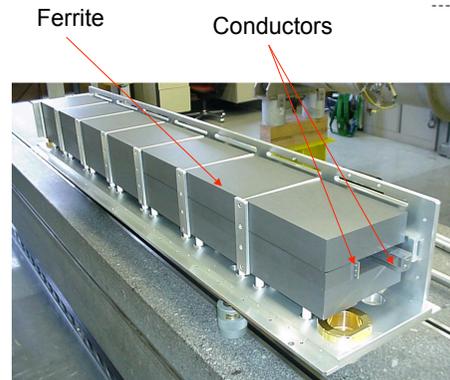
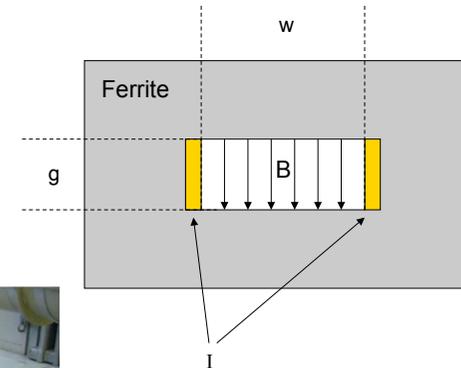
Injection, extraction and transfer

- Introductory slides:
 - Kickers, septa and normalised phase-space
- Injection methods
 - Single-turn hadron injection
 - Injection errors, filamentation and blow-up
 - Multi-turn hadron injection
 - Charge-exchange H- injection
 - Lepton injection
- Extraction methods
 - Single-turn (fast) extraction
 - Non-resonant and resonant multi-turn (fast) extraction
 - Resonant multi-turn (slow) extraction

Matthew Fraser, CERN (TE-ABT-BTP)
based on lectures by Brennan Goddard

Kicker magnet

Pulsed magnet with very fast rise time
(100 ns – few μ s)



$$B = \mu_0 I / g$$

$$L \text{ [per unit length]} = \mu_0 w / g$$

$$dI/dt = V / L$$

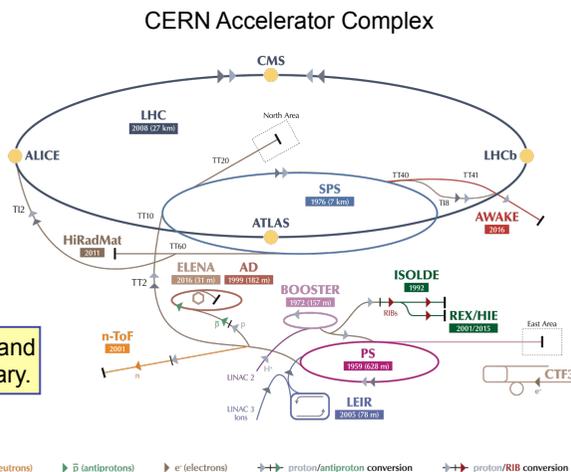
Typically 3 kA in 1 μ s rise time

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Injection, extraction and transfer

- An accelerator has limited dynamic range
- Chain of stages needed to reach high energy
- Periodic re-filling of storage rings, like LHC
- External facilities and experiments:
 - e.g. ISOLDE, HIRADMAT, AWAKE...

Beam transfer (into, out of, and between machines) is necessary.



▶ p (protons) ▶ ions ▶ RIBs (Radioactive Ion Beams) ▶ n (neutrons) ▶ \bar{p} (antiprotons) ▶ e⁻ (electrons) ↔ proton/antiproton conversion ↔ proton/RIB conversion

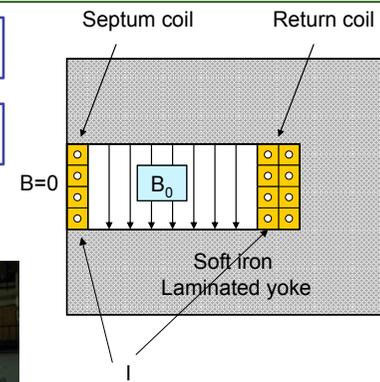
LHC Large Hadron Collider SPS Super Proton Synchrotron PS Proton Synchrotron AD Antiproton Decelerator CTF3 Clic Test Facility
AWAKE Advanced WAKEfield Experiment ISOLDE Isotope Separator OnLine REX/HIE Radioactive Experiment/High Intensity and Energy ISOLDE
LEIR Low Energy Ion Ring LINAC LINear ACcelerator n-ToF Neutrons Time Of Flight HIRadMat High-Radiation to Materials

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Magnetic septum

Pulsed or DC magnet with thin (2 – 20 mm) septum between zero field and high field region

Typically ~10x more deflection given by magnetic septa, compared to kickers



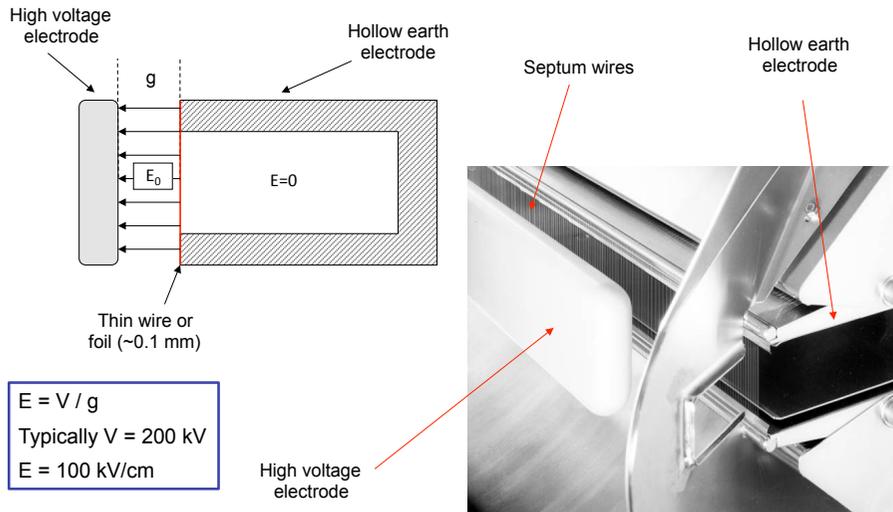
$$B_0 = \mu_0 I / g$$

Typically 15 - 25 kA

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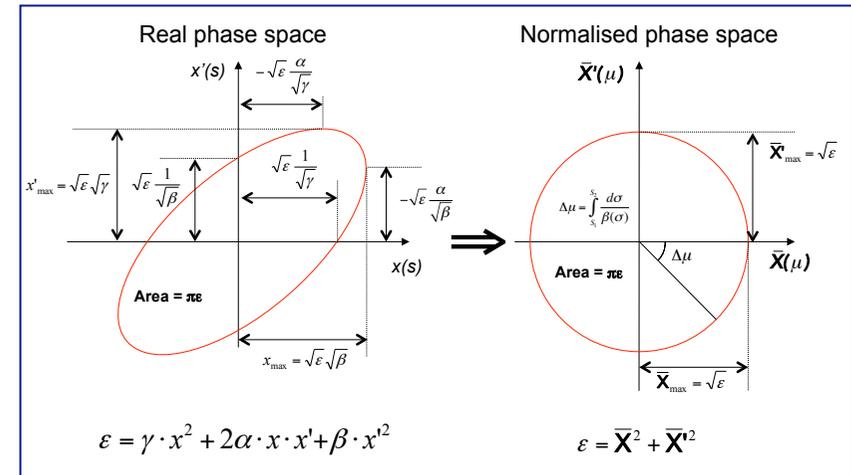
Electrostatic septum

DC electrostatic device with very thin septum between zero field and high field region



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Normalised phase space



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Normalised phase space

- Transform real transverse coordinates (x, x', s) to normalised co-ordinates (\bar{X}, \bar{X}', μ) where the independent variable becomes the phase advance μ :

$$\begin{bmatrix} \bar{X} \\ \bar{X}' \end{bmatrix} = \mathbf{N} \cdot \begin{bmatrix} x \\ x' \end{bmatrix} = \frac{1}{\sqrt{\beta(s)}} \cdot \begin{bmatrix} 1 & 0 \\ \alpha(s) & \beta(s) \end{bmatrix} \cdot \begin{bmatrix} x \\ x' \end{bmatrix}$$

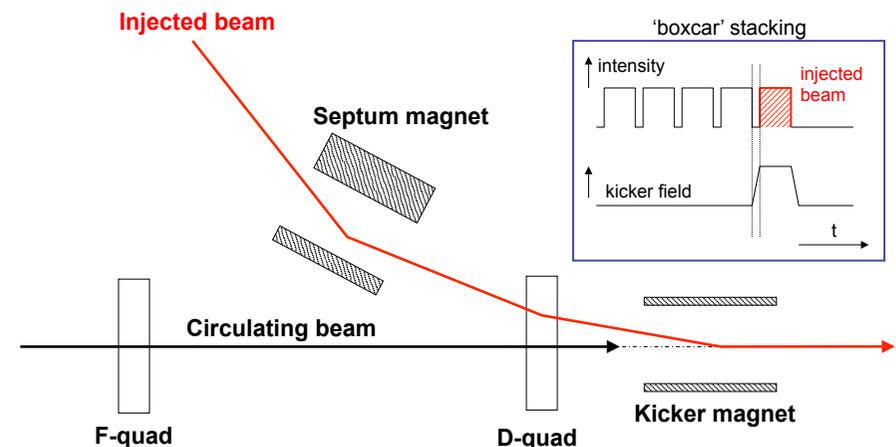
$$x(s) = \sqrt{\epsilon} \sqrt{\beta(s)} \cos[\mu(s) + \mu_0] \quad \mu(s) = \int_0^s \frac{d\sigma}{\beta(\sigma)}$$

$$\bar{X}(\mu) = \frac{1}{\sqrt{\beta(s)}} \cdot x = \sqrt{\epsilon} \cos[\mu + \mu_0]$$

$$\bar{X}'(\mu) = \frac{1}{\sqrt{\beta(s)}} \cdot \alpha(s)x + \sqrt{\beta(s)}x' = -\sqrt{\epsilon} \sin[\mu + \mu_0] = \frac{d\bar{X}}{d\mu}$$

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Single-turn injection – same plane

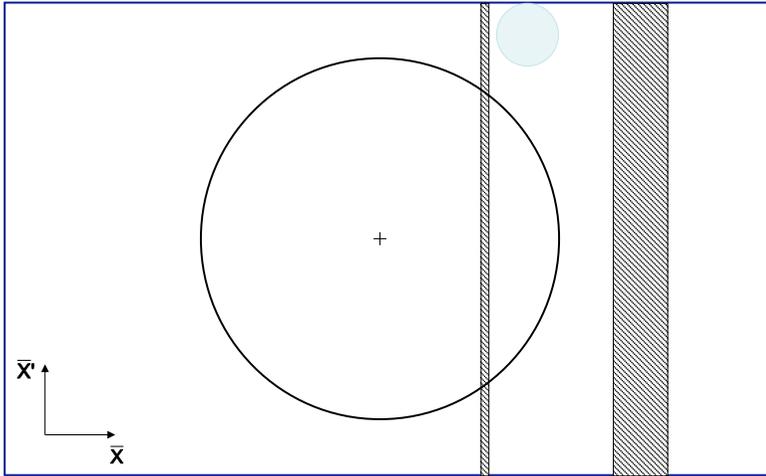


- Septum deflects the beam onto the closed orbit at the centre of the kicker
- Kicker compensates for the remaining angle
- Septum and kicker either side of D quad to minimise kicker strength

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Single-turn injection

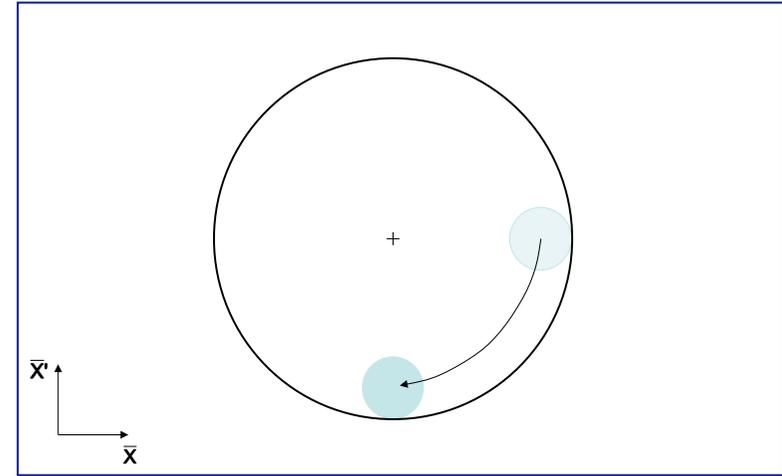
Normalised phase space at centre of idealised septum



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Single-turn injection

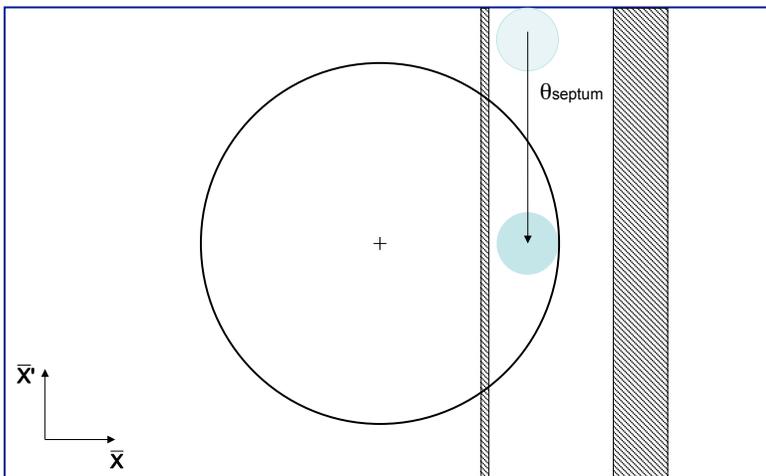
$\mu/2$ phase advance to kicker location



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Single-turn injection

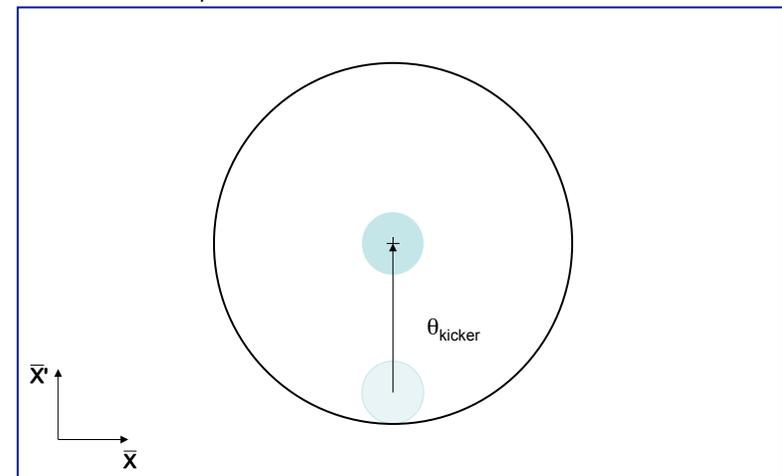
Normalised phase space at centre of idealised septum



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Single-turn injection

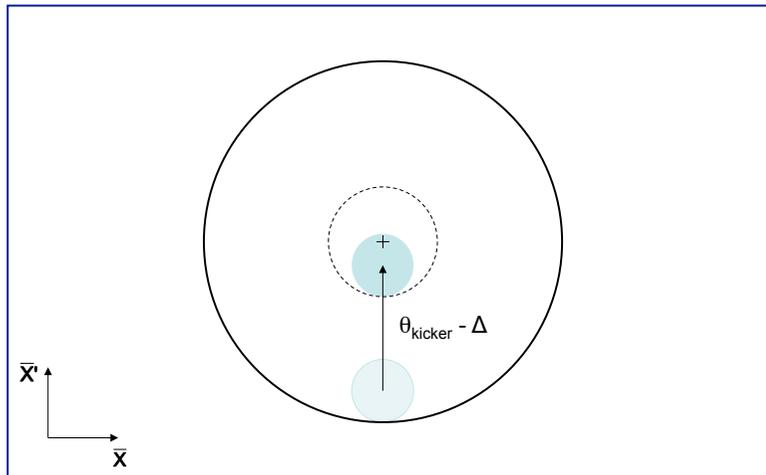
Normalised phase space at centre of idealised kicker
Kicker deflection places beam on central orbit:



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Injection oscillations

For imperfect injection the beam oscillates around the central orbit, e.g. kick error, Δ :

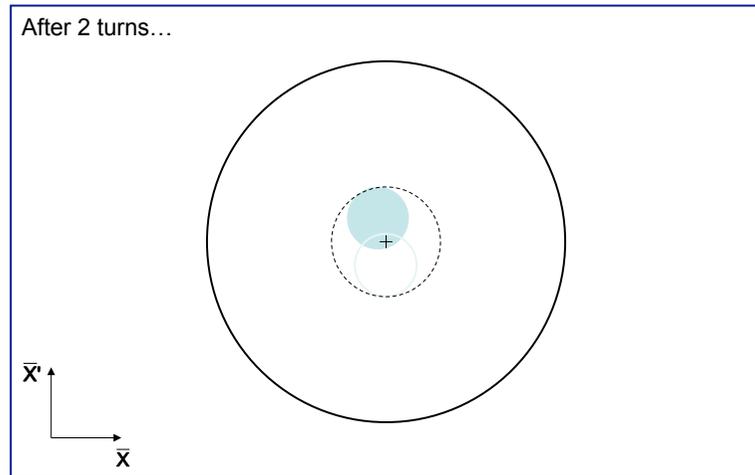


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Injection oscillations

For imperfect injection the beam oscillates around the central orbit, e.g. kick error, Δ :

After 2 turns...

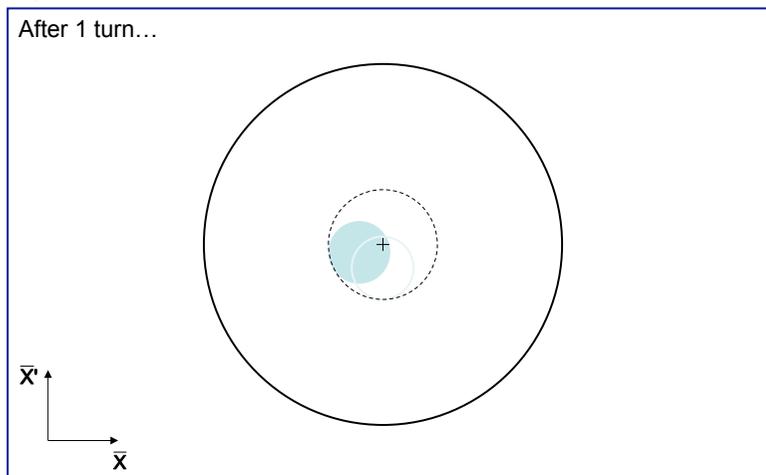


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Injection oscillations

For imperfect injection the beam oscillates around the central orbit, e.g. kick error, Δ :

After 1 turn...

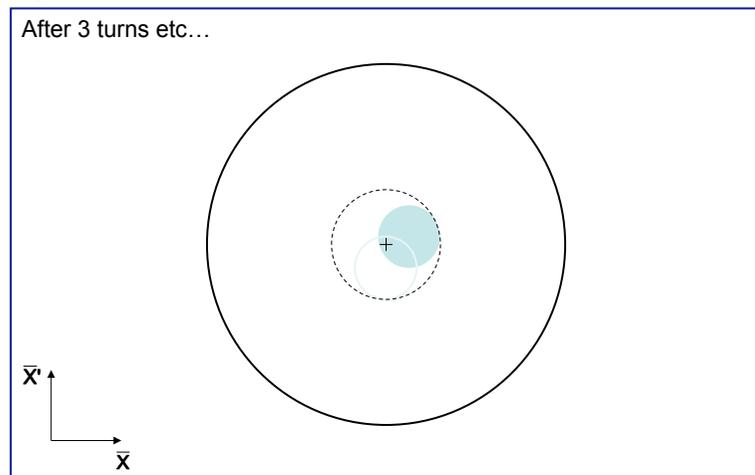


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Injection oscillations

For imperfect injection the beam oscillates around the central orbit, e.g. kick error, Δ :

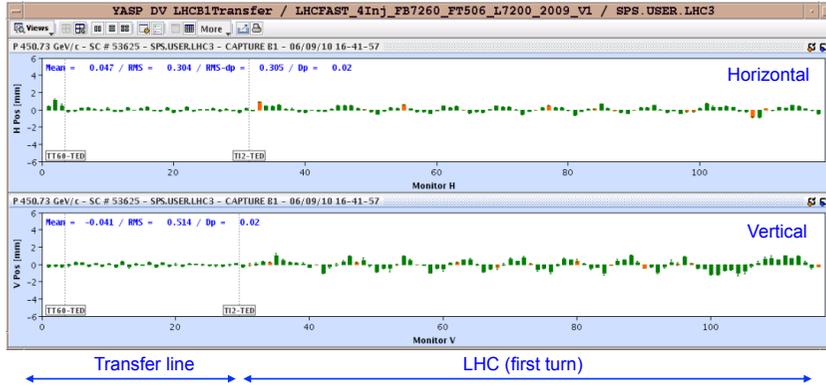
After 3 turns etc...



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Injection oscillations

- Betatron oscillations with respect to the Closed Orbit:

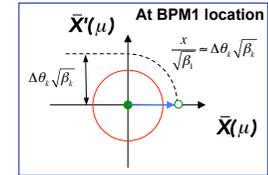
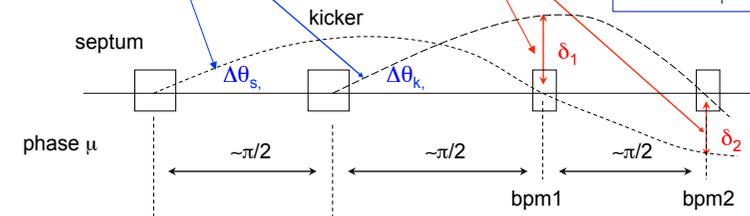


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Injection errors

Angle errors
 $\Delta\theta_{s,k}$

Measured Displacements
 $\delta_{1,2}$



$$\delta_1 = \Delta\theta_s \sqrt{(\beta_s\beta_1)} \sin(\mu_1 - \mu_s) + \Delta\theta_k \sqrt{(\beta_k\beta_1)} \sin(\mu_1 - \mu_k) \approx \Delta\theta_k \sqrt{(\beta_k\beta_1)}$$

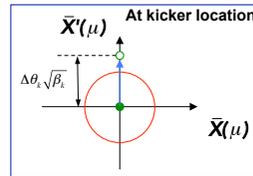
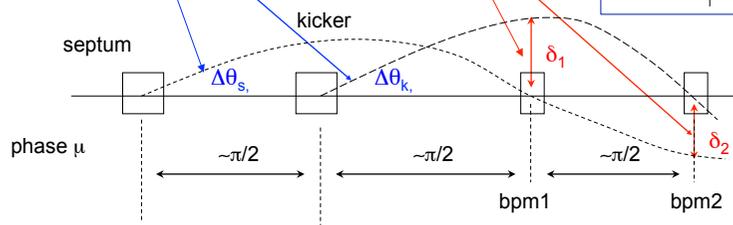
$$\delta_2 = \Delta\theta_s \sqrt{(\beta_s\beta_2)} \sin(\mu_2 - \mu_s) + \Delta\theta_k \sqrt{(\beta_k\beta_2)} \sin(\mu_2 - \mu_k) \approx -\Delta\theta_s \sqrt{(\beta_s\beta_2)}$$

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Injection errors

Angle errors
 $\Delta\theta_{s,k}$

Measured Displacements
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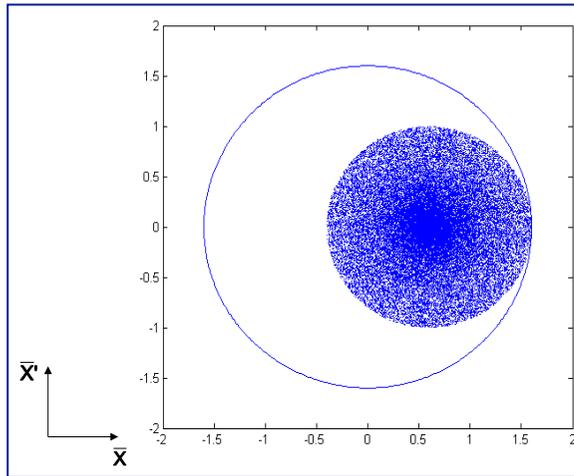
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Filamentation

- Non-linear effects (e.g. higher-order field components) introduce amplitude-dependent effects into particle motion
- Over many turns, a phase-space oscillation is transformed into an emittance increase
- So any residual transverse oscillation will lead to an emittance blow-up through filamentation
 - Chromaticity coupled with a non-zero momentum spread at injection can also cause filamentation, often termed *chromatic decoherence*
 - “Transverse damper” systems are used to damp injection oscillations - bunch position measured by a pick-up, which is linked to a kicker

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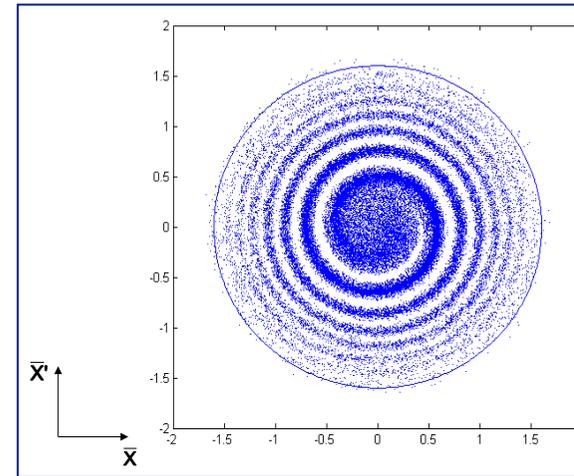
Filamentation



Animation not included in full on this handout: see slides on Indico website!

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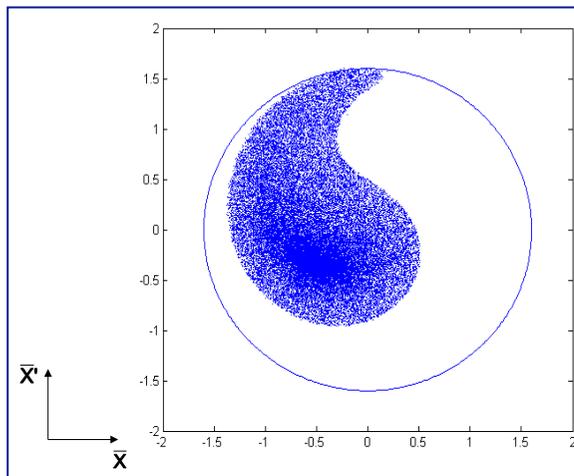
Filamentation



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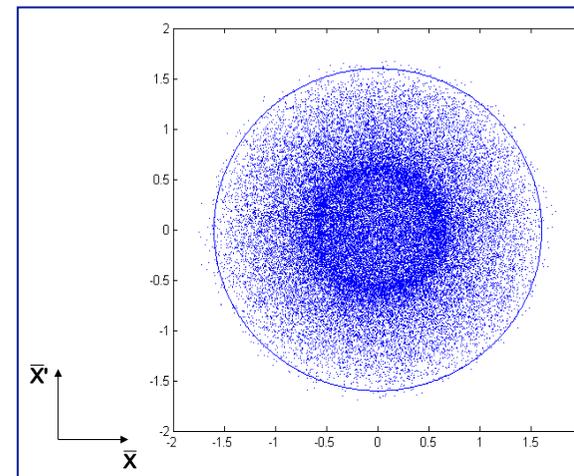
Filamentation



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Filamentation

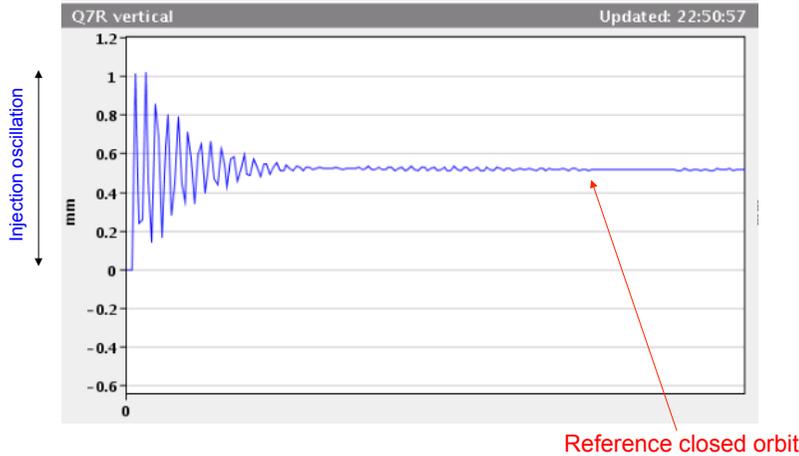


Animation not included in full on this handout: see slides on Indico website!

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Filamentation

- Residual transverse oscillations lead to an *effective* emittance blow-up through filamentation:



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Blow-up from steering error

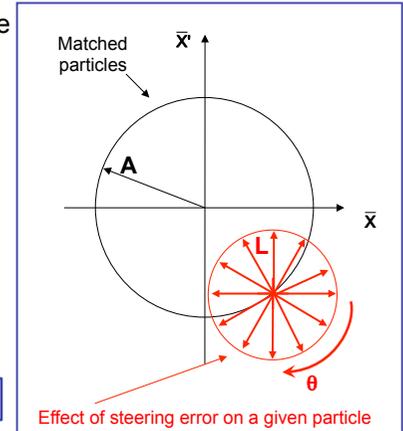
- Consider a collection of particles with max. amplitudes A
- The beam can be injected with an error in angle and position.
- For an injection error Δa , in units of $\sigma = \sqrt{\beta\epsilon}$, the mis-injected beam is offset in normalised phase space by an amplitude $L = \Delta a\sqrt{\epsilon}$
- Any given point on the matched ellipse is randomised over all phases after filamentation due to the steering error
- For a general particle distribution, where A_i denotes amplitude in normalised phase of particle i :

$$\epsilon_{matched} = \langle A_i^2 \rangle / 2$$

- After filamentation:

$$\epsilon_{diluted} = \epsilon_{matched} + \frac{L^2}{2}$$

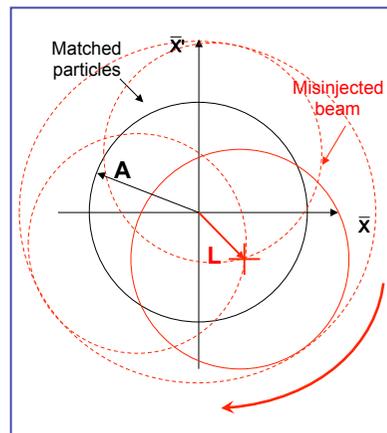
See appendix for derivation



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Blow-up from steering error

- Consider a collection of particles with max. amplitudes A
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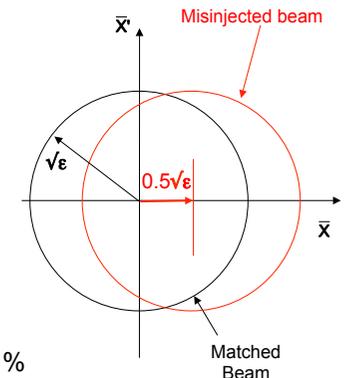
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Blow-up from steering error

- A numerical example....
- Consider an offset $\Delta a = 0.5\sigma$ for injected beam:

$$L = \Delta a\sqrt{\epsilon_{matched}}$$

$$\begin{aligned} \epsilon_{diluted} &= \epsilon_{matched} + \frac{L^2}{2} \\ &= \epsilon_{matched} \left[1 + \frac{\Delta a^2}{2} \right] \\ &= \epsilon_{matched} [1.125] \end{aligned}$$



- For nominal LHC beam:
...allowed growth through LHC cycle ~10 %

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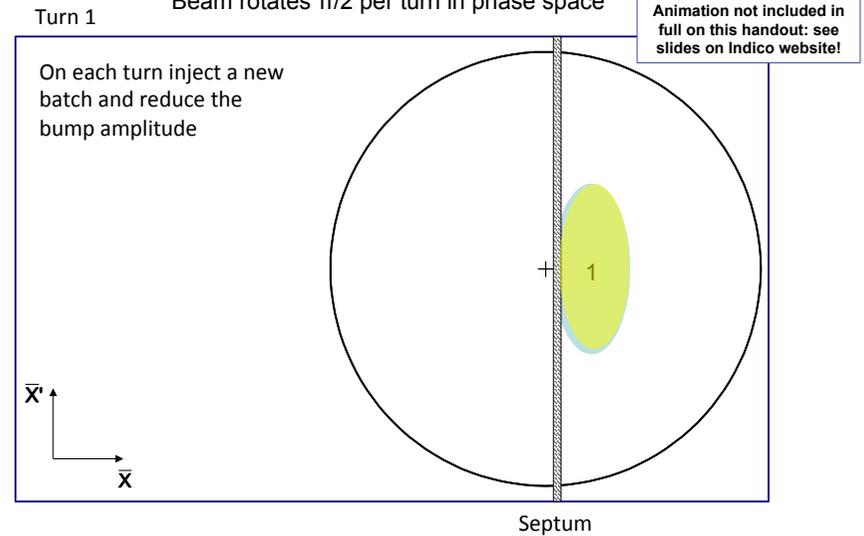
Multi-turn injection

- For hadrons the beam density at injection can be limited either by space charge effects or by the injector capacity
- If we cannot increase charge density, we can sometimes fill the horizontal phase space to increase overall injected intensity.
 - If the acceptance of the receiving machine is larger than the delivered beam emittance we can accumulate intensity

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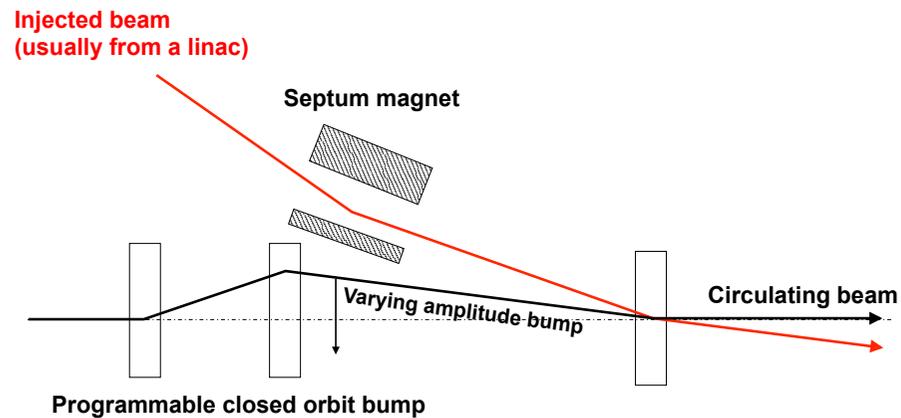
Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune $Q_h \approx 0.25$
 Beam rotates $\pi/2$ per turn in phase space



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Multi-turn injection for hadrons

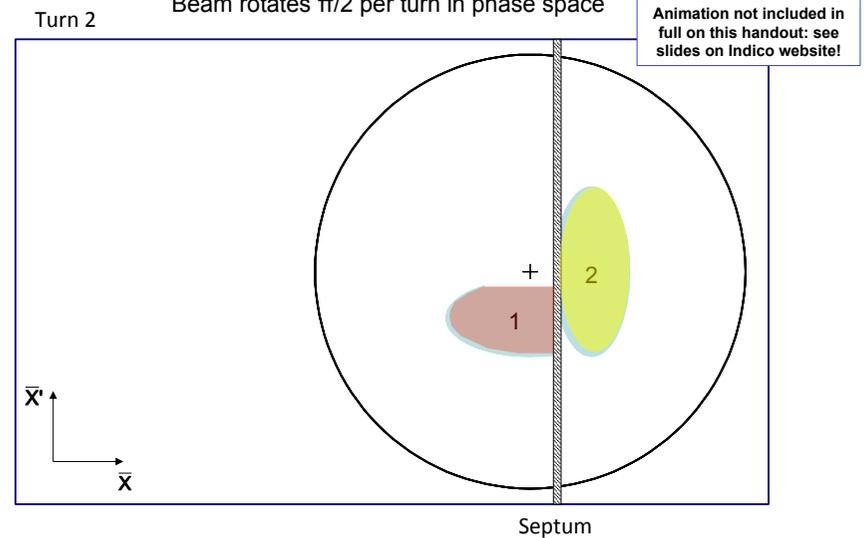


- No kicker but fast programmable bumpers
- Bump amplitude decreases and a new batch injected turn-by-turn
- Phase-space “painting”

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Multi-turn injection for hadrons

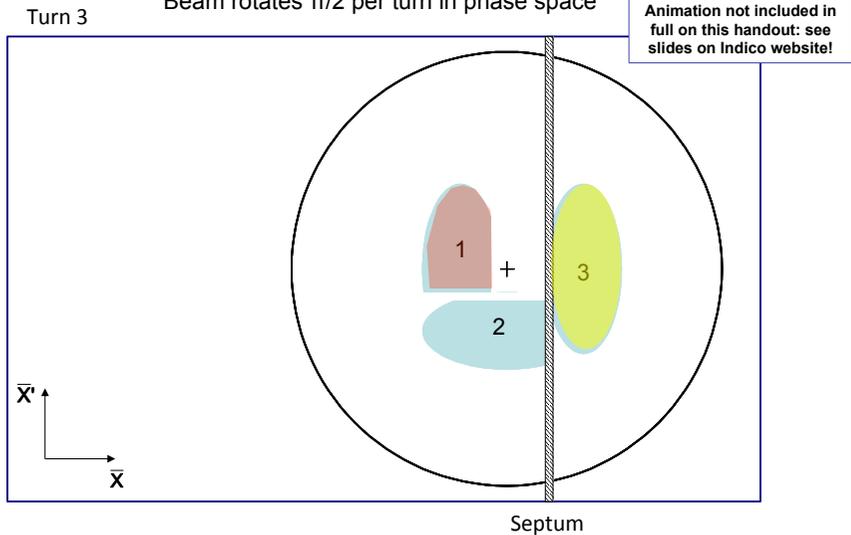
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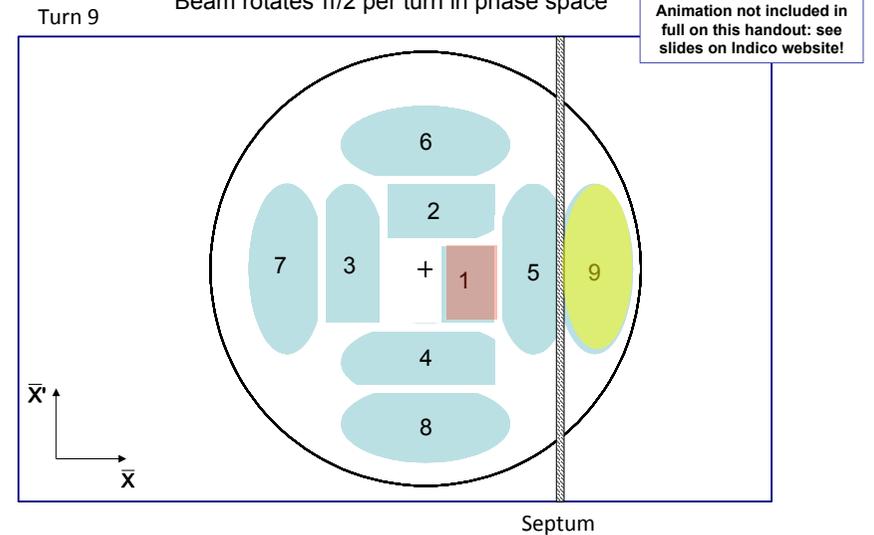
Multi-turn injection for hadrons

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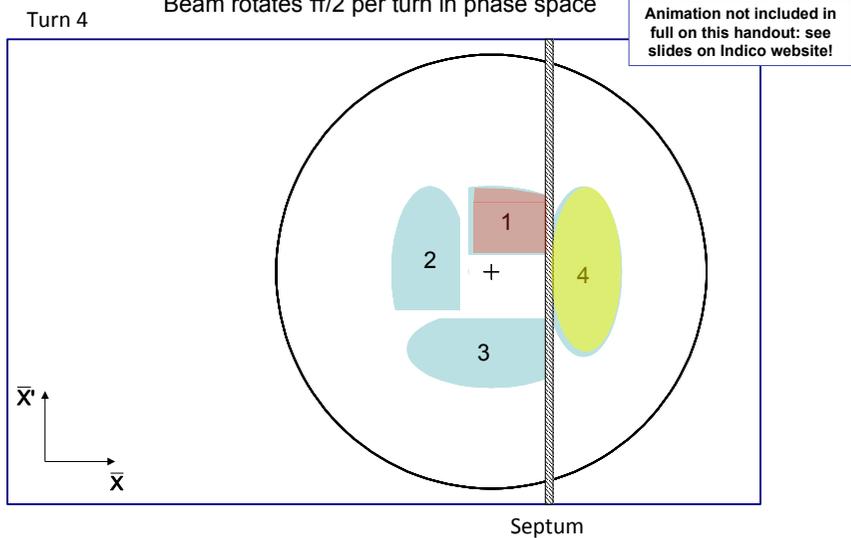
Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune $Q_h \approx 0.25$
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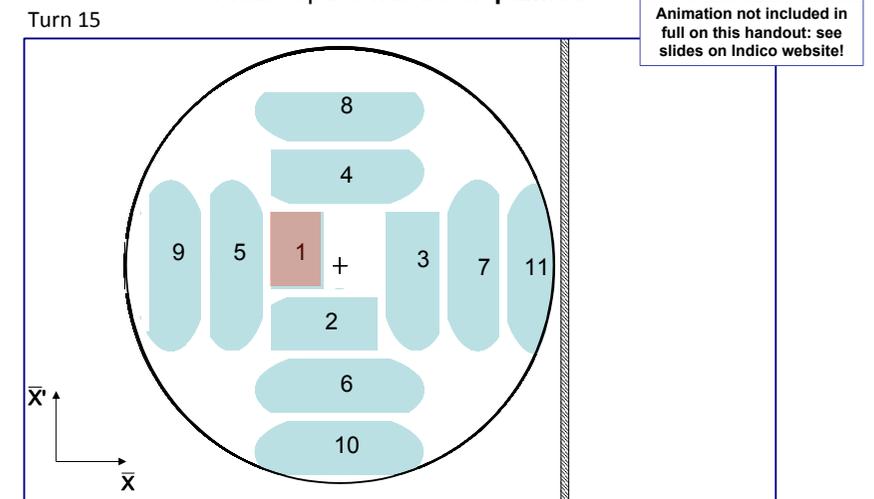
Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune $Q_h \approx 0.25$
 Beam rotates $\pi/2$ per turn in phase space



Multi-turn injection for hadrons

Phase space has been "painted"



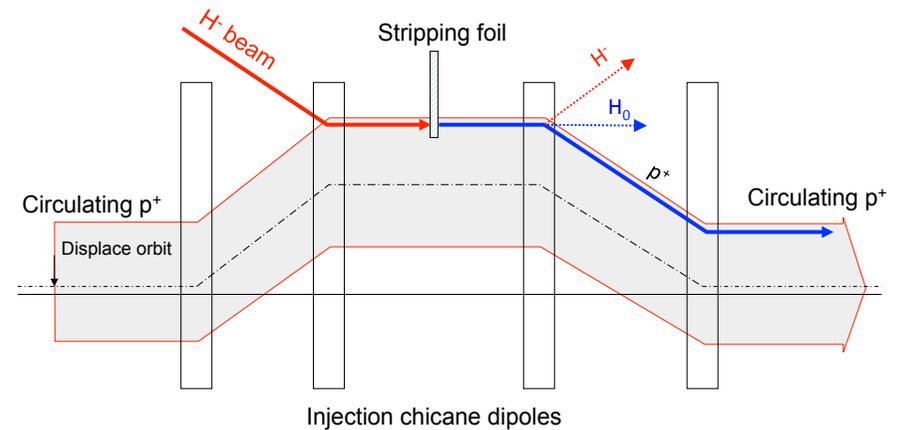
In reality, filamentation (often space-charge driven) occurs to produce a quasi-uniform beam

Charge exchange H- injection

- Multi-turn injection is essential to accumulate high intensity
- Disadvantages inherent in using an injection septum:
 - Width of several mm reduces aperture
 - Beam losses from circulating beam hitting septum:
 - typically 30 – 40 % for the CERN PSB injection at 50 MeV
 - Limits number of injected turns to 10 - 20
- Charge-exchange injection provides elegant alternative
 - Possible to “cheat” Liouville’s theorem, which says that emittance is conserved....
 - Convert H⁻ to p⁺ using a thin stripping foil, allowing injection [into the same phase space area](#)

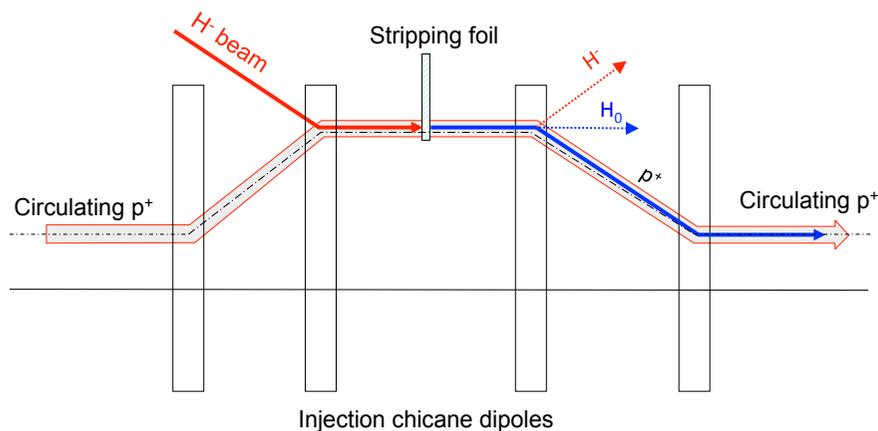
Charge exchange H- injection

End of injection process with painting



Charge exchange H- injection

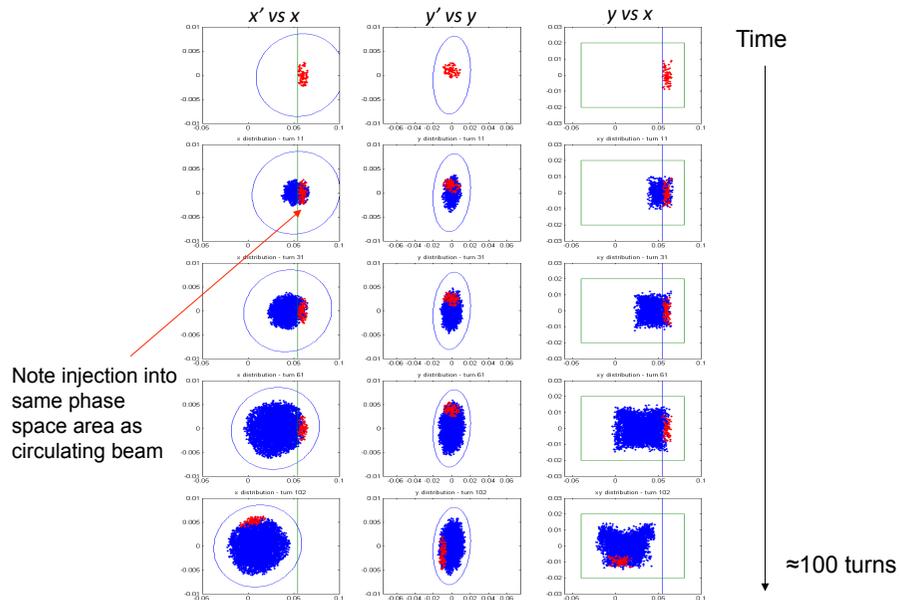
Start of injection process



Charge exchange H- injection

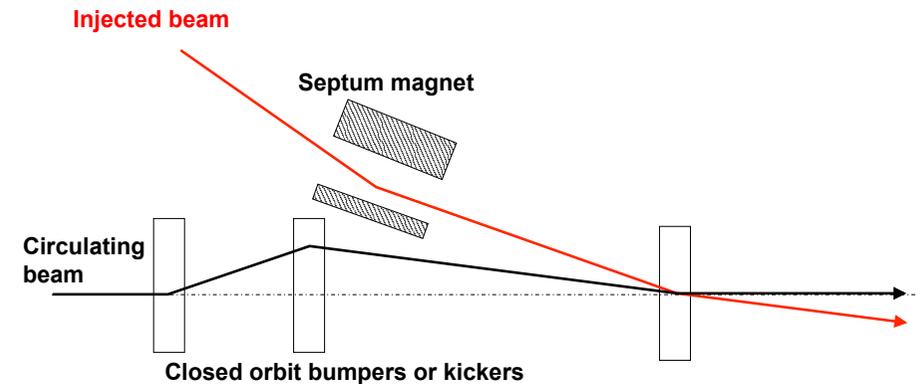
- Paint uniform transverse phase space density by modifying closed orbit bump and steering injected beam
- Foil thickness calculated to double-strip most ions (≈99%)
 - 50 MeV – 50 μg.cm⁻²
 - 800 MeV – 200 μg.cm⁻² (≈ 1 μm of C!)
- Carbon foils generally used – very fragile
- Injection chicane reduced or switched off after injection, to avoid excessive foil heating and beam blow-up
- Longitudinal phase space can also be painted turn-by-turn:
 - Variation of the injected beam energy turn-by-turn (linac voltage scaled)
 - Chopper system in linac to match length of injected batch to bucket

H- injection - painting



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Betatron lepton injection



- Beam is injected with an angle with respect to the closed orbit
- Injected beam performs damped betatron oscillations about the closed orbit

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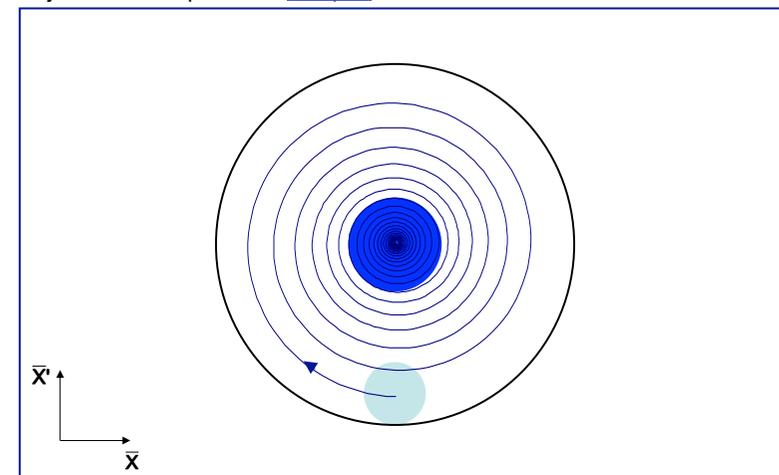
Lepton injection

- Single-turn injection can be used as for hadrons; however, lepton motion is strongly damped (different with respect to proton or ion injection).
 - Synchrotron radiation
 - see *Electron Beam Dynamics lectures by L. Rivkin*
- Can use transverse or longitudinal damping:
 - Transverse - Betatron accumulation
 - Longitudinal - Synchrotron accumulation

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Betatron lepton injection

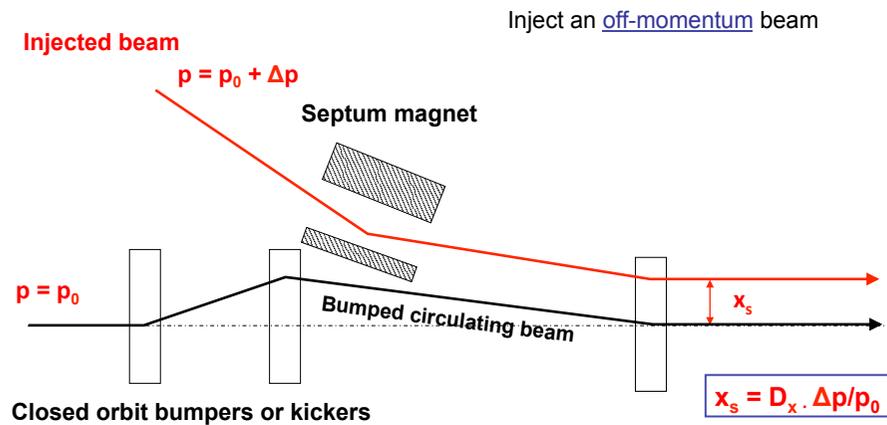
Injected bunch performs damped betatron oscillations



In LEP at 20 GeV, the damping time was about 6'000 turns (0.6 seconds)

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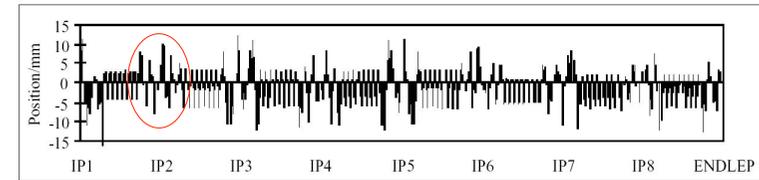
Synchrotron lepton injection



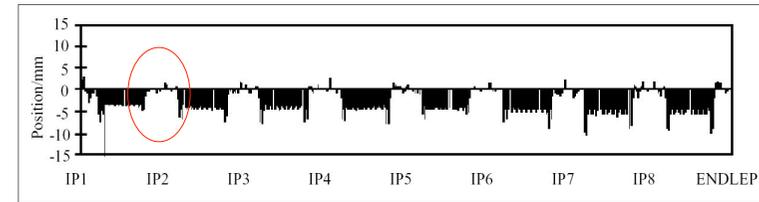
- Beam injected parallel to circulating beam, onto dispersion orbit of a particle having the same momentum offset $\Delta p/p$
- Injected beam makes damped synchrotron oscillations at Q_s but does not perform betatron oscillations

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Synchrotron lepton injection in LEP



Optimized Horizontal First Turn Trajectory for Betatron Injection of Positrons into LEP.



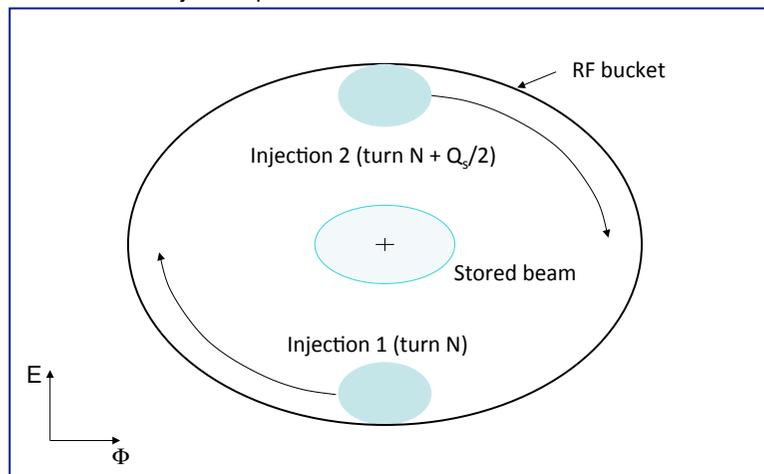
Optimized Horizontal First Turn Trajectory for Synchrotron Injection of Positrons with $\Delta P/P$ at -0.6%

Synchrotron injection in LEP gave improved background for LEP experiments due to small orbit offsets in zero dispersion straight sections

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Synchrotron lepton injection

Double batch injection possible....



Longitudinal damping time in LEP was $\sim 3'000$ turns (2x faster than transverse)

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Injection - summary

- Several different techniques using kickers, septa and bumpers:
 - Single-turn injection for hadrons
 - Boxcar stacking: transfer between machines in accelerator chain
 - Angle / position errors \Rightarrow injection oscillations
 - Uncorrected errors \Rightarrow filamentation \Rightarrow emittance increase
 - Multi-turn injection for hadrons
 - Phase space painting to increase intensity
 - H- injection allows injection into same phase space area
 - Lepton injection: take advantage of damping
 - Less concerned about injection precision and matching

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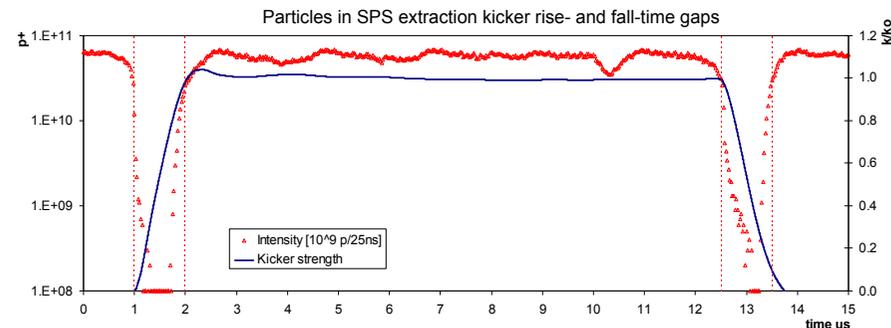
Extraction

- Different extraction techniques exist, depending on requirements
 - [Fast extraction](#): ≤ 1 turn
 - [Non-resonant multi-turn extraction](#): few turns
 - [Resonant low-loss multi-turn extraction](#): few turns
 - [Resonant multi-turn extraction](#): many thousands of turns
- Usually higher energy than injection \Rightarrow stronger elements ($(B \cdot dl)$)
 - At high energies many kicker and septum modules may be required
 - To reduce kicker and septum strength, beam can be moved near to septum by closed orbit bump

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Fast single turn extraction

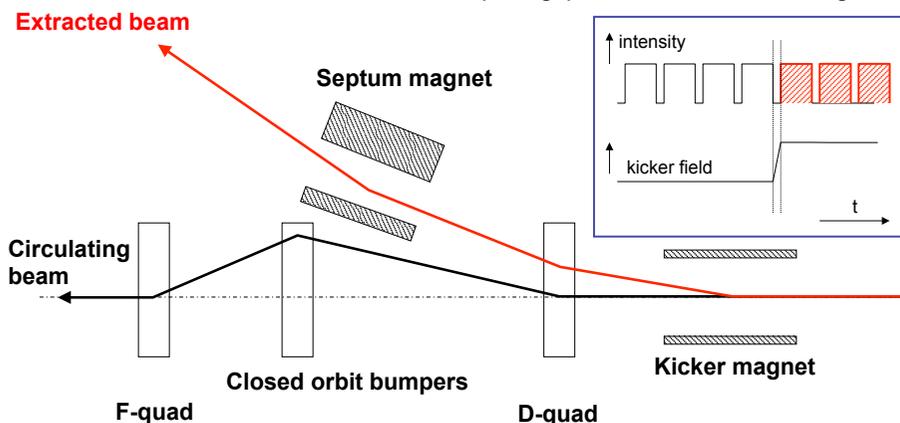
- For transfer of beams between accelerators in an injector chain
- For secondary particle production (e.g. neutrinos, radioactive beams)
- Septum deflection may be in the other plane to the kicker deflection
 - Lambertson septum to be discussed tomorrow...
- Losses from transverse scraping or from particles in extraction gap:
 - Fast extraction from SPS to CNGS:



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Fast single turn extraction

Entire beam kicked into septum gap and extracted over a single turn



- Bumpers move circulating beam close to septum to reduce kicker strength
- Kicker deflects the entire beam into the septum in a single turn
- Most efficient (lowest deflection angles required) for $\pi/2$ phase advance between kicker and septum

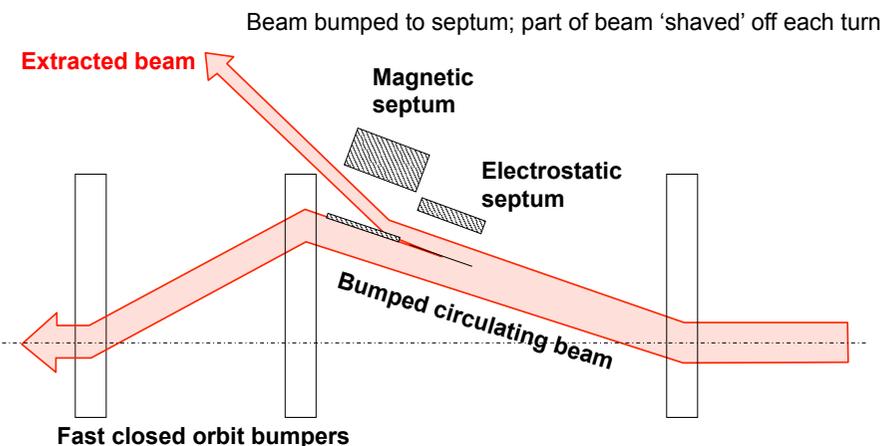
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Multi-turn extraction

- Some filling schemes require a beam to be injected in several turns to a larger machine...
- And very commonly Fixed Target physics experiments and medical accelerators often need a quasi-continuous flux of particles...
- Multi-turn extraction...
 - Non-resonant multi-turn ejection (few turns) for filling
 - e.g. PS to SPS at CERN for high intensity proton beams ($>2.5 \cdot 10^{13}$ protons)
 - Resonant extraction (ms to hours) for experiments

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Non-resonant multi-turn extraction

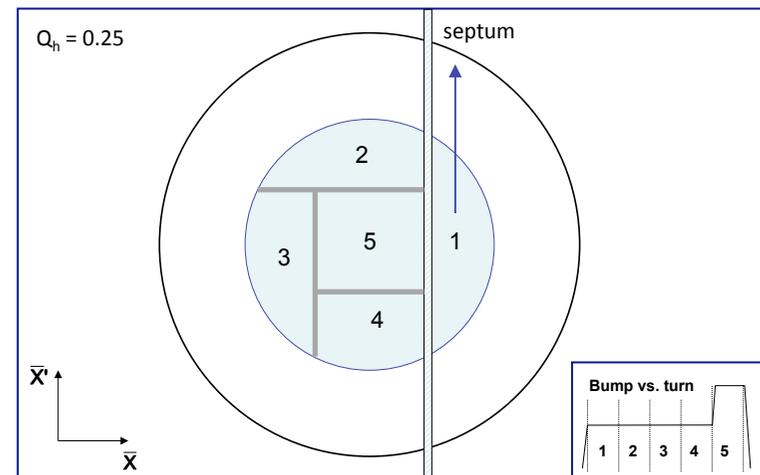


- Fast bumper deflects the whole beam onto the septum
- Beam extracted in a few turns, with the machine tune rotating the beam
- Intrinsically a high-loss process: thin septum essential
- Often combine thin electrostatic septa with magnetic septa

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Non-resonant multi-turn extraction

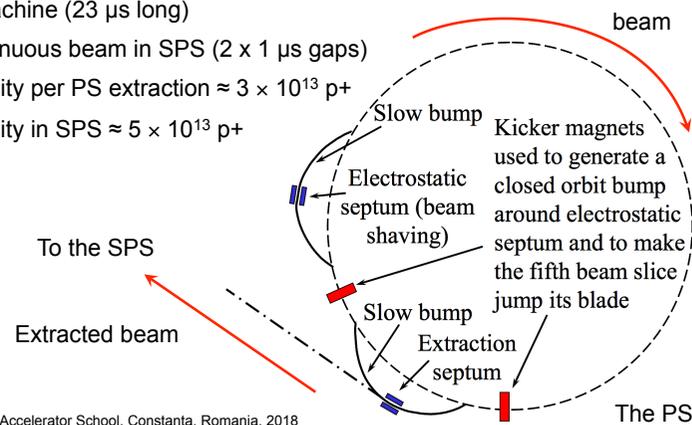
CERN PS to SPS: 5-turn continuous transfer – 1st turn



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Non-resonant multi-turn extraction

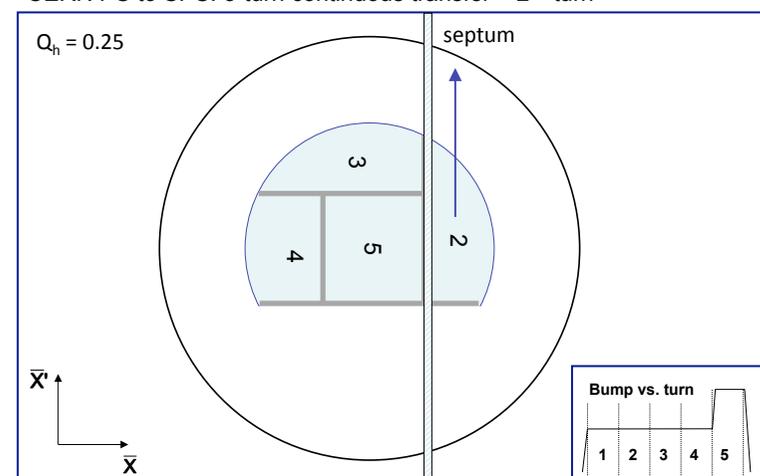
- Example system: CERN PS to SPS Fixed-Target 'continuous transfer'.
 - Accelerate beam in PS to 14 GeV/c
 - Empty PS machine (2.1 μ s long) in 5 turns into SPS
 - Do it again
 - Fill SPS machine (23 μ s long)
 - Quasi-continuous beam in SPS (2 x 1 μ s gaps)
 - Total intensity per PS extraction $\approx 3 \times 10^{13}$ p+
 - Total intensity in SPS $\approx 5 \times 10^{13}$ p+



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Non-resonant multi-turn extraction

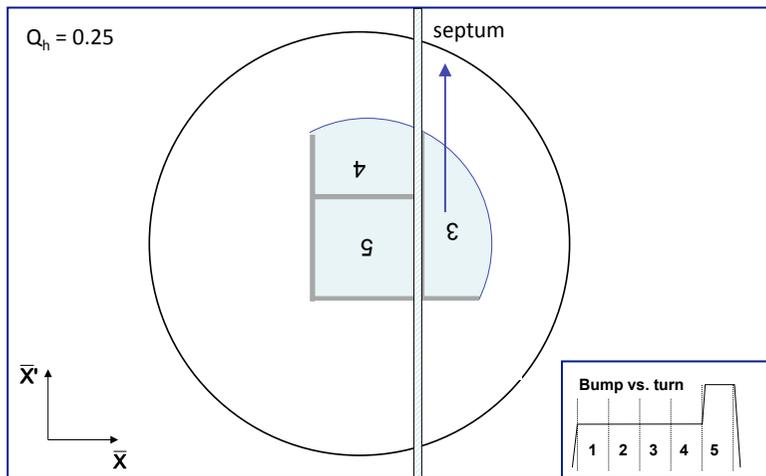
CERN PS to SPS: 5-turn continuous transfer – 2nd turn



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Non-resonant multi-turn extraction

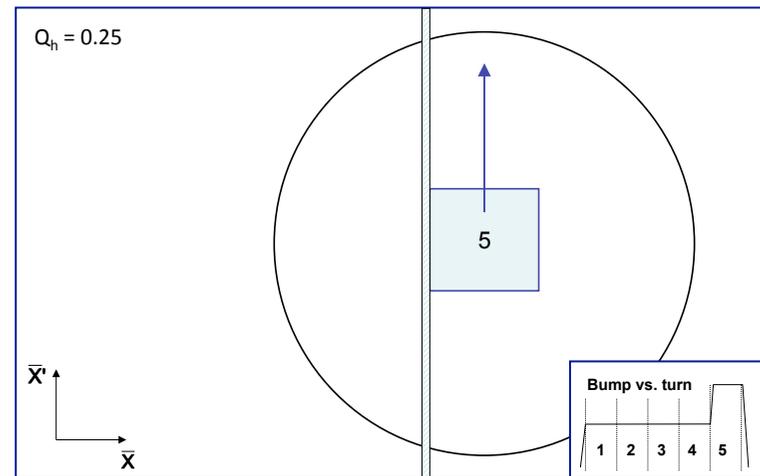
CERN PS to SPS: 5-turn continuous transfer – 3rd turn



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Non-resonant multi-turn extraction

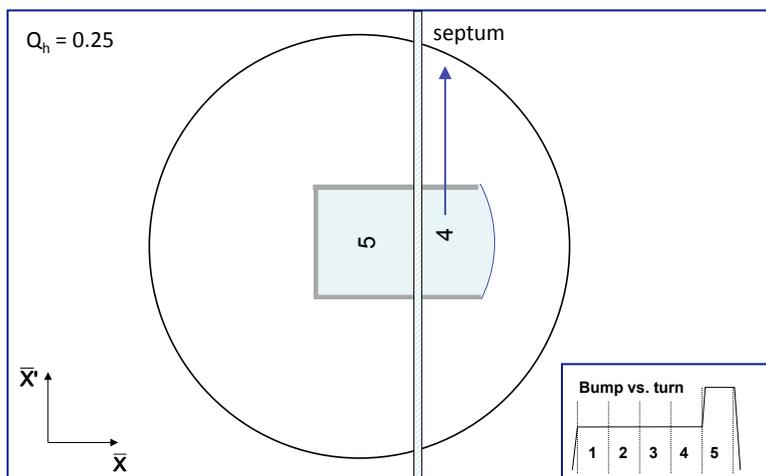
CERN PS to SPS: 5-turn continuous transfer – 5th turn



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Non-resonant multi-turn extraction

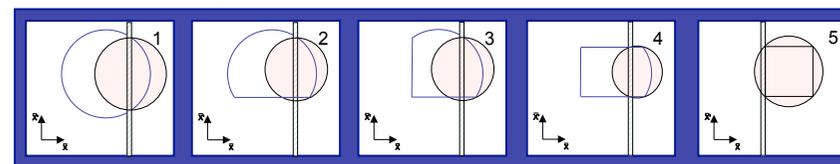
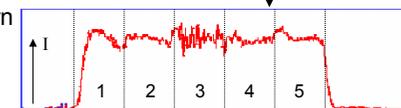
CERN PS to SPS: 5-turn continuous transfer – 4th turn



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Non-resonant multi-turn extraction

- CERN PS to SPS: 5-turn continuous transfer
 - Losses impose thin (ES) septum...
 - ...a second magnetic septum is needed
 - Still about 15 % of beam lost in PS-SPS CT
 - Difficult to get equal intensities per turn
 - Different trajectories for each turn
 - Different emittances for each turn



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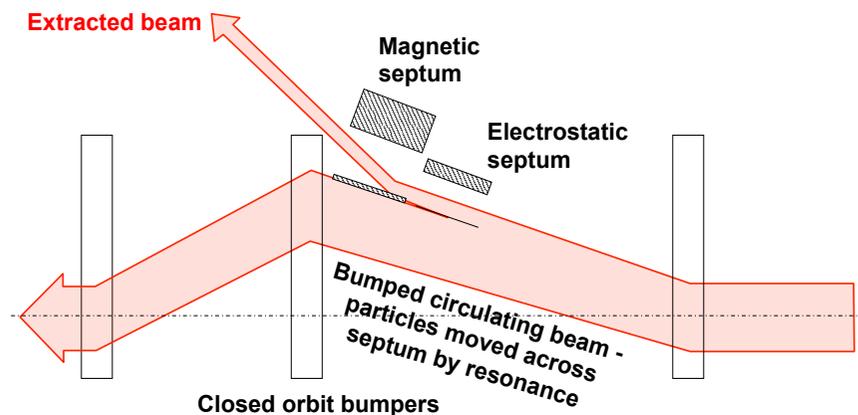
Resonant low-loss multi-turn extraction

- Adiabatic capture of beam in stable “islands”
 - Use non-linear fields (sextupoles and octupoles) to create islands of stability in phase space
 - A slow (adiabatic) tune variation to cross a resonance and to drive particles into the islands (capture) with the help of transverse excitation (using damper)
 - Variation of field strengths to separate the islands in phase space
- Several big advantages:
 - Losses reduced significantly (no particles at the septum in transverse plane)
 - Phase space matching improved with respect to existing non-resonant multi-turn extraction - ‘beamlets’ have similar emittance and optical parameters

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Resonant multi-turn extraction

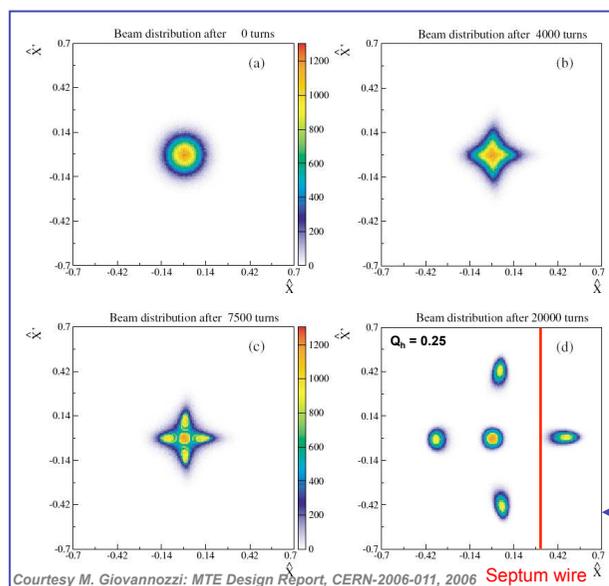
Non-linear fields excite resonances that drive the beam slowly across the septum



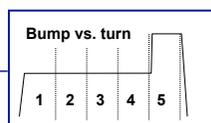
- Slow bumpers move the beam near the septum
- Tune adjusted close to n^{th} order betatron resonance
- Multipole magnets excited to define stable area in phase space, size depends on $\Delta Q = Q - Q_r$

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Resonant low-loss multi-turn extraction



- Unperturbed beam
- Increasing non-linear fields
- Beam captured in stable islands
- Islands separated and beam bumped across septum – extracted in 5 turns



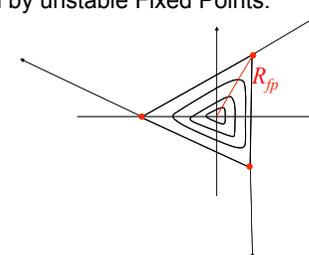
Courtesy M. Giovannozzi: MTE Design Report, CERN-2006-011, 2006

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Resonant multi-turn extraction

- 3rd order resonances – see lectures by Y. Papaphilippou
 - Sextupole fields distort the circular normalised phase space particle trajectories.
 - Stable area defined, delimited by unstable Fixed Points.

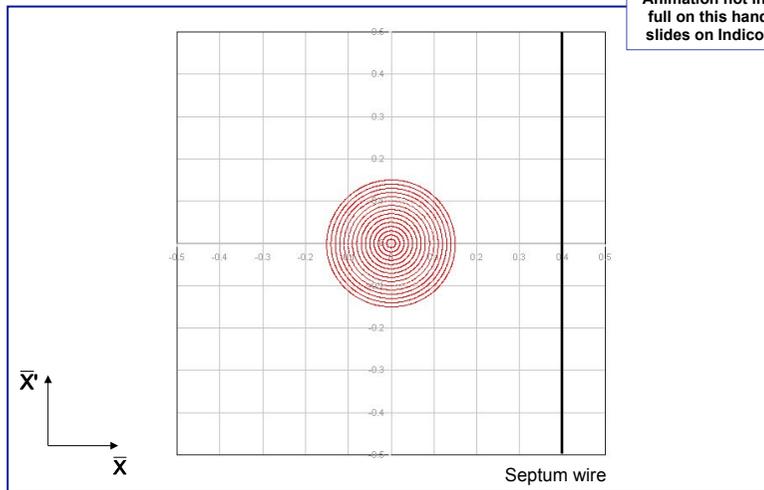
$$R_{fp}^{1/2} \propto \Delta Q \cdot \frac{1}{k_2}$$



- Sextupole magnets arranged to produce suitable phase space orientation of the stable triangle at thin electrostatic septum
- Stable area can be reduced by...
 - Increasing the sextupole strength, or...
 - Fixing the sextupole strength and scanning the machine tune Q_h (and therefore the resonance) through the tune spread of the beam
 - Large tune spread created with RF gymnastics (large momentum spread) and large chromaticity

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Third-order resonant extraction

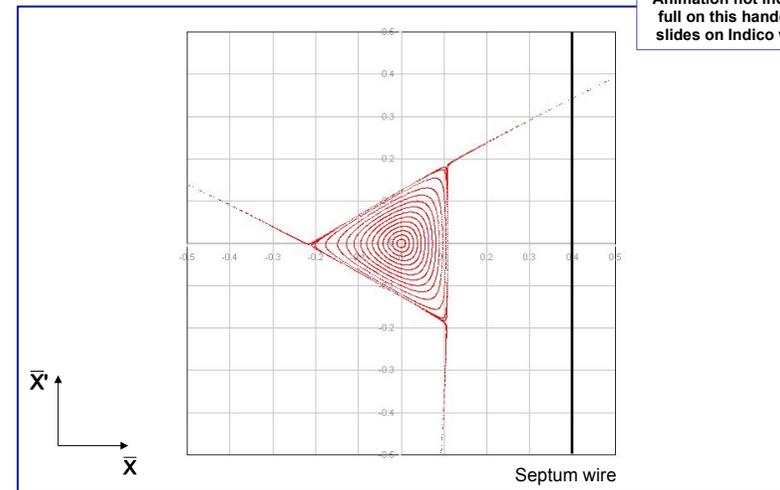


Animation not included in full on this handout: see slides on Indico website!

- Particles distributed on emittance contours
- ΔQ large – no phase space distortion

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Third-order resonant extraction

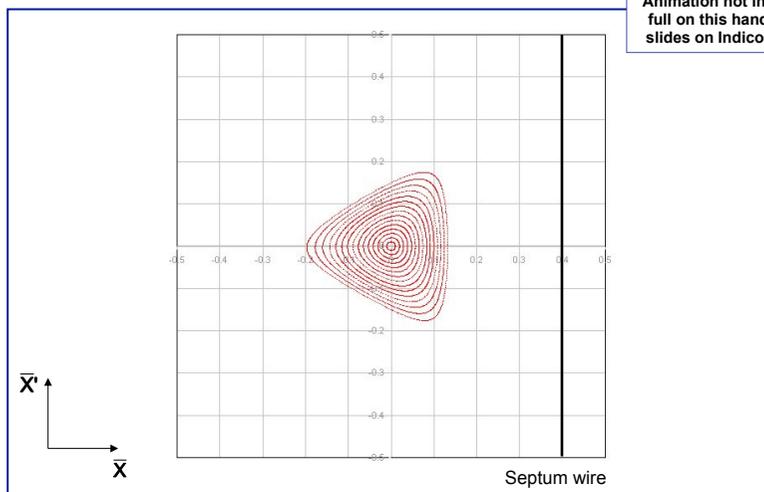


Animation not included in full on this handout: see slides on Indico website!

- ΔQ small enough that largest amplitude particle trajectories are unstable
- Unstable particles follow separatrix branches as they increase in amplitude

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Third-order resonant extraction

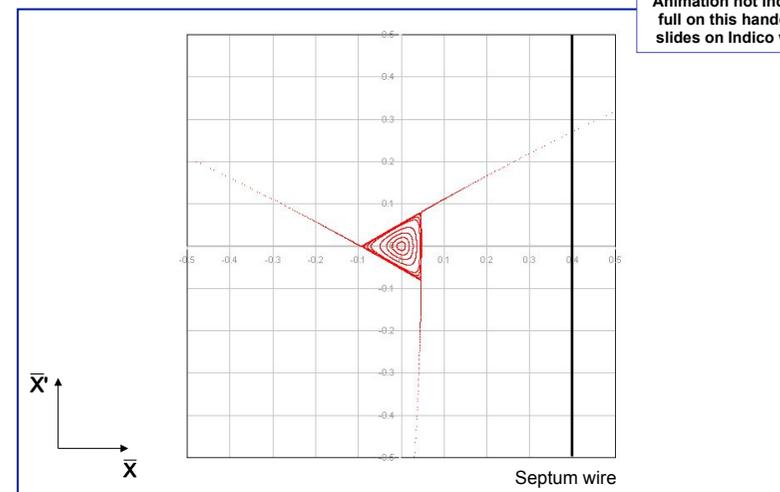


Animation not included in full on this handout: see slides on Indico website!

- Sextupole magnets produce a triangular stable area in phase space
- ΔQ decreasing – phase space distortion for largest amplitudes

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Third-order resonant extraction

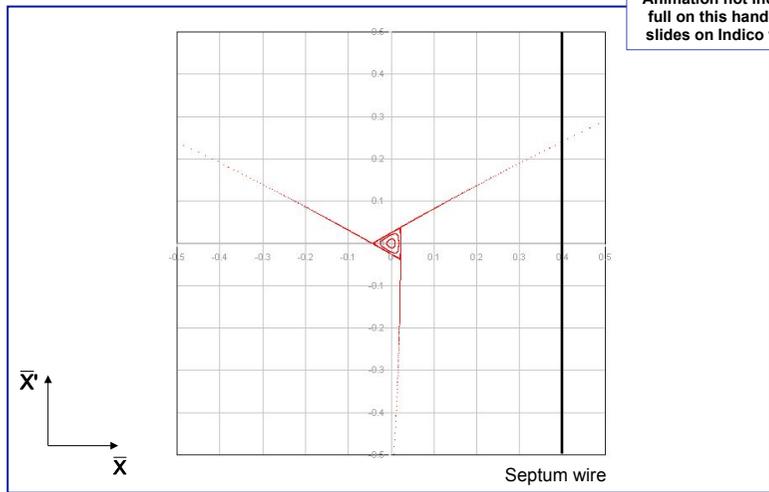


Animation not included in full on this handout: see slides on Indico website!

- As the stable area shrinks, the circulating beam intensity drops since particles are being continuously extracted

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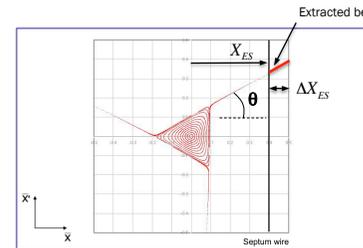
Third-order resonant extraction



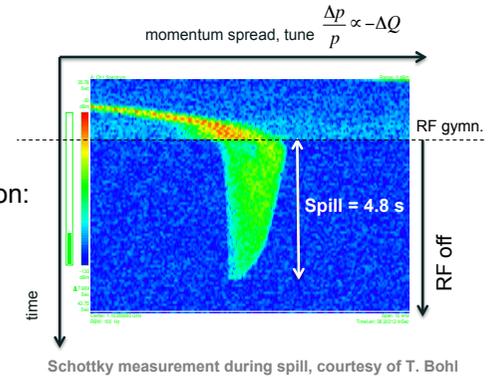
- As the stable area shrinks, the circulating beam intensity drops since particles are being continuously extracted

Third-order resonant extraction

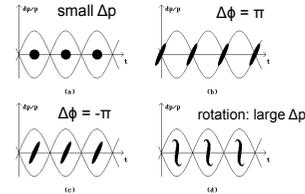
- On resonance, sextupole kicks add-up driving particles over septum
 - Distance travelled in these final three turns is termed the "spiral step," ΔX_{ES}
 - Extraction bump trimmed in the machine to adjust the spiral step



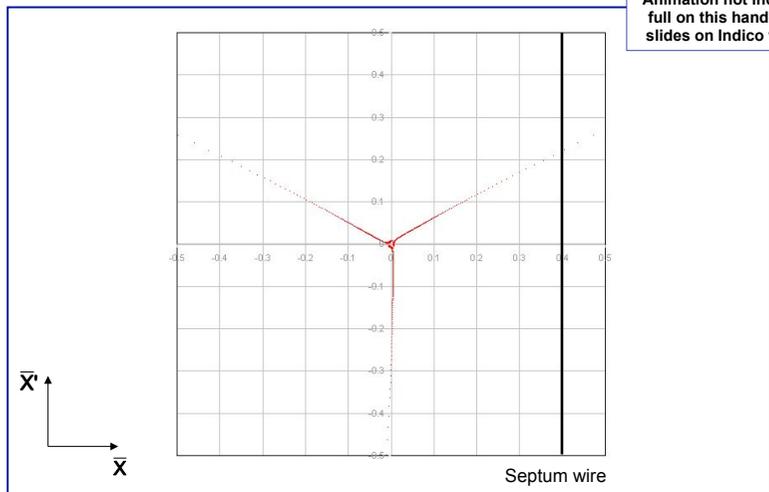
$$\Delta X_{ES} \propto |k_2| \frac{X_{ES}^2}{\cos \theta}$$



- RF gymnastics before extraction:

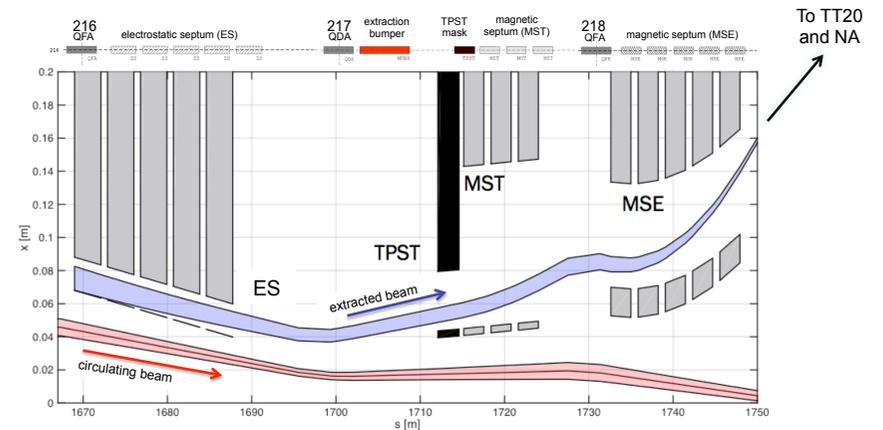


Third-order resonant extraction



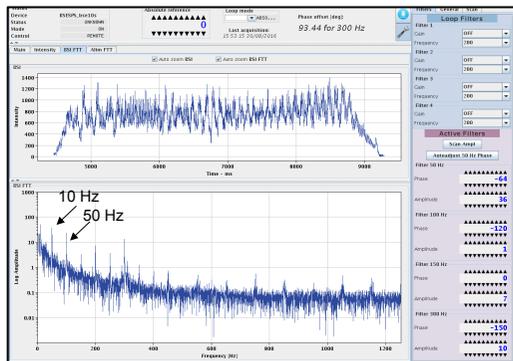
- As ΔQ approaches zero, the particles with very small amplitude are extracted

Slow extraction channel: SPS



Slow extracted spill quality

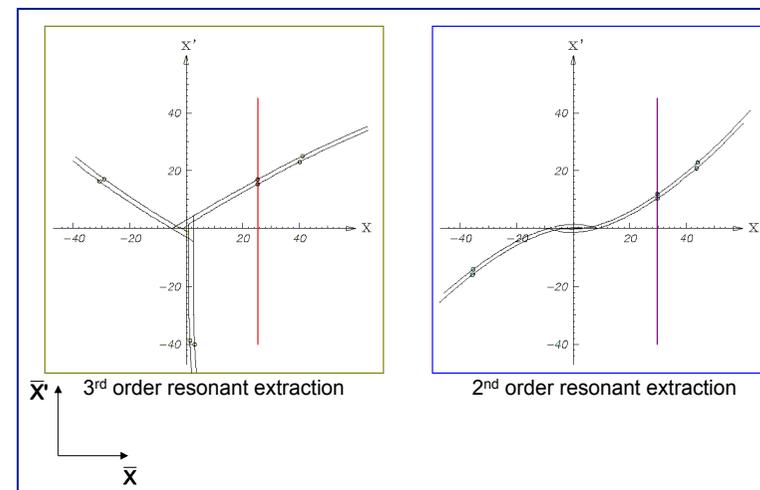
- The slow-extraction is a resonant process and it amplifies the smallest imperfections in the machine:
 - e.g. spill intensity variations can be explained by ripples in the current of the quads (mains: $n \times 50$ Hz) at the level of a few ppm!
 - Injection of $n \times 50$ Hz signals in counter-phase on dedicated quads can be used to compensate



A recent example of a spill at SPS to the North Area with large $n \times 50$ Hz components and another noise source at 10 Hz

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Resonant extraction separatrices



- Amplitude growth for 2nd order resonance much faster than 3rd – shorter spills (\approx milliseconds vs. seconds)
- Used where intense pulses are required on target – e.g. neutrino production

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Second-order resonant extraction

- An extraction can also be made over a few hundred turns
- 2nd and 4th order resonances
 - Octupole fields distort the regular phase space particle trajectories
 - Stable area defined, delimited by two unstable Fixed Points
 - Beam tune brought across a 2nd order resonance ($Q \rightarrow 0.5$)
 - Particle amplitudes quickly grow and beam is extracted in a few hundred turns

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Extraction - summary

- Several different techniques:
 - Single-turn fast extraction:
 - for Boxcar stacking (transfer between machines in accelerator chain), beam abort
 - Non-resonant multi-turn extraction
 - slice beam into equal parts for transfer between machine over a few turns.
 - Resonant low-loss multi-turn extraction
 - create stable islands in phase space: slice off over a few turns.
 - Resonant multi-turn extraction
 - create stable area in phase space \Rightarrow slowly drive particles into resonance \Rightarrow long spill over many thousand turns.

Thank you for your attention

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Appendix

Blow-up from steering error

- So we plug in the new coordinates:

$$\begin{aligned}
 \mathbf{A}_{error}^2 &= \bar{X}_{error}^2 + \bar{X}'_{error}{}^2 \\
 &= (\bar{X}_0 + L \cos \theta)^2 + (\bar{X}'_0 + L \sin \theta)^2 \\
 &= \bar{X}_0^2 + \bar{X}'_0{}^2 + 2L(\bar{X}_0 \cos \theta + \bar{X}'_0 \sin \theta) + L^2
 \end{aligned}$$

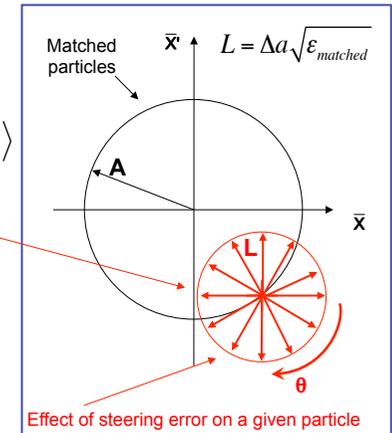
$\cos^2 \theta + \sin^2 \theta = 1$

- Taking the average over distribution:

$$\begin{aligned}
 \langle \mathbf{A}_{error}^2 \rangle &= \langle \mathbf{A}_0^2 \rangle + 2L(\langle \bar{X}_0 \cos \theta \rangle + \langle \bar{X}'_0 \sin \theta \rangle) + \langle L^2 \rangle \\
 &= 2\epsilon_{matched} + L^2
 \end{aligned}$$

- Giving the diluted emittance as:

$$\begin{aligned}
 \epsilon_{diluted} &= \epsilon_{matched} + \frac{L^2}{2} \\
 &= \epsilon_{matched} \left[1 + \frac{\Delta a^2}{2} \right]
 \end{aligned}$$



Blow-up from steering error

- The new particle coordinates in normalised phase space are:

$$\bar{X}_{error} = \bar{X}_0 + L \cos \theta$$

$$\bar{X}'_{error} = \bar{X}'_0 + L \sin \theta$$

- For a general particle distribution, where A_i denotes amplitude in normalised phase of particle i :

$$\mathbf{A}_i^2 = \bar{X}_{0,i}^2 + \bar{X}'_{0,i}{}^2$$

- The emittance of the distribution is:

$$\epsilon_{matched} = \langle \mathbf{A}_i^2 \rangle / 2$$

