

Search for CLFV decays $\tau \rightarrow 3\mu$ with ATLAS and CMS Detectors

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(on behalf of the ATLAS and CMS Collaborations)

Preamble

There are no fundamental symmetries explicitly forbidding CLFV processes

- In fact, in SM CLFV decays are possible via neutrino oscillations, e.g. $B(\tau \rightarrow 3\mu) \sim O(10^{-14})$
- In BSM, such decays can be “naturally” enhanced, e.g. $B(\tau \rightarrow 3\mu)$ can be as large as $O(10^{-8})$

Theoretical considerations in favor of $\tau \rightarrow 3\mu$:

- Tau lepton’s large mass means large phase space for decays
- couplings for new physics may be enhanced for heavy particles

Experimental considerations in favor of $\tau \rightarrow 3\mu$:

- there-muon signature is the cleanest at LHC (as opposed to $3e$, $\mu\mu e$, $\mu\gamma$, etc.)

Recent experimental limits (90% CL):

- Belle: 2.1×10^{-8} (expected $\sim 2.3 \times 10^{-8}$) *Phys. Lett. B687 (2010) 139*
- BaBar: 3.3×10^{-8} (expected 4.0×10^{-8}) *Phys. Rev. D81 (2010) 111101*
- LHCb (Run 1): 4.6×10^{-8} (expected 5.6×10^{-8}) *JHEP 02 (2015) 121*
- ATLAS (Run 1, 8 TeV): 3.8×10^{-7} (expected 3.9×10^{-7}) *Eur. Phys. J. C76 (2016) 5, 232*
- CMS (Run 2, 2016 dataset): coming soon

Presented in this talk:

- **ATLAS Run 1 analysis** *Eur. Phys. J. C76 (2016) 5, 232*
- **CMS Projections for HL-LHC** *Phase 2 Muon Upgrade TDR, CMS-TDR-016 (2017)*

Tau production at HL-LHC (3000 fb⁻¹)

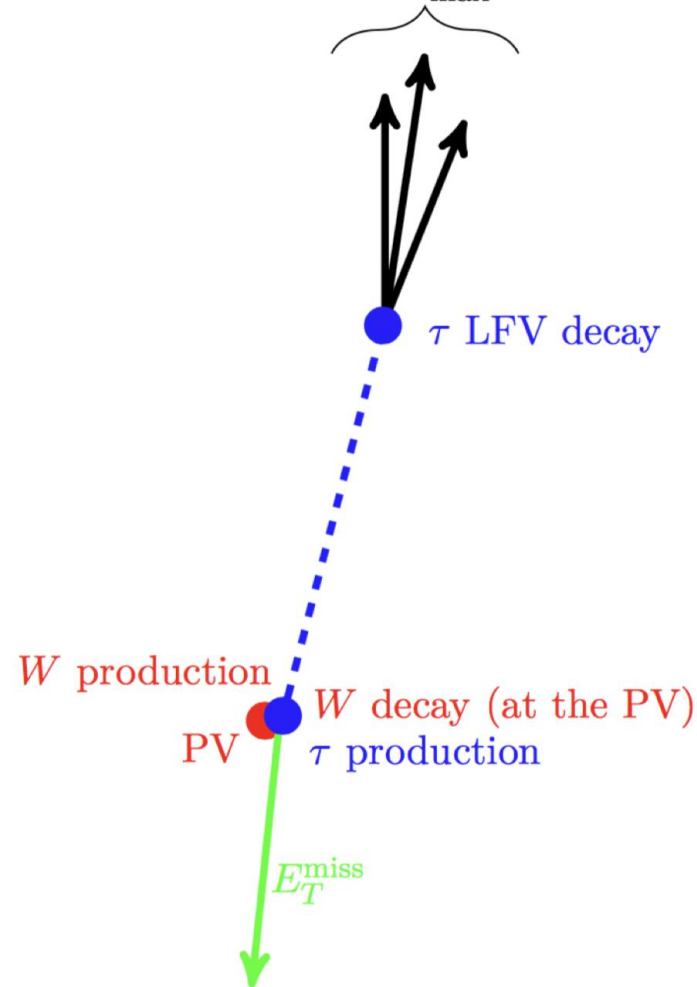
	Process	# of taus	Comment
PYTHIA	pp → cc, D → τν	3.6 × 10 ¹⁴	95% D _s , 5% D [±]
	pp → bb, B → τ+...	1.4 × 10 ¹⁴	44% B [±] , 45% B ⁰ , 11% B _s
	B → D(τν)+...	0.6 × 10 ¹⁴	98% D _s , 2% D [±]
NNLO	pp → W → τν	6.0 × 10 ¹⁰	
	pp → Z → ττ	1.2 × 10 ¹⁰	60 < m _{ττ} < 120 GeV

LHC is a prolific source of tau leptons: ~ 6 × 10¹⁴ at HL-LHC (3000 fb⁻¹)

- **Hadronic taus:** lots, but challenging (soft, forward, poor S/B)
- **W/Z taus:** ~10⁴ fewer, but relatively easier

ATLAS: search using W -decay taus

Muons $\Delta R_{\max} \simeq 0.07$



Run 1, 8 TeV dataset:

- $L = 20.3 \text{ fb}^{-1}$
- Expected number of $W \rightarrow \tau\nu$ events: 2.4×10^8

Main signal characteristics exploited:

- **Three muons:**
 - $Q = \pm 1$
 - $p_T(3\mu) \sim 20\text{-}50 \text{ GeV}$
 - common vertex, displaced wrt PV
 - boosted topology (muons close together)
 - trimuon system is isolated
 - trimuon invariant mass peaks at m_τ – the final observable
- **Missing transverse momentum**
 - 20-50 GeV
 - opposite to $p_T(3\mu)$
- **Transverse mass of $p_T(3\mu)$ and MET**
 - Consistent with m_W
- **Little hadronic activity in an event**

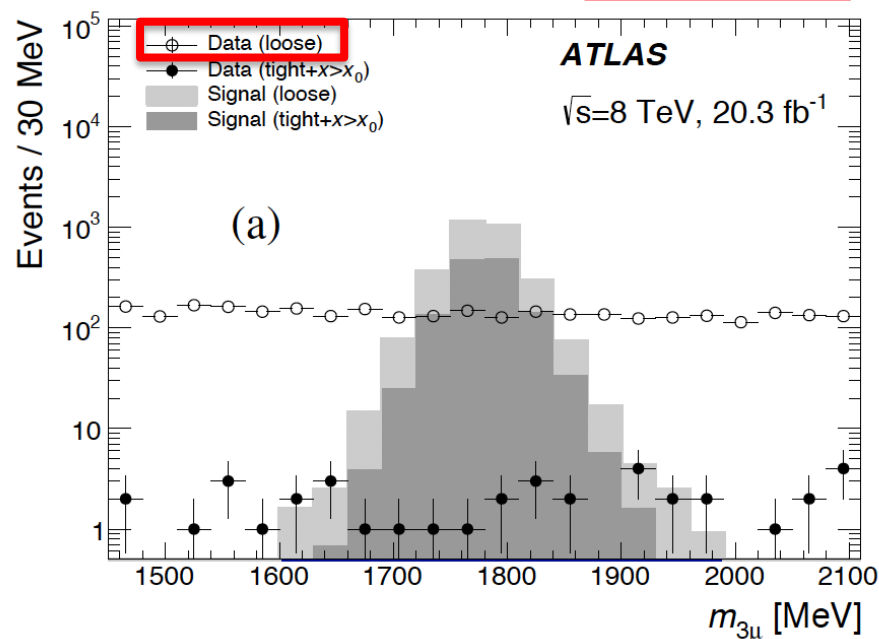
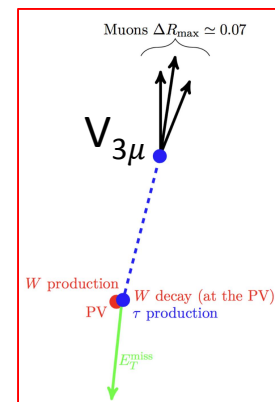
Event selection (1)

Trigger:

- Five different multi-muon triggers
- One dimuon trigger + MET
- Trigger efficiency in fiducial acceptance is **~30%**
(fiducial signal acceptance: $p_T > 2.5 \text{ GeV}$, $|\eta| < 2.4$)

Reconstruction and event selection:

- three high-quality muons, $Q=1$
- $m_{3\mu} < 2.5 \text{ GeV}$
- common vertex $V_{3\mu}$
- **Loose** trimuon event selection cuts on:
 - $V_{3\mu}$ – PV displacement significance
 - impact parameter formed by $p_T(3\mu)$ vector
 - $p_T(3\mu)$
 - Isolation
 - MET
 - m_T



Total signal efficiency: **6.6%**

BDT-training sideband [750; 1450] and [2110; 2500]: **4672 events**

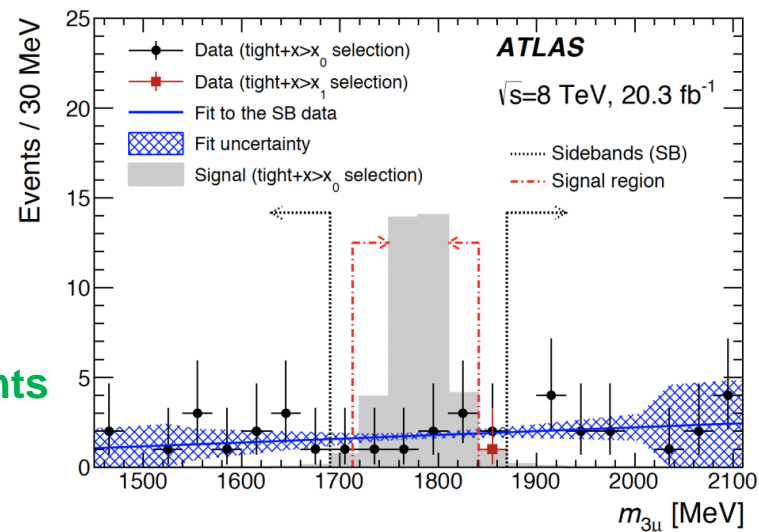
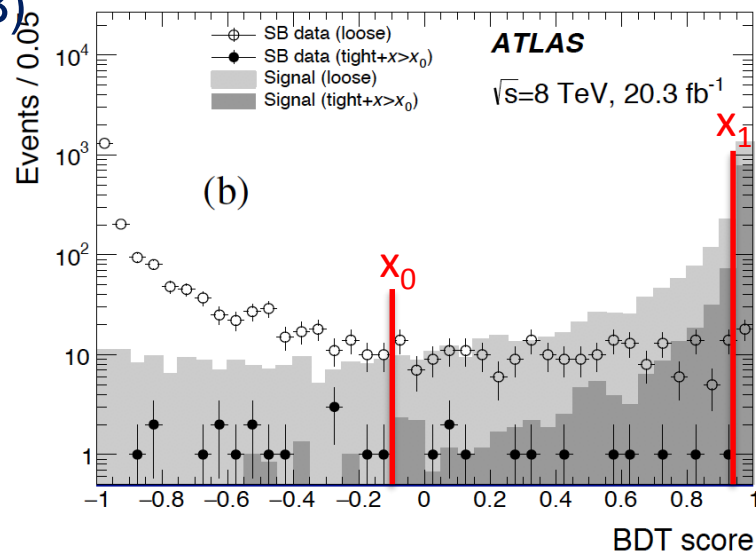
Event selection (2)

After the final selection, the signal sideband (SB) is expected to have too few or even no events

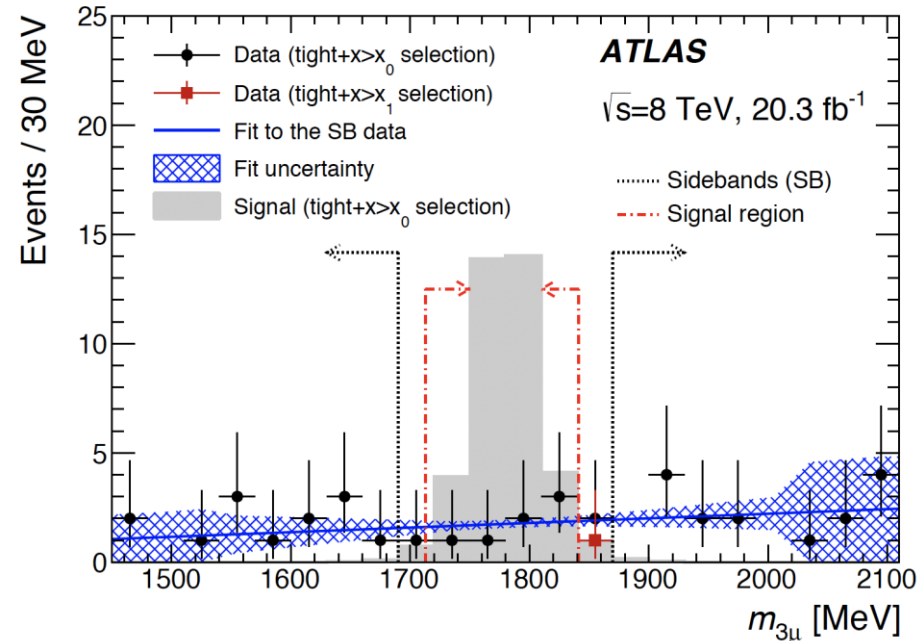
The following analysis strategy is employed

- Train BDT using:
 - signal MC
 - data from BDT-training sideband
- Apply BDT cut $x > x_0$
- Apply **tight event selection**
 - Use the signal sidebands to predict background in signal region $B_{SR}(\text{tight}, x > x_0)$
 - Use the BDT distribution to compute a reduction factor f for going from $x > x_0$ to $x > x_1$
- Apply BDT cut $x > x_1$
- **Predicted background in the signal region SR**

$$B_{SR}(\text{tight}, x > x_1) = B_{SR}(\text{tight}, x > x_0) \times f = 0.19 \text{ events}$$
- **Overall signal selection efficiency: 2.3%**



Results



Expected $W \rightarrow \tau\nu$ events: 2.4×10^8

Signal region:

- Signal A x eff = **0.023**
- Background (how?) = **0.19 events**
- Observed: **0 events**

Exclusion limits on B at 90% CL

- Expected: **3.9×10^{-7}**
- Observed: **3.8×10^{-7}**

Side note: for $B = 2 \times 10^{-8}$, S/B \sim 1:2

My naïve extrapolation toward HL-LHC

If I naively assume (all faults are mine!)

- no deterioration due to high PU
- no improvements in the detector
- no changes in the analysis
- no systematic uncertainties whatsoever
- background event rate scales from 8 TeV to 14 TeV in sync with the W rate

Number of $W \rightarrow \tau\nu$ events:

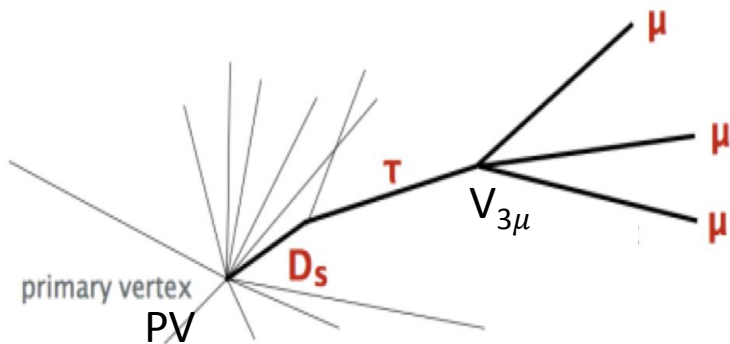
$$\sigma_{14\text{TeV}}(W) \times B(W \rightarrow \tau\nu) \times L = (2 \times 10^8 \text{ fb}) \times 0.11 \times (3000 \text{ fb}^{-1}) = 6 \times 10^{10}$$

Signal A x eff = **0.023** (no changes)

Background in signal region: $(6 \times 10^{10} / 2.4 \times 10^8) \times 0.19 \sim$ **50 events**

Such naïve extrapolation gives expected limit **9×10^{-9} at 90% CL**

CMS: Search projection using hadronic taus



HL-LHC:

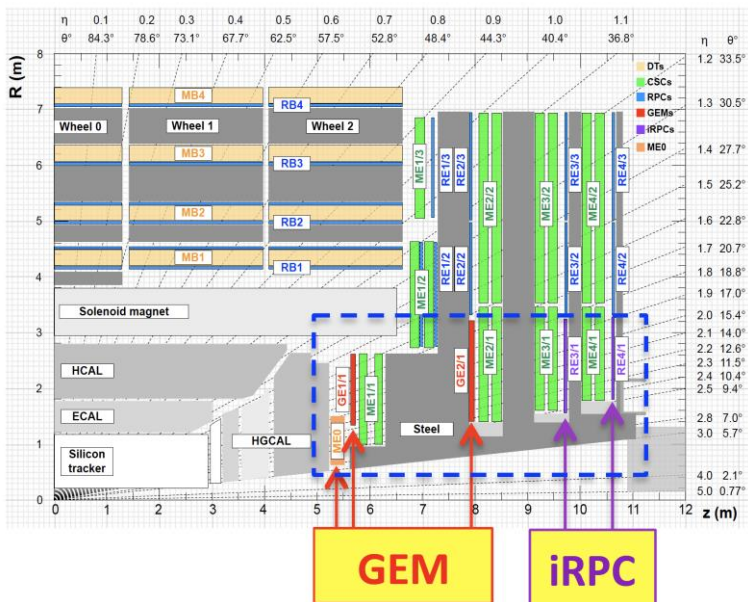
- 14 TeV
- PU = 200 ($L \sim 7.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$)
- $L_{\text{int}} = 3000 \text{ fb}^{-1}$
- Expected number of hadronic taus: 5.6×10^{14}
(72% of which originate from $D_s \rightarrow \tau\nu$)

CMS Upgrades most relevant for this analysis:

- Enhanced forward muon system:
 - improved momentum measurement at L1 Trigger
 - extended eta-coverage from 2.4 to 2.8
- Track-trigger capabilities for tracks with $p_T > 2 \text{ GeV}$
- Higher trigger bandwidth (100 kHz \rightarrow 750 kHz)

Main signal trimuon characteristics exploited:

- Q=1
- common vertex, $V_{3\mu}$
- V – PV displacement
- collinearity of $p(3\mu)$ and PV- $V_{3\mu}$ vectors
- trimuon invariant mass peaks at m_τ – the final observable



Event Selection (1)

Basic signal acceptance ($|\eta| < 2.8, p > 2.5 \text{ GeV}$): **2.6%**
 factor of two gain due to extension of muon η -acceptance from 2.4 to 2.8

Muon reconstruction:

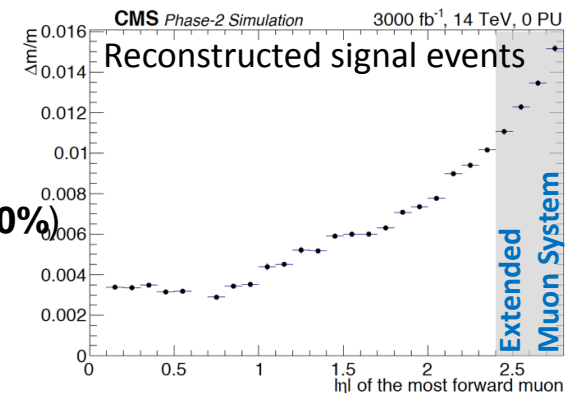
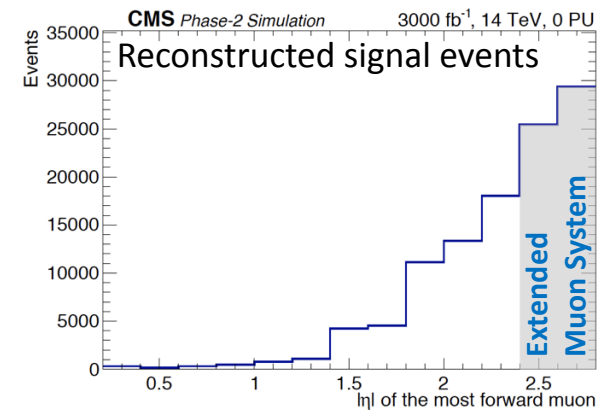
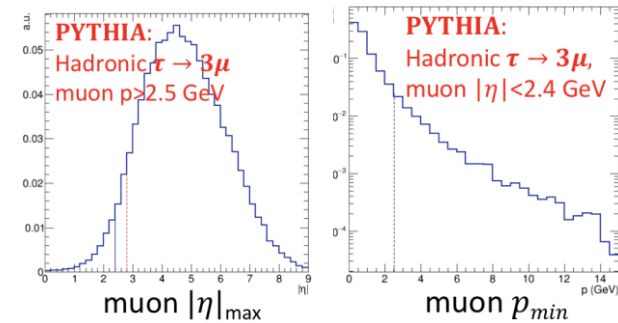
- Tracker-muons: Tracker track + at least one matching segment in Muon System
- Signal efficiency in acceptance is about **30%**
- the gained events due to the Muon System extension have a **worse trimuon mass resolution**

Two event categories are introduced:

- **Category 1:** events reconstructed using the present muon chambers
- **Category 2:** events with at least one ME0-only muon

Trigger:

- **Category 1:**
 - At least two tracker-muons ($p_T > 2 \text{ GeV}$, $\delta p_T/p_T \sim 2\text{--}3\%$)
 - One station-1 **ME0-CSC** segment ($\delta p_T/p_T \sim 20\%$)
 - Trimuon mass $< 3 \text{ GeV}$
 - Efficiency wrt reconstructed/selected trimuon events: **$\sim 80\%$**
- **Category 2:**
 - At least one tracker-muon
 - Two station-1 segments, allowing for **ME0-only** segments ($\delta p_T/p_T \sim 40\%$)
 - Trimuon mass $< 3 \text{ GeV}$
 - Trigger efficiency for reconstructed/selected trimuon events: **$\sim 50\%$**



Event selection (2)

Loose cuts on variables to mitigate pile-up background

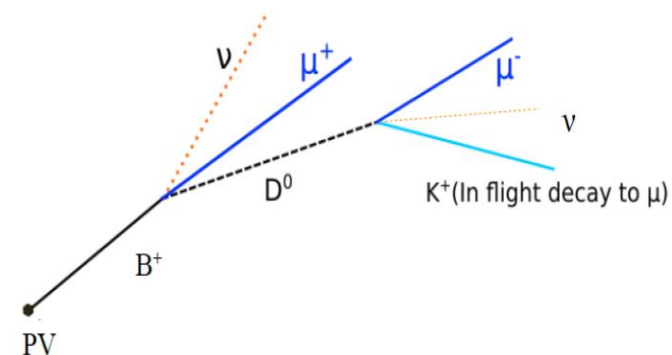
- $Q = \pm 1$
- minimum trimuon vertex χ^2
- minimum transverse displacement of the trimuon vertex
- maximum ΔR distance between the three muons

This basic event selection

- has signal efficiency **~30%** for events with all three muons reconstructed
- and practically eliminates pile-up background

Remaining background is mostly due to B production with

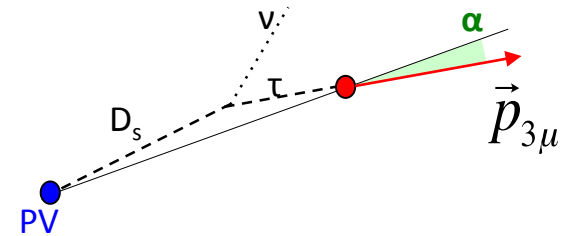
- two muons from B cascade decays
- and one “fake” muon from π/K -decays in flight accidental “alignment” of a track in the Tracker stub found in a muon chamber



Event Selection (3)

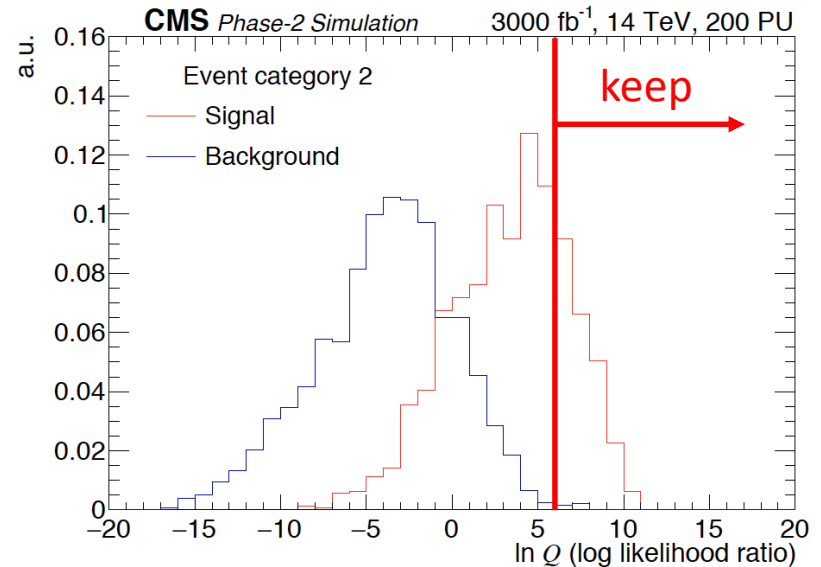
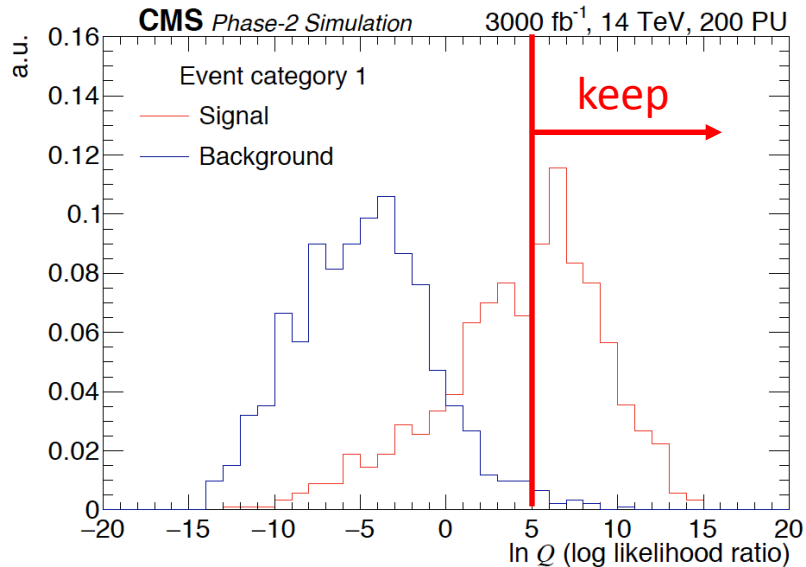
Observables used to build the final S/B log-likelihood ratio, $\ln Q$, where $Q = \prod \frac{pdf_s(x_i)}{pdf_b(x_i)}$

- trimuon vertex χ^2
- transverse displacement of the trimuon vertex
- decay collinearity angle α
- minimum ΔR distance between between dimuon pairs
- highest and lowest muon momentum
- number of b-jets in the event
- ...

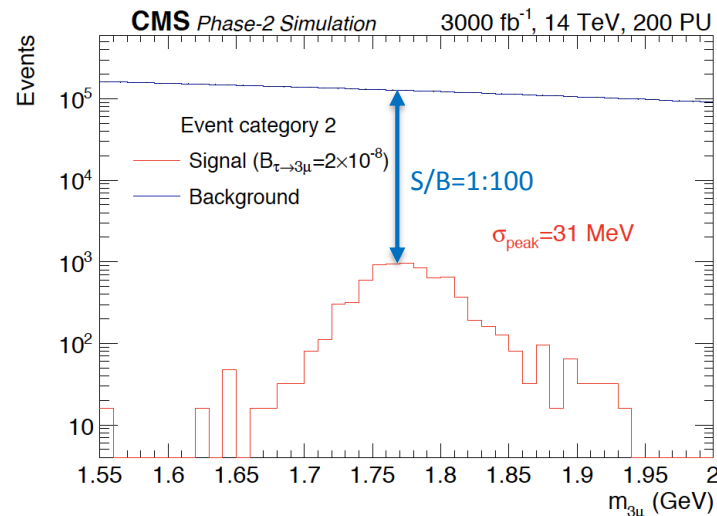
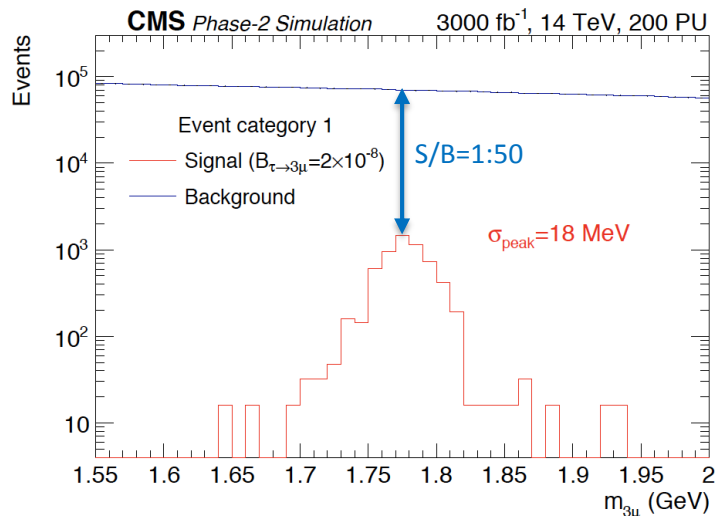


A cut on $\ln Q$ is then imposed

The final overall signal efficiency $\sim 0.06\%$



Final mass distributions and sensitivity



	Category 1	Category 2
Number of background events	2.4×10^6	2.6×10^6
Number of signal events ($B = 2 \times 10^{-8}$)	4 580	3 640
Trimuon mass resolution	18 MeV	31 MeV
$B(\tau \rightarrow 3\mu)$ limit per event category	4.3×10^{-9}	7.0×10^{-9}
$B(\tau \rightarrow 3\mu)$ 90% C.L. limit	3.7×10^{-9}	
$B(\tau \rightarrow 3\mu)$ for 3σ -evidence	6.7×10^{-9}	
$B(\tau \rightarrow 3\mu)$ for 5σ -observation	1.1×10^{-8}	

Conclusions

The present best limit on $\tau \rightarrow 3\mu$ decays:

$$B(\tau \rightarrow 3\mu) < 2.1 \times 10^{-8} \text{ at 90\% CL}$$

Belle-II projection for 50 ab^{-1} [PoS FPCP2015 (2015) 049]:

$$4 \times 10^{-10} \text{ at 90\% CL}$$

HL-LHC is a prolific source of tau leptons: $\sigma(pp \rightarrow \tau + X) \sim 2 \times 10^{11} \text{ fb}$

- Both **hadronic** and **electroweak** ($\sigma \sim 2 \times 10^7 \text{ fb}$) taus can be exploited for the $\tau \rightarrow 3\mu$ search; each branch is being explored by both ATLAS and CMS

- **HL-LHC Projections (limit at 90% CL) based on the three public results available so far:**

	Luminosity	Tau source	Source of projection	Limit
ATLAS	3000 fb^{-1}	$W \rightarrow \tau \nu$	My naïve extrapolation from the Run 1 (8 TeV, 20.3 fb^{-1}) results (slide 8)	9×10^{-9}
CMS	3000 fb^{-1}	Hadronic	Simulated analysis for the Upgraded CMS at HL-LHC	4×10^{-9}
LHCb	300 fb^{-1}	Hadronic	My naïve $1/\sqrt{N}$ extrapolation from the Run 1 (8 TeV, 3 fb^{-1}) results	6×10^{-9}

- LHC analyses are not limited by the number of taus, but rather by how well one can separate signal from large background – **plenty of opportunities for further optimization**. The actual results will be for sure better than the presented simplified/naïve projections (*e.g., compare the earlier projections and the actual results for $t\bar{t}H$, $H \rightarrow b\bar{b}$, $VBF H \rightarrow \text{invisible}$*)