

Towards a new paradigm for quark-lepton unification



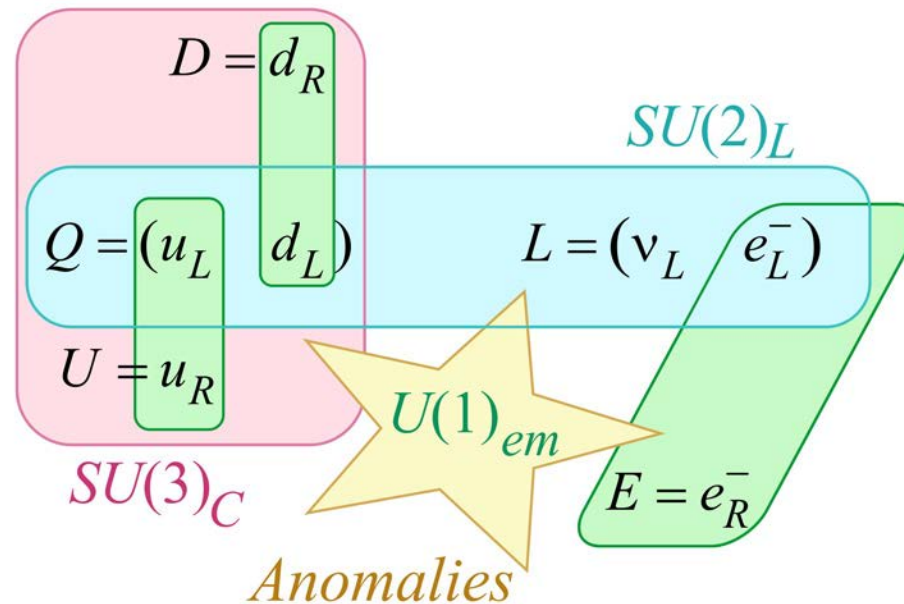
Christopher Smith



Introduction

A. Flavors and unification

From the gauge point of view, fermions are well unified:



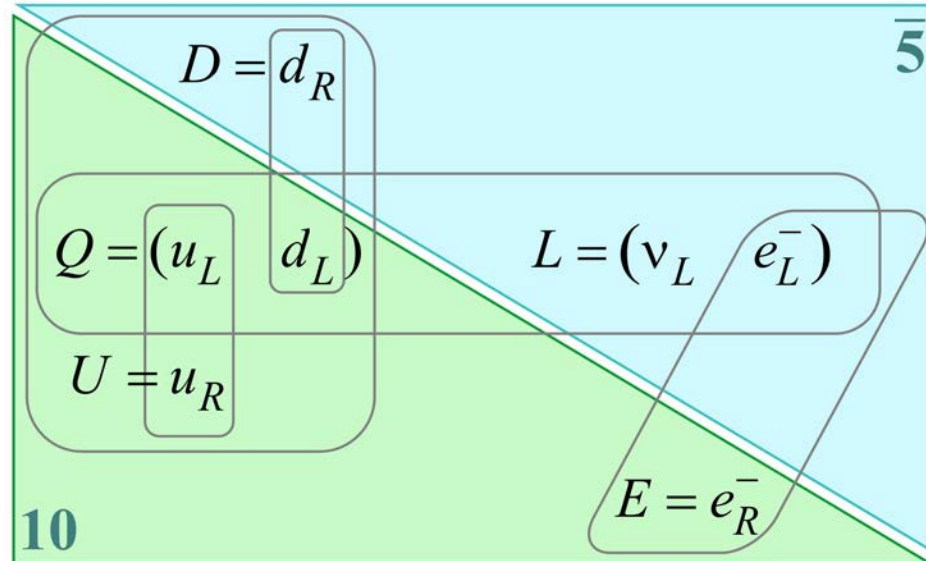
From the flavor point of view, the situation is far less satisfactory:

$$\mathcal{L}_{Yukawa} = \bar{U} \mathbf{Y}_u Q H + \bar{D} \mathbf{Y}_d Q H^\dagger + \bar{E} \mathbf{Y}_e L H^\dagger$$

↑ ↑ ↑
Unrelated 3x3 complex matrices

A. Flavors and unification

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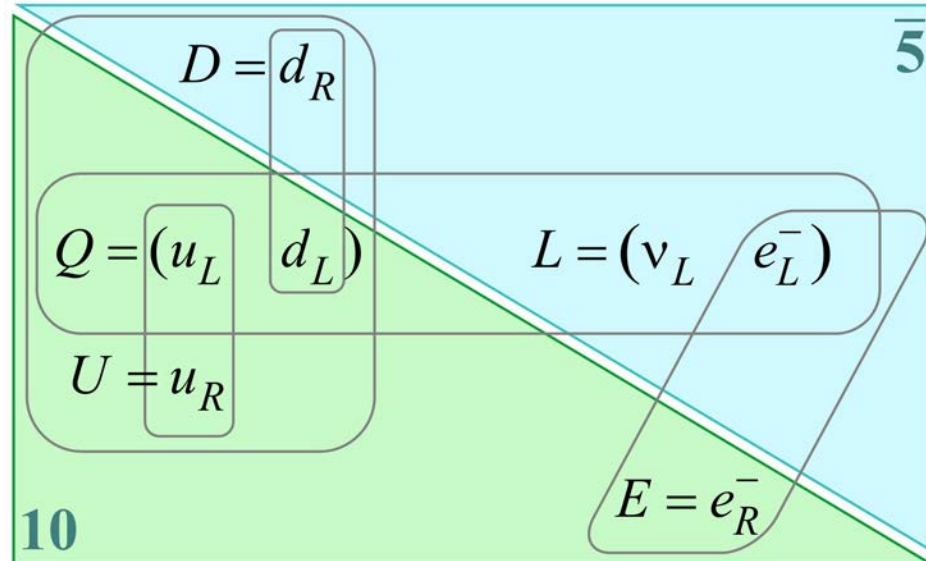
From the flavor point of view, the situation is far less satisfactory:

$$\mathcal{L}_{Yukawa} = -\frac{1}{4} \bar{\chi}_{10}^C Y_{10} \chi_{10} h_5 + \sqrt{2} \bar{\psi}_5^C Y_5 \chi_{10} h_5^\dagger$$

$$Y_{10} = Y_{10}^T = Y_u \qquad Y_5 = Y_e^T = Y_d \rightarrow \frac{m_d}{m_s} = \frac{m_e}{m_\mu} \text{ ???}$$

A. Flavors and unification

From the gauge point of view, fermions are well unified:



From the flavor point of view, the situation is far less satisfactory:

$$\mathcal{L}_{Yukawa} = -\frac{1}{4} \bar{\chi}_{10}^C \mathbf{Y}_{10} \chi_{10} h_5 + \underbrace{\sqrt{2} \bar{\psi}_5^C \mathbf{Y}_5 \chi_{10} h_5^\dagger - \sqrt{12} \bar{\psi}_5^C \mathbf{Y}'_5 \chi_{10} h_{45}^\dagger}_{\mathbf{Y}_5 - 3\mathbf{Y}'_5 = \mathbf{Y}_e^T, \quad \mathbf{Y}_5 + \mathbf{Y}'_5 = \mathbf{Y}_d} + \dots$$

$\mathbf{Y}_{10} = \mathbf{Y}_{10}^T = \mathbf{Y}_u$

B. Minimal Flavor Violation

\mathbf{Y} = Yukawas SM NP \mathbf{A} = Anything else

The three families of quarks/leptons have **identical gauge interactions**

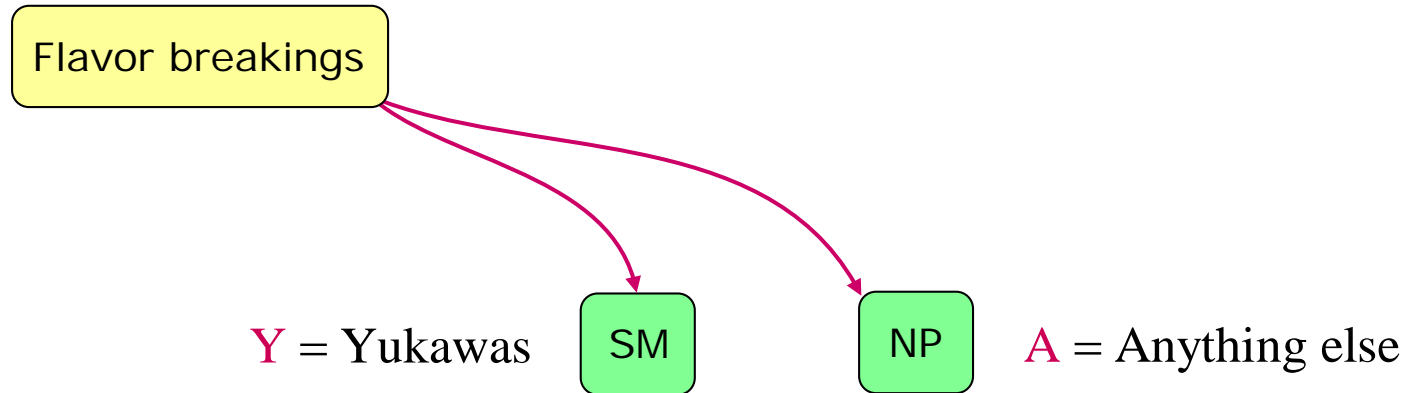
→ flavor symmetry: $G_F = U(3)^5 = U(3)_Q \times U(3)_U \times U(3)_D \times U(3)_L \times U(3)_E$

This symmetry is **explicitly broken** by all the flavor couplings, e.g.,

$$\mathcal{L}_{Yukawa} = U\mathbf{Y}_u QH + D\mathbf{Y}_d QH^\dagger + E\mathbf{Y}_e LH^\dagger$$

B. Minimal Flavor Violation

Assume some NP mechanism is at the origin of all the flavor structures.



The three families of quarks/leptons have **identical gauge interactions**

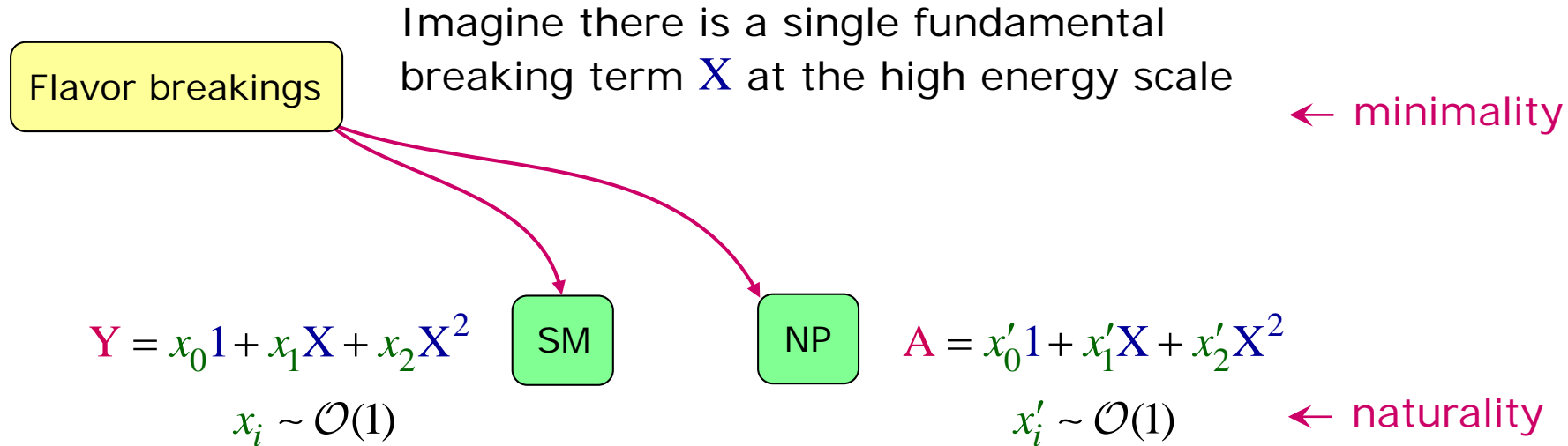
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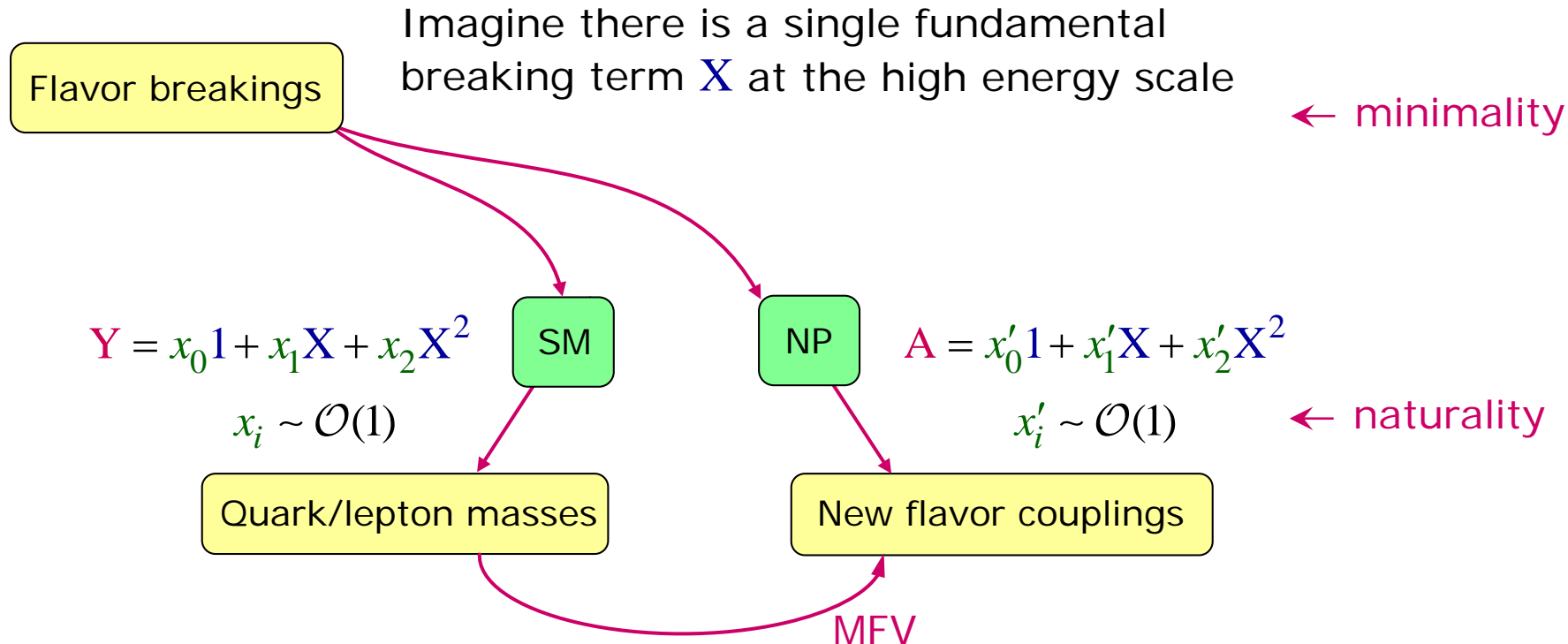
Nikolidakis, CS '07
Colangelo, Nikolidakis, CS '08
CS '11



Remark: finite expansions thanks to Cayley-Hamilton identities:

$$\mathbf{X}^3 - \langle \mathbf{X} \rangle \mathbf{X}^2 + \frac{1}{2} \mathbf{X} (\langle \mathbf{X} \rangle^2 - \langle \mathbf{X}^2 \rangle) = \frac{1}{3} \langle \mathbf{X}^3 \rangle - \frac{1}{2} \langle \mathbf{X} \rangle \langle \mathbf{X}^2 \rangle + \frac{1}{6} \langle \mathbf{X} \rangle^3$$

B. Minimal Flavor Violation



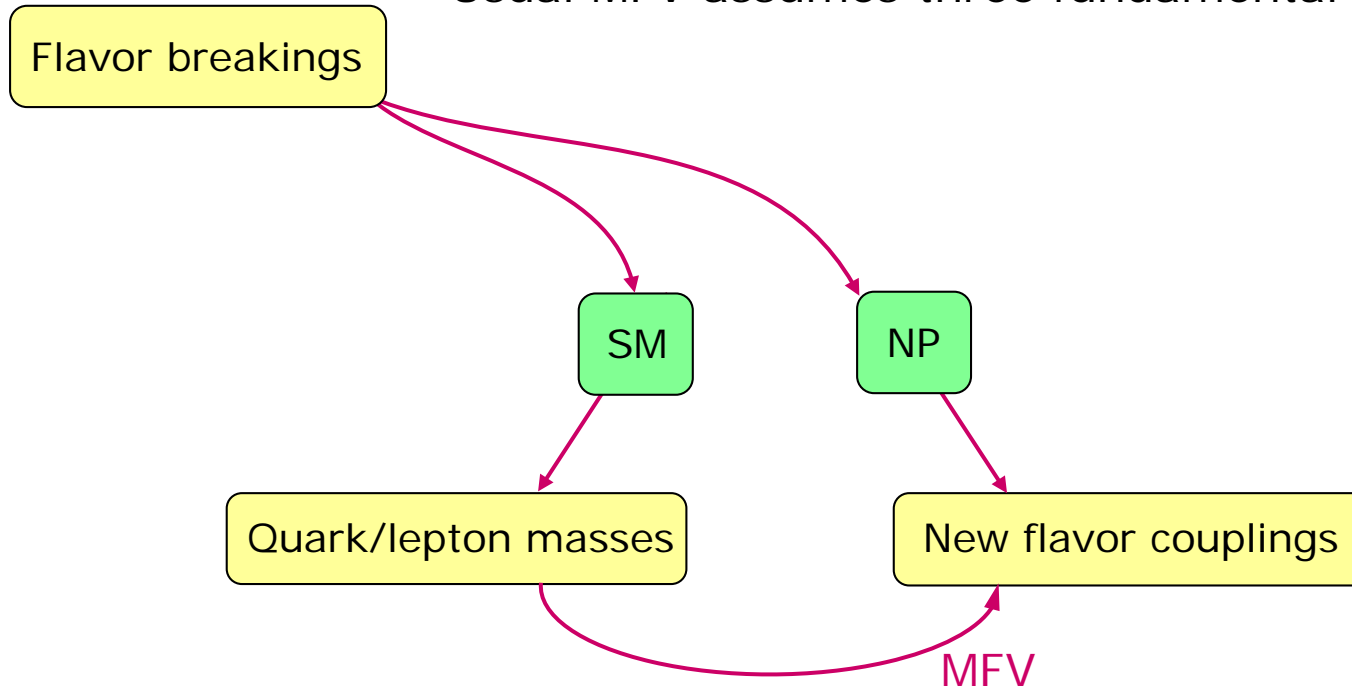
Then, low-energy flavor couplings are redundant and obey MFV relations:

$$A = a_0 \mathbf{1} + a_1 Y + a_2 Y^2 \quad \text{or} \quad Y = b_0 \mathbf{1} + b_1 A + b_2 A^2 \quad \text{with} \quad a_i, b_i \sim \mathcal{O}(1)$$

B. Minimal Flavor Violation

Nikolidakis, CS '07
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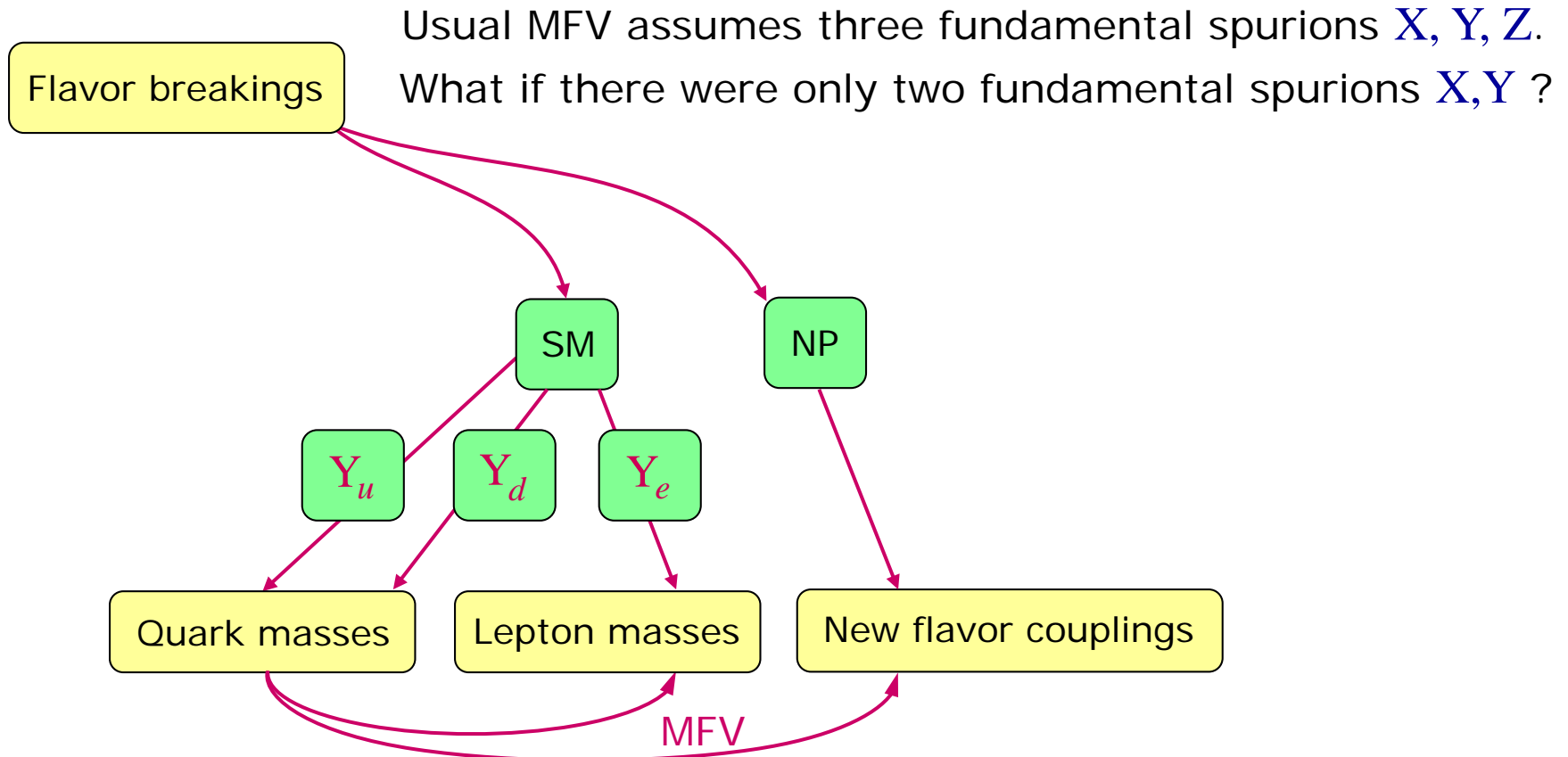
Usual MFV assumes three fundamental spurions X, Y, Z .



Trade X, Y, Z for Y_u, Y_d, Y_e and express new couplings in terms of them.

C. Going beyond the usual MFV...

CS '16



At low energy, the SM couplings must satisfy $Y_e = F(Y_u, Y_d)$.

[Neutrinos kept massless here]

- Outline

- I. Flavor perspective on Yukawa unification

- II. Geometric MFV

- III. Application to the MSSM

- IV. Application to minimal SU(5)

Based on arXiv:1612.03825 (JHEP)

I. Flavor perspective on Yukawa unification

A. Setting up MFV for Yukawas

To proceed, a choice must be made about the fundamental spurions.

Flavor symmetry: $G'_F = U(3)^3 = U(3)_{Q=L} \times U(3)_U \times U(3)_{D=E}$

Spurions: Y_u, Y_d Known in the same gauge basis:

$$G'_F \longrightarrow vY_u = m_u V_{CKM}, \quad vY_d = m_d.$$

Expansion: $Y_e = x_0 Y_d \cdot (1 + x_1 A + x_2 B + x_3 B^2 + x_4 \{A, B\} + x_5 BAB$
 $+ x_6 i[A, B] + x_7 i[A, B^2] + x_8 i(BAB^2 - B^2 AB))$

$$(A \equiv Y_d^\dagger Y_d, B \equiv Y_u^\dagger Y_u)$$

These choices match SU(5), up to an irrelevant transposition.

B. Setting up MFV for Yukawas

The MFV basis is nearly singular \rightarrow Very large coefficients in general.

Mercoli, CS '09

Assuming alignment of the lepton and down-quark mass basis:

$$Y_e \equiv 0.2 Y_d \cdot (1 + 10^8 Y_d^\dagger Y_d - 10^{11} (Y_d^\dagger Y_d)^2) \quad \text{for } \tan \beta = 50.$$

$$[\text{from } m_{e,\mu,\tau} \equiv 0.2 m_{d,s,b} (1 + 10^8 m_{d,s,b}^2 - 10^{11} m_{d,s,b}^4)]$$

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$$\mathbf{Y}_e \equiv 0.2 \mathbf{Y}_d \cdot (1 + 10^8 \mathbf{Y}_d^\dagger \mathbf{Y}_d - 10^{11} (\mathbf{Y}_d^\dagger \mathbf{Y}_d)^2) \quad \text{for } \tan \beta = 50.$$

Allowing for subsequent SVD, keeping only three terms ($\tan \beta = 50$):

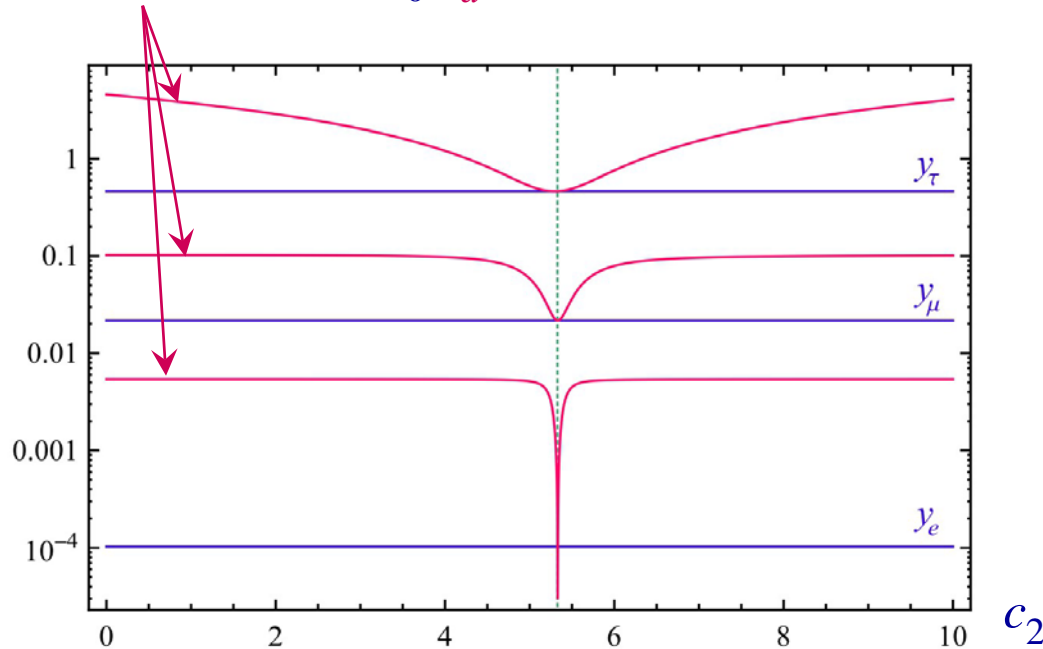
$$\mathbf{Y}_e = c_0 \mathbf{Y}_d \cdot (1 + c_1 \mathbf{Y}_u^\dagger \mathbf{Y}_u + c_2 \mathbf{Y}_d^\dagger \mathbf{Y}_d)$$

	c_0	c_1	c_2
Masses at M_Z	8.6	-1.8	1.2
SM at M_{GUT}	22	6	-20
MSSM at M_{GUT}	20	-7.9	5.3

Remarkable that reasonable coefficients are possible at all!!!

B. On the anatomy of a fine-tuning

If we plot the **SVD** of $Y_e = c_0 Y_d \cdot X$, with $X = 1 + c_1 Y_u^\dagger Y_u + c_2 Y_d^\dagger Y_d$:

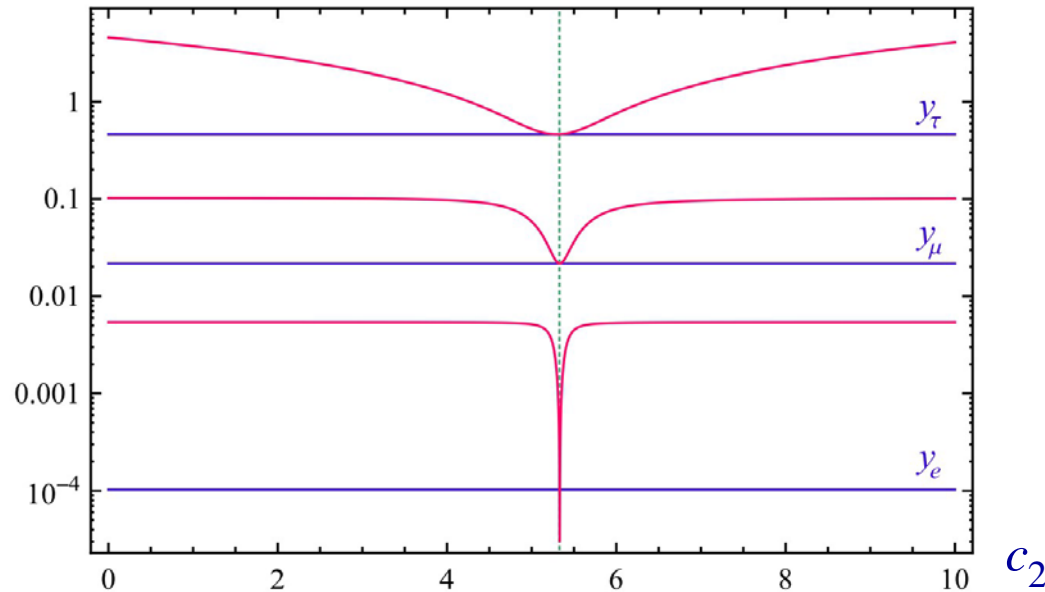


At the physical point: $|X| \approx \begin{pmatrix} 1 & 0.0005 & 0.01 \\ 0.0005 & 1 & 0.06 \\ 0.01 & 0.06 & 0.004 \end{pmatrix}$

Very delicate cancellation $1 + c_1 y_t^2 + c_2 y_b^2 \approx 0!$

B. On the anatomy of a fine-tuning

If we plot the SVD of $\mathbf{Y}_e = c_0 \mathbf{Y}_d \cdot \mathbf{X}$, with $\mathbf{X} = 1 + c_1 \mathbf{Y}_u^\dagger \mathbf{Y}_u + c_2 \mathbf{Y}_d^\dagger \mathbf{Y}_d$:

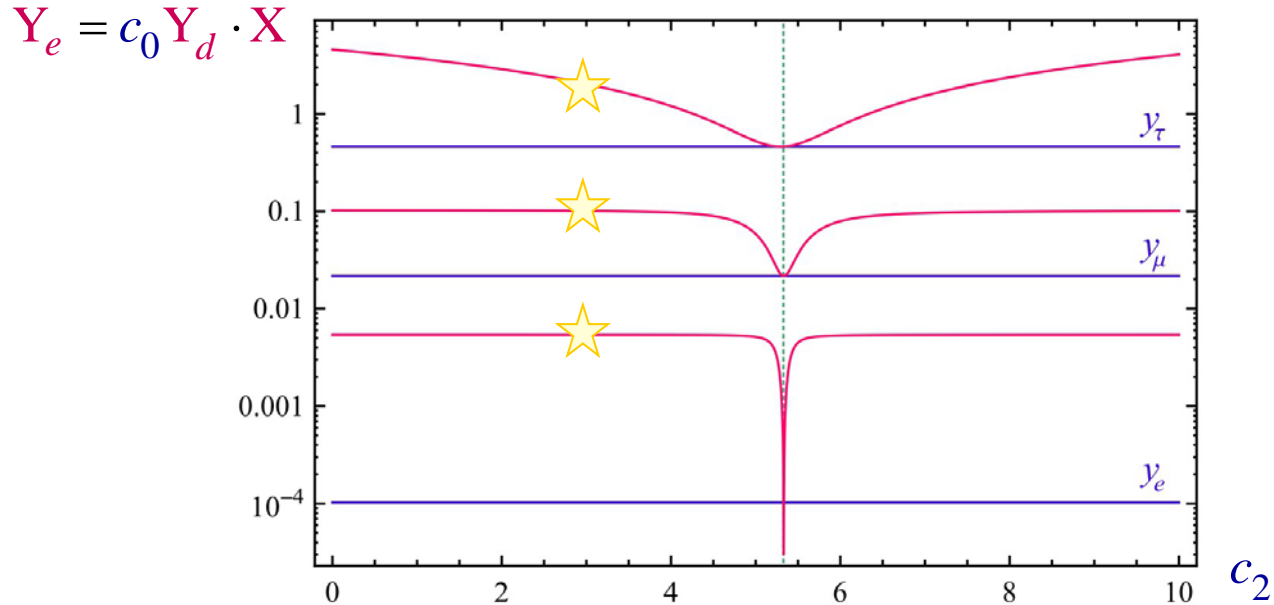


Mathematically, there is a singularity within the natural $c_{1,2}$ ranges:

$$\det \mathbf{Y}_e = c_0^3 \times \det \mathbf{Y}_d \times \det \mathbf{X} \Rightarrow \det \mathbf{X} \approx 1 + c_1 \langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle + c_2 \langle \mathbf{Y}_d^\dagger \mathbf{Y}_d \rangle \approx 0$$

No polynomial relation between $\mathbf{Y}_{e,u,d}$ will ever be natural!

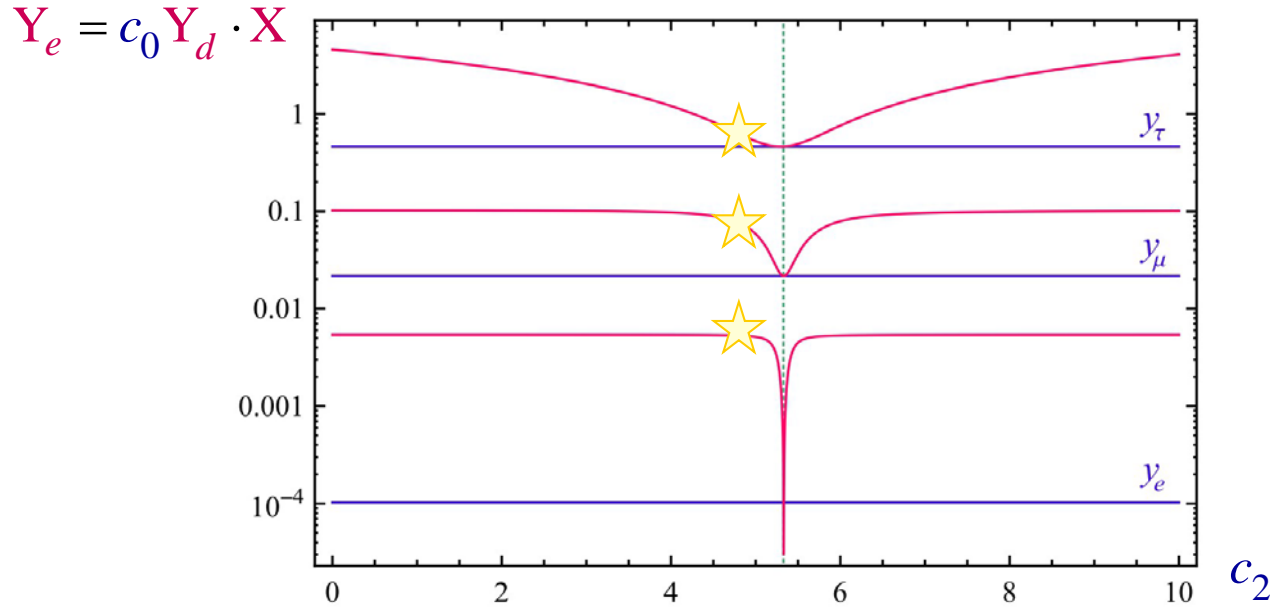
C. The twisted persona of the leptons



$\nu g_E Y_e g_L^\dagger = m_e$, $c_2 = 2.5$: Small CKM-like mixings

$$|g_E| \approx \begin{pmatrix} 1.000 & 0.0002 & 0.00005 \\ 0.0002 & 1.000 & 0.005 \\ 0.00006 & 0.005 & 1.000 \end{pmatrix} \quad |g_L| \approx \begin{pmatrix} 1.00 & 0.005 & 0.04 \\ 0.002 & 0.98 & 0.18 \\ 0.04 & 0.18 & 0.98 \end{pmatrix}$$

C. The twisted persona of the leptons



$\nu g_E Y_e g_L^\dagger = m_e$, $c_2 = 4.8$: Large mixing close to the SVD reordering

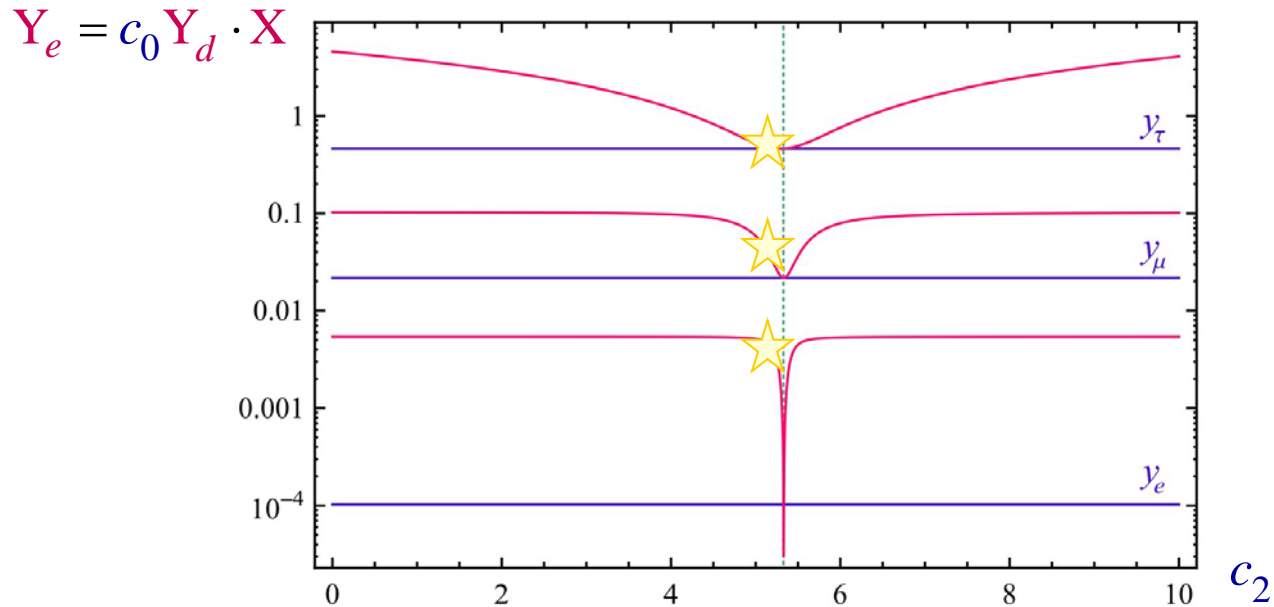
$$|g_E| \approx \begin{pmatrix} 1.000 & 0.008 & 0.0001 \\ 0.008 & 0.99 & 0.11 \\ 0.002 & 0.11 & 0.99 \end{pmatrix}$$

$$g_E \cdot Y_d^\dagger \cdot X^2 \cdot Y_d \cdot g_E^\dagger$$

$$|g_L| \approx \begin{pmatrix} 0.98 & 0.13 & 0.14 \\ 0.01 & 0.71 & 0.70 \\ 0.20 & 0.69 & 0.70 \end{pmatrix}$$

$$g_L \cdot X \cdot Y_d^\dagger Y_d \cdot X \cdot g_L^\dagger$$

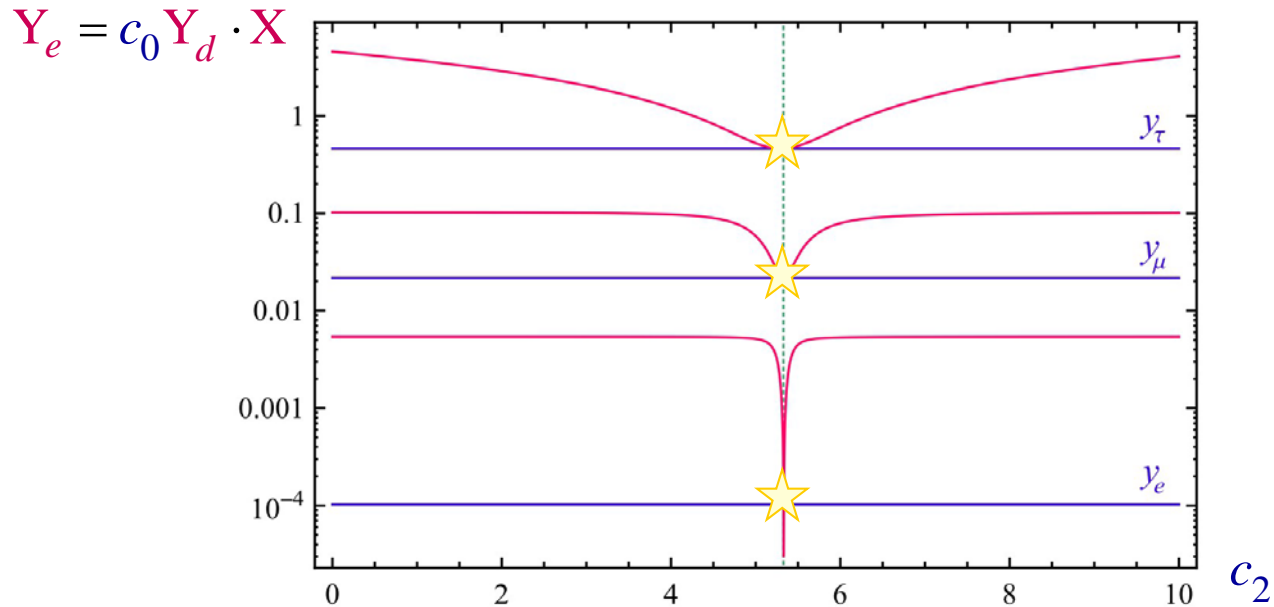
C. The twisted persona of the leptons



$\nu g_E Y_e g_L^\dagger = m_e$, $c_2 = 5.2$: Towards a second SVD reordering

$$|g_E| \approx \begin{pmatrix} 0.97 & 0.09 & 0.002 \\ 0.08 & 0.98 & 0.20 \\ 0.02 & 0.20 & 0.98 \end{pmatrix} \quad |g_L| \approx \begin{pmatrix} 0.80 & 0.56 & 0.20 \\ 0.03 & 0.28 & 0.96 \\ 0.59 & 0.77 & 0.21 \end{pmatrix}$$

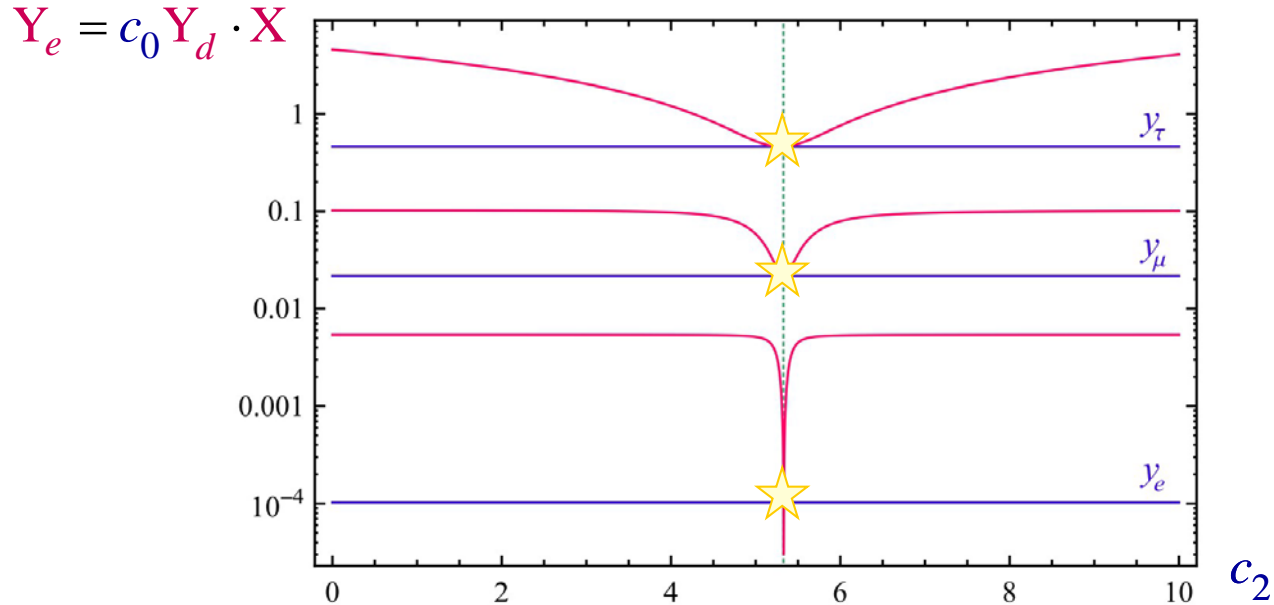
C. The twisted persona of the leptons



$\nu g_E Y_e g_L^\dagger = m_e$, $c_2 = 5.3$: At the physical point, CKM-like mixings

$$|g_E| \approx \begin{pmatrix} 0.97 & 0.24 & 0.002 \\ 0.24 & 0.95 & 0.22 \\ 0.06 & 0.21 & 0.98 \end{pmatrix} \quad |g_L| \approx \begin{pmatrix} 0.03 & 0.98 & 0.20 \\ 0.06 & 0.20 & 0.98 \\ 1.00 & 0.02 & 0.07 \end{pmatrix}$$

C. The twisted persona of the leptons



$v g_E Y_e g_L^\dagger = m_e$, $c_2 = 5.3$: At the physical point, **twisted leptons!**

$$|X| \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \Rightarrow \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}^{gauge} \approx \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \cdot \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}^{phys}$$

Only singularity at natural $c_{1,2}$ values

Top partner is the lightest

II. Geometric MFV

A. Pseudo fine tuning thanks to the geometric expansion

Polynomial expansions are fine-tuned: What about infinite series?

$$\mathbf{X} = \mathbf{1} + \eta \mathbf{Y}_u^\dagger \mathbf{Y}_u + \eta^2 (\mathbf{Y}_u^\dagger \mathbf{Y}_u)^2 + \eta^3 (\mathbf{Y}_u^\dagger \mathbf{Y}_u)^3 + \dots = \frac{1}{1 - \eta \mathbf{Y}_u^\dagger \mathbf{Y}_u}$$

If η is large enough: $\mathbf{X} = \left(\begin{array}{ccc} \frac{1}{1 - \eta y_u^2} \approx 1 & & \\ & \frac{1}{1 - \eta y_c^2} \approx 1 & \\ & & \frac{1}{1 - \eta y_t^2} \approx 0 \end{array} \right)$.

Actually, the large top mass ensures: $(\mathbf{Y}_u^\dagger \mathbf{Y}_u)^n \approx \langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle^{n-1} \mathbf{Y}_u^\dagger \mathbf{Y}_u$

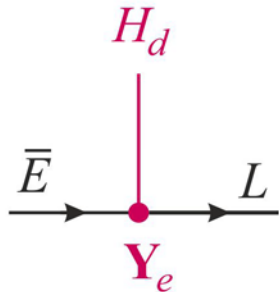
$$\mathbf{X} = \sum_{n=0}^{\infty} \eta^n (\mathbf{Y}_u^\dagger \mathbf{Y}_u)^n = \mathbf{1} + \frac{\eta}{1 - \eta \langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle} \mathbf{Y}_u^\dagger \mathbf{Y}_u \xrightarrow{\eta \rightarrow \infty} \mathbf{1} - \frac{1}{\langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle} \mathbf{Y}_u^\dagger \mathbf{Y}_u$$

Precisely the fictitious fine-tuning we need!

B. Toy model with vector-like lepton doublets

Toy model to sum the MFV series **outside its radius of convergence**.

1- Start with $\mathbf{Y}_e = \gamma \mathbf{Y}_d$ in the Lagrangian, for some coefficient γ .

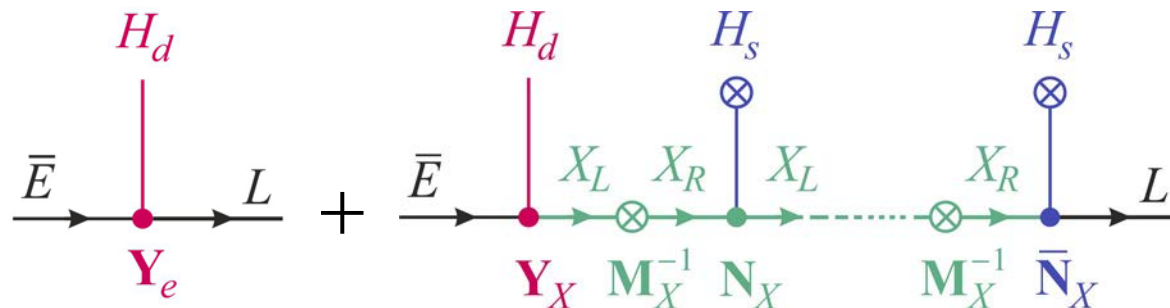


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1- Start with $\mathbf{Y}_e = \gamma \mathbf{Y}_d$ in the Lagrangian, for some coefficient γ .

2- Engineer an infinite tower of seesaw-like contributions:



- Weak doublet of vector-like leptons $X_{L,R}$.
- Scalar singlet H_s with vev v_s .

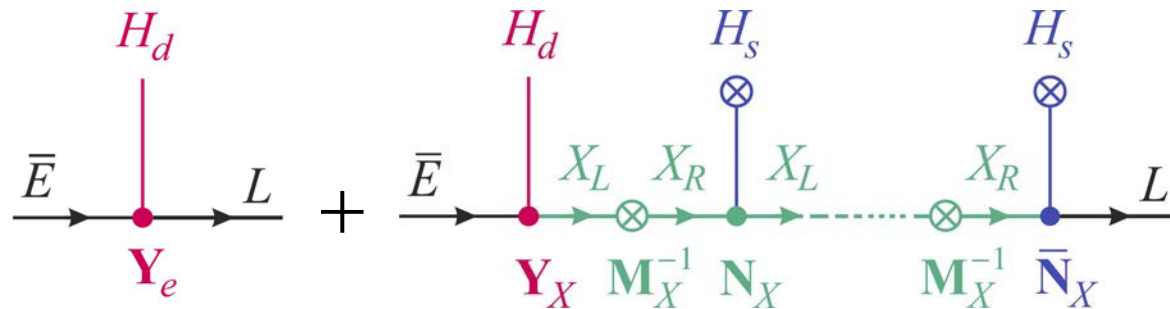
$$\mathbf{Y}_e^{eff} = \gamma \mathbf{Y}_d - \mathbf{Y}_X \frac{1}{\mathbf{M}_X + \mathbf{N}_X H_s} \bar{\mathbf{N}}_X H_s$$

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Toy model to sum the MFV series **outside its radius of convergence**.

1- Start with $\mathbf{Y}_e = \gamma \mathbf{Y}_d$ in the Lagrangian, for some coefficient γ .

2- Engineer an infinite tower of seesaw-like contributions:



3- Impose MFV on the heavy vector lepton couplings:

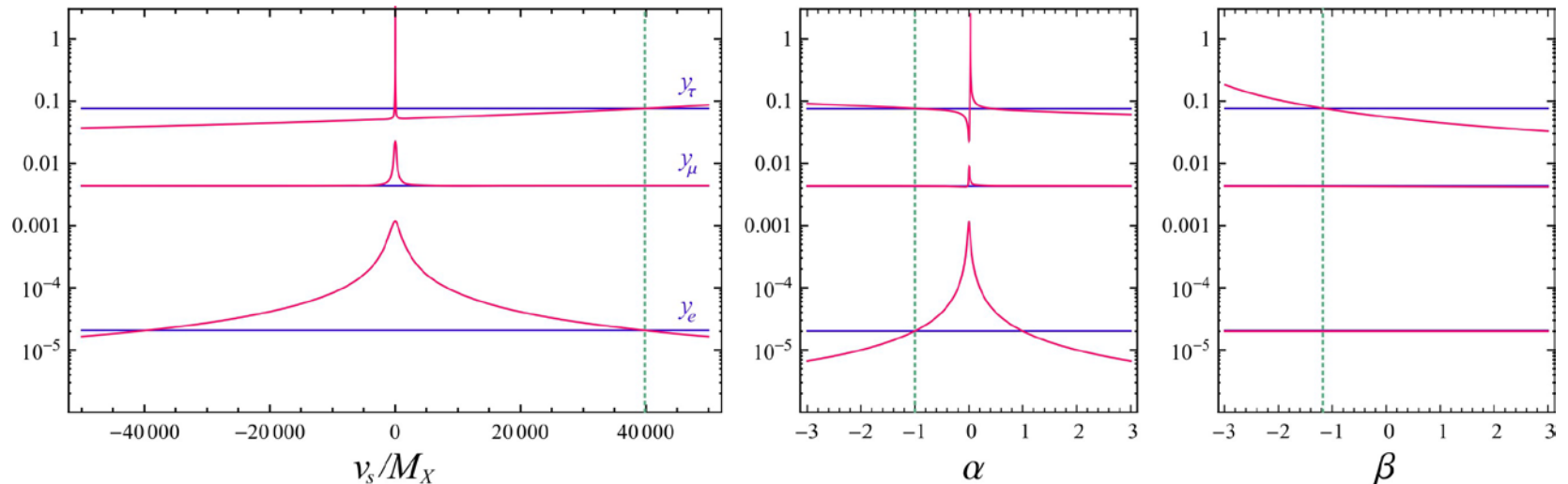
$$G_F = U(3)_{Q=L=X_{L,R}} \times U(3)_U \times U(3)_{D=E} \begin{cases} \mathbf{M}_X = M_X \mathbf{1} \\ \mathbf{Y}_e = \mathbf{Y}_X = \gamma \mathbf{Y}_d \\ \mathbf{N}_X = \bar{\mathbf{N}}_X = \alpha \mathbf{Y}_u^\dagger \mathbf{Y}_u + \beta \mathbf{Y}_d^\dagger \mathbf{Y}_d \end{cases}$$

B. Toy model with vector-like lepton doublets

Dressed lepton Yukawa coupling:

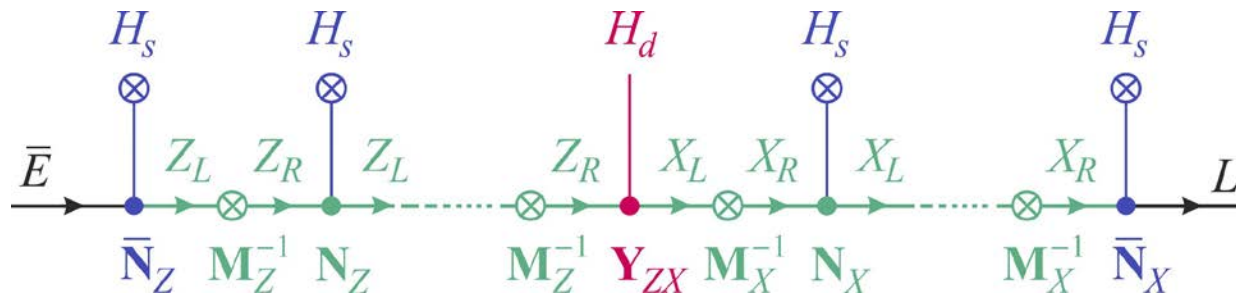
$$Y_e^{eff} = \gamma Y_d \frac{1}{1 + (v_s / M_X)(\alpha Y_u^\dagger Y_u + \beta Y_d^\dagger Y_d)}$$

No more fine-tuning, all parameters natural, and free dynamical factor:

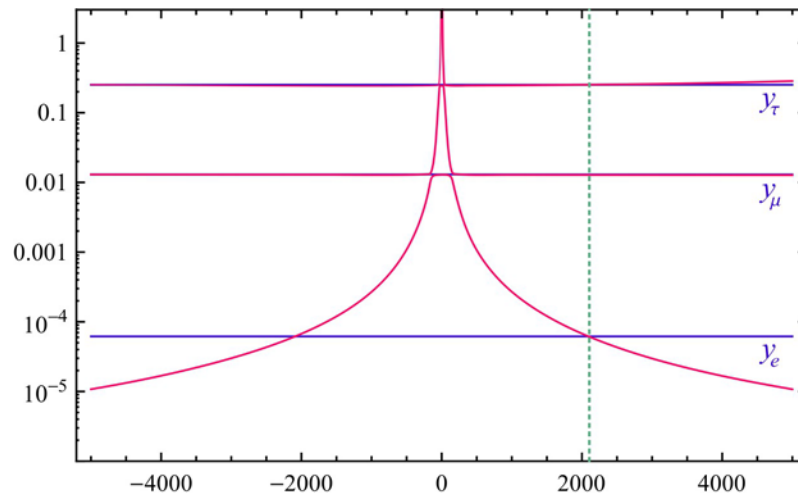
 $(MSSM, \tan \beta = 10)$

C. Adding vector-like lepton singlets

Further adding weak singlet vector-like leptons $Z_{L,R}$:



$$Y_e^{eff} = \frac{1}{1 + (v_s / M_Z)(\epsilon Y_d Y_d^\dagger)} \gamma Y_d \frac{1}{1 + (v_s / M_X)(\alpha Y_u^\dagger Y_u + \beta Y_d^\dagger Y_d)}$$



($MSSM, \tan \beta = 30$) v_s/M_X

Full twisting of the leptons:

$$|g_{E,L}| \approx \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} + \mathcal{O}(0.01)$$

III. Application to the MSSM

A. Geometric MFV for sfermion soft breaking terms

Consider the squark mass term: $\mathcal{L}_{squark} = \begin{pmatrix} \tilde{u}_L^\dagger & \tilde{c}_L^\dagger & \tilde{t}_L^\dagger \end{pmatrix} \cdot \mathbf{m}_Q^2 \cdot \begin{pmatrix} \tilde{u}_L \\ \tilde{c}_L \\ \tilde{t}_L \end{pmatrix}$

Usual MFV: $\mathbf{m}_Q^2 = m_0^2 (1 + \alpha_1 \mathbf{Y}_u^\dagger \mathbf{Y}_u + \alpha_2 \mathbf{Y}_d^\dagger \mathbf{Y}_d + \dots)$

Typical spectrum: 1st, 2nd generations degenerate,
3rd generation slightly split.

Geometric MFV: $\mathbf{m}_Q^2 = m_0^2 \frac{1}{1 - \eta (\alpha_1 \mathbf{Y}_u^\dagger \mathbf{Y}_u + \alpha_2 \mathbf{Y}_d^\dagger \mathbf{Y}_d + \dots)}$

When η is large, 3rd generation squark(s) are **much lighter**:

$$\mathbf{m}_Q^2 \rightarrow m_0^2 (1 - \langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle)^{-1} \mathbf{Y}_u \mathbf{Y}_u^\dagger \approx \text{diag}(m_0^2, m_0^2, 0)$$

A. Geometric MFV for sfermion soft breaking terms

In the squark sector

After RGE down, NSUSY-like squark spectrum:

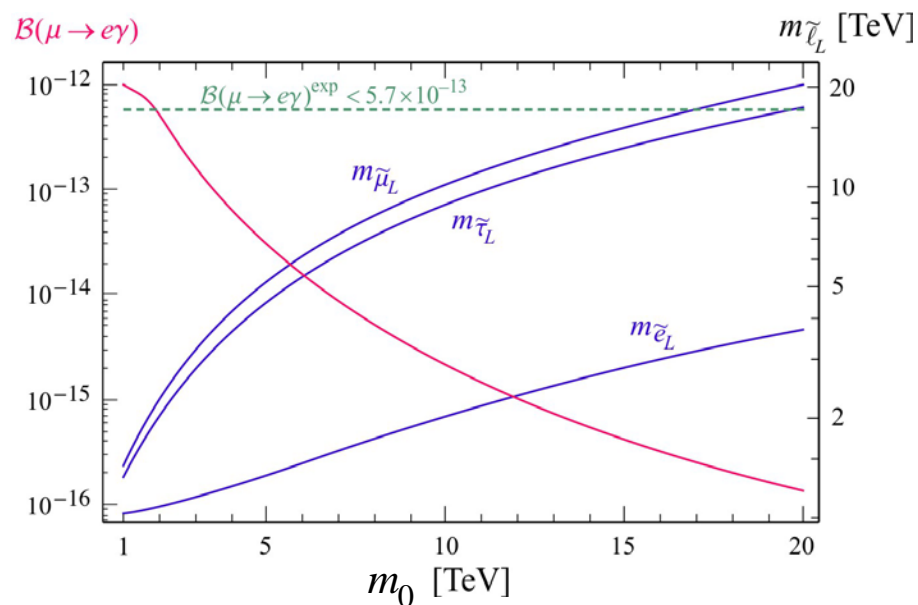
- $A_{u,d}$ geometric structure washed out,
- stop quark(s) and possibly left sbottom remain much lighter,
- MFV remains at all scales \rightarrow No problem with FCNC!

Brummer, Kraml,
Kulkarni, CS, '14

In the slepton sector:

- Lightest sleptons $\tilde{e}_{R,L}^{phys} = \tilde{\tau}_{R,L}^{gauge}$
- Lepton-slepton misaligned.
(but mixing tuned by CKM)

Caution: PMNS is still
absent since $m_\nu = 0$!



B. What about R-parity violation?

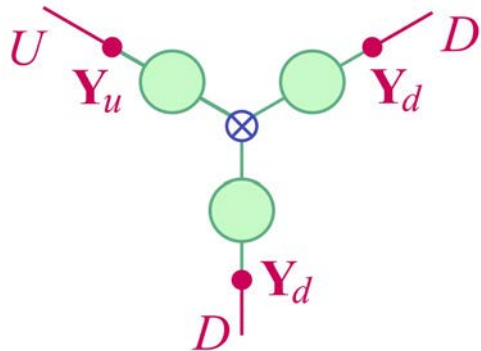
The flavor symmetry $U(3)_{Q=L} \times U(3)_U \times U(3)_{D=E}$ with only $Y_{u,d}$ as spurions:

Forbids \mathcal{L} violation but allows for \mathcal{B} violation: $\mathcal{W}_{RPV} \supset \lambda''^{IJK} U^I D^J D^K$

Holomorphy? $\lambda''^{IJK} = \lambda \epsilon^{LMN} Y_u^{IL} Y_d^{JM} Y_d^{KN}$
 Csaki, Grossman, Heidenreich '11

With a geometric behavior, holomorphy in $Y_{u,d}$ is lost, but:

$$\lambda''^{IJK} = \lambda \epsilon^{LMN} (X_U \cdot \gamma Y_u \cdot X_Q)^{IL} (X_D \cdot \gamma Y_d \cdot X_Q)^{JM} (X_D \cdot \gamma Y_d \cdot X_Q)^{KN}$$



Numerically: holomorphy comes back after the RG evolution starting from this MFV input.

Holomorphy is a very strong attractor!

IV. Application to minimal SU(5)

A. Flavor troubles in minimal SU(5)

The minimal flavor content is not compatible with observed masses:

$$\mathcal{L}_{Yukawa} = -\frac{1}{4} \bar{\chi}_{10}^C \mathbf{Y}_{10} \chi_{10} h_5 + \sqrt{2} \bar{\psi}_5^C \mathbf{Y}_5 \chi_{10} h_5^\dagger \longrightarrow \mathbf{Y}_e^T = \mathbf{Y}_d \Leftrightarrow \frac{m_d}{m_s} = \frac{m_e}{m_\mu}$$

This can be cured by adding a **third Yukawa coupling**:

$$\mathcal{L}_{Yukawa} = -\frac{1}{4} \bar{\chi}_{10}^C \mathbf{Y}_{10} \chi_{10} h_5 + \underbrace{\sqrt{2} \bar{\psi}_5^C \mathbf{Y}_5 \chi_{10} h_5^\dagger + \frac{\sqrt{2}}{\Lambda} \bar{\psi}_5^C \mathbf{Y}'_5 \chi_{10} H_{24} h_5^\dagger}_{\mathbf{Y}_5 - \frac{3v_{24}}{2\Lambda} \mathbf{Y}'_5 = \mathbf{Y}_e^T, \quad \mathbf{Y}_5 + \frac{v_{24}}{\Lambda} \mathbf{Y}'_5 = \mathbf{Y}_d} + \dots$$

But then, MFV fails in SU(5) because $U(3)_{Q=U=E} \times U(3)_{D=L}$ is too small:

$$\mathbf{m}_{10}^2 = m_0^2 (c_0 \mathbf{1} + c_1 \mathbf{Y}_{10}^\dagger \mathbf{Y}_{10} + \underbrace{c_2 \mathbf{Y}_5^\dagger \mathbf{Y}_5 + c_3 \mathbf{Y}'_5^\dagger \mathbf{Y}'_5}_{\text{Not fixed in terms of fermion masses \& CKM}} + \dots)$$

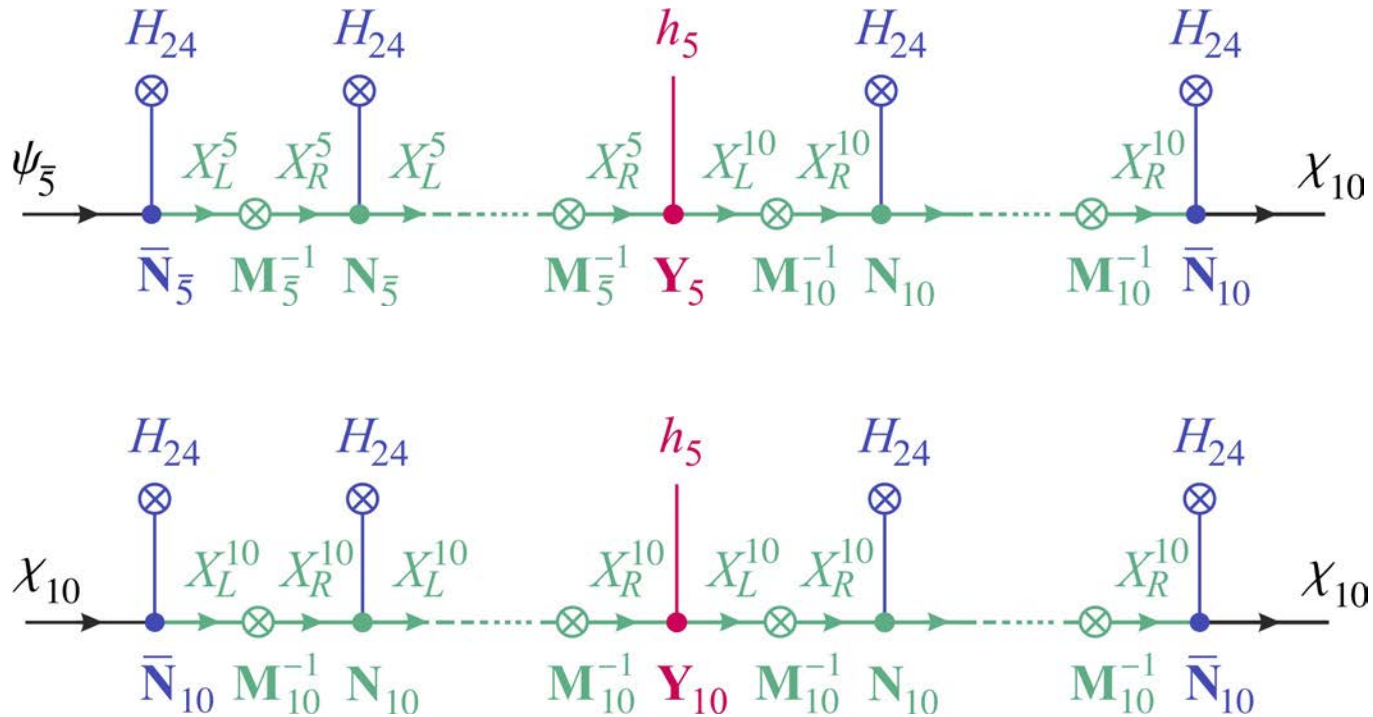
Not fixed in terms of fermion masses & CKM

Unknown and a priori **generic mixing matrices threaten FCNC**.

B. Towards dynamical flavor unification

We know: $Y'_5 = F(Y_{10}, Y_5)$ is possible if F is not a finite polynomial.

Let us try a vector-like model, with new $X_{L,R}^5, X_{L,R}^{10}$ fermions:



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We know: $\mathbf{Y}'_5 = F(\mathbf{Y}_{10}, \mathbf{Y}_5)$ is possible if F is not a finite polynomial.

Let us try a vector-like model, with new $X_{L,R}^5, X_{L,R}^{10}$ fermions:

$$\begin{cases} \mathbf{Y}_u = F_{10}^1 \cdot \mathbf{Y}_{10} \cdot F_{10}^{-1/4,T} \\ \mathbf{Y}_d = F_5^1 \cdot \mathbf{Y}_5 \cdot F_{10}^{-1/4,T} \\ \mathbf{Y}_e^T = F_5^{-3/2} \cdot \mathbf{Y}_5 \cdot F_{10}^{-3/2,T} \end{cases} \quad F_R^\alpha = \frac{1}{1 + \alpha \frac{v_{24}}{M_R} \mathbf{N}_R}$$

Simple system, but incredibly difficult to solve:

Unknowns: $\mathbf{Y}_{5,10}$, $M_{5,10}$, and the MFV parameters in $\mathbf{N}_{5,10}$.

Constraints: SVD of $\mathbf{Y}_{u,d,e}$, and CKM mismatch between $\mathbf{Y}_{u,d}$.

Requirement: Natural solution + absence of fine-tuning.

Solutions found only in the no-mixing limit (not very illuminating).

Conclusion

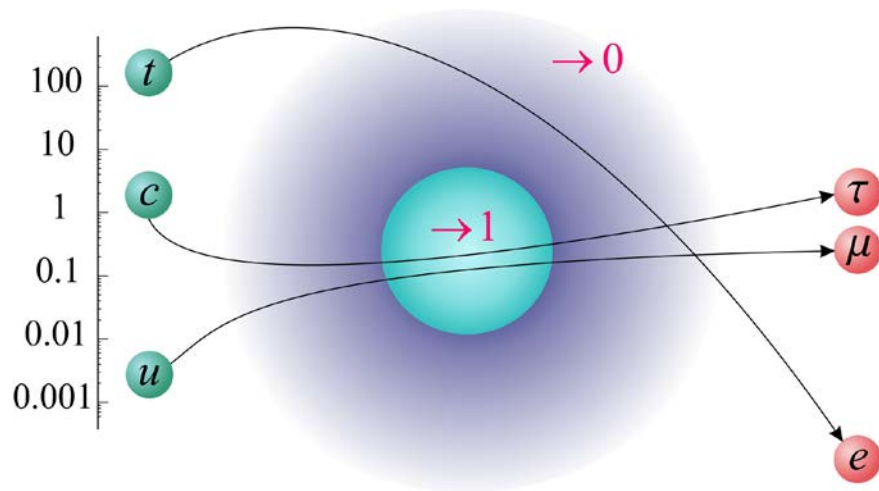
1. There could be only two fundamental flavor structures (+neutrinos)

The three $Y_{e,u,d}$ are redundant: they can be related!

Finite polynomial relationship necessarily fine-tuned.

Geometric MFV to achieve this naturally.

2. Third-generation partners of the top are the lightest



$$\begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}^{phys} \approx \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}^{gauge}$$

Light stops: $M_{\tilde{Q}}^2 \approx m_0^2 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$

3. Perspectives: Real and complete dynamical implementation(s).

Consequences for models of neutrino masses.

Numerical solutions yet to be found in minimal SU(5).