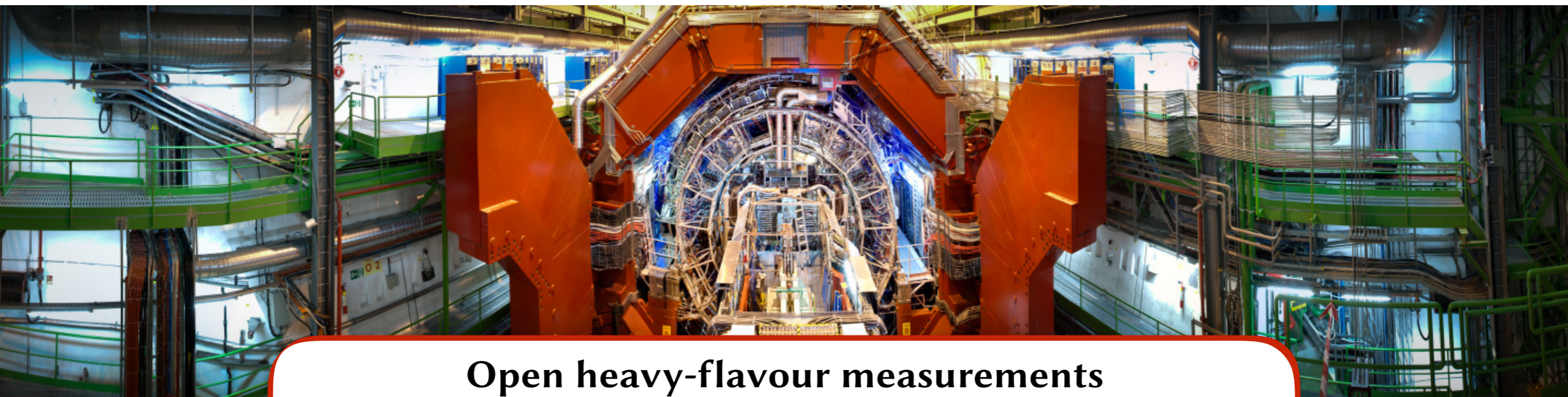




ALICE



**Open heavy-flavour measurements
in proton-proton collisions with ALICE at the LHC**

Julien Hamon¹, Andrea Rossi², for the ALICE Collaboration

1. University of Strasbourg

2. University of Padua

5 July 2018

“Strong interactions and hadron physics”

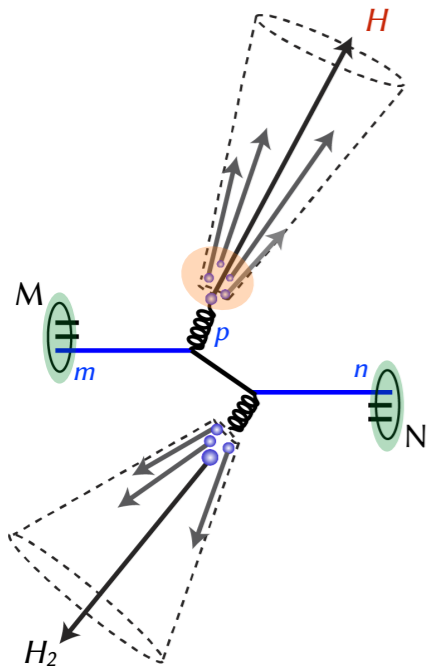
Julien.Hamon@cern.ch



Heavy quarks in proton-proton collisions

Test for Quantum ChromoDynamics (QCD): perturbative processes ($m_{c,b} \gg \Lambda_{\text{QCD}}$)

Charm $\sim 1.3 \text{ GeV}/c^2$
Beauty $\sim 4.2 \text{ GeV}/c^2$



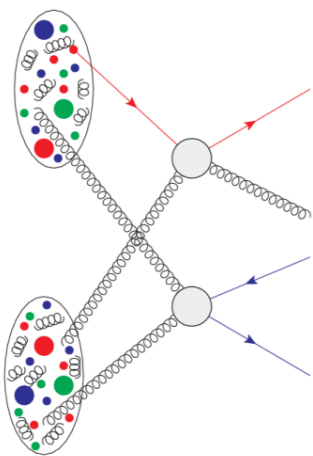
Factorisation theorem:

$$\sigma_{M+N \rightarrow H+X} = f_i^M(x_m, Q^2) f_j^N(x_n, Q^2) \otimes \sigma_{m,n \rightarrow p}(x_m, x_n, Q^2) \otimes D_{p \rightarrow H}(z_{H/p}, Q^2)$$

Parton distribution function (PDF)
Partonic hard scattering (pQCD)
Fragmentation function (FF)

FONLL: JHEP 10 (2012) 137 and references therein **GM-VFNS:** Eur. Phys. J. C72 (2012) 2082

- Production cross section of heavy-flavour hadrons down to $p_T \sim 0 \text{ GeV}/c$
- Production ratios between various **energies** and **rapidity regions**
→ **gluon distribution functions** Cacciari et al.: Eur. Phys. J. C75 (2015) 610
- Production ratios of hadron **species** → **charm hadronisation**
see also C. Bedda's talk (Friday)



Interplay between soft/hard processes:

- Production cross sections as a function of the **particle multiplicity of the collision**
- Role of **multi-parton interactions (MPI)**

Charm jets & fragmentation properties:

- D-meson-tagged **jets**
- D-meson azimuthal **correlations** with hadrons

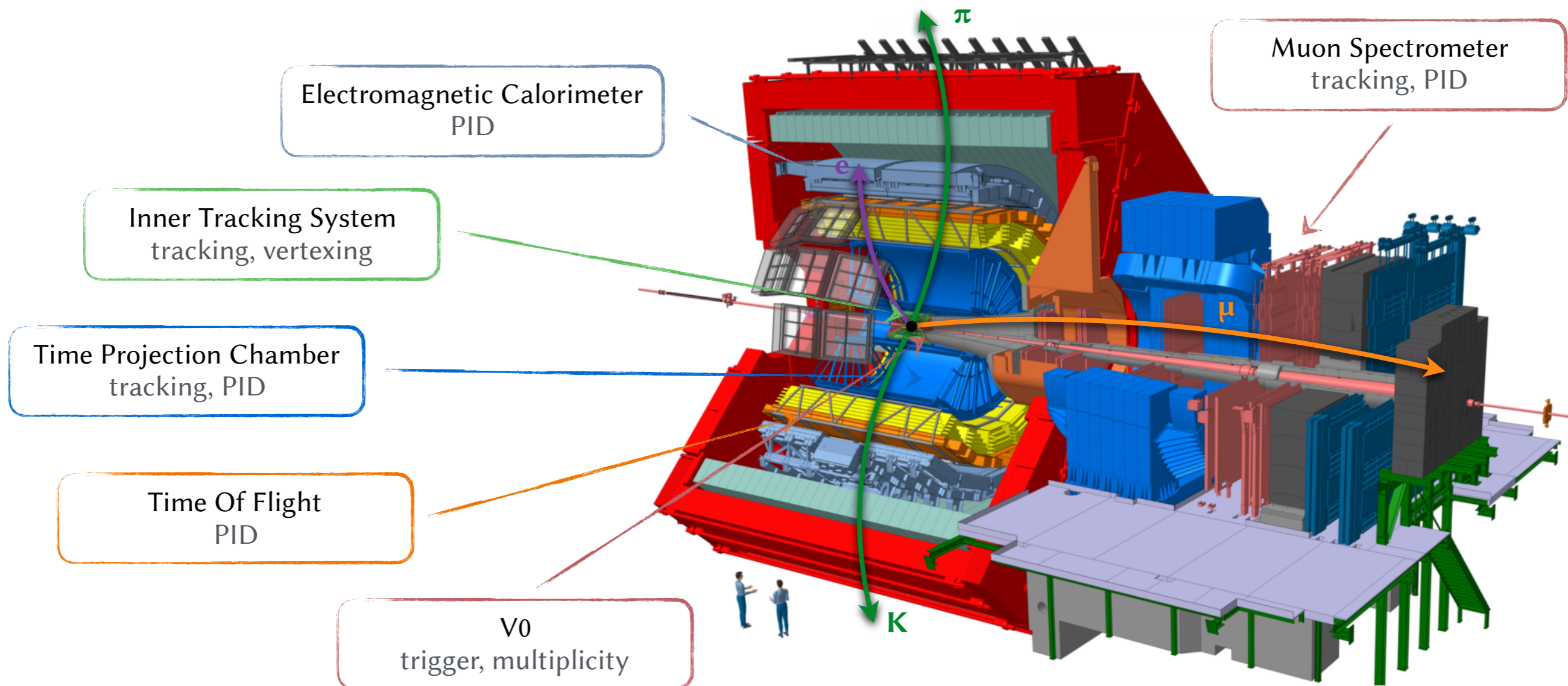


A Large Ion Collider Experiment

$D^0 (c\bar{u}) \rightarrow K^-\pi^+$	1865 MeV/c ²	3.93 ± 0.04 %
$D^+ (c\bar{d}) \rightarrow K^-\pi^+\pi^+$	1869 MeV/c ²	9.46 ± 0.24 %
$D_s^+ (c\bar{s}) \rightarrow (\phi \rightarrow K^+K^-\pi^+)$	1968 MeV/c ²	2.27 ± 0.08 %
$D^{*+} (c\bar{d}) \rightarrow D^0\pi^+$	2010 MeV/c ²	67.7 ± 0.5 %
$\Lambda_c^+ (cud) \rightarrow pK^-\pi^+, pK_s^0$	2286 MeV/c ²	6.23 ± 0.33 %, 1.58 ± 0.08 %
$\Xi_c^0 (c ds) \rightarrow e^+\Xi^-\nu_e$	2470 MeV/c ²	—

Open-charm hadrons studied in ALICE:

- Decay muons: $D, \Lambda_c, B, \dots \rightarrow \mu + X$ in $-4 < \eta < -2.5$
- Decay electrons: $D, \Lambda_c, B, \dots \rightarrow e + X$ in $|\eta| < 0.9$
- Exclusive reconstruction of charmed hadron hadronic decays channels (π^\pm, K^\pm, p) in $|\eta| < 0.5$





D-meson production cross section

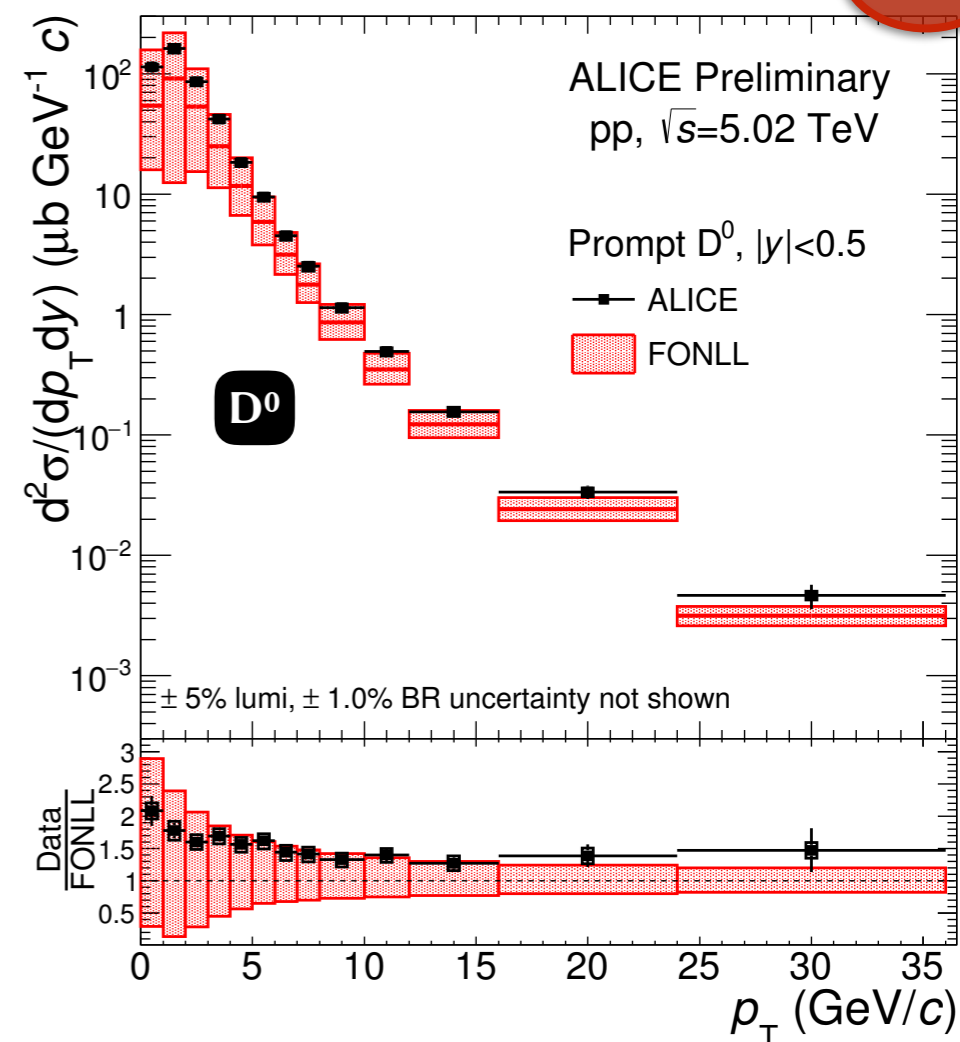
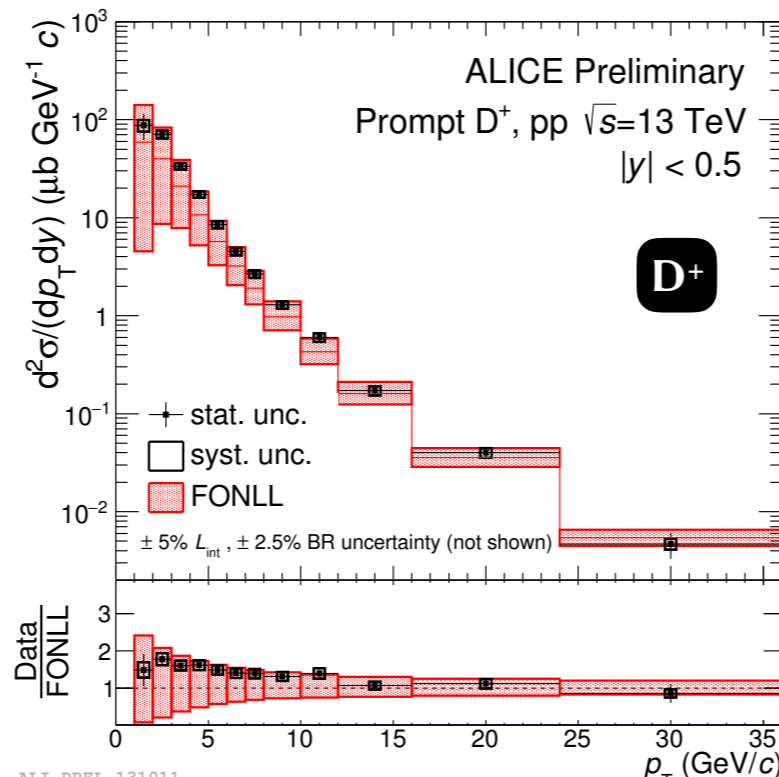
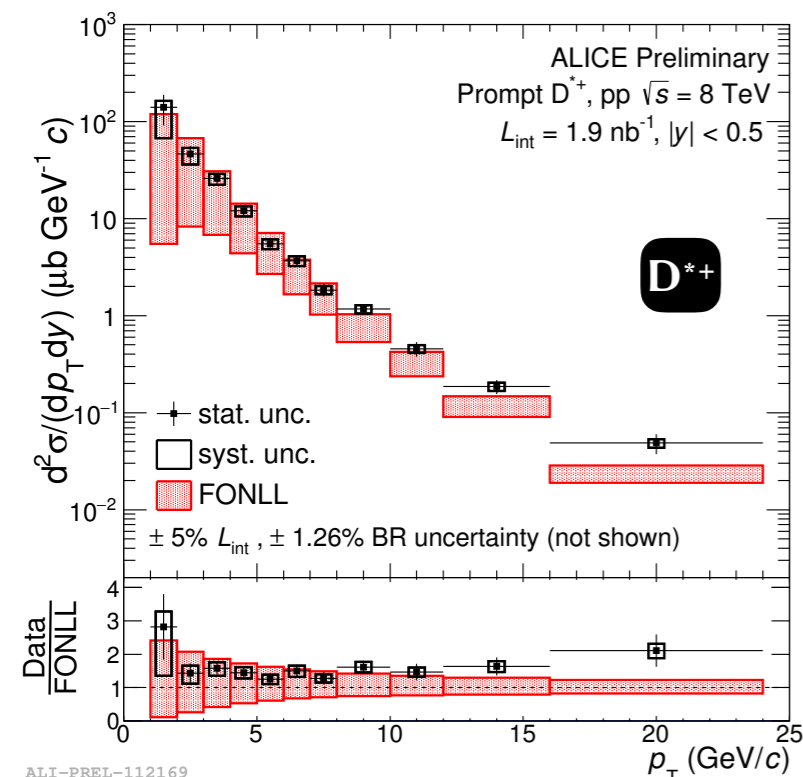
$\sqrt{s} = 8 \text{ TeV}$

$\sqrt{s} = 13 \text{ TeV}$

$\sqrt{s} = 5.02 \text{ TeV}$

ALICE-PUBLIC-2018-006

New



pQCD models at LHC energies:

D meson cross sections well described by pQCD-based models at all LHC energies

- ✓ • pQCD calculations (FONLL) over a wide p_T range and down to $p_T \sim 0 \text{ GeV}/c$
- ✓ • Data uncertainties are smaller than uncertainties in pQCD calculations

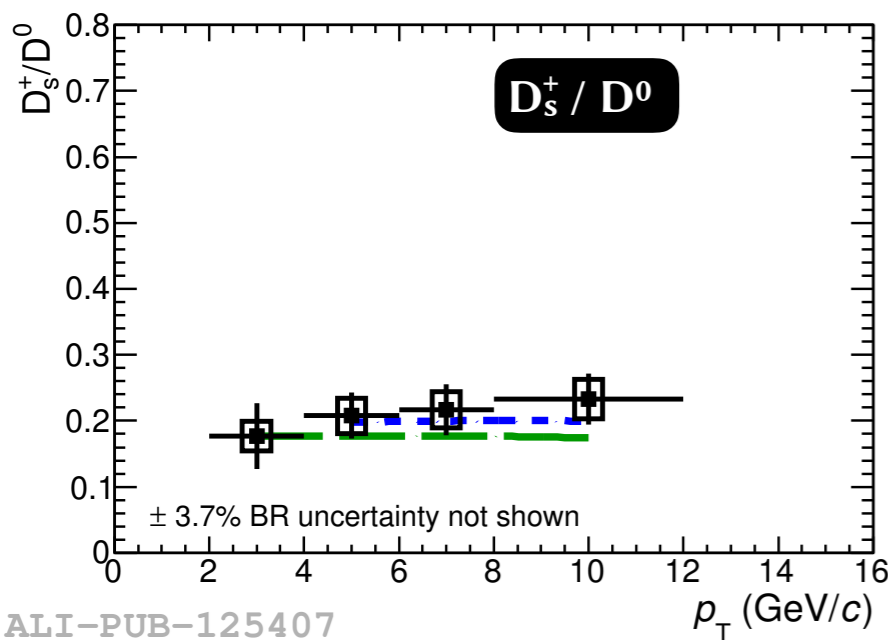


D-meson production: different species

D-meson productions — *species*, *rapidity* and *energy* dependence — further constrain calculations

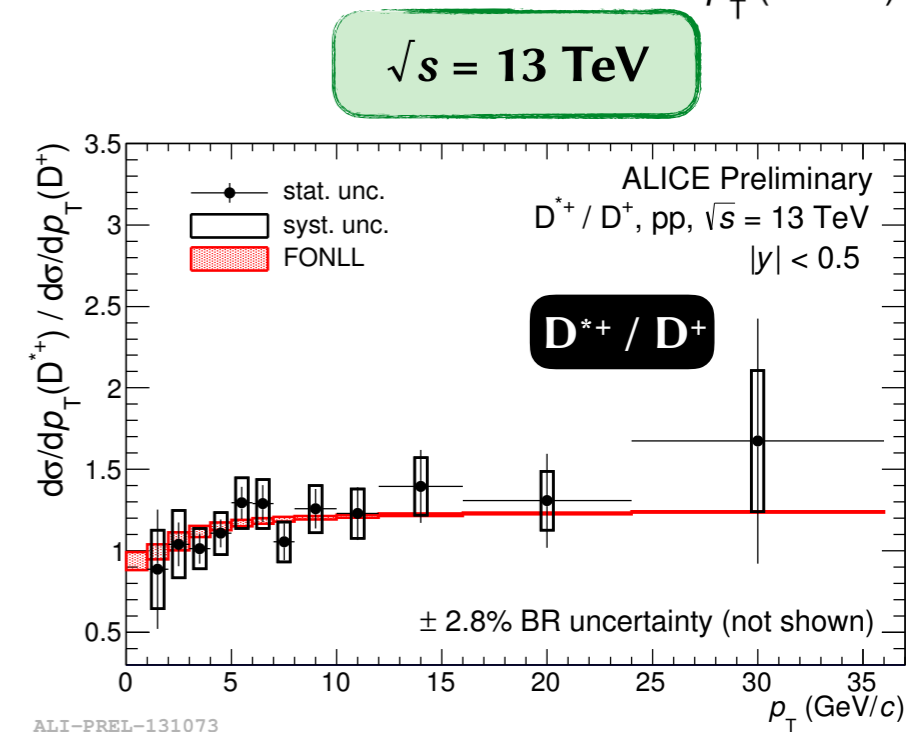
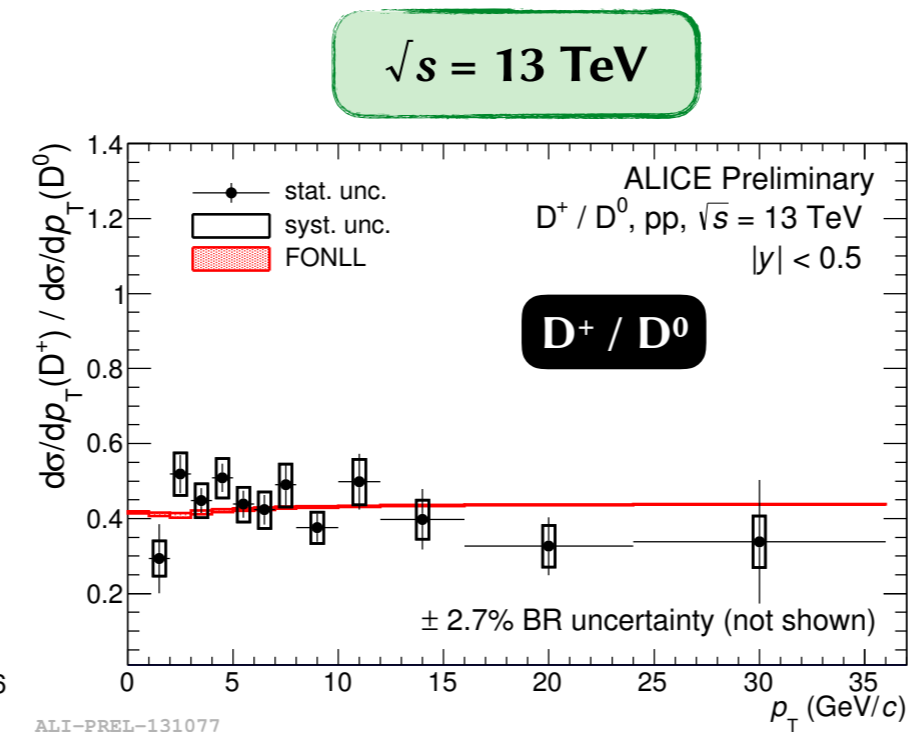
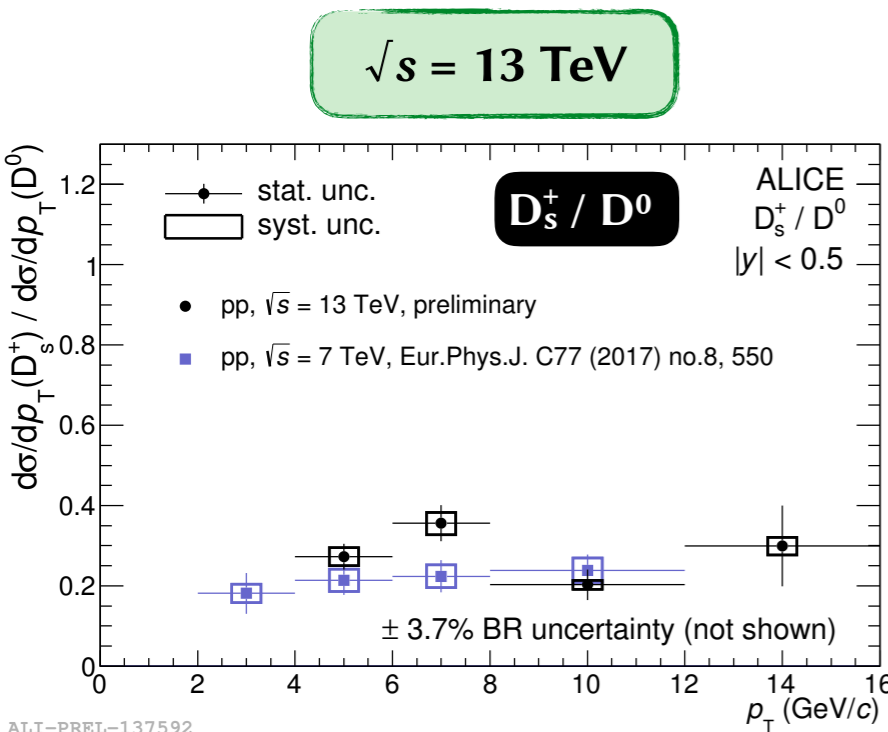
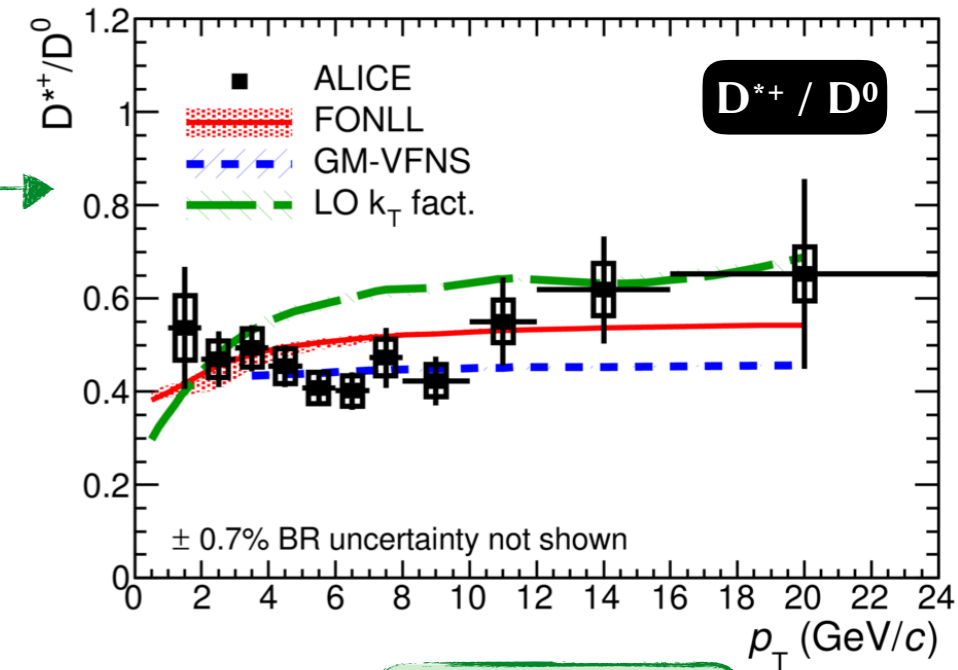
- D-meson species dependence:

→ Mainly depend only on branching fractions and fragmentation functions



$\sqrt{s} = 7 \text{ TeV}$

Eur.Phys.J. C77 (2017) 8, 550





D-meson production: energy dependence

D-meson productions — *species*, *rapidity* and *energy* dependence — further constrain calculations

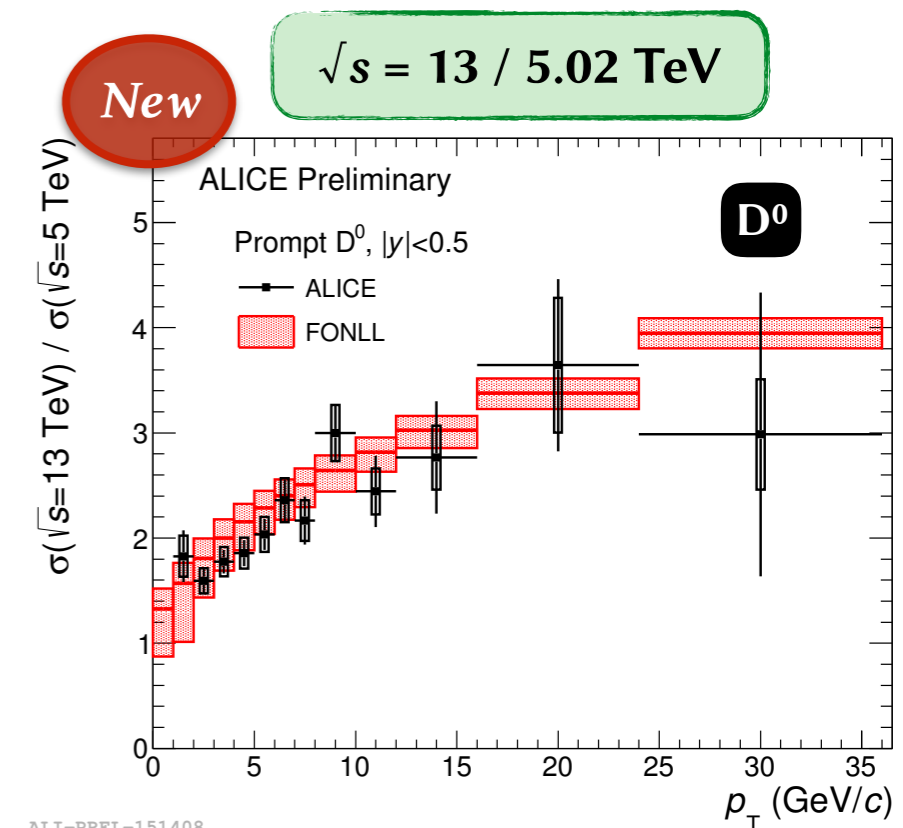
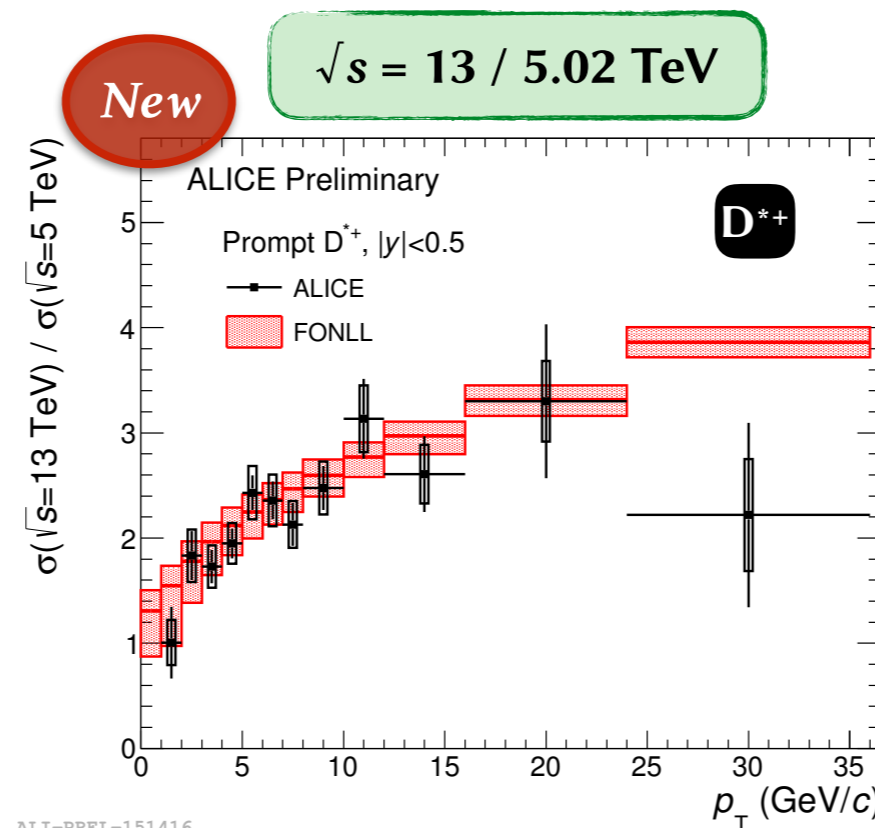
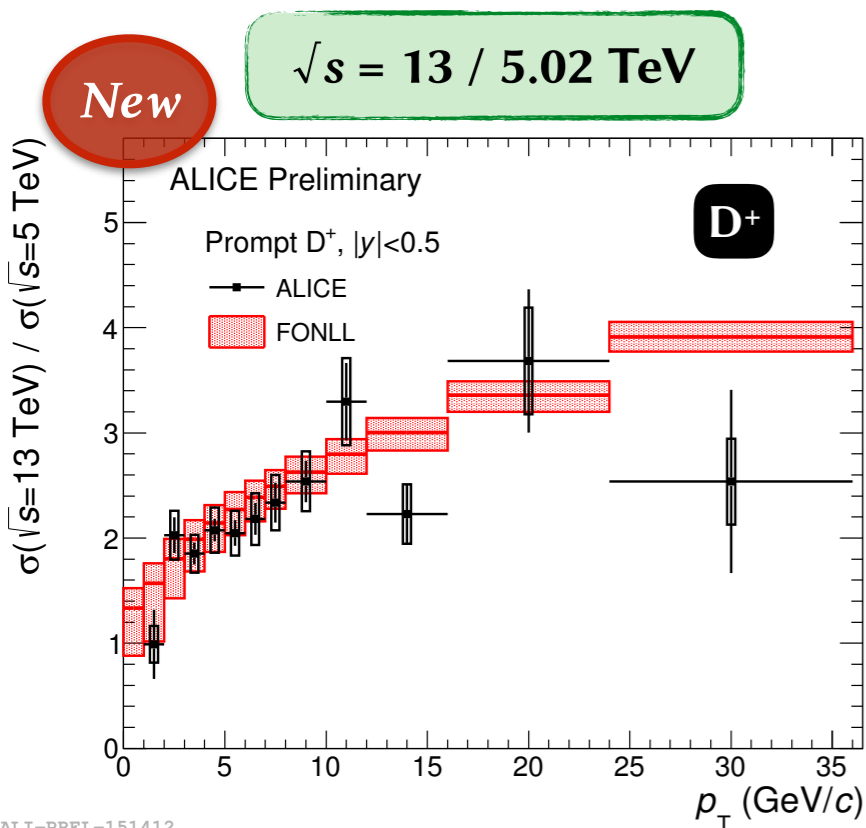
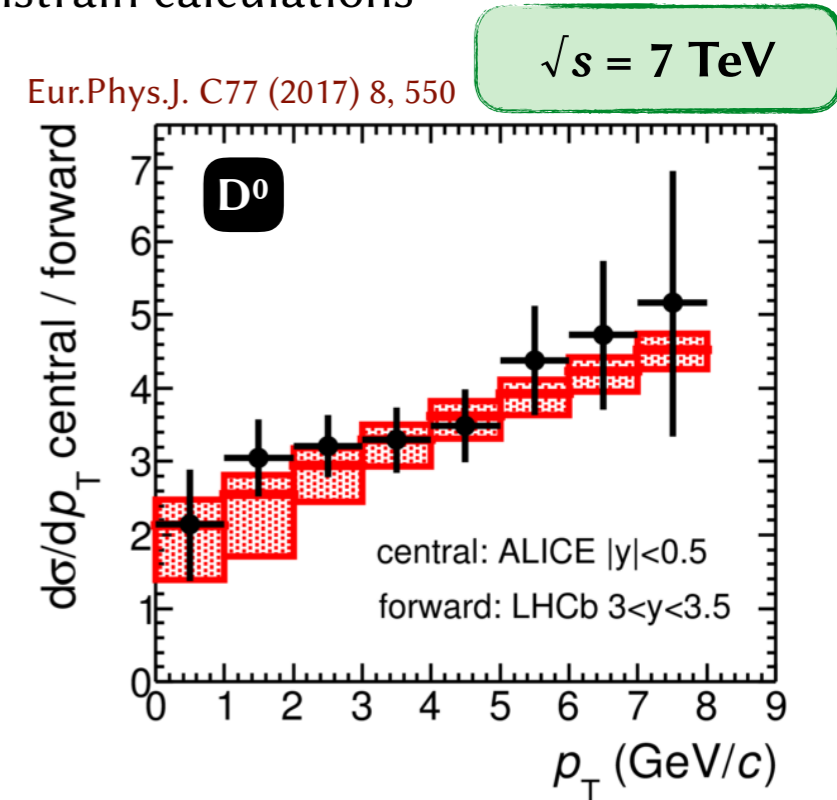
- D-meson *species* dependence:

→ Mainly depend only on branching fractions and fragmentation functions

- D-meson *energies* and *rapidity* dependence:

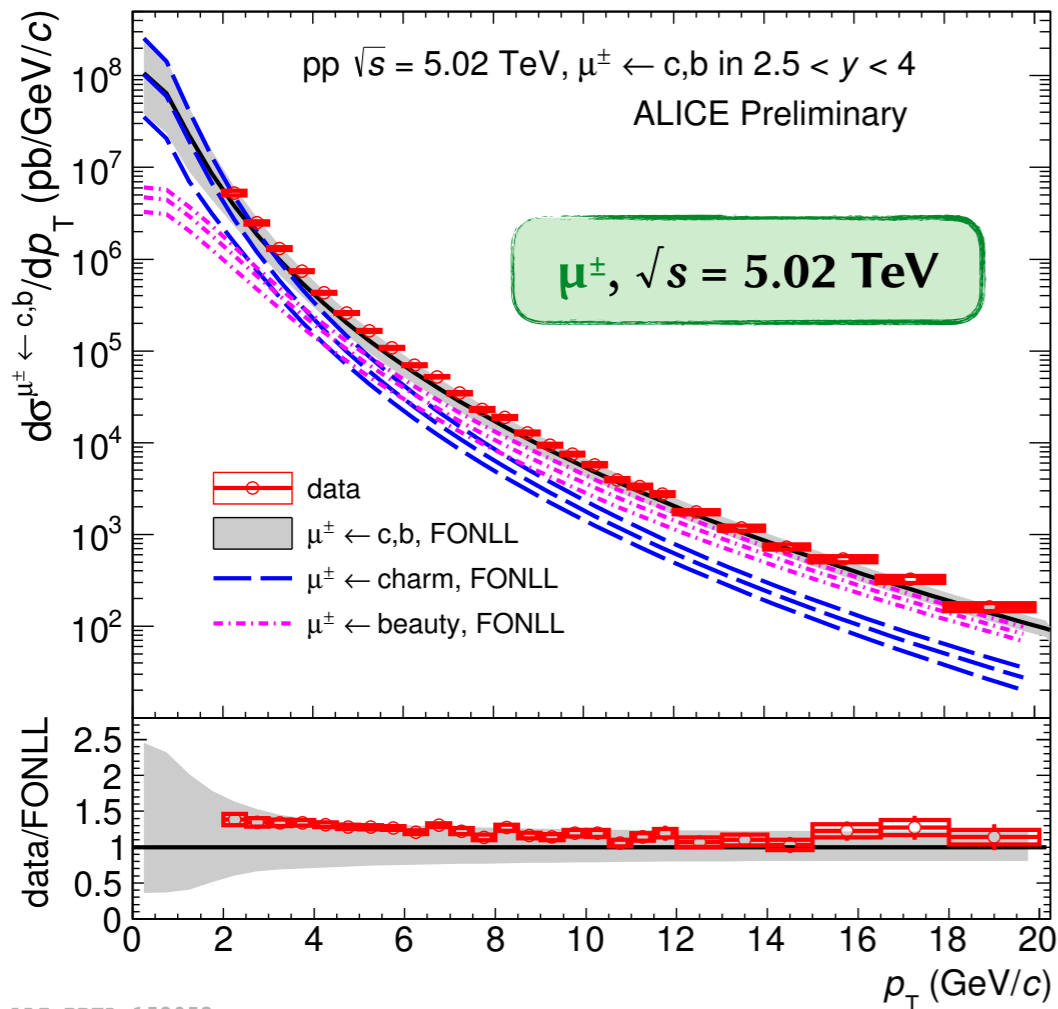
→ Double \sqrt{s} and y ratio: independence of renormalisation/factorisation scales, branching ratios, ...

→ sensitivity to gluon PDF down to $x_B \sim 10^{-4}$ when $p_T(D) \sim 0$ GeV/c





Heavy flavour semi-leptonic decays

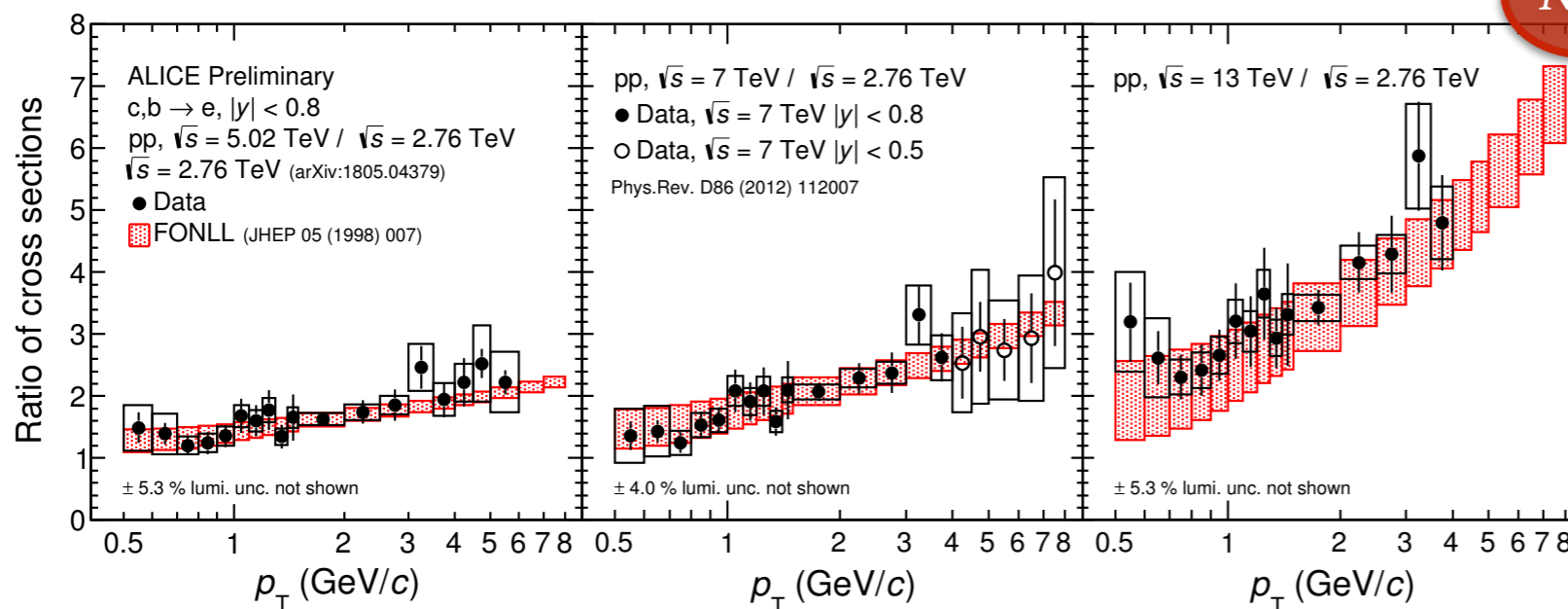


ALI-PREL-152053

Similar measurements are performed for semi-leptonic decays:

- $\mu \leftarrow c, b$ at forward rapidity ($2.5 < y < 4$)
- $e \leftarrow c, b$ at central rapidity ($|y| < 0.8$)

Insights into relative abundance of **charm** and **beauty** quark production



New

$e^\pm, \sqrt{s} = (5.02, 7, 13) / 2.76$ TeV

Down to $p_T = 500$ MeV/c

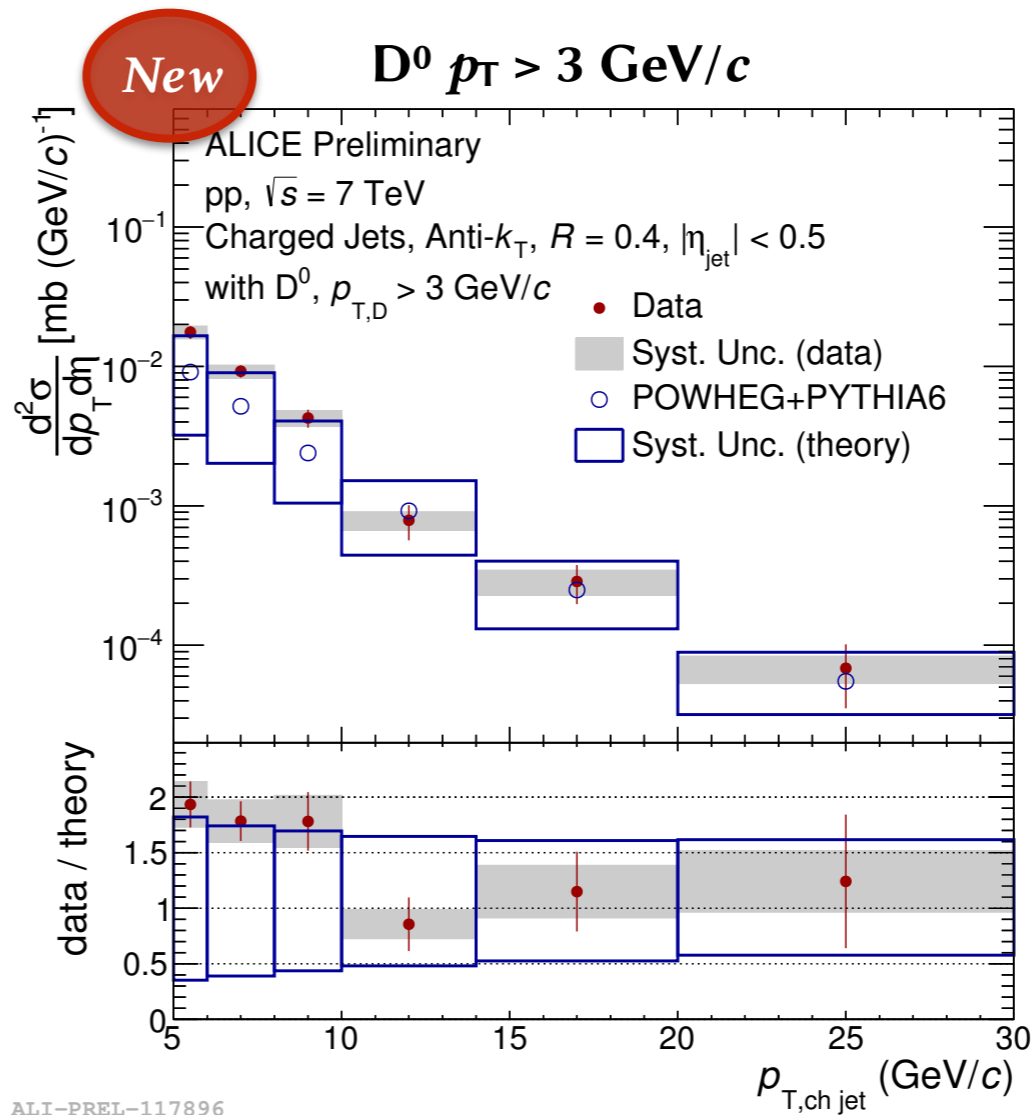
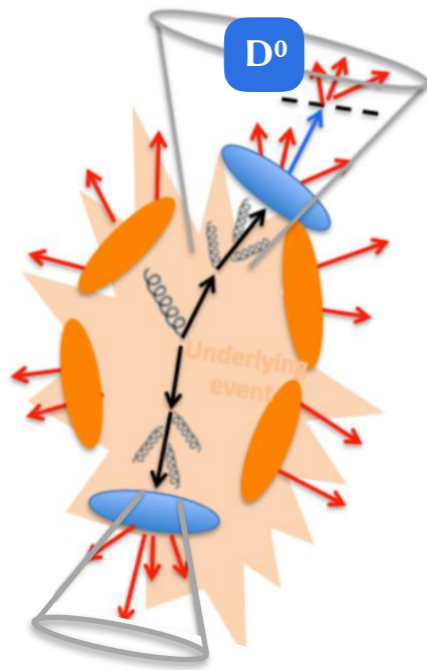
ALI-PREL-146830



$\sqrt{s} = 7 \text{ TeV}$

D⁰-meson-tagged jet

Charm jet tagged by the presence of a D⁰ meson among the jet constituents



POWHEG: JHEP 0711 (2007) 070

PYTHIA6: JHEP 05 (2006) 026

Good agreement of *data* with *POWHEG + PYTHIA6* predictions

(NLO generator) + (parton shower + hadronization)

- Kinematics reach and precision can be **extended** with the $\sqrt{s} = 5.02$ and 13 TeV datasets

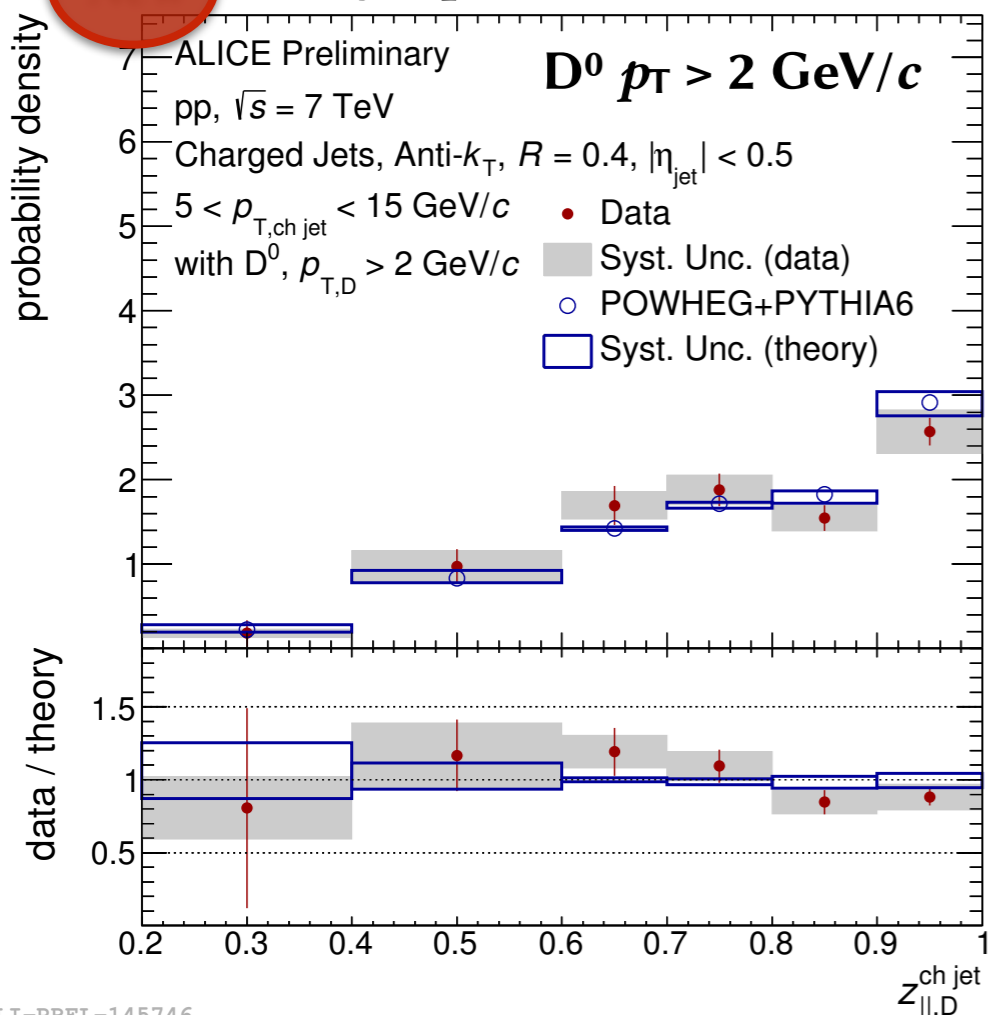


$\sqrt{s} = 7 \text{ TeV}$

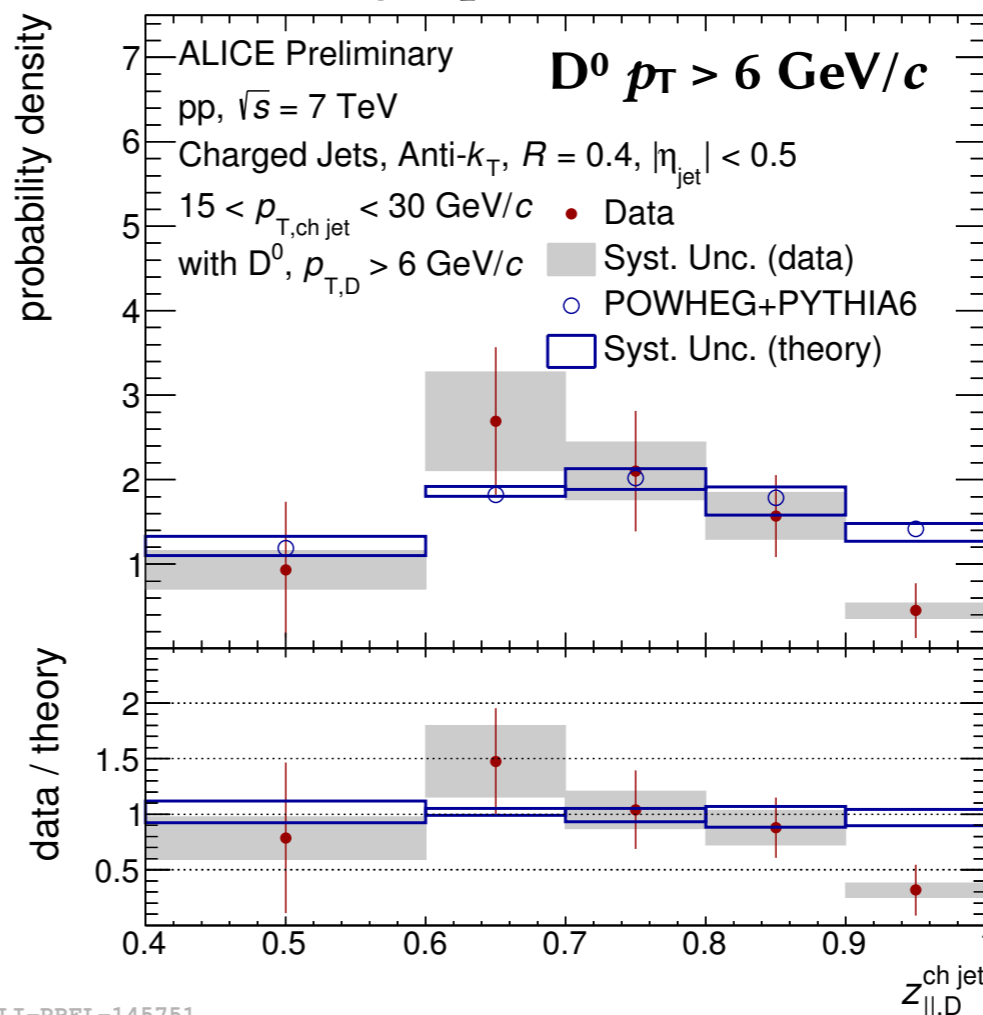
D⁰-meson-tagged jet

New

5 < jet $p_T < 15 \text{ GeV}/c$



15 < jet $p_T < 30 \text{ GeV}/c$



POWHEG: JHEP 0711 (2007) 070

PYTHIA6: JHEP 05 (2006) 026

$$z_{||,D}^{\text{ch jet}} = \frac{\vec{p}_{\text{ch jets}} \cdot \vec{p}_D}{\vec{p}_{\text{ch jets}} \cdot \vec{p}_{\text{ch jets}}}$$

Charged jet momentum fraction carried by the D⁰ meson

Good agreement of **data** with **POWHEG + PYTHIA6** predictions

(NLO generator) + (parton shower + hadronization)

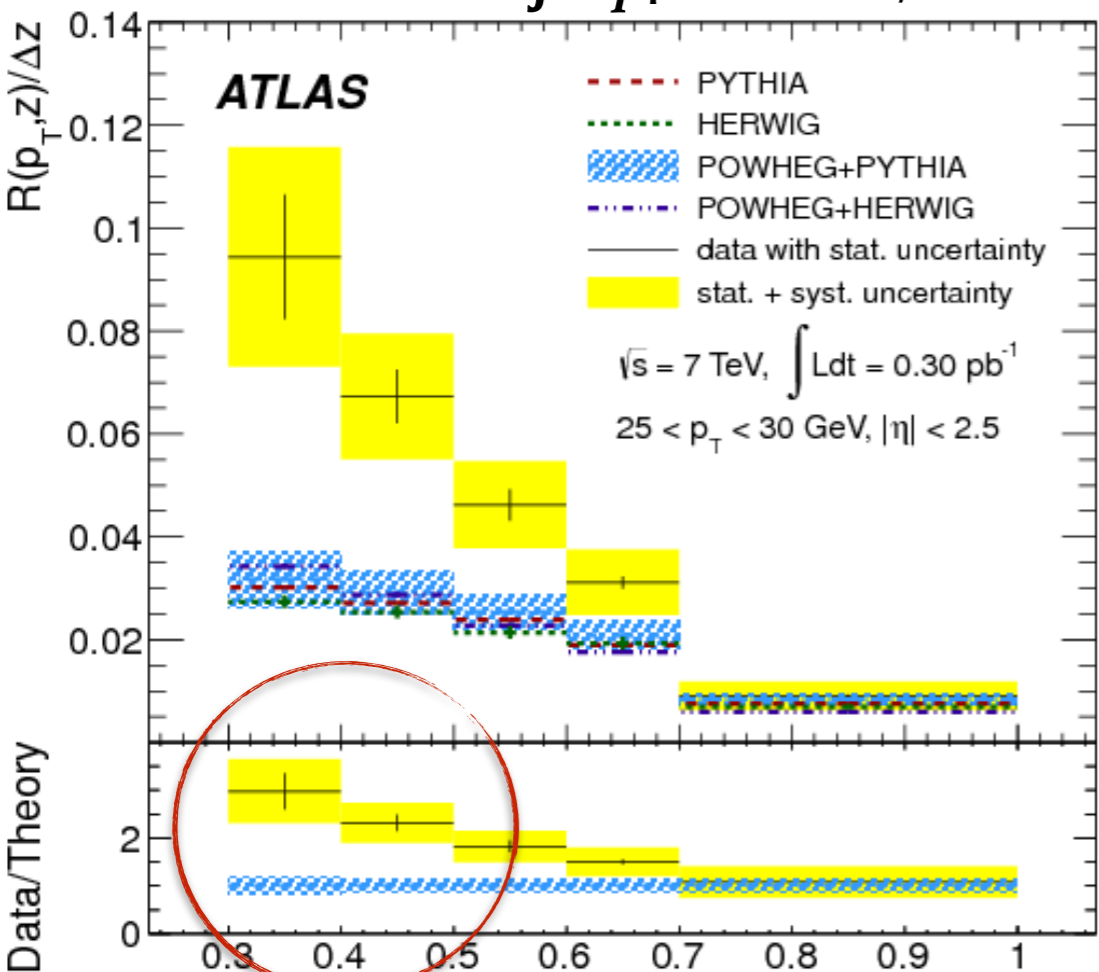
- Kinematics reach and precision can be **extended** with the $\sqrt{s} = 5.02$ and 13 TeV datasets



$\sqrt{s} = 7 \text{ TeV}$

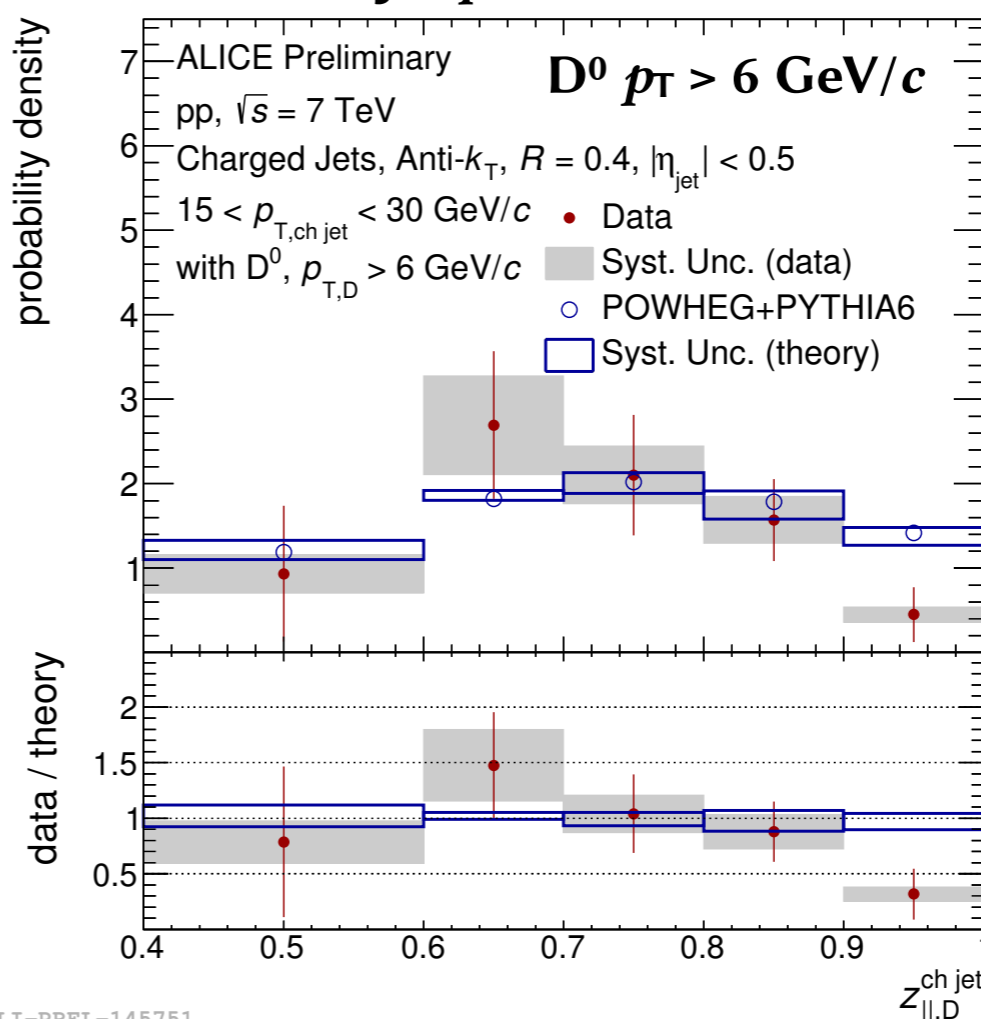
D⁰-meson-tagged jet

25 < D^{*±} jet p_T < 30 GeV/c



ATLAS: Phys. Rev. D85 (2012) 052005 $z = p_{\parallel}(D^{*\pm})/E(\text{jet})$ ALI-PREL-145751

15 < jet p_T < 30 GeV/c



POWHEG: JHEP 0711 (2007) 070

PYTHIA6: JHEP 05 (2006) 026

$$z_{\parallel, D}^{\text{ch jet}} = \frac{\vec{p}_{\text{ch jets}} \cdot \vec{p}_D}{\vec{p}_{\text{ch jets}} \cdot \vec{p}_{\text{ch jets}}}$$

Charged jet momentum fraction carried by the D⁰ meson

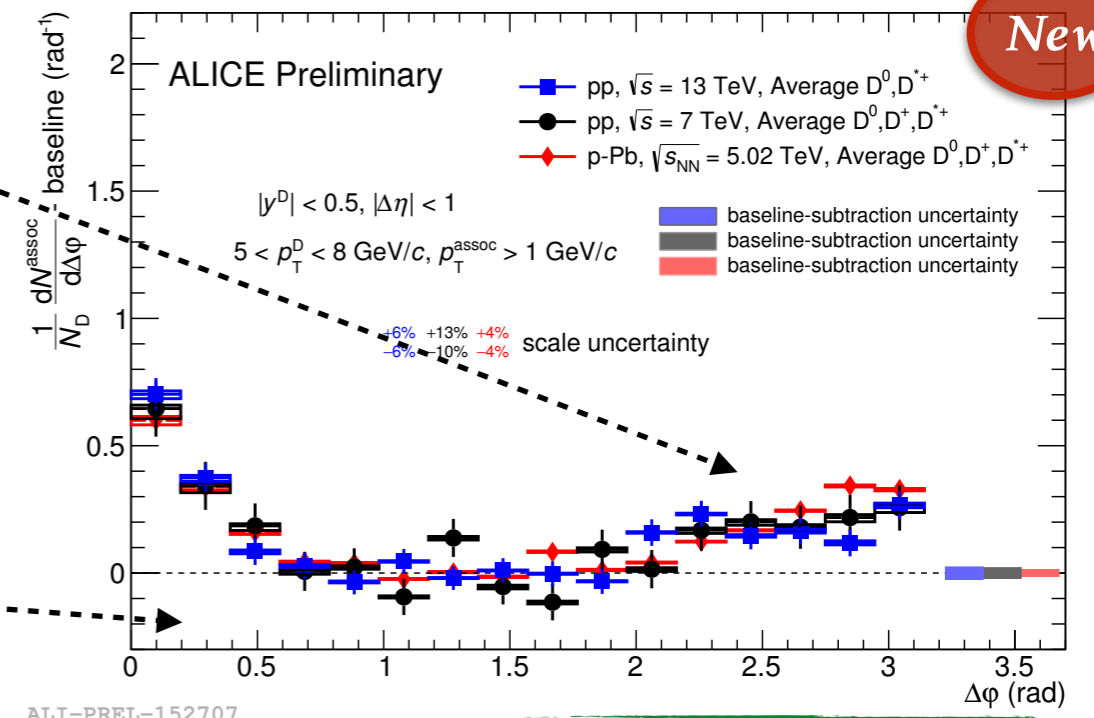
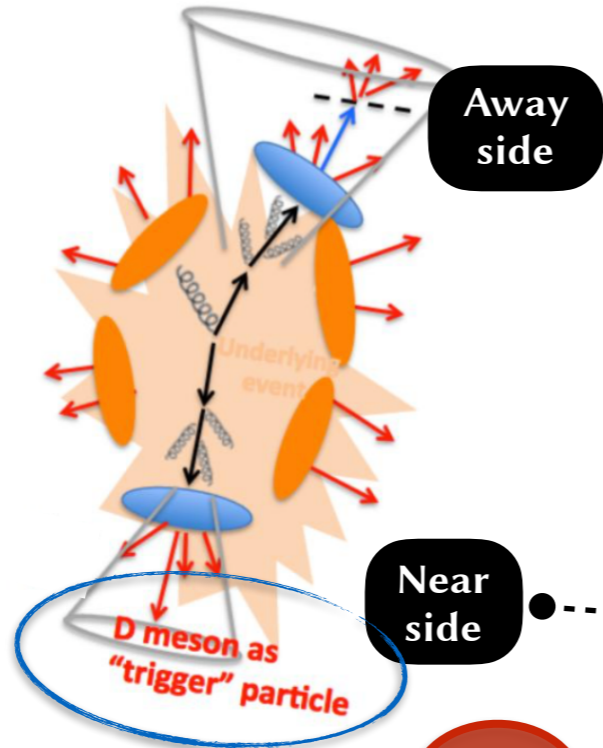
Good agreement of **data** with **POWHEG + PYTHIA6** predictions

(NLO generator) + (parton shower + hadronization)

- Kinematics reach and precision can be **extended** with the $\sqrt{s} = 5.02$ and 13 TeV datasets

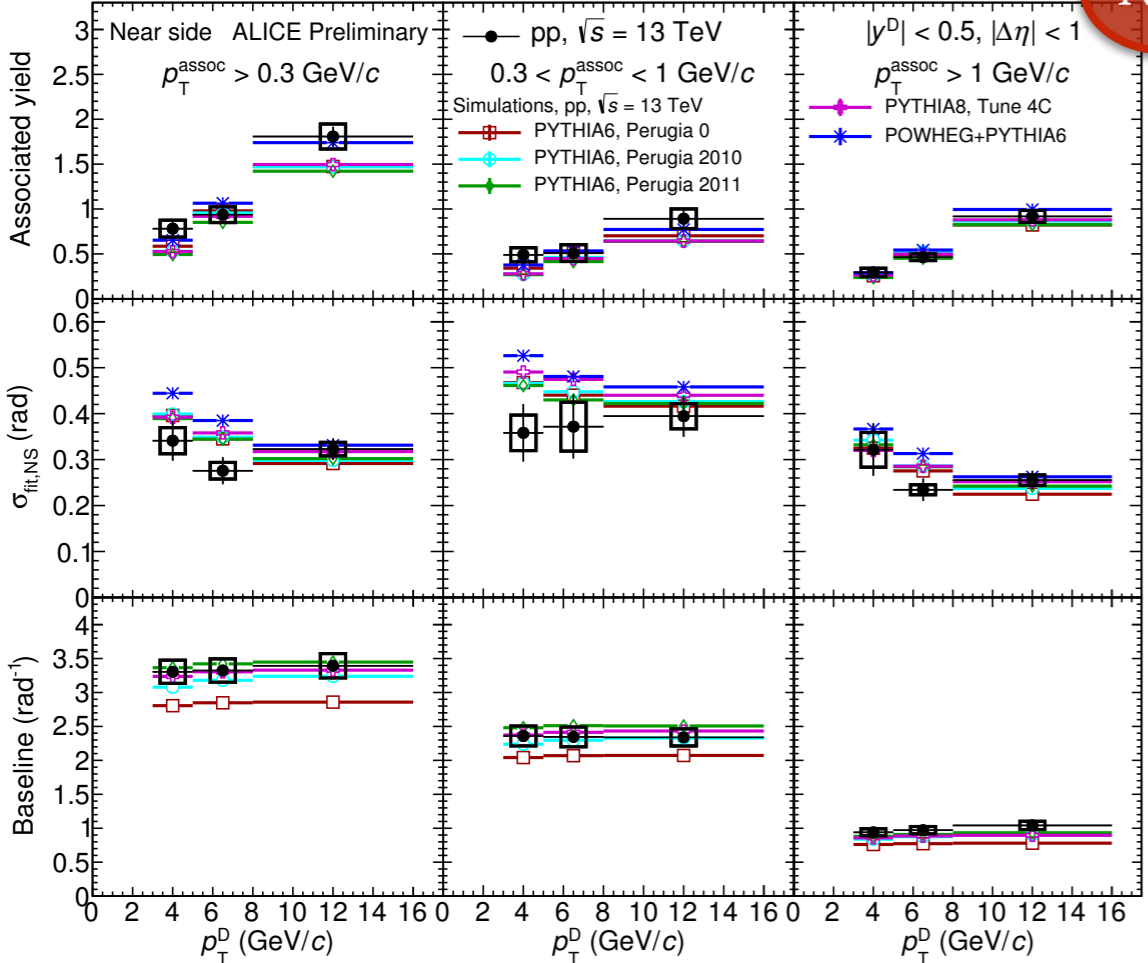


Azimuthal correlations of D mesons with charged particles



New

$\sqrt{s} = 13$ TeV



New

pp, $\sqrt{s} = 13$ TeV
 pp, $\sqrt{s} = 7$ TeV
 p-Pb, $\sqrt{s_{NN}} = 5.02$ TeV

Near side observables:

- Yields and width extracted from fit

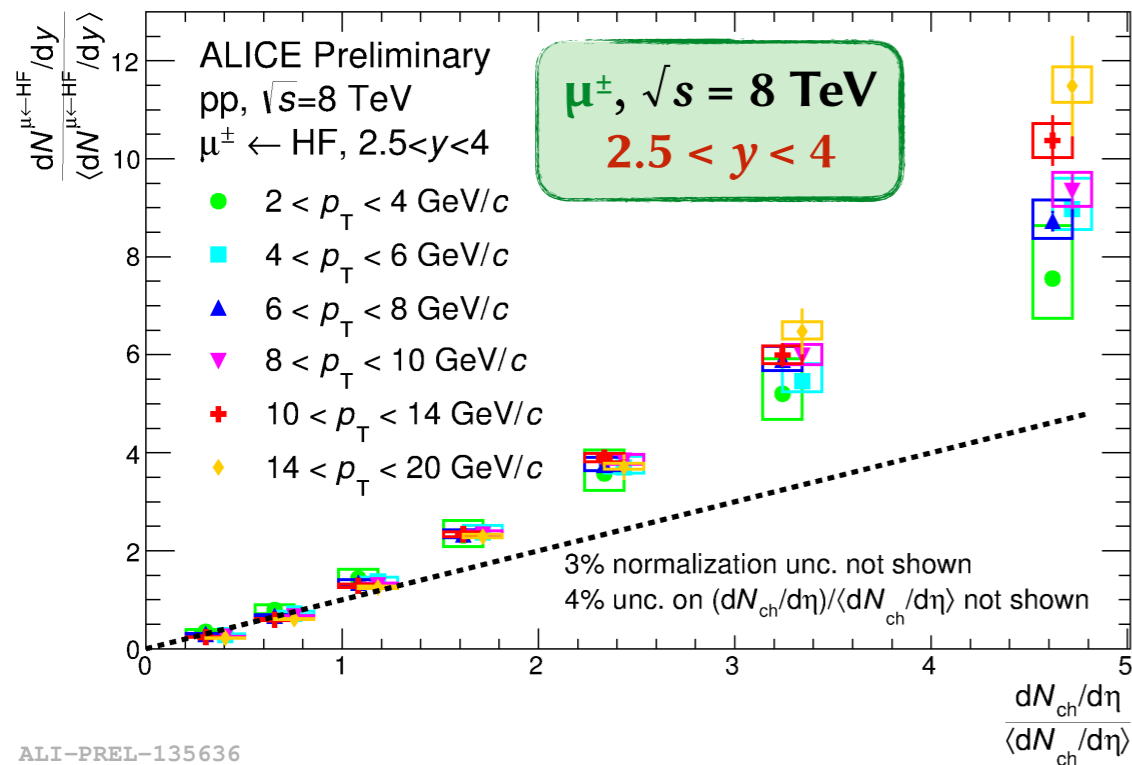
$$f(\Delta\phi) = c + \frac{Y_{NS}}{\sqrt{2\pi}\sigma_{NS}} e^{-\frac{(\Delta\phi - \mu_{NS})^2}{2\sigma_{NS}^2}} + \frac{Y_{AS}}{\sqrt{2\pi}\sigma_{AS}} e^{-\frac{(\Delta\phi - \mu_{AS})^2}{2\sigma_{AS}^2}}$$

- Models describe the data within uncertainties

POWHEG: JHEP 0711 (2007) 070
PYTHIA6: JHEP 05 (2006) 026
PYTHIA8: Comput. Phys. Commun. 191 (2015) 159



Heavy flavour versus charged-particle multiplicity



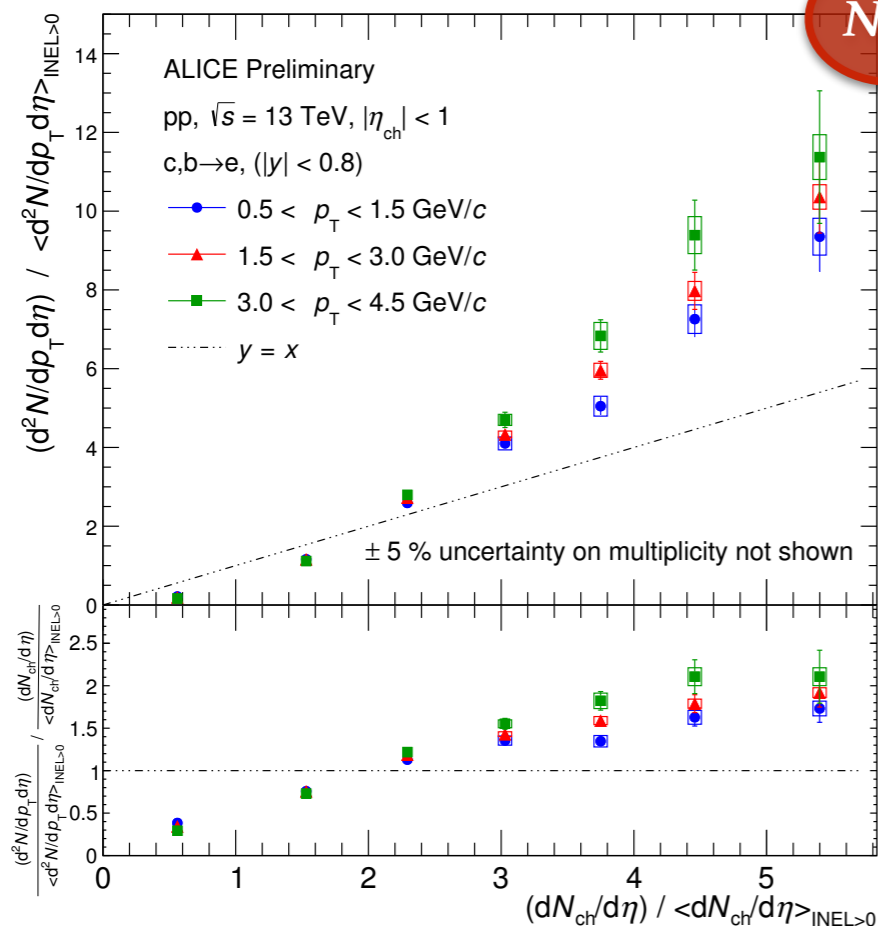
Self-normalised yield of **heavy flavour** versus **multiplicity**:

- $\mu \leftarrow c, b$ at forward rapidity ($2.5 < y < 4$)
- $e \leftarrow c, b$ at central rapidity ($|y| < 0.8$)

Faster than linear increasing trend with **multiplicity**:

- Hint of steeper increase for **higher p_T** intervals

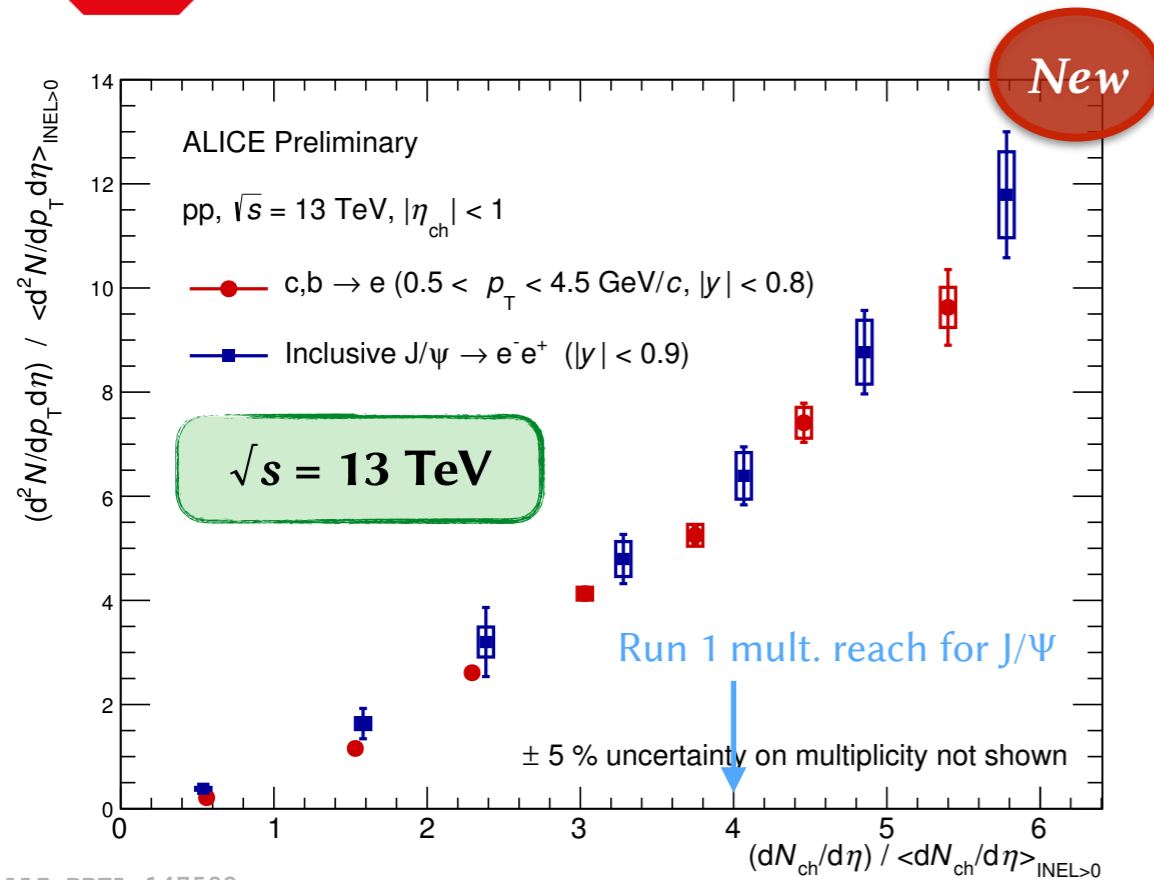
ALI-PREL-135636



$e^\pm, \sqrt{s} = 13$ TeV
 $|y| < 0.8$



Heavy flavour versus charged-particle multiplicity



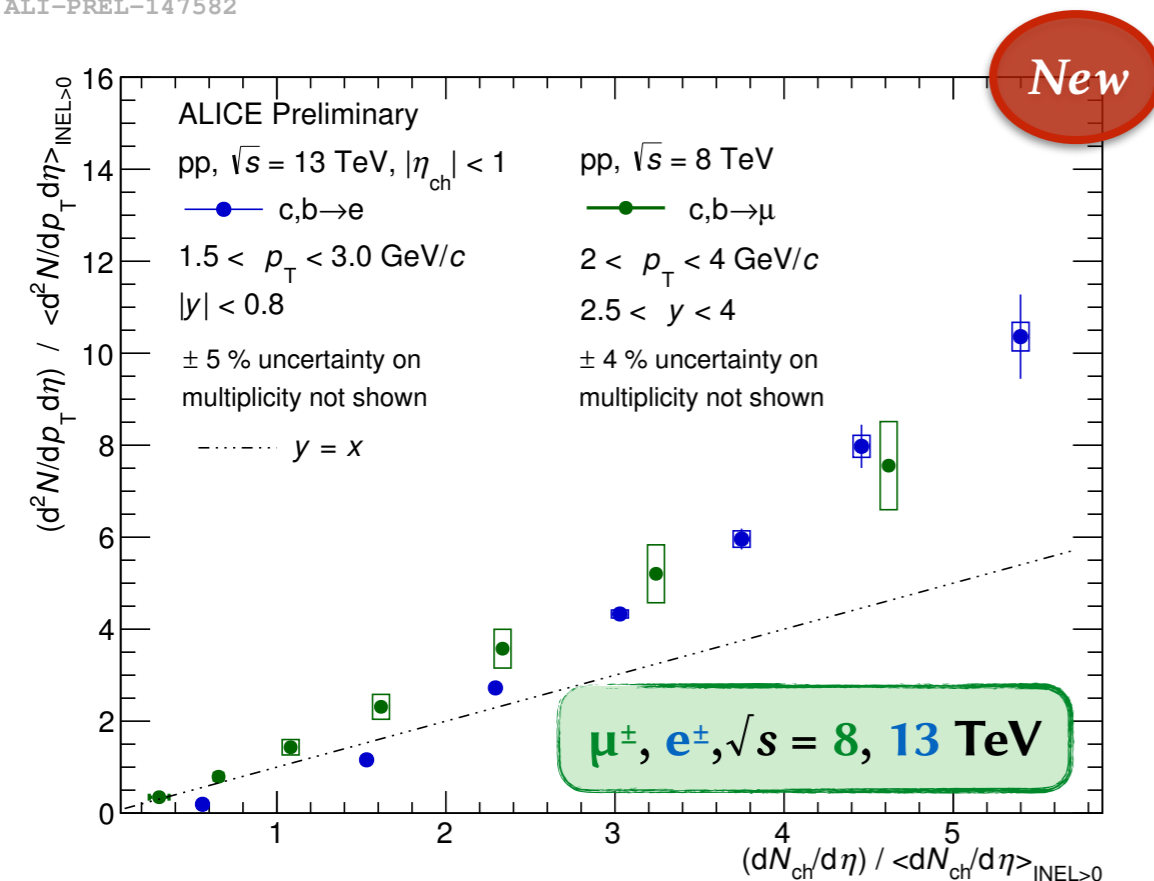
Self-normalised yield of heavy flavour versus multiplicity:

- $\mu \leftarrow c, b$ at forward rapidity ($2.5 < y < 4$)
- $e \leftarrow c, b$ at central rapidity ($|y| < 0.8$)

Faster than linear increasing trend with multiplicity:

- Hint of steeper increase for **higher p_T** intervals
 - Similar results for $e \leftarrow c, b$ and (inclusive) $J/\psi \leftarrow c, b$
 - Different trend of $\mu \leftarrow c, b$ (forward y , $\sqrt{s} = 8$ TeV) with respect to $e \leftarrow c, b$ (central y , $\sqrt{s} = 13$ TeV) at low multiplicity
- *Possibly smaller jet bias and autocorrelation effects for the $\mu \leftarrow c, b$ measurement at forward y*

ALI-PREL-147582

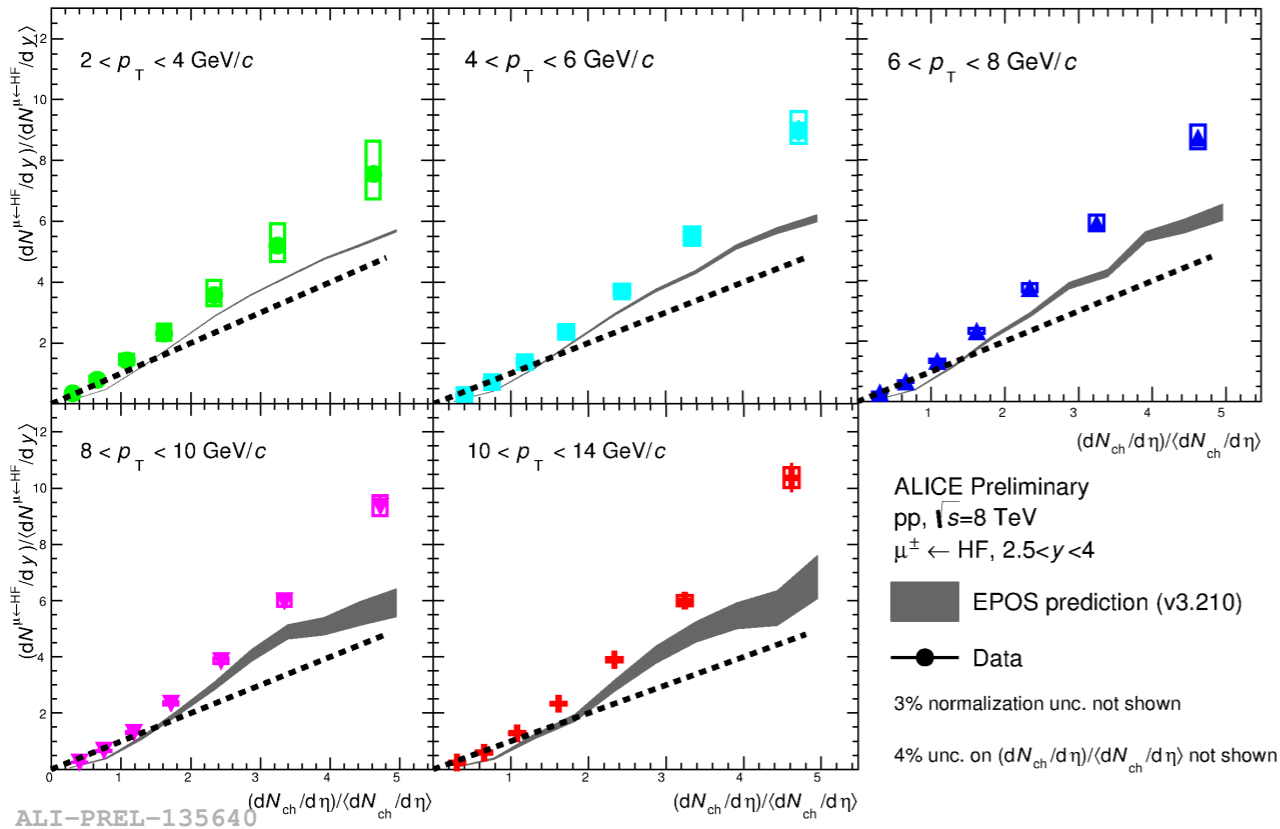


ALI-PREL-147643



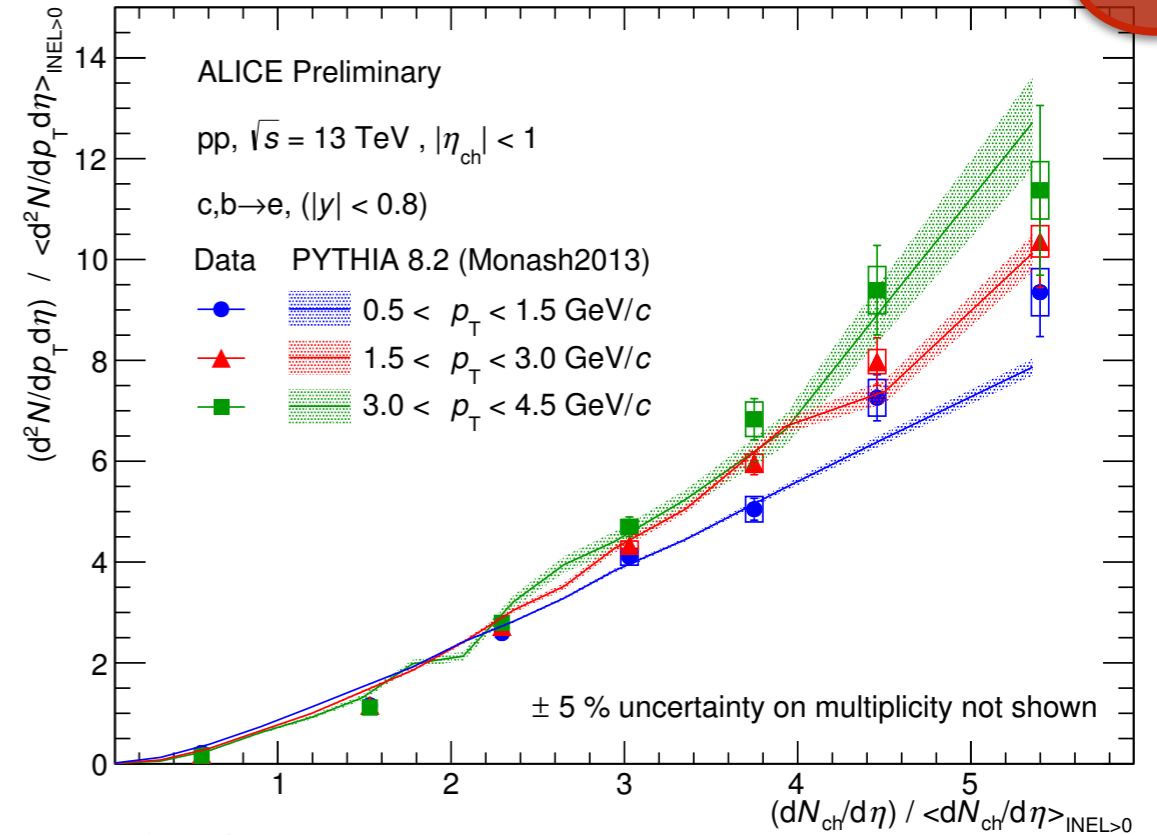
Heavy flavour versus charged-particle multiplicity

$\mu^\pm, \sqrt{s} = 8 \text{ TeV}$



ALI-PREL-135640

$e^\pm, \sqrt{s} = 13 \text{ TeV}$



ALI-PREL-147550

Data compared to **EPOS 3.210** (including hydrodynamics) and **PYTHIA8**

- Fair agreement of **EPOS 3** at low multiplicities, deviation at high multiplicities
- **PYTHIA8** qualitatively reproduces the data at all multiplicities



Open heavy flavours (HF) in proton-proton collisions:

- Efficient tool to investigate the interplay between **hard** and **soft** QCD processes
- Various measurements at several LHC energies: $\sqrt{s} = 2.76, 5, 7, 8, 13$ TeV

p_T -differential production cross sections



■ D mesons (+ Λ_c^+) in $|y| < 0.5$

Production ratios amongst energies



■ $e \leftarrow c, b$ in $|y| < 0.8$

Production ratios amongst HF hadron species



■ $\mu \leftarrow c, b$ in $2.5 < y < 4$

HF inside charged jets



HF-hadron azimuthal correlations



Production versus charged-particle multiplicity



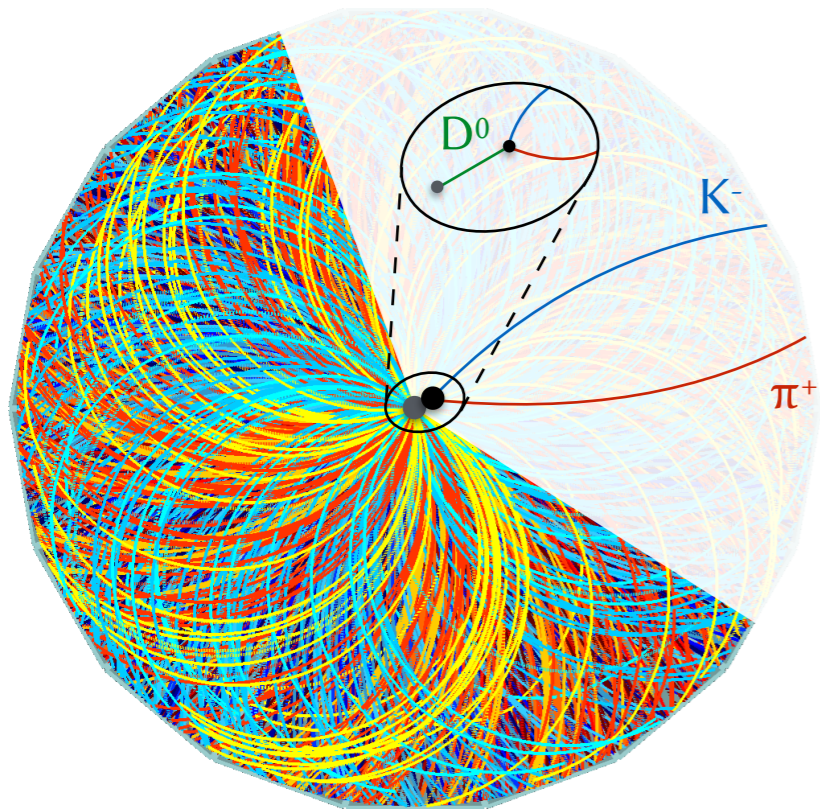
Prospects:

- **Run 2:** additional datasets need to be analysed \rightarrow better precision and more differential measurements
- **Run 3:** ALICE Upgrades will further improve the heavy-flavour hadron reconstruction
- **Charmed baryons:** heavy flavour measurements recently extended to Λ_c^+ and Ξ_c^+

Surprises with charm baryons \rightarrow C. Bedda's talk (Friday)

Extra slides

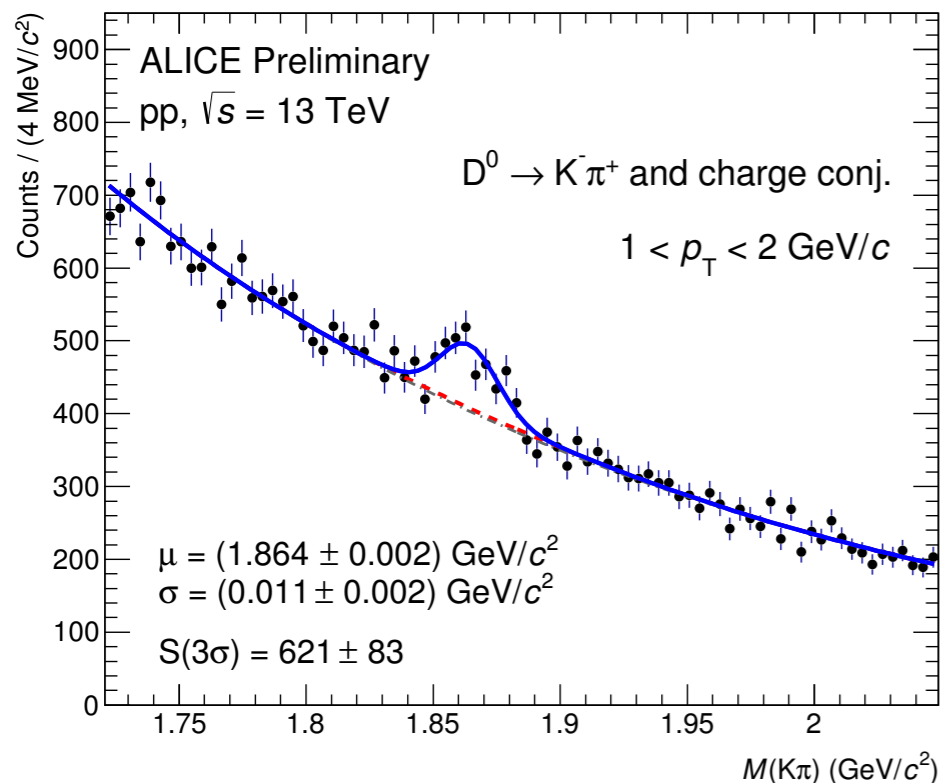
D-meson hadronic full reconstruction



✓ $D^0(c\bar{u}) \rightarrow K^-\pi^+$ ($3.93 \pm 0.04\%$)	$1864.8 \text{ MeV}/c^2$	$c\tau \sim 123 \mu\text{m}$
✓ $D^+(c\bar{d}) \rightarrow K^-\pi^+\pi^+$ ($9.46 \pm 0.24\%$)	$1869.6 \text{ MeV}/c^2$	$c\tau \sim 312 \mu\text{m}$
✓ $D_s^+(c\bar{s}) \rightarrow (\phi \rightarrow K^+K^-\pi^+$ ($2.27 \pm 0.08\%$)	$1968.3 \text{ MeV}/c^2$	$c\tau \sim 150 \mu\text{m}$
✓ $D^{*+}(c\bar{d}) \rightarrow D^0\pi^+$ ($67.7 \pm 0.5\%$)	$2010.3 \text{ MeV}/c^2$	$c\tau \sim 2 \text{ fm}$

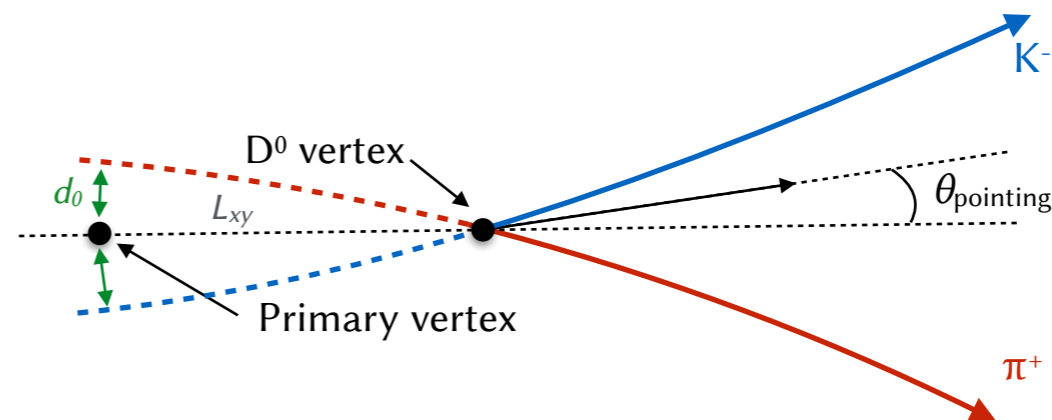
Analysis strategy:

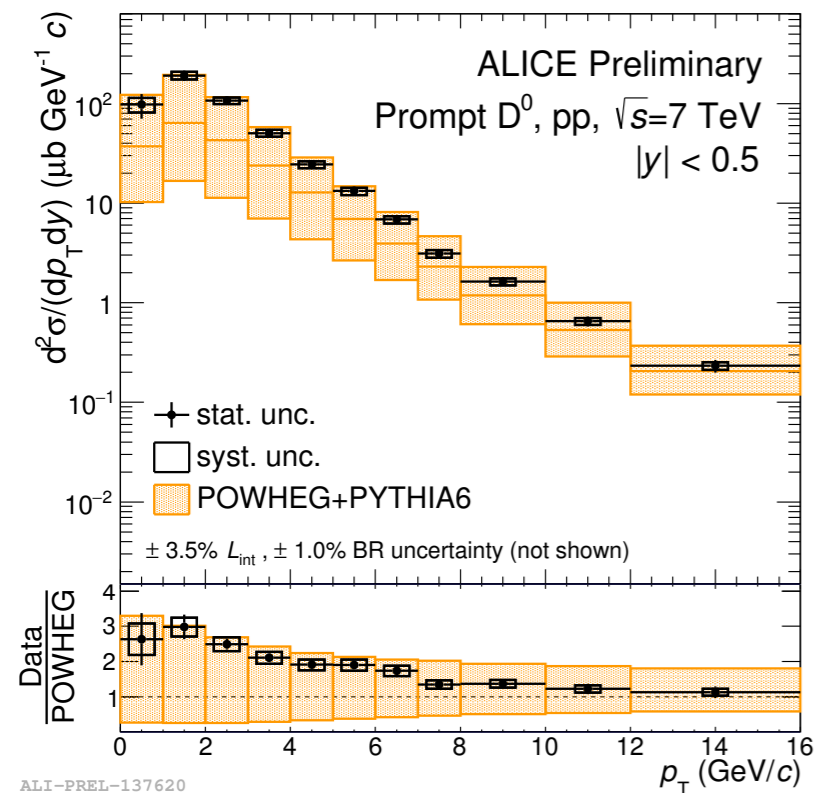
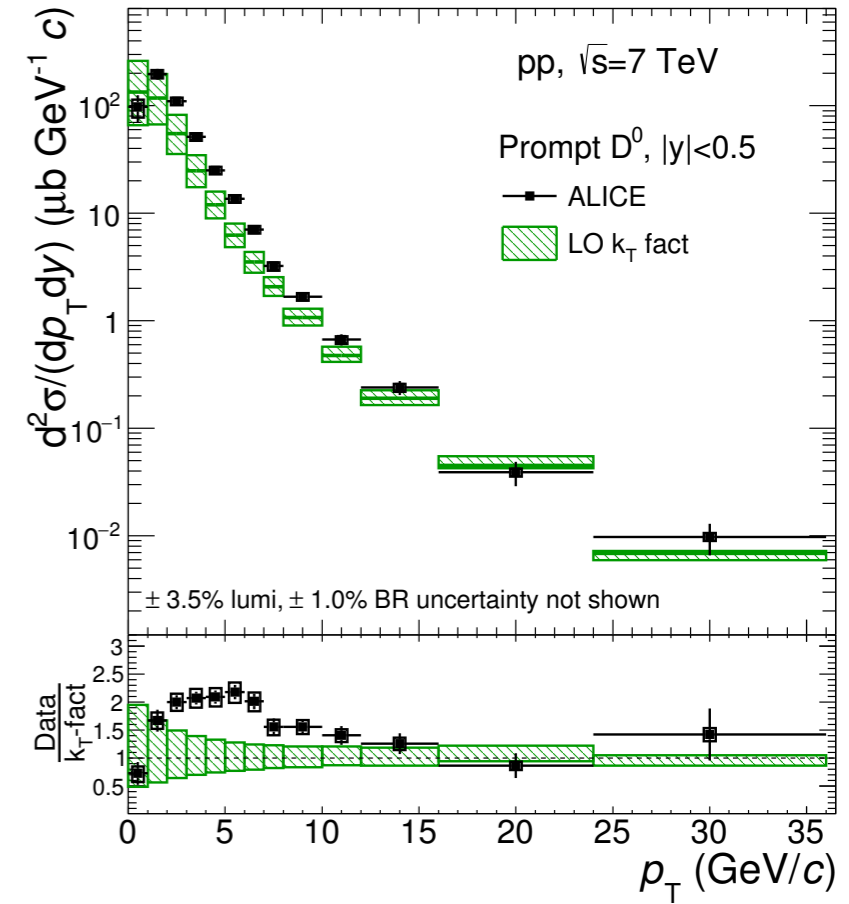
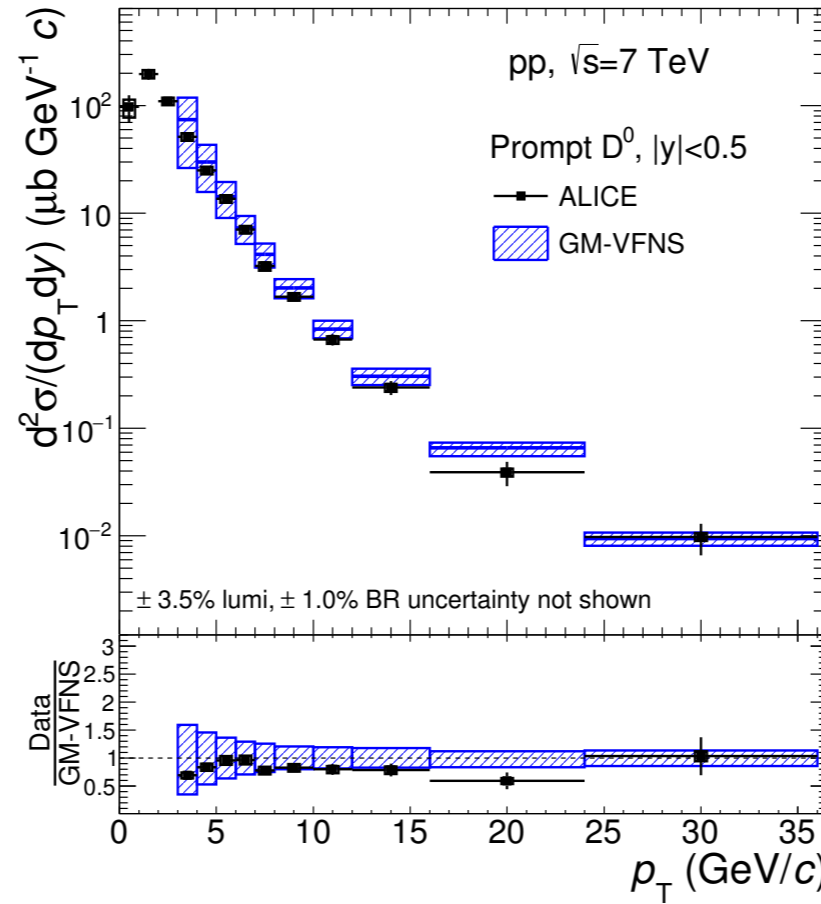
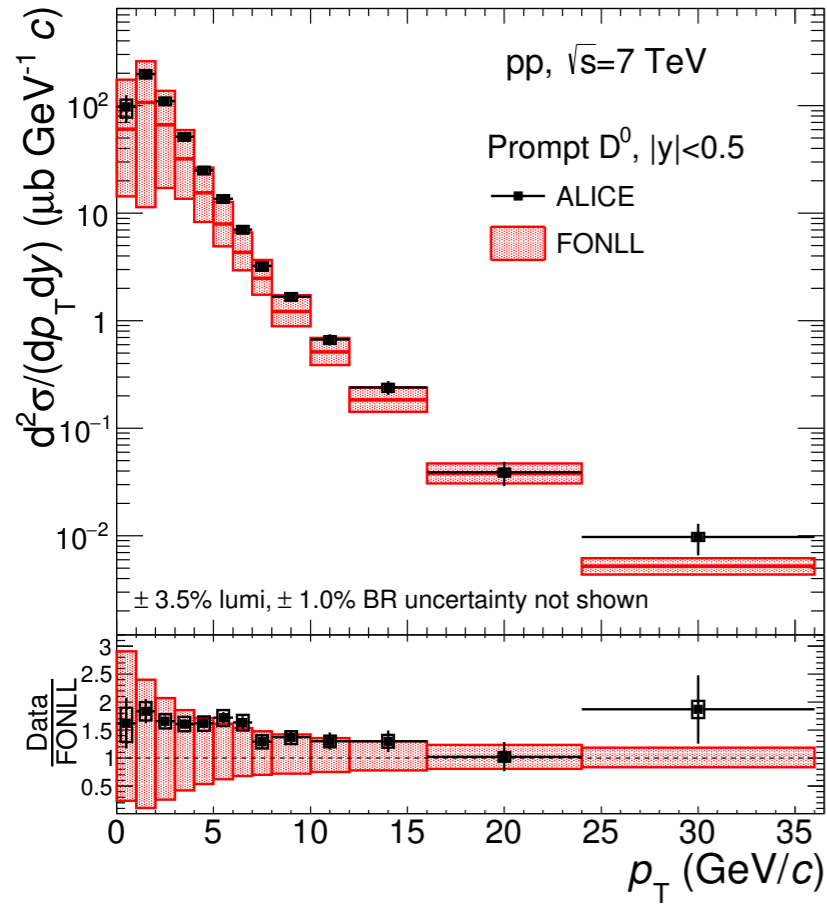
- combination of track pairs/triplets with proper charge combinations and PID information
- secondary vertex reconstruction
- exploit the D-meson vertex displacement by applying kinematic and geometrical selections



Typical topological selections: to be optimised per p_T interval

- D-meson decay length: L_{xy}
- D-meson pointing angle: $\cos(\theta_{\text{pointing}})$
- Impact parameter of daughters: d_0
- ...

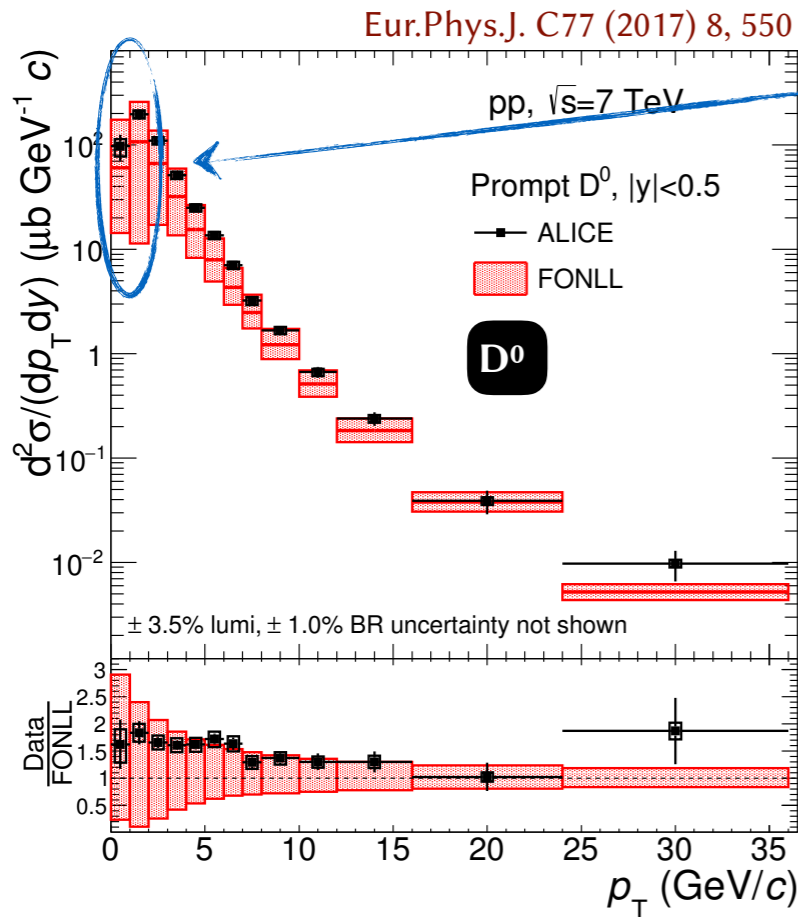




- **Fixed-Order-Next-to-Leading-Log (FONLL):** JHEP 10 (2012) 137 and references therein
- **General Mass Variable Flavour Number Scheme (GM-VFNS):** Eur. Phys. J. C72 (2012) 2082
- **k_T factorisation:** Phys. Rev. D87 (2013) 094022
- **POWHEG + PYTHIA6:** JHEP 0711 (2007) 070 + JHEP 05 (2006) 026

$\sqrt{s} = 7 \text{ TeV}$

Measurements down to low p_T



Different analysis method allows us to measure D^0 down to $p_T = 0$

- no selection on secondary vertex, only combinatorics
- estimation + subtraction of the background (event mixing, rotational, ...)

pQCD calculations (FONLL) are compatible within uncertainties with the data for all D-meson species.

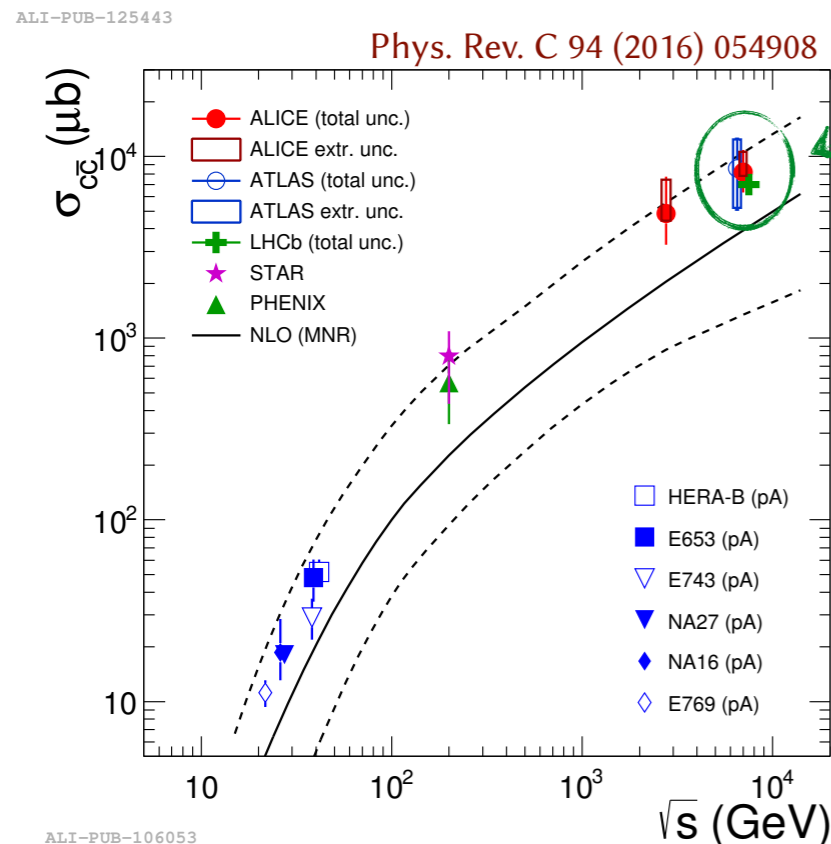
FONLL: JHEP 10 (2012) 137

Total charm cross-section estimated by extrapolating D^0 measurement to full solid angle

Data in agreement with QCD predictions at NLO, within large uncertainties, over a wide \sqrt{s} range

NLO MNR: Nucl. Phys. B373 (1992) 295-345

+ $c\bar{c}$ cross section is a basic ingredient for studying charmonium (re)generation in Pb-Pb collisions

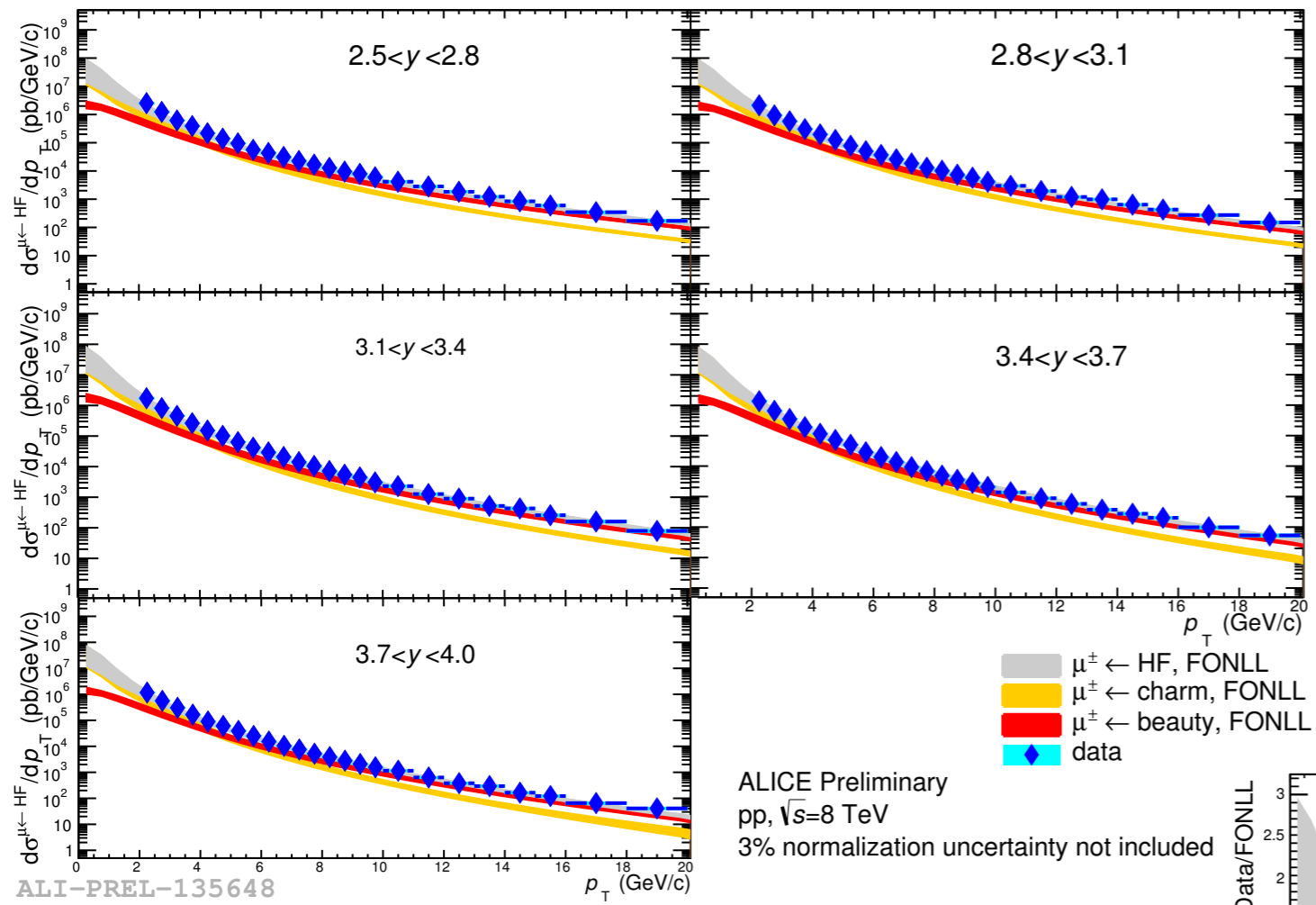


$\mu^\pm, \sqrt{s} = 8 \text{ TeV}$

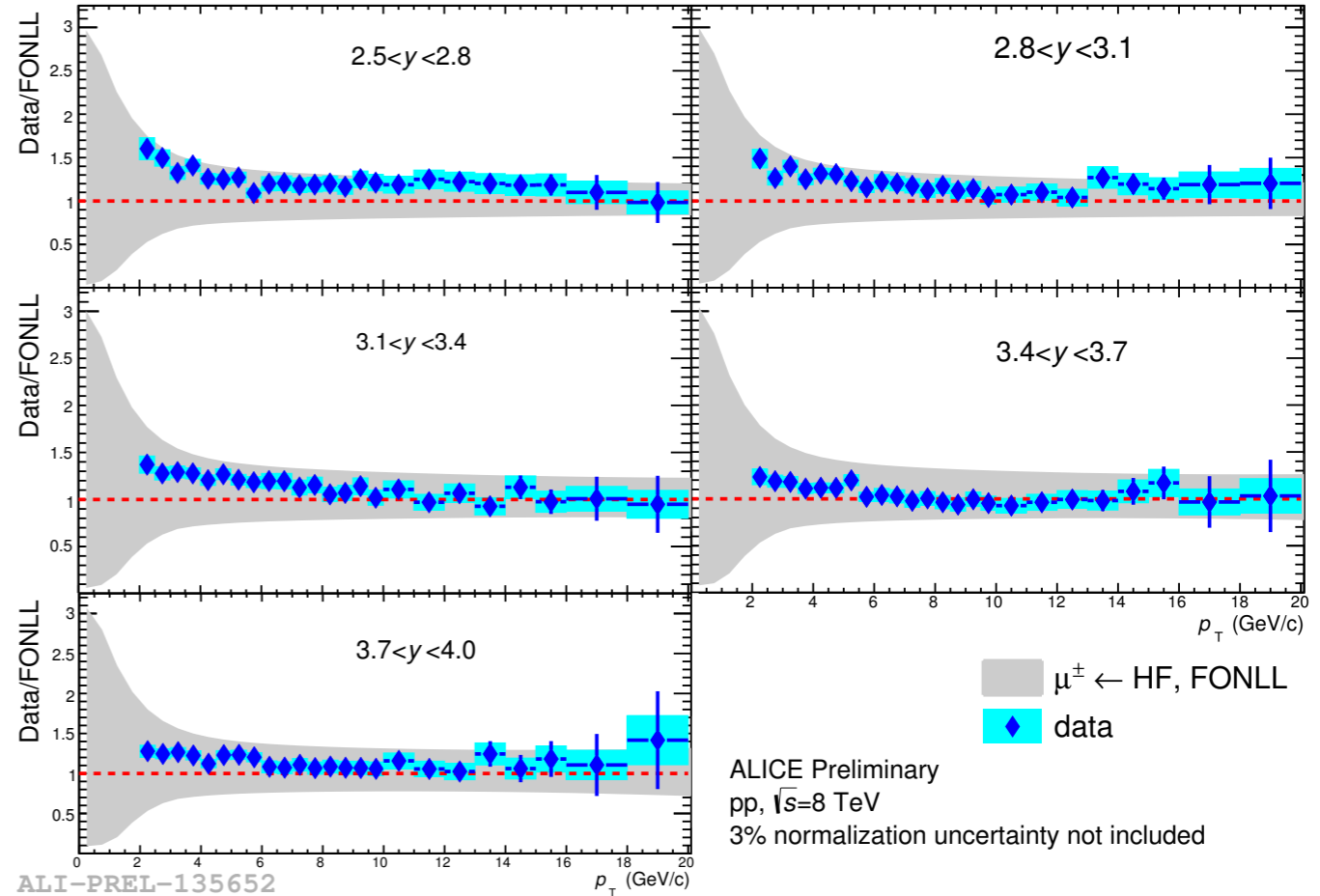
Heavy flavour muon decays

- $\mu \leftarrow c, b$ at forward rapidity ($2.5 < y < 4$) divided into several rapidity intervals

Insights into relative abundance of **charm** and **beauty** quark production

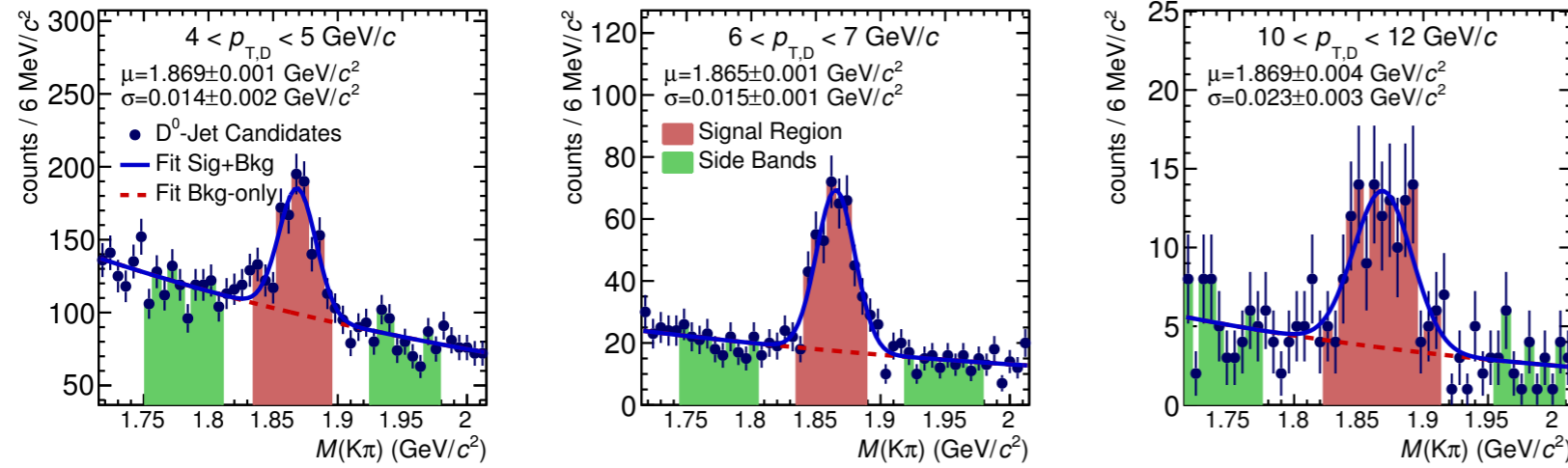


- FONLL:** JHEP 10 (2012) 137 and references therein

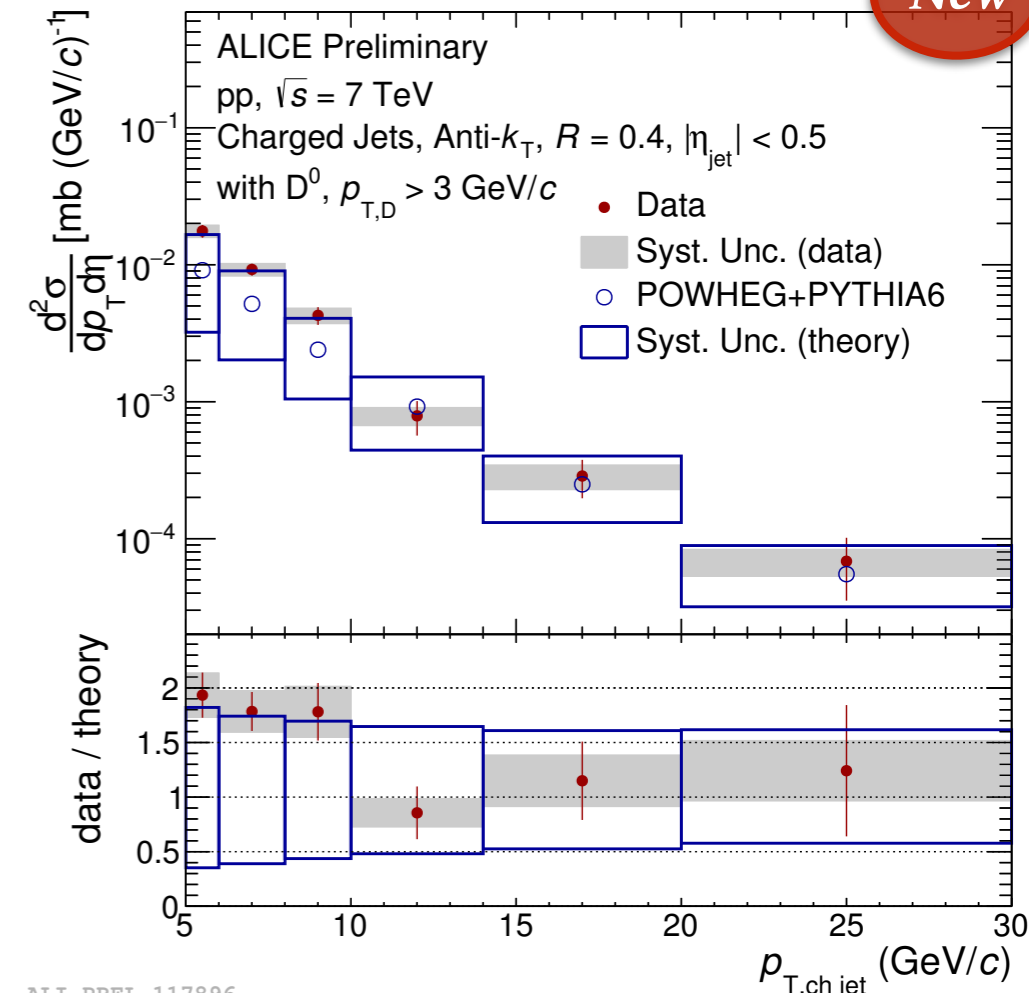


$\sqrt{s} = 7 \text{ TeV}$

D⁰-meson-tagged jet

 $4 < D^0 p_T < 5 \text{ GeV}/c$ $6 < D^0 p_T < 7 \text{ GeV}/c$ $10 < D^0 p_T < 12 \text{ GeV}/c$

- D-jet raw spectrum extracted from invariant mass analysis
- Correction for D-jet efficiency and beauty feed-down
- Corrected jet- p_T spectra unfolded for detector effects

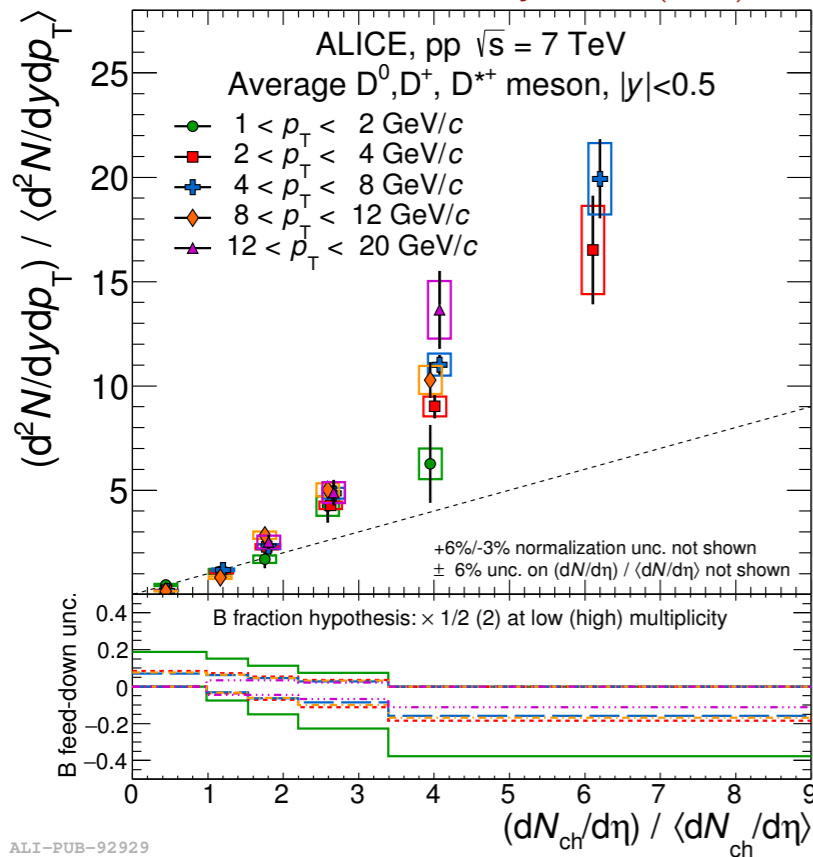


New

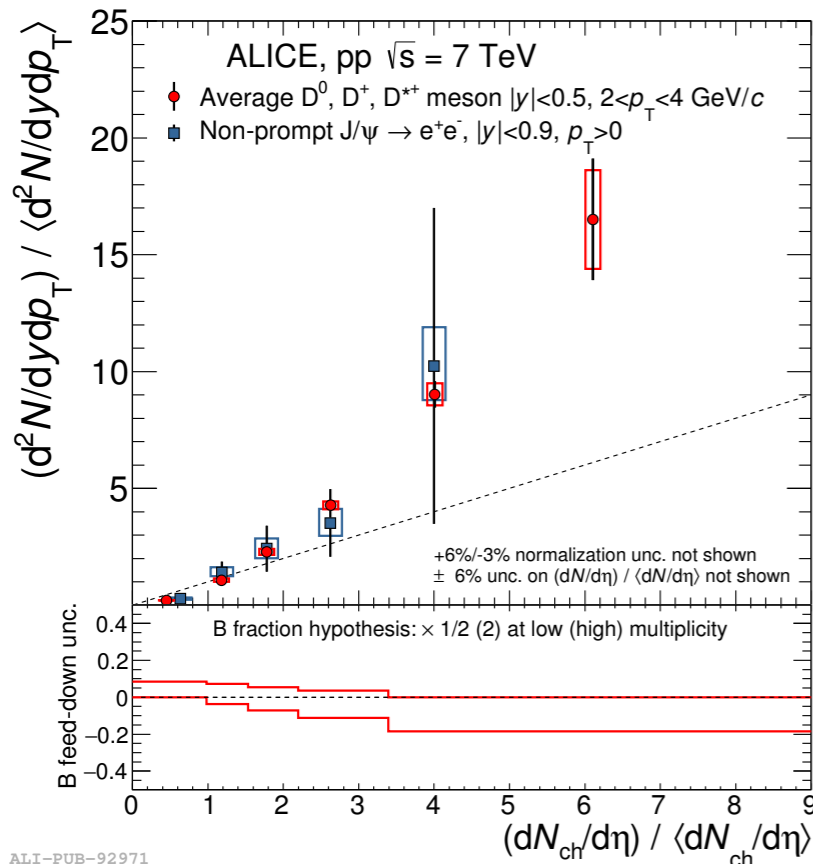
$\sqrt{s} = 7 \text{ TeV}$

D meson yields vs. multiplicity

JHEP 09 (2015) 148



ALI-PUB-92929

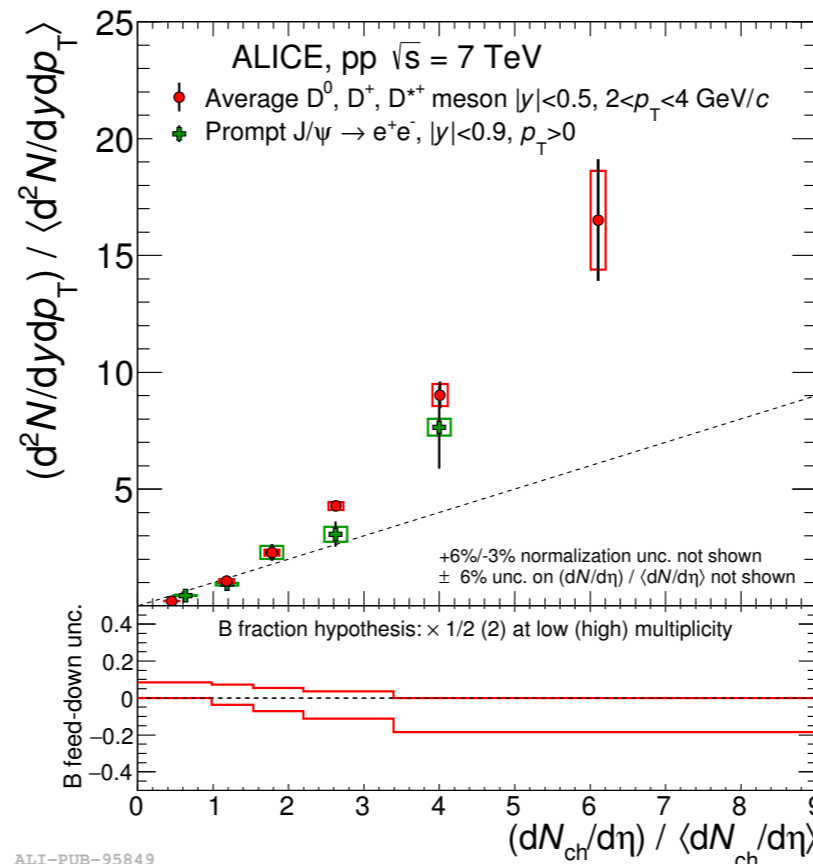


ALI-PUB-92971

- Production of **D mesons** increases steeper than linear with multiplicity
 - Similar trend for **non-prompt ($B \rightarrow$) J/Ψ** yields
 - Similar trend for **prompt J/Ψ** yields
- Caveat: different η and p_T regions

Interpretation:

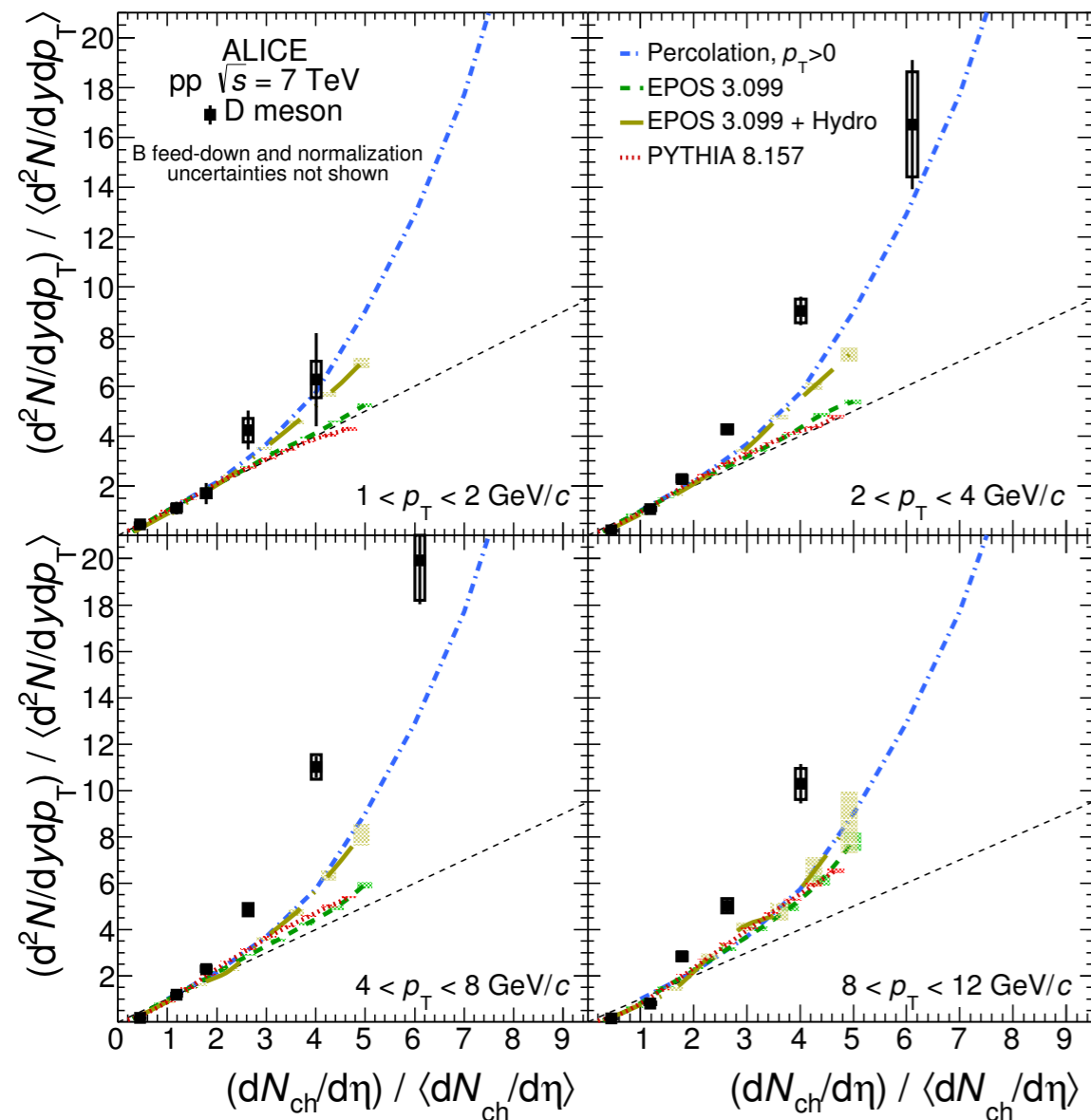
- No strong *flavour* dependence
- Enhancement may be related to *cc and bb production processes*, potentially not strongly influenced by hadronisation



ALI-PUB-95849

D meson yields vs. multiplicity

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Percolation model:

- Color sources with finite spatial extension (\sim mimic **MPI**)
- Steeper-than-linear increase

EPOS 3.099 + Hydrodynamic evolution:

- Gribov-Regge formalism
- **MPI** linked to multiplicity: $N_{\text{hard process}} \propto N_{\text{MPI}} \propto N_{\text{multiplicity}}$
- Steeper-than-linear increase **with hydrodynamic**

PYTHIA8:

- Soft QCD with colour reconnections
- Initial and final state radiations
- **MPI**
- Almost linear increase