

# Searches for new phenomena in leptonic final states using the **ATLAS detector**

---

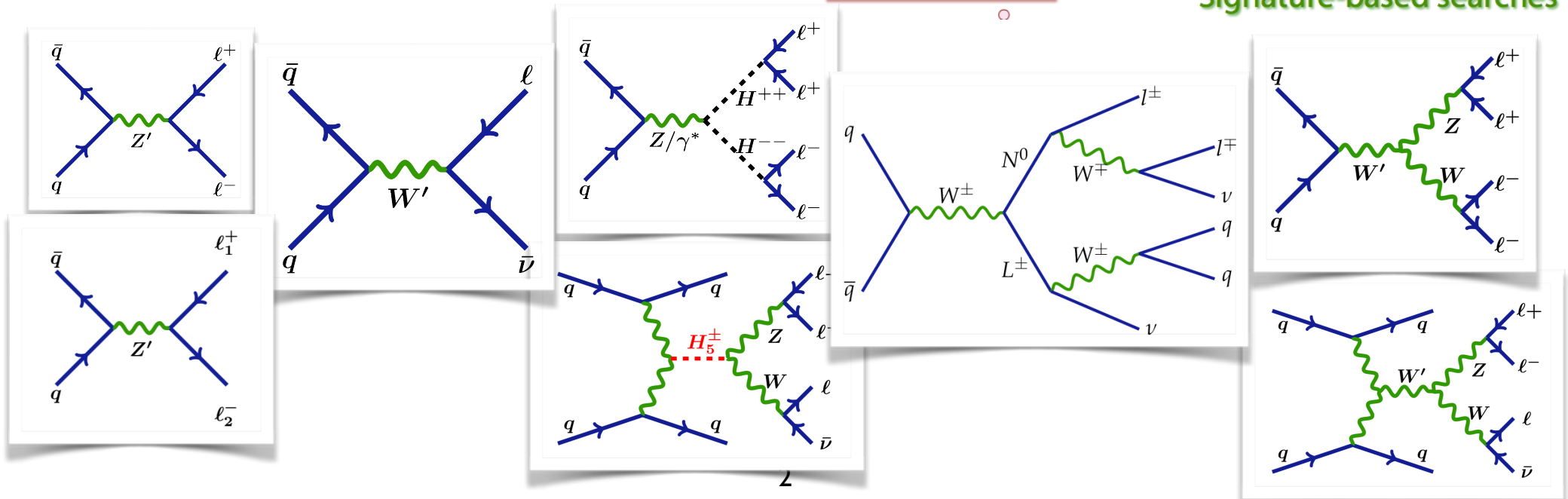
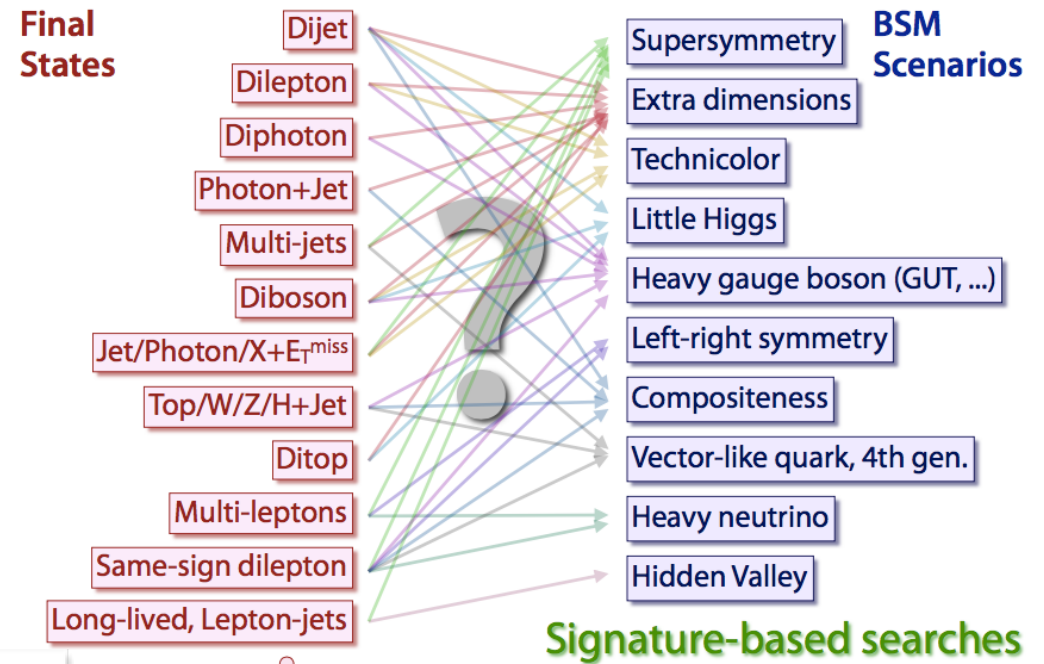
Borut Paul Kersevan  
Jozef Stefan Institute  
and Univ. of Ljubljana  
Slovenia

**On behalf of the ATLAS Collaboration**

# Why look for leptons in final states?

## • Theoretical motivation:

- Such states a clear signature for interactions predicted by a wide range of new physics models:
- **Signature of new particles** such as heavy gauge boson ( $W'$ ,  $Z'$ ), and heavy lepton multiplets, predicted by:
  - Sequential Standard Model,
  - Type I-III seesaw models,
  - Left-Right Symmetric Model,
  - Two-Higgs-doublet model,
  - Higgs triplet, dark sector extensions, ...
- **Lepton Flavor Violating (LFV) coupling is a clear and direct signature of new physics.**

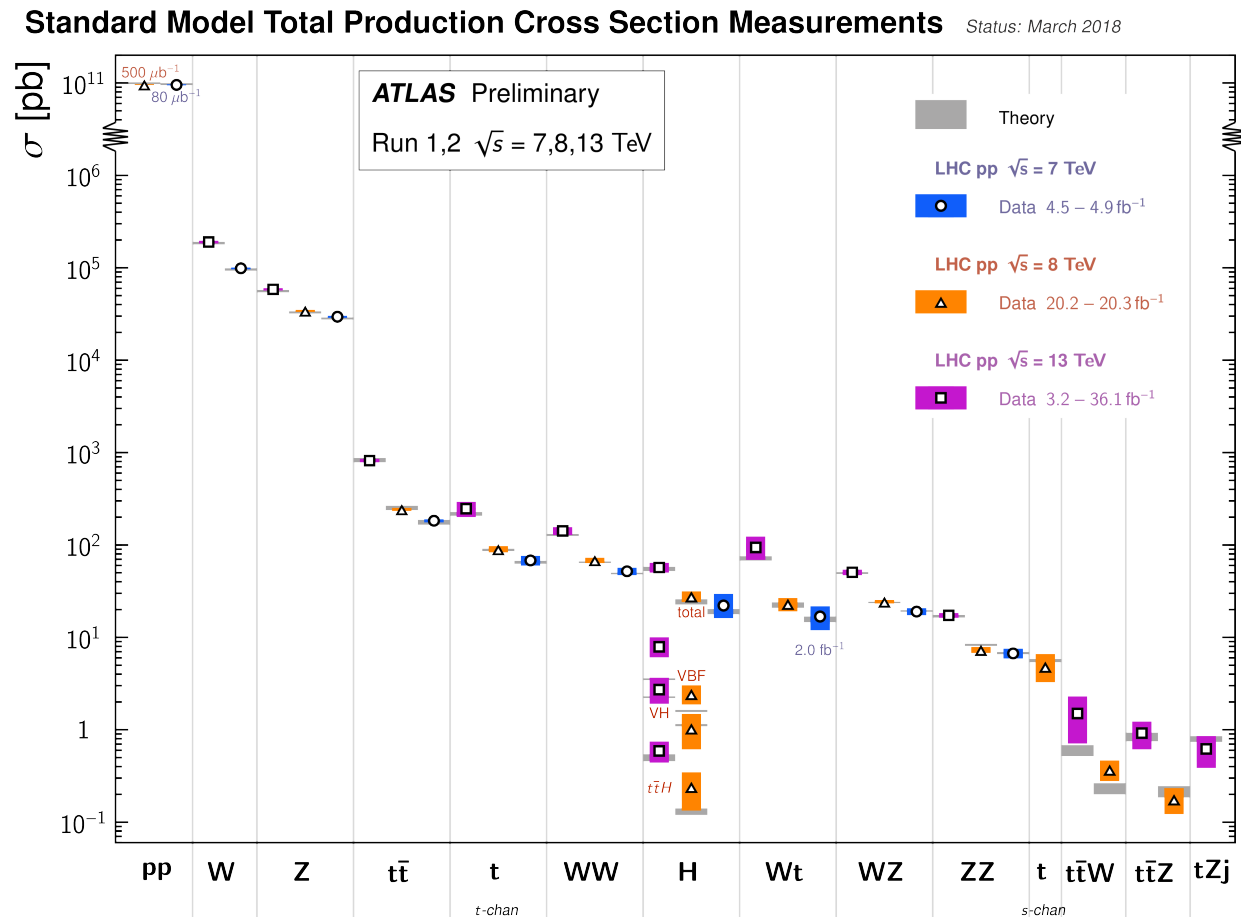
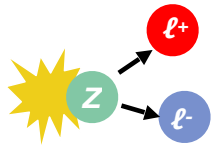


# Why look for leptons in final states?

- **Experimental motivation:**

- Distinct experimental signatures.
- Can be efficiently triggered on and recorded (lepton trigger, MET trigger).
- **Precisely measured and modeled backgrounds.**

## Prompt (true) leptons

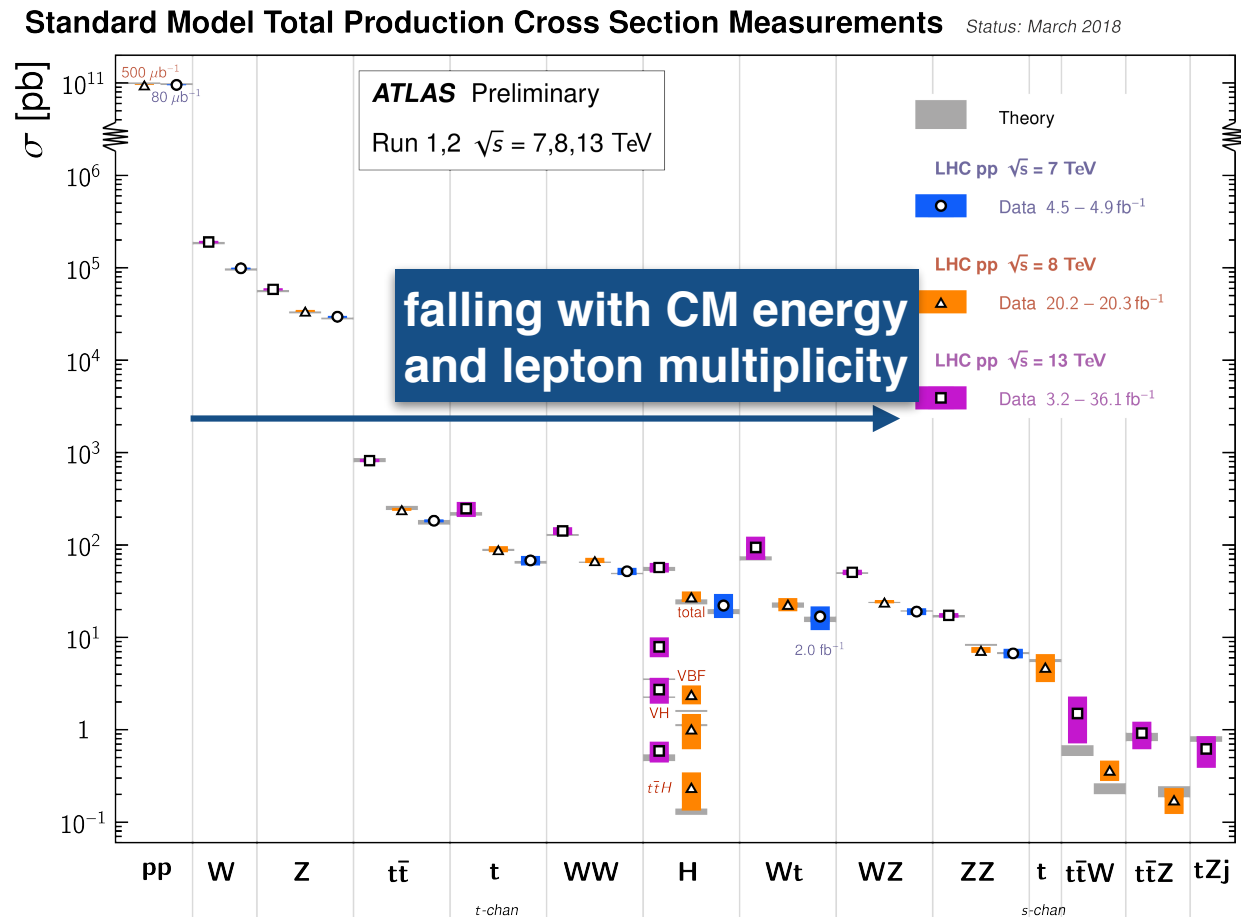
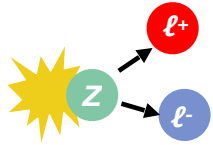


# Why look for leptons in final states?

- **Experimental motivation:**

- Distinct experimental signatures.
- Can be efficiently triggered on and recorded (lepton trigger, MET trigger).
- **Precisely measured and modeled backgrounds.**

## Prompt (true) leptons

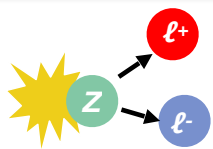


# Why look for leptons in final states?

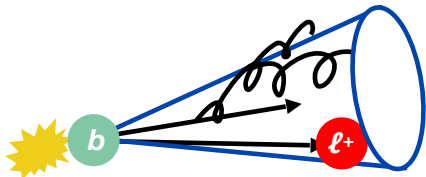
- **Experimental motivation:**

- Distinct experimental signatures.
- Can be efficiently triggered on and recorded (lepton trigger, MET trigger).
- **Precisely measured and modeled backgrounds.**

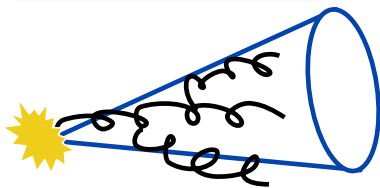
## Prompt (true) leptons



## Non-prompt leptons

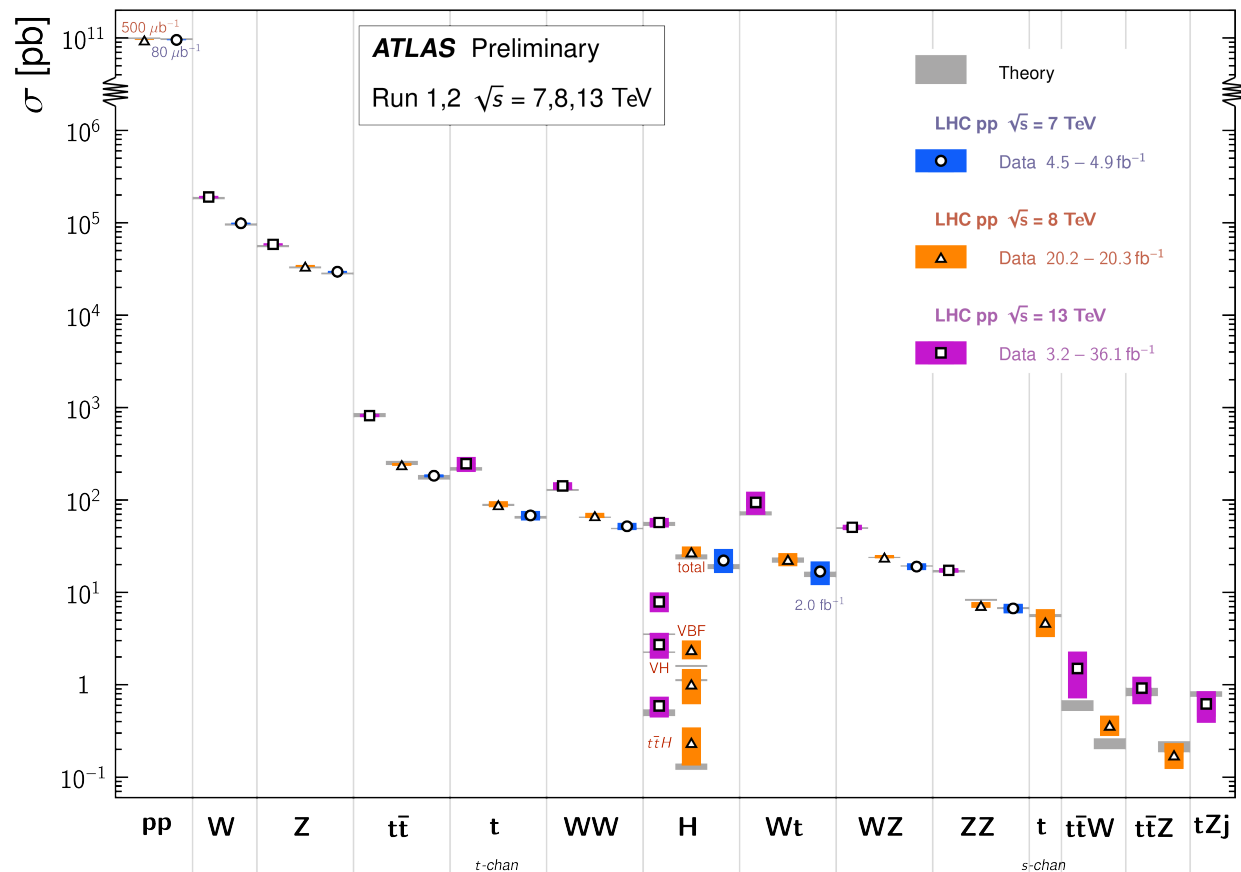


## Fake leptons



## 'Fake' backgrounds

Standard Model Total Production Cross Section Measurements *Status: March 2018*

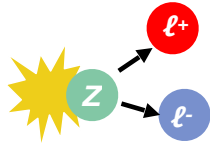


# Why look for leptons in final states?

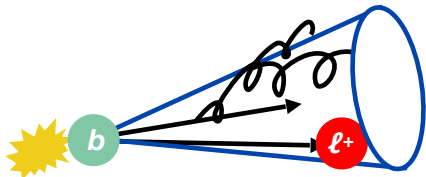
- **Experimental motivation:**

- Distinct experimental signatures.
- Can be efficiently triggered on and recorded (lepton trigger, MET trigger).
- **Precisely measured and modeled backgrounds.**

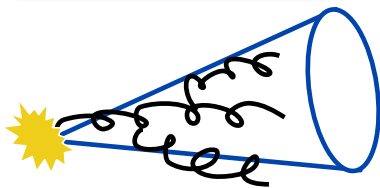
## Prompt (true) leptons



## Non-prompt leptons

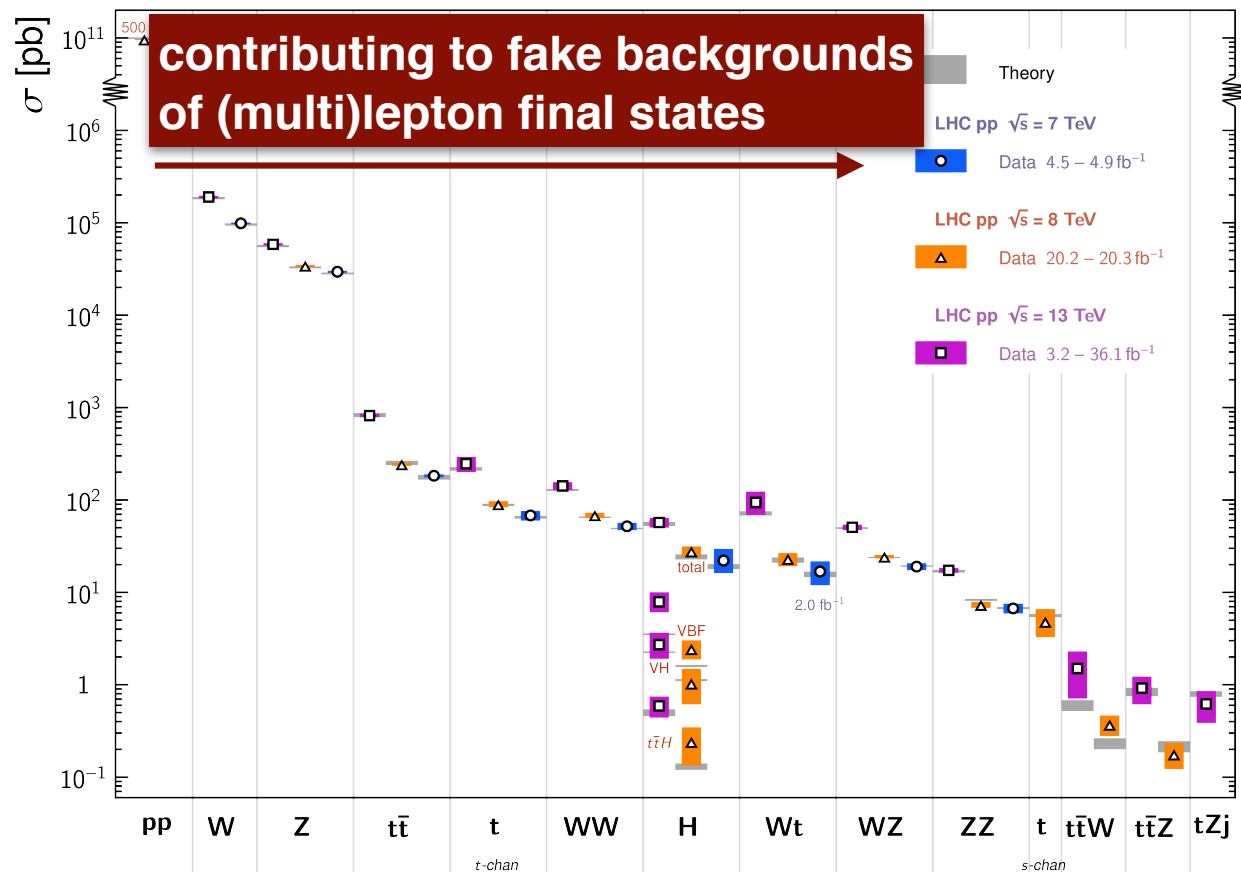


## Fake leptons



## 'Fake' backgrounds

Standard Model Total Production Cross Section Measurements Status: March 2018

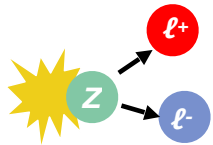


# Why look for leptons in final states?

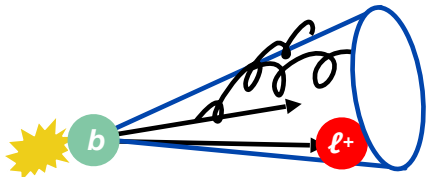
- **Experimental motivation:**

- Distinct experimental signatures.
- Can be efficiently triggered on and recorded (lepton trigger, MET trigger).
- **Precisely measured and modeled backgrounds.**

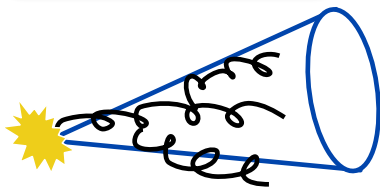
## Prompt (true) leptons



## Non-prompt leptons

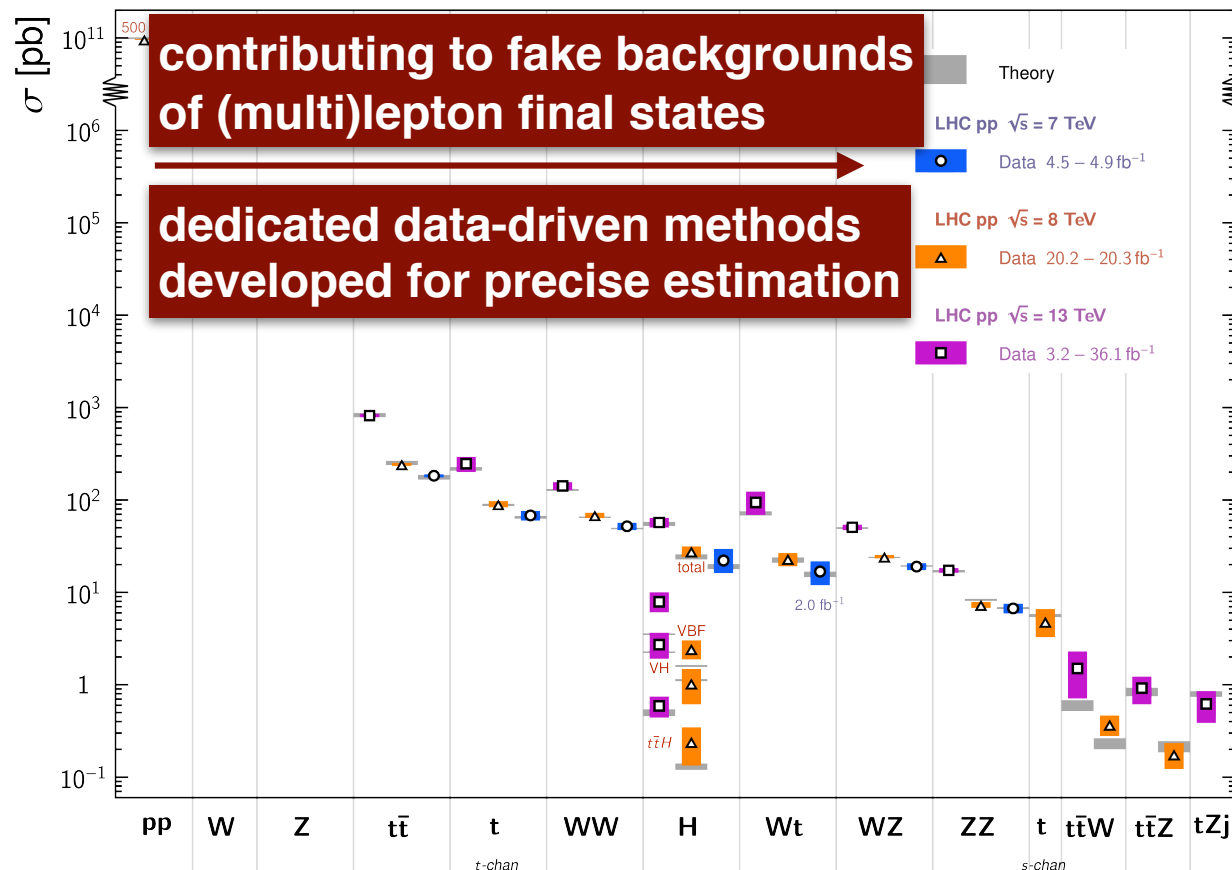


## Fake leptons



## 'Fake' backgrounds

Standard Model Total Production Cross Section Measurements Status: March 2018



Four new results of BSM searches in leptonic final states!

New (with 2017 data)

- Search for  $W'$  in  $e\nu$  and  $\mu\nu$  decays (80/fb)

New (with 2017 data)

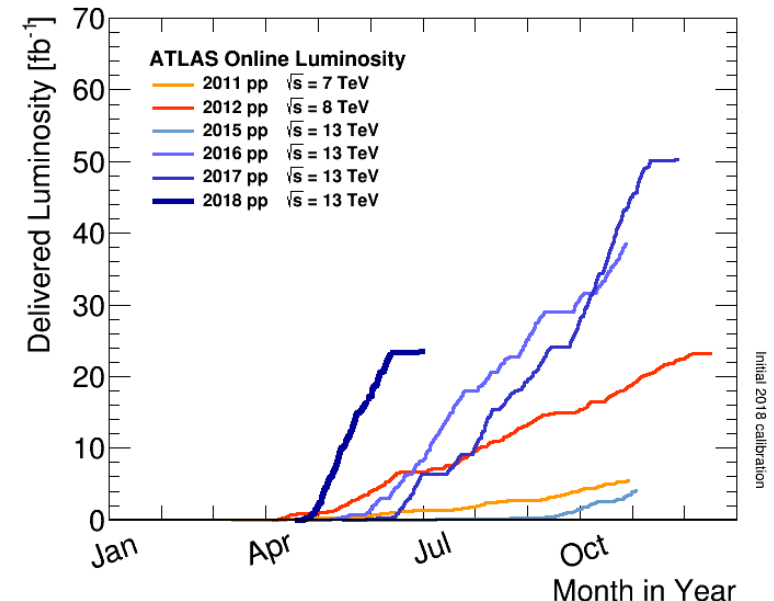
- Heavy lepton triplet search motivated by Type III seesaw mechanism (80/fb)

New result

- Search for high mass dilepton  $Z'$  resonance with Lepton Flavor Violating (LFV) final state (36/fb)

New result

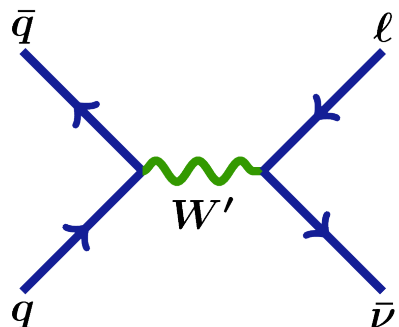
- $X \rightarrow WZ$  resonance decay to  $l\nu l$  ( $l = e$  or  $\mu$ ) final states (36/fb)



All public results can be found @ <https://twiki.cern.ch/twiki/bin/view/AtlasPublic>



# $W' \rightarrow e\nu, W' \rightarrow \mu\nu$



## Theoretical motivation:

- Look for straightforward extensions of the SM with new gauge bosons:
  - predicted in LRSM, in the little Higgs model ...
  - Conceptually, these particles are heavier versions of the SM W and Z bosons.
  - Generically referred to as  $W'$  and  $Z'$  bosons.
- ATLAS uses the Sequential Standard Model (SSM) : predicts a  $W'$  boson with couplings to fermions that are identical to the SM.**

## Backgrounds

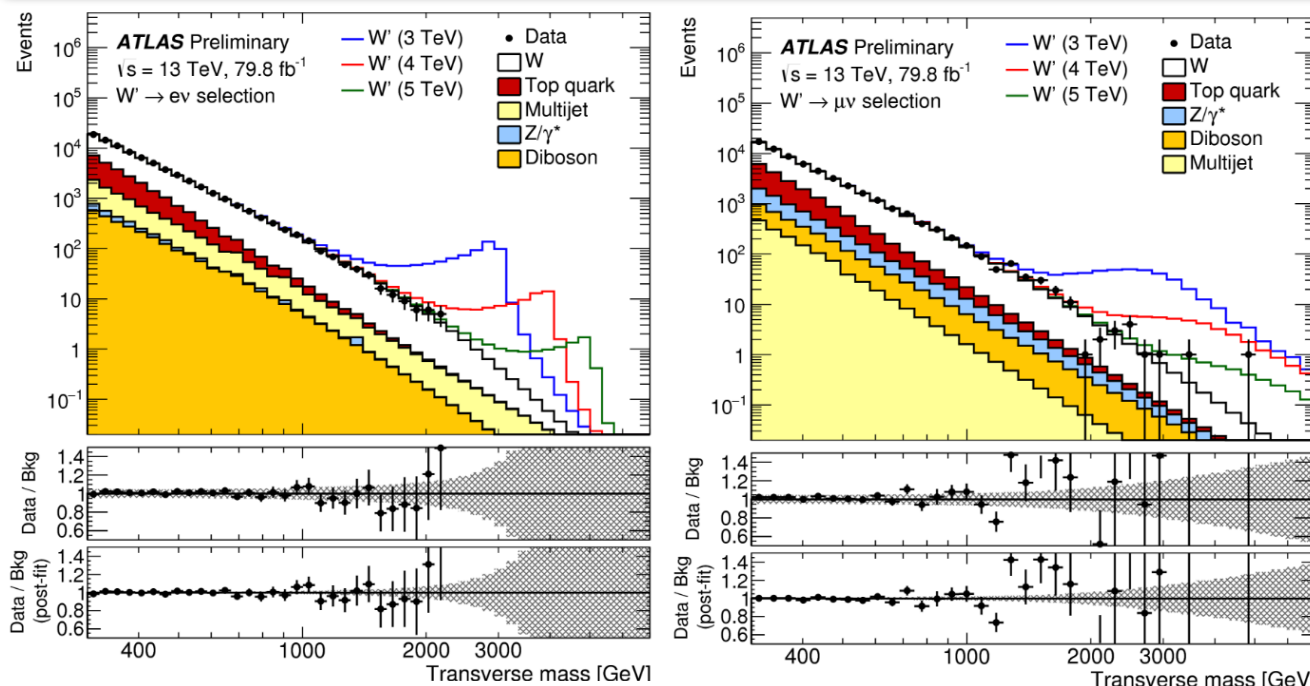
- Off-shell  $W \rightarrow l\nu$
- Top pair
- Multi-jet (reducible)
- Fake leptons**

## Signal extraction:

- Fit on  $m_T(l, \nu)$  for  $m_T(l, \nu) > 300$  GeV

## Experimental Signature is a hard lepton + MET

- Single e/ $\mu$  trigger
- lepton  $p_T > 60(e), 55(\mu)$  GeV and MET  $> 60(e), 55(\mu)$  GeV
- Careful selection on muons to guarantee a controlled resolution at high  $p_T$



$$m_T = \sqrt{2p_T E_T^{\text{miss}} (1 - \cos \phi_{l\nu})}$$

$$W' \rightarrow e\nu, W' \rightarrow \mu\nu$$

- **Production rate exclusion in  $300 < M < 6000$  GeV**

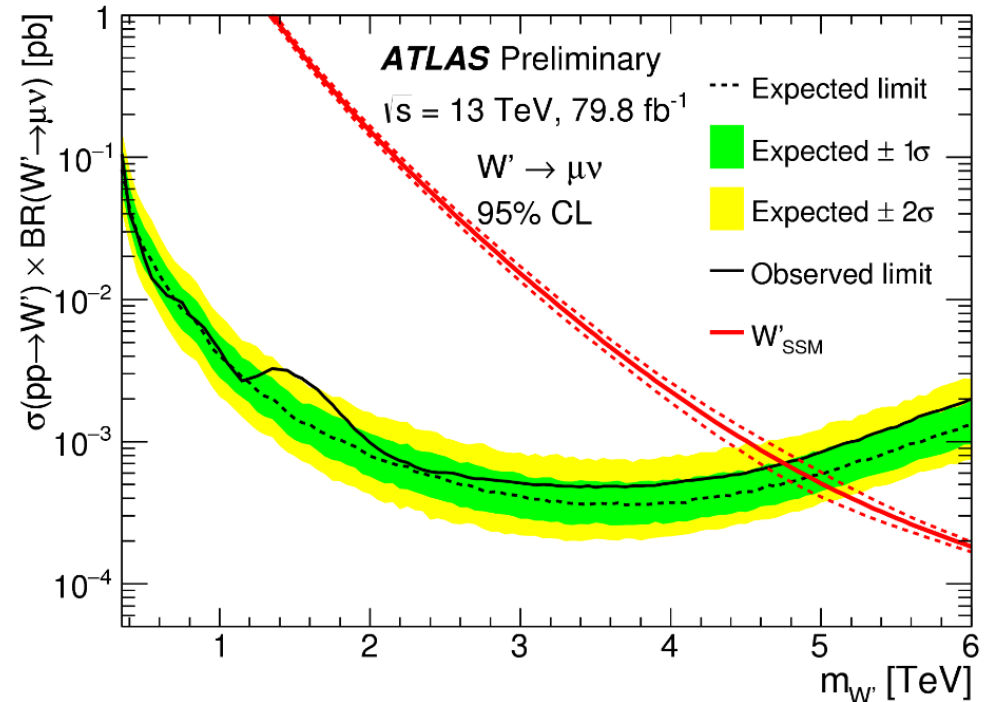
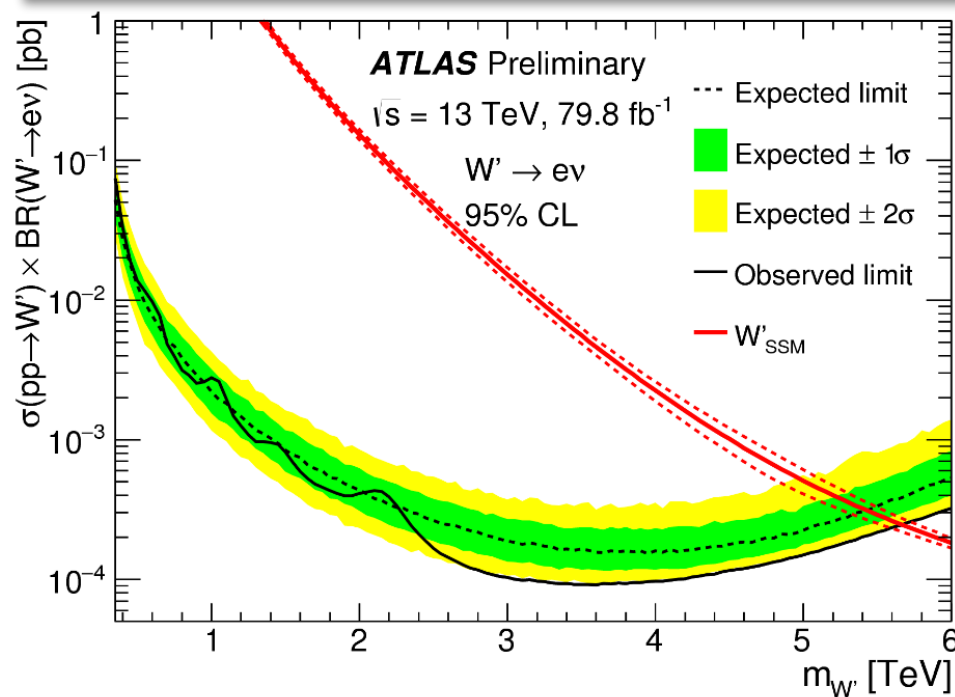
- $\sigma \times \text{BF} < 10^{-1} - 9 \times 10^{-5}$  pb (e $\nu$ )
- $\sigma \times \text{BF} < 10^{-1} - 4 \times 10^{-4}$  pb ( $\mu\nu$ )

- **SSM  $W'$  mass exclusion**

- $M_{W'} < 5.7$  TeV (e $\nu$ )
- $M_{W'} < 4.8$  TeV ( $\mu\nu$ )

Decay	$m_{W'}$ lower limit [TeV]	
	Expected	Observed
$W' \rightarrow e\nu$	5.4	5.7
$W' \rightarrow \mu\nu$	4.9	4.8
$W' \rightarrow \ell\nu$	5.5	5.6

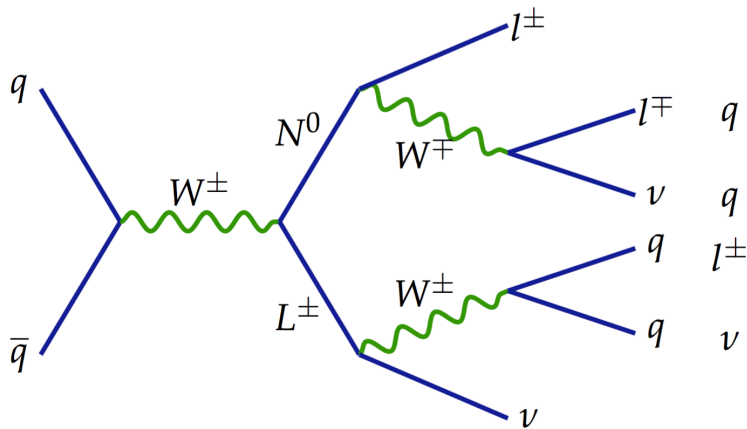
... bottom line, no excess observed.  
Pushing the exclusion towards 6 TeV!



# Heavy lepton (Type III seesaw)

## Theoretical motivation:

- Explanation of very light neutrino masses.
- SU(2) symmetry giving a heavy fermion triplet:  
 $L^\pm$  (heavy Dirac charged leptons) and  $N^0$  (heavy Majorana neutrinos):
  - Couple to leptons and Higgs(es), neutrino masses occur via the seesaw mechanism.



Signal signature: final state lepton pair with

•

Experimental Signature: dilepton (OS/SS) + jets + Missing  $E_T$

## Analysis strategy:

- Focusing on the dilepton final state with charged current interactions.
- $L^\pm$  and  $N^0$  couples to e,  $\mu$ ,  $\tau$  equally.
- Main selections:
  - Dilepton trigger (ee, e $\mu$ )
  - Single lepton trigger ( $\mu\mu$ )
  - 2 leptons (ee, e $\mu$ ,  $\mu\mu$ ) with OS/SS with  $M_{ll} > 110$  GeV
  - 2 jets with  $M_{jj}$  consistent to W mass in  $\pm 20$  GeV
  - Missing  $E_T$  (MET) significance  $> 10$  (OS), 7.5 (SS)

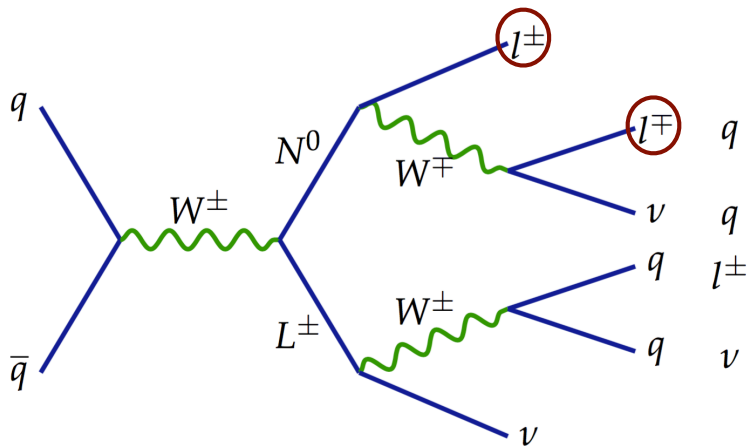
## Leading backgrounds

- OS: Top pair, Di-boson+jets
- SS: Di-boson+jets, Fake leptons, **electron charge flip**

# Heavy lepton (Type III seesaw)

## Theoretical motivation:

- Explanation of very light neutrino masses.
- SU(2) symmetry giving a heavy fermion triplet:  
 $L^\pm$  (heavy Dirac charged leptons) and  $N^0$  (heavy Majorana neutrinos):
  - Couple to leptons and Higgs(es), neutrino masses occur via the seesaw mechanism.



Signal signature: final state lepton pair with

- Opposite-sign charge
- 

Experimental Signature: dilepton (OS/SS) + jets + Missing  $E_T$

## Analysis strategy:

- Focusing on the dilepton final state with charged current interactions.
- $L^\pm$  and  $N^0$  couples to  $e, \mu, \tau$  equally
- Main selections:
  - Dilepton trigger ( $ee, e\mu$ )  
Single lepton trigger ( $\mu\mu$ )
  - 2 leptons ( $ee, e\mu, \mu\mu$ ) with OS/SS with  $M_{ll} > 110$  GeV
  - 2 jets with  $M_{jj}$  consistent to W mass in  $\pm 20$  GeV
  - Missing  $E_T$  (MET) significance  $> 10$  (OS), 7.5 (SS)

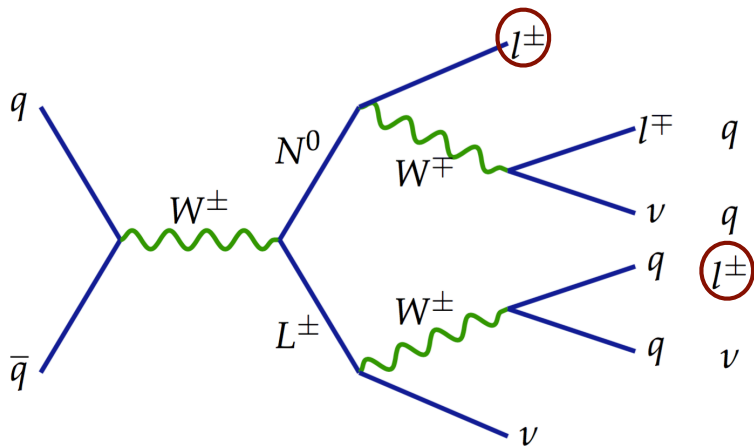
## Leading backgrounds

- OS: Top pair, Di-boson+jets
- SS: Di-boson+jets, Fake leptons, **electron charge flip**

# Heavy lepton (Type III seesaw)

## Theoretical motivation:

- Explanation of very light neutrino masses.
- SU(2) symmetry giving a heavy fermion triplet:  
 $L^\pm$  (heavy Dirac charged leptons) and  $N^0$  (heavy Majorana neutrinos):
  - Couple to leptons and Higgs(es), neutrino masses occur via the seesaw mechanism.



Signal signature: final state lepton pair with

- Opposite-sign charge
- Same-sign charge

Experimental Signature: dilepton (OS/SS) + jets + Missing  $E_T$

Analysis strategy:

- Focusing on the dilepton final state with charged current interactions.
- $L^\pm$  and  $N^0$  couples to  $e, \mu, \tau$  equally
- Main selections:
  - Dilepton trigger ( $ee, e\mu$ )  
Single lepton trigger ( $\mu\mu$ )
  - 2 leptons ( $ee, e\mu, \mu\mu$ ) with OS/SS with  $M_{ll} > 110$  GeV
  - 2 jets with  $M_{jj}$  consistent to W mass in  $\pm 20$  GeV
  - Missing  $E_T$  (MET) significance  $> 10$  (OS), 7.5 (SS)

Leading backgrounds

- OS: Top pair, Di-boson+jets
- SS: Di-boson+jets, Fake leptons, **electron charge flip**

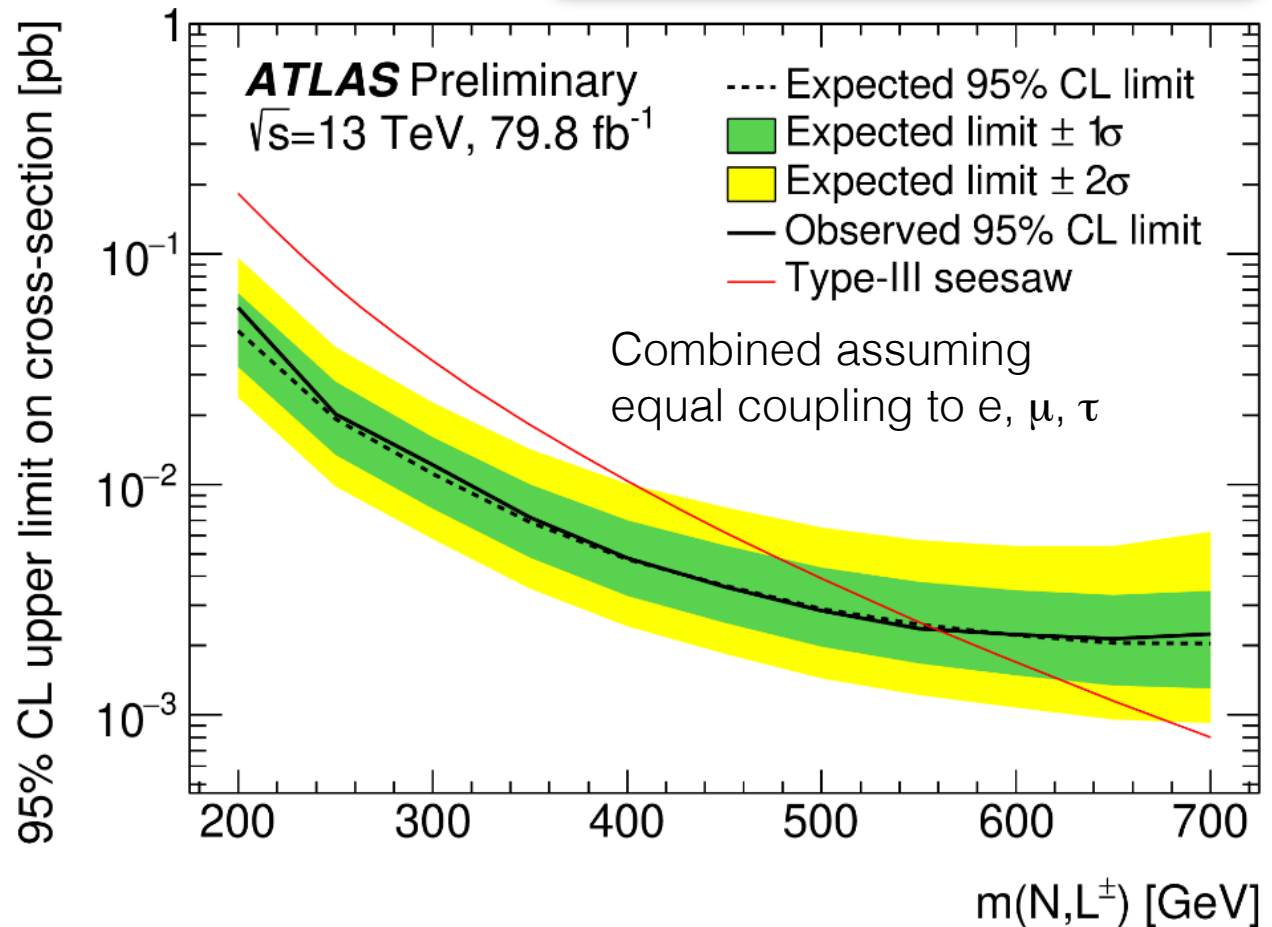
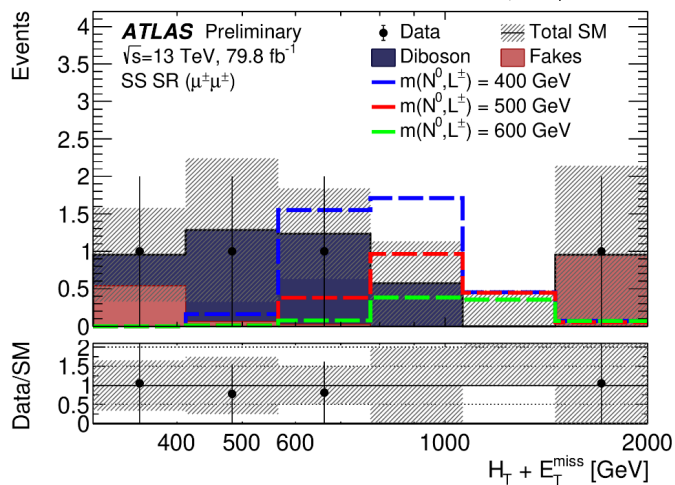
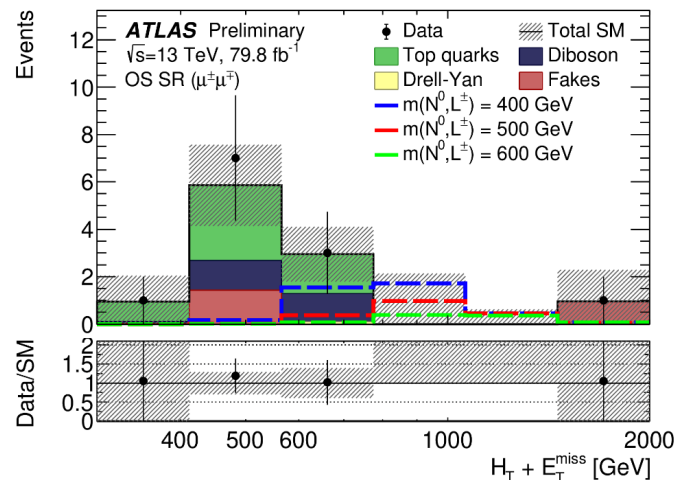
# Heavy lepton (Type III seesaw)

- Signal exclusion up to 560 GeV
- Upper limit on cross-section  $\sigma \sim 100 - 2 \text{ fb}$  for 200-700 GeV

Signal extraction:

- Simultaneous fit on  $H_T + \text{MET}$

... bottom line, no excess observed.  
Pushing the exclusion upwards.

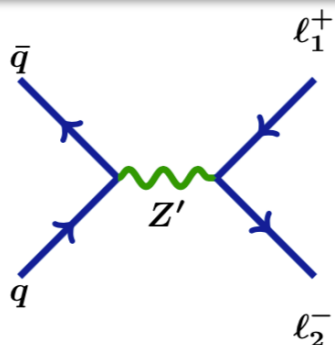




# LFV $Z'$ resonance

Theoretical motivation:

- Additional U(1) gauge symmetry:  $Z' \rightarrow e\mu, e\tau, \mu\tau$
- Quantum black holes: RS ( $n=1$  extra dimension) or ADD ( $n=6$ ): giving LFV QBH  $\rightarrow l l'$
- R-parity violating SUSY



LFV  $e\mu, e\tau, \mu\tau$  high mass resonance search

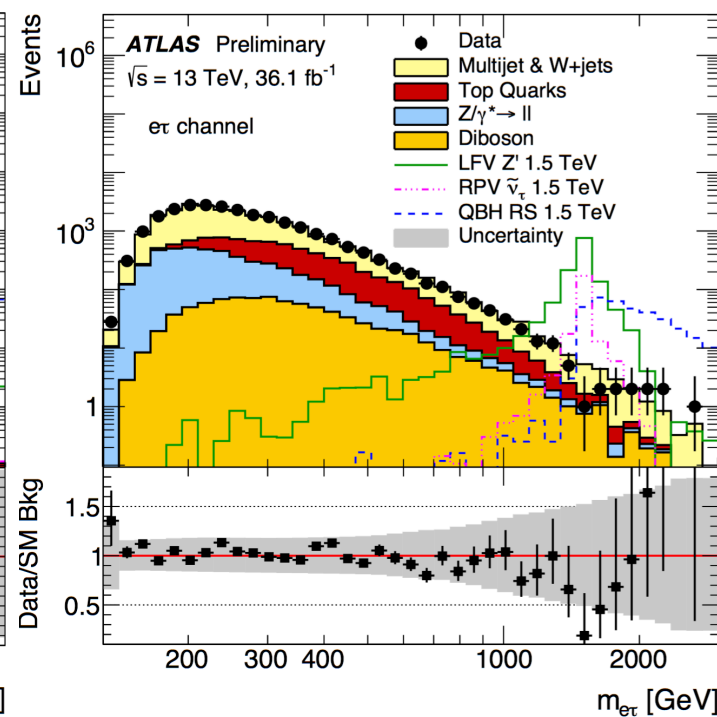
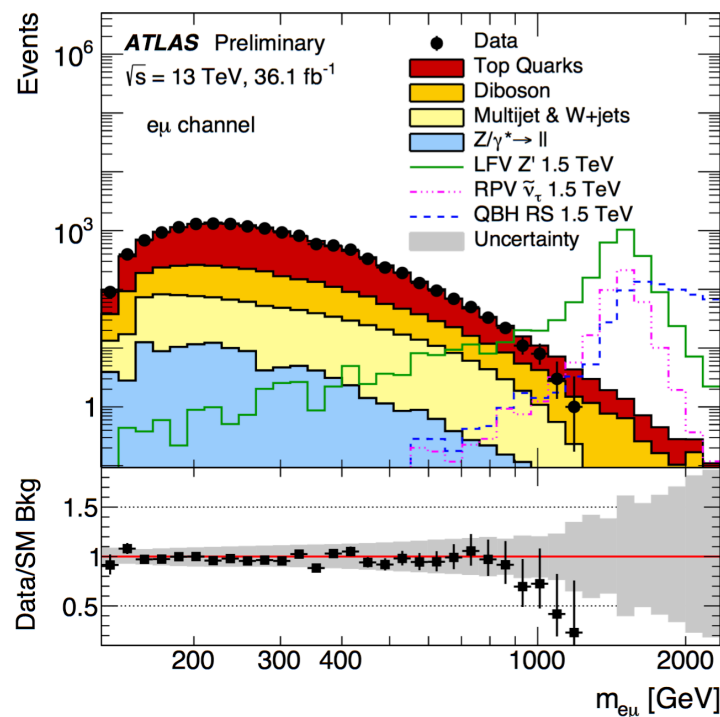
- Single  $e/\mu$  trigger without isolation
- Different flavor leptons  $p_T > 65$  GeV

Backgrounds

- $e\mu$ : top pair
- $e\tau, \mu\tau$ : fake  $\tau$
- $W$ +jets
- multi-jet

Signal extraction:

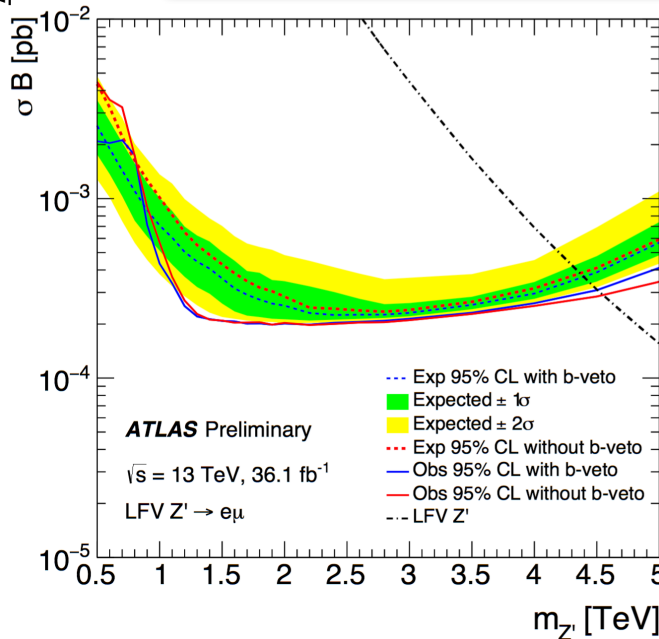
- Fit on  $M_{ll'}$



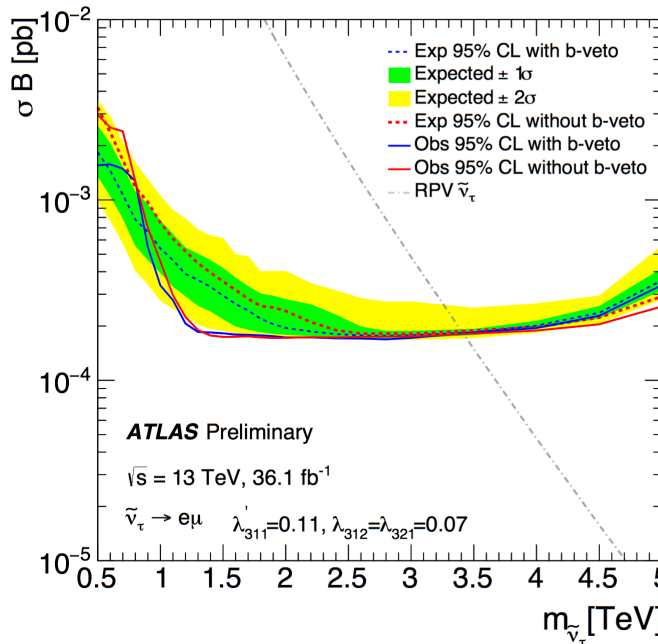
# LFV $Z'$ resonance

Model-dependent lower mass limits derived for the three classes of new physics models:

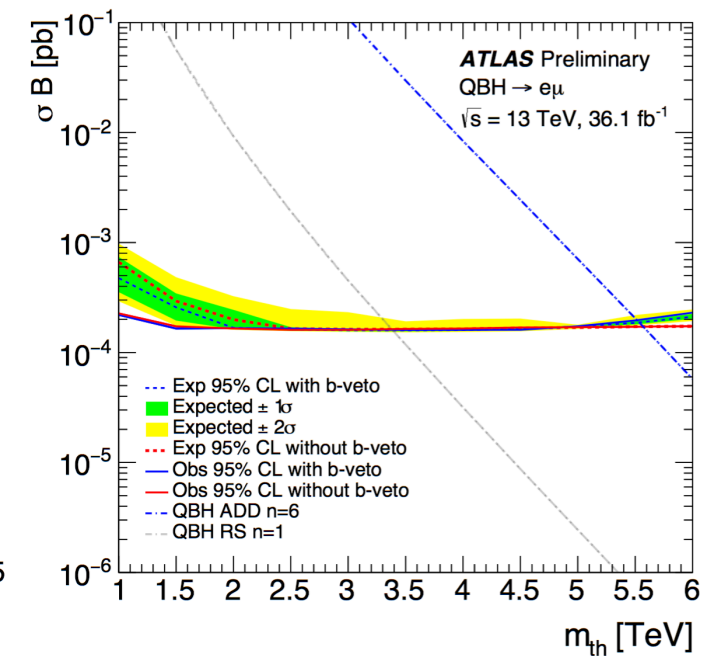
- $Z'$  with an LFV coupling
- RPV scalar neutrino
- and QBH production tested



4.5 ( $e\mu$ ), 3.7( $e\tau$ ), 3.5 ( $\mu\tau$ ) TeV



3.4 ( $e\mu$ ), 2.9( $e\tau$ ), 2.6 ( $\mu\tau$ ) TeV



5.5 ( $e\mu$ ), 4.9( $e\tau$ ), 4.5 ( $\mu\tau$ ) TeV

3.4 ( $e\mu$ ), 2.9( $e\tau$ ), 2.6 ( $\mu\tau$ ) TeV

... no excess observed. Giving mass limits at 2.6-5.5 TeV scale!



# $X \rightarrow WZ$ resonance

## Theoretical motivation:

- Use Parameterized Lagrangians with a heavy vector triplet (HVT) for a generic vector resonance search:
  - The benchmark model used in ATLAS assumes resonance couples to gauge bosons ( $W' \rightarrow WZ$ ). Can vary suppression of couplings to fermions (models A/B for VBF).**
- The Georgi–Machacek model (GM) is used as a benchmark for a singly charged scalar resonance.
  - Assuming a light fermiophobic fiveplet  $H_5$  ( $H^{++}, H^+, H^0, H^-, H^{--}$ ) coupling to gauge bosons ( $H_5 \rightarrow WZ$  produced through VBF).**

## Backgrounds

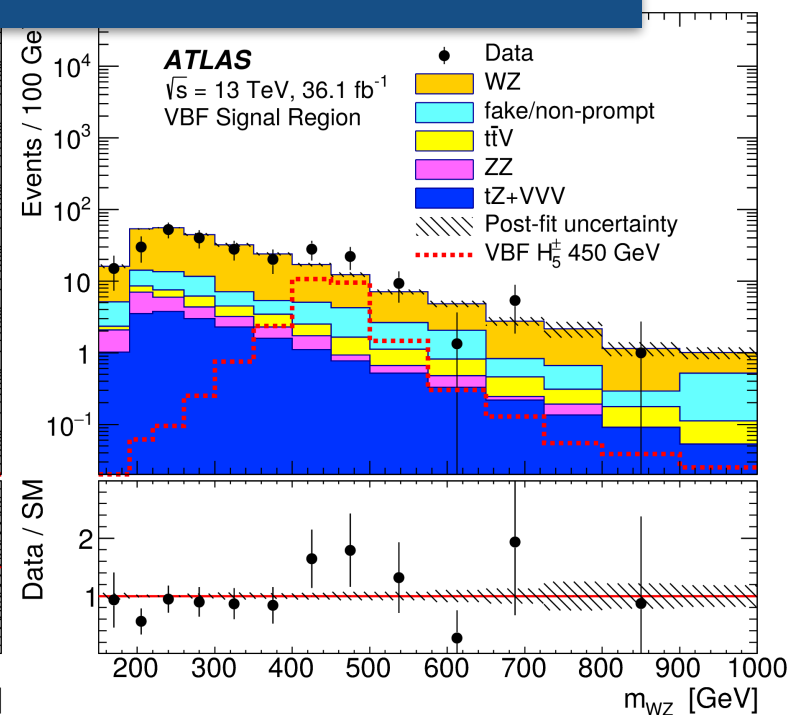
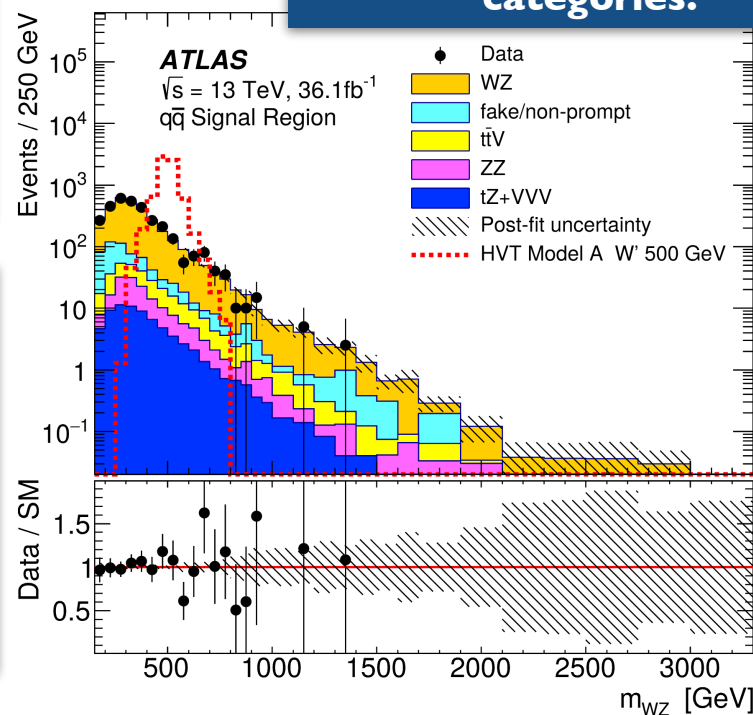
- multi-boson ( $VV, VVV$ )
- single  $t$ ,  $tt$ ,  $ttV$  ...
- fake backgrounds:
  - $Z$ +jets,  $Z$ + $\gamma$
  - $W$ +jets, multi-jet

## Signal extraction:

- Constrained fit on  $M_{WZ}$ .
- $M_{WZ}$  derived from lepton momenta and MET.

## $WZ \rightarrow l\nu ll$ ( $l = e$ or $\mu$ ) high mass resonance search:

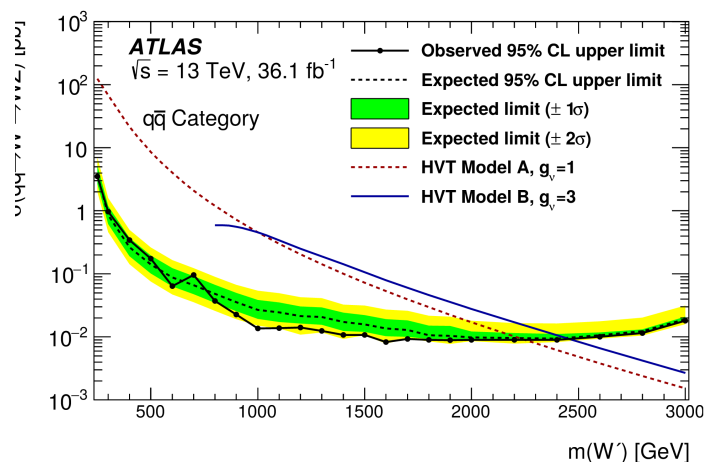
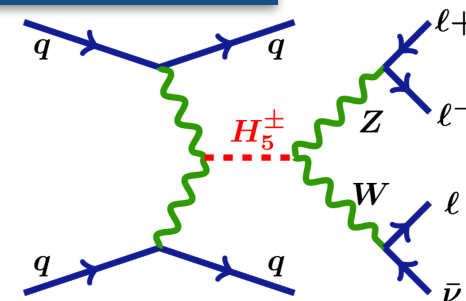
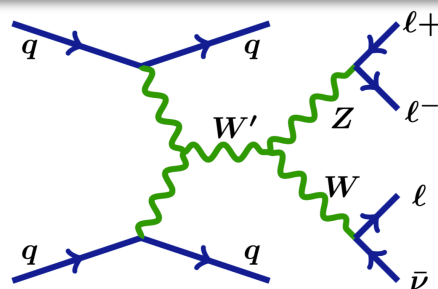
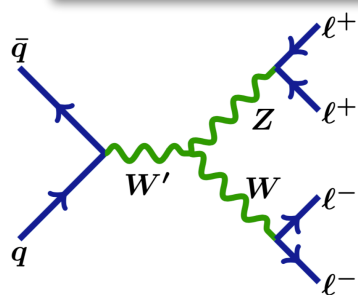
- Single  $e/\mu$  trigger.
- Three leptons with Lepton  $p_T > 27$  GeV.
- Two OS SF leptons  $|m_{ll} - m_Z| < 20$  GeV.
- Special selections for VBF and qq categories.**



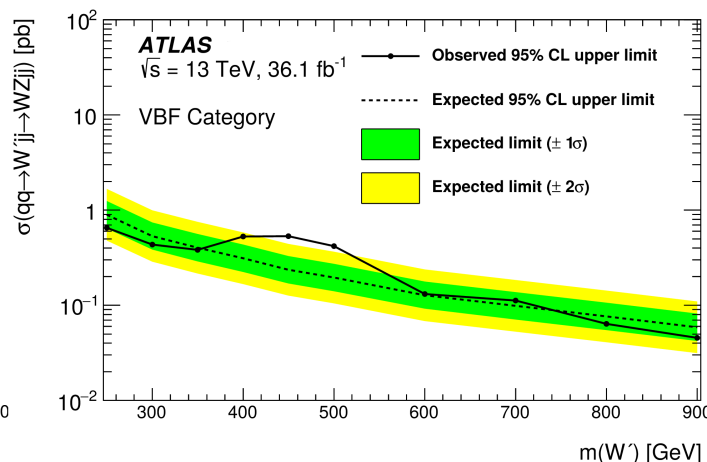
# $X \rightarrow WZ$ resonance

Model-dependent lower mass limits derived for three new physics models:

- $W'$  in Heavy Vector Triplet benchmark Model A (Model B) with coupling constant  $g_v = 1$  ( $g_v = 3$ ) in qq and VBF categories.
- Fermion coupling suppressed in the VBF category ( $c_F = 0$ ).
- $H_5$  in the Georgi–Machacek model in the VBF category.

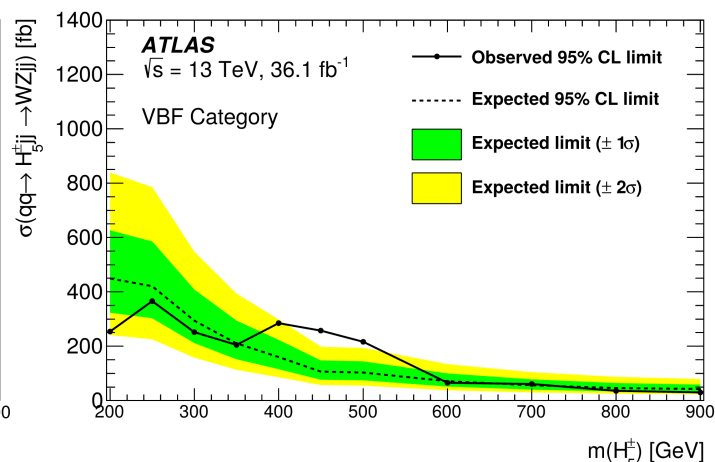


qq category: 2260 (2460) GeV  
for  $g_v = 1$  ( $g_v = 3$ )



95% CL upper limits  $W'$  VBF

significance 2.9 (local) and 1.6  
(global, LEE)



95% CL upper limits  $H_5$  VBF

significance 3.1 (local) and 1.9  
(global, LEE)

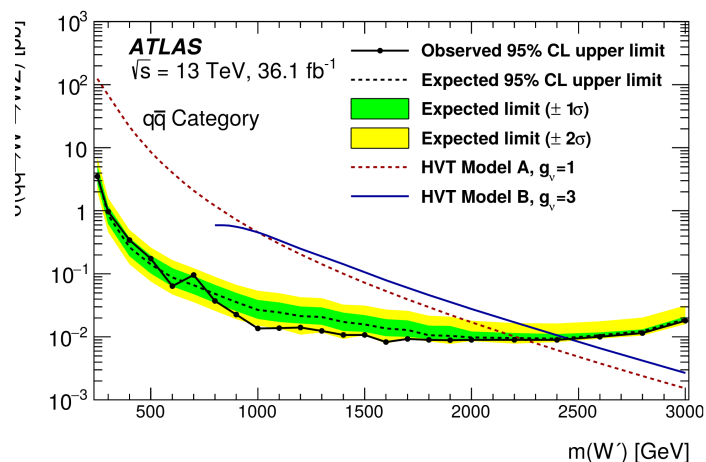
A local excess visible @ 450 GeV in VBF category

# $X \rightarrow WZ$ resonance

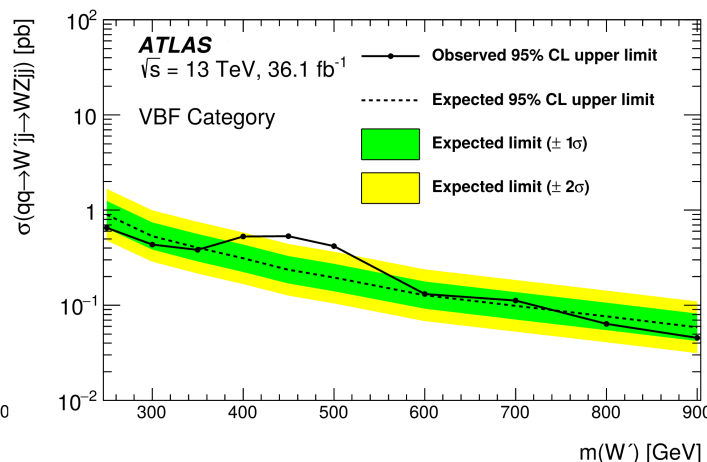
Model-dependent lower mass limits derived for three new physics models:

- $W'$  in Heavy Vector Triplet benchmark Model A (Model B) with coupling constant  $g_v = 1$  ( $g_v = 3$ ) in qq and VBF categories.

More on charged Higgs boson searches in the MSSM in the talk by Lluïsa-Maria Mir

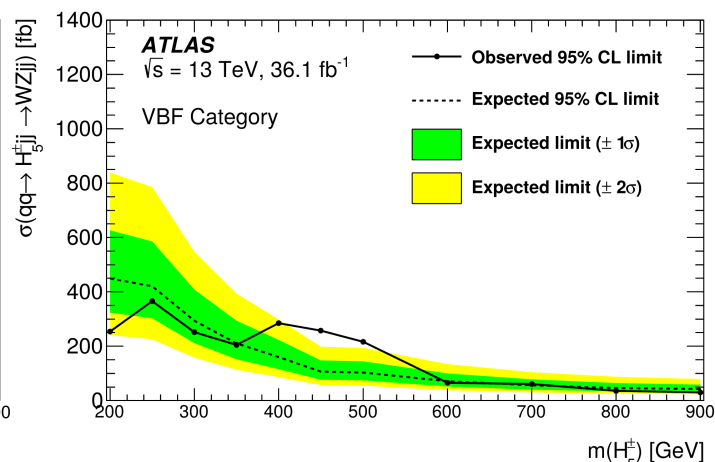


qq category: 2260 (2460) GeV  
for  $g_v = 1$  ( $g_v = 3$ )



95% CL upper limits  $W'$  VBF

significance 2.9 (local) and 1.6  
(global, LEE)



95% CL upper limits  $H_5$  VBF

significance 3.1 (local) and 1.9  
(global, LEE)

A local excess visible @ 450 GeV in VBF category

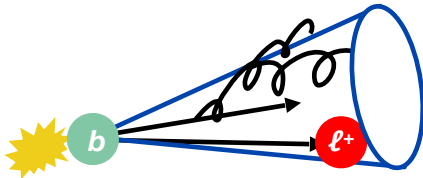
- **A rich program is being conducted searching for new physics in final states with leptons in the ATLAS collaboration:**
  - heavy resonance (vector boson and scalar) searches,
  - heavy lepton searches,
  - LFV signature searches,
  - and many more are coming!
- **The first results using the 2017 data were shown**
  - **Demonstrating readiness for the full Run-2 analyses!**
    - For fakes, charge flip, high  $p_T$  muons, high mass  $l$  and  $\nu$  modeling in the hard pileup condition in 2017.
- **Stay tuned for more results!**
  - No new physics is found yet...
  - ... but we are only half way through our data and full of new ideas!

# Backup

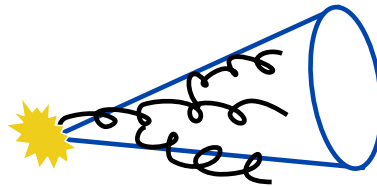
---

# Estimation of the fake background

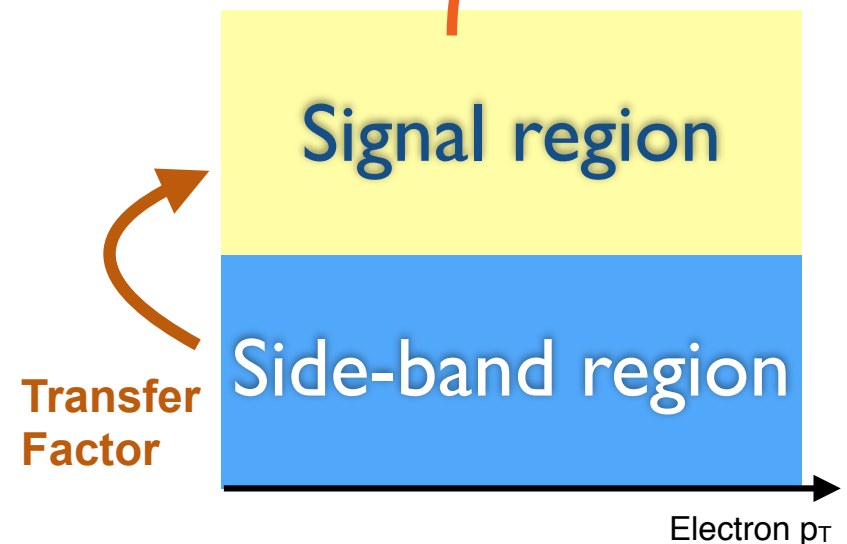
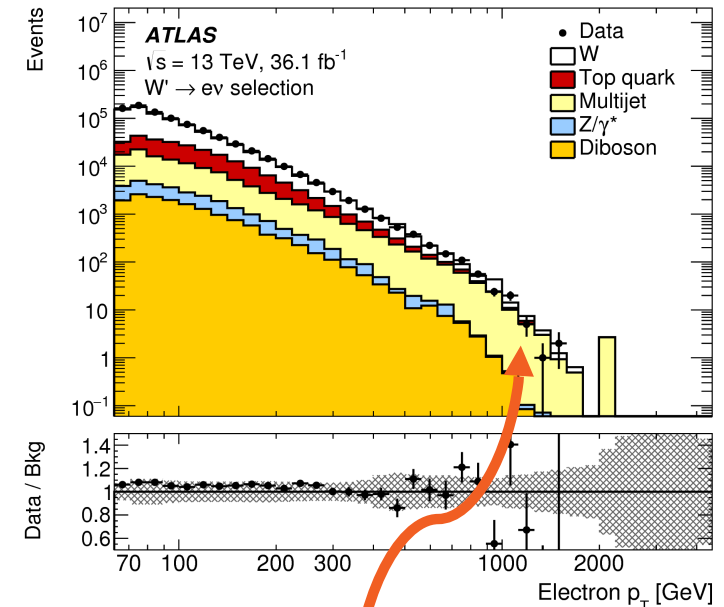
## Non-prompt leptons



## Fake leptons

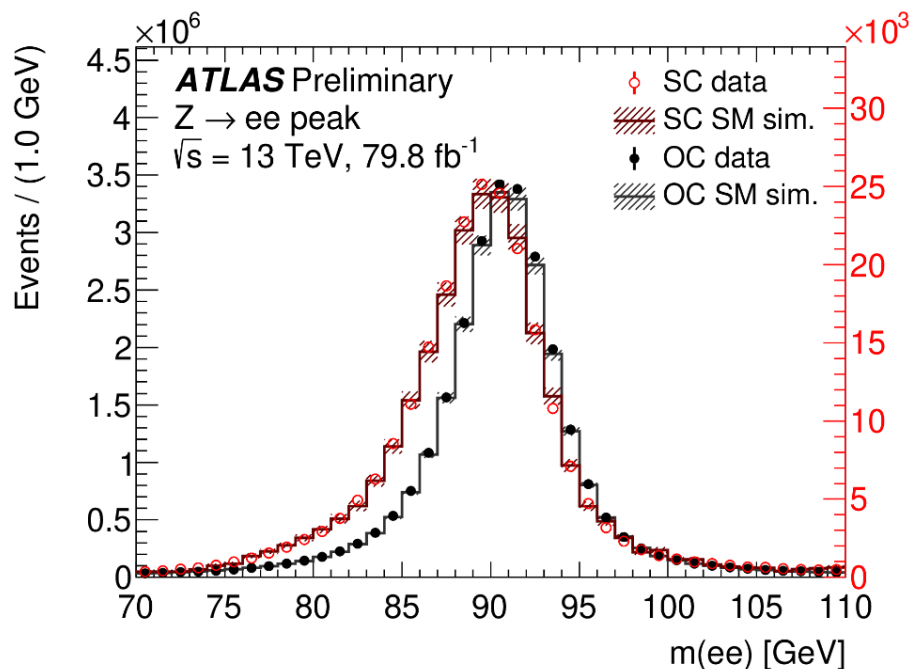


- The **simulation of hadronization** (jet production) has **large uncertainties**.
  - Very often, a **data-driven approach** is used.
- The most advanced data-driven techniques are the “**matrix method**” and the “**fake factor method**”.
  - These are **mathematically equivalent methods** with small differences under the hood.
  - Used in  $W'$ ,  $Z'$ ,  $H^{++}$ , type III seesaw, .... searches.**
- Side-band regions in data** are designed by requiring at least one of the leptons to **fail** the analysis requirement (**identification, isolation,  $\sigma(d_0)$** ).
- Transfer factors** measured from the data are used to predict (extrapolate) the number of fakes in the signal region.



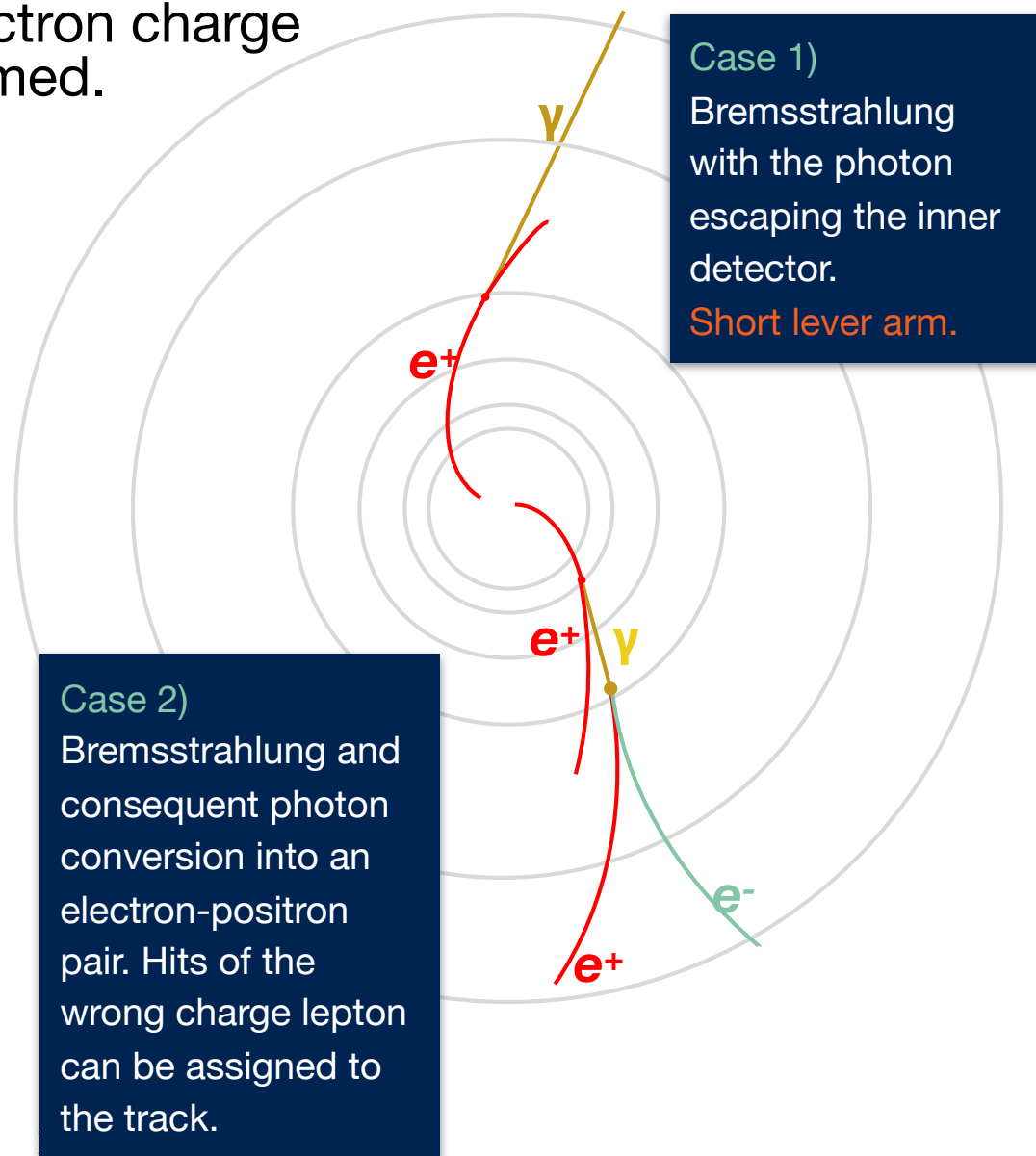
# Electron charge misidentification

- In type III seesaw analysis the same-sign charge final state is a distinct signature.
- Calibration and validation of electron charge misid. modeling in MC is performed.



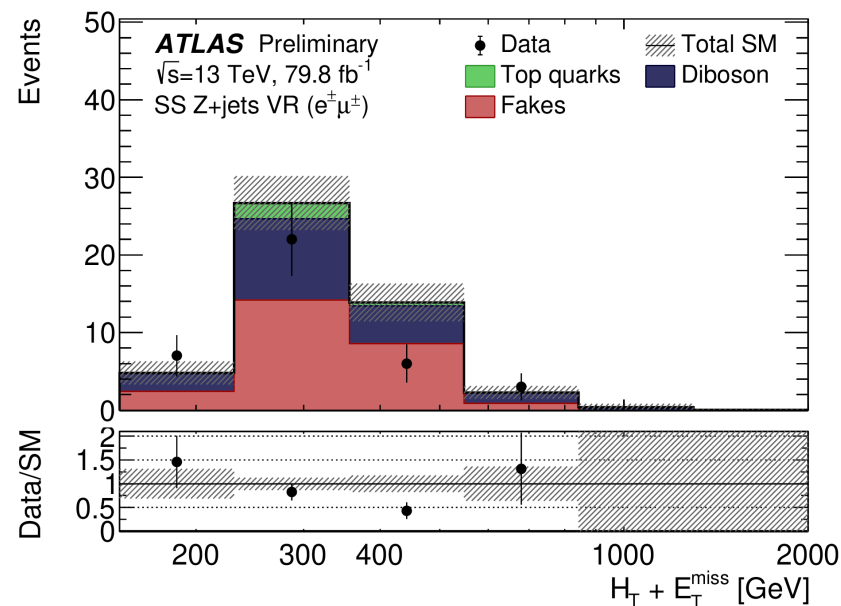
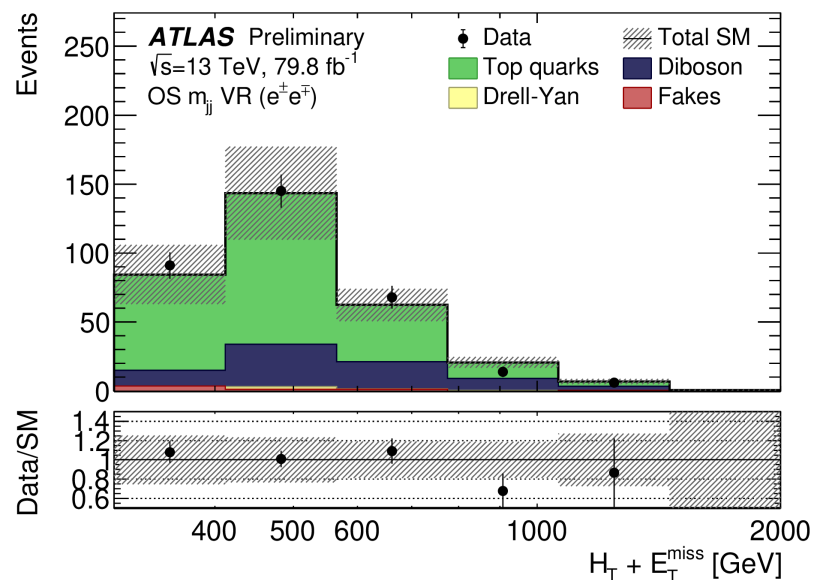
At typical energies ( $p_T < 1 \text{ TeV}$ ) charge misID is caused predominantly by bremsstrahlung.

The probability is about 1% at the  $Z$  peak.





# Heavy lepton (Type III seesaw)



Normalization of leading backgrounds in dedicated control regions

- Top pairs (CR with b-tag)
- SS: diboson+jets ( $M_{jj}$  sideband)

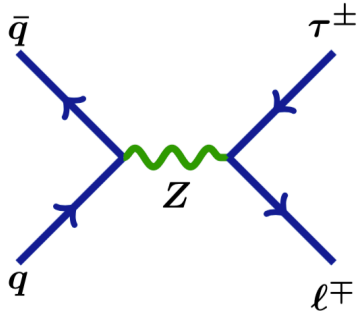
Detector related backgrounds especially important in same-sign charge final state:

- validation of data-driven fake estimation

Signal regions	OS $ee$	OS $e\mu$	OS $\mu\mu$	SS $ee$	SS $e\mu$	SS $\mu\mu$
Observed events	11	13	12	13	19	4
Total background	$9.9 \pm 3.2$	$15.7 \pm 2.7$	$10.7 \pm 3.7$	$12.5 \pm 4.4$	$13.4 \pm 2.8$	$5.0 \pm 1.9$
Top quarks	$6.1 \pm 2.7$	$9.1 \pm 2.5$	$5.7 \pm 2.9$	$3.1 \pm 1.5$	$2.3 \pm 2.7$	
Diboson	$3.2 \pm 0.9$	$4.3 \pm 1.2$	$2.5 \pm 1.5$	$5.7 \pm 1.5$	$9.5 \pm 1.9$	$3.4 \pm 1.3$
Drell-Yan	$0.2 \pm 0.2$	$< 0.001$	$0.1 \pm 1.0$	$0.1 \pm 0.1$	$0.1 \pm 0.1$	$< 0.001$
Fakes	$0.5 \pm 2.0$	$2.3 \pm 1.5$	$2.4 \pm 1.3$	$3.6 \pm 4.8$	$1.4 \pm 1.7$	$1.6 \pm 1.6$



# LFV Z boson decay



Theoretical motivation:

- Lepton-flavor-violating (LFV) Z boson decays are predicted by models with:
  - heavy neutrinos,
  - extended gauge models and
  - supersymmetry (among others).
- The most stringent bounds on such decays with a  $\tau$ -lepton in the final state are set by the LEP experiments.

LFV search for  $Z (91 \text{ GeV}) \rightarrow e\tau, \mu\tau$  decay

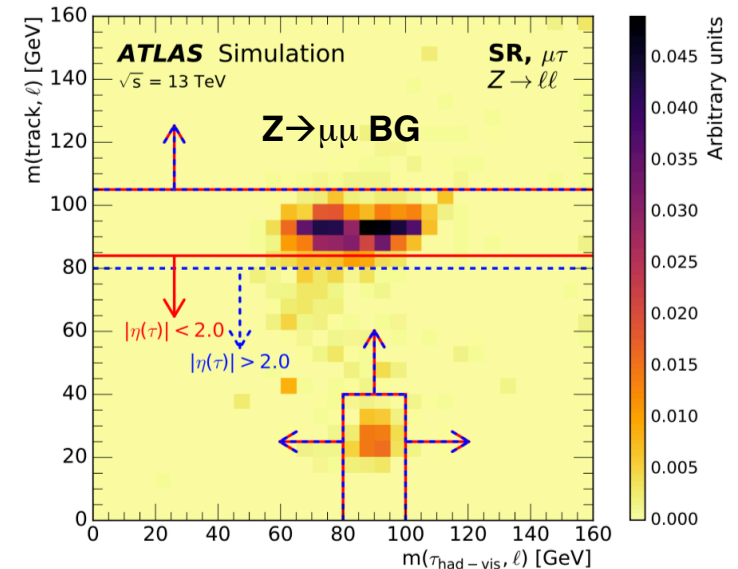
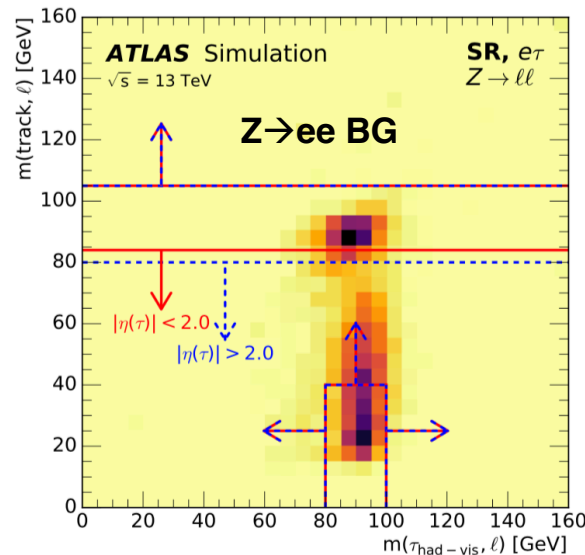
- Single  $e/\mu$  trigger with isolation
- Exactly one  $e/\mu$  with  $p_T > 30 \text{ GeV}$
- At least hadronic  $\tau$  with visible  $p_T > 20 \text{ GeV}$

Backgrounds

- Careful veto for  $Z \rightarrow ee, \mu\mu$  backgrounds (unique challenge)
- Overlap removal between  $\tau$  and  $e/\mu$
- Z mass veto with  $M(\text{tau} \& e)$  and  $M(\text{track of tau} \& \mu)$ 
  - handles lepton inefficiency or uncovered regions

Signal efficiency:

- 3.2% for  $e\tau$
- 3.5% for  $\mu\tau$



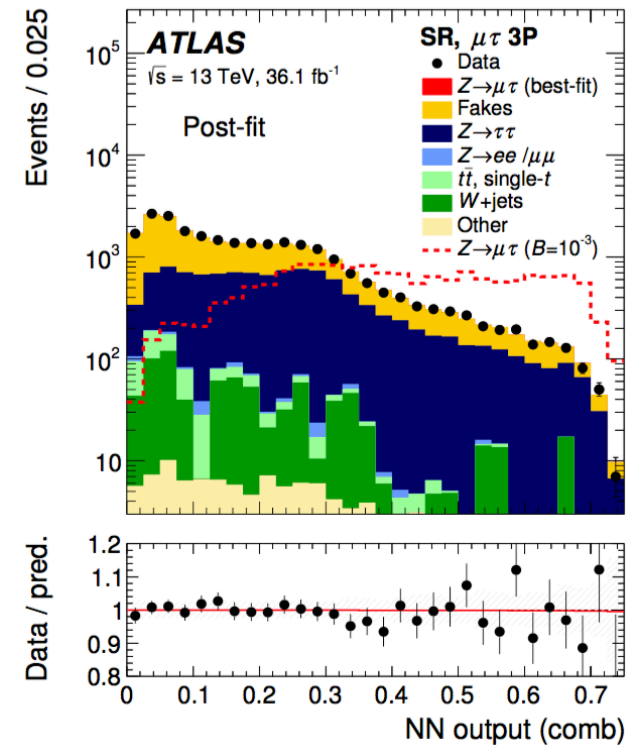
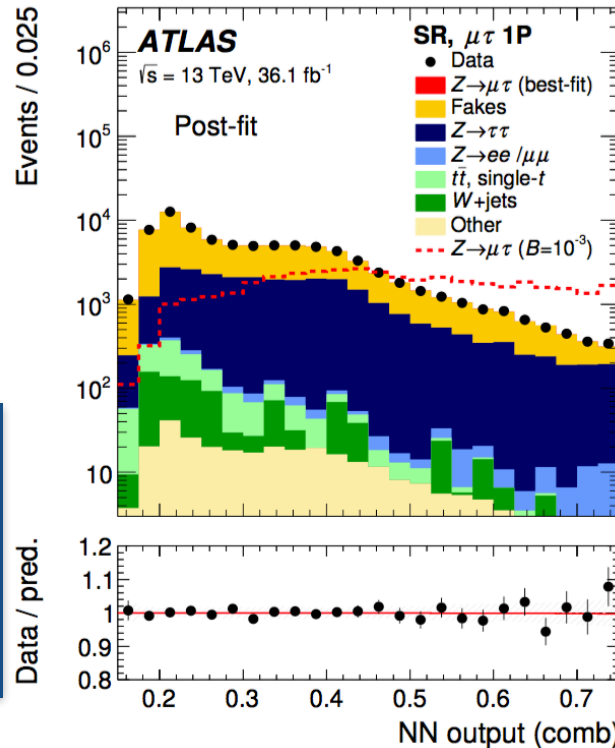
# LFV Z boson decay

## Backgrounds

- Fake tau backgrounds
- Multi-jet
- $W$ +jets
- $Z \rightarrow \tau\tau$

## Signal selection using a neural network:

- NN trained to classify:
  - signal vs  $Z \rightarrow \tau\tau$
  - signal vs  $W$ +jets
  - signal vs  $Z \rightarrow ee/\mu\mu$



## Signal extraction:

- $Z \rightarrow \tau e, Z \rightarrow \mu\tau$  signals extracted by a fit to NN distribution

	$e\tau$	$\mu\tau$
$\mathcal{B}(Z \rightarrow \ell\tau)$	$(3.3^{+1.5}_{-1.4}) \times 10^{-5}$	$(-0.1^{+1.2}_{-1.2}) \times 10^{-5}$
Observed (expected) upper limit at 95% CL	$5.8(2.8) \times 10^{-5}$	$2.4(2.4) \times 10^{-5}$

Run1 combination

$$\mathcal{B}(Z \rightarrow \mu\tau) < 1.3 \times 10^{-5}$$

(compatible with LEP)

... bottom line, no deviation  
observed.