

Higgs masses and couplings in a general 2HDM with unitarity bounds

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- The Standard Model (SM)
- The Standard Model plus two singlets (SM2S)
- The two-Higgs-doublet model (2HDM)
- The two-Higgs-doublet model plus one singlet (2HDM1S)
- Summary

Conditions

- Unitarity and bounded-from-below (BFB) conditions on the scalar potential on each model (These conditions are applied for the scalar doublets where only one of them has VEV)
- The experimental bound on the oblique parameter T ($-0.04 < T < 0.2$)
- The (approximate) bound $\cos(\theta) > 0.9$ on the h_1 component of the scalar doublet with nonzero VEV so that $h_1 W^+ W^-$ coupling is within 10% of its SM value

The Standard Model predicts h_1 to be a scalar and predicts its cubic and quartic couplings g_3 and g_4 which we define through $\mathcal{L} = \dots - g_3 (h_1)^3 - g_4 (h_1)^4$

The SM has only one scalar doublet

$$\phi_1 = \begin{pmatrix} G^+ \\ v + (H + iG^0)/\sqrt{2} \end{pmatrix}$$

The scalar potential is

$$V = \mu_1 \phi_1^\dagger \phi_1 + \frac{\lambda_1}{2} (\phi_1^\dagger \phi_1)^2$$

Therefore, in the unitarity gauge where Goldstone bosons do not exist

$$V = -\frac{\lambda_1 v^4}{2} + \lambda_1 v^2 H^2 + \frac{\lambda_1 v}{\sqrt{2}} H^3 + \frac{\lambda_1}{8} H^4$$

The second term indicates that the squared mass of the observed scalar is given by $M_1 = 2\lambda_1 v^2$

Therefore

$$\begin{aligned} V &= -\frac{M_1 v^2}{4} + \frac{M_1}{2} h_1^2 + \frac{M_1}{2\sqrt{2}v} h_1^3 + \frac{M_1}{16v^2} h_1^4 \\ &= \dots + g_3 h_1^3 + g_4 h_1^4. \end{aligned}$$

Then couplings

$$\begin{aligned} g_3 = \frac{M_1}{2\sqrt{2}v} &= 31.7 \text{ GeV}, & \text{with } M_1 &= (125 \text{ GeV})^2 \\ g_4 = \frac{M_1}{16v^2} &= 0.0323. & v &= 174 \text{ GeV} \end{aligned}$$

We consider the SM with the addition of two real $SU(2) \times U(1)$ -invariant scalar fields S_1 and S_2

The scalar potential is

$$\begin{aligned} V &= V_2 + V_4, \\ V_2 &= \mu_1 \phi_1^\dagger \phi_1 + m_1^2 S_1^2 + m_2^2 S_2^2, \\ V_4 &= \frac{\lambda_1}{2} \left(\phi_1^\dagger \phi_1 \right)^2 + \frac{\psi_1}{2} S_1^4 + \frac{\psi_2}{2} S_2^4 + \psi_3 S_1^2 S_2^2 + \phi_1^\dagger \phi_1 (\xi_1 S_1^2 + \xi_2 S_2^2). \end{aligned}$$

Unitarity we follow closely the method of M. P. Bento *et al.* [[arXiv:0711.4022](#)]

In order to derive the unitarity conditions one must write the scattering matrices for pairs of one incoming state and one outgoing state with the same Q (electric charge) and T_3 (third component of weak isospin)

The unitarity conditions are following: the eigenvalues of all the scattering matrices should be smaller, in modulus, than 4π . Thus, in our case

$$\begin{aligned} |\lambda_1| &< 4\pi, & |\xi_2| &< 2\pi, \\ |\xi_1| &< 2\pi, & |\psi_3| &< \pi, \end{aligned} \quad \text{and the eigenvalues of } \begin{pmatrix} 6\psi_1 & 2\psi_3 & 2\xi_1 \\ 2\psi_3 & 6\psi_2 & 2\xi_2 \\ 2\xi_1 & 2\xi_2 & 3\lambda_1 \end{pmatrix} \text{ should be smaller, in modulus, than } 4\pi.$$

BFB we follow the method of K. Kannike [[arXiv:1205.3781](#)]

$$\begin{aligned} \lambda_1 &> 0, & a_1 &\equiv \xi_1 + \sqrt{\lambda_1 \psi_1} > 0, \\ \psi_1 &> 0, & a_2 &\equiv \xi_2 + \sqrt{\lambda_1 \psi_2} > 0, \\ \psi_2 &> 0, & a_3 &\equiv \psi_3 + \sqrt{\psi_1 \psi_2} > 0, \end{aligned}$$

$$\sqrt{\lambda_1 \psi_1 \psi_2} + \xi_1 \sqrt{\psi_2} + \xi_2 \sqrt{\psi_1} + \psi_3 \sqrt{\lambda_1} + \sqrt{2a_1 a_2 a_3} > 0.$$

In the unitarity gauge, together with
on obtains

$$\begin{aligned} S_1 &= w_1 + \sigma_1 \\ S_2 &= w_2 + \sigma_2 \end{aligned}$$

VEV of S_1 is w_1 and VEV of S_2 is w_2

$$V = -\frac{\lambda_1}{2} v^4 - \frac{\psi_1}{2} w_1^4 - \frac{\psi_2}{2} w_2^4 - \psi_3 w_1^2 w_2^2 - v^2 (\xi_1 w_1^2 + \xi_2 w_2^2)$$

where

$$+ \frac{1}{2} \begin{pmatrix} H & \sigma_1 & \sigma_2 \end{pmatrix} M \begin{pmatrix} H \\ \sigma_1 \\ \sigma_2 \end{pmatrix} \quad M = 2 \begin{pmatrix} \lambda_1 v^2 & \sqrt{2} \xi_1 v w_1 & \sqrt{2} \xi_2 v w_2 \\ \sqrt{2} \xi_1 v w_1 & 2\psi_1 w_1^2 & 2\psi_3 w_1 w_2 \\ \sqrt{2} \xi_2 v w_2 & 2\psi_3 w_1 w_2 & 2\psi_2 w_2^2 \end{pmatrix}$$

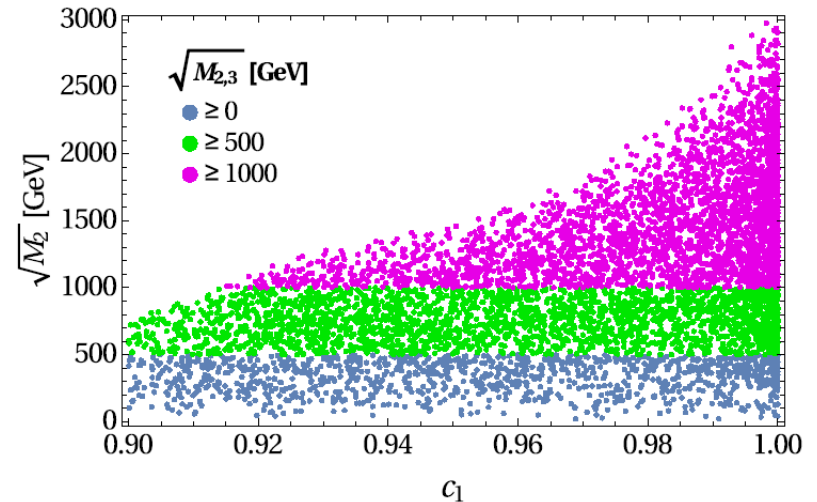
$$\begin{aligned} &+ \frac{\lambda_1 v}{\sqrt{2}} H^3 + 2\psi_1 w_1 \sigma_1^3 + 2\psi_2 w_2 \sigma_2^3 \\ &+ \xi_1 H \sigma_1 (\sqrt{2} v \sigma_1 + w_1 H) + \xi_2 H \sigma_2 (\sqrt{2} v \sigma_2 + w_2 H) \\ &+ 2\psi_3 \sigma_1 \sigma_2 (w_1 \sigma_2 + w_2 \sigma_1) \\ &+ \frac{\lambda_1}{8} H^4 + \frac{\psi_1}{2} \sigma_1^4 + \frac{\psi_2}{2} \sigma_2^4 + \frac{\xi_1}{2} H^2 \sigma_1^2 + \frac{\xi_2}{2} H^2 \sigma_2^2 + \psi_3 \sigma_1^2 \sigma_2^2 \end{aligned}$$

One diagonalizes the real symmetric matrix M as

$$M = R^T \text{diag}(M_1, M_2, M_3) R$$

where R is a 3 x 3 orthogonal matrix which may be
parameterized as

$$R = \begin{pmatrix} c_1 & s_1 c_3 & s_1 s_3 \\ -s_1 c_2 & c_1 c_2 c_3 + s_2 s_3 & c_1 c_2 s_3 - s_2 c_3 \\ -s_1 s_2 & c_1 s_2 c_3 - c_2 s_3 & c_1 s_2 s_3 + c_2 c_3 \end{pmatrix}$$

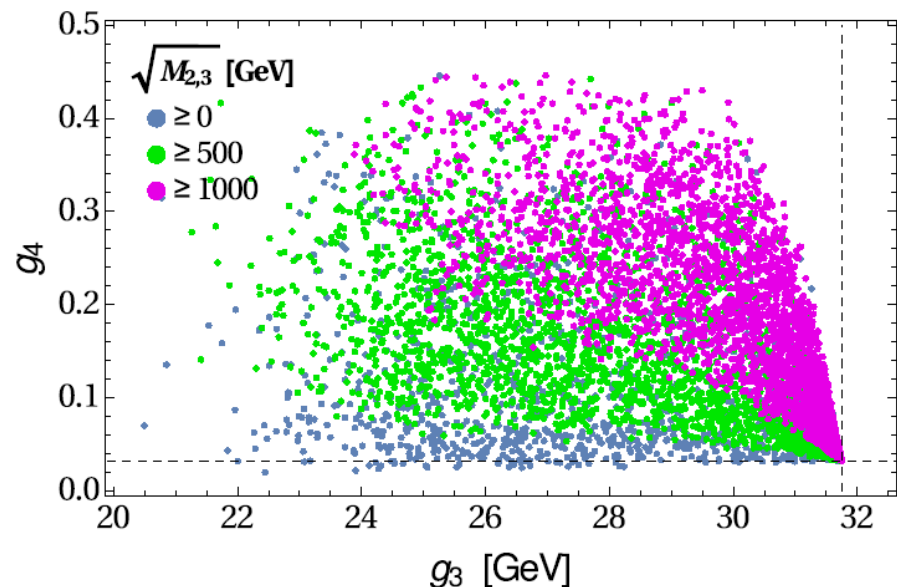


By collecting quantities in front of H^3 and H^4 we get expressions for cubic and quartic couplings

$$\begin{aligned}
 g_3 &= \frac{\lambda_1 v}{\sqrt{2}} c_1^3 + 2\psi_1 w_1 s_1^3 c_3^3 + 2\psi_2 w_2 s_1^3 s_3^3 \\
 &\quad + \xi_1 c_1 s_1 c_3 \left(\sqrt{2} v s_1 c_3 + w_1 c_1 \right) + \xi_2 c_1 s_1 s_3 \left(\sqrt{2} v s_1 s_3 + w_2 c_1 \right) \\
 &\quad + 2\psi_3 s_1^3 c_3 s_3 (w_1 s_3 + w_2 c_3) \\
 &= \frac{M_1}{2\sqrt{2}v} \left(c_1^3 + \frac{\sqrt{2}v}{w_1} s_1^3 c_3^3 + \frac{\sqrt{2}v}{w_2} s_1^3 s_3^3 \right),
 \end{aligned}$$

$$g_4 = \frac{\lambda_1}{8} c_1^4 + \frac{\psi_1}{2} s_1^4 c_3^4 + \frac{\psi_2}{2} s_1^4 s_3^4 + \frac{\xi_1}{2} c_1^2 s_1^2 c_3^2 + \frac{\xi_2}{2} c_1^2 s_1^2 s_3^2 + \psi_3 s_1^4 c_3^2 s_3^2.$$

- g_3 is always below its SM value and positive
- g_4 is almost always above its SM value and positive
- g_3 remains in the same order of magnitude as in SM
- g_4 may easily be 10 or even 15 times larger than in the SM



2HDM

We consider the model with two scalar gauge- $SU(2)$ doublets φ_1 and φ_2 having the same weak hypercharge

The terms of scalar potential is

$$V_2 = \mu_1 \phi_1^\dagger \phi_1 + \mu_2 \phi_2^\dagger \phi_2 + \left(\mu_3 \phi_1^\dagger \phi_2 + \text{H.c.} \right),$$

$$V_4 = \frac{\lambda_1}{2} \left(\phi_1^\dagger \phi_1 \right)^2 + \frac{\lambda_2}{2} \left(\phi_2^\dagger \phi_2 \right)^2 + \lambda_3 \phi_1^\dagger \phi_1 \phi_2^\dagger \phi_2 + \lambda_4 \phi_1^\dagger \phi_2 \phi_2^\dagger \phi_1$$

$$+ \left[\frac{\lambda_5}{2} \left(\phi_1^\dagger \phi_2 \right)^2 + \lambda_6 \phi_1^\dagger \phi_1 \phi_1^\dagger \phi_2 + \lambda_7 \phi_2^\dagger \phi_2 \phi_1^\dagger \phi_2 + \text{H.c.} \right]$$

Unitarity as for SM2S case we derive unitarity conditions from scattering matrices for which eigenvalues should have moduli smaller than 4π . These conditions were first derived by S. Kanemura and K. Yagyu [[arXiv:1509.06060](#)]

In general, conditions do not have expressed form but for some individual parameters, the bounds

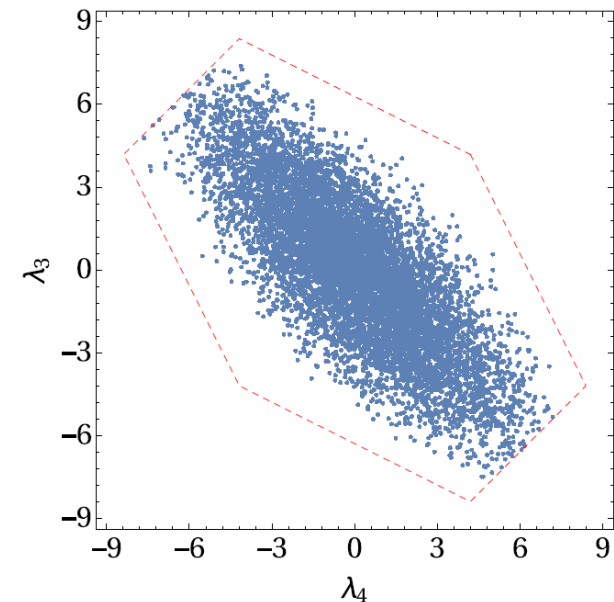
$$|\lambda_{1,2}| < \frac{4\pi}{3}, \quad |\lambda_5| < \frac{4\pi}{3}, \quad |\lambda_{6,7}| < \frac{2\sqrt{2}\pi}{3}$$

BFB necessary and sufficient conditions for the scalar potential of the 2HDM to be BFB were first derived by M. Maniatis *et al.* [[arXiv:1205.3781](#)]. I. Ivanov [[arXiv:1507.05100](#)] later produced other, equivalent conditions to the same effect. But full conditions exist only in algorithmic form.

Some necessary conditions can be expressed by inequalities

$$\lambda_1 > 0, \quad \lambda_2 > 0 \quad \lambda_3 > -\sqrt{\lambda_1 \lambda_2}, \quad \lambda_3 + \lambda_4 - |\lambda_5| > -\sqrt{\lambda_1 \lambda_2}$$

$$2|\lambda_6 + \lambda_7| < \frac{\lambda_1 + \lambda_2}{2} + \lambda_3 + \lambda_4 + |\lambda_5|.$$



2HDM

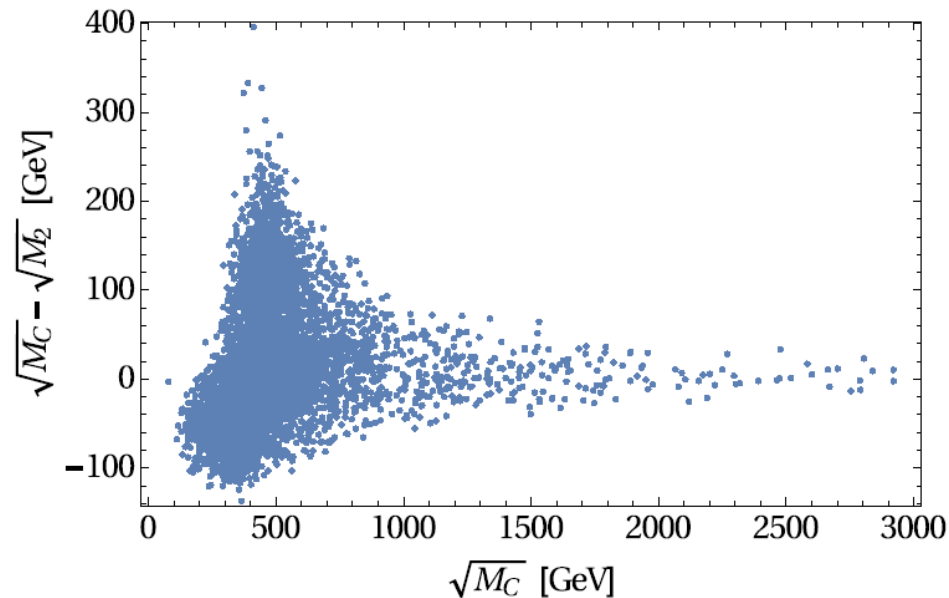
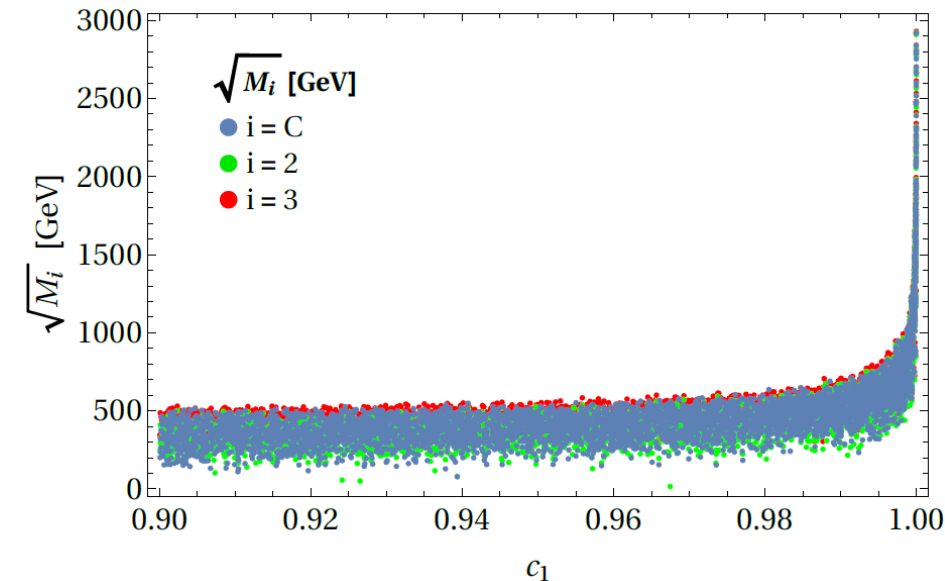
Now we have following mass matrix which is diagonalized with orthogonal matrix R like in SM2S case

$$M = \begin{pmatrix} 2\lambda_1 v^2 & 2v^2 \Re\lambda_6 & -2v^2 \Im\lambda_6 \\ 2v^2 \Re\lambda_6 & M_C + (\lambda_4 + \Re\lambda_5) v^2 & -v^2 \Im\lambda_5 \\ -2v^2 \Im\lambda_6 & -v^2 \Im\lambda_5 & M_C + (\lambda_4 - \Re\lambda_5) v^2 \end{pmatrix}$$

$$M = R^T \text{diag}(M_1, M_2, M_3) R$$

The charged-Higgs squared mass is expressed by $M_C = \mu_2 + \lambda_3 v^2$

- if $c_1 < 0.99$ then the masses of new scalars no larger than ~ 700 GeV
- if $c_1 < 0.95$ then the masses of new scalars no larger than ~ 500 GeV
- for small M_2 mass difference may be as large as 400 GeV
- for both masses larger than 1 TeV mass difference becomes smaller than 100 GeV



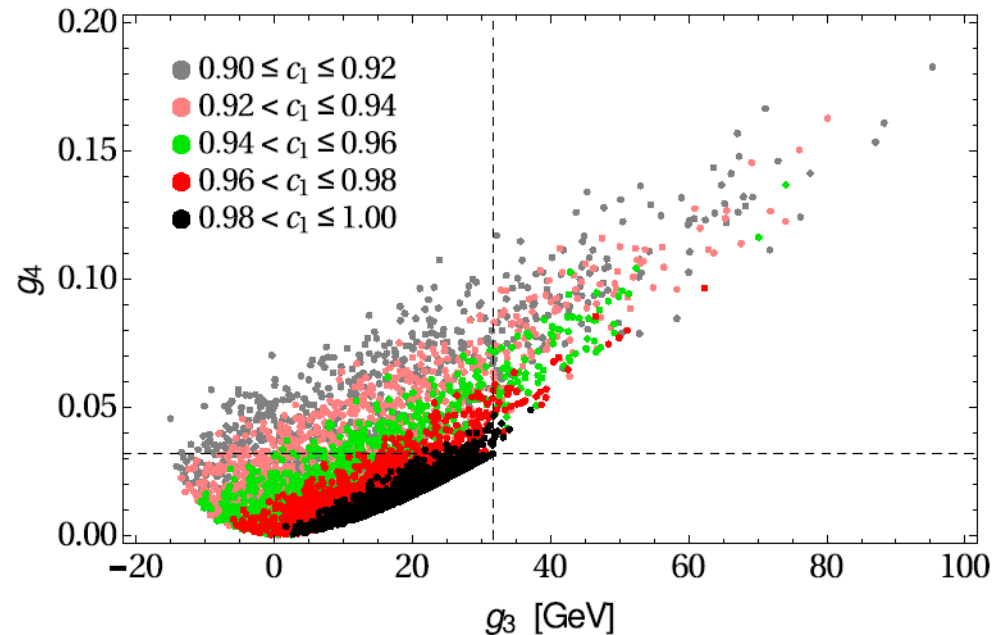
2HDM

By collecting quantities in front of H^3 and H^4 we get expressions for cubic and quartic couplings

$$g_3 = \frac{v}{\sqrt{2}} \left[\lambda_1 c_1^3 + (\lambda_3 + \lambda_4) s_1^2 c_1 + s_1^2 c_1 (c_3^2 - s_3^2) \Re \lambda_5 - 2 s_1^2 c_1 c_3 s_3 \Im \lambda_5 \right. \\ \left. + 3 s_1 c_1^2 (c_3 \Re \lambda_6 - s_3 \Im \lambda_6) + s_1^3 (c_3 \Re \lambda_7 - s_3 \Im \lambda_7) \right].$$

$$g_4 = \frac{\lambda_1 c_1^4}{8} + \frac{\lambda_2 s_1^4}{8} + \frac{(\lambda_3 + \lambda_4) c_1^2 s_1^2}{4} + \frac{s_1^2 c_1^2 (c_3^2 - s_3^2) \Re \lambda_5}{4} - \frac{s_1^2 c_1^2 c_3 s_3 \Im \lambda_5}{2} \\ + \frac{s_1 c_1^3 (c_3 \Re \lambda_6 - s_3 \Im \lambda_6)}{2} + \frac{s_1^3 c_1 (c_3 \Re \lambda_7 - s_3 \Im \lambda_7)}{2}.$$

- g_3 and g_4 is broadly correlated with each other
- g_3 may be zero or even negative
- g_4 is always positive
- g_3 may be up to three times larger than in the SM
- g_4 may be up to six times larger than in the SM



We consider the 2HDM with the addition of one real $SU(2) \times U(1)$ -invariant scalar field S

The quartic part of scalar potential is

$$V_4 = \frac{\lambda_1}{2} (\phi_1^\dagger \phi_1)^2 + \frac{\lambda_2}{2} (\phi_2^\dagger \phi_2)^2 + \lambda_3 \phi_1^\dagger \phi_1 \phi_2^\dagger \phi_2 + \lambda_4 \phi_1^\dagger \phi_2 \phi_2^\dagger \phi_1 \\ + \left[\frac{\lambda_5}{2} (\phi_1^\dagger \phi_2)^2 + \lambda_6 \phi_1^\dagger \phi_1 \phi_1^\dagger \phi_2 + \lambda_7 \phi_2^\dagger \phi_2 \phi_1^\dagger \phi_2 + \text{H.c.} \right] \\ + \frac{\psi}{2} S^4 \\ + S^2 \left(\xi_1 \phi_1^\dagger \phi_1 + \xi_2 \phi_2^\dagger \phi_2 + \xi_3 \phi_1^\dagger \phi_2 + \xi_3^* \phi_2^\dagger \phi_1 \right).$$

BFB we want V_4 to be positive for all possible values of $S^2, \phi_1^\dagger \phi_1, \phi_2^\dagger \phi_2, \phi_1^\dagger \phi_2$

sufficient conditions:

$$\xi_1 + \xi_2 > 0, \\ \xi_1 \xi_2 - |\xi_3|^2 > 0,$$

necessary conditions: $\phi_1^\dagger \phi_1 = \phi_2^\dagger \phi_2 = \phi_1^\dagger \phi_2 = 0 \implies \psi > 0,$

$$S^2 = 0 \implies \begin{matrix} \lambda_1 > 0, \\ \text{all 2HDM cond. and} \\ \lambda_2 > 0, \end{matrix}$$

$$A_1 \equiv \xi_1 + \sqrt{\lambda_1 \psi} > 0,$$

$$\phi_1^\dagger \phi_2 = 0 \implies A_2 \equiv \xi_2 + \sqrt{\lambda_2 \psi} > 0,$$

$$A_3 \equiv \lambda_3 + \sqrt{\lambda_1 \lambda_2} > 0,$$

$$\sqrt{\lambda_1 \lambda_2 \psi} + \xi_2 \sqrt{\lambda_1} + \xi_1 \sqrt{\lambda_2} + \lambda_3 \sqrt{\psi} + \sqrt{2 A_1 A_2 A_3} > 0.$$

If parameter set satisfy necessary conditions but does not meet sufficient conditions, we try to find absolute minimum of V_4 . If this minimum is positive, then the set of input parameters is good.

Unitarity there are same five scattering channels as in the 2HDM but one channel has additional scattering state S^2 .
For 2HDM1S all five scattering matrices must have moduli of eigenvalues smaller than 4π .

Now we have following mass matrix:

$$M = \begin{pmatrix} 2\lambda_1 v^2 & 2v^2 \Re\lambda_6 & -2v^2 \Im\lambda_6 & 2\sqrt{2}vw\xi_1 \\ 2v^2 \Re\lambda_6 & M_C + (\lambda_4 + \Re\lambda_5)v^2 & -v^2 \Im\lambda_5 & 2\sqrt{2}vw \Re\xi_3 \\ -2v^2 \Im\lambda_6 & -v^2 \Im\lambda_5 & M_C + (\lambda_4 - \Re\lambda_5)v^2 & -2\sqrt{2}vw \Im\xi_3 \\ 2\sqrt{2}vw\xi_1 & 2\sqrt{2}vw \Re\xi_3 & -2\sqrt{2}vw \Im\xi_3 & 4\psi w^2 \end{pmatrix}$$

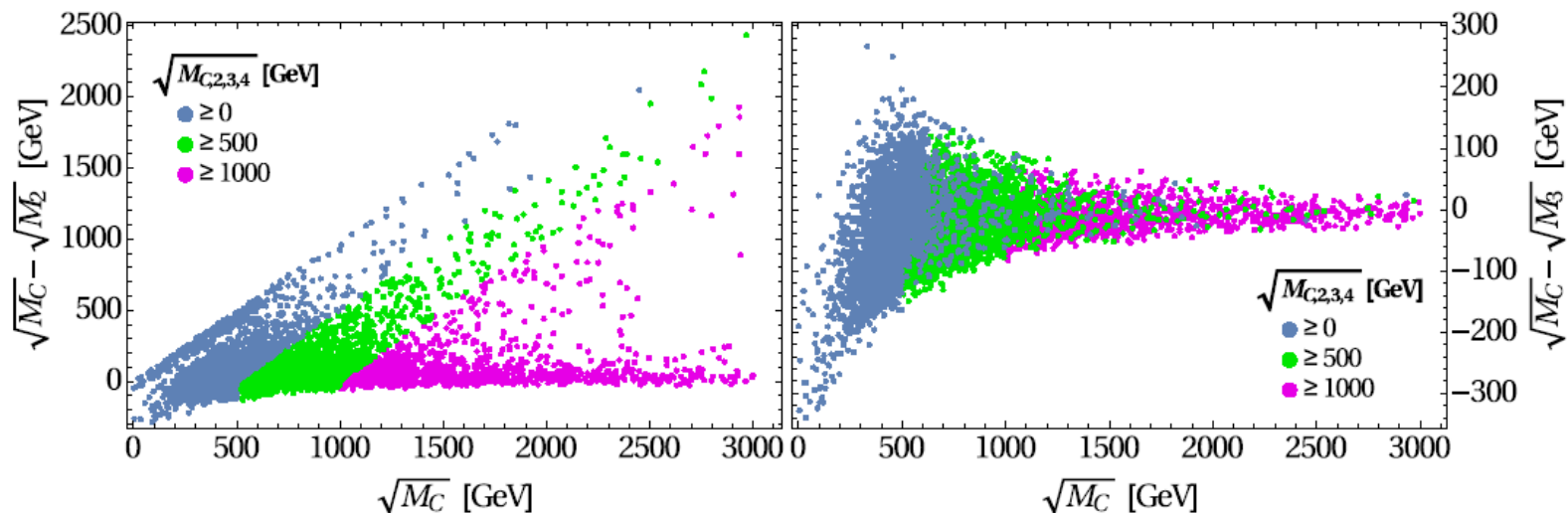
One diagonalizes the real symmetric matrix M as

$$M = R^T \text{diag}(M_1, M_2, M_3, M_4) R$$

Without lost of generality

where R is a 4 x 4 orthogonal matrix and we require $R_{11} \equiv c_1 > 0.9$

$$M_2 < M_3 < M_4$$



2HDM1S

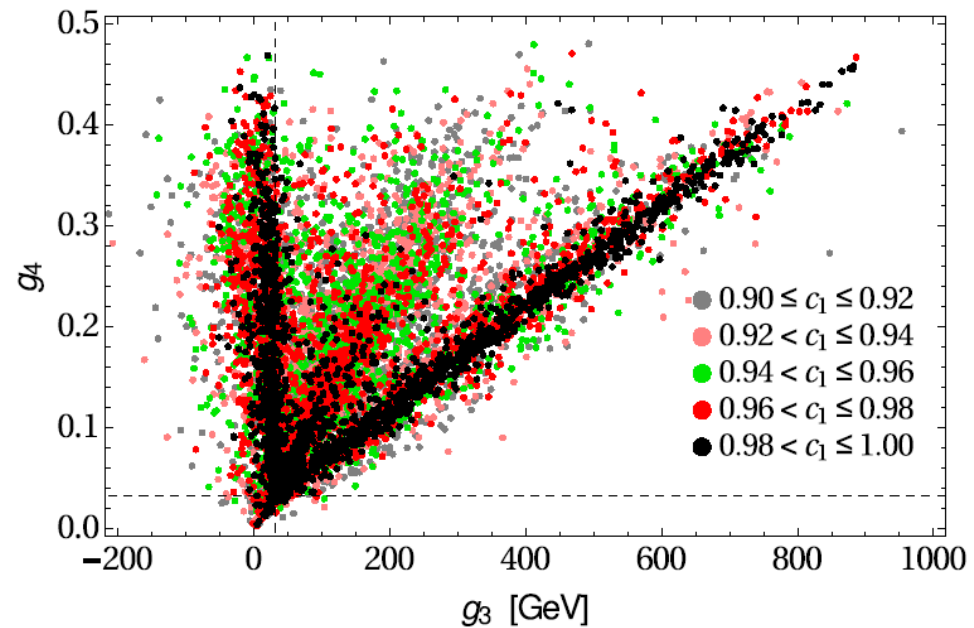
For 2HDM1S case we get quite large expressions for cubic and quartic couplings

$$g_3 = g_3 [\lambda_1, \dots, \lambda_7, \psi, \theta_1, \theta_2, \theta_3; R_{11}, \dots, R_{14}; v, w]$$

$$g_4 = g_4 [\lambda_1, \dots, \lambda_7, \psi, \theta_1, \theta_2, \theta_3; R_{11}, \dots, R_{14}]$$

where $v = 174$ GeV and w computed from the condition that $M_1 = (125 \text{ GeV})^2$ should be an eigenvalue of the matrix M

- g_3 and g_4 is broadly correlated with each other
 - g_3 may be zero or even negative
 - g_4 is always positive
 - g_3 may be up to 30 times larger than in the SM
 - g_4 may be up to 15 times larger than in the SM
-
- central direction in distribution of couplings is affected through 2HDM part in the potential V_4
 - other directions emerge due to influence of additional scalar



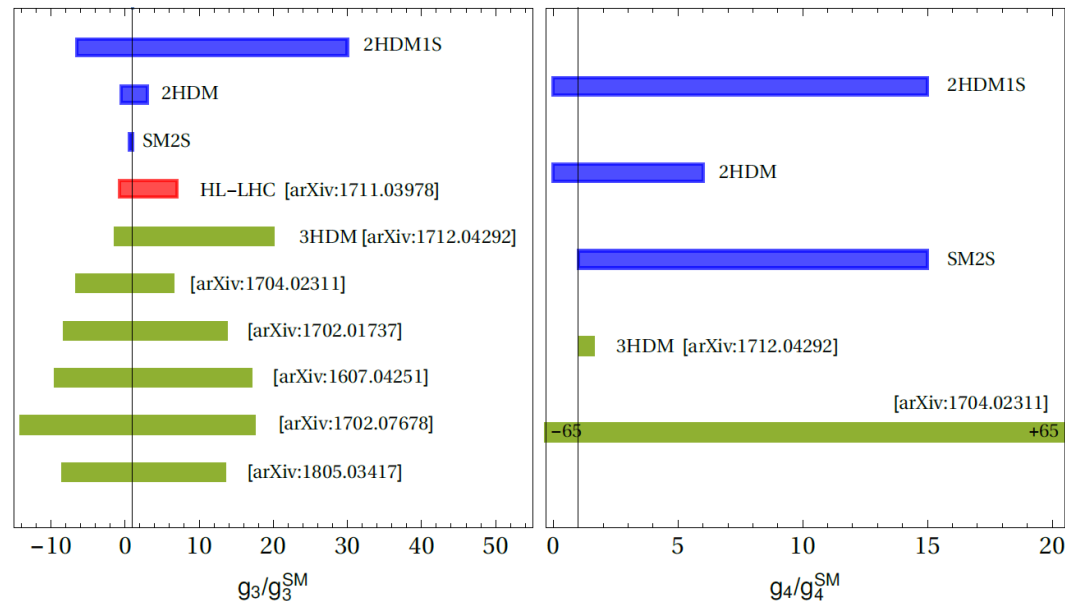
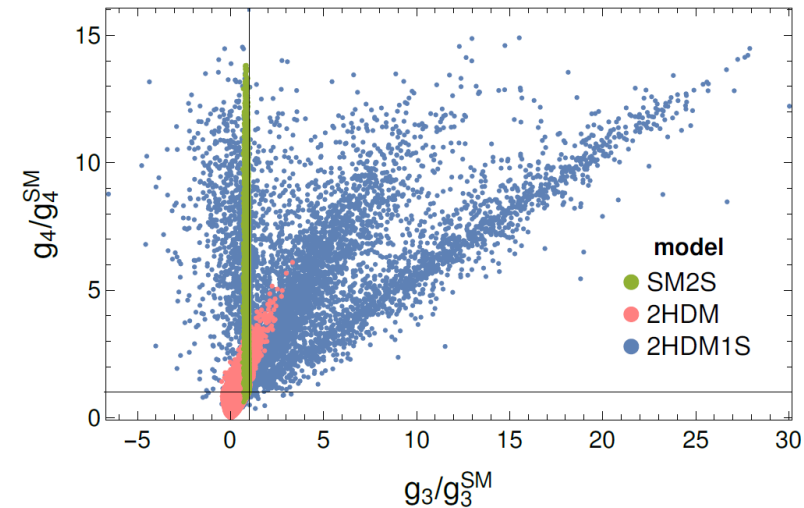
Summary

SM2S: $0.6 < g_3 / g_3^{\text{SM}} \leq 1$ and $1 \leq g_4 / g_4^{\text{SM}} < 15$

2HDM: $-0.5 < g_3 / g_3^{\text{SM}} < 3$ and $0 < g_4 / g_4^{\text{SM}} < 6$

2HDM1S: $-6.5 < g_3 / g_3^{\text{SM}} < 30$ and $0 < g_4 / g_4^{\text{SM}} < 15$

- BFB and Unitarity conditions for 2HDM are invariant under a change of the basis used for the two doublets.
- There are large variations among the couplings in three extensions of the SM.
- Our results are comparable with results with other studies like SM Effective Theory development, contribution of g_3 to Oblique parameters, partial-wave unitarity of $2h_1$ decay or models of sensitivities for future colliders.
- The method may be used to obtain bounds and/or correlations among other parameters and/or observables of these three models.



Thank You...