



环形正负电子对撞机
Circular Electron Positron Collider



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Development of the Silicon Tracker for CEPC

Yunpeng Lu

On behalf of the study group

**July 6, 2018
COEX, SEOUL**

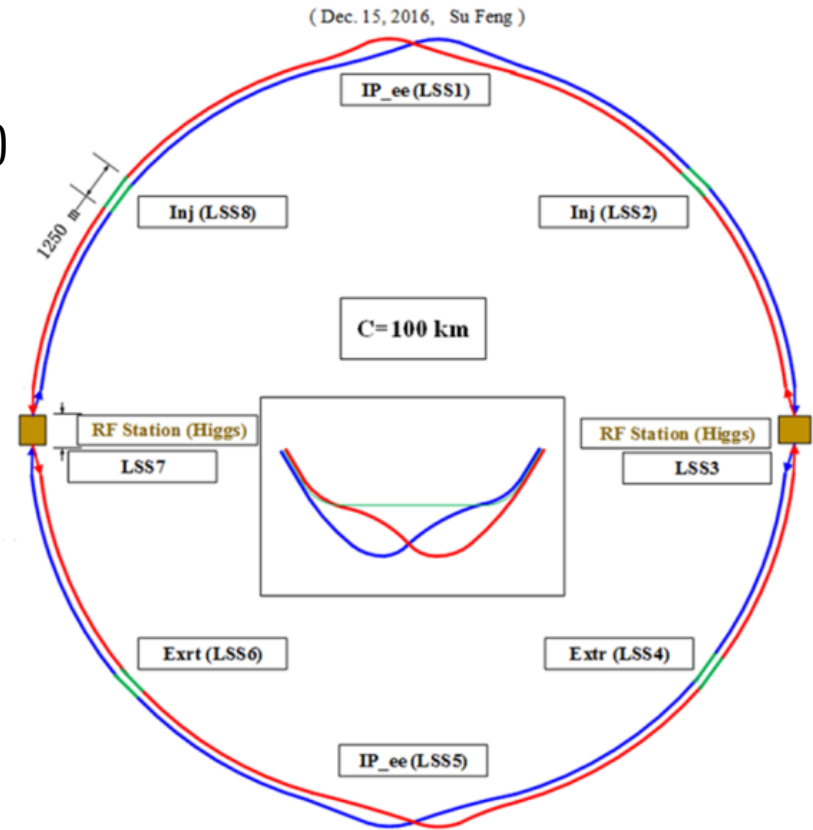
XXXIX INTERNATIONAL CONFERENCE ON HIGH ENERGY PHYSICS

Outline:

- *Baseline design*
- *Pixel sensor specifications*
- *R&D activities*
- *Future plan*
- *Summary*

CEPC and Its Beam Timing

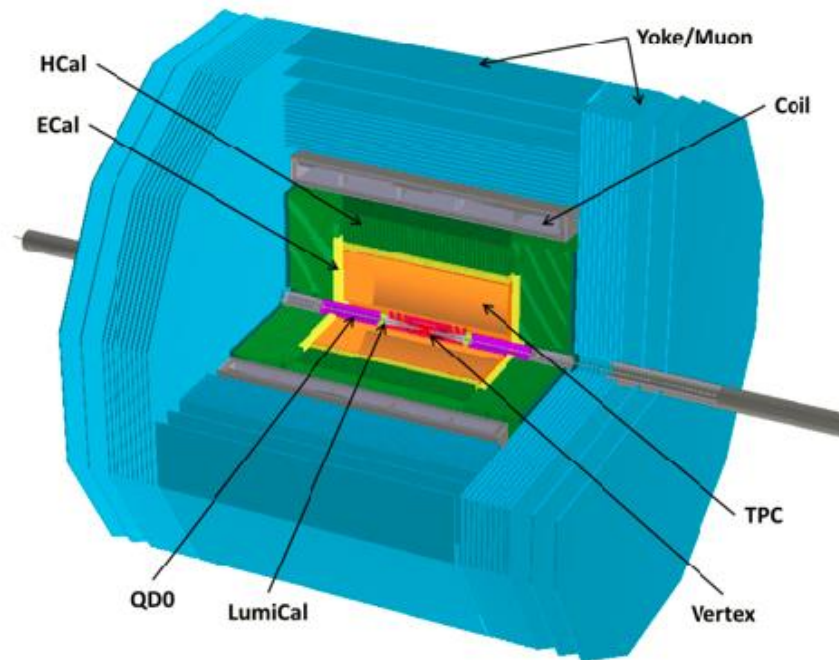
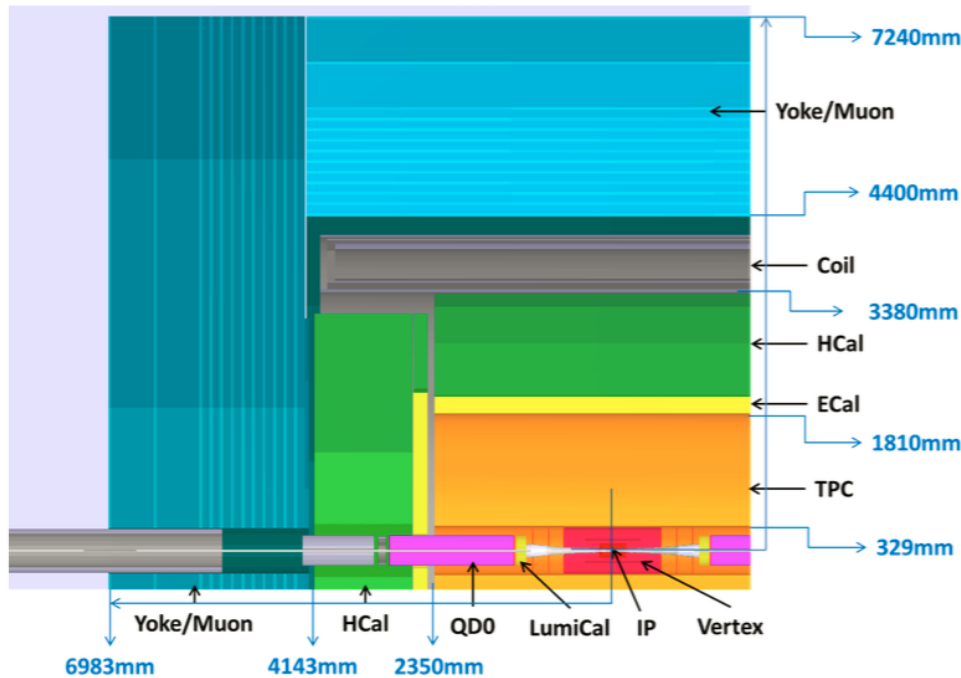
- Circular Electron-Positron Collider (90, 160, 250 GeV)
 - Higgs factory (10^6 Higgs)
 - Z & W factory (10^{10} Z⁰)
- Baseline design in CDR(to be released in 2018)
- Bunch spacing 680 ns (25 ns @ Z-pole)
 - Continuous colliding mode
 - Power pulsing not applicable
 - New constraint for the detector development:
Very low power consumption



Fully Partial Double Ring - 100Km*

* CEPC Accelerator CDR and R&D towards TDR, J. Gao, Accelerator session on Sat. morning

Detector Concept



Particle Flow Oriented Detector for CEPC*

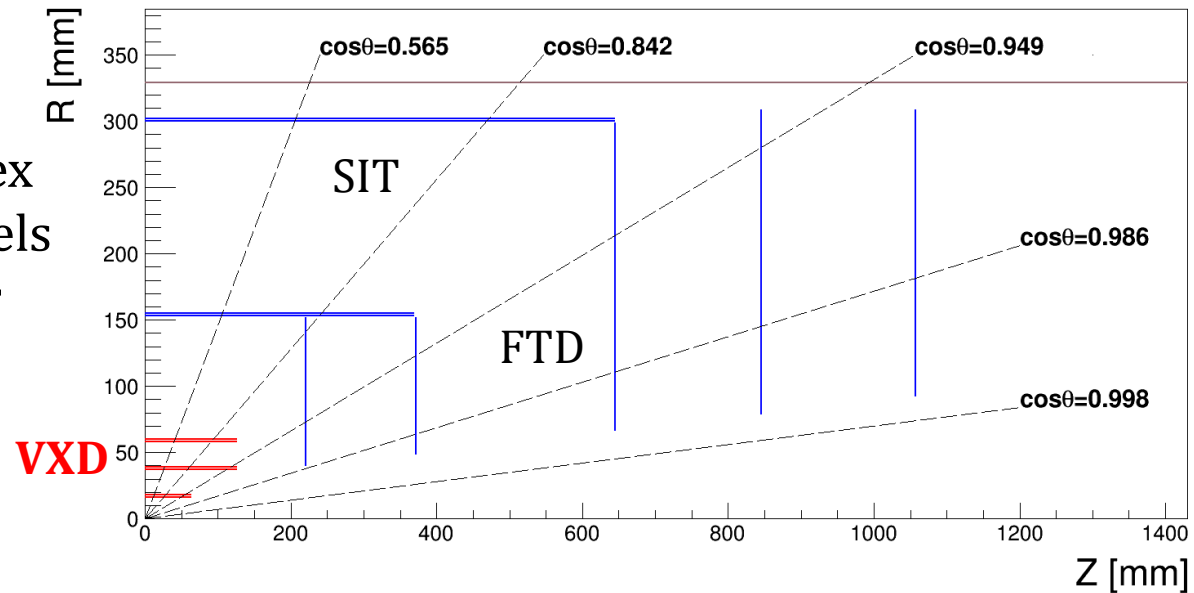
- Two detector concepts
- One is ILD-like, which is optimized for
 - 3 Tesla magnet
 - $L^* = 2.2$ m

* Physics performance of the Particle Flow Oriented detector at the CEPC, Manqi Ruan, Poster

Baseline Silicon Tracker Layout

VXD:

- Same layout as the ILD vertex
- 3 layers of double-sided pixels
- $\sigma_{SP}=2.8\mu\text{m}$, inner most layer
- Polar angle $\theta \sim 15$ degrees



- Silicon Internal Tracker (**SIT**) – 2 inner layers Si strip detectors
- Forward Tracking Detector (**FTD**) – **5 disks** (2 with pixels and 3 with Si strip sensor) on each side, comparing 7 disks on ILD, due to **smaller L***
- Silicon External Tracker (**SET**) – 1 outer layer Si strip detector
- End-cap Tracking Detector (**ETD**) – 1 end-cap Si strip detector on each side

Silicon Tracker Requirements

$B=3T$

- momentum resolution
- impact parameter resolution

Efficient tagging of heavy quarks

$$\sigma_{1/p_T} = 2 \times 10^{-5} \oplus 1 \times 10^{-3} / (p_T \sin \theta)$$
$$\sigma_{r\phi} = 5 \mu m \oplus \frac{10}{p(\text{GeV}) \sin^{3/2} \theta} \mu m$$

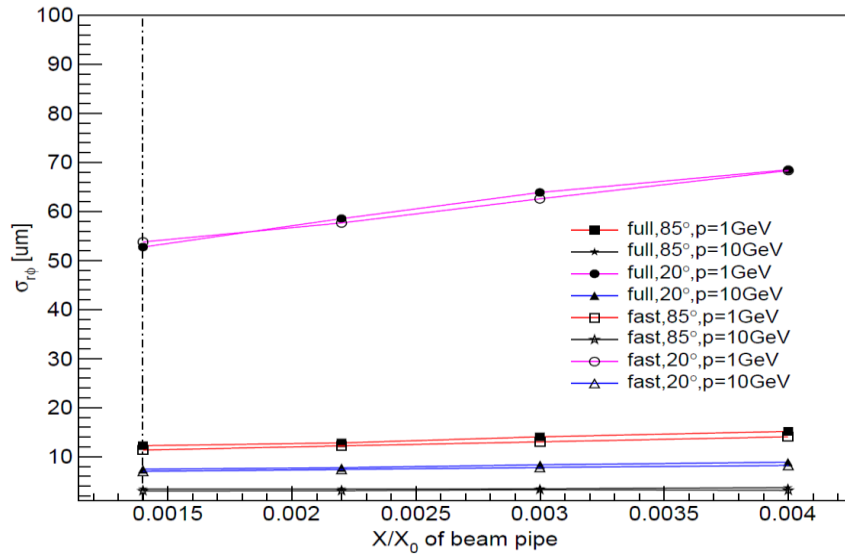
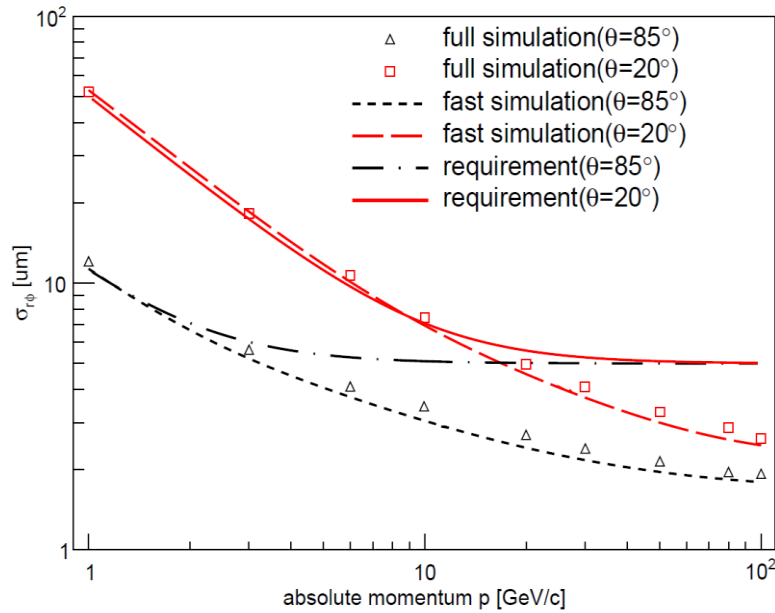
Vertex specifications:

- σ_{sp} near the IP: $\leq 3 \mu m$
- material budget: $\leq 0.15\% X_0/\text{layer}$
- pixel occupancy: $\leq 1 \%$
- radiation tolerance: Ionising dose $\leq 1 \text{ Mrad/year}$
Non-ionising fluences $\leq 10^{12} n_{eq}/(\text{cm}^2 \text{ year})$
- first layer located at a radius: $\sim 1.6 \text{ cm}$

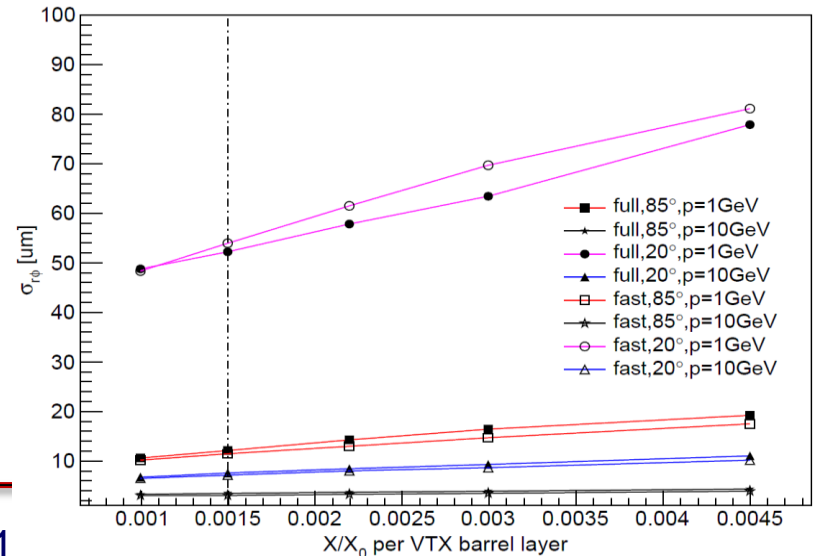
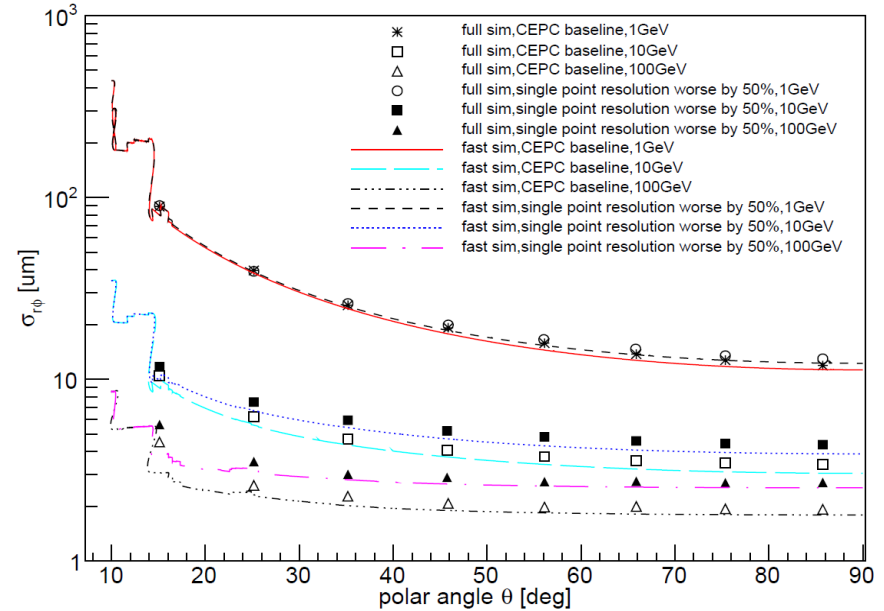
Tracking specifications:

- σ_{sp} : $\leq 7 \mu m \rightarrow$ small pitch (50 μm)
- material budget: $\leq 0.65\% X_0/\text{layer}$

Performance Studies – IP Resolution



Z.Wu, C.Fu, et al (IHEP), CDR draft



Pixel Sensor Specifications

- **Current R&D focused on the pixel sensor for the Vertex**
- To achieve S.P. resolution
 - Digital pixel with in-pixel discriminator $\sim 16\mu\text{m}$
 - Analog pixel $\sim 20\mu\text{m}$ (heavily rely on power pulsing as in the ILC)
- To lower the material budget
 - Sensor thickness $\sim 50\mu\text{m}$
 - Heat load $< 50 \text{ mW/cm}^2$ constrained by air cooling
- To tackle beam-related background
 - $20\mu\text{s/frame?}$
 - $1\text{Mrad/year} \ \& \ 2 \times 10^{12} \text{neq/ (cm}^2 \cdot \text{year)?}$

Physics driven requirements

Running constraints

Sensor specifications

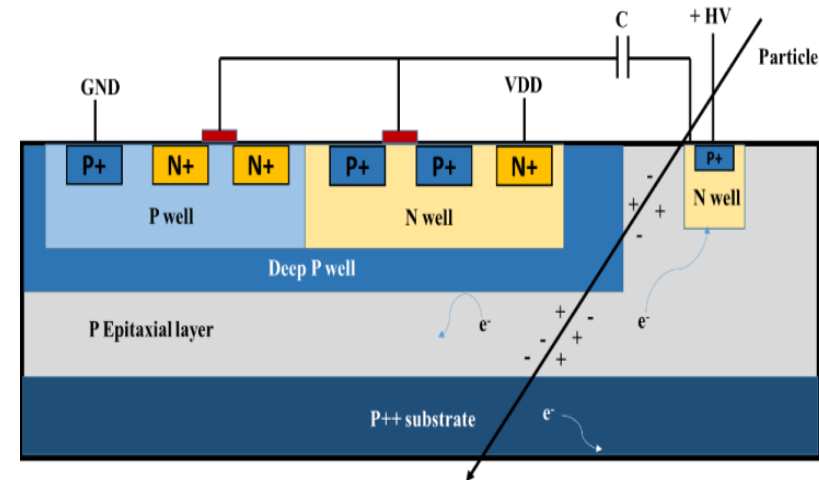
$\sigma_{\text{s.p.}}$ 2.8μm	----->	Small pixel 16μm
Material budget 0.15% X_0/layer	----->	Thinning 50μm
	-----> Air cooling	low power 50mW/cm²
r of Inner most layer 16mm	-----> beam-related background	fast readout 20$\mu\text{s?}$
	-----> radiation damage	radiation tolerance $\leq 1 \text{ Mrad/year ?}$ $\leq 2 \times 10^{12} n_{\text{eq}} / (\text{cm}^2 \text{ year}) ?$

R&D Activities

➤ Two monolithic pixel technologies

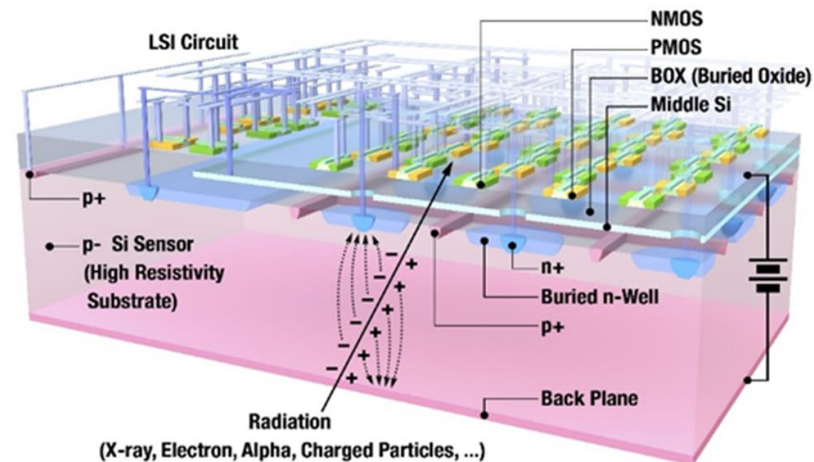
➤ CMOS pixel sensor (CPS)

- *TowerJazz CIS 0.18 μm process*
- *Quadruple well process*
- *Thick ($\sim 20 \mu\text{m}$) epitaxial layer*
- *with high resistivity ($\geq 1 \text{ k}\Omega\cdot\text{cm}$)*



➤ SOI pixel sensor

- *LAPIS 0.2 μm process*
- *High resistive substrate ($\geq 1 \text{ k}\Omega\cdot\text{cm}$)*
- *Double SOI layers available*
- *Thinning and backside process*



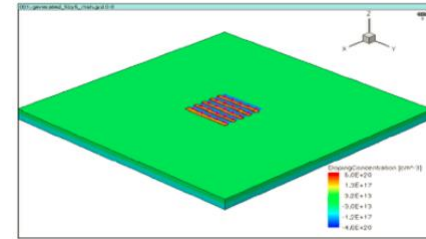
© Rey. Hori

R&D Activities - CMOS Pixel Sensor

- **Sensor design & TCAD simulation**

Y.Zhang, et al, NIMA 831(2016)99-104

- Different sensor diode geometries, epitaxial-layer properties and radiation damage

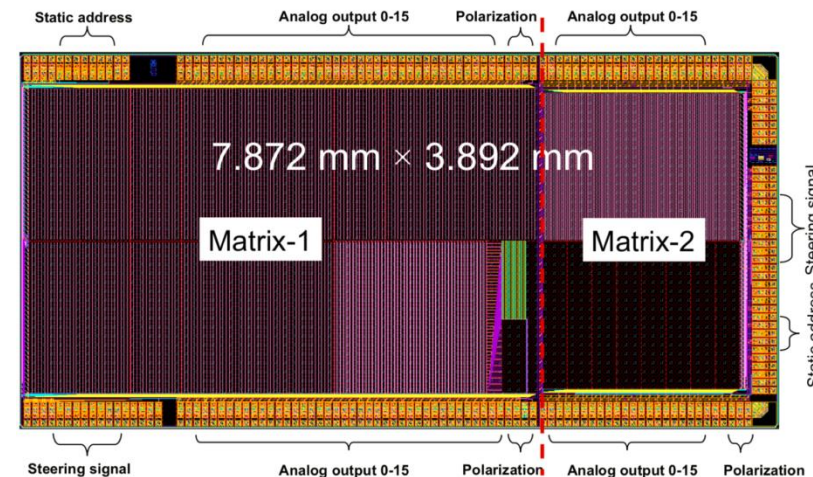


- **JadePix1, first submission in Nov. 2015**

- Exploratory prototype, analog pixel, rolling shutter readout mode
- **Sensor optimization** and radiation tolerance study
- sensing node AC-coupled to increase biased voltage

- **Sensor characterization ongoing**

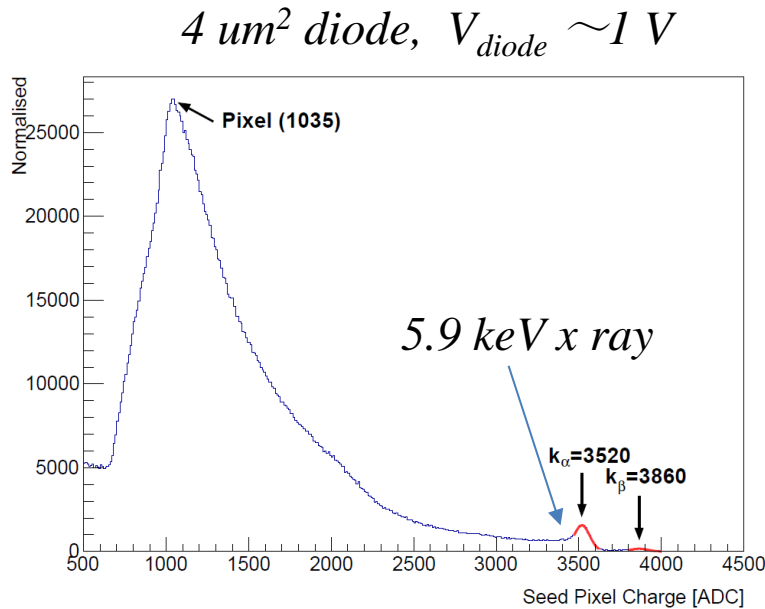
- Noise level
- Gain calibration with ^{55}Fe
- Charge collection efficiency with ^{90}Sr
- Irradiation with Neutron
- Test beam in Aug. 2018



X. Shi, CEPC Workshop Rome May 24-26 2018

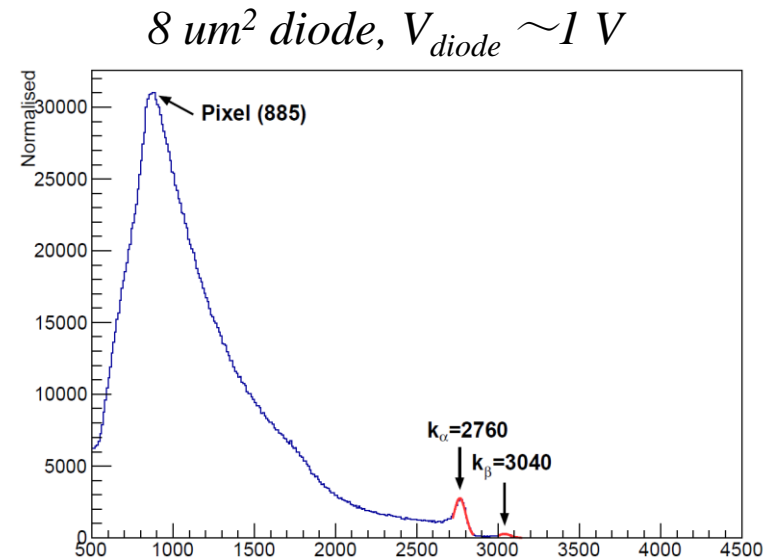
^{55}Fe Source Calibration

Test results from *JadePix1*: diode + SF structure



$$\text{CVF} \approx 32 \mu\text{V}/e^-$$

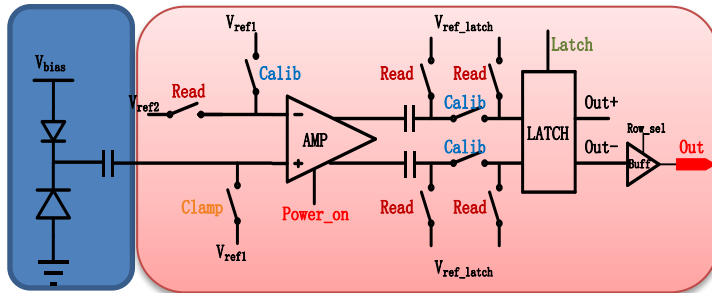
$$\text{Equivalent } C_{\text{in}} \approx 5 \text{ fF}$$



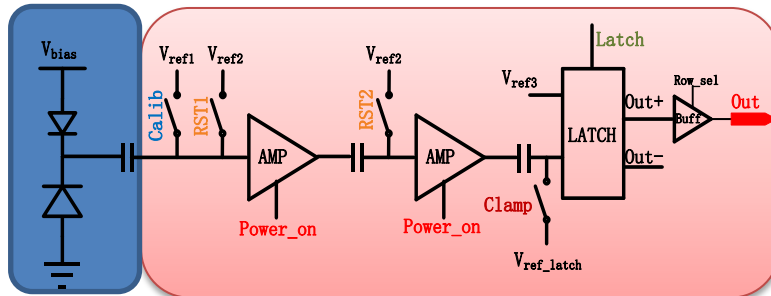
$$\text{CVF} \approx 26 \mu\text{V}/e^-$$

$$\text{Equivalent } C_{\text{in}} \approx 6.15 \text{ fF}$$

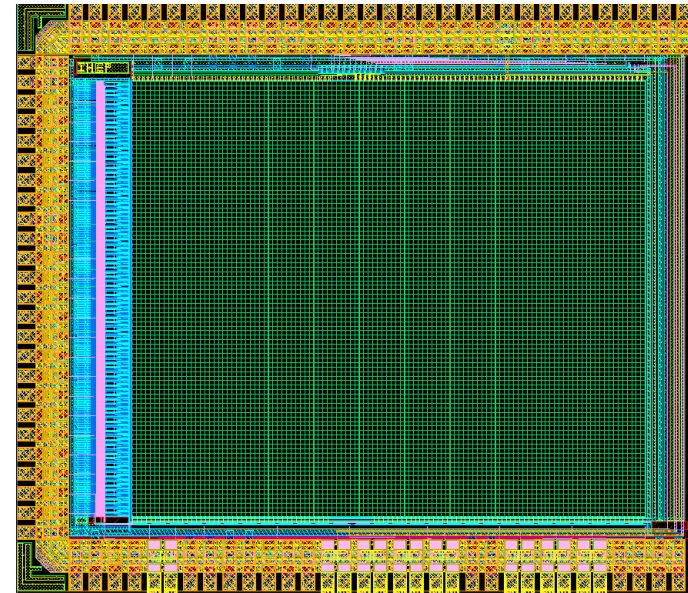
JadePix2 design: Rolling-shutter Mode



Version 1: differential amplifier + latch



Version 2: two stage CS amplifiers + latch



JadePix2 Layout

Chip features:

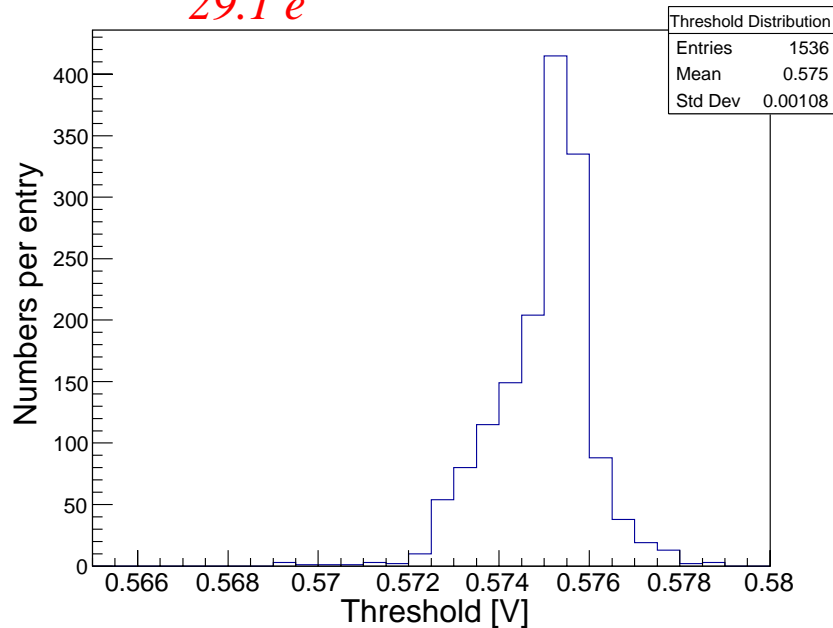
- $3 \times 3.3 \text{ mm}^2$
- 96×112 pixels with 8 sub-matrix
- Processing speed: $11.2 \mu\text{s}/\text{frame}$ with $100 \text{ ns}/\text{row}$
- Output data speed: 160 MHz
- Power: $3.7 \mu\text{A}/\text{pixel}$ ($14.4 \text{ mW}/\text{cm}^2$ @pixel matrix)

Y. ZHOU, HSTD11, OKINAWA Dec. 10-15 2017

Noise Measurement of JadePix2

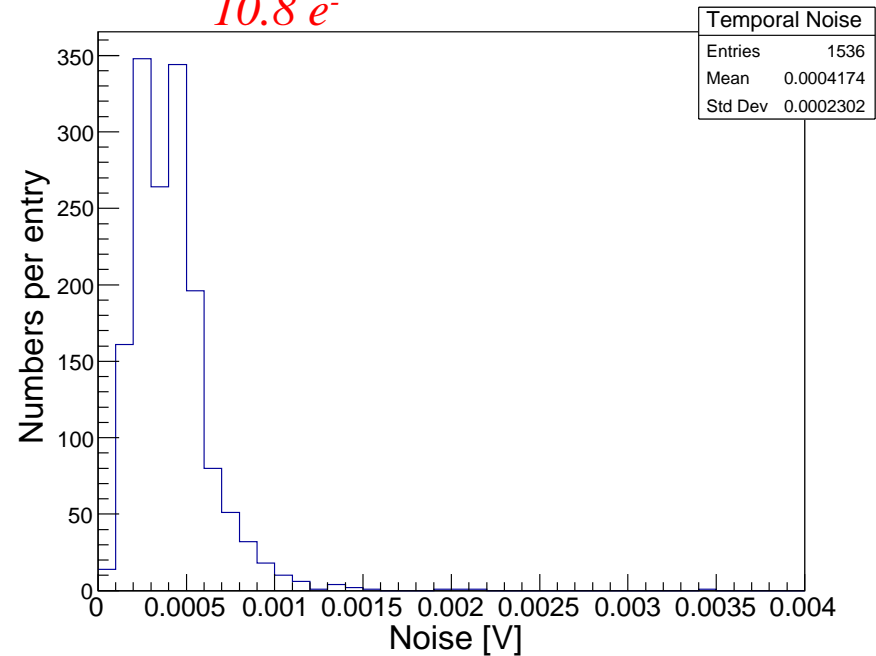
FPN: 1.08mV @input node

29.1 e⁻



TN: 0.4mV @input node

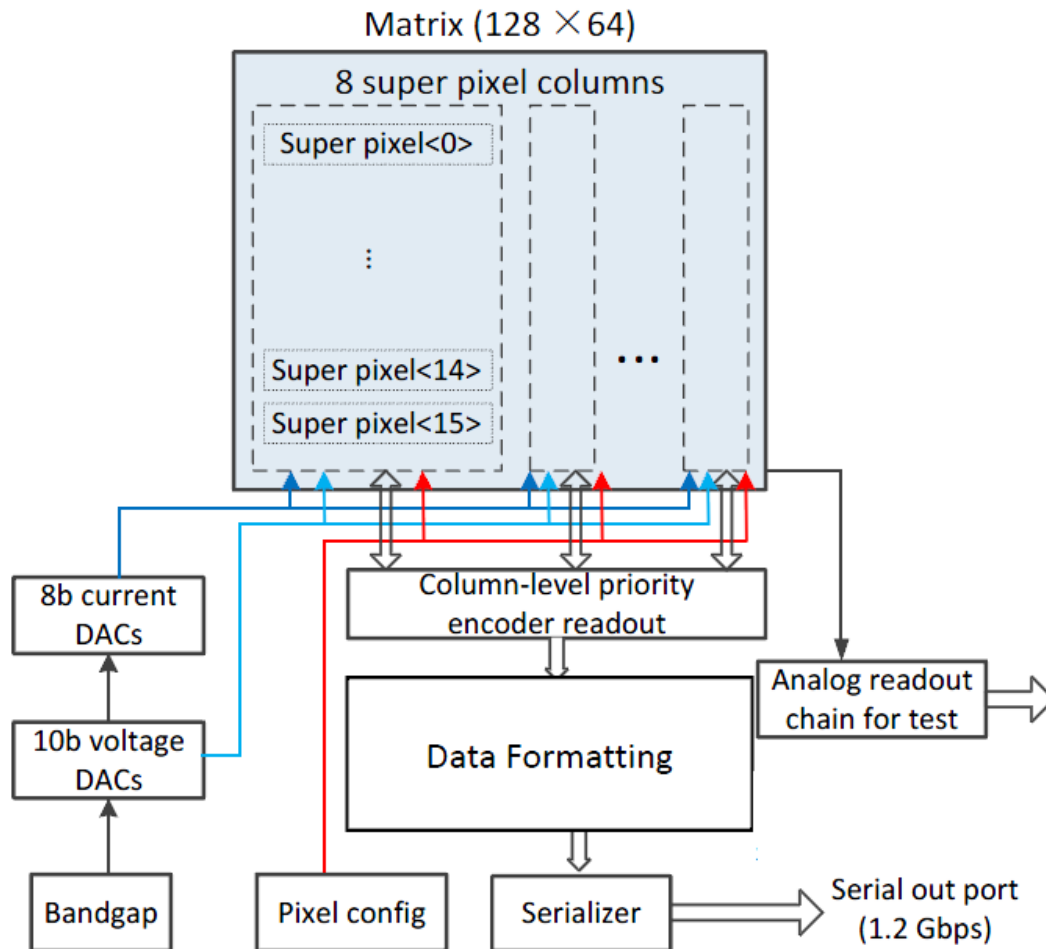
10.8 e⁻



$$\text{Total noise} = \sqrt{29.1^2 + 10.8^2} = 31 e^-$$

Converted by simulation results, not calibrated yet

MIC4 Design: Asynchronous Mode

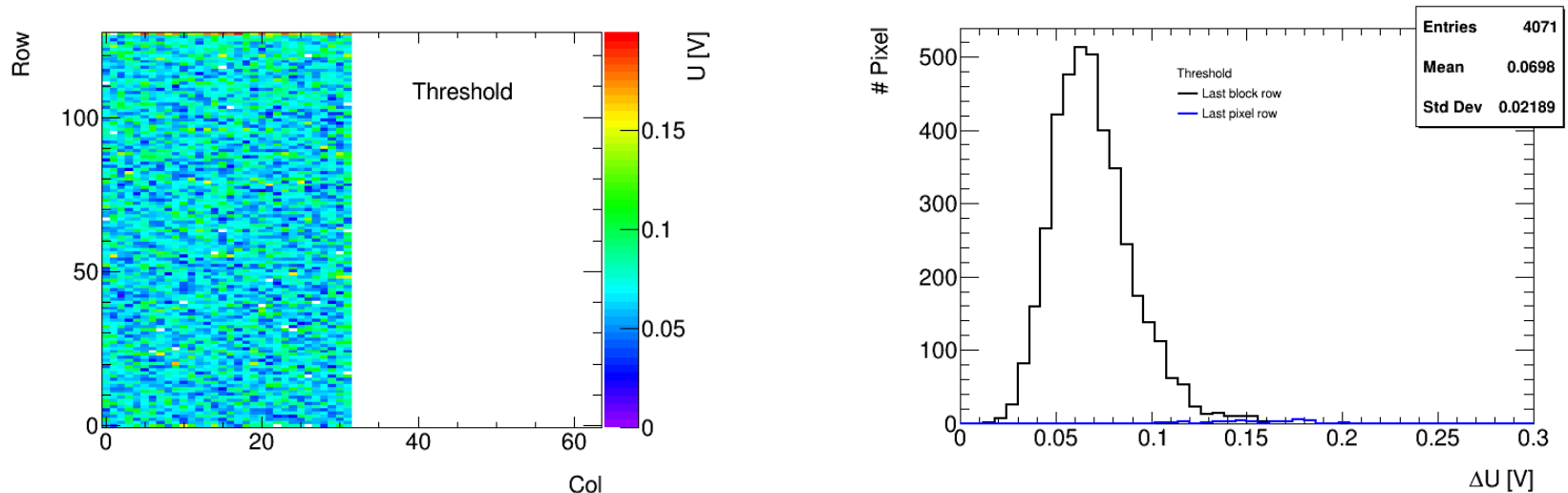


MIC4 over all block diagram

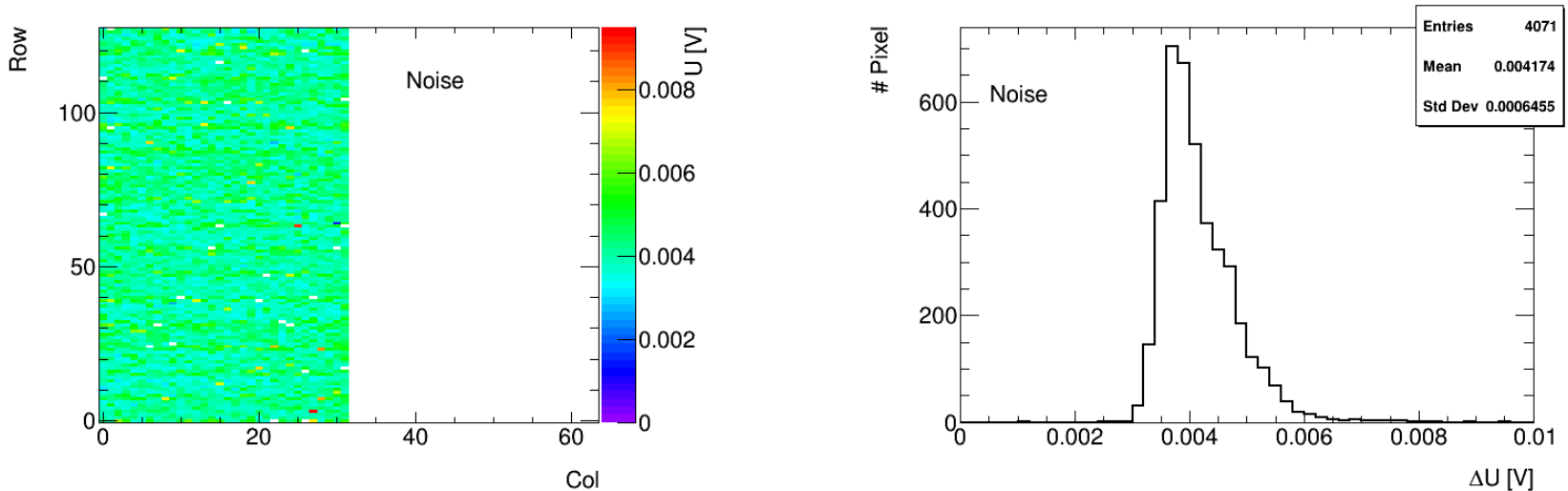
- Based on the ALPIDE design
 - Super pixel introduced
- Submitted in 2017
- TowerJazz180 nm CIS process
- Pixel size: 25 μm x 25 μm
- Matrix: 128 rows x 64 columns
- Zero suppression readout

P. YANG, CEPC Workshop WUHAN Apr. 19-21 2017

Threshold and Noise distribution in MIC4



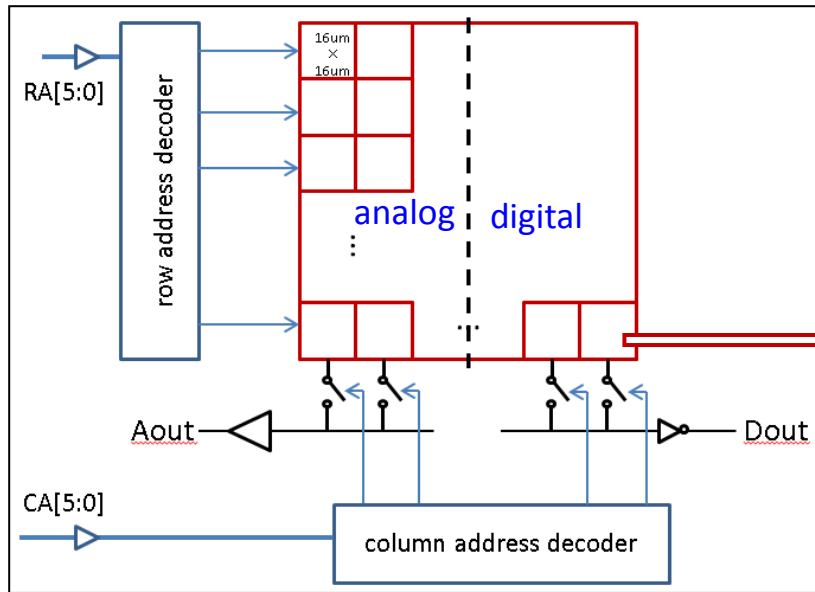
➤ Mean threshold around 99 e⁻



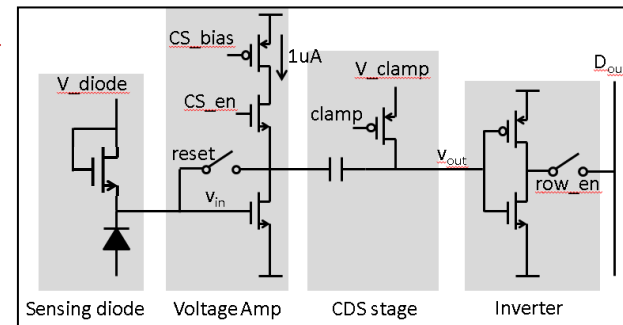
➤ Mean FPN ~ 31 e⁻, TN around 6 e⁻

R&D Activities - SOI Pixel Sensor

- **First submission ([CPV1](#)) in June 2015**
 - 16*16 μm with in-pixel-discriminator
 - Double-SOI process for shielding and radiation enhancement
- **Second submission ([CPV2](#)) in June 2016**
 - In-pixel CDS stage inserted
 - To improve RTC and FPN noise
 - Thinned down to 75 μm



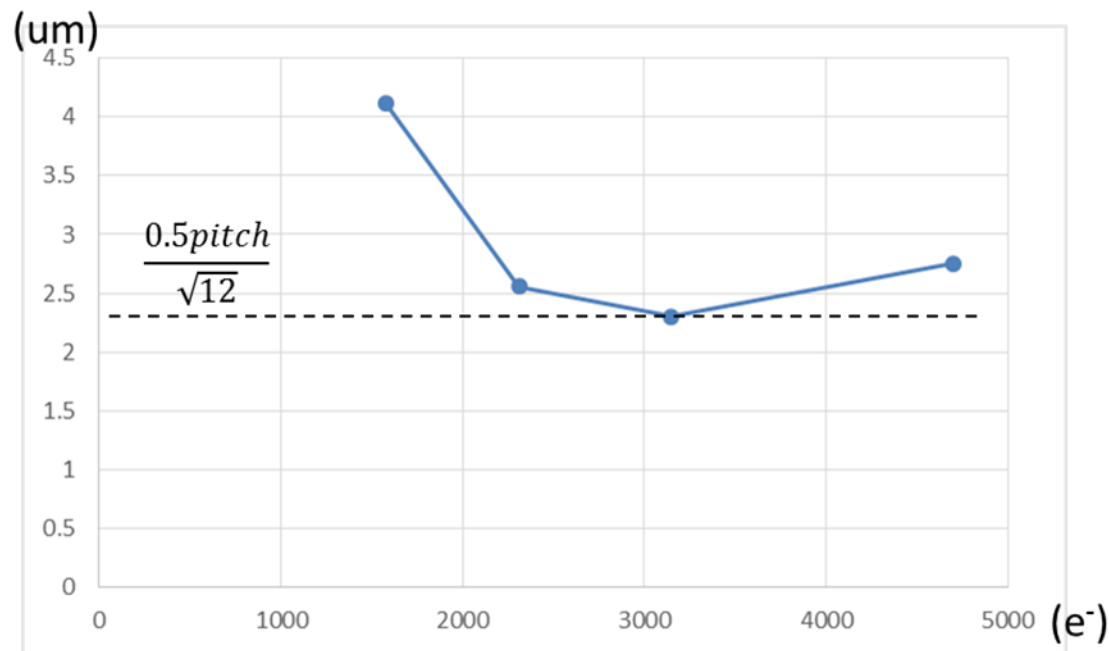
- Sensing diode, 2 μm
- HV protection, diode-connected NMOS
- Voltage amplifier, DC Gain ~ 10
- Reset & Clamp
- Inverter as discriminator
- Layout, 16 μm pitch



Yunpeng Lu, et al, A prototype SOI pixel sensor for CEPC vertex, FEE2018, May 2018

Spatial Resolution

- 1064nm laser beam
 - Focused beam waist $\sim 3.4 \mu\text{m}$
 - Intensity adjustable
- Spatial resolution versus signal charge
 - Obtained the **best resolution of $2.3\mu\text{m}$** at around $3000e^-$ signal charge
 - Consistent with the simulation



S.P. resolution as a function of signal charge

Future Plan on R&D

- Laboratory and test-beam characterizations
- Coordination of sensor design team for next submission
- Novel readout scheme
- Large area pixel array design
- Radiation hardness
- Time stamp @ Z-pole?
- Small ($16\mu\text{m} \times 16\mu\text{m}$) pixel, targeting on $3\mu\text{m}$ single point resolution
 - To explore SOI 3D connection technology by designing the in-pixel digital logic in a separated tier
 - Or to look for any new process

Summary and Outlook

- R&D focused on the inner layer of the Vertex
- CPS & SOI prototype design submitted
 - *in-pixel electronics, small pixel size*
 - *new asynchronous readout architecture*
- Preliminary test results is encouraging
 - 2.3um resolution achieved on 16 um small pitch with in-pixel discriminator
- Engineering run submission is planned in 2019-2020

Acknowledgements

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- The National Nature Science Foundation of China, Grant 11575220 & 11505207 & 11605071 & 11605217, and
- The National Key Program for S&T Research and Development, Grant 2016YFA0400400

Thank you for your attention!

CEPC CDR Parameters

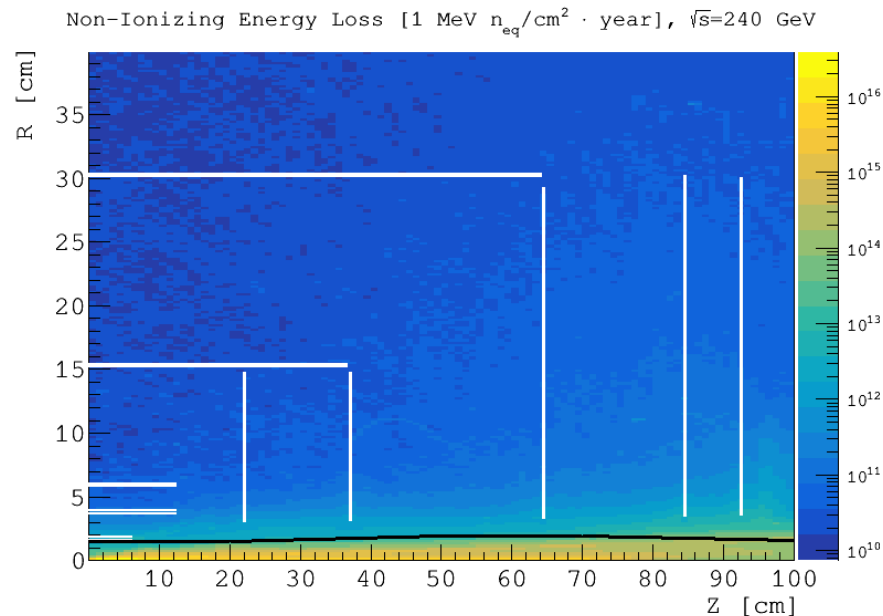
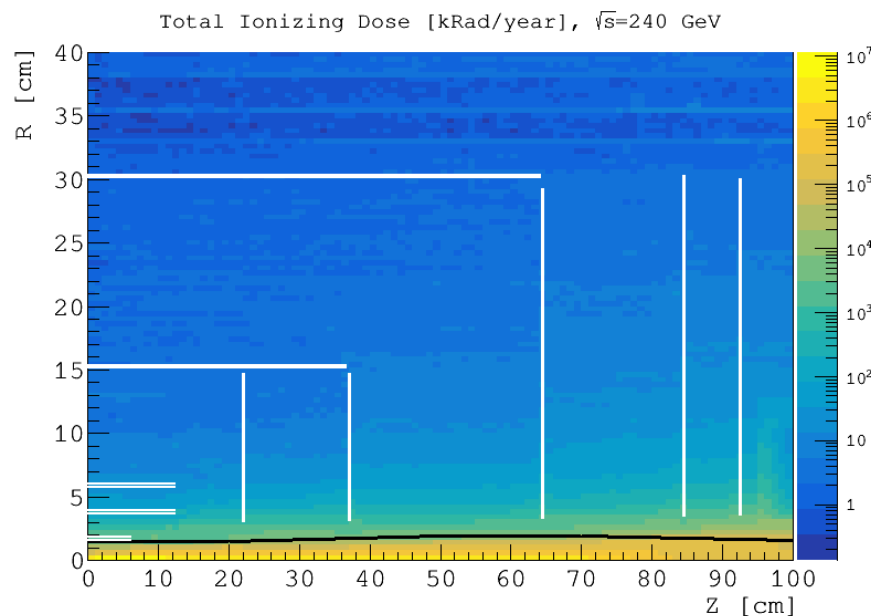
D. Wang

	<i>Higgs</i>	<i>W</i>	<i>Z (3T)</i>	<i>Z (2T)</i>
Number of IPs	2			
Beam energy (GeV)	120	80	45.5	
Circumference (km)	100			
Synchrotron radiation loss/turn (GeV)	1.73	0.34	0.036	
Crossing angle at IP (mrad)	16.5×2			
Piwinski angle	2.58	7.0	23.8	
Number of particles/bunch N_e (10^{10})	15.0	12.0	8.0	
Bunch number (bunch spacing)	242 (0.68 μ s)	1524 (0.21 μ s)	12000 (25ns+10%gap)	
Beam current (mA)	17.4	87.9	461.0	
Synchrotron radiation power /beam (MW)	30	30	16.5	
Bending radius (km)	10.7			
Momentum compact (10^{-5})	1.11			
β function at IP β_x^*/β_y^* (m)	0.36/0.0015	0.36/0.0015	0.2/0.0015	0.2/0.001
Emittance $\varepsilon_x/\varepsilon_y$ (nm)	1.21/0.0031	0.54/0.0016	0.18/0.004	0.18/0.0016
Beam size at IP σ_x/σ_y (μ m)	20.9/0.068	13.9/0.049	6.0/0.078	6.0/0.04
Beam-beam parameters ξ_x/ξ_y	0.031/0.109	0.013/0.106	0.0041/0.056	0.0041/0.072
RF voltage V_{RF} (GV)	2.17	0.47	0.10	
RF frequency f_{RF} (MHz) (harmonic)	650 (216816)			
Natural bunch length σ_z (mm)	2.72	2.98	2.42	
Bunch length σ_z (mm)	3.26	5.9	8.5	
Betatron tune ν_x/ν_y	363.10 / 365.22			
Synchrotron tune ν_s	0.065	0.0395	0.028	
HOM power/cavity (2 cell) (kw)	0.54	0.75	1.94	
Natural energy spread (%)	0.1	0.066	0.038	
Energy acceptance requirement (%)	1.35	0.4	0.23	
Energy acceptance by RF (%)	2.06	1.47	1.7	
Photon number due to beamstrahlung	0.29	0.35	0.55	
Lifetime _simulation (min)	100			
Lifetime (hour)	0.67	1.4	4.0	2.1
F (hour glass)	0.89	0.94	0.99	
Luminosity/IP L ($10^{34}\text{cm}^{-2}\text{s}^{-1}$)	2.93	10.1	16.6	32.1

Radiation Background Levels

H. Zhu, CEPC Workshop Rome May 24-26 2018

- Using hit density, total ionizing dose (TID) and non-ionizing energy loss (NIEL) to quantify the radiation background levels
- Adopted the calculation method used for the ATLAS background estimation (ATL-GEN-2005-001), safety factor of $\times 10$ applied



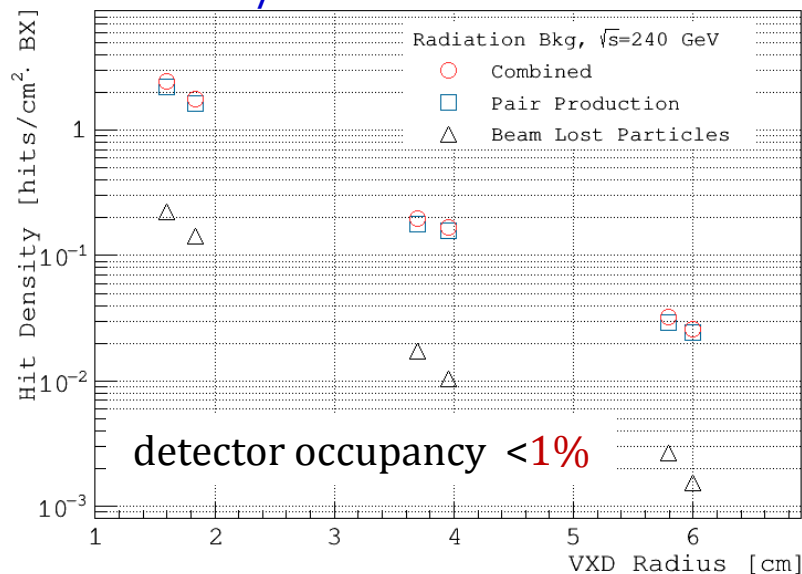
Combined Beam-Induced Backgrounds

H. Zhu, CEPC Workshop Rome May 24-26 2018

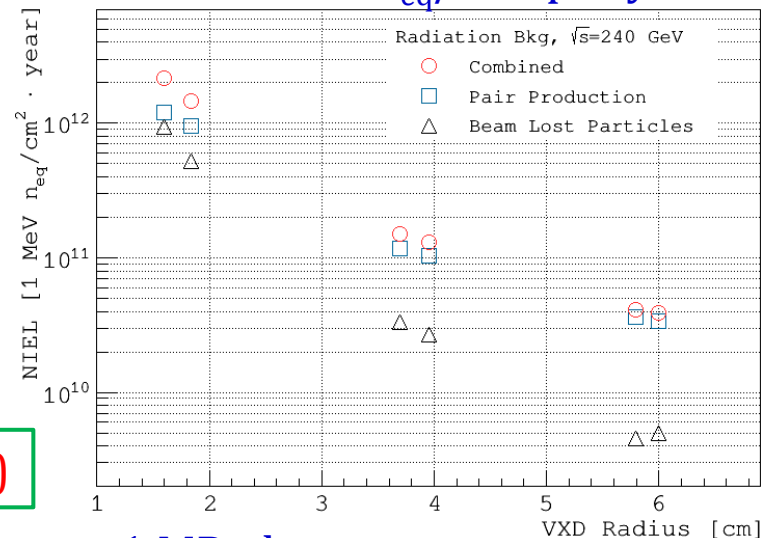
- Radiation backgrounds from pair production, radiative Bhabha scattering + beamstrahlung
- Most significant contributions from the pair production

(safety factor: 10)

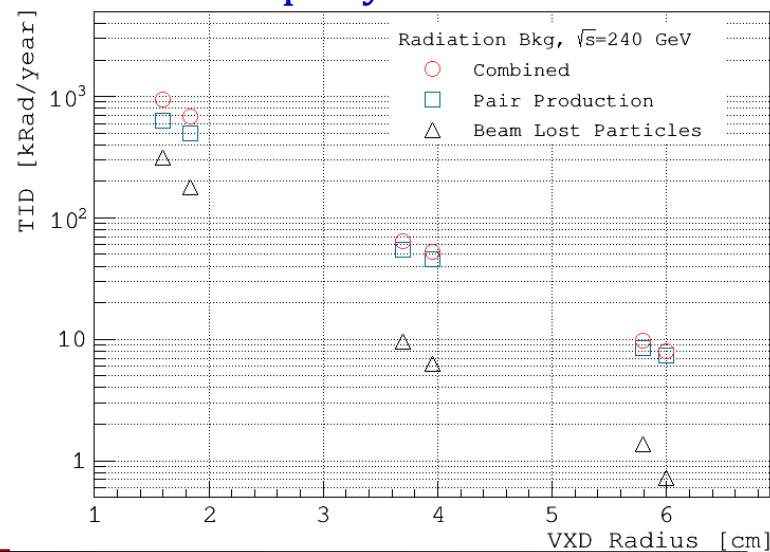
2.5 hits/cm² BX⁻¹



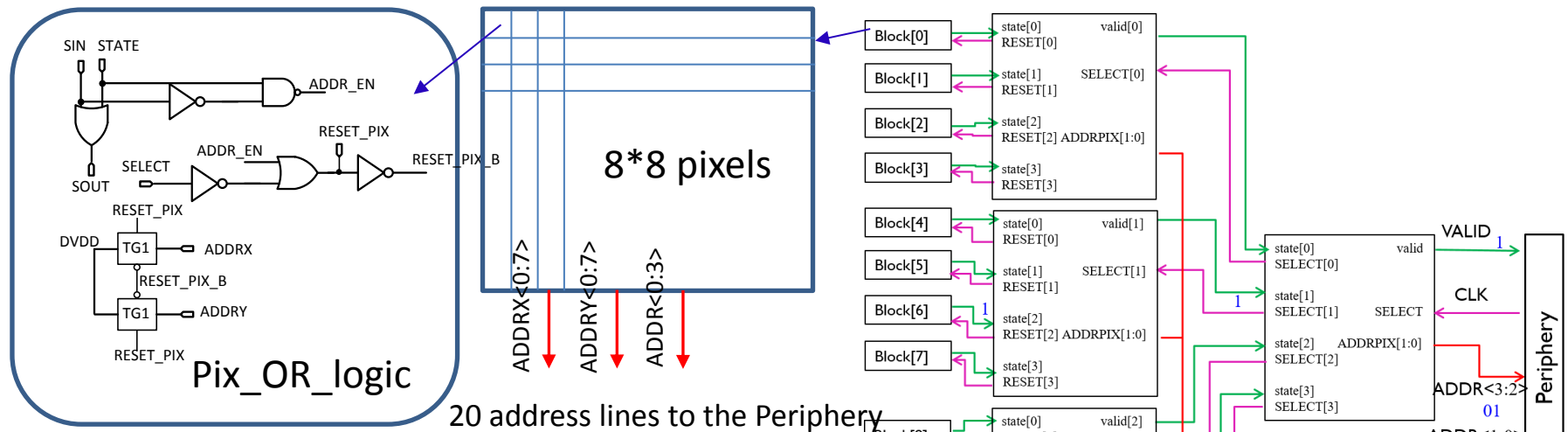
2×10^{12} 1MeV n_{eq}/cm^2 per year



1 MRad per year



MIC4 Readout architecture

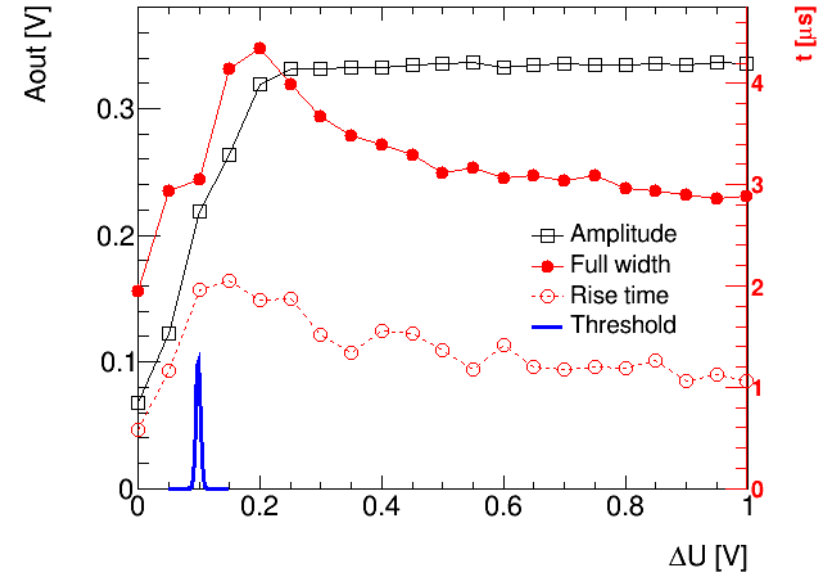
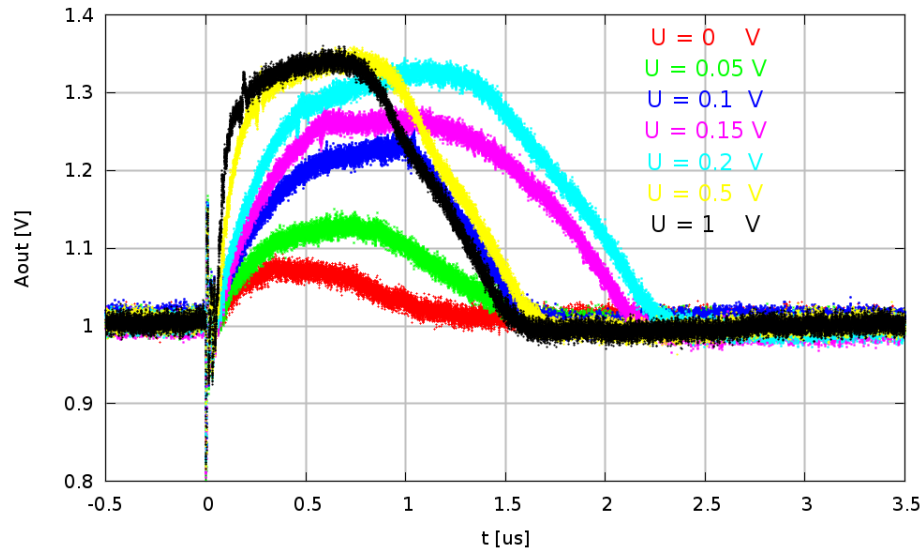


20 address lines to the Periphery

Matrix readout architecture:

- **AERD (Address-Encoder and Reset-Decoder)**
many connection lines occupy larger area than the logic circuit itself
- **OR gate chain:** speed is limited with the number of the chain pixels
- **Combine these two solutions:** 64 pixels as a group using OR gate chain, groups using AERD structure to readout

Characterization of Analog Front-end in MIC4

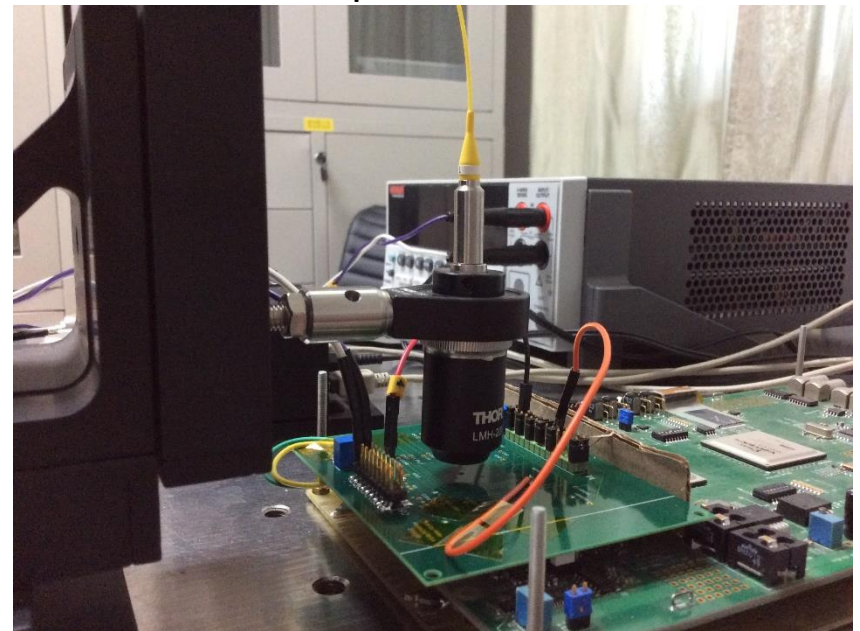
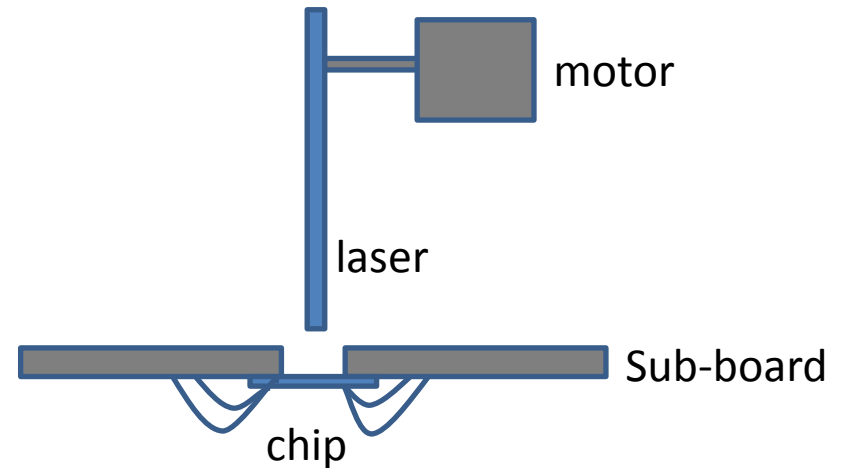


Analog output parameters as a function of the amount of injected charge.

- The front-end response is non-linear which is as expected.
- Peaking time $< 1 \mu\text{s}$, duration $< 3 \mu\text{s}$, close to the simulation results.

Laser Test Setup

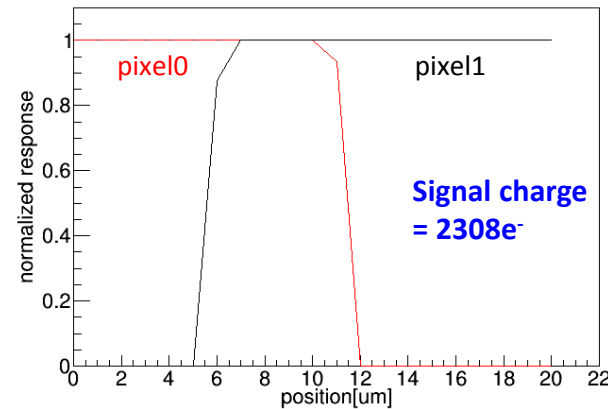
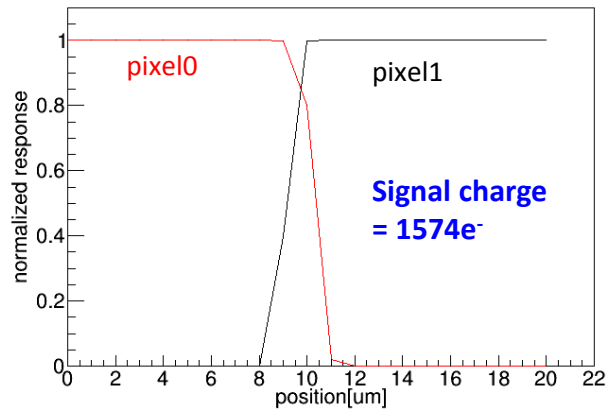
- 1064nm laser beam
 - Focused beam waist $\sim 3.4 \mu\text{m}$
 - Energy adjustable $\sim \text{pJ/pulse}$
- 3-dimensional stepping motor
 - Minimum step size: $0.1 \mu\text{m}$
- Thinning chip ($75 \mu\text{m}$)
 - Backside illumination (no aluminum)



Laser position scan with different laser intensity

Scan two adjacent digital pixels

- Step size of 1 μ m
- Threshold is fixed (minimum threshold without noise hit)



Normalized response
= hits / pulses

