

Rare processes with top quarks at CMS

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for the CMS Collaboration

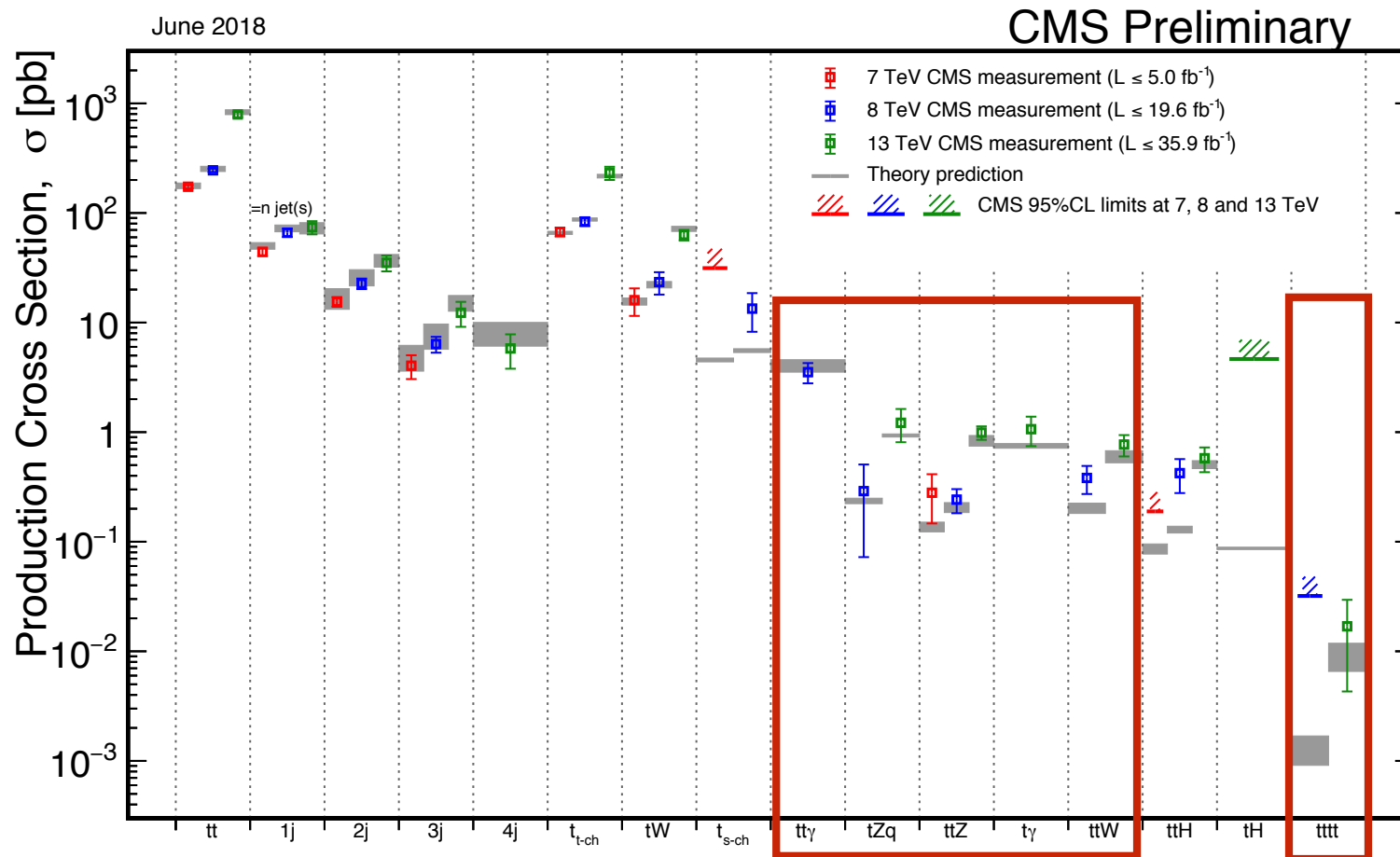


Introduction

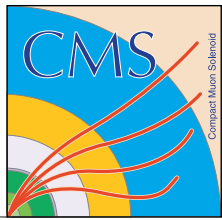
Processes with top quarks produced at low rate in the SM

- **$t\bar{t} + W/Z$** (13 TeV), **$t\bar{t} + \gamma$** (8 TeV)
- **$t + Z$** (13 TeV), **$t + \gamma$** (13 TeV, **NEW**)
- **Four top quarks** (13 TeV)
- Rich phenomenology of anomalous couplings of top and vector bosons (top-boson, FCNC, triple gauge coupling...): *see talk from A. Grohsjean*

Latests results



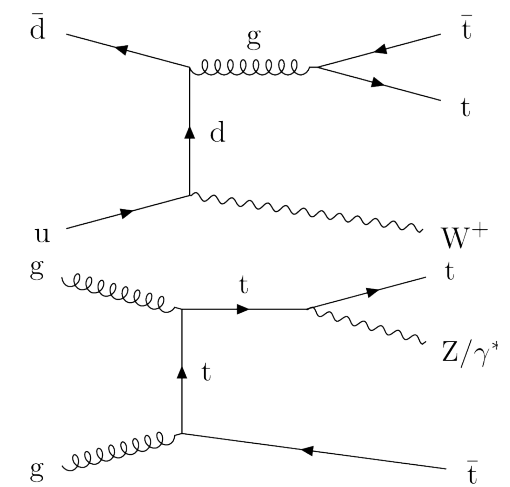
All results at: <http://cern.ch/go/pNj7>



$t\bar{t}+W/Z$

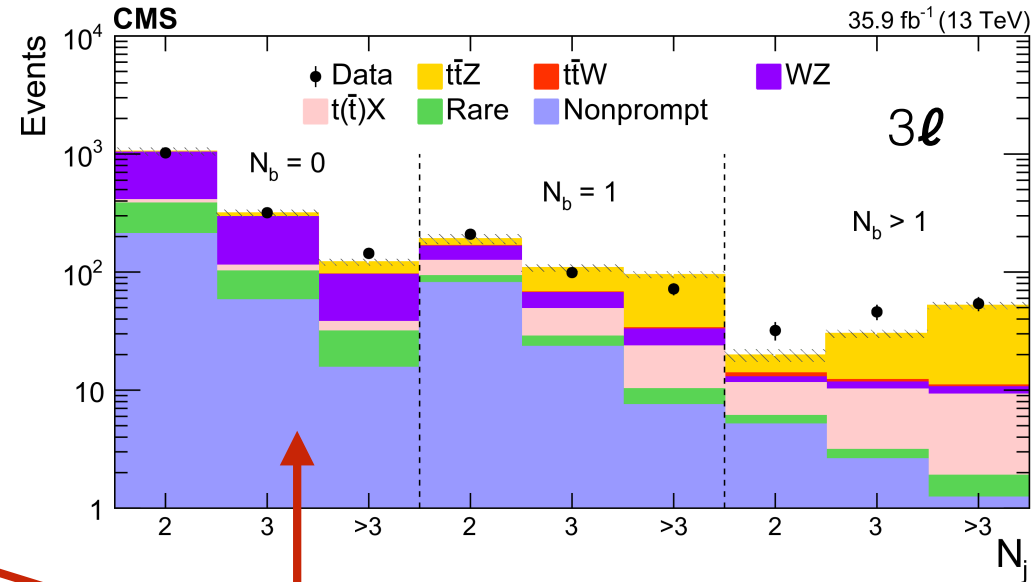
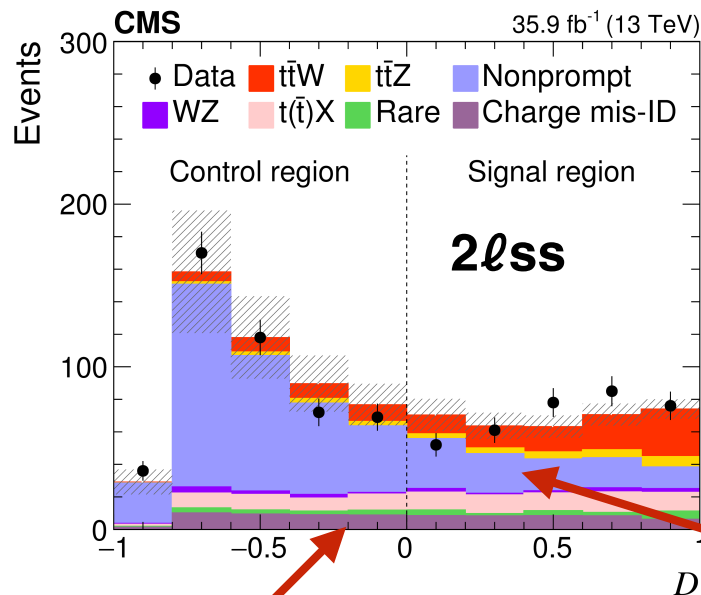
arxiv:1711.02547, submitted to JHEP

- A test of top quark - vector boson coupling,
- Irreducible background to $t\bar{t}H$ multi-lepton searches



- Measure **$t\bar{t}W$ with $2\ell ss$ events**:
use kinematics in 3 BDT categories
- Use charge asymmetry

- **$t\bar{t}Z$ with $3\ell, 4\ell$** : counting events
classified by jets/b-jets multiplicity



- **Charge mismeasurement** (ee channel only): estimated from DY events in data (20% uncertainty)

- **Non-prompt lepton background**: measured relaxing isolation in a QCD data sample (30% uncertainty)



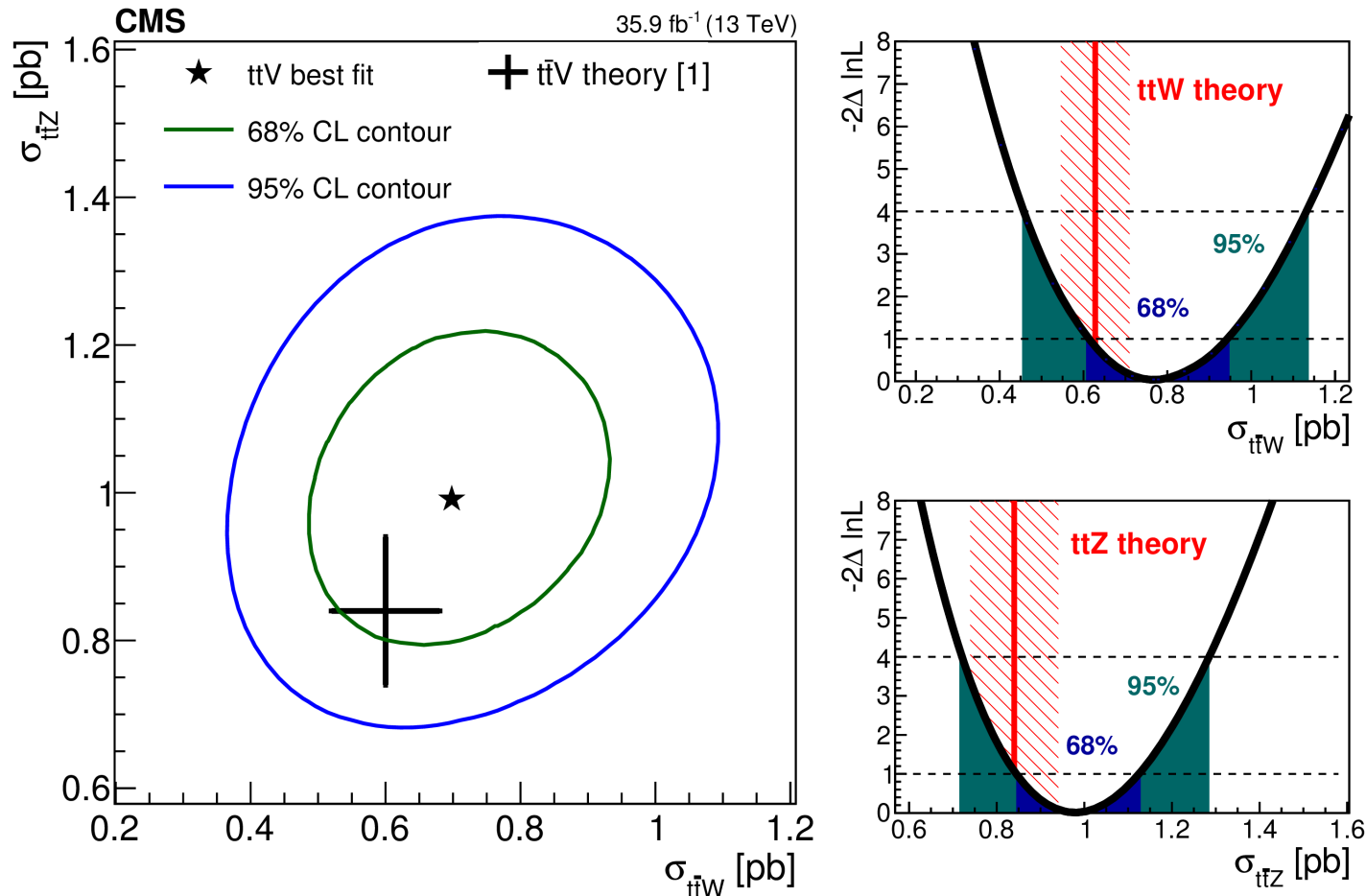
$t\bar{t}+W/Z$

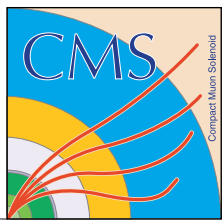
arxiv:1711.02547, submitted to JHEP

- **Main systematics:** lepton identification / trigger selections, non-prompt background
- **$t\bar{t}W$** with $2\ell ss$: **4.5σ** (5.3σ) **observed** (expected)
- **$t\bar{t}Z$** with $3\ell/4\ell$: **$\gg 5\sigma$**

$$\sigma(pp \rightarrow t\bar{t}W) = 0.77^{+0.12}_{-0.11} (\text{stat})^{+0.13}_{-0.12} (\text{syst}) \text{ pb},$$

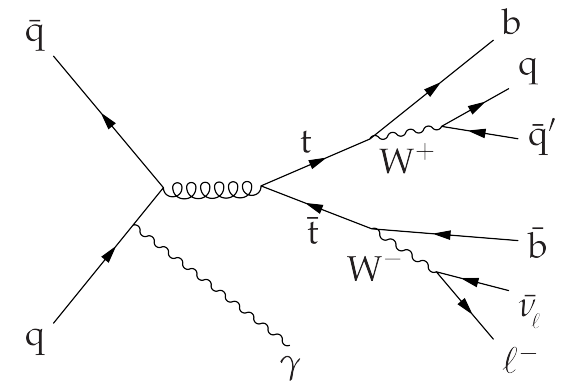
$$\sigma(pp \rightarrow t\bar{t}Z) = 0.99^{+0.09}_{-0.08} (\text{stat})^{+0.12}_{-0.10} (\text{syst}) \text{ pb}.$$





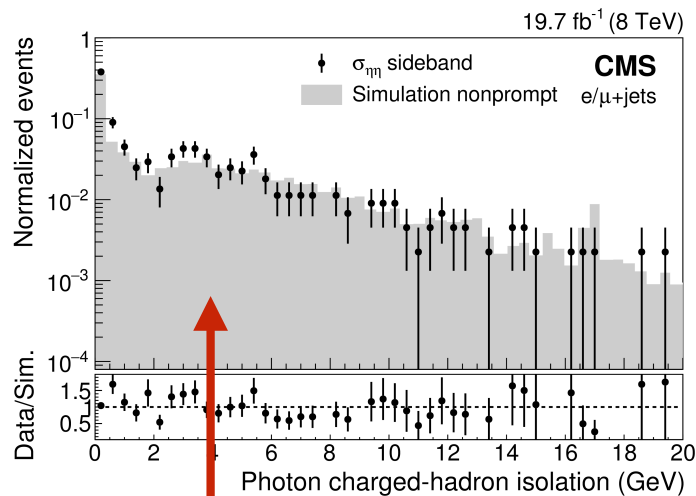
$t\bar{t}+\gamma$

JHEP 10 (2017) 006



Target semi-leptonic final states

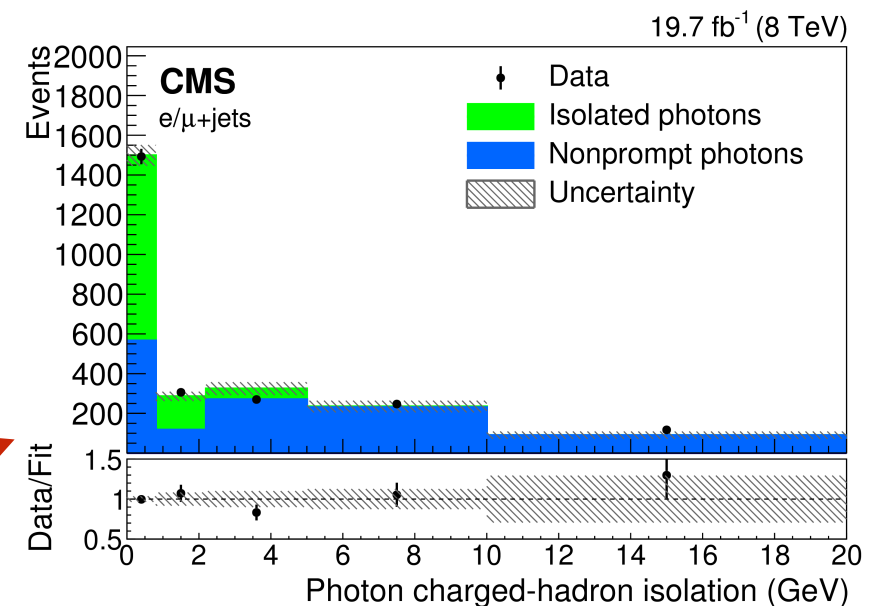
- Measure **$t\bar{t}+\gamma$ over $t\bar{t}$ ratio**
- **Normalize** the number of **$t\bar{t}$** events (including $t\bar{t}+\gamma$) with a **fit of the 3-jet mass**



Background template from
cluster shape sideband

Measure prompt photon purity **>50%**

- Increase purity with **photon shower shape** cut
- Extract the signal with a fit of **charged-hadron isolation**
- **Signal** template from **random cone** method (same η , random Φ)





$t\bar{t}+\gamma$

JHEP 10 (2017) 006

- Normalize **relative $t\bar{t}\gamma$, $V\gamma$ and fake photon contributions** with a **likelihood** $\exp(-\chi^2)$:

$$\chi^2(SF_{t\bar{t}+\gamma}, SF_{V+\gamma}, SF_{jet\rightarrow\gamma}) = \frac{(\pi_{e\gamma}^{data} - \pi_{e\gamma}^{MC})^2}{\sigma_{\pi_{e\gamma}}^2} + \frac{(\pi_{t\bar{t}}^{data} - \pi_{t\bar{t}}^{MC})^2}{\sigma_{\pi_{t\bar{t}}}^2} + \frac{(N^{data} - N^{MC})^2}{\sigma_N^2}$$

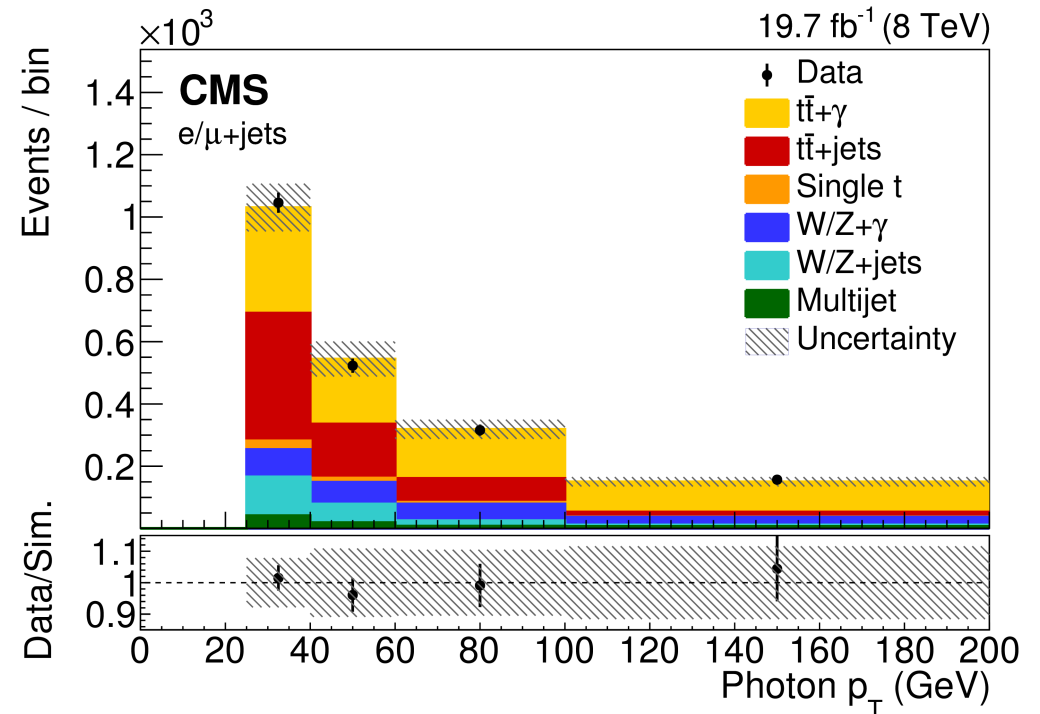
Source	Uncertainty (%)
Statistical likelihood fit	15.5
Top quark mass	7.9
JES	6.9
Fact. and renorm. scale	6.7

- Main **systematic uncertainties**:

- **Fiducial volume** at generator level as close as possible to reconstructed level, to minimize unfolding effects

Results:

Category	R	$\sigma_{t\bar{t}+\gamma}^{fid}$ (fb)	$\sigma_{t\bar{t}+\gamma} \mathcal{B}$ (fb)
e+jets	$(5.7 \pm 1.8) \times 10^{-4}$	138 ± 45	582 ± 187
μ +jets	$(4.7 \pm 1.3) \times 10^{-4}$	115 ± 32	453 ± 124
Combination	$(5.2 \pm 1.1) \times 10^{-4}$	127 ± 27	515 ± 108
Theory	—	—	592 ± 71 (scales) ± 30 (PDFs)





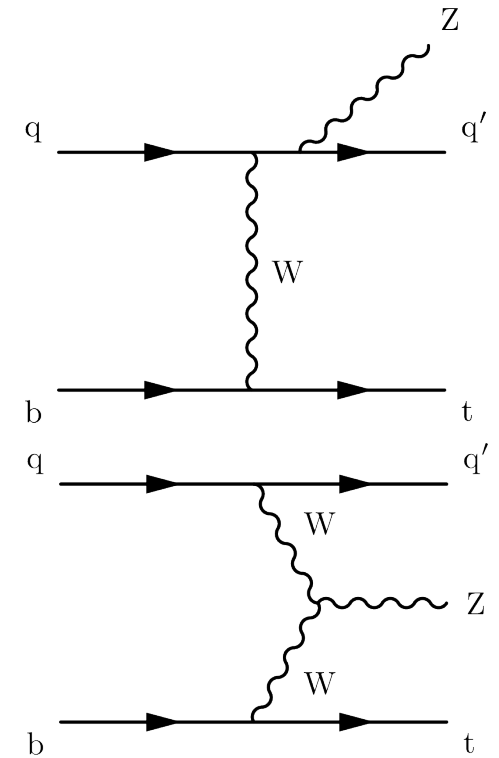
Single top + Z (tZq)

Phys. Lett. B 779 (2018) 358

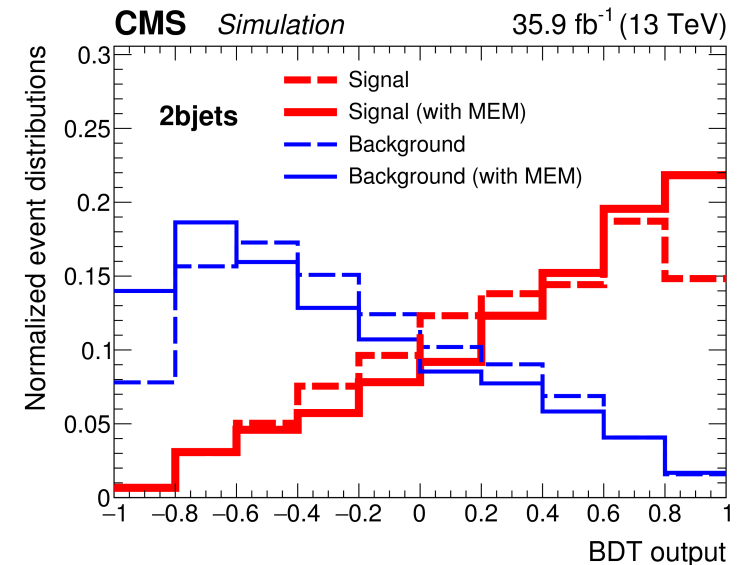
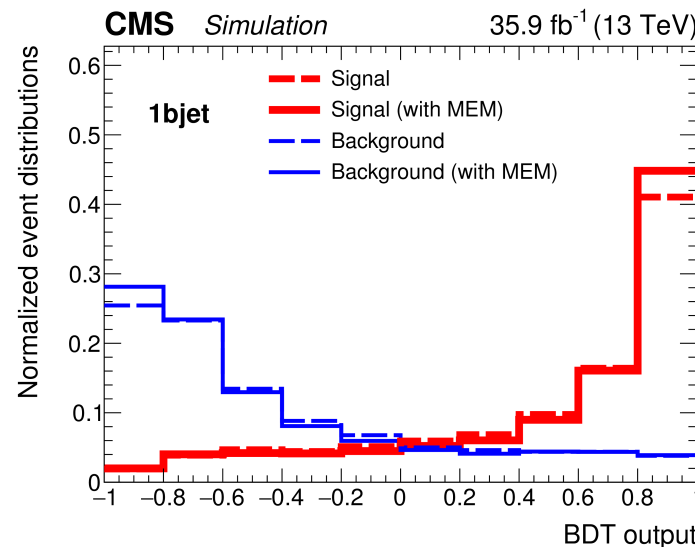
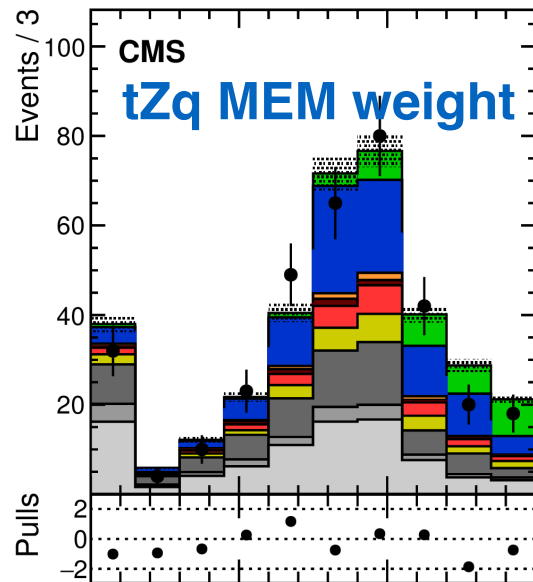
Analysis performed in **3 ℓ final state (e or μ)**

- Makes use of the **forward jet** in tZq to discriminate signal from background
- Build **BDT discriminants** using kinematic information
- Include **MEM weights** and MEM kinematic fit as input to the BDT

$$w_{i,\alpha}(\Phi') = \frac{1}{\sigma_\alpha} \int d\Phi_\alpha \cdot \delta^4(p_1^\mu + p_2^\mu - \sum_{k \geq 2} p_k^\mu) \cdot \frac{f(x_1, \mu_F) f(x_2, \mu_F)}{x_1 x_2 s} \cdot |\mathcal{M}_\alpha(p_k^\mu)|^2 \cdot W(\Phi' | \Phi_\alpha)$$



MEM improves the analysis significance **by 20%**





Single top + Z (tZq)

Phys. Lett. B 779 (2018) 358

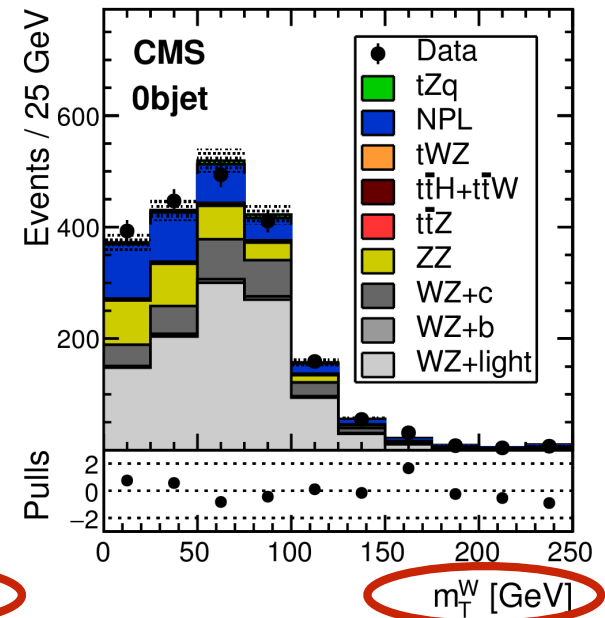
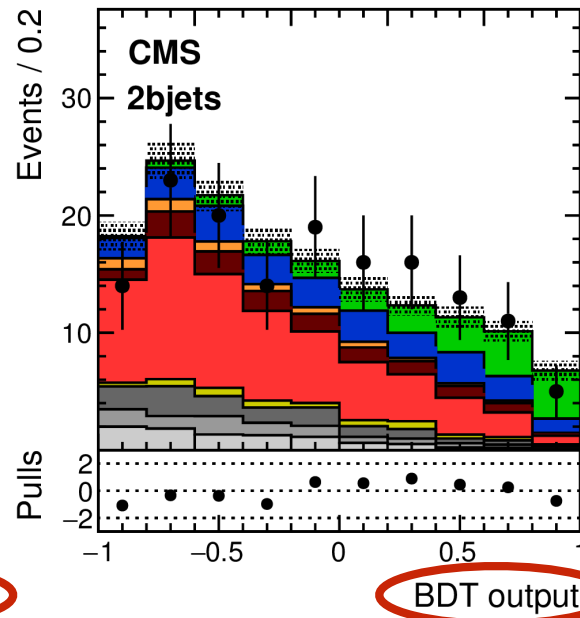
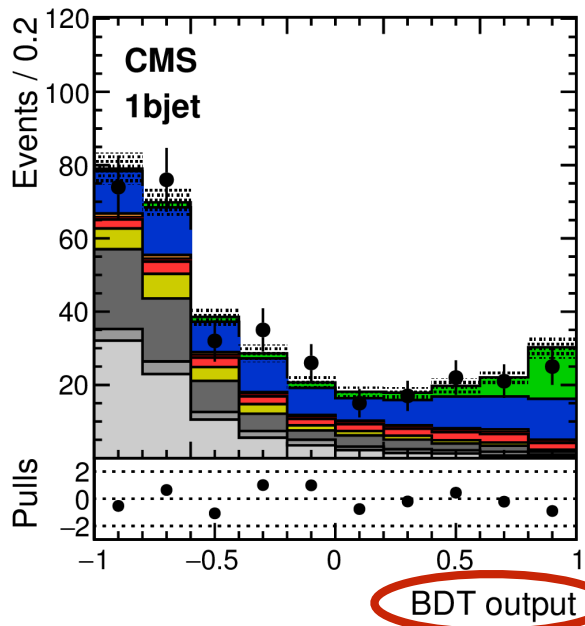
Signal extraction: simultaneous fit in 3 categories

1 b-jet + 1-2 non-b-jet :
enriched in **tZq** signal
- **Constrains tZq**

2 b-jets + ≥ 0 non-b-jets: enriched in **ttZ**
- **Constrains ttZ**
and tZq

0 b-jets + ≥ 1 non-b-jets:
enriched in **WZ** and **fakes**
- **Measure fakes**
- **Constrains WZ**

35.9 fb⁻¹ (13 TeV)



Observation 3.7 σ (3.2 σ expected)

$$\sigma(pp \rightarrow tZq \rightarrow Wb\ell^+\ell^-q) = 123_{-31}^{+33} (\text{stat})_{-23}^{+29} (\text{syst}) \text{ fb}, \quad \text{for } m_{\ell^+\ell^-} > 30 \text{ GeV}$$

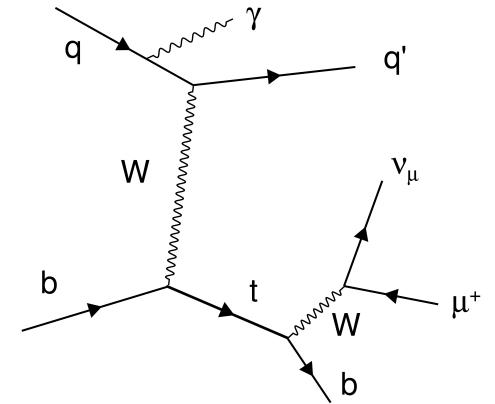
$$\sigma^{\text{SM}}(t\ell^+\ell^-q) = 94.2_{-1.8}^{+1.9} (\text{scale}) \pm 2.5 (\text{PDF}) \text{ fb}$$



NEW

Single top + γ (tyq)

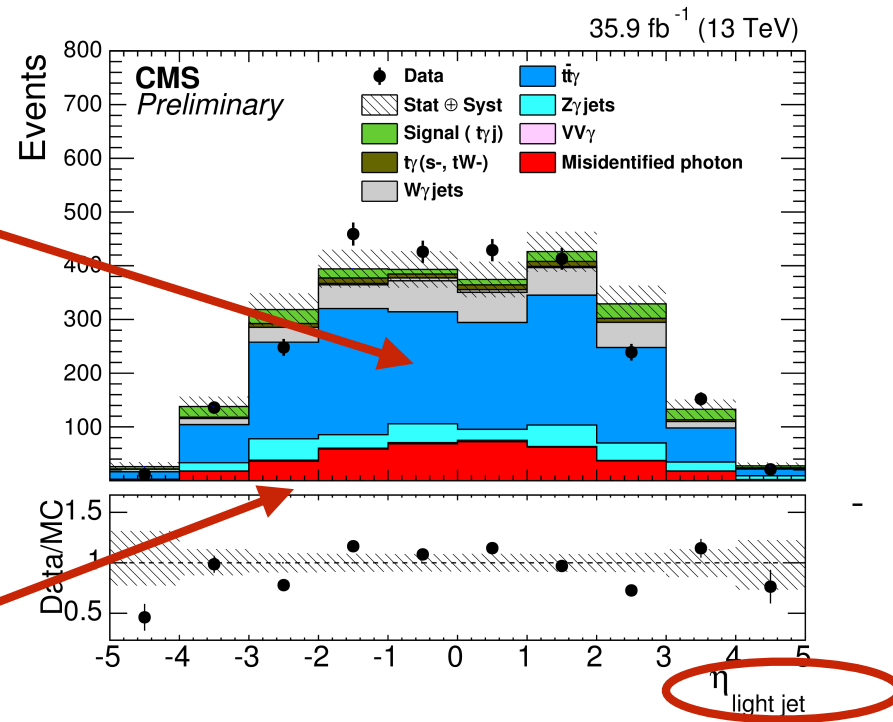
CMS PAS TOP-17-016 (June 2018)



Target **muonic** top quark decay

- At least two jets, with only **one** tagged as b-jet

- **Dominant background tt+gamma**



- Uses the **forward jet** to increase discrimination

Estimate **non-prompt photon** background with a **fake ratio method**

- relax photon requirement,
- measure probability to mis-identify a non-prompt as a prompt photon



Single top + γ (t γ q)

CMS PAS TOP-17-016 (June 2018)

NEW

- Build a **BDT** discriminant using kinematics
 - Most important variable **forward jet η**
 - Template for **tt+ γ obtained from data** control region with additional b-jet
 - Non-prompt from fake ratio method

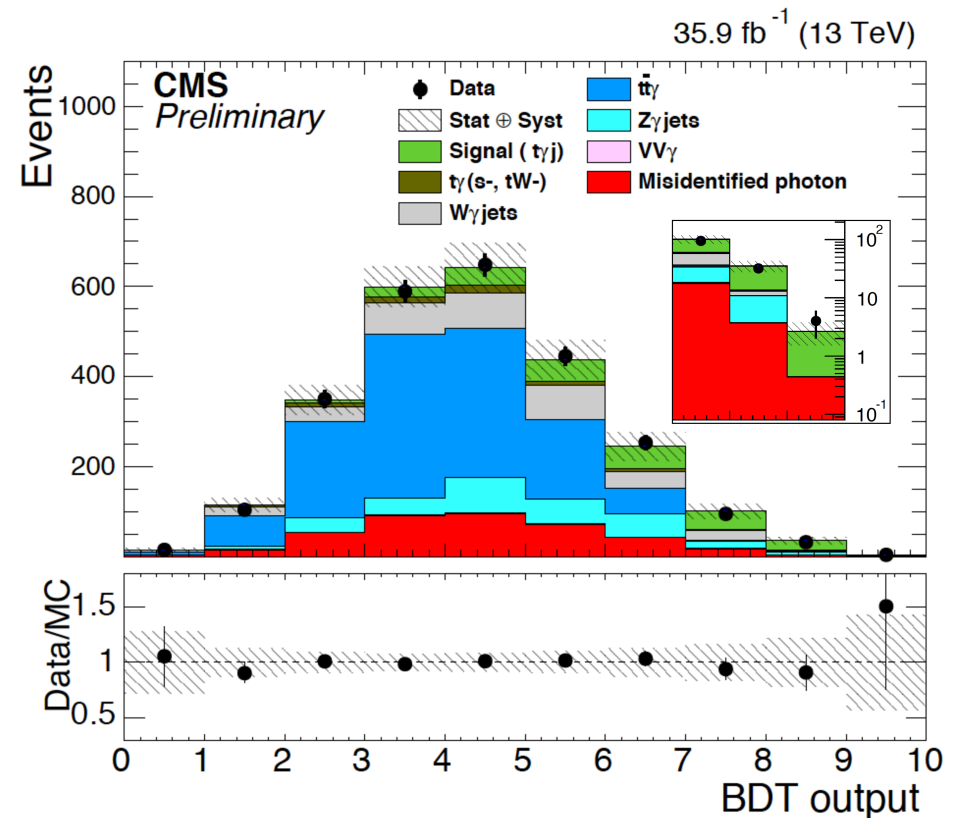
- **Simultaneous fit of t γ q and tt+ γ regions**

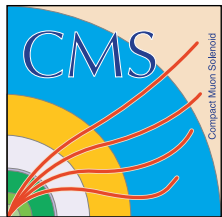
First evidence for t γ q:
Observation 4.4σ (3.0σ expected)

Dominated by systematics: jet energy scale, b-tagging, t γ q modeling

$$\mathcal{B}(t \rightarrow \mu \nu b) \sigma(t \gamma j) = 115 \pm 17(\text{stat})_{-27}^{+33}(\text{syst}) \text{ fb}$$

The SM predicted cross section is $81 \pm 4 \text{ fb}$



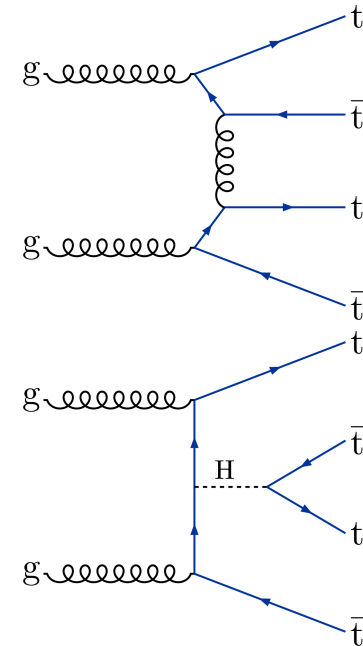


Four top quarks ($t\bar{t}t\bar{t}$)

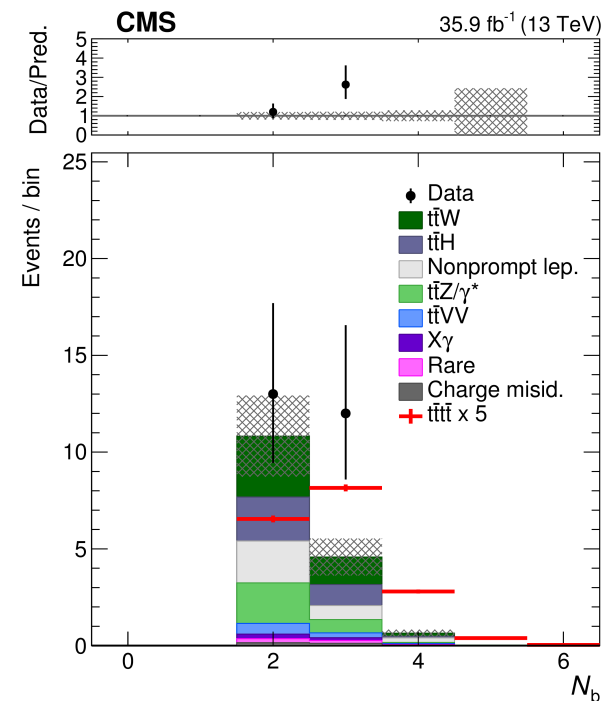
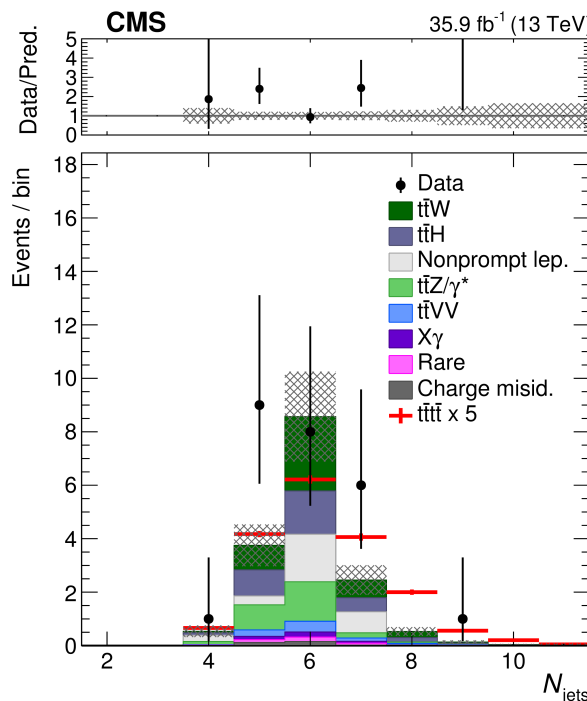
Eur. Phys. J. C 78 (2018) 140

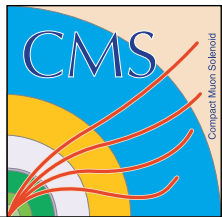
Analysis in $2\ell ss$ and $\geq 3\ell$ final state

- **Take advantage of large multiplicity:**
 - Require $HT > 300$ GeV
 - Categorize in the **number of jets and b-jets**
- Construct **$t\bar{t}Z$ and $t\bar{t}W$ control regions**
- **Charge misidentification** and **non-prompt leptons:** same techniques as in $t\bar{t}W/Z$ analysis
- **Main systematics:** lepton identification, QCD scale and pdf, ISR/FSR



N_ℓ	N_b	N_{jets}	Region
2	2	≤ 5	CRW
		6	SR1
		7	SR2
		≥ 8	SR3
	3	5, 6	SR4
		≥ 7	SR5
≥ 3	≥ 4	≥ 5	SR6
	2	≥ 5	SR7
	≥ 3	≥ 4	SR8
Inverted Z veto			CRZ





Four top quarks ($t\bar{t}t\bar{t}$)

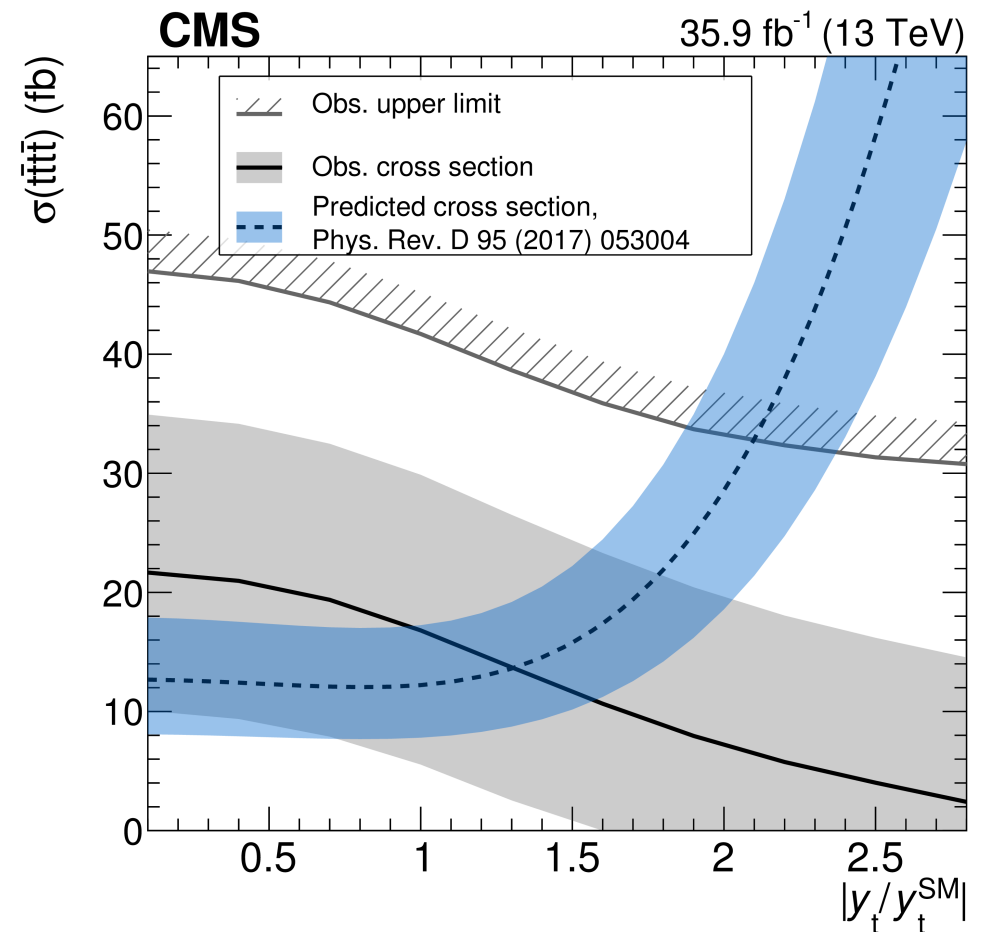
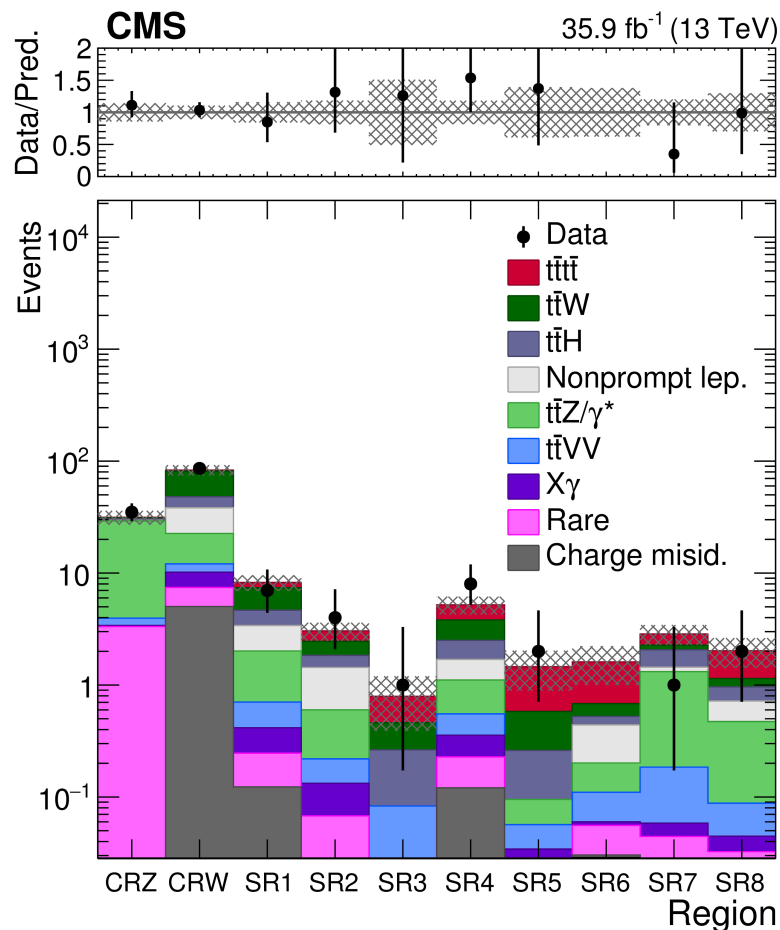
Eur. Phys. J. C 78 (2018) 140

Observation 1.6σ (1.0σ expected)

$t\bar{t}t\bar{t}$ cross section is measured to be $16.9^{+13.8}_{-11.4}$ fb,
NLO SM cross section of $9.2^{+2.9}_{-2.4}$ fb

Constraint on Top-Higgs coupling κ_t
(looser than Higgs measurements)

$$|y_t/y_t^{\text{SM}}| < 2.1 \text{ at 95\% confidence level}$$





Conclusions and perspectives

Processes with top quarks produced at low rate in the SM

- **tt+W and tt+Z are observed at 13 TeV**
 - Processes very important to understand ttH signal extraction
 - Data is in agreement with SM at NLO
 - ttZ has a large signal: we can hope to perform differential measurements
- **tt + γ (8 TeV):**
 - First measurement at CMS, to be performed at 13 TeV
- **tZq at 13 TeV:**
 - **tZq is observed at CMS with 3.7σ** (3.2σ expected)
 - Matrix element method improves expected significance by 20%
 - Can improve further lepton identification and non-prompt estimate
- **tyq (13 TeV, NEW!):**
 - New measurement: **evidence of tyq at 4.4σ** (3.0σ expected)
- **Four top quarks (13 TeV)**
 - Takes advantage of ttH and ttW/Z analyses
 - This very rare process is not yet observed, but is very sensitive to new physics
 - **Allow to constrain top-Higgs coupling** (although less precisely than ttH) through virtual Higgs diagrams

Back-up slides

Matrix Element Method

MEM weight

Integration

Matrix Element at LO

$$w_{i,\alpha}(\Phi') = \frac{1}{\sigma_\alpha} \int d\Phi_\alpha \cdot \delta^4\left(p_1^\mu + p_2^\mu - \sum_{k \geq 2} p_k^\mu\right) \cdot \frac{f(x_1, \mu_F) f(x_2, \mu_F)}{x_1 x_2 s} \cdot \left| \mathcal{M}_\alpha(p_k^\mu) \right|^2 \cdot W(\Phi' | \Phi_\alpha)$$

Phase-space
enforcing 4-momentum conservation

Parton distribution function

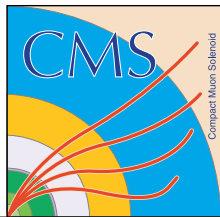
Transfer functions
relating parton-level to reconstructed quantities

Interpretation: The **MEM weight** is the cross section, for a given hypothesis, evaluated at the phase space point of the event, convolved with the transfer functions

MEM likelihood ratio

- **Neyman-Pearson Lemma:** Maximum **discrimination** between two hypotheses with a likelihood ratio

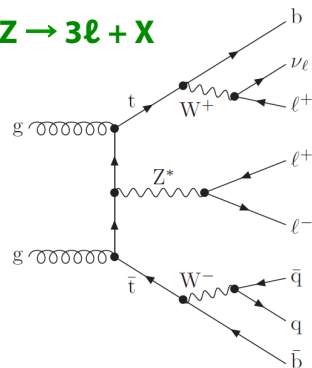
$$D_i = \frac{P(\mathbf{x}_i | S)}{P(\mathbf{x}_i | S) + P(\mathbf{x}_i | B)}$$



$t\bar{t}H$ multilepton: backgrounds

CMS HIG-17-004

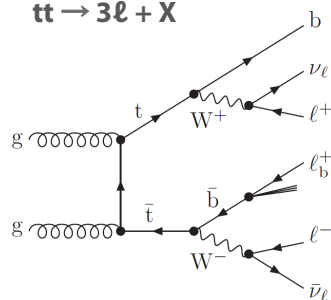
$ttZ \rightarrow 3\ell + X$



Irreducible: $tt+W/Z/\gamma^*$

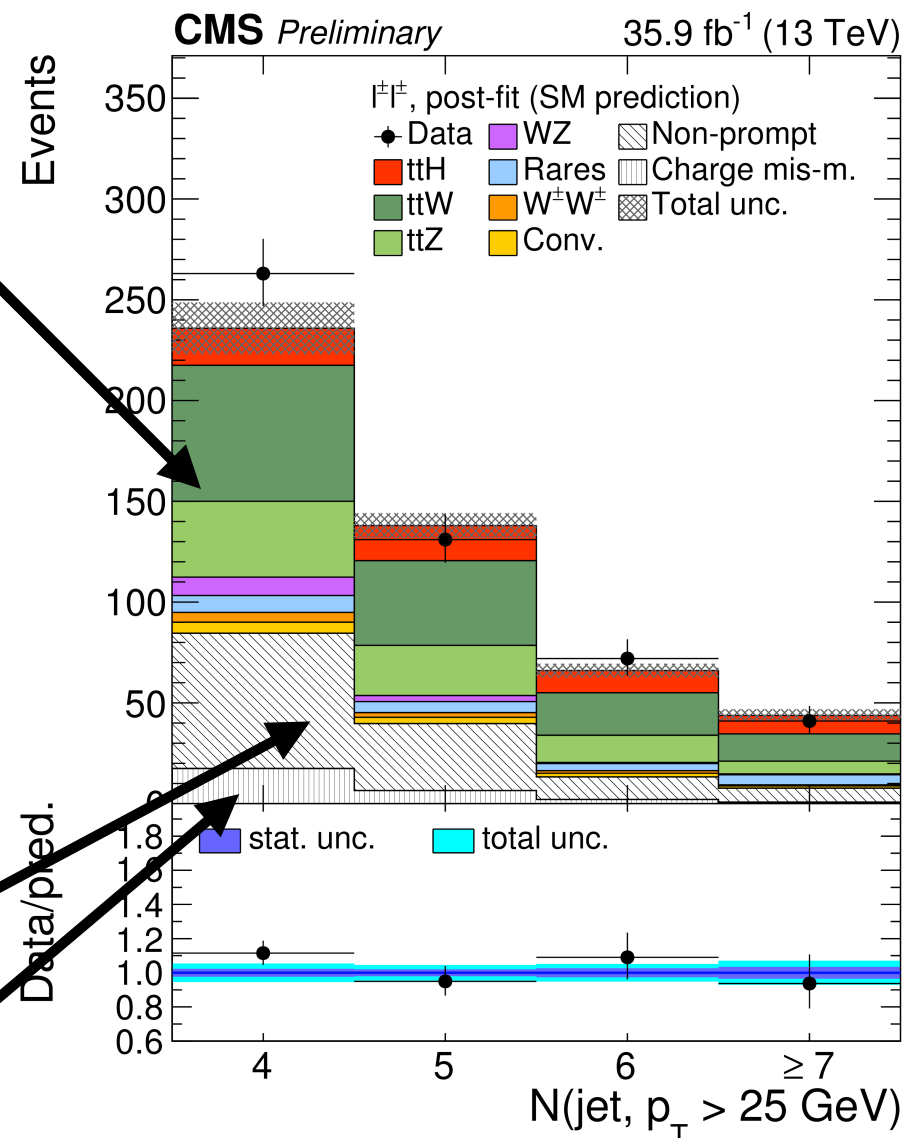
- from Monte Carlo,
- O(10%) uncertainty

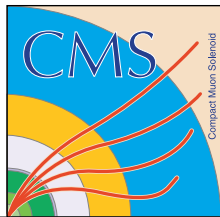
$tt \rightarrow 3\ell + X$



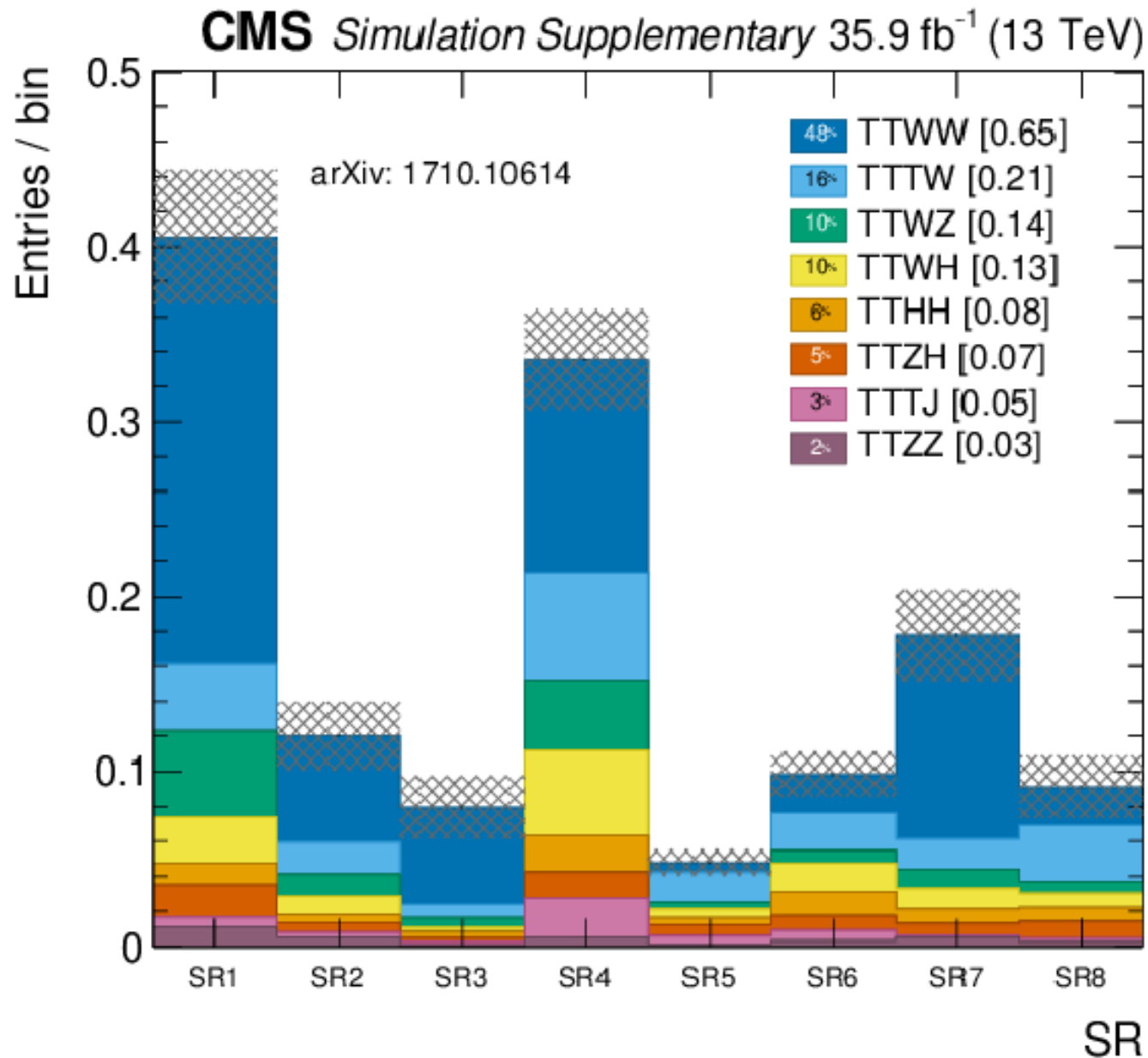
Reducible: mainly tt +jets,

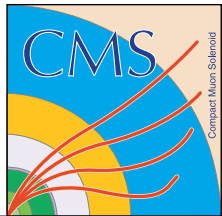
- shape obtained from data,
- O(30%) uncertainty
- **Jets faking leptons:** fake rate computed from QCD control region with loosened identification
- **Charge mis-assignment (2 ℓ ss only):** flip rate from $Z \rightarrow \ell^+ \ell^-$ data





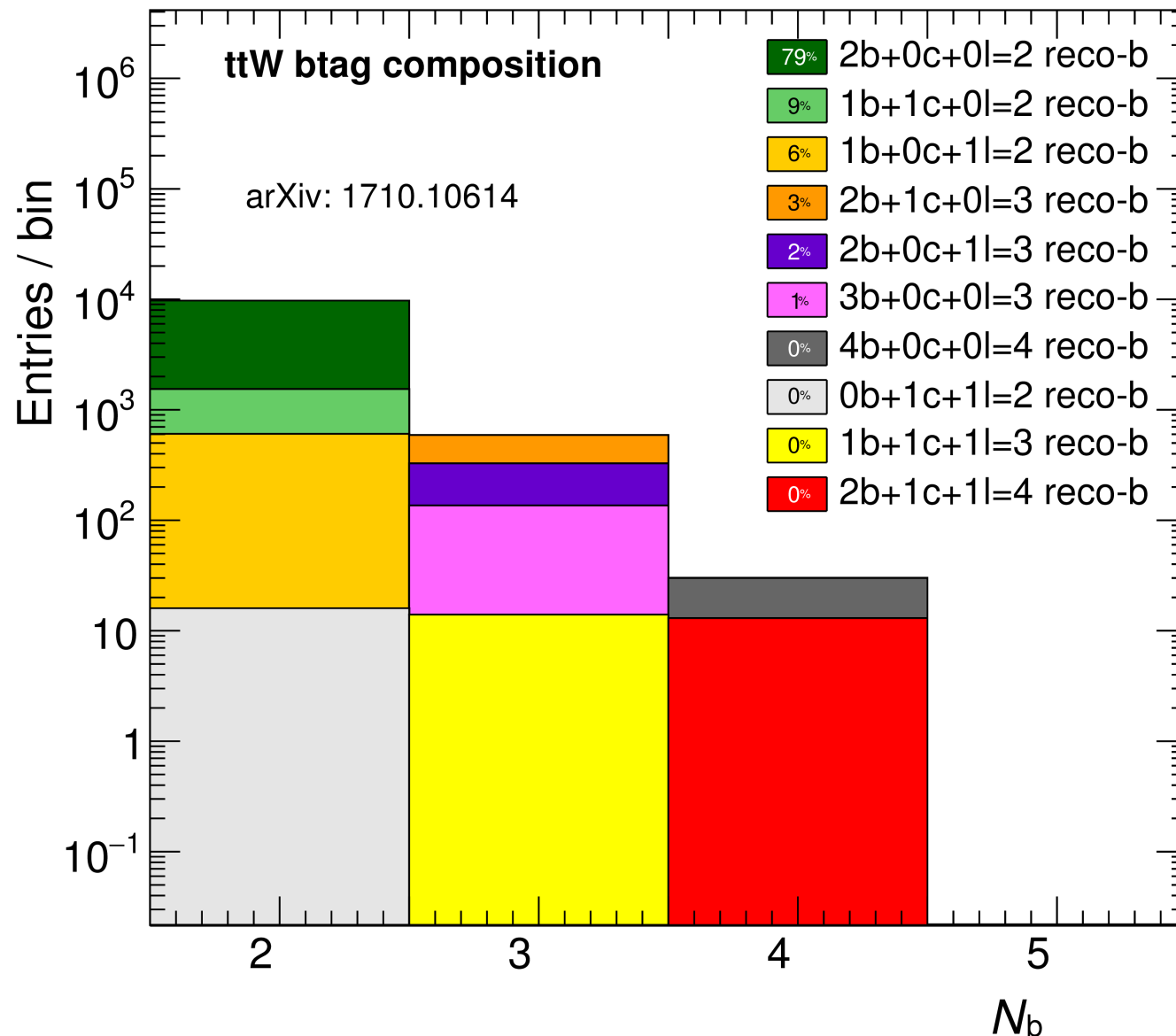
Four tops: rare backgrounds





Four tops: ttW composition

CMS *Simulation Supplementary* 35.9 fb⁻¹ (13 TeV)





Rare top quark processes: summary

