

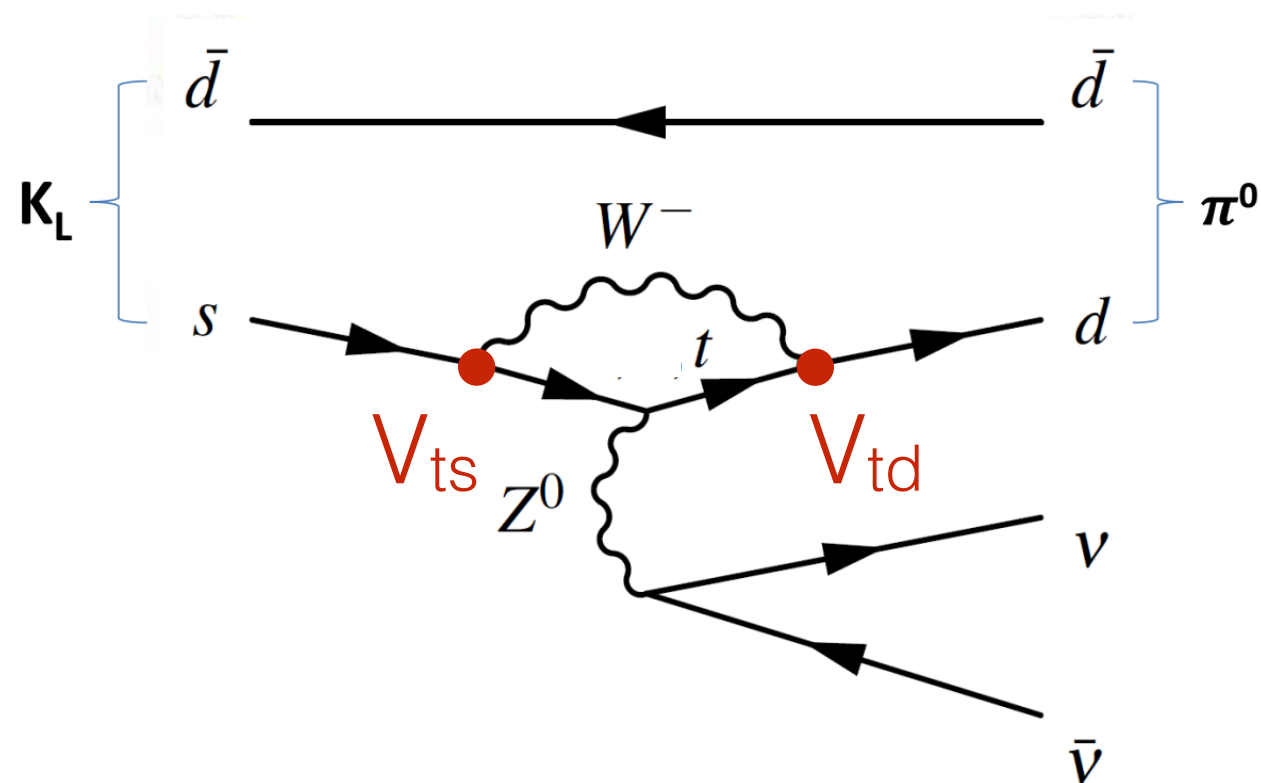
# Study of the normalization modes in the search for the rare $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$ with the KOTO detector

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University of Michigan  
On behalf of the KOTO collaboration

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# $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$

- SM predicted Branching Ratio of  $(K_L^0 \rightarrow \pi^0 \nu \bar{\nu})$  predicted to be  $(3.00 \pm 0.30) \times 10^{-11}$
- Clean channel with small theoretical uncertainties (1-2%)
- 2nd order FCNC process directly violates CP



$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (9.11 \pm 0.72) \times 10^{-11} \text{ (Buras ...et. al 2015)}$$

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (3.00 \pm 0.30) \times 10^{-11} \text{ (Buras ...et. al 2015)}$$

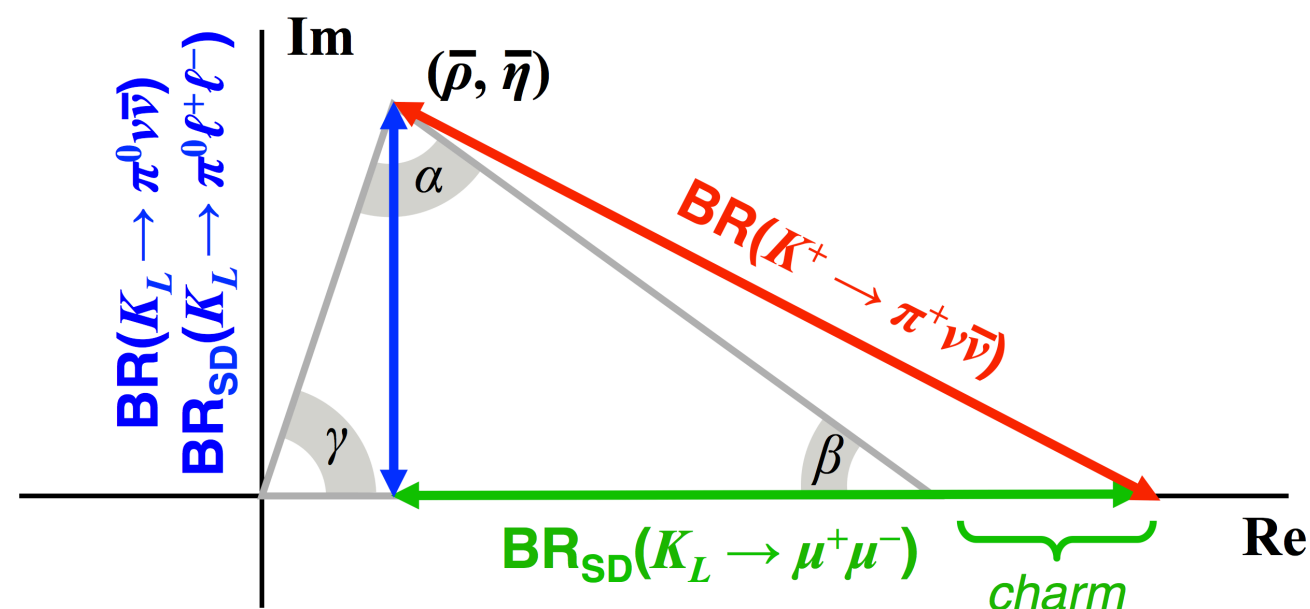
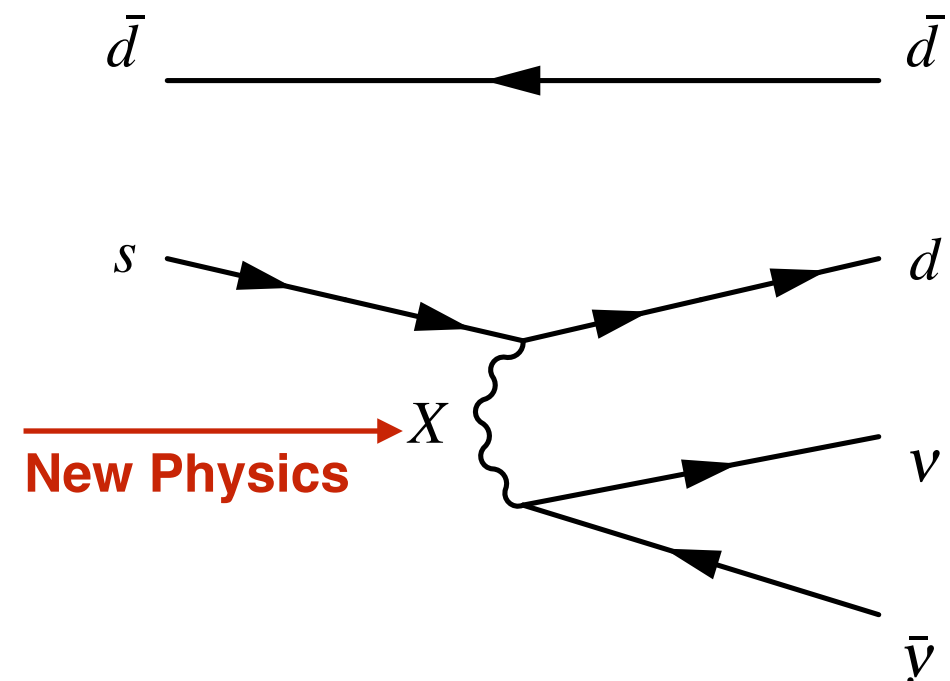
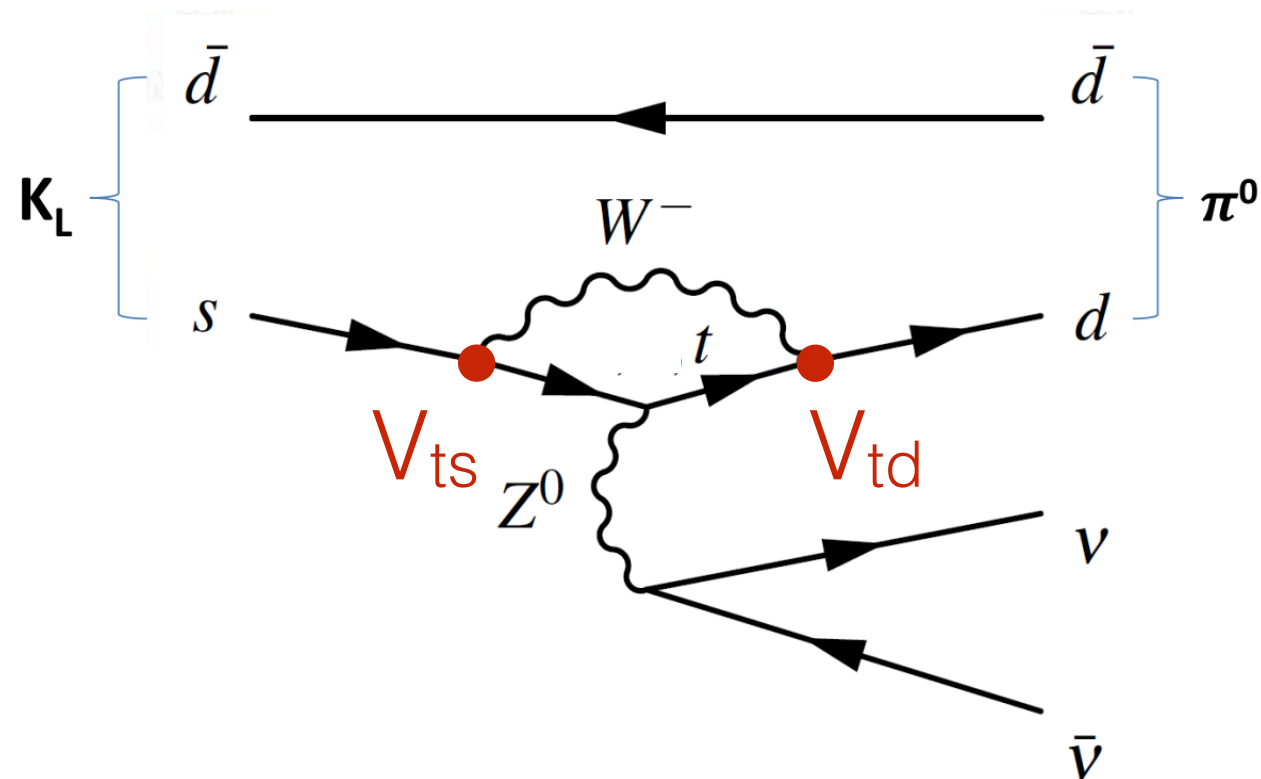


Fig. Unitarity triangle

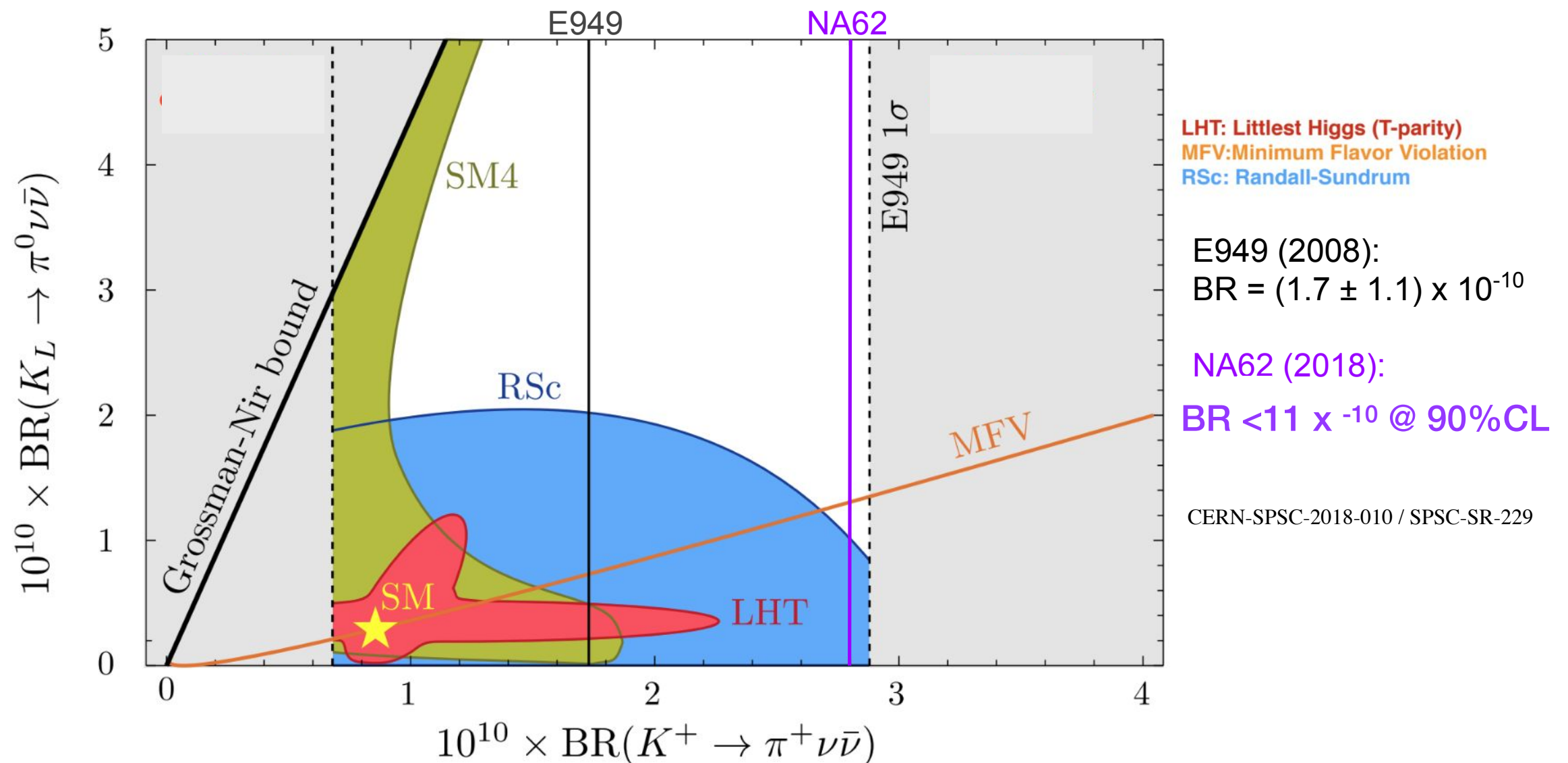
# $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$

- SM predicted Branching Ratio of  $(K_L^0 \rightarrow \pi^0 \nu \bar{\nu})$  predicted to be  $(3.00 \pm 0.30) \times 10^{-11}$
- Clean channel with small theoretical uncertainties (1-2%)
- 2nd order FCNC process directly violates CP
- Sensitive to New Physics (NP) beyond the Standard Model



# $K \rightarrow \pi \nu \bar{\nu}$ searches

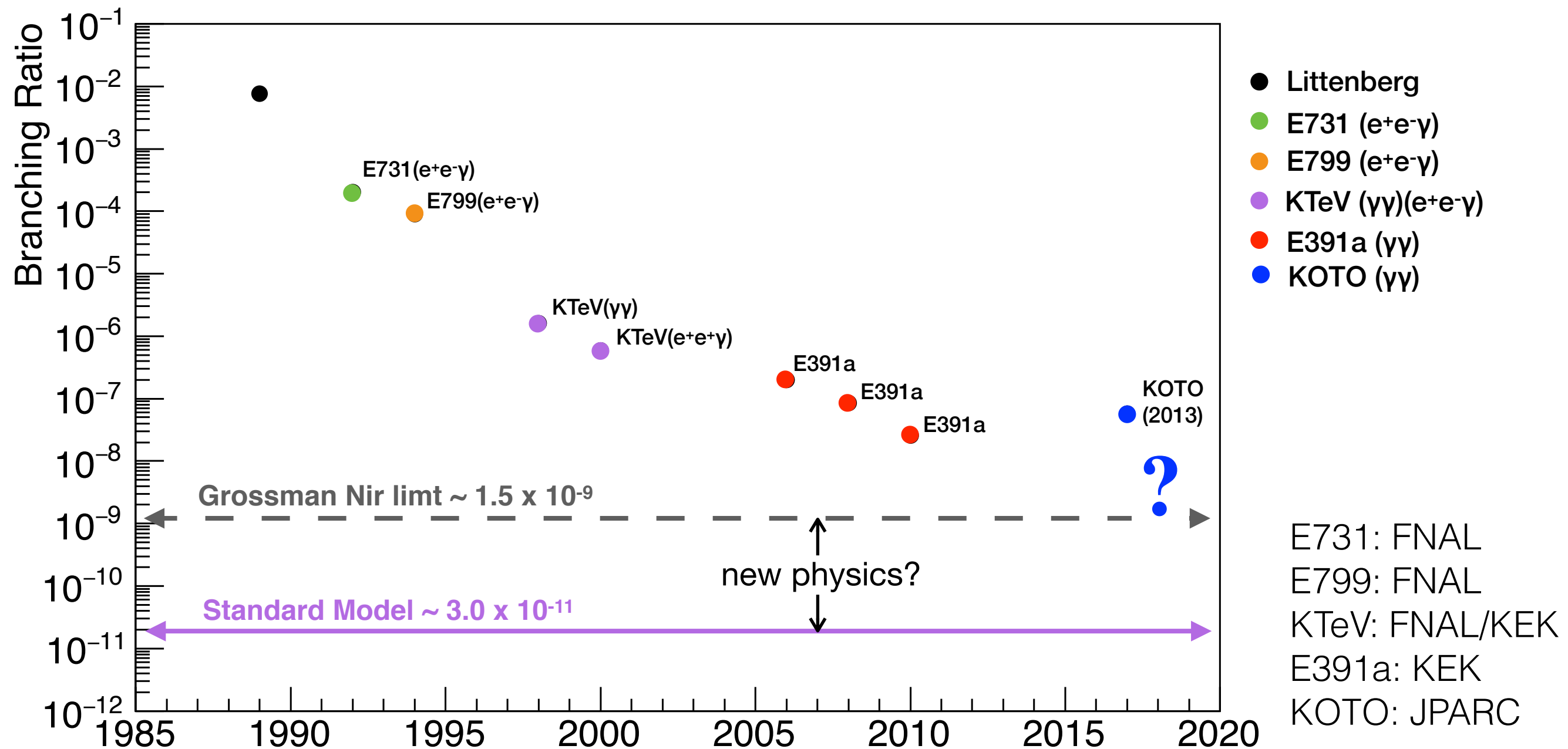
- Charged decay measurement (NA62) provides important information
  - SM BR ( $K^+ \rightarrow \pi \nu \bar{\nu}$ ) predicated to be  $(9.11 \pm 0.72) \times 10^{-11}$
- Grossman-Nir: indirect limit based on isospin relations between ( $K^+ \rightarrow \pi \nu \bar{\nu}$ ) and ( $K_L^0 \rightarrow \pi \nu \bar{\nu}$ )
- $\text{BR}(K_L^0 \rightarrow \pi \nu \bar{\nu}) < 4.4 \times \text{BR}(K^+ \rightarrow \pi \nu \bar{\nu}) \longrightarrow \text{BR}(K_L^0 \rightarrow \pi \nu \bar{\nu}) < 1.5 \times 10^{-9}$





$$K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$$

- KOTO will contribute a new arc to the narrative

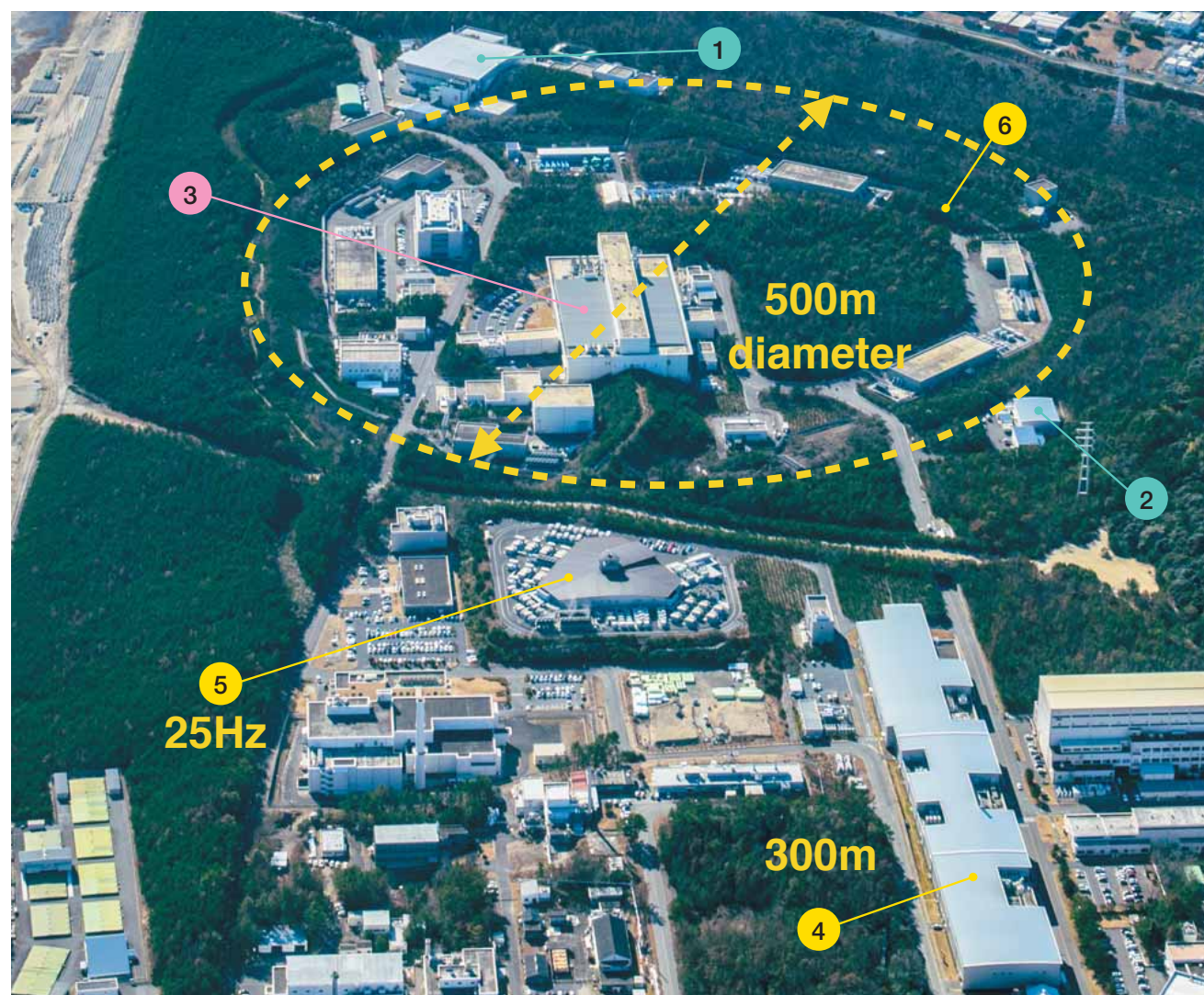


# Experimental setup

- Experiment performed in the Hadron Experimental Facility (HEF) at J-PARC
- 30 GeV proton beam on stationary gold target
- Secondary neutral beam extracted for KOTO experiment

“K<sup>0</sup> at TOkai”

- 1 **Hadron Experimental Facility**  
IPNS: nuclear and particle physics
- 2 **Neutrino Experimental Facility**  
IPNS: neutrino physics
- 3 **MLF**  
Materials and Life Science  
Experimental Facility  
IMSS: material science & life science
- 4 **Injector Linac**  
400 MeV proton linac
- 5 **RCS, Rapid Cycle Synchrotron**  
3 GeV proton synchrotron
- 6 **MR, Main Ring**  
50 GeV proton synchrotron



Sciences at J-PARC, Japan Proton Accelerator Research Complex, in the Tokai campus.  
(Note) J-PARC is operated jointly by KEK and JAEA, Japan Atomic Energy Agency.

**Fig. View of the J-PARC facility**



# KOTO beam line

## Highly collimated neutral “pencil” beam

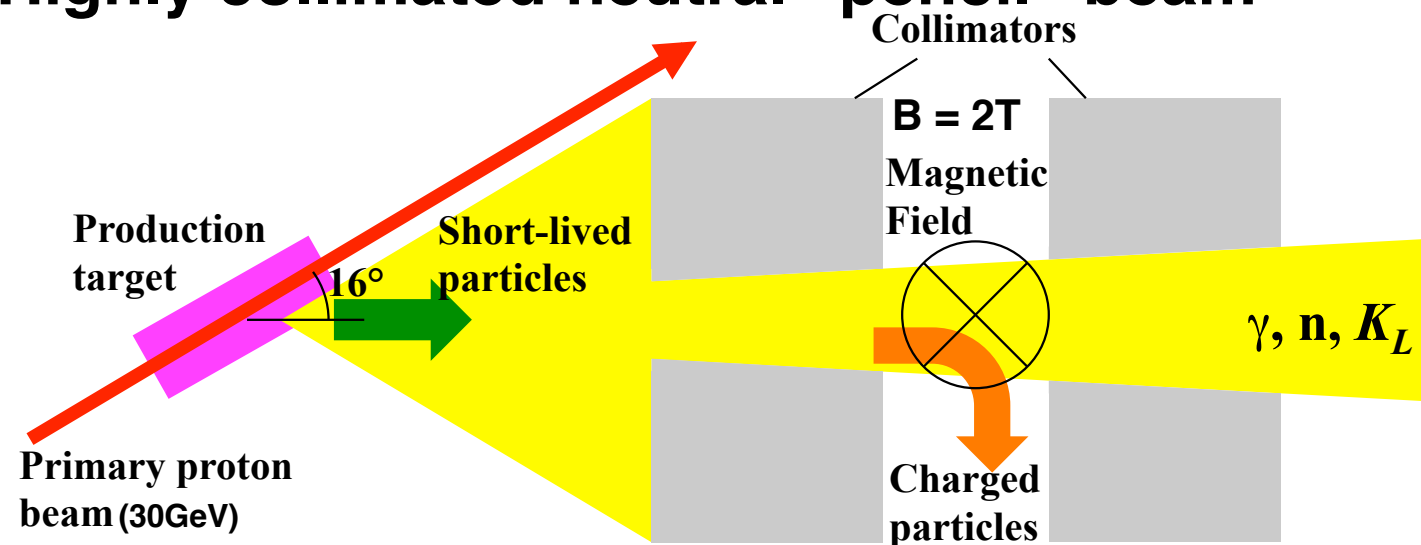


Fig. Depiction of neutral beam line production  
Target to detector distance = 21.5 m

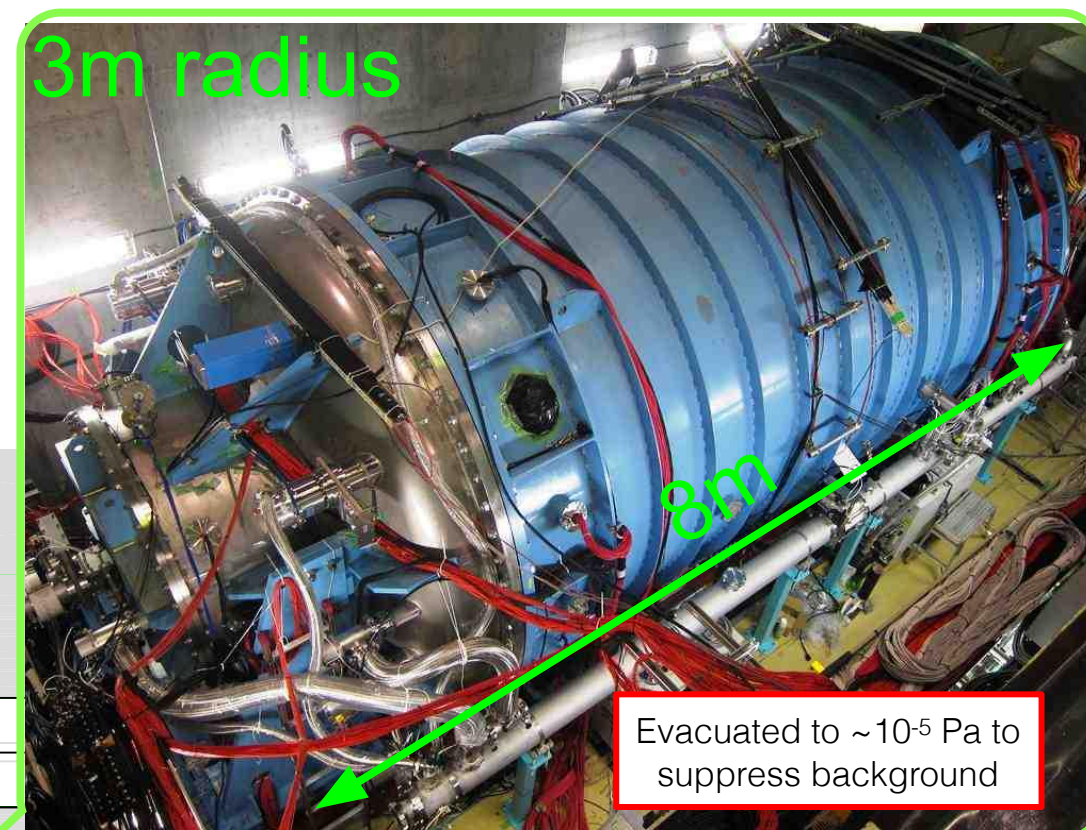


Fig. Outer vacuum container houses all main KOTO detectors

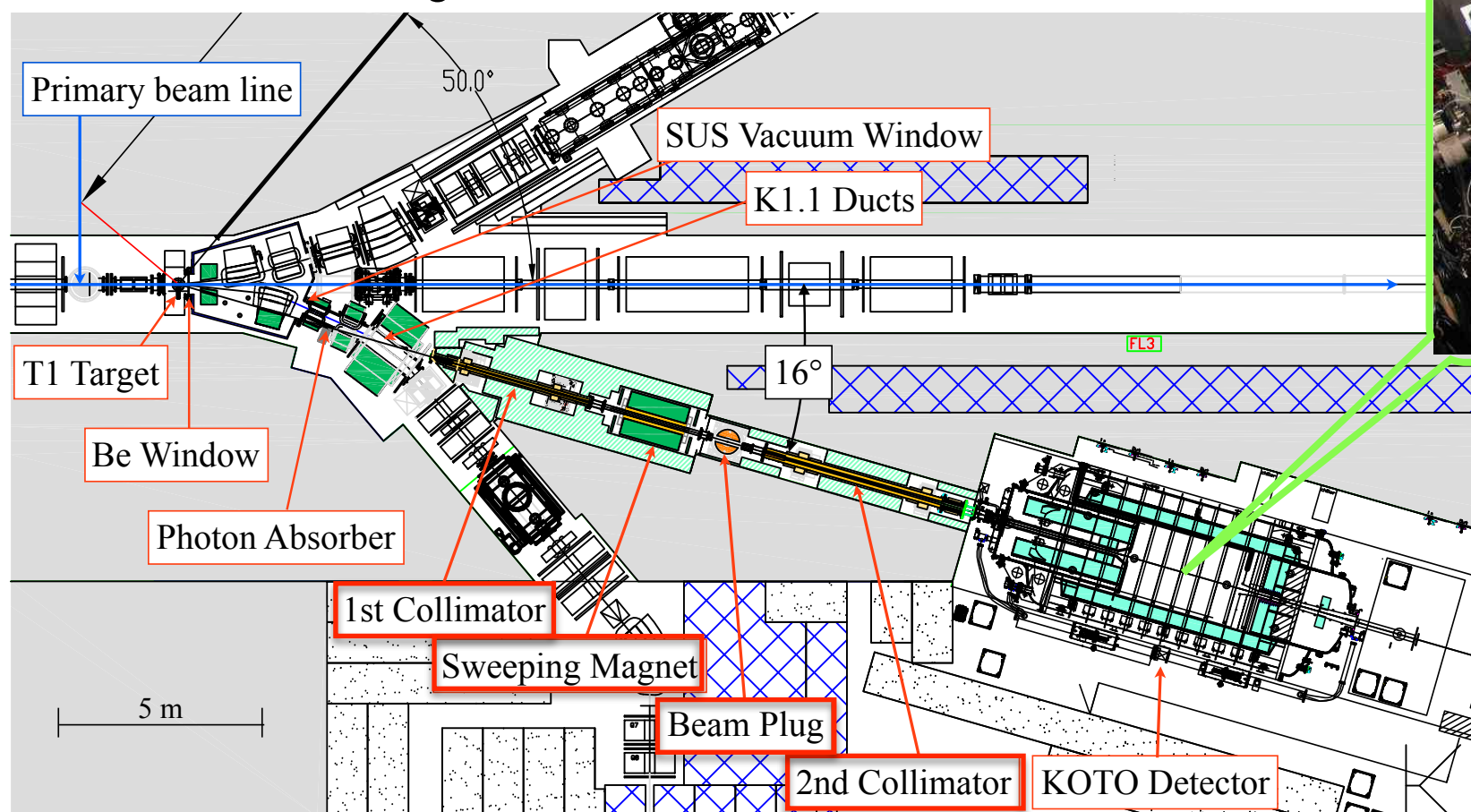


Fig. Layout inside Hadron Hall

# KOTO detectors

## •Two sub-system design and operation:

- Cesium Iodide Calorimeter (Csl)
- Hermetic veto detectors
  - Charged vetoes removes events with charged particle
  - Photon vetoes to detect other  $K_L^0$  decays

## Background is not our friend

Decay Mode	Branching Ratio
$K_L^0 \rightarrow \pi^\pm e^\mp \nu_e$	$0.4055 \pm 0.0011$
$K_L^0 \rightarrow \pi^\pm \mu^\mp \nu_\mu$	$0.2704 \pm 0.0007$
$K_L^0 \rightarrow 3\pi^0$	$0.1952 \pm 0.0012$
$K_L^0 \rightarrow \pi^+\pi^-\pi^0$	$0.1254 \pm 0.0005$
$K_L^0 \rightarrow 2\pi^0$	$(0.864 \pm 0.006) \times 10^{-3}$
$K_L^0 \rightarrow 2\gamma$	$(0.547 \pm 0.004) \times 10^{-3}$

Table. Branching ratios of various Kaon decays (PDG)

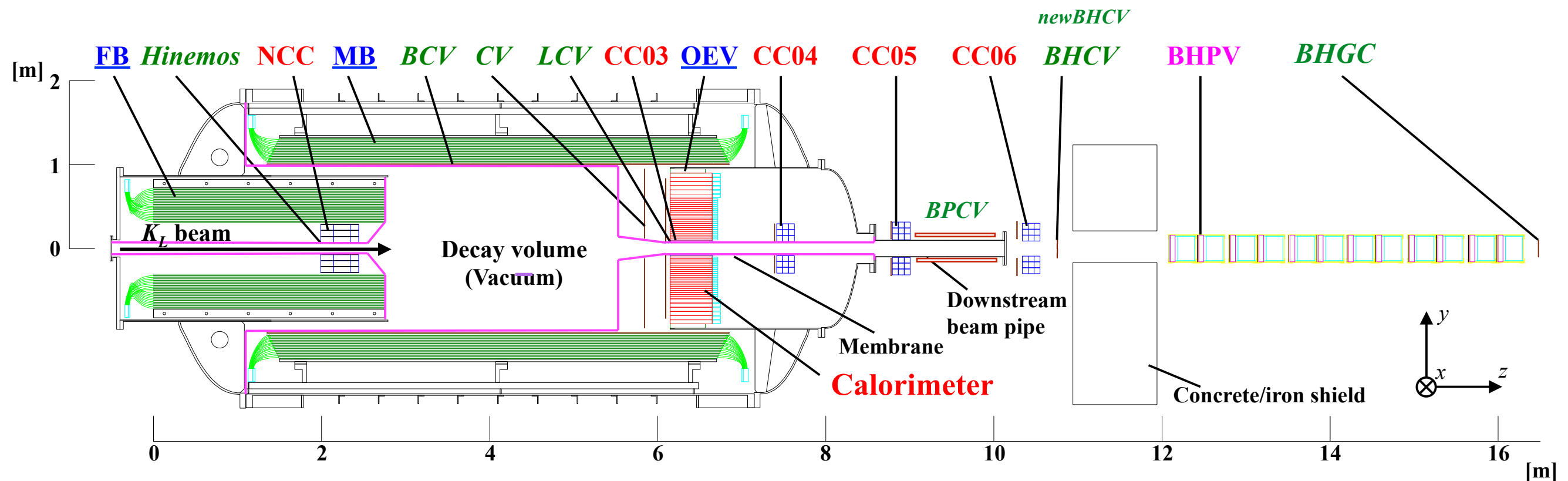
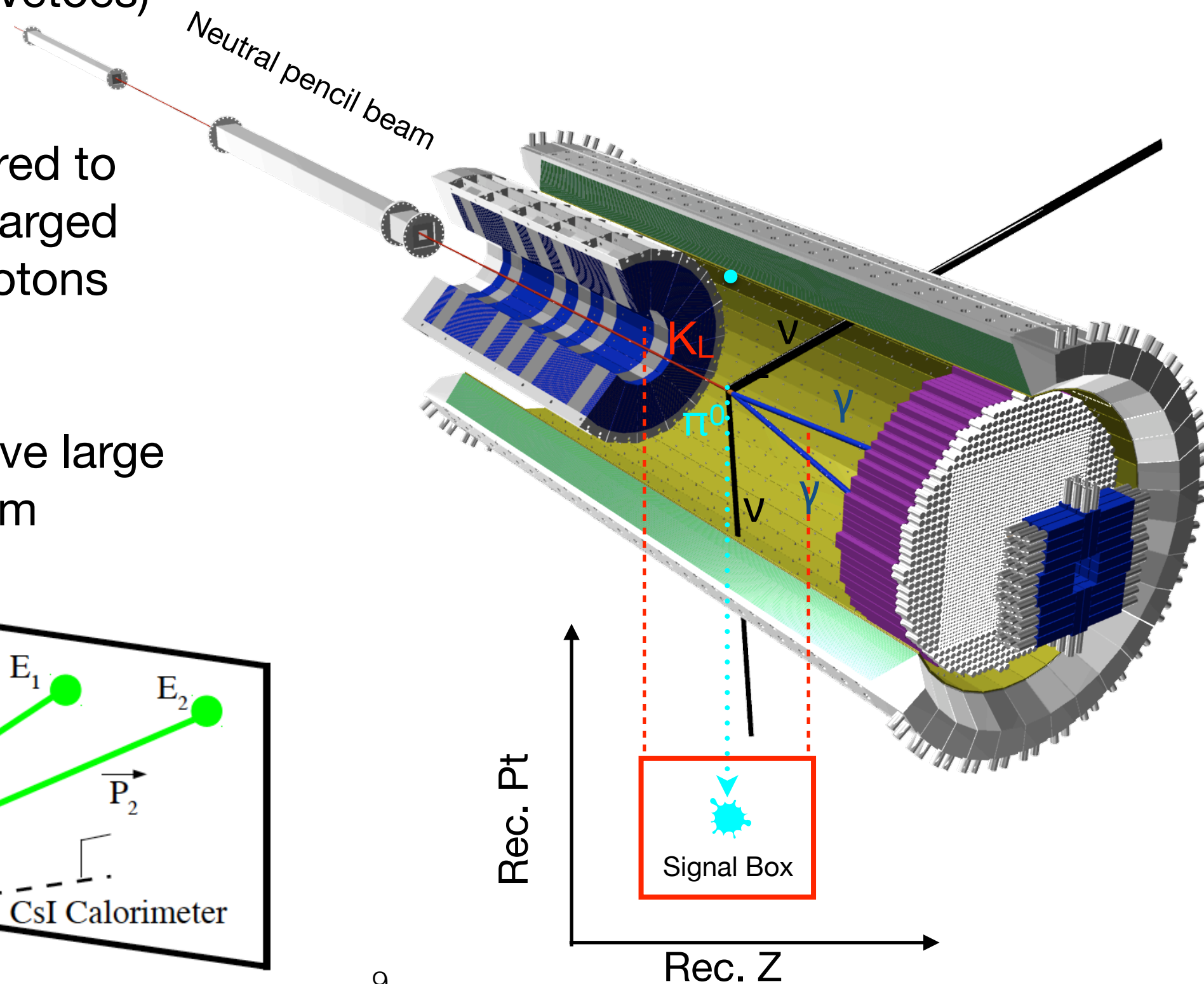
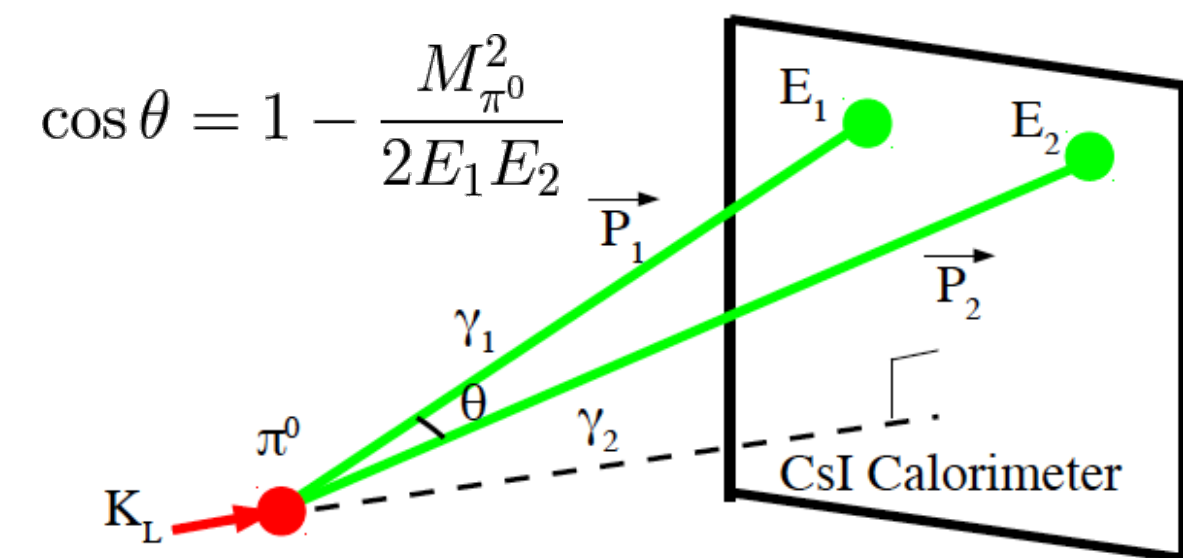


Fig. KOTO detector components



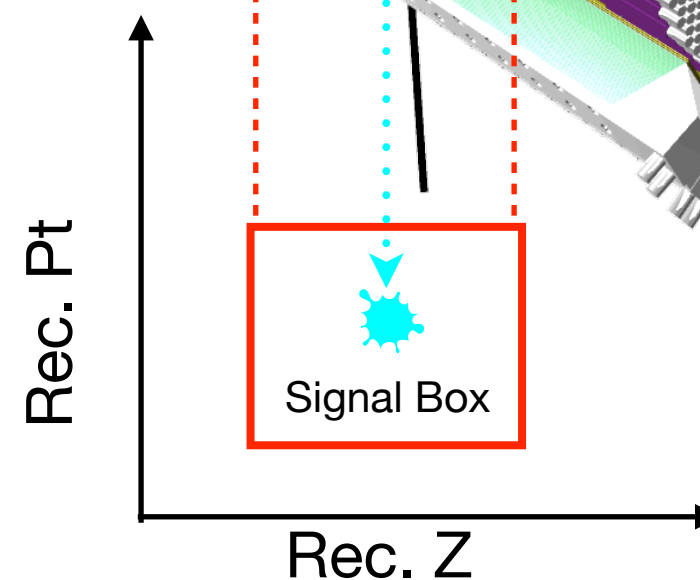
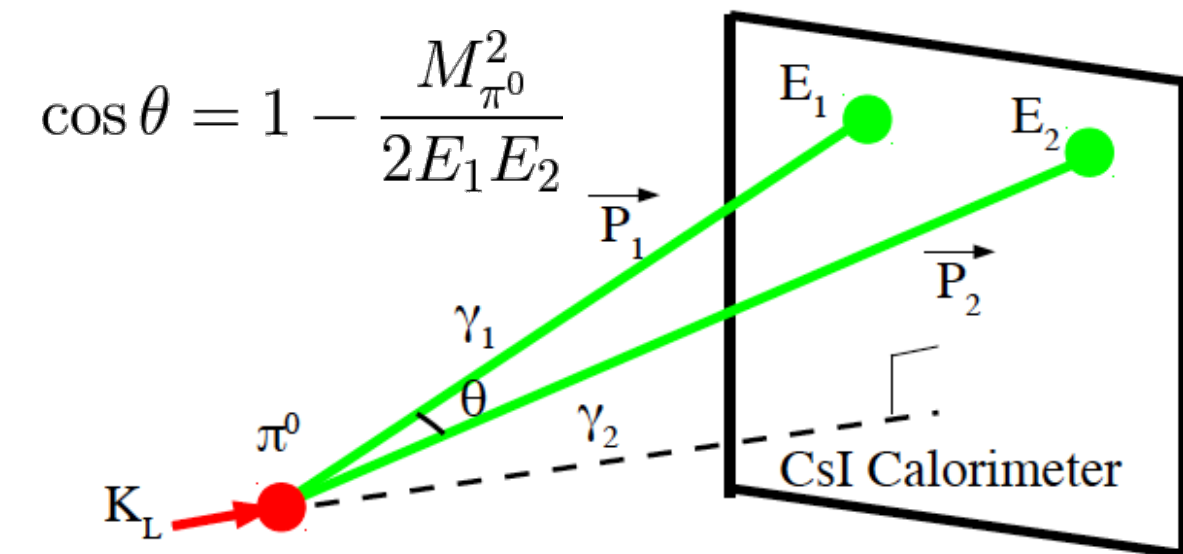
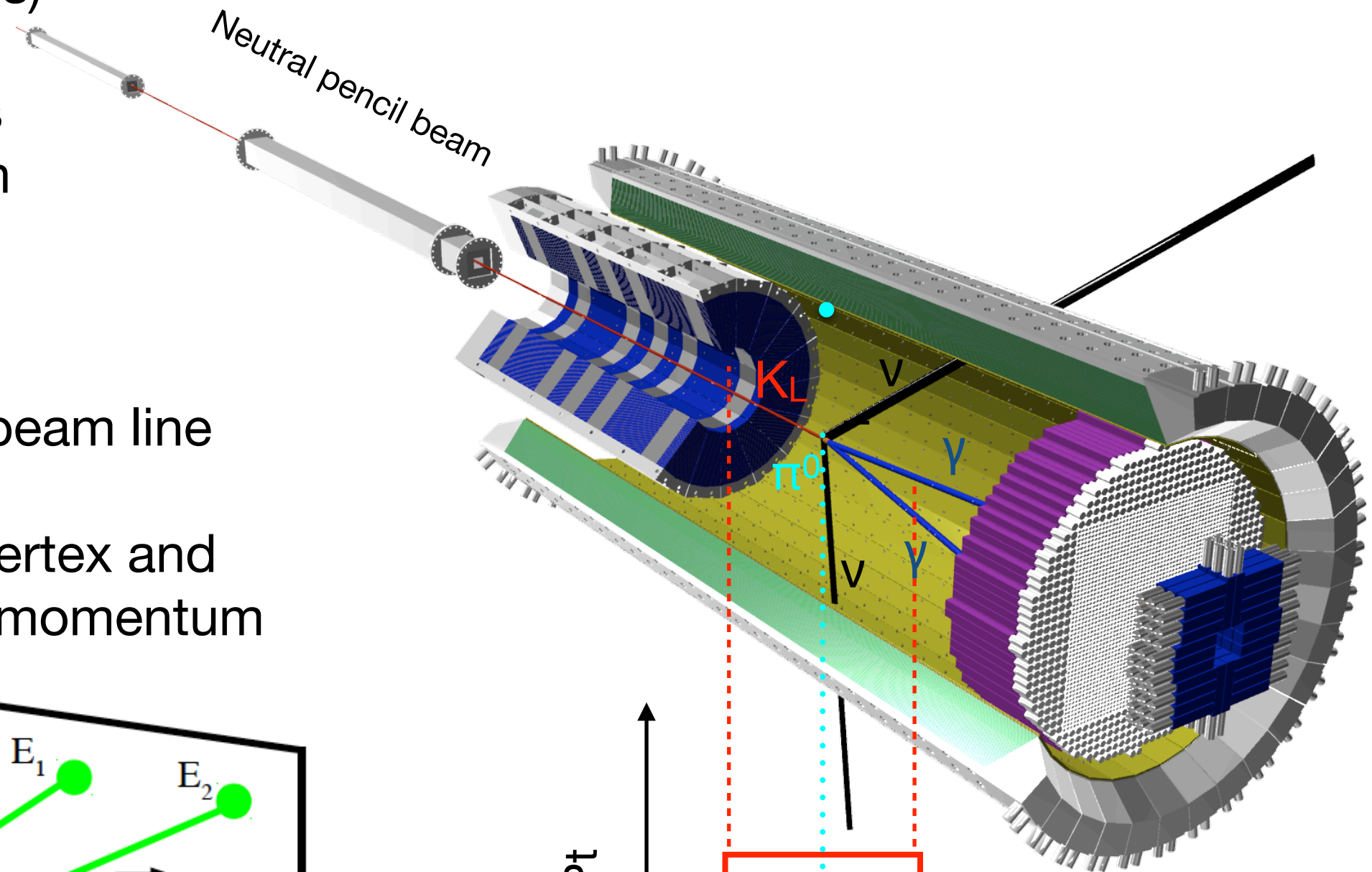
# Experimental approach

- Detect 2 photon hits from  $\pi^0$  (Csl) + nothing else (Veto)
- High efficiency required to reject events with charged particles or other photons
- Require events to have large transverse momentum



# Signal reconstruction

- Detect 2 photon hits from  $\pi^0$  (CsI)  
+ nothing else (Veto)
- CSI  $\rightarrow$  2 photon hits
  - Energy and position
- Constraints
  - $\pi^0$  mass
  - Decay position on beam line
- Reconstruct decay vertex and calculate transverse momentum



# Signal distribution

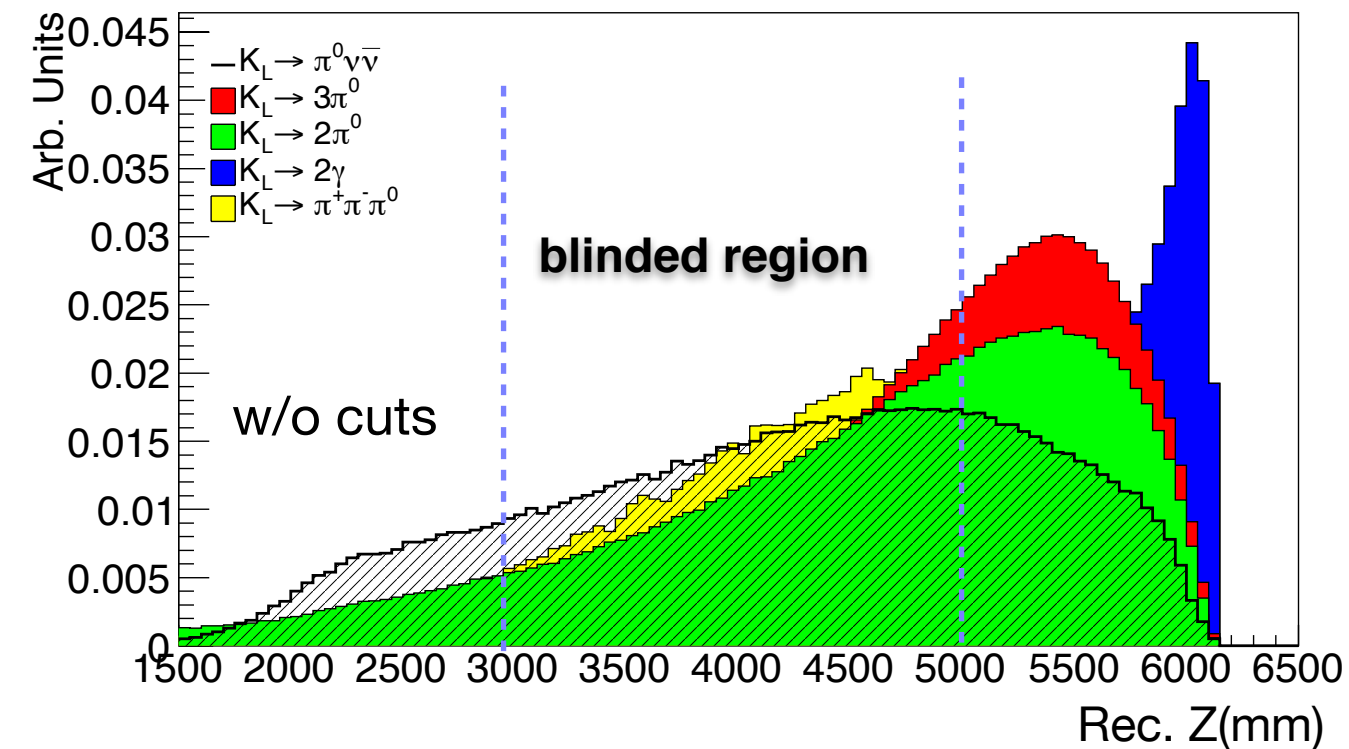


Fig. Monte Carlo of signal and background distributions

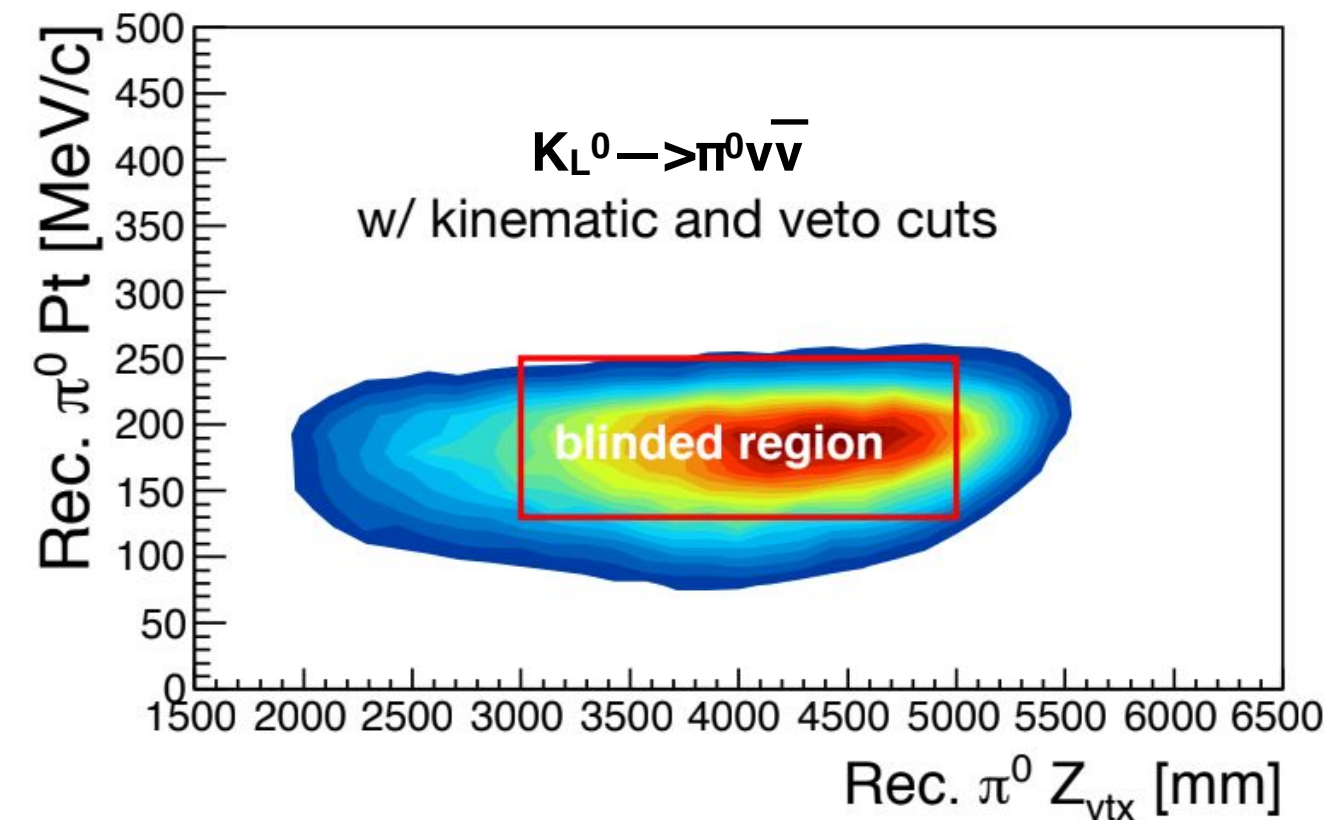
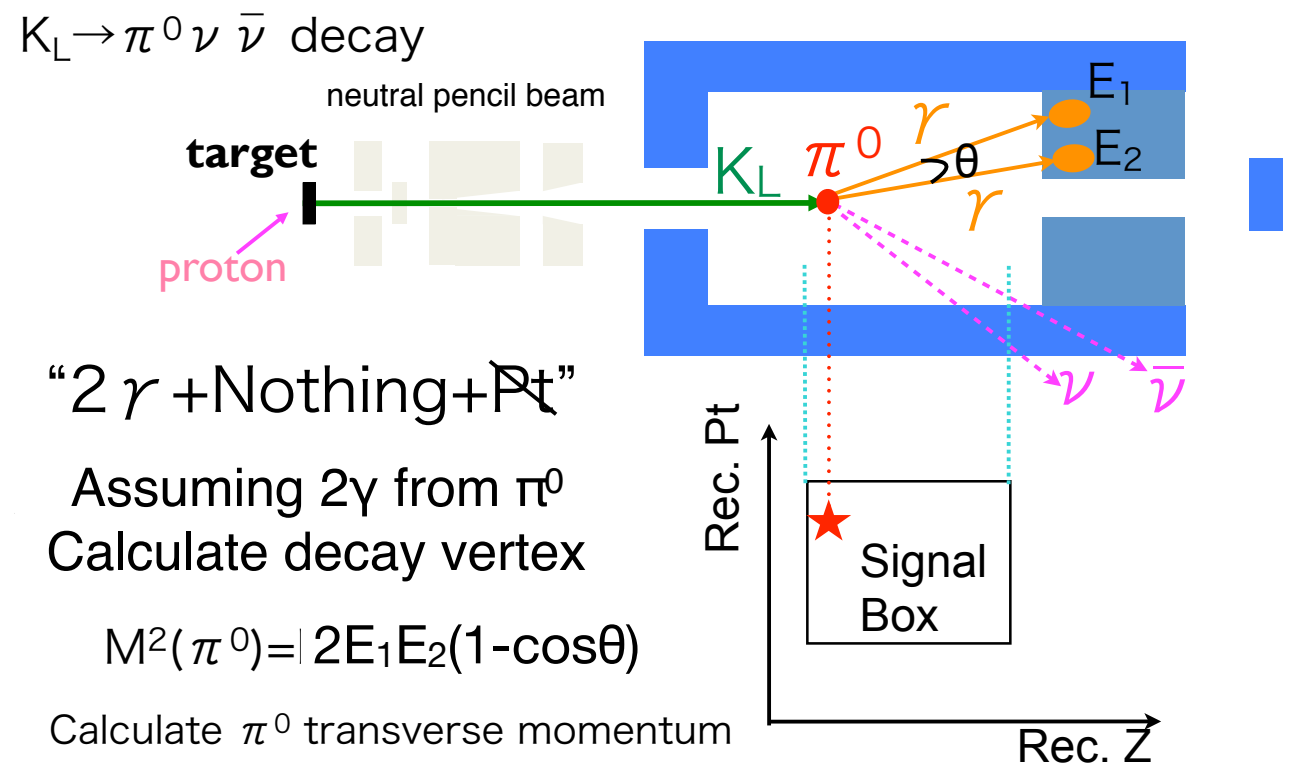


Fig. Monte Carlo sample of signal ( $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$ ) distribution

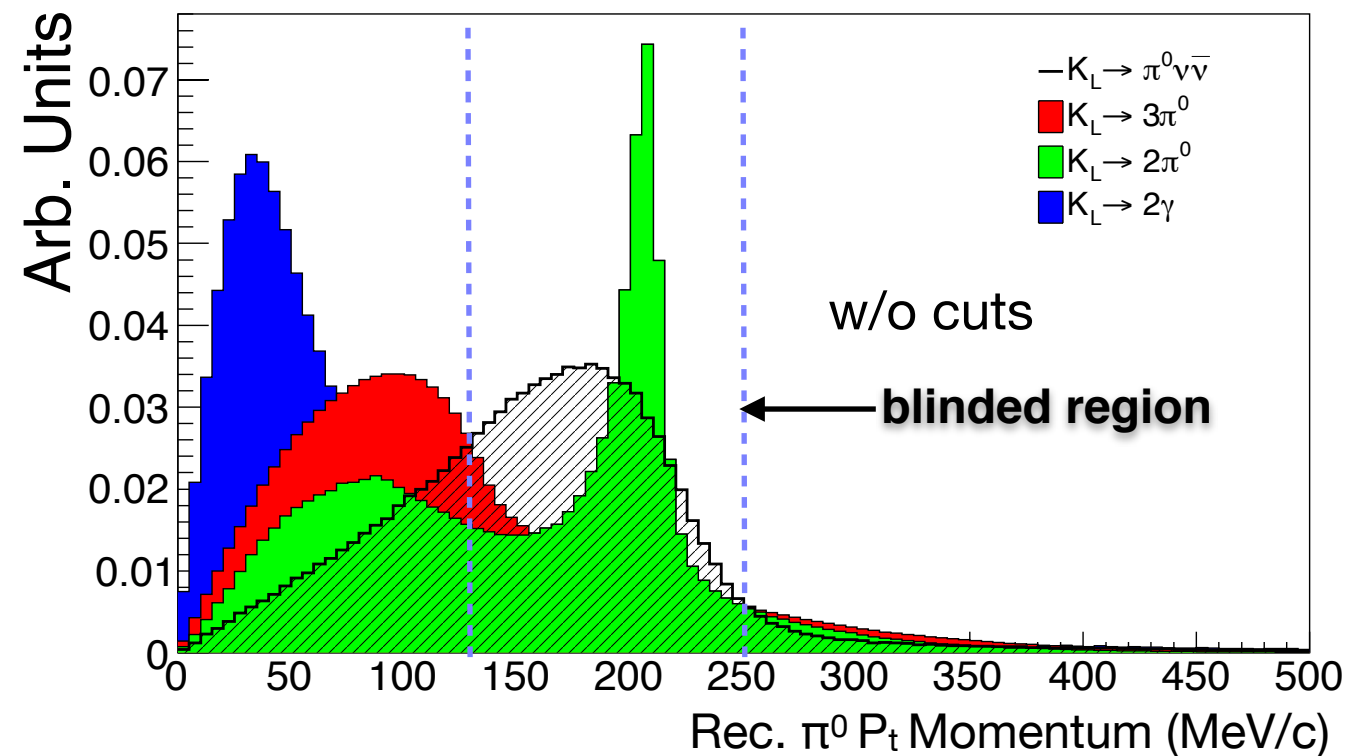


Fig. Monte Carlo of signal and background distributions



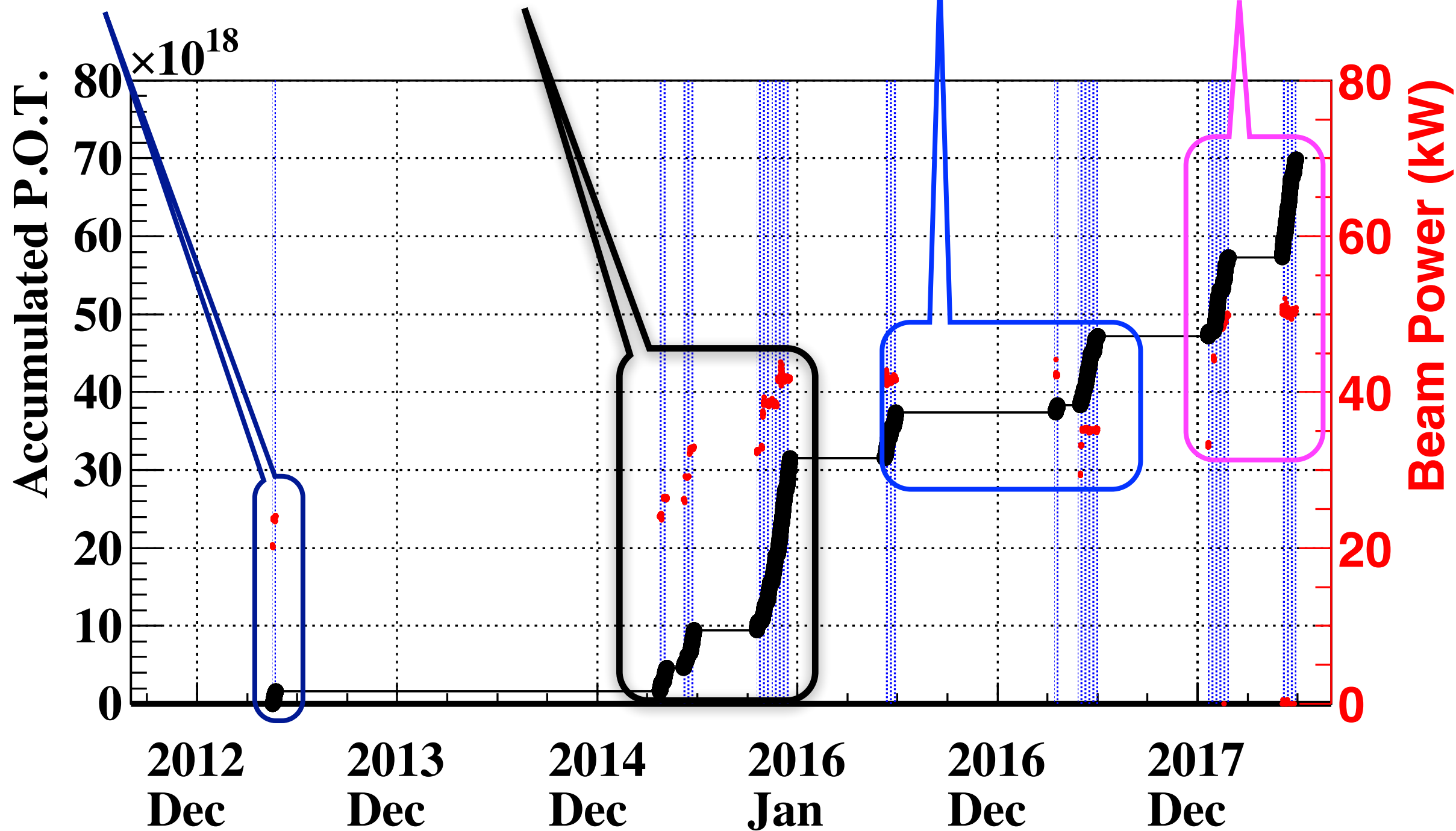
# KOTO timeline

**2013 Run**  
First physics run  
PTEP 021C01 (2017)

**2015 Run**  
PRESENT ANALYSIS  
Statistics  $\sim 20 \times \text{Run49}$

**2016-2017 Run**  
Analysis in progress

**2018 Run**  
Analysis in preliminary stage





# First run results

2013 results showed the largest background contribution for neutron generated events

- *Expected* / observed = 0.34/1
- $BR(K_L^0 \rightarrow \pi \nu \nu) < 5.1 \times 10^{-8}$  at 90% C.L.

## Improvements since 2013 run

- Suppression of neutron background
  - Improved collimator alignment
  - Replaced vacuum window
  - Special runs to study neutron events
- Photons and charged pions
  - Additional downstream vetoes

Halo neutrons hitting NCC ( $\pi^0$ )

Halo neutrons hitting Csl

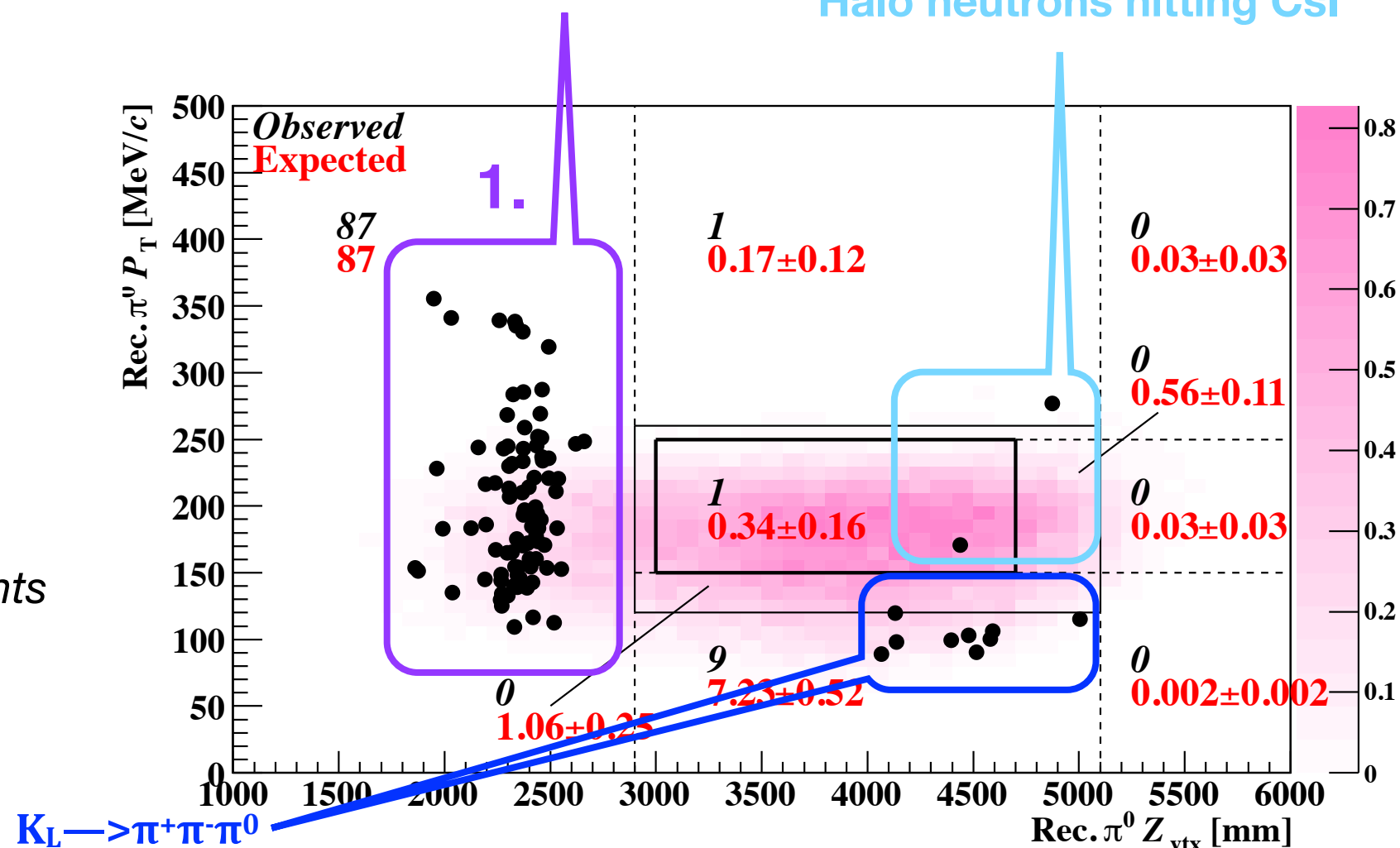


Fig. Reconstructed  $\pi^0 P_T$  vs. decay vertex position

# Analysis

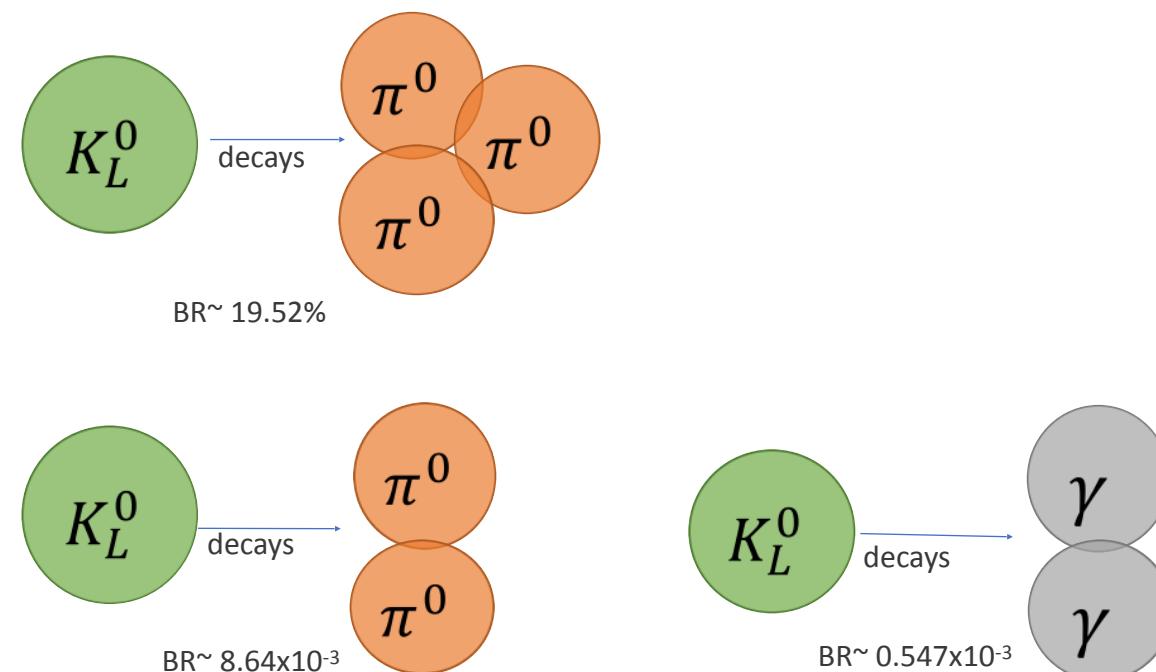


- Blind analysis

- Signal reconstruction

- Study of neutral normalization modes because they were fully reconstructed and clearly identified.

- Background estimation



- Single Event Sensitivity

- $K_L^0$  yield

- Signal acceptance

$$SES = \frac{1}{N_{K_L^0} \times A_{\text{signal}}}$$

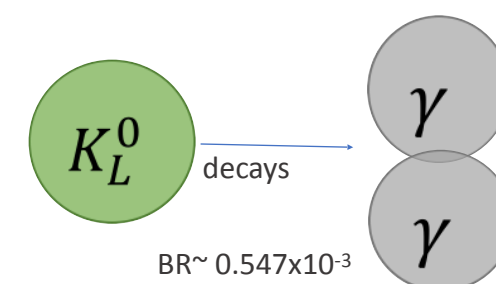
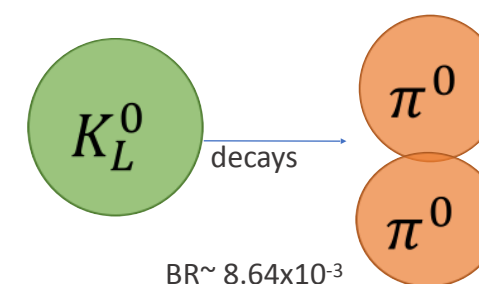
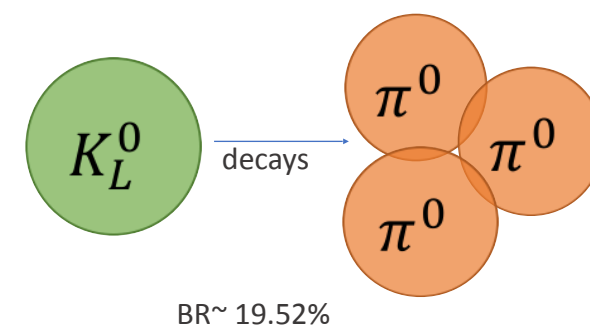
- Branching Ratio

$$BR(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = \frac{N_{\text{signal}}}{N_{K_L^0} \times A_{\text{signal}}}$$

- Number of observed events

# Normalization modes

- Determine the number of  $K_L^0$
- $K_L^0$  flux  $\sim$  number passing through the beam exit
- $K_L^0$  yield  $\sim$  number of remaining reconstructed events after veto and kinematics cuts
- Normalization modes are also used to:
  - Evaluate of kinematic and veto cut efficiencies
  - Evaluate MC reproducibility of data



# $K_L^0 \rightarrow 3\pi^0$ Event distributions

## $K_L^0 \rightarrow 3\pi^0$

- Efficiency of kinematic requirements
- $\epsilon^i = (\text{Number of reconstructed } K_L^0 \text{ events with all cuts}) / (\text{Number of reconstructed } K_L^0 \text{ events w/o } i^{\text{th}} \text{ cut})$
- Data well reproduced by MonteCarlo

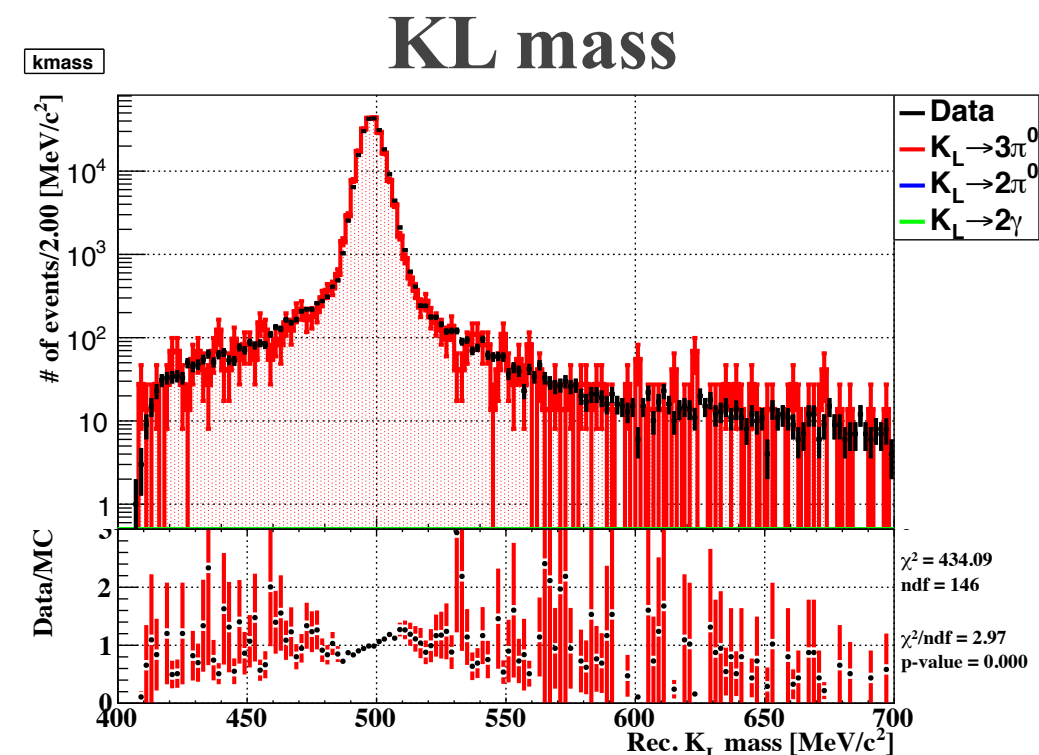
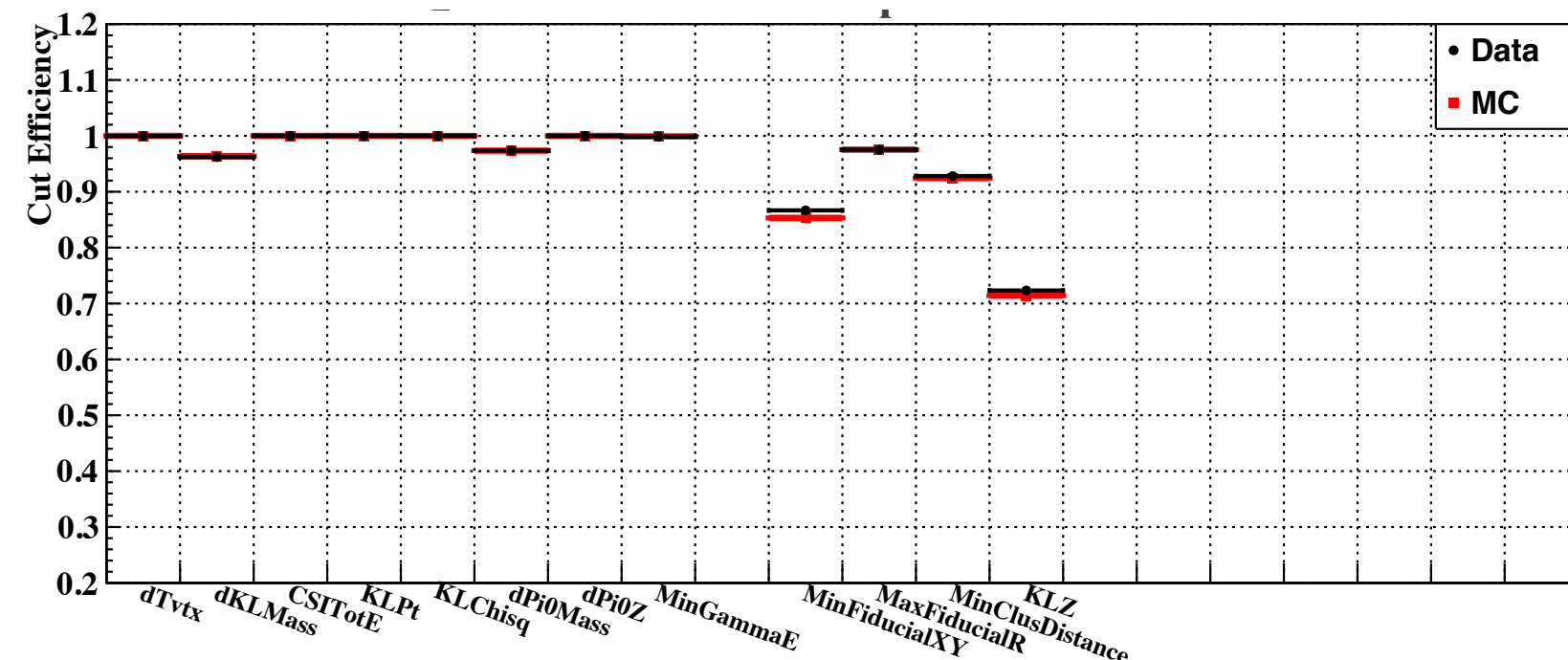


Fig. Reconstructed mass

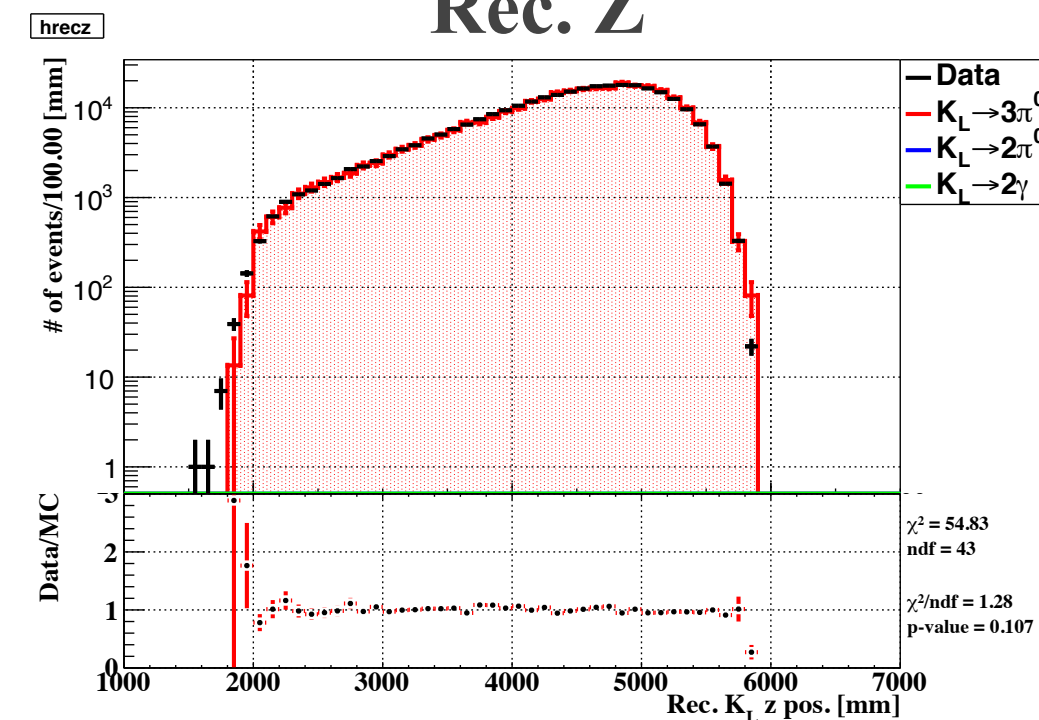


Fig. Reconstructed decay vertex position

# $K_L^0 \rightarrow 2\pi^0$ Event distributions

## $K_L^0 \rightarrow 2\pi^0$

- Efficiency of kinematic requirements
- $\epsilon^i = (\text{Number of reconstructed } K_L^0 \text{ events with all cuts}) / (\text{Number of reconstructed } K_L^0 \text{ events w/o } i^{\text{th}} \text{ cut})$
- Data well reproduced by MonteCarlo

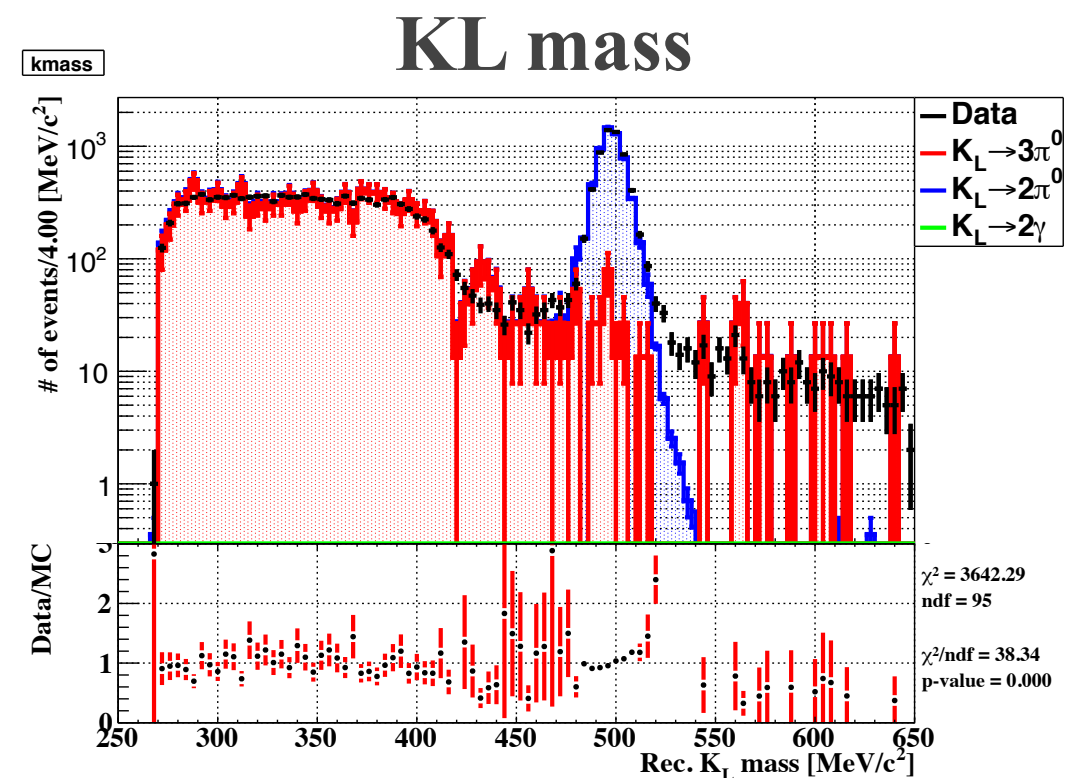
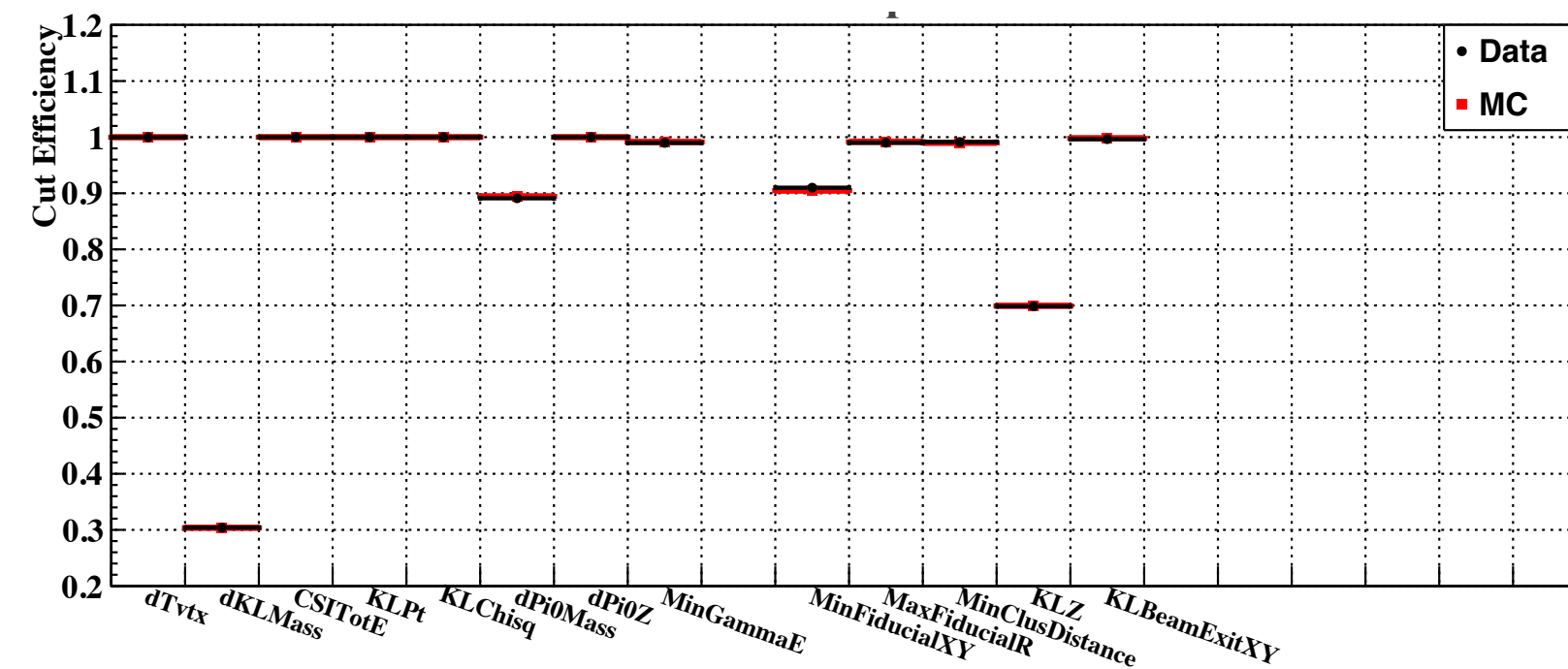


Fig. Reconstructed mass

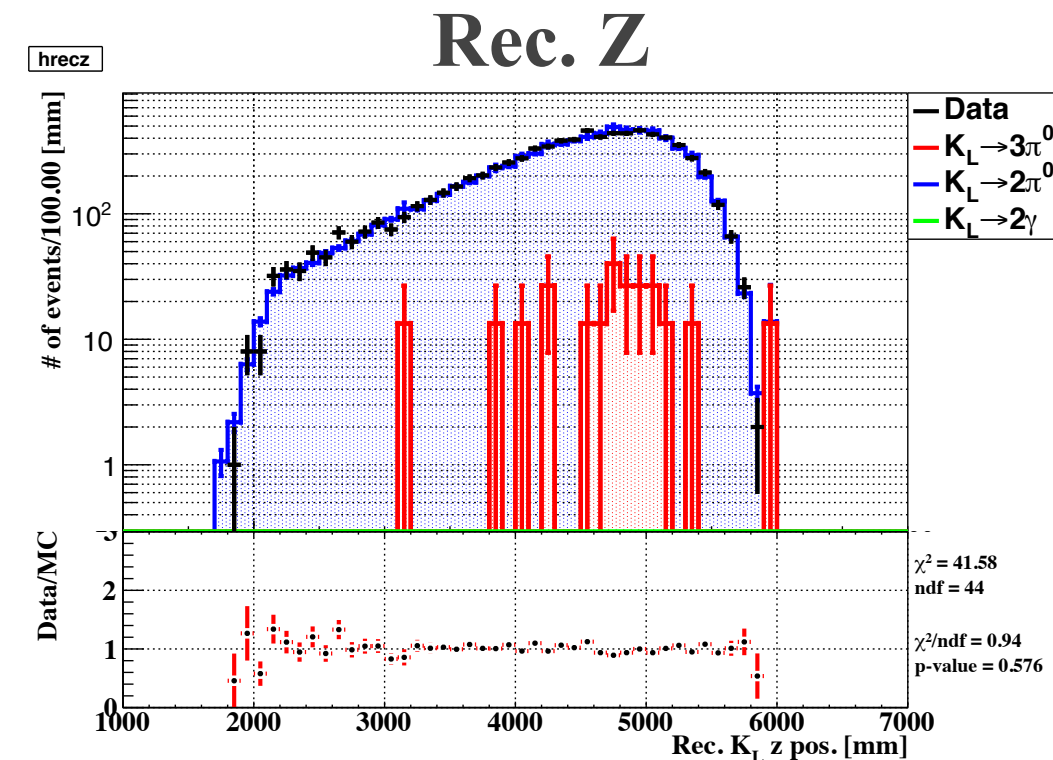


Fig. Reconstructed decay vertex position



# $K_L^0 \rightarrow 2\gamma$ Event distributions

## $K_L^0 \rightarrow 2\gamma$

- Efficiency of kinematic requirements
- $\epsilon^i = (\text{Number of reconstructed } K_L^0 \text{ events with all cuts}) / (\text{Number of reconstructed } K_L^0 \text{ events w/o } i^{\text{th}} \text{ cut})$
- Data well reproduced by MonteCarlo

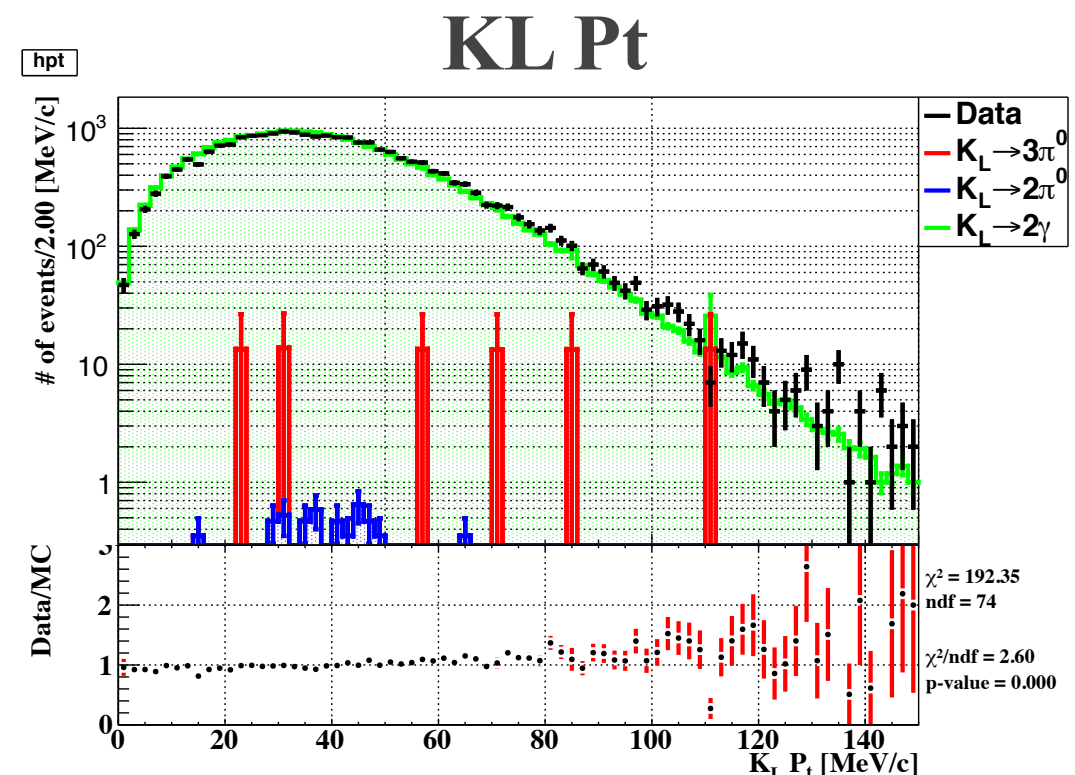
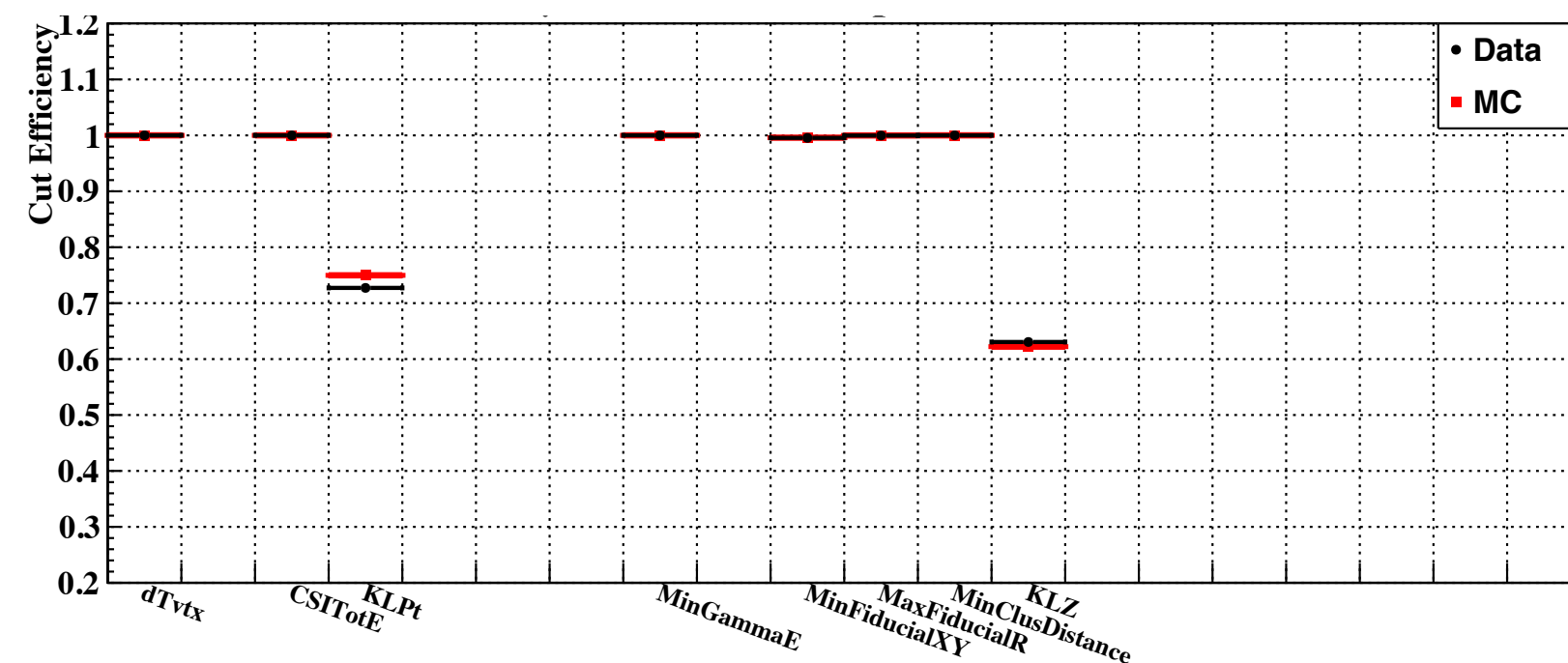


Fig. Reconstructed traverse momentum

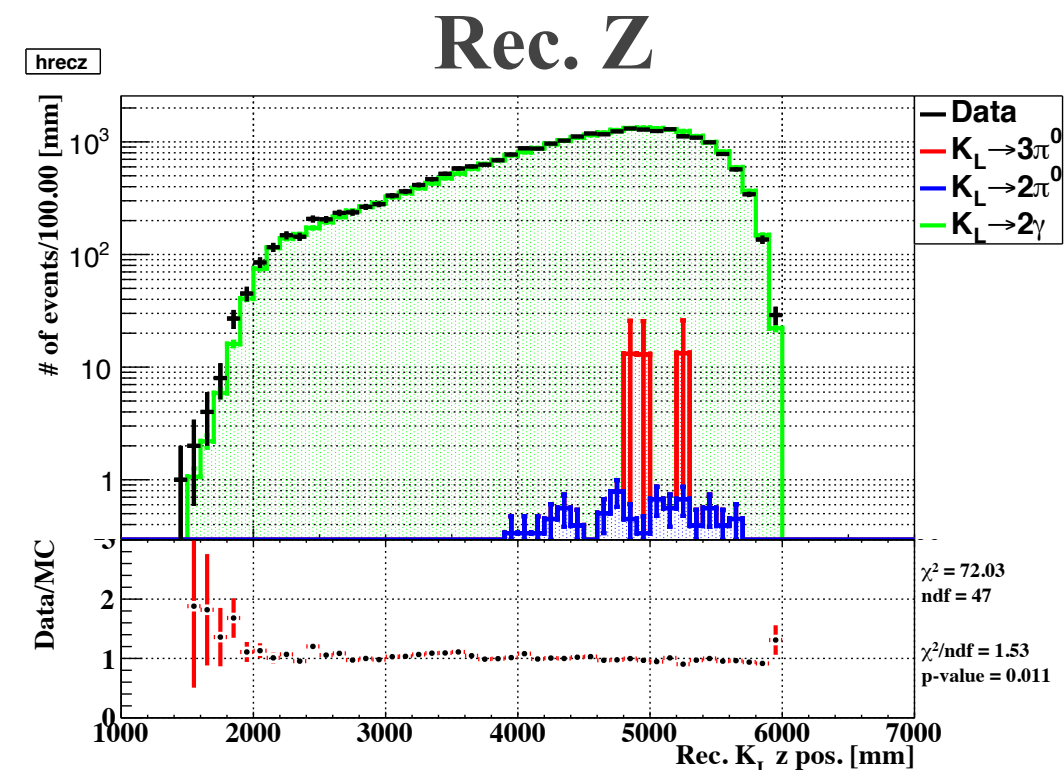


Fig. Reconstructed decay vertex position

# $K_L^0$ yield



- Yield obtained from three normalization modes are within systematics
- $K_L^0$  yield ( $K_L^0 \rightarrow 2\pi^0$ ) =  $4.58 \times 10^{12}$  from  $2.2 \times 10^{19}$  POT

Mode	Yield at Beam Exit
$KL \rightarrow 2\pi^0$	$(4.58 \pm 0.04) \times 10^{12}$
$KL \rightarrow 2\gamma$	$(4.38 \pm 0.02) \times 10^{12}$
$KL \rightarrow 3\pi^0$	$(4.62 \pm 0.02) \times 10^{12}$

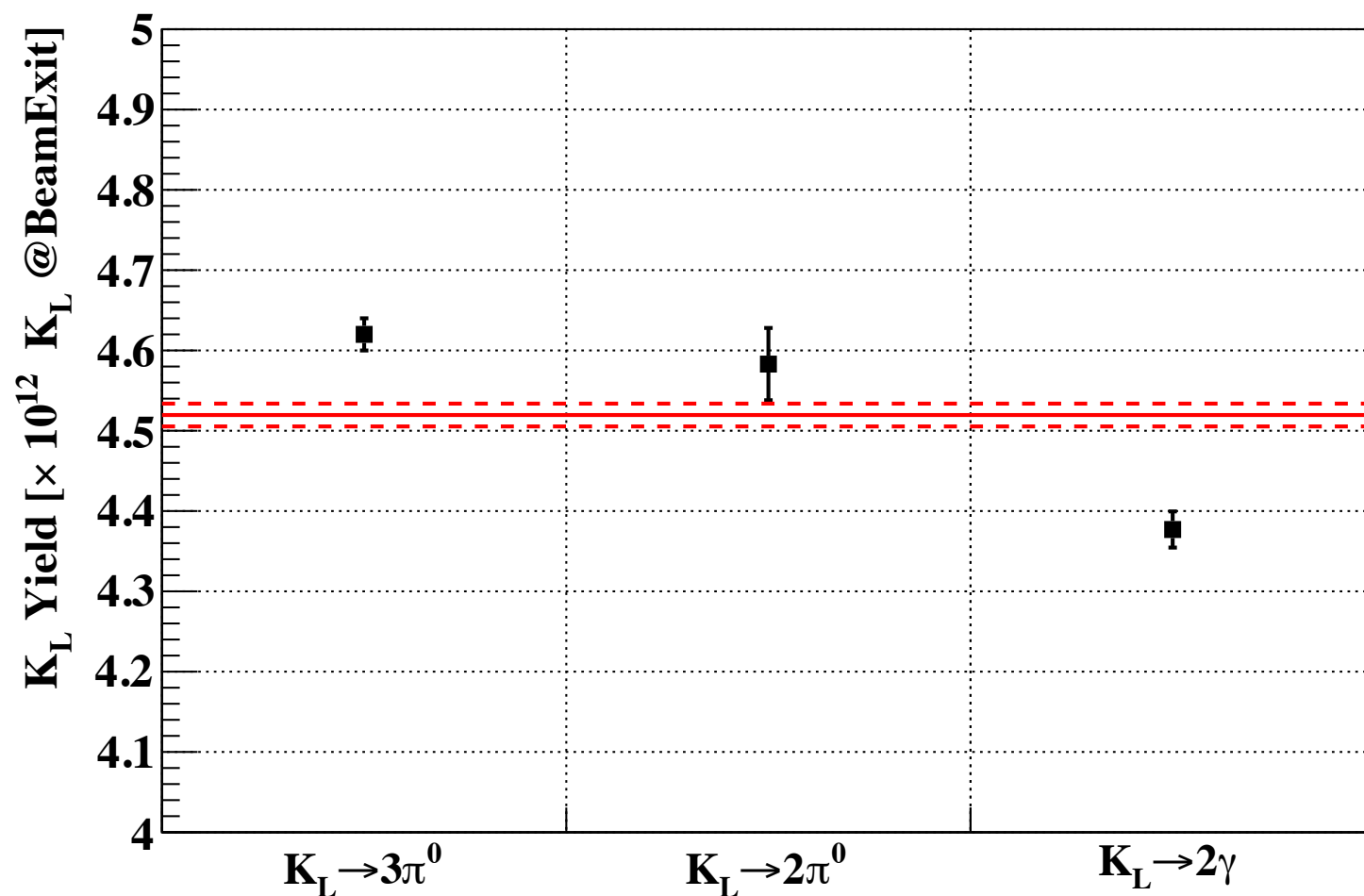


Fig. Calculated  $K_L^0$  yield at beam exit

# Summary

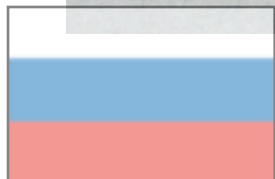
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- Summary of KOTO first results
  - *2013 first run set a  $BR(K_L \rightarrow \pi^0 \nu \nu)$  upper limit of  $< 5.8 \times 10^{-8}$  (90% C.L.) (PTEP 021C01)*
- Present status
  - *In 2015, collected 20 times larger data set than the 2013 run*
  - *$K_L^0$  yield ( $K_L^0 \rightarrow 2\pi^0$ ) =  $4.58 \times 10^{12}$  (at beam exit)*
  - *Sensitivity of 2015 run will be determined based on this result*
  - *Data collected in 2016-2018 is being analyzed*
- 2015 run results will be presented in the next talk
- DON'T MISS IT!





Thank You



Dec '17 collaboration meeting

