

Kaon physics

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Outline

1. A few words on V_{us}
2. Rare kaon decays
 - K_S measurements and LHCb
3. $K \rightarrow \pi\nu\nu$
 - Aside: $K \rightarrow \pi\nu\nu$ and $\text{Re } \varepsilon'/\varepsilon$
4. $K \rightarrow \pi\nu\nu$: NA62
5. $K \rightarrow \pi\nu\nu$: KOTO and KLEVER
6. Outlook and summary

V_{us} , CKM unitarity, gauge universality

At present, first-row condition gives most precise test of CKM unitarity

$$|V_{ud}|^2 + |V_{us}|^2 + \overbrace{|V_{ub}|^2}^{\approx 2 \times 10^{-5}} \sim |V_{ud}|^2 + |V_{us}|^2 \equiv 1 - \Delta_{\text{CKM}}$$

From $0^+ \rightarrow 0^+$ nuclear β decays:

$$2|V_{ud}|\delta V_{ud} = 0.0004$$

From $K_{\ell 3}$ and $K_{\mu 2}$ decays:

$$2|V_{us}|\delta V_{us} = 0.0003$$

Sensitive to new physics at 10-TeV scale

Model independent effective-theory approach

Cirigliano, González-Alonso, Jenkins '10
González-Alonso, Camalich '16

Effective Lagrangian for $\mu \sim 1$ GeV
with general set of dim-6 operators
giving rise to (semi)leptonic transitions

$$\mathcal{L}_{d^j \rightarrow u^i \ell \bar{\nu}}^{\text{eff}} = \mathcal{L}_{d^j \rightarrow u^i \ell \bar{\nu}}^{\text{eff, SM}} + \frac{v^2}{\Lambda^2} \mathcal{L}_{d^j \rightarrow u^i \ell \bar{\nu}}^{\text{eff, NP}}$$

Consider the **flavor-blind** limit (or similar: minimal flavor violation, etc.)

New physics appears as a small difference between G_{CKM} and G_μ

$$\Delta_{\text{CKM}} = 2 \frac{v^2}{\Lambda^2} (-\alpha_{\phi \ell}^{(3)} + \alpha_{\phi q}^{(3)} - \alpha_{\ell q}^{(3)} + \alpha_{\ell \ell}^{(3)}) = \frac{G_{\text{CKM}}}{G_\mu} - 1$$

For Δ_{CKM} known to $\sim 0.5 \times 10^{-3}$



$$\Lambda_{\text{NP}}^{\text{eff}} > 10 \text{ TeV} \quad (90\% \text{ CL})$$

Determination of V_{us} from $K_{\ell 3}$ data

$$\Gamma(K_{\ell 3}(\gamma)) = \frac{C_K^2 G_F^2 m_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+^{K^0\pi^-}(0)|^2 \times I_{K\ell}(\lambda_{K\ell}) \left(1 + 2\Delta_K^{SU(2)} + 2\Delta_{K\ell}^{EM}\right)$$

with $K \in \{K^+, K^0\}$; $\ell \in \{e, \mu\}$, and:

C_K^2 1/2 for K^+ , 1 for K^0

S_{EW} Universal SD EW correction (1.0232)

Inputs from experiment:

$\Gamma(K_{\ell 3}(\gamma))$ Rates with well-determined treatment of radiative decays:

- Branching ratios
- Kaon lifetimes

$I_{K\ell}(\{\lambda\}_{K\ell})$ Integral of form factor over phase space: λ s parameterize evolution in t

- K_{e3} : Only λ_+ (or λ_+', λ_+'')
- $K_{\mu 3}$: Need λ_+ and λ_0

Data from BNL865, ISTRA+, KLOE, KTeV, NA48, NA48/2 (2003-2008)

Inputs from theory:

$f_+^{K^0\pi^-}(0)$ Hadronic matrix element (form factor) at zero momentum transfer ($t = 0$)

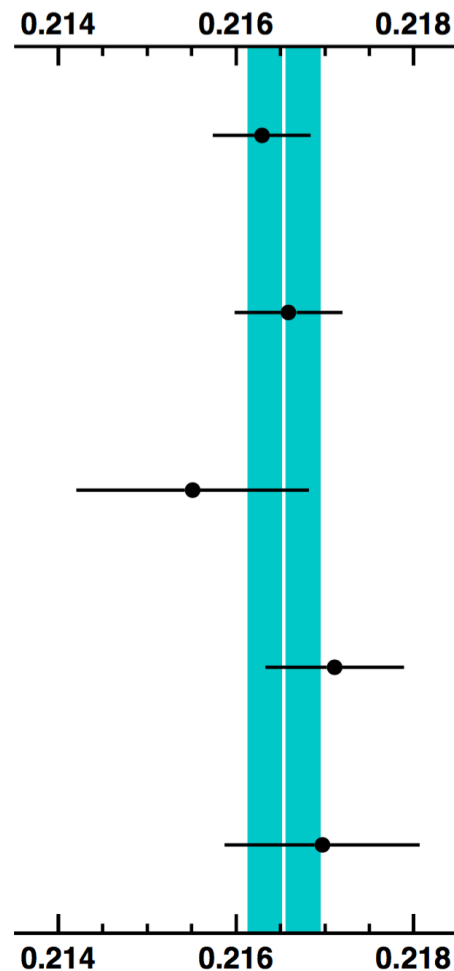
$\Delta_K^{SU(2)}$ Form-factor correction for $SU(2)$ breaking

$\Delta_{K\ell}^{EM}$ Form-factor correction for long-distance EM effects

**Hadronic constants from lattice QCD
See 2017 FLAG review**

$|V_{us}|f_+(0)$ from world data: Update

$|V_{us}|f_+(0)$



		% err	Approx. contrib. to % err from:			
			BR	τ	Δ	Int
$K_L e3$	0.2163(6)	0.25	0.09	0.20	0.11	0.05
$K_L \mu3$	0.2166(6)	0.28	0.15	0.18	0.11	0.06
$K_S e3$	0.2155(13)	0.61	0.60	0.02	0.11	0.05
$K^\pm e3$	0.2171(8)	0.36	0.27	0.06	0.22	0.05
$K^\pm \mu3$	0.2170(11)	0.51	0.45	0.06	0.22	0.06

Average: $|V_{us}|f_+(0) = 0.21654(41)$ $\chi^2/\text{ndf} = 1.54/4$ (82%)

V_{us} and CKM unitarity: All data

$N_f = 2+1+1$: Fit to results for $|V_{ud}|$, $|V_{us}|$, $|V_{us}|/|V_{ud}|$
 $f_+(0) = 0.9704(32)$, $f_K/f_\pi = 1.1933(27)$



$$|V_{ud}| = 0.97420(21)$$

$$|V_{us}| = 0.2231(9)$$

$$|V_{us}|/|V_{ud}| = 0.2308(6)$$

Fit results, no constraint

$$V_{ud} = 0.97418(21)$$

$$V_{us} = 0.2246(5)$$

$$\chi^2/\text{ndf} = 4.2/1 \text{ (3.9\%)}$$

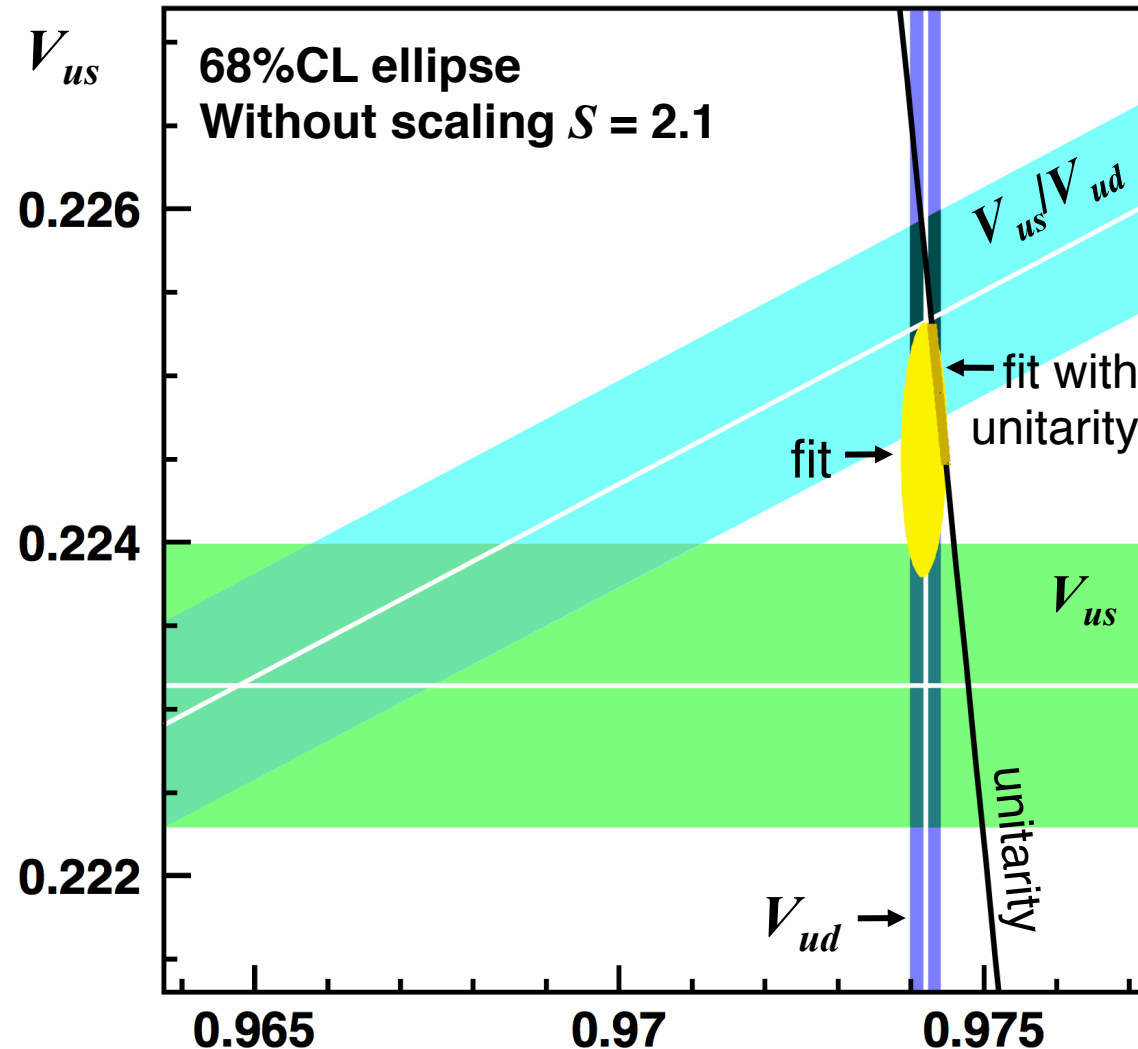
$$\Delta_{\text{CKM}} = -0.0007(5)$$

$$-1.1\sigma$$

With scale factor $S = 2.1$

$$V_{ud} = 0.97418(43)$$

$$V_{us} = 0.2246(10)$$



Experimental outlook for V_{us}

- **Uncertainty on V_{us} still (slightly) dominated by hadronic constants**
Continuing to see impressive progress on the lattice!
- **Good prospects for new round of experimental results to reduce uncertainty on $|V_{us}|f_+(0)$ from current 0.18% to $\sim 0.12\%$ within 5 years**

NA48/2 K^+ BRs and form factors
→ NA62 Runs through 2018

ISTRA+ K^+ BRs and form factors
→ OKA Runs through 2018

KLOE Can measure all observables: BRs, τ s, FFs: K^\pm , K_L , K_S
→ KLOE-2 Runs until 03/2018: 2.4 fb^{-1} KLOE + 5 fb^{-1} KLOE-2 data

LHCb Proven capability to measure K_S decays to muons
Can LHCb measure $\text{BR}(K_S \rightarrow \pi\mu\nu)$ to $< 1\%$ in Run II?

KEK-246 Main focus is $\text{BR}(K_{e2}/K_{\mu2})$
→ TREK E-36 KEK-246 measured $\text{BR}(K_{\mu3}/K_{e3})$ and K_{e3} FF

Rare kaon decays

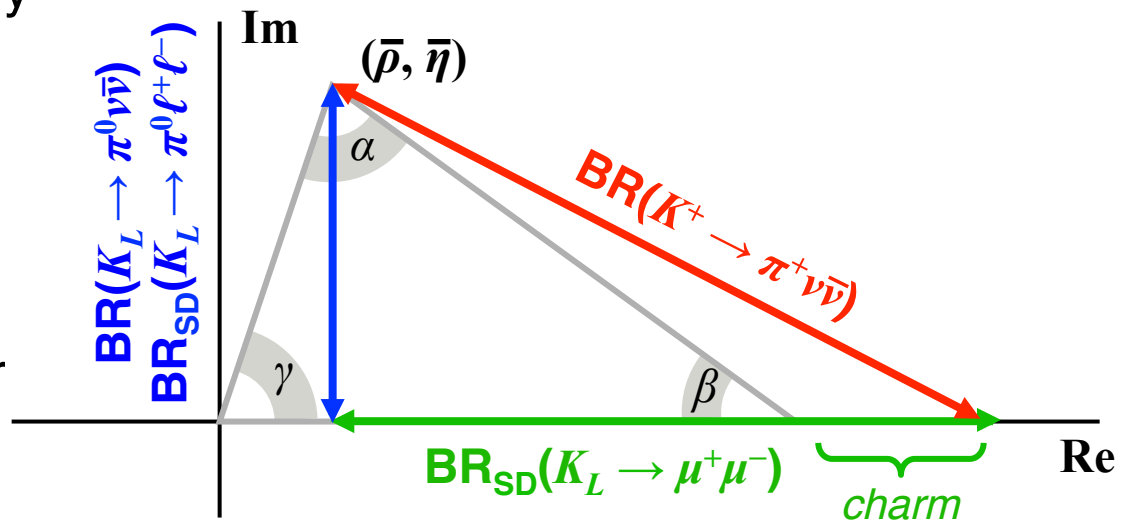
Decay	$\Gamma_{\text{SD}}/\Gamma$	Theory err.*	SM BR $\times 10^{11}$	Exp. BR $\times 10^{11}$
$K_L \rightarrow \mu^+\mu^-$	10%	30%	79 ± 12 (SD)	684 ± 11
$K_L \rightarrow \pi^0 e^+ e^-$	40%	10%	35 ± 10	$< 28^\dagger$
$K_L \rightarrow \pi^0 \mu^+ \mu^-$	30%	15%	14 ± 3	$< 38^\dagger$
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	90%	4%	8.4 ± 1.0	17 ± 11
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$> 99\%$	2%	3.4 ± 0.6	$< 2600^\dagger$

*Approx. error on LD-subtracted rate excluding parametric contributions $^\dagger 90\%$ CL

FCNC processes dominated by Z-penguin and box diagrams

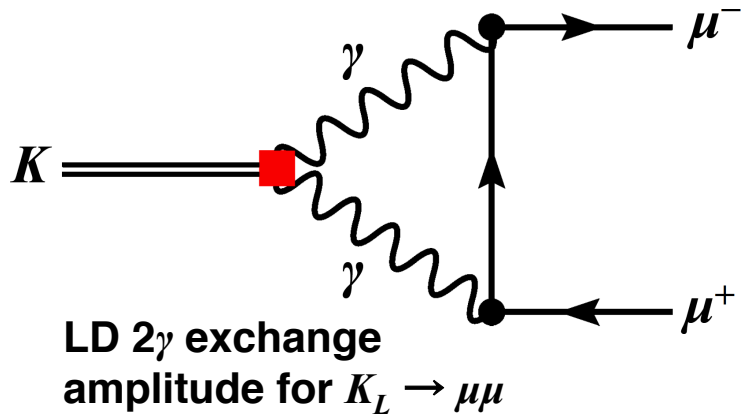
Rates related to V_{CKM} with minimal non-parametric uncertainty

V_{CKM} overconstrained: look for NP in specific channels



Rare K_L and K_S decays

$$K_{L,S} \rightarrow \mu\mu$$

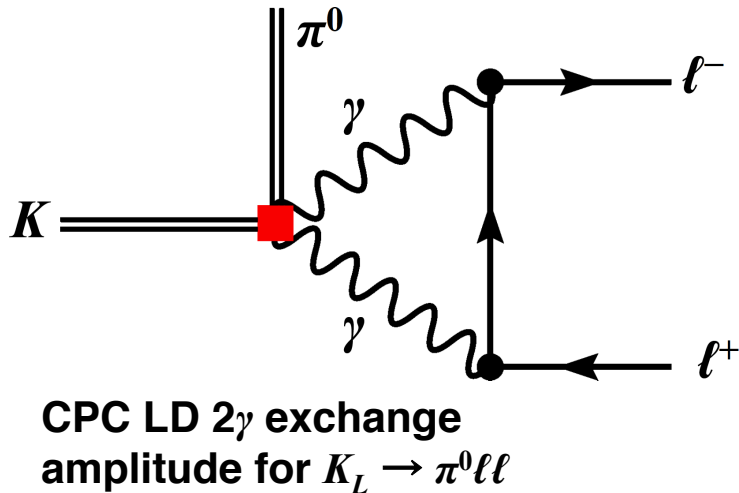


LD amplitude from 2γ exchange dominant

$$\text{BR}_{\text{SM}}(K_S \rightarrow \mu^+\mu^-) = (5.2 \text{ } 1.5) \cdot 10^{-12}$$

- Significant uncertainty from unknown sign of interference between K_L and K_S amplitudes
- Measurement of K_S BR improves accuracy of theory prediction for K_L BR
- NP contribution to $\text{BR}(K_S \rightarrow \mu^+\mu^-)$ could be as high as 10^{-11}

$$K_{L,S} \rightarrow \pi^0 \ell\ell$$



Theoretical uncertainties from LD physics

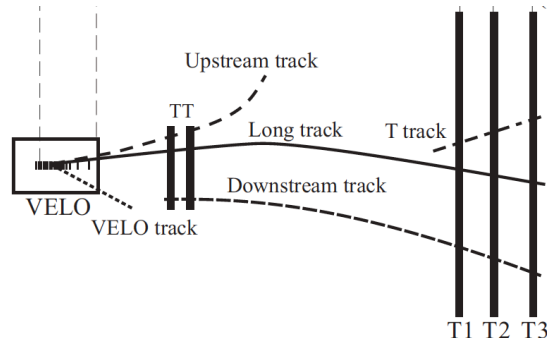
- SD CPV amplitude: γ/Z exchange
- LD CPC amplitude from 2γ exchange
- LD indirect CPV amplitude: $K_L \rightarrow K_S$

Probes helicity suppression in FCNC decays

Can look for LFU violation, like for $B \rightarrow K\ell\ell$

Rare K_S decays with LHCb

- $10^{13} K_S/\text{fb}^{-1}$ produced in LHCb acceptance
- Use only “long tracks” to reconstruct K_S
40% decay in VELO region



- Main limitation:
HW trigger eff = 2.5%
- Good μ identification and $\mu\mu$ mass resolution

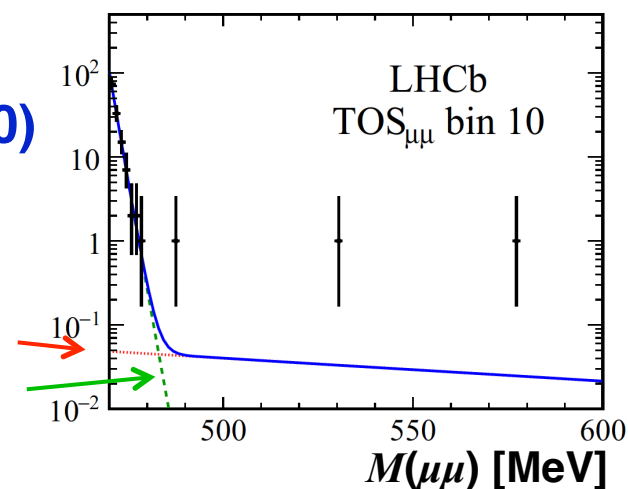
$$K_S \rightarrow \mu\mu$$

$$\text{BR} < 0.8 \times 10^{-9} \text{ (CL90)}$$

3 fb^{-1} 2011-12 data
EPJC 77 (2017)

Combinatorial bkg

$\pi^+\pi^-$ mis-ID



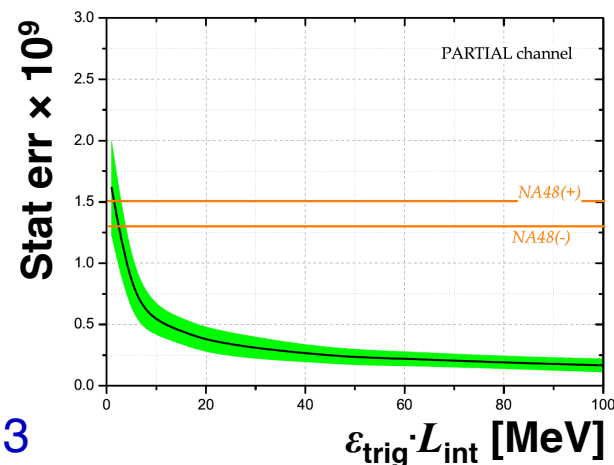
$$K_S \rightarrow \pi^0 \mu\mu$$

LHCb Pub 2016-017

Sensitivity study:

- TIS selection
- π^0 not required

Improvement on NA48/1
result is possible in Run 3

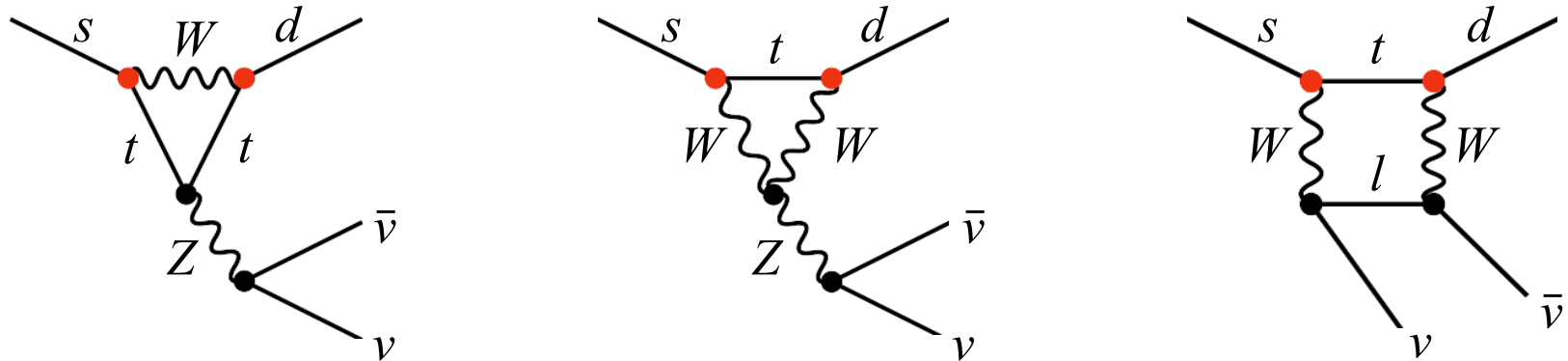


NA48/1 PLB599 (2004)

$$\text{BR}(K_S \rightarrow \pi^0 \mu\mu) = (2.9^{+1.5}_{-1.2} \pm 0.2) \times 10^{-9}$$

$K \rightarrow \pi \nu \bar{\nu}$ in the Standard Model

FCNC processes dominated by Z-penguin and box amplitudes:



Extremely rare decays with rates very precisely predicted in SM:

- Hard GIM mechanism + pattern of CKM suppression ($V_{ts}^* V_{td}$)
- No long-distance contributions from amplitudes with intermediate photons
- Hadronic matrix element obtained from $\text{BR}(K_{e3})$ via isospin rotation

SM predicted rates

Buras et al, JHEP 1511*

Experimental status

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$

BR = $(8.4 \pm 1.0) \times 10^{-11}$

BR = $(17.3^{+11.5}_{-10.5}) \times 10^{-11}$

Stopped K^+ , 7 events observed
BNL 787/949, PRD79 (2009)

$K_L \rightarrow \pi^0 \nu \bar{\nu}$

BR = $(3.4 \pm 0.6) \times 10^{-11}$

BR < 2600×10^{-11} 90%CL

KEK 391a, PRD81 (2010)

* Tree-level determinations of CKM matrix elements

$K \rightarrow \pi \nu \bar{\nu}$ and the unitarity triangle

Dominant uncertainties for SM BRs are from CKM matrix elements

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.39 \pm 0.30) \times 10^{-11} \cdot \left[\frac{|V_{cb}|}{0.0407} \right]^{2.8} \cdot \left[\frac{\gamma}{73.2^\circ} \right]^{0.74}$$

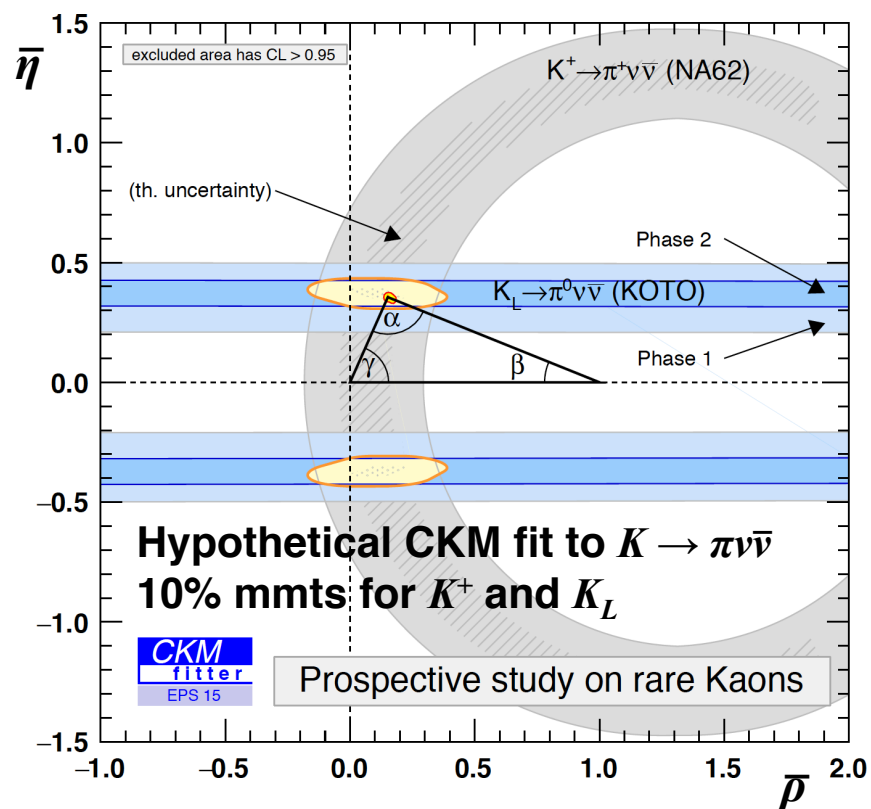
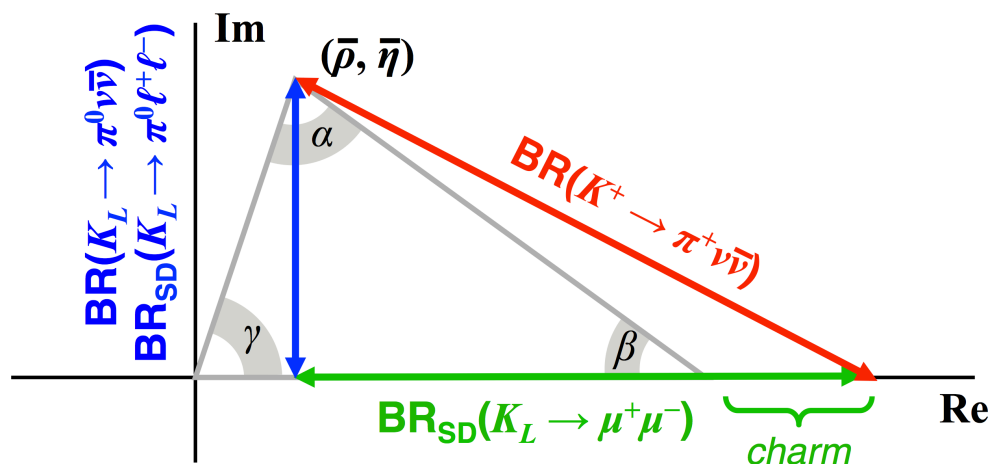
Buras et al.,
JHEP 1511

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (3.36 \pm 0.05) \times 10^{-11} \cdot \left[\frac{|V_{ub}|}{3.88 \times 10^{-3}} \right]^2 \cdot \left[\frac{|V_{cb}|}{0.0407} \right]^2 \cdot \left[\frac{\sin \gamma}{\sin 73.2^\circ} \right]^2$$

Intrinsic theory uncertainties \sim few percent

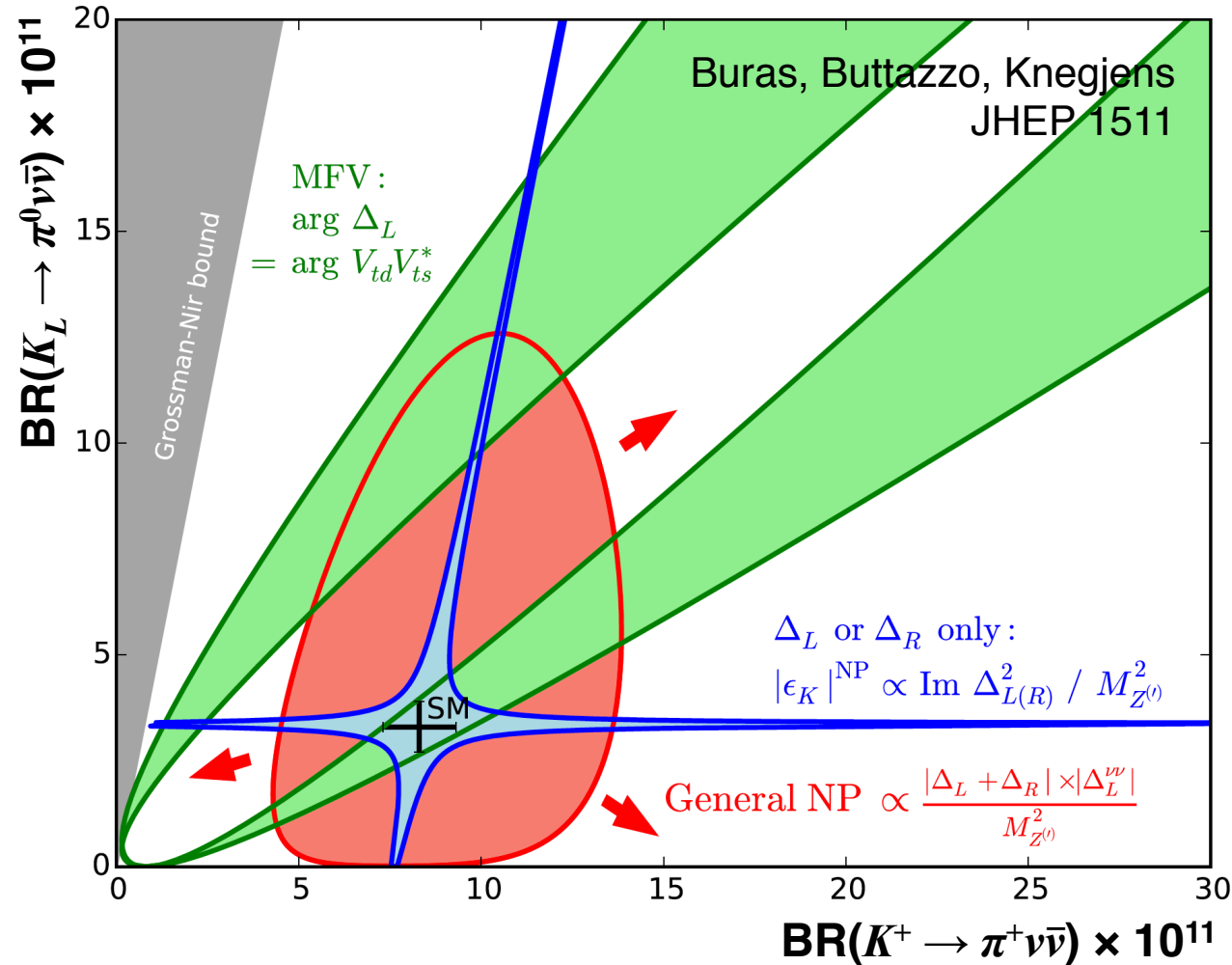
Measuring both K^+ and K_L BRs can determine the unitarity triangle independently from B inputs

- Overconstrain CKM matrix \rightarrow reveal NP?



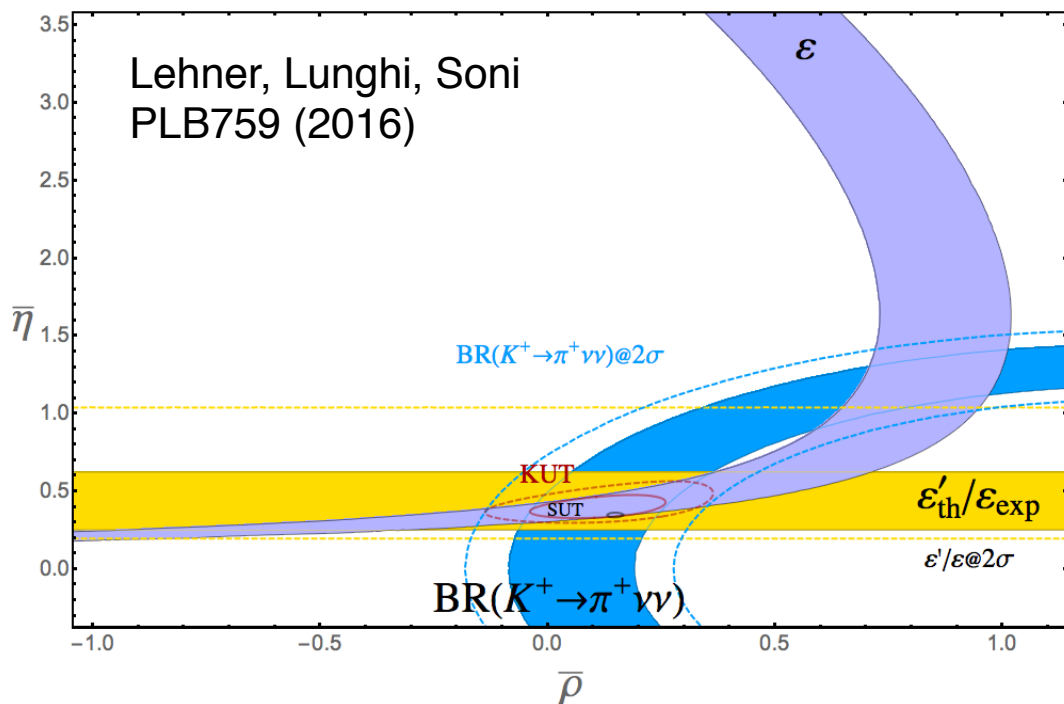
$K \rightarrow \pi \nu \bar{\nu}$ and new physics

New physics affects BRs differently for K^+ and K_L channels
 Measurements of both can discriminate among NP scenarios



- Models with CKM-like flavor structure
 - Models with MFV
- Models with new flavor-violating interactions in which either LH or RH couplings dominate
 - Z/Z' models with pure LH/RH couplings
 - Littlest Higgs with T parity
- Models without above constraints
 - Randall-Sundrum

Re ε'/ε vs BR($K_L \rightarrow \pi^0 \nu \nu$)



Re ε'/ε constrains UT in same way as BR($K_L \rightarrow \pi^0 \nu \nu$)

Scenario assumes:

- Lattice value for $\text{Im } A_0$ in agreement with expt
- $\delta(\text{Im } A_0) = 18\%$
 $\rightarrow \delta(\text{Re } \varepsilon'/\varepsilon) = 1.6 \times 10^{-4}$
- $\text{BR}(K^+ \rightarrow \pi^+ \nu \nu) = \text{SM value with } 10\% \text{ error}$

PDG average: NA48 + KTeV

$$\text{Re } \varepsilon'/\varepsilon = (16.6 \pm 2.3) \times 10^{-4}$$

Calculations for Re $\varepsilon'/\varepsilon \times 10^4$:

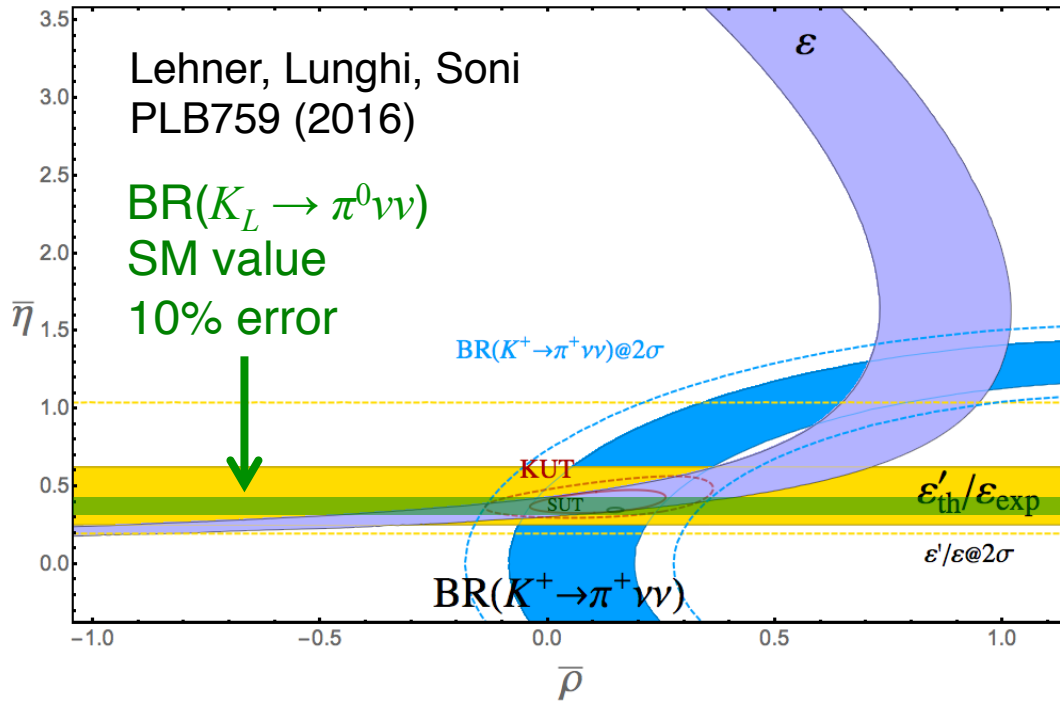
Lattice: RBC/UKQCD '15 $1.38 \pm 5.15 \pm 4.59$

ChPT: Gisbert & Pich '17 15 ± 7

RBC/UKQCD value is 2.1σ lower than experimental value:

- Dominant uncertainty is lattice spacing (single spacing for A_0)
- Claim: Uncertainty $\sim 10\%$ of experimental value can be reached in ~ 5 years!
- Results with 2nd lattice spacing should be available sooner

Re ε'/ε vs BR($K_L \rightarrow \pi^0 \nu \nu$)



Re ε'/ε constrains UT in same way as BR($K_L \rightarrow \pi^0 \nu \nu$)

Scenario assumes:

- Lattice value for $\text{Im } A_0$ in agreement with expt
- $\delta(\text{Im } A_0) = 18\%$
 $\rightarrow \delta(\text{Re } \varepsilon'/\varepsilon) = 1.6 \times 10^{-4}$
- $\text{BR}(K^+ \rightarrow \pi^+ \nu \nu) = \text{SM value}$ with 10% error

Does this impact the attractiveness as an observable of BR($K_L \rightarrow \pi^0 \nu \nu$)?

- Re ε'/ε is dominated by **systematics**

$$R = \frac{\text{BR}(K_L \rightarrow \pi^0 \pi^0)}{\text{BR}(K_S \rightarrow \pi^0 \pi^0)} \cdot \frac{\text{BR}(K_S \rightarrow \pi^+ \pi^-)}{\text{BR}(K_L \rightarrow \pi^+ \pi^-)} \approx 1 - 6 \text{Re } \varepsilon'/\varepsilon$$

- Can R ever be measured to $\sim 0.06\%$ for $\delta(\text{Re } \varepsilon'/\varepsilon) \sim 1 \times 10^{-4}$?
- **A 10% mmt of BR($K_L \rightarrow \pi^0 \nu \nu$) offers better constraint on UT**

$K \rightarrow \pi\nu\bar{\nu}$ and other kaon observables

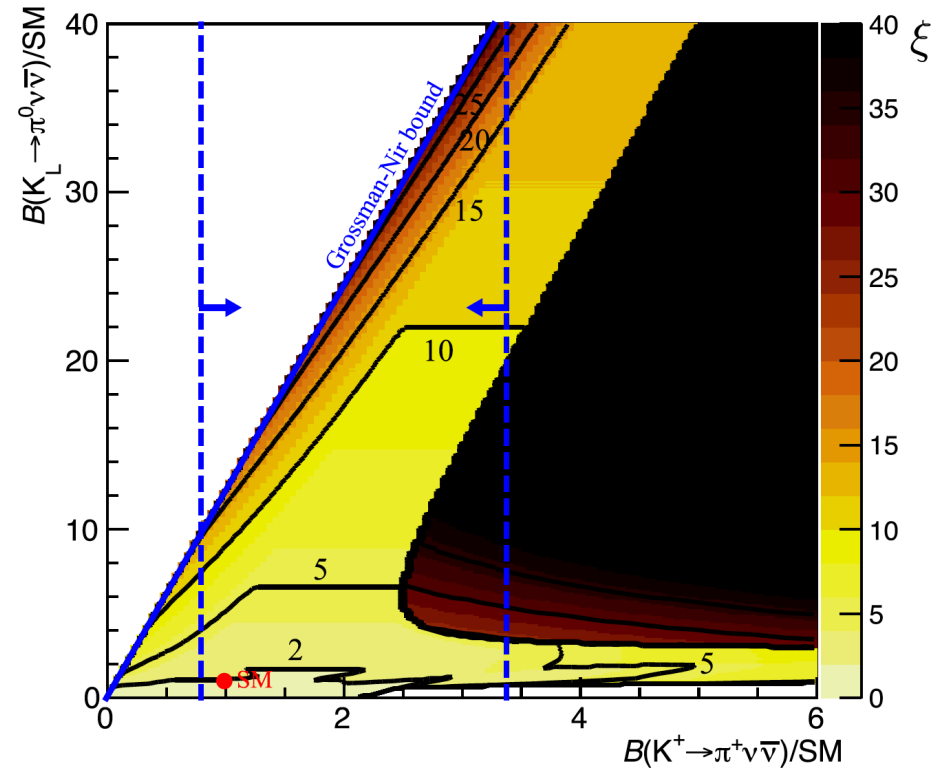
What about constraints from $\text{Re } \varepsilon'/\varepsilon$, ε_K , Δm_K , $K_L \rightarrow \mu\mu$?

Particular interest in NP scenarios to explain difference between experimental and lattice QCD values for $\text{Re } \varepsilon'/\varepsilon$

Example: Endo et al. PLB771 (2017)

General Z scenario with modified couplings, $\Lambda = 1$ TeV

- Because of interference between SM and NP amplitudes, if all constraints satisfied including “discrepancy” in $\text{Re } \varepsilon'/\varepsilon$:
 $\text{BR}(K \rightarrow \pi\nu\nu) \sim 0.5 \text{ SM BR}$
- Particularly in simplified scenarios: LH, RH, LRS
- With moderate tuning (cancellation of interference terms to 10%), large values for $\text{BR}(K \rightarrow \pi\nu\nu)$ are possible



$K \rightarrow \pi \nu \bar{\nu}$ and other flavor observables

New ideas relating $K \rightarrow \pi \nu \bar{\nu}$ to B -sector LFU anomalies:

R_K, P_5' : μ/e LFU in $B \rightarrow K \ell \ell, B \rightarrow K^* \ell \ell$

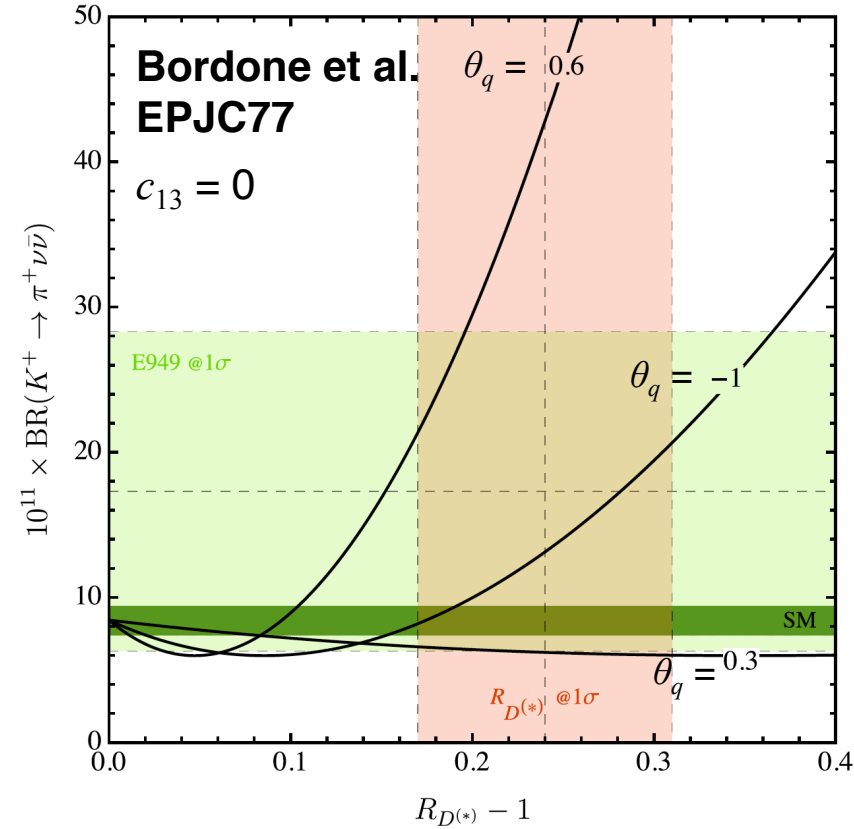
$R_{D^{(*)}}$: $\tau/(\mu, e)$ LFU in $B \rightarrow D^{(*)} \ell \nu$

Coherent explanation from NP coupled predominantly to 3rd generation LH quarks and leptons, e.g., mediated by vector leptoquark

- Di Luzio et al. PRD 96 (2017)
- Buttazzo et al. JHEP 1711

EFT studies suggest large effect for $K \rightarrow \pi \nu \bar{\nu}$

- Bordone et al. EPJC77 (2017)



$$\mathcal{B}(B \rightarrow D^{(*)} \tau \bar{\nu}) = \mathcal{B}(B \rightarrow D^{(*)} \tau \bar{\nu})_{\text{SM}} \left| 1 + R_0 \left(1 - \theta_q e^{-i\phi_q} \right) \right|^2$$

$$R_0 = \frac{1}{\Lambda^2} \frac{1}{\sqrt{2} G_F}$$

$$\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = 2\mathcal{B}(K_L \rightarrow \pi^0 \nu_e \bar{\nu}_e)_{\text{SM}} + \mathcal{B}(K_L \rightarrow \pi^0 \nu_\tau \bar{\nu}_\tau)_{\text{SM}} \left| 1 - \frac{R_0 \theta_q^2 (1 - c_{13})}{(\alpha/\pi)(X_t/s_W^2)} \right|^2$$

The NA62 experiment at the CERN SPS

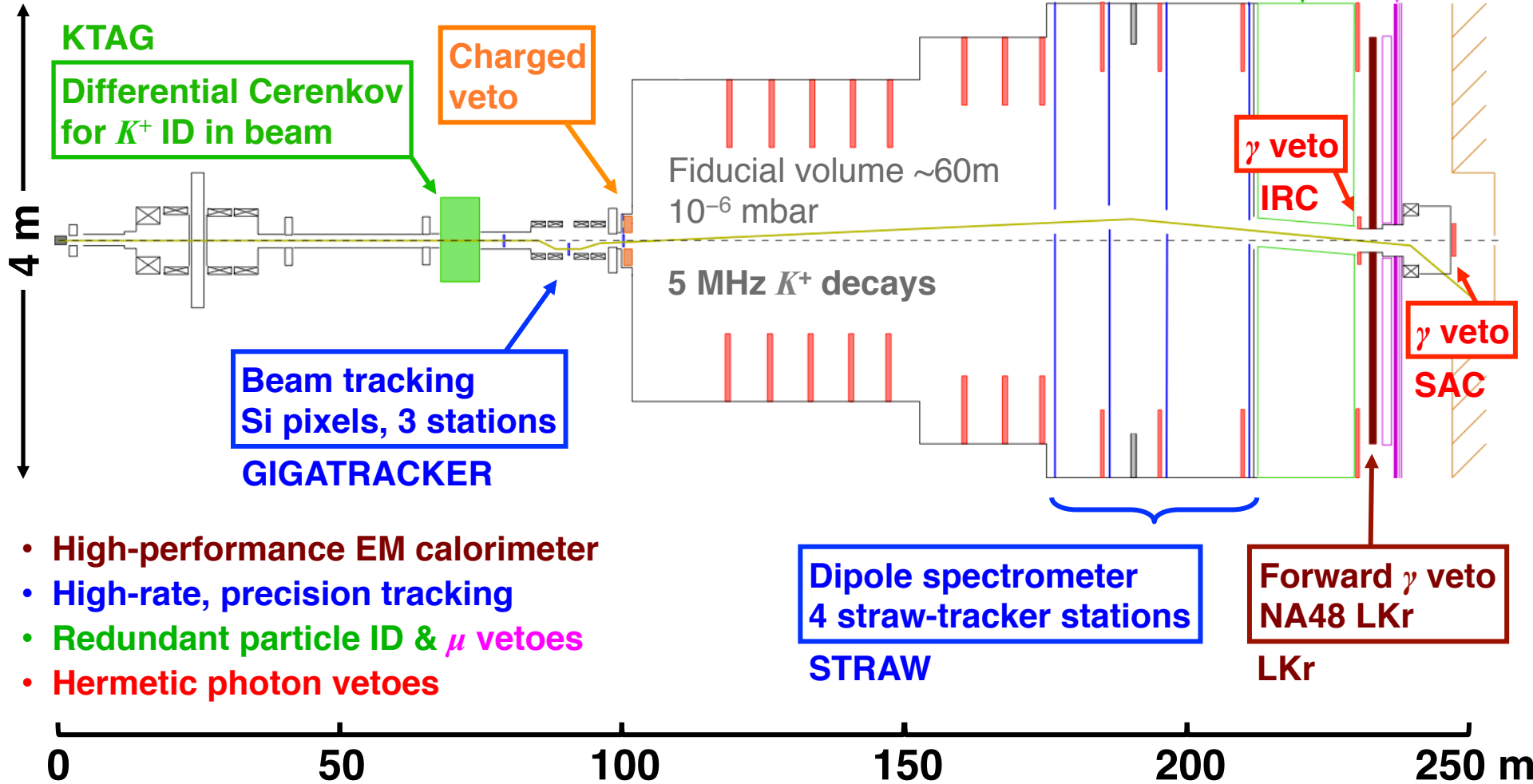


The NA62 experiment at the SPS



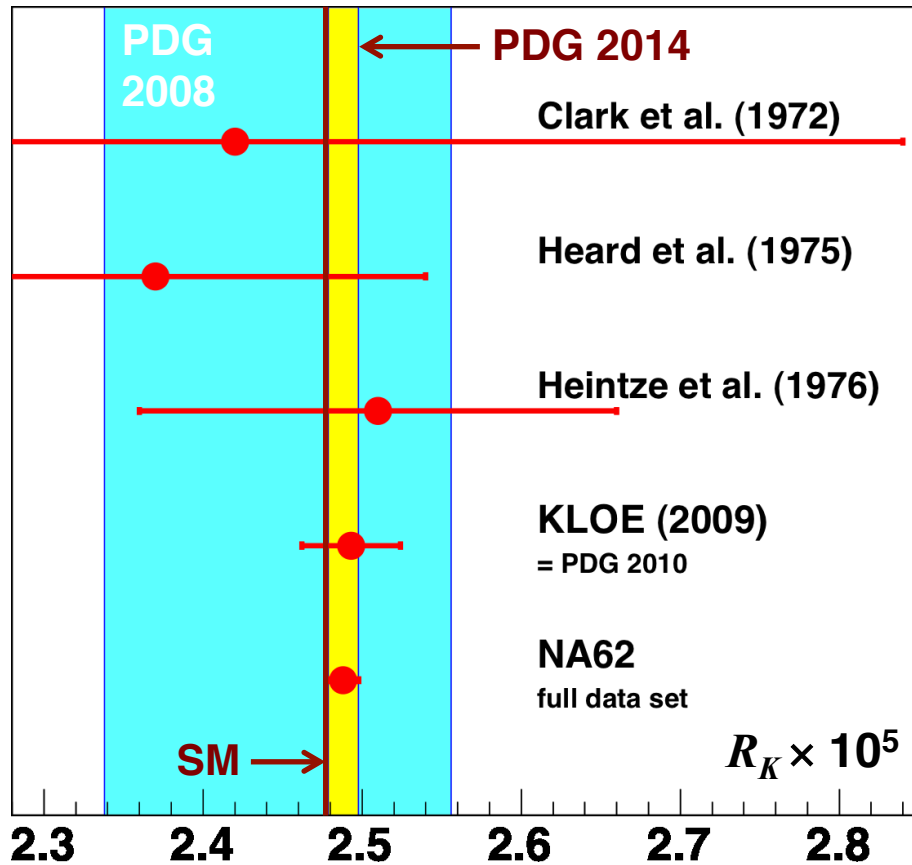
400 GeV primary p from SPS
 75 GeV positive secondary beam

- 750 MHz total rate
- 45 MHz K^+ in beam



- High-performance EM calorimeter
- High-rate, precision tracking
- Redundant particle ID & μ vetoes
- Hermetic photon vetoes

NA62- R_K result for $\Gamma(K_{e2})/\Gamma(K_{\mu2})$

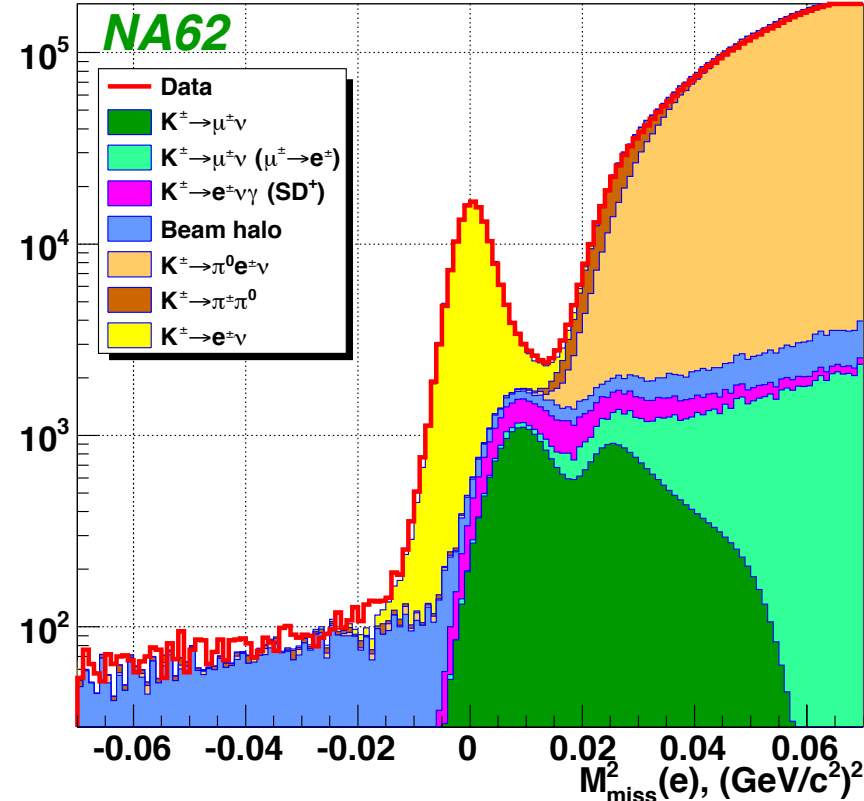


2007 data taking, pre $\pi\nu\nu$ -phase
 PLB 719 (2013)

$$R_K = 2.488(7)_{st}(7)_{sy} \times 10^{-5}$$

$$= 2.488(10) \times 10^{-5}$$

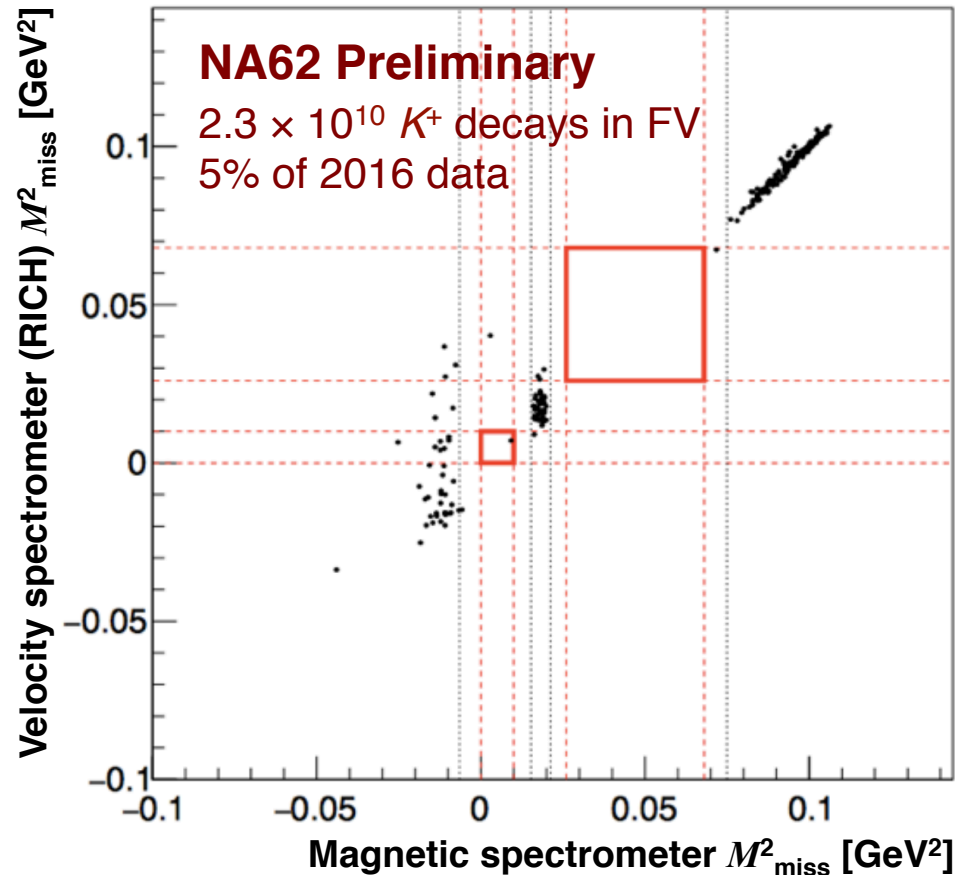
0.4% overall precision



Better background rejection expected with NA62 detectors for $K \rightarrow \pi\nu\nu$:

- RICH to suppress $K_{\mu2}$ with catastrophic bremsstrahlung
- Photon vetoes to suppress $K_{e2\gamma}(SD+)$
- Straw chambers in vacuum to reduce MS and improve M^2_{miss} resolution

Status and outlook for $K^+ \rightarrow \pi^+ \nu \nu$



Preliminary results: 2016 data
First physics run with full detector

Expected backgrounds

$\pi^+ \pi^0$	0.035
$\mu \nu$	0.024
$\pi^+ \pi^+ \pi^-$	0.003

Ongoing evaluation of contributions from upstream decays, radiative decays and beam interactions

With all 2016 data, SM sensitivity (BR $\sim 10^{-10}$) reached

Results from full 2016 data set will be presented in spring 2018

30 weeks of data taking per year for 2017-2018

Processing of 2017 data in progress

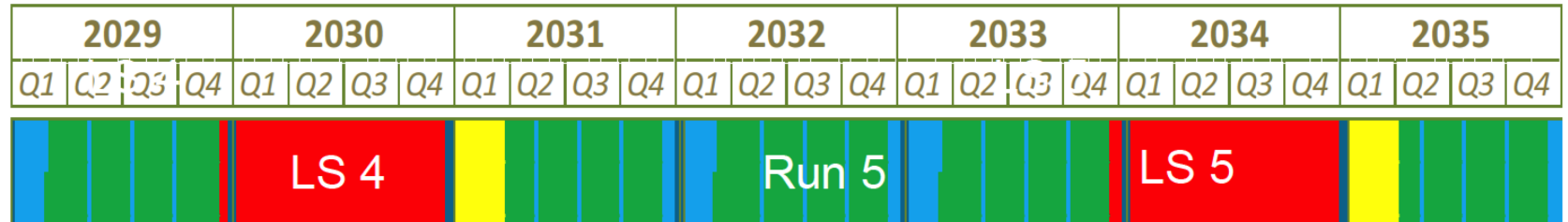
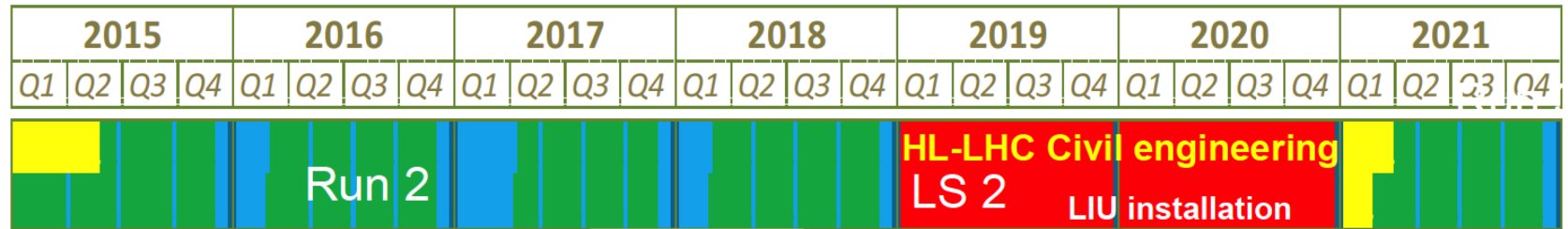
Assuming running is as smooth as in 2017, by the end of 2018
NA62 will reach a sensitivity of 20-30 SM $K^+ \rightarrow \pi^+ \nu \nu$ events

Fixed target runs at the SPS

- 2021 (Run 3):**
- NA62 will continue data taking for $K^+ \rightarrow \pi^+ \nu \nu$
O(100) SM events – measure BR to 10%
 - Searches for hidden particles in beam-dump mode
Dark photons, ALPs, heavy neutrinos, scalars...



2026 (Run 4): Turn focus to measurement of $BR(K_L \rightarrow \pi^0 \nu \nu)$?



F. Bordry, presentation to HEPAP, Dec 2015

$K_L \rightarrow \pi^0 \nu \bar{\nu}$: Experimental issues

Essential signature: 2 γ with unbalanced p_{\perp} + nothing else!

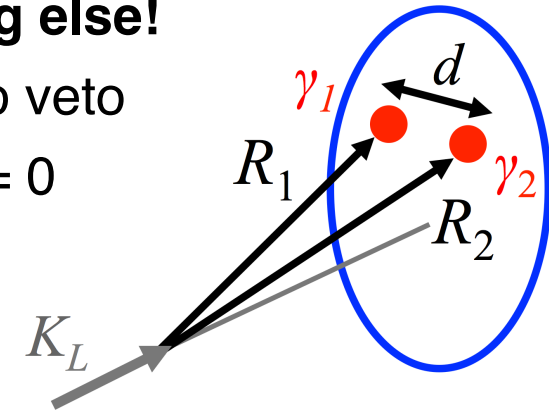
All other K_L decays have ≥ 2 extra γ s or ≥ 2 tracks to veto

Exception: $K_L \rightarrow \gamma\gamma$, but not a big problem since $p_{\perp} = 0$

K_L momentum generally is not known

$M(\gamma\gamma) = m(\pi^0)$ is the only sharp kinematic constraint

Generally used to reconstruct vertex position



$$m_{\pi^0}^2 = 2E_1 E_2 (1 - \cos \theta)$$

$$R_1 \approx R_2 \equiv R = \frac{d\sqrt{E_1 E_2}}{m_{\pi^0}}$$

Main backgrounds:

Mode	BR	Methods to suppress/reject
$K_L \rightarrow \pi^0 \pi^0$	8.64×10^{-4}	γ vetoes, π^0 vertex, p_{\perp}
$K_L \rightarrow \pi^0 \pi^0 \pi^0$	19.52%	γ vetoes, π^0 vertex, p_{\perp}
$K_L \rightarrow \pi e \nu(\gamma)$	40.55%	Charged particle vetoes, π ID, γ vetoes
$\Lambda \rightarrow \pi^0 n$		Beamline length, p_{\perp}
$n + \text{gas} \rightarrow X\pi^0$		High vacuum decay region

$K_L \rightarrow \pi^0 \nu \bar{\nu}$ at J-PARC



KOTO

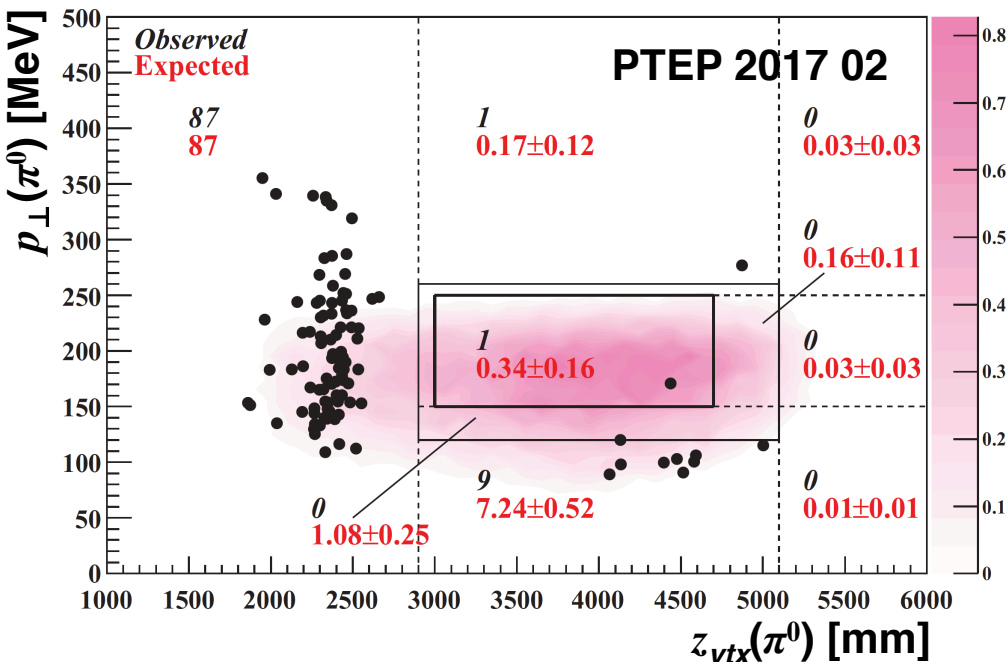
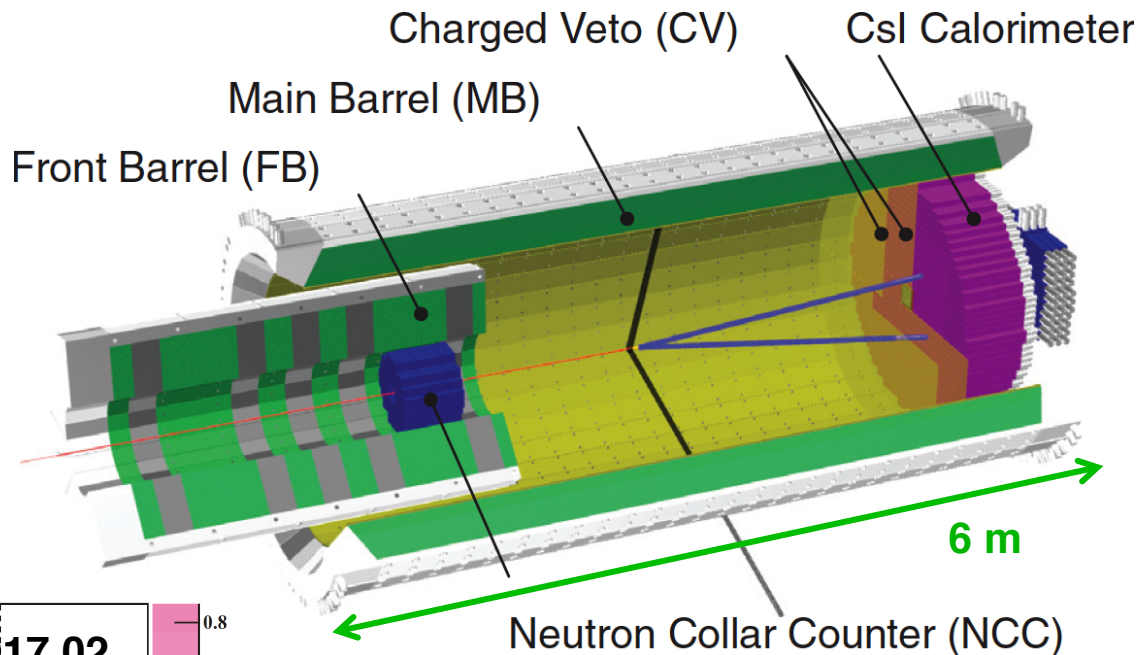
Primary beam: 30 GeV p
 100 kW = 1.2×10^{14} p/6 s

Neutral beam (16°)

$\langle p(K_L) \rangle = 2.1$ GeV

50% of K_L have 0.7-2.4 GeV

8 μ sr “pencil” beam



Based on KEK-391a:

Current experimental value

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \leq 2.6 \times 10^{-8} \text{ (90\%CL)}$$

100-hour KOTO pilot run in 2013:

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \leq 5.1 \times 10^{-8} \text{ (90\%CL)}$$

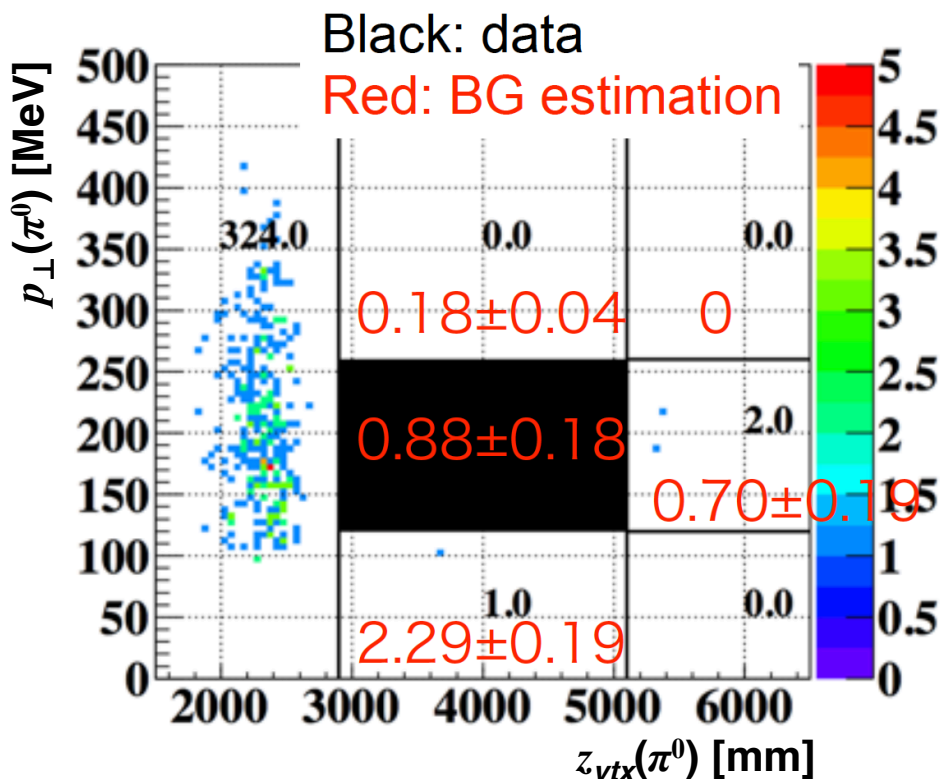
Taking data since 2015

$K_L \rightarrow \pi^0 \nu \bar{\nu}$ at J-PARC

J-PARC PAC, Jan 2018

Current status:

- Reached **44 kW** of slow-extracted beam power in 2017
- Preliminary results, all 2015 data:
 - SES = 1.2×10^{-9}**
 - Expected bkg = 0.9 ± 0.2 events**
 - Signal box not yet unblinded**
 - Bkg estimate still under study
- With all 2015-2017 data, expected sensitivity below Grossman-Nir limit
- In 2018 beam power will increase to **50 kW**
- Continuing program of upgrades to reduce background:
 - New barrel veto (2016), both-end readout for CsI crystals (2018)
- Expect to reach SM sensitivity by 2021**

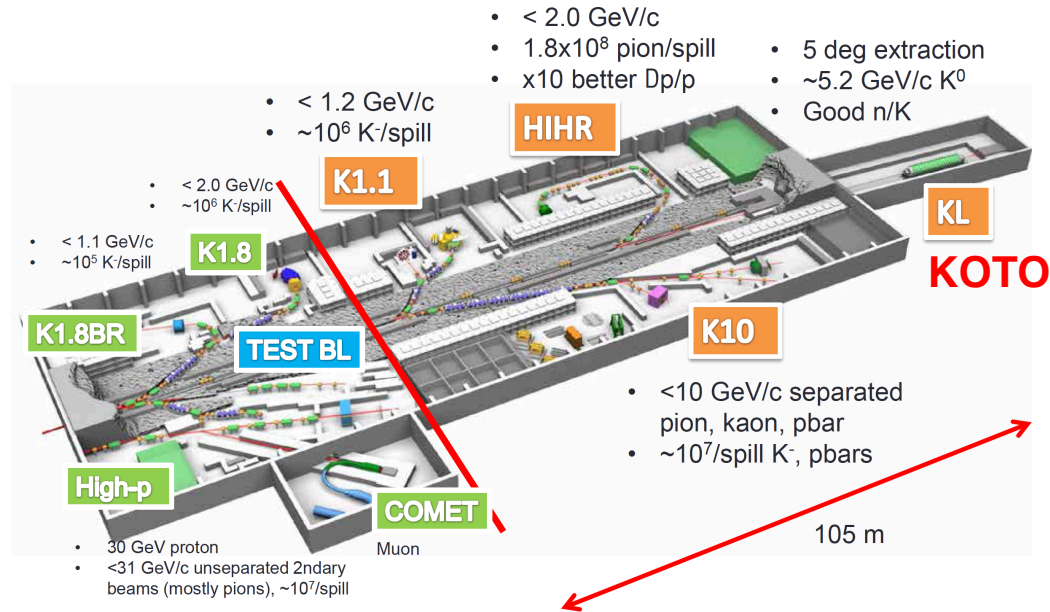


$K_L \rightarrow \pi^0 \nu \bar{\nu}$ at J-PARC



KOTO Step-2 upgrade:

- Increase beam power to >100 kW
- New neutral beamline at 5°
 $\langle p(K_L) \rangle = 5.2 \text{ GeV}$
- Increase FV from 2 m to 11 m
Complete rebuild of detector
- Requires extension of hadron hall



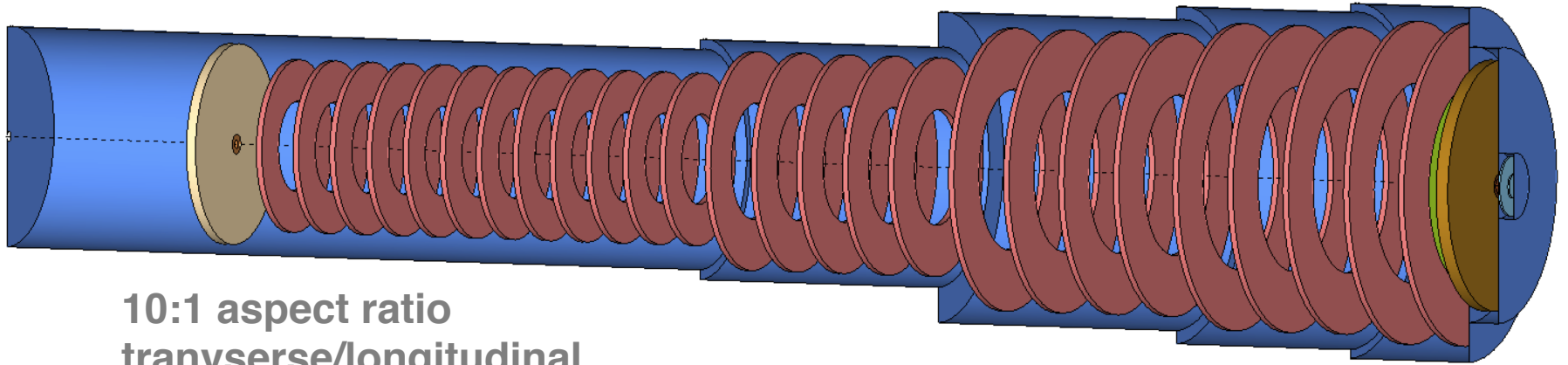
Strong intention to upgrade to O(100) event sensitivity over long term:

- No official Step 2 proposal yet (plan outlined in 2006 KOTO proposal)
- Scaling from 2006 estimates: **$\sim 10 \text{ SM evts/yr per } 100 \text{ kW beam power}$**
- Exploring possibilities for machine & detector upgrades to further increase sensitivity
- Indicative timescale: data taking starting 2025?

A $K_L \rightarrow \pi^0 \nu \nu$ experiment at the SPS?

K_LEVER

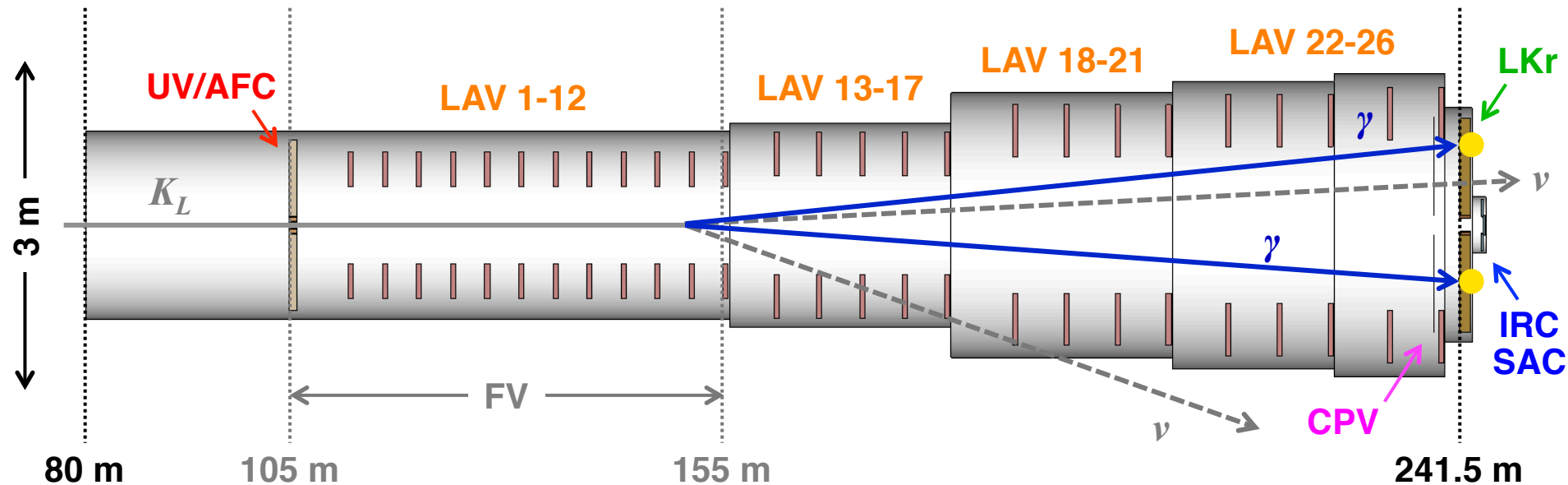
K_L Experiment for VErY Rare events



10:1 aspect ratio
transverse/longitudinal

- High-energy experiment: Complementary approach to KOTO
- Photons from K_L decays boosted forward
 - Makes photon vetoing easier - veto coverage only out to 100 mrad
- Roughly same vacuum tank layout and fiducial volume as NA62
- Possible to re-use LKr calorimeter, NA62 experimental infrastructure?
- **Target sensitivity: 60 $K_L \rightarrow \pi^0 \nu \nu$ events at SM BR, with $S/B = 1$**

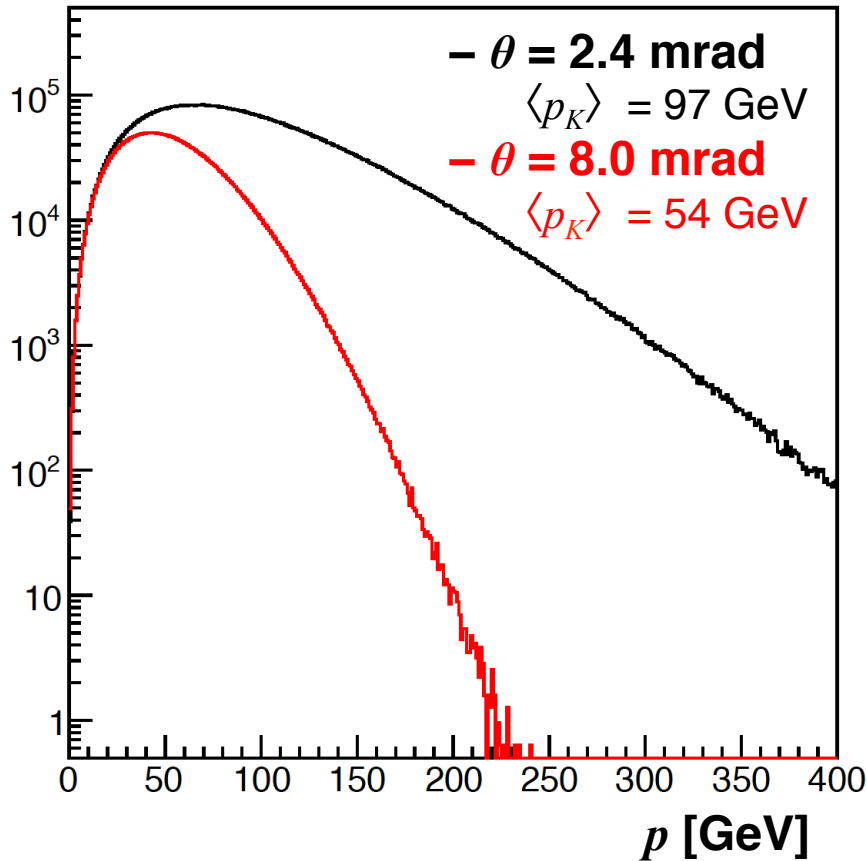
An experiment to measure $K_L \rightarrow \pi^0 \nu \bar{\nu}$ ***K_LEVER***



Main detector/veto systems:

- UV/AFC** Upstream veto/active final collimator
- LAV1-26** Large-angle vetoes (26 stations)
- LKr** NA48 liquid krypton calorimeter
- IRC/SAC** Small-angle vetoes
- CPV** Charged particle veto

All K_L in beam



Beam parameters:

- 400 GeV p on 400 mm Be target
- Production at $\theta = 8.0 \text{ mrad}$:
 - As much K_L production as possible
 - Low ratio of $(K_L \text{ in FV})/n$
 - Reduce Λ production and soften momentum spectrum
- Solid angle $\Delta\theta = 0.4 \text{ mrad}$
 - Large $\Delta\theta = \text{high KL flux}$
 - Tight beam collimation improves background rejection

1.6×10^{-5} KL in beam/pot

Required total proton flux = 5×10^{19} pot

10^{19} pot/year (= 100 eff. days)

E.g.: 2×10^{13} ppp/16.8 s

Probability for decay inside FV $\sim 2\%$
Acceptance for decays occurring in FV $\sim 10\%$



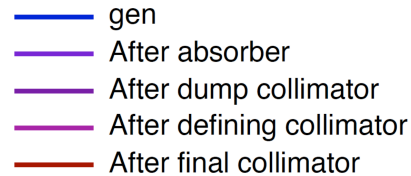
50-60 detected
 $K_L \rightarrow \pi^0 \nu \nu$ evts/yr

Neutral beam simulation

FLUKA simulation of 400 GeV p on 400-mm Be target

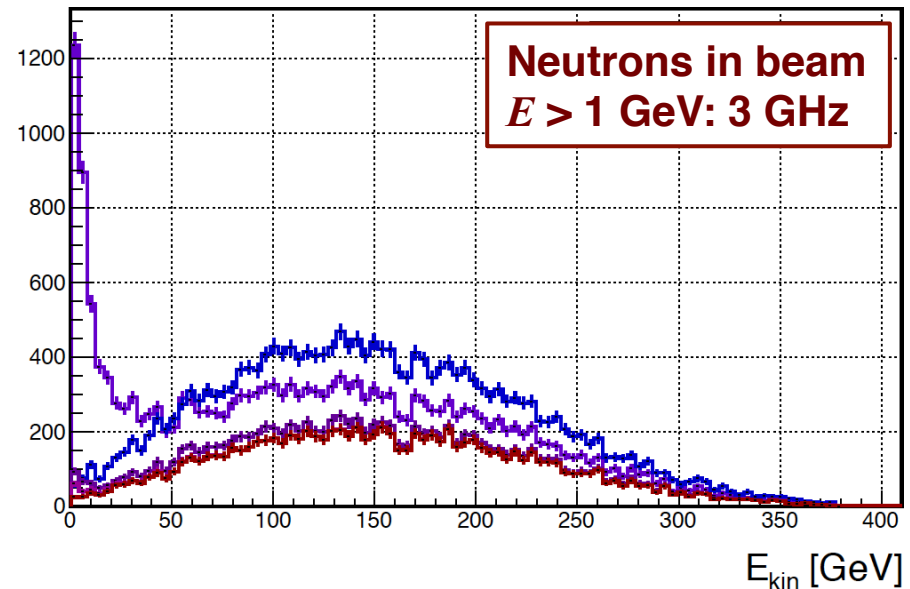
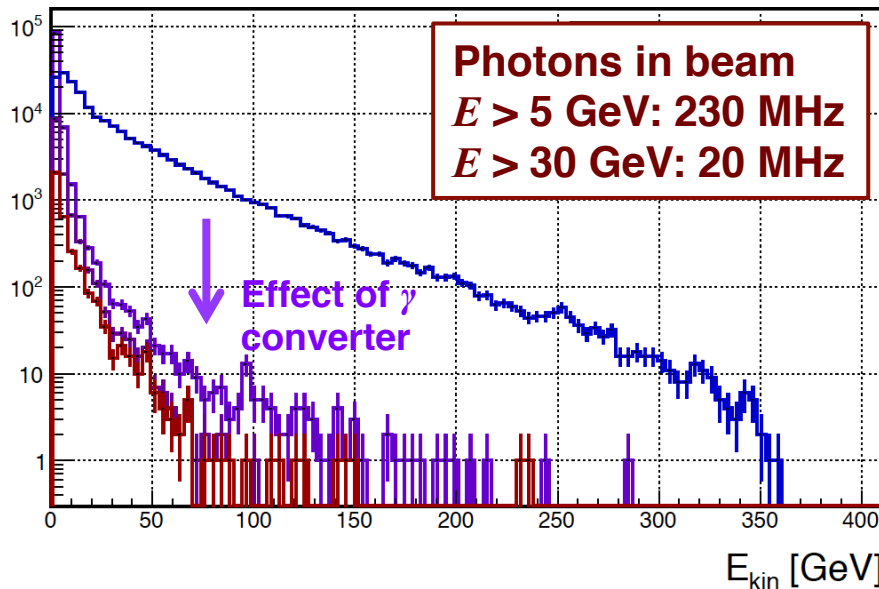
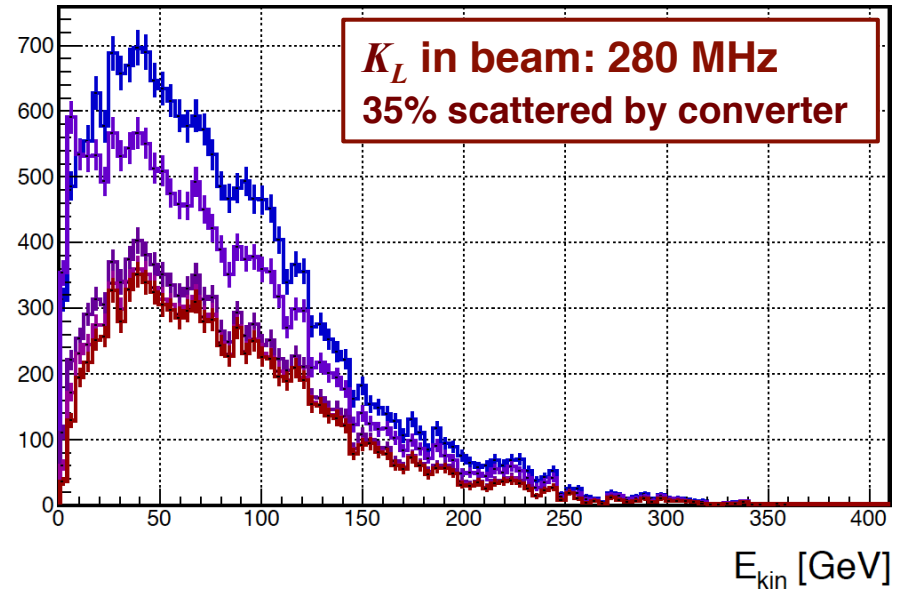
Geant4 simulation of beamline

- 3 collimators, $\Delta\theta = 0.3$ mrad
- 30-mm Ir photon converter in dump collimator

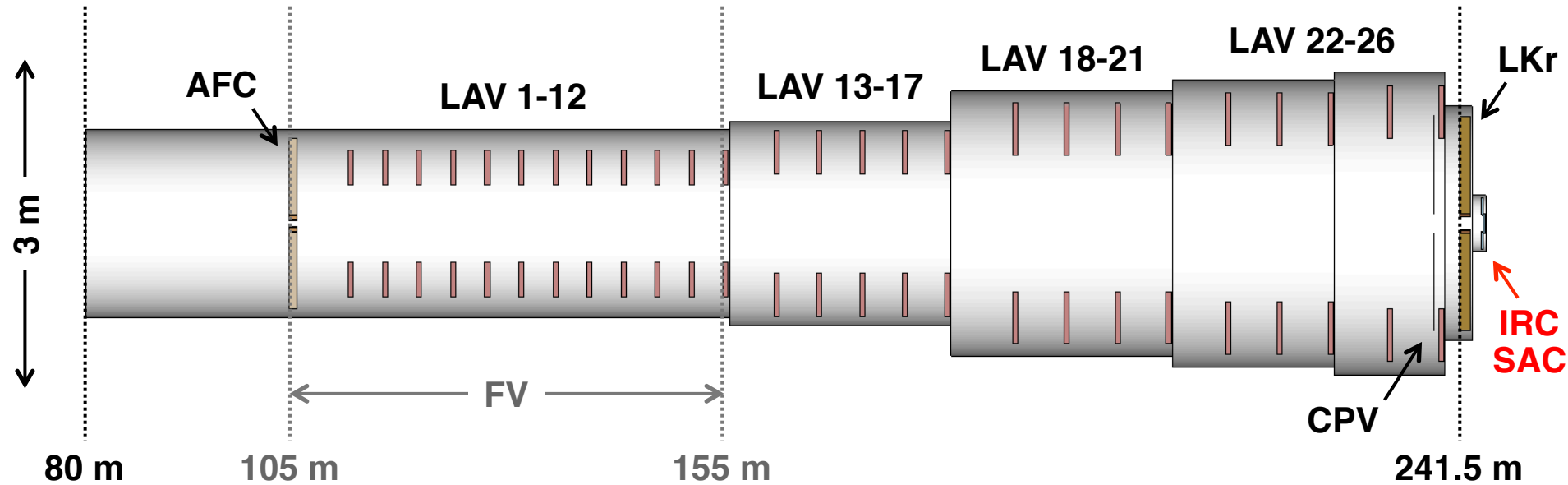


2.4 mrad

Simulation for 8 mrad in progress



Small-angle photon vetoes



Small-angle photon veto systems (IRC, SAC)

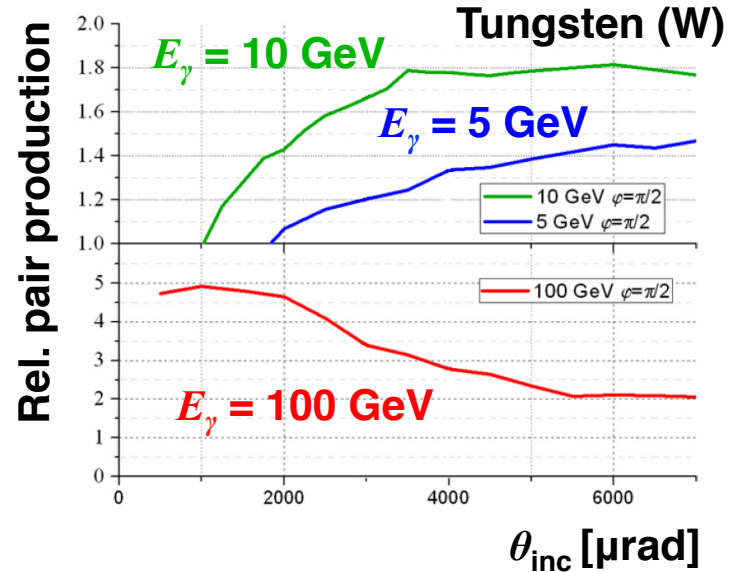
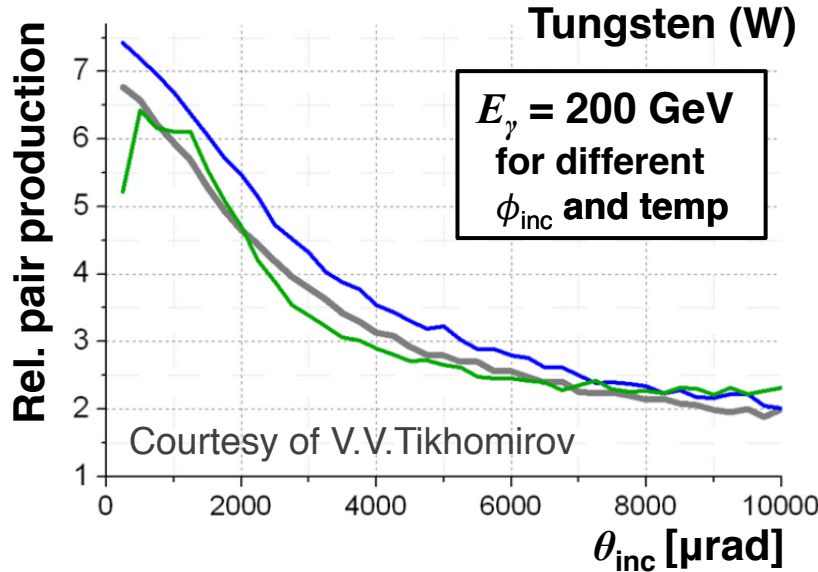
- Reject high-energy γ s from $K_L \rightarrow \pi^0\pi^0$ escaping through beam hole
- Must be insensitive as possible to 3 GHz of beam neutrons

Beam comp.	Rate (MHz)	Req. $1 - \epsilon$
$\gamma, E > 5 \text{ GeV}$	230	10^{-2}
$\gamma, E > 30 \text{ GeV}$	20	10^{-4}
n	3000	—

Baseline solution:

- Tungsten/silicon-pad sampling calorimeter with crystal metal absorber

Coherent effects in crystals enhance pair-conversion probability



Use coherent effects to obtain a converter with large effective λ_{int}/X_0 :

1. Beam photon converter in dump collimator

Effective at converting beam γ s while relatively transparent to K_L

2. Absorber material for small-angle calorimeter (SAC)

Must be insensitive as possible to \sim GHz of beam neutrons while efficiently vetoing high-energy γ s from K_L decays

Project timeline – target dates:

2017-2018

Project consolidation and proposal

- Beam test of crystal pair enhancement
- Consolidate design

2019-2021

Detector R&D

2021-2025

Detector construction

- Possible K12 beam test if compatible with NA62

2024-2026

Installation during LS3

2026-

Data taking beginning Run 4

- **KLEVER is actively seeking new collaborators!**
- Expression of Interest to the SPSC is under preparation in context of Physics Beyond Colliders initiative

Summary and outlook

Good progress from lattice and prospects for new experimental results to improve 1st -row unitarity test from V_{us}

LHCb has demonstrated unprecedented sensitivity for rare K_S decays

$K \rightarrow \pi\nu\nu$ is a uniquely sensitive indirect probe for high mass scales

- Need precision measurements of both K^+ and K_L decays

NA62 will improve on current knowledge of $\text{BR}(K^+ \rightarrow \pi^+\nu\nu)$ in short term, ultimately reaching ~ 100 event sensitivity

KOTO will reach SM sensitivity to $\text{BR}(K_L \rightarrow \pi^0\nu\nu)$ by 2021

Preliminary design studies indicate that an experiment to measure $\text{BR}(K_L \rightarrow \pi^0\nu\nu)$ can be performed at the SPS in Run 4 (2026-2029)

- Many issues still to be addressed!
- Expected sensitivity: ~ 60 SM events with $S/B \sim 1$
- Comparable in precision to KOTO Step 2, with complementary technique (high vs. low energy) and different systematics

Additional information

GDR-Inf Workshop: The Future of the Intensity Frontier
CERN, 2 February 2018

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High-intensity neutral beam issues



10^{19} pot/yr \times 5 years \rightarrow 2×10^{13} ppp/16.8s = 6 \times increase relative to NA62

Feasibility/cost study a primary goal of our involvement in Conventional Beam WG

Preliminary analysis of critical issues by Secondary Beams & Areas group

Issue	Approach
Extraction losses	Good results on ZS losses and spill quality from SPS Losses & Activation WG (SLAWG) Slow extraction workshop, 9-11 November: https://indico.cern.ch/event/639766/
Beam loss on T4	Vertical by-pass to increase transmission to T10
Equipment protection	Possibly use SIS interlock to stop extraction during P0Survey reaction time
Ventilation in ECN3	Need to understand better current safety margin May need comprehensive ventilation system upgrade
ECN3 beam dump	Significantly improved for NA62 Need to understand better current safety margin
Background fluxes	Detailed simulations getting started