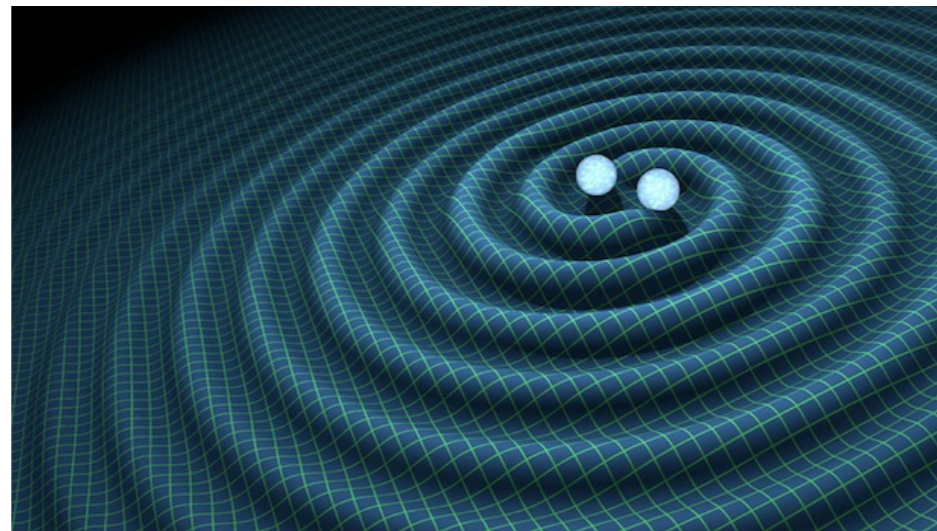




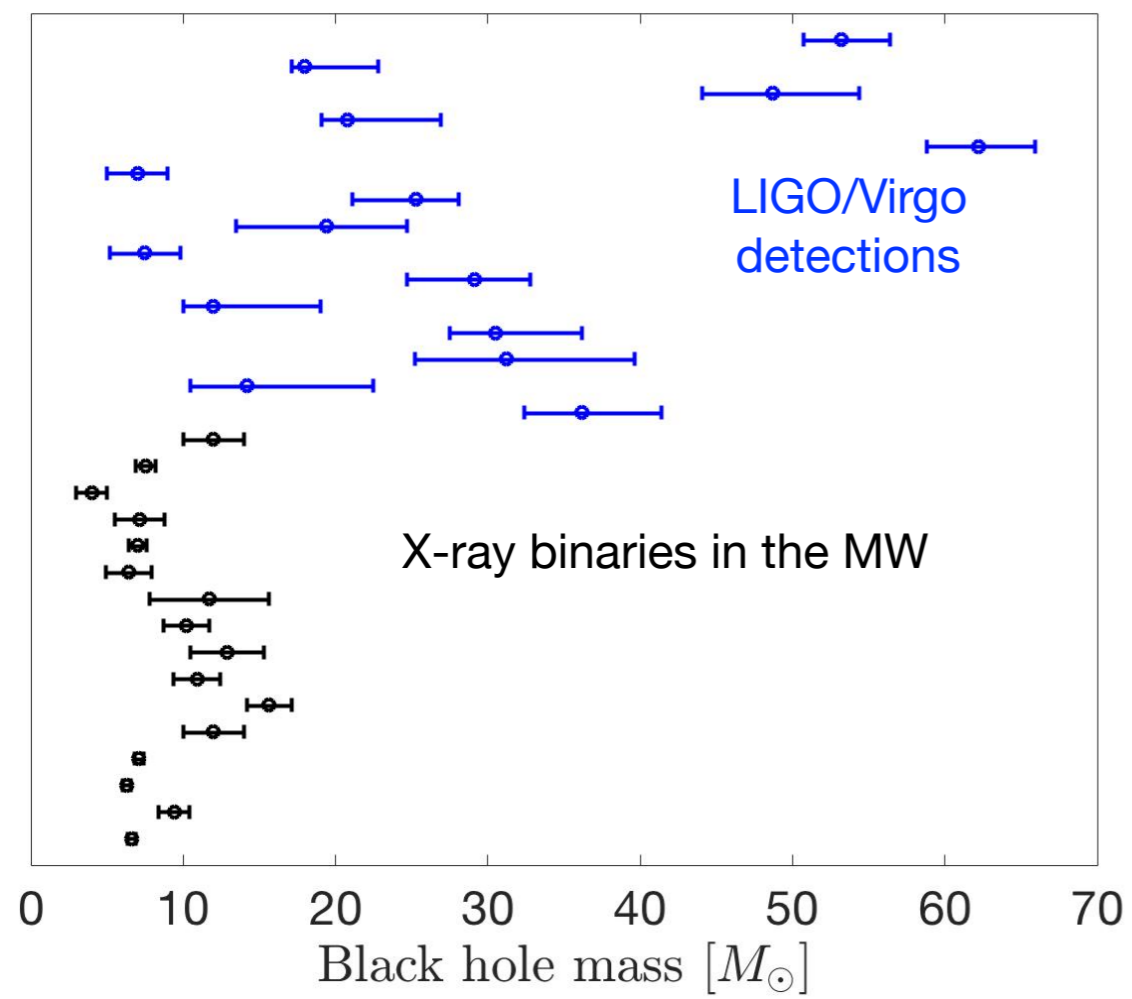
Constraining black hole formation models with gravitational-wave observations

Irina Dvorkin

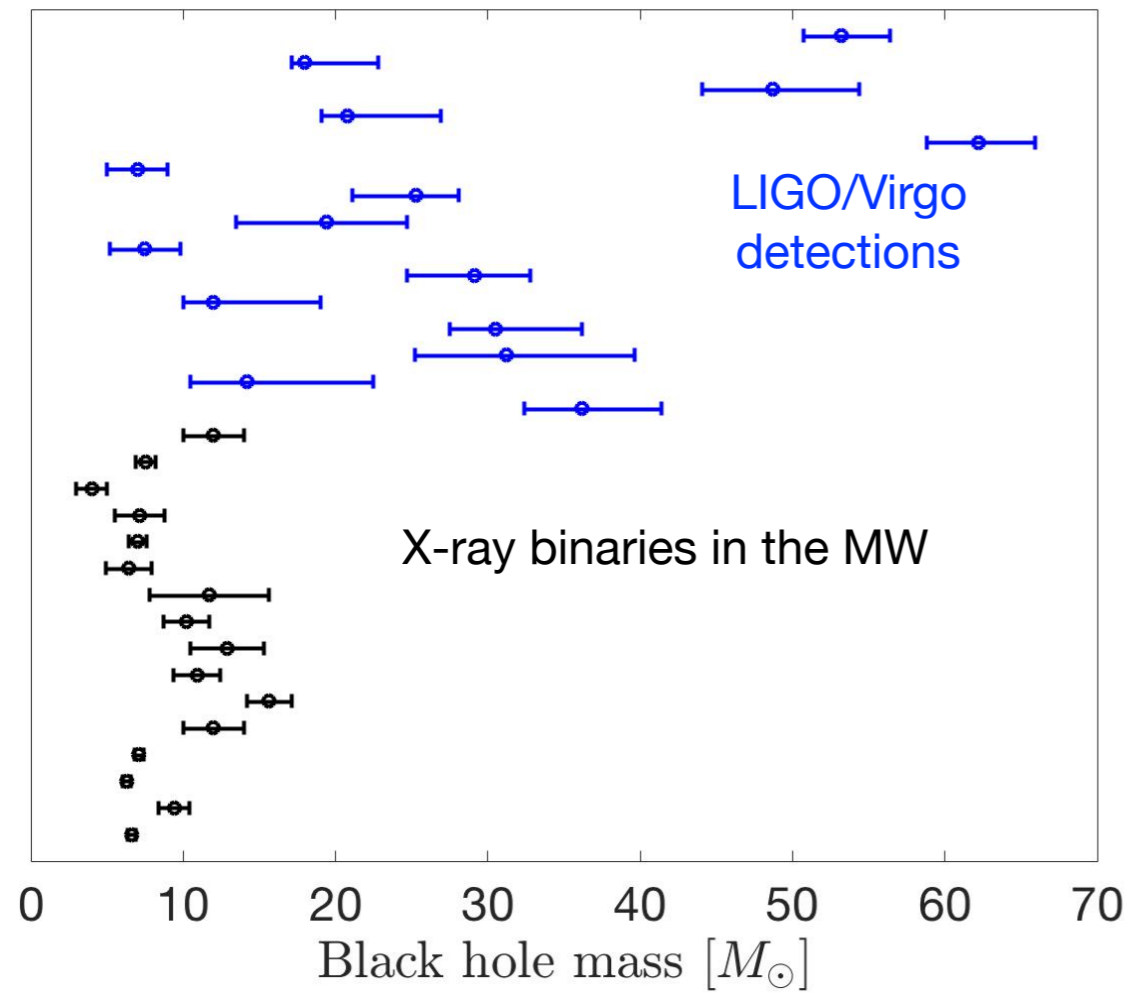
Max Planck Institute for Gravitational Physics (AEI, Potsdam)



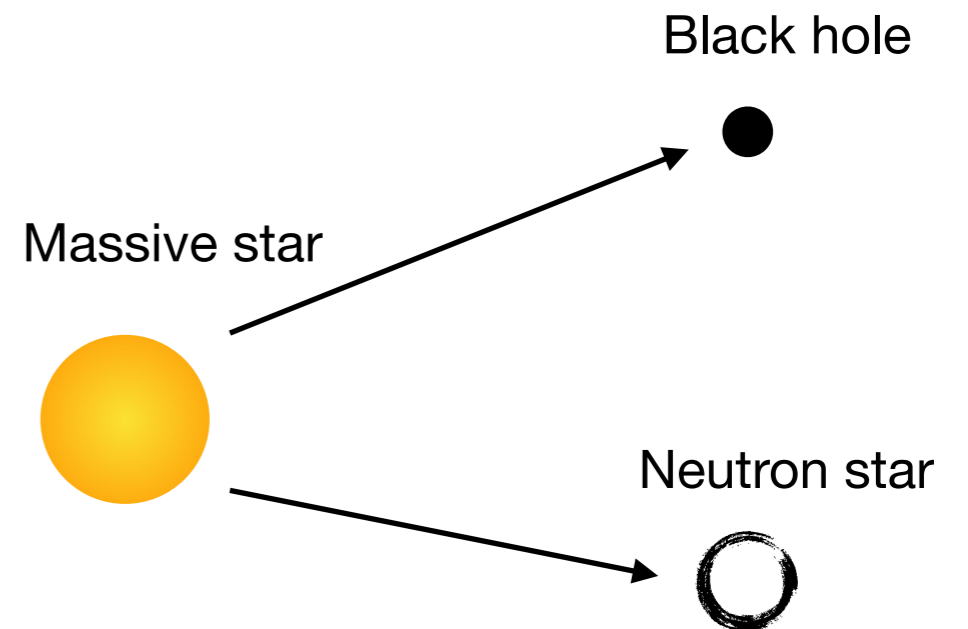
Black holes observed with gravitational waves



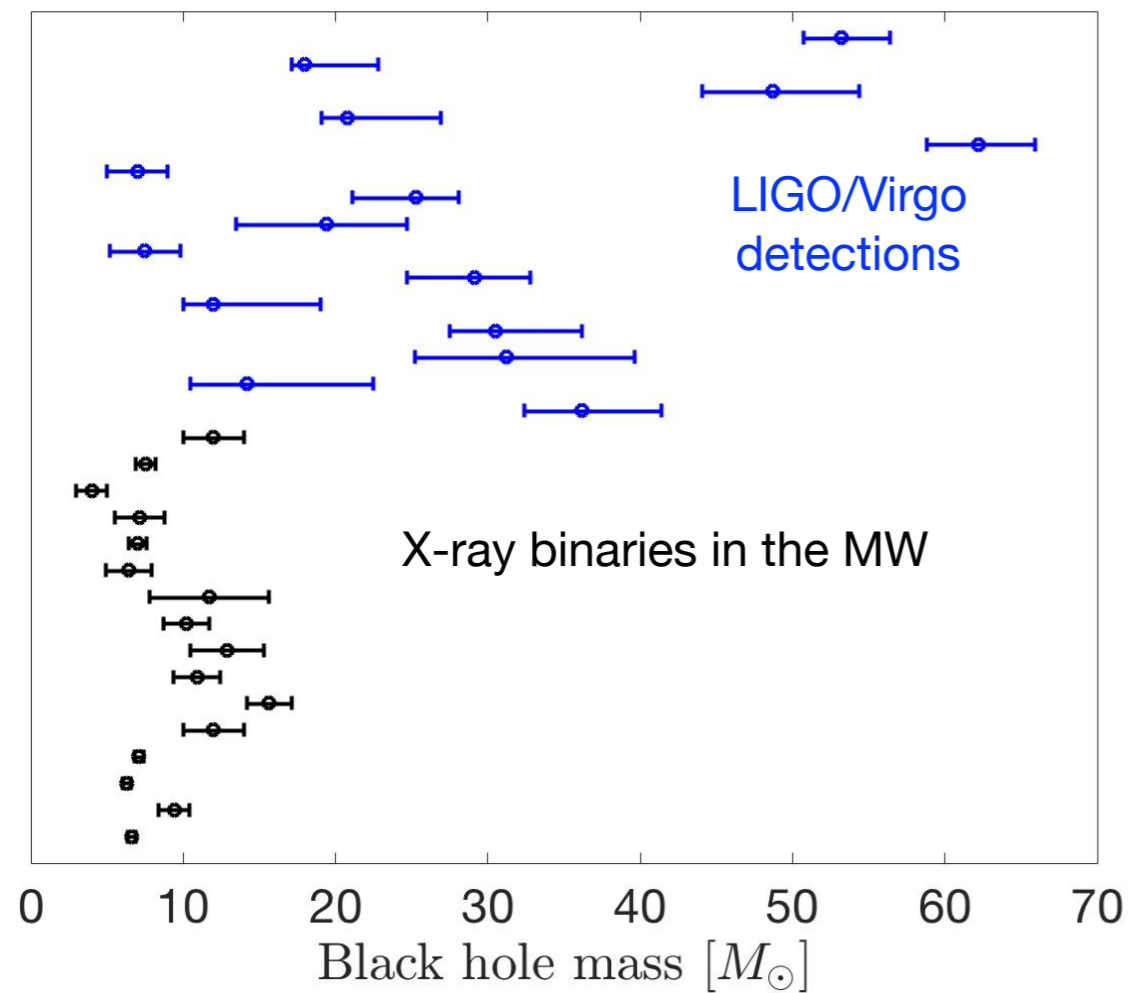
Black holes observed with gravitational waves



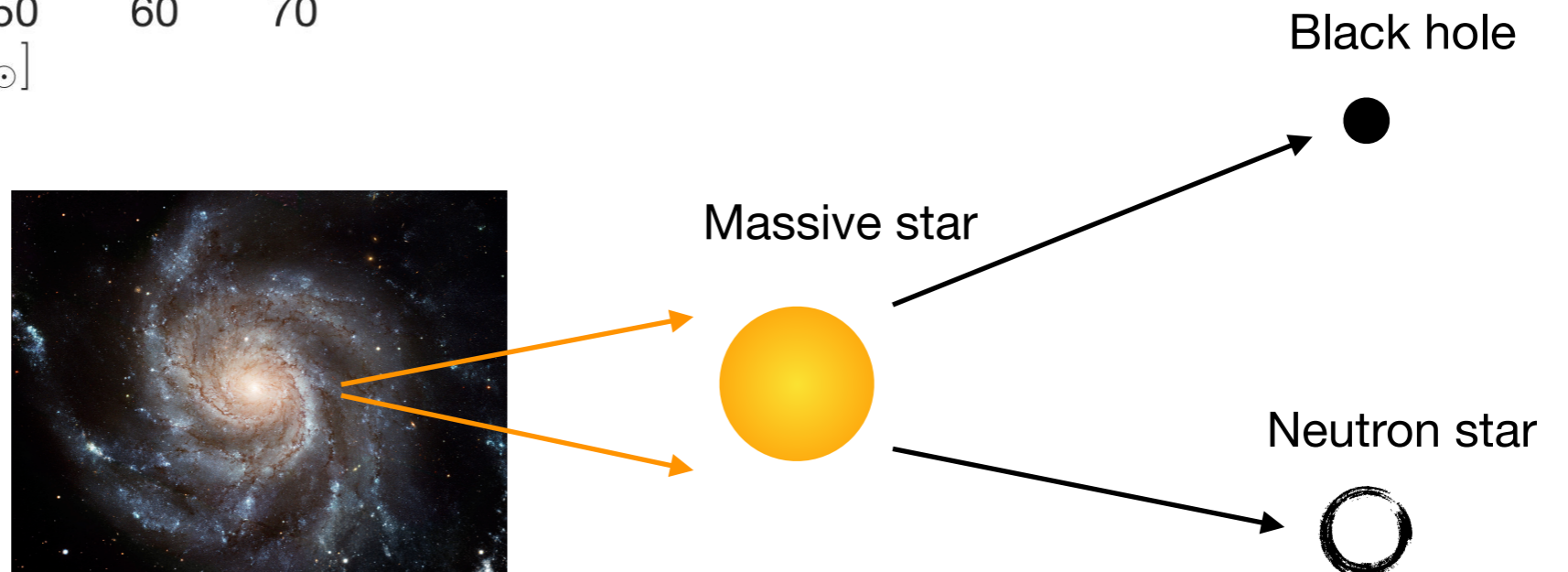
- How do black holes form?
- How do black hole binaries form and evolve?



Black holes observed with gravitational waves



- How do black holes form?
- How do black hole binaries form and evolve?
- What are the properties of the stellar progenitors of the black holes observed in GW?
- What are the galactic environments of the stellar progenitors?



Stellar-mass black hole binaries

Stellar evolution

- Mass loss by stellar winds

Core collapse SN / direct collapse to a BH

- Explosion mechanism

Stellar-mass black hole binaries

Stellar evolution

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Core collapse SN / direct collapse to a BH

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Remnant mass = f (stellar mass, metallicity, rotation, ?)

Stellar-mass black hole binaries

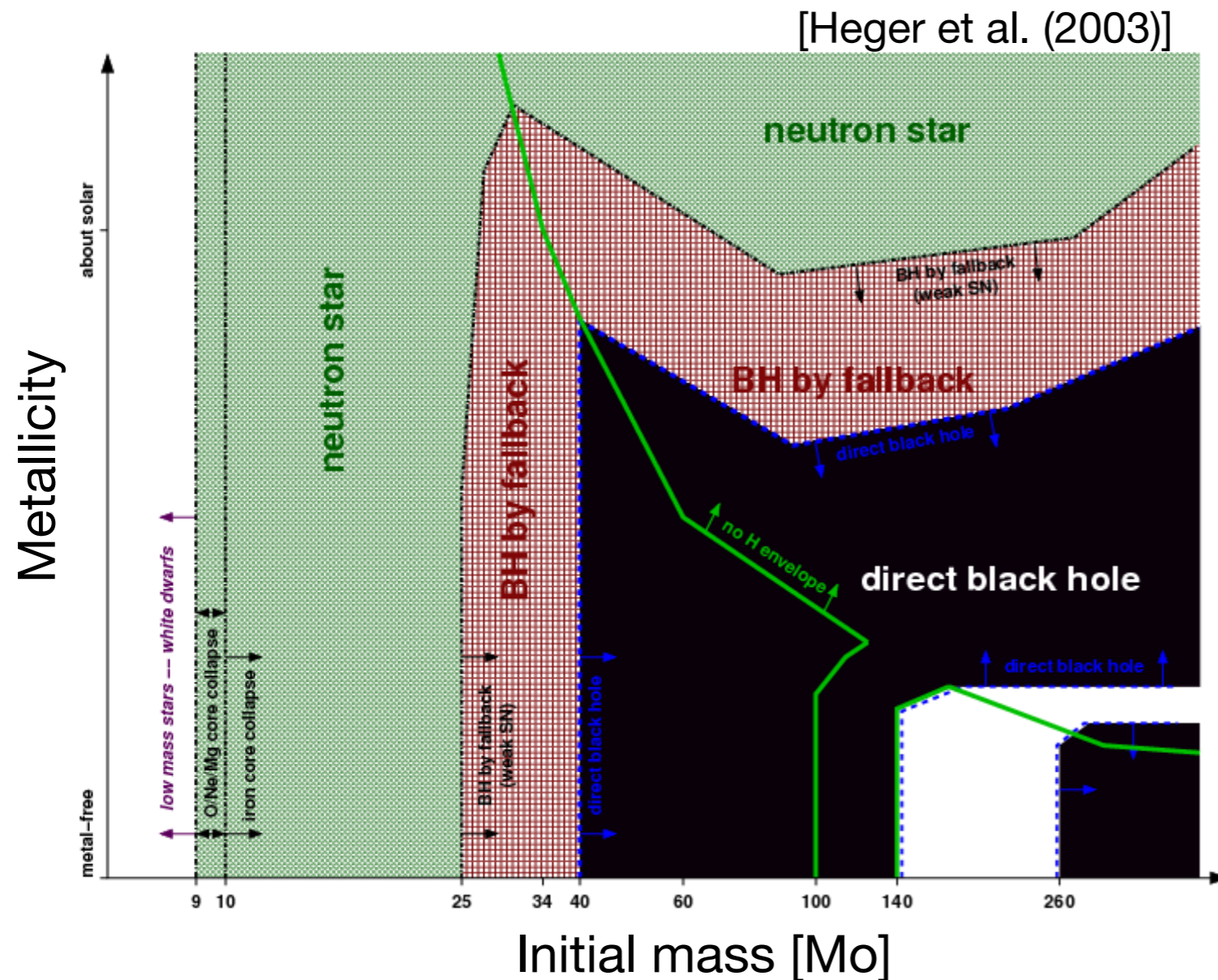
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Stellar-mass black hole binaries

Stellar evolution

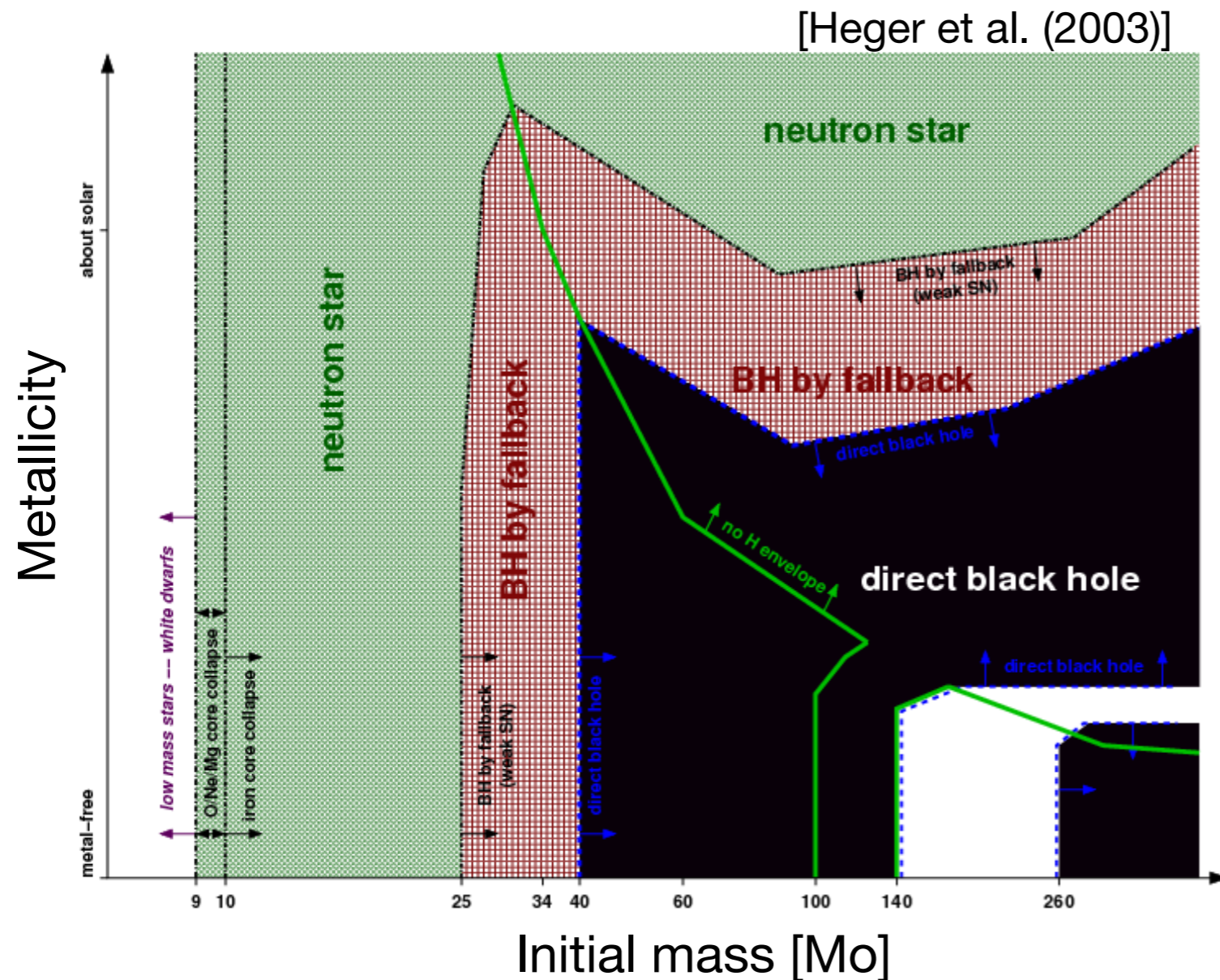
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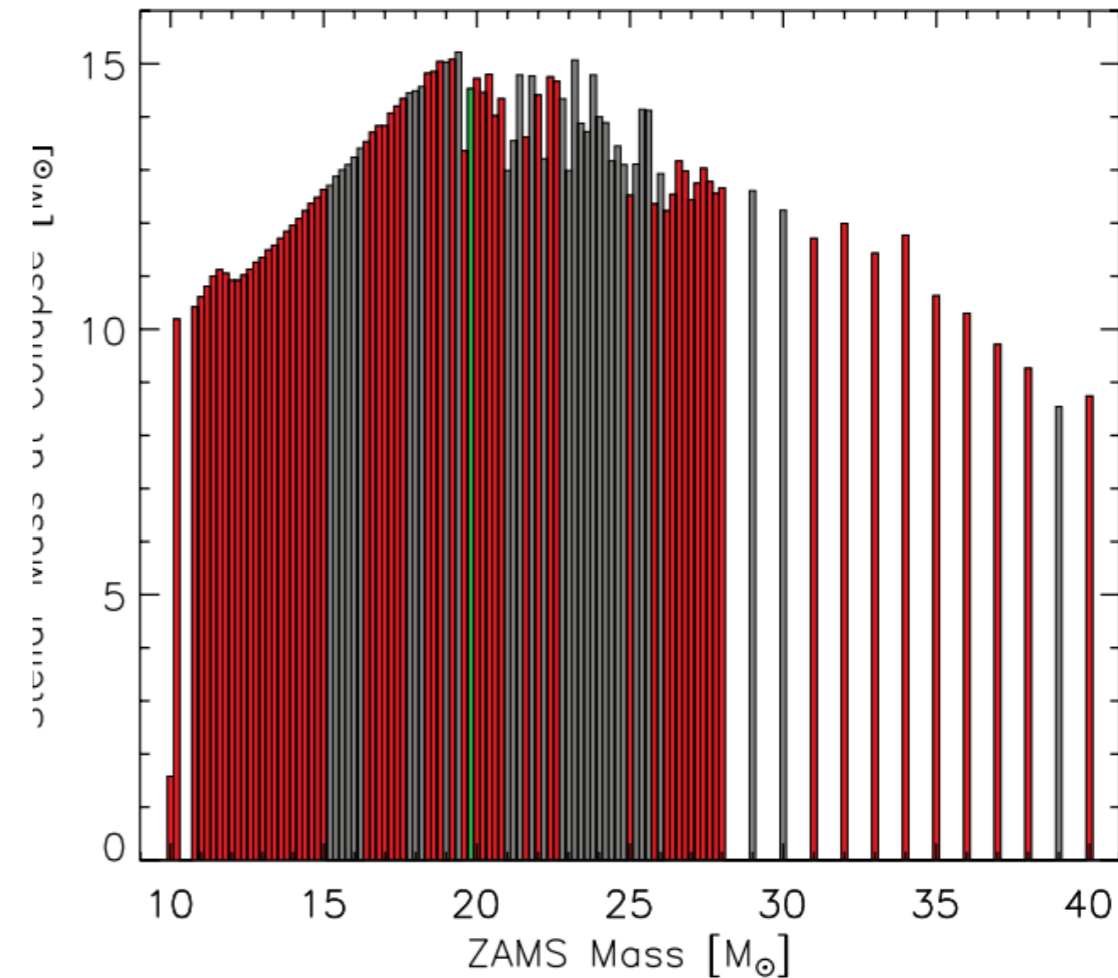
- Explosion mechanism

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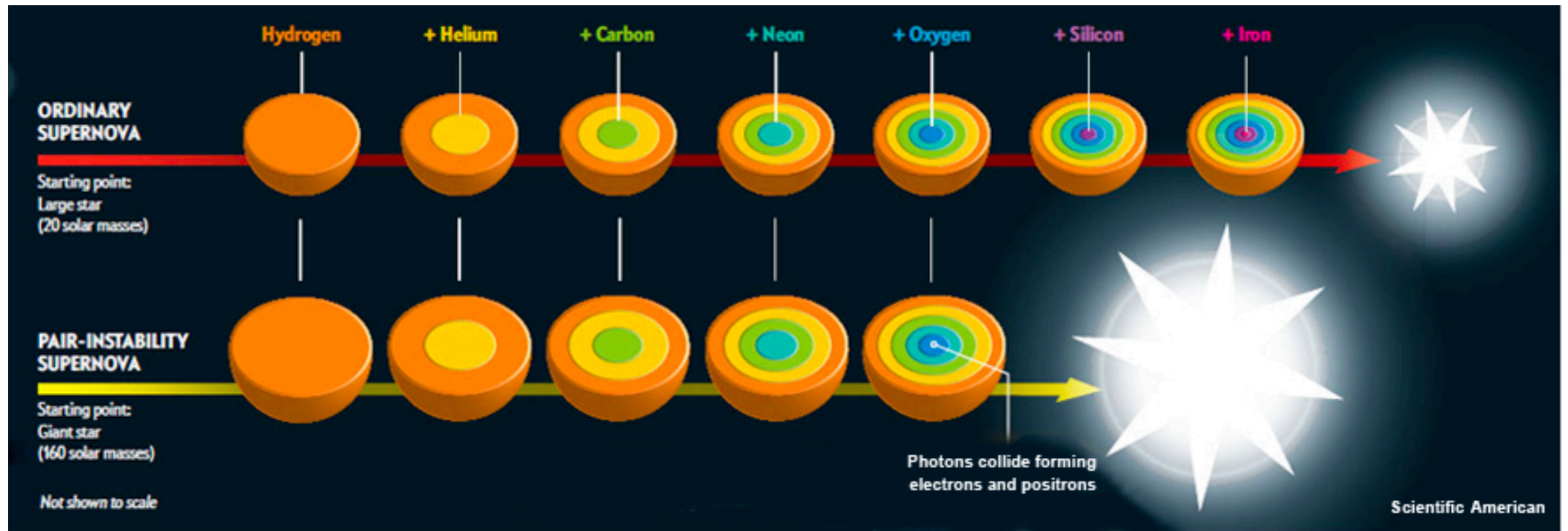
[Ertl et al. (2016); Sukhbold et al. (2016)]



[Ugliano et al. (2012)]



Pair-instability supernovae



Pair-instability supernovae

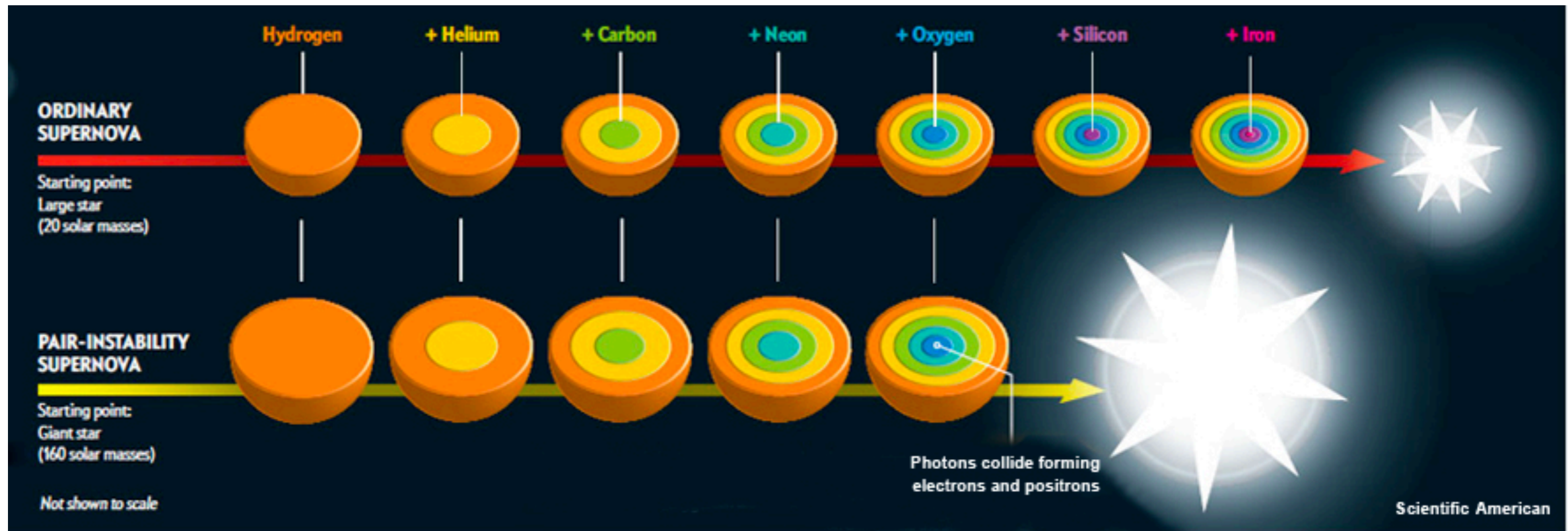


Table 1 Final evolution of stars of different initial mass³

Mass at birth (solar masses)	Helium core mass (solar masses)	Compact remnant	Event
10–95	2–40	Neutron star, black hole	Ordinary supernova
95–130	40–60	Neutron star, black hole	Pulsational pair-instability supernova
130–260	60–137	Explosion, no remnant	Pair-instability supernova
>260	>137	Black hole	?

[Woosley, Blinnikov, Heger (2007)]

Pair-instability supernovae

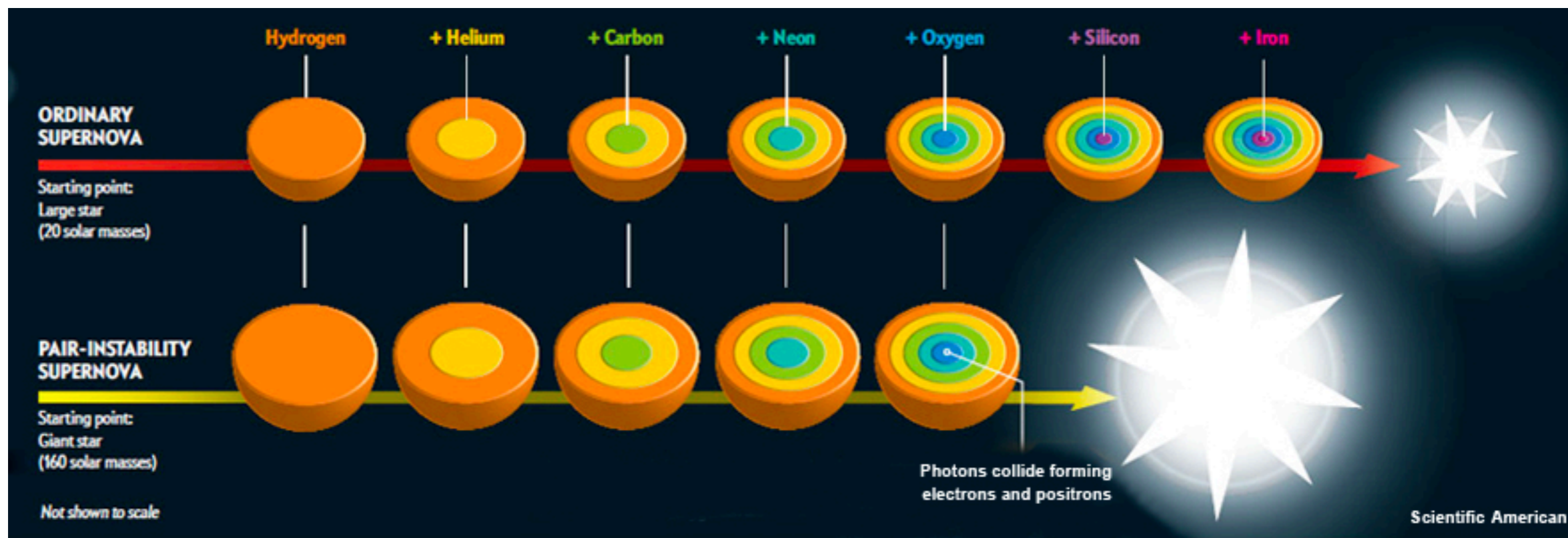


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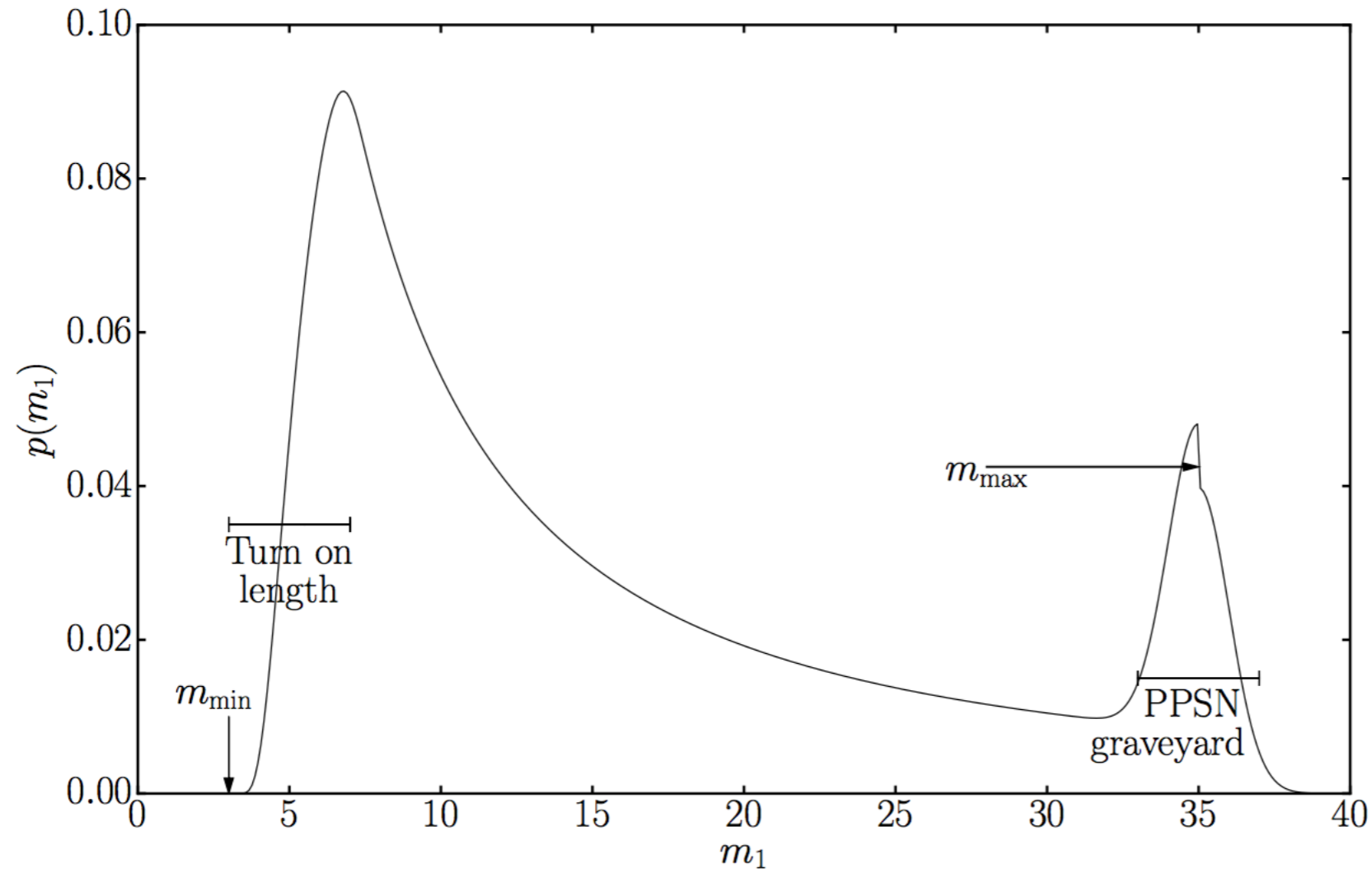
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[Woosley, Blinnikov, Heger (2007)]

Pair-instability supernovae and pulsational pair-instability

Pulsations may cause an overabundance of BHs at ~ 35 Msun

[Talbot & Thrane (2018)]



Stellar-mass black hole binaries

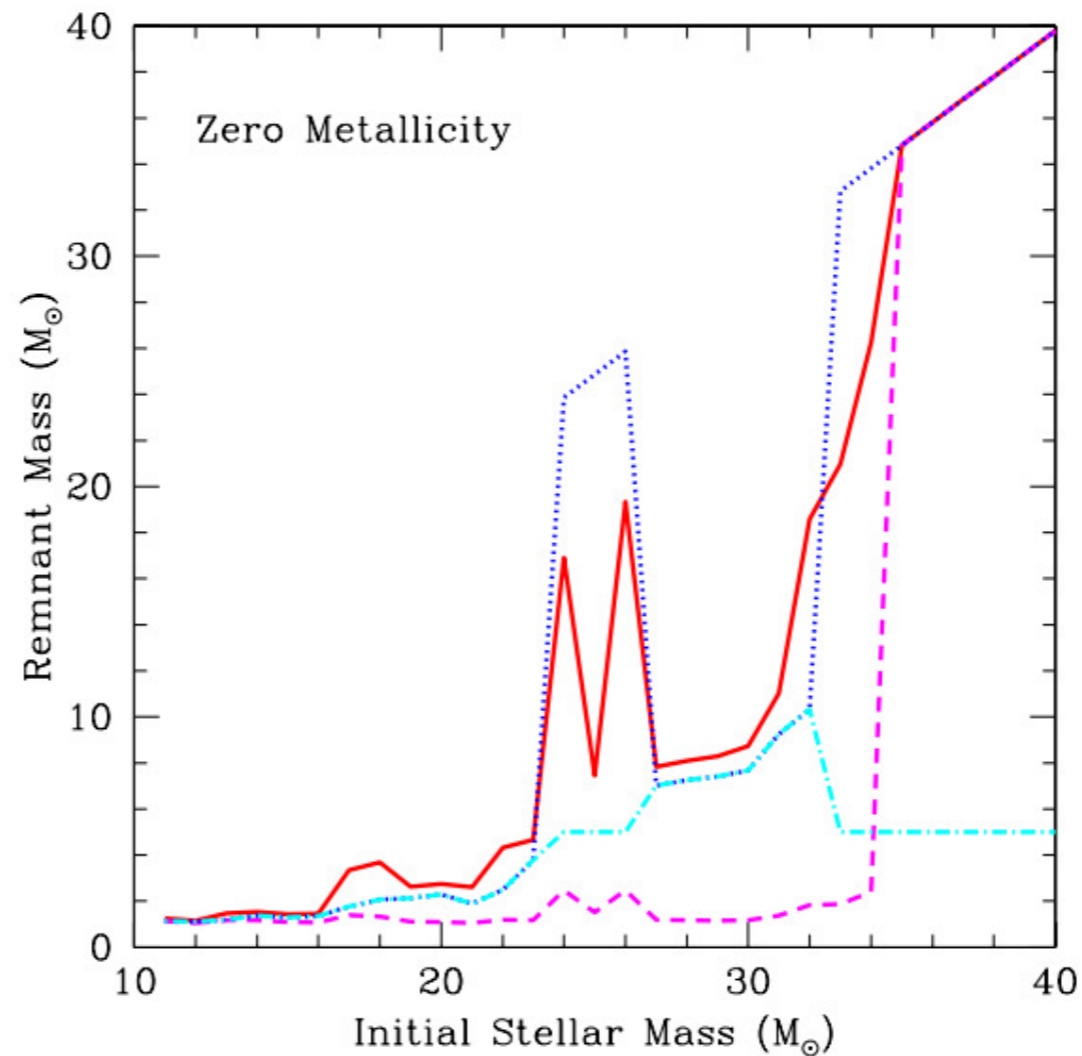
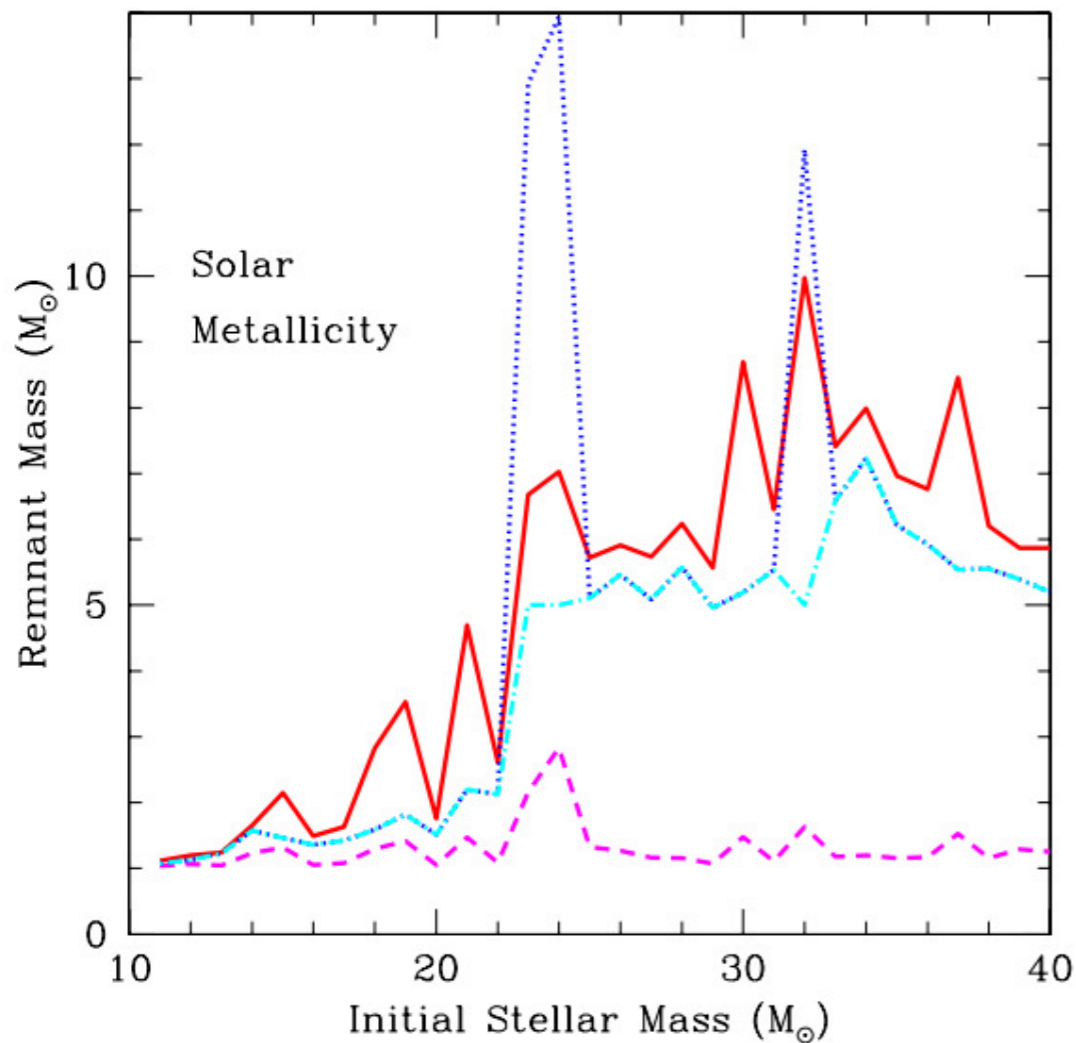
Stellar evolution

- Mass loss by stellar winds

Core collapse SN / direct collapse to a BH

- Explosion mechanism

$$\text{Remnant mass} = f(\text{stellar mass, } \mathbf{\text{metallicity}}, \text{ rotation})$$



[Fryer et al. (2012)]

Stellar-mass black hole binaries

Stellar evolution

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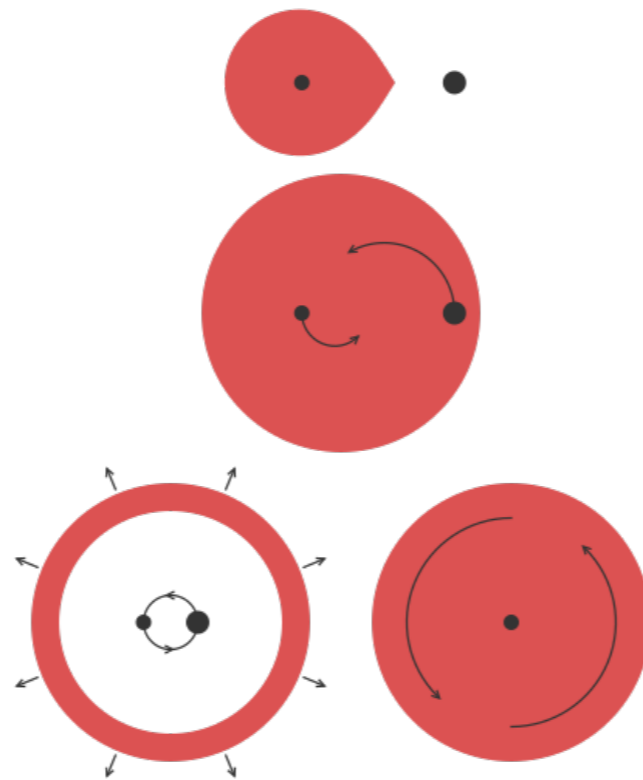
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Evolution of stellar binary

- Common envelope



Stellar-mass black hole binaries

Stellar evolution

- Mass loss by stellar winds

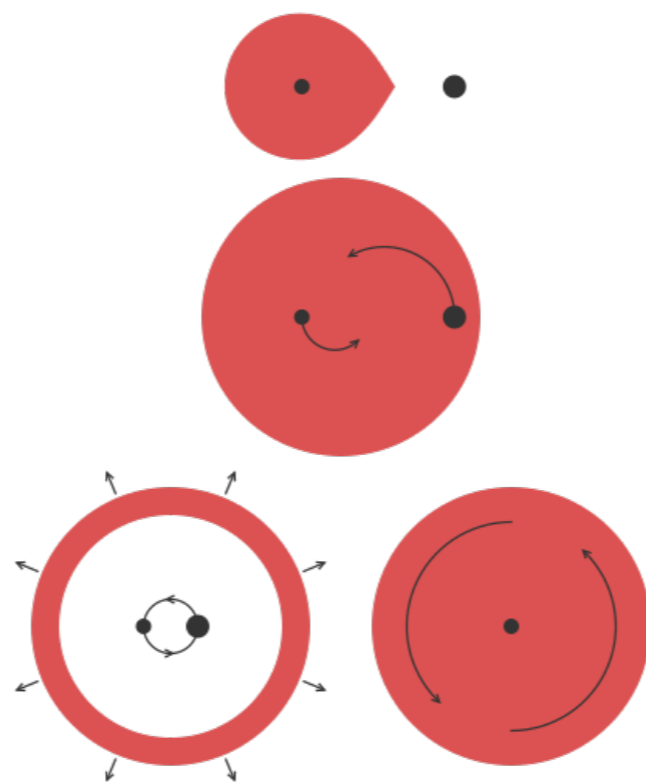
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Other channels:

- PopIII stars
- Dynamic binary formation in dense stellar clusters

Stellar-mass black hole binaries

Stellar evolution

- Mass loss by stellar winds

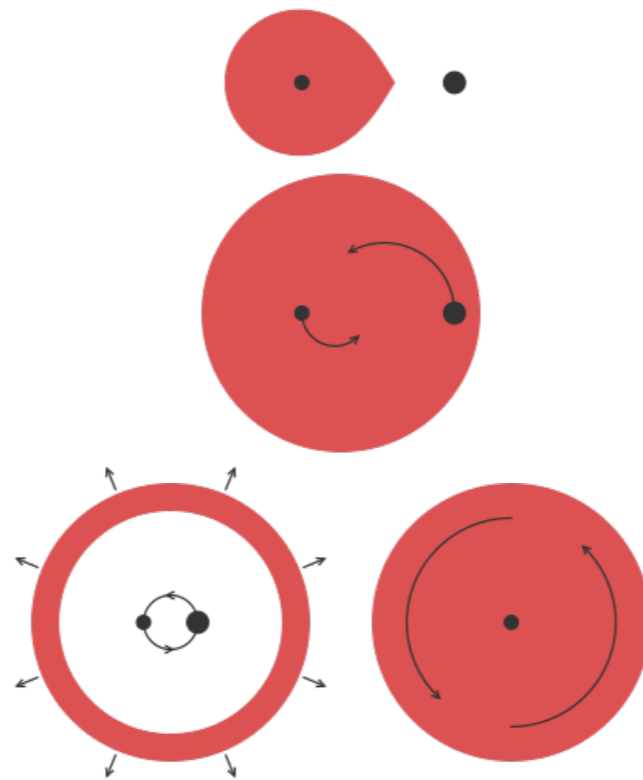
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Other channels:

- PopIII stars
- Dynamic binary formation in dense stellar clusters
- **Primordial black holes**

How to study BH formation models with GW?

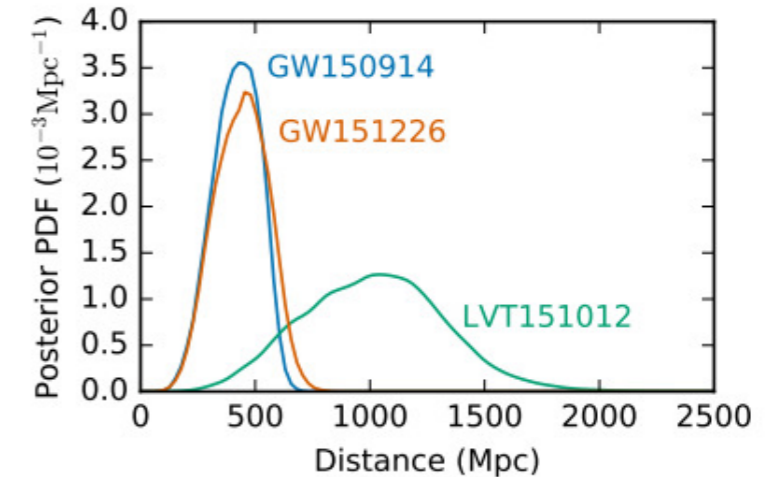
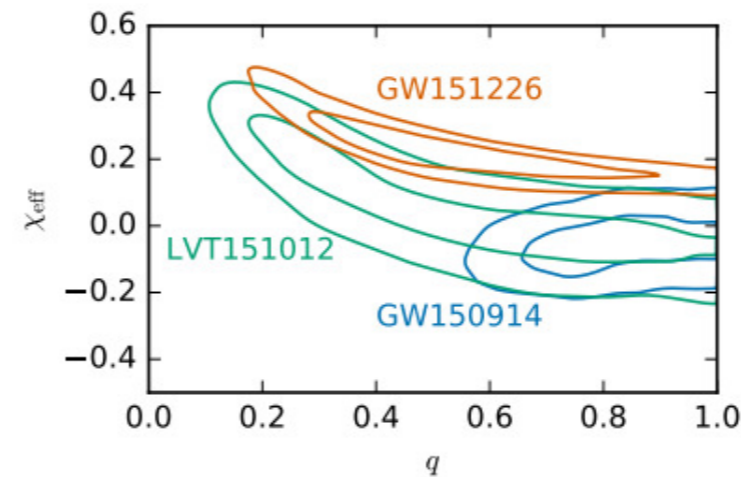
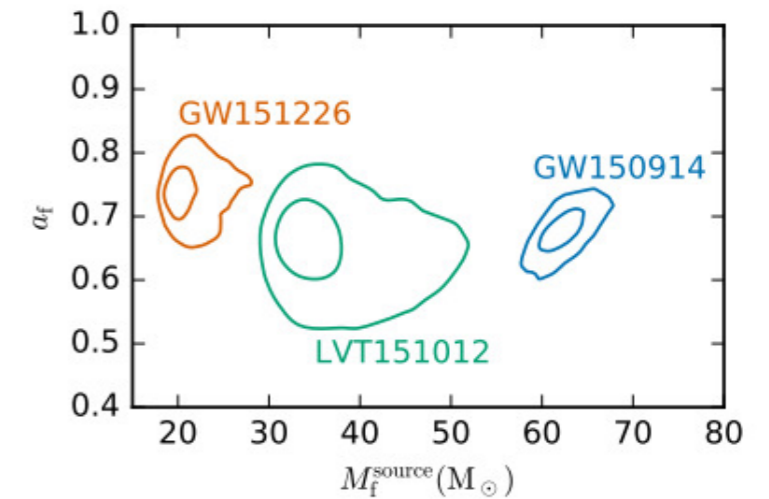
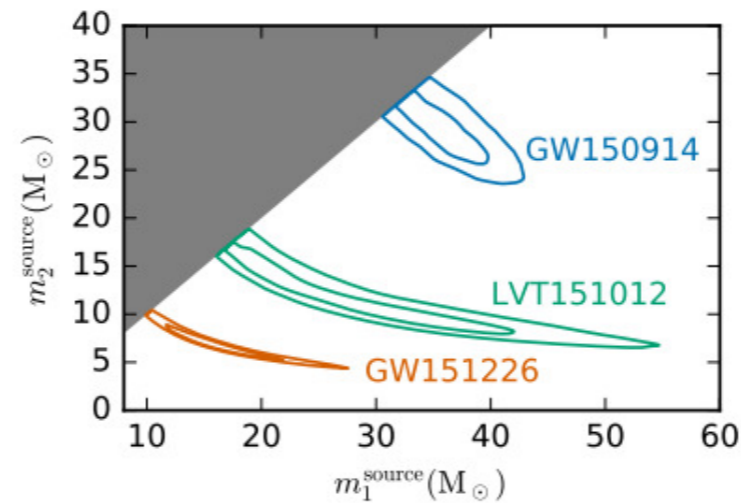
What we can observe:

- Masses
- Spins
- Redshifts

What we need to constrain:

- Black hole formation scenario
- Specific model parameters

[Abbott et al. (2016)]



How to study BH formation models with GW?

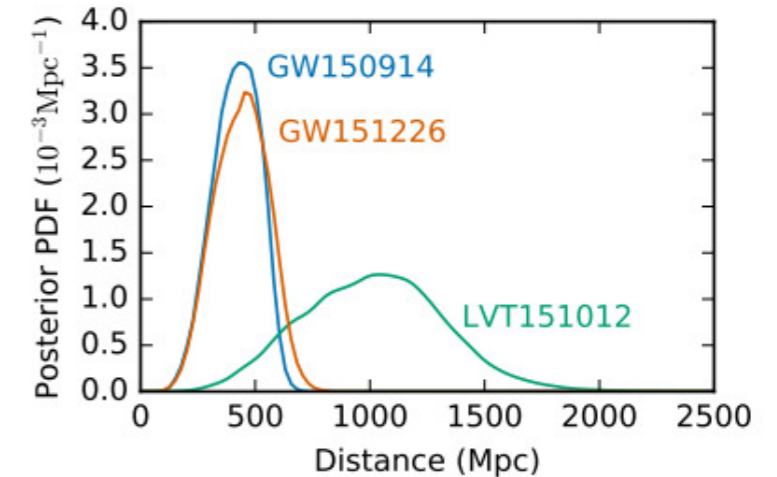
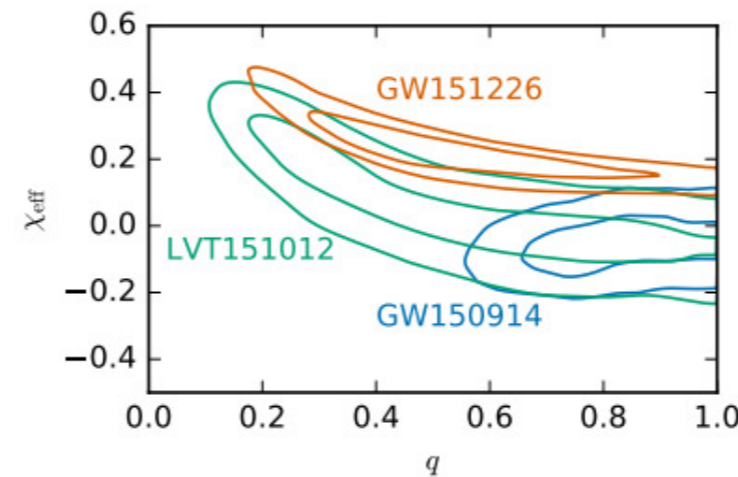
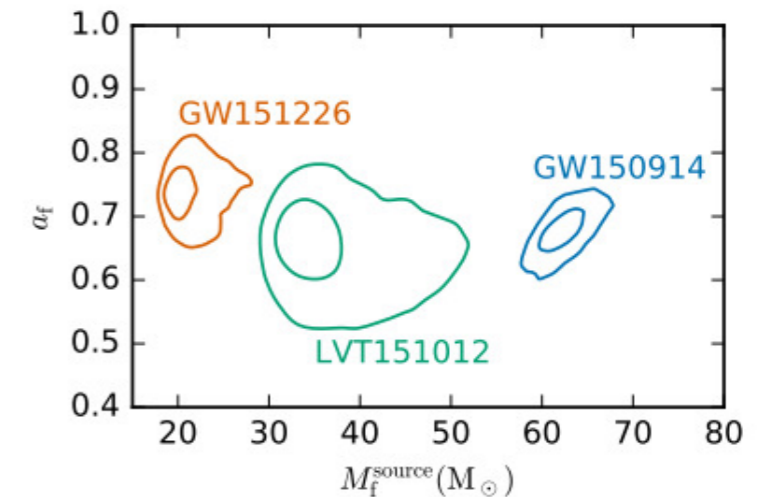
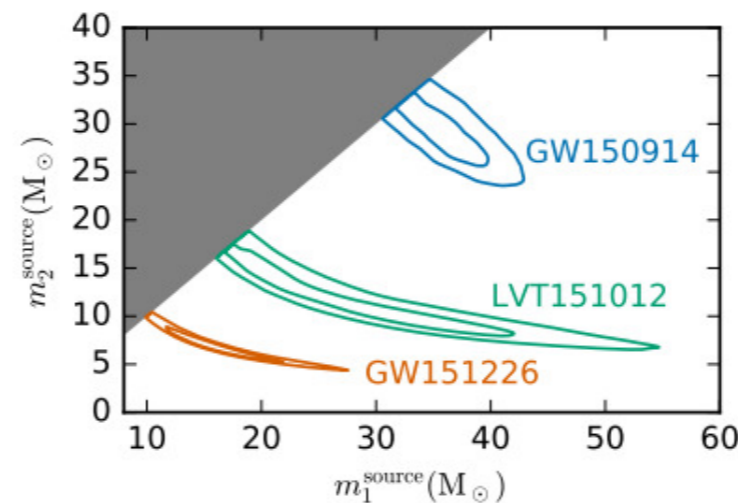
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[Lamberts et al. (2016); Belczynski et al. (2016; 2017), Mapelli et al. (2017); Zevin et al. (2017); Schneider et al. (2017); Kovetz et al. (2017); Hotokezaka & Piran (2017); Fishbach & Holz (2017); ID et al. (2018), ...]

How to study BH formation models with GW?

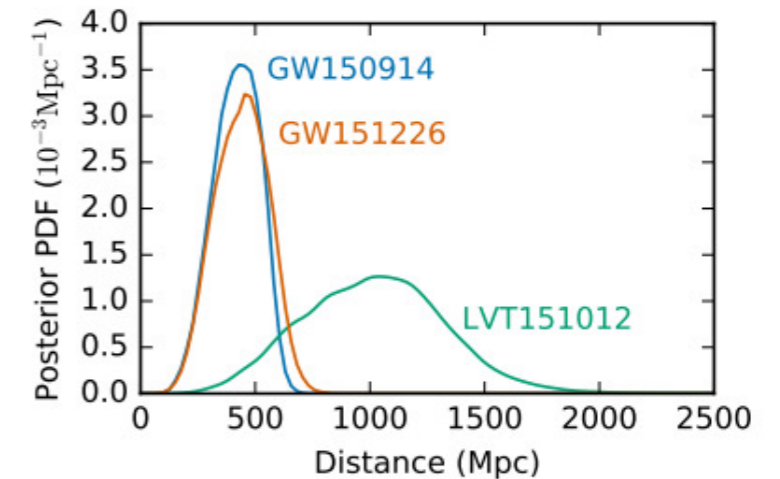
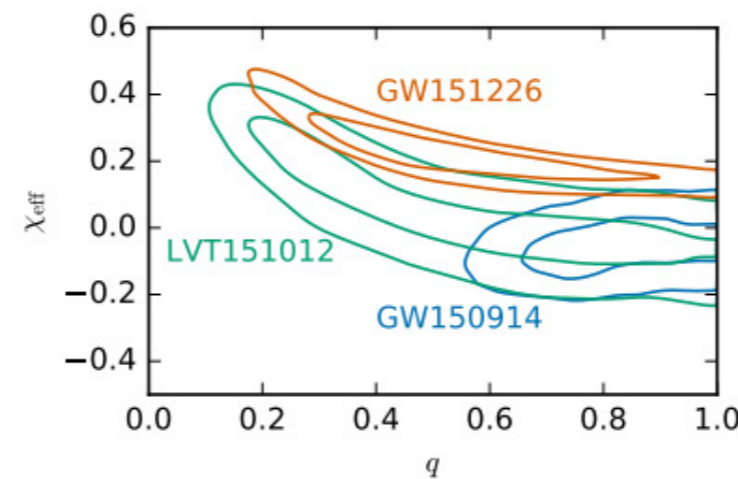
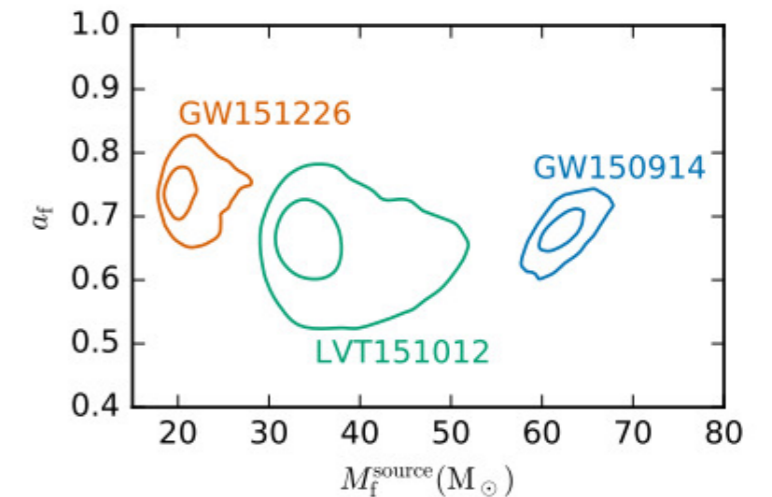
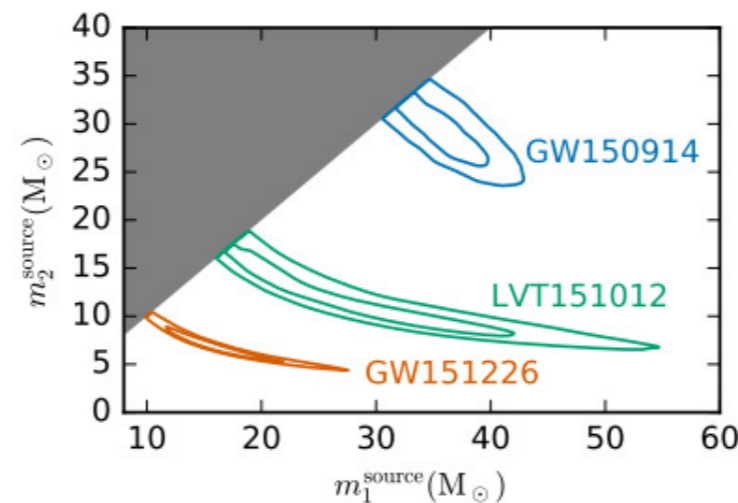
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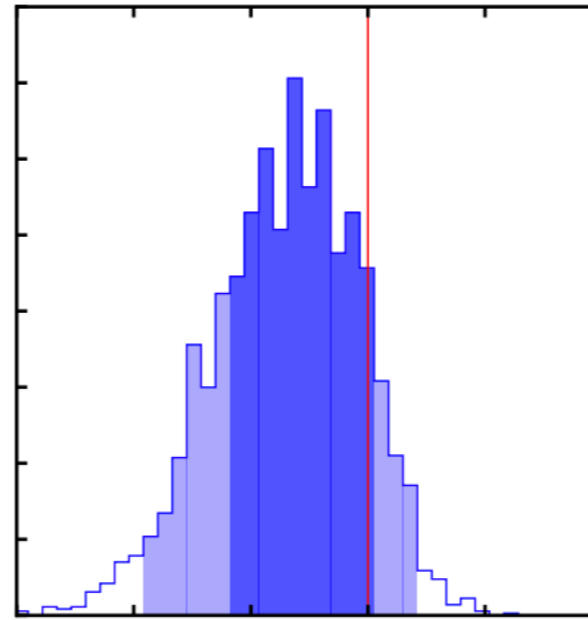
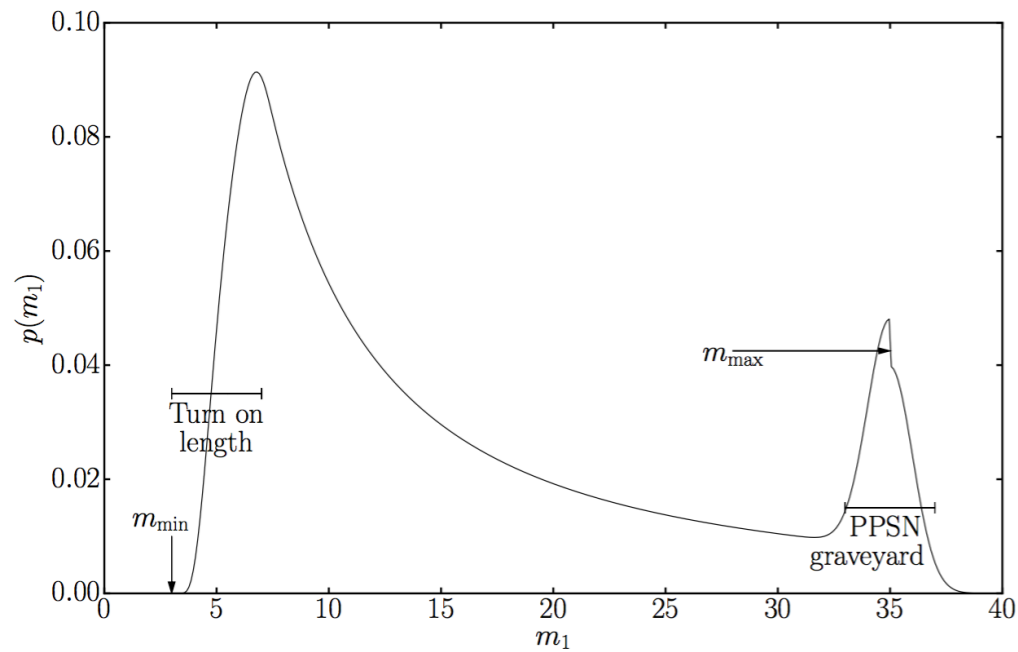
[Abbott et al. (2016)]



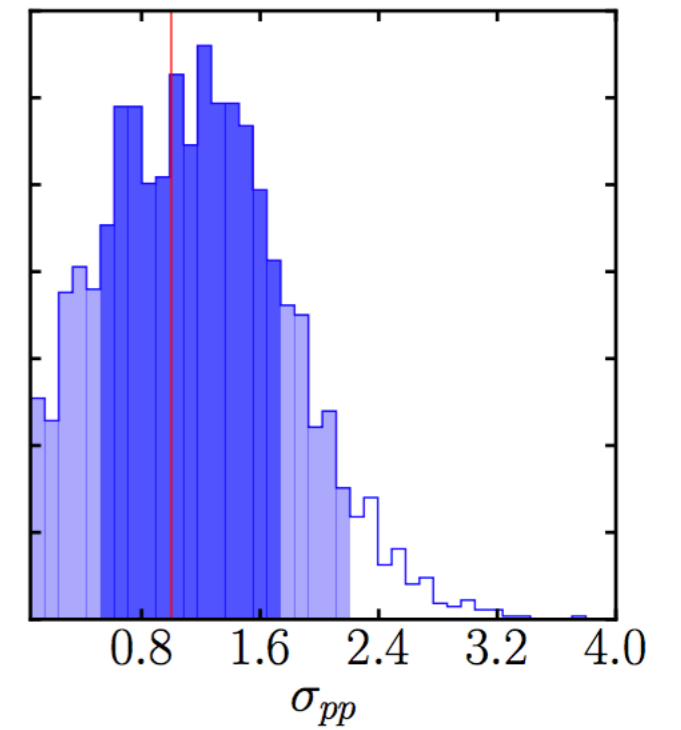
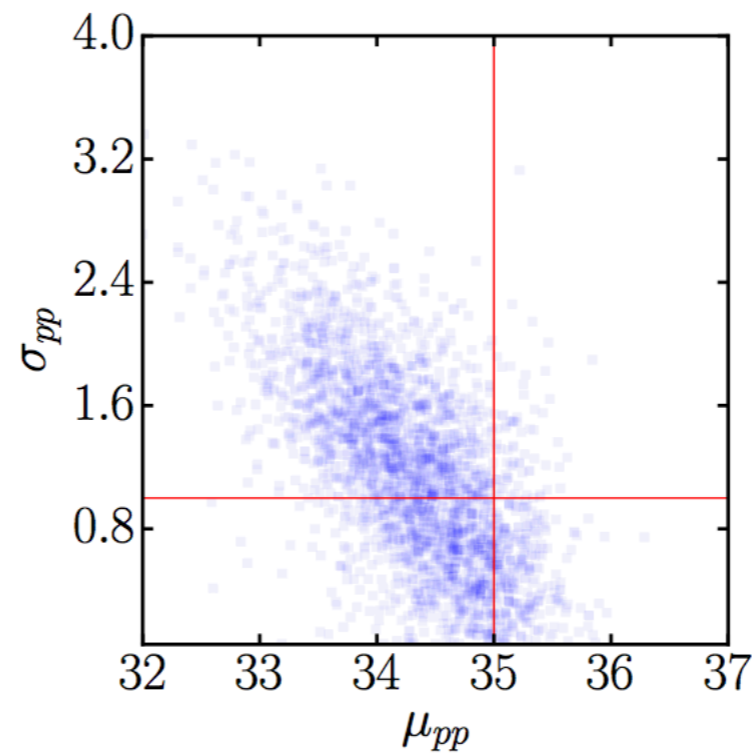
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Constraining black hole formation using the mass distribution

Constraining the position and width of the pulsational PISN peak with 200 events



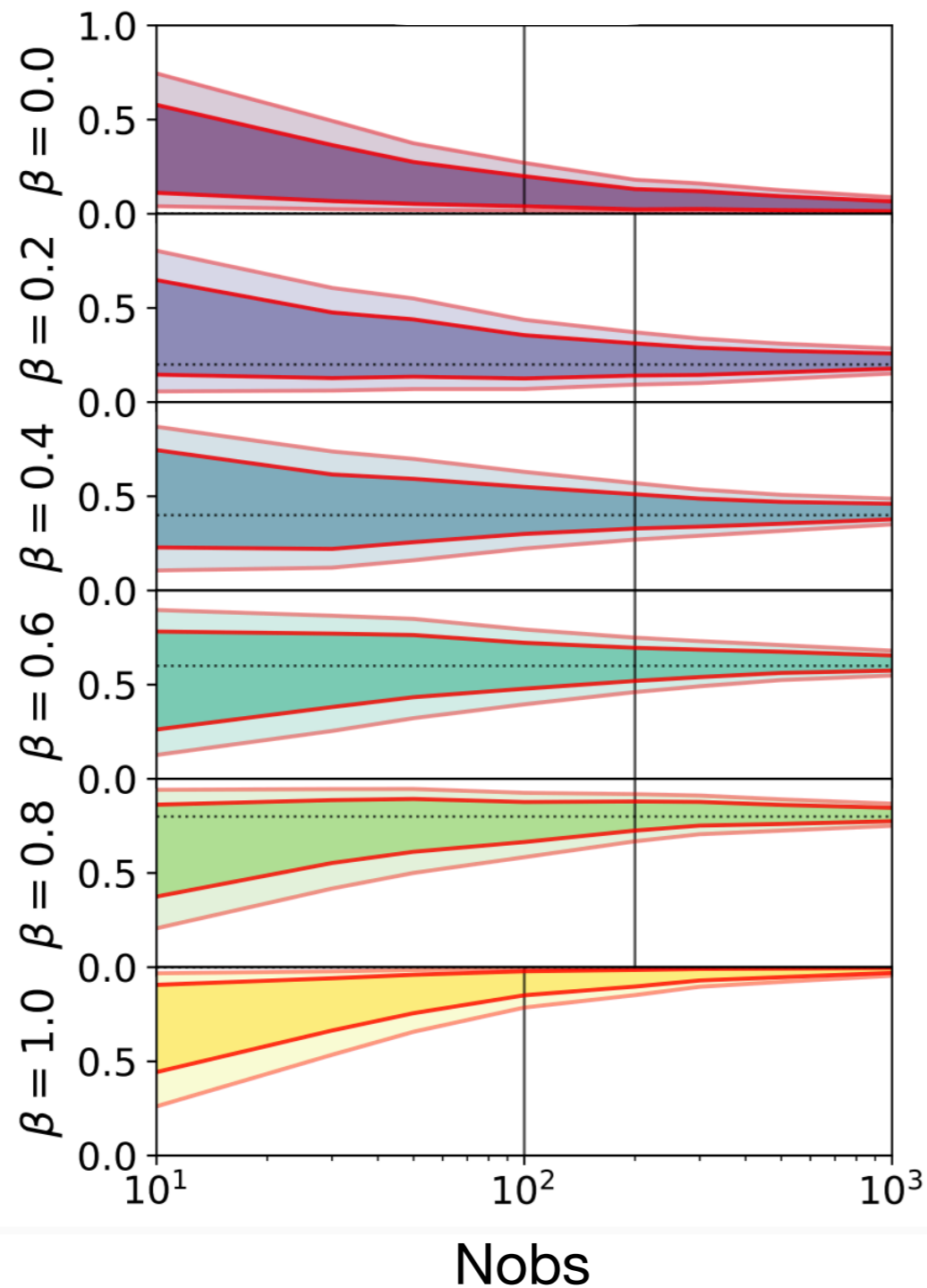
[Talbot & Thrane (2018)]



Constraining black hole formation using the mass distribution

Constraints on the branching ratio of two models:
'Field' vs. 'Cluster'

[Zevin et al. (2016)]

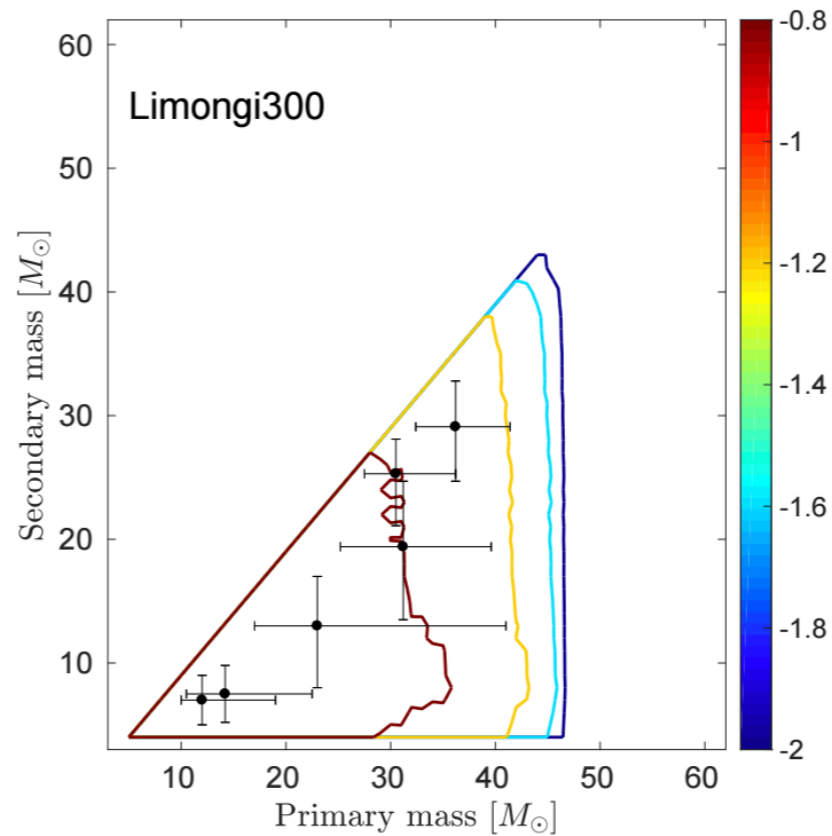
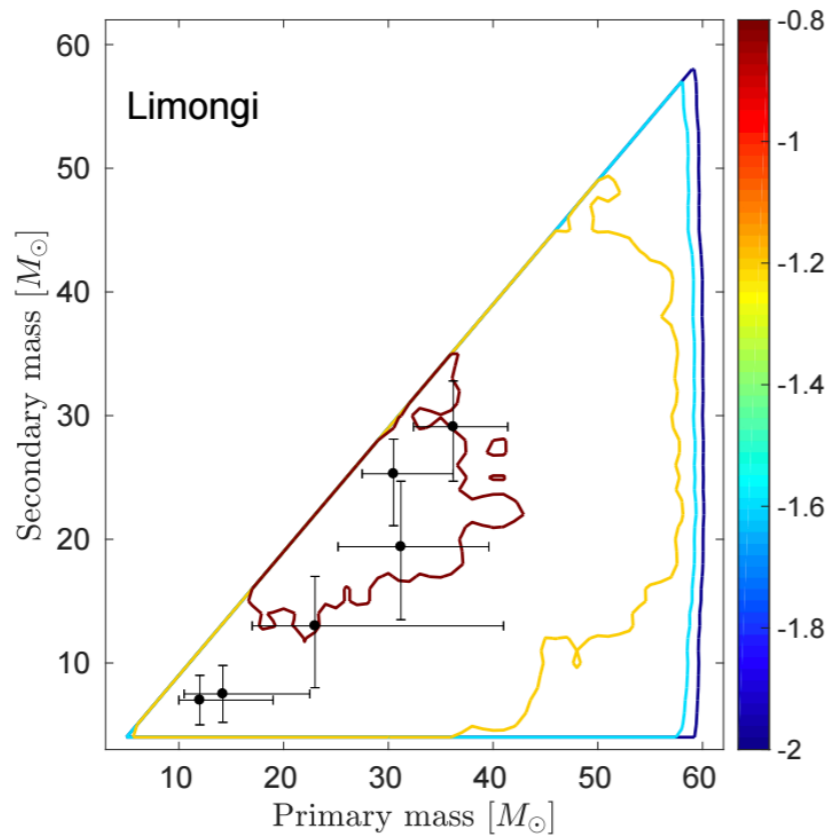
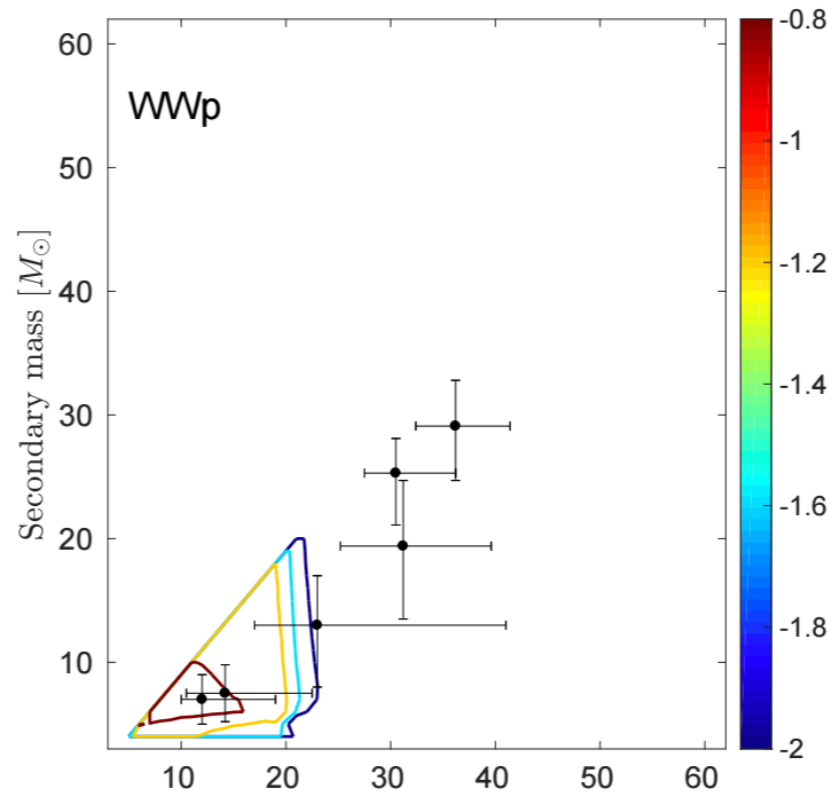
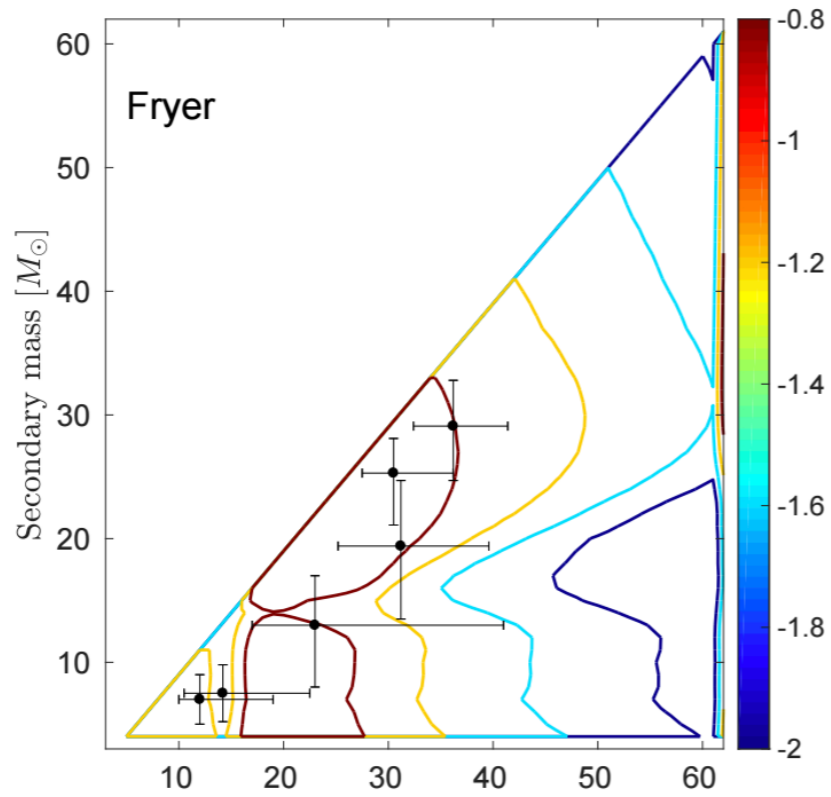


None are formed in clusters

All are formed in clusters

Constraining black hole formation using the mass distribution

Detection rates $\text{Log}[M_{\odot}^{-2} \text{yr}^{-1}]$

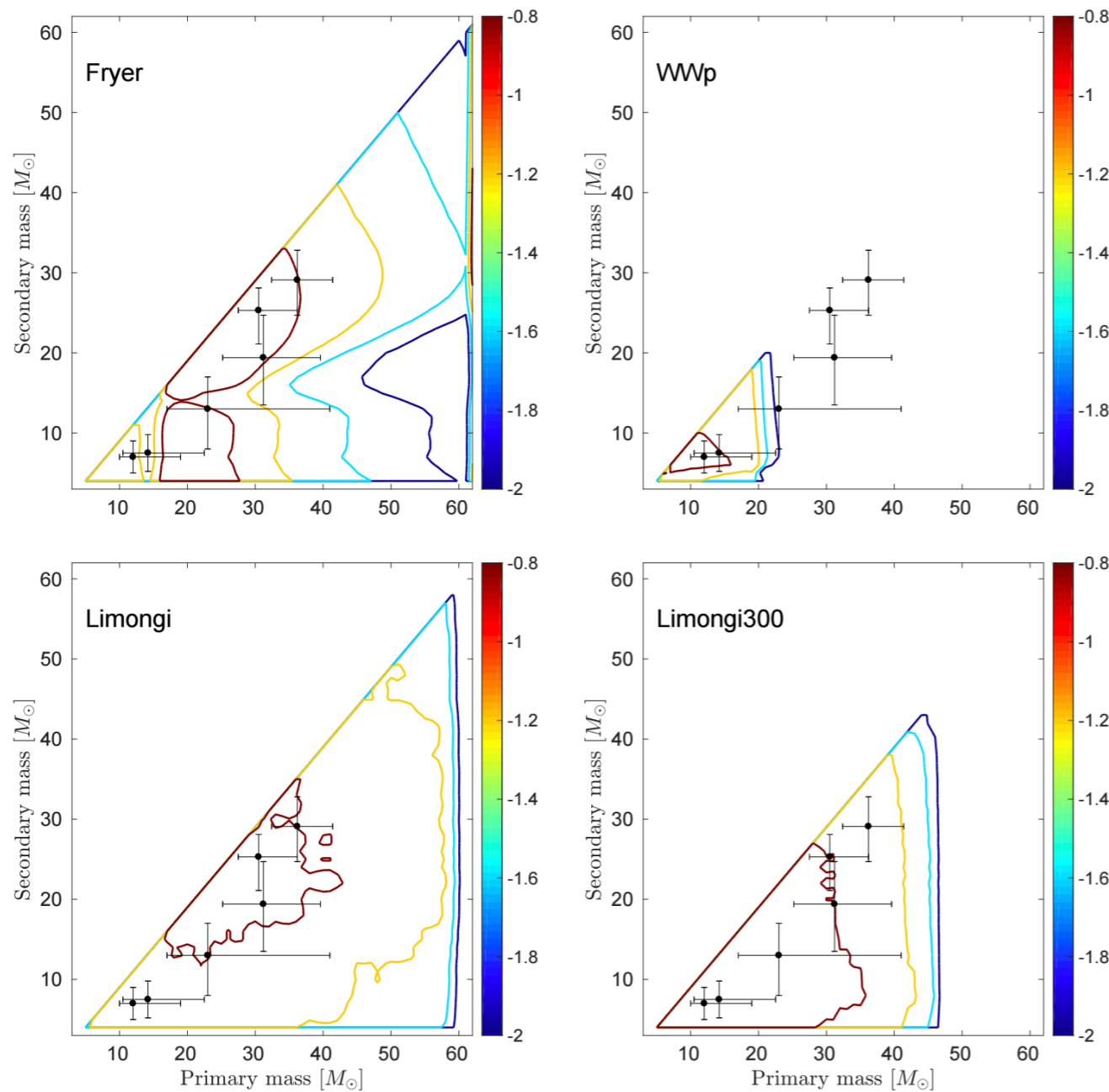


[ID et al. (2018)]

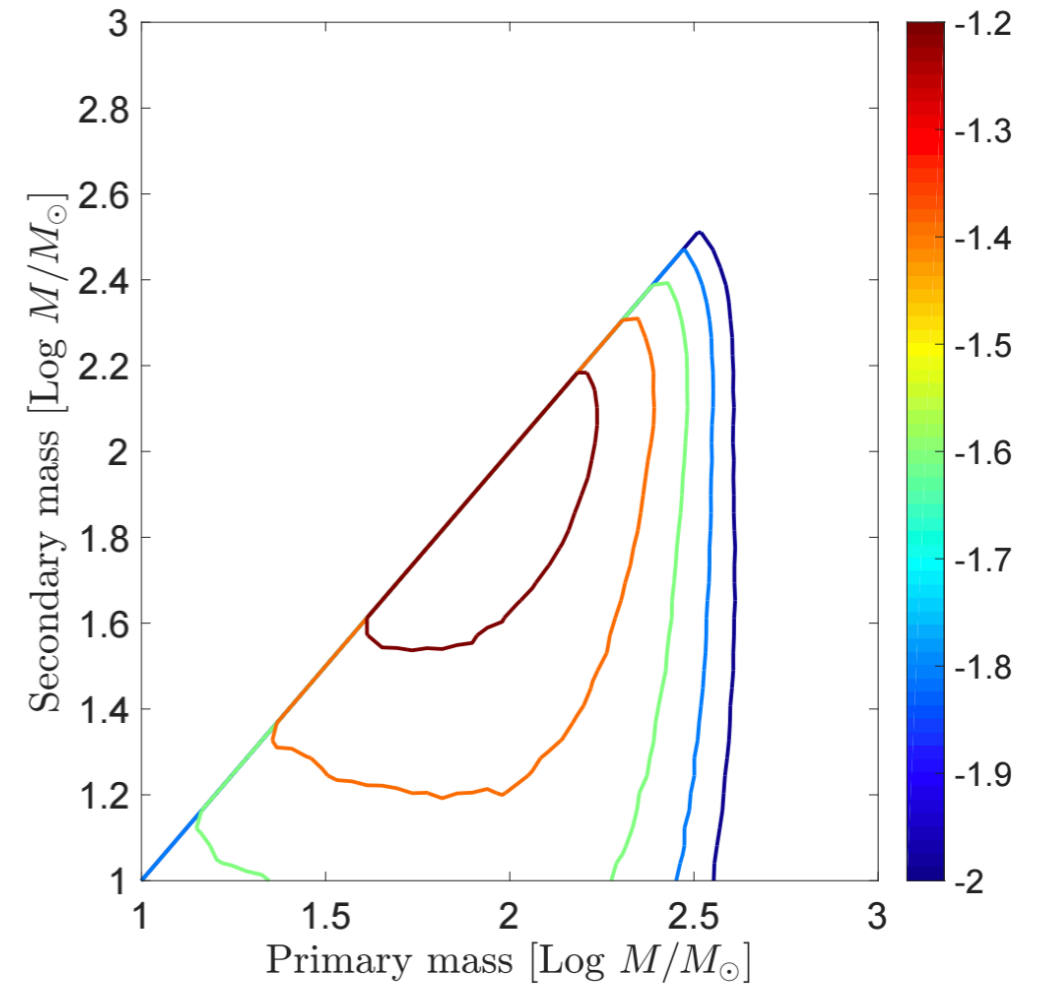
Constraining black hole formation using the mass distribution

Detection rates $\text{Log}[M_{\odot}^{-2} \text{yr}^{-1}]$

Stellar-origin black holes



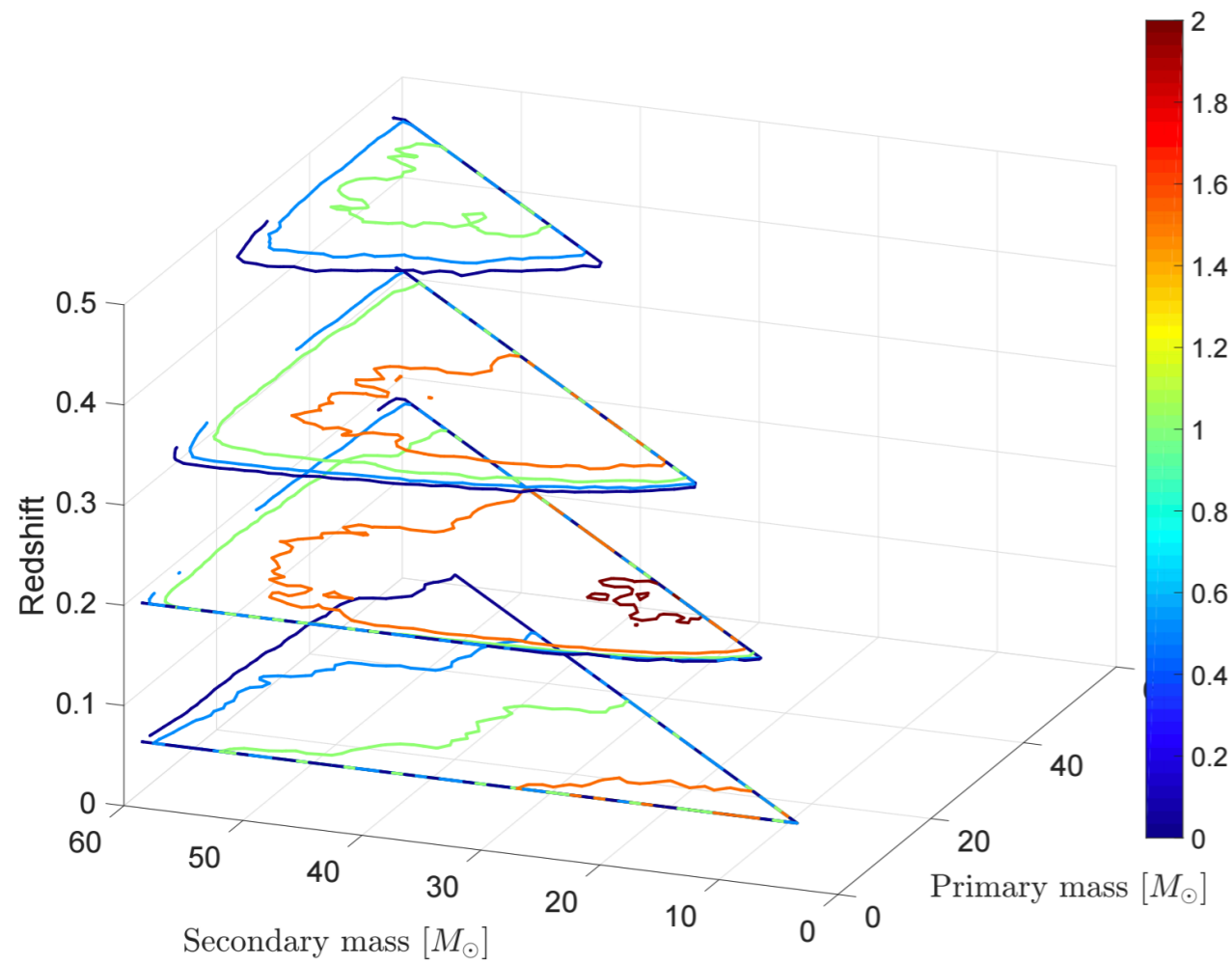
PBH-like toy model



[ID et al. (2018)]

Constraining black hole formation using the mass distribution

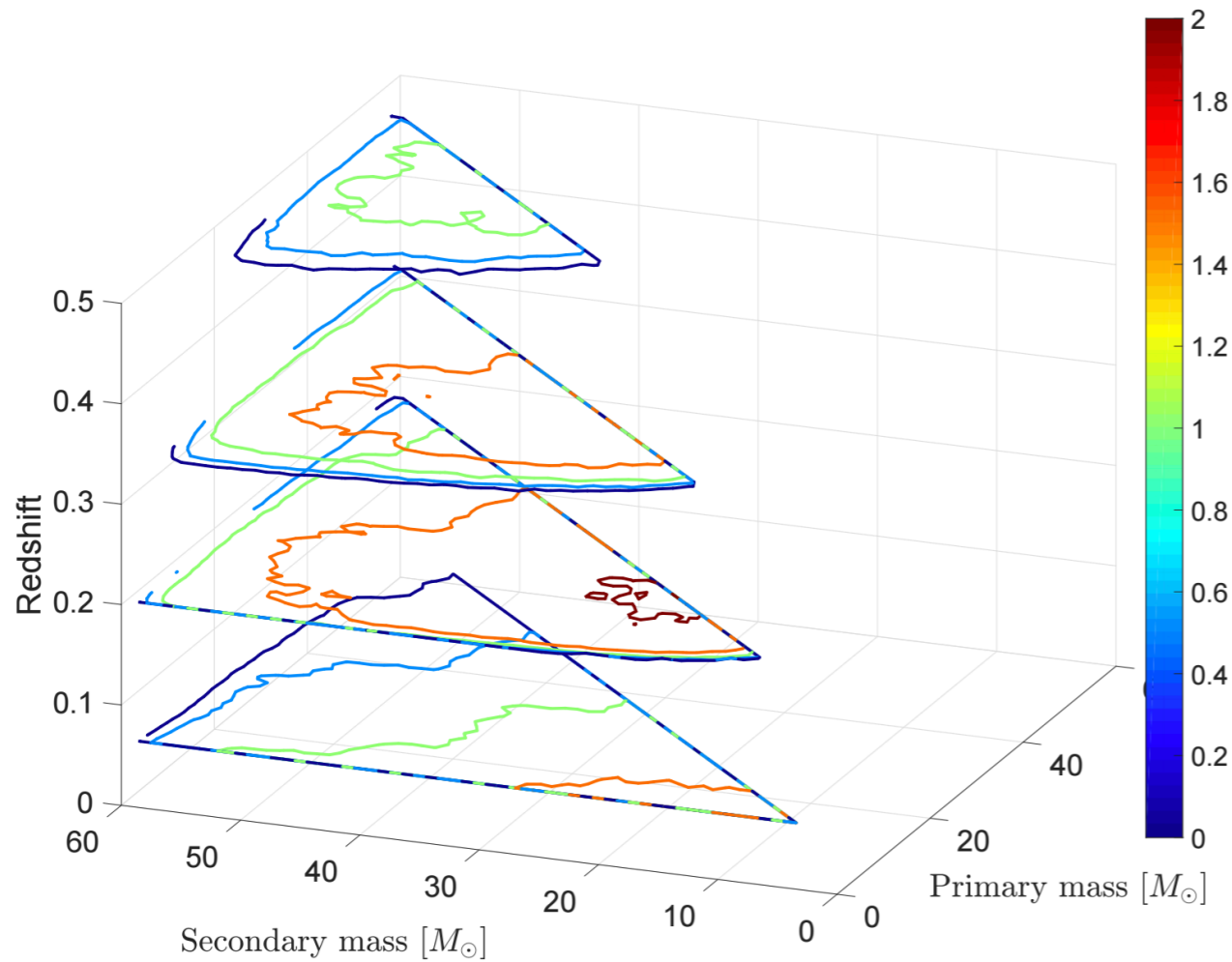
[ID et al. (2018)]



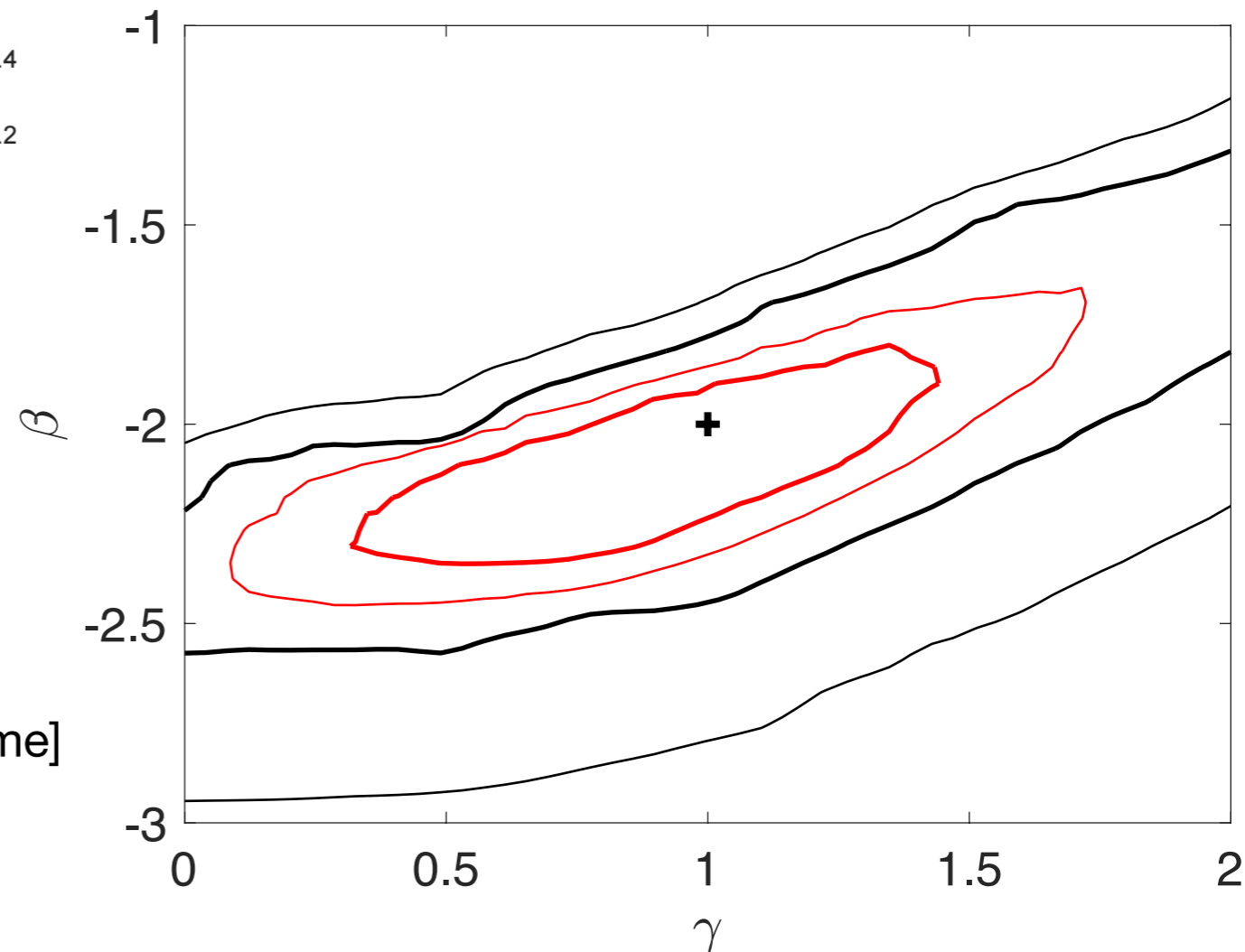
Detection rates $\text{Log}[M_{\odot}^{-2} \text{ yr}^{-1}]$
per unit redshift

Constraining black hole formation using the mass distribution

[ID et al. (2018)]



Detection rates $\text{Log}[M_{\odot}^{-2} \text{ yr}^{-1}]$
per unit redshift



Constraining model parameters:

- 100 detections
- 500 detections

$\beta = \text{Log}[\text{Fraction of BHs that merge within Hubble time}]$

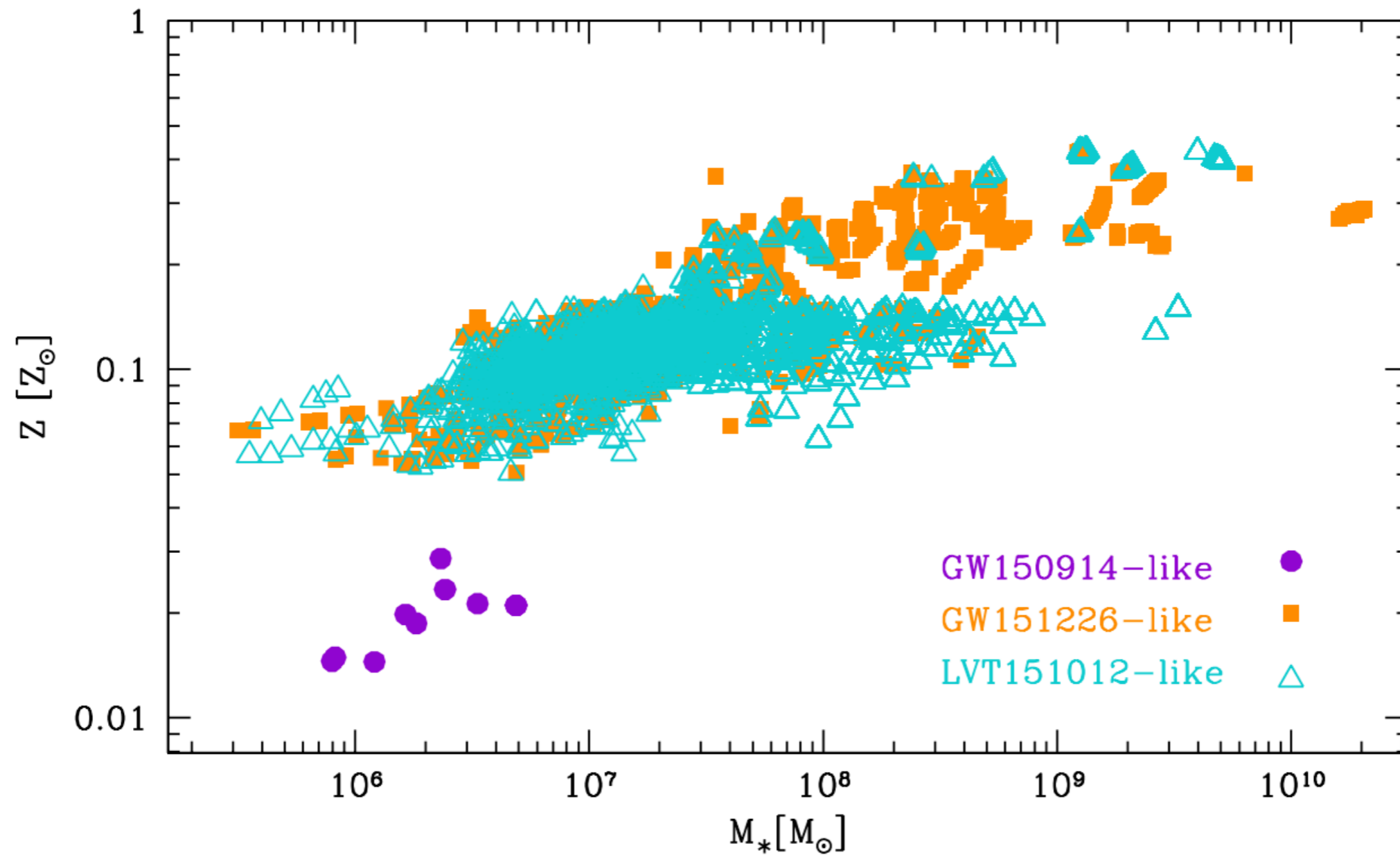
$\gamma = \text{Slope of time delay distribution}$

$$P_d(t_{\text{delay}}) \propto t_{\text{delay}}^{-\gamma}$$

Studying the host galaxies of GW events

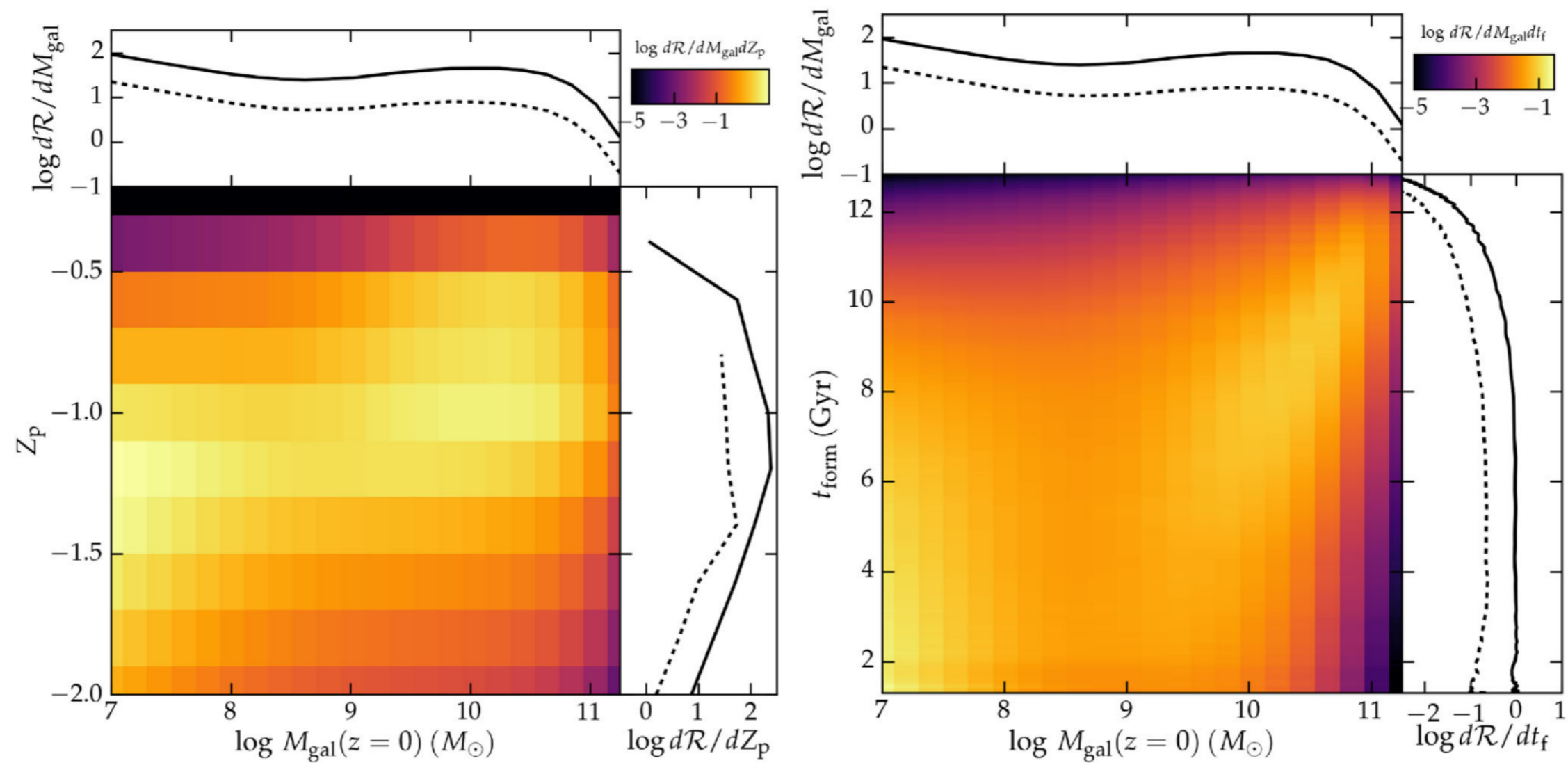
'Heavy' BHs are formed in low-mass low-metallicity galaxies

[Schneider et al. (2017)]



Studying the host galaxies of GW events

[Lamberts et al. (2016)]



How to study BH formation models with GW?

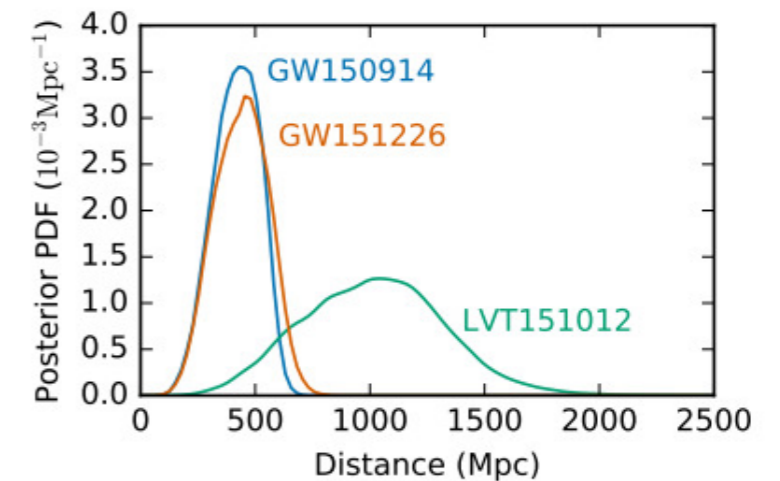
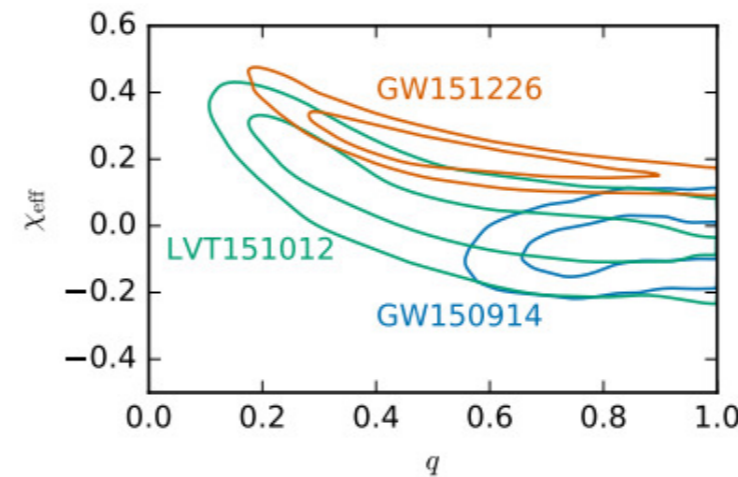
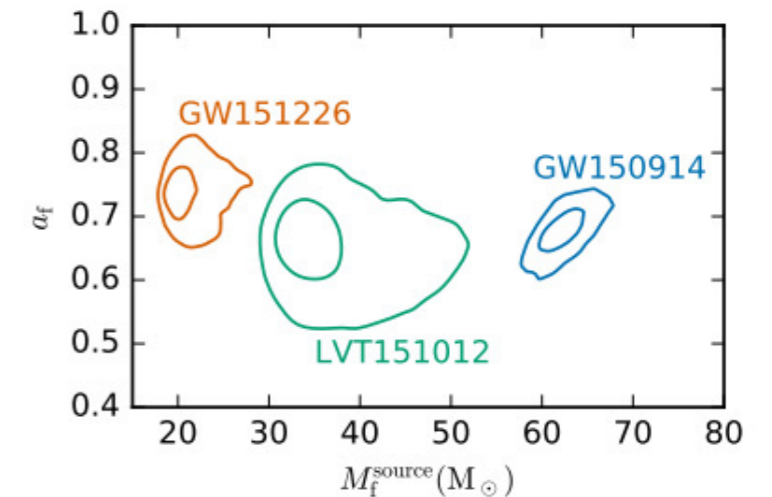
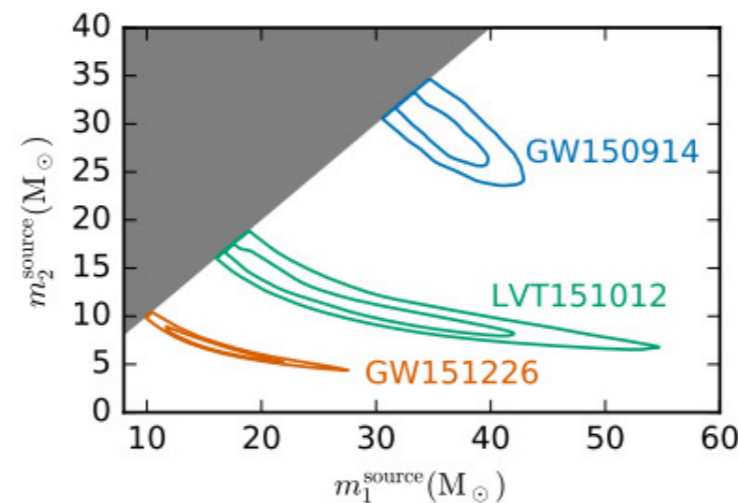
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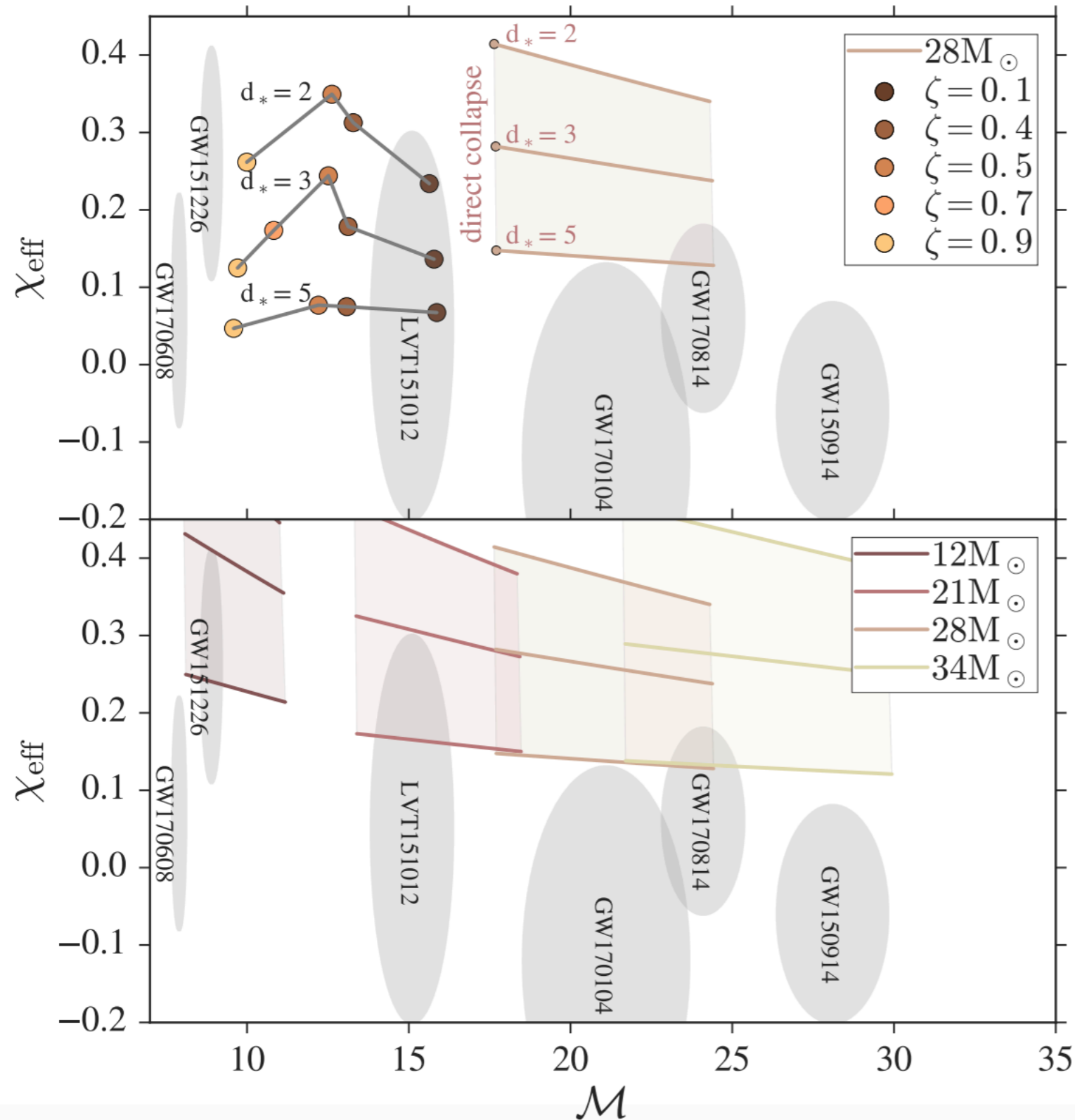
[Abbott et al. (2016)]



[Lamberts et al. (2016); Belczynski et al. (2016; 2017), Mapelli et al. (2017); Zevin et al. (2017); Schneider et al. (2017); Kovetz et al. (2017); Hotokezaka & Piran (2017); Fishbach & Holz (2017); ID et al. (2018), ...]

Constraining black hole formation using the spin distribution

What are the expectations for BH spins?

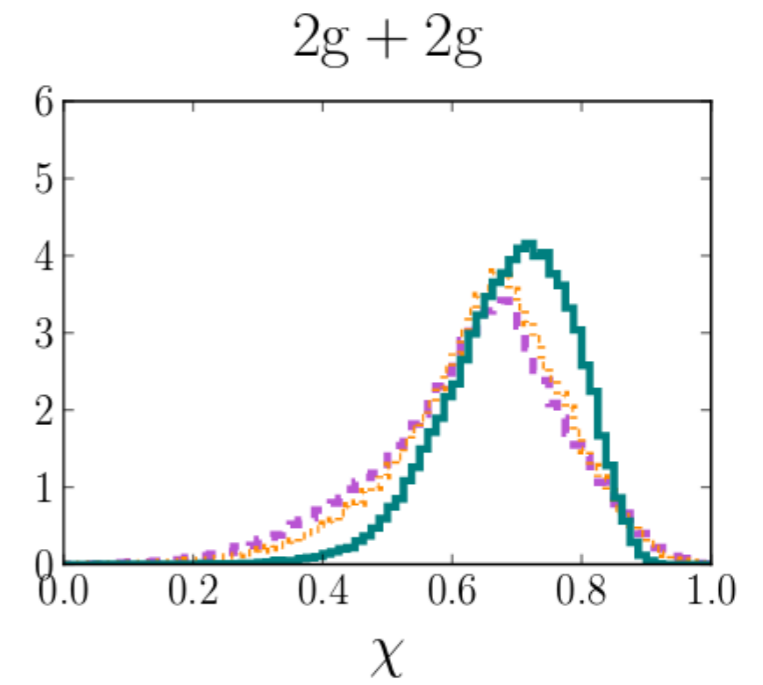
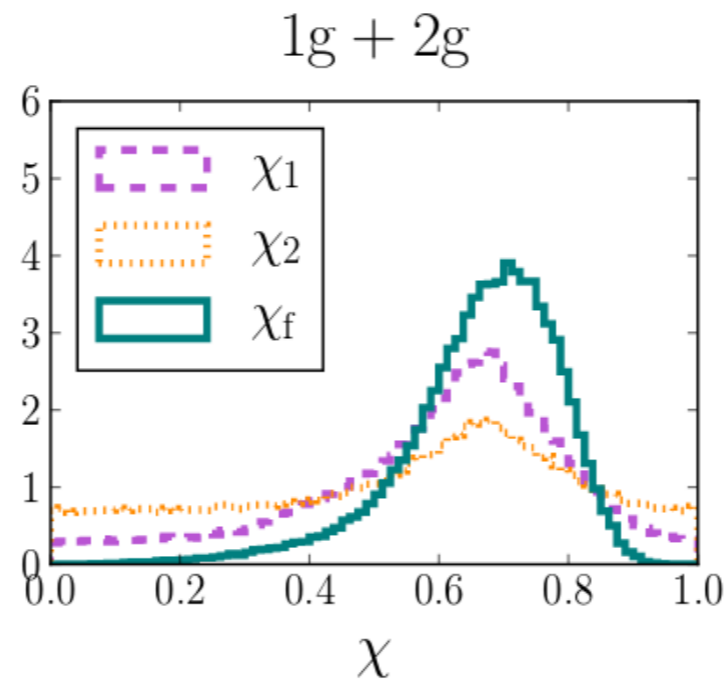
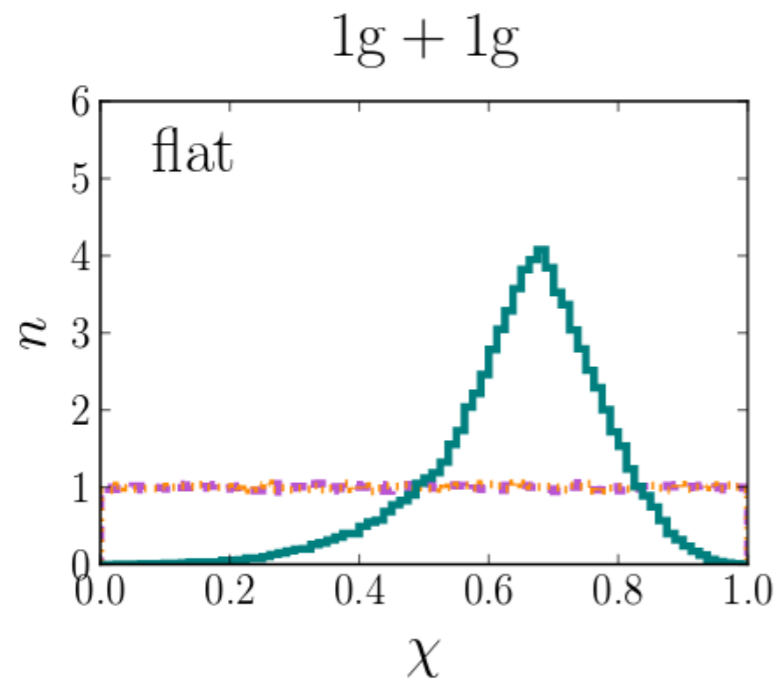
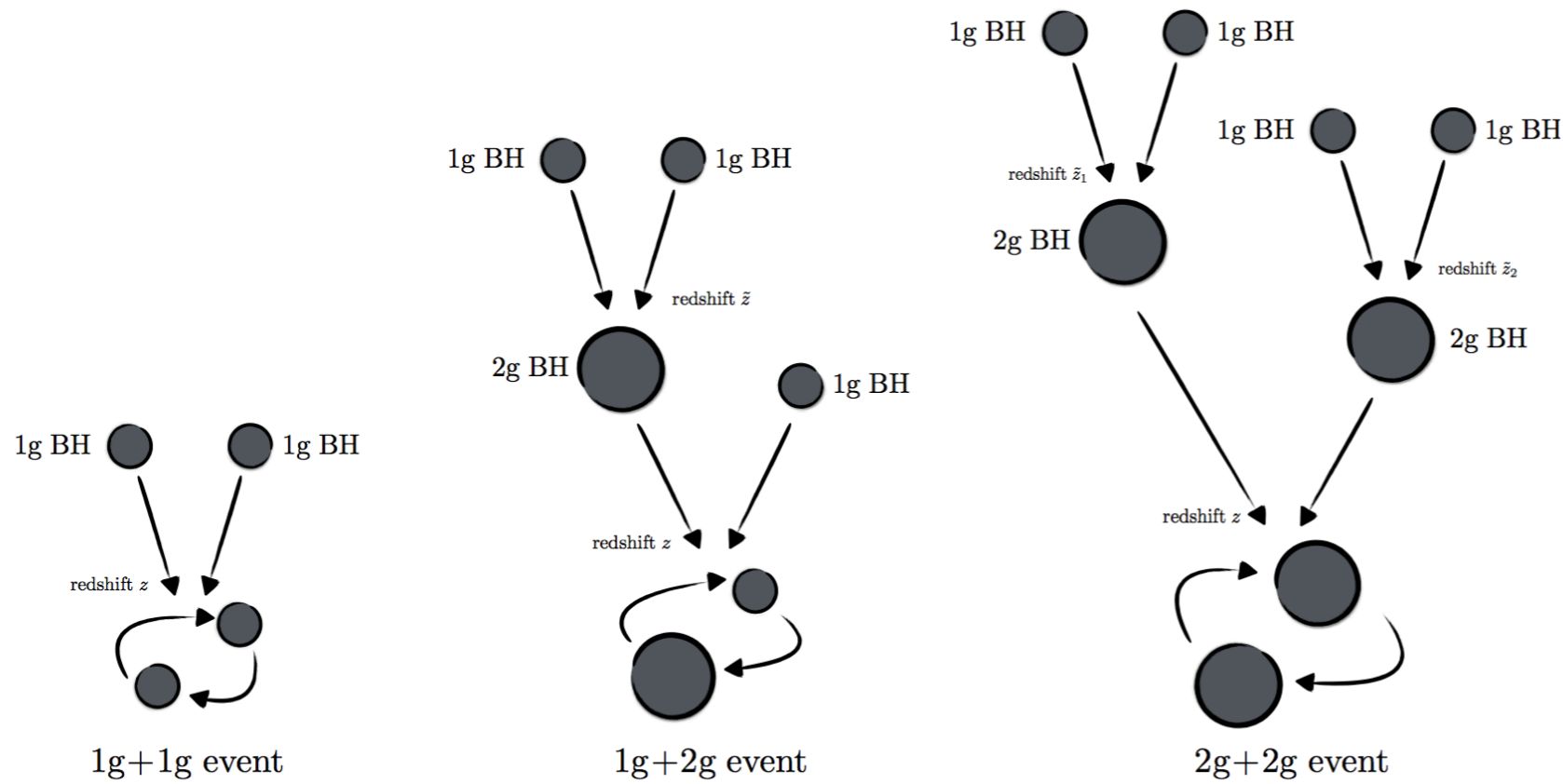


[Schroeder et al. (2018)]

[See also: Kushnir et al. (2016);
Hotokezaka & Piran et al. (2017);
Zaldarriaga et al. (2018); Qin et al. (2018)]

Constraining black hole formation using the spin distribution

[Gerosa & Berti (2017)]



How to study BH formation models with GW?

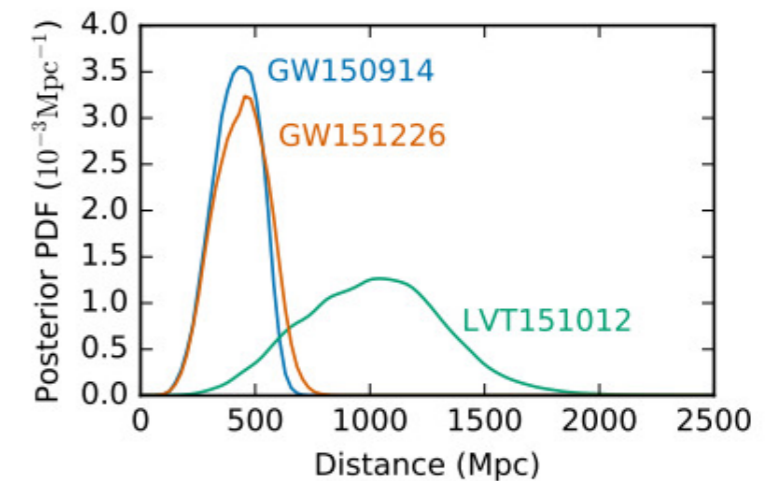
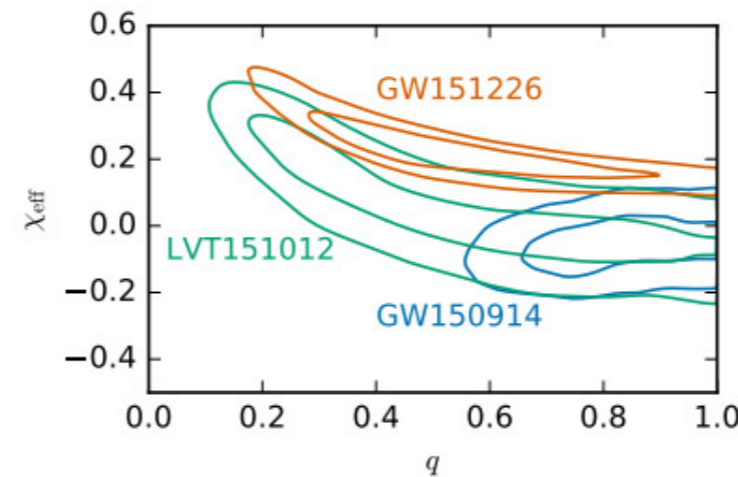
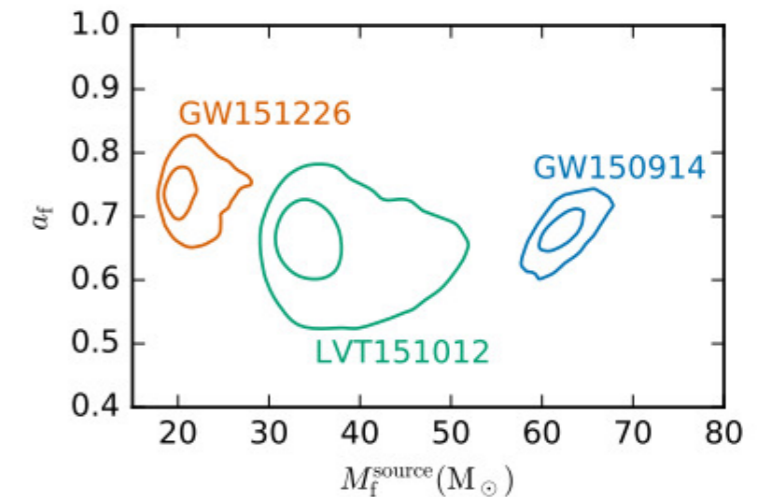
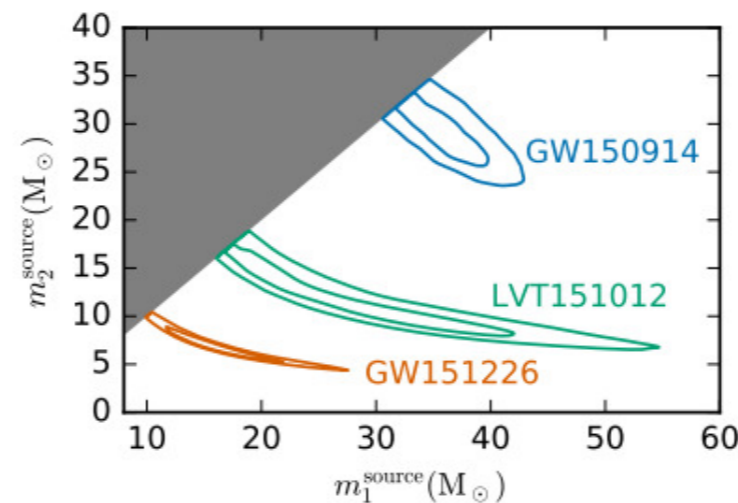
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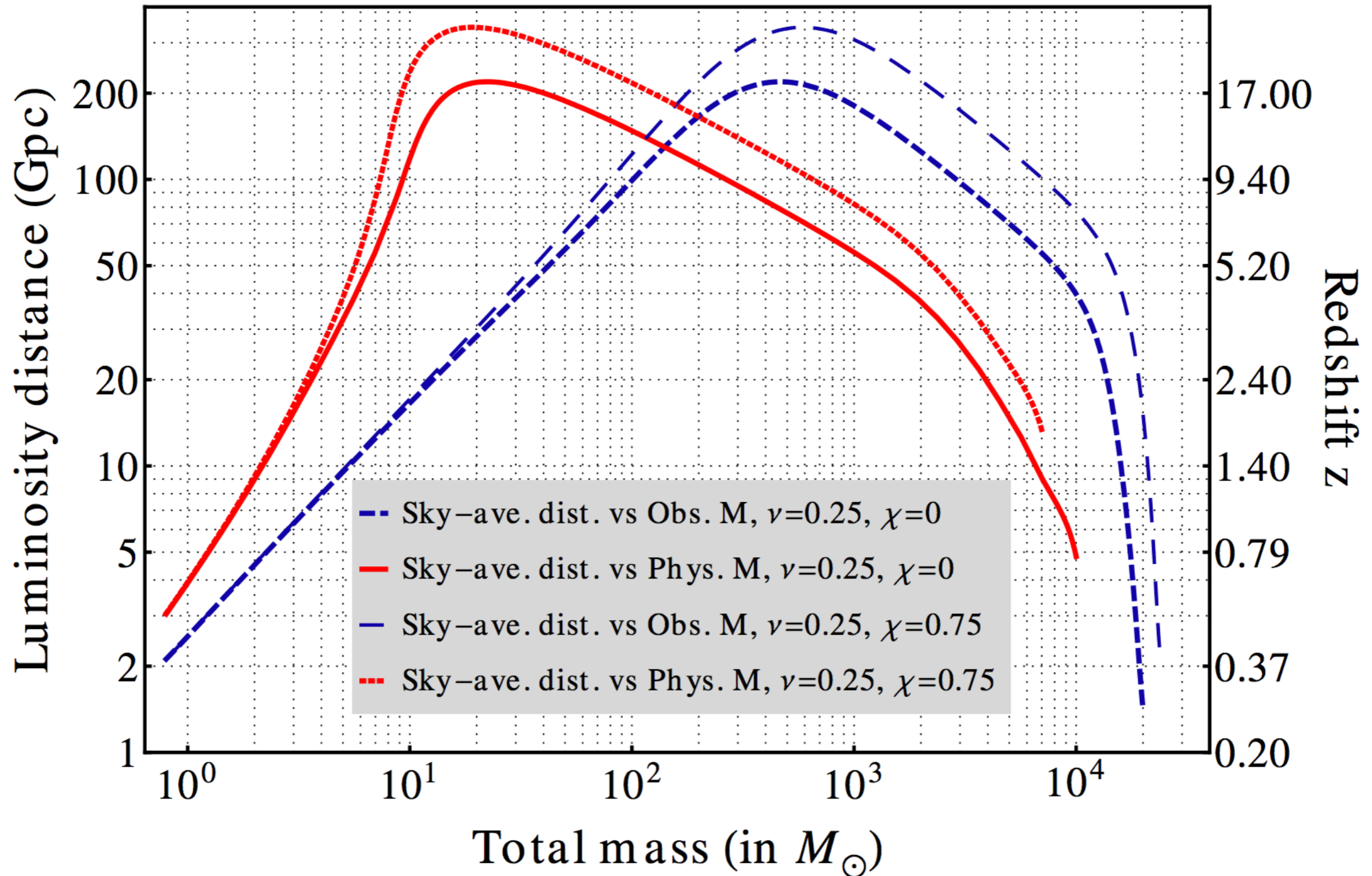
[Abbott et al. (2016)]



[Lamberts et al. (2016); Belczynski et al. (2016; 2017), Mapelli et al. (2017); Zevin et al. (2017); Schneider et al. (2017); Kovetz et al. (2017); Hotokezaka & Piran (2017); Fishbach & Holz (2017); ID et al. (2018), ...]

Constraining black hole formation using the redshift distribution

[ET Design Study; ET-0106C-10]

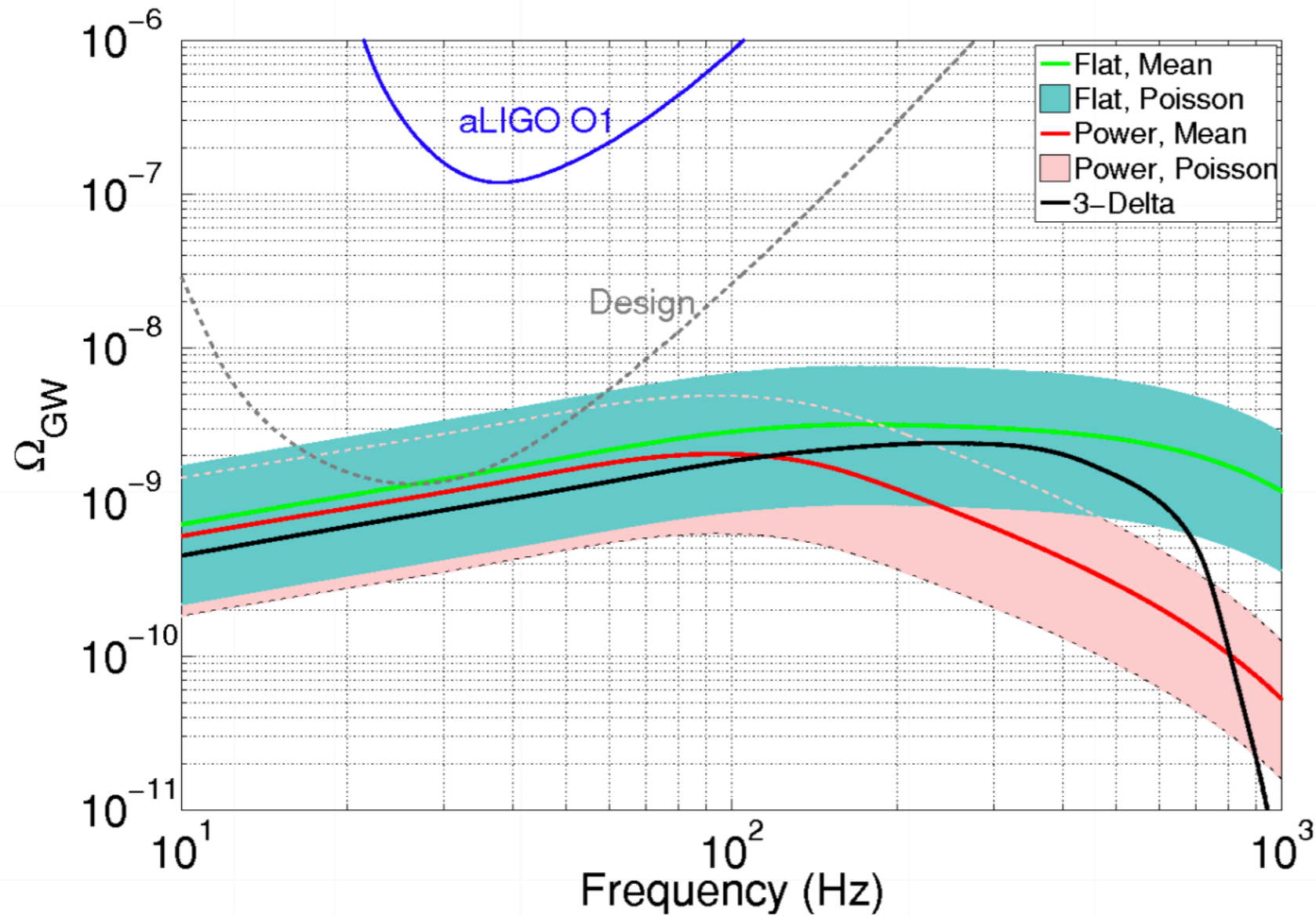


Stochastic gravitational-wave background

Gravitational-wave signal from unresolved sources: $\Omega_{\text{gw}}(f) = \frac{f}{\rho_c c^2} \int dM_c dz \frac{d^2 n}{dM_c dz} \frac{dE}{df}$

Stochastic gravitational-wave background

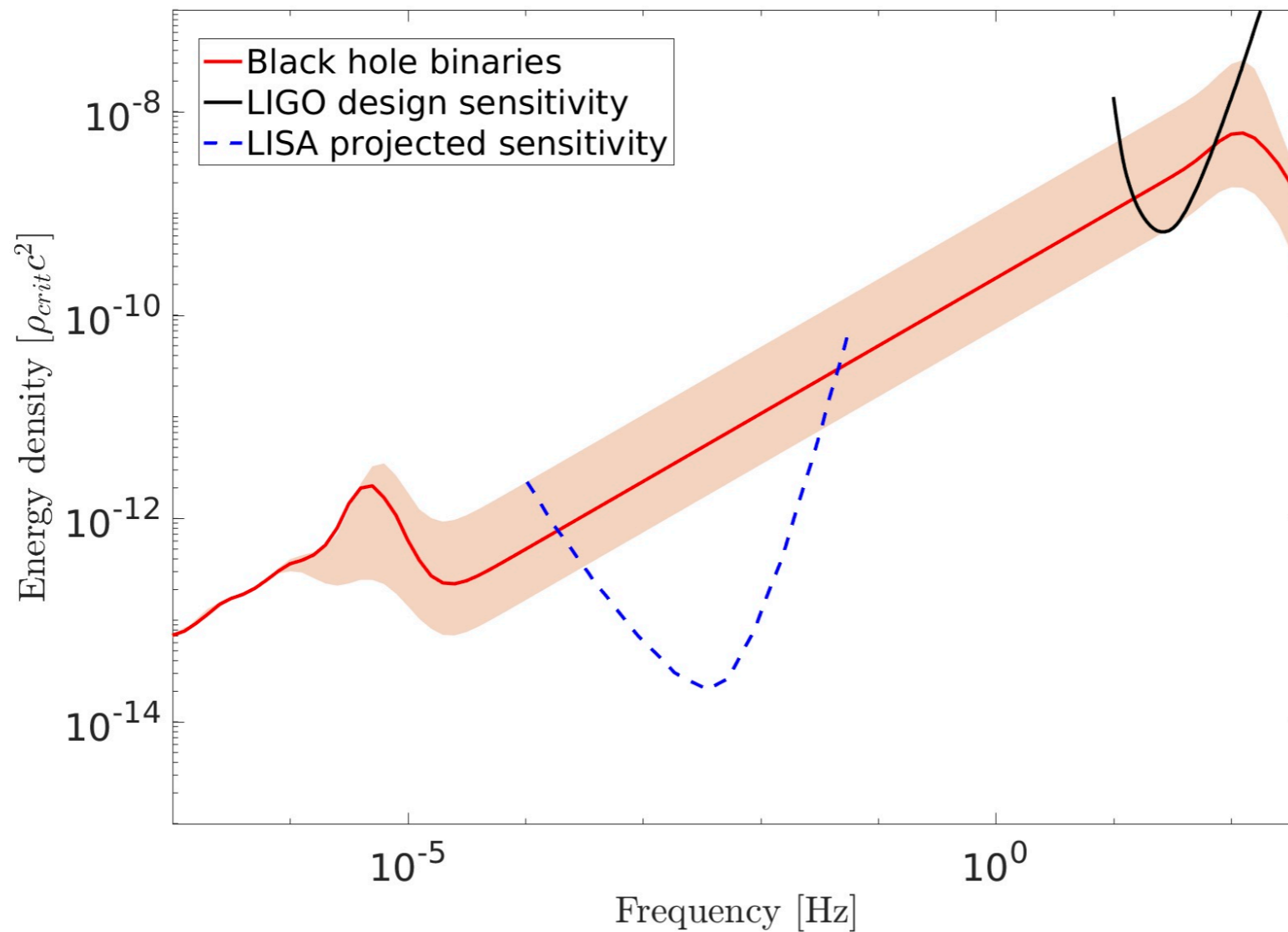
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[Abbott et al. (2017)]

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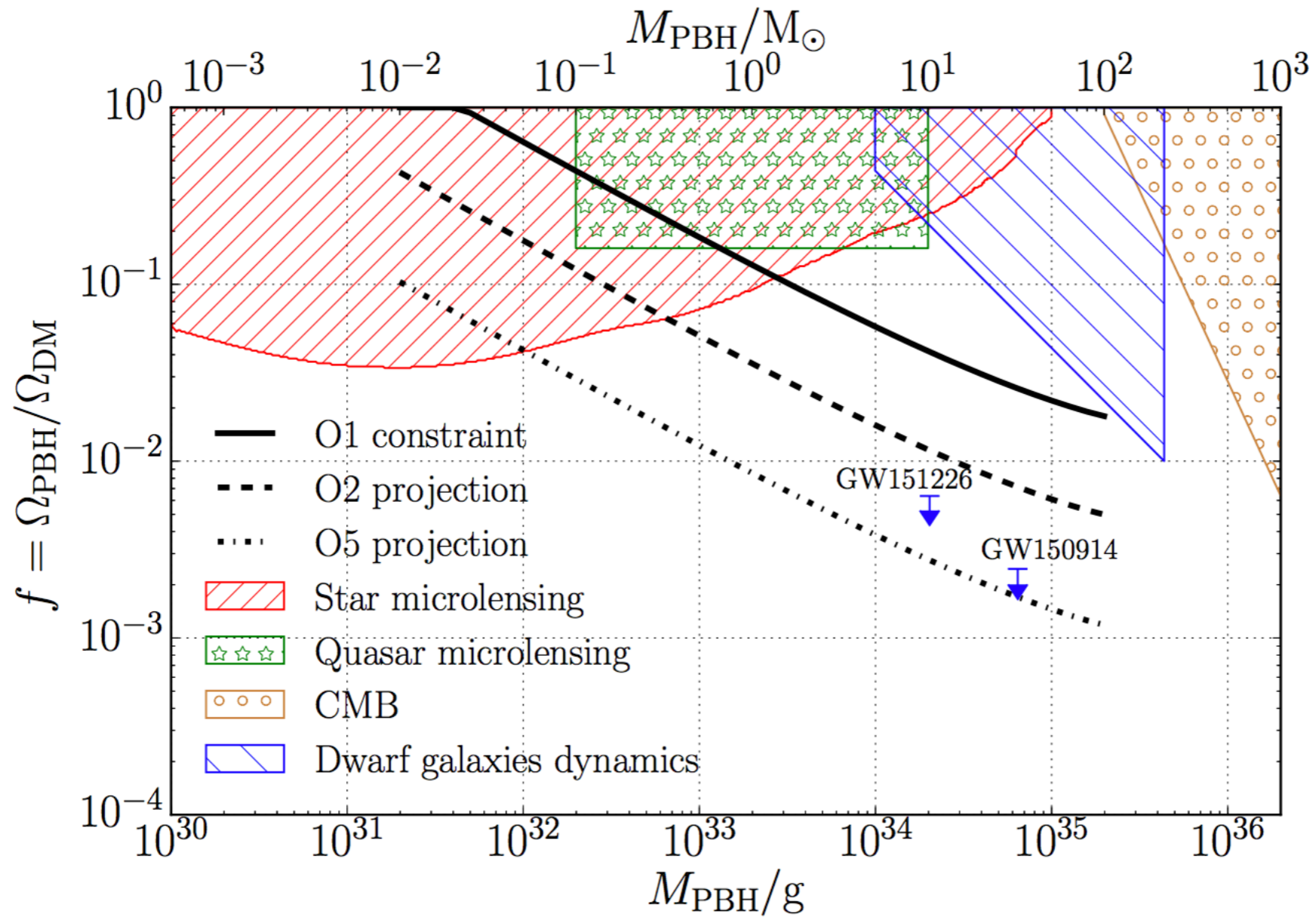


[ID et al. (2016)]

Stochastic gravitational-wave background

Constraints on PBH abundance with LIGO O1

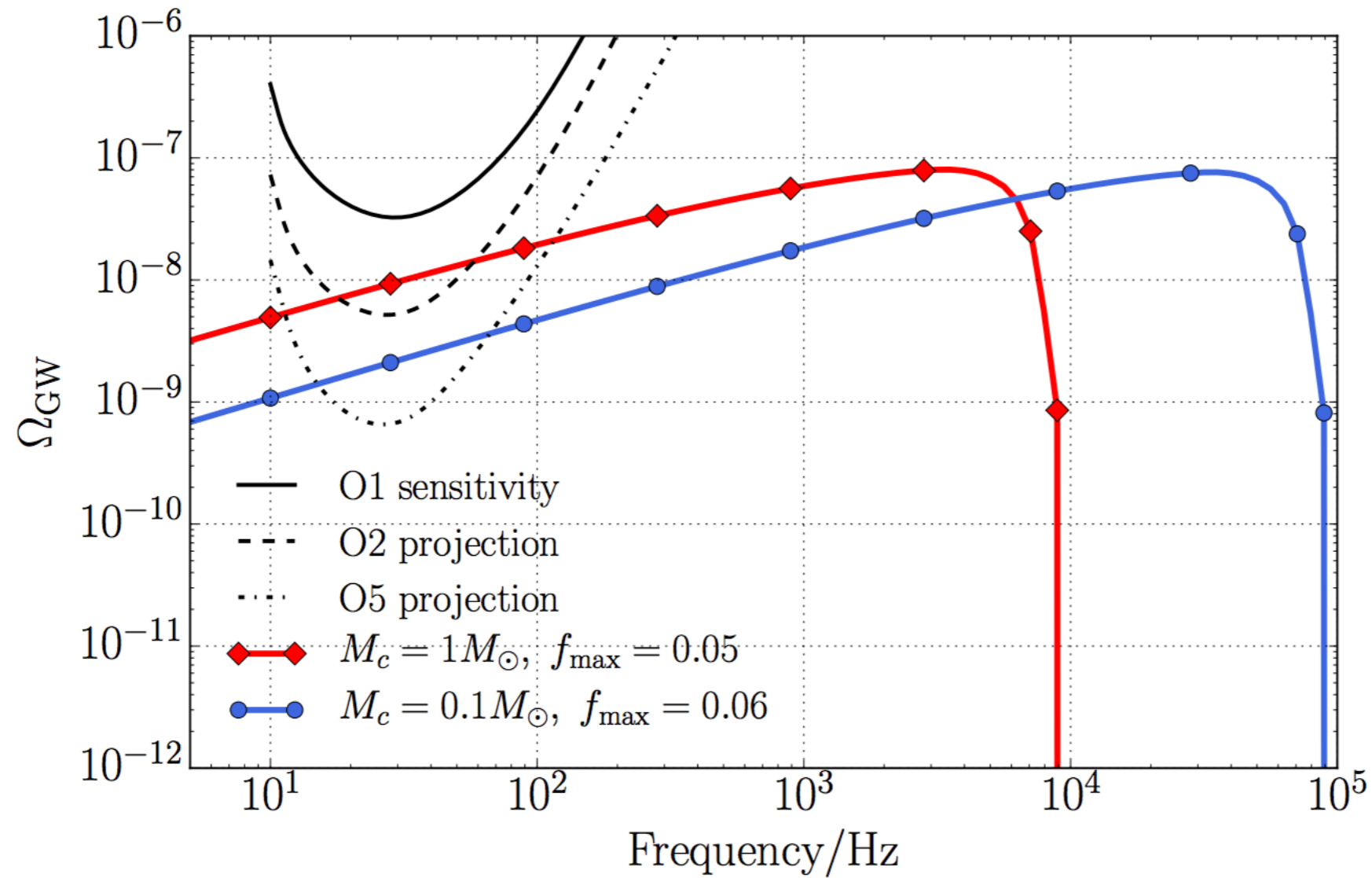
PBH model as in Sasaki et al. (2016)



[Wang et al. (2018)]

Stochastic gravitational-wave background

Stochastic background from subsolar BHs



[Wang et al. (2018)]

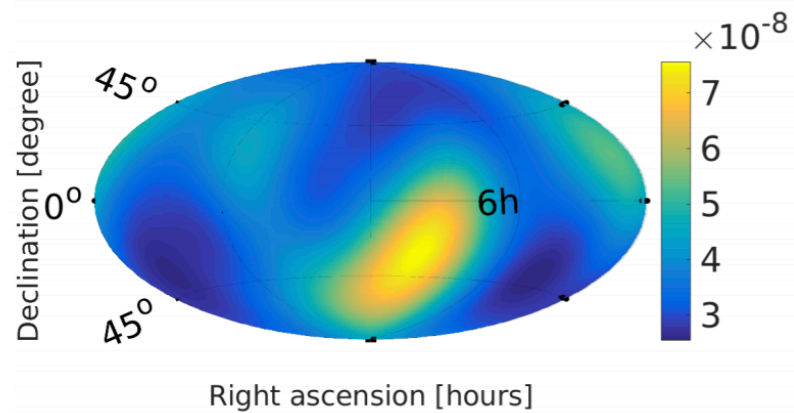
Stochastic gravitational-wave background

Anisotropic background: *[In analogy with the cosmic infrared background]*

Energy density in gravitational waves at each point in the sky: $\Omega(f, \Theta) = \Omega_\alpha(\Theta) \left(\frac{f}{f_{\text{ref}}} \right)^\alpha$

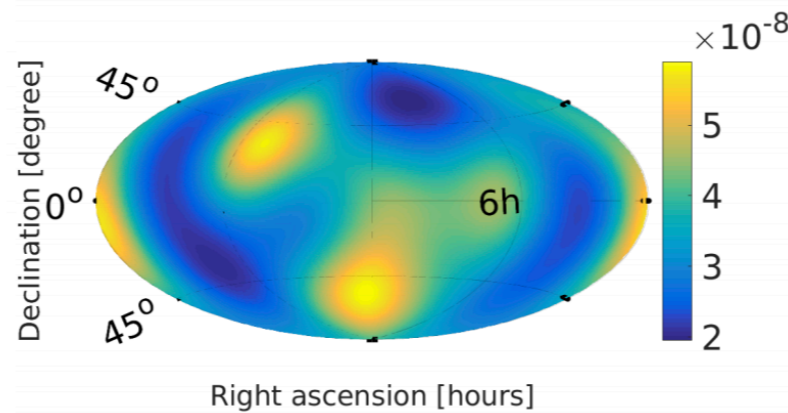
[Flat energy density spectrum]

$\alpha=0$



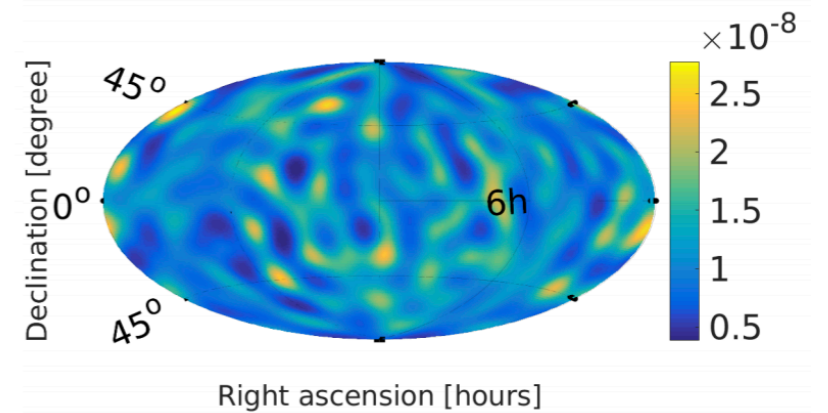
[Compact binary coalescences]

$\alpha=2/3$



[Flat strain power spectrum density]

$\alpha=3$



Upper limits from LIGO O1 (90% CL) [Abbott et al. (2017)]

f_ref=25 Hz

Stochastic gravitational-wave background

First predictions of anisotropic background from binary BH mergers:

[Cusin, Pitrou, Uzan (2017a;b)]

Anisotropic part: $\delta\Omega_{\text{GW}}(\underline{e}, \nu_{\text{O}})$

Decomposition of the angular correlation function: $C_{\ell}(\nu_{\text{O}}) = \frac{2}{\pi} \int dk k^2 |\delta\Omega_{\ell}(k, \nu_{\text{O}})|^2$

Stochastic gravitational-wave background

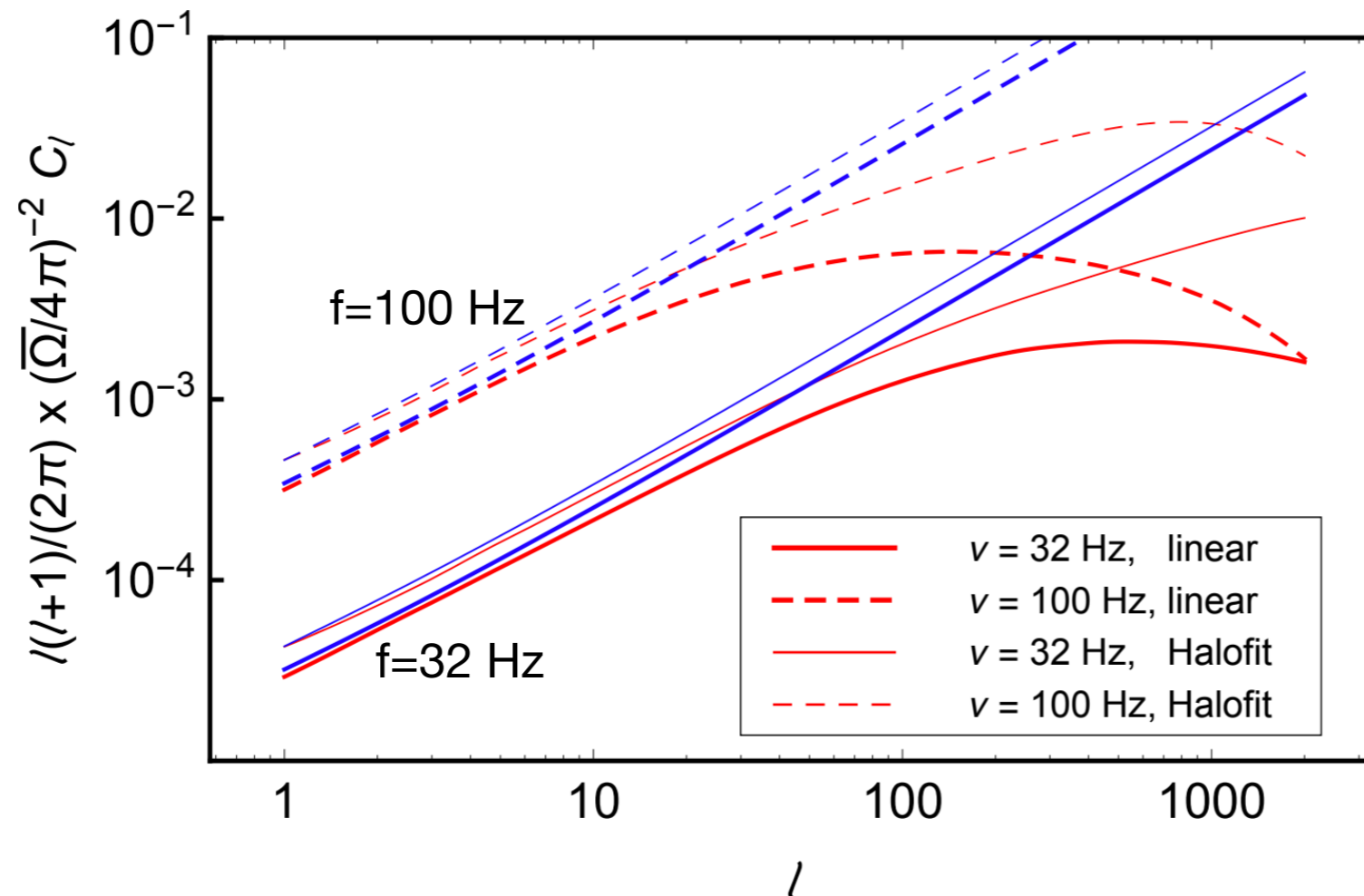
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[Cusin, **ID**, Pitrou, Uzan (2018)]



What can we say about the black hole formation scenario?

▶ **Individual merger events**

- ▶ Mass distribution: need $O(100)$ events to constrain stellar models, but single detections in interesting mass limits (subsolar mass or the PISN gap) may suffice
- ▶ Spin distribution: possibly need $O(10)$ but measurement is difficult
- ▶ Redshift: need to wait for LIGO Voyager and Einstein Telescope

▶ **Stochastic background**

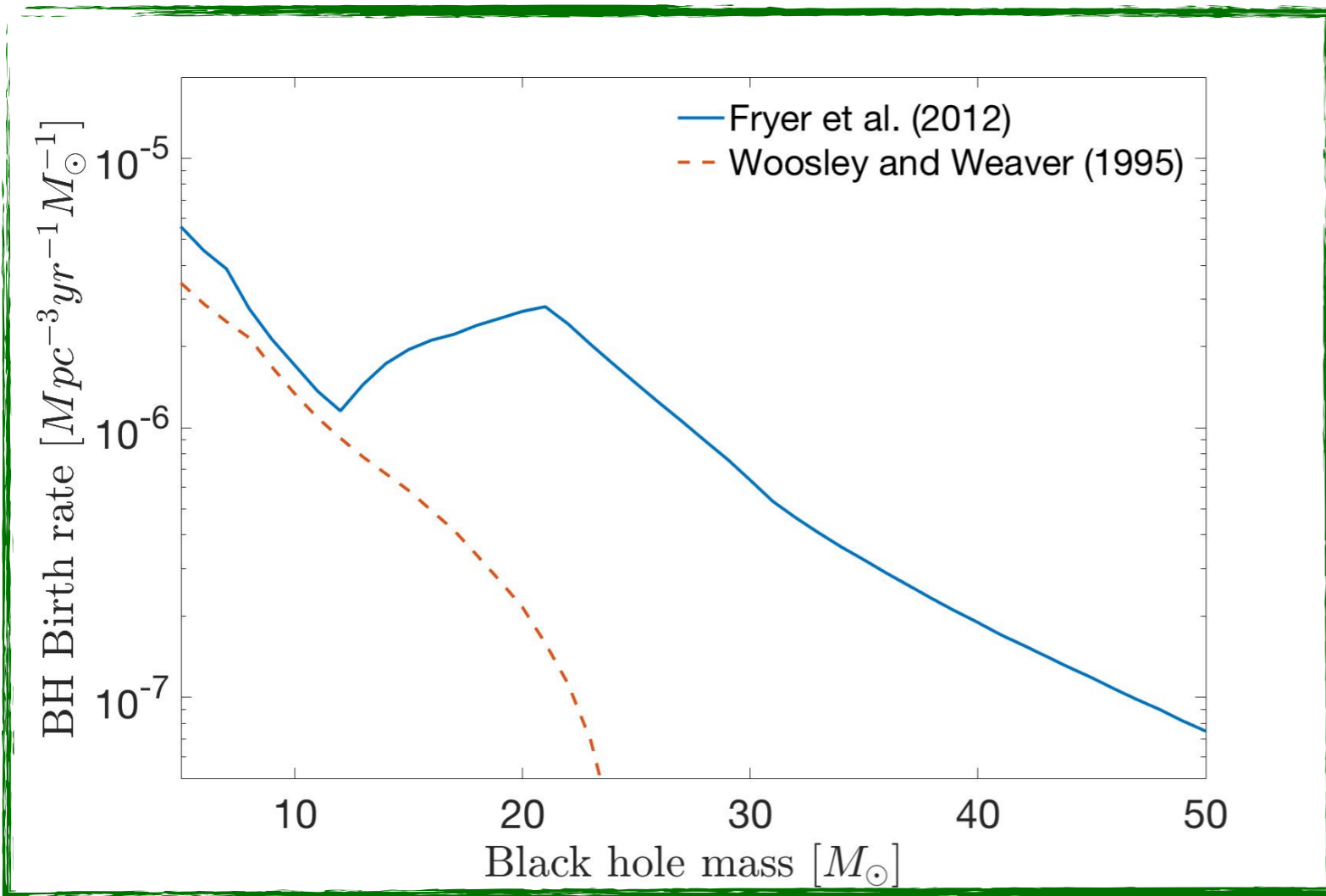
- ▶ Amplitude may already constrain the fraction of PBHs

▶ **Anisotropies in the stochastic background**

- ▶ Potentially very informative but detection will take some years...

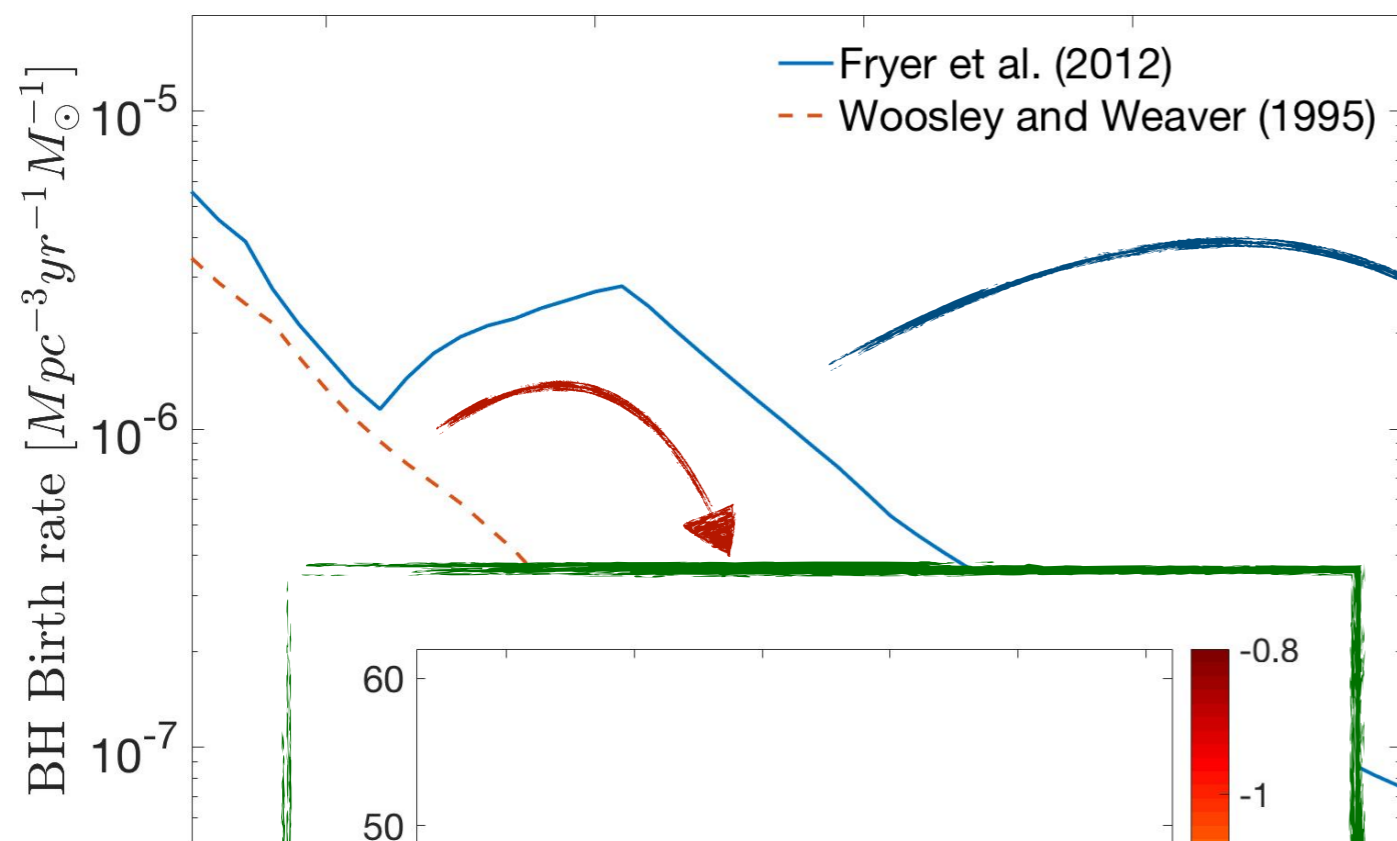
Additional slides

Constraining black hole formation using the mass distribution



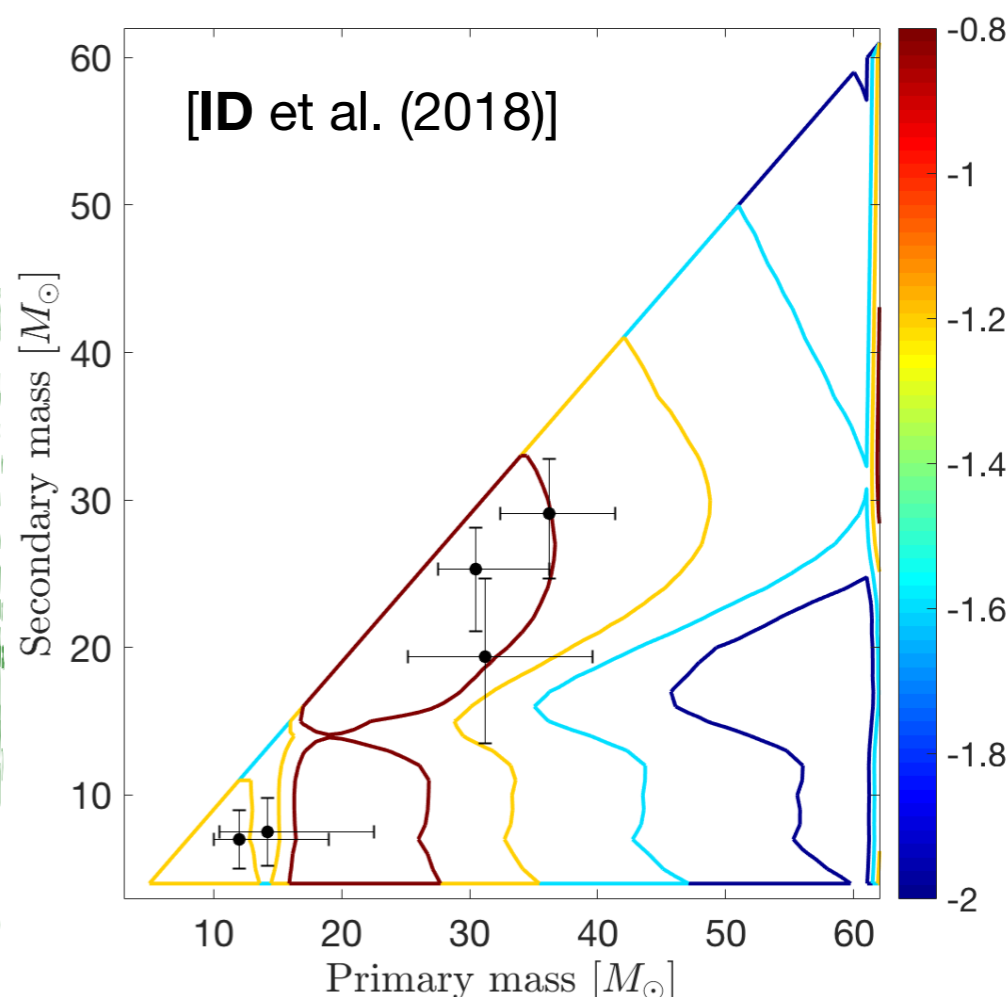
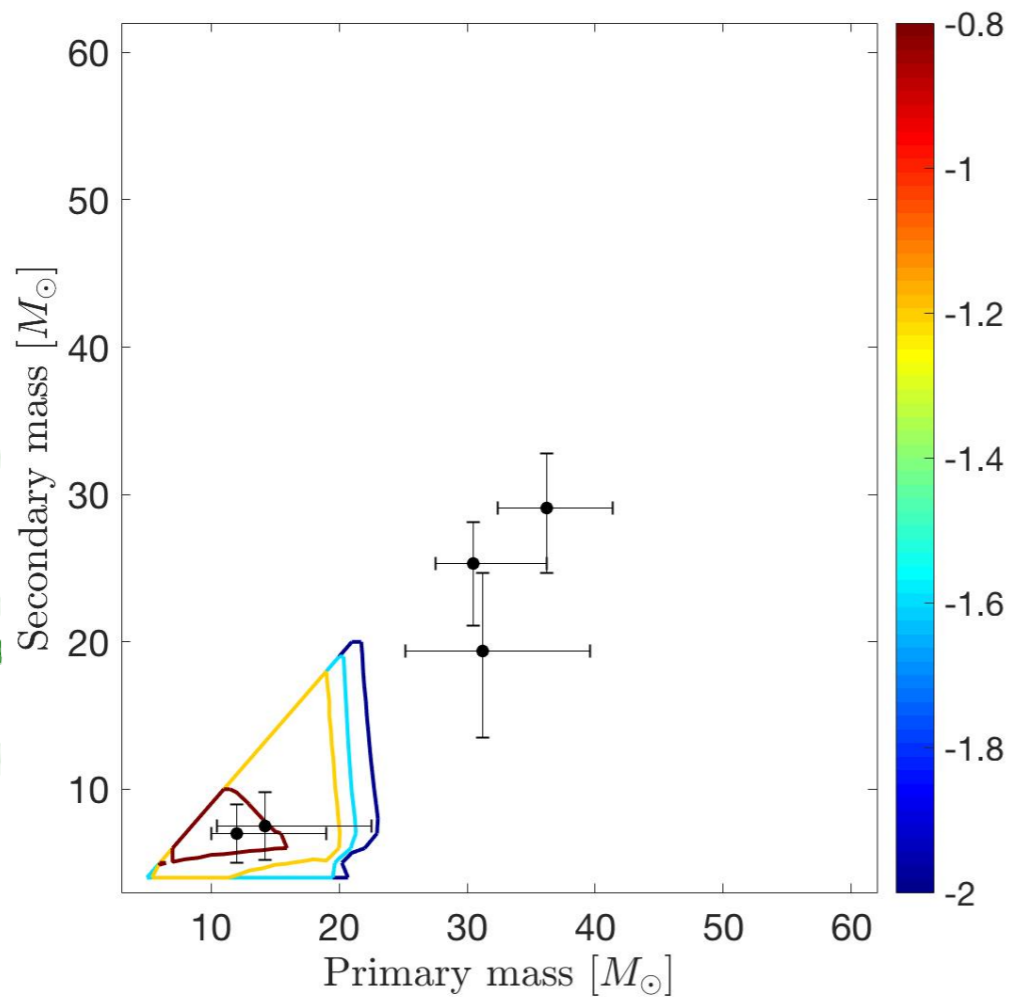
[ID et al. (2016)]

Constraining black hole formation using the mass distribution



[ID et al. (2016)]

Detection rates $\text{Log}[M_{\odot}^{-2} \text{yr}^{-1}]$



[ID et al. (2018)]

$$\begin{aligned}
\delta\Omega_\ell(k, \nu_\circ) &= \frac{\nu_\circ}{4\pi\rho_c} \int_{\eta_*}^{\eta_\circ} d\eta \mathcal{A}(\eta, \nu_\circ) \left[\left(4\Phi_k(\eta) + b\delta_{m,k}(\eta) + (b-1)3\mathcal{H} \frac{v_k(\eta)}{k} \right) j_\ell(k\Delta\eta) - 2kv_k(\eta)j'_\ell(k\Delta\eta) \right] \\
&+ \frac{\nu_\circ}{4\pi\rho_c} \int_{\eta_*}^{\eta_\circ} d\eta \mathcal{B}(\eta, \nu_\circ) [-\Phi_k(\eta)j_\ell(k\Delta\eta) + kv_k(\eta)j'_\ell(k\Delta\eta)] \\
&+ \frac{\nu_\circ}{4\pi\rho_c} \int_{\eta_*}^{\eta_\circ} d\eta [6\mathcal{A}(\eta, \nu_\circ) - 2\mathcal{B}(\eta, \nu_\circ)] \int_{\eta}^{\eta_\circ} d\tilde{\eta} \Phi'_k(\tilde{\eta}) j_\ell(k\Delta\tilde{\eta}) .
\end{aligned}$$

[Cusin et al. (2017a;b)]

Stochastic gravitational-wave background

First predictions of anisotropic background from binary BH mergers:

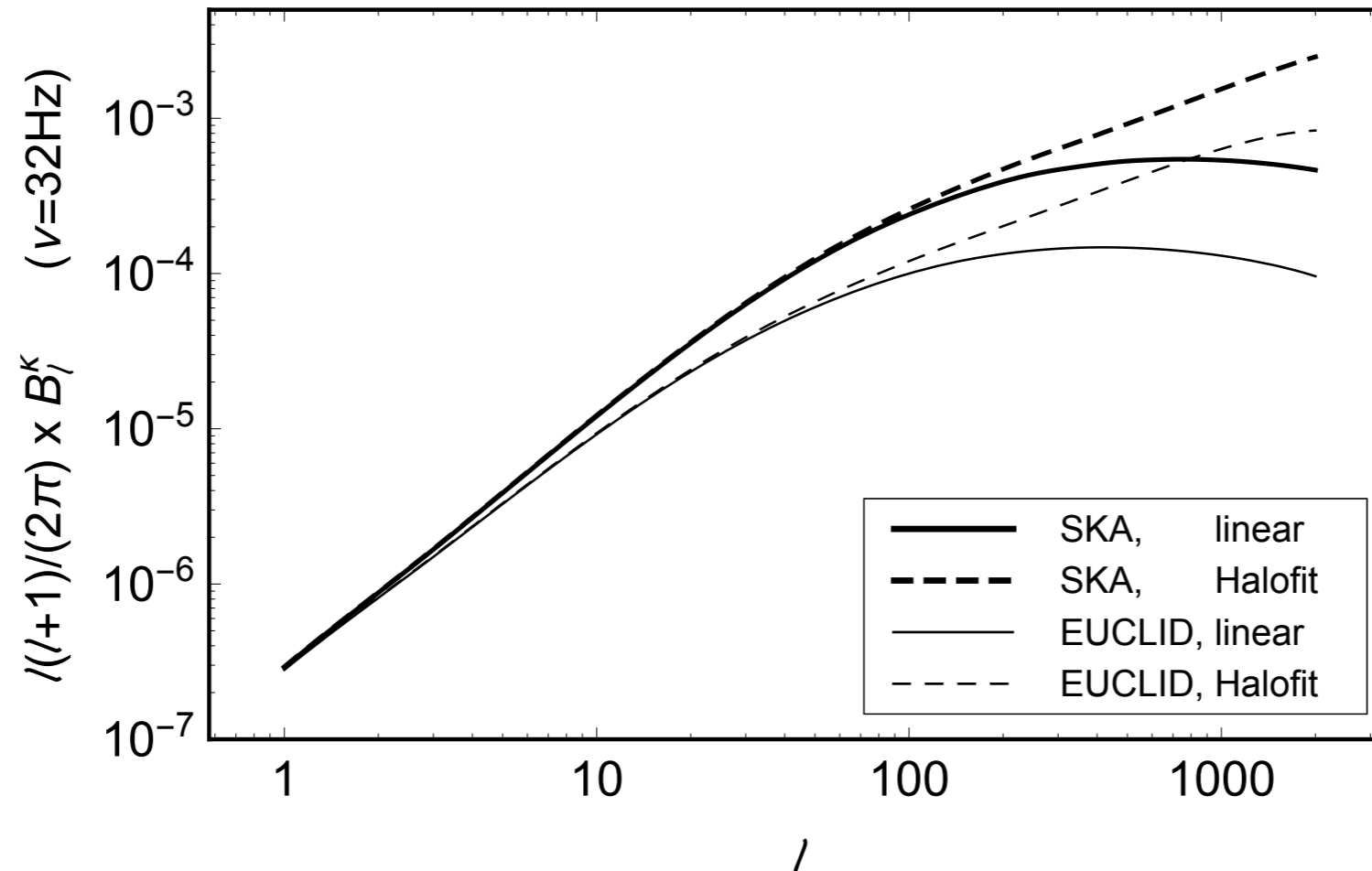
[Cusin, Pitrou, Uzan (2017a;b)]

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Decomposition of the angular correlation function: $C_{\ell}(\nu_{\text{O}}) = \frac{2}{\pi} \int dk k^2 |\delta\Omega_{\ell}(k, \nu_{\text{O}})|^2$

Cross-correlations with galaxy number counts:

[Cusin, **ID**, Pitrou, Uzan (2018)]



[Sasaki et al. (2016)]

