

Leptonic and semileptonic charm decays at BESIII

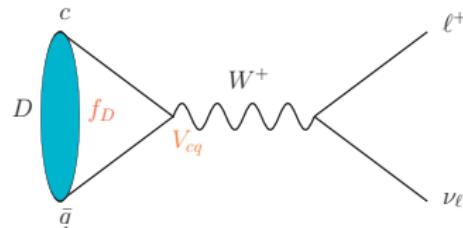
Ke Liu on behalf of the BESIII collaboration

CCNU, IHEP

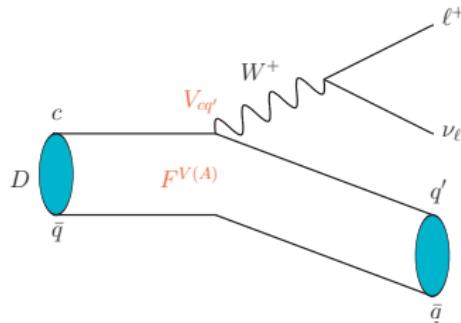
Lepton Photon 2019, Toronto, Canada, August 5-10, 2019



Motivation



$$\Gamma(D_{(s)}^+ \rightarrow l^+ \nu_l) \propto |f_{D_{(s)}^+}|^2 |V_{cs(d)}|^2$$

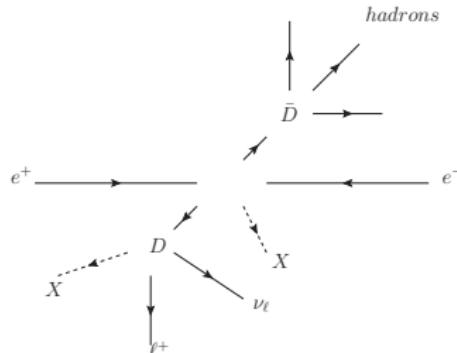
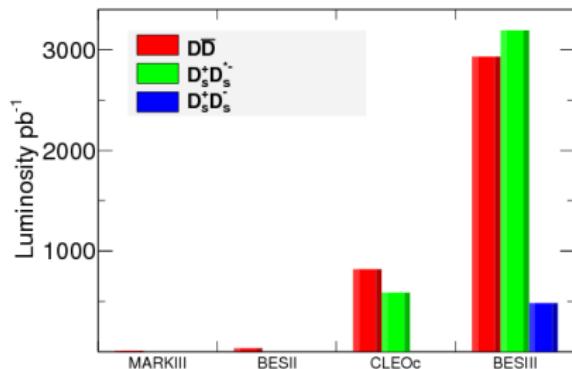


$$\Gamma(D_{(s)}^+ \rightarrow Pl^+ \nu_l) \propto |f_+(q^2)|^2 |V_{cs(d)}|^2$$

- test the unitarity of quark mixing matrix and search for new physics.
- test the theoretical calculation on decay constants and form factors (FF), especially LQCD.
- test the lepton flavor universality (LFU).
- help to understand the internal structure of light scalar mesons($a_0(980)$, $f_0(500)$).

$D^0(+)$ _(@3.773 GeV) and D_s^+ _(@4.18 and 4.01 GeV) data set at BESIII

Pair production at threshold, high efficiency and very low background.



$$N_{ST}^i = \frac{1}{2} N_{\text{pair}} \mathcal{B}_{ST}^i \epsilon_{ST}^i$$

$$N_{DT}^i = \frac{1}{2} N_{\text{pair}} \mathcal{B}_{DT}^i \mathcal{B}_{\text{sig}} \epsilon_{DT}^i$$

$N_{D\bar{D}}$: The number of $D\bar{D}$ pair

$\epsilon_{ST/DT}^i$: The efficiency of ST/DT

$\mathcal{B}_{ST/DT}^i$: The BF of ST/DT

The number of signal events is determined by examining the kinematic variables of the missing neutrino

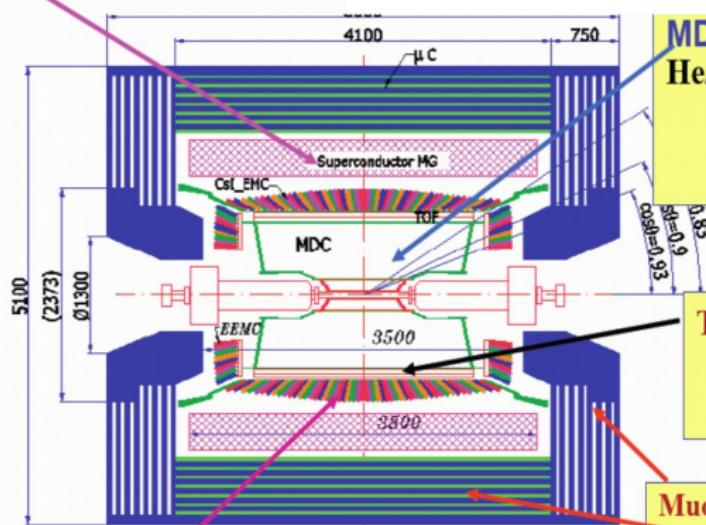
$$U_{\text{miss}} = E_{\text{miss}} - |\vec{p}|_{\text{miss}}$$

$$M_{\text{miss}}^2 = E_{\text{miss}}^2 - |\vec{p}|_{\text{miss}}^2$$

BESIII

Nucl. Instr. Meth. A614, 345 (2010)

Magnet: 1 T Super conducting



EMC: CsI crystal, 28 cm
 $\Delta E/E = 2.5\% @ 1 \text{ GeV}$
 $\sigma_z = 0.6 \text{ cm}/\sqrt{E}$

Data Acquisition:
Event rate = 4 kHz
Total data volume $\sim 50 \text{ MB/s}$

MDC: small cell & Gas:
He/C₃H₈ (60/40), 43 layers
 $\sigma_{xy} = 130 \mu\text{m}$
 $\sigma_p/p = 0.5\% @ 1 \text{ GeV}$
 $dE/dx = 6\%$

TOF:
 $\sigma_t = 100 \text{ ps}$ Barrel
 110 ps Endcap

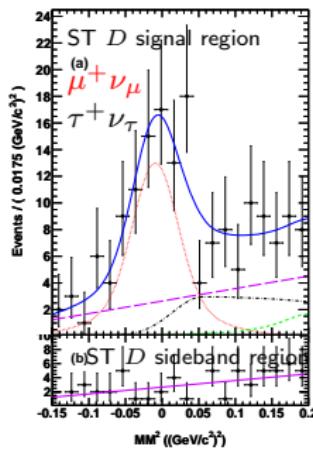
Muon ID: 9 layers RPC
8 layers for endcap

60 ps for ETOF after
upgraded in 2015

Measurement of $D_s^+ \rightarrow \ell^+ \nu_\ell$, $f_{D_s^+} |V_{cs}|$, LFU test

BESIII PRD94(2016)072004

BESIII@4.009

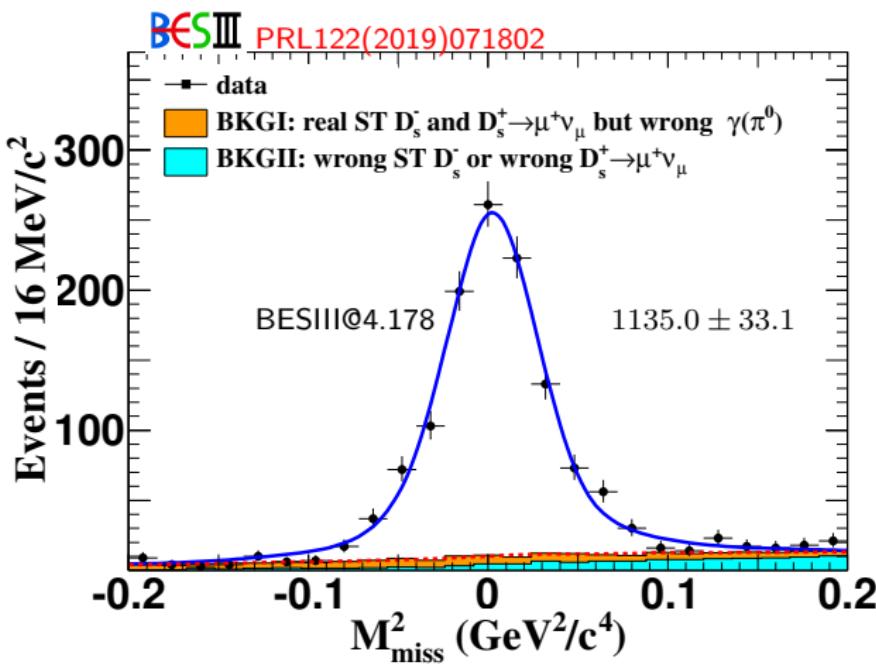


$$\mathcal{B}(D_s^+ \rightarrow \mu^+\nu_\mu) = (5.17 \pm 0.75 \pm 0.21) \times 10^{-3}$$

$$\mathcal{B}(D_s^+ \rightarrow \tau^+\nu_\tau) = (3.28 \pm 1.83 \pm 0.37)\%$$

$$f_{D_s^+} |V_{cs}| = 239 \pm 17 \pm 5 \text{ MeV with } \mu^+\nu_\mu$$

$$f_{D_s^+} |V_{cs}| = 193 \pm 54 \pm 11 \text{ MeV with } \tau^+\nu_\tau$$



$$\mathcal{B}(D_s^+ \rightarrow \mu^+\nu_\mu) = (5.49 \pm 0.16 \pm 0.15) \times 10^{-3}$$

$$f_{D_s^+} |V_{cs}| = 246.2 \pm 3.6 \pm 3.5$$

$$R_{D_s^+} = \frac{\Gamma(D_s^+ \rightarrow \tau^+\nu_\tau)}{\Gamma(D_s^+ \rightarrow \mu^+\nu_\mu)} = 10.19 \pm 0.52$$

$$\text{SM prediction } 9.74 \pm 0.01.$$

Comparison of $|V_{cs}|$ and $f_{D_s^+}$

Inputs:

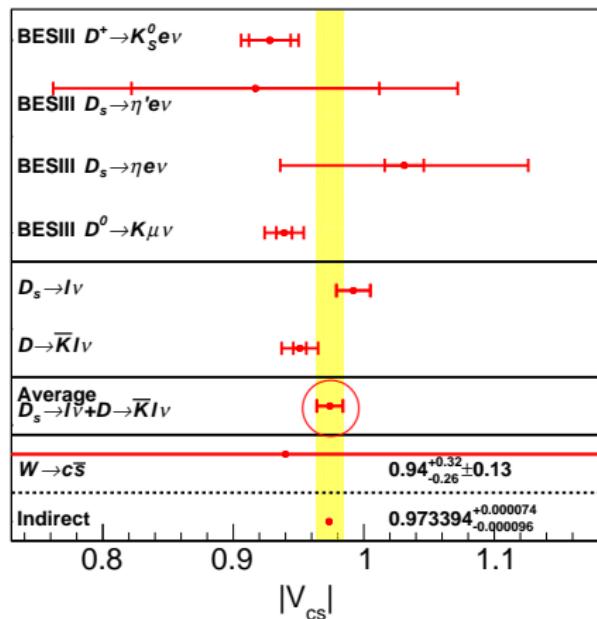
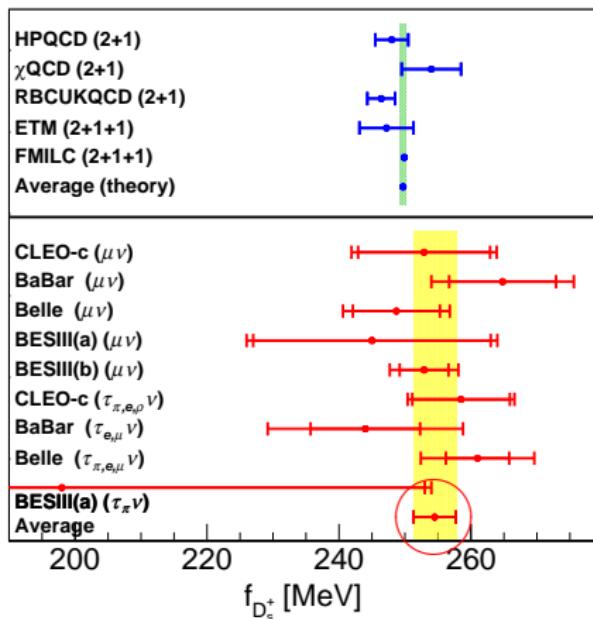
PDG2018 from CKM unitarity:

$$|V_{cs}| = 0.97359^{+0.00010}_{-0.00011}$$

LQCD average:

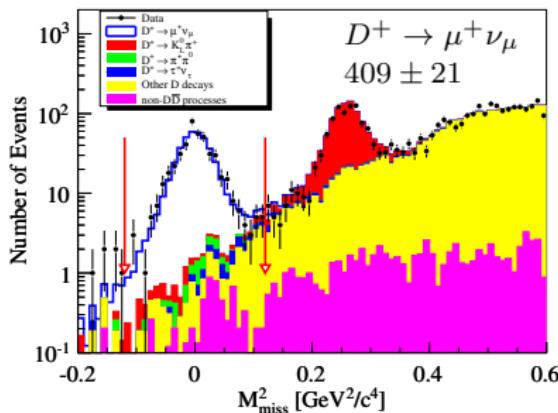
$$f_{D_s^+}^{\text{LQCD}} = 249.7 \pm 0.4 \text{ MeV}$$

$$f_+^{D \rightarrow K}(0)^{\text{LQCD}} = 0.760 \pm 0.011$$

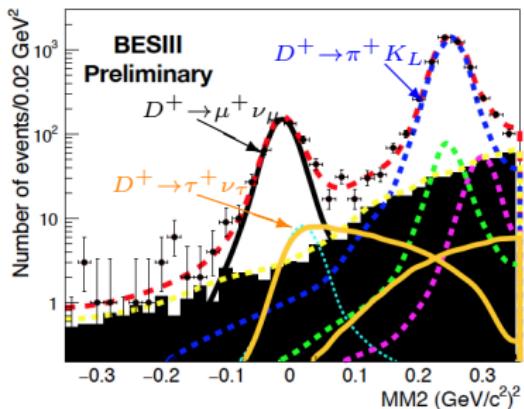


Measurement of $D^+ \rightarrow \ell^+ \nu_\ell$, $f_{D^+} |V_{cd}|$, LFU test

BESIII PRD89(2014)051104



BESIII



$$\mathcal{B}(D^+ \rightarrow \mu^+ \nu_\mu) = (3.71 \pm 0.19 \pm 0.06) \times 10^{-4}$$

$$f_{D^+} |V_{cd}| = 46.7 \pm 1.2 \pm 0.4 \text{ MeV}$$

$$\mathcal{B}(D^+ \rightarrow \tau^+ \nu_\tau) = (1.20 \pm 0.24_{\text{stat}}) \times 10^{-3}$$

$$f_{D^+} |V_{cd}| = 50.4 \pm 5.0_{\text{stat}} \text{ MeV}$$

First evidence with 4σ statistical significance.

$$R_{D^+} = \frac{\Gamma(D^+ \rightarrow \tau^+ \nu_\tau)}{\Gamma(D^+ \rightarrow \mu^+ \nu_\mu)} = 3.21 \pm 0.64$$

SM prediction 2.66 ± 0.01 .

Comparison of $|V_{cd}|$ and f_{D^+}

Inputs:

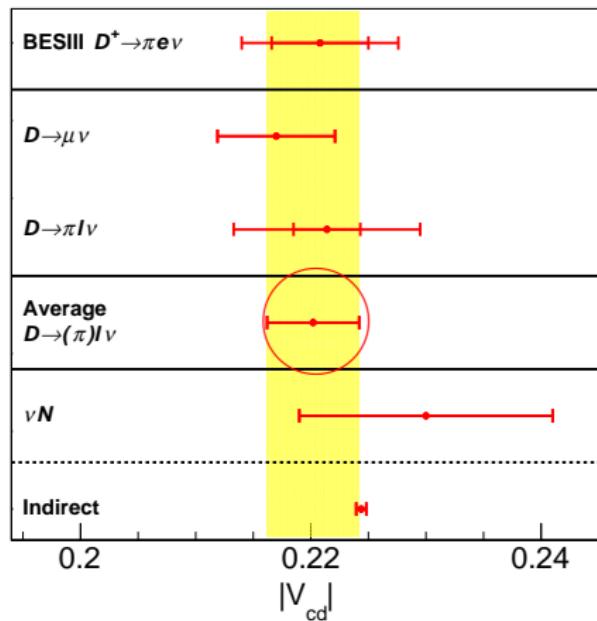
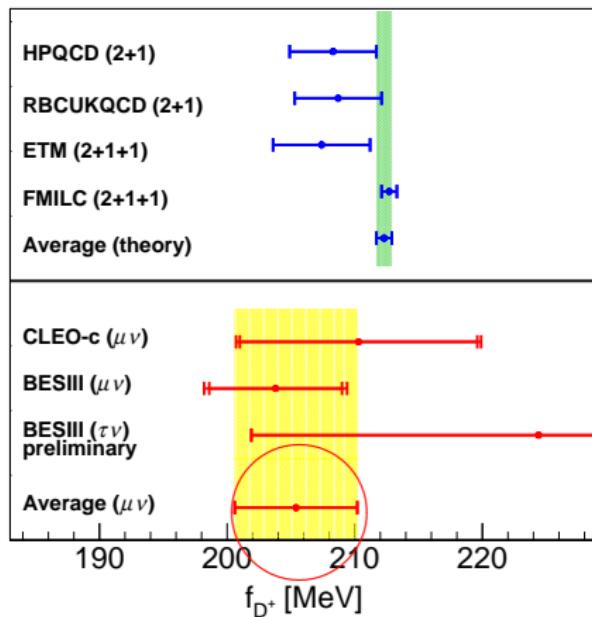
PDG2018 from CKM unitarity:

$$|V_{cd}| = 0.22438 \pm 0.00044$$

LQCD average:

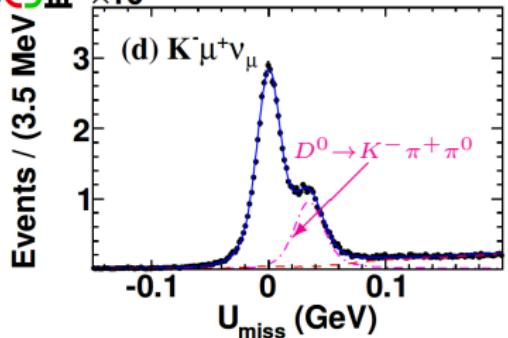
$$f_{D^+}^{\text{LQCD}} = 212.3 \pm 0.6 \text{ MeV}$$

$$f_+^{D \rightarrow \pi}(0)^{\text{LQCD}} = 0.634 \pm 0.015$$

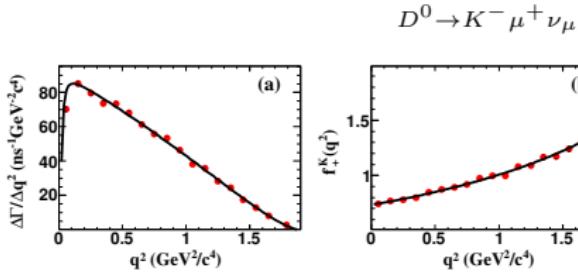
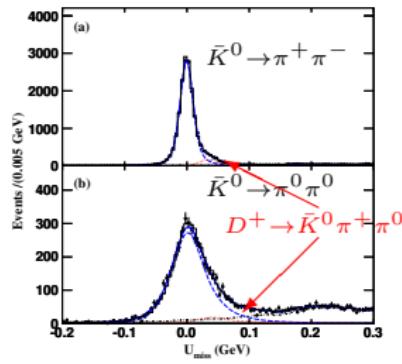


Measurement of $D \rightarrow \bar{K}\mu^+\nu_\mu$, $f_+^{D \rightarrow K}(0)|V_{cs}|$, LFU test

BESIII $\times 10^3$ PRL122(2019)011804



BESIII EPJC76(2016)369



$$\frac{\Gamma(D^0 \rightarrow K^-\mu^+\nu_\mu)}{\Gamma(D^0 \rightarrow K^-e^+\nu_e)} =$$

$$0.974 \pm 0.014$$

$$\frac{\Gamma(D^+ \rightarrow \bar{K}^0\mu^+\nu_\mu)}{\Gamma(D^+ \rightarrow \bar{K}^0e^+\nu_e)} =$$

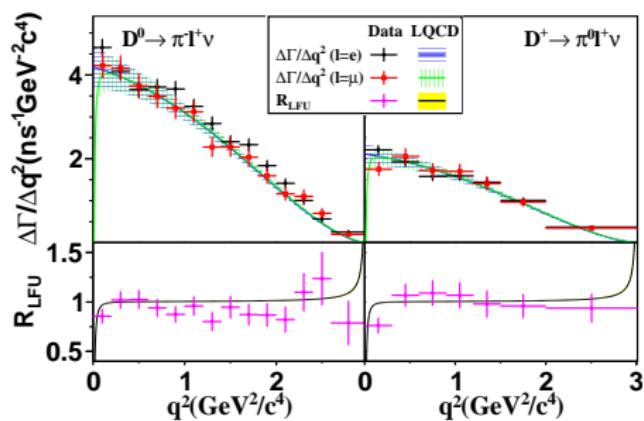
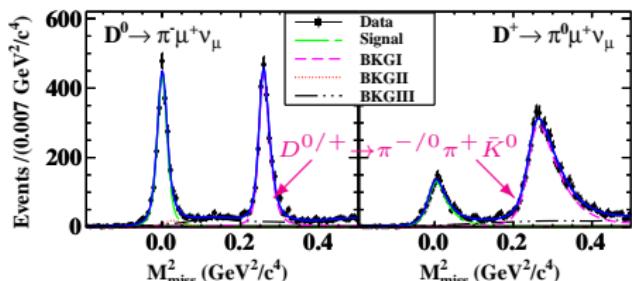
$$0.988 \pm 0.033$$

$\mathcal{B}(D^0 \rightarrow K^-\mu^+\nu_\mu)$	$(3.431 \pm 0.019 \pm 0.035)\%$
$f_+^{D \rightarrow K}(0) V_{cs} $	$0.7133 \pm 0.0038 \pm 0.0030$
$\mathcal{B}(D^+ \rightarrow \bar{K}^0\mu^+\nu_\mu)$	$(8.72 \pm 0.07 \pm 0.18)\%$

Expected:
 0.975 ± 0.001

Measurement of $D \rightarrow \pi\mu^+\nu_\mu$, LFU test

BESIII PRL121(2018)171803



$$\mathcal{B}(D^0 \rightarrow \pi^-\mu^+\nu_\mu) = (0.272 \pm 0.008 \pm 0.006)\%$$

$$\mathcal{B}(D^+ \rightarrow \pi^0\mu^+\nu_\mu) = (0.350 \pm 0.011 \pm 0.010)\%$$

$$\frac{\Gamma(D^0 \rightarrow \pi^-\mu^+\nu_\mu)}{\Gamma(D^0 \rightarrow \pi^-e^+\nu_e)} = 0.922 \pm 0.037$$

$$\frac{\Gamma(D^+ \rightarrow \pi^0\mu^+\nu_\mu)}{\Gamma(D^+ \rightarrow \pi^0e^+\nu_e)} = 0.964 \pm 0.045$$

The LQCD calculations are taken from ETM's results published in PRD96(2017)054514, with

$$\frac{\Gamma(D \rightarrow \pi\mu^+\nu_\mu)}{\Gamma(D \rightarrow \pi e^+\nu_e)} = 0.985 \pm 0.002$$

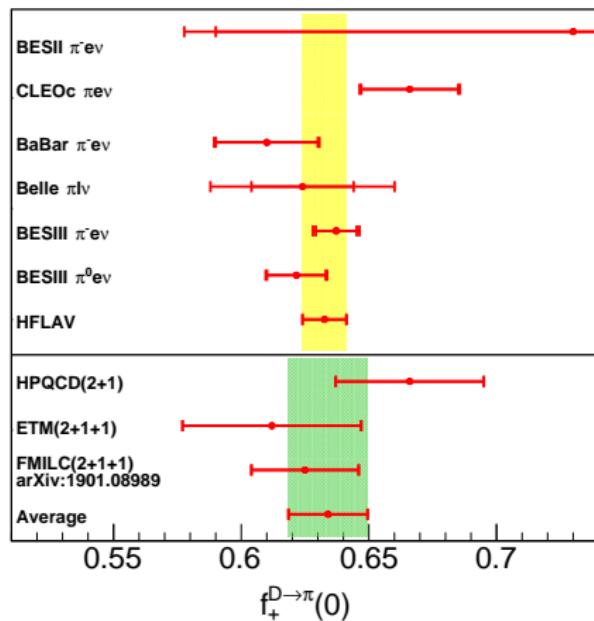
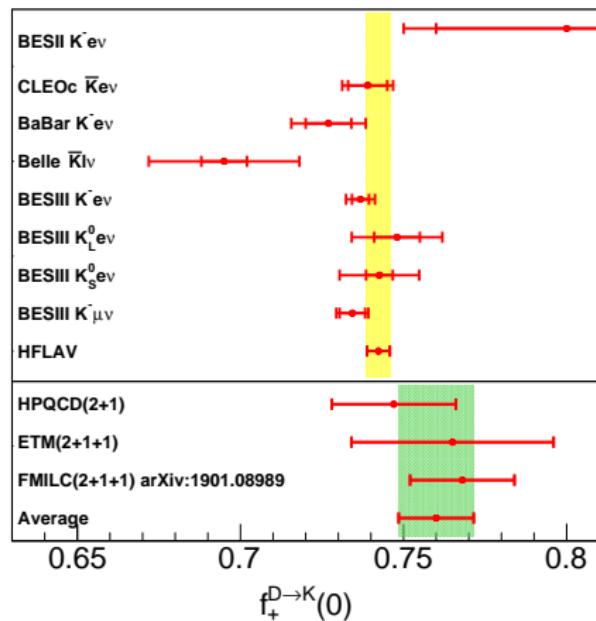
Comparison of $f_+^{D \rightarrow K}(0)$ and $f_+^{D \rightarrow \pi}(0)$

Inputs:

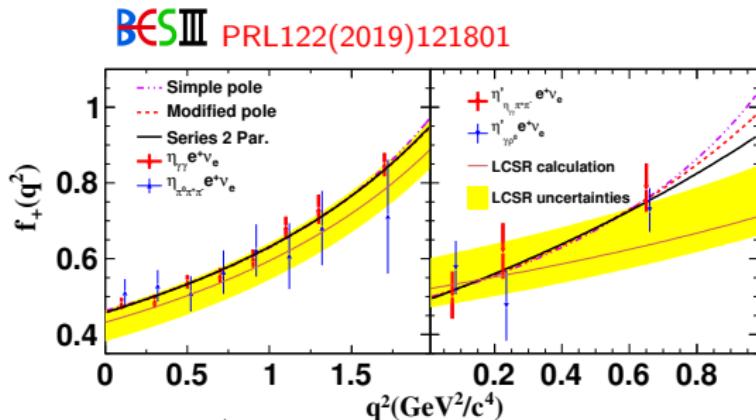
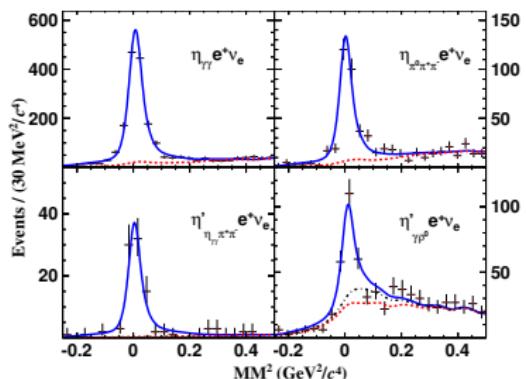
PDG2018 from CKM unitarity:

$$|V_{cs}| = 0.97359^{+0.00010}_{-0.00011}$$

$$|V_{cd}| = 0.22438 \pm 0.00044$$

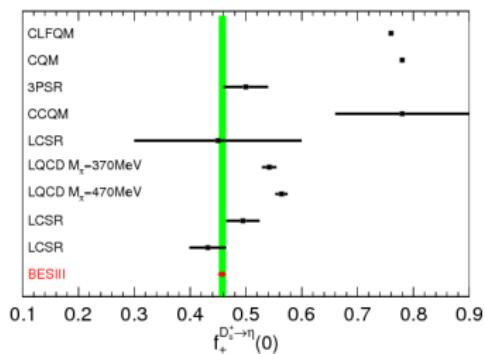


First measurement FF of $D_s^+ \rightarrow \eta^{(\prime)} e^+ \nu_e$



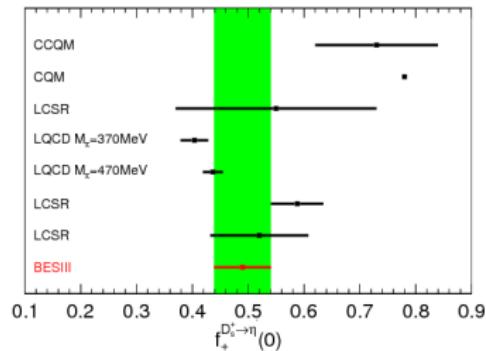
$$\mathcal{B}(D_s^+ \rightarrow \eta e^+ \nu_e) = (2.323 \pm 0.063 \pm 0.063)\%$$

$$\mathcal{B}(D_s^+ \rightarrow \eta' e^+ \nu_e) = (0.824 \pm 0.073 \pm 0.027)\%$$



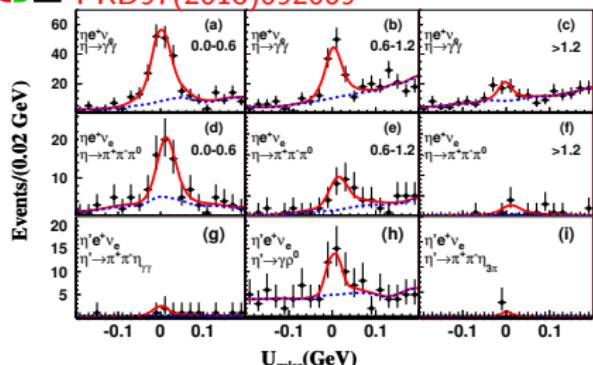
$$f_+^{D_s^+ \rightarrow \eta}(0)|V_{cs}| = 0.4455 \pm 0.0053 \pm 0.0044$$

$$f_+^{D_s^+ \rightarrow \eta'}(0)|V_{cs}| = 0.477 \pm 0.049 \pm 0.011$$



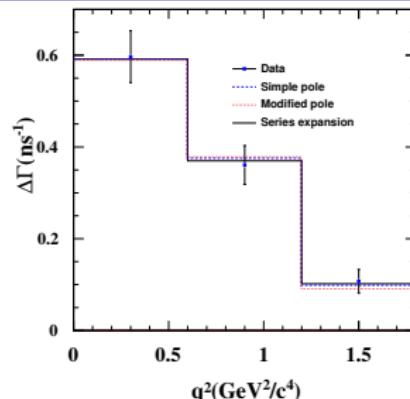
Measurement of $D^+ \rightarrow \eta^{(\prime)} e^+ \nu_e$, FF, $\eta - \eta'$ mixing angle

BESIII PRD97(2018)092009

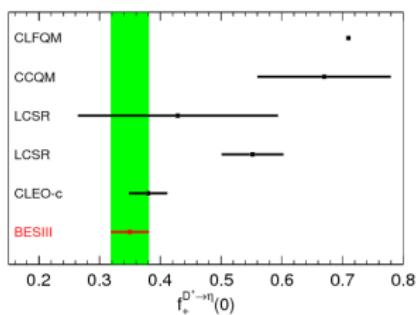


$$\mathcal{B}(D^+ \rightarrow \eta e^+ \nu_e) = (10.74 \pm 0.81 \pm 0.51) \times 10^{-4}$$

$$\mathcal{B}(D^+ \rightarrow \eta' e^+ \nu_e) = (1.91 \pm 0.51 \pm 0.13) \times 10^{-4}$$



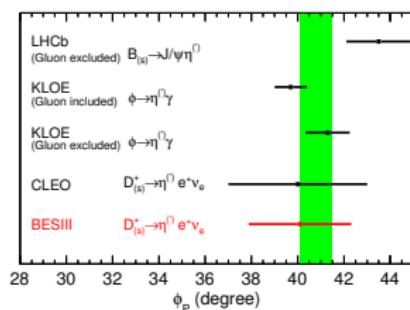
$$f_+^{D^+ \rightarrow \eta}(0)|V_{cd}| = (7.86 \pm 0.64 \pm 0.21) \times 10^{-2}$$



Model independent determination of $\eta - \eta'$ mixing angle Φ_P .

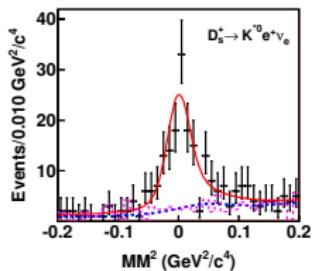
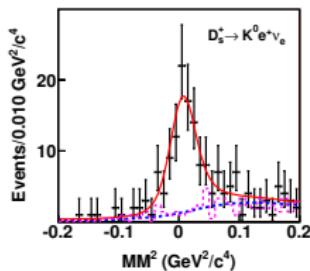
$$\frac{\Gamma(D_s^+ \rightarrow \eta' e^+ \nu_e) / \Gamma(D_s^+ \rightarrow \eta e^+ \nu_e)}{\Gamma(D^+ \rightarrow \eta' e^+ \nu_e) / \Gamma(D^+ \rightarrow \eta e^+ \nu_e)} \simeq \cot^4 \Phi_P$$

$$\Phi_P = (40.1 \pm 2.1 \pm 0.7)^\circ$$



First measurement FF of $D_s^+ \rightarrow K^{(*)0} e^+ \nu_e$

BESIII PRL122(2019)061801



$$\mathcal{B}(D_s^+ \rightarrow K^0 e^+ \nu_e) = (3.25 \pm 0.38 \pm 0.16) \times 10^{-3}$$

$$f_+^{D_s^+ \rightarrow K^0}(0) |V_{cd}| = 0.162 \pm 0.019 \pm 0.003$$

$$\mathcal{B}(D_s^+ \rightarrow K^{*0} e^+ \nu_e) = (2.37 \pm 0.26 \pm 0.20) \times 10^{-3}$$

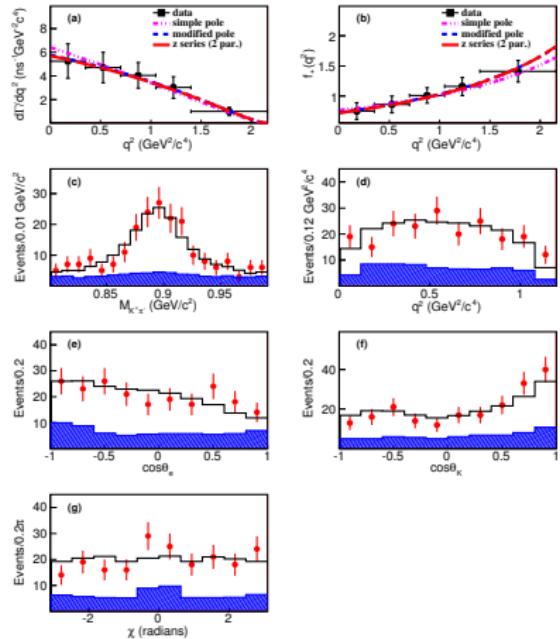
$$r_V = \frac{V(0)}{A_1(0)} \quad r_V = 1.67 \pm 0.34 \pm 0.16$$

$$r_2 = \frac{A_2(0)}{A_1(0)} \quad r_2 = 0.77 \pm 0.28 \pm 0.07$$

$$f_+^{D_s^+ \rightarrow K^0}(0) / f_+^{D^+ \rightarrow \pi^0}(0) = 1.16 \pm 0.14 \pm 0.02$$

$$r_V^{D_s^+ \rightarrow K^{*0}} / r_V^{D^+ \rightarrow \rho^0} = 1.13 \pm 0.26 \pm 0.11$$

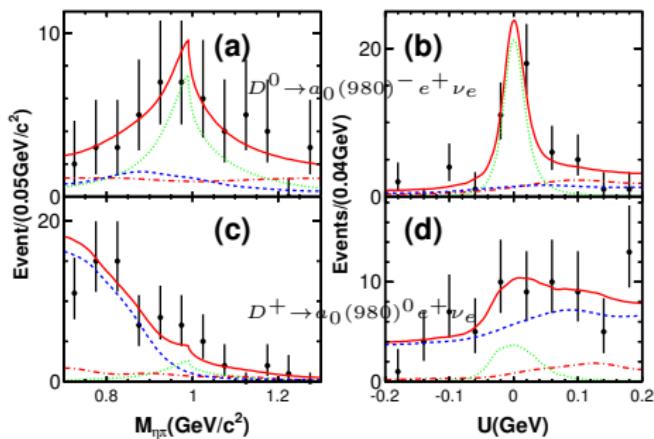
$$r_2^{D_s^+ \rightarrow K^{*0}} / r_2^{D^+ \rightarrow \rho^0} = 0.93 \pm 0.36 \pm 0.10$$



Agrees with U-spin ($d \leftrightarrow s$) symmetry.

Observation of $D \rightarrow a_0(980)e^+\nu_e$

BESIII PRL121(2018)081802



A model-independent way to study the nature of light scalar mesons proposed by PRD82(2016)034016

$$R = \frac{\mathcal{B}(D^+ \rightarrow f_0(980)e^+\nu_e) + \mathcal{B}(D^+ \rightarrow f_0(500)e^+\nu_e)}{\mathcal{B}(D^+ \rightarrow a_0(980)^0e^+\nu_e)}$$

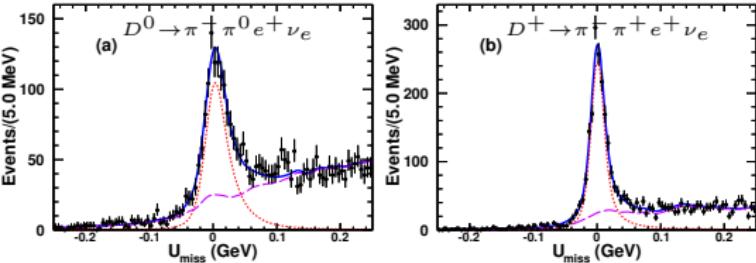
$R = 1.0 \pm 0.3$ for two-quark description;
 $R = 3.0 \pm 0.9$ for tetraquark description.

We have $R > 2.7$ @90% C.L. at BESIII
Which favors the tetraquark description.

Decay	BF ($\times 10^{-4}$)	Significance
$D^0 \rightarrow a_0(980)^- e^+ \nu_e, a_0(980)^- \rightarrow \eta\pi^-$	$1.33^{+0.33}_{-0.29} \pm 0.09$	6.4σ
$D^+ \rightarrow a_0(980)^0 e^+ \nu_e, a_0(980)^0 \rightarrow \eta\pi^0$	$1.66^{+0.81}_{-0.66} \pm 0.11$ < 3.0 (90% C.L.)	2.9σ

Observation of $D \rightarrow \pi\pi e^+ \nu_e$ (S wave)

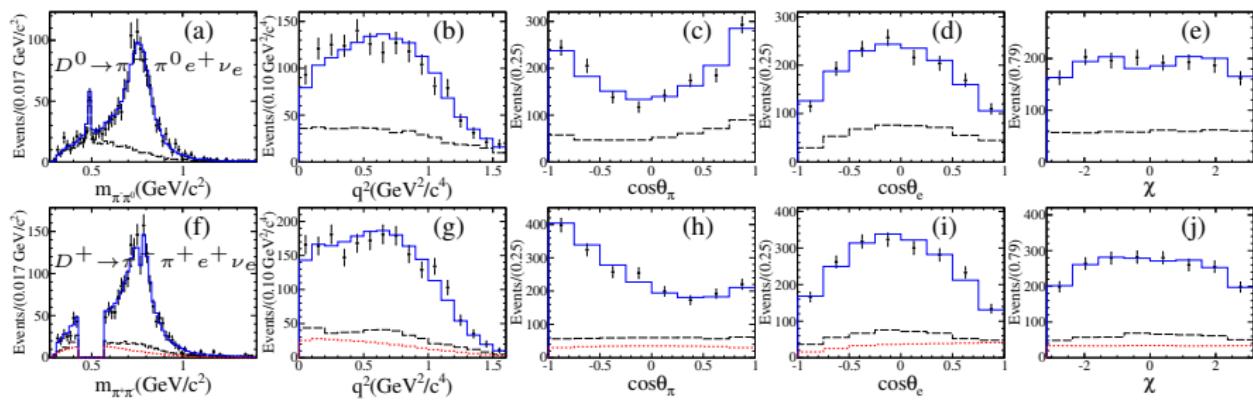
BESIII PRL122(2019)062001



Signal mode	BF ($\times 10^{-3}$)
$D^0 \rightarrow \pi^- \pi^0 e^+ \nu_e$	$1.445 \pm 0.058 \pm 0.039$
$D^0 \rightarrow \rho^- e^+ \nu_e$	$1.445 \pm 0.048 \pm 0.039$
$D^+ \rightarrow \pi^- \pi^+ e^+ \nu_e$	$2.449 \pm 0.074 \pm 0.073$
$D^+ \rightarrow \rho^0 e^+ \nu_e$	$1.860 \pm 0.070 \pm 0.061$
$D^+ \rightarrow \omega e^+ \nu_e$	$2.05 \pm 0.66 \pm 0.30$
$D^+ \rightarrow f_0(500) e^+ \nu_e$	$0.630 \pm 0.043 \pm 0.032$
$f_0(500) \rightarrow \pi^+ \pi^-$	
$D^+ \rightarrow f_0(980) e^+ \nu_e$	< 0.028
$f_0(980) \rightarrow \pi^+ \pi^-$	

$$r_V = 1.695 \pm 0.083 \pm 0.051 \quad r_2 = 0.845 \pm 0.056 \pm 0.039$$

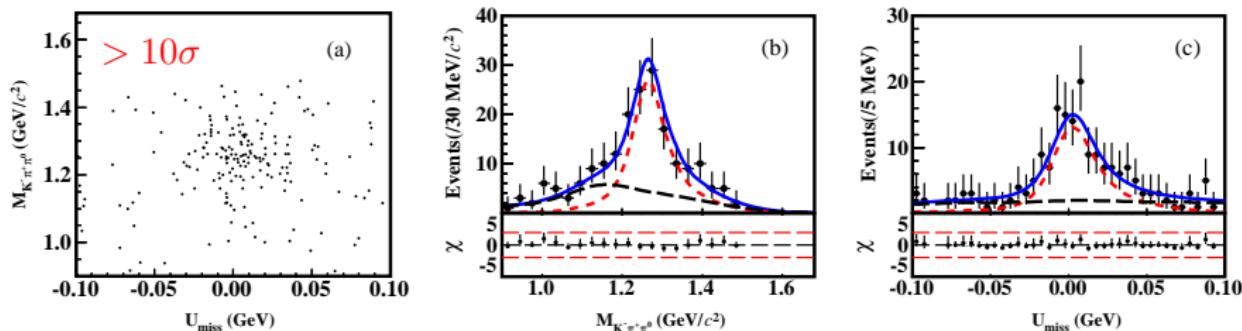
$$R = [\mathcal{B}(D^+ \rightarrow f_0(980) e^+ \nu_e) + \mathcal{B}(D^+ \rightarrow f_0(500) e^+ \nu_e)] / \mathcal{B}(D^+ \rightarrow a_0(980) e^+ \nu_e) > 2.7$$



Observation of $D^+ \rightarrow \bar{K}_1(1270)^0 e^+ \nu_e$

Semileptonic D transitions into P-wave states were predicted 30 years ago, but not experimentally confirmed yet. Predictions of ISGW2 model[[PRD52,2783\(1995\)](#)]: $\mathcal{B}[D^0(+) \rightarrow \bar{K}_1(1270)^-(0) e^+ \nu_e] \rightarrow 0.1\%(0.3\%)$. Evidence of $D^0 \rightarrow \bar{K}_1(1270)^- e^+ \nu_e$ has been found by CLEO Collaboration[[PRL99,191801 \(2007\)](#)]. In theory, the predicted BFs are sensitive to K_1 mixing angle(θ_{K_1}) and its sign[[PRD79,036004\(2009\)](#), [EPJC77,369\(2017\)](#)].

BESIII [arXiv:1907.11370](#) $\mathcal{B}[D^+ \rightarrow \bar{K}_1(1270)^0 e^+ \nu_e] = (2.30 \pm 0.26 \pm 0.18 \pm 0.25) \times 10^{-3}$



Our result indicates $\theta_{K_1} \sim 33^\circ$ or 57° and opens up opportunity to precisely study nature of $\bar{K}_1(1270)$.

Summary

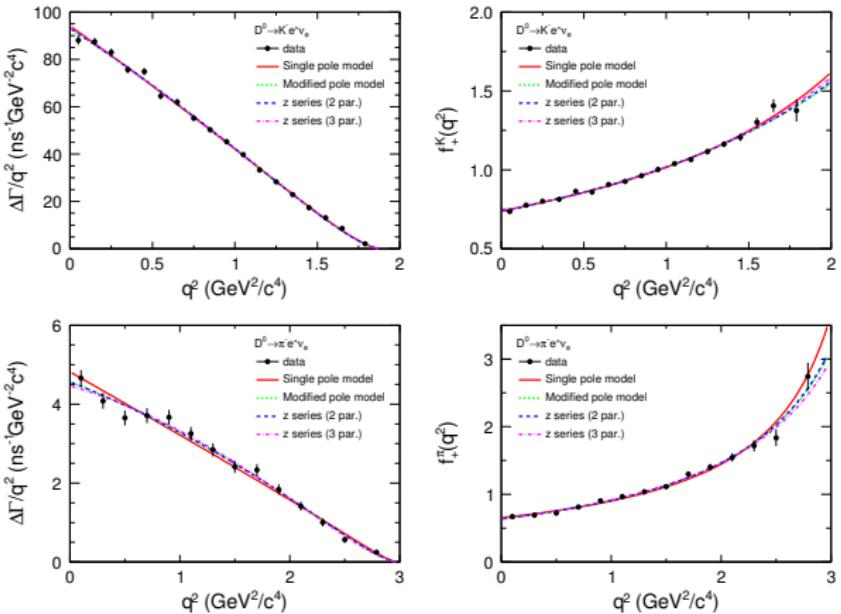
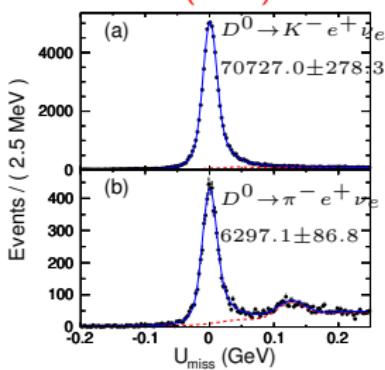
- Precise measurement of decay constants, form factors and quark mixing matrix elements → precision improved with BESIII measurement.
- Lepton flavor universality test → no evidence of violation found in the charm sector at the precision of 1.5% for cabibbo favored decays and 4% for single cabibbo suppressed decays.
- Study the nature of light scalar mesons → tetraquark description favored with BESIII's results($a_0(980)$, $f_0(500)$).

Thanks for your attention!

Back up

Measurement of $D^0 \rightarrow K^-(\pi^-)e^+\nu_e$, $f_+^{D \rightarrow K(\pi)}(0)|V_{cs(d)}|$

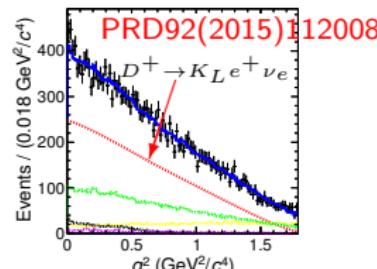
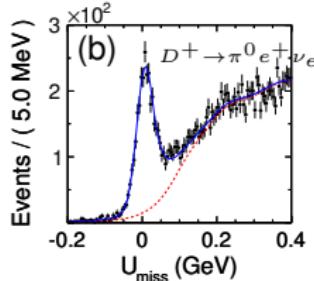
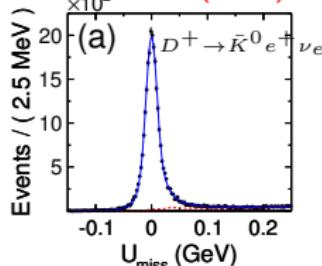
BESIII PRD92(2015)072012



$\mathcal{B}(D^0 \rightarrow K^- e^+ \nu_e)$	$(3.505 \pm 0.014 \pm 0.033)\%$	$f_+^{D \rightarrow K}(0) V_{cs} $	$0.7172 \pm 0.0025 \pm 0.0035$
$\mathcal{B}(D^0 \rightarrow \pi^- e^+ \nu_e)$	$(0.295 \pm 0.004 \pm 0.003)\%$	$f_+^{D \rightarrow \pi}(0) V_{cd} $	$0.1435 \pm 0.0018 \pm 0.0009$

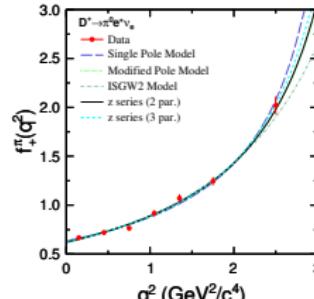
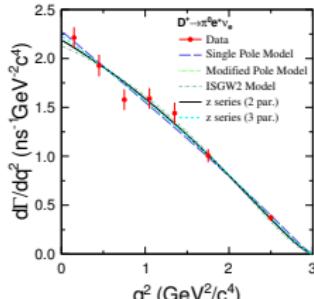
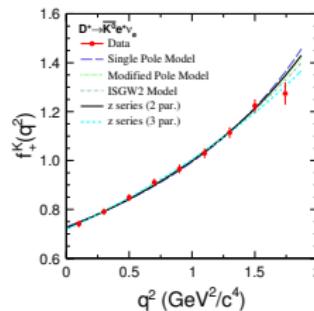
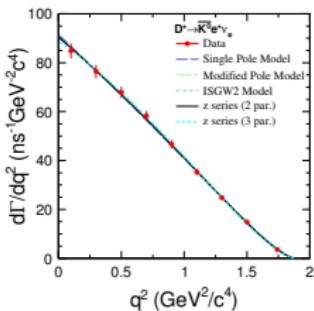
Measurement of $D^+ \rightarrow \bar{K}^0(\pi^0)e^+\nu_e$, $f_+^{D \rightarrow K(\pi)}(0)|V_{cs(d)}|$

BESIII PRD96(2017)012002



LP2019

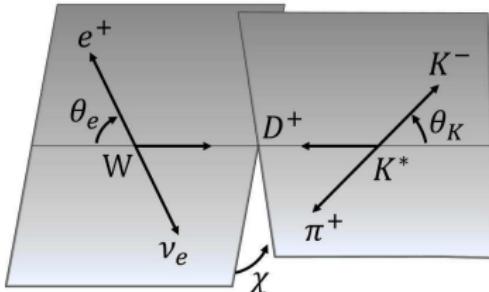
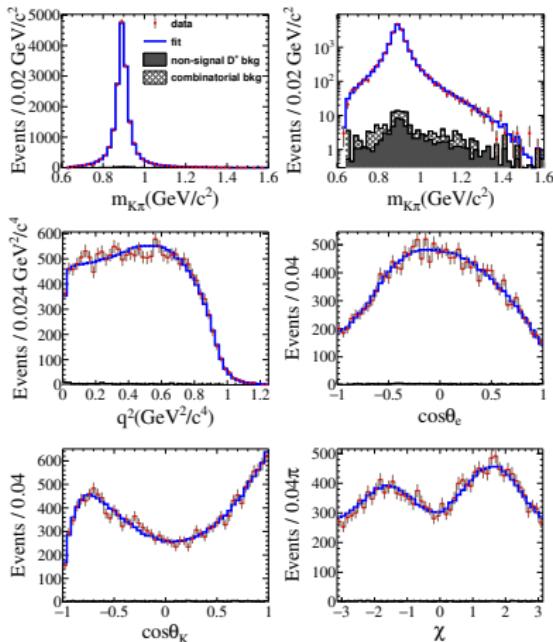
$\mathcal{B}(D^+ \rightarrow \bar{K}^0 e^+ \nu_e)$ (via K_S^0)	$(8.60 \pm 0.06 \pm 0.15)\%$
$f_+^{D \rightarrow K(0) V_{cs} }$	$0.7053 \pm 0.0040 \pm 0.0112$
$\mathcal{B}(D^+ \rightarrow \bar{\pi}^0 e^+ \nu_e)$	$(0.363 \pm 0.008 \pm 0.005)\%$
$f_+^{D \rightarrow \pi(0) V_{cd} }$	$0.1400 \pm 0.0026 \pm 0.0007$
$\mathcal{B}(D^+ \rightarrow \bar{K}^0 e^+ \nu_e)$ (via K_L^0)	$(8.962 \pm 0.054 \pm 0.206)\%$
$f_+^{D \rightarrow K(0) V_{cs} }$	$0.728 \pm 0.006 \pm 0.011$



Leptonic and semileptonic charm decays at BESIII

$$D^+ \rightarrow K^- \pi^+ e^+ \nu_e$$

BESIII PRD94(2016)032001



$$r_V = V(0)/A_1(0) = 1.411 \pm 0.058 \pm 0.007$$

$$r_2 = A_2(0)/A_1(0) = 0.788 \pm 0.042 \pm 0.008$$

$$A_1(0) = 0.589 \pm 0.010 \pm 0.012$$

Not included in the nominal fit:

$$\mathcal{B}(D^+ \rightarrow \bar{K}^*(1410)^0 e^+ \nu_e) \quad (0 \pm 0.009 \pm 0.008)\% \\ < 0.028\% \text{ (90\% C.L.)}$$

$$\mathcal{B}(D^+ \rightarrow \bar{K}_2^*(1430)^0 e^+ \nu_e) \quad (0.011 \pm 0.003 \pm 0.007)\% \\ < 0.023\% \text{ (90\% C.L.)}$$

$$P(\bar{K}^*(892)^0)$$

$$\begin{aligned} &\text{Simple Pole plus} \\ &\text{BW with mass-dependent width} \end{aligned}$$

$$(3.54 \pm 0.03 \pm 0.08)\%$$

$$S(\bar{K}_0^*(1430)^0 \text{ and non-resonant part})$$

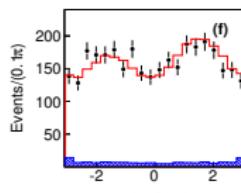
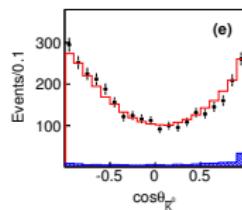
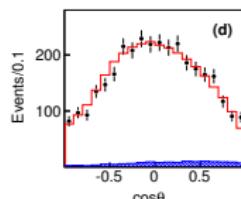
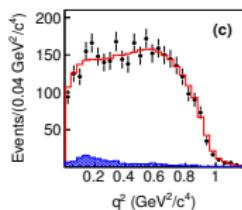
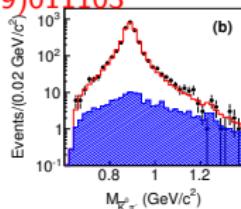
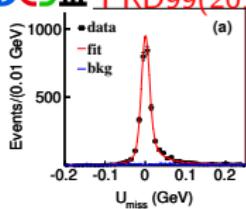
$$\begin{aligned} &\text{LASS plus} \\ &\text{BW with mass-dependent width} \end{aligned}$$

$$(0.228 \pm 0.008 \pm 0.008)\%$$

$D^0 \rightarrow \bar{K}^0 \pi^- e^+ \nu_e$ and $D^+ \rightarrow \omega e^+ \nu_e$

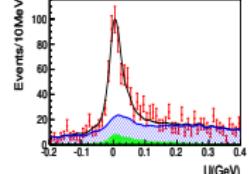
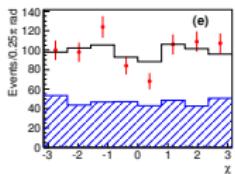
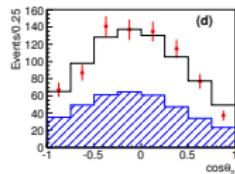
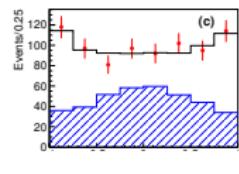
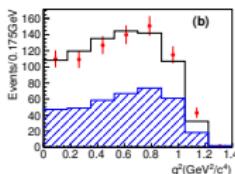
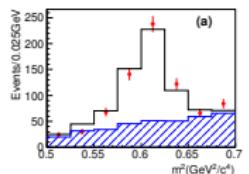
BESIII

PRD99(2019)011103



$D^0 \rightarrow \bar{K}^0 \pi^- e^+ \nu_e$

BESIII PRD92(2015)071101



$D^+ \rightarrow \omega e^+ \nu_e$

$$\begin{aligned} \mathcal{B}(D^+ \rightarrow \omega e^+ \nu_e) & (1.63 \pm 0.11 \pm 0.08) \times 10^{-3} \\ r_V & 1.24 \pm 0.09 \pm 0.06 \\ r_2 & 1.06 \pm 0.15 \pm 0.05 \end{aligned}$$

$$\frac{S(\bar{K}^0 \pi)_{\text{S-wave}}}{r_V}$$

$$(7.90 \pm 1.40 \pm 0.91) \times 10^{-4}$$

$$1.46 \pm 0.07 \pm 0.02$$

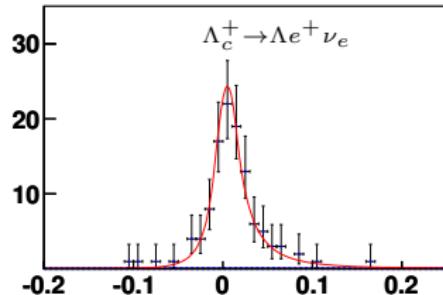
$$P(K^*(892)^-) \quad r_2$$

$$(1.355 \pm 0.031 \pm 0.032)\% \quad 0.67 \pm 0.06 \pm 0.01$$

$$\Lambda_c^+ \rightarrow \Lambda \ell^+ \nu_\ell$$

0.567 fb^{-1} data @4.6 GeV

BESIII PRL115(2015)221805



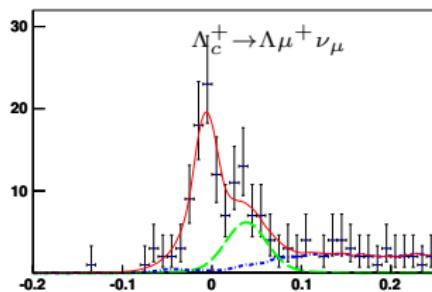
Previously expected: 1.4% \rightarrow 9.2%.

$$\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e) = (3.63 \pm 0.38 \pm 0.20)\%$$

$$\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda \mu^+ \nu_\mu) = (3.49 \pm 0.46 \pm 0.26)\%$$

$$\frac{\Gamma(\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e)}{\Gamma(\Lambda_c^+ \rightarrow \Lambda \mu^+ \nu_\mu)} = 0.96 \pm 0.16 \pm 0.04$$

BESIII PLB767(2017)42



PRL118(2017)082001

$$\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e) = (3.80 \pm 0.19_{\text{LQCD}} \pm 0.11_{\tau_{\Lambda_c}})\%$$

$$\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda \mu^+ \nu_\mu) = (3.69 \pm 0.19_{\text{LQCD}} \pm 0.11_{\tau_{\Lambda_c}})\%$$