



*26<sup>th</sup> International Conference on Supersymmetry and Unification of Fundamental Interactions*  
*Barcelona, 23-27 July 2018*

# Probing beyond the Standard Model with Flavor Physics

Matthias Neubert

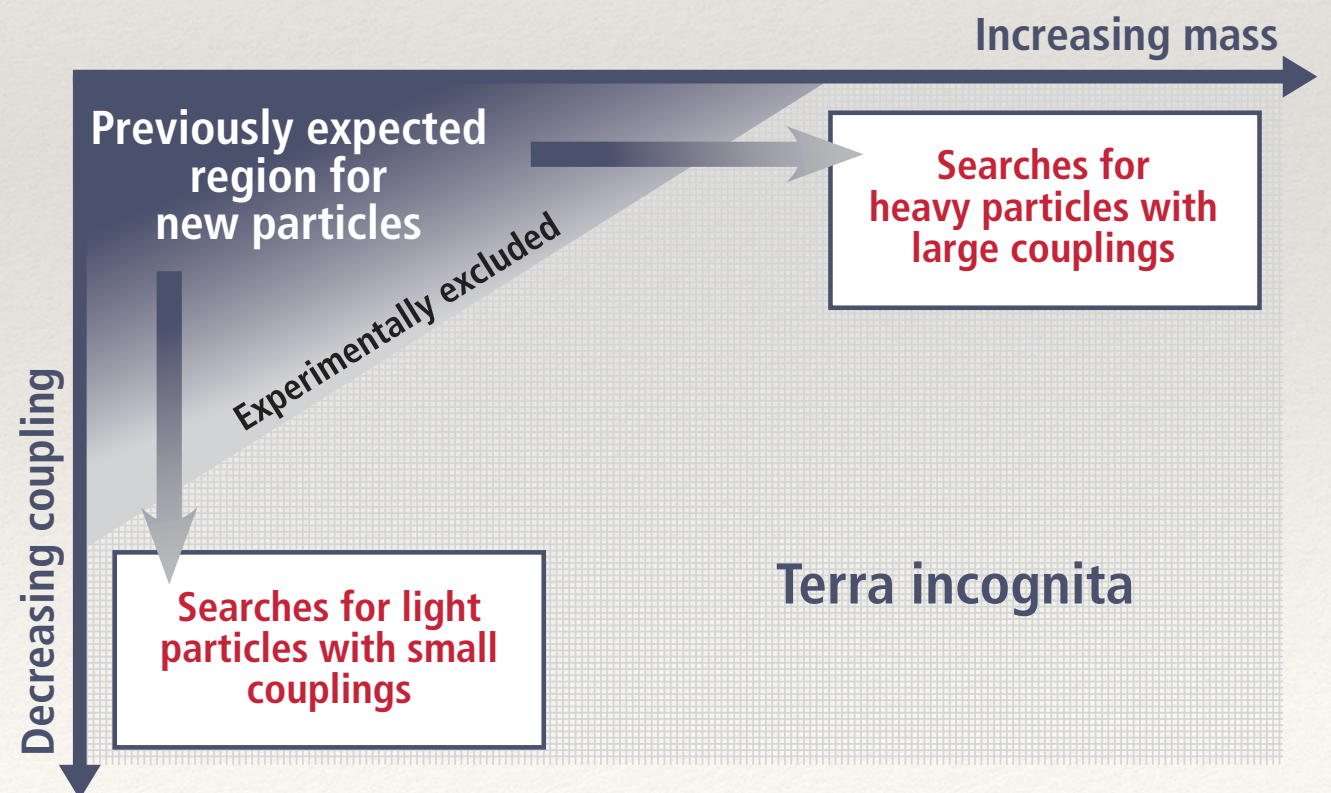
PRISMA Cluster of Excellence  
Johannes Gutenberg University Mainz





# Beyond the SM

- ❖ Direct searches for new heavy particles at LHC have so far not led to a discovery
- ❖ While naturalness remains main motivation for thinking about future energy-frontier machines, one observes a shift of focus on indirect NP searches and searches for light, exotic particles (dark photons, axions, ALPs, ...)





# SMEFT

- ❖ Indirect searches for heavy new physics are performed in context of systematic SM extension as an effective field theory:

[Weinberg 1979; Wilczek, Zee 1979;  
Buchmüller, Wyler 1986; Leung, Love, Rao 1986;  
Grzadkowski, Iskrzynski, Misiak, Rosiek 2010]

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda_W} \mathcal{O}_W^{(D=5)} + \sum_{i=1}^{\text{many}} \frac{1}{\Lambda_i^2} \mathcal{O}_i^{(D=6)} + \dots$$

SM without neutrino masses      Neutrino masses and oscillations      Generic new-physics phenomena



# SMEFT

- ❖ Scales  $\Lambda_i$  probed so far appear to be rather large:

Order	Observable	New-physics scale for $g=O(1)$
D=5	Neutrino oscillations	$\Lambda \sim 10^9$ TeV
D=6	Proton decay	$\Lambda > 10^{12}$ TeV
D=6	Flavor physics	$\Lambda > 1\text{--}10^5$ TeV
D=6	EWPT	$\Lambda > 1$ TeV
D=6	Higgs couplings	$\Lambda > 0.5\text{--}1$ TeV



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# Beyond the SM ?

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- ❖ No solution yet to hierarchy problem (SUSY ???)
- ❖ No answers yet to other big questions of our field:
  - ▶ Nature of Dark Matter?
  - ▶ Origin of matter-antimatter asymmetry?
  - ▶ Explanation of flavor puzzle?
  - ▶ Dark energy / cosmological constant and strong CP problems
- ❖ While the field waits for clues, remarkable things are happening in the flavor sector!



# B-meson flavor anomalies: Violations of lepton universality ?

Leptons			
mass →	$<2.2 \text{ eV}/c^2$	$<0.17 \text{ MeV}/c^2$	$<15.5 \text{ MeV}/c^2$
charge →	0	0	0
spin →	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
name →	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino
	$0.511 \text{ MeV}/c^2$ -1 $\frac{1}{2}$ $e$ electron	$105.7 \text{ MeV}/c^2$ -1 $\frac{1}{2}$ $\mu$ muon	$1.777 \text{ GeV}/c^2$ -1 $\frac{1}{2}$ $\tau$ tau
	I	II	III



# B-meson flavor anomalies

- ❖ Intriguing hints of anomalies in B decays entered stage starting in 2012 ( $R_D$ ,  $R_{D^*}$ ;  $R_K$ ,  $R_{K^*}$ ;  $P_5'$ , ...)

$$R_{D^{(*)}} = \frac{\Gamma(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu})}{\Gamma(\bar{B} \rightarrow D^{(*)} \ell \bar{\nu})}; \quad \ell = e, \mu$$

$$R_{K^{(*)}} = \frac{\Gamma(\bar{B} \rightarrow \bar{K}^{(*)} \mu^+ \mu^-)}{\Gamma(\bar{B} \rightarrow \bar{K}^{(*)} e^+ e^-)}$$

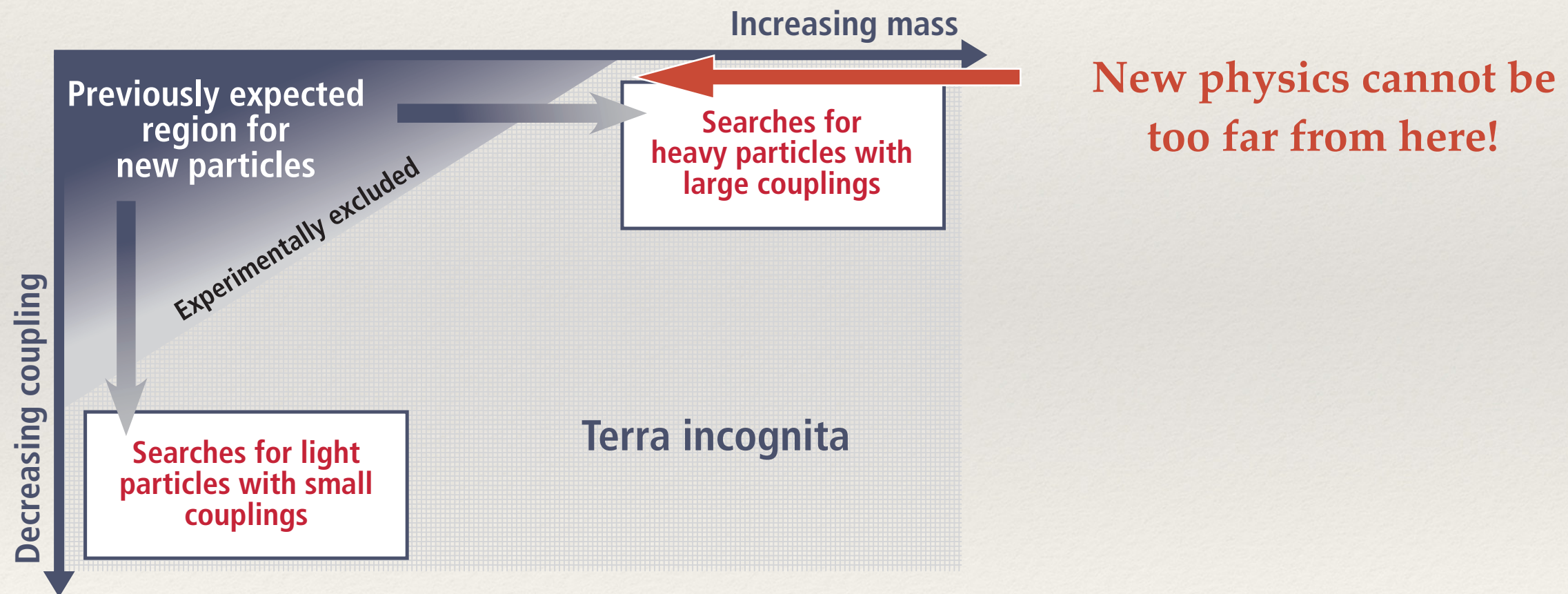
→ see talk by Stefanie Reichert for details on experimental analyses

- ❖ If true, they would be hugely important for the future development of high-energy particle physics at large!
- ❖ In fact, their importance cannot be overstated ...



# B-meson flavor anomalies

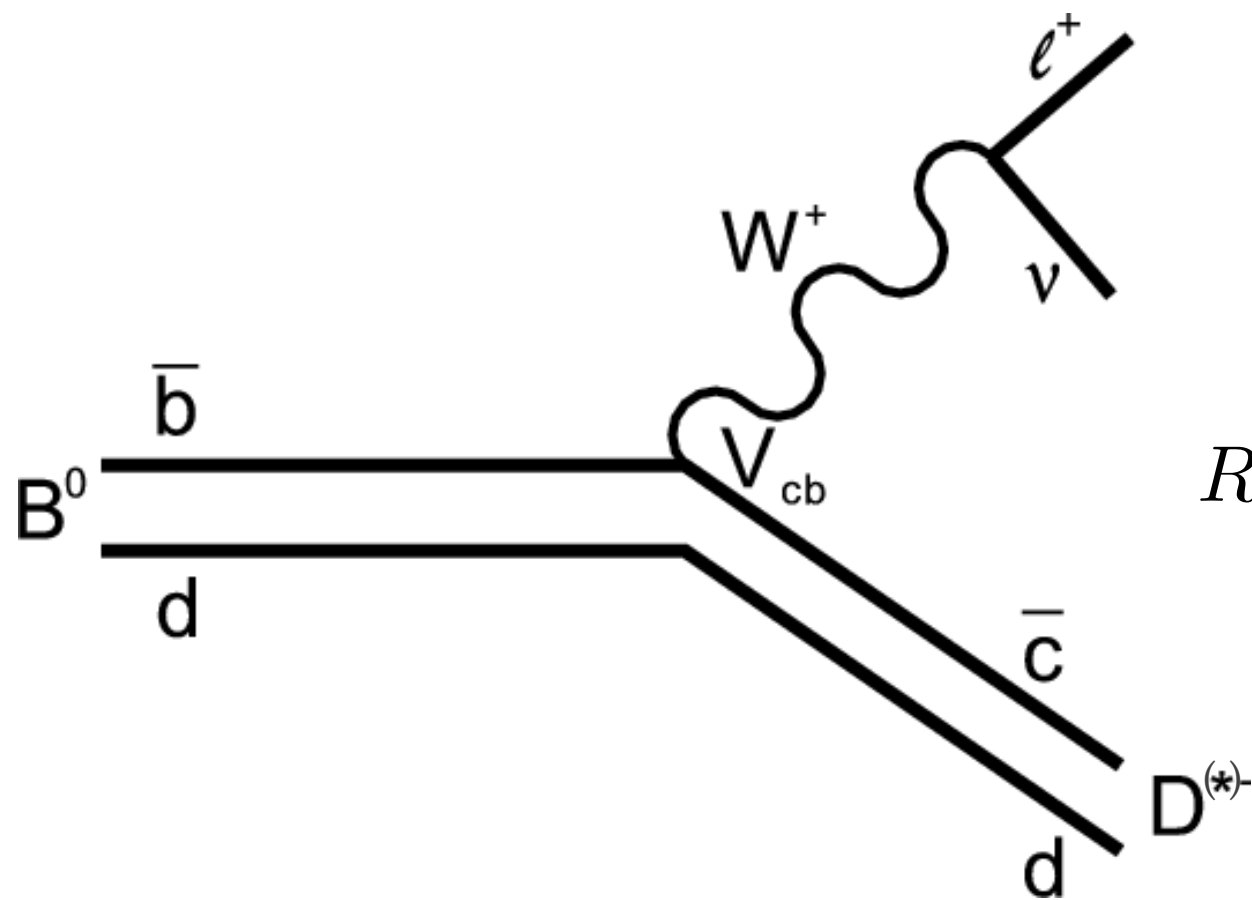
- ❖ ... as they would give a clear target for future searches at energy frontier!





# Flavor anomalies: $R_D$ & $R_{D^*}$

- ❖ A totally unexpected signal of new physics in tree-level, CKM-favored, semileptonic decays of B mesons:

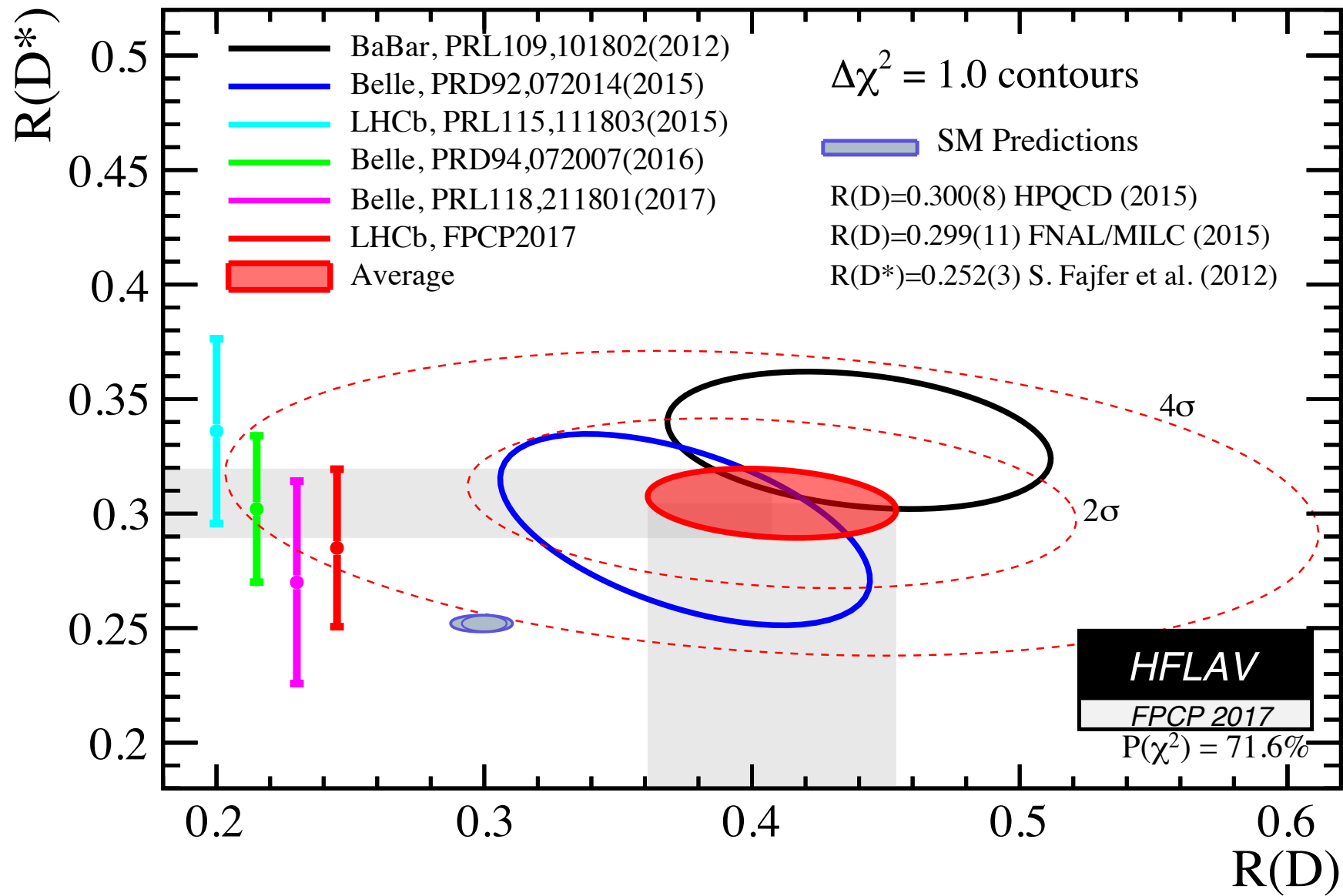


$$R_{D^{(*)}} = \frac{\Gamma(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu})}{\Gamma(\bar{B} \rightarrow D^{(*)} \ell \bar{\nu})} ; \quad \ell = e, \mu$$

→ see parallel session talk by D. Bardhan  
(Monday afternoon)



# Flavor anomalies: $R_D$ & $R_{D^*}$

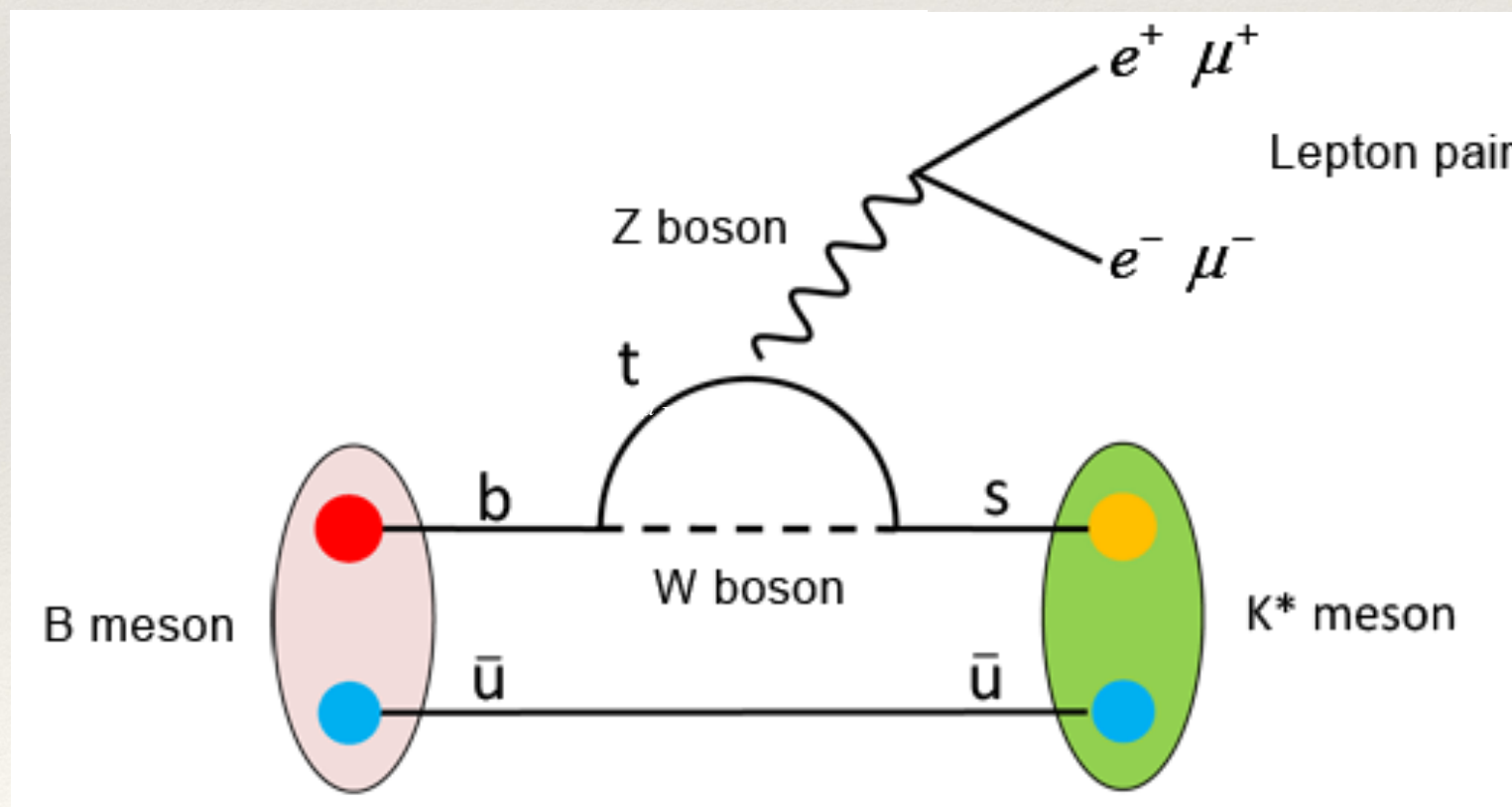


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# Flavor anomalies: $P_5'$ etc.

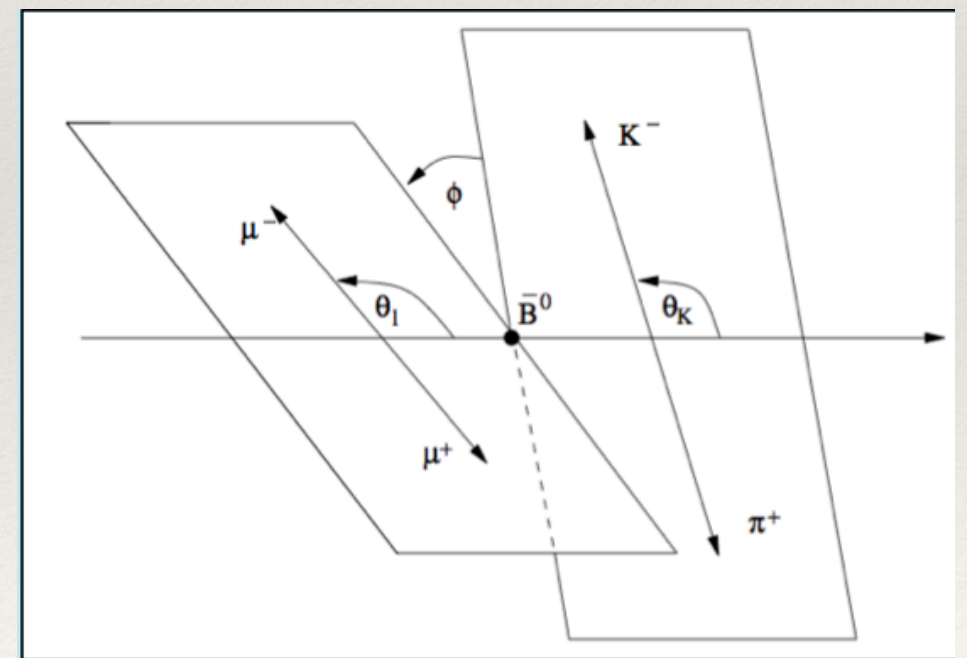
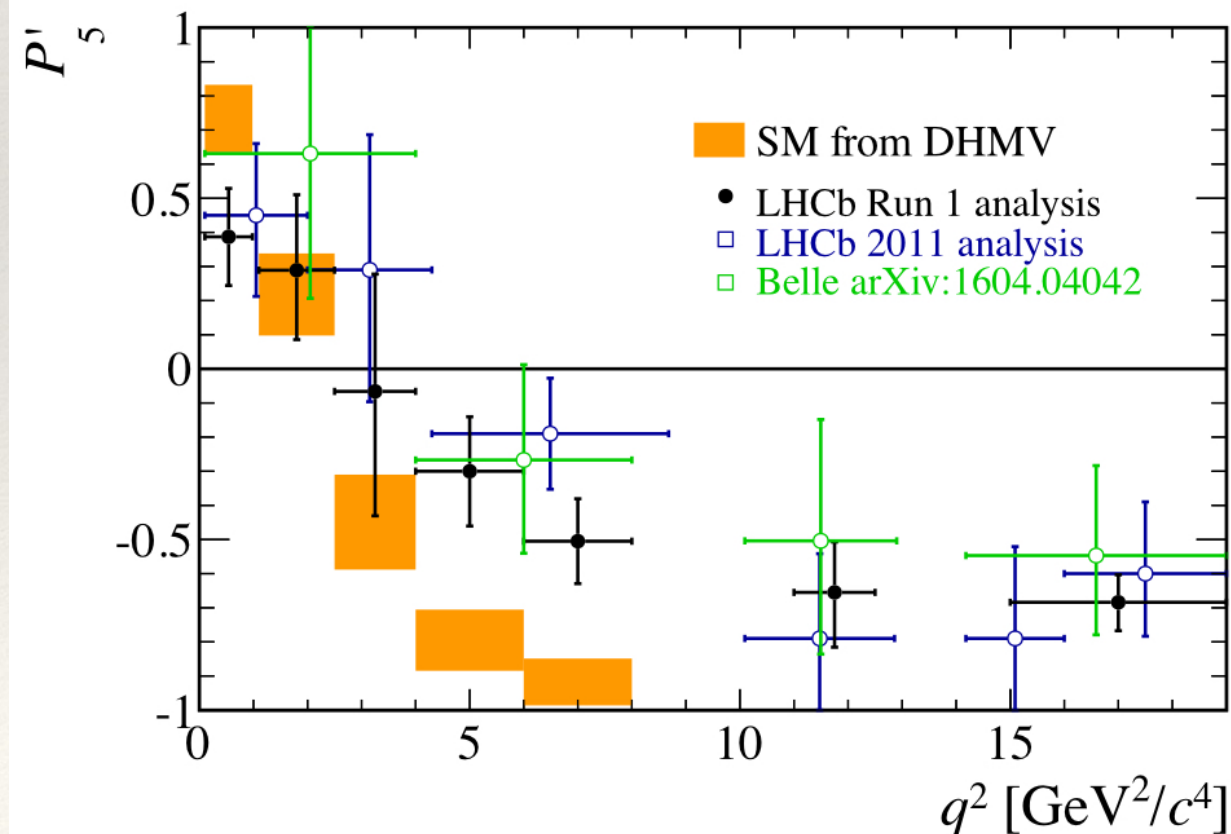
- ❖ Various hints of new physics in decays  $\bar{B} \rightarrow K^* \ell^+ \ell^-$
- ❖ Being rare, loop-mediated FCNC processes, these were prime observables to probe for BSM effects





# Flavor anomalies: $P_5'$ etc.

- ❖ Several angular observables measured as functions of  $q^2$
- ❖ Some, like  $P_5'$ , are optimized to be insensitive to hadronic uncertainties: [\[Descotes-Genon, Matias, Ramon, Virto 2012\]](#)

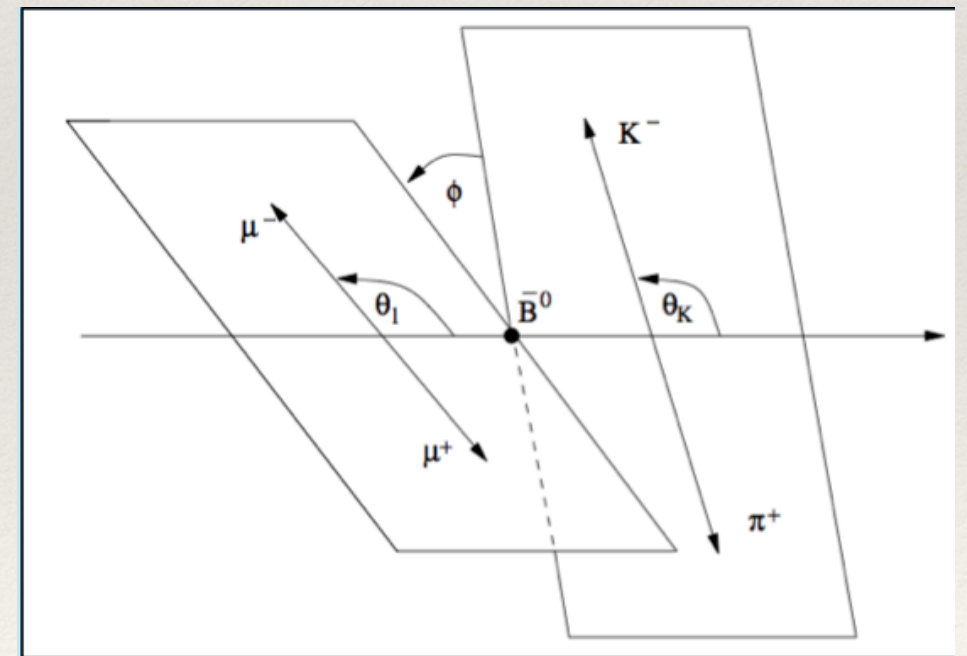
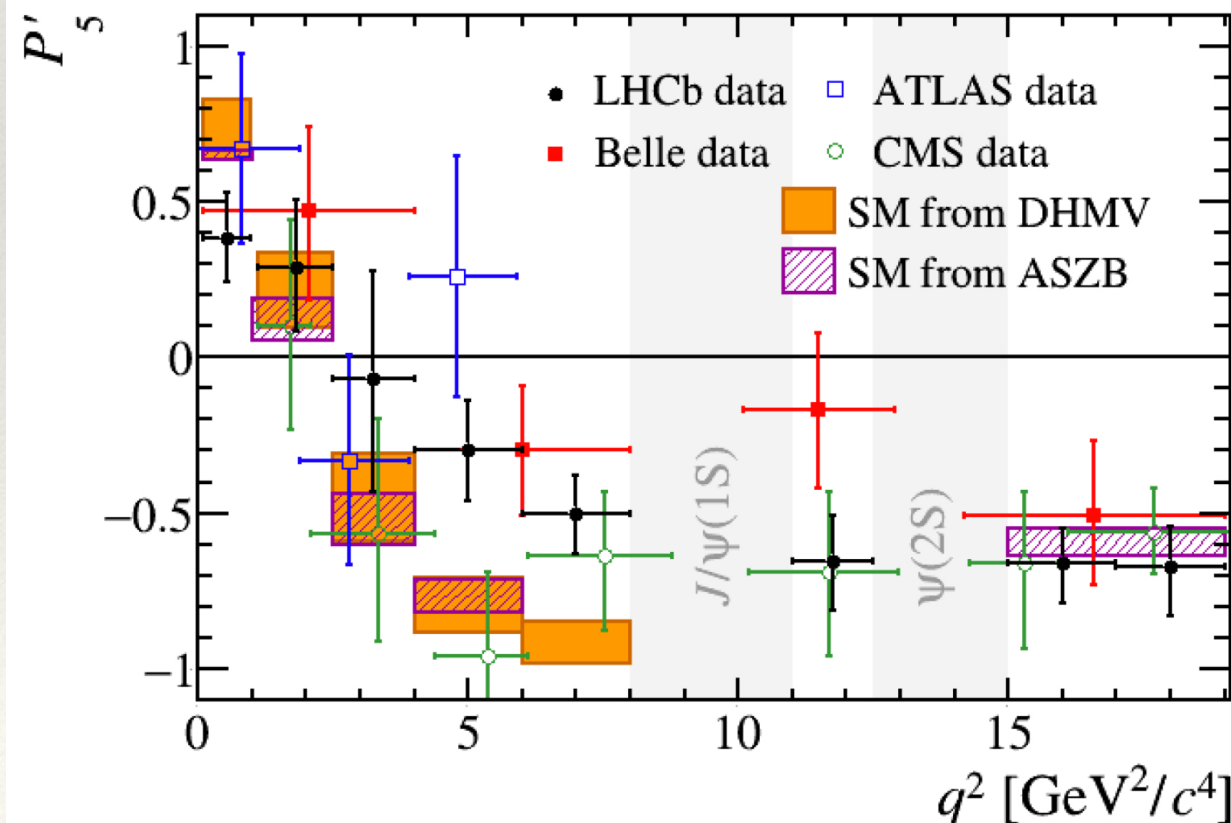


→ see parallel session talk by K. Leslie  
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# Flavor anomalies: $R_K$ & $R_{K^*}$

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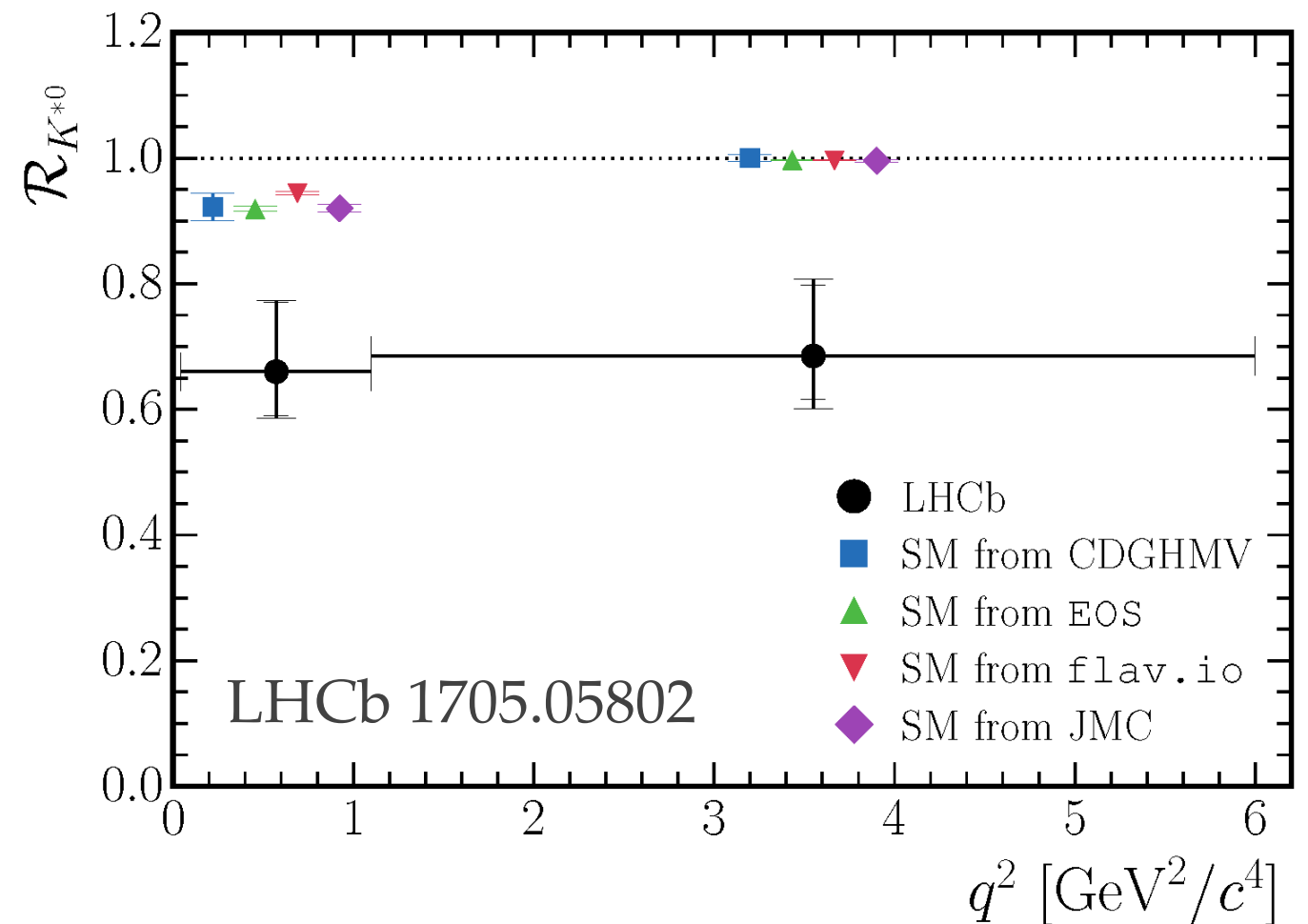
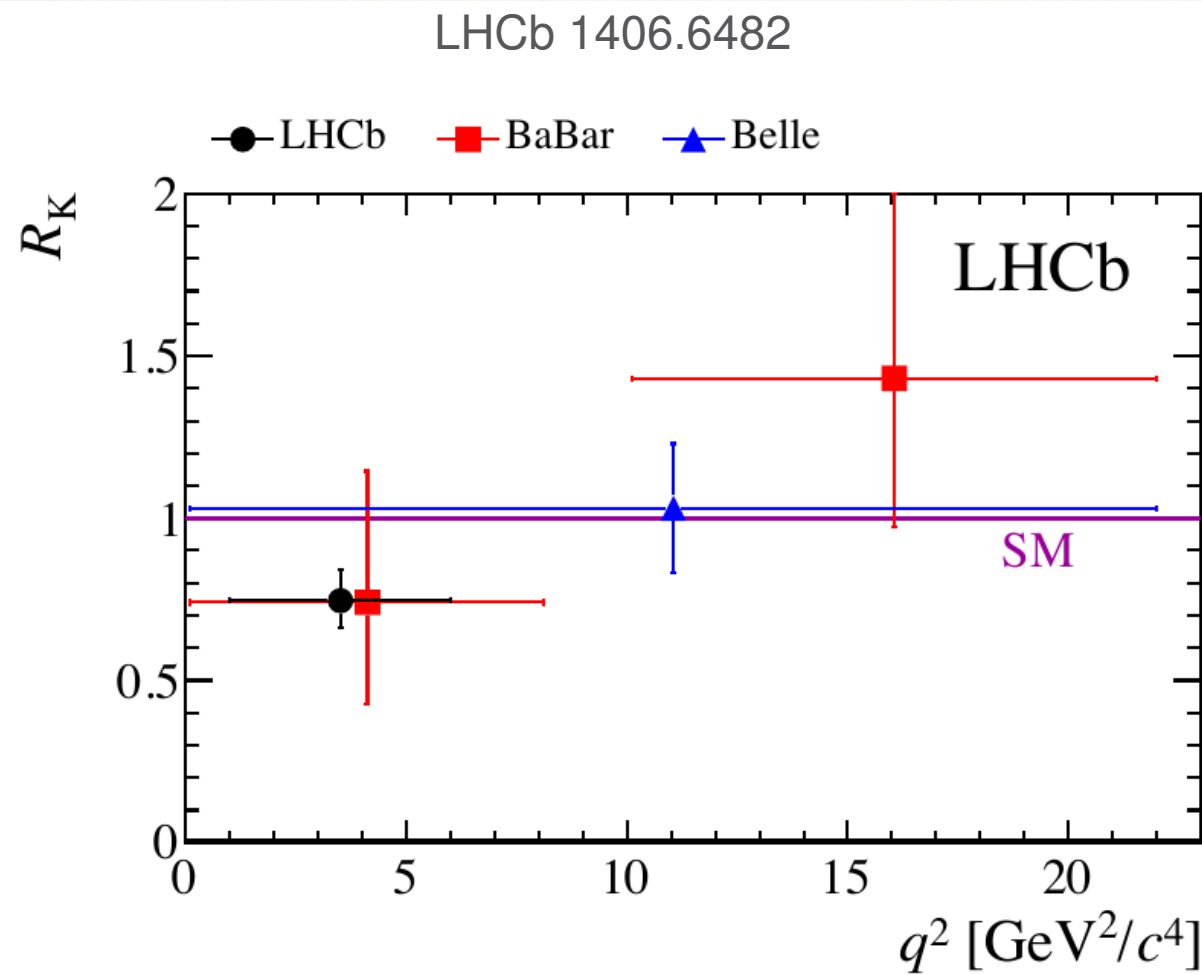
- ❖ Some scenarios explaining the anomalies in angular observables predicted a departure from unity in the ratios:  
[Altmannshofer, Gori, Pospelov, Yavin 2014]

$$R_{K^{(*)}} = \frac{\Gamma(\bar{B} \rightarrow \bar{K}^{(*)} \mu^+ \mu^-)}{\Gamma(\bar{B} \rightarrow \bar{K}^{(*)} e^+ e^-)}$$

- ❖ Quite spectacularly, such deviations were later observed at LHCb!



# Flavor anomalies: $R_K$ & $R_{K^*}$



$$R_{K^{(*)}} = \frac{\Gamma(\bar{B} \rightarrow \bar{K}^{(*)} \mu^+ \mu^-)}{\Gamma(\bar{B} \rightarrow \bar{K}^{(*)} e^+ e^-)}$$



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# B-flavor anomalies: Analysis

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- ❖ Lots of reasons to be excited!
  - ▶ Two different sets of anomalies of very different taste
  - ▶ Several seen by more than one experiment
  - ▶ In case of  $b \rightarrow s\ell^+\ell^-$  several observables deviate from SM predictions, and deviations appear to fit a simple pattern
- ❖ All combined, the most compelling hints for physics beyond the SM we have seen so far



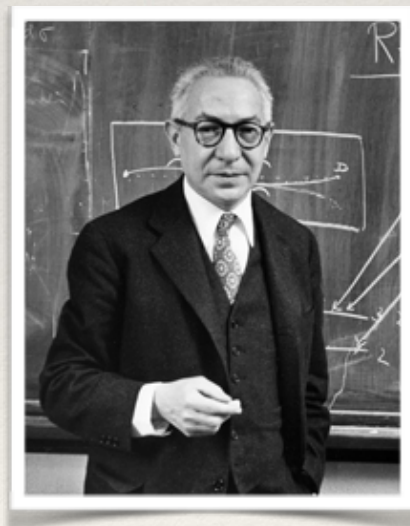
# B-flavor anomalies: Analysis

	$b \rightarrow c l \nu$	$b \rightarrow s l l$
Observables	$R_D, R_{D^*}$	$R_K, R_{K^*}$ , angular distributions
SM	Tree level, CKM favored	One-loop FCNC, GIM suppressed
LFU violation	$\tau$ vs. $e/\mu$	$\mu$ vs. $e$
Caveats	$\tau$ reconstruction difficult, oldest data set (BaBar) shows largest effect discrepancy	Electron reconstruction difficult at LHCb, so far no confirmation by another experiment
Benefits	Solid theory	Solid theory for $R_{K^{(*)}}$ , some caveats for $P_5'$



# Who ordered that?

- ❖ Unexpectedly large new-physics effect!
- ❖ No apparent connection to big questions of our field!
- ❖ Is it good for something else?



(I.I. Rabi)





# Model-independent analyses

- ❖ Effective weak Hamiltonian for  $b \rightarrow s\ell^+\ell^-$  transitions, including both SM and NP effects:

$$\mathcal{H}_{\text{eff}}^{\text{NP}} = -\frac{4G_F}{\sqrt{2}}V_{tb}V_{ts}^*\frac{e^2}{16\pi^2}\sum_{i,\ell}(C_i^\ell O_i^\ell + C_i^{\prime\ell} O_i^{\prime\ell}) + \text{h.c.}$$

with:

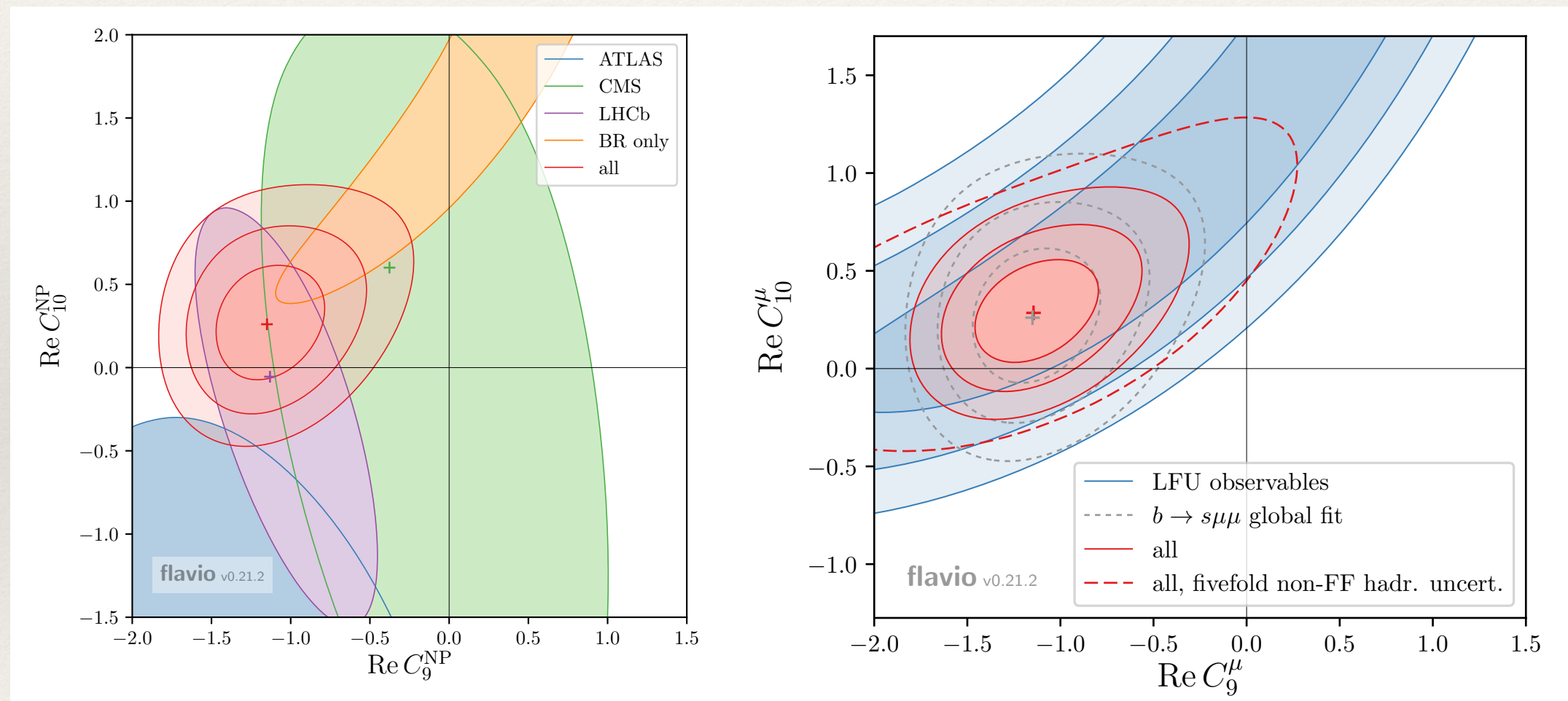
$$\begin{aligned} O_9^\ell &= (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \ell), & O_9^{\prime\ell} &= (\bar{s}\gamma_\mu P_R b)(\bar{\ell}\gamma^\mu \ell) \\ O_{10}^\ell &= (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \gamma_5 \ell), & O_{10}^{\prime\ell} &= (\bar{s}\gamma_\mu P_R b)(\bar{\ell}\gamma^\mu \gamma_5 \ell) \end{aligned}$$

- ❖ Excellent fits obtained with only two NP contributions!
- ❖ Analogous Hamiltonian can be written for  $b \rightarrow c\ell^-\bar{\nu}$



# Model-independent analyses

- ❖ Global fits to data assuming NP for muons only, e.g.:



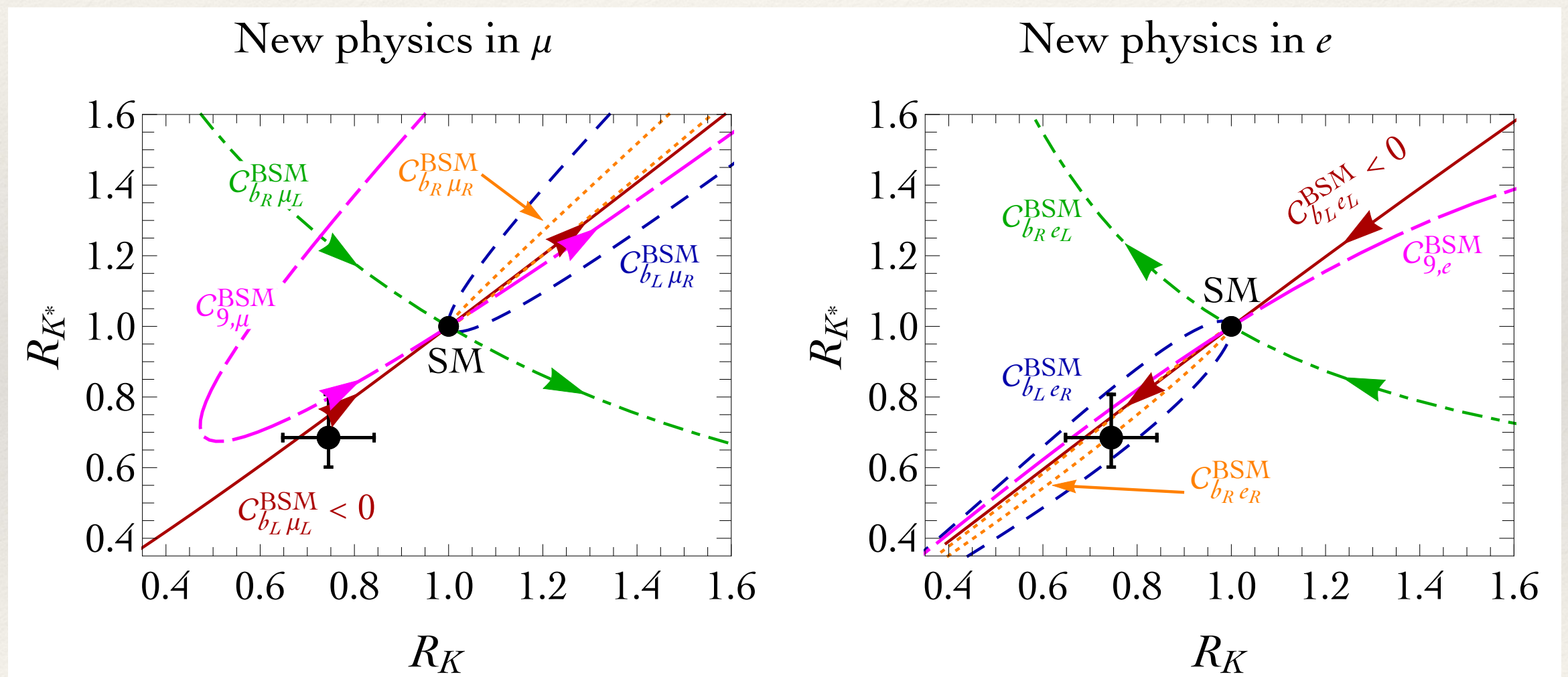
[Altmannshofer, Nies, Stangl, Straub 2017]

[see also: Capdevila, Crivelin, Descotes-Genon, Matias, Virto 2017; Hurth, Mahmoudi, Neshatpour 2016; Ciuchini, Coutinho, Fedele, Franco, Paul, Silvestrini, Valli 2017; ...]



# Model-independent analyses

## ❖ Discriminating power of $R_K$ and $R_{K^*}$ :



[D'Amico, Nardecchia, Panci, Sannino, Strumia, Torre, Urbano 2017;  
Geng, Grinstein, Jäger, Martin Camalich, Ren, Shi 2017]



# Model building

- ❖ Several (but not all) models aim at explaining all anomalies, sometimes along with  $(g-2)_\mu$  (optimistic 😊)

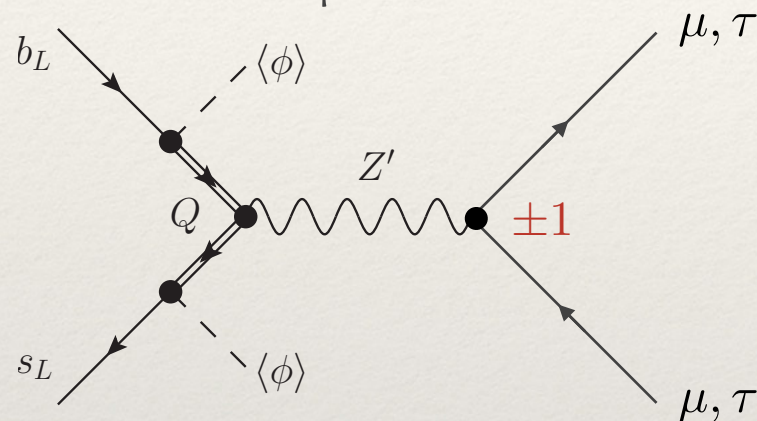
[Bhattacharya, Datta, London, Shivashankara 2014; Alonso, Grinstein, Martin Camalich 2015; Greljo, Isidori, Marzocca 2015; Calibbi, Crivellin, Ota 2015; Bauer, MN 2015; Fajfer, Kosnik 2015; Barbieri, Isidori 2015; Das, Hati, Kumar, Mahajan 2016; Boucenna, Celis, Fuentes-Martin, Vicente, Virto 2016; Becirevic, Kosnik, Sumensari, Zukanovich Funchal 2016; Becirevic, Fajfer, Kosnic, Sumensari 2016; Hiller, Loose, Schoenwald 2016; Bhattacharya, Datta, Guevin, London, Watanabe 2016; Buttazzo, Greljo, Isidori, Marzocca 2016; Barbieri, Murphy, Senia 2016; Bordone, Isidori, Trifinopoulos 2017; Crivellin, Müller, Ota 2017; Megias, Quiros, Salas 2017; Cai, Gargalionis, Schmidt, Volkas 2017; ...]

- ❖  $R_D$  and  $R_{D^*}$  require tree-level NP near TeV scale
- ❖ Rare decays  $b \rightarrow s \ell^+ \ell^-$  ( $R_K$ ,  $R_{K^*}$ ,  $P_5'$ , ...) require suppressed NP contributions
- ❖ If common origin: suppression either dynamically or by means of a symmetry



# Model building

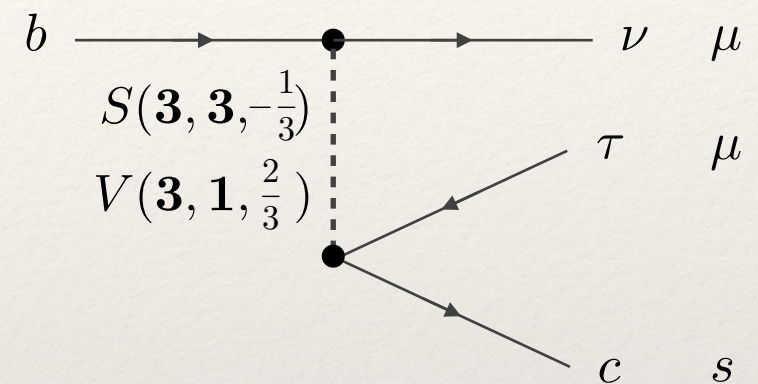
- ❖ New colorless bosons, e.g.  $Z'$  coupled to  $(L_\mu - L_\tau)$ :



[Altmannshofer, Gori, Pospelov, Yavin 2014]

- ▶  $Z'$  mass in low TeV range, heavy vector-like quarks  $\sim$  tens of TeV
- ▶ Can explain  $P_5'$  and predicted LFU violation in  $R_K$  and  $R_{K^*}$
- ▶ Tree-level contribution to B-meson mixing is problematic

- ❖ Scalar / vector leptoquarks, e.g.:



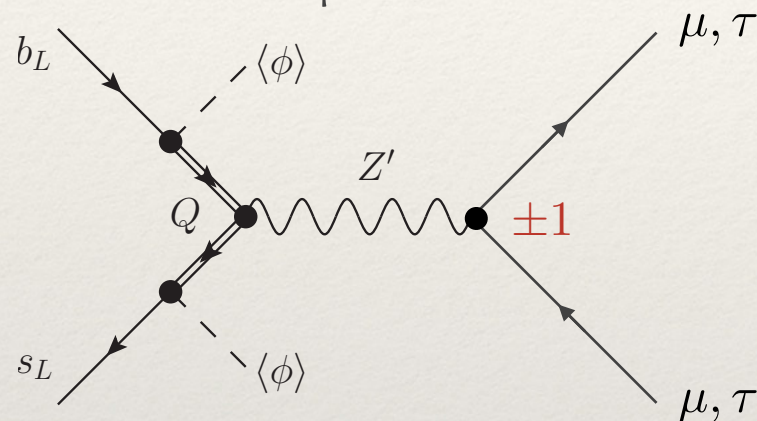
[Hiller, Schmaltz 2014; Alonso, Grinstein, Martin Camalich 2015; Freytsis, Ligeti, Ruderman 2015]

- ▶ Can explain both  $R_{D^{(*)}}$  and  $R_{K^{(*)}}$  at tree-level
- ▶ Very large hierarchy in coupling parameters (flavor symmetry?)
- ▶ Constraints from B mixing and  $B \rightarrow K^{(*)} \nu \nu$ ,  $B \rightarrow K^{(*)} \tau^+ \tau^-$



# Model building

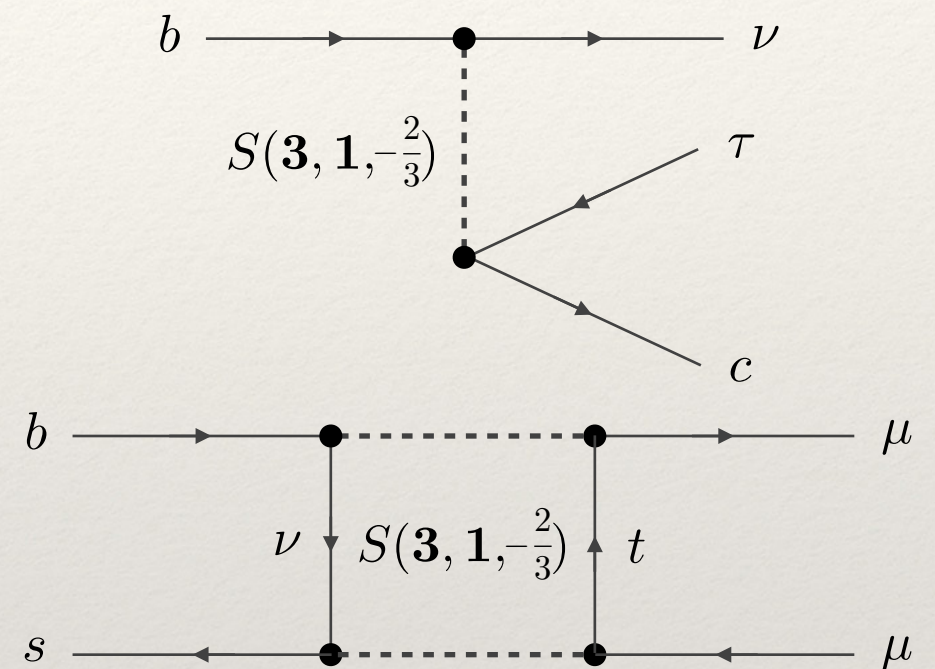
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- ▶ Tree-level contribution to B-meson mixing is problematic

- ❖ Scalar  $SU(2)_L$  singlet LQ ( $\hat{=} \tilde{b}_R$ ):



[Bauer, MN 2015; Cai, Gargalionis, Schmidt, Volkas 2017]

- ▶ Explains  $R_{D^{(*)}}$  at tree-level but  $R_{K^{(*)}}$  at one-loop level, like SM
- ▶ CKM-like hierarchy in coupling parameters



# Model building

- ❖ Interesting framework for addressing all anomalies:  
[Buttazzo, Greljo, Isidori, Marzocca 2017]
  - ▶ Assume that NP only couples to LHD quarks and leptons:  
$$\mathcal{H}_{\text{NP}} = \frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[ C_T (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j) (\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta) + C_S (\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{L}_L^\alpha \gamma^\mu L_L^\beta) \right]$$
  - ▶ Hypothesis that NP couples primarily to 3<sup>rd</sup> generation fermions explains enhancement of  $b \rightarrow c\tau\bar{\nu}$  over  $b \rightarrow s\mu^+\mu^-$  and absence of anomalies in K,  $\pi$ ,  $\tau$  decays [Glashow, Guadagnoli, Lane 2014]
  - ▶ Impose flavor structure governed by minimally broken  $U(2)_q \times U(2)_l$  flavor symmetry: [Barbieri, Isidori, Jones-Perez, Lodone, Straub 2011]

$$\lambda_{sb}^q \sim V_{cb}, \quad \lambda_{\tau\mu}^\ell \sim V_{\tau\mu}, \quad \lambda_{\mu\mu}^\ell \sim V_{\tau\mu}^2$$



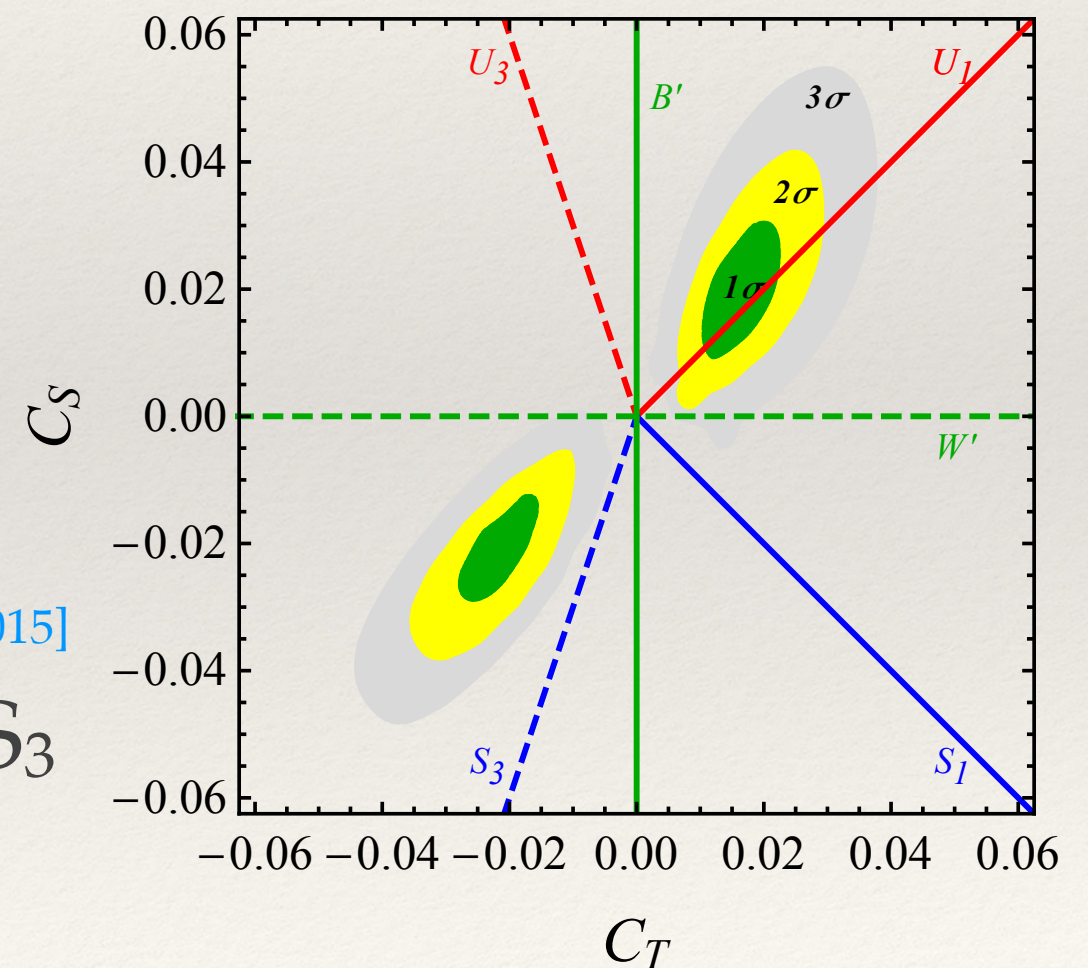
# Model building

## ❖ Possible mediators:

- ▶ Colorless vector bosons  $B', W'$  (disfavored, since they yield tree-level  $\Delta F=2$  amplitudes)
- ▶  $SU(2)_L$  singlet / triplet vector LQ
- ▶  $SU(2)_L$  singlet / triplet scalar LQ

## ❖ Favored models feature vector leptoquark $U_1$ [Barbieri, Isidori, Pattori, Senia 2015] or two scalar leptoquarks $S_1$ & $S_3$

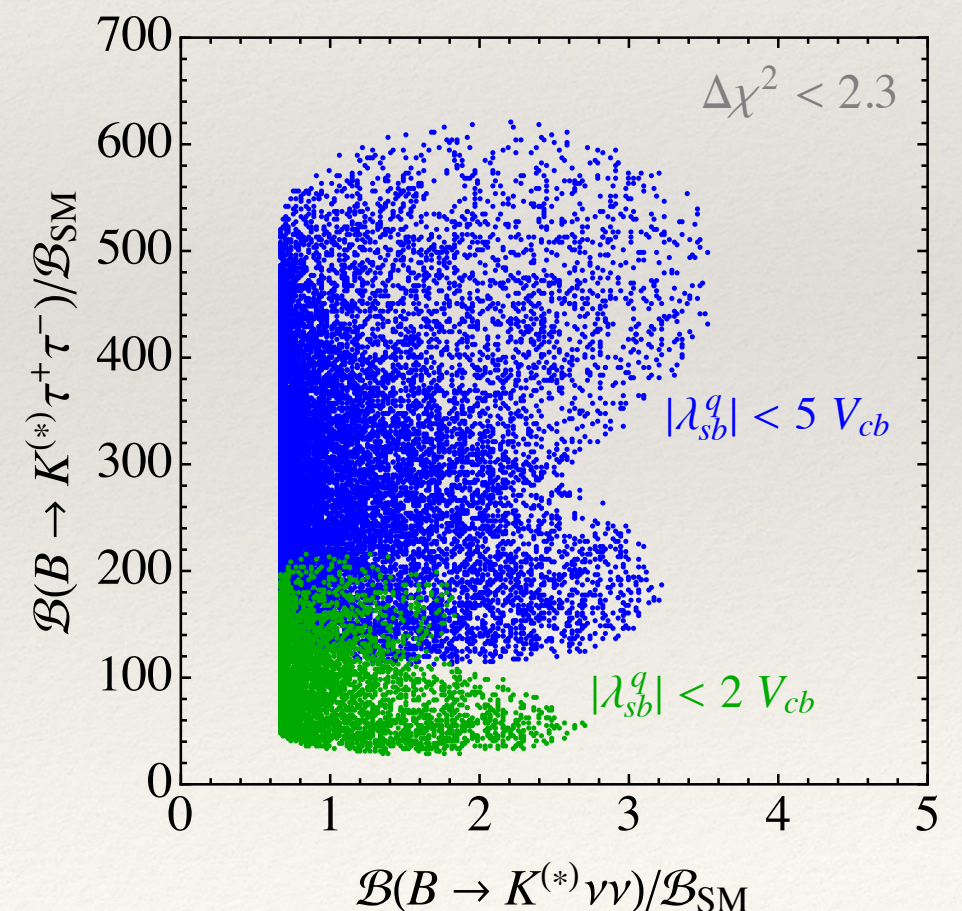
[Dorsner, Fajfer, Greljo, Kamenik, Kosnik 2016;  
Crivellin, Müller, Ota 2017]





# Model building

- ❖ Besides flavor physics, additional constraints from precision measurements of  $\tau$  decays and Z couplings, as well as  $pp \rightarrow \tau^+ \tau^- X$  [Faroughy, Greljo, Kamenik 2016] [Feruglio, Paradisi, Patteri 2017]
- ❖ Smoking-gun signature: enhancement of  $B \rightarrow K^{(*)} \tau^+ \tau^-$  branching ratio by factor  $> 100$  [Buttazzo, Greljo, Isidori, Marzocca 2017]





# Emergence of a bigger picture?

- ❖ Required new particles in low TeV range, precisely where we (now) expect a solution to the hierarchy problem!
- ❖ Leptoquarks can arise from GUTs, neutrino mass models, SUSY models, or as pNGBs [Popov, White 2016]
- ❖ E.g.: Composite Higgs models with partial fermion compositeness: [Buttazzo, Greljo, Isidori, Marzocca 2016; Barbieri, Murphy, Senia 2016; ...]
  - Address hierarchy and flavor problems at  $\sim 10$  TeV, light scalar leptoquarks ( $\sim$  TeV) as pNGBs
  - Interesting challenges for model building!



# Emergence of a bigger picture?

- ❖ Data may teach us an important lesson:
  - Complementarity of different fields (flavor was sometimes considered irrelevant in the LHC era ... )!
  - Intimate connection between flavor and high- $p_T$  physics!
- ❖ Imagine the LHC legacy:
  - Discovery of the Higgs boson (2012)
  - Discovery of lepton-flavor non-universality (2019)
  - Discovery of predicted leptoquarks / colorless bosons (202?)
  - Embedding in a consistent theory of flavor and EWSB (20??)



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# Conclusions

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- ❖ If confirmed, the B-meson flavor anomalies are perhaps the most important discovery in particle physics since the discovery of the weak gauge bosons
  - ▶ Point to existence of new heavy particles in few-TeV range
  - ▶ Possibly, these might be connected to a fundamental theory of electroweak symmetry breaking and flavor
  - ▶ Strong physics case for future high-energy colliders
- ❖ Independent confirmation of the flavor anomalies by Belle II is as crucial as refining current LHCb analyses