Collectivity and flow in small systems at RHIC PHENIX perspectives

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1980s and 1990s—AGS and SPS... QGP at SPS!

Early 2000s—QGP at RHIC! No QGP at SPS. d+Au as control.

Mid-late 2000s—Detailed, quantitative studies of strongly coupled QGP. d+Au as control.

2010—Ridge in high multiplicity p+p (LHC)! Probably CGC!

Early 2010s—QGP in p+Pb!

Early 2010s—QGP in d+Au!

Mid 2010s and now-ish—QGP in high multiplicity p+p? QGP in mid-multiplicity p+p?? QGP in d+Au even at low energies???

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"Twenty years ago, the challenge in heavy ion physics was to find the QGP. Now, the challenge is to not find it." —Jürgen Schukraft, QM17

Particle production in small systems

-Final state effects are observed

-Photon modification consistent with QGP formation

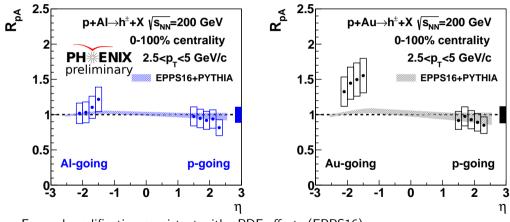
Small systems geometry scan

- -Observation that correlations are geometrical in origin
- —Data well-reproduced by hydro
- -CGC calculations somewhat describe the data

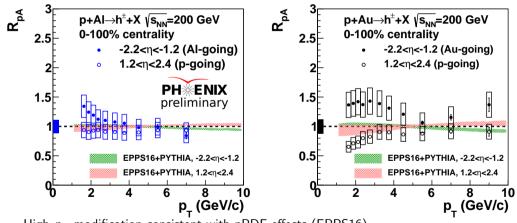
Small systems energy scan

- —Similar correlations for all energies
- -Non-trivial fluctuations

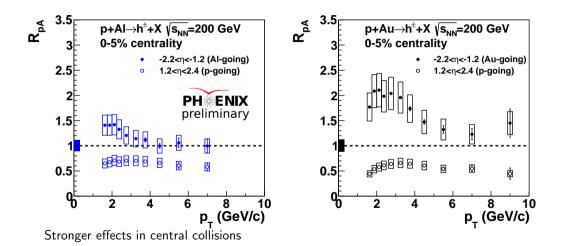
Particle production in small systems

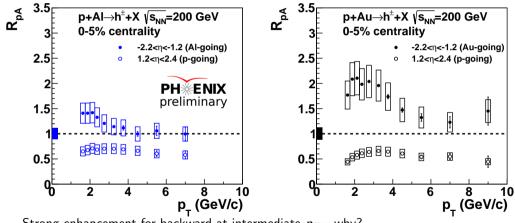


Forward modification consistent with nPDF effects (EPPS16)

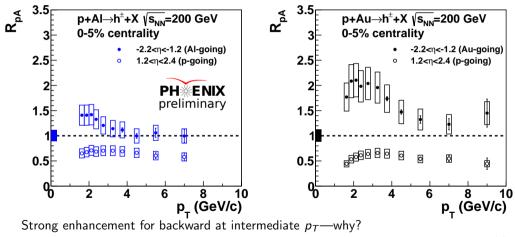


High- p_T modification consistent with nPDF effects (EPPS16)





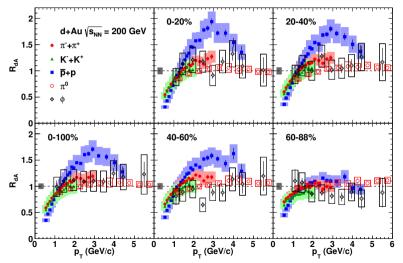
Strong enhancement for backward at intermediate p_T —why?



Don't forget: particle species dependence of Cronin! There must be final state effect(s)...

Particle species dependence of "Cronin enhancement"

PHENIX, Phys. Rev. C 88, 024906 (2013)

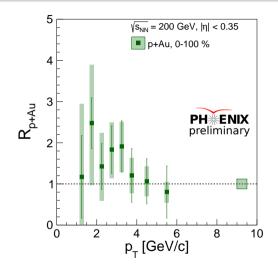


$$\pi^+, \pi^-, \pi^0, K^-, K^-, \mu, \bar{\rho}, \bar{\rho}, \phi$$

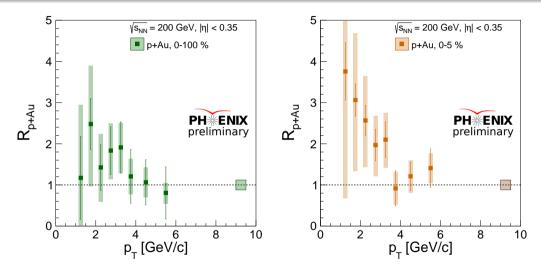
Protons much more strongly modified than pions

 ϕ mesons confusing as always...

Photons in small systems

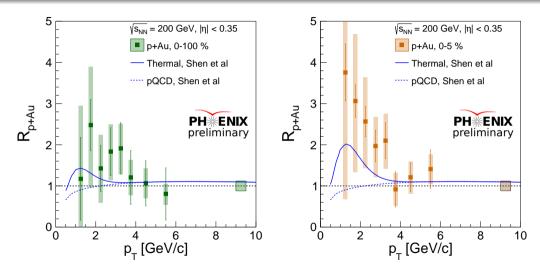


Photons in small systems



Thermal photons in p+Au?

Photons in small systems



Thermal photons in p+Au? Theory from Phys. Rev. C 95, 014906 (2017)

Strong modifications at forward & backward rapidities

-Not nPDF effects alone

-Additional initial state effects possible (e.g. the usual multiple scattering)

Nuclear modification strongly dependent on particle species

-Must be final state effect(s)

-Hadronization, radial flow, etc...

Observation of low- p_T enhancement of photons —Consistent with QGP formation in small systems —Other explanations possible Small systems geometry scan

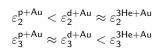
Testing hydro by controlling system geometry

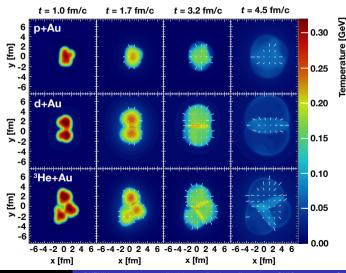
arXiv:1805.02973, submitted to Nature Physics

Hydrodynamics translates initial geometry into final state

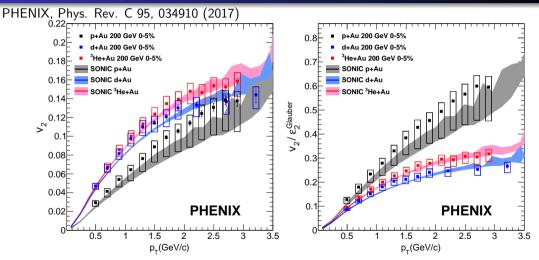
Test hydro hypothesis by varying initial state

	ε_2	ε_3
p+Au	0.24	0.16
p+Au d+Au ³He+Au	0.57	0.17
³ He+Au	0.48	0.23
	1	



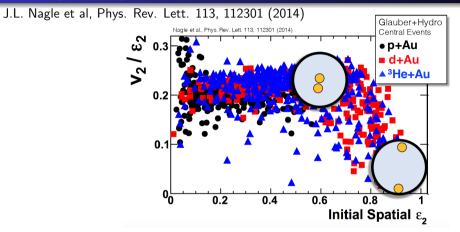


Small systems geometry scan



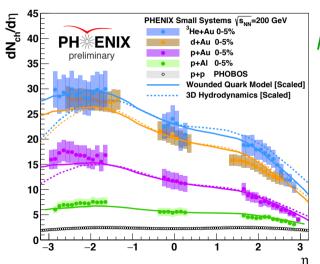
Hydro theory describes the data extremely well Imperfect scaling with ε_2 captured by hydro—disconnected hot spots

Small systems geometry scan



 v_2/ε_2 relationship breaks for very large ε_2 The hydro hotspots are so far apart that they never connect —Efficiency to translate ε_2 into v_2 goes down

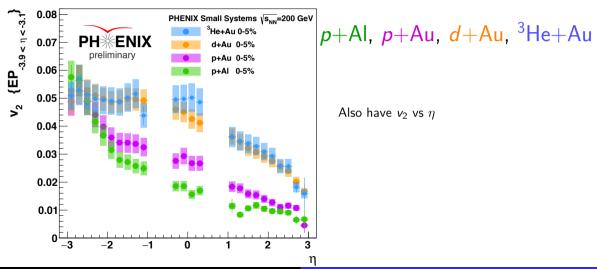
Longitudinal dynamics in small systems



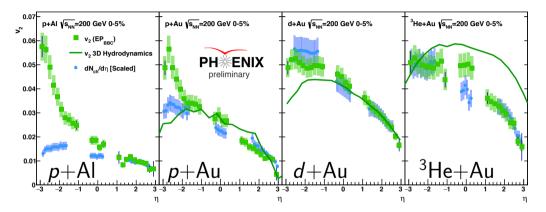
p+Al, p+Au, d+Au, ³He+Au

Good agreement with wounded quark model Good agreement with 3D hydro

Longitudinal dynamics in small systems



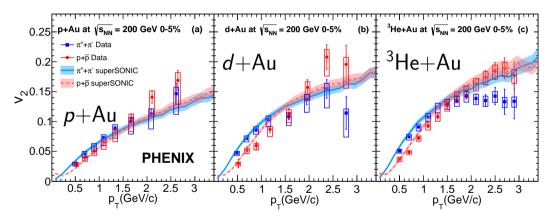
Longitudinal dynamics in small systems



Good agreement with 3D hydro for p+Au and d+Au Apparent scaling between v_2 and $dN_{ch}/d\eta$ —coincidence?

Small systems geometry scan

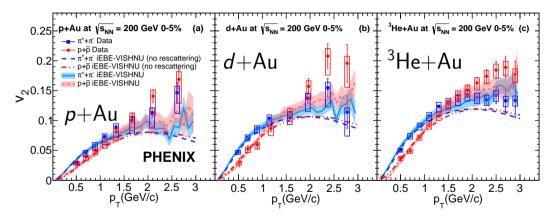
arXiv:1710.09736, accepted by Phys. Rev. C



Identified particle v_2 vs p_T in p+Au, d+Au, and ³He+Au —Mass ordering well-described by hydro

Small systems geometry scan

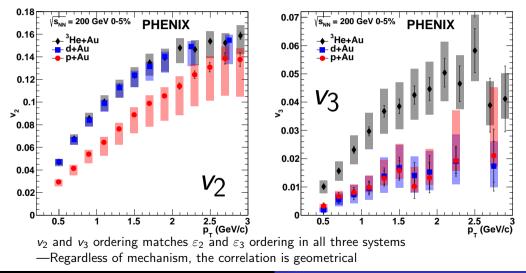
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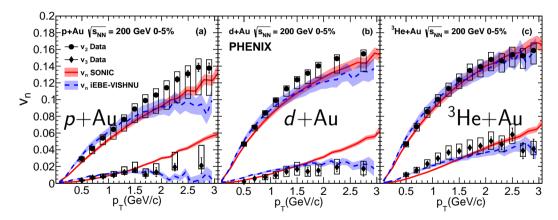
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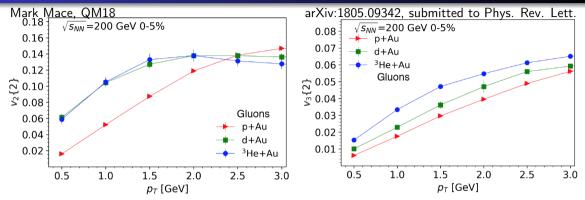
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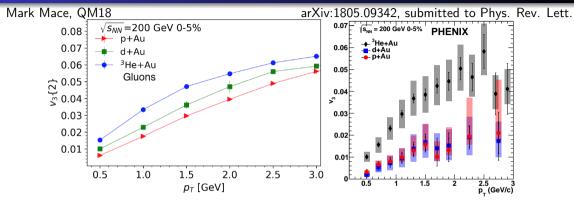
 v_2 and v_3 vs $p_{\mathcal{T}}$ described very well by hydro in all three systems —Strongly suggests QGP droplets in hydro evolution

CGC results on small systems



New for QM18: full calculation using dilute-dense framework, v_2 and v_3 for small systems geometry scan

CGC results on small systems



New for QM18: full calculation using dilute-dense framework, v_2 and v_3 for small systems geometry scan

 v_3 ordering is not quite right

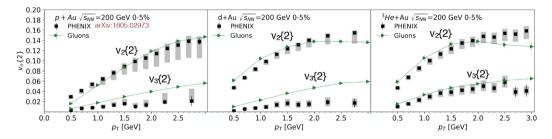
-CGC:
$$p$$
+Au $< d$ +Au $< {}^{3}$ He+Au

—Data: $p+Au \approx d+Au < {}^{3}He+Au$

CGC results on small systems

Mark Mace, QM18

arXiv:1805.09342, submitted to Phys. Rev. Lett.



 v_2 is quite close for the three systems v_3 is rather far off

Comprehensive set of measurements for longitudinal dynamics

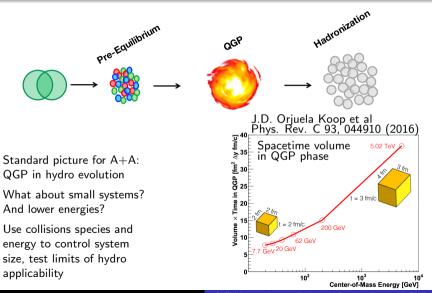
 v_2 and v_3 match ε_2 and ε_3 ordering in p+Au, d+Au, ³He+Au —Correlation is definitively geometrical in origin

 v_2 and v_3 in p+Au, d+Au, ³He+Au are well-described by hydro theory —Strongest evidence to date for QGP formation in small systems

New CGC calculations show some good agreement with data but also some considerable discrepancies

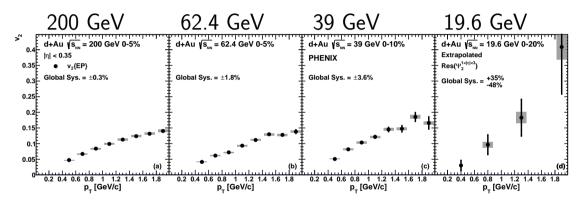
Small systems beam energy scan

Testing hydro by controlling system size and life time



d+Au beam energy scan

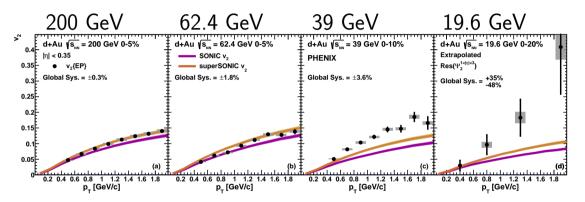
Phys. Rev. C 96, 064905 (2017)



Event plane v_2 vs p_T measured for all energies

d+Au beam energy scan

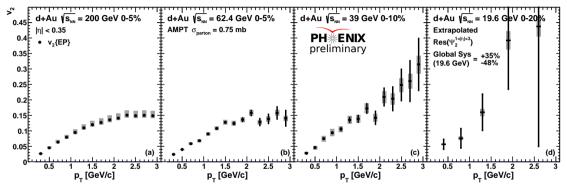
Phys. Rev. C 96, 064905 (2017)



Event plane v_2 vs p_T measured for all energies Hydro theory agrees with higher energies very well, underpredicts lower energies—nonflow?

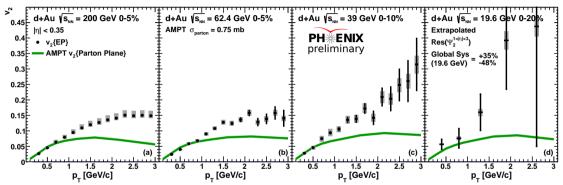
v_2 vs p_T , comparisons to AMPT

Phys. Rev. C 96, 064905 (2017)



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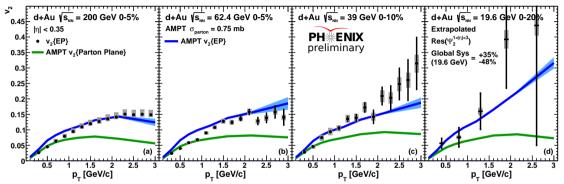
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AMPT flow only shows good agreement at low p_T and all energies

v_2 vs p_T , comparisons to AMPT

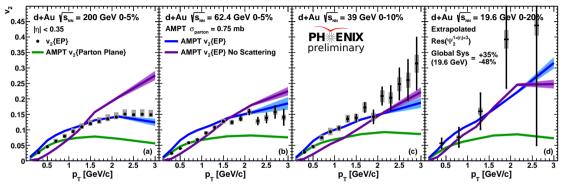
Phys. Rev. C 96, 064905 (2017)



AMPT flow only shows good agreement at low p_T and all energies AMPT flow+non-flow shows reasonable agreement for all p_T and all energies

v_2 vs p_T , comparisons to AMPT

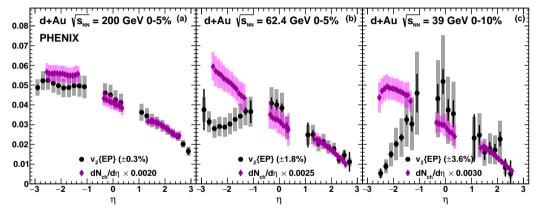
Phys. Rev. C 96, 064905 (2017)



AMPT flow only shows good agreement at low p_T and all energies AMPT flow+non-flow shows reasonable agreement for all p_T and all energies AMPT non-flow only far under-predicts for low p_T , too high for high p_T

v_2 and $dN_{ m ch}/d\eta$ vs η

Phys. Rev. C 96, 064905 (2017)



BBC south (-3.9 < η < -3.1) used to estimate the event plane 200 GeV shows strong forward/backward asymmetry in v_2 and $dN_{\rm ch}/d\eta$ Asymmetry is large for $dN_{\rm ch}/d\eta$ at all energies, but not for v_2

v_2 vs η , comparison with AMPT

n

Phys. Rev. C 96, 064905 (2017) ~ (b) $\ddagger d+Au \sqrt{s_{NN}} = 39 \text{ GeV } 0-10\%$ (a) d+Au $\sqrt{s_{_{NN}}}$ = 62.4 GeV 0-5% d+Au √s,,, = 200 GeV 0-5% 0.08 AMPT $\sigma_{parton} = 0.75 \text{ mb}$ v₂{EP} 0.07 - AMPT v₂{Parton Plane} **PH**^{*}ENIX preliminary 0.06 0.05 0.04 0.03 0.02 0.01 0 3 -3 2 2 2

-2

3

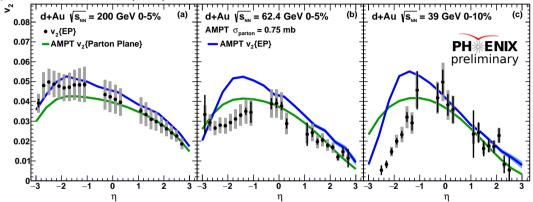
AMPT flow only agrees with mid and forward rapidity very well, misses backward rapidity

n

2

v_2 vs η , comparison with AMPT

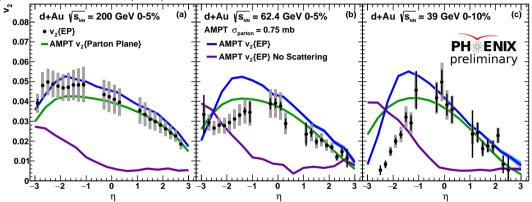
Phys. Rev. C 96, 064905 (2017)



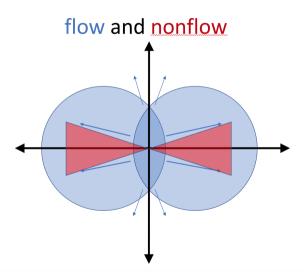
AMPT flow only agrees with mid and forward rapidity very well, misses backward rapidity AMPT flow+non-flow is very similar at mid and forward AMPT flow+non-flow shows striking anti-correlation at backward rapidity

v_2 vs η , comparison with AMPT

Phys. Rev. C 96, 064905 (2017)



AMPT flow only agrees with mid and forward rapidity very well, misses backward rapidity AMPT flow+non-flow is very similar at mid and forward AMPT flow+non-flow shows striking anti-correlation at backward rapidity AMPT non-flow only shows nothing at mid and forward, large v₂ at backward rapidity near the

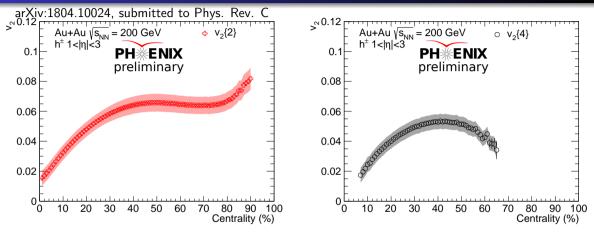


$$egin{aligned} & v_n = \langle \cos(n(\phi_{ ext{some particle}} - \psi_n))
angle \ & v_n^2 = \langle \cos(n(\phi_{ ext{some particle}} - \phi_{ ext{some other particle}}))
angle \end{aligned}$$

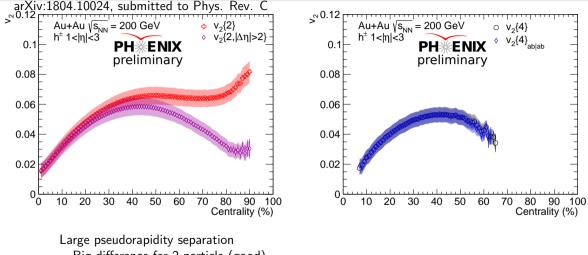
How to deal with "fake flow"? —Kinematics —Combinatorics

$$\begin{aligned} v_n^2 &= \langle \cos(n(\phi_a - \phi_b)) \rangle \\ v_n^4 &= \langle \cos(n(\phi_a + \phi_b - \phi_c - \phi_d)) \rangle \\ v_n^6 &= \langle \cos(n(\phi_a + \phi_b + \phi_c - \phi_d - \phi_e - \phi_f)) \rangle \\ v_n^8 &= \dots \end{aligned}$$

Nonflow approaches in AuAu

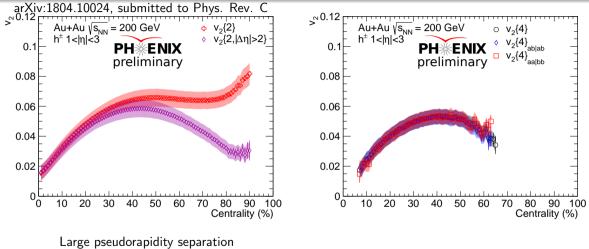


Nonflow approaches in AuAu



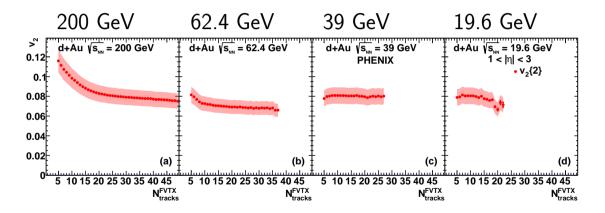
- -Big difference for 2-particle (good)
- -No difference for 4-particle (good)

Nonflow approaches in AuAu

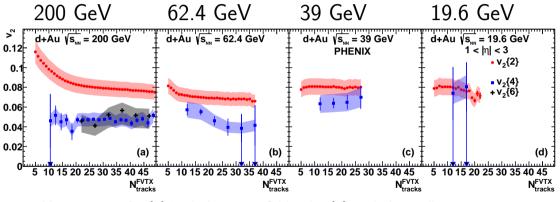


- -Big difference for 2-particle (good)
- -No difference for 4-particle (good)

Phys. Rev. Lett. 120, 062302 (2018)



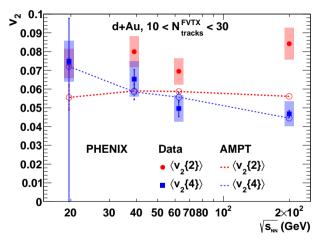
Phys. Rev. Lett. 120, 062302 (2018)



Measurement of $v_2{6}$ in d+Au at 200 GeV and $v_2{4}$ in d+Au at all energies

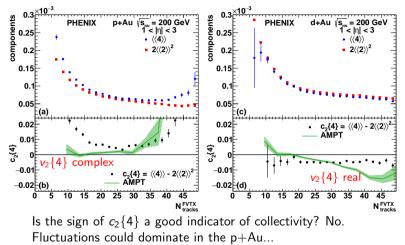
Phys. Rev. Lett. 120, 062302 (2018)

Select 10 < N^{FVTX}_{tracks} < 30, integrate AMPT sees similar trend Fluctuations? Not Bessel-Gaussian Not small-variance limit Need to understand fluctuations better

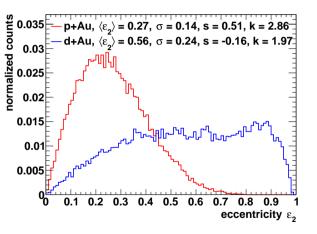


Components and cumulants in p+Au and d+Au at 200 GeV

Phys. Rev. Lett. 120, 062302 (2018)



Eccentricity distributions and cumulants



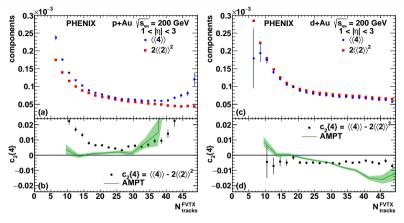
$$\varepsilon_2\{4\} = (\varepsilon_2^4 - 2\varepsilon_2^2\sigma^2 - 4\varepsilon_2s\sigma^3 - (k-2)\sigma^4)^{1/4}$$

	p+Au	a+Au
ε_2^4	0.00531	0.0983
$2\varepsilon_2^2\sigma^2$	0.00277	0.0370
$4arepsilon_2 s\sigma^3$	0.00147	-0.0053
$(k-2)\sigma^4$	0.00031	-0.0001

the variance brings ε_2 {4} down (this term gives the usual $\sqrt{v_2^2 - \sigma^2}$) positive skew brings ε_2 {4} further down, negative skew brings it back up kurtosis > 2 brings ε_2 {4} further down, kurtosis < 2 brings it back up

-recall Gaussian has kurtosis = 3

Eccentricity distributions and cumulants



 $v_2\{4\} = (v_2^4 - 2v_2^2\sigma^2 - 4v_2s\sigma^3 - (k-2)\sigma^4)^{1/4}$

Eccentricity fluctuations alone go a long way towards explaining this Additional fluctuations in the (imperfect) translation of ε_2 to v_2 ?

Measurement of v_2 vs p_T for d+Au at 200, 62.4, 39, and 19.6 GeV —Hydro describes higher two energies well, misses lower two energies —AMPT describes all data well with mix of flow and nonflow

Measurement of v_2 vs η for d+Au at 200, 62.4, and 39 GeV —Hydro theory at lower energies would be very useful —Interesting anticorrelation between flow and nonflow at backward rapidity

Measurement of $v_2{6}$ at 200 GeV and $v_2{4}$ at all four energies —Nonflow should be combinatorially suppressed Highly non-trivial fluctuations

-Highly non-trivial fluctuations

Initial and final state effects are clear in the data ---Which final state effects is perhaps not so clear

Low- p_T photon enhancement observed in p+Au —Consistent with EM radiation from QGP —Other explanations possible

Wealth of data from small systems beam energy and geometry scans —Higher energies described by hydro, all energies described by AMPT —All geometries described by hydro, also somewhat described by CGC Initial and final state effects are clear in the data *—Which* final state effects is perhaps not so clear

Low- p_T photon enhancement observed in p+Au —Consistent with EM radiation from QGP —Other explanations possible

Wealth of data from small systems beam energy and geometry scans —Higher energies described by hydro, all energies described by AMPT —All geometries described by hydro, also somewhat described by CGC

"The optimist regards the future as uncertain."—Eugene Wigner

Additional material

Back to basics (a brief excursion)

The (raw) moments of a probability distribution function f(x):

$$\mu_n = \langle x^n \rangle \equiv \int_{-\infty}^{+\infty} x^n f(x) dx$$

The moment generating function:

$$M_{x}(t) \equiv \langle e^{tx} \rangle = \int_{-\infty}^{+\infty} e^{tx} f(x) dx = \int_{-\infty}^{+\infty} \sum_{n=0}^{\infty} \frac{t^{n}}{n!} x^{n} f(x) dx = \sum_{n=0}^{\infty} \mu_{n} \frac{t^{n}}{n!}$$

Moments from the generating function:

$$\mu_n = \frac{d^n M_x(t)}{dt^n} \bigg|_{t=0}$$

Key point: the moment generating function uniquely describe f(x)

Back to basics (a brief excursion)

Can also uniquely describe f(x) with the cumulant generating function:

$$K_x(t) \equiv \ln M_x(t) = \sum_{n=0}^{\infty} \kappa_n \frac{t^n}{n!}$$

Cumulants from the generating function:

$$\kappa_n = \left. \frac{d^n K_x(t)}{dt^n} \right|_{t=0}$$

Since $K_{x}(t) = \ln M_{x}(t)$, $M_{x}(t) = \exp(K_{x}(t))$, so

$$\mu_n = \frac{d^n \exp(K_x(t))}{dt^n} \bigg|_{t=0}, \quad \kappa_n = \frac{d^n \ln M_x(t)}{dt^n} \bigg|_{t=0}$$

End result: (details left as an exercise for the interested reader)

$$\mu_n = \sum_{k=1}^n B_{n,k}(\kappa_1, ..., \kappa_{n-k+1}) = B_n(\kappa_1, ..., \kappa_{n-k+1})$$

$$\kappa_n = \sum_{k=1}^n (-1)^{k-1} (k-1)! B_{n,k}(\mu_1, ..., \mu_{n-k+1}) = L_n(\kappa_1, ..., \kappa_{n-k+1})$$

Evaluating the Bell polynomials gives

$$\begin{aligned} \langle x \rangle &= \kappa_1 \\ \langle x^2 \rangle &= \kappa_2 + \kappa_1^2 \\ \langle x^3 \rangle &= \kappa_3 + 3\kappa_1\kappa_2 + \kappa_1^3 \\ \langle x^4 \rangle &= \kappa_4 + 4\kappa_1\kappa_3 + 3\kappa_2^2 + 6\kappa_1^2\kappa_2 + \kappa_1^4 \end{aligned}$$

One can tell by inspection (or derive explicitly) that κ_1 is the mean, κ_2 is the variance, etc.

Back to basics (a brief excursion)

Subbing in $x = v_n$, $\kappa_2 = \sigma^2$, we find

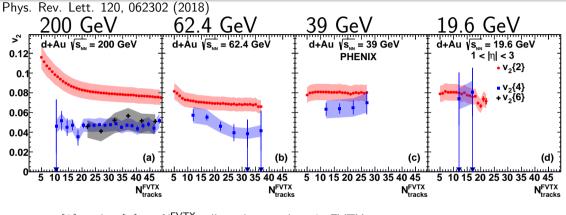
$$\begin{pmatrix} \langle \mathbf{v}_n^4 \rangle = \mathbf{v}_n^4 + 6\mathbf{v}_n^2\sigma^2 + 3\sigma^4 + 4\mathbf{v}_n\kappa_3 + \kappa_4 \end{pmatrix} - \left(2\langle \mathbf{v}_n^2 \rangle^2 = 2\mathbf{v}_n^4 + 4\mathbf{v}_n^2\sigma^2 + 2\sigma^4 \right) \rightarrow \\ \langle \mathbf{v}_n^4 \rangle - 2\langle \mathbf{v}_n^2 \rangle^2 = -\mathbf{v}_n^4 + 2\mathbf{v}_n^2\sigma^2 + \sigma^4 + 4\mathbf{v}_n\kappa_3 + \kappa_4$$

Skewness s: $\kappa_3 = s\sigma^3$ Kurtosis k: $\kappa_4 = (k-3)\sigma^4$

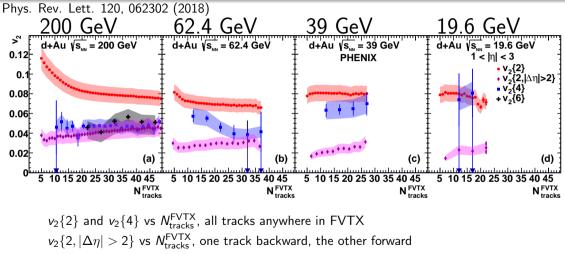
$$v_n\{2\} = (v_n^2 + \sigma^2)^{1/2}$$

$$v_n\{4\} = (v_n^4 - 2v_n^2\sigma^2 - 4v_ns\sigma^3 - (k-2)\sigma^4)^{1/4}$$

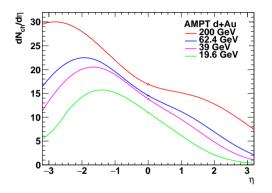
So the fully general form is a bit more complicated than we tend to think...



 v_2 {2} and v_2 {4} vs $N_{\text{tracks}}^{\text{FVTX}}$, all tracks anywhere in FVTX



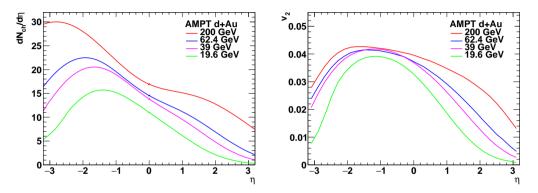
How is $v_2\{4\} > v_2\{2, |\Delta \eta| > 2\}$ possible? Can blame fluctuations to a point, but...



Asymmetric $dN_{\rm ch}/d\eta$ and asymmetric v_2 vs η

The single subevent is weighted by $dN_{ch}/d\eta$ towards backward rapidity, where v_2 is also higher—the effect is more pronounced at lower energies

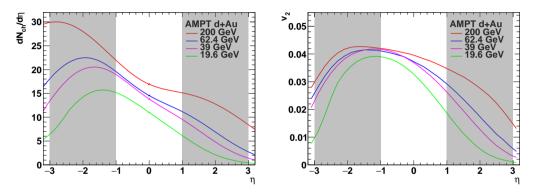
The two subevent is equally weighted between forward and back: $\sqrt{\langle v_2^B v_2^F \rangle}$



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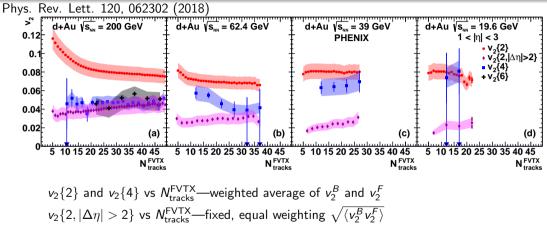
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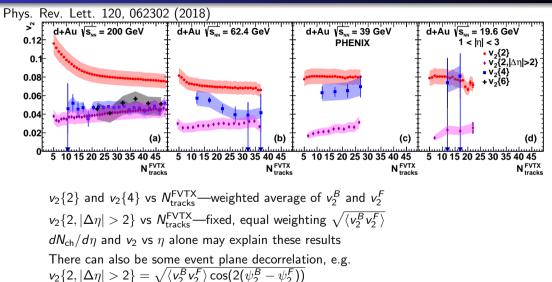
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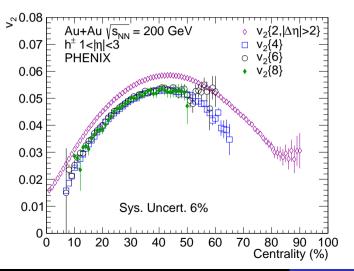
The two subevent is equally weighted between forward and back: $\sqrt{\langle v_2^B v_2^F \rangle}$



 $dN_{
m ch}/d\eta$ and v_2 vs η alone may explain these results



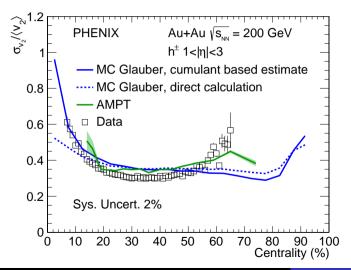
arXiv:1804.10024 (submitted to Phys. Rev. C)



$$1 < |\eta| < 3$$

 $v_2\{2\}, v_2\{4\}, v_2\{6\},$
 $v_2\{8\}$

arXiv:1804.10024 (submitted to Phys. Rev. C)



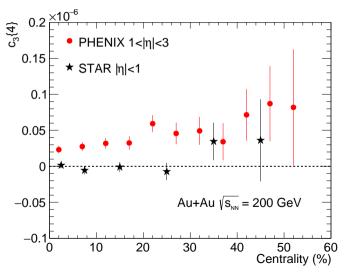
 $1 < |\eta| < 3$

 $\sigma_{v_2}/\langle v_2 \rangle$

Central: breakdown of small-variance limit

Peripheral: non-linearity in hydro response (e.g. J. Noronha-Hostler et al Phys. Rev. C 93, 014909 (2016))

arXiv:1804.10024 (submitted to Phys. Rev. C)

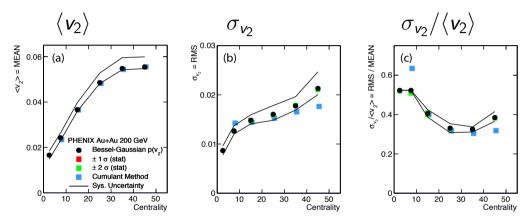


$$1 < |\eta| < 3$$

Cannot extract

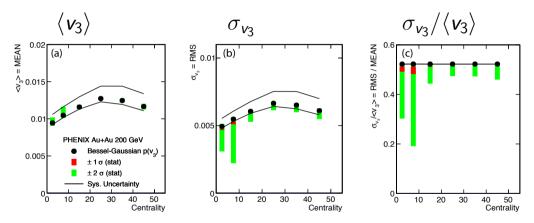
 $\sigma_{v_3}/\langle v_3
angle$

arXiv:1804.10024 (submitted to Phys Rev C)

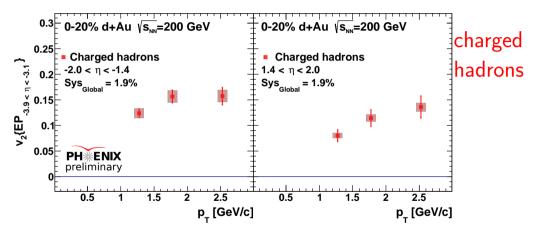


Can extract $\langle v_2 \rangle$ and σ_{v_2} separately using forward-fold

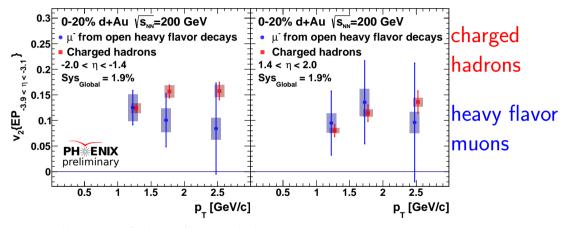
arXiv:1804.10024 (submitted to Phys Rev C)



Can extract $\langle v_3 \rangle$ and σ_{v_3} separately using forward-fold

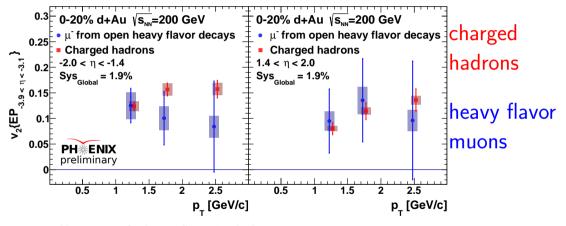


Small systems flow—heavy flavor



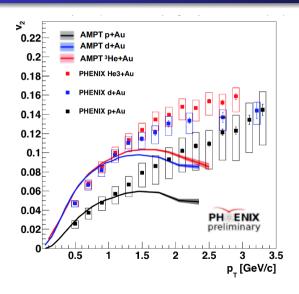
Nonzero v_2 for heavy flavor in d+Au

Small systems flow—heavy flavor



Nonzero v_2 for heavy flavor in d+Au 3.22 σ , 2.16 σ for $v_2 > 0$ at backward, forward (99.9%, 98.5% one-sided)

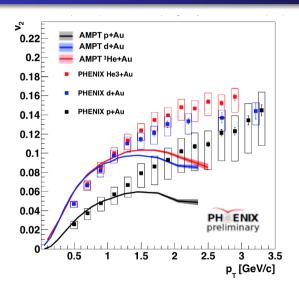
AMPT



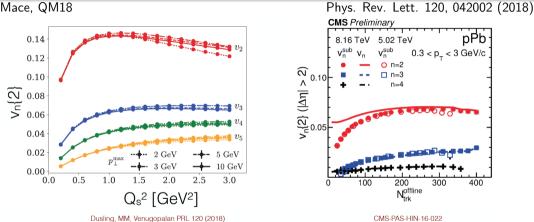
AMPT basic features

Initial conditions	HIJING
Particle production	String melting
Pre-equilibrium	None
Expansion	Parton scattering (tunable)
Hadronization	Spatial coalescence
Final stage	Hadron cascade (tunable)

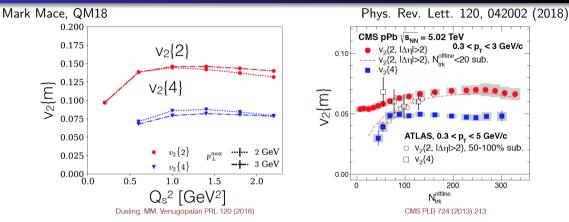
AMPT



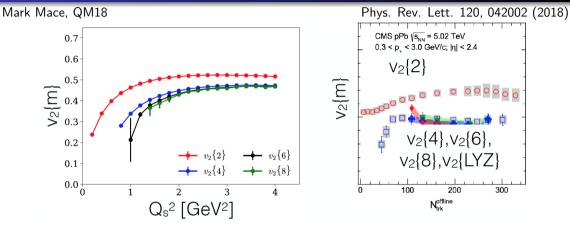
Mark Mace, QM18



"Simple parton model" with quarks scattering off dense gluon field Can gualitatively reproduce harmonic ordering Off from data by a factor of 2 to 3



"Simple parton model" with quarks scattering off dense gluon field Can reproduce $v_2\{2\}$ and $v_2\{4\}$ Disagreement with data by a factor of 2, but qualitative features match



Dusling, MM, Venugopalan PRL 120 (2018)

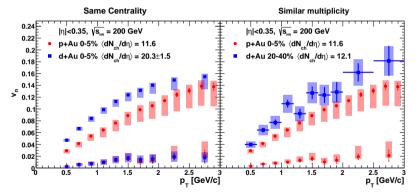
CMS PRL 115 (2015) 012301

Abelian calculations can produce v_2 {2}, v_2 {4}, v_2 {6}, v_2 {8} Disagreement with data by factor of 5, but qualitative features match

Data: Phys. Rev. C 96, 064905 (2017)

Theory: arXiv:1805.09342

"Our prediction would therefore be that $v_{2,3}(p_{\perp})$ for high multiplicity events across small systems should be identical for the same N_{ch} ."



 v_3 is same in p/d+Au for different N_{ch} v_2 looks different for p/d+Au for similar N_{ch} , but need nonflow estimate...