

# Holographic Correlators and the Information Paradox

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Black holes, quantum information and black hole reconstruction  
CERN, August 2018

based on [1606.01119](#), [1705.09250](#), [1710.06820](#)  
(with A. Bombini, A. Galliani, E. Moscato, R. Russo)

# Overview

## Main goal

Study black hole microstates using string theory and holography

- A b.h. microstate is dual to a “heavy” operator ( $\Delta_H \sim c$ ) and (in some cases) is described by a 10D classical geometry

$$O_H \Leftrightarrow ds_H^2$$

- Microstates can be probed by “light” operators ( $\Delta_L \sim O(c^0)$ )

$$\langle \bar{O}_H(\infty) O_L(z) \bar{O}_L(1) O_H(0) \rangle \equiv \langle O_L(z) \bar{O}_L(1) \rangle_{ds_H^2}$$

- HHLL correlators can diagnose information loss vs. unitarity

# Correlators and Information Loss

(Maldacena '01)

- The 2-point function of operators of dimension  $\Delta$  in a black hole background vanishes at large  $t$

$$\langle O(t) \bar{O}(0) \rangle_{\text{b.h.}} \sim e^{-4\pi\Delta T_H t}$$

with  $T_H$  the b.h. temperature

(cf Liam's talk)

- This follows from the b.h. quasinormal modes
- This is **not** what we expect for the correlator in the thermal state in a **unitary** theory with finite entropy

# Correlators in unitary theories

(Dyson, Lindsay, Susskind; Barbon, Rabinovici)

- In a **unitary** theory with finite entropy and hence a **discrete spectrum**

$$\begin{aligned} C_\beta(t) &\equiv \langle O(t) \bar{O}(0) \rangle_\beta = Z_\beta^{-1} \text{Tr} \left[ e^{-\beta H} O(t) \bar{O}(0) \right] \\ &= Z_\beta^{-1} \sum_{ij} e^{-\beta E_i} |\langle i | O(0) | j \rangle|^2 e^{i(E_i - E_j)t} \end{aligned}$$

- The long-time average of the correlator is

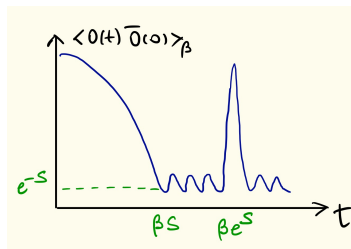
$$\lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T dt |C_\beta(t)|^2 \sim \frac{Z_{2\beta}}{Z_\beta^2} \sim e^{-S}$$

- Hence  $C_\beta(t)$  cannot be exponentially vanishing at late times

# Qualitative behaviour of $C_\beta(t)$

$$C_\beta(t) = Z_\beta^{-1} \sum_{ij} e^{-\beta E_i} |\langle i | O(0) | j \rangle|^2 e^{i(E_i - E_j)t}$$

- For  $t \ll \langle E_i - E_j \rangle^{-1} \sim \beta e^S$  the spectrum can be approximated as continuous:  $C_\beta$  is the Fourier transform of a function of width  $\sim \beta$  and hence  $C_\beta \sim e^{-t/\beta}$
- For  $t \sim \beta S$  the correlator is of the order of its long-time average  $e^{-S}$ : it oscillates irregularly and no longer decreases
- For  $t \sim \langle E_i - E_j \rangle^{-1}$  most of the phases are again of order 1 and hence  $C_\beta \sim O(1)$



# A microscopic BH model: D1-D5-P

(Strominger, Vafa)

- The simplest **BPS** black hole with a **finite-area horizon** is

$$\text{D1-D5-P on } \mathbb{R}^{4,1} \times S^1 \times T^4$$

- We take  $\text{vol}(T^4) \sim \ell_s^4$  and  $R(S^1) \gg \ell_s \Rightarrow$  **2D CFT**
- The b.h. has a “near-horizon” limit:  **$\text{AdS}_3 \times S^3 \times T^4$**
- We take  $G_N \rightarrow 0$  with  $R_{\text{AdS}}$  fixed  $\Rightarrow c = 6n_1 n_5 \equiv 6N \rightarrow \infty$
- The CFT has a 20-dim **moduli space**:
  - $g_s N \rightarrow 0$  : **free orbifold point**  $\iff R_{\text{AdS}} \ll \ell_s$
  - $g_s N \gg 1$  : **strong coupling point**  $\iff R_{\text{AdS}} \gg \ell_s$

## Goal

Understand b.h. microstates at the strong coupling point

# The D1-D5 CFT

- **Symmetries:**

(4, 4) SUSY with  $SU(2)_L \times SU(2)_R$  affine R-symmetry

- **At the orbifold point**

sigma model on  $(T^4)^N/S_N$

one has free bosons and fermions

$$(\partial X_r^{AA}(z), \psi_r^{\alpha A}(z)), (\bar{\partial} X_r^{AA}(\bar{z}), \tilde{\psi}_r^{\dot{\alpha} A}(\bar{z})) \quad \text{with } r = 1, \dots, N$$

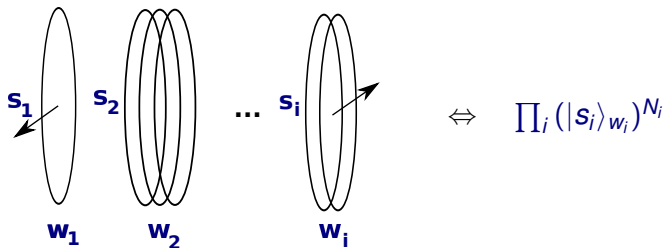
$(\alpha \leftrightarrow SU(2)_L, \dot{\alpha} \leftrightarrow SU(2)_R, A \leftrightarrow SU(2)_1, \dot{A} \leftrightarrow SU(2)_2)$

- **Spectral flow:**

$$\text{NS} \rightarrow \text{R} : j \rightarrow j + \frac{N}{2}, \quad h \rightarrow h + j + \frac{N}{4}$$

## 2-charge states

- States carrying **D1-D5 charges** are **RR ground states**:  $h = \bar{h} = \frac{N}{4}$   
Note:  $h \sim c \Rightarrow$  these are “heavy” operators
- They are constructed from elementary “strands” characterised by winding  $w$  and  $SU(2)_L \times SU(2)_R$  spin  $|s\rangle$



with the constraint  $\sum_i w_i N_i = N$



## A small black hole

- The statistical ensemble of D1-D5 states is described by the “massless BTZ” geometry

$$\frac{ds^2}{R_{AdS}^2} = \frac{dr^2}{r^2} + r^2(-dt^2 + dy^2)$$

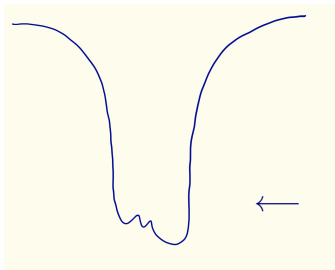
- It is a singular geometry with  $A_{\text{Hor}} = 0$
- Correlators in this geometry still display **information loss**

$$\langle O(t)\bar{O}(0) \rangle_{\text{BTZ}_0} \sim t^{-\Delta}$$

# The geometry of microstates

(Lunin, Mathur et al.)

- We can associate a 10D geometry to (coherent superpositions of) RR ground states
- All these geometries are **smooth and horizonless**
- They are **asymptotically**  $AdS_3 \times S^3 \times T^4$  but in the interior  $AdS_3$  and  $S^3$  are non-trivially mixed
- They can be extended to **asymptotically flat** geometries



$$\mathbb{R}^{4,1} \times S^1 \times T^4$$

$$AdS_3 \times S^3 \times T^4$$

$$r \sim R_{Hor} \quad \text{no horizon!}$$

# A note on 3-charge states

- We know the dual geometries for a class of D1-D5-P states, known as superstrata (Bena, SG, Martinec, Russo, Shigemori, Turton, Warner)

$$\prod_i \left[ (J_{-1}^+)^{m_i} (L_{-1} - J_{-1}^3)^{n_i} |s_i\rangle_{w_i} \right]^{N_i}$$

Note:  $J_{-1}^+$ ,  $L_{-1} - J_{-1}^3 \xrightarrow{\text{spectral flow}} J_0^+$ ,  $L_{-1}$   
generate the global chiral algebra

► In this talk I will restrict to 2-charge states

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## Example I: maximally rotating ground state

- The simplest D1-D5 state is the maximally rotating one

$$|+, +\rangle_1^N \quad \leftrightarrow \quad \underbrace{\left( \begin{array}{c} \text{rotating disk} \\ \text{rotating disk} \\ \dots \\ \text{rotating disk} \end{array} \right)}_N$$

- Spectral flow** maps this state into the **NS vacuum**:

$$|+, +\rangle_1^N \xrightarrow{\text{s.f.}} |0\rangle_{NS}$$

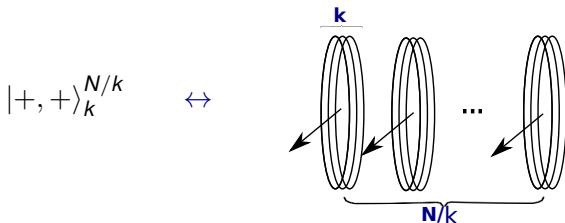
- On the gravity side spectral flow is a change of coordinates mixing  $\text{AdS}_3(t, y)$  and  $S^3(\phi, \psi)$  coordinates

$$\phi \rightarrow \phi + \frac{t}{R}, \quad \psi \rightarrow \psi + \frac{y}{R}$$

and maps the geometry dual to  $|+, +\rangle_1^N$  into  $\text{AdS}_3 \times S^3$

## Example II: conical defects

- A state made of  $N/k$  identical copies of strands of winding  $k$



- The **non-globally-defined** change of coordinates

$$\phi \rightarrow \phi + \frac{t}{kR} \quad , \quad \psi \rightarrow \psi + \frac{y}{kR}$$

maps the geometry dual to  $|+, +\rangle_k^{N/k}$  into  $\text{AdS}_3/\mathbb{Z}_k \times S^3$

- Generic states in the 2-charge ensemble have  $k \sim \sqrt{N}$

# The light operators

- We consider

$$O_L^{(1)} = \sum_{r=1}^N \frac{\epsilon_{AB}}{\sqrt{2N}} \psi_r^{-A} \tilde{\psi}_r^{-B}, \quad O_L^{(2)} = \sum_{r=1}^N \frac{\epsilon_{AB}}{\sqrt{2N}} \partial X_r^{Ai} \bar{\partial} X_r^{Bi}$$

- $O_L^{(1)}$  is an **anti-chiral-primary** with  $h_L = \bar{h}_L = -j_L = -\bar{j}_L = \frac{1}{2}$
- $O_L^{(2)}$  is a **superdescendant**

$$O_L^{(2)} = G_{-1/2}^+ \tilde{G}_{-1/2}^+ O_L^{(1)} \text{ with } h_L = \bar{h}_L = 1, j_L = \bar{j}_L = 0$$

- Since  $G|O_H\rangle = \tilde{G}|O_H\rangle = 0$ , correlators satisfy the **Ward identity**

$$\langle O_H | O_L^{(2)} \bar{O}_L^{(2)} | O_H \rangle = \partial \bar{\partial} \left[ |z\rangle \langle O_H | O_L^{(1)} \bar{O}_L^{(1)} | O_H \rangle \right]$$

## The light operators: gravity picture

- $O_L^{(2)}$  is dual to a 6D minimally coupled scalar
- $O_L^{(1)}$  is dual to a scalar coupled to a 2-form in 6D

Solving the wave equation for  $O_L^{(2)}$  is much simpler than for  $O_L^{(1)}$

In the following we will use the notation:

$$c_H^{(i)}(z, \bar{z}) \equiv \langle \bar{O}_H(\infty) O_L^{(i)}(z, \bar{z}) \bar{O}_L^{(i)}(1) O_H(0) \rangle$$



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# How to compute correlators in holography

- $\phi(r, z)$  is the **bulk field** dual to the **CFT operator**  $O_L(z)$
- Solve the **e.o.m** for  $\phi$  in the background generated by  $O_H$
- Pick the non-normalisable solution such that
  - at the boundary

$$\phi(r, z) \xrightarrow{r \rightarrow \infty} \delta(z-1) r^{\Delta-d} + b(z) r^{-\Delta}$$

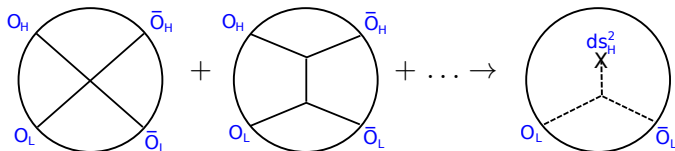
↗ vev of  $O(z)$   
↘ source for  $\bar{O}(0)$

- $\phi(r, z)$  is **regular** in the interior  $r \rightarrow 0$
- The correlator is given by

$$\langle O_H | O_L(z) \bar{O}_L(1) | O_H \rangle = b(z)$$

## A comment on the method

- In the presence of heavy operators Witten diagram techniques are not feasible.
- The heavy operators are encoded in a non-trivial geometry ( $O_H \rightarrow ds_H^2$ ) and the 4-point function becomes a 2-point function in a non-trivial background



- This also allows us to bypass some complications that exist in  $AdS_3$  for the vector and graviton exchange ... (D'Hoker, Freedman, Rastelli)

# Correlators in conical defects: $|O_H\rangle = (|++\rangle_k)^{N/k}$

$$c_k^{(1)}(z, \bar{z}) = \frac{k^{-1}}{|z||1-z|^2} \frac{1-|z|^2}{1-|z|^{\frac{2}{k}}}$$

- The result is the same both in **gravity** and in the **orbifold CFT**
- The only operators in the OPE  $O_L^{(1)}\bar{O}_L^{(1)}$  that have a non-zero vev in the state  $(|++\rangle_k)^{N/k}$  are  **$J^3$ -descendants** of the identity

$$O_L^{(1)}(z)\bar{O}_L^{(1)}(1) = \frac{1}{|1-z|^2} \left[ 1 + (1-z)J^3 + (1-\bar{z})\tilde{J}^3 + \dots \right]$$

- In this case the correlator is completely determined by the chiral algebra and thus it is **protected**

# Late time behaviour of $C_k^{(1)}$

- Using  $z = e^{i(\tau+\sigma)}$ ,  $\bar{z} = e^{i(\tau-\sigma)}$  with  $\tau = t/R$ ,  $\sigma = y/R$

$$C_k^{(1)} \sim \frac{e^{-i\tau} \sin \tau}{\cos \tau - \cos \sigma} \frac{k^{-1}}{1 - e^{2i\tau/k}}$$

- For large  $\tau$  ( $\tau \gtrsim k$ )  $C_k^{(1)}$  is an oscillating function of  $\tau$   
 $\Rightarrow$  no information loss!

- For  $\tau$  not so large ( $\tau \ll k$ )

$$C_k^{(1)} \sim \tau^{-1}$$

$\Rightarrow$  non-unitary b.h. behaviour

- Note that for typical states  $k \sim \sqrt{N} \sim S$  as expected

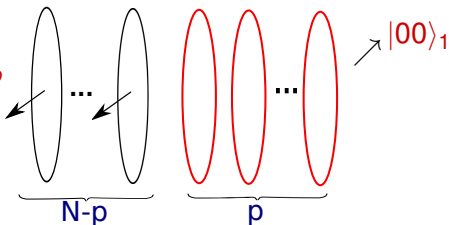
# A more generic state

- Consider the semiclassical RR ground state

(Kanitscheider, Skenderis, Taylor)

$$|s_B\rangle = \frac{1}{N^{\frac{N}{2}}} \sum_{p=0}^N A^{N-p} B^p$$

$$(A^2 + B^2 = N)$$



- In the large  $N$  limit the sum is dominated by  $p \sim B^2$
- The dual geometry is a deformation of  $AdS_3 \times S^3$  and cannot be mapped into  $AdS_3 \times S^3$  by any change of coordinates
- The deformation is essentially controlled by one scalar  $w \sim C^{(0)}$

$$w = b \frac{a}{\sqrt{r^2 + a^2}} \sin \theta \cos \phi$$

$$\text{with } a = a_0 \frac{A}{\sqrt{N}}, \quad \frac{b}{\sqrt{2}} = a_0 \frac{B}{\sqrt{N}}, \quad a_0 = \frac{\sqrt{Q_1 Q_5}}{R}$$

# A dynamical correlator: $|O_H\rangle = |s_B\rangle$

## Free CFT

$$C_B^{(1)} = \frac{1}{|z||1-z|^2} + \frac{B^2}{2N} \frac{|z|^2 + |1-z|^2 - 1}{|z||1-z|^2} + \frac{A^2 B^2}{N} \left(1 - \frac{1}{N}\right) \frac{1}{|z|}$$

## Gravity

$$C_B^{(1)} = \frac{a}{a_0} e^{-i\tau} \sum_{l \in \mathbb{Z}} e^{il\sigma} \sum_{n=1}^{\infty} \frac{\exp\left[-i \frac{a}{a_0} \sqrt{(|l|+2n)^2 + \frac{b^2 l^2}{2a^2}} \tau\right]}{\sqrt{1 + \frac{b^2}{2a^2} \frac{l^2}{(|l|+2n)^2}}} + N \frac{b^2}{2a_0^2} e^{-i\tau}$$

# Comments on $C_B^{(1)}$

- On the gravity side, we have computed  $C_B^{(2)}$  by solving the minimally-coupled scalar equation and we have used the Ward identity to infer  $C_B^{(1)}$
- For  $b^2/a^2 \ll 1$  we could compute independently the correlators with  $O_L^{(1)}$  and  $O_L^{(2)}$  and **check** the Ward identity
- **Non-BPS multiparticle operators** contribute to  $C_B^{(1)}$  :

$$O_L^{(1)}(z)\bar{O}_L^{(1)}(1) = \sum_{n,\ell} (1-z)^{n+\ell} (1-\bar{z})^n : O_L^{(1)} \partial^{n+\ell} \bar{\partial}^n \bar{O}_L^{(1)} : + \dots$$

and the vev of  $: O_L^{(1)} \partial^{n+\ell} \bar{\partial}^n \bar{O}_L^{(1)} :$  in the state  $|s_B\rangle$  is non-zero

$\Rightarrow$  the correlator is **non-protected**



# Conformal block decomposition

- The CFT has a **Virasoro** and a  **$SU(2)$  affine** symmetry
- The contribution to correlators from **affine primaries** of dimension  $h_p$  is

$$\mathcal{V}^{(p)}(z) = \mathcal{V}_V^{(p)}(z) \mathcal{V}_A(z) \quad \text{with}$$

- $\mathcal{V}_A(z)$  the affine block
- $\mathcal{V}_V^{(p)}(z)$  the Virasoro block generated by  $L_n^{(0)} = L_n - L_n^{\text{Sug}}$
- The dimension of  $\mathcal{O}_H$  with respect  $L_0^{(0)}$  is

$$h_H^{(0)} = h_H - \frac{j_H^2}{N} = \frac{N}{4} \left( 1 - \frac{A^4}{N^2} \right)$$

## Light-cone limit

- In the limit  $\bar{z} \rightarrow 1$  ( $\tau \rightarrow \sigma$ ) the correlator is dominated by the exchange of operators with  $\bar{h} = 0$
- The only operators with  $\bar{h} = 0$  that exist at strong coupling are the affine descendants of the identity
- When  $\tau \rightarrow \sigma$  the  $\mathcal{C}_B^{(1)}$  series is dominated by terms with  $l \gg n$ :

$$\mathcal{C}_B^{(1)} \sim \frac{1}{1-\bar{z}} \frac{\alpha z^{-1/2}}{1-z^\alpha} \quad \text{with} \quad \alpha = \sqrt{1 - \frac{24 h_H^{(0)}}{c}} = \frac{a^2}{a_0^2}$$

which is the **affine block of the identity** at large  $c$  (Fitzpatrick, Kaplan)

- This is a non-trivial consistency check of the holographic result

# Comments

- The above result does not hold at the orbifold point: at  $g_s N = 0$  there is an infinite tower of conserved currents
- The fact that  $\alpha$  is real and positive implies that  $C_B^{(1)}$  does not decay when  $\tau = \sigma \rightarrow \infty$
- For the naive 2-charge black hole  $\alpha = 0$ : in this case the identity block decays polynomially

$$\mathcal{V}^{(0)} = \frac{1}{|z| |\log z|^2}$$

This equals the 2-point function in the massless BTZ geometry

- What happens when the light operators are time-like separated and  $\tau \rightarrow \infty$ ?

# Late time behaviour of $C_B^{(1)}$

- We focus on the limit when the microstate geometry reduces to **massless BTZ**, which is  $a \ll a_0$
- In this limit the  $C_B^{(1)}$  series is dominated by terms with  $n \gg \frac{a_0}{2a} |l|$

$$C_B^{(1)} \sim e^{-i\tau} \left[ \frac{1}{1 - e^{i(\sigma-\tau)}} + \frac{1}{1 - e^{-i(\sigma+\tau)}} - 1 \right] \frac{\frac{a}{a_0}}{1 - e^{-2i\frac{a}{a_0}\tau}}$$

- For  $\tau \ll \frac{a_0}{a}$  one recovers the BTZ behaviour  $C_B^{(1)} \sim \tau^{-1}$
- For  $\tau \gtrsim \frac{a_0}{a}$   $C_B^{(1)}$  oscillates and stops decreasing with  $\tau$

No information loss even for non-protected correlators!

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# Connection with LLLL correlators?

- Holographic correlators in  $\text{AdS}_3$  are not known, not even for LLLL
- When  $b \ll a_0$  the state  $|O_H\rangle$ , **spectrally flowed to the NS sector**, is “light”:  $h_{NS} = \frac{N}{4} \frac{b^2}{a_0^2} \ll N$
- In this limit HHLL correlators reduce to LLLL ones?
- **No!** There is an order of limits problem:
  - HHLL: take  $N \rightarrow \infty$  with  $b^2/N$  fixed, and then  $b^2/N \ll 1$
  - LLLL: take  $N \rightarrow \infty$  with  $b$  fixed and small

# Summary

- We know families of smooth horizonless geometries with the same charges as 2, 3 (and 4)-charge black holes
- We can link these geometries to states of the D1-D5 CFT that is dual to the Strominger-Vafa black hole

## Fuzzballs represent black hole microstates

- In some limits the microstate geometries are indistinguishable from the black hole if probed for a **short time**
- For sufficiently long times the microstate geometries deviate significantly from the black hole and produce correlators that are **consistent with unitarity**

# Work in progress I

- **Multiparticle operators**  $O_{[n,\ell]} \equiv \sum_{r \neq s} O_{L,r}^{(1)} \partial^{n+\ell} \bar{\partial}^n \bar{O}_{L,s}^{(1)}$  contribute to  $C_B^{(1)}$
- $O_{[n,\ell]}$  are non-BPS: their dimensions and 3-point couplings have  $1/N$  corrections:

$$h_{[n,\ell]} = 1 + n + \ell + \frac{\gamma_{[n,\ell]}}{N}, \quad \bar{h}_{[n,\ell]} = 1 + n + \frac{\gamma_{[n,\ell]}}{N}$$

$$C_{[n,\ell]HH} C_{[n,\ell]LL} = N a_{[n,\ell]}^{(0)} + a_{[n,\ell]}^{(1)}$$

- $\gamma_{[n,\ell]}$  and  $a_{[n,\ell]}^{(1)}$  can be extracted from  $C_B^{(1)}$
- Problem: for every  $n, \ell$  there is more than one  $O_{[n,\ell]}$  contributing  $\Rightarrow$  one needs to compute more correlators to resolve the **mixing**
- $\gamma_{[n,\ell]} > 0$  are determined by the exchange of single-trace operators in the crossed channel (Caron-Hout)  $\Rightarrow$  consistency check



## Work in progress II

- Compute correlators in **3-charge** geometries. For example consider the heavy state with

$$|00\rangle_1 \rightarrow (L_{-1} - J_{-1}^3)^n |00\rangle_1 \quad (\text{cf David's talk})$$

- The minimally-coupled scalar wave equation in this geometry is **separable** (Bena, Turton, Warner)
- For this heavy state, the large- $c$  identity block is

$$\mathcal{V}^{(0)} = \frac{\alpha z^{-\frac{1}{2}} \left(1 + \frac{A^2}{N}\right)}{z^{-\alpha/2} - z^{\alpha/2}} \quad \text{with} \quad \alpha = \sqrt{\frac{A^4}{N^2} - 4n \frac{B^2}{N}}$$

- $\alpha$  becomes **imaginary** for large enough  $B$  if  $n \geq 1$   
 $\Rightarrow$  the correlator should decay for  $\tau = \sigma \rightarrow \infty$
- What happens for time-like separated operators at large times?

# An outlook on the fuzzball program

- **2-charge**: formally we know all the states but the sugra description becomes unreliable for typical ones (Chen, Michel, Polchinski, Puhm)
- **3-charge**: the known geometries capture a parametrically small fraction of the entropy
- Some of the known 3-charge geometries are within the black hole regime  $\Rightarrow \alpha \in \mathbb{C}$ : what is the large-time behavior of correlators in these geometries?
- It is possible that most of the 3-charge states (“**pure Higgs states**”) do not admit a description in supergravity (Bena, Berkoov, de Boer, El-Showk, Van den Bleeken; Sen)
- If sugra probes cannot distinguish typical states from the black hole, which tools do we have to describe them?  
Worldsheet string theory might help?

(Martinec, Massai, Turton)