

# EFFECTIVE FIELD THEORY FOR BSM

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# SMEFT Lagrangian

# Effective Lagrangian for a Higgs doublet

Buchmuller and Wyler NPB 268 (1986) 621

⋮

Grzadkowski et al. JHEP 1010 (2010) 085

$$\mathcal{L} = \mathcal{L}_{SM} + \sum_i \bar{c}_i O_i \equiv \mathcal{L}_{SM} + \Delta\mathcal{L}_{SILH} + \Delta\mathcal{L}_{cc} + \Delta\mathcal{L}_{dipole} + \Delta\mathcal{L}_V + \Delta\mathcal{L}_{4\psi}$$

16 operators

(12 CP even, 4 CP odd)

Best operator basis to test light composite Higgs

Giudice, Grojean, Pomarol, Rattazzi JHEP 0706 (2007) 045

$$\begin{aligned} \Delta\mathcal{L}_{SILH} = & \frac{\bar{c}_H}{2v^2} \partial^\mu (H^\dagger H) \partial_\mu (H^\dagger H) + \frac{\bar{c}_T}{2v^2} \left( H^\dagger \overleftrightarrow{D}^\mu H \right) \left( H^\dagger \overleftrightarrow{D}_\mu H \right) - \frac{\bar{c}_6 \lambda}{v^2} (H^\dagger H)^3 \\ & + \left( \frac{\bar{c}_u}{v^2} y_u H^\dagger H \bar{q}_L H^c u_R + \frac{\bar{c}_d}{v^2} y_d H^\dagger H \bar{q}_L H d_R + \frac{\bar{c}_l}{v^2} y_l H^\dagger H \bar{L}_L H l_R + h.c. \right) \\ & + \frac{i\bar{c}_W g}{2m_W^2} \left( H^\dagger \sigma^i \overleftrightarrow{D}^\mu H \right) (D^\nu W_{\mu\nu})^i + \frac{i\bar{c}_B g'}{2m_W^2} \left( H^\dagger \overleftrightarrow{D}^\mu H \right) (\partial^\nu B_{\mu\nu}) \\ & + \frac{i\bar{c}_{HW} g}{m_W^2} (D^\mu H)^\dagger \sigma^i (D^\nu H) W_{\mu\nu}^i + \frac{i\bar{c}_{HB} g'}{m_W^2} (D^\mu H)^\dagger (D^\nu H) B_{\mu\nu} \\ & + \frac{\bar{c}_\gamma g'^2}{m_W^2} H^\dagger H B_{\mu\nu} B^{\mu\nu} + \frac{\bar{c}_g g_S^2}{m_W^2} H^\dagger H G_{\mu\nu}^a G^{a\mu\nu} \\ & + \frac{i\tilde{c}_{HW} g}{m_W^2} (D^\mu H)^\dagger \sigma^i (D^\nu H) \tilde{W}_{\mu\nu}^i + \frac{i\tilde{c}_{HB} g'}{m_W^2} (D^\mu H)^\dagger (D^\nu H) \tilde{B}_{\mu\nu} \\ & + \frac{\tilde{c}_\gamma g'^2}{m_W^2} H^\dagger H B_{\mu\nu} \tilde{B}^{\mu\nu} + \frac{\tilde{c}_g g_S^2}{m_W^2} H^\dagger H G_{\mu\nu}^a \tilde{G}^{a\mu\nu} \end{aligned}$$

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6 current-current operators

$$\begin{aligned} \Delta\mathcal{L}_{cc} = & \frac{i\bar{c}_{Hq}}{v^2} (\bar{q}_L \gamma^\mu q_L) (H^\dagger \overleftrightarrow{D}_\mu H) + \frac{i\bar{c}'_{Hq}}{v^2} (\bar{q}_L \gamma^\mu \sigma^i q_L) (H^\dagger \sigma^i \overleftrightarrow{D}_\mu H) \\ & + \frac{i\bar{c}_{Hu}}{v^2} (\bar{u}_R \gamma^\mu u_R) (H^\dagger \overleftrightarrow{D}_\mu H) + \frac{i\bar{c}_{Hd}}{v^2} (\bar{d}_R \gamma^\mu d_R) (H^\dagger \overleftrightarrow{D}_\mu H) \\ & + \left( \frac{i\bar{c}_{Hud}}{v^2} (\bar{u}_R \gamma^\mu d_R) (H^{c\dagger} \overleftrightarrow{D}_\mu H) + h.c. \right) \\ & + \frac{i\bar{c}_{HL}}{v^2} (\bar{L}_L \gamma^\mu L_L) (H^\dagger \overleftrightarrow{D}_\mu H) \end{aligned}$$

# Effective Lagrangian for a Higgs doublet

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8 dipole operators

$$\begin{aligned} \Delta\mathcal{L}_{dipole} = & \frac{\bar{c}_{uB} g'}{m_W^2} y_u \bar{q}_L H^c \sigma^{\mu\nu} u_R B_{\mu\nu} + \frac{\bar{c}_{uW} g}{m_W^2} y_u \bar{q}_L \sigma^i H^c \sigma^{\mu\nu} u_R W_{\mu\nu}^i + \frac{\bar{c}_{uG} g_S}{m_W^2} y_u \bar{q}_L H^c \sigma^{\mu\nu} \lambda^a u_R G_{\mu\nu}^a \\ & + \frac{\bar{c}_{dB} g'}{m_W^2} y_d \bar{q}_L H \sigma^{\mu\nu} d_R B_{\mu\nu} + \frac{\bar{c}_{dW} g}{m_W^2} y_d \bar{q}_L \sigma^i H \sigma^{\mu\nu} d_R W_{\mu\nu}^i + \frac{\bar{c}_{dG} g_S}{m_W^2} y_d \bar{q}_L H \sigma^{\mu\nu} \lambda^a d_R G_{\mu\nu}^a \\ & + \frac{\bar{c}_{lB} g'}{m_W^2} y_l \bar{L}_L H \sigma^{\mu\nu} l_R B_{\mu\nu} + \frac{\bar{c}_{lW} g}{m_W^2} y_l \bar{L}_L \sigma^i H \sigma^{\mu\nu} l_R W_{\mu\nu}^i + h.c. \end{aligned}$$

# Effective Lagrangian for a Higgs doublet

Buchmuller and Wyler NPB 268 (1986) 621  
 $\vdots$   
 Grzadkowski et al. JHEP 1010 (2010) 085

$$\mathcal{L} = \mathcal{L}_{SM} + \sum_i \bar{c}_i O_i \equiv \mathcal{L}_{SM} + \Delta\mathcal{L}_{SILH} + \Delta\mathcal{L}_{cc} + \Delta\mathcal{L}_{dipole} + \boxed{\Delta\mathcal{L}_V} + \boxed{\Delta\mathcal{L}_{4\psi}}$$

22 four-fermion operators

7 operators built with gauge fields only  
 (5 CP even, 2 CP odd)

$$\begin{aligned} \Delta\mathcal{L}_V = & \frac{\bar{c}_{2W}}{m_W^2} (D^\mu W_{\mu\nu})^i (D_\rho W^{\rho\nu})^i + \frac{\bar{c}_{2B}}{m_W^2} (\partial^\mu B_{\mu\nu}) (\partial_\rho B^{\rho\nu}) + \frac{\bar{c}_{2G}}{m_W^2} (D^\mu G_{\mu\nu})^a (D_\rho G^{\rho\nu})^a \\ & + \frac{\bar{c}_{3W} g^3}{m_W^2} \epsilon^{ijk} W_\mu^{i\nu} W_\nu^{j\rho} W_\rho^{k\mu} + \frac{\bar{c}_{3G} g_S^3}{m_W^2} f^{abc} G_\mu^{a\nu} G_\nu^{b\rho} G_\rho^{c\mu} \\ & + \frac{\tilde{c}_{3W} g^3}{m_W^2} \epsilon^{ijk} W_\mu^{i\nu} W_\nu^{j\rho} \tilde{W}_\rho^{k\mu} + \frac{\tilde{c}_{3G} g_S^3}{m_W^2} f^{abc} G_\mu^{a\nu} G_\nu^{b\rho} \tilde{G}_\rho^{c\mu} \end{aligned}$$

In total: 59 dim-6 operators for 1 SM family

For a review see: RC, Ghezzi, Grojean, Muhlleitner, Spira JHEP 07 (2013) 035

Naive estimate at the matching scale  $m_*$  (SILH power counting):

$$\bar{c}_H, \bar{c}_T, \bar{c}_6, \bar{c}_\psi \sim O\left(\frac{v^2}{f^2}\right), \quad \bar{c}_W, \bar{c}_B \sim O\left(\frac{m_W^2}{m_*}\right), \quad \bar{c}_{HW}, \bar{c}_{HB}, \bar{c}_\gamma, \bar{c}_g \sim O\left(\frac{m_W^2}{16\pi^2 f^2}\right)$$

$$\bar{c}_{H\psi}, \bar{c}'_{H\psi} \sim O\left(\frac{\lambda_\psi^2}{g_*^2} \frac{v^2}{f^2}\right), \quad \bar{c}_{Hud} \sim O\left(\frac{\lambda_u \lambda_d}{g_*^2} \frac{v^2}{f^2}\right), \quad \bar{c}_{\psi W}, \bar{c}_{\psi B}, \bar{c}_{\psi G} \sim O\left(\frac{m_W^2}{16\pi^2 f^2}\right)$$

where  $f \equiv m_*/g_*$

Processes with 0, 1, 2, ... Higgses *related*

Q: Which operators are already constrained by experiments w/o Higgs ?

In total: 59 dim-6 operators

17 involve the Higgs

8 affect Higgs physics only

Elias-Miro, Espinosa, Masso, Pomarol  
JHEP 1311 (2013) 066

Pomarol, Riva JHEP 01 (2014) 151



# Operators that affect Higgs physics only

Elias-Miro, Espinosa, Masso, Pomarol  
JHEP 1311 (2013) 066

Pomarol, Riva JHEP 01 (2014) 151

$$O_H = (\partial_\mu |H|^2)^2$$

shifts all Higgs couplings

$$O_{BB} = g'^2 |H|^2 B_{\mu\nu} B^{\mu\nu}$$

$$O_{WW} = g^2 |H|^2 W_{\mu\nu} W^{\mu\nu}$$

$$O_{GG} = g_s^2 |H|^2 G_{\mu\nu} G^{\mu\nu}$$

modify inclusive rates  
(constrained by fit to  
Higgs couplings)

$$O_{y_d} = y_d |H|^2 \bar{q}_L H d_R$$

$$O_{y_u} = y_u |H|^2 \bar{q}_L \tilde{H} u_R$$

$$O_{y_e} = y_e |H|^2 \bar{L}_L H e_R$$

shift  $h\psi\psi$

$$O_6 = \lambda |H|^6$$

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$$O_6 = \lambda |H|^6$$

← modify inclusive rates  
(constrained by fit to  
Higgs couplings)

$$h \rightarrow \gamma\gamma$$

$$h \rightarrow Z\gamma$$

$$gg \rightarrow h$$

# Operators that affect Higgs physics only

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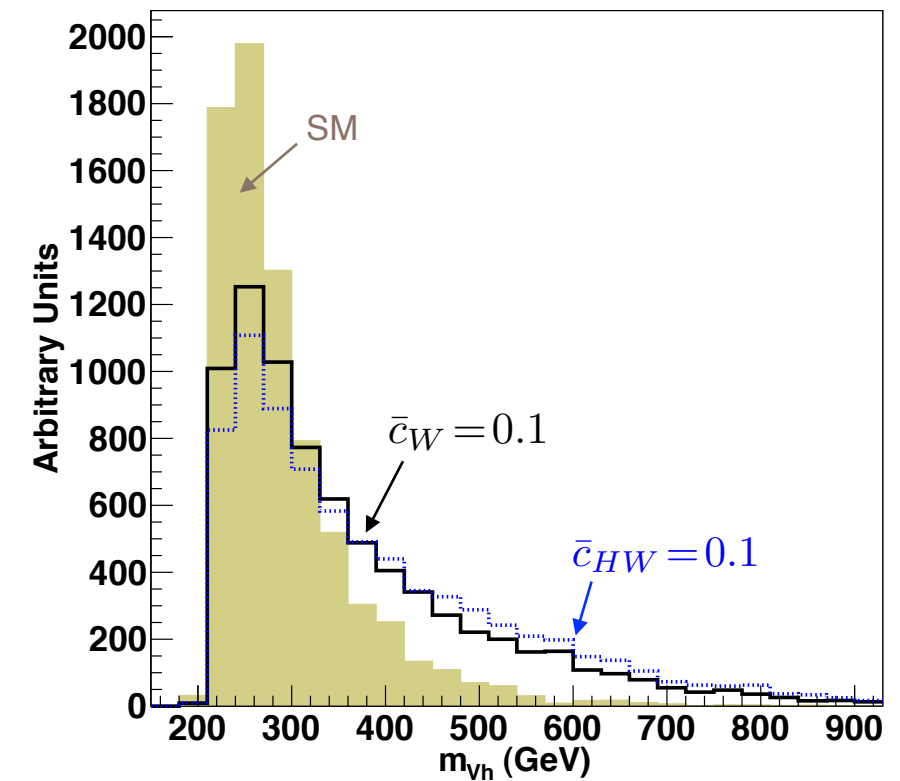
$$O_6 = \lambda |H|^6$$

← modify also differential rates, can be probed by:

— decays  $h \rightarrow WW^*$ ,  $h \rightarrow ZZ^*$  (angular distributions)

— Higgs associated production  $hV$  (Higgs  $p_T$ ,  $m_{Vh}$ , and angular distributions)

— single-Higgs production via VBF



# Operators that affect Higgs physics only

Elias-Miro, Espinosa, Masso, Pomarol  
JHEP 1311 (2013) 066

Pomarol, Riva JHEP 01 (2014) 151

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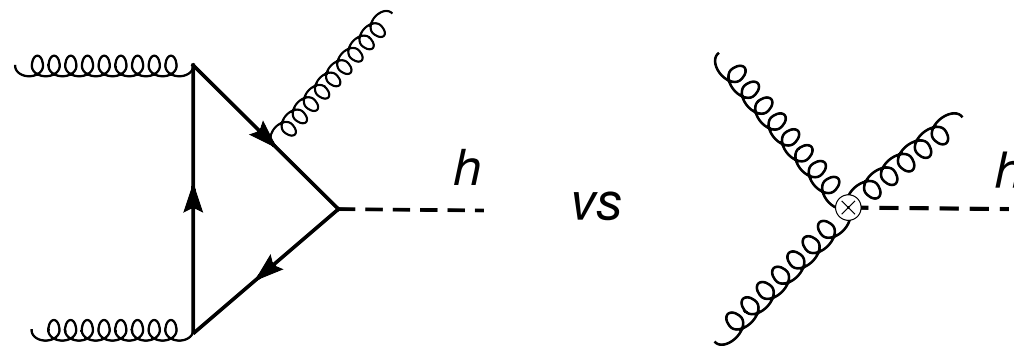
← modifies  $p_T$  spectrum of  $gg \rightarrow h + jet$   
[ top loop vs point-like interaction ]

$$O_{y_d} = y_d |H|^2 \bar{q}_L H d_R$$

$$O_{y_u} = y_u |H|^2 \bar{q}_L \tilde{H} u_R$$

$$O_{y_e} = y_e |H|^2 \bar{L}_L H e_R$$

$$O_6 = \lambda |H|^6$$



Azatov, Paul JHEP 1401 (2014) 014

Grojean, Salvioni, Schlaffer, Weiler JHEP 1405 (2014) 022

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$$O_{y_e} = y_e |H|^2 \bar{L}_L H e_R$$

$O_6 = \lambda |H|^6$

$gg \rightarrow hh$   yet un-probed

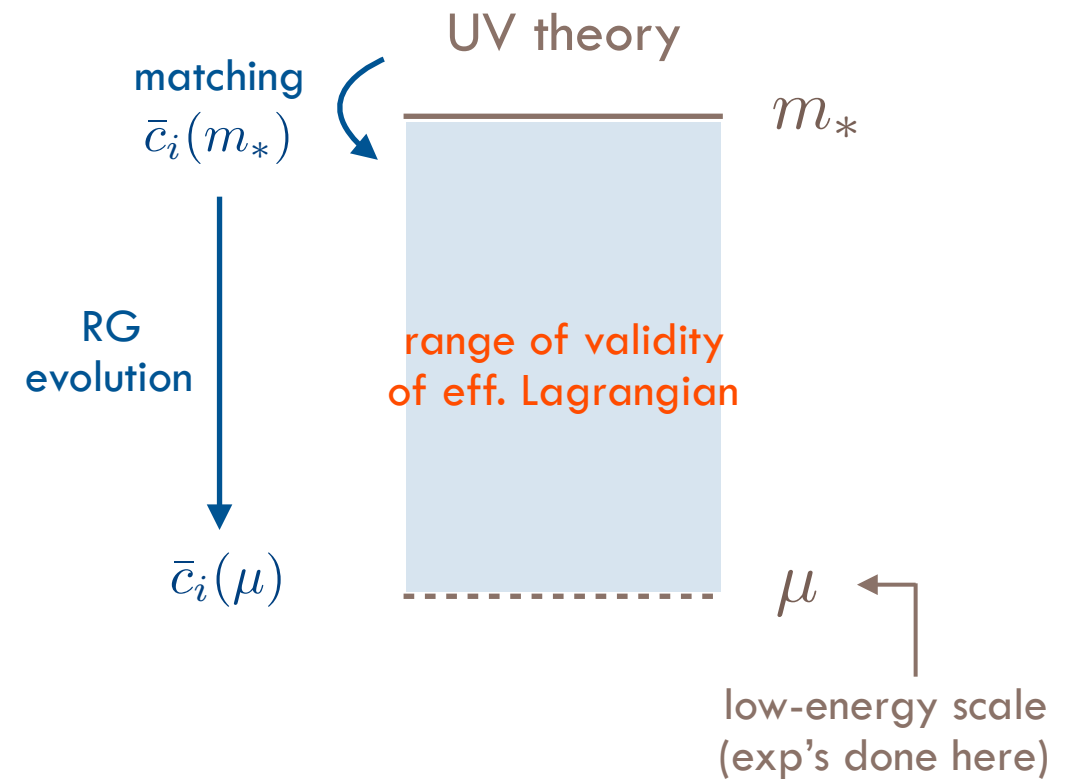
# Renormalization of EFT

# RG evolution of coefficients

- Loops of *light* (SM) particles induce the RG flow (and mixing) of the coefficients  $\bar{c}_i$

$$\bar{c}_i(\mu) = \left( \delta_{ij} + \gamma_{ij}^{(0)} \frac{\alpha_{SM}(\mu)}{4\pi} \log \frac{\mu}{m_*} \right) \bar{c}_j(m_*)$$

Elias-Miró et al. JHEP 1308 (2013) 033; JHEP 1311 (2013) 066  
 Jenkins et al. JHEP 1310 (2013) 087; JHEP 1401 (2014) 035  
 Alonso et al. JHEP 1404 (2014) 159



- No big hierarchy between  $m_*$  and EW scale, 1-loop corrections to SMEFT are generally *small*

# Do we need to go beyond tree level ?

- The bulk of the 1-loop effect (RG running) can be effectively included by setting limits on the value of the coefficients at the *low-energy* scale
- Knowledge of the RG running is however needed when it comes to make assumptions on the coefficients at the scale  $m_*$  (ex: to simplify the analysis by neglecting some of the operators)

## 1-loop effects important if:

[in setting limits]

Some loosely bound coefficients appears in a precisely measured observable at 1-loop level



Ex:  $\bar{c}_t$  in  $gg \rightarrow h$

[in constraining physics at  $m_*$ ]

A larger coefficient renormalizes a smaller one (for a given power counting). RG effects can be sizeable if UV dynamics is strongly coupled



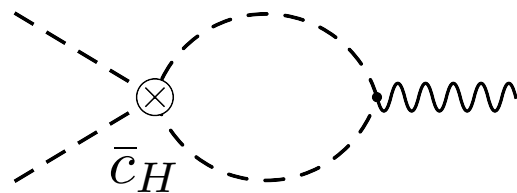
# RG evolution of coefficients

- In case of strong dynamics, leading effects come from loops of composite particles (i.e. Higgs, top quarks, ...)

Examples:

1. Running of  $\bar{c}_{W+B}$

$$O_{W+B} = \frac{ig}{2m_W^2} D^\nu W_{\mu\nu}^i (H^\dagger \sigma^i \overleftrightarrow{D}^\mu H) + \frac{ig'}{2m_W^2} \partial^\nu B_{\mu\nu} (H^\dagger \overleftrightarrow{D}^\mu H)$$



$$\bar{c}_{W+B}(\mu) = \bar{c}_{W+B}(m_*) - \frac{1}{6} \frac{\alpha_2}{4\pi} \log\left(\frac{\mu}{m_*}\right) \bar{c}_H(m_*)$$

$$\bar{c}_W(m_*), c_B(m_*) \sim \frac{m_W^2}{m_*^2}$$

$$\bar{c}_H(m_*) \sim \frac{v^2 g_*^2}{m_*^2} = \frac{m_W^2}{m_*^2} \frac{g_*^2}{g^2}$$

$$\frac{\Delta \bar{c}_{W+B}}{\bar{c}_{W+B}} \sim \frac{g_*^2}{16\pi^2} \log\left(\frac{m_*}{\mu}\right)$$

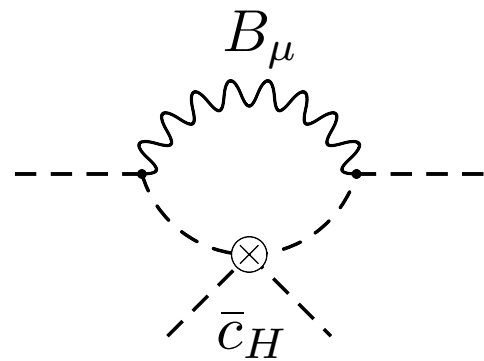
1-loop correction can be large if the UV dynamics is strongly-interacting (  $g_*$  large)

# RG evolution of coefficients

- In case of strong dynamics, leading effects come from loops of composite particles (i.e. Higgs, top quarks, ...)

Examples:

2. Running of  $\bar{c}_T$   $O_T = \frac{1}{2v^2} |H^\dagger \overleftrightarrow{D}_\nu H|^2$



$$\bar{c}_T(\mu) = \bar{c}_T(m_*) + \frac{3}{2} \tan^2 \theta_W \frac{\alpha_2}{4\pi} \log\left(\frac{\mu}{M}\right) \bar{c}_H(m_*)$$

$$\bar{c}_T(m_Z) \sim \frac{v^2}{f^2} \times \frac{g'^2}{16\pi^2} \log\left(\frac{m_*}{m_Z}\right)$$

Small but leading effect if  $\bar{c}_T(m_*) = 0$   
due to custodial invariance

# Fit to effective coefficients

# EFT fit to experimental data

## Two approaches:

- Possible effective strategy:

Pomarol, Riva JHEP 1401 (2014) 151

*Organize data (and group operators) according to how strongly they constrain the effective coefficients*

| observables  | precision             |
|--|-----------------------|
| input observables ( $G_F$ , $\alpha_{em}$ , $m_Z$ ), EDMs, (g-2) | better than $10^{-3}$ |
| Z-pole observables at LEP1, W mass                               | $10^{-3}$             |
| TGC (LEP2)   | $10^{-2}$             |
| Higgs physics (LHC)  | $10^{-1}$             |

- Global fit:

Ellis, Murphy, Sanz, You arXiv:1803.0352  
De Blas et al. arXiv:1710.05402

*More appropriate as LHC data becomes more and more sensitive*

# Results:

from: Ellis, Murphy, Sanz, You  
arXiv:1803.0352

| Coefficient             | Central value | 1- $\sigma$ |
|-------------------------|---------------|-------------|
| $\bar{c}_{3G}$          | 0.005         | 0.003       |
| $\bar{c}_{3W}$          | -0.018        | 0.023       |
| $\bar{c}_d$             | 0.36          | 0.15        |
| $\bar{c}_e$             | 0.09          | 0.11        |
| $\bar{c}_g$             | 0.00002       | 0.00002     |
| $\bar{c}_H$             | -1.1          | 0.6         |
| $\bar{c}_{HB}$          | -0.013        | 0.018       |
| $\bar{c}_{Hd}$          | -0.035        | 0.017       |
| $\bar{c}_{He}$          | 0.007         | 0.013       |
| $\bar{c}_{Hq}$          | -0.003        | 0.004       |
| $\bar{c}'_{Hq}$         | -0.003        | 0.003       |
| $\bar{c}_{Hu}$          | -0.03         | 0.013       |
| $\bar{c}_{HW}$          | 0.002         | 0.014       |
| $\bar{c}_{\ell\ell}$    | -0.009        | 0.006       |
| $\bar{c}_T$             | 0.005         | 0.013       |
| $\bar{c}_u$             | -4.7          | 2.6         |
| $\bar{c}_{uG}$          | 0.031         | 0.016       |
| $\bar{c}_W - \bar{c}_B$ | -0.04         | 0.04        |
| $\bar{c}_W + \bar{c}_B$ | 0.003         | 0.024       |
| $\bar{c}_\gamma$        | -0.001        | 0.0006      |

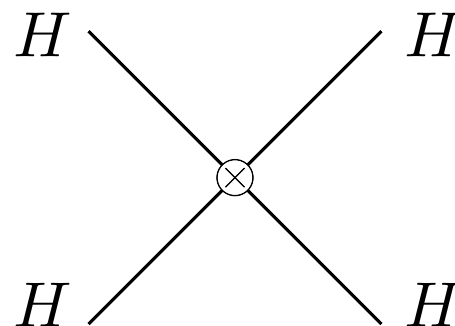
Q: What do the derived limits on  $c_i^{(6)}$  imply on the scale  $\Lambda$  of NP ?

 A: estimate of  $\Lambda$  depends on the kind of UV dynamics

# How far can we extrapolate weakly our theory

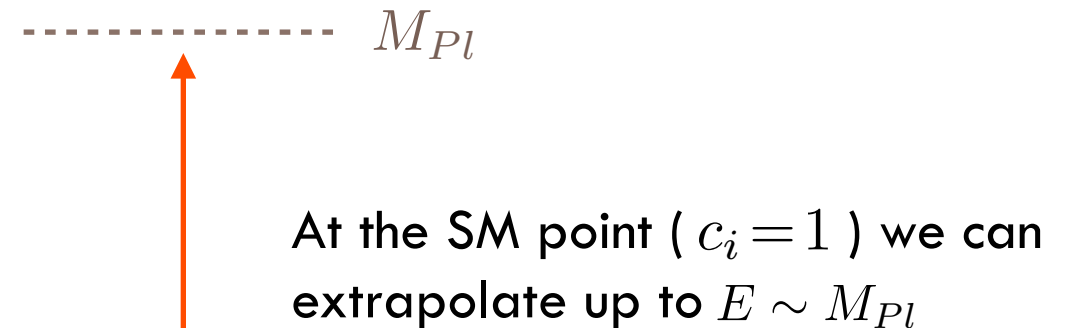
Higher-derivative operators  
imply strong coupling scale

Ex:  $O_H = [\partial_\mu (H^\dagger H)]^2$


 $\sim \bar{c}_H \frac{E^2}{v^2}$

Strong interaction at

$$E \sim \Lambda_S = \frac{4\pi v}{\sqrt{\bar{c}_H}}$$



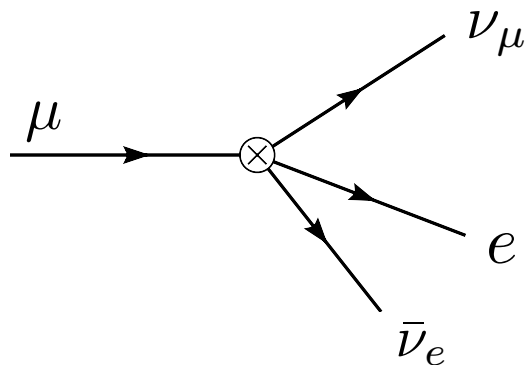
With current knowledge of the Higgs couplings  
(  $\delta c_i \lesssim 0.1 - 0.2$  ) we can extrapolate so much

# Validity of SMEFT at colliders

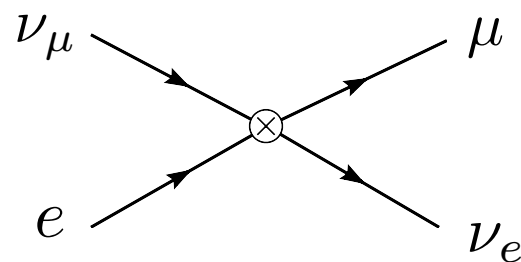
Example: Fermi theory

$$\mathcal{L}_{\text{eff}} \supset c^{(6)} (\bar{e} \gamma_\rho P_L \nu_e) (\bar{\nu}_\mu \gamma_\rho P_L \mu) + \text{h.c.}$$

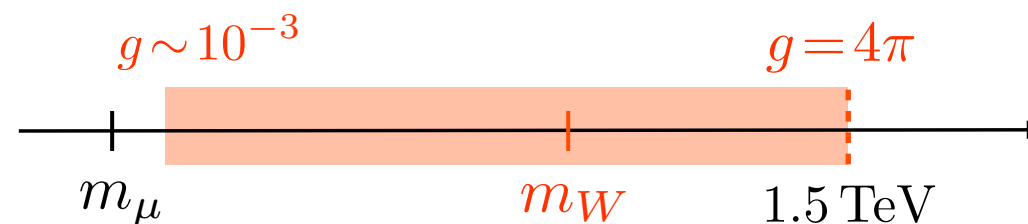
$$c^{(6)} = -\frac{g^2}{2m_W^2}$$



Muon decay measures  $c^{(6)} \sim g^2/m_W^2 \longrightarrow$  “new physics” scale  $m_W$  not directly accessible



Estimating the scale at which NP shows up (e.g. in neutrino scattering) requires making an assumption on the coupling

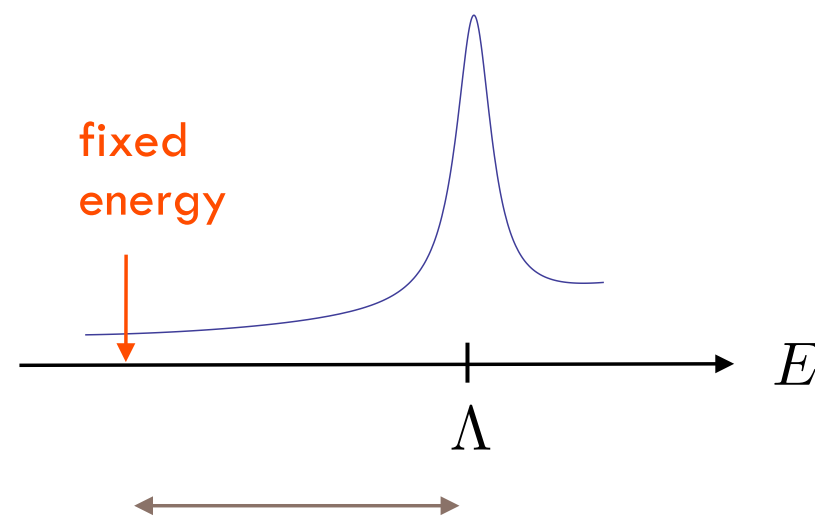


👉 Assessing the validity of the EFT analysis also requires making assumptions of the UV dynamics



## LHC not ideal for an EFT approach

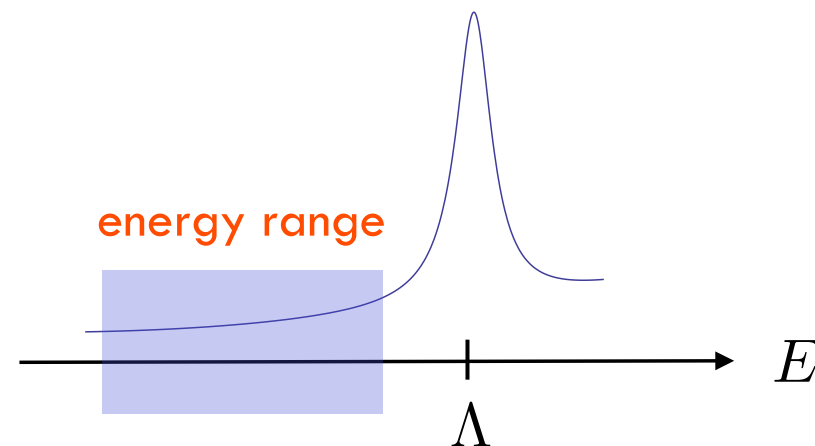
- EFT best suited to fixed-energy, high-precision experiments (ex: LEP, flavor)



large gap of scales requires RG to re-sum large logs

## LHC not ideal for an EFT approach

- EFT best suited to fixed-energy, high-precision experiments (ex: LEP, flavor)



- less suited to low-precision experiments probing an energy range (ex: LHC, hadron machines in general)

EFT fails when max probed energy  $E_{max}$  is equal or bigger than physical scale  $\Lambda$

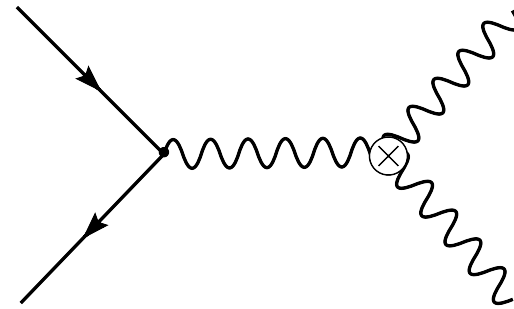
👉 One can check a posteriori, but *needs to know*  $E_{max}$

# TGC measurements: LEP vs LHC

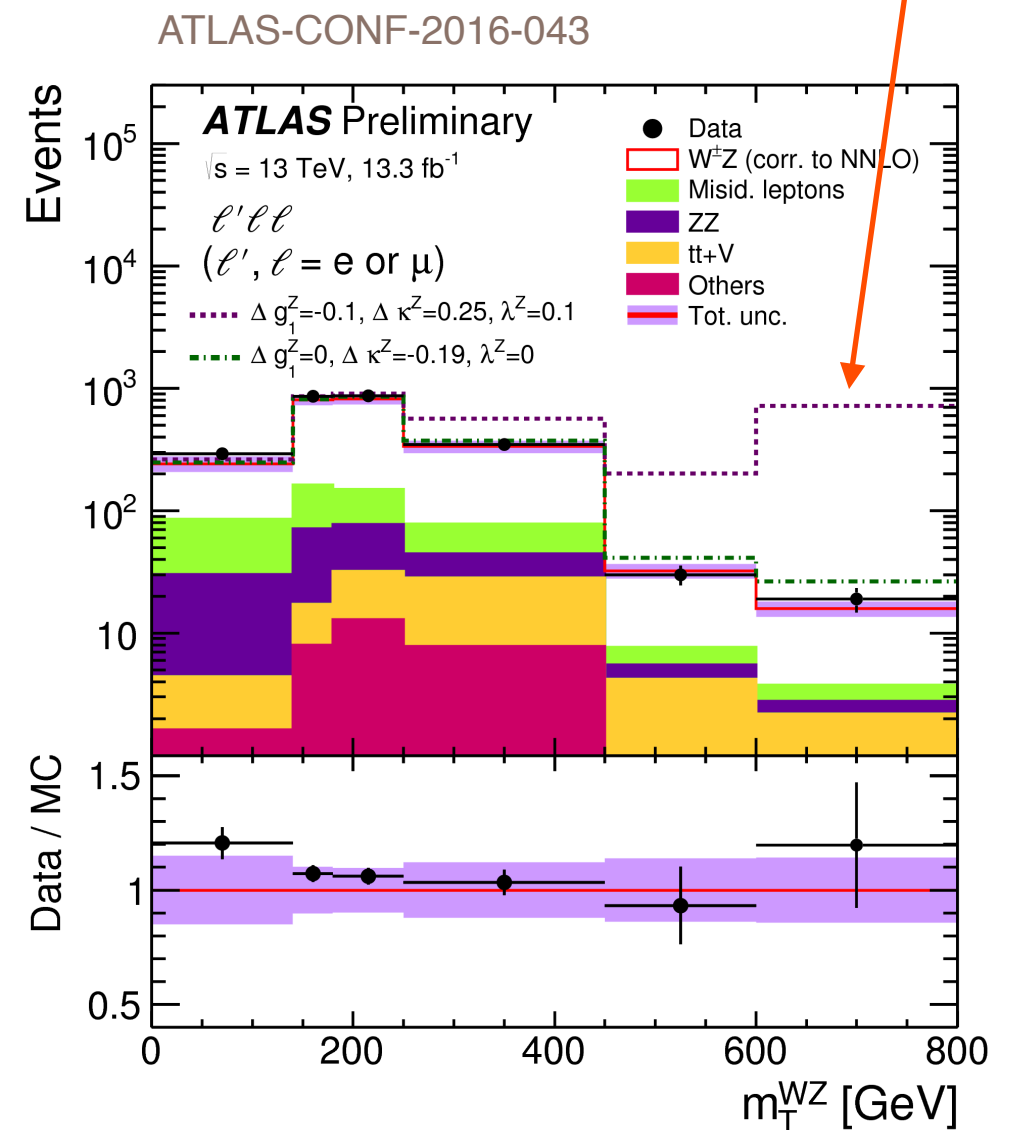
Three dim-6 operators affect TGC

$$\begin{aligned} O_{HW} &= D_\mu H^\dagger W^{\mu\nu} D_\nu H \\ O_{HB} &= D_\mu H^\dagger B^{\mu\nu} D_\nu H \\ O_{3W} &= \text{Tr}(W_{\mu\nu} W^{\nu\rho} W_\rho^\mu) \end{aligned} \quad \left. \begin{array}{l} \\ \\ \end{array} \right\} \begin{array}{l} \rightarrow V_L V_L \\ \rightarrow V_T V_T \end{array}$$

- LEP2 operated in a narrow range of com energies  $\sqrt{s} \sim 200 \text{ GeV}$
- LHC spans a wide energy interval



sensitivity on NP  
mainly comes from  
bins at large energy



# Fit to TGCs

Butter et al. JHEP 1607 (2016) 152

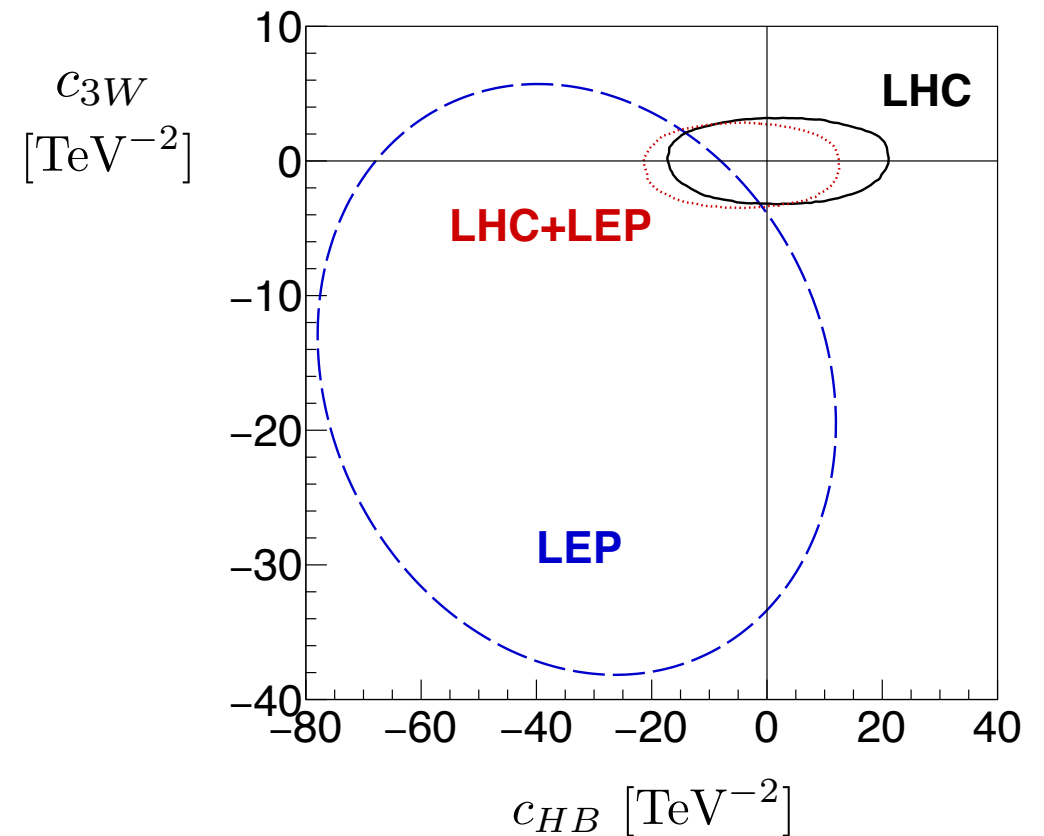
see also:

Falkowski et al. JHEP 1702 (2017) 115

Franceschini et al. JHEP 1802 (2018) 111

Liu and L.T. Wang arXiv:1804.08688

$$\sigma = \sigma_{SM} (1 + c_i A_i + c_i c_j B_{ij})$$



1-dimensional 95% CL constraints

LEP

$$c_{HW} \in [-7.6, 19] \text{ TeV}^{-2}$$

$$c_{HB} \in [-67, 1.8] \text{ TeV}^{-2}$$

$$c_{3W} \in [-32, 3.3] \text{ TeV}^{-2}$$

fit dominated by (D=6) linear terms

LHC

$$c_{HW} \in [-1.5, 6.3] \text{ TeV}^{-2}$$

$$c_{HB} \in [-14.3, 15.9] \text{ TeV}^{-2}$$

$$c_{3W} \in [-2.4, 3.2] \text{ TeV}^{-2}$$

fit dominated by (D=6)<sup>2</sup> terms

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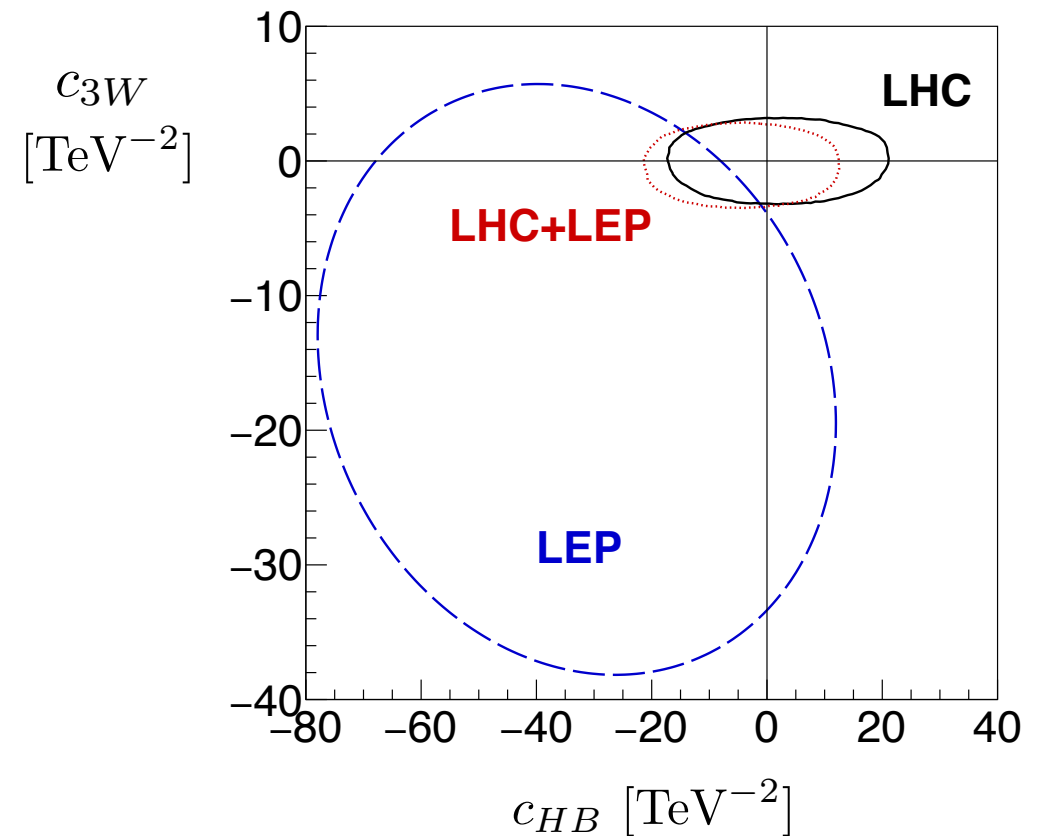
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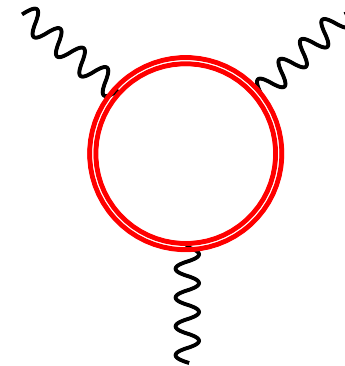
Naively:

- LHC constraints stronger than LEP ones
- $c_{3W}$  slightly more constrained

# Estimating the cutoff scale through SILH power counting (1 coupling, 1 scale):

[Giudice et al. JHEP 0706 (2007) 045]

$$c_{3W} \sim \frac{g}{\Lambda^2} \left( \frac{g^2}{16\pi^2} \right) \quad c_{HW,HB} \sim \frac{g}{\Lambda^2} \left( \frac{g_*^2}{16\pi^2} \right)$$



1-dimensional 95% CL constraints

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$$\begin{aligned} c_{HW} &\in [-7.6, 19] \text{ TeV}^{-2} \\ c_{HB} &\in [-67, 1.8] \text{ TeV}^{-2} \\ c_{3W} &\in [-32, 3.3] \text{ TeV}^{-2} \end{aligned} \quad \left. \begin{array}{l} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \right\} \rightarrow \begin{aligned} &\Lambda \gtrsim 200 \text{ GeV} \left( \frac{g_*}{4\pi} \right) \\ &\Lambda \gtrsim 10 \text{ GeV} \end{aligned}$$

LHC

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EFT does not quite work,  
unless the power counting  
is different

for example

**Strong dipolar interactions**

[ Liu, Pomarol, Rattazzi, Riva JHEP 1611 (2016) 141]

$$c_{3W} \sim \frac{g_*}{\Lambda^2}$$



$$\Lambda \gtrsim 2 \text{ TeV} \left( \frac{g_*}{4\pi} \right)^{1/2}$$

95% CL at the LHC

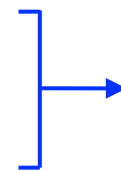
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$$\Lambda \gtrsim 300 \text{ GeV} \left( \frac{g_*}{4\pi} \right)$$



$$\Lambda \gtrsim 20 \text{ GeV}$$

# Linear vs Quadratic

Notice:

Dominance of linear terms (over quadratic ones) is per se neither sufficient nor necessary a condition for the EFT to be valid

✗ Not sufficient

Ex: TGC at LEP2

$$\frac{\delta\sigma}{\sigma} \sim \frac{c_{3W}}{g} E^2 \sim \underbrace{\frac{g^2}{16\pi^2}}_{\text{small}} \underbrace{\frac{E^2}{\Lambda^2}}_{\text{large}} \text{ large}$$

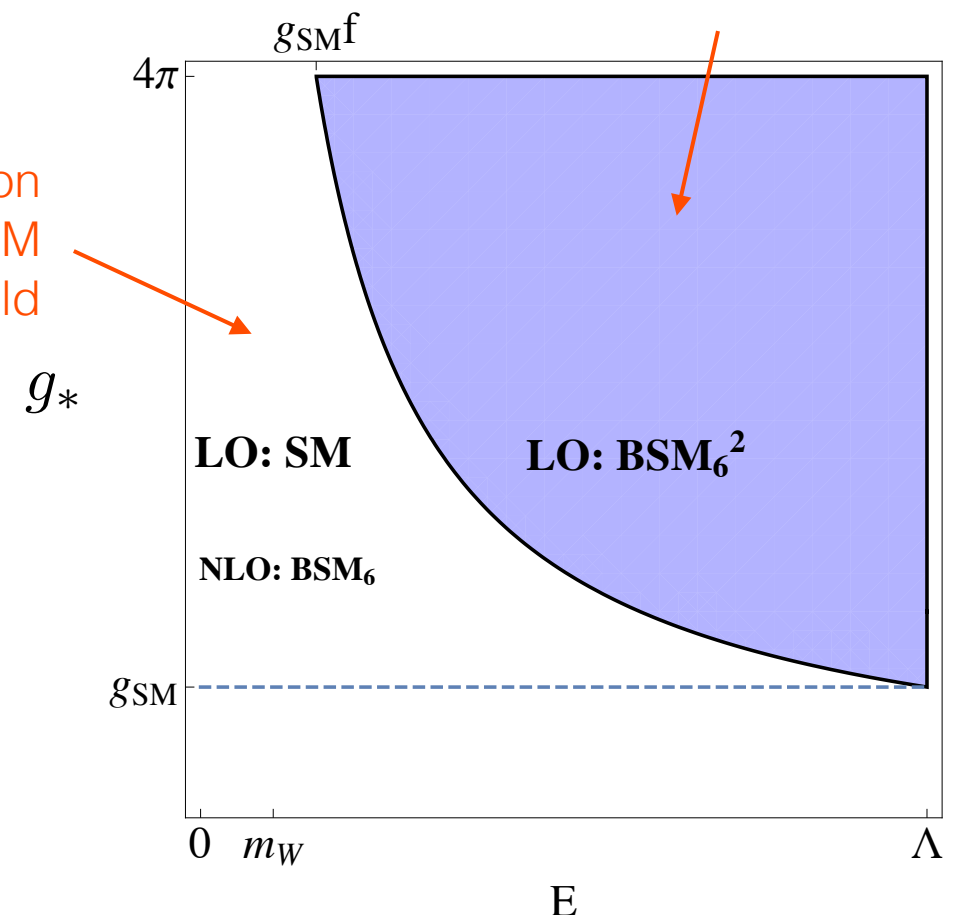
✗ Not necessary

Ex:  $V_L V_L \rightarrow V_L V_L$  scattering

$$O_6 = (H\partial H)^2 \quad c^{(6)} \sim \frac{g_*^2}{\Lambda^2}$$

NLO correction  
from dim6-SM  
close to threshold

$$\sigma(LL \rightarrow LL) \sim \frac{g_{\text{SM}}^4}{E^2} \left[ 1 + \underbrace{\frac{g_*^2}{g_{\text{SM}}^2} \frac{E^2}{\Lambda^2}}_{\text{BSM}_6 \times \text{SM}} + \underbrace{\frac{g_*^4}{g_{\text{SM}}^4} \frac{E^4}{\Lambda^4}}_{\text{BSM}_6^2} + \dots \right]$$

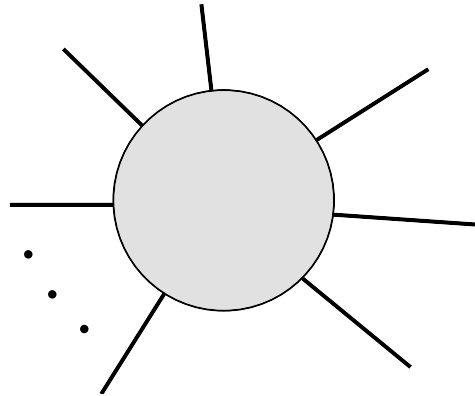




## Further challenge to EFT:

## Non-interference from helicity selection rules

[ Azatov, RC, Machado, Riva PRD 92 (2015) 035001]



$$h(A) = \sum_i h_i$$

dim-6 and SM interfere only if they contribute to the same helicity amplitude  
(the total helicity  $h(A)$  must be the same)

| $A_4$              | $ h(A_4^{\text{SM}}) $ | $ h(A_4^{\text{BSM}}) $ |
|--------------------|------------------------|-------------------------|
| $VVVV$             | 0                      | 4,2                     |
| $VV\phi\phi$       | 0                      | 2                       |
| $VV\psi\psi$       | 0                      | 2                       |
| $V\psi\psi\phi$    | 0                      | 2                       |
| $\psi\psi\psi\psi$ | 2,0                    | 2,0                     |
| $\psi\psi\phi\phi$ | 0                      | 0                       |
| $\phi\phi\phi\phi$ | 0                      | 0                       |

No interference for  
4-point amplitudes  
with at least one  
transverse boson

### Validity:

- at tree-level in the massless (high-energy) limit  $E \gg m_W$
- only dim-6 operators
- only 4-point amplitudes

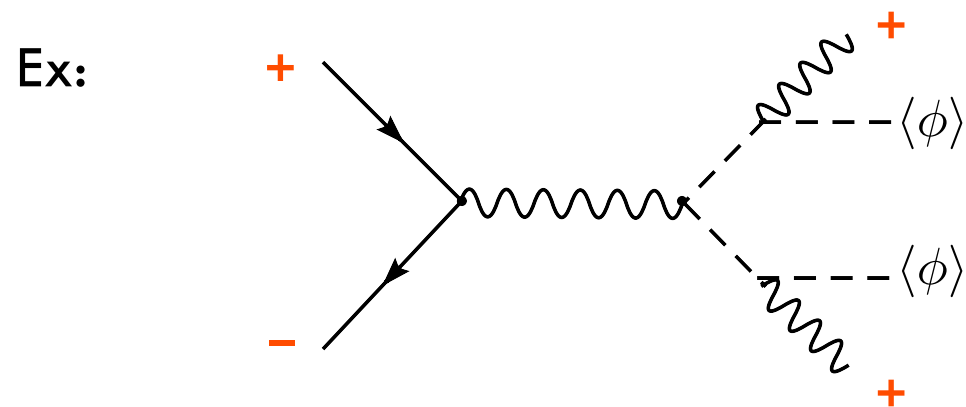
# Beyond the leading approximation

- Non-interference in general fails for higher-point amplitudes and at the 1-loop level

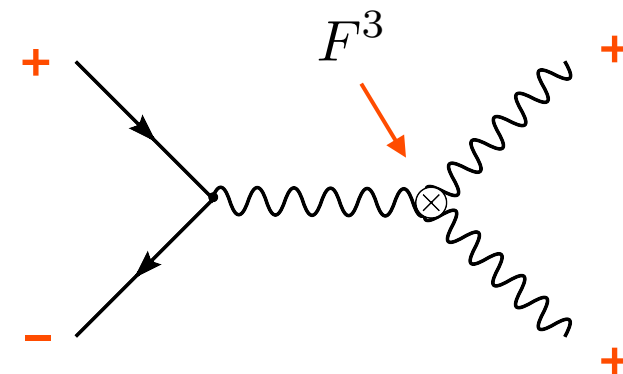
Leading effect arises at  $O(\alpha_S/\pi)$  from **real emissions** (for inclusive processes) and **1-loop** virtual corrections (pure EW corrections similar but smaller)

**No log enhancement** in the interference due to soft and collinear singularities in real emissions or IR divergences in 1-loop diagrams [ see: Dixon and Shadmi NPB 423 (1994) 3]

- **Finite-mass** effects arise at  $O(m_{W,t}^2/E^2)$  and can be determined by considering higher-point amplitudes with Higgs vevs



SM:  $A_6(\psi^+\psi^-V^+V^+\phi\phi)$



BSM<sub>6</sub>:  $A_6(\psi^+\psi^-V^+V^+)$

- radiative corrections subdominant compared to mass effects except at very high energies  $E \gtrsim m_W \sqrt{4\pi/\alpha_S} \sim 1 \text{ TeV}$

*Fermion mass insertions usually subdominant except for top quarks (e.g.  $F^3$  interferes at  $O(\varepsilon_F^2)$  in  $gg \rightarrow t\bar{t}$ )*

- Accessing the  $O(1/\Lambda^2)$  corrections from D=6 operators *without relative suppression* is possible by considering **2  $\rightarrow$  3 processes** (i.e. 2  $\rightarrow$  2 plus extra jet)

*ex: constraining  $F^3$  through 3-jet events* [ Dixon and Shadmi NPB 423 (1994) 3]

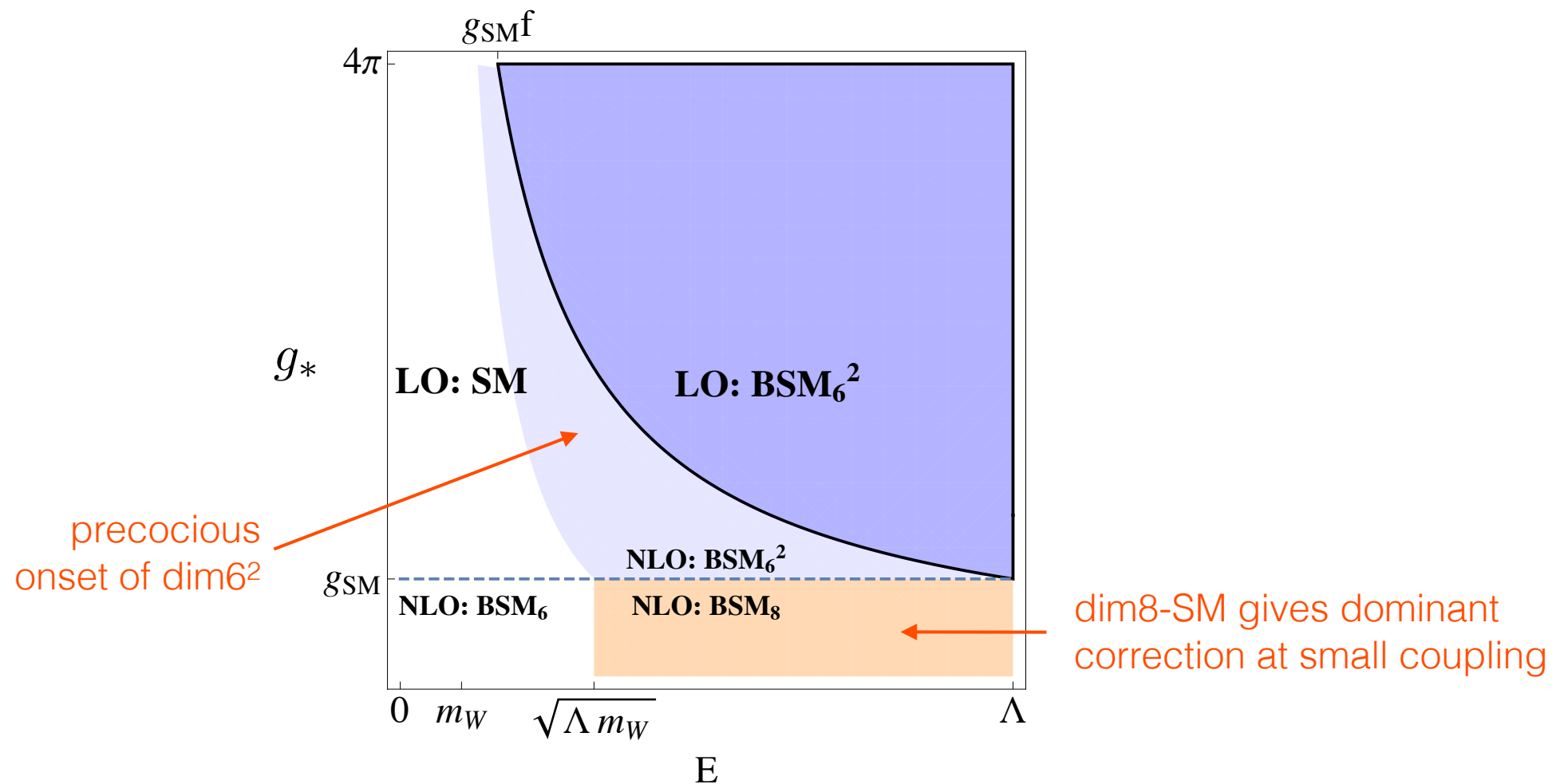
Max gain in sensitivity  $\sim \sqrt{4\pi/\alpha_S}$  (at the cost of a reduced  $S/B$  )

# Implications of non-interference

Example:  $V_L V_L \rightarrow V_T V_T$  ( $T = \pm$ )

$$O_6 = F_{\mu\nu}^2 H^\dagger H \quad c^{(6)} \sim \frac{g_*^2}{\Lambda^2}$$

$$O_8 = F_{\mu\nu}^2 H^\dagger H D^2 \quad c^{(8)} \sim \frac{g_*^2}{\Lambda^4}$$



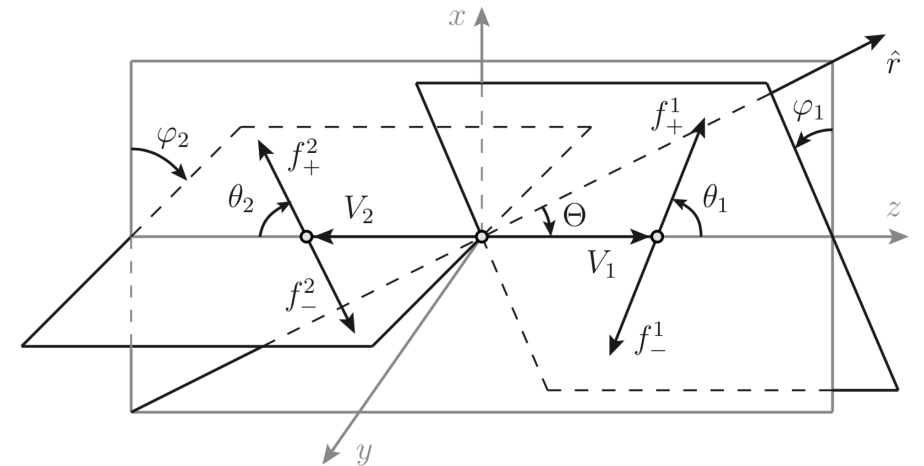
$$\sigma(LL \rightarrow TT) \sim \frac{g_{\text{SM}}^4}{E^2} \left[ 1 + \underbrace{\frac{g_*^2}{g_{\text{SM}}^2} \frac{m_W^2}{\Lambda^2}}_{\text{BSM}_6 \times \text{SM}} + \underbrace{\frac{g_*^4}{g_{\text{SM}}^4} \frac{E^4}{\Lambda^4}}_{\text{BSM}_6^2} + \underbrace{\frac{g_*^2}{g_{\text{SM}}^2} \frac{E^4}{\Lambda^4}}_{\text{BSM}_8 \times \text{SM}} + \dots \right]$$

# Avoiding non-interference by exclusive processes

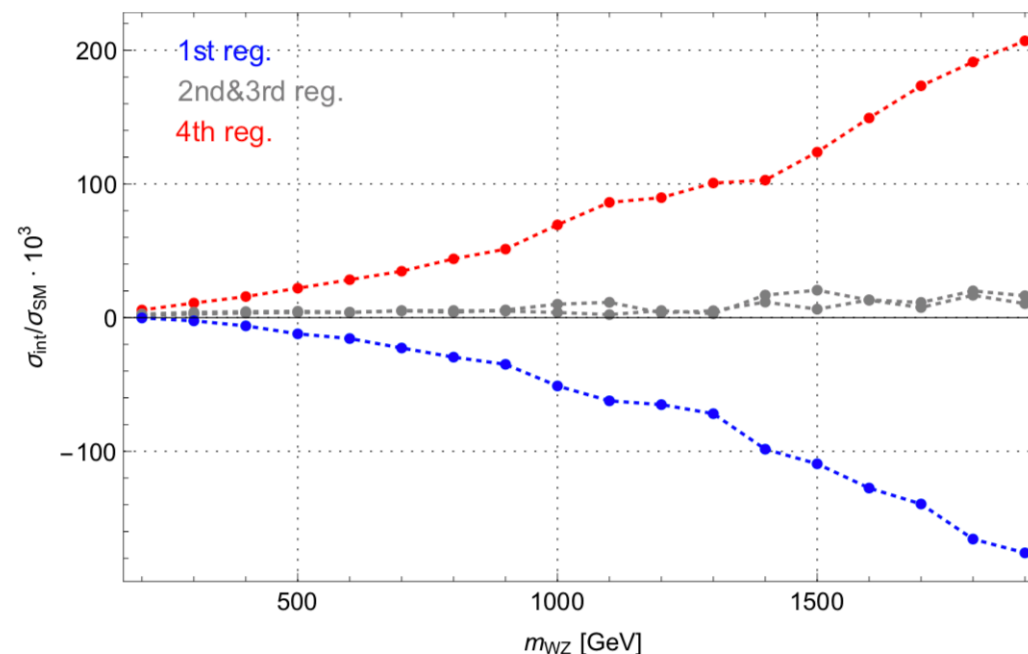
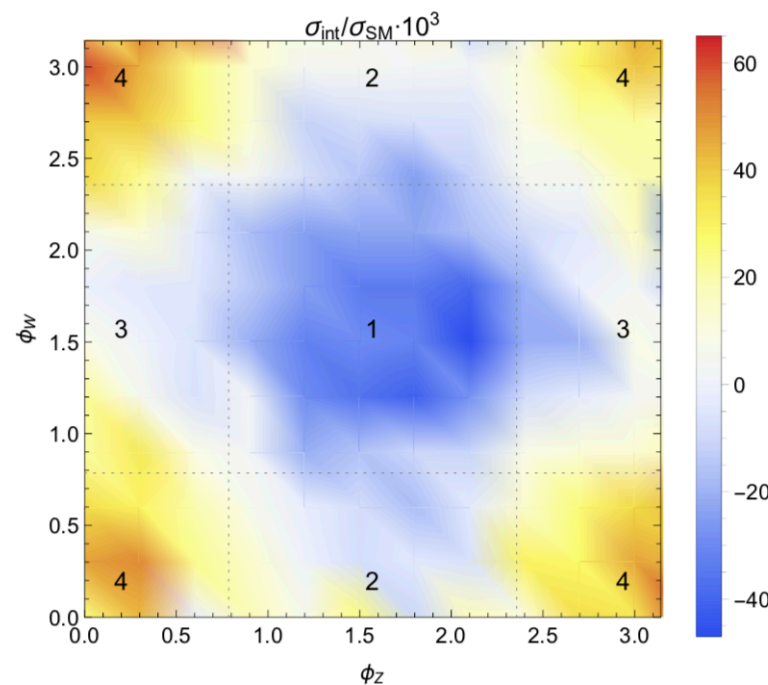
Panico, Riva and Wulzer, PLB 776 (2018) 473  
Azatov, Elias-Miro, Reyimuaji, Venturini JHEP 1710 (2017) 027

- Vector bosons not asymptotic states, decay to fermions
- Interference arises in scattering amplitudes at fixed azimuthal angles

Averaging over azimuthal angles washes out the interference



$$\frac{d\sigma_{\text{int}}(q\bar{q} \rightarrow WZ \rightarrow 4\psi)}{d\phi_Z d\phi_W} \propto \cos(2\phi_Z) + \cos(2\phi_W)$$



# Strategy for a consistent EFT analysis of data

[ RC, Falkowski, Goertz, Grojean, Riva JHEP 1607 (2016) 144 ]

1. Fit of coefficients  $c_i^{(6)}$  can be done model independently

Results should be reported as functions of  $M_{\text{cut}} = \text{max characteristic energy scale}$

$$c_i^{(6)} < \delta_i^{\text{exp}}(M_{\text{cut}})$$

2. Interpretation of results require assumptions on UV dynamics

power counting  $\rightarrow c_i^{(6)} = \frac{\tilde{c}_i^{(6)}(g_*)}{\Lambda^2}$

3. Consistent (though conservative) limits through *restriction* of dataset: set  $M_{\text{cut}} = \kappa \Lambda$

$$c_i^{(6)} = \frac{\tilde{c}_i^{(6)}(g_*)}{\Lambda^2} < \delta_i^{\text{exp}}(\kappa \Lambda)$$

limits on scale  $\Lambda$  set by  
using data up to  $M_{\text{cut}} = \kappa \Lambda$

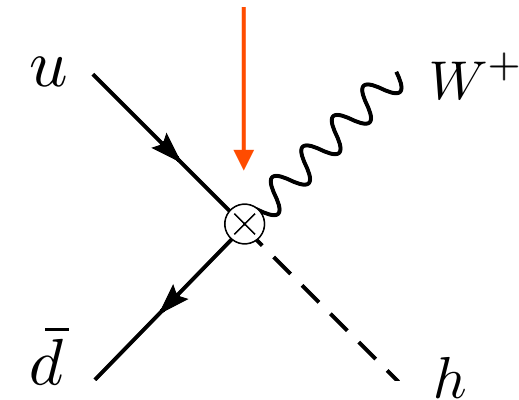
$0 < \kappa < 1$  controls the size of  
the tolerated error due to  
higher-derivative operators

Example of idealized measurement:  $u\bar{d} \rightarrow W^+ h$

| $M_{Wh}[\text{TeV}]$        | 0.5         | 1           | 1.5         | 2           | 2.5         | 3           |
|-----------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| $\sigma/\sigma_{\text{SM}}$ | $1 \pm 1.2$ | $1 \pm 1.0$ | $1 \pm 0.8$ | $1 \pm 1.2$ | $1 \pm 1.6$ | $1 \pm 3.0$ |

$$O_{H\psi} = i \bar{q}_L \gamma_\mu \sigma^a q_L (H^\dagger \sigma^a \overleftrightarrow{D}_\mu H)$$

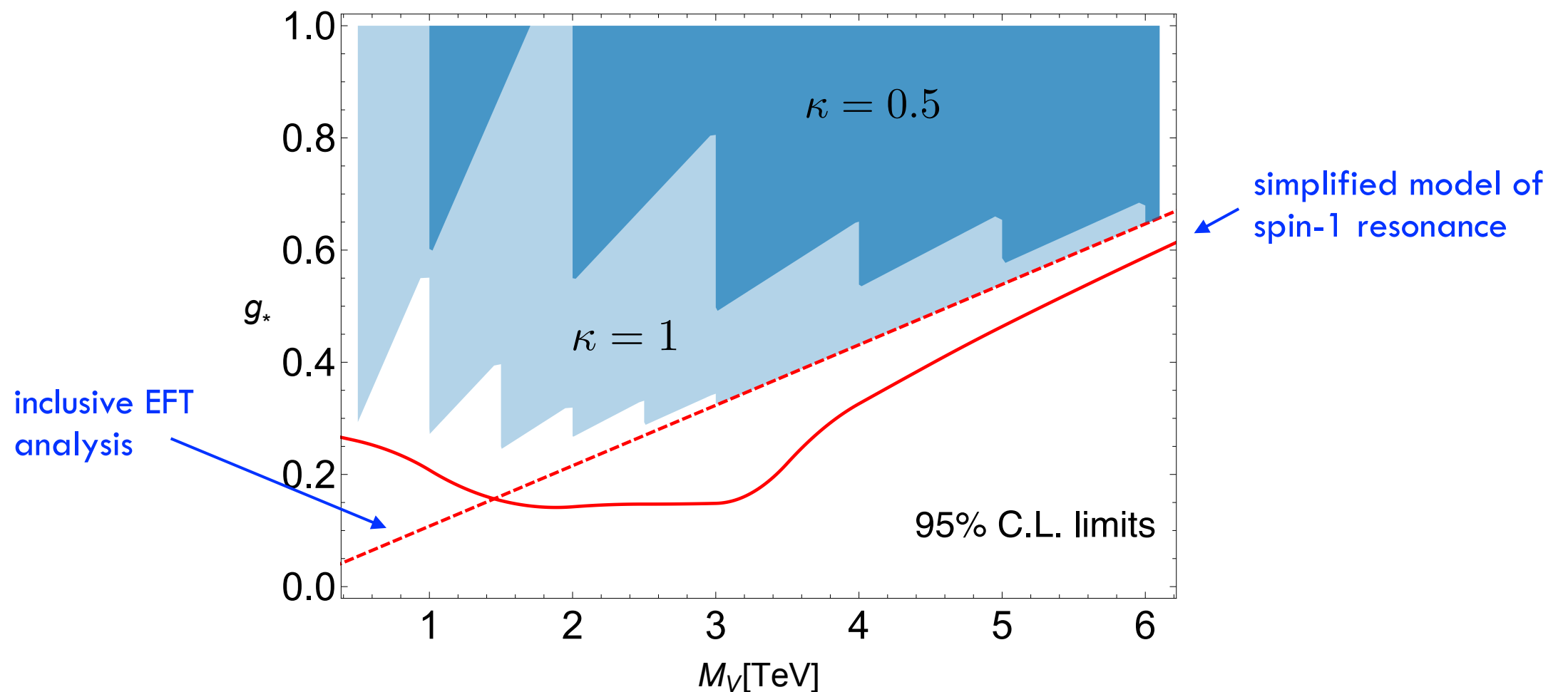
$$c_{H\psi} = -\frac{g_H g_q}{M_V^2}$$



Model of heavy spin-1:

$$\mathcal{L} \supset i g_H V_\mu^i H^\dagger \sigma^i \overleftrightarrow{D}_\mu H + g_q V_\mu^i \bar{q}_L \gamma_\mu \sigma^i q_L$$

Recast with SILH power counting:  $-g_q = g_H = g_*$



# Beyond dim-6 operators



- D=8 operators can become important in special cases if D=6 ones are suppressed by *symmetries* or *selection rules*

Example: Double Higgs production via gluon fusion (assuming Higgs is a pNGB)

[ Azatov, RC, Panico, Son PRD 92 (2015) 035001 ]

violates the shift (Goldstone) symmetry

$$O_g = H^\dagger H G_{\mu\nu}^a G^{a\mu\nu}$$

$$c^{(6)} \sim \frac{g_s^2}{16\pi^2} \frac{\lambda^2}{\Lambda^2}$$

(  $\lambda$  = weak spurion breaking the shift symmetry)

$$O_{gD0} = (D_\rho H^\dagger D^\rho H) G_{\mu\nu}^a G^{a\mu\nu}$$

$$O_{gD2} = (\eta^{\mu\nu} D_\rho H^\dagger D^\rho H - 4D^\mu H^\dagger D^\nu H) G_{\mu\alpha}^a G_\nu^{a\alpha}$$

$$c^{(8)} \sim \frac{g_s^2}{16\pi^2} \frac{g_*^2}{\Lambda^4}$$

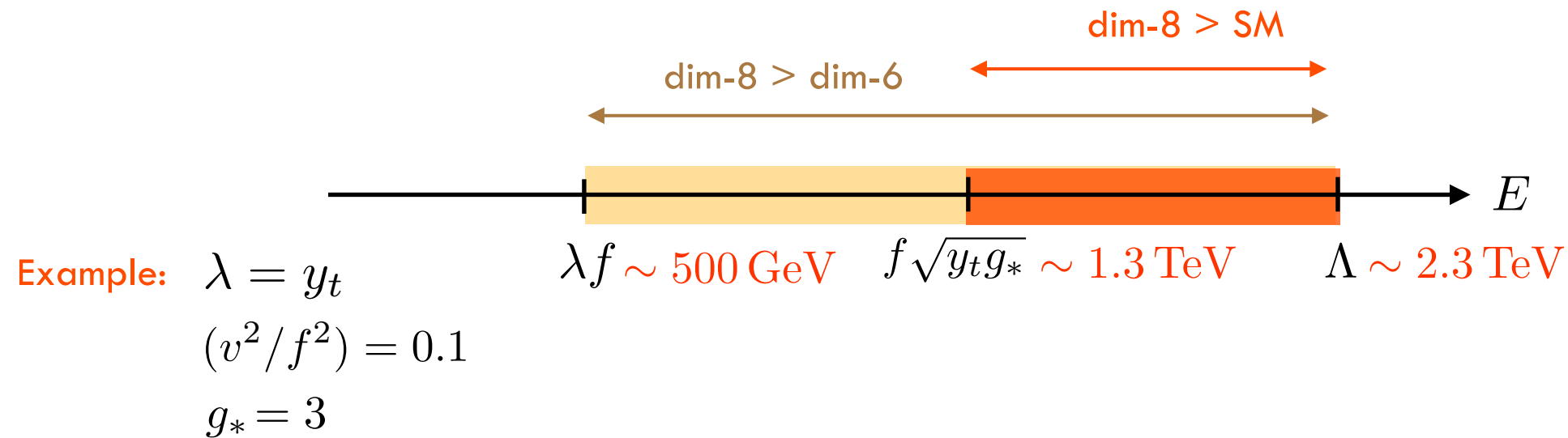
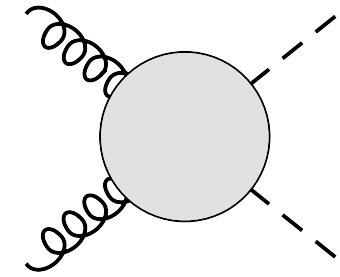
$$A(gg \rightarrow hh) \sim \frac{g_s^2}{16\pi^2} \left( \overset{\text{SM}}{\downarrow} y_t^2 + \overset{\text{dim-6}}{\downarrow} \lambda^2 \frac{E^2}{\Lambda^2} + \overset{\text{dim-8}}{\downarrow} g_*^2 \frac{E^4}{\Lambda^4} + \dots \right)$$

Notice: strong coupling  $g_*$  appears only at the dim-8 level

dim-8 dominate over dim-6 for:

$$\lambda f < E < \Lambda$$

In practice: double Higgs production has a very low rate, dim-8 are unobservable at the LHC unless bigger than SM



For a luminosity:  $L = 3 \text{ ab}^{-1}$

- requiring at least 5 events
- including 10% efficiency due to kinematic cuts

| Largest value<br>of $m(hh)[\text{GeV}]$ | $b\bar{b}\gamma\gamma$ | $4b$ |
|---|------------------------|------|
| $\sqrt{s} = 14 \text{ TeV}$             | 550                    | 1550 |
| $\sqrt{s} = 100 \text{ TeV}$            | 1350                   | 4300 |

Probing dim-8 operators is very difficult (perhaps impossible) at the LHC