

Theoretical status of two-body charm decays

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Towards the Ultimate Precision in Flavour Physics

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based on:

- Phys. Rev. Lett. **119**, no. 25, 251801 (2017) [arXiv:1708.03572],
- Phys. Rev. Lett. **115**, no. 25, 251802 (2015) [arXiv:1506.04121],
- Phys. Rev. D **92**, no. 5, 054036 (2015) [arXiv:1508.00074],
- Phys. Rev. D **92**, no. 1, 014004 (2015) [arXiv:1503.06759],
- Phys. Rev. D **87**, no. 1, 014024 (2013) [arXiv:1211.3734]

Recent experimental achievements

Status of ΔA_{CP} : Waiting for run 2 update

$$\begin{aligned}\Delta a_{CP}^{\text{dir}} &\equiv a_{CP}^{\text{dir}}(D^0 \rightarrow K^+ K^-) - a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+ \pi^-) \\ &= -0.00134 \pm 0.00070, \quad \text{[HFLAV av. 08/17]}\end{aligned}$$

including the two 3fb^{-1} LHCb measurements with different techniques:

$$\begin{aligned}\Delta A_{CP} &= +0.0014 \pm 0.0016 \pm 0.0008, \quad [B \rightarrow D^0 \mu X, \text{LHCb } 1405.2797] \\ \Delta A_{CP} &= -0.0010 \pm 0.0008 \pm 0.0003. \quad [\text{prompt } D^*, \text{LHCb } 1602.03160]\end{aligned}$$

Recent improvements in New Physics and Observation Channels

$$\begin{aligned}a_{CP}^{\text{dir}}(D^+ \rightarrow \pi^+ \pi^0) &= +0.0231 \pm 0.0124 \pm 0.0023 \quad \text{[Belle 1712.00619]} \\ A_{CP}(D^0 \rightarrow K_S K_S) &= -0.029 \pm 0.052 \pm 0.022 \quad \text{[LHCb } 3\text{fb}^{-1} \text{ } 1508.06087] \\ A_{CP}(D^0 \rightarrow K_S K_S) &= -0.0002 \pm 0.0153 \pm 0.0002 \pm 0.0017 \quad \text{[Belle 1705.05966]}\end{aligned}$$

$$\text{Please improve } A_{CP}(D_s^+ \rightarrow K^+ \pi^0) = -0.266 \pm 0.238 \pm 0.009. \quad \text{[CLEO 0906.3198]}$$

Problems for Theory

Disentangle QCD-Effects from New Physics

Bound state effects of the strong interaction (**old physics**) or **new physics** beyond the Standard Model?

$$B^0 = (\bar{b}d)$$

$$D^0 = (c\bar{u})$$

Reduced theory toolbox for charm decays

- Charm is **not really heavy** compared to Λ_{QCD} .
- Perturbative expansion in Λ_{QCD}/m_c will **not** work.
- We **cannot calculate** so many things.

CKM structure of SCS D decays

For SCS decays:

$$\mathcal{A} = \lambda_{sd} \mathcal{A}_{sd} - \frac{\lambda_b}{2} \mathcal{A}_b$$

Direct CP asymmetry:

$$a_{CP}^{\text{dir}} \equiv \frac{|\mathcal{A}|^2 - |\overline{\mathcal{A}}|^2}{|\mathcal{A}|^2 + |\overline{\mathcal{A}}|^2} = \text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{\mathcal{A}_b}{\mathcal{A}_{sd}}$$

- $\lambda_q \equiv V_{cq}^* V_{uq}$, $q = d, s, b$, $\lambda_{sd} \equiv (\lambda_s - \lambda_d)/2$, $\lambda_d + \lambda_s + \lambda_b = 0$.
- $|\mathcal{A}_{sd}|$ fixed from measured **branching ratios**.
- Need $|\mathcal{A}_b|$ and **phase** $\arg\left(\frac{\mathcal{A}_b}{\mathcal{A}_{sd}}\right)$ to predict a_{CP}^{dir} .

SU(3)_F symmetry

Approximate SU(3)_F symmetry of QCD:

Because of $m_{u,d,s} \ll \Lambda_{\text{QCD}}$ the hadronic amplitudes are approximately invariant under unitary rotations of

$$\begin{pmatrix} u \\ d \\ s \end{pmatrix}.$$

↳ Correlations between various $D \rightarrow K\pi$ decays.

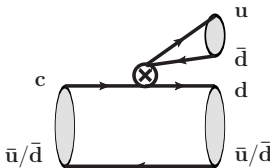
Example: In the limit of exact SU(3)_F symmetry:

$$\mathcal{A}_{sd}(D^0 \rightarrow \pi^+ \pi^-) = -\mathcal{A}_{sd}(D^0 \rightarrow K^+ K^-).$$

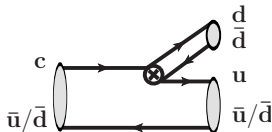
Topological Amplitudes

[Chau 1980,1982; Zeppenfeld 1981, Buras Silvestrini 1998]

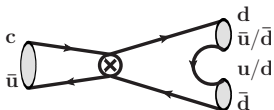
$SU(3)_F$ limit amplitudes contributing to A_{sd} :



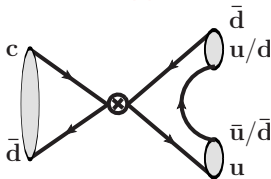
tree (T)



color-suppressed tree (C)



exchange (E)

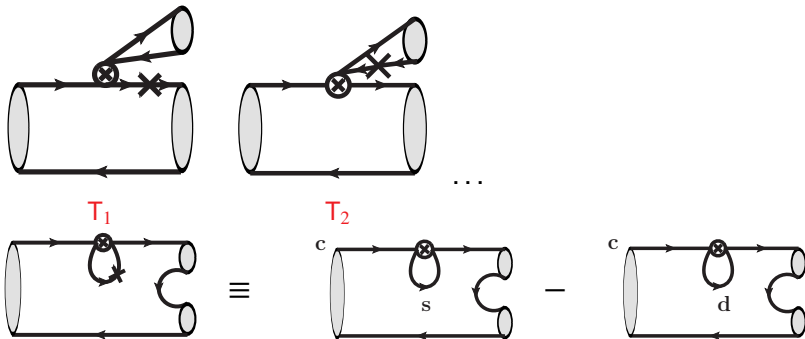


annihilation (A)

Diagrammatic $SU(3)_F$ breaking

- Feynman rule from $H_{SU(3)_F} = (m_s - m_d)\bar{s}s$: dot on s -quark line. [Gronau 1995]
- Find 14 new topological amplitudes:
3 diagrams for each T, C, E, A ; $P_{\text{break}} \equiv P_d - P_s$; $PA_{\text{break}} \equiv PA_d - PA_s$.

[Brod Grossman Kagan Zupan 2012]



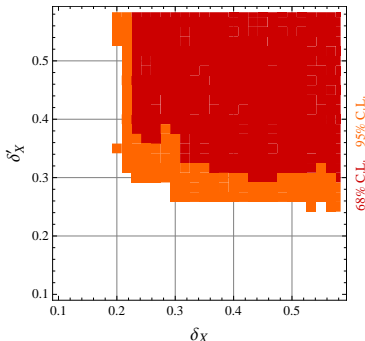
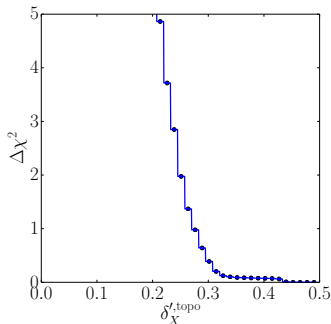
penguin (P_{break})

Most general parameterization (excerpt)

Decay d	T	$T_1^{(1)}$	$T_2^{(1)}$	$T_3^{(1)}$	A	$A_1^{(1)}$	$A_2^{(1)}$	$A_3^{(1)}$	C	$C_1^{(1)}$	$C_2^{(1)}$	$C_3^{(1)}$...
SCS													
$D^0 \rightarrow K^+ K^-$	1	1	1	0	0	0	0	0	0	0	0	0	...
$D^0 \rightarrow \pi^+ \pi^-$	-1	0	0	0	0	0	0	0	0	0	0	0	...
$D^0 \rightarrow \bar{K}^0 K^0$	0	0	0	0	0	0	0	0	0	0	0	0	...
$D^0 \rightarrow \pi^0 \pi^0$	0	0	0	0	0	0	0	0	$-\frac{1}{\sqrt{2}}$	0	0	0	...
$D^+ \rightarrow \pi^0 \pi^+$	$-\frac{1}{\sqrt{2}}$	0	0	0	0	0	0	0	$-\frac{1}{\sqrt{2}}$	0	0	0	...
$D^+ \rightarrow \bar{K}^0 K^+$	1	1	1	0	-1	0	0	-1	0	0	0	0	...
$D_s \rightarrow K^0 \pi^+$	-1	0	0	-1	1	1	1	0	0	0	0	0	...
$D_s \rightarrow K^+ \pi^0$	0	0	0	0	$-\frac{1}{\sqrt{2}}$	$-\frac{1}{\sqrt{2}}$	$-\frac{1}{\sqrt{2}}$	0	$-\frac{1}{\sqrt{2}}$	0	0	$-\frac{1}{\sqrt{2}}$...
CF													
$D^0 \rightarrow K^- \pi^+$	1	1	0	0	0	0	0	0	0	0	0	0	...
$D^0 \rightarrow \bar{K}^0 \pi^0$	0	0	0	0	0	0	0	0	$\frac{1}{\sqrt{2}}$	$\frac{1}{\sqrt{2}}$	0	0	...
$D^+ \rightarrow \bar{K}^0 \pi^+$	1	1	0	0	0	0	0	0	1	1	0	0	...
$D_s \rightarrow \bar{K}^0 K^+$	0	0	0	0	1	1	0	1	1	1	0	1	...
DCS													
$D^0 \rightarrow K^+ \pi^-$	1	0	1	0	0	0	0	0	0	0	0	0	...
$D^0 \rightarrow K^0 \pi^0$	0	0	0	0	0	0	0	0	$\frac{1}{\sqrt{2}}$	0	$\frac{1}{\sqrt{2}}$	0	...
$D^+ \rightarrow K^0 \pi^+$	0	0	0	0	1	0	1	0	1	0	1	0	...
$D^+ \rightarrow K^+ \pi^0$	$\frac{1}{\sqrt{2}}$	0	$\frac{1}{\sqrt{2}}$	0	$-\frac{1}{\sqrt{2}}$	0	$-\frac{1}{\sqrt{2}}$	0	0	0	0	0	...
$D_s \rightarrow K^0 K^+$	1	0	1	1	0	0	0	0	1	0	1	1	...

Calculate T and A using $1/N_c$ input. Fit the rest from branching ratio data.

Quantify $SU(3)_F$ -breaking



- Data shows **at least $O(30\%)$ $SU(3)_F$ breaking** in the decay amplitudes.
- The **$SU(3)_F$ limit** is ruled out by more than **5σ** .
- Not possible to determine upper bound just from a fit to data:
Fit allows also for **larger** $SU(3)_F$ breaking.
(And of course even for an inversion of the meaning of parameters.)



Problem of CP Asymmetry Predictions:

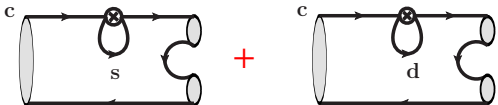
- **New hadronic quantities** appear which cannot be extracted from \mathcal{B} measurements.

- \mathcal{B} 's involve only



⇒ **Difference** can be extracted. ✓

- A_{CP} 's involve also



The **sum** is **unknown**. ✗

[Brod, Grossman, Kagan, Zupan 2012]

Solution: CP asymmetry sum rules

Strategy: Sum rules among CP asymmetries.

- Build combinations out of several CP asymmetries...
- ... containing only those topological amplitudes in coefficients which can be extracted from the global fit to the branching ratios.

Extent known $SU(3)_F$ limit sum rules

[see, e.g., Grossman Kagan Nir 2006, Hiller Jung Schacht 2012, Grossman Ligeti Robinson 2014]

$$a_{CP}^{\text{dir}}(D^0 \rightarrow K^+ K^-) + a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+ \pi^-) = 0,$$
$$a_{CP}^{\text{dir}}(D^+ \rightarrow \bar{K}^0 K^+) + a_{CP}^{\text{dir}}(D_s^+ \rightarrow K^0 \pi^+) = 0,$$

valid at zeroth order $SU(3)_F$ breaking.

- Include corrections of sum rules due to $SU(3)_F$ breaking in the CKM-leading part of the amplitude...

⇒ Sum rules correlating three direct CP asymmetries

I $D^0 \rightarrow K^+K^-$, $D^0 \rightarrow \pi^+\pi^-$, and $D^0 \rightarrow \pi^0\pi^0$,
and

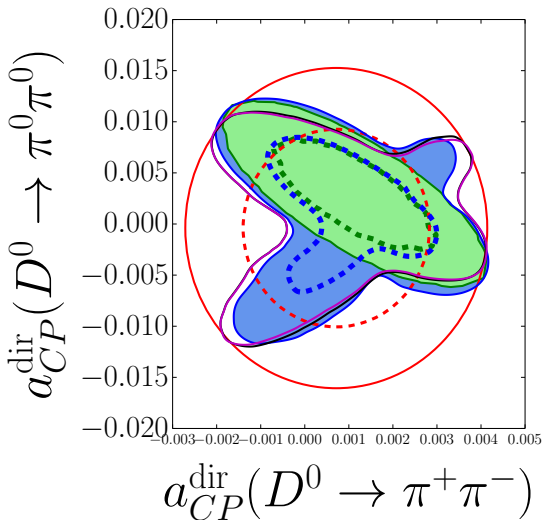
II $D^+ \rightarrow \bar{K}^0K^+$, $D_s^+ \rightarrow K^0\pi^+$, and $D_s^+ \rightarrow K^+\pi^0$.

- Note: Still works to **zeroth** order in $SU(3)_F$ breaking only, as $SU(3)_F$ breaking in **CKM-subleading** part of amplitudes is **not** taken into account, e.g. $SU(3)_F$ breaking of $P_s + P_d$.
- Still: theoretical accuracy of **new-physics tests** only limited by the assumed size of $SU(3)_F$ breaking, i.e. generically $\mathcal{O}(30\%)$.
- **Great progress** compared to spread of past predictions.

Shopping list for NP tests

- Please test sum rules with improved measurements of A_{CP} **and** \mathcal{B} 's.
- Sum rule II only useful with improved measurement of $A_{CP}(D_s^+ \rightarrow K^+\pi^0) = -0.266 \pm 0.238 \pm 0.009$ [CLEO 0906.3198] **and** \mathcal{B} 's.

Sum rules induce nontrivial correlations



Red solid:

95% CL measurement

Red dashed:

68% CL measurement

Present data:

Light blue:

95% CL from global fit

Dark blue dashed:

68% CL from global fit

Future scenario:

assume $\sqrt{50}$ better
branching ratios, but
 $a_{CP}^{dir}(D^0 \rightarrow K^+K^-)$ as to-
day.

Light green:

95% CL from global fit

Dark green dashed:

68% CL from global fit

Two different scientific goals

Data does not show order-of-magnitude enhancement over SM estimate

$$a_{CP}^{\text{dir}} = \text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{A_b}{A_{sd}} = -6 \cdot 10^{-4} \cdot \text{Im} \frac{A_b}{A_{sd}} .$$

1) Discover charm CP violation.

➡ Need decay mode with **large** SM prediction for a_{CP}^{dir} .

2) Falsify the SM.

➡ Need decay mode with **clean** SM predictions for a_{CP}^{dir} , or **sum rules**.

Charm CPV Discovery Modes I: $D^0 \rightarrow K_S K_S$

$$\mathcal{A}(D^0 \rightarrow K_S K_S) = \lambda_{sd} \mathcal{A}_{sd} - \frac{\lambda_b}{2} \mathcal{A}_b \quad |a_{CP}^{\text{dir}}| \leq 1.1\% \quad @95\% \text{ CL}$$

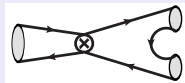
including $1/N_c$ color counting hierarchies: $|a_{CP}^{\text{dir}}| \leq 0.6\%$.

Special Feature 1

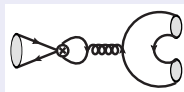
- In the $SU(3)_F$ limit $\mathcal{A}_{sd} = 0$ while $\mathcal{A}_b \neq 0$.
- \blacktriangleright Suppressed $\mathcal{B}(D^0 \rightarrow K_S K_S)$, enhanced $a_{CP}^{\text{dir}} \propto \text{Im}(A_b/A_{sd})$.

Special Feature 2

- a_{CP}^{dir} from sizable **tree level exchange** diagrams.



- Even if **penguin topology vanishes!**



Advantage compared to $a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+ \pi^-)$ and $a_{CP}^{\text{dir}}(D^0 \rightarrow K^+ K^-)$, i.e., ΔA_{CP} .

Charm CPV Discovery Modes II: $D^0 \rightarrow K_S K^{0*}$

Additional features compared to $D^0 \rightarrow K_S K_S$ [first steps: LHCb 1509.06628]

Probably best charm CP discovery channel.

Special Feature 3

- Prompt decay $K^{0*} \rightarrow K^+ \pi^-$ with **charged tracks** helps in the experiment, since K_S lives too long.

Special Feature 4

- In **Dalitz plot analysis** one can explore the region of $K^+ \pi^-$ invariant mass a bit away from the K^{*0} resonance to **hunt for favorable strong phases** which maximise a_{CP}^{dir} .

Special Feature 5

- **No flavor tagging** needed, essentially **undiluted** untagged CP asym.:

$$a_{CP}^{\text{dir}}(\bar{D}^0 \rightarrow K_S K^{*0}) \approx a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K^{*0}) \leq 0.3\% .$$

Interesting new routes to a better understanding of QCD relevant for Charm



- First **conceptual** steps on the **lattice**: [Hansen Sharpe 1204.0826]
Generalization of Lellouch-Lüscher formula.
Possible application to charm: **long-term** endeavor.
- Applying QCD light-cone **sum rules** + quark-hadron **duality**.
⇒ Prediction: $|\Delta a_{\text{CP}}^{\text{dir}}| < 0.00020 \pm 0.00003$ [Khodjamirian Petrov 1706.07780]

Conclusion: Goals of the Charm CP Physics Program

1) Discover charm CP violation.

➡ Need decay mode with **large** SM prediction for a_{CP}^{dir} .

Discovery modes: $D^0 \rightarrow K_S K_S$ and $D^0 \rightarrow K_S K^{0*}$.

$$a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S) \leq 1.1\% \quad a_{CP}^{\text{dir}}(\bar{D}^0 \rightarrow K_S K^{0*}) \leq 0.3\%$$

- $a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)$ **dominated by tree level** exchange diagrams:
No penguins needed \Rightarrow **No loop suppression**.
- **No flavor tagging required** for $D^0 \rightarrow K_S K^{0*}$.

2) Falsify the SM.

➡ Need decay mode with **clean** SM predictions for a_{CP}^{dir} , or **sum rules**.

“null test” mode $A_{CP}(D^+ \rightarrow \pi^+ \pi^0)$,

- 1) Sum rule $D^0 \rightarrow K^+ K^-$, $D^0 \rightarrow \pi^+ \pi^-$, $D^0 \rightarrow \pi^0 \pi^0$,
- 2) Sum rule $D^+ \rightarrow \bar{K}^0 K^+$, $D_s^+ \rightarrow K^0 \pi^+$, $D_s^+ \rightarrow K^+ \pi^0$.

BACK-UP

't Hooft 1974: Study $SU(3)_C \Rightarrow SU(N_c)_C$

N_c = number of colors.

- Asymptotic freedom \Rightarrow **Expansion in $\alpha_s(\mu)$** works for high energies.
- Breaks down** for low energy QCD \Rightarrow **Nonperturbative regime.**
- Consider $N_c \rightarrow \infty$ and **expand in $1/N_c$.**
- $g = g_0 / \sqrt{N_c}$, $g^2 \sim 1/N_c$.

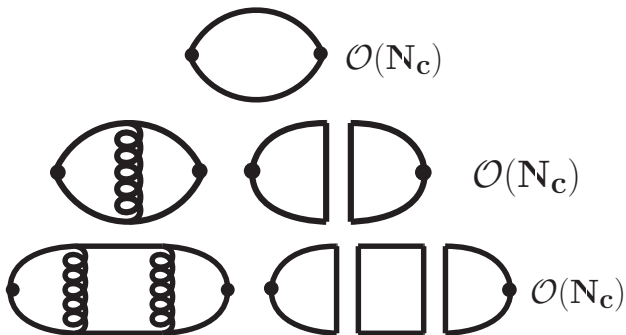


['t Hooft 1974, Buras Gerard Ruckl 1986]

$1/N_c$ power counting for charm

Corrections of the **same order**:

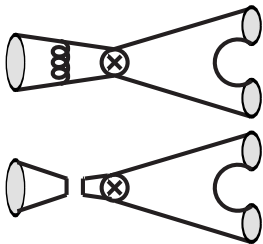
[t Hooft 1974, Buras Gerard Rückl 1986]



Suppressed corrections:

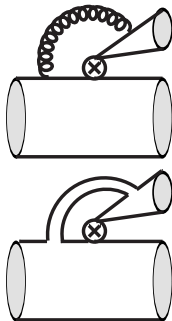


Corrections to T and A diagrams $1/N_c^2$ suppressed



same order in $1/N_c$

\Rightarrow fit E .



$1/N_c^2$ -suppressed.

\Rightarrow fit $\delta_T \leq 15\%$ in $T = T^{\text{fac}}(1 + \delta_T)$,

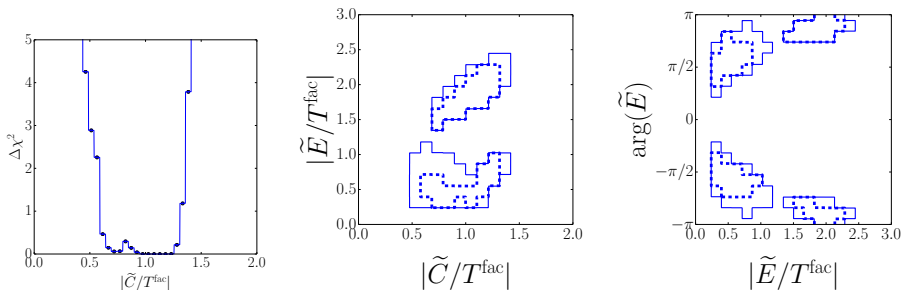
analogous: \Rightarrow fit $\delta_A \leq 15\%$ in $A = A^{\text{fac}}(1 + \delta_A)$

for example:

$$T(D^0 \rightarrow K^+K^-) = \frac{G_F}{\sqrt{2}} a_1 f_K (m_D^2 - m_K^2) F^{DK}(m_K^2) \left(1 + O(1/N_c^2)\right)$$

$$A(D_s^+ \rightarrow K^0\pi^+) = \frac{G_F}{\sqrt{2}} a_1 f_{D_s} (m_K^2 - m_\pi^2) F^{K\pi}(m_{D_s}^2) \left(1 + O(1/N_c^2)\right)$$

Extract parameters from branching ratio data



- **Perfect fit** to branching ratios: $\chi^2 \sim 0$: under-determined problem.
- But: **Nontrivial** result due to many parameter constraints:
Permit only up to **50%** $SU(3)_F$ -breaking.
- **Broad and Multiple Fit Solutions**

Relative Importance of Diagrams: Likelihood Ratio Tests

Hypothesis	Significance of rejection
$P_{\text{break}} = 0$	0.7σ
$P_{\text{break}} = E_i^{(1)} = C_i^{(1)} = 0 \forall i$	$> 5\sigma$
$E_i^{(1)} = 0 \forall i$	3.0σ
$E = E_i^{(1)} = 0 \forall i$	$> 5\sigma$
$C_i^{(1)} = 0 \forall i$	4.3σ
$C = C_i^{(1)} = 0 \forall i$	$> 5\sigma$

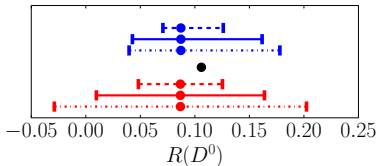
- **Clear need** for $SU(3)_F$ breaking.
- P_{break} **allowed to be zero** at 0.7σ .

Probe of DCS amplitudes

Asymmetry $D^0 \rightarrow K_{S,L}\pi^0$

$$R(D^0) \equiv \frac{\mathcal{B}(D^0 \rightarrow K_S\pi^0) - \mathcal{B}(D^0 \rightarrow K_L\pi^0)}{\mathcal{B}(D^0 \rightarrow K_S\pi^0) + \mathcal{B}(D^0 \rightarrow K_L\pi^0)}$$

Blue: 1, 2, 3 σ . Black: SU(3)_F-limit.
[Bigi Yamamoto 1994, Rosner 2006, Gao 2006]



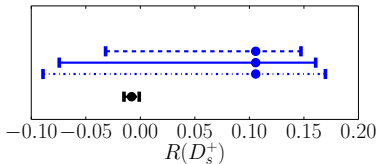
$\mathcal{B}(D_s^+ \rightarrow K_L K^+)$ not measured yet.

Prediction:

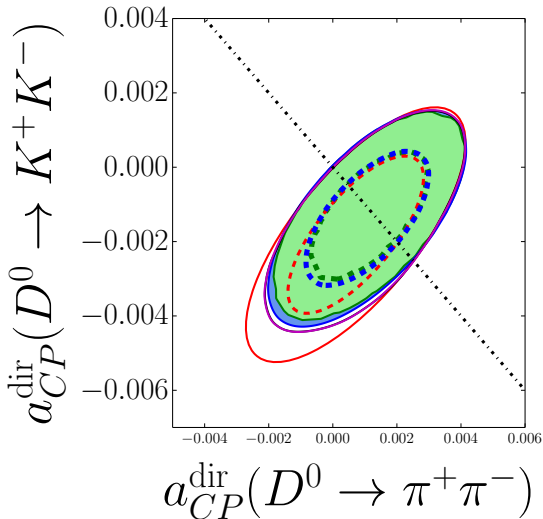
$\mathcal{B}(D_s^+ \rightarrow K_L K^+) = 0.012^{+0.007}_{-0.002}$ at 3 σ

$$R(D_s^+) \equiv \frac{\mathcal{B}(D_s^+ \rightarrow K_S K^+) - \mathcal{B}(D_s^+ \rightarrow K_L K^+)}{\mathcal{B}(D_s^+ \rightarrow K_S K^+) + \mathcal{B}(D_s^+ \rightarrow K_L K^+)}$$

Black: QCDF@1 σ [Gao 2014]



Implications of sum rule I



Black dashed: $SU(3)_F$ limit

Red solid:

95% CL measurement

Red dashed:

68% CL measurement

Present data:

Light blue:

95% CL from global fit

Dark blue dashed:

68% CL from global fit

Future scenario:

assume $\sqrt{50}$ better branching ratios, but $a_{CP}^{dir}(D^0 \rightarrow K^+ K^-)$ as to-day.

Light green:

95% CL from global fit

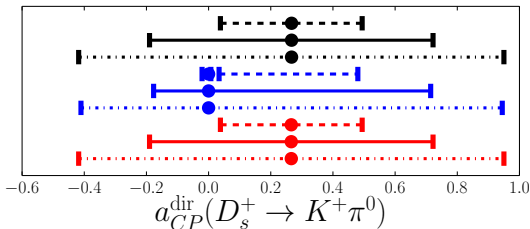
Dark green dashed:

68% CL from global fit

Implications of sum rule II

Use measured values of $D^+ \rightarrow \bar{K}^0 K^+$ and $D_s^+ \rightarrow K^0 \pi^+$ to predict

$a_{CP}^{\text{dir}}(D_s^+ \rightarrow K^+ \pi^0)$:



Blue: prediction from $a_{CP}^{\text{dir}}(D^+ \rightarrow \bar{K}^0 K^+)$, $a_{CP}^{\text{dir}}(D_s^+ \rightarrow K^0 \pi^+)$, and global fit to branching ratios.

Black: same as blue, but without $1/N_c$ constraints.

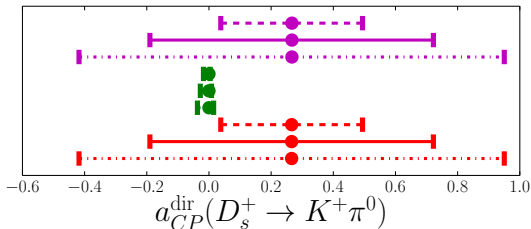
Red: measurement. Dashed: 1σ , solid: 2σ , dot-dashed: 3σ .

Not shown: error from $SU(3)_F$ breaking in $P_s + P_d$.

\Rightarrow yet another successful postdiction.

Implications of sum rule II, future scenario

But: Assuming better measurements of the **branching ratios** by a factor of $\sqrt{50}$ changes the picture:



Green: prediction from $a_{CP}^{\text{dir}}(D^+ \rightarrow \bar{K}^0 K^+)$, $a_{CP}^{\text{dir}}(D_s^+ \rightarrow K^0 \pi^+)$, and global fit to branching ratios.

Magenta: same as blue, but without $1/N_c$ constraints.

Red: measurement. Dotted: 1σ , solid: 2σ , dot-dashed: 3σ .

Not shown: error from $SU(3)_F$ breaking in $P_s + P_d$.