



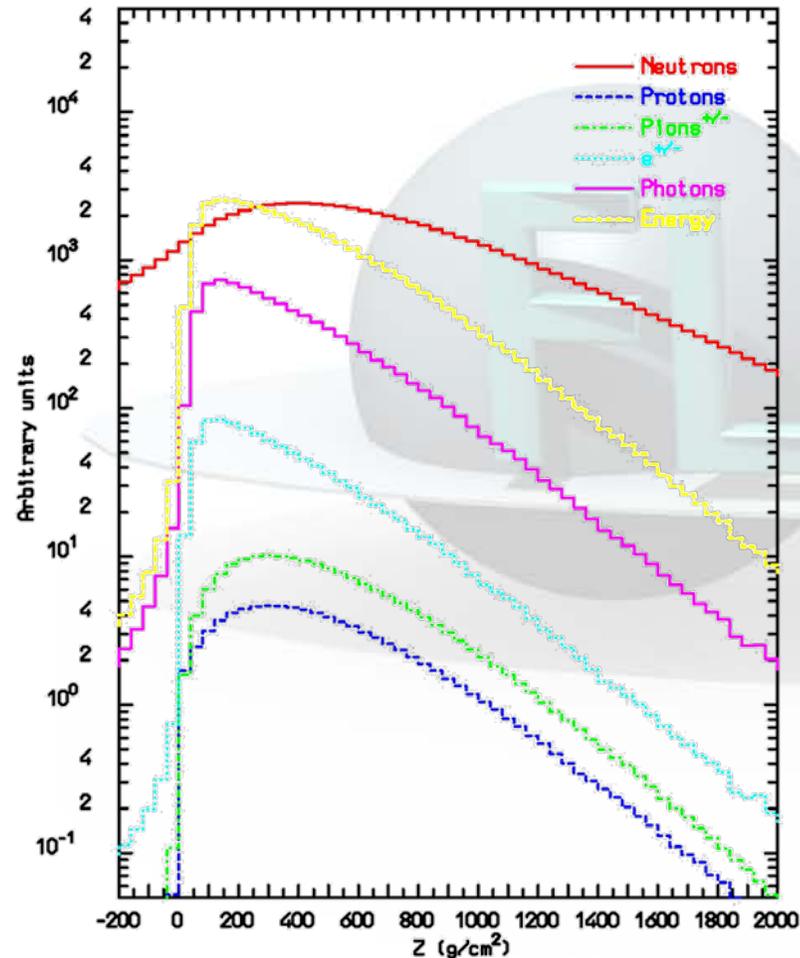
Hadron-Nucleus Interactions



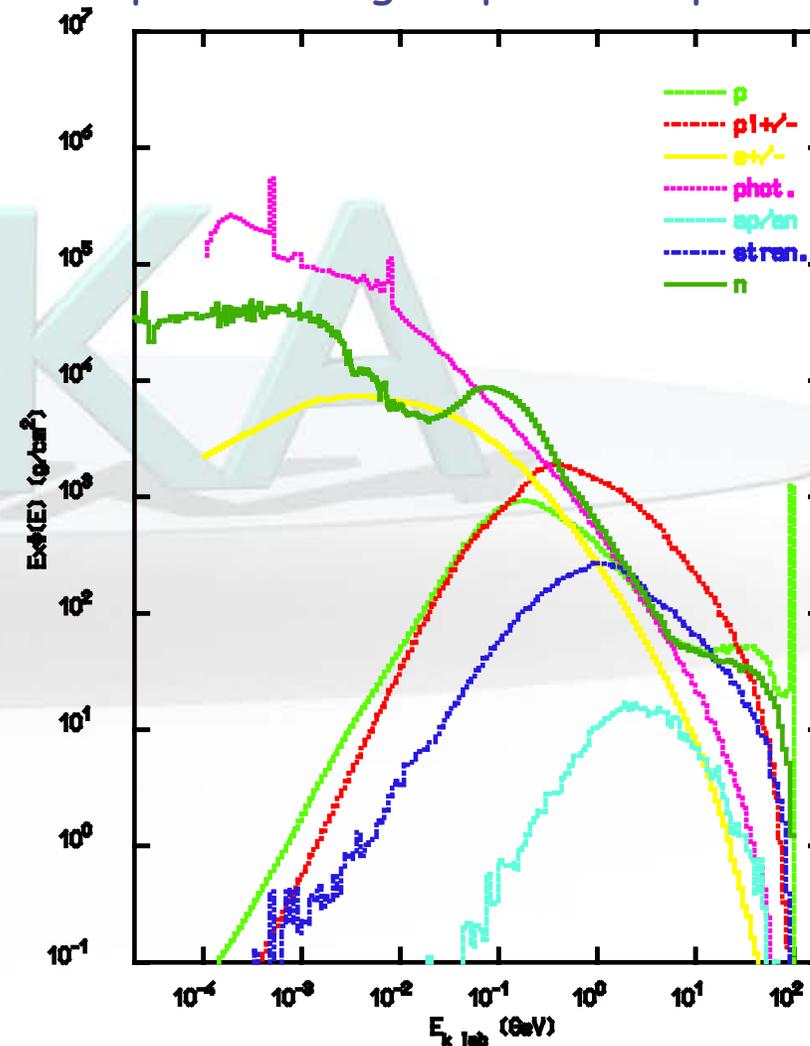
Beginners' FLUKA Course

Hadronic showers: many particle species, wide energy range

100 GeV p on Pb
shower longitudinal development



100 GeV p in a Fe absorber:
space-averaged particle spectra



The FLUKA hadronic Models



The FLUKA hadronic Models



The FLUKA hadronic Models

Elastic, exchange
Phase shifts
data, eikonal



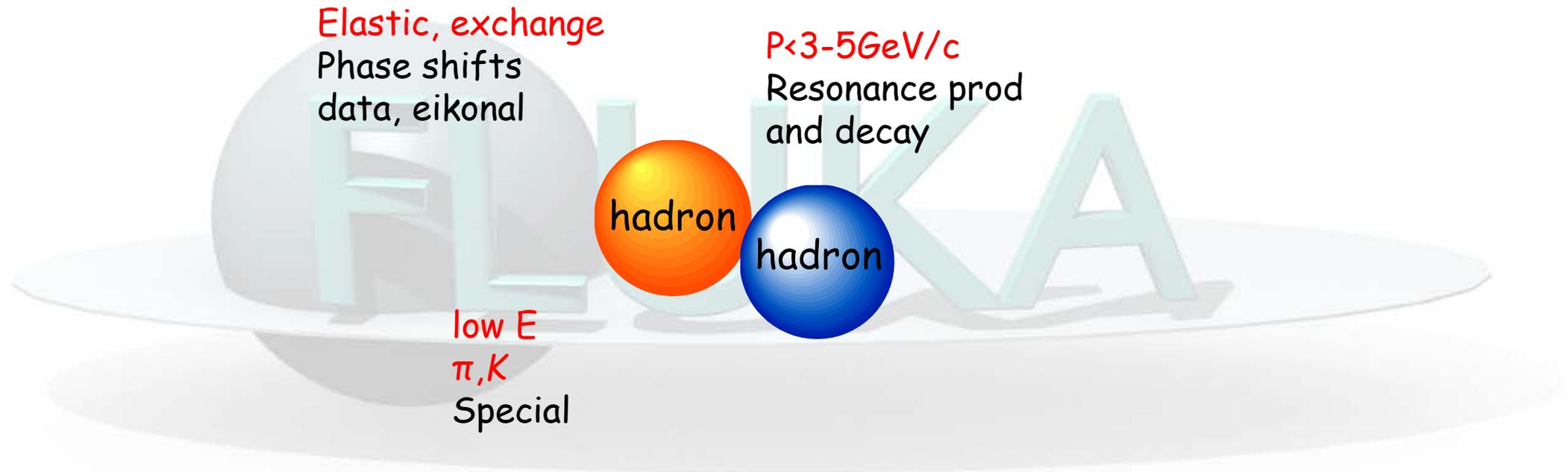
The FLUKA hadronic Models

Elastic, exchange
Phase shifts
data, eikonal

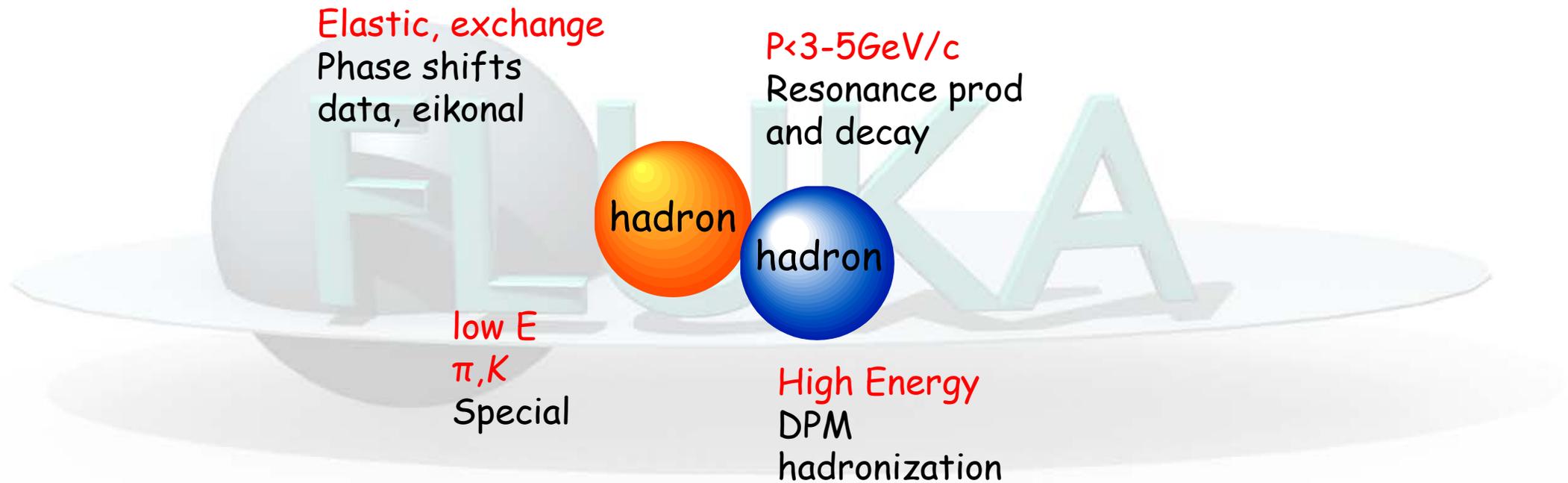
$P < 3-5 \text{ GeV}/c$
Resonance prod
and decay



The FLUKA hadronic Models



The FLUKA hadronic Models



The FLUKA hadronic Models

Elastic, exchange
Phase shifts
data, eikonal

$P < 3-5 \text{ GeV}/c$
Resonance prod
and decay

hadron

hadron

low E
 π, K
Special

High Energy
DPM
hadronization

The FLUKA hadronic Models

Hadron-nucleus: PEANUT

Elastic, exchange
Phase shifts
data, eikonal

$P < 3-5 \text{ GeV}/c$
Resonance prod
and decay

Sophisticated
G-Intranuclear Cascade

Gradual onset of
Glauber-Gribov multiple
interactions

Preequilibrium

Coalescence

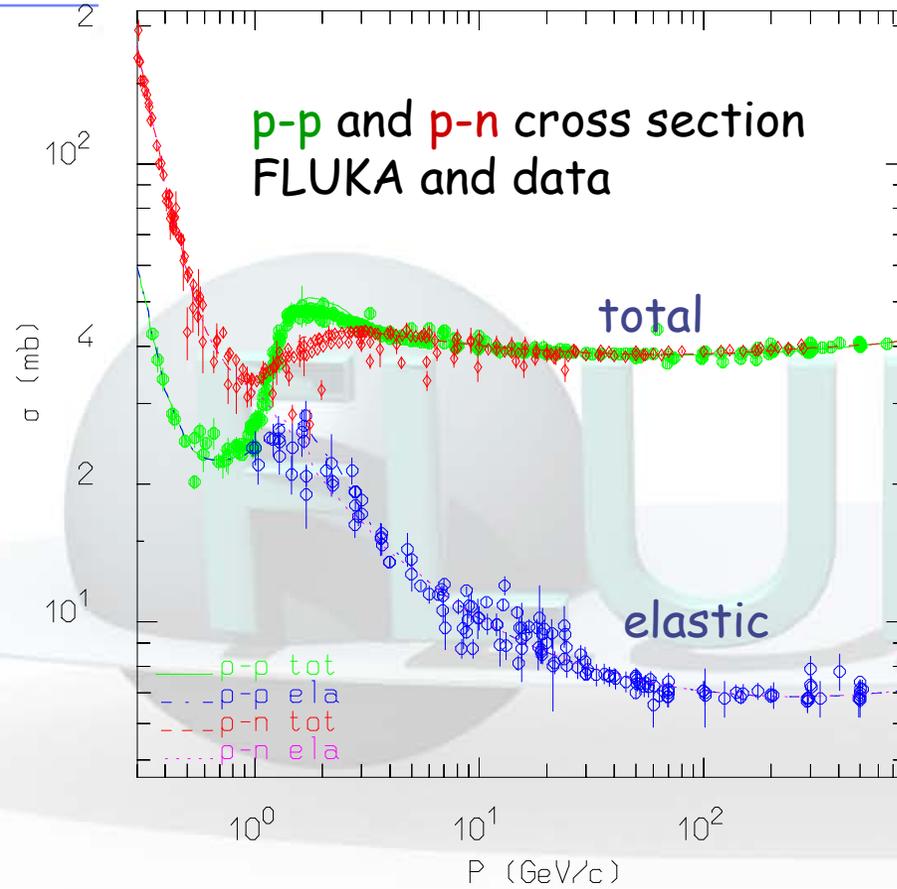


low E
 π, K
Special

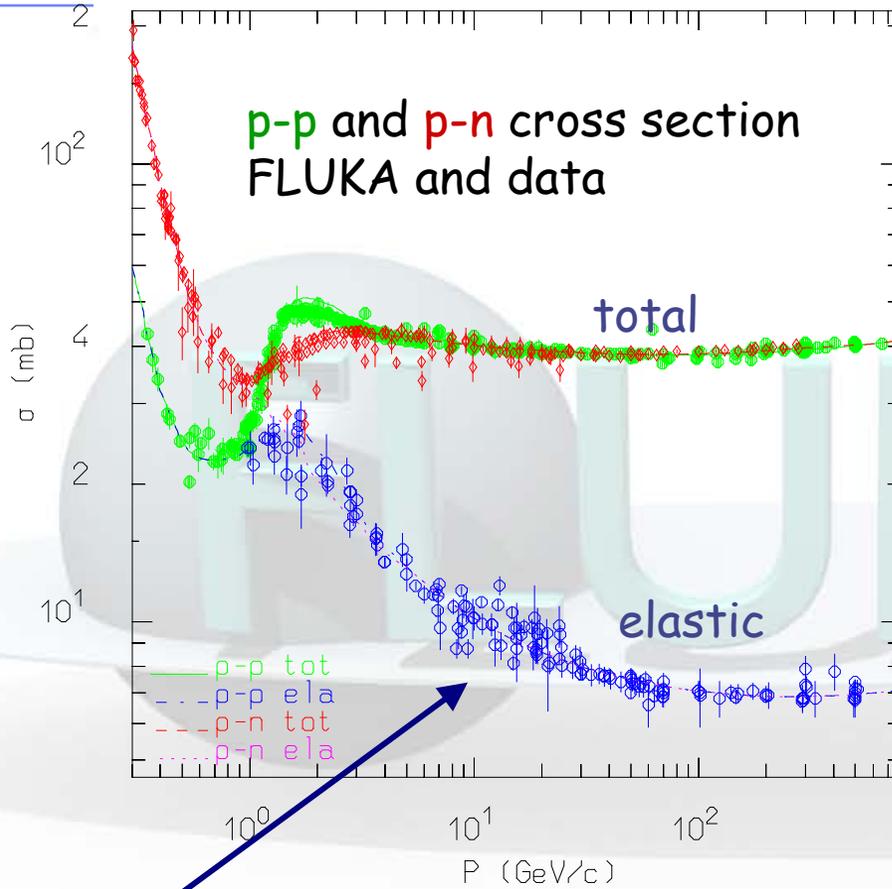
High Energy
DPM
hadronization

Evaporation/Fission/Fermi break-up
 γ deexcitation

Hadron-nucleon interaction models



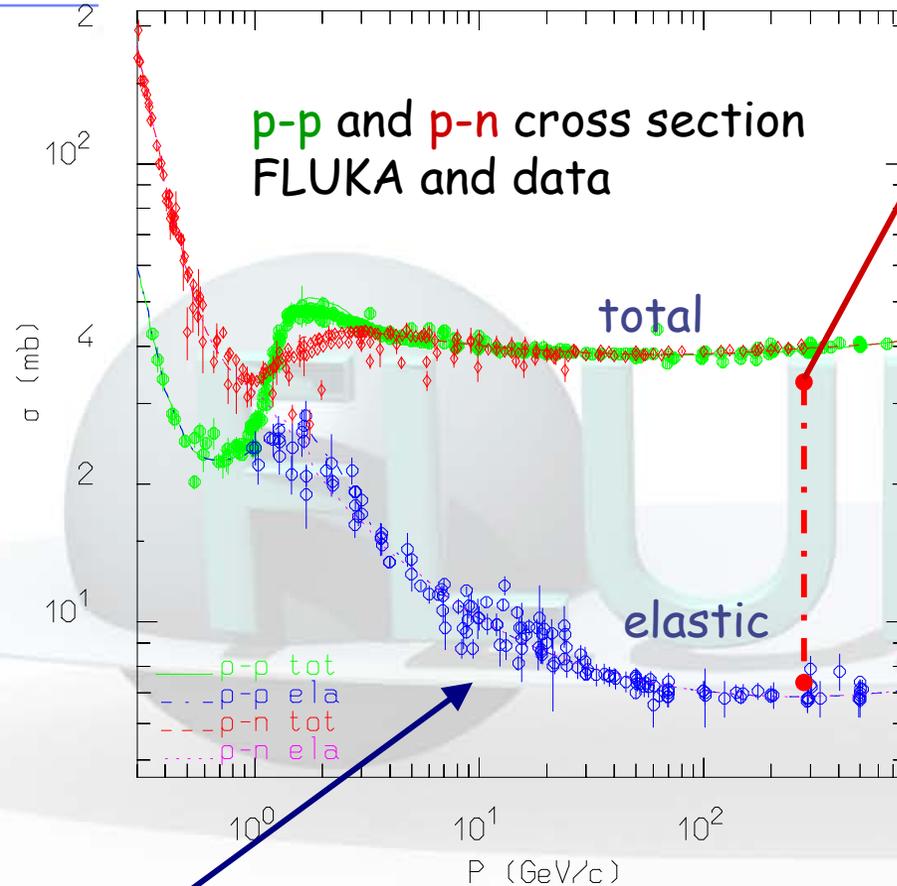
Hadron-nucleon interaction models



Elastic, charge exchange and strangeness exchange reactions:

- Available phase-shift analysis and/or fits of experimental differential data
- At high energies, standard eikonal approximations are used

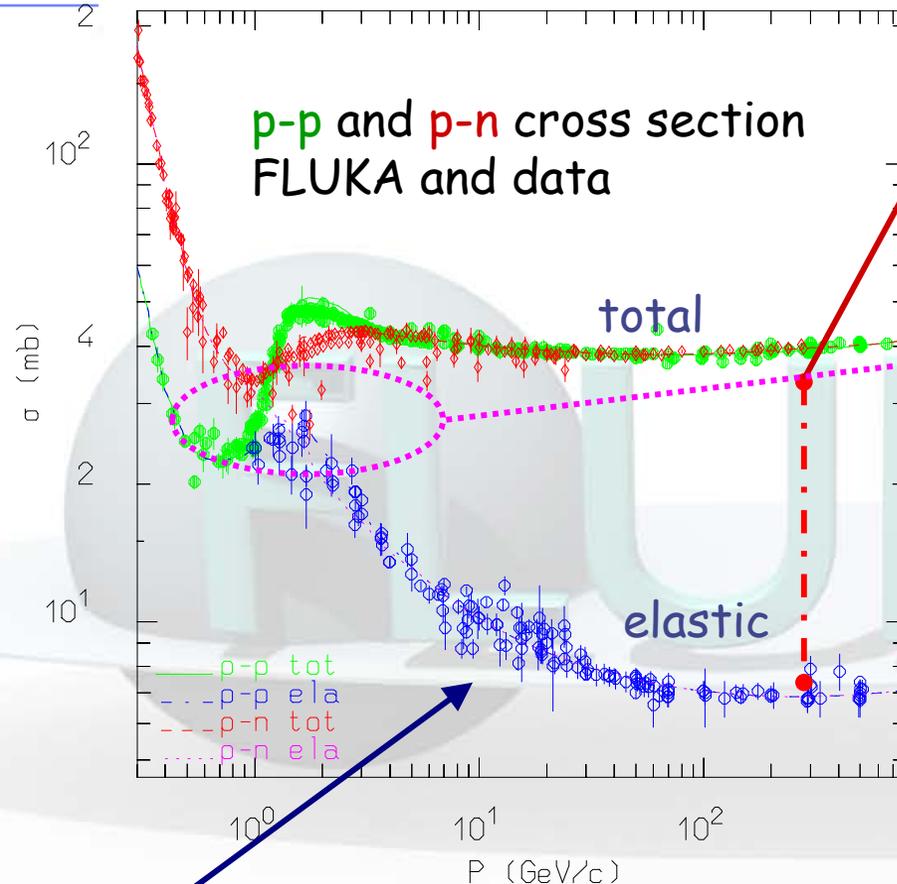
Hadron-nucleon interaction models



Particle production interactions:
two kinds of models

- Elastic, charge exchange and strangeness exchange reactions:
- Available phase-shift analysis and/or fits of experimental differential data
 - At high energies, standard eikonal approximations are used

Hadron-nucleon interaction models

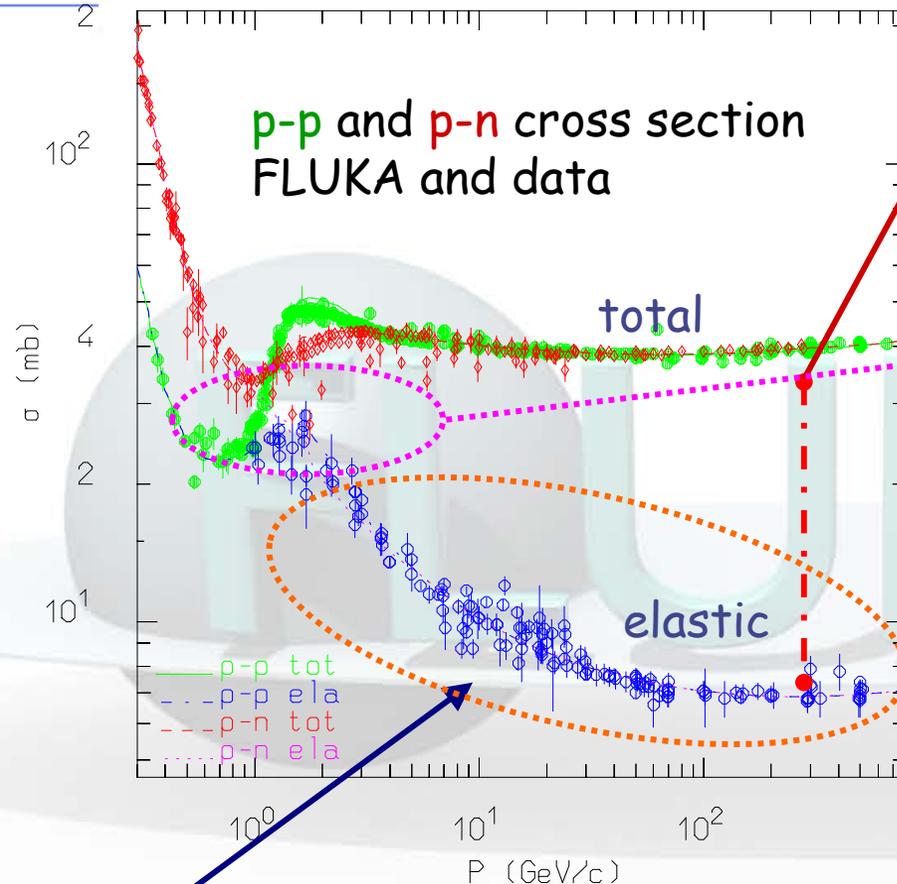


Particle production interactions:
two kinds of models

Those based on "resonance"
production and decays, cover the
energy range up to 3-5 GeV

- Elastic, charge exchange and strangeness exchange reactions:
- Available phase-shift analysis and/or fits of experimental differential data
 - At high energies, standard eikonal approximations are used

Hadron-nucleon interaction models



Particle production interactions:
two kinds of models

Those based on "resonance"
production and decays, cover the
energy range up to 3-5 GeV

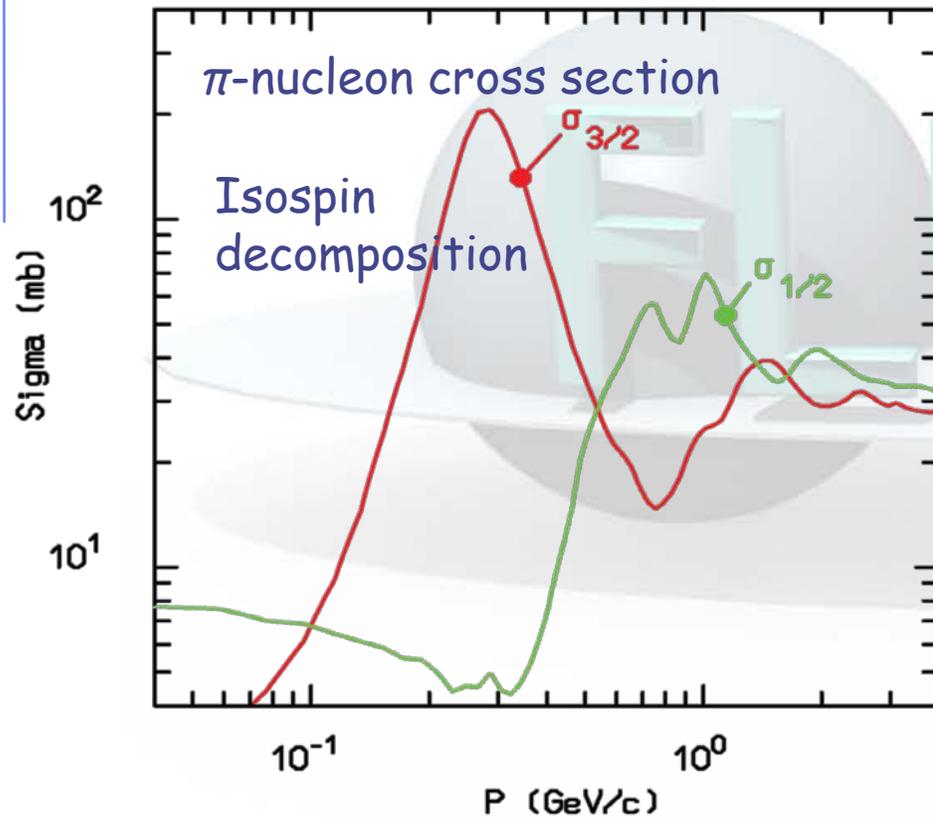
Those based on quark/parton
string models, which provide
reliable results up to several tens
of TeV

Elastic, charge exchange and strangeness exchange reactions:

- Available phase-shift analysis and/or fits of experimental differential data
- At high energies, standard eikonal approximations are used

Nonelastic hN interactions at intermediate energies

- $N_1 + N_2 \rightarrow N'_1 + N'_2 + \pi$ threshold at 290 MeV, important above 700 MeV,
 - $\pi + N \rightarrow \pi' + \pi'' + N'$ opens at 170 MeV.
- Anti-nucleon - nucleon open at rest !



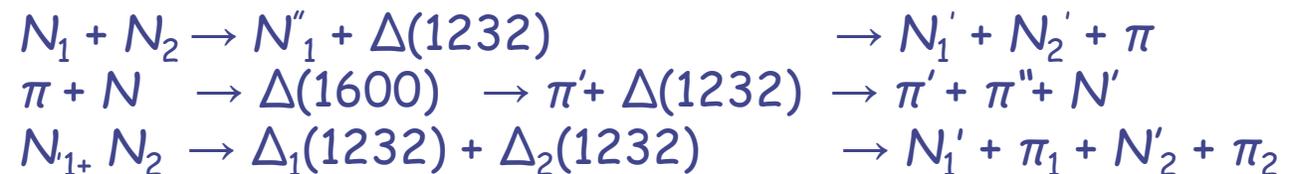
Dominance of the Δ resonance and of the N^* resonances

→ isobar model

→ all reactions proceed through an intermediate state containing at least one resonance.

FLUKA: ≈ 60 resonances, and ≈ 100 channels

Resonance energies, widths, cross sections, branching ratios from data and conservation laws, whenever possible. Inferred from inclusive cross sections when needed

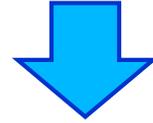


Inelastic hN at high energies: (DPM, QGSM, ...)

- ❑ Problem: "soft" interactions → QCD perturbation theory cannot be applied.
- ❑ **Interacting strings** (quarks held together by the gluon-gluon interaction into the form of a string)
- ❑ Interactions treated in the Reggeon-Pomeron framework
- ❑ each of the two hadrons splits into **2 colored partons** → combination into **2 colourless chains** → **2 back-to-back jets**
- ❑ each jet is then **hadronized** into physical hadrons

Inelastic hN interactions at high energies (DPM, QGSM)

Problem: "soft" interactions \rightarrow no perturbation theory

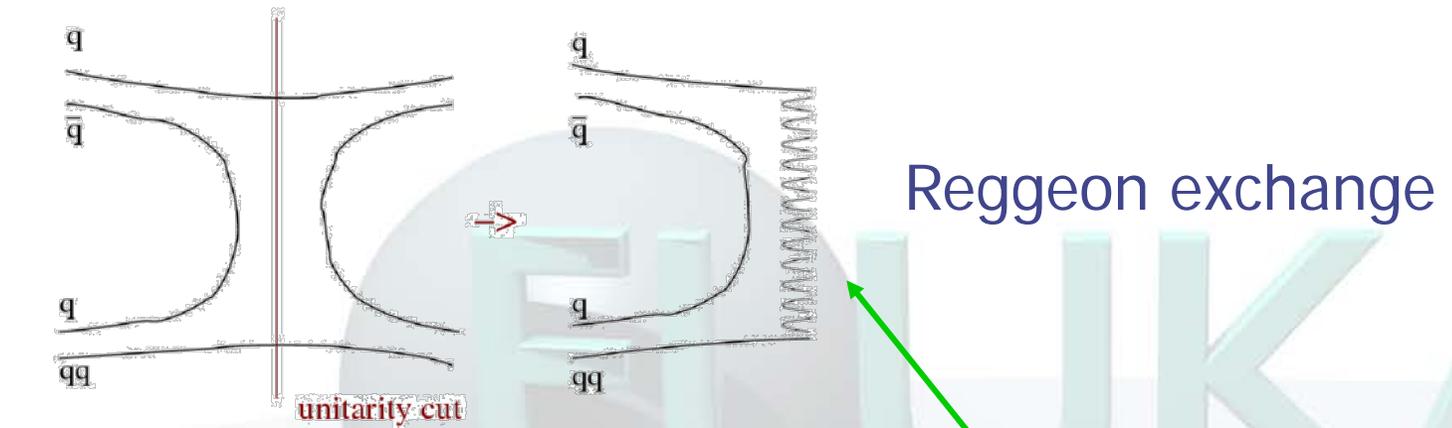


Solution! Interacting strings (quarks held together by the gluon-gluon interaction into the form of a string)

- Interactions treated in the Reggeon-Pomeron framework
- At sufficiently high energies the leading term corresponds to a Pomeron (\mathbb{P}) exchange (a closed string exchange)
- Each colliding hadron splits into two colored partons \rightarrow a combination into two color neutral chains \rightarrow two back-to-back jets
- Physical particle (Reggeon, \mathbb{R}) exchanges produce single chains at low energies
- Higher order contributions with multi-Pomeron exchanges important at $E_{\text{lab}} \gg 1 \text{ TeV}$

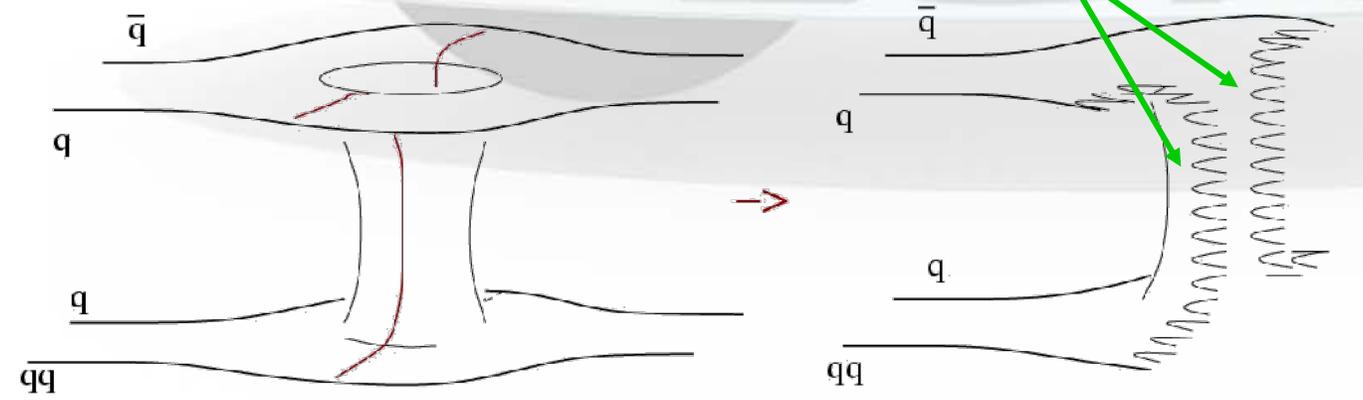
Nonelastic hN at high energies (DPM)

Parton and color concepts, Topological expansion of QCD, Duality



color strings to be "hadronized"

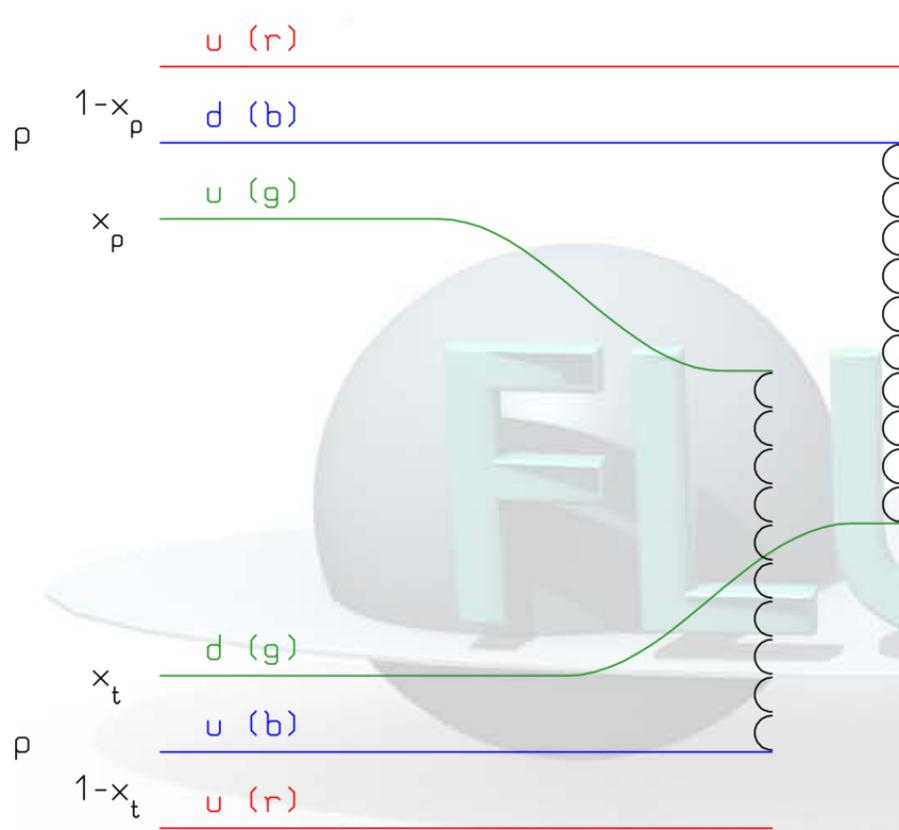
Optical theorem



$$\frac{d\sigma_{el}(t, s)}{dt} = \frac{\pi}{k^2} |f(t, s)|^2$$

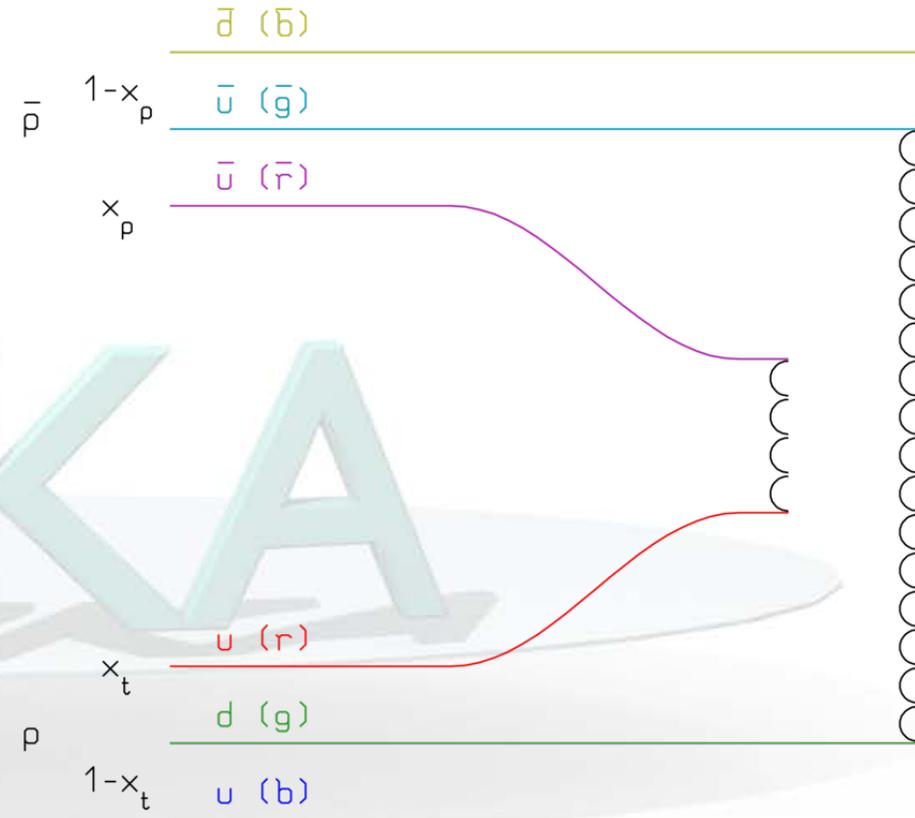
$$\sigma_T(s) = \frac{4\pi}{k} \text{Im} f(0, s)$$

Hadron-hadron collisions: chain examples



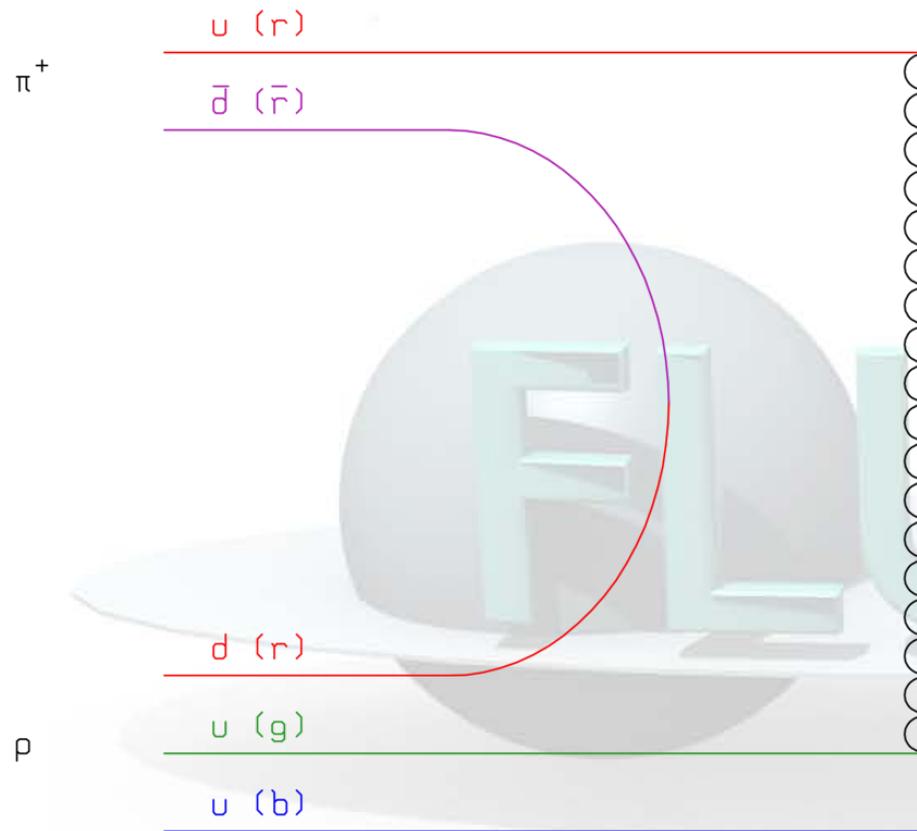
Leading two-chain diagram in DPM for p-p scattering.

The color (red, blue, and green) and quark combination shown in the figure is just one of the allowed possibilities

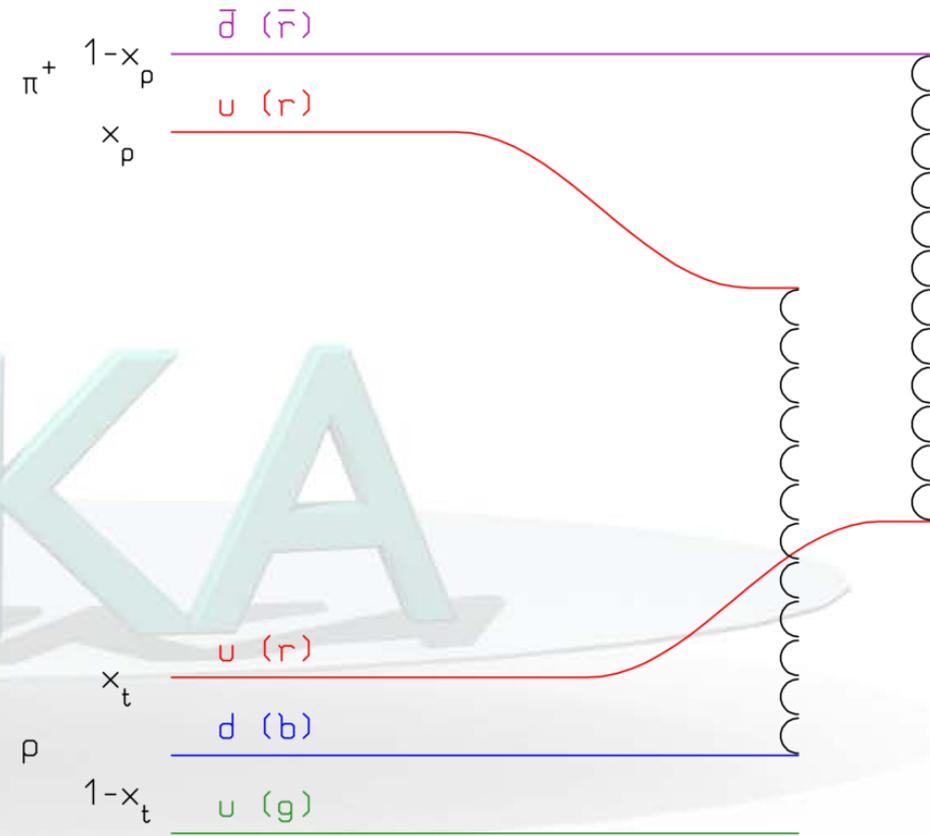


Leading two-chain diagram in DPM for pbar-p scattering. The color (red, antired, blue, antiblue, green, and antigreen) and quark combination shown in the figure is just one of the allowed possibilities

Hadron-hadron collisions: chain examples II



Single chain (s-channel) diagram for π^+ -p scattering. The color (red, antired, blue, and green) and quark combination shown in the figure is just one of the allowed possibilities

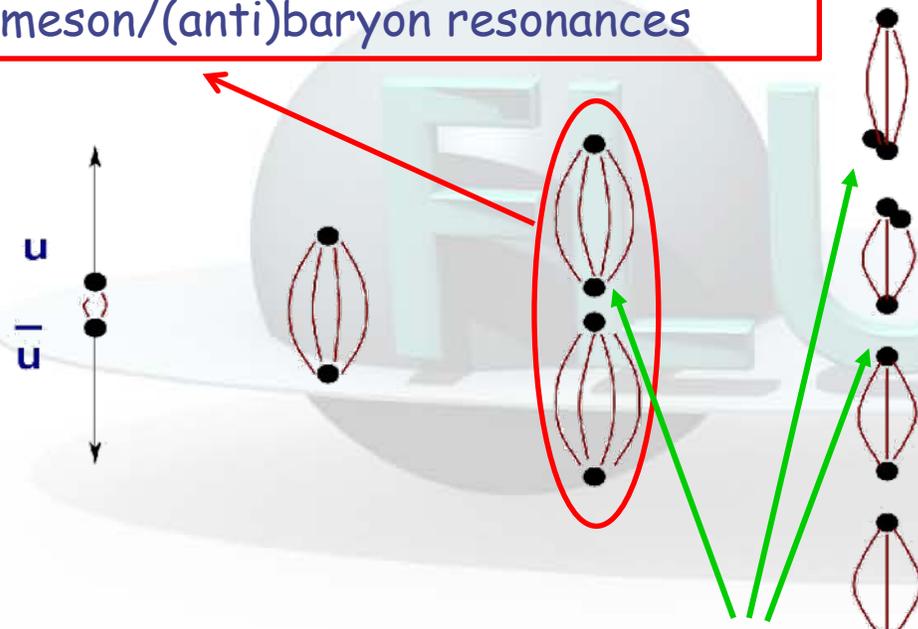


Leading two-chain diagram in DPM for π^+ -p scattering. The color (red, antired, blue, and green) and quark combination shown in the figure is just one of the allowed possibilities

The "hadronization" of color strings

An example:

Low mass chain: just 2-3 meson/(anti)baryon resonances



q-qbar and qq-qbarqbar
pairs generation

- $u\bar{d}$ π^+, ρ^+, \dots
- $d\bar{u}$ π^-, ρ^-, \dots
- $\bar{u}u\bar{d}$ $\bar{p}, \bar{\Delta}^-, \dots$
- udd n, Δ^0, \dots
- $u\bar{s}$ K^+, K^{*+}, \dots
- $s\bar{d}$ $\bar{K}^0, \bar{K}^{*0}, \dots$
- $u\bar{d}$ π^+, ρ^+, \dots
- \vdots
- $d\bar{u}$ π^-, ρ^-, \dots

Dual Parton Model[#] and hadronization

From DPM:

- Number of chains
- Chain composition
- Chain energies and momenta
- Diffractive events

Almost No Freedom

**Chain formation and "decay" (hadronization) processes are assumed to be decoupled
Does it sound familiar?*

#For a review: Physics Report 236

Chain hadronization

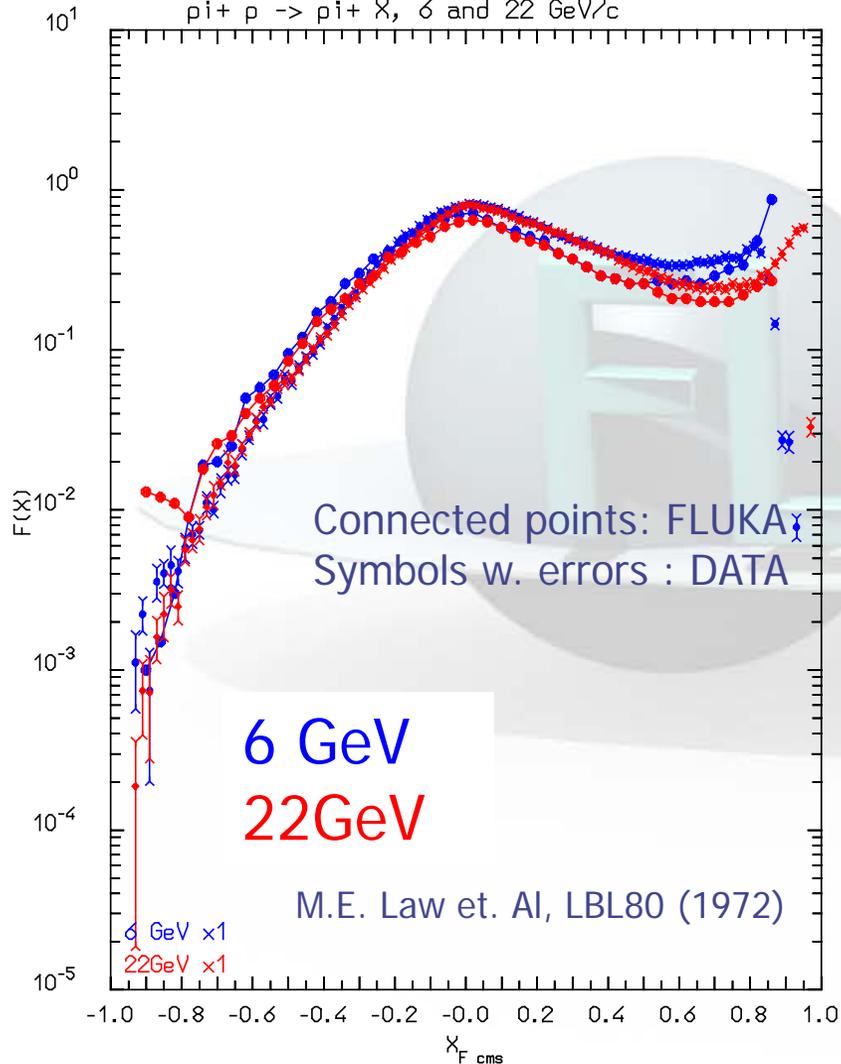
- Assumes chain universality*
- Fragmentation functions from hard processes and e^+e^- scattering
- Transverse momentum from uncertainty considerations
- Mass effects at low energies

The same functions and (few) parameters for all reactions and energies

Inelastic hN interactions: examples

$\pi^+ + p \rightarrow \pi^+ + X$ (6 & 22 GeV/c)

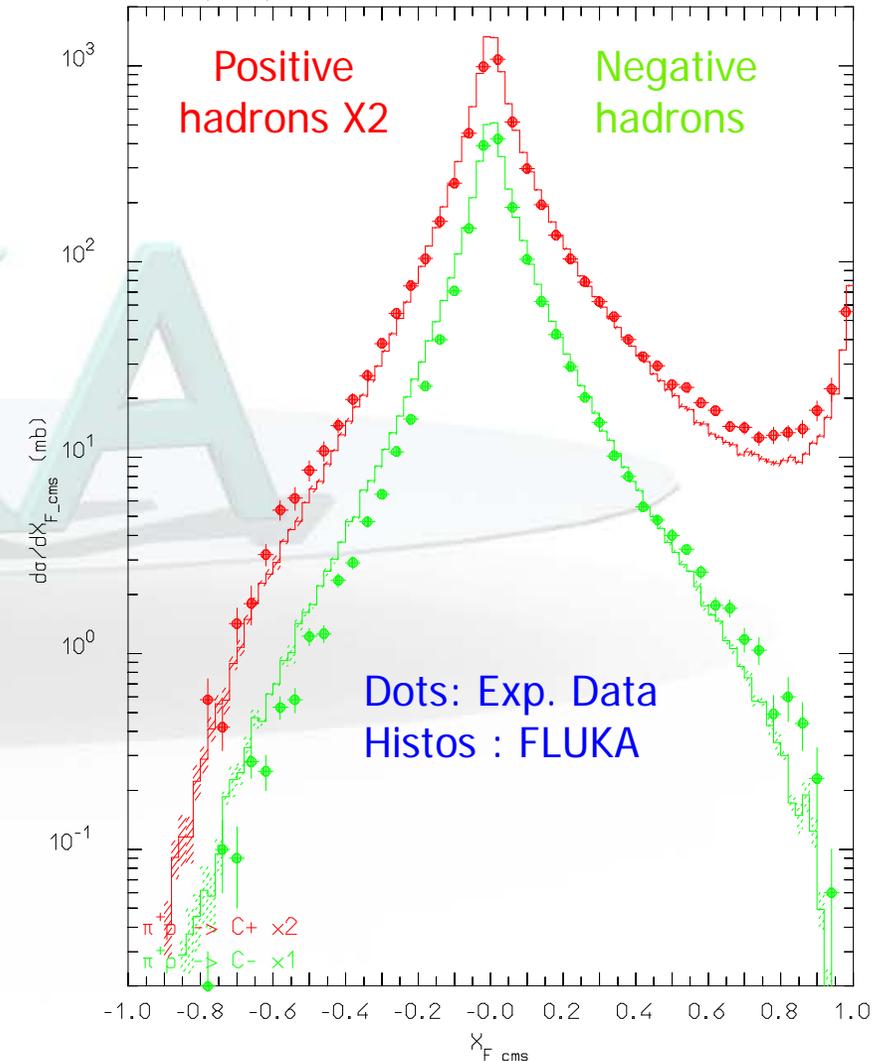
$\pi^+ p \rightarrow \pi^+ X$, 6 and 22 GeV/c



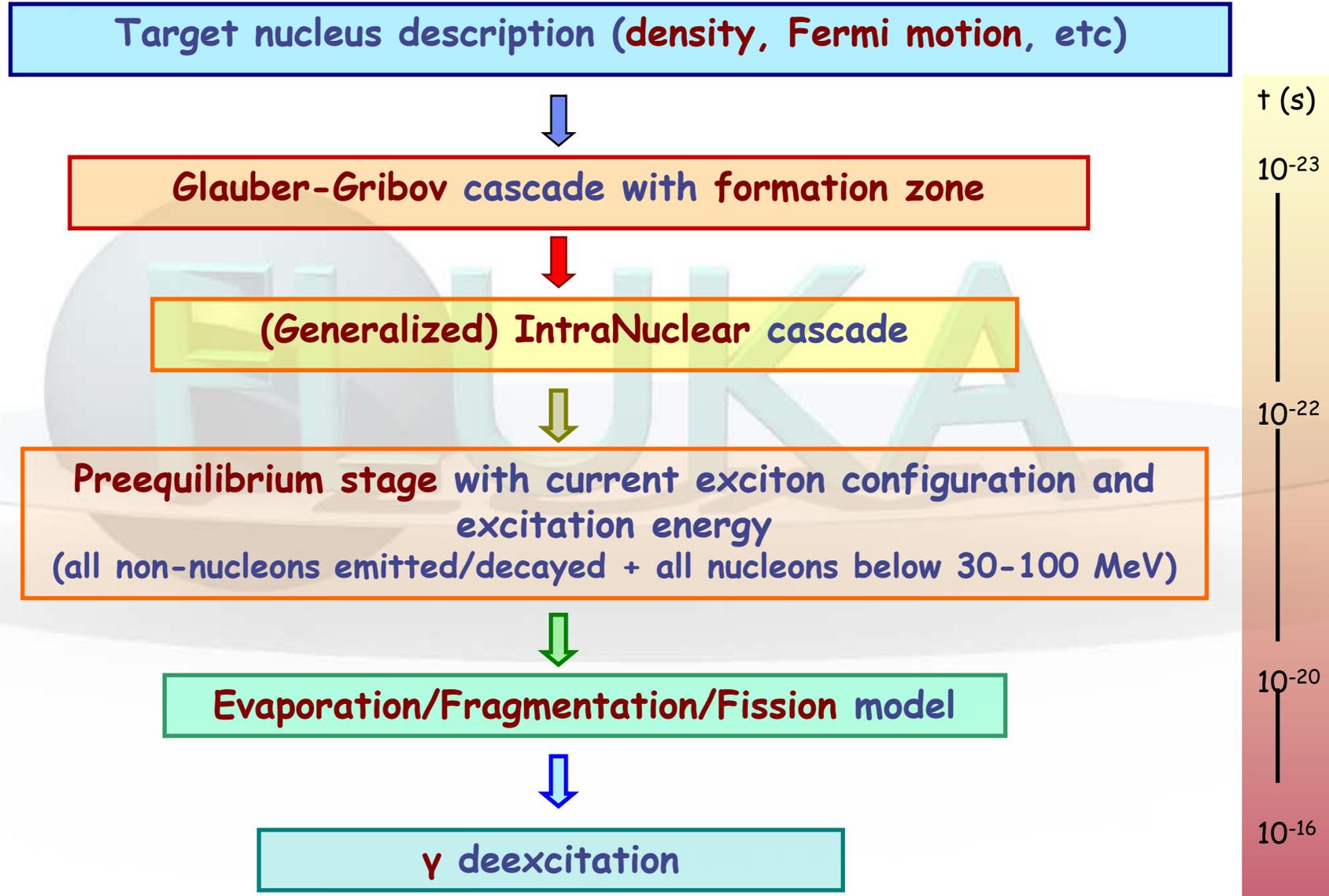
$$x_F = \frac{p_L}{p_{Lmax}} = \frac{2p_L}{\sqrt{s}}$$

$\pi^+ + p \rightarrow Ch^+/Ch^- + X$ (250 GeV/c)

$\pi^+ p \rightarrow C^+/C^- X$, 250 GeV/c



FLUKA (PEANUT) modeling of nuclear interactions



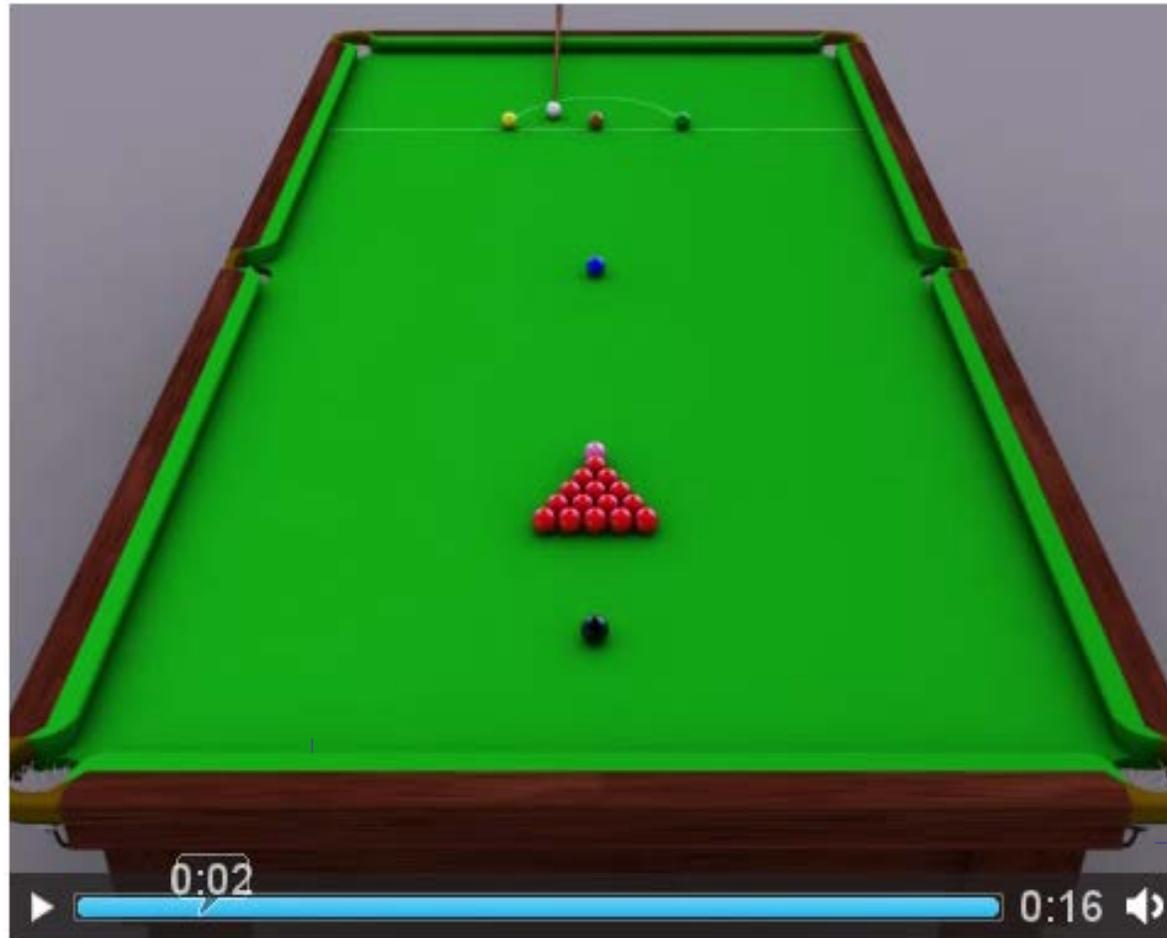
... INC, a bit like snooker...

The projectile is hitting a "bag" of protons and neutrons representing the nucleus. The products of this interaction can in turn hit other neutrons and protons and so on. The most energetic particles, p, n, π 's (and a few light fragments) are emitted in this phase



... INC, a bit like snooker...

The projectile is a nucleus. The protons and neutrons are represented by the red and blue balls, and the light fragments are represented by the white ball.



representing the protons and neutrons (and a few

... INC, a bit like snooker...

The projectile is hitting a "bag" of neutrons and neutrons representing the nucleus. The protons and neutrons and light fragments;

er neutrons
(and a few



... INC, a bit like snooker...

The projectile is a neutron. The products are neutrons and protons and light fragments.

... sending the neutrons and a few



... INC, a bit like snooker...

The projectile is hitting a "bag" of protons and neutrons representing the nucleus. The products of this interaction can in turn hit other neutrons and protons and so on. The most energetic particles, p, n, π 's (and a few light fragments) are emitted in this phase

...it is in this phase that if energy is enough extra "balls" (new particles) are produced (contrary to snooker). The target "balls" are anyway protons and neutrons, so further collisions will mostly knock out p 's and n 's

"Classical" IntraNuclearCascade (INC) model:

50 MeV nucleon: $\lambda = \hbar/p = 0.64$ fm, MFP ~ 1.2 fm at $\rho \sim 0.08$

200 MeV nucleon: $\lambda = \hbar/p = 0.31$ fm, MFP ~ 4 fm at $\rho \sim 0.08$

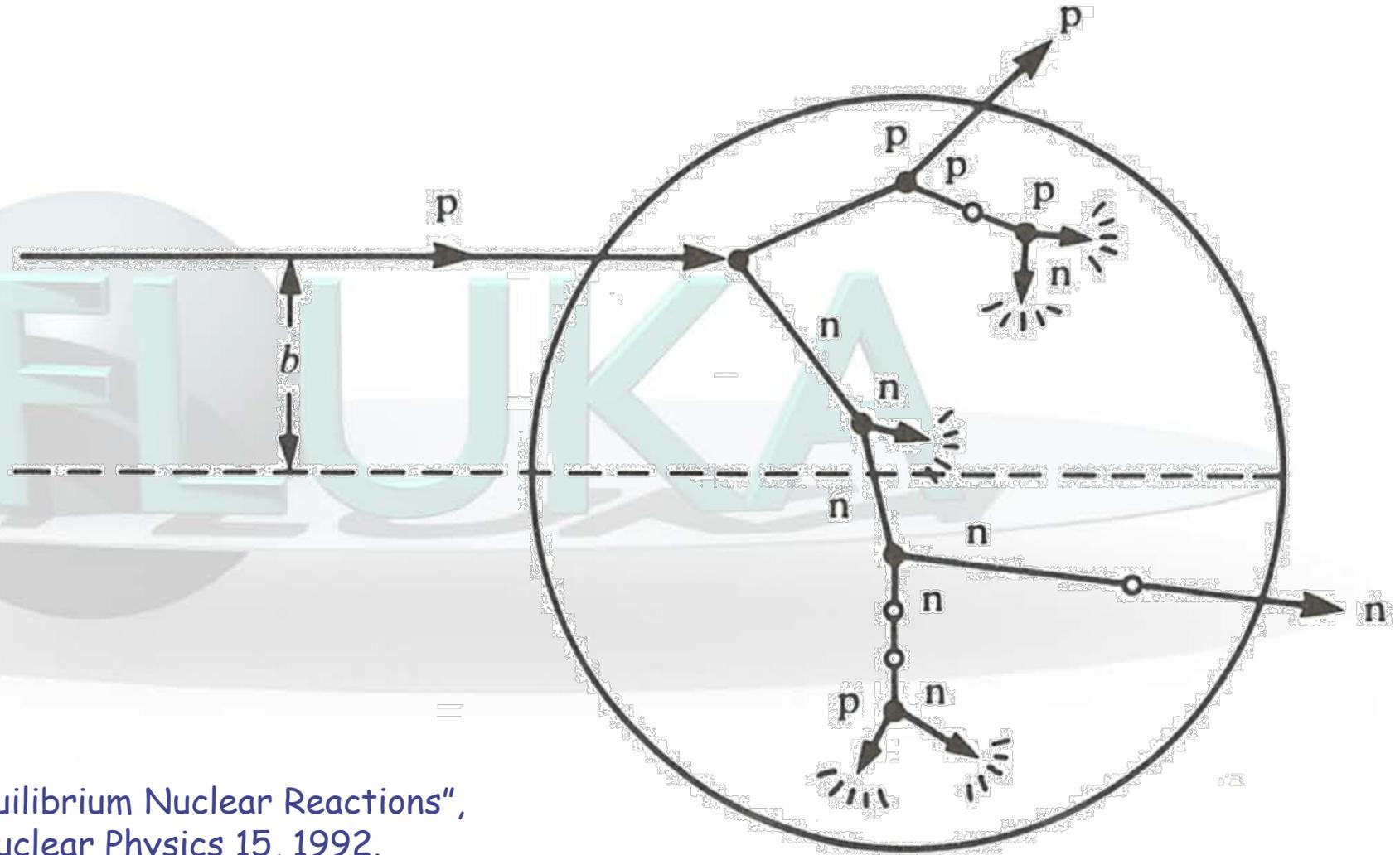
Both Mean Free Path's without accounting for Pauli blocking which would increase them by a significant factor (~ 2 at 50 MeV)

Hence at intermediate energies, nucleon-nuclear reactions can be described as the passage of the incoming nucleon through the nucleus, undergoing individual nucleon-nucleon collisions (IntraNuclear Cascade).

Main assumptions:

- Target nucleons occupy states of a **cold Fermi gas**;
- Incoming nucleon follows a **classical (straight) trajectory**;
- Given a nucleon-nucleon interaction **cross section** and **N, Z and density profile** of the target nucleus, one can evaluate the **mean free path (MFP)** of the incoming nucleon
- The nucleon trajectory can be simulated as **subsequent nucleon-nucleon collisions** between straight-line trajectory segments, governed by the calculated MFP
- Collision products must be **above the Fermi level ("Pauli blocking")** and can either escape or get "captured" if their energy is insufficient versus the binding or Coulomb barrier
- "Captured" nucleon energies above E_F and the holes in the Fermi gas both contribute to a **residual excitation** to be spent through the **statistical model**

Sketch* of IntraNuclearCascade (INC):



* E. Gadioli et al., "Pre-Equilibrium Nuclear Reactions",
Oxford Studies in Nuclear Physics 15, 1992.

Evaporation:

After many collisions and possibly particle emissions, the residual nucleus is left in a highly excited "equilibrated" state. De-excitation can be described by **statistical models** which resemble the **evaporation** of "droplets", actually **low energy particles** (p, n, d, t, ^3He , alphas...) from a "boiling" soup characterized by a "nuclear temperature"



Evaporation:

After many collisions
nucleus is left in a h
can be described by
of "droplets", actual
from a "boiling" soup



the residual
De-excitation
the **evaporation**
, ^3He , alphas...)
perature"

Evaporation:

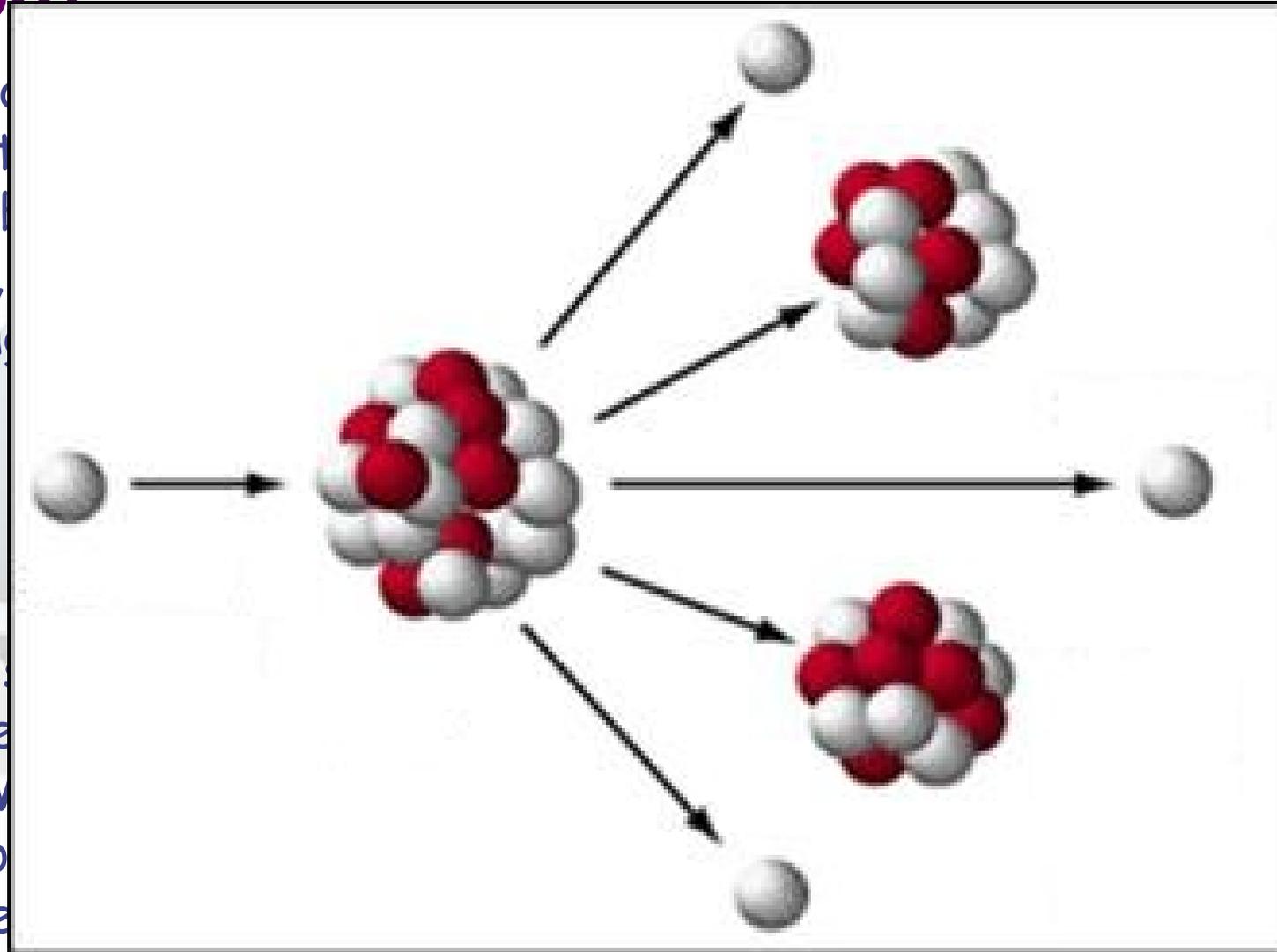
After many collisions and possibly particle emissions, the residual nucleus is left in a highly excited "equilibrated" state. De-excitation can be described by **statistical models** which resemble the **evaporation** of "droplets", actually **low energy particles** (p, n, d, t, ^3He , alphas...) from a "boiling" soup characterized by a "nuclear temperature"



The process is terminated when all available energy is spent → the leftover nucleus, possibly radioactive, is now "cold", with **typical recoil energies** \sim **MeV**. For heavy nuclei the excitation energy can be large enough to allow breaking into two major chunks (**fission**). Since only neutrons have no barrier to overcome, **neutron emission is strongly favoured**.

Evaporation:

After many collisions, a nucleus is left with a high energy. This can be described as "droplets", from a "boiling" process.



The process is favored for nuclei with high energy. The leftover nuclear energies $\sim M$ are enough to allow neutrons to be emitted. Neutrons are favoured.

in
ation
(as...)

coil
ge

Fermi gas model: Nucleons = Non-interacting Constrained Fermions

The observed central/saturation density of nuclei, $\rho \approx 0.17 \text{ fm}^{-3}$ (1.7×10^{38} nucleons/cm³), implies:

$$K_F = 1.36 \text{ fm}^{-1} \quad E_F = 38 \text{ MeV}$$

These are called the **Fermi momentum** and **Fermi Energy**

The probability distribution for the momentum/energy of a nucleon are therefore given by:

$$P(K)dK = \frac{K^2}{3K_F^3} dK \quad P(E_k)dE_k = \frac{2\sqrt{E_k}}{3E_F^3} dE_k$$

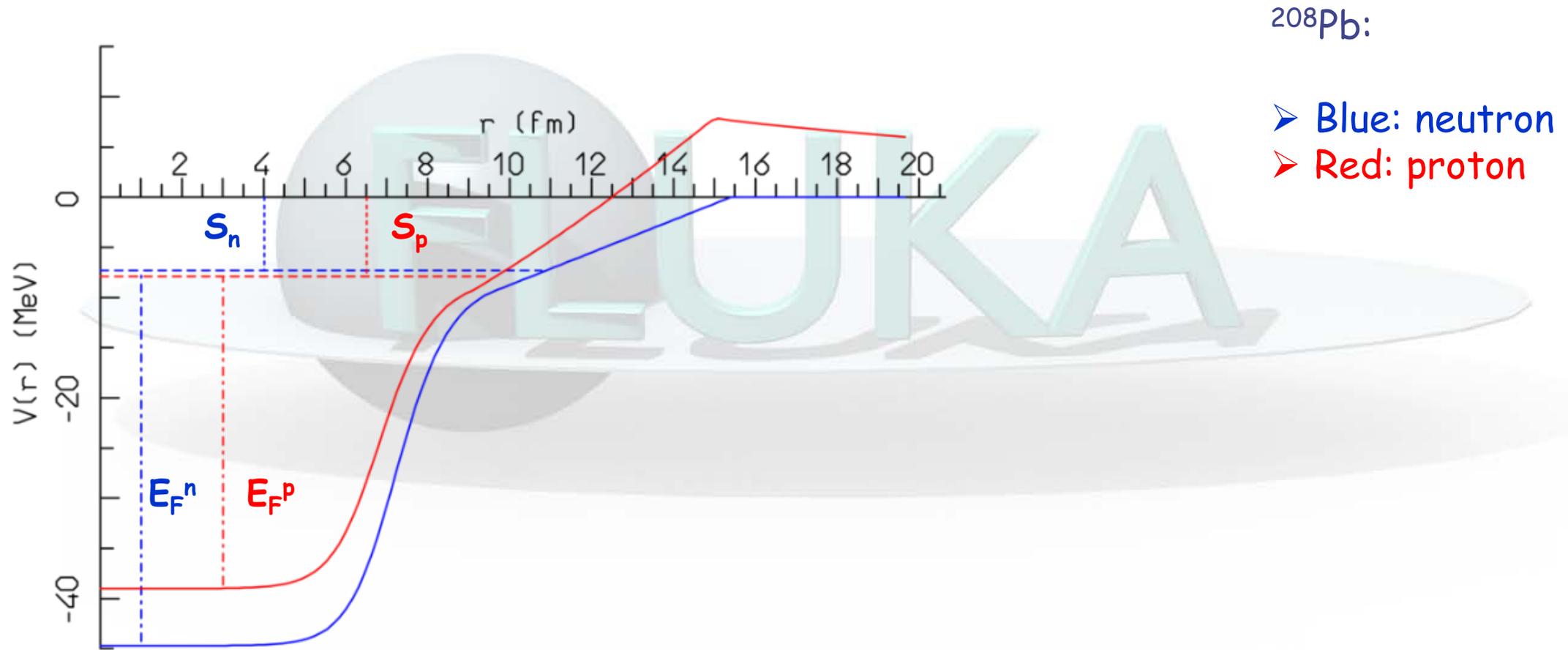
In nuclei with $N \neq Z$, two different values of the Fermi energy can be defined:

$$\rho_p(r) = \frac{Z}{A} \rho = \frac{1}{3\pi^2} (K_F^p)^3 \quad \rho_n(r) = \frac{N}{A} \rho = \frac{1}{3\pi^2} (K_F^n)^3$$

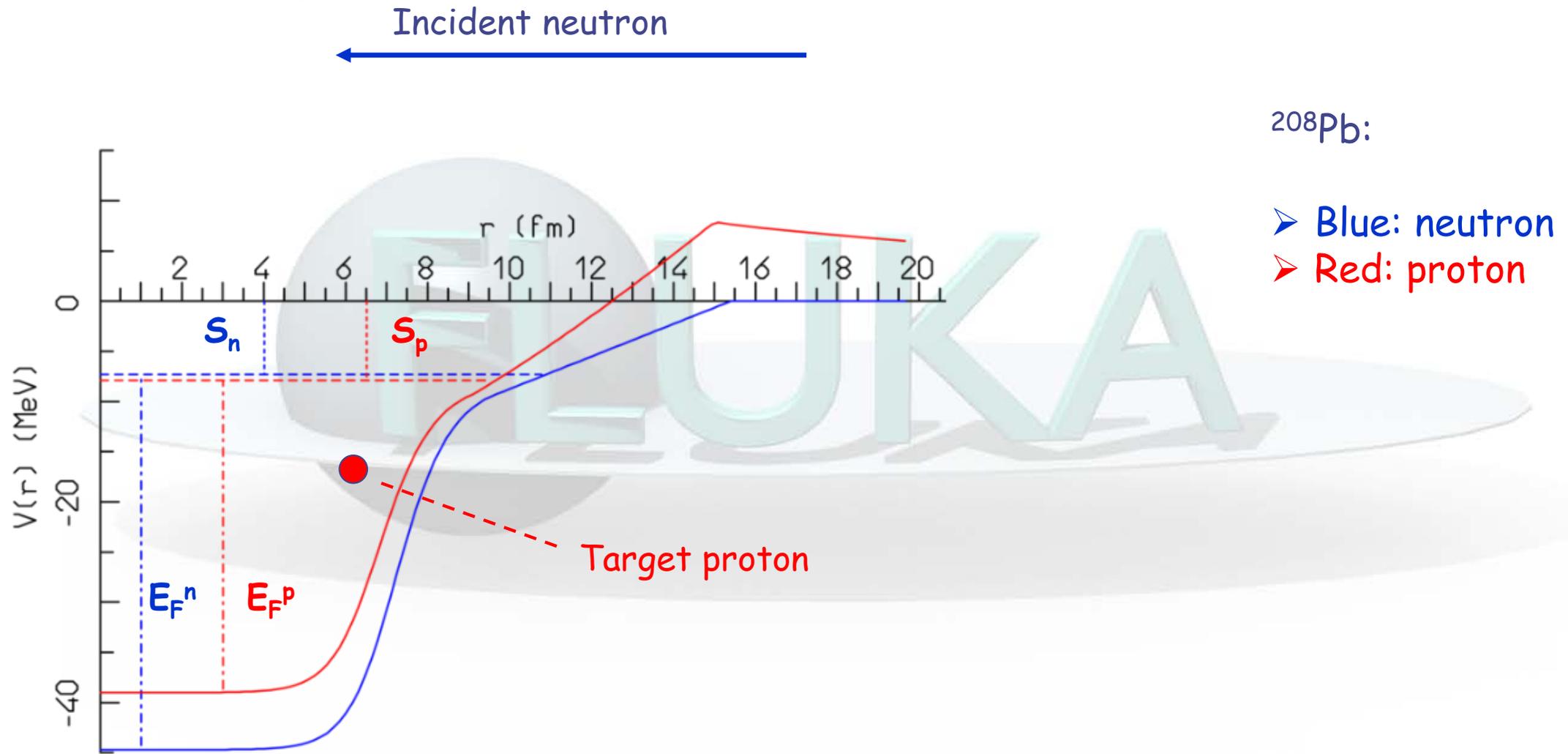
The so defined Fermi energies are kinetic energies, counted from the bottom of a potential well that in this model must be input from outside. This gives an average potential depth of about **38+8=46 MeV**. The Fermi energy can be made radius-dependent in a straightforward way, through the so called **local density approximation**:

$$\rho(r) = \frac{2}{3\pi^2} K_F^3(r)$$

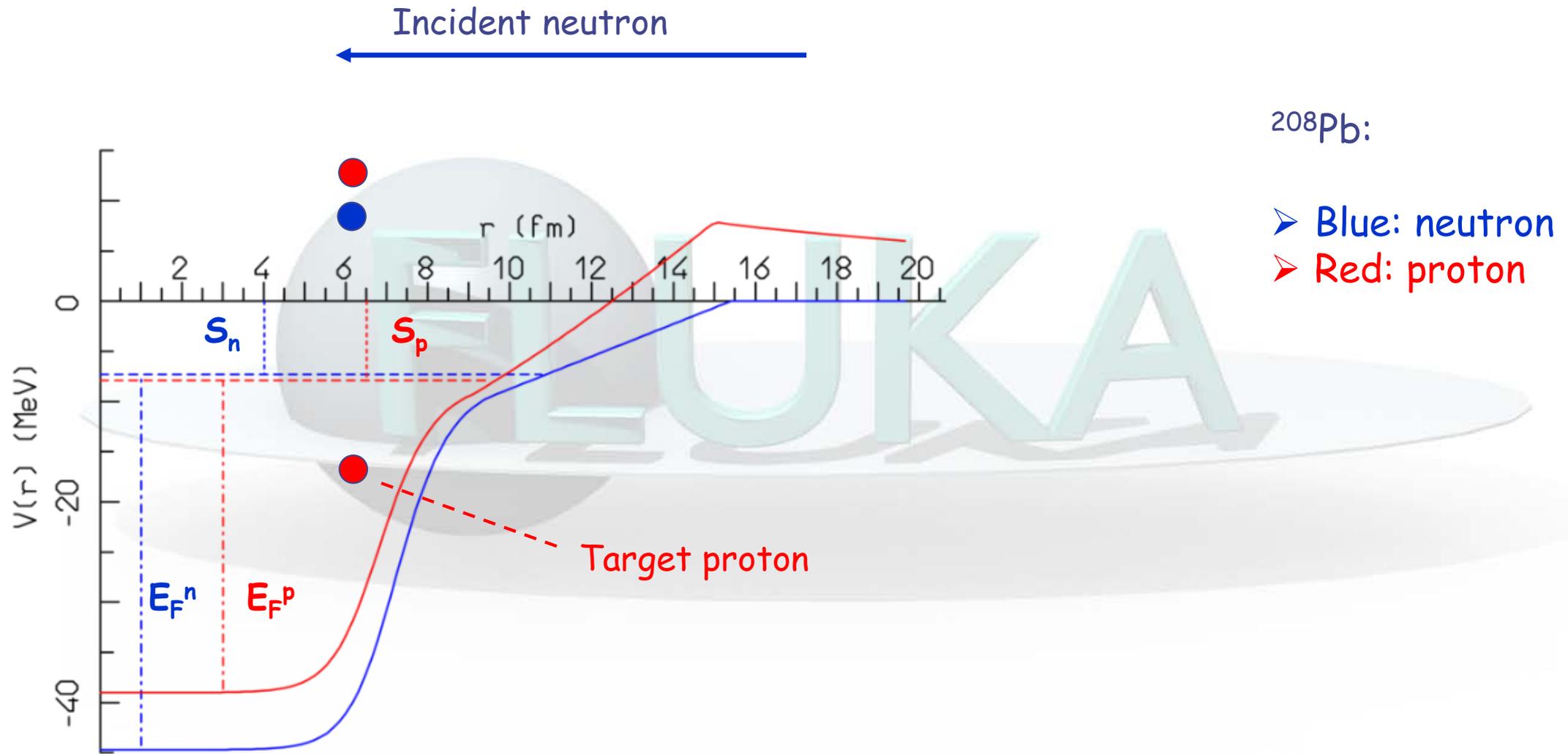
Nuclear potential for n/p: schematic drawing



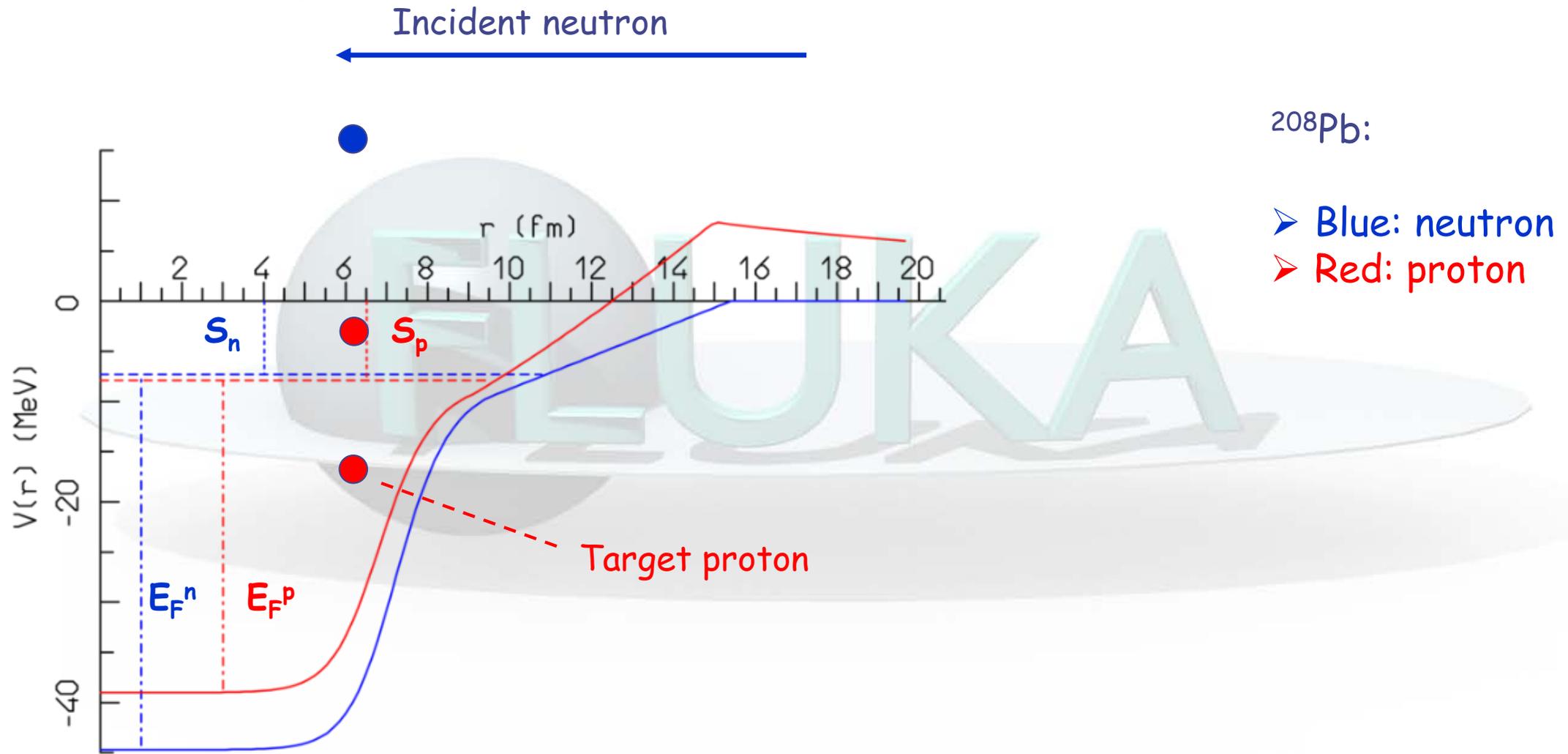
Nuclear potential for n/p: schematic drawing



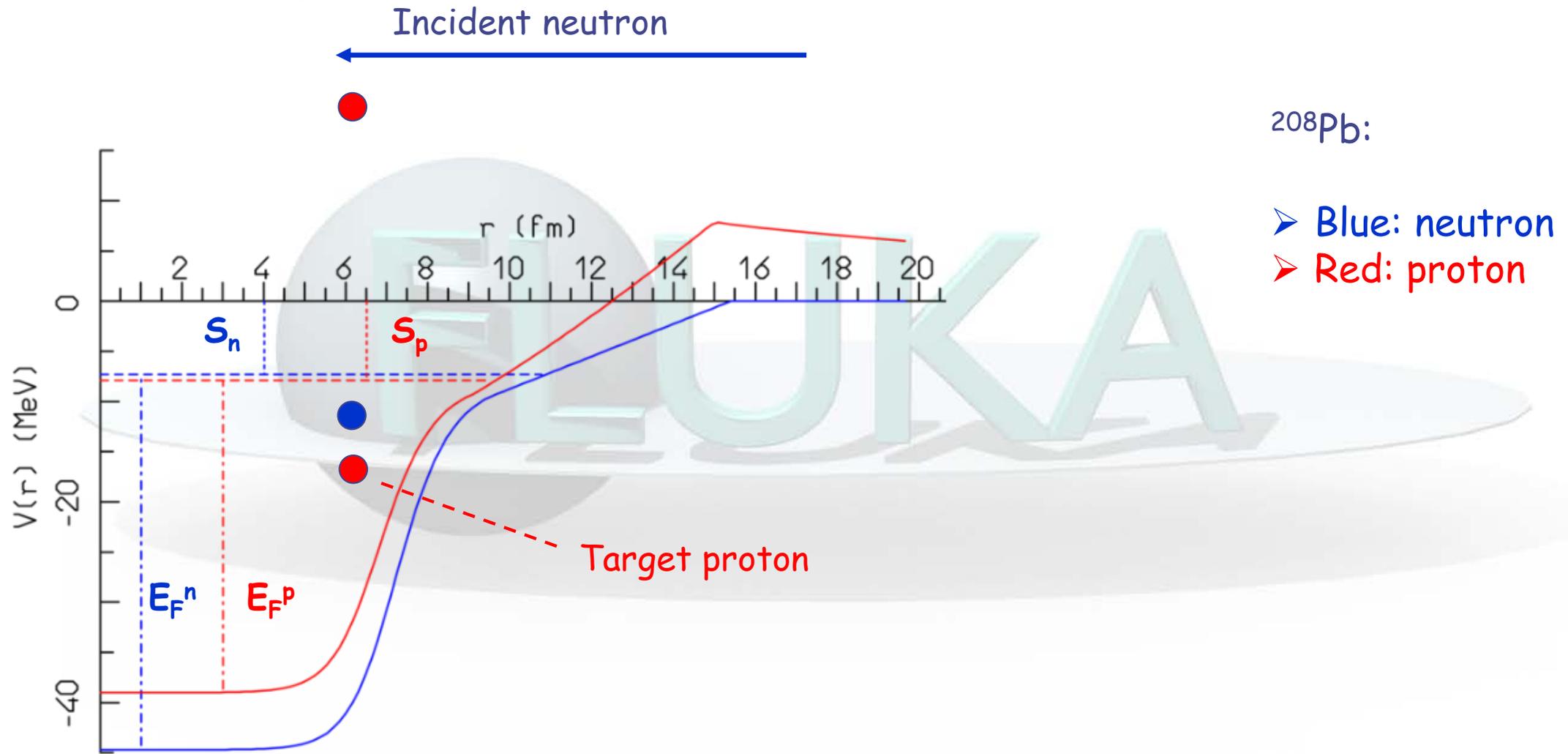
Nuclear potential for n/p: schematic drawing



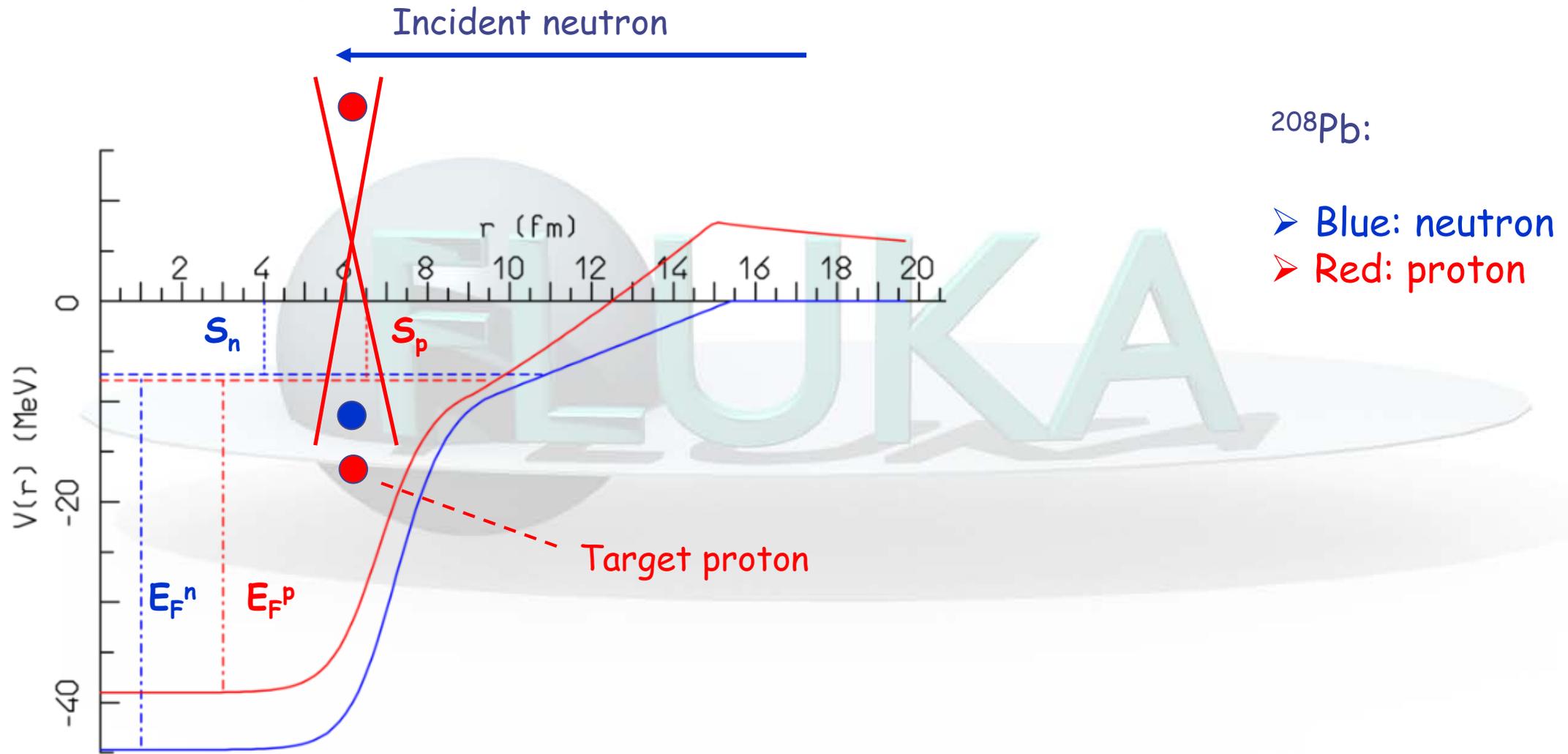
Nuclear potential for n/p: schematic drawing



Nuclear potential for n/p: schematic drawing



Nuclear potential for n/p: schematic drawing



(Generalized) IntraNuclear Cascade

- Primary and secondary particles moving in the nuclear medium
- Target nucleons motion and nuclear well according to the **Fermi gas model**
- Interaction probability
 $\sigma_{free} + \text{Fermi motion} \times \rho(r) + \text{exceptions (ex. } \pi)$
- **Glauber cascade at higher energies**
- Classical trajectories (+) nuclear mean potential (**resonant for } \pi)**
- Curvature from nuclear potential → **refraction and reflection**
- Interactions are incoherent and uncorrelated
- Interactions in projectile-target nucleon CMS → Lorentz boosts
- **Multibody absorption for } \pi, \mu^-, K^-**
- **Quantum effects** (Pauli, formation zone, correlations...)
- **Exact conservation** of energy, momenta and all additive quantum numbers, including nuclear recoil

hA at high energies: Glauber-Gribov cascade with formation zone

□ Glauber cascade

- Quantum mechanical method to compute Elastic, Quasi-elastic and Absorption hA cross sections from **Free hadron-nucleon scattering + nuclear ground state**
- **Multiple Collision** expansion of the scattering amplitude

□ Glauber-Gribov

- **Field theory** formulation of Glauber model
- Multiple collisions ↔ **Feynman diagrams**
- High energies: exchange of one or more Pomerons with one or more target nucleons (a closed string exchange)

□ Formation zone (=materialization time)

From one to many: Glauber cascade

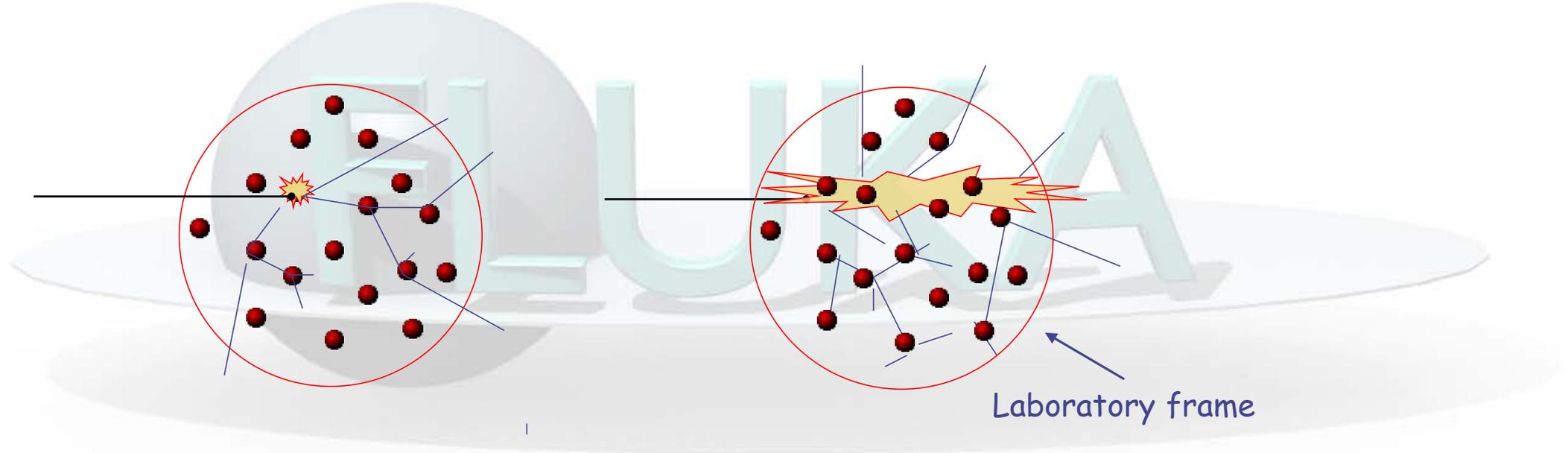
At energies below a few GeV hA interactions can be described by a single primary collision hN (elastic or non-elastic), followed by reinteraction of the secondary particles (INC).



From one to many: Glauber cascade

At energies below a few GeV hA interactions can be described by a single primary collision hN (elastic or non-elastic), followed by reinteraction of the secondary particles (INC).

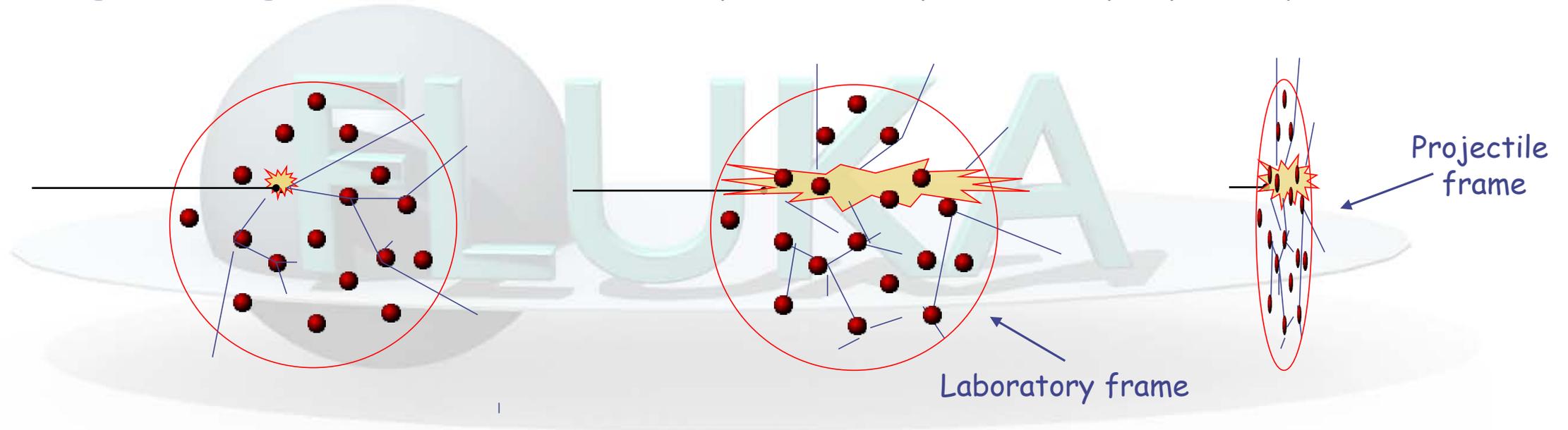
At higher energies, the **Glauber** calculus predicts explicit multiple primary collisions



From one to many: Glauber cascade

At energies below a few GeV hA interactions can be described by a single primary collision hN (elastic or non-elastic), followed by reinteraction of the secondary particles (INC).

At higher energies, the Glauber calculus predicts explicit multiple primary collisions



Due to the relativistic length contraction and the uncertainty principle, at high energy most of the newly produced particles escape the nucleus without further reinteraction

Gribov interpretation of Glauber multiple collisions

The absorption cross section can be shown to be just the integral in the impact parameter plane of the probability of getting at least one non-elastic hadron-nucleon collision

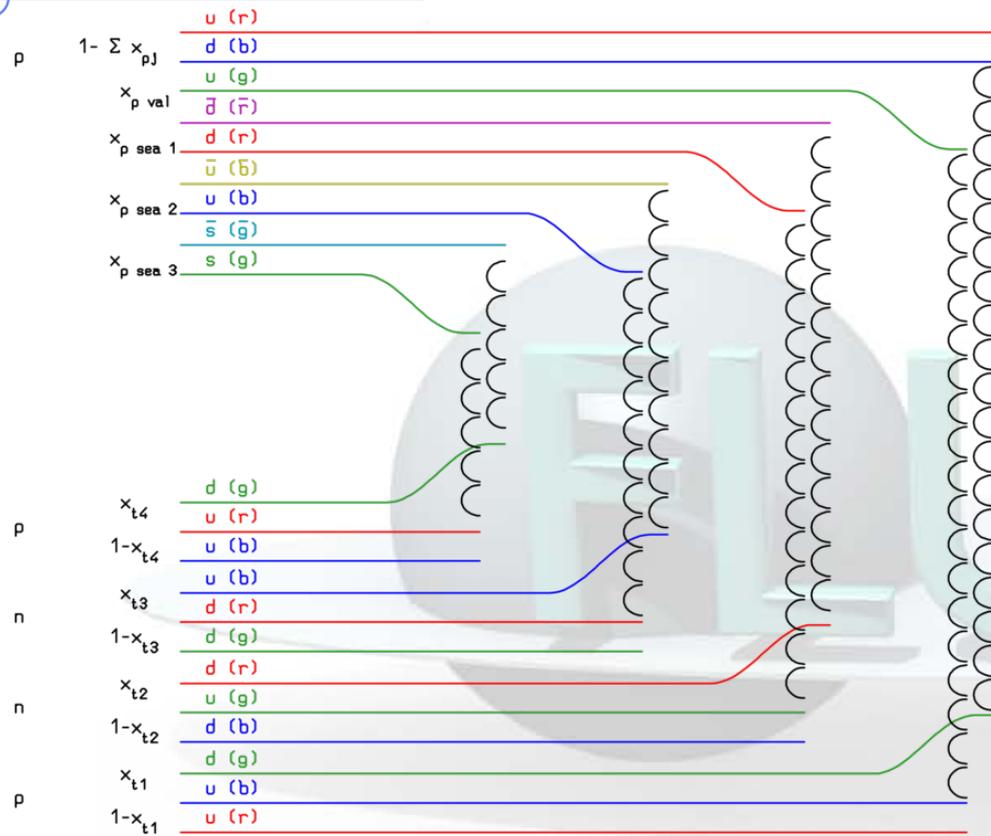
and the overall average number of collision integrated over the impact parameter space is given by

$$\langle \nu \rangle = \frac{Z\sigma_{hp r} + N\sigma_{hn r}}{\sigma_{hA abs}}$$

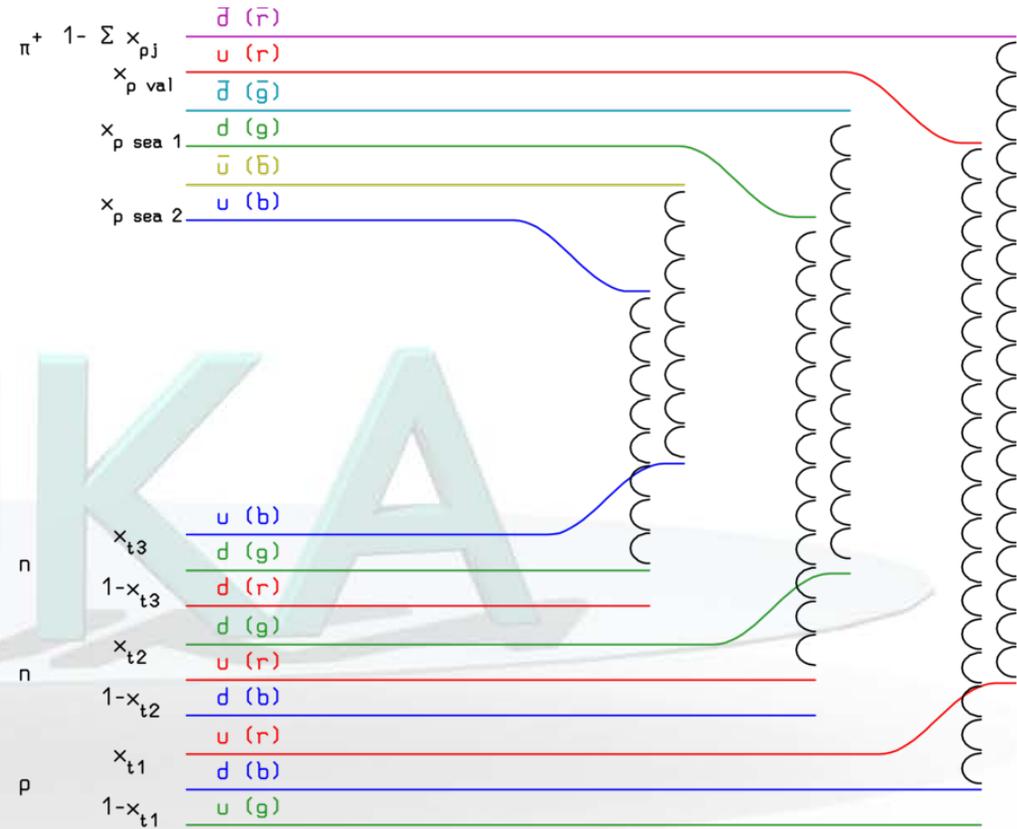
- Glauber-Gribov model = Field theory formulation of Glauber model
- Multiple collision terms \Rightarrow Feynman graphs
- At high energies : exchange of one or more pomerons with one or more target nucleons

- In the Dual Parton Model language: (neglecting higher order diagrams):
Interaction with n target nucleons $\Rightarrow 2n$ chains
 - Two chains from projectile valence quarks + valence quarks of one target nucleon \Rightarrow valence-valence chains
 - $2(n-1)$ chains from sea quarks of the projectile + valence quarks of target nucleons $\Rightarrow 2(n-1)$ sea-valence chains

Glauber-Gribov: chain examples



Leading two-chain diagrams in DPM for p - A Glauber scattering with 4 collisions. The color (red blue green) and quark combinations shown in the figure are just one of the allowed possibilities



Leading two-chain diagrams in DPM for π^+ - A Glauber scattering with 3 collisions.

Formation zone* (\rightarrow classical INC will never work)

Naively: "materialization" time (J.Ranft, L.Stodolski).
Qualitative estimate:



* J.Ranft applied the concept, originally proposed by Stodolski, to hA and AA nuclear interactions

Formation zone* (\rightarrow classical INC will never work)

Naively: "materialization" time (J.Ranft, L.Stodolski).

Qualitative estimate:

In the frame where $p_{||} = 0$

$$\bar{t} = \Delta t \approx \frac{\hbar}{E_T} = \frac{\hbar}{\sqrt{p_T^2 + M^2}}$$



* J.Ranft applied the concept, originally proposed by Stodolski, to hA and AA nuclear interactions

Formation zone* (\rightarrow classical INC will never work)

Naively: "materialization" time (J.Ranft, L.Stodolski).

Qualitative estimate:

In the frame where $p_{||} = 0$

$$\bar{t} = \Delta t \approx \frac{\hbar}{E_T} = \frac{\hbar}{\sqrt{p_T^2 + M^2}}$$

Particle proper time

$$\tau = \frac{M}{E_T} \bar{t} = \frac{\hbar M}{p_T^2 + M^2}$$

* J.Ranft applied the concept, originally proposed by Stodolski, to hA and AA nuclear interactions

Formation zone* (\rightarrow classical INC will never work)

Naively: "materialization" time (J.Ranft, L.Stodolski).

Qualitative estimate:

In the frame where $p_{||} = 0$

$$\bar{t} = \Delta t \approx \frac{\hbar}{E_T} = \frac{\hbar}{\sqrt{p_T^2 + M^2}}$$

Particle proper time

$$\tau = \frac{M}{E_T} \bar{t} = \frac{\hbar M}{p_T^2 + M^2}$$

Going to the nucleus system

$$\Delta x_{for} \equiv \beta c \cdot t_{lab} \approx \frac{p_{lab}}{E_T} \bar{t} \approx \frac{p_{lab}}{M} \tau = k_{for} \frac{\hbar p_{lab}}{p_T^2 + M^2}$$

* J.Ranft applied the concept, originally proposed by Stodolski, to hA and AA nuclear interactions

Formation zone* (\rightarrow classical INC will never work)

Naively: "materialization" time (J.Ranft, L.Stodolski).

Qualitative estimate:

In the frame where $p_{||} = 0$

$$\bar{t} = \Delta t \approx \frac{\hbar}{E_T} = \frac{\hbar}{\sqrt{p_T^2 + M^2}}$$

Particle proper time

$$\tau = \frac{M}{E_T} \bar{t} = \frac{\hbar M}{p_T^2 + M^2}$$

Going to the nucleus system

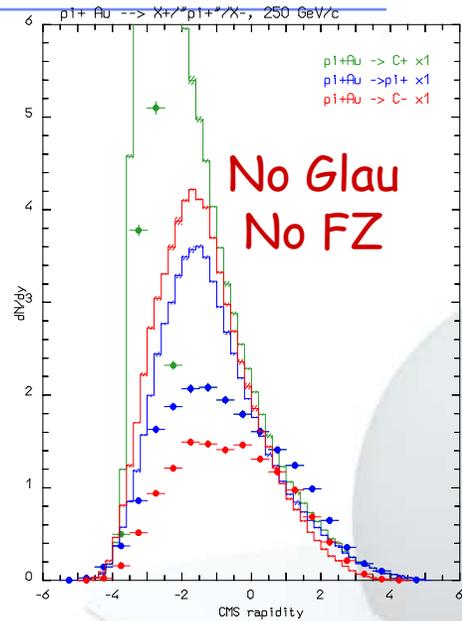
$$\Delta x_{for} \equiv \beta c \cdot t_{lab} \approx \frac{p_{lab}}{E_T} \bar{t} \approx \frac{p_{lab}}{M} \tau = k_{for} \frac{\hbar p_{lab}}{p_T^2 + M^2}$$

Condition for possible reinteraction inside a nucleus:

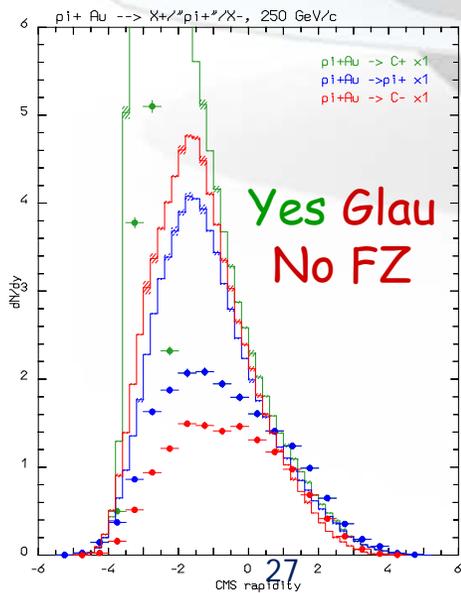
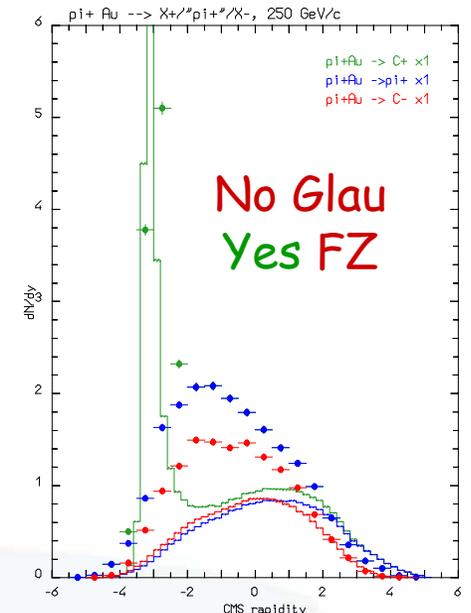
* J.Ranft applied the concept, originally proposed by Stodolski, to hA and AA nuclear interactions

$$\Delta x_{for} \leq R_A \approx r_0 A^{\frac{1}{3}}$$

Effect of Glauber and Formation Zone

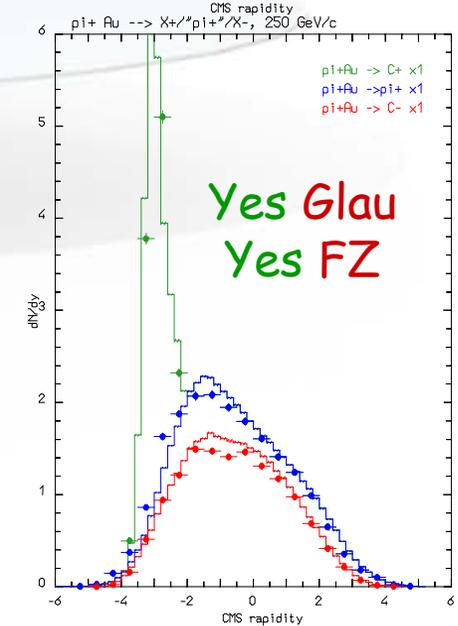


Rapidity distribution of charged particles produced in 250 GeV π^+ collisions on Gold
 Points: exp. data (Agababyan et al., ZPC50, 361 (1991)).
 (rapidity $\approx -\ln(\text{tg}(\theta/2))$)

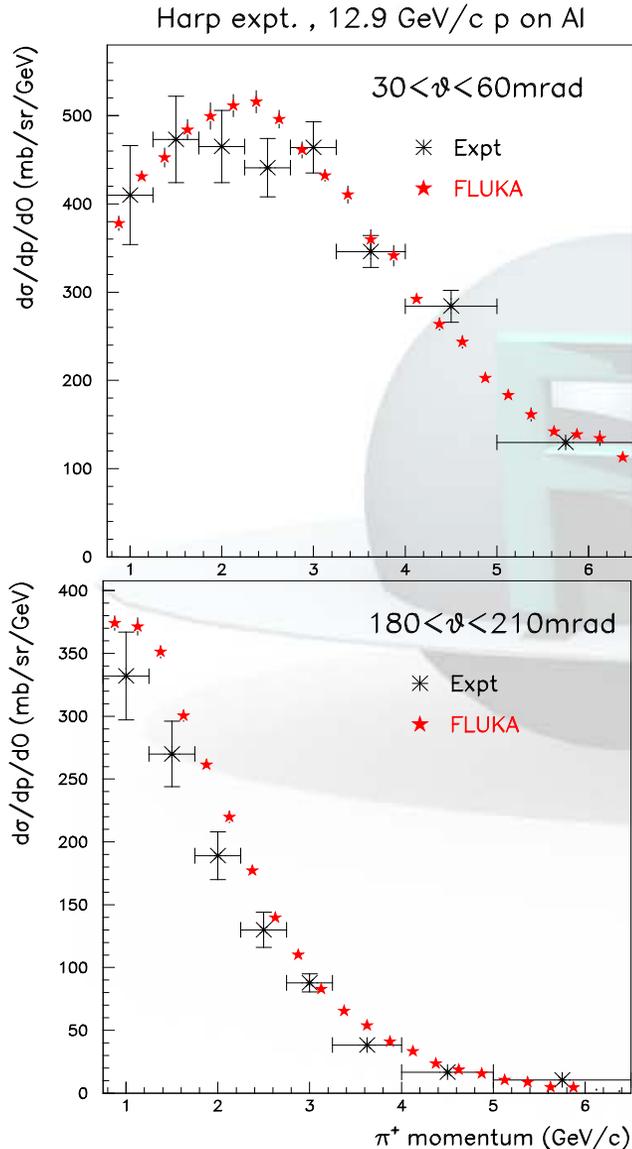


Large Effects on:

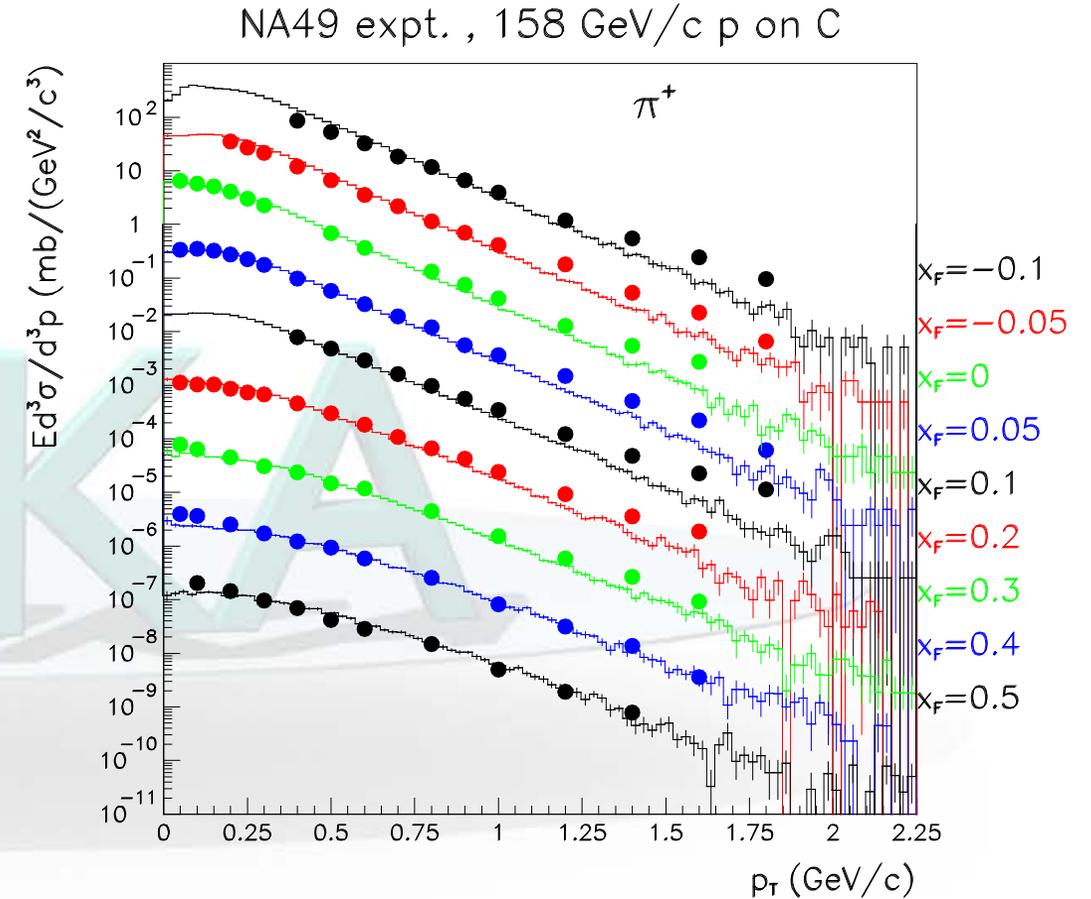
- Multiplicity
- Energy distribution
- Angular distribution



Nonelastic hA interactions at high energies: examples

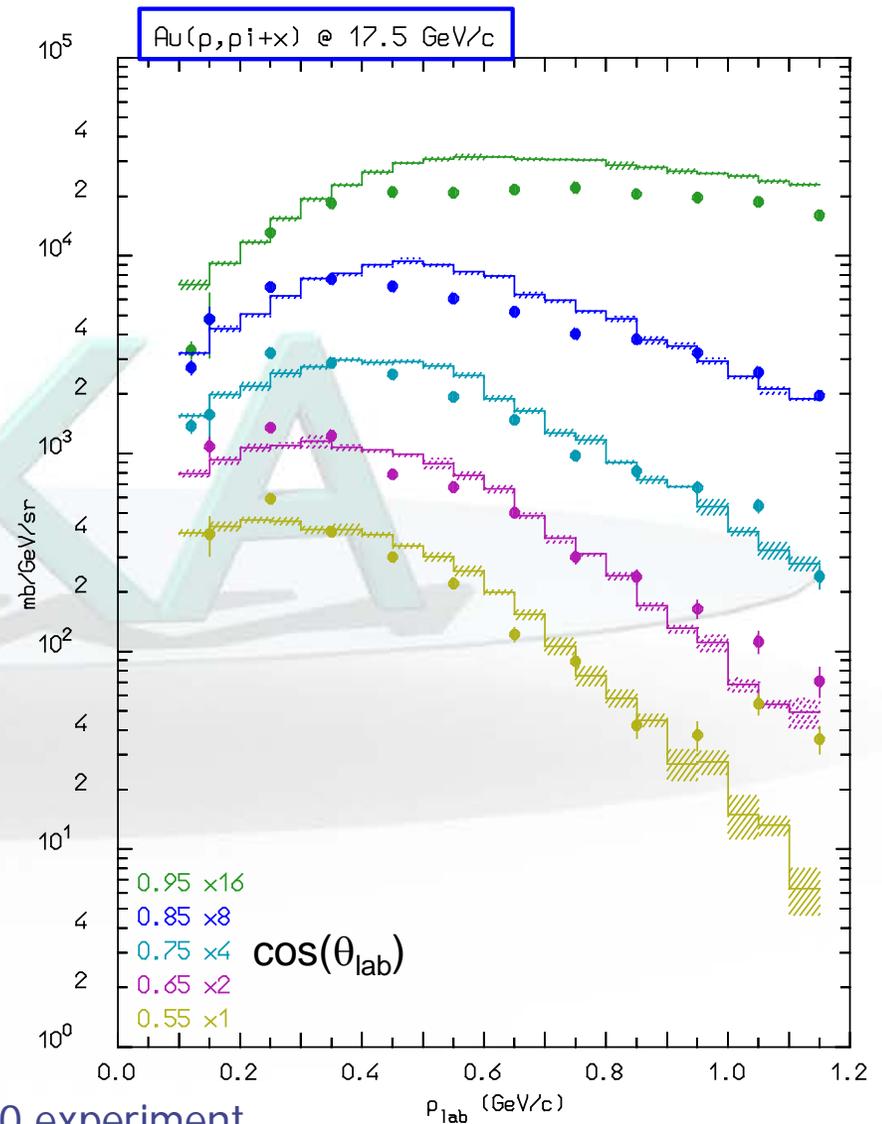
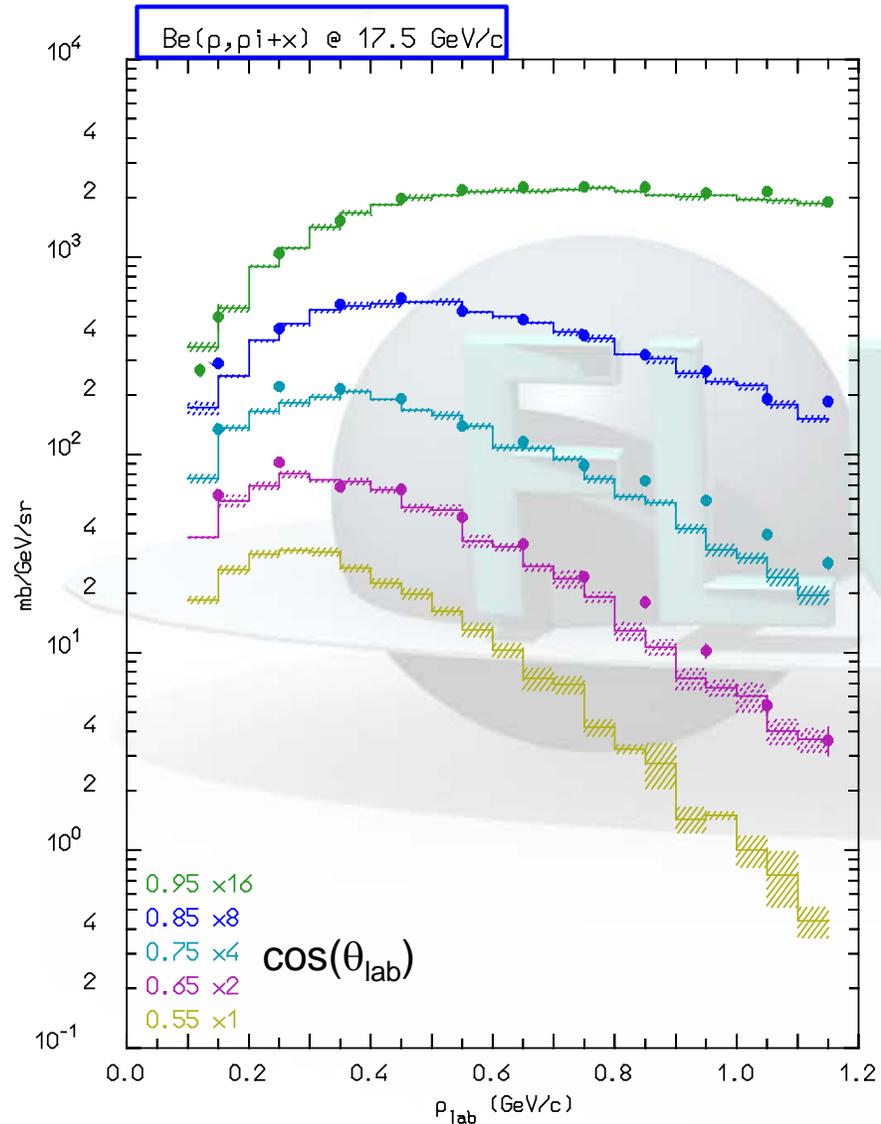


Results from the HARP experiment 12.9 GeV/c p on Al π^+ production at different angles



Double differential π^+ production for p C interactions at 158 GeV/c, as measured by NA49 (symbols) and predicted by FLUKA (histograms)

Double differential pion production



data from BNL910 experiment

Pions: nuclear medium effects

Free πN interactions \Rightarrow \Rightarrow Non resonant channel
 \Rightarrow P-wave resonant Δ production

Δ in nuclear medium \Rightarrow decay \Rightarrow elastic scattering, charge exchange
 \Rightarrow reinteraction \Rightarrow Multibody pion absorption

Assuming for the free resonant σ a Breit-Wigner form with width Γ_F

$$\sigma_{res}^{Free} = \frac{8\pi}{p_{cms}^2} \frac{M_\Delta^2 \Gamma_F^2(p_{cms})}{(s - M_\Delta^2)^2 + M_\Delta^2 \Gamma_F^2(p_{cms})}$$

An "in medium" resonant σ (σ_{res}^A) can be obtained adding to Γ_F the imaginary part of the (extra) width arising from nuclear medium

$$\frac{1}{2}\Gamma_T = \frac{1}{2}\Gamma_F - \text{Im}\Sigma_\Delta \quad \Sigma_\Delta = \Sigma_{qe} + \Sigma_2 + \Sigma_3 \quad (\text{Oset et al., NPA 468, 631})$$

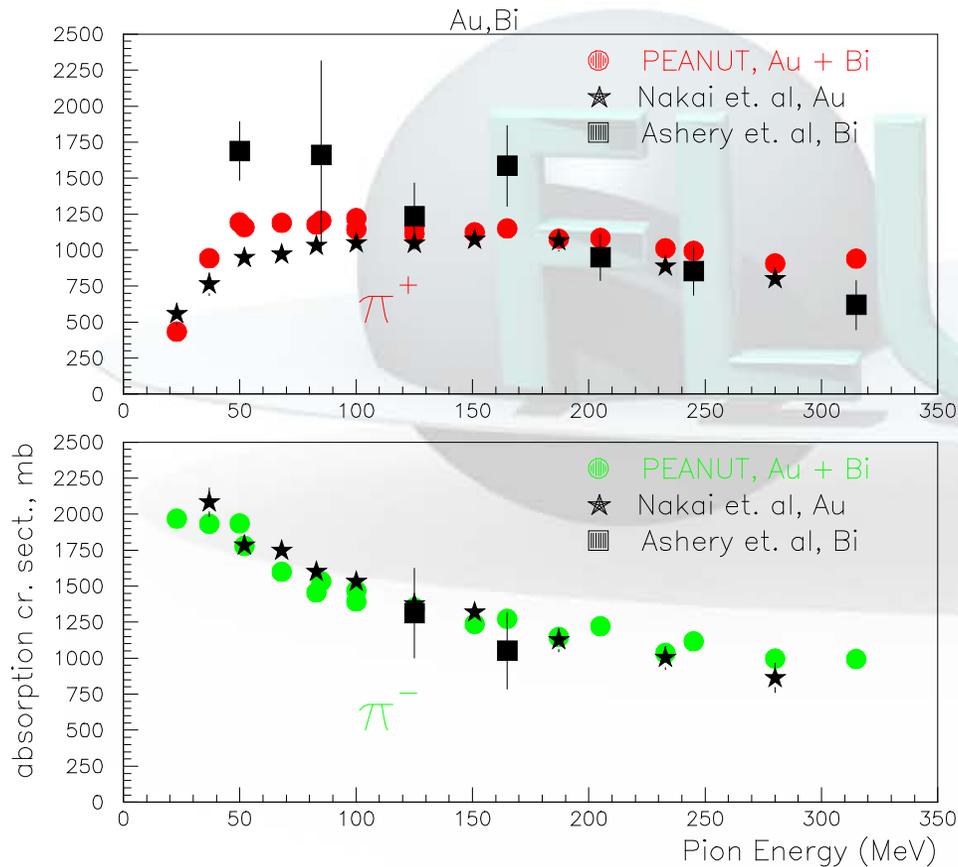
quasielastic scattering, two and three body absorption

The in-nucleus σ_t^A takes also into account a two-body s-wave absorption σ_s^A derived from the optical model

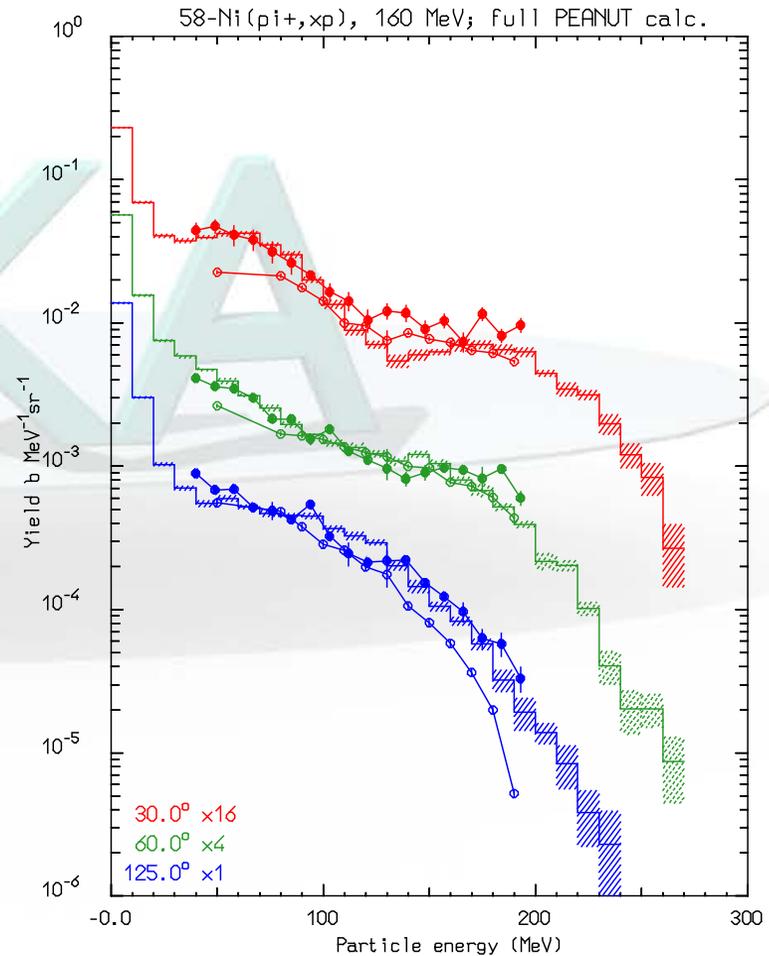
$$\sigma_t^A = \sigma_{res}^A + \sigma_t^{Free} - \sigma_{res}^{Free} + \sigma_s^A \quad \sigma_s^A(\omega) = \frac{4\pi}{p} \left(1 + \frac{\omega}{2m}\right) \text{Im} B_0(\omega) \rho$$

Pion absorption

Pion absorption cross section on Gold and Bismuth in the Δ resonance region (multibody absorption in PEANUT)



Emitted proton spectra at different angles, 160 MeV π^+ on ^{58}Ni
 Phys. Rev. C41,2215 (1990)
 Phys. Rev. C24,211 (1981)
 Proton spectra extend up to 300 MeV



Preequilibrium emission

For $E > \pi$ production threshold \rightarrow only (G)INC models

At lower energies a variety of preequilibrium models == share the excitation energy among many nucleons/holes

Two leading approaches

The quantum-mechanical multistep model:
Very good theoretical background
Complex, difficulties for multiple emissions

The semiclassical exciton model
Statistical assumptions
Simple and fast
Suitable for MC

Statistical assumption:

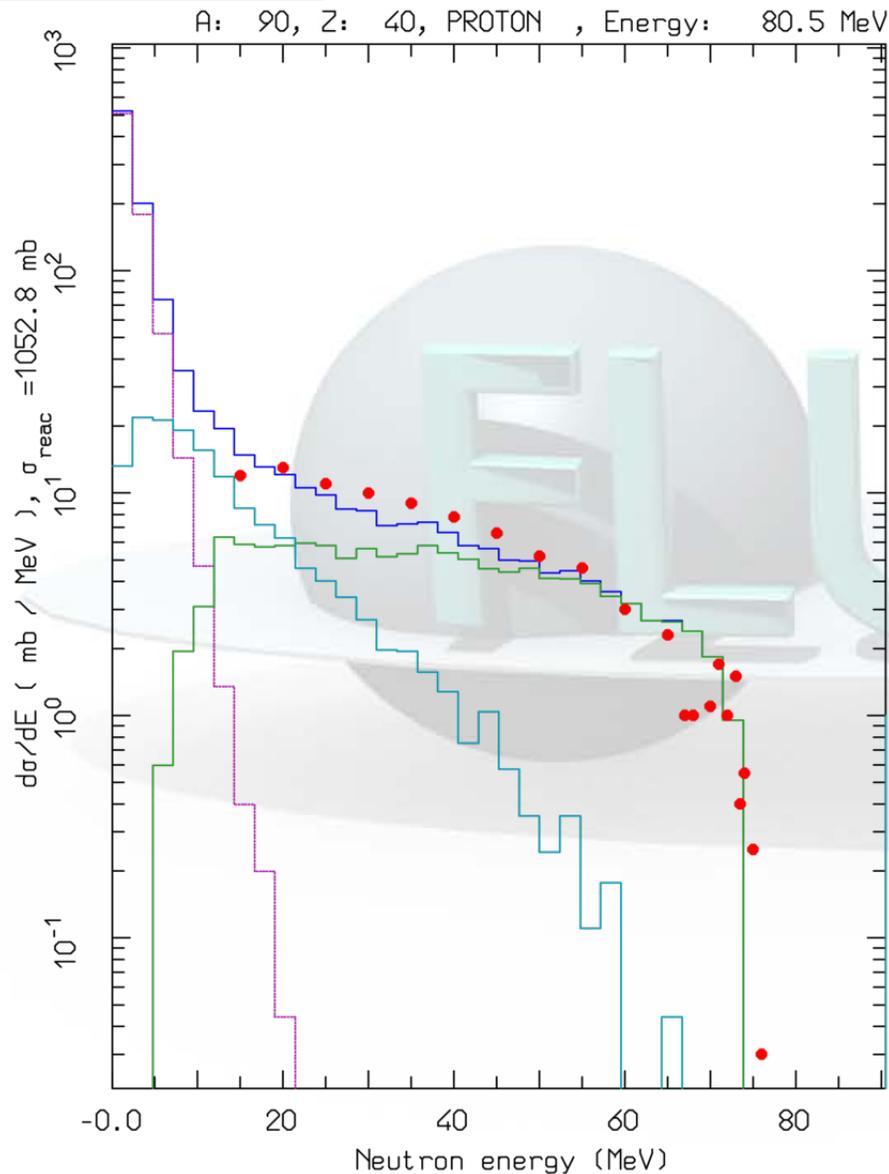
any partition of the excitation energy E^* among N , $N = N_n + N_p$, excitons has the same probability to occur

Step: nucleon-nucleon collision with $N_{n+1} = N_n + 2$ ("never come back approximation")

Chain end = equilibrium = N_n sufficiently high or excitation energy below threshold

N_1 depends on the reaction type and cascade history

Thin target example

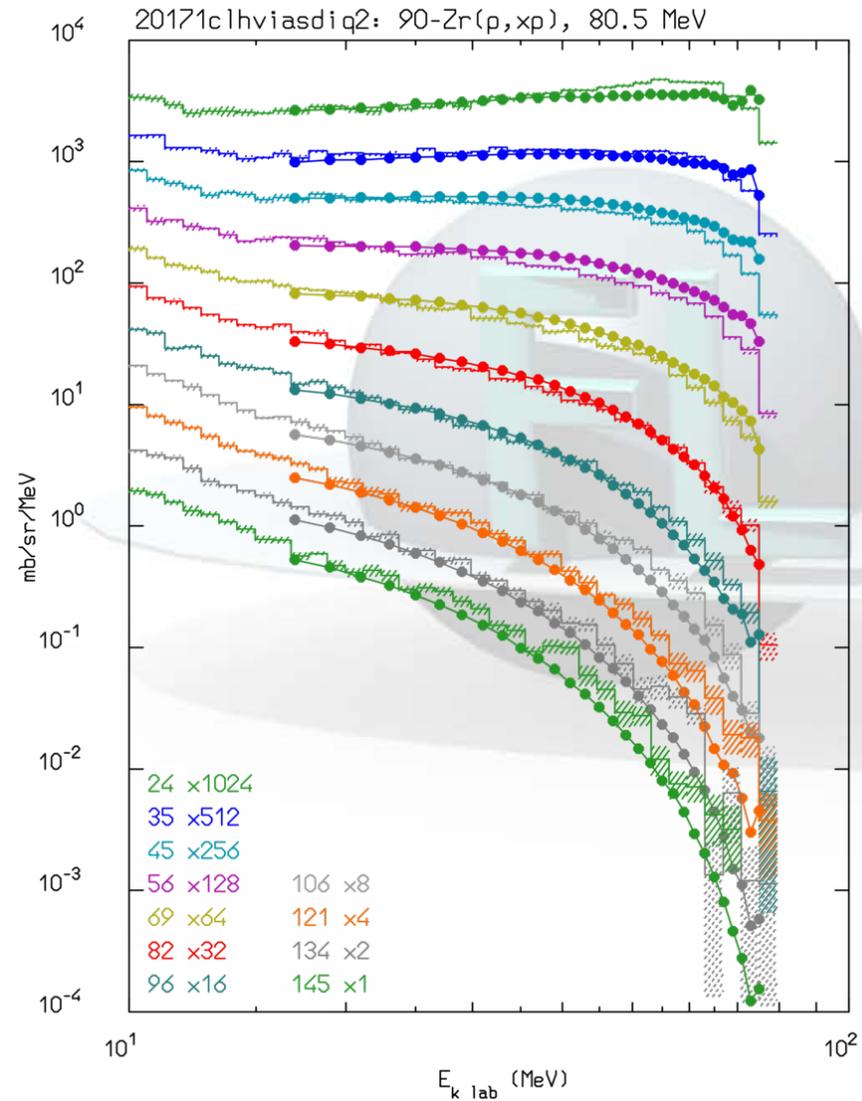


Angle-integrated $^{90}\text{Zr}(p,xn)$ at 80.5 MeV

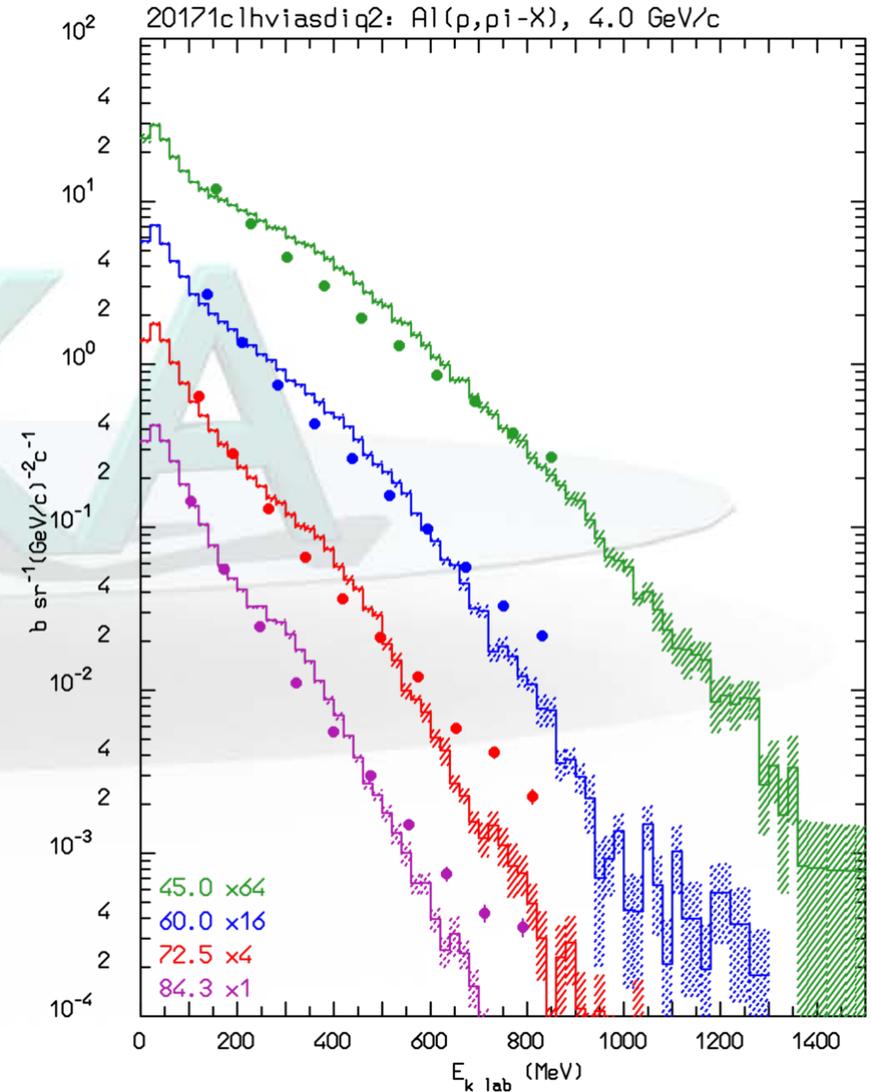
The various lines show the **total**, **INC**, **preequilibrium** and **evaporation** contributions

Experimental data • from M. Trabandt et al., Phys. Rev. C39, 452 (1989)

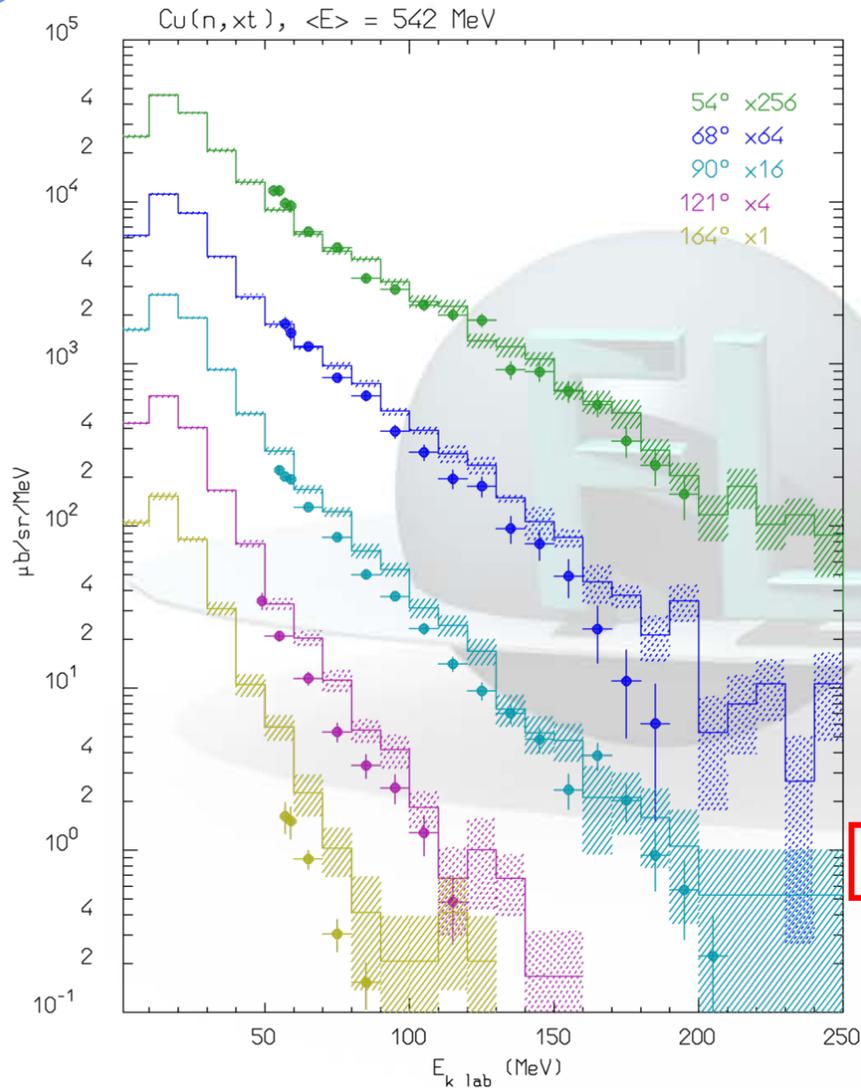
Thin target examples



Double differential,
 $d^2\sigma/dEd\Omega$ emission
spectra for various
angles



Coalescence



High energy light fragments are emitted through the coalescence mechanism: "put together" emitted nucleons that are near in phase space.

Example : double differential t (^3H) production from 542 MeV neutrons on Copper

Warning: coalescence is OFF by default
Can be important, especially for residual nuclei.
To activate it:

PHYSICS 1.

COALESCE

If coalescence is on, switch on Heavy ion transport and interactions (see later)

Equilibrium particle emission (evaporation, fission and nuclear break-up)

From statistical considerations and the detailed balance principle, the probabilities for emitting a particle of mass m_j , spin $S_j \hbar$ and energy E , or of fissioning are given by*:
 (i, f for initial/final state, **Fiss** for fission saddle point)

Probability per unit time of emitting a particle j with energy E

$$P_j = \frac{(2S_j + 1)m_j c}{\pi^2 \hbar^3} \int_{V_j}^{U_i - Q_j - \Delta_f} \frac{\rho_f(U_f)}{\rho_i(U_i)} \sigma_{inv}(E) E dE$$

Probability per unit time of fissioning

$$P_{Fiss} = \frac{1}{2 \pi \hbar} \int_0^{U_i - B_{Fiss}} \frac{\rho_{Fiss}(U_i - B_{Fiss} - E)}{\rho_i(U_i)} dE$$

- ρ 's: nuclear level densities
- U 's: excitation energies
- V_j 's: possible Coulomb barrier for emitting a particle type j
- B_{Fiss} : fission barrier

- Q_j 's: reaction Q for emitting a particle type j
- σ_{inv} : cross section for the inverse process
- Δ 's: pairing energies

*Weisskopf-Ewing approach

Equilibrium particle emission (evaporation, fission and nuclear break-up)

From statistical considerations and the detailed balance principle, the probabilities for emitting a particle of mass m_j , spin $S_j \hbar$ and energy E , or of fissioning are given by*:
 (i, f for initial/final state, F_{iss} for fission saddle point)

Probability per unit time of emitting a particle j with energy E

$$P_j = \frac{(2S_j + 1)m_j c}{\pi^2 \hbar^3} \int_{V_j}^{U_i - Q_j - \Delta_f} \frac{\rho_f(U_f)}{\rho_i(U_i)} \sigma_{inv}(E) E dE$$

Probability per unit time of fissioning

$$P_{Fiss} = \frac{1}{2\pi\hbar} \int_0^{U_i - B_{Fiss}} \frac{\rho_{Fiss}(U_i - B_{Fiss} - E)}{\rho_i(U_i)} dE$$

- ρ 's: nuclear level densities
- U 's: excitation energies
- V_j 's: possible Coulomb barrier for emitting a particle type j
- B_{Fiss} : fission barrier

- Q_j 's: reaction Q for emitting a particle type j
- σ_{inv} : cross section for the inverse process
- Δ 's: pairing energies

*Neutron emission is strongly favoured because of the lack of any barrier
 Heavy nuclei generally reach higher excitations because of more intense cascading*

*Weisskopf-Ewing approach

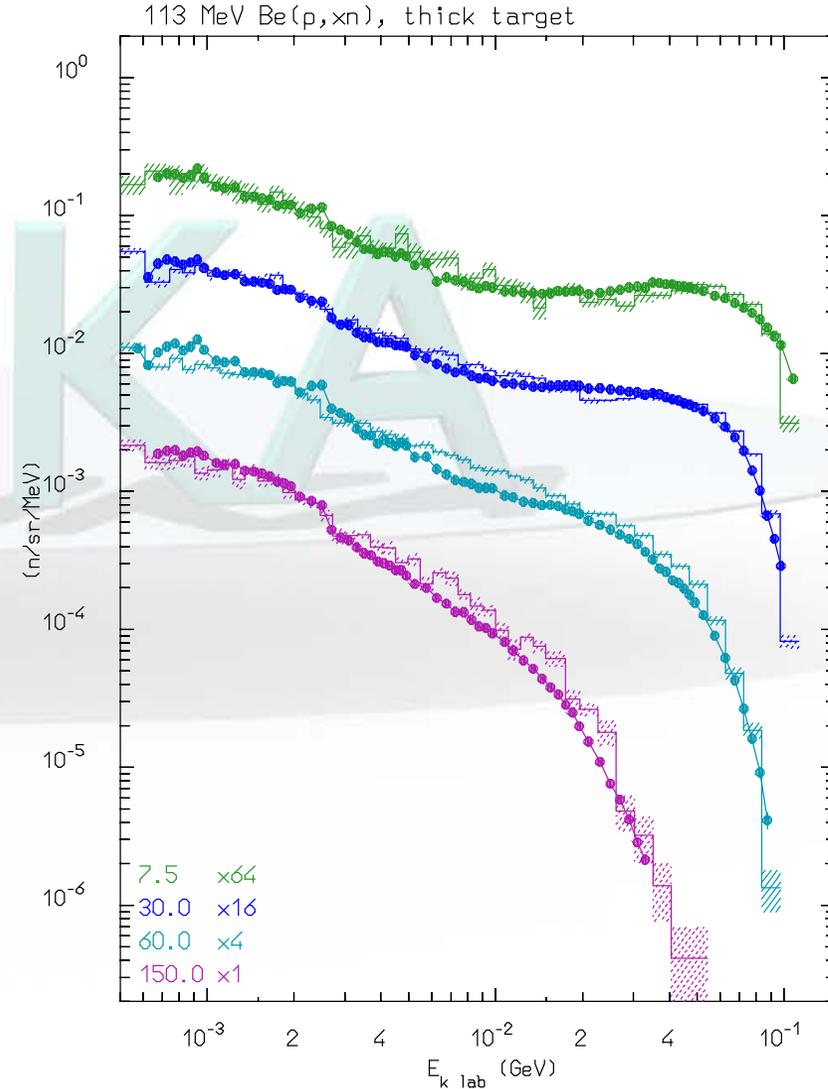
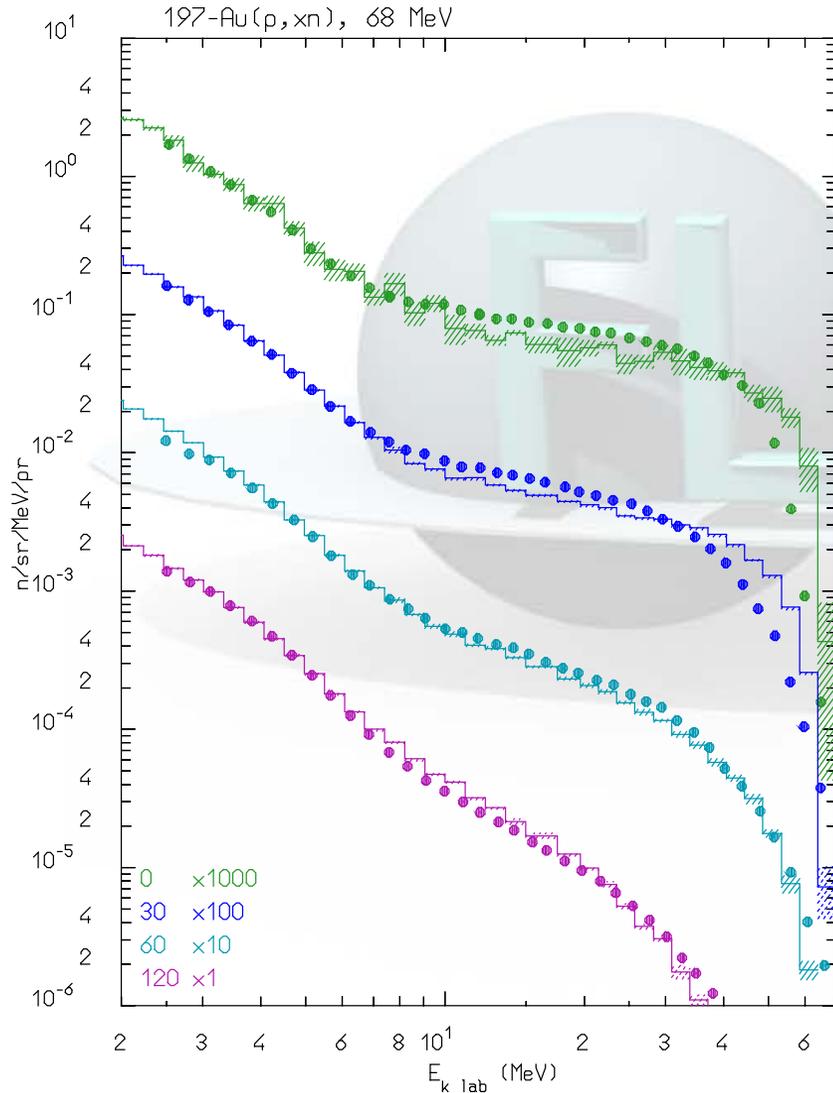
Equilibrium particle emission in Fluka

- Evaporation: Weisskopf-Ewing approach
 - ~600 possible emitted particles/states ($A < 25$) with an extended (heavy) evaporation/fragmentation formalism
 - Full level density formula with level density parameter A, Z and excitation dependent
 - Inverse cross section with proper sub-barrier
 - Analytic solution for the emission widths (neglecting the level density dependence on U , taken into account by rejection)
 - Emission energies from the width expression with no. approx.
- Fission: past, improved version of the Atchison algorithm, now
 - Γ_{fis} based of first principles, full competition with evaporation
 - Improved mass and charge widths
 - Myers and Swiatecki fission barriers, with exc. en. dependent level density enhancement at saddle point
- Fermi Break-up for $A < 18$ nuclei
 - ~ 50000 combinations included with up to 6 ejectiles
- γ de-excitation: statistical + rotational + tabulated levels

Thick target examples: neutrons

$^{197}\text{Au}(p,xn)$ @ 68 MeV, stopping target
Data: JAERI-C-96-008, 217 (1996)

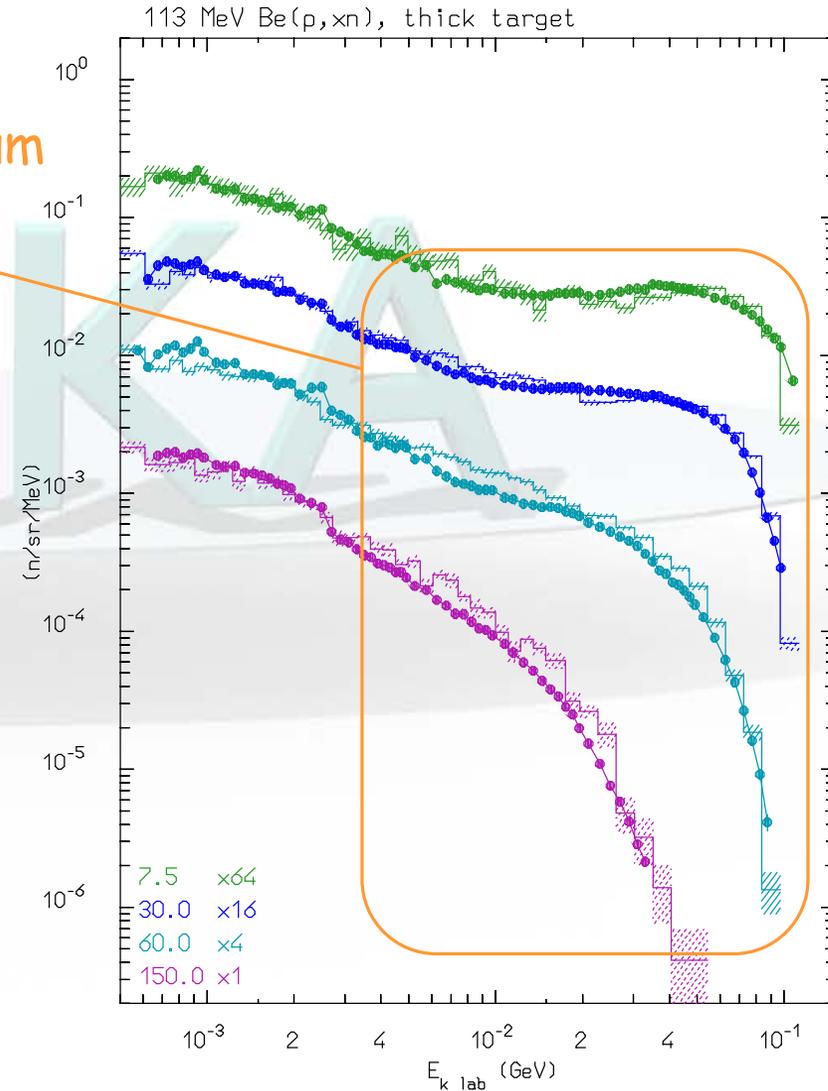
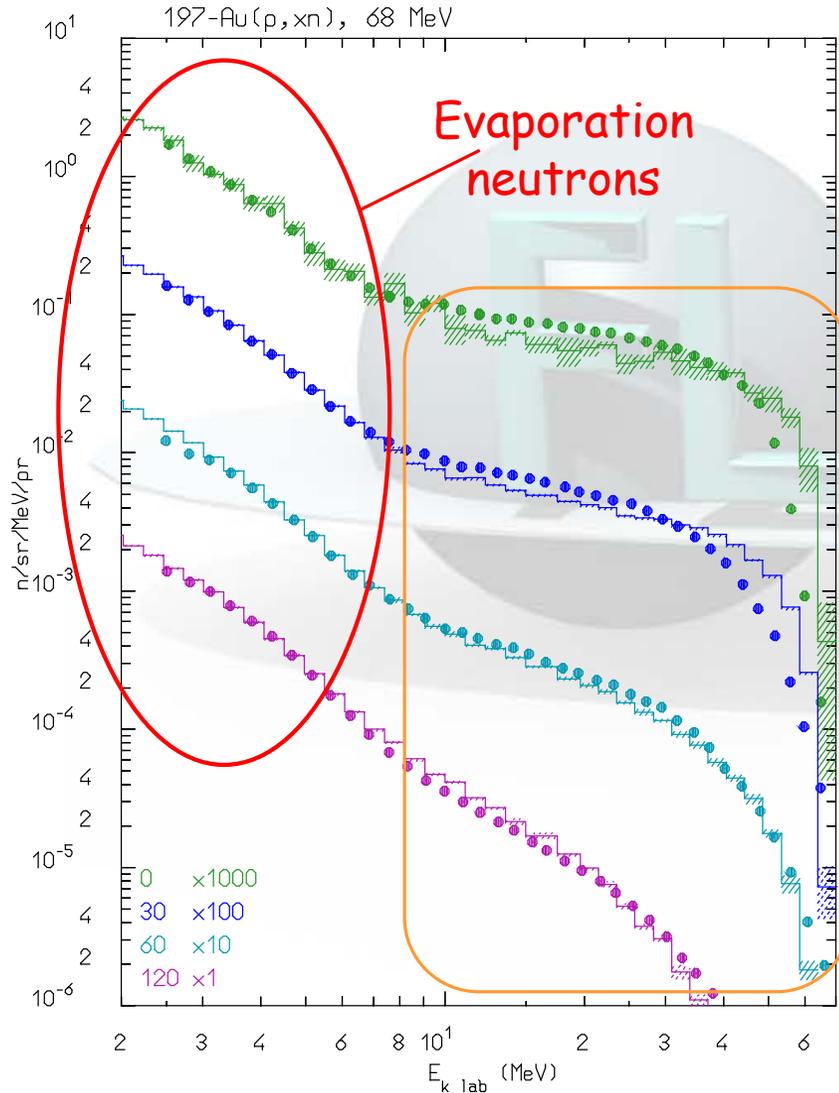
$^9\text{Be}(p,xn)$ @ 113 MeV, stopping target
Data: NSE110, 299 (1992)



Thick target examples: neutrons

$^{197}\text{Au}(p,xn)$ @ 68 MeV, stopping target
Data: JAERI-C-96-008, 217 (1996)

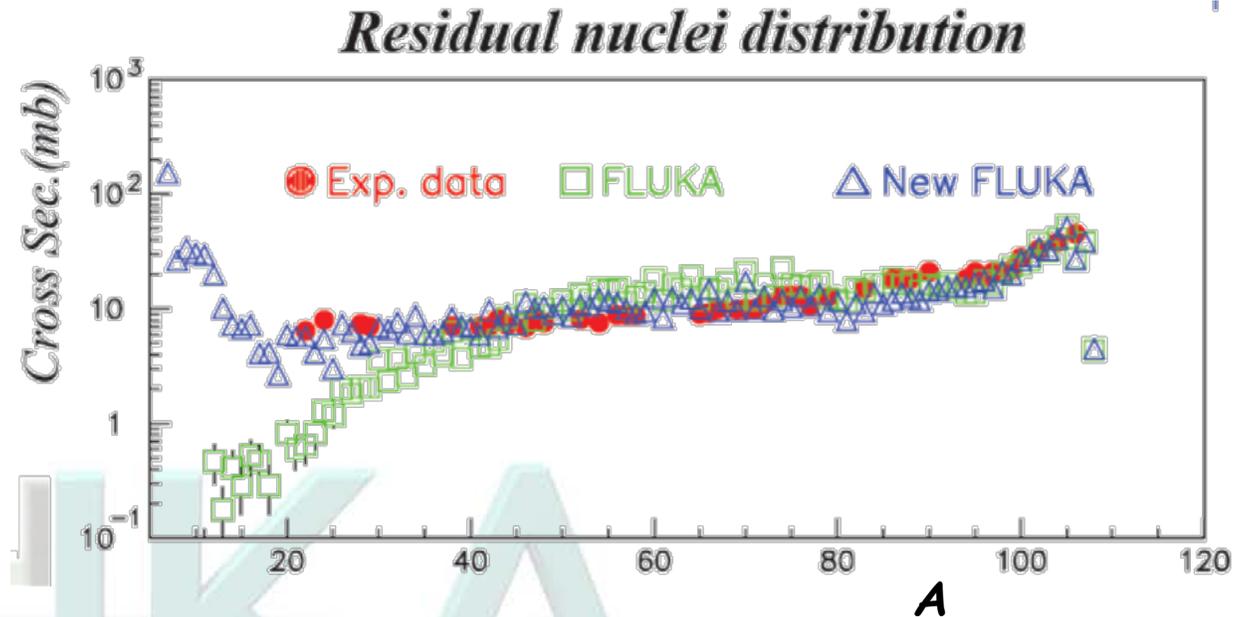
$^9\text{Be}(p,xn)$ @ 113 MeV, stopping target
Data: NSE110, 299 (1992)



Residual nuclei

Experimental and computed residual nuclei mass distribution for $\text{Ag}(p,x)X$ at 300 GeV

Data from Phys. Rev. C19 2388 (1979)



The heavy evaporation/fragmentation model ("New FLUKA") has much improved the FLUKA predictions

Also for A-A interactions

Warning: heavy evaporation/fragmentation is OFF by default, because it is a cpu-eater. It is NECESSARY to activate it for activation studies:

PHYSICS 3.

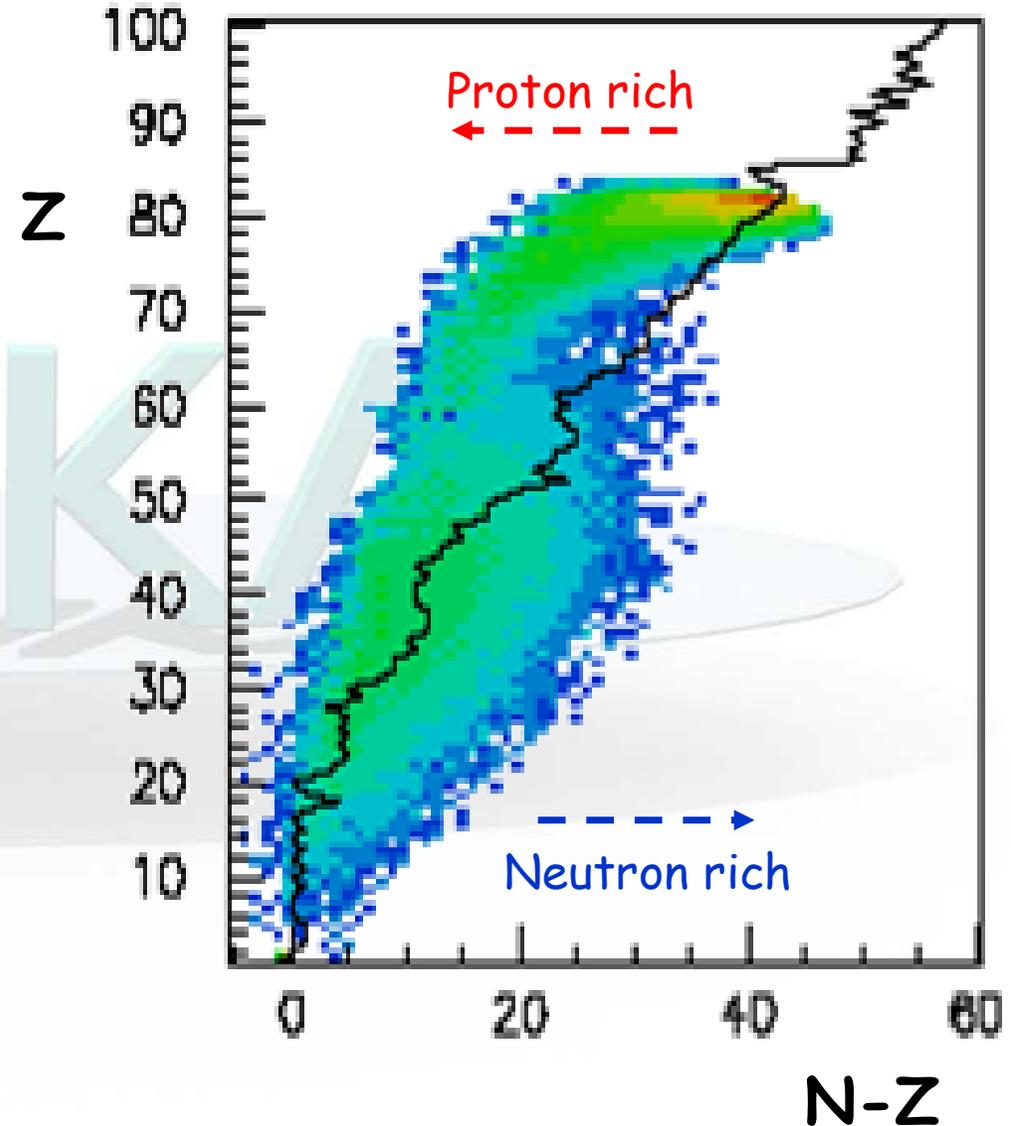
EVAPORAT

If fragmentation is on, switch on Heavy ion transport and interactions (see later)

Residual Nuclei

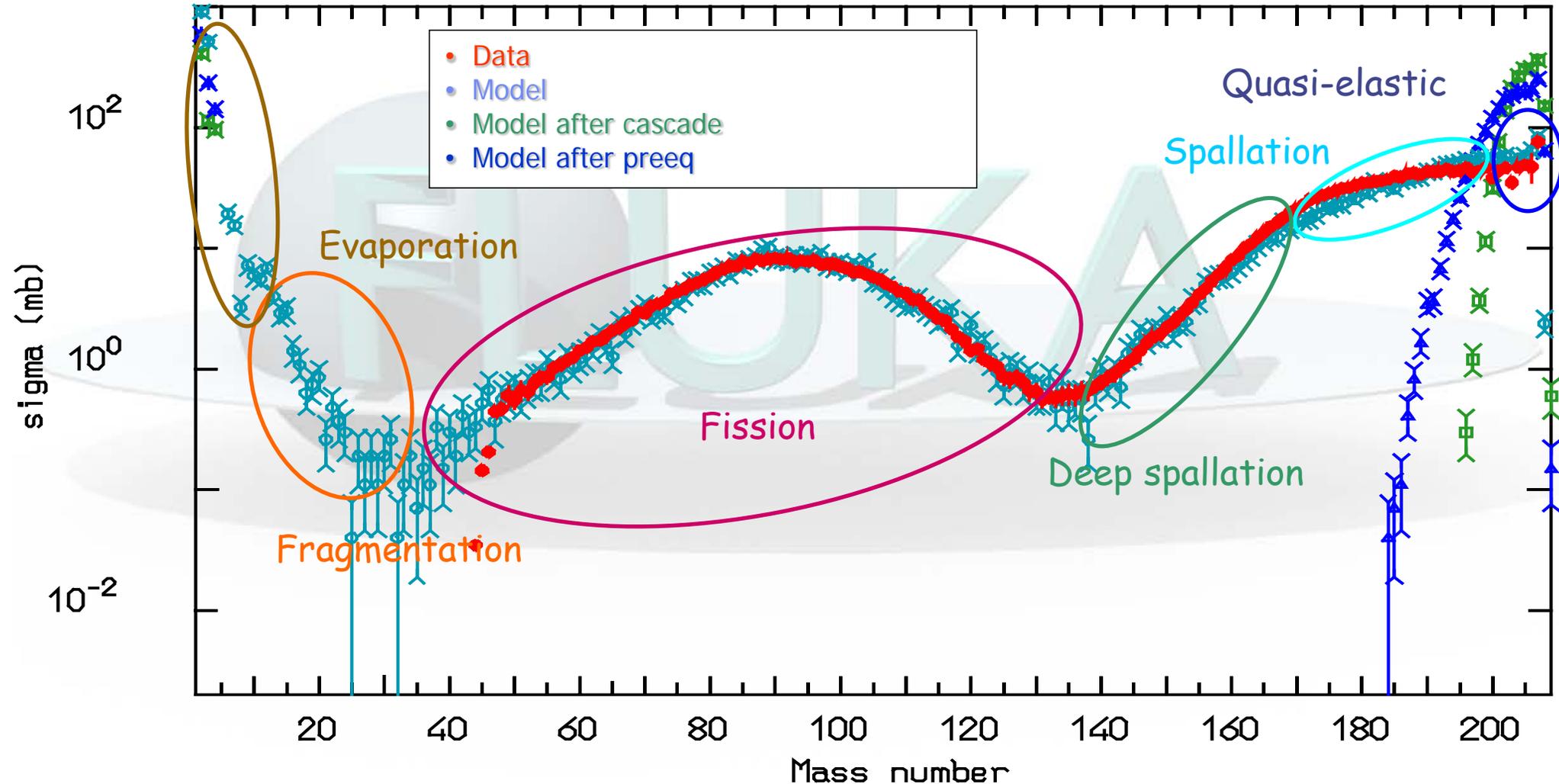
- The production of residuals is the result of the last step of the nuclear reaction, thus it is influenced by all the previous stages
- Residual mass distributions can be very well reproduced
- Individual residuals near to the compound mass are usually well reproduced
- The production of specific isotopes may be influenced by additional problems which have little or no impact on the emitted particle spectra (Sensitive to details of evaporation, Nuclear structure effects, Lack of spin-parity dependent calculations in most MC models)

15 GeV p on Pb



Example of fission/evaporation

1 A GeV $^{208}\text{Pb} + \text{p}$ reactions Nucl. Phys. A 686 (2001) 481-524



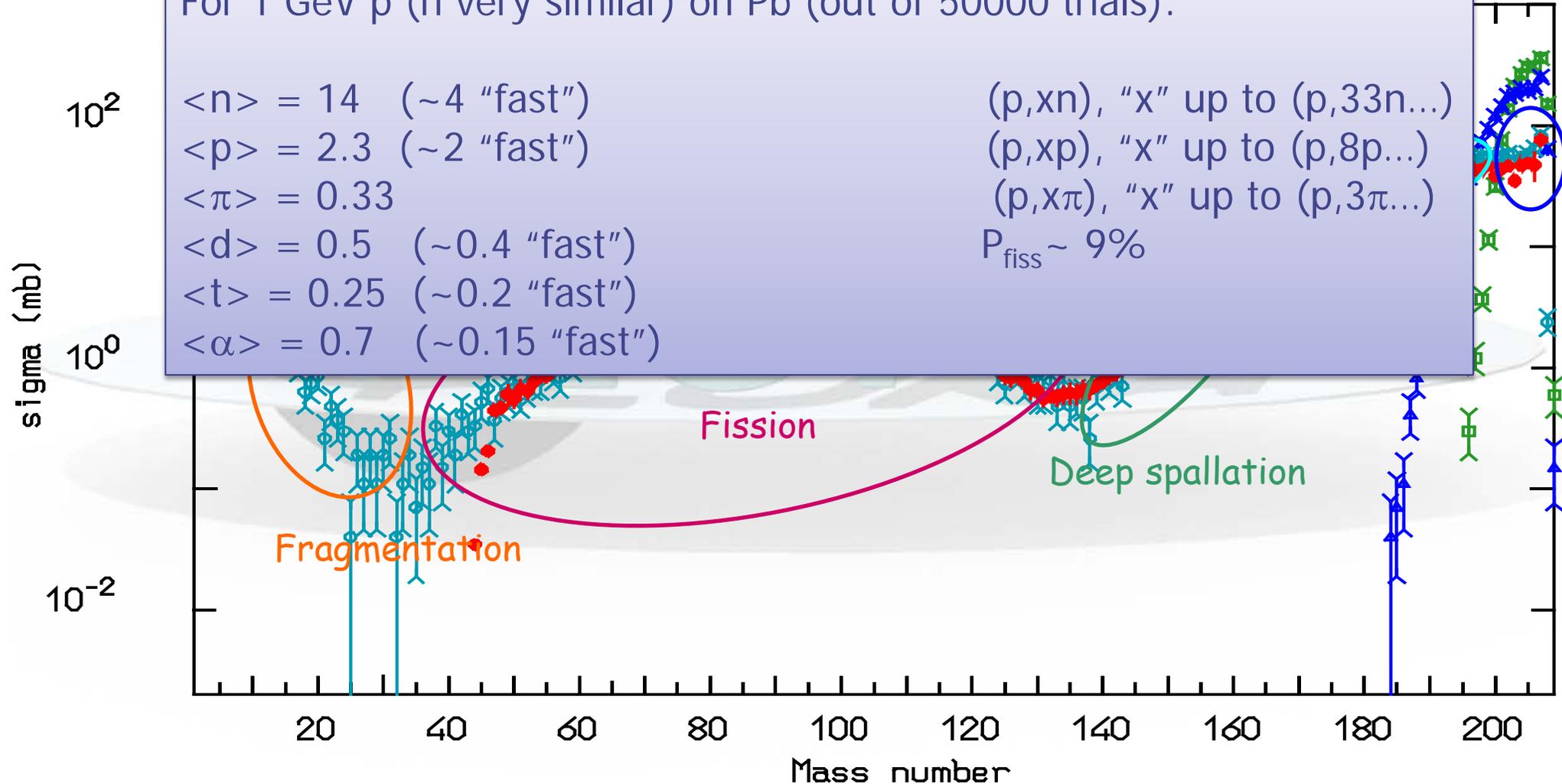
Example of fission/evaporation

1 A GeV ^{208}Pb + p reactions Nucl. Phys. A 686 (2001) 481-524

For 1 GeV p (n very similar) on Pb (out of 50000 trials):

$\langle n \rangle = 14$ (~4 "fast")
 $\langle p \rangle = 2.3$ (~2 "fast")
 $\langle \pi \rangle = 0.33$
 $\langle d \rangle = 0.5$ (~0.4 "fast")
 $\langle t \rangle = 0.25$ (~0.2 "fast")
 $\langle \alpha \rangle = 0.7$ (~0.15 "fast")

(p, xn) , "x" up to $(p, 33n\dots)$
 (p, xp) , "x" up to $(p, 8p\dots)$
 $(p, x\pi)$, "x" up to $(p, 3\pi\dots)$
 $P_{\text{fiss}} \sim 9\%$



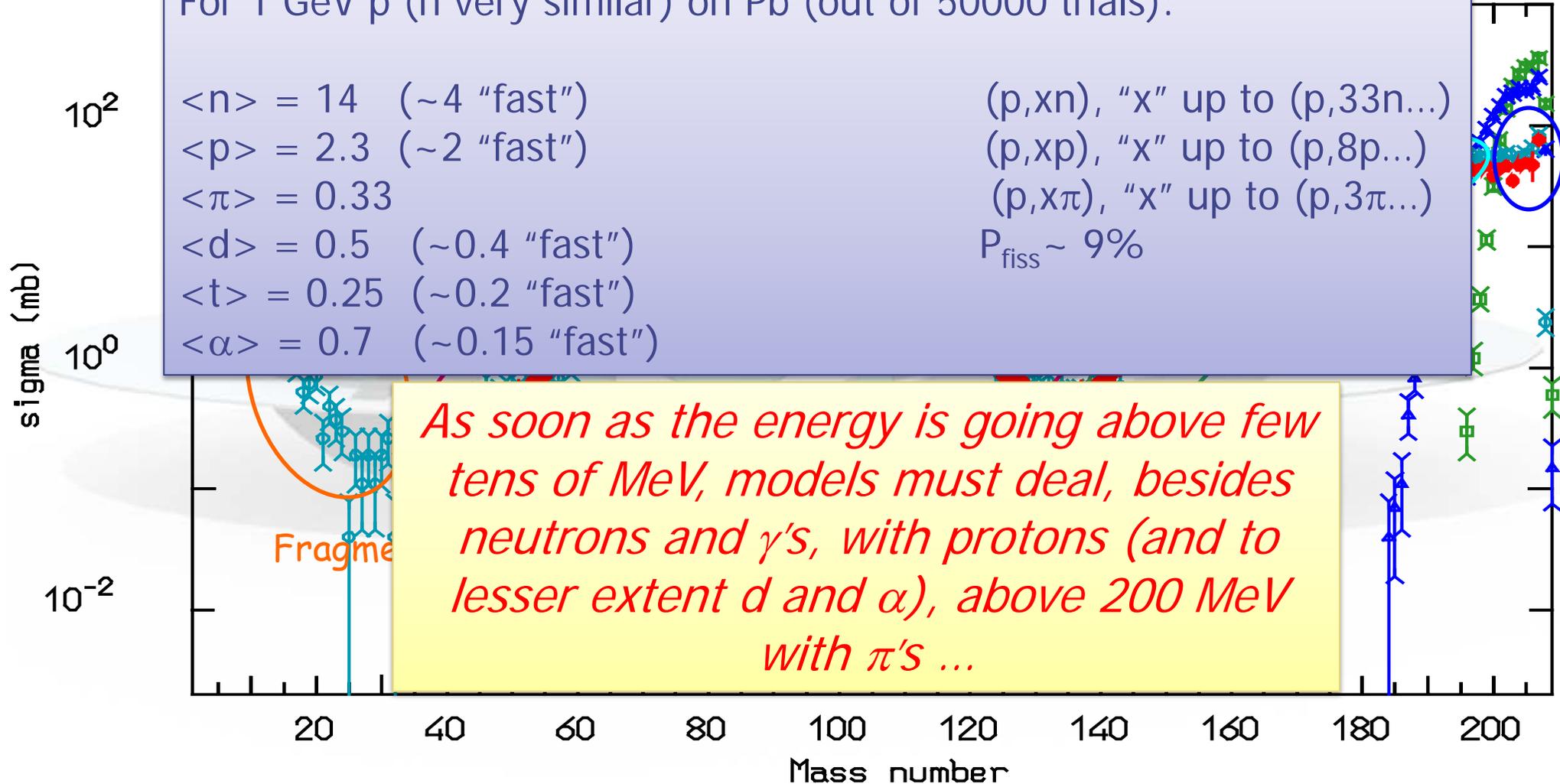
Example of fission/evaporation

1 A GeV $^{208}\text{Pb} + \text{p}$ reactions Nucl. Phys. A 686 (2001) 481-524

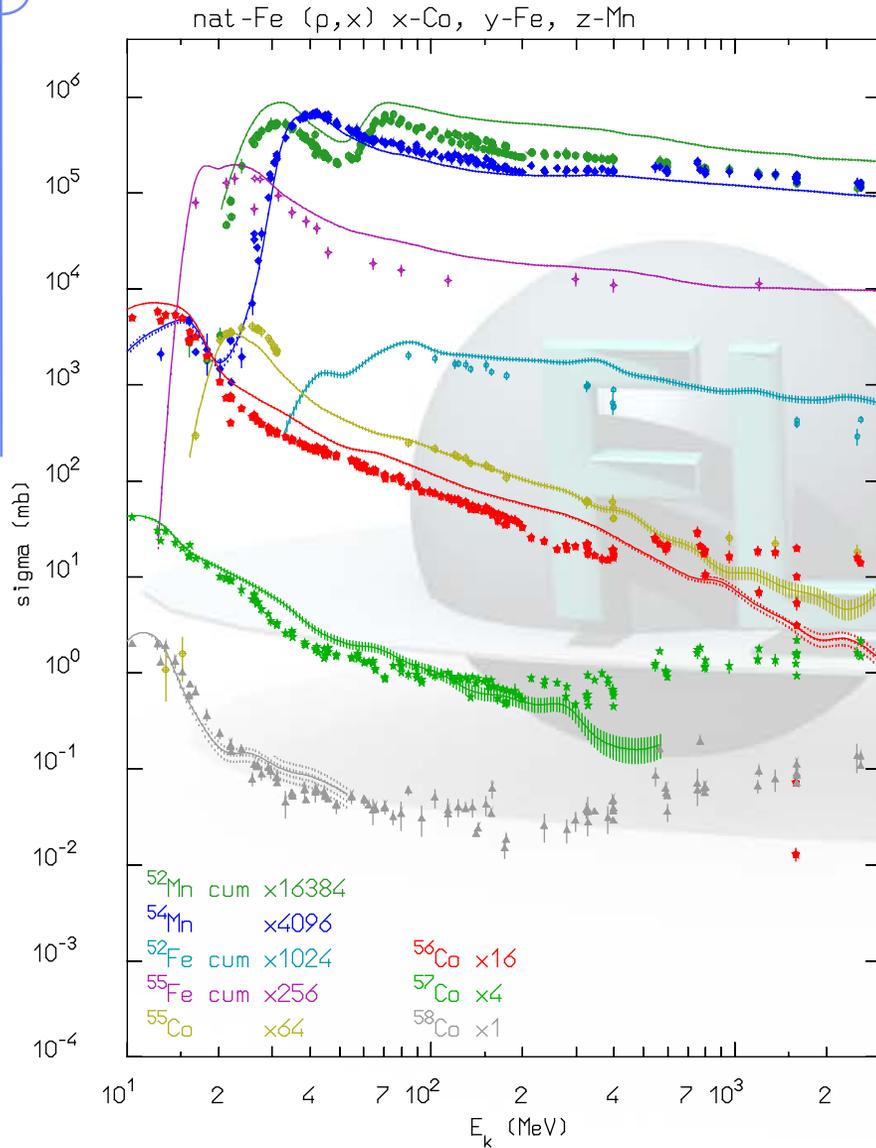
For 1 GeV p (n very similar) on Pb (out of 50000 trials):

$\langle n \rangle = 14$ (~4 "fast")
 $\langle p \rangle = 2.3$ (~2 "fast")
 $\langle \pi \rangle = 0.33$
 $\langle d \rangle = 0.5$ (~0.4 "fast")
 $\langle t \rangle = 0.25$ (~0.2 "fast")
 $\langle \alpha \rangle = 0.7$ (~0.15 "fast")

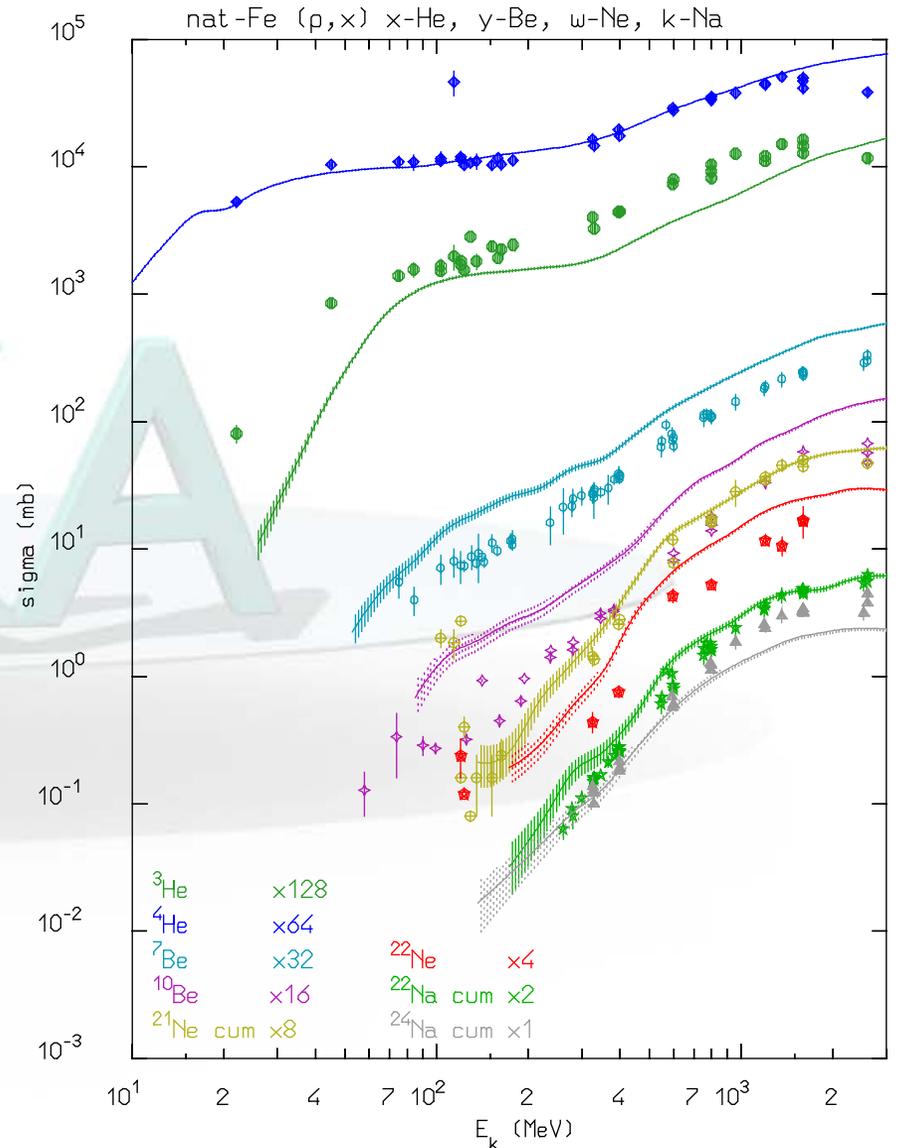
(p, xn) , "x" up to $(p, 33n\dots)$
 (p, xp) , "x" up to $(p, 8p\dots)$
 $(p, x\pi)$, "x" up to $(p, 3\pi\dots)$
 $P_{\text{fiss}} \sim 9\%$



Isotope production for $^{nat}\text{Fe}(p,x)$:



Lines: FLUKA
 Symbols: exp. Data,
 Michel et al. 1996
 and 2002



Gamma De-excitation in Fluka

- At the end of evaporation : cascade of γ transitions
- At high excitation: assume continuous level density and statistical emission:

$$P(E_\gamma)dE_\gamma = \frac{\rho_f(U_f)}{\rho_i(U_i)} \sum_L f(E_\gamma, L)$$

L= multipole order
 ρ =level density at excitation energy. U

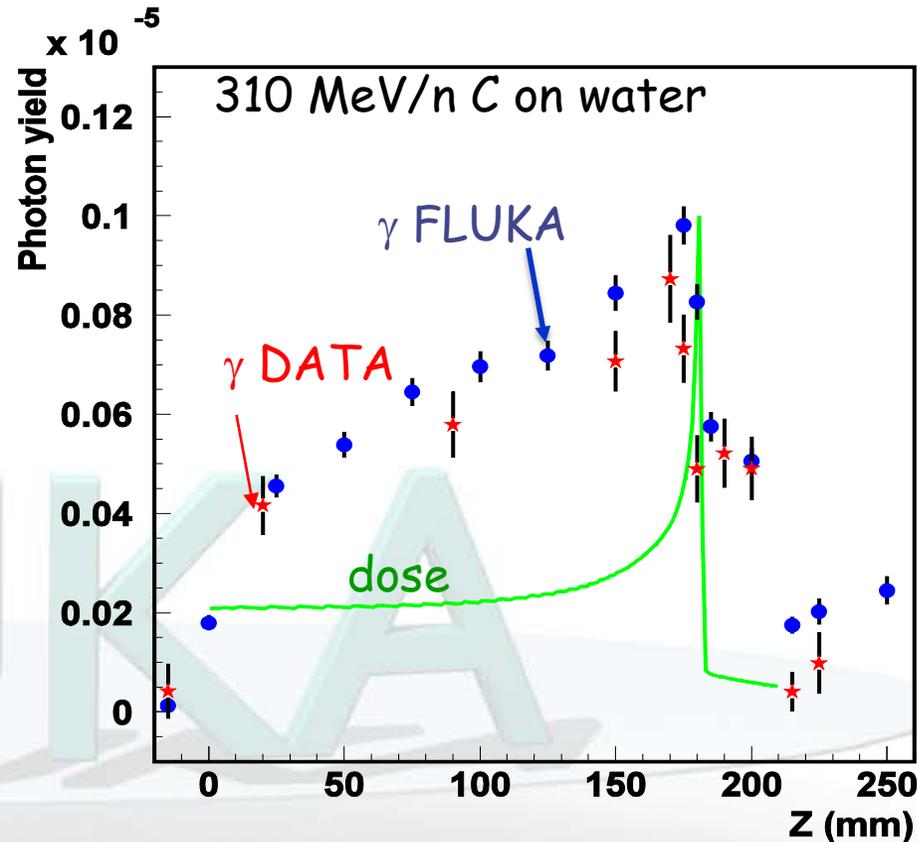
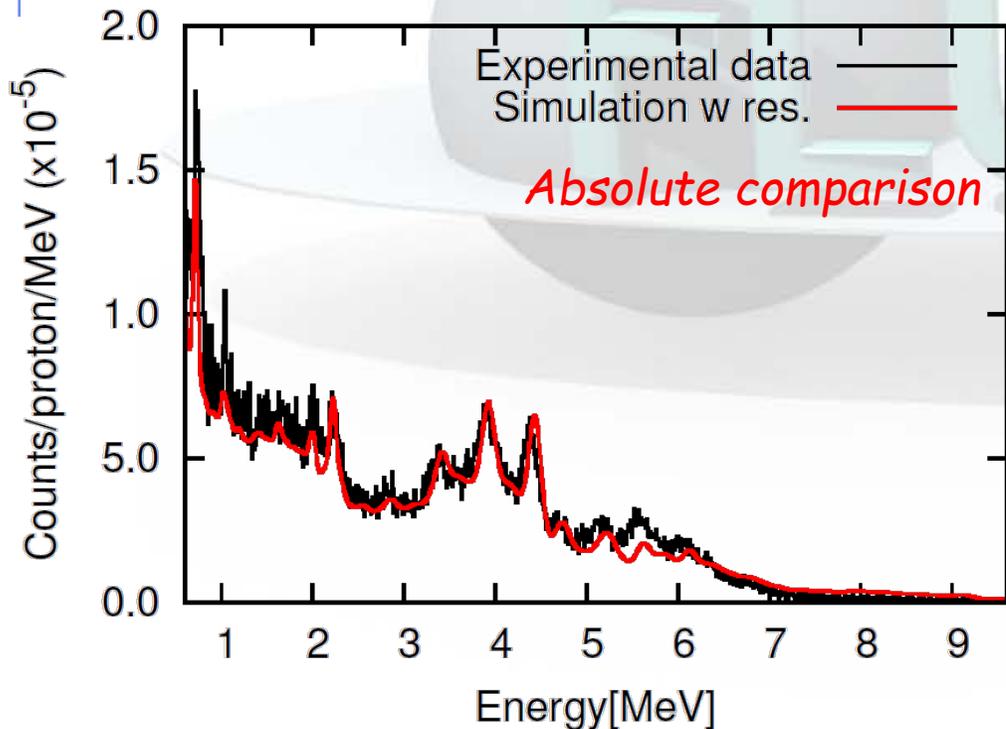
f = strength from single particle estimate (c)+ hindrance (F)

- At low excitation: through discrete levels $f(E_\gamma, L) = c_L F_L(A) E_\gamma^{(2L+1)}$
- database of known levels and transitions taken from RIPL-3 (IAEA)
- Rotational approximation outside tabulations
- Discrete level treatment extended to evaporation stage
- Same for residuals from ion-ion interactions

Examples of prompt photon predictions for therapy monitoring in the Fluka outlook, last day

Prompt photons

- Application: On-line monitoring during hadrotherapy
- prompt γ follow dose profile (data: IEEE TNS 57 (2009))
- Need collimation and time cut



- Benchmark: γ spectrum from 160 MeV p in PMMA FLUKA+experimental resolution red line, data black line (J.Smeets et al., ENVISION WP3)

Real and Virtual Photonuclear Interactions

Photonuclear reactions (*PHOTONUC* card, off by default)

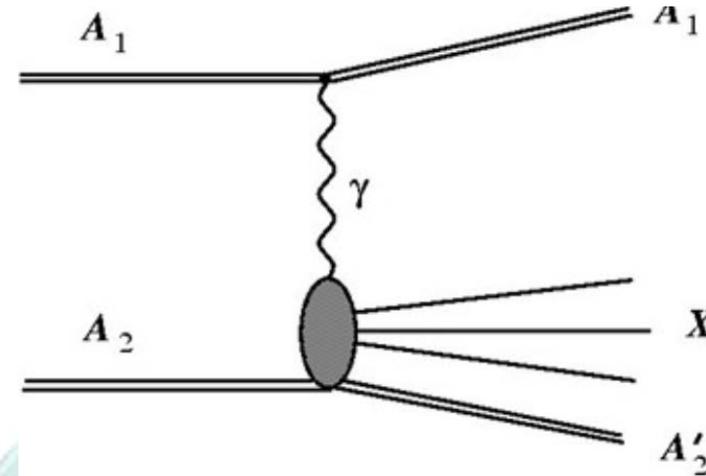
- ❑ Giant Dipole Resonance interaction (special database)
- ❑ Quasi-Deuteron effect
- ❑ Delta Resonance energy region
- ❑ Vector Meson Dominance in the high energy region
- ❑ INC, preequilibrium and evaporation via the PEANUT model
- ❑ Possibility to bias the photon nuclear inelastic interaction length to enhance interaction probability (*LAM-BIAS* card, see manual)

Virtual photon reactions

- ❑ Muon photonuclear interactions (*MUPHOTON* card, on by default)
- ❑ Electromagnetic dissociation (*PHYSICS* card with *SDUM=EM-DISSO*, off by default)

Electromagnetic dissociation

- Very peripheral collisions
- Break-up of one of the colliding nuclei in the electromagnetic field of the other nucleus



PHYSICS	2.0	0.0	0.0	0.0	0.0	0.0	0.0	EM-DISSO
PHYSICS	Type: EM-DISSO ▼		EM Disso: Proj&Target EM-Disso ▼					

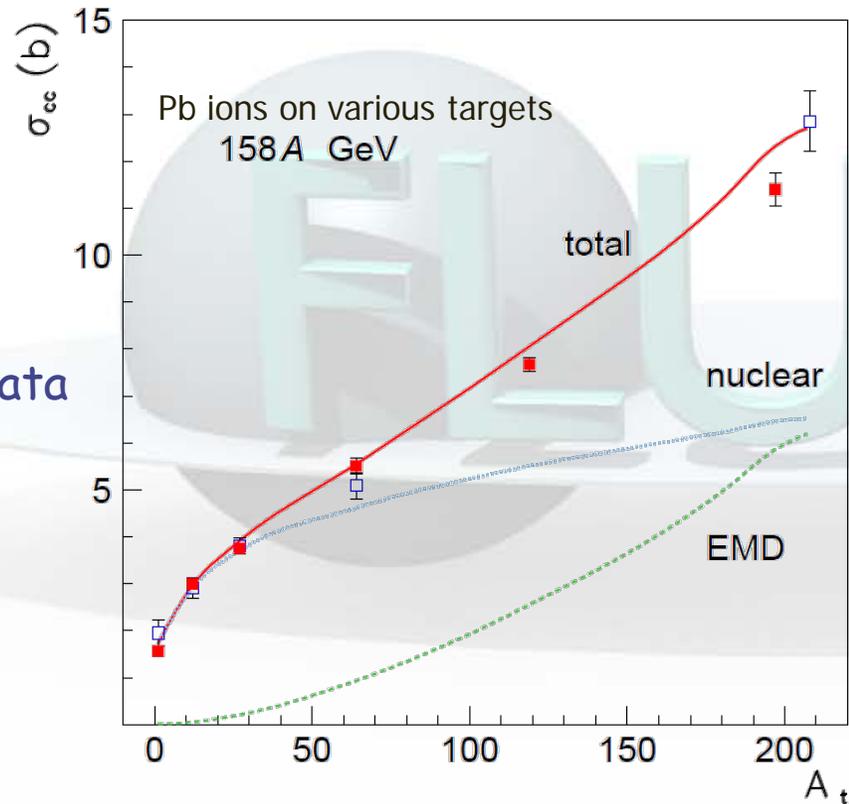
WHAT(1) : flag for activating ion electromagnetic-dissociation

- =< -1.0 : resets to default (no em-dissociation)
- = 0.0 : ignored
- = 1.0 : (default) no em-dissociation
- = 2.0 : projectile and target em-dissociation activated
- = 3.0 : projectile only em-dissociation activated
- = 4.0 : target only em-dissociation activated

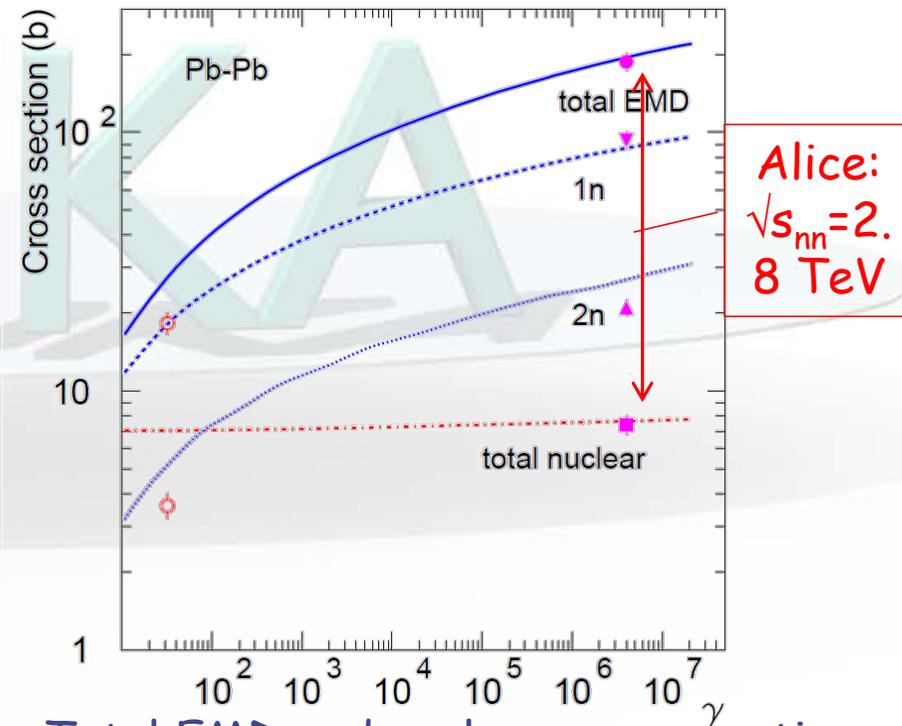
WHAT(2)-WHAT(6) : not used

... however ...

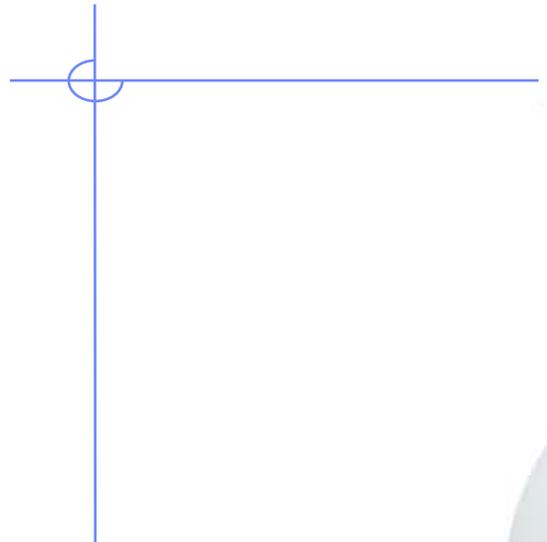
... nuclear and, mostly, **ElectroMagneticDissociation** collisions on machine elements or at IP's produce a **variety** of (excited), possibly radioactive, **fragments in flight**



Total charge changing cross section as a function of atomic mass



Total EMD and nuclear cross section as a function of the effective γ factor



Thanks for your
attention!

hA at high energies: Glauber-Gribov cascade with formation zone

- Glauber cascade
 - Quantum mechanical method to compute Elastic, Quasi-elastic and Absorption hA cross sections from **Free hadron-nucleon scattering** + **nuclear ground state**
 - **Multiple Collision** expansion of the scattering amplitude
- Glauber-Gribov
 - **Field theory** formulation of Glauber model
 - Multiple collisions ↔ **Feynman diagrams**
 - High energies: exchange of one or more Pomerons with one or more target nucleons (a closed string exchange)
- Formation zone (=materialization time)

Glauber formalism/cascade (R. Glauber, 2005 Physics Nobel prize)

Quantum mechanical method to compute all relevant hadron-nucleus cross sections from hadron-nucleon scattering:

$$S_{hN}(\vec{b}, s) = e^{i\chi_{hN}(\vec{b}, s)} = \eta_{hN}(\vec{b}, s) e^{2i\delta_{hN}(\vec{b}, s)}$$

and nuclear ground state wave function Ψ_i

Total
$$\sigma_{hAT}(s) = 2 \int d^2\vec{b} \int d^3\vec{u} |\Psi_i(\vec{u})|^2 \left[1 - \prod_{j=1}^A \text{Re} S_{hN}(\vec{b} - \vec{r}_{j\perp}, s) \right]$$

Elastic
$$\sigma_{hAel}(s) = \int d^2\vec{b} \int d^3\vec{u} |\Psi_i(\vec{u})|^2 \left[1 - \prod_{j=1}^A S_{hN}(\vec{b} - \vec{r}_{j\perp}, s) \right]^2$$

Scattering
$$\sigma_{hA\Sigma f}(s) \equiv \sum_f \sigma_{hAfi}(s) = \int d^2\vec{b} \int d^3\vec{u} |\Psi_i(\vec{u})|^2 \left[1 - \prod_{j=1}^A S_{hN}(\vec{b} - \vec{r}_{j\perp}, s) \right]^2$$

These relations are derived from the expression of the nuclear scattering amplitude.

For "**Scattering**" we mean all the channels where the particles in the initial and final state are the same, with different momenta and excitation energies

From these basic quantities we can define other relevant cross sections:

Glauber: quasi-elastic and absorption cross sections

Reaction: $\sigma_{hAr}(s) \equiv \sigma_{hAT}(s) - \sigma_{hAel}(s)$

Quasi-elastic (incoherent-elastic): $\sigma_{hAqe}(s) \equiv \sigma_{hA\Sigma f}(s) - \sigma_{hAel}(s)$

Particle production (alias absorption) cross section (the fundamental formula)

$$\begin{aligned} \sigma_{hAabs}(s) &\equiv \sigma_{hAT}(s) - \sigma_{hA\Sigma f}(s) \\ &= \sigma_{hAr}(s) - \sigma_{hAqe}(s) \\ &= \int d^2\vec{b} \int d^3\vec{u} |\Psi_i(\vec{u})|^2 \left\{ 1 - \left[\prod_{j=1}^A 1 - \left[1 - |S_{hN}(\vec{b} - \vec{r}_{j\perp}, s)|^2 \right] \right] \right\} \end{aligned}$$

That can be written in a synthetic way by defining the function μ to replace the integral on nuclear coordinates

$$\sigma_{hAabs}(s) \equiv \int d^2\vec{b} \mu_{hAabs}(\vec{b}, s)$$

Glauber: quasi-elastic and absorption cross sections

Reaction: $\sigma_{hAr}(s) \equiv \sigma_{hAT}(s) - \sigma_{hAel}(s)$

Quasi-elastic (incoherent-elastic): $\sigma_{hAqe}(s) \equiv \sigma_{hA\Sigma f}(s) - \sigma_{hAel}(s)$

Particle production (alias absorption) cross section (the fundamental formula)

$$\begin{aligned}\sigma_{hAabs}(s) &\equiv \sigma_{hAT}(s) - \sigma_{hA\Sigma f}(s) \\ &= \sigma_{hAr}(s) - \sigma_{hAqe}(s)\end{aligned}$$

Absorption probability over a given b and nucleon configuration

$$= \int d^2\vec{b} \int d^3\vec{u} |\Psi_i(\vec{u})|^2 \left\{ 1 - \left\{ \prod_{j=1}^A 1 - \left[1 - |S_{hN}(\vec{b} - \vec{r}_{j\perp}, s)|^2 \right] \right\} \right\}$$

That can be written in a synthetic way by defining the function μ to replace the integral on nuclear coordinates

$$\sigma_{hAabs}(s) \equiv \int d^2\vec{b} \mu_{hAabs}(\vec{b}, s)$$

Glauber: continued

$\sigma_{hA \text{ abs}}$ can be interpreted in terms of **multiple collisions** of the projectile:

From the impact parameter representation of the hadron-nucleon reaction cross section

$$\sigma_{hNr}(s) = \int d^2\vec{b} \left[1 - |S_{hN}(\vec{b}, s)|^2 \right]$$

And from the **thickness function** for non-elastic reactions, T_{rj} \equiv contribution of the j-th target nucleon to the amount of nuclear matter seen by the incident hadron traveling along the impact parameter b when folded with its profile function

$\sigma_{hNr} T_{rj}(b) \equiv P_{rj}(b)$ Is the probability to have an inelastic reaction on the j-th target nucleon

assuming that all nucleons are equal we can write

$$\mu_{hA \text{ abs}}(b) = 1 - [1 - \sigma_{hNr} T_r(b)]^A = \sum_{\nu=1}^A \binom{A}{\nu} [\sigma_{hNr} T_r(b)]^\nu [1 - \sigma_{hNr} T_r(b)]^{A-\nu}$$

Therefore

$$\mu_{hA \text{ abs}}(b) = \sum_{\nu=1}^A \binom{A}{\nu} P_r^\nu(b) [1 - P_r(b)]^{A-\nu} \equiv \sum_{\nu=1}^A P_{r\nu}(b)$$

Glauber: continued

$$P_{rv}(b) \equiv \binom{A}{v} P_r^v(b) [1 - P_r(b)]^{A-v}$$

Since $P_r(b)$ is the probability of getting one specific nucleon hit and there are A possible trials, $P_{rv}(b)$ is exactly the **binomial distribution** for getting v successes out of A trials, with probability $P_r(b)$ each

Therefore the absorption cross section is just the integral in the impact parameter plane of the probability of getting at least one non-elastic hadron-nucleon collision

$$\sigma_{hA abs}(s) \equiv \int d^2\vec{b} P_{rv}(b)$$

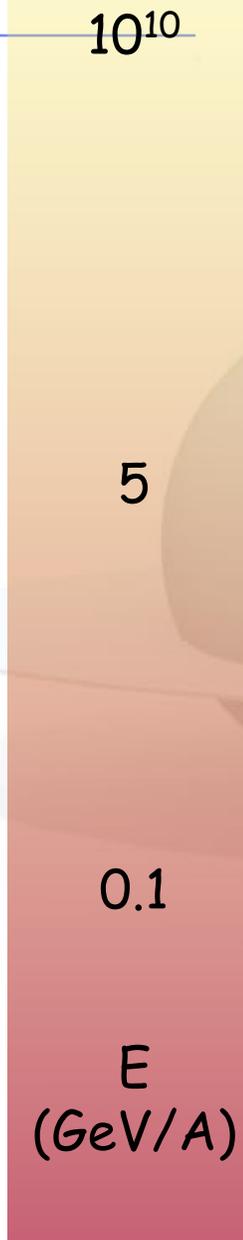
The average number of non-elastic hadron-nucleon collisions for a given impact parameter b is given by

$$\langle v(b) \rangle = A P_r(b)$$

and the overall average number of collision is given by

$$\langle v \rangle = \frac{Z\sigma_{hpr} + N\sigma_{hnr}}{\sigma_{hA abs}}$$

Heavy ion interaction models in FLUKA



Electromagnetic dissociation

DPMJET-III

DPMJET (R. Engel, J. Ranft, S. Roesler¹): Nucleus-Nucleus interaction model. Used in many Cosmic Ray shower codes. Based on the Dual Parton Model and formation zone Glauber cascade, like the high-energy FLUKA h-A event generator.

Modified and extended version of rQMD-2.4

rQMD-2.4 (H. Sorge et al.²) Cascade-Relativistic QMD model. Successfully applied to relativistic A-A particle production.

BME (BoltzmannMasterEquation)

FLUKA implementation of BME from E. Gadioli et al (Milan)

FLUKA

Evaporation-fission-fragmentation module handles fragment

deexcitation

Tested and benchmarked in h-A reactions

(Projectile-like evaporation is responsible for the most energetic fragments)

¹proc. MC2000, p 1033 (2001)

²NPA 498, 567c (1989), Ann.Phys. 192,266 (1989), PRC 52, 3291 (1995)

Photonuclear int.: example

Reaction:



$$20 \leq E_\gamma \leq 140 \text{ MeV}$$

Cross section for multiple neutron emission as a function of photon energy, Different colors refer to neutron multiplicity $\geq n$, with $2 \leq n \leq 8$

Symbols: exp data (NPA367, 237 (1981); NPA390, 221 (1982))

Lines: FLUKA

