



# $K^+ \rightarrow \pi^+ \mu^+ \mu^-$ at LHCb

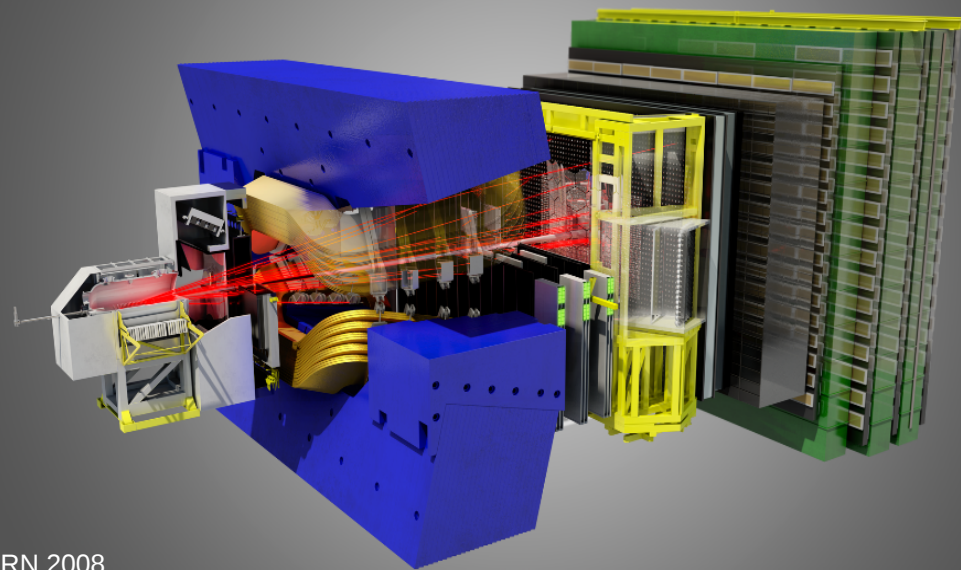
Kristian Zarebski, University of Birmingham

Joint APP & HEPP Annual Conference 2018  
University of Bristol

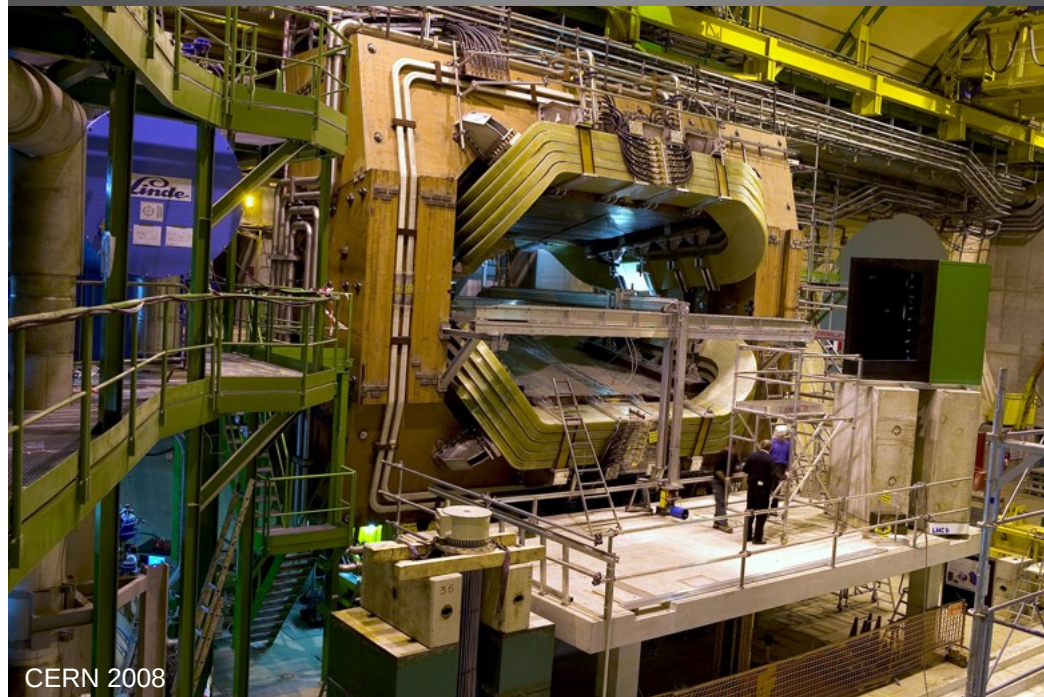


# The 'beauty' Experiment

- Positioned at Point 8 on the LHC at CERN.
- Motivated primarily by precision measurement of **CP Violation** and **rare decays** in the b-hadron sector.
- **'Single arm' design** motivated by the fact b-hadrons are produced in the same direction at the LHC.
- Features an **advanced particle identification** system.
- Collisions at LHC: 7TeV for Run 1, 13TeV for Run 2

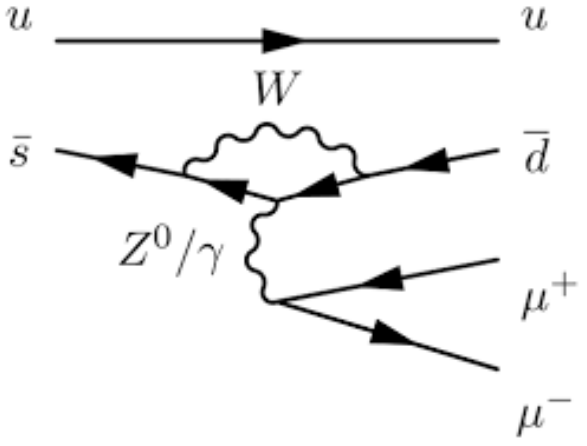


CERN 2008



CERN 2008

# $K^+ \rightarrow \pi^+ \mu^+ \mu^-$



- **Heavily suppressed** decay by GIM mechanism within Standard Model constraints – loop order ‘penguin’ diagram.
- Branching ratio dominated by long distance contributions from  $\gamma$ -diagrams.
- **Sensitive to new physics** contributions within loops.
- Measurement by NA48/2 (3120 candidates):

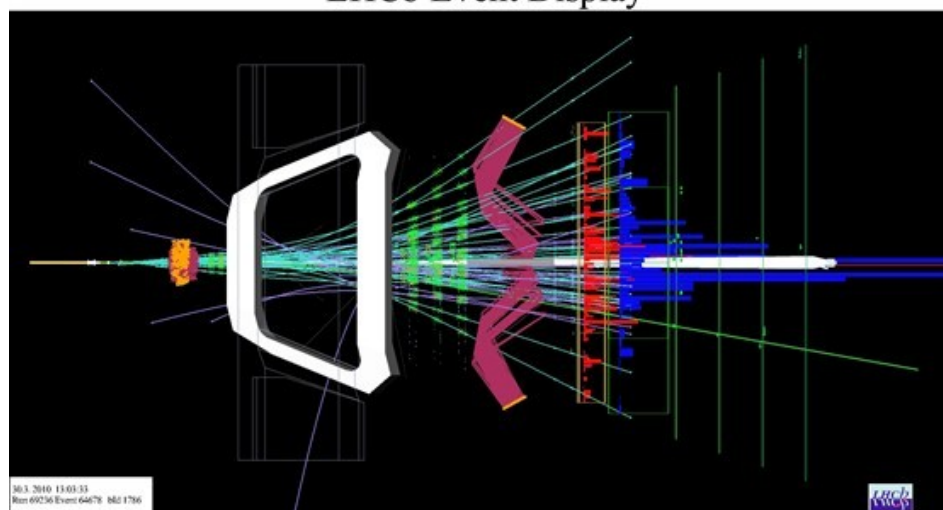
$$BR(K^\pm \rightarrow \pi^\pm \mu^+ \mu^-) = (9.62 \pm 0.25) \times 10^{-8}$$

consistent with SM expectation.  
**[Phys.Lett.B697:107-115,2011]**

# Why at LHCb?

- **High production cross section** of strange hadrons at LHCb.
- **Good level of particle identification.**
- Improvements have been implemented at Run 2 for analysis into softer decays (e.g. down to a PT of 50MeV) including dedicated trigger lines.
- **Feasibility study** – improvements for future upgrades. Complementary to other strange physics searches at LHCb such as  $K_s^0 \rightarrow \mu^+ \mu^-$  and  $\Sigma^\pm \rightarrow p^\pm \mu^+ \mu^-$ .

LHCb Event Display



CERN 2010

# Analysis Strategy

- **Obtain a measurement of single event sensitivity** for 2015-2018 data using  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$  as a normalisation channel:

$$\alpha_{\pi\mu\mu} = \frac{1}{N_{\pi\pi\pi}} \frac{\epsilon_{\pi\pi\pi}}{\epsilon_{\pi\mu\mu}} BR(K^+ \rightarrow \pi^+ \pi^+ \pi^-)$$

- **Apply cuts to suppress background** and combine all efficiencies for both channels:

$$\epsilon_{tot} = \epsilon_{acc} \cdot \epsilon_{trig} \cdot \epsilon_{sel} \cdot \epsilon_{cuts}$$

extracting from Monte Carlo acceptance, trigger, selection and cut efficiency.

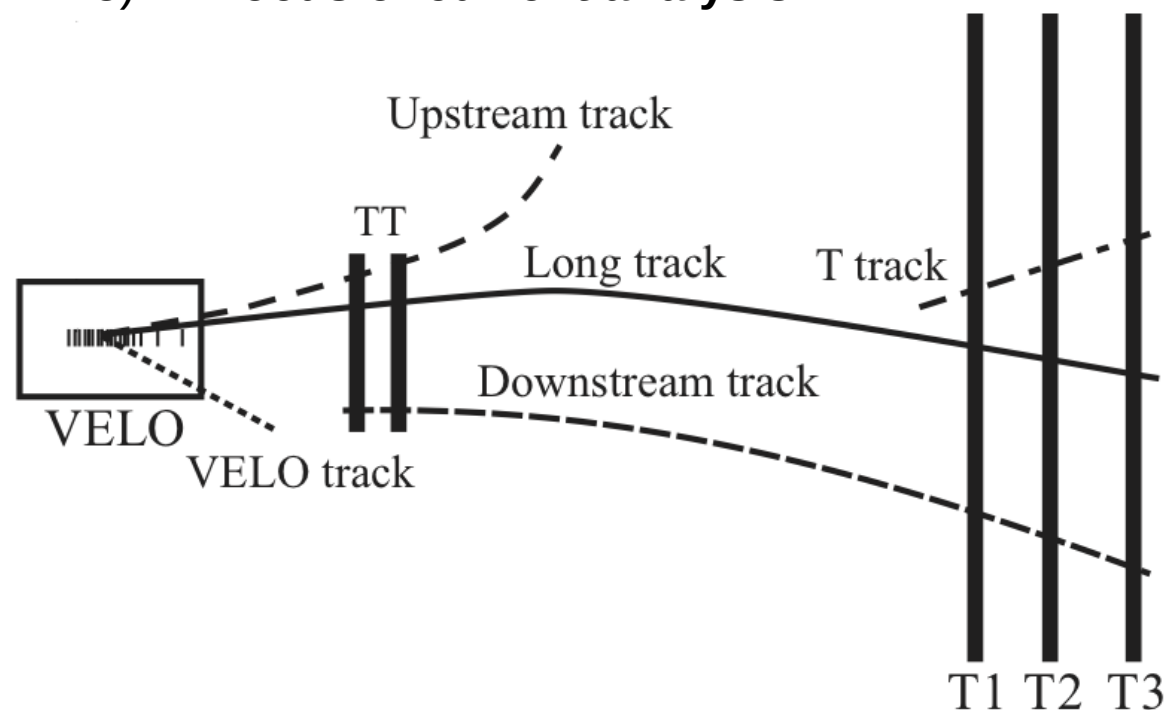
- **Optimise all cuts** using the measured branching ratio by NA48/2 for number of predicted signal events  $N_S$ , fit sidebands of data to get background in signal region  $N_B$ :

$$N_{\pi\mu\mu} \sim \frac{BR(K^+ \rightarrow \pi^+ \mu^+ \mu^-)}{\alpha_{\pi\mu\mu}}$$

$$F.O.M. = \frac{N_S}{\sqrt{N_B}}$$

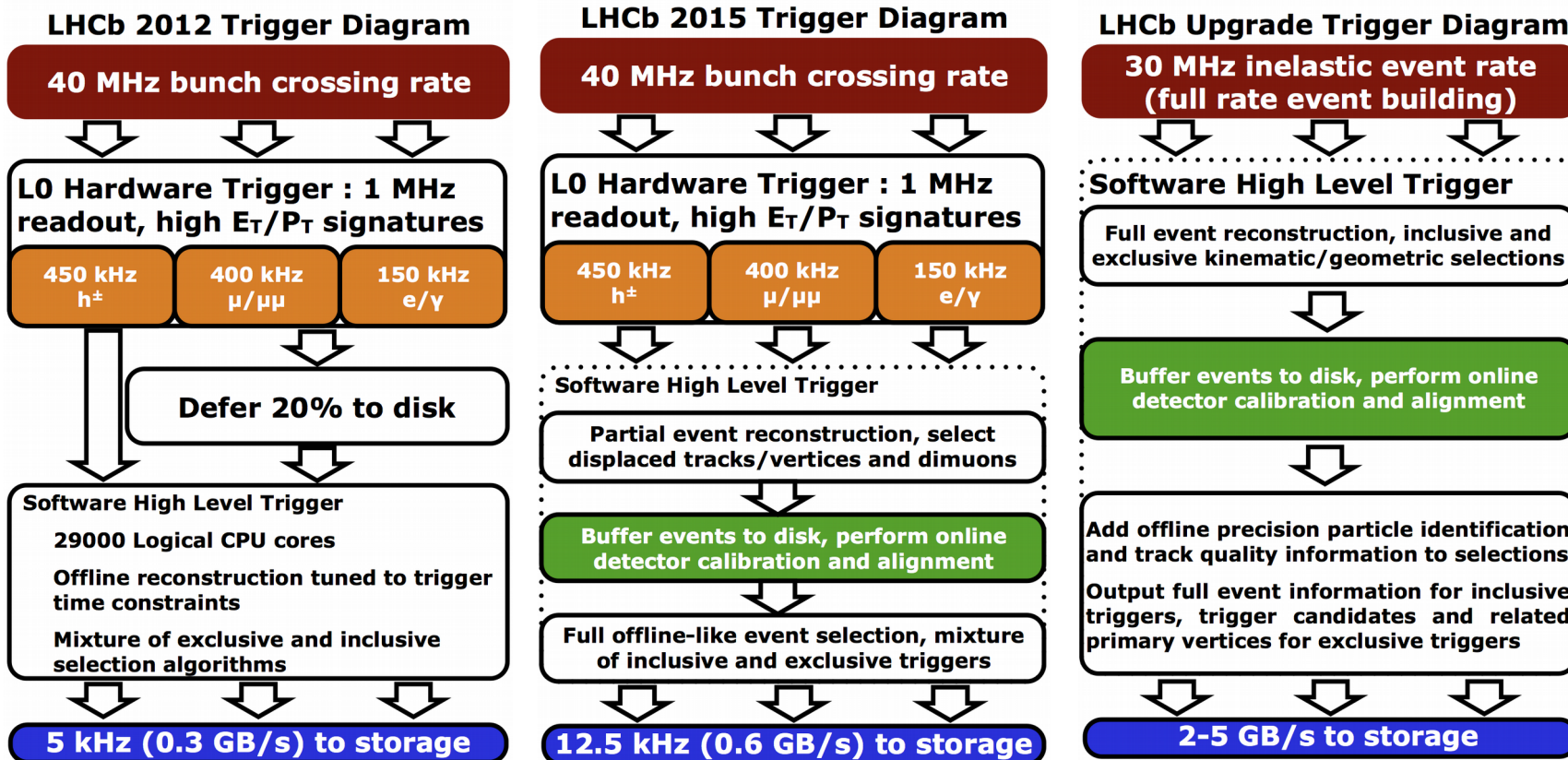
# LHCb Acceptance

- The **long lifetime of  $K^+$**  means a large proportion of decays occur **downstream** of the the Vertex Locator (VELO).
- Detector acceptance in pseudorapidity range of  $2 < \eta < 5$
- At LHCb – two main types of reconstructed track:
  - **Long** (VELO + TT + T1-T3) ← **Focus of current analysis**
  - Down (TT+T1-T3)





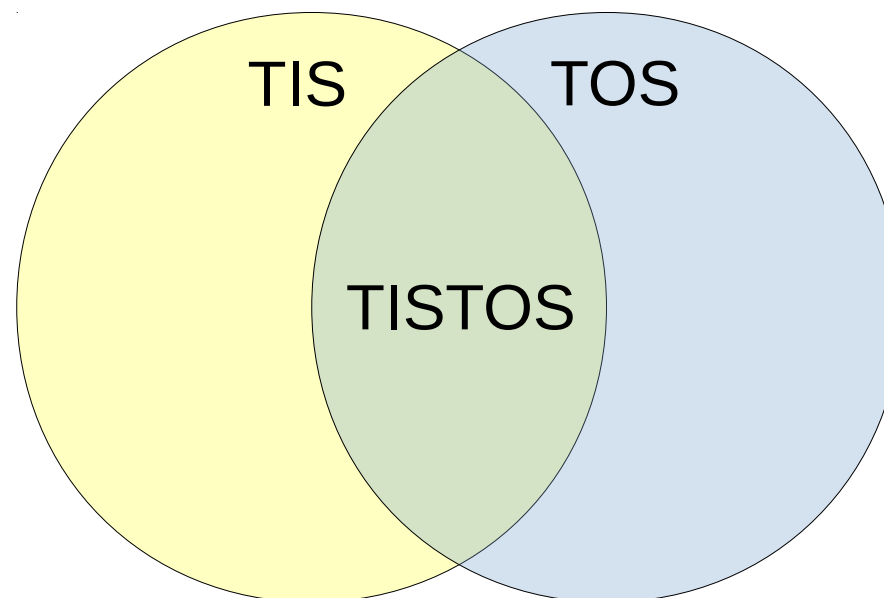
# Triggering



LHCb Collaboration

**Factor 100 improvement** in trigger efficiency from Monte Carlo at HLT from inclusion of dedicated  $K^+ \rightarrow \pi^+ \mu^+ \mu^-$  trigger lines in Run 2.

# Trigger Event Categories



**TOS** : Triggered On Signal – triggered by presence of signal

**TIS** : Triggered Independent of Signal – triggered by the rest of the event

**TISTOS** : TIS & TOS

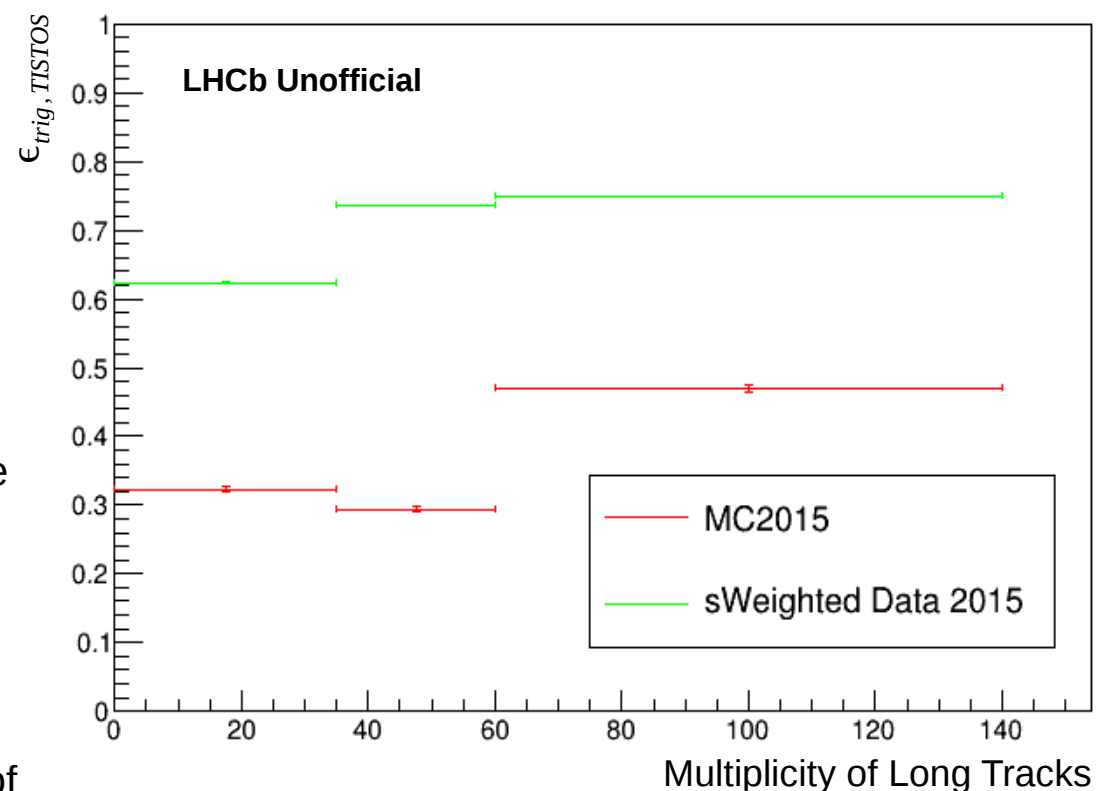
**TIS events form ~90% of data** for rare and normalisation channels.



# The TISTOS Method

- Cannot obtain information on discarded events in data.
- Can however **obtain trigger efficiency from the trigger categories:**
$$\epsilon_{trig, TISTOS} = \frac{N_{sel} N_{TISTOS}}{N_{TIS} N_{TOS}}$$
- Can **reduce systematic bias by binning the phase space** and performing the calculation for each bin.
- Can compare TISTOS efficiencies in Monte Carlo (MC) and Sweighted Data for MC reweighting.
- Trigger filters out low multiplicity events – fraction of TIS events no longer sample independent – difficulty deconvolving rest of event in strange particles.

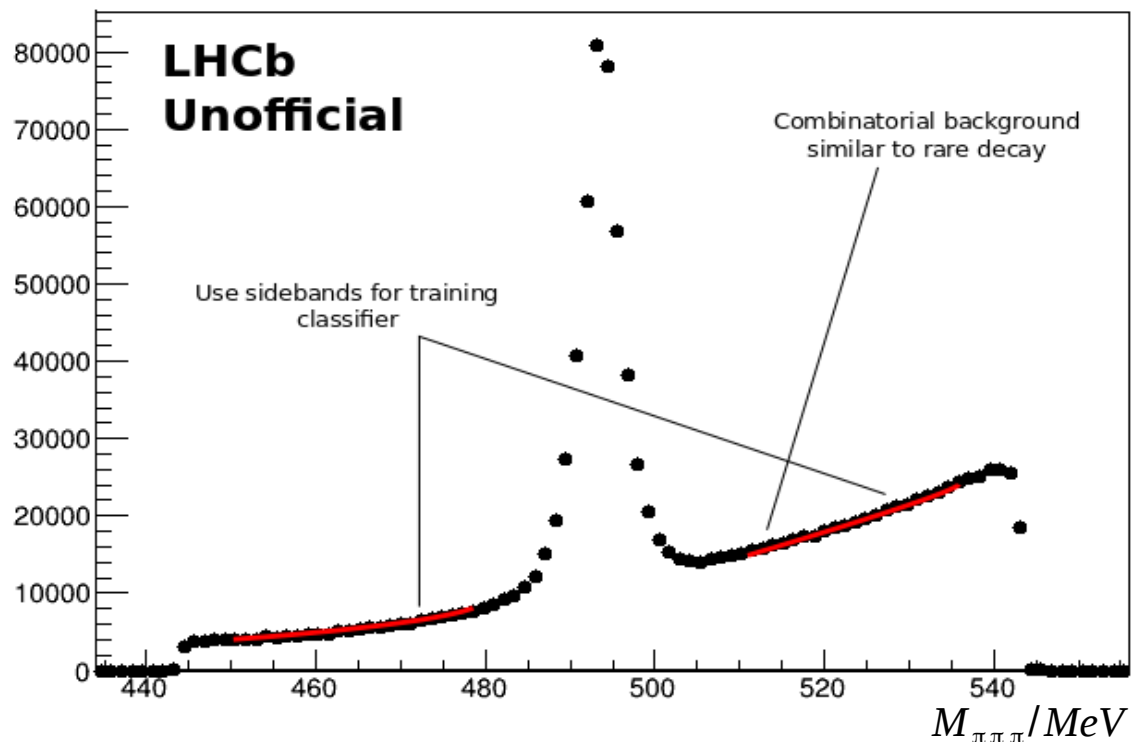
L0 Trigger: TISTOS Trigger Efficiency



# $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ as a Normalisation Channel

- If there is something we have plenty of it is  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ !
- **Proxy for studying properties** of charged kaons within detector. Also reduces systematic effects in efficiencies.
- Make assumption that TIS efficiency for both channels same (as same mother).

Invariant Mass for  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$  2015 Data



2015 Run 2 Data after application of cut to remove clones in daughters.



# Selecting Candidates

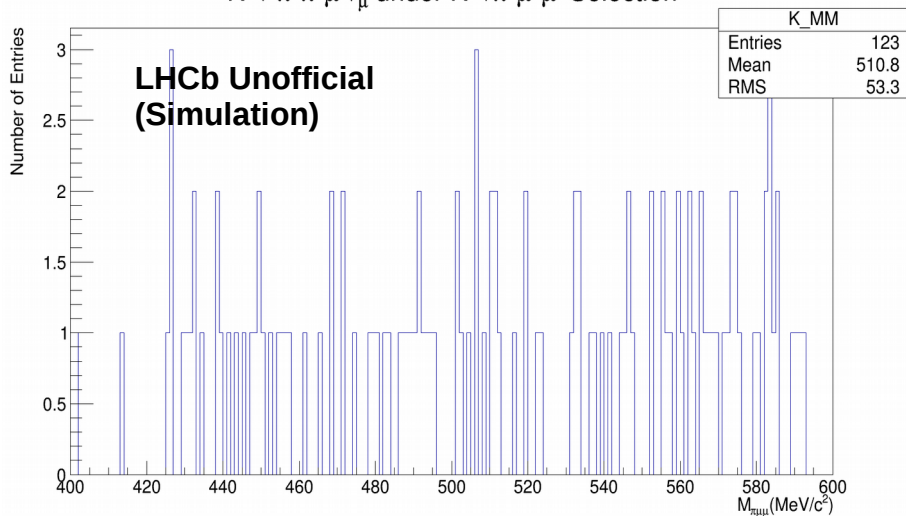
- Collected data is passed through sets of **pre-selection cuts** before being stored in bookkeeping as a data stream.
- Dedicated configuration files (for both LongLong and DownDown) apply **cuts to kinematic variables to pick out 'soft' kaon events**:
  - Measured  $K^+$  mass within 50MeV window around PDG mass.
  - Transverse Momentum  $> 300\text{MeV}$
  - Further constraints on distance of closest approach and vertex fits etc.
- Events then read from the output of these lines into tuples for offline analysis with constraint on decay type.



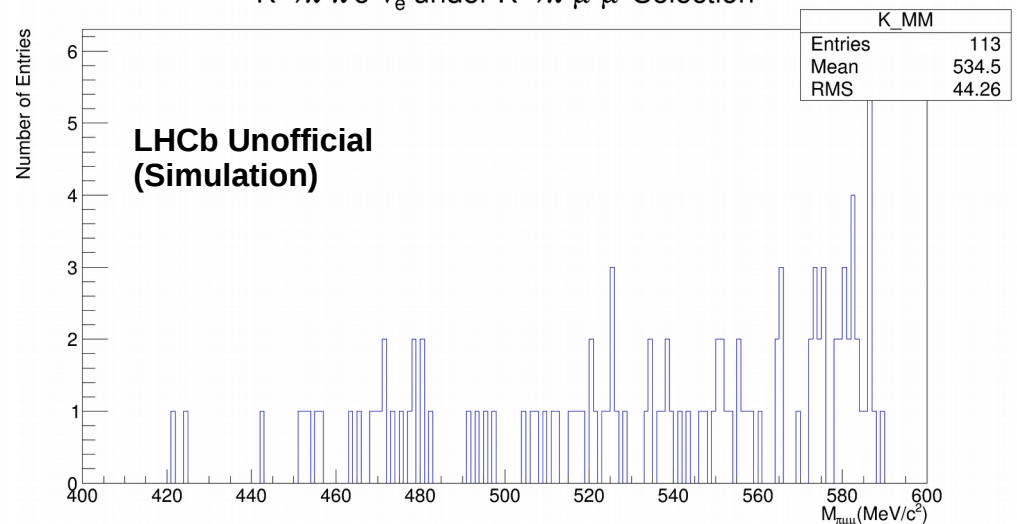
# Background

## Largely combinatoric

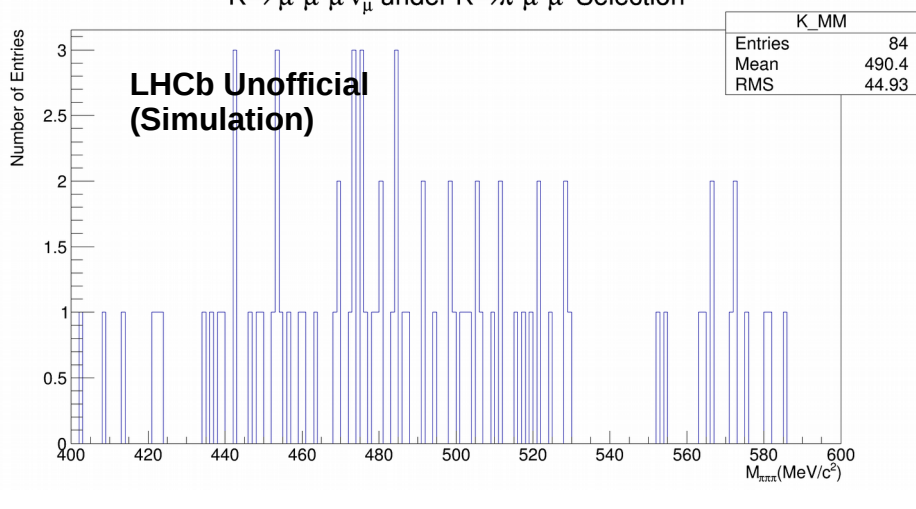
$K \rightarrow \pi^\pm \pi^\pm \mu^\mp \nu_\mu$  under  $K \rightarrow \pi^\pm \mu^+ \mu^-$  Selection



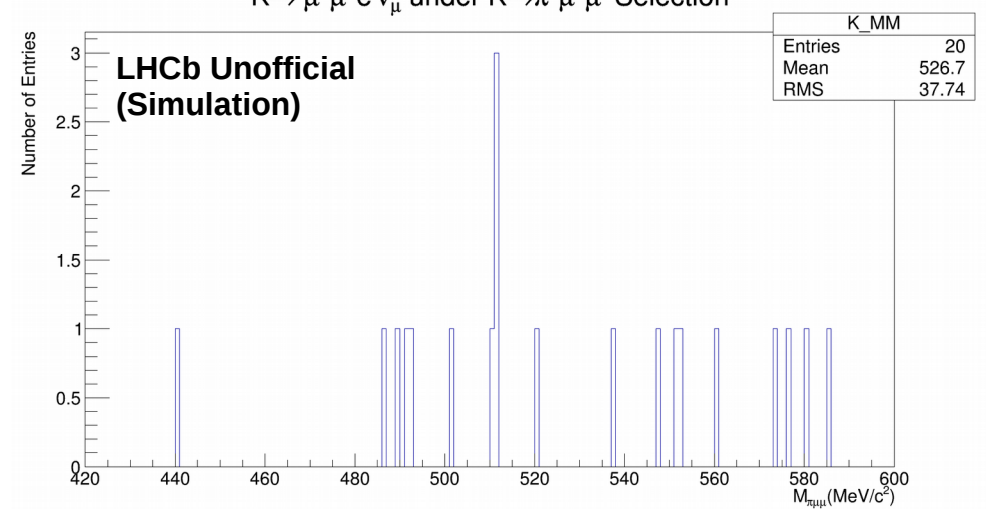
$K \rightarrow \pi^+ \pi^- e^\pm \nu_e$  under  $K \rightarrow \pi^\pm \mu^+ \mu^-$  Selection



$K \rightarrow \mu^\pm \mu^\pm \mu^\mp \nu_\mu$  under  $K \rightarrow \pi^\pm \mu^+ \mu^-$  Selection



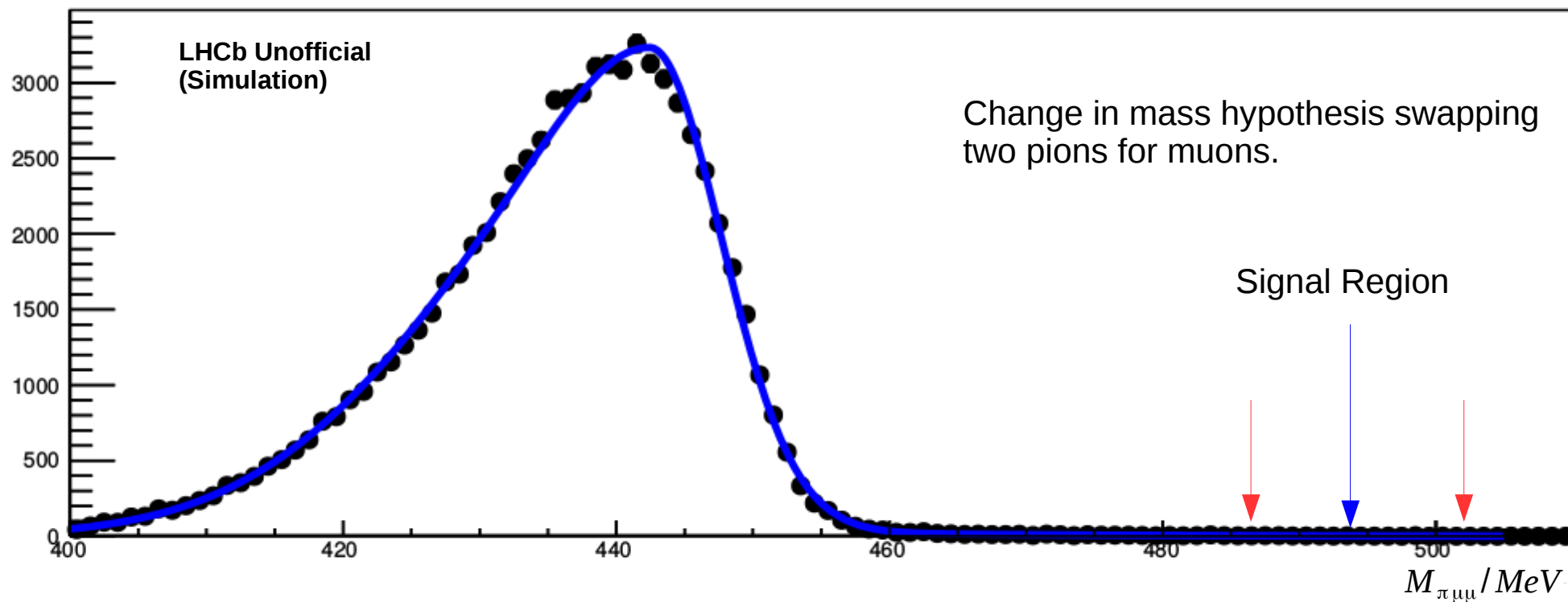
$K \rightarrow \mu^\pm \mu^+ e^- \nu_\mu$  under  $K \rightarrow \pi^\pm \mu^+ \mu^-$  Selection





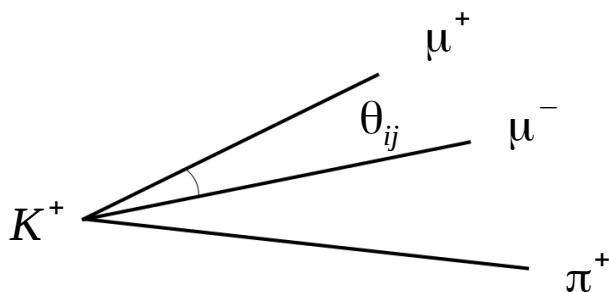
# Particle Misidentification

Misidentification of  $\pi$ - $\mu$  Simulated with 2015  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$  Monte Carlo



# Background Suppression

- Initially apply a loose cut on angle between daughters to **remove 'clones' from data**.
- **Use machine learning** – train classifier to distinguish between signal and background using data sidebands and Monte Carlo.
- Cuts applied to **ghost probability** and **particle identification (PID)** variables.



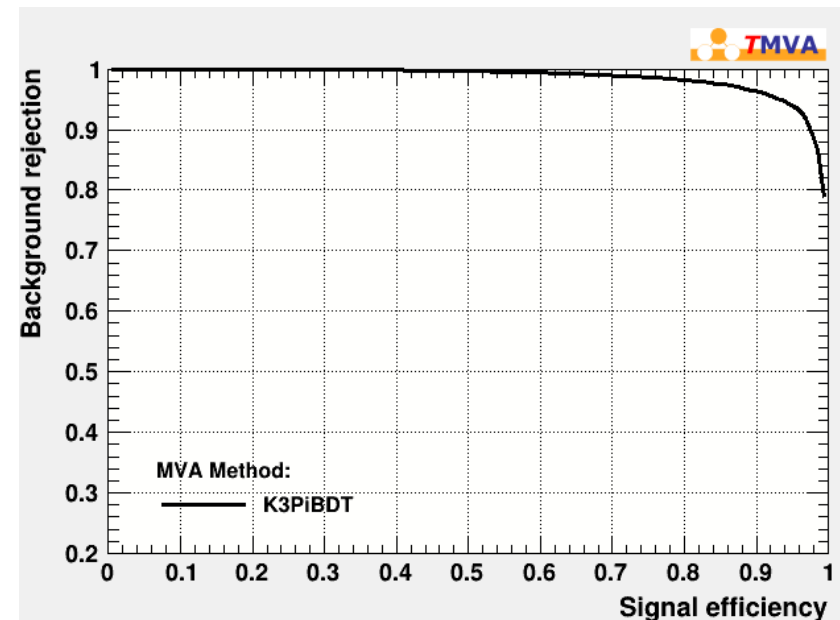
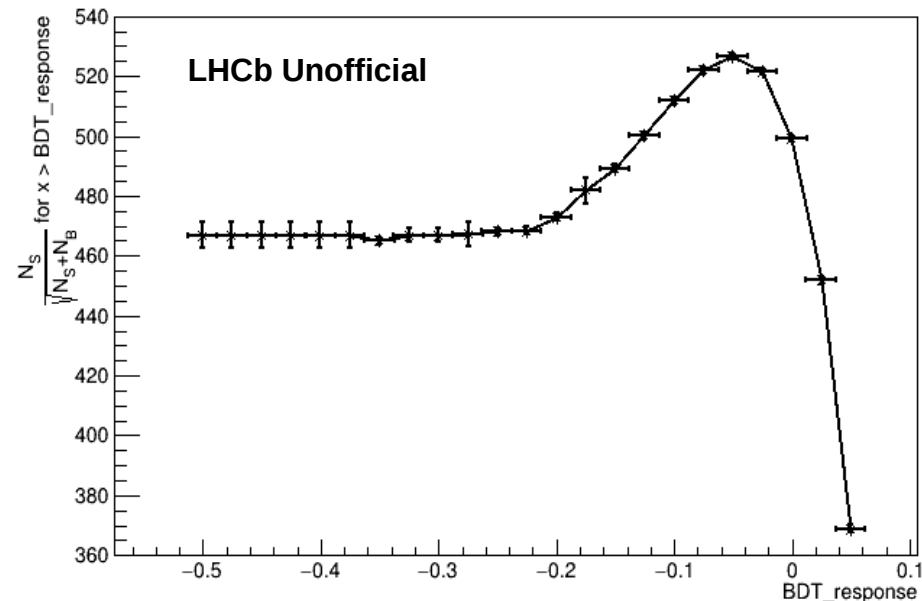
Cut on angle to remove 'clones'



# Boosted Decision Tree

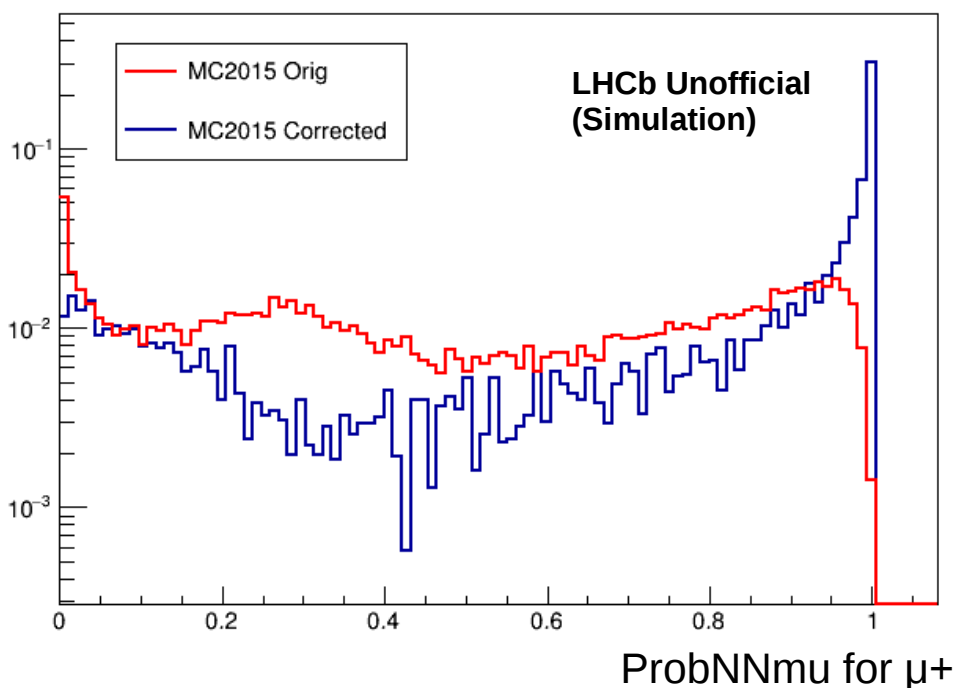
- **Boosted Decision Tree (BDT)** chosen as **classifier**: set of optimal cuts in all selected variables defined by computer.
- Decisions form a 'tree' - Depth, Number of Trees can be chosen by user.
- **Check for overtraining** – good BDT should not model statistical fluctuations.
- New 'BDT\_response' variable created which specifies degree of cut application.
- Find optimal value using appropriate Figure of Merit – **Signal Significance**.

Significance for K3π Data S24 2015 vs BDT Cut



# Particle Identification (PID)

Correction of ProbNNmu in  $K \rightarrow \pi\mu\mu$  2015 Monte Carlo



- Readout from RICH and Muon chambers combined via **neural network** to create a set of variables which can be cut on (ProbNN).
- Can cut on these variables to **suppress background** further and obtain **efficiencies using MC**.
- **PID variables not accurately modelled in MC**, have to be **corrected using PDFs** generated from data across a 4D phase space: PT, Multiplicity,  $\eta$ , ProbNN.





# Prospects for 2015-2018 and beyond

- For Run 2 2015-2018 we expect to have **~50 events** for  $K^+ \rightarrow \pi^+ \mu^+ \mu^-$  :  
Single Event Sensitivity (2015)  $\sim 8 \times 10^{-8}$
- Although not competitive with NA48/2 (3120 events [**Phys.Lett.B697:107-115,2011**]) a good first 'benchmark' for charged kaon prospects at an LHC collider detector.
- Further improvements in **Run 3**  $\rightarrow$  **Higher statistics!**
- LHCb was not designed for strange physics, factor 100 improvement just from trigger line updates in Run 2 promising start!
- Recent introduction of Turbo line method – reading events directly from online trigger as opposed to offline stripping.



# Summary

- LHCb although designed primarily for  $b$  physics is **broadening scope into other decays within the strange sector**.
- Precision measurement incentive means **high quality particle identification system** important for  $\pi$ - $\mu$  distinguishment.
- Already observe a **factor 100 improvement from inclusion of dedicated trigger lines** alone.
- Although expected yield is uncompetitive the **results are promising** for the future of rare charged **kaon decay measurement at collider experiments**.



# Backup

## LHCb Prospects in Strange Physics

- Analysis into  $K_s^0 \rightarrow \mu^+ \mu^-$  at Run 1 [arXiv:1209.4029]
- Analysis into  $\Sigma^\pm \rightarrow p^\pm \mu^+ \mu^-$  at Run 1 [LHCb-PAPER-2017-049]
- Prospects for  $K_s^0 \rightarrow \pi^0 \mu^+ \mu^-$  at LHCb  
[Miriam Lucio Martinez 2017 J. Phys.: Conf. Ser. 800 012033]
- Prospects for  $K_0^s \rightarrow \pi^+ \pi^- e^+ e^-$  at LHCb  
[C. Marin Benito 2017 J. Phys.: Conf. Ser. 800 012031]



# Backup

## Data Driven Method for Trigger Efficiencies [LHCb-PUB-2014-039]

$$\epsilon_{Trig} = \frac{N_{Trig|Sel}}{N_{Sel}} = \frac{N_{Trig|Sel}}{N_{TIS|Sel}} \times \frac{N_{TIS|Sel}}{N_{Sel}} = \frac{N_{Trig|Sel}}{N_{TIS|Sel}} \times \epsilon_{TIS}$$

$$\epsilon_{TIS|TOS} = \frac{N_{TISTOS}}{N_{TOS}}$$

If we make the assumption that the value of  $\epsilon_{TIS}$  is independent of any subsample of triggered events:

$$\epsilon_{TIS} \equiv \epsilon_{TIS|TOS}$$

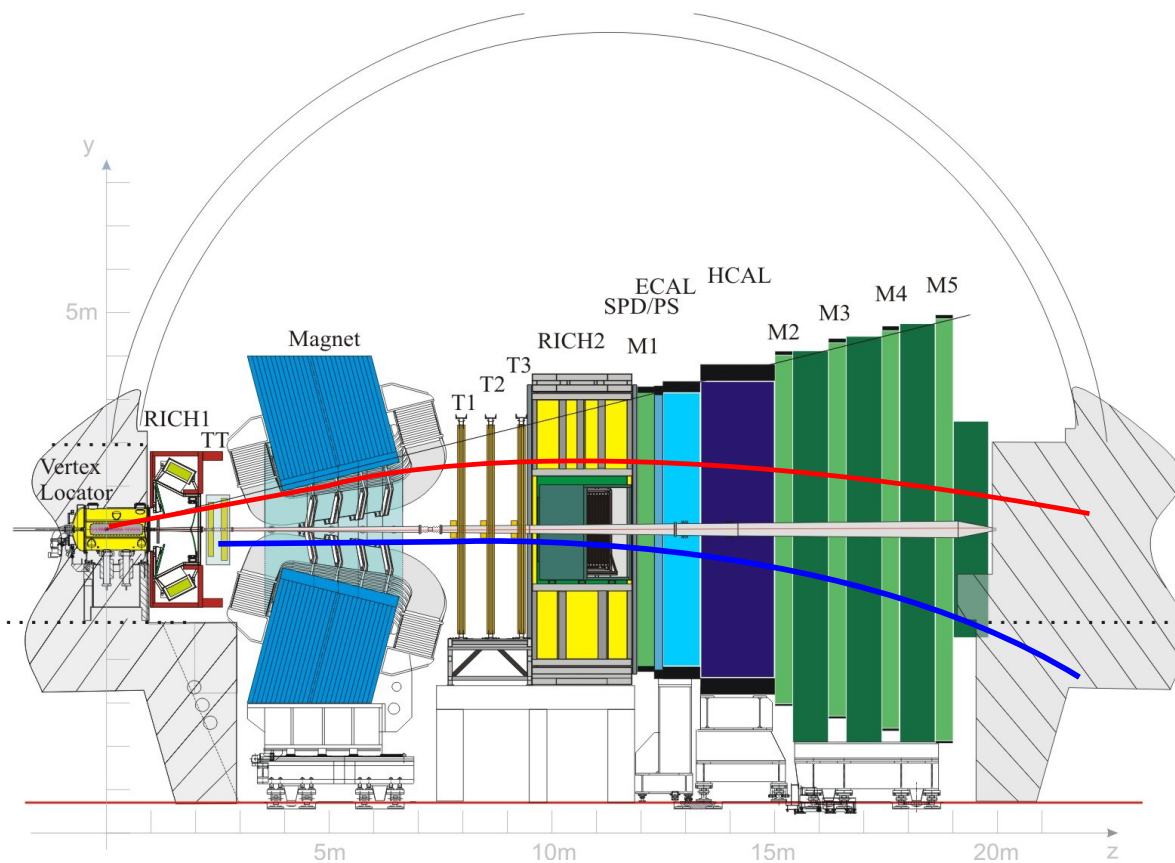
We can then obtain trigger efficiency using available trigger category samples:

$$\epsilon_{Trig} = \frac{N_{Trig|Sel}}{N_{TIS|Sel}} \times \frac{N_{TISTOS}}{N_{TOS}}$$



# Backup

Sweighting technique: [arXiv:physics/0402083](https://arxiv.org/abs/physics/0402083)

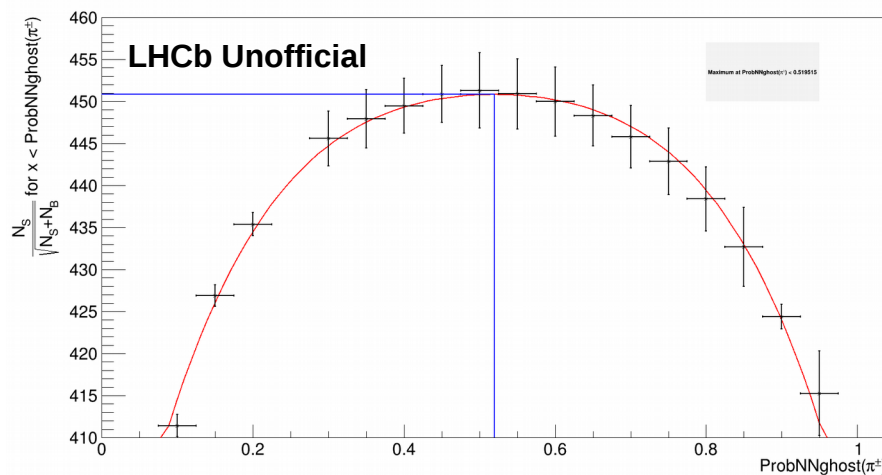




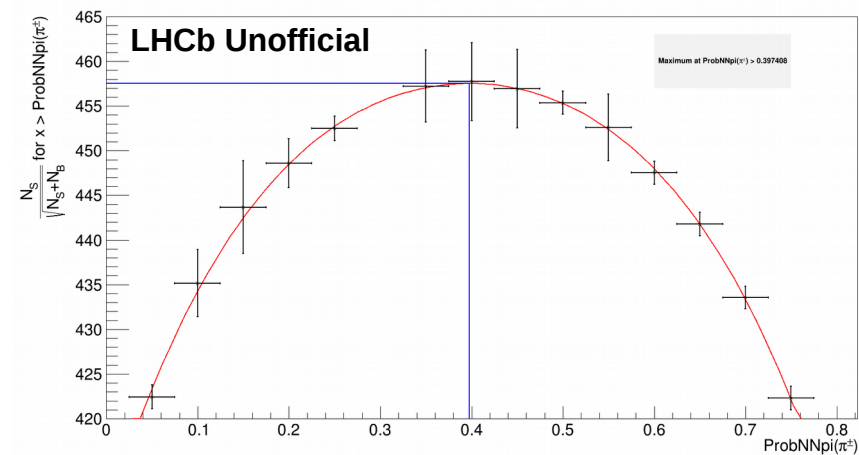
# Backup

## 3D Optimisation Independent Components: Ghost, PID & BDT

Significance for  $K3\pi$  Data S24 2015 vs ProbNNghost Cut



Significance for  $K3\pi$  Data S24 2015 vs ProbNNpi Cut



Significance for  $K3\pi$  Data S24 2015 vs BDT Cut

